# An Information Retrieval Example: Boolean Queries

**COMP3009J: Information Retrieval** 

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### Example: the Boolean style query

- William Shakespeare was a famous English playwright. Suppose we want to search for characters in his plays.
- Which plays of Shakespeare contain the words Brutus AND Caesar but NOT Calpurnia?
- As a first attempt, we could read the plays line-by-line to find those that contain **Brutus** and **Caesar** and then remove those that contain **Calpurnia**.



### Example: the Boolean style query

- "... we could read the plays line-by-line to find those that contain **Brutus** and **Caesar** and then remove those that contain **Calpurnia**."
- Why is that not the answer?
  - Slow (for large corpora)
  - Other operations (e.g., find the word **Romans** near **countrymen**) not feasible.
  - Ranked retrieval not possible (best documents shown first)
    - Later lectures!

A corpus is a collection of documents. The plural is corpora.



## Example: The Boolean style query Term-document incidence matrix

Instead, we store the information we need in some sort of data structure. Here is a term-document incidence matrix showing some of the words contained in some of Shakespeare's plays.

Plays (Documents)

|  |           | <b>Antony and Cleopatra</b> | <b>Julius Caesar</b> | The Tempest | Hamlet | Othello | Macbeth           |
|--|-----------|-----------------------------|----------------------|-------------|--------|---------|-------------------|
|  | Antony    | 1                           | 1                    | 0           | 0      | 0       | 1                 |
|  | Brutus    | 1                           | 1                    | 0           | 1      | 0       | 0                 |
|  | Caesar    | 1                           | 1                    | 0           | 1      | 1       | 1                 |
|  | Calpurnia | 0                           | 1                    | 0           | 0      | 1 if th | ne play           |
|  | Cleopatra | 1                           | 0                    | 0           | 0      |         | ains the          |
|  | mercy     | 1                           | 0                    | 1           | 1      |         |                   |
|  | worser    | 1                           | 0                    | 1           | 1      |         | ord, 0<br>erwise. |

## Example: The Boolean style query Incidence vectors

- So we have an **incidence vector** for each word.
  - It consists of 1s (for the plays it appears in) and 0s (for those it does not appear in).
  - e.g.

□ Brutus: 110100

**Caesar**: 110111

□ Calpurnia: 010000

|           | Antony and Cleopatra | <b>Julius Caesar</b> | The Tempest | Hamlet | Othello | Macbeth |
|-----------|----------------------|----------------------|-------------|--------|---------|---------|
| Antony    | 1                    | 1                    | 0           | 0      | 0       | 1       |
| Brutus    | 1                    | 1                    | 0           | 1      | 0       | 0       |
| Caesar    | 1                    | 1                    | 0           | 1      | 1       | 1       |
| Calpurnia | 0                    | 1                    | 0           | 0      | 0       | 0       |
| Cleopatra | 1                    | 0                    | 0           | 0      | 0       | 0       |
| mercy     | 1                    | 0                    | 1           | 1      | 1       | 1       |
| worser    | 1                    | 0                    | 1           | 1      | 1       | 0       |

## Example: The Boolean style query Operators

- Our query is: Brutus AND Caesar NOT Calpurnia
- To get "NOT Calpurnia", we get the complement of the incidence vector for Calpurnia (using the bitwise NOT operator, which changes all 1s to 0s and all 0s to 1s).
  - 001000 → 110111
- Now we can use the bitwise AND operator to combine our three vectors:
  - 110100 AND 110111 AND 101111 = 100100
- Referring back to the term-document incidence matrix, we see that the answer is: **Antony and Cleopatra**, **Hamlet**

#### Bigger collections

- This approach can be effective, but how well does it scale to larger collections?
  - Consider N = 1,000,000 documents, each with about 1,000 words.
  - Average 6 bytes per word including spaces and punctuation.
  - 6GB of data in the documents.
  - $\blacksquare$  Say there are M = 500,000 distinct terms among these.

#### Can't build the matrix

□ 500,000 x 1,000,000 matrix has **half-a-trillion** 0s and 1s.

■ But it has no more than one **billion** 1's. ◀



- matrix is extremely sparse.
- What's a better representation?
  - We only record the 1 positions, and not the 0s.
  - We will look at this in a later lecture.

#### Another problem: Ambiguous Queries

- Sometimes it is difficult to figure out what the information need was if we can only see the query: some queries are ambiguous.
- For example, if a user searches for "jaguar", documents that discuss luxury cars may appear to be relevant, but will be of no use to a user who is researching big cats.
- Similarly, a search for "bank" could be:
  - A river bank.
  - A financial institution.
  - A manoeuvre made by an aeroplane.

## Questions