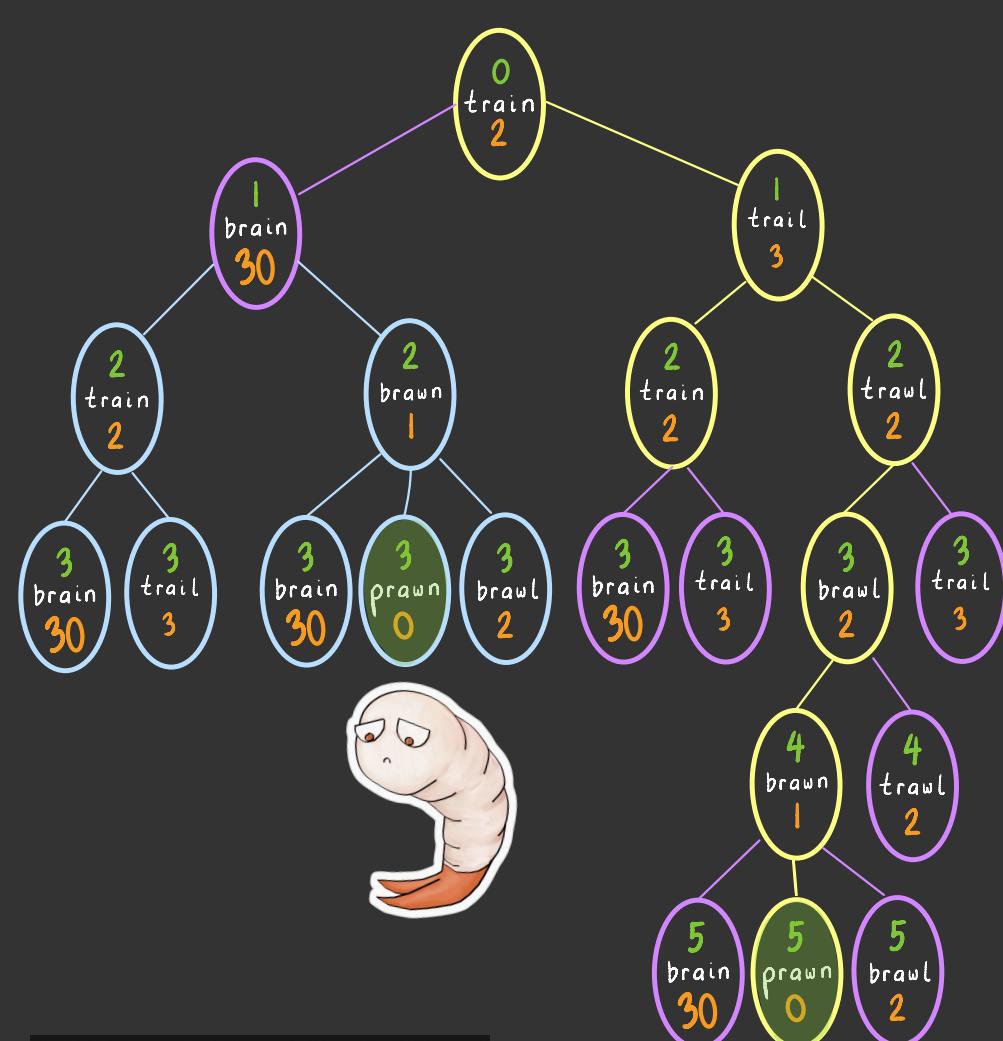


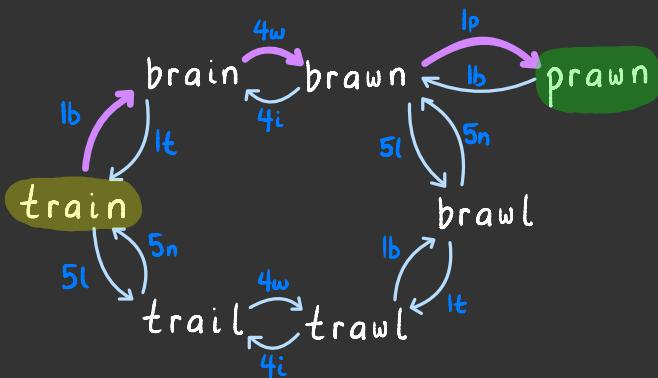
optimality of  
A\* search  
28 sept  
2022

CSCI  
373



under what  
conditions is  
A\* search  
optimal?

train  
brain  
brawn  
prawn

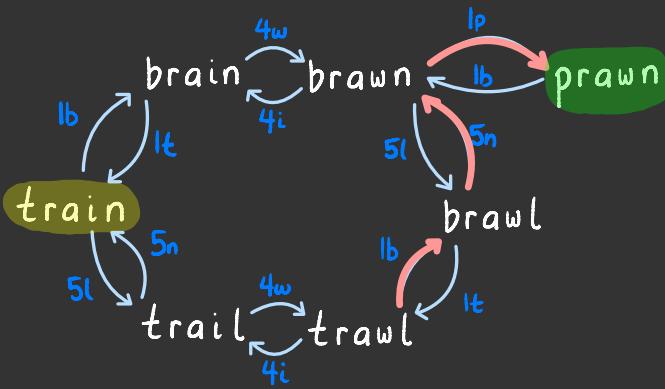


recall

formally:  $\langle (train, lb, brain), (brain, 4w, brawn), (brawn, lp, prawn) \rangle$

states	$Q = \{train, brain, trail, \dots\}$
actions	$\Sigma = \{la, \dots, 5z\}$
transitions	$\Delta = \{(train, lb, brain), \dots\}$
initial state	$q_0 = train$
final states	$F = \{prawn\}$
weight function	$\omega = \{\delta \mapsto 1 \mid \delta \in \Delta\}$

a search path is a sequence  $\langle \delta_0, \dots, \delta_k \rangle$  of transitions from  $\Delta$  such that there exist states  $q_0, \dots, q_{k+1} \in Q$  and actions  $\sigma_0, \dots, \sigma_k \in \Sigma$  such that  $\delta_i = (q_i, \sigma_i, q_{i+1}) \quad \forall i \in \{0, \dots, k\}$



states  $Q = \{\text{train}, \text{brain}, \text{trail}, \dots\}$

actions  $\Sigma = \{1a, \dots, 5z\}$

transitions  $\Delta = \{(\text{train}, \text{lb}, \text{brain}), \dots\}$

initial state  $q_0 = \text{train}$

final states  $F = \{\text{prawn}\}$

weight function  $\omega = \{\delta \mapsto 1 \mid \delta \in \Delta\}$

a completion path from state  $q$  is a sequence  $\langle \delta_1, \dots, \delta_k \rangle$  of transitions from  $\Delta$  such that there exist states  $q_1, \dots, q_{k+1} \in Q$  and actions  $\sigma_1, \dots, \sigma_k \in \Sigma$

such that  $\cdot \delta_i = (q_i, \sigma_i, q_{i+1}) \quad \forall i \in \{0, \dots, k\}$

