Group 1 papers to be discussed in Class 8 (2021-03-25)

Josephat Kwak McIsaac Montano Naegelin



Analysis of Effects of Agriculture Intervention Using Propensity Score Matching

Peter Josephat (Corresponding author)

Dept. of Statistics, University of Dodoma

P.O. Box 338, Dodoma, Tanzania

Tel: 255-787-288-998 E-mail: mtakwimu@yahoo.com

Rose Likangaga

Local Government Training Institute (LGTI)

P. O. Box 1125, Dodoma, Tanzania

Tel: 255-784-505-491 E-mail: likangagar@yahoo.com

Received: March 29, 2015 Accepted: April 24, 2015

doi:10.5296/jas.v3i2.7339 URL: http://dx.doi.org/10.5296/jas.v3i2.7339

Abstract

Nowadays, the agriculture extension programmes are practiced in many parts of the world. There is a mixture of results about the effects of agriculture intervention programmes. The literature shows that the interventions are ineffective and have limited diffusion. On the other side, it shows that interventions are effective. Following different arguments about the effects of agriculture extension, this paper adopted Propensity Score Matching (PSM) to analyze the effects of District Agricultural Sector Investment Project (DASIP) using agriculture data.

The study was conducted in rural Tanzania areas. It covered five regions namely Kagera, Mwanza, Mara, Simiyu and Kigoma. The study focused on agro-ecological zone where corn is cultivated. Two methods which are questionnaire administration and direct oral interview were used to collect primary data. The collection of data using the questionnaire was done from both participants (359) and non-participants (519). Before running the independent t test, the estimation of propensity score was done using Logistic regression. Thirteen confounding variables were used to estimate propensity scores.



The effects of the intervention were analysed by considering four items namely the earnings from corn production, value of livestock owned, value of household assets owned, and value of farm assets owned. The results show that none of the four factors had significant result as the p values are greater than 0.05. This implies that the earning between farmers participating in DASIP are not significant different from those who do not participate in the programme. The study recommends that the group activities should last longer rather than changing them from time to time.

Keywords: Agriculture Extension programme, DASIP, Farmer Field School, Intervention, Propensity Score Matching (PSM)

1. Introduction

The agriculture plays a major role in economic development (Yeshwanth, 2008). Nowadays, agriculture extension programmes are practiced in many parts of the world. Such programmes are implemented because farmers lack direct linkage with advanced agricultural technology. It is through extensions where farmers are given knowledge, skills and motivation for farming. These are done through Farmer Field Schools (FFS) also called Participatory Group Farmers (PGFs) model.

The FFS started in Tanzania in the 1997 (Braun et al., 2006). The approach has been engineered by both government and non-governmental organizations. The government of Tanzania adopted the FFS approach in one of its project called District Agricultural Sector Investment Project (DASIP) in which this paper is focused. The DASIP is a six year project aimed at increasing the productivity and incomes of rural households in the project area within the overall framework of the Agricultural Sector Development Strategy (ASDS). The DASIP started in the 2006.

One of the main challenges that the extension and research is currently confronted with is the transfer of agricultural technology from the research stations to the farm lands (Dinpanah et al., 2010). There is a mixture of results about the effects of agriculture intervention programmes. The literature shows that the interventions are ineffective and have limited diffusion (see Quizon et al., 2001; Feder et al., 2003; and Rola et al., 2002). On the other side, the literature shows that FFS are effective (see Godtland et al., 2004; Van den Berg., 2004; Feder et al., 2003; Tripp et al, 2005; Erickson, 2003; and Ooi et al, 2005).

There is less common rigorous impact evaluations of agricultural extension interventions despite the vast literature dealing with issues related to agricultural extension (Waddington et al., 2010). Heinrich et al. (2010) argue that this is a result of several problems accompanied by the evaluation of the programmes. The problems include: establishing the counterfactual; need for an adequate comparison group; selection bias; and role of randomization (Duflo and Kremer, 2003). These problems can be solved by the use of statistical methods depending on the nature of the intervention programmes. Unfortunately, the data used in the past impact analyses did not define well the counterfactual factors. The comparison is done by just looking at two observation points that is, before and after.

