#### plain text (0.96)

the k-mean algorithm. The iterative formula for updating t 0 cluster center is shown below [43].

$$a_k = \frac{\sum_{i=1}^n z_{ik} x_i \mathbf{1}}{\sum_{\substack{i=1 \ \text{solate}}}^n \mathbf{1}}$$
solate formulal (5/89)

$$z_{ik} = \begin{cases} 1 & \text{if } ||x_i - a_k||^2 = \min_{l \le k \le c} ||x_i - a_k||^2 \\ 0 & \text{otherwise} \end{cases}$$
 (2)

#### plain text (0.96)

where  $||x_i - a_k||$  is the Euclidean distance between da 3  $x_i$  and the cluster center  $a_k$ .

#### title (0.91)

# 3.2 Reinforcement learning

## plain\_text (0.98)

When faced with a problem, different actions are adopt 5 to influence the outcome by perceiving the environment and maximizing the benefits of learning by interaction, which is also referred to as reinforcement learning. Current reinforcement learning algorithms utilize neural networks to extract high-dimensional characteristics from observed data and represent their strategies or value functions as function approximators

The agent receives state  $s_t$  at each time step t, and selec 6 an action  $a_t$  from a collection of actions A according to the policy model  $\pi$ , where  $\pi$  is a map of states to actions  $a_t$  the next state  $s_{t+1}$  and scalar reward  $r_t$  as return. The whole process runs continuously to maximize rewards  $R_t =$  $\sum_{k=0}^{\infty} \gamma^k r_{t+k}$ , in which  $\gamma$  is the discount factor  $(0 < \gamma < 1)$ and  $r_t$  is the reward at step t. In value-based model-free reinforcement learning methods, the action-value function is represented by a neural network and other function approximators.

#### title (0.91)

### 3.2.1 A2C and A 7

## plain\_text (0.98)

A2C (Advantage Actor-critic) combines policy-based a 8 value-based approaches, which maintain a policy  $\pi$   $(a_t \mid s_t; \theta)$ and an estimate of the value function  $V(s_t; \theta)$ . Policies  $\pi$   $(a_t \mid s_t; \theta)$  and  $V(s_t; \theta)$  are mainly defined as the policy network and critic network, respectively.  $\theta$  can be optimized by the gradient ascent method as follows [44].

# isolate formula (0.94)

$$\nabla \bar{R}_{\theta} \approx \frac{1}{N} \sum_{n=1}^{N} \sum_{t=1}^{T_n} \left( r_t^n + V_{\pi} \left( s_{t+1}^n \right) - V_{\pi} \left( s_t^n \right) \right) \nabla \log p_{\theta} \left( a_t^n \mid s_t^n \right)$$

#### plain\_text (0.98)

A2C can learn from only one work with the envir 10 ment, which differs from A3C (Asynchronous Advantage Actor-critic). A3C operates asynchronously with a global network and multiple branch networks learning from multiple works. Each branch network can be understood as a replica of the global network. Asynchronous training updates

### plain text (0.96)

multiple branch network parameters to uninterruptedly upc 11 the global network parameters. The updates performed can be seen below [45].

isolate\_formula (0.91)

$$\nabla_{\theta'} \log \pi \left( a_t \mid s_t; \theta' \right) A \left( s_t, a_t; \theta, \theta_v \right) + \beta \nabla_{\theta'} H \left( \pi \left( s_t; \theta' \right) \right)$$

(4)

## plain text (0.97)

 $\beta \nabla_{\theta'} H (\pi (s_t; \theta'))$  is the entropy, which can increase 13 exploration of the environment and prevent premature convergence leading to suboptimal results. A  $(s_t, a_t; \theta, \theta_v)$  is the advantage function, which is given as follows [45]

isolate formula (0.95)

$$A(s_t, a_t; \theta, \theta_v) = \sum_{i=0}^{k-1} \gamma^i r_{t+i} + \gamma^k V(s_{t+k}; \theta_v) - V(s_t; \theta_v)$$

#### plain\_text (0.96)

The parameter  $\theta_{\nu}$  of the value network is then updated 15 the gradient descent of

$$\left| \nabla_{\theta_v} \left[ \left( \sum_{i=0}^{k-1} \gamma^i r_{t+i} + \gamma^k V\left( s_{t+k}; \theta_v \right) - V\left( s_t; \theta_v \right) \right)^{\frac{16}{2}} \right]$$
 (6)

title (0.91)

# 3.2.2 Parallel Advantage Actor-Critic (PA 17

### plain text (0.97)

A general framework for deep reinforcement learning 18 proposed by Clemente [42], and multiple participants can be trained symphronously on one machine.

Gradients in PAAC are calculated using mini batches 19 experiences. Multiple environment instances are trained in parallel and may explore different states at any given time. The advantage is reducing the relevance of the state encounteried tent ( contributing to stable training [45].

The updated formulas for policy gradients  $\nabla_{\theta}^{\pi}$  and va 20

gradients 
$$\nabla_{\theta}^{V}$$
 are shown below [42] isolate\_formula (0.94)
$$\nabla_{\theta}^{\pi} \approx \frac{1}{n_{e} \cdot t_{\text{max}}} \sum_{e=1}^{n_{e}} \sum_{t=1}^{t_{\text{max}}} \left( Q^{(t_{\text{max}}-t+1)} \left( s_{e,t}, a_{e,t}; \theta, \theta_{v} \right) - V \left( s_{e,t}; \theta_{v} \right) \right)$$

$$\nabla_{\theta} \log \pi \left( a_{e,t} \mid s_{e,t}; \theta \right) + \beta \nabla_{\theta} H \left( \pi \left( s_{e,t}; \theta \right) \right)$$

$$\nabla_{\theta_{v}}^{V} \approx \nabla_{\theta_{v}} \frac{1}{n_{e} \cdot t_{\text{max}}} \sum_{e=1}^{n_{e}} \sum_{t=1}^{t_{\text{max}}} \left( Q^{(t_{\text{max}} - t + 1)} \left( s_{e,t}, a_{e,t}; \theta, \theta_{v} \right) - V \left( s_{e,t}; \theta_{v} \right) \right)$$
(8)

(8)

# 3.3 Parallel Advantage Actor-Cr 23 K-means(PAAC-K)

# plain text (0.96)

The PAAC-K model consists of a parallel advantage ac 24 critic, K-means, reward functions, and stepwise training