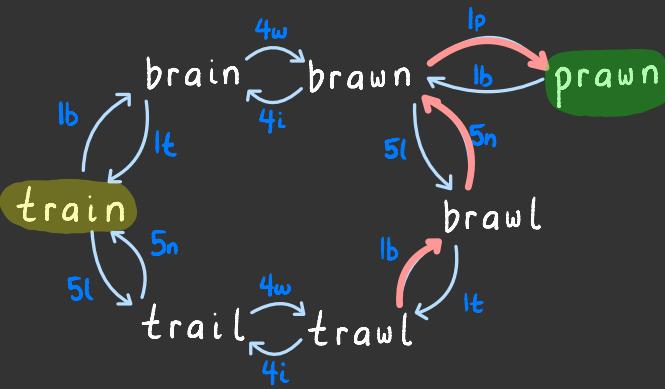
$\cdot q_0 = q$

$\cdot q_{k+1} \in F$

in other words:

search path = path from initial state

completion path = path to a final state



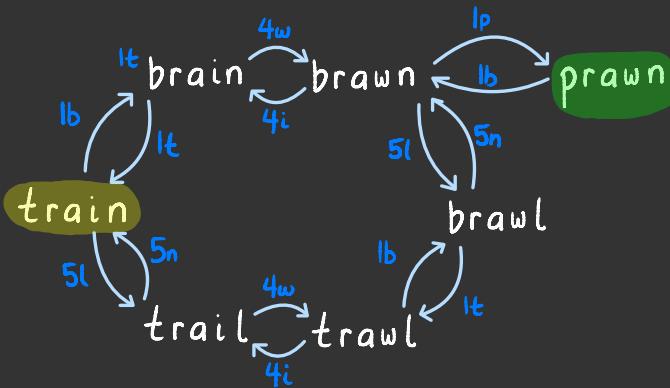
a **completion path** from state  $q$  is a sequence  $\langle \delta_1, \dots, \delta_k \rangle$  of transitions from  $\Delta$  such that there exist states  $q_1, \dots, q_{k+1} \in Q$  and actions  $\sigma_1, \dots, \sigma_k \in \Sigma$  such that

- $\delta_i = (q_i, \sigma_i, q_{i+1}) \quad \forall i \in \{0, \dots, k\}$
- $q_1 = q$
- $q_{k+1} \in F$

**the cost of a completion path is the sum of the weights of the transitions**

states  $Q = \{\text{train}, \text{brain}, \text{trail}, \dots\}$   
 actions  $\Sigma = \{1a, \dots, 5z\}$   
 transitions  $\Delta = \{(\text{train}, 1b, \text{brain}), \dots\}$   
 initial state  $q_0 = \text{train}$   
 final states  $F = \{\text{prawn}\}$   
 weight function  $\omega = \{\delta \mapsto 1 \mid \delta \in \Delta\}$

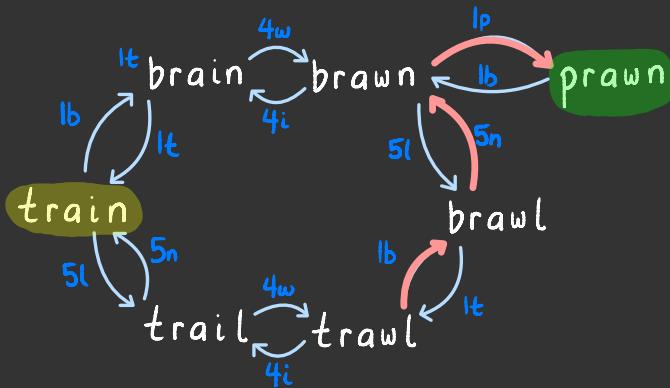
**an optimal completion path from state  $q$  is the completion path of minimum cost**



the cost of a completion path  
is the sum of the weights  
of the transitions

an optimal completion path  
from state  $q$  is the completion  
path of minimum cost

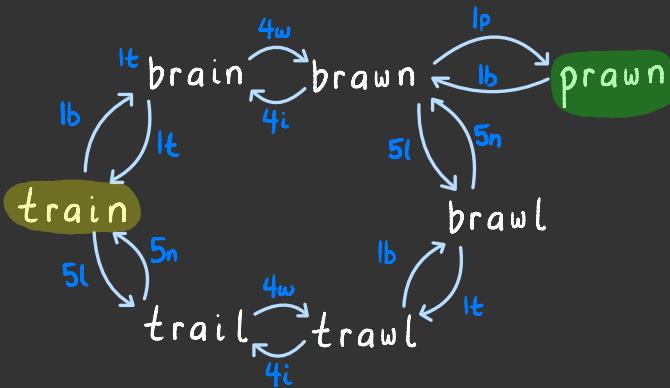
what is the optimal completion path ?  
from state trawl ?



the cost of a completion path  
is the sum of the weights  
of the transitions

an optimal completion path  
from state  $q$  is the completion  
path of minimum cost

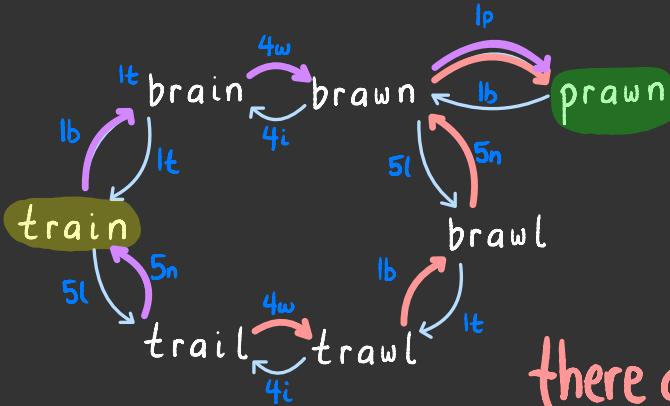
what is the optimal completion path ?  
from state trawl ?



the cost of a completion path  
is the sum of the weights  
of the transitions

an optimal completion path  
from state  $q$  is the completion  
path of minimum cost

what is the optimal completion path ?  
from state trail ?

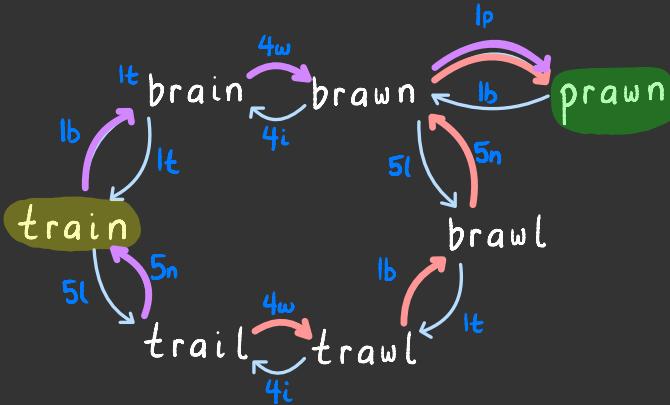


there are  
two

the cost of a completion path  
is the sum of the weights  
of the transitions

an optimal completion path  
from state  $q$  is the completion  
path of minimum cost

what is the optimal completion path ?  
from state trail ?