The intervention programmes can either be random or non-random. The randomized design



Contents lists available at ScienceDirect

The American Journal of Surgery

journal homepage: www.americanjournalofsurgery.com



Bariatric surgery is associated with reduction in non-alcoholic steatohepatitis and hepatocellular carcinoma: A propensity matched analysis



Minyoung Kwak, J. Hunter Mehaffey, Robert B. Hawkins, Angel Hsu, Bruce Schirmer, Peter T. Hallowell*

University of Virginia Health System, Charlottesville, VA, USA

ARTICLE INFO

Article history:
Received 20 June 2019
Received in revised form
2 September 2019
Accepted 11 September 2019

Keywords:
Bariatric surgery
NASH
Non-alcoholic steatohepatitis
HCC
Hepatocellular carcinoma
Weight loss

ABSTRACT

Introduction: Obesity is a risk factor for non-alcoholic steatohepatitis (NASH) and hepatocellular carcinoma (HCC). Bariatric surgery can provide durable weight-loss, but little is known about the later development of NASH and HCC after surgery.

Methods: Bariatric surgery (n=3,410) and obese controls (n=46,873) from an institutional data repository were propensity score matched 1:1 by demographics, comorbidities, BMI, and socioeconomic factors. Comparisons were made through paired univariate analysis and conditional logistic regression. *Results:* Total of 4,112 patients were well matched with no significant baseline differences except initial BMI (49.0 vs 48.2, p=0.04). Bariatric group demonstrated fewer new-onset NASH (6 0.0% vs 10.3%, p<0.0001) and HCC (0.05% vs 0.34%, p=0.03) over a median follow-up of 7.1 years. After risk-adjustment, bariatric surgery was independently associated with reduced development of NASH (OR 0.52, p<0.0001).

Conclusions: Bariatric surgery is associated with reduced incidence of NASH and HCC in this large propensity matched cohort. This further supports the use of bariatric surgery for morbidly obese patients to ameliorate NASH cirrhosis and development of HCC.

© 2019 Published by Elsevier Inc.

Introduction

Incidences of non-alcoholic steatohepatitis (NASH) and hepatocellular carcinoma (HCC) are increasing throughout the United States. A large contributing factor may be the rise of obese adults, as this trend is increasingly affecting adolescents, as well. The progression of NASH from non-alcoholic fatty liver disease (NAFLD) occurs in approximately 10–25% of patients and can lead to significant risks in liver-related mortality due to the development of hepatic fibrosis, cirrhosis, and hepatocellular carcinoma (HCC). It is projected that more than 10% weight loss is needed in order to improve NASH, however, weight loss modification through lifestyle changes alone account for only 3–5% total body weight loss on average and does not provide durable weight loss over time. Two first-line medications (Vitamin E and pioglitazone) have been used

to augment this effect, however there are concerns due their association with other cancers and morality risk, also in their lack of improving hepatic fibrosis. Additionally, the effectiveness of these medications was only studied in non-diabetic patients which leaves limited options for the greater proportion of obese patients that are also diabetic.

Bariatric surgery has shown to provide sustained weight loss throughout the course of a patient's lifetime, ⁸ and most patients who are candidates for bariatric surgery have some degree of NAFLD.⁶ Previous studies have shown that bariatric surgery not only improves steatosis in NASH, but may also improve hepatic fibrosis even in patients who may have other metabolic diseases including diabetes mellitus type II (DM2),^{5,7,9,10} However, this was not a consistent finding since a few studies also showed worsening hepatic fibrosis over time.^{5,7,9–11} It is due to this concern that despite guidelines suggesting the benefit of bariatric surgery in reducing the progression to NASH, there is still no definitive recommendation on its routine use.^{6,11} This may, in part, have contributed to the overall decrease in the number of bariatric

^{*} Corresponding author. E-mail address: PTH2F@hscmail.mcc.virginia.edu (P.T. Hallowell).

RESEARCH

Association of delay of urgent or emergency surgery with mortality and use of health care resources: a propensity score-matched observational cohort study

Daniel I. McIsaac MD MPH, Karim Abdulla MD, Homer Yang MD, Sudhir Sundaresan MD, Paula Doering RN, Sandeep Green Vaswani MBA, Kednapa Thavorn MPharm PhD, Alan J. Forster MD MSc

■ Cite as: CMAJ 2017 July 10;189:E905-12. doi: 10.1503/cmaj.160576

See related article at www.cmaj.ca/lookup/doi/10.1503/cmaj.170172

ABSTRACT

BACKGROUND: Delay of surgery for hip fracture is associated with increased risk of morbidity and mortality, but the effects of surgical delays on mortality and resource use in the context of other emergency surgeries is poorly described. Our objective was to measure the independent association between delay of emergency surgery and in-hospital mortality, length of stay and costs.