the cost of a completion path  
is the sum of the weights  
of the transitions

an optimal completion path  
from state  $q$  is the completion  
path of minimum cost

let  $H^*(q)$  be the cost of the  
optimal completion path from state  $q$

$$H^*(\text{brain}) = \boxed{?}$$

$$H^*(\text{trail}) = \boxed{?}$$

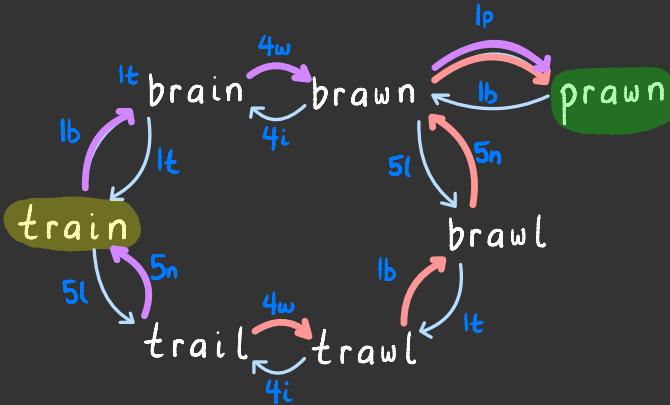
$$H^*(\text{train}) = \boxed{?}$$

$$H^*(\text{brawn}) = \boxed{?}$$

$$H^*(\text{trawl}) = \boxed{?}$$

$$H^*(\text{brawl}) = \boxed{?}$$

$$H^*(\text{prawn}) = \boxed{?}$$



the cost of a completion path  
is the sum of the weights  
of the transitions

an optimal completion path  
from state  $q$  is the completion  
path of minimum cost

let  $H^*(q)$  be the cost of the  
optimal completion path from state  $q$

$$H^*(\text{brain}) = 2$$

$$H^*(\text{trail}) = 4$$

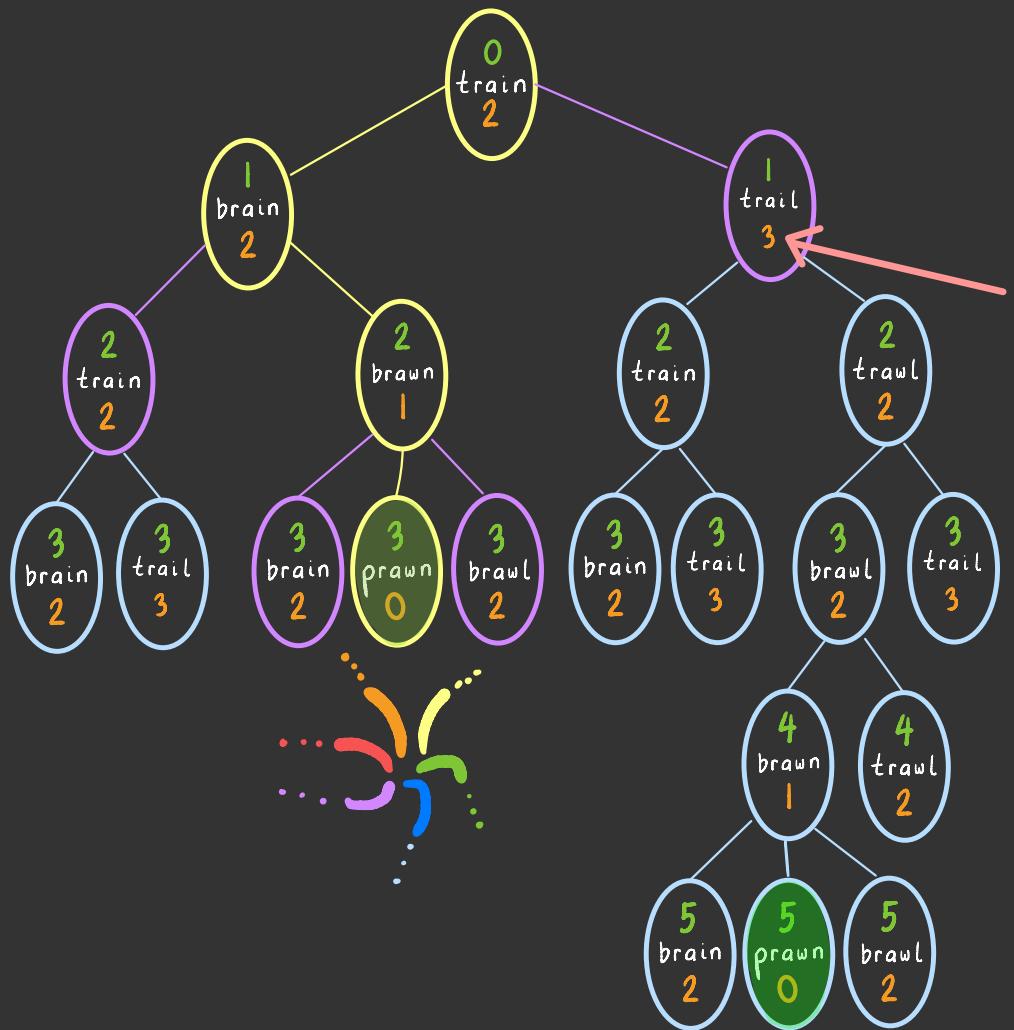
$$H^*(\text{train}) = 3$$

$$H^*(\text{brawn}) = 1$$

$$H^*(\text{trawl}) = 3$$

$$H^*(\text{brawl}) = 2$$

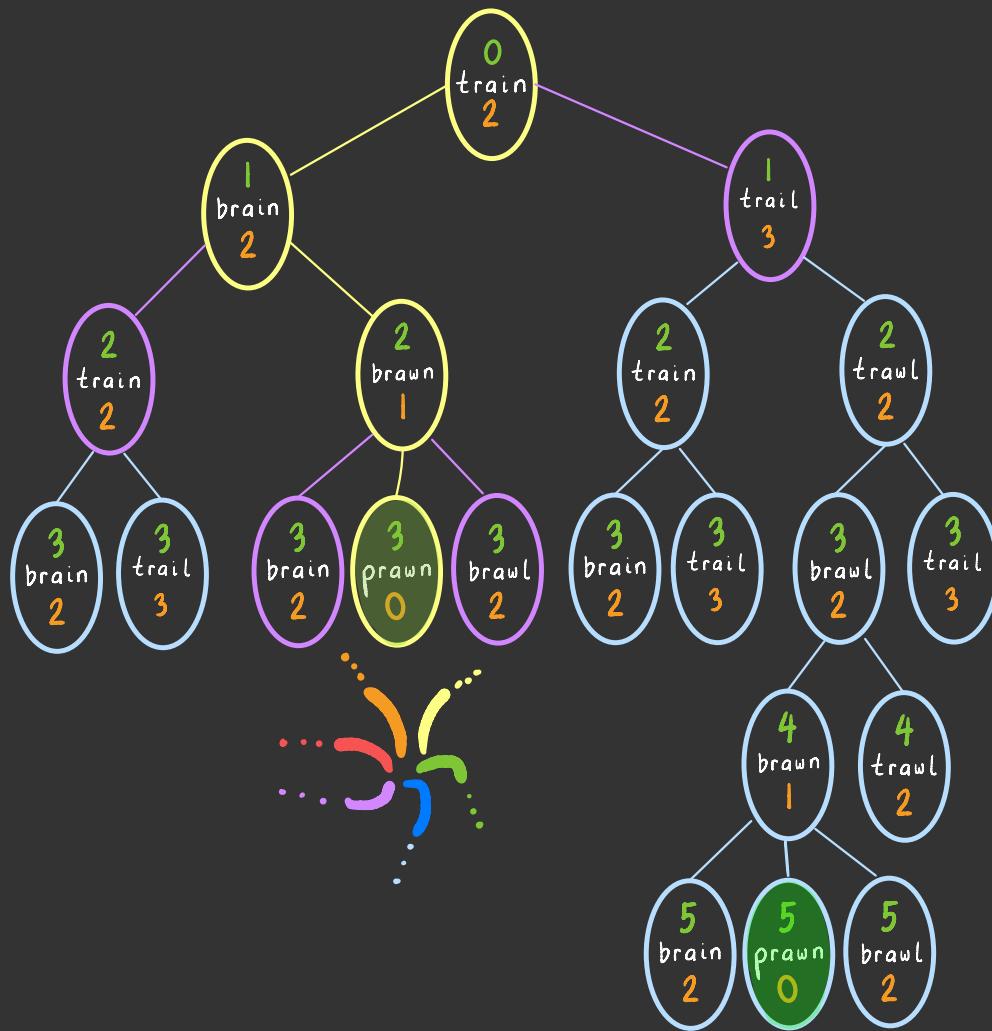
$$H^*(\text{prawn}) = 0$$



Consider the heuristic  
we've been using for  
word ladder

trail  
↓  
prawn

at least 3  
steps to a  
final state



for every state  $q$

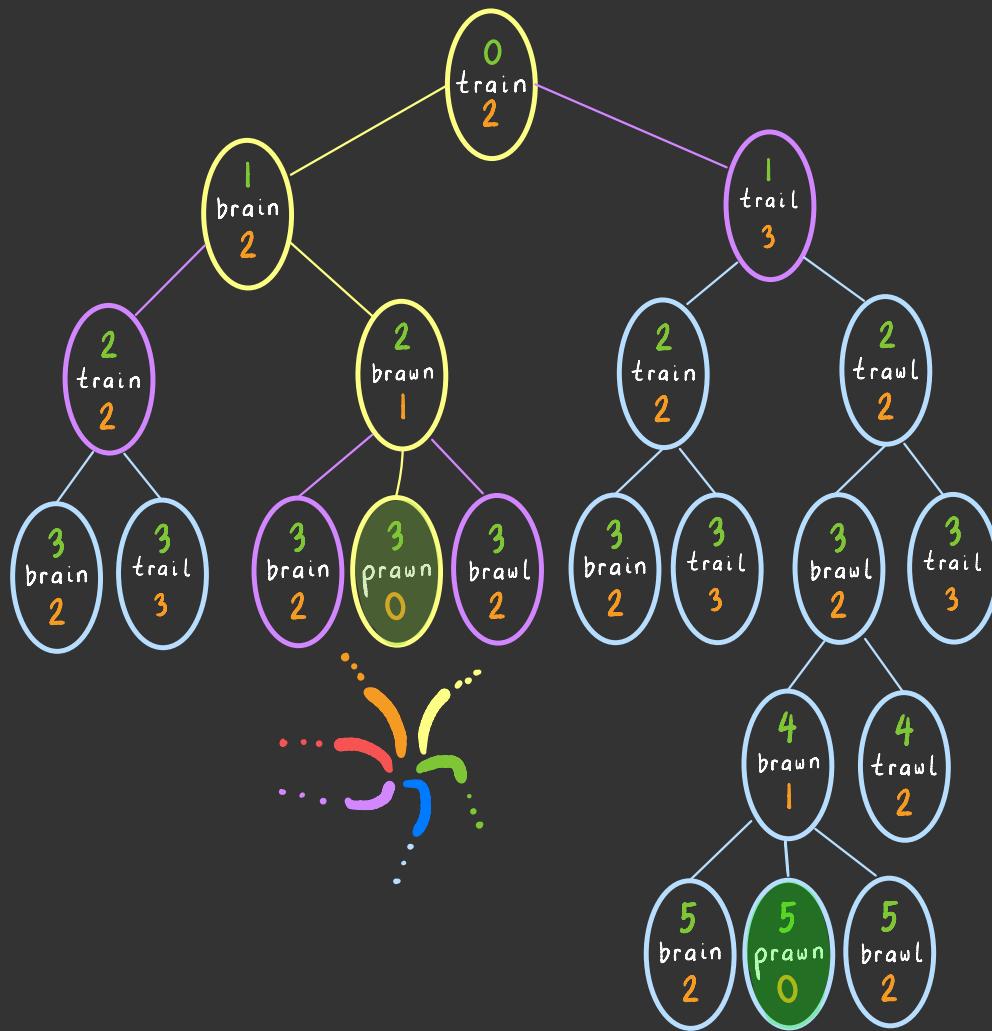
$$H(q) \leqslant H^*(q)$$

heuristic  
function

optimal  
completion cost

trail  
↓  
prawn

at least 3  
steps to a  
final state



for every state  $q$

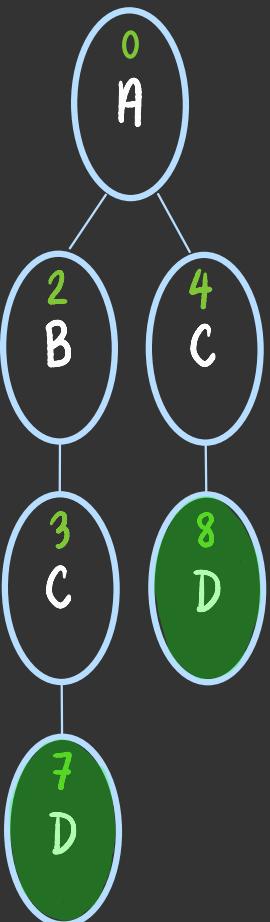
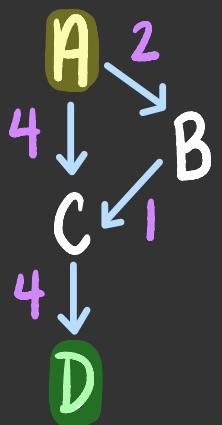
$$H(q) \leq H^*(q)$$

↑  
heuristic  
function

↑  
optimal  
completion cost

a heuristic function  $H$   
that satisfies this  
condition is called  
**admissible**

is A\* optimal if we use an  
admissible heuristic function?



heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

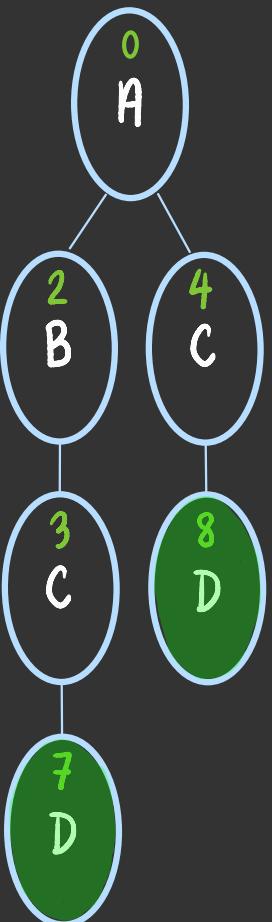
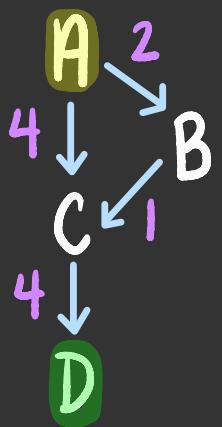
$\uparrow$                        $\uparrow$   
 heuristic function        optimal  
 completion cost