METHODS: We identified all adult patients who underwent emergency noncardiac surgery between January 2012 and October 2014 at a single tertiary care centre. Delay of surgery was defined as the time from surgical book-

ing to operating room entry exceeding institutionally defined acceptable wait times, based on a standardized 5-level priority system that accounted for surgery type and indication. Patients with delayed surgery were matched to those without delay using propensity scores derived from variables that accounted for details of admission and the hospital stay, patient characteristics, physiologic instability, and surgical urgency and risk.

RESULTS: Of 15 160 patients, 2820 (18.6%) experienced a delay. The mortality rates were 4.9% (138/2820) for those with delay and 3.2% (391/12 340) for those without delay (odds ratio [OR]

1.59, 95% confidence interval [CI] 1.30–1.93). Within the propensity-matched cohort, delay was significantly associated with mortality (OR 1.56, 95% CI 1.18–2.06), increased length of stay (incident rate ratio 1.07, 95% CI 1.01–1.11) and higher total costs (incident rate ratio 1.06, 95% CI 1.01–1.11).

INTERPRETATION: Delayed operating room access for emergency surgery was associated with increased risk of inhospital mortality, longer length of stay and higher costs. System issues appeared to underlie most delays and must be addressed to improve the outcomes of emergency surgery.

atients undergoing emergency surgery are at high risk of adverse outcomes.¹ Although patient characteristics^{2,3} and surgical indication^{4,5} are the most important risk factors, system factors, such as delayed access to the operating room, also affect outcomes. In hip fracture surgery, delay is associated with morbidity and mortality,^{6,7} but for other surgeries, the effect of delay on outcomes is unclear.⁸⁻¹³ Because it is very expensive to expand or reorganize operating room resources to improve access,¹⁴⁻¹⁶ understanding the relation between delay and outcomes for all types of emergency surgery is needed.

The association between surgical delay and outcome may be obscured by confounding. The indication for surgery, comorbidities and physiologic disturbances may influence both the risk of delay and the risk of adverse outcomes. Furthermore, ascertainment of delay is a challenge. Many studies measure surgical wait time as the time from admission to surgery, but this is misleading, because inpatient work-up is often required to determine the risks and potential benefits of surgery.

The purpose of this study was to determine the independent association of surgical delay with inpatient mortality, postoperative length of stay and total costs of hospital care.

Differences in sexually transmitted infection risk comparing preexposure prophylaxis users and propensity score matched historical controls in a clinic setting

Michalina A. Montaño^a, Julia C. Dombrowski^{b,e}, Sayan Dasgupta^c, Matthew R. Golden^{a,b,e}, Lisa E. Manhart^{a,d}, Lindley A. Barbee^{b,e}, Ann Duerr^{a,c,d} and Christine M. Khosropour^a

Objective: The aim of this study was to determine whether MSM using preexposure prophylaxis (PrEP) are at a higher risk of bacterial sexually transmitted infections (STIs) than MSM not using PrEP.

Design: Secondary analysis of longitudinal STI data obtained from MSM attending an STD Clinic in Seattle, Washington, USA, October 2011–September 2017.

Methods: We identified patients obtaining PrEP through the STD Clinic, and used propensity score matching to select a historical group of similar patients not using PrEP for comparison. We linked patient data with STI surveillance data to compare the incidence of chlamydia, gonorrhoea and early syphilis, and time to first symptomatic STI among PrEP users and nonusers.

Results: Three hundred and sixty-five PrEP users who picked up prescriptions and returned for follow-up and 730 propensity score matched nonusers were included in the analysis. Adjusted incidence rate ratios (alRRs) for chlamydia, gonorrhoea and early syphilis were 3.2 [95% confidence interval (95% CI): 1.9–5.3], 2.8 (95% CI: 1.7–4.6) and 2.9 (95% CI: 1.5 – 5.6), respectively, comparing PrEP users to nonusers. Time to first symptomatic STI was shorter among PrEP users (120 days, 95% CI: 77 – 171) than among nonusers (185 days, 95% CI: 163–256).