$$H^*(A) = \boxed{?}$$

$$H^*(B) = \boxed{?}$$

$$H^*(C) = \boxed{?}$$

$$H^*(D) = \boxed{?}$$



heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

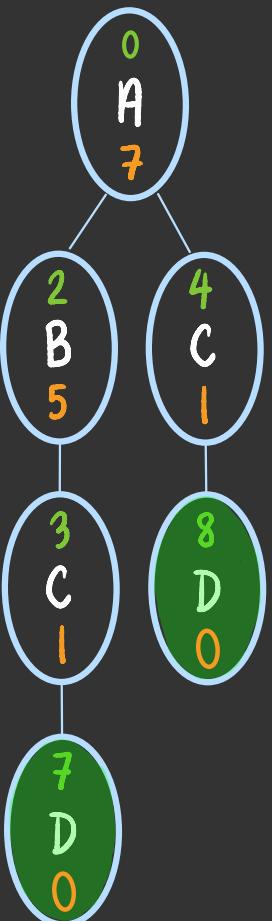
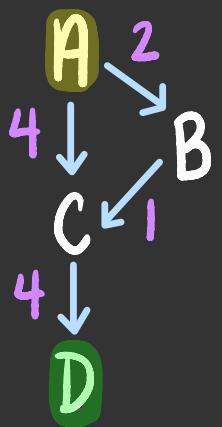
$\uparrow$                        $\uparrow$   
heuristic function            optimal  
                                 completion cost

$$H^*(A) = 7$$

$$H^*(B) = 5$$

$$H^*(C) = 4$$

$$H^*(D) = 0$$



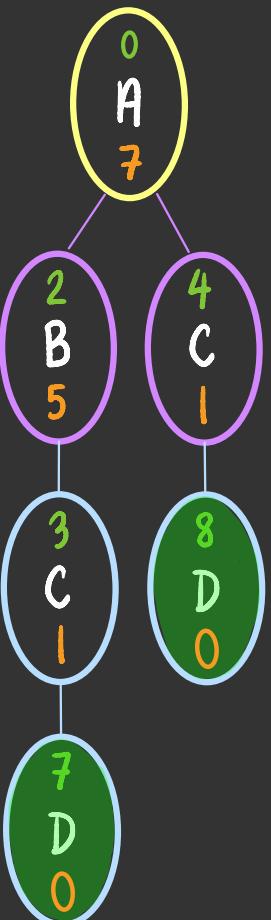
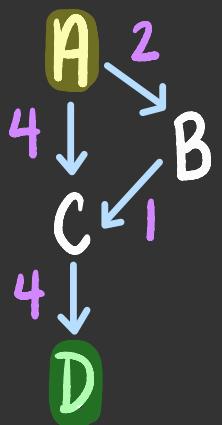
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

$\uparrow$                        $\uparrow$   
 heuristic function        optimal completion cost

$$\begin{aligned}
 H(A) &= 7 \leq H^*(A) = 7 \\
 H(B) &= 5 \leq H^*(B) = 5 \\
 H(C) &= 1 \leq H^*(C) = 4 \\
 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!



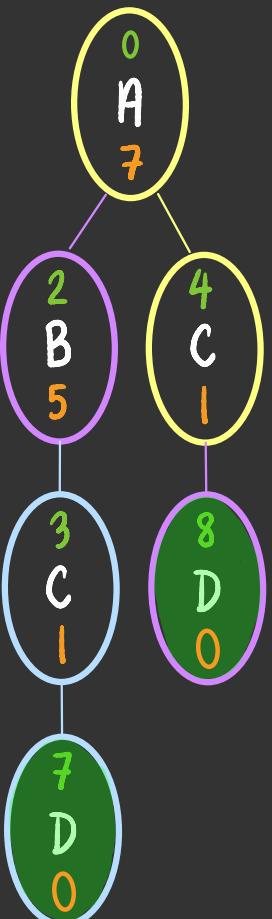
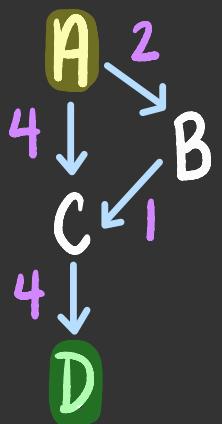
heuristic function  $H$   
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$\uparrow$                        $\uparrow$   
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admissible!



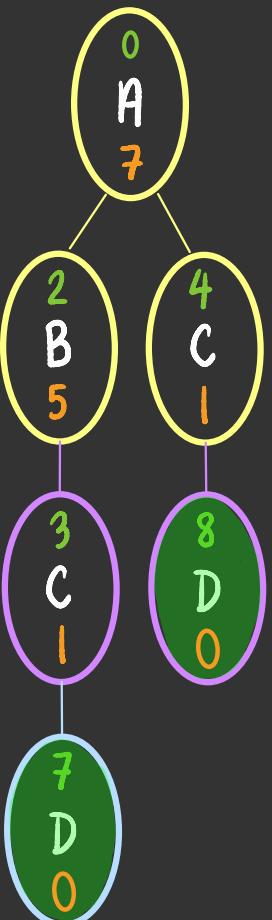
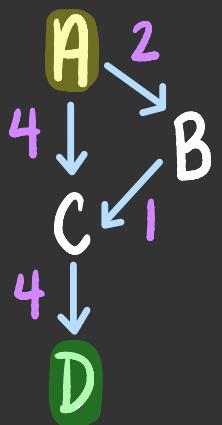
heuristic function  $H$   
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if for every state  $q$ :

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$\uparrow$                        $\uparrow$   
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admissible!



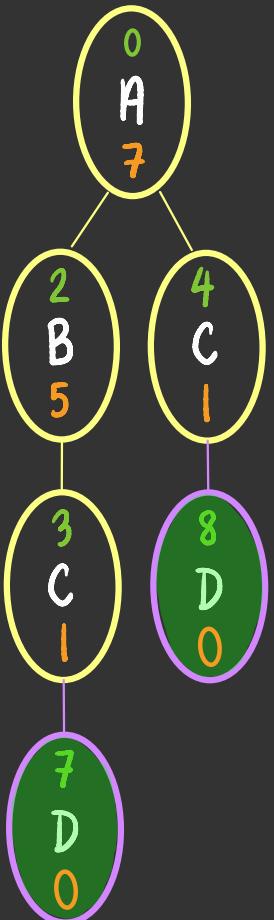
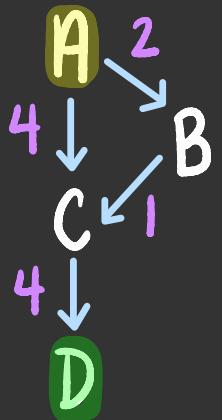
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

$\uparrow$                        $\uparrow$   
 heuristic function        optimal  
 completion cost

$$\begin{aligned}
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 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!



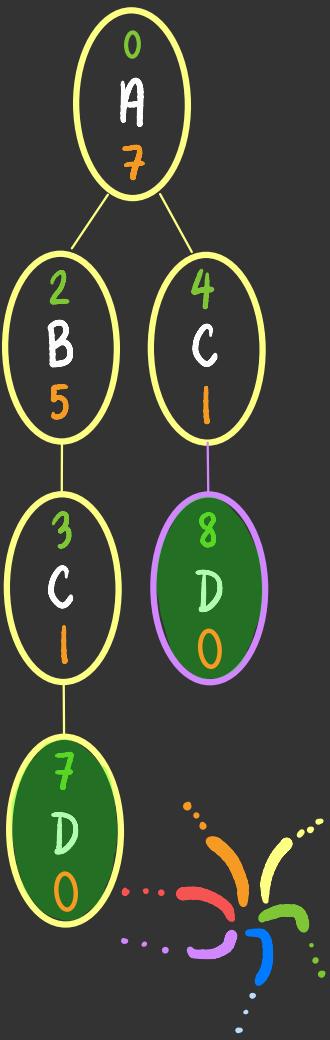
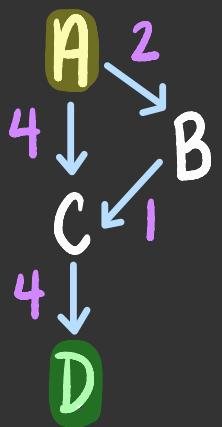
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

$\uparrow$                        $\uparrow$   
 heuristic function        optimal completion cost

$H(A) = 7 \leq H^*(A) = 7$   
 $H(B) = 5 \leq H^*(B) = 5$   
 $H(C) = 1 \leq H^*(C) = 4$   
 $H(D) = 0 \leq H^*(D) = 0$

admissible!



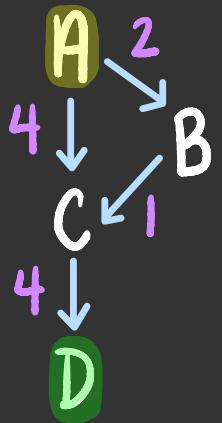
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

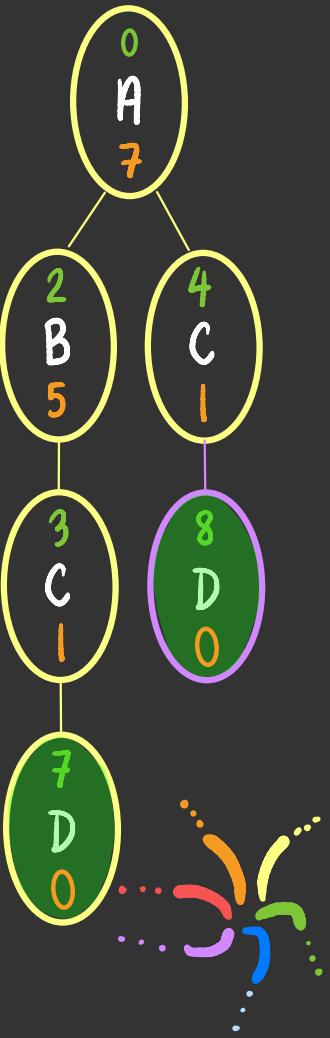
$\uparrow$                            $\uparrow$   
 heuristic function              optimal completion cost

$H(A) = 7 \leq H^*(A) = 7$   
 $H(B) = 5 \leq H^*(B) = 5$   
 $H(C) = 1 \leq H^*(C) = 4$   
 $H(D) = 0 \leq H^*(D) = 0$

admissible!



but what if we memoize?



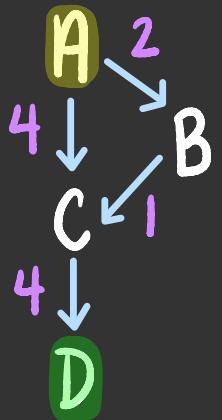
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

$\uparrow$  heuristic function       $\uparrow$  optimal completion cost

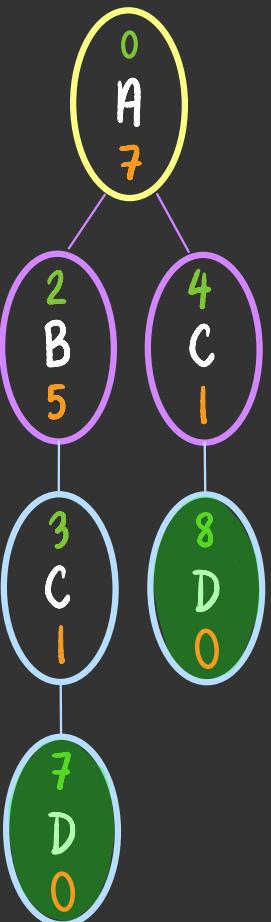
$$\begin{aligned}
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 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!



visited

A



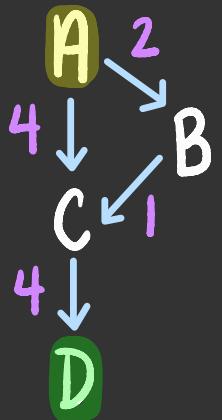
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

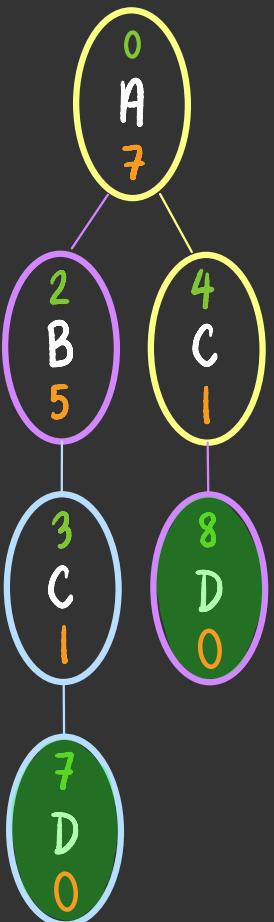
$\uparrow$                        $\uparrow$   
 heuristic function        optimal  
 completion cost

$$\begin{aligned}
 H(A) &= 7 \leq H^*(A) = 7 \\
 H(B) &= 5 \leq H^*(B) = 5 \\
 H(C) &= 1 \leq H^*(C) = 4 \\
 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!



visited  
AC



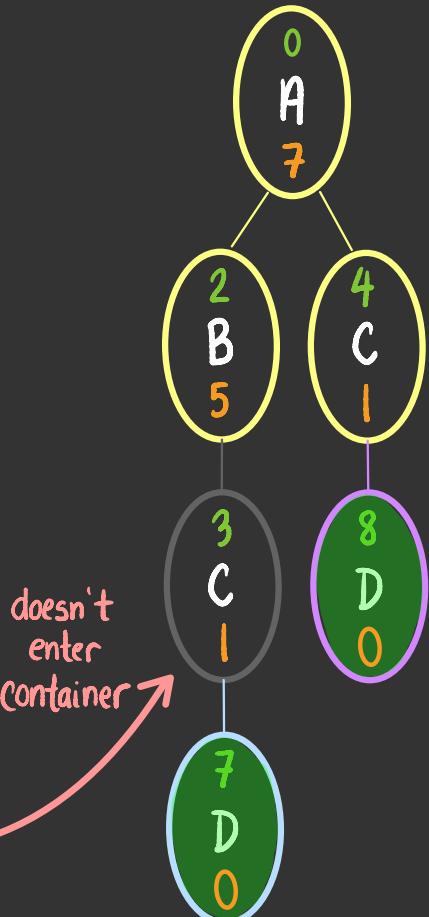
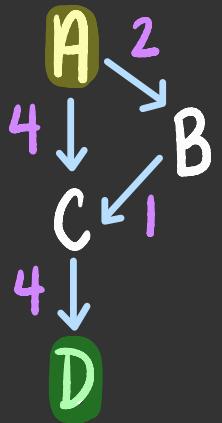
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

$\uparrow$                            $\uparrow$   
 heuristic function              optimal completion cost

$$\begin{aligned}
 H(A) &= 7 \leq H^*(A) = 7 \\
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 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!