Conclusion: Among MSM on PrEP, we observed a higher incidence of STIs and faster time to first symptomatic STI than MSM not using PrEP. PrEP may be a contributing factor in increasing STI rates among MSM.

Copyright © 2019 Wolters Kluwer Health, Inc. All rights reserved.

AIDS 2019, 33:1773-1780

Keywords: HIV, MSM, preexposure prophylaxis, sexually transmitted infections

Introduction

MSM are disproportionately impacted by HIV in the United States, accounting for 86% of new infections among men in 2016 [1]. Preexposure prophylaxis (PrEP)

reduces the risk of HIV acquisition by up to 92% in MSM [2–4], is recommended by the Centers for Disease Control and Prevention (CDC) for HIV prevention among sexually active MSM [5] and is offered through a variety of clinical settings in the U.S. [6]. MSM are also

Tel: +1 206 790 9576; fax: +1 206 543 8525; e-mail: micham@uw.edu Received: 13 December 2018; revised: 3 April 2019; accepted: 12 April 2019.

DOI:10.1097/QAD.0000000000002281

^aDepartment of Epidemiology, ^bDepartment of Medicine, University of Washington, ^cFred Hutchinson Cancer Research Center, ^dDepartment of Global Health, University of Washington, and ^ePublic Health – Seattle and King County HIV/STD Program, Seattle, Washington, USA.

Correspondence to Michalina A. Montaño, Department of Epidemiology, University of Washington, 1959 NE Pacific Street, Box 357236, Seattle, WA 98195, USA.

JAMA Neurology | Original Investigation

Association of Rituximab Treatment With Disability Progression Among Patients With Secondary Progressive Multiple Sclerosis

Yvonne Naegelin, MD; Peter Naegelin; Stefanie von Felten, PhD; Johannes Lorscheider, MD; Judith Sonder, MD; Bernard M. J. Uitdehaag, MD, PhD; Barbara Scotti; Chiara Zecca, MD; Claudio Gobbi, MD; Ludwig Kappos, MD; Tobias Derfuss. MD

IMPORTANCE Therapeutic options for patients with secondary progressive multiple sclerosis (SPMS) are limited.

OBJECTIVE To analyze disability progression in patients with SPMS treated with rituximab compared with matched control patients never treated with rituximab.

DESIGN, SETTING, AND PARTICIPANTS This retrospective cohort study analyzed data obtained from patients with SPMS at 3 multiple sclerosis centers located in Basel and Lugano, Switzerland, and Amsterdam, the Netherlands, from 2004 to 2017. Patients were included for analysis if they had received a diagnosis of SPMS, were treated (57 eligible; 54 included) or never treated (504 eligible; 59 included) with rituximab, and had at least 1 follow-up visit. The variables used for propensity score matching were sex, age, Expanded Disability Status Scale (EDSS) score, and disease duration. Follow-up duration was up to 10 years, with a mean (SD) of 3.5 (2.6) years for rituximab-treated patients and 5.4 (2.4) years for controls in the total cohort and a mean (SD) of 3.5 (2.7) years for rituximab-treated patients and 4.8 (2.2) years for controls in the matched cohort.

EXPOSURES Comparing EDSS score progression in patients with SPMS (treated with rituximab vs not treated with rituximab) using propensity score matching.

MAIN OUTCOMES AND MEASURES The primary end point was progression of EDSS score after baseline, and the secondary end point was time to confirmed disability progression.

RESULTS After 1:1 propensity score matching, 44 matched pairs (88 patients) were included in the analysis. At baseline, patients treated with rituximab had a mean (SD) age of 49.7 (10.0) years, mean (SD) disease duration of 18.2 (9.4) years, and mean (SD) EDSS score of 5.9 (1.4), and 26 (59%) were women, whereas controls had a mean (SD) age of 51.3 (7.4) years, mean (SD) disease duration of 19.4 (8.7) years, and mean (SD) EDSS score of 5.70 (1.29), and 27 (61%) were women. In the covariate-adjusted analysis of the matched set, patients with SPMS who were treated with rituximab had a significantly lower EDSS score during a mean (SD) follow-up of 3.5 (2.7) years (mean difference, -0.52; 95% CI, -0.79 to -0.26; P < .001). Time to confirmed disability progression was significantly delayed in the rituximab-treated group (hazard ratio, 0.49; 95% CI, 0.26-0.93; P = .03).