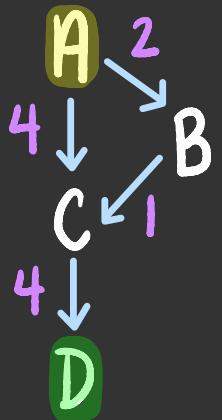
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

↑                      ↑  
 heuristic function    optimal  
 completion cost

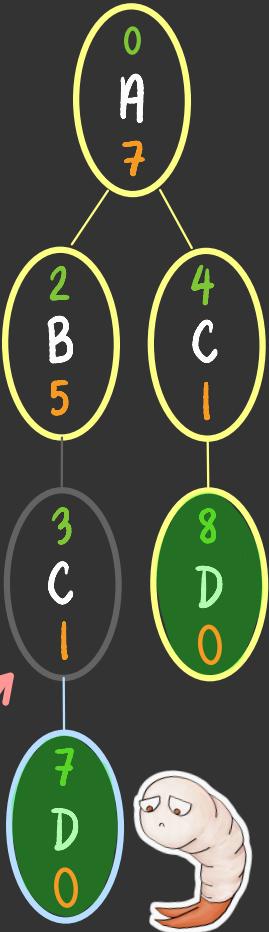
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 H(C) &= 1 \leq H^*(C) = 4 \\
 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!



visited  
ACB

doesn't enter container



heuristic function  $H$   
is **admissible**  
if for every state  $q$ :

$$H(q) \leq H^*(q)$$

↑                      ↑  
heuristic function    optimal completion cost

$$\begin{aligned}
 H(A) &= 7 \leq H^*(A) = 7 \\
 H(B) &= 5 \leq H^*(B) = 5 \\
 H(C) &= 1 \leq H^*(C) = 4 \\
 H(D) &= 0 \leq H^*(D) = 0
 \end{aligned}$$

admissible!

is A\* optimal if we use an  
admissible heuristic function?

yes, if we don't memoize

is A\* optimal if we use an  
admissible heuristic function?

yes, if we don't memoize  
but what if we want to memoize?

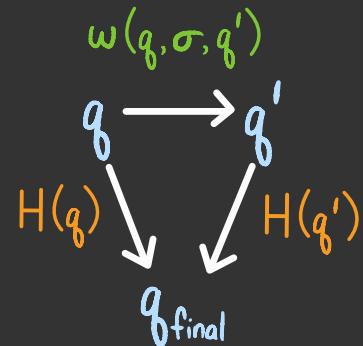
heuristic function  $H$   
is **admissible**  
if for every state  $q$ :  

$$H(q) \leq H^*(q)$$

↑                      ↑  
 heuristic            optimal  
 function            completion cost

heuristic function  $H$  is **consistent** if

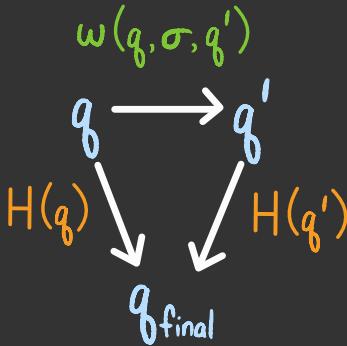
- ▶  $H(q) = 0$  for all final states  $q \in F$
- ▶  $H(q) \leq \omega(q, \sigma, q') + H(q')$   
for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$



heuristic function  $H$  is **consistent** if

- $H(q) = 0$  for all final states  $q \in F$
- $H(q) \leq w(q, \sigma, q') + H(q')$   
for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$

"it takes 3 hours  
to drive to Boston"



"from Boston I think  
it takes 2 hours  
to drive to Providence"

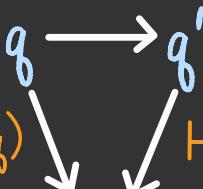
heuristic function  $H$  is **consistent** if

- $H(q) = 0$  for all final states  $q \in F$
- $H(q) \leq w(q, \sigma, q') + H(q')$   
for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$

"I think it takes  
6 hours to  
drive to Providence"

"it takes 3 hours  
to drive to Boston"

$$w(q, \sigma, q')$$



$$H(q)$$

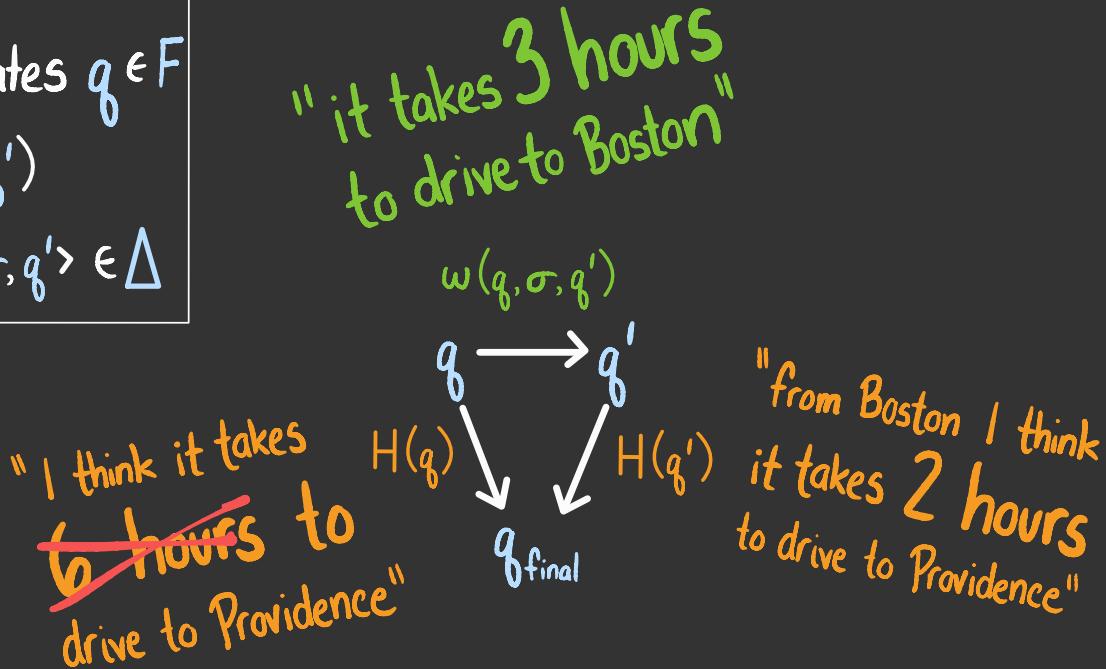
$$q_{\text{final}}$$

$$H(q')$$

"from Boston I think  
it takes 2 hours  
to drive to Providence"

heuristic function  $H$  is **consistent** if

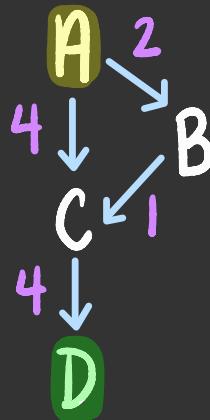
- $H(q) = 0$  for all final states  $q \in F$
- $H(q) \leq w(q, \sigma, q') + H(q')$   
for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$



Well no... you've already described a route that you think takes 5 hours...  $H(q)$  should be  $\leq 5$

heuristic function  $H$  is **consistent** if

- $H(q) = 0$  for all final states  $q \in F$
- $H(q) \leq \omega(q, \sigma, q') + H(q')$  for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$



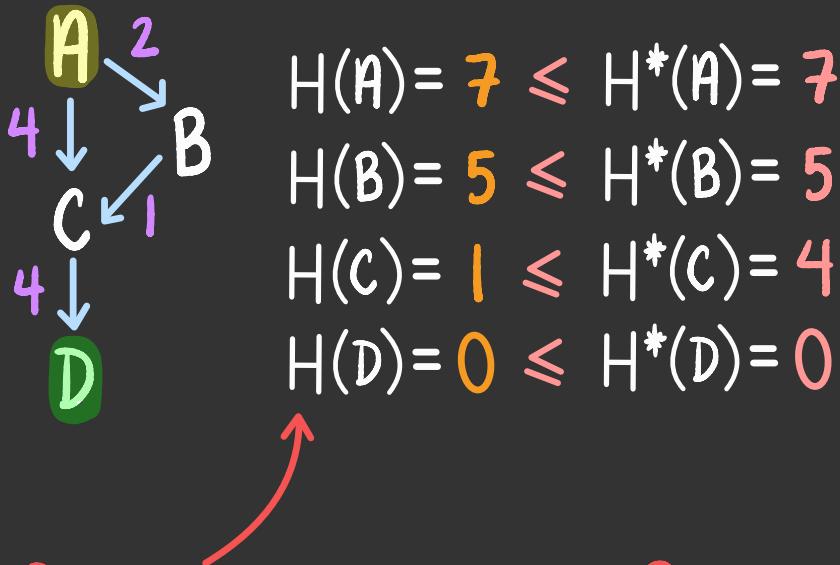
$$\begin{aligned}H(A) &= 7 \leq H^*(A) = 7 \\H(B) &= 5 \leq H^*(B) = 5 \\H(C) &= 1 \leq H^*(C) = 4 \\H(D) &= 0 \leq H^*(D) = 0\end{aligned}$$

was this heuristic function consistent?

your answer here

heuristic function  $H$  is **consistent** if

- $H(q) = 0$  for all final states  $q \in F$
- $H(q) \leq \omega(q, \sigma, q') + H(q')$  for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$



was this heuristic function consistent?

no.

$$\frac{H(A)}{7} > \frac{\omega(A, \cdot, C) + H(C)}{4}$$
$$\frac{}{1}$$

heuristic function  $H$  is **consistent** if

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- ▶  $H(q) \leq \omega(q, \sigma, q') + H(q')$   
for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$

if  $H$  is **consistent**,  
then  $A^*$  is **optimal**

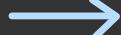
heuristic function  $H$  is **consistent** if

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no successor of a node can have a better priority than the node it succeeded



if two nodes are visited by  $A^*$ , the first node visited never has a worse priority than the second



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if node  $n' \in \text{successors}_{m,H}(n)$   
and  $H$  is **consistent**, then:

$$g(n') + h(n') \geq g(n) + h(n)$$

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heuristic function  $H$  is **consistent** if

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because  $H(q) \leq w(q, \sigma, q') + H(q')$  where  $q = q(n), q' = q(n')$

heuristic function  $H$  is **consistent** if

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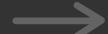
heuristic function  $H$  is *consistent* if

- $H(q_f) = 0$  for all final states  $q_f \in F$
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if node  $n$  is visited by  $A^*$   
before node  $n'$ , then:

$$g(n') + h(n') \geq g(n) + h(n)$$

if node  $n' \in \text{successors}_{m,H}(n)$   
and  $H$  is consistent, then:

$$g(n') + h(n') \geq g(n) + h(n)$$

- assume  $g(n') + h(n') < g(n) + h(n)$ .
- when we visit node  $n$ , either node  $n'$  or one of its ancestors are in the container. call this  $n^*$ .
- $g(n^*) + h(n^*) \leq g(n') + h(n') < g(n) + h(n)$

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↑ inductively

- ▶ assume  $g(n') + h(n') < g(n) + h(n)$ .
- ▶ when we visit node  $n$ , either node  $n'$  or one of its ancestors are in the container. call this  $n^*$ .
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- $g(n^*) + h(n^*) < g(n) + h(n)$

heuristic function  $H$  is consistent if

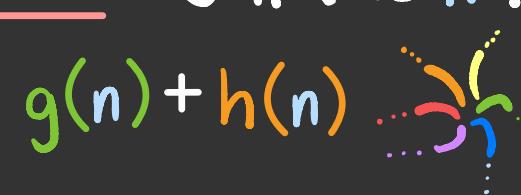
- $H(q_f) = 0$  for all final states  $q_f \in F$
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if node  $n$  is visited by  $A^*$   
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if node  $n' \in \text{successors}_{m, H}(n)$   
and  $H$  is consistent, then:

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- assume  $g(n') + h(n') < g(n) + h(n)$ .
- when we visit node  $n$ , either node  $n'$  or one of its ancestors are in the container. call this  $n^*$ .
- $g(n^*) + h(n^*)$    

heuristic function  $H$  is **consistent** if

- $H(q_0) = 0$  for all final states  $q \in F$
- $H(q) \leq w(q, \sigma, q') + H(q')$   
for all transitions  $\langle q, \sigma, q' \rangle \in \Delta$

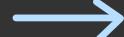
if node  $n$  is visited by  $A^*$   
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no successor of a  
node can have a  
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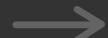
if  $H$  is **consistent**,  
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heuristic function  $H$  is *consistent* if

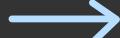
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if two nodes are visited by  $A^*$ , the first node visited never has a worse priority than the second



if  $H$  is *consistent*, then  $A^*$  is *optimal*

Consider goal nodes  $n$  and  $n'$ . Suppose  $A^*$  visits  $n$  before  $n'$ .

$$g(n') + h(n') \geq g(n) + h(n)$$

thus:  $g(n') \geq g(n)$

so node  $n'$  is no better than  $n$

heuristic function  $H$  is *consistent* if

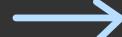
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if node  $n$  is visited by  $A^*$  before node  $n'$ , then:  
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no successor of a node can have a better priority than the node it succeeded



if two nodes are visited by  $A^*$ , the first node visited never has a worse priority than the second



Consider goal nodes  $n$  and  $n'$ . Suppose  $A^*$  visits  $n$  before  $n'$ .

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thus:  $g(n') \geq g(n)$

so node  $n'$  is no better than  $n$

if  $H$  is *consistent*, then  $A^*$  is *optimal*

- heuristic function  $H$  is consistent if
- $H(q_f) = 0$  for all final states  $q \in F$
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if node  $n$  is visited by  $A^*$  before node  $n'$ , then:  
 $g(n') + h(n') \geq g(n) + h(n)$

no successor of a node can have a better priority than the node it succeeded



if two nodes are visited by  $A^*$ , the first node visited never has a worse priority than the second

Consider goal nodes  $n$  and  $n'$ . Suppose  $A^*$  visits  $n$  before  $n'$ .

$$g(n') + \underline{\underline{h(n')}} \geq g(n) + \underline{\underline{h(n)}} \quad \text{these are zero}$$

thus:

$g(n') \geq g(n)$   
 so node  $n'$  is no better than  $n$

→ if  $H$  is consistent, then  $A^*$  is optimal

heuristic function  $H$  is *consistent* if

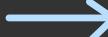
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if node  $n$  is visited by  $A^*$  before node  $n'$ , then:  
 $g(n') + h(n') \geq g(n) + h(n)$

no successor of a node can have a better priority than the node it succeeded



if two nodes are visited by  $A^*$ , the first node visited never has a worse priority than the second



if  $H$  is *consistent*, then  $A^*$  is *optimal*

Consider goal nodes  $n$  and  $n'$ . Suppose  $A^*$  visits  $n$  before  $n'$ .

$$g(n') + h(n') \geq g(n) + h(n)$$

thus:  $g(n') \geq g(n)$

so node  $n'$  is no better than  $n$

if  $H$  is consistent,  
then  $A^*$  is  
optimal

if  $H$  is admissible,  
then non-memoized  $A^*$  is  
optimal