CONCLUSIONS AND RELEVANCE In this study, patients with SPMS treated with rituximab had a significantly lower EDSS score for up to 10 years of follow-up and a significantly delayed confirmed progression compared with matched controls, suggesting that B-cell depletion by rituximab may be therapeutically beneficial in these patients. A prospective randomized clinical trial with a better level of evidence is needed to confirm the efficacy of rituximab in such patients.

JAMA Neurol. 2019;76(3):274-281. doi:10.1001/jamaneurol.2018.4239 Published online January 7, 2019.

Supplemental content

Author Affiliations: Neurologic Clinic and Policlinic, University Hospital and University of Basel, Basel, Switzerland (Y. Naegelin, P. Naegelin, Lorscheider, Kappos, Derfuss); Clinical Trial Unit, Department of Clinical Research. University of Basel. University Hospital Basel, Basel, Switzerland (von Felten); MS Center Amsterdam, Department of Neurology, VU University Medical Center, Amsterdam, the Netherlands (Sonder, Uitdehaag): Multiple Sclerosis Center, Neurocenter of Southern Switzerland, Ospedale Regionale di Lugano, Lugano, Switzerland (Scotti, Zecca, Gobbi).

Corresponding Author: Yvonne Naegelin, MD, Neurologic Clinic and Policlinic, University Hospital and University of Basel, Petersgraben 4, 4031 Basel, Switzerland (yvonne. naegelin@usb.ch).

jamaneurology.com

Group 2 papers to be discussed in Class 9 (2021-04-01)

Branigan Eshleman Waibel





Original Investigation | Neurology

Association Between Hormone-Modulating Breast Cancer Therapies and Incidence of Neurodegenerative Outcomes for Women With Breast Cancer

Gregory L. Branigan, BS; Maira Soto, PhD; Leigh Neumayer, MD, MS; Kathleen Rodgers, PhD; Roberta Diaz Brinton, PhD

Abstract

IMPORTANCE The association between exposure to hormone-modulating therapy (HMT) as breast cancer treatment and neurodegenerative disease (NDD) is unclear.

OBJECTIVE To determine whether HMT exposure is associated with the risk of NDD in women with breast cancer.

DESIGN, SETTING, AND PARTICIPANTS This retrospective cohort study used the Humana claims data set from January 1, 2007, to March 31, 2017. The Humana data set contains claims from privatepayer and Medicare insurance data sets from across the United States with a population primarily residing in the Southeast. Patient claims records were surveyed for a diagnosis of NDD starting 1 year after breast cancer diagnosis for the duration of enrollment in the claims database. Participants were 57 843 women aged 45 years or older with a diagnosis of breast cancer. Patients were required to be actively enrolled in Humana claims records for 6 months prior to and at least 3 years after the diagnosis of breast cancer. The analyses were conducted between January 1 and 15, 2020.

EXPOSURE Hormone-modulating therapy (selective estrogen receptor modulators, estrogen receptor antagonists, and aromatase inhibitors).

MAIN OUTCOMES AND MEASURES Patients receiving HMT for breast cancer treatment were identified. Survival analysis was used to determine the association between HMT exposure and diagnosis of NDD. A propensity score approach was used to minimize measured and unmeasured selection bias.

RESULTS Of the 326 485 women with breast cancer in the Humana data set between 2007 and 2017, 57 843 met the study criteria. Of these, 18 126 (31.3%; mean [SD] age, 76.2 [7.0] years) received HMT, whereas 39 717 (68.7%; mean [SD] age, 76.8 [7.0] years) did not receive HMT. Mean (SD) follow-up was 5.5 (1.8) years. In the propensity score-matched population, exposure to HMT was associated with a decrease in the number of women who received a diagnosis of NDD (2229 of 17 878 [12.5%] vs 2559 of 17 878 [14.3%]; relative risk, 0.89; 95% CI, 0.84-0.93; P < .001), Alzheimer disease (877 of 17 878 [4.9%] vs 1068 of 17 878 [6.0%]; relative risk, 0.82; 95% CI, 0.75-0.90; P < .001), and dementia (1862 of 17 878 [10.4%] vs 2116 of 17 878 [11.8%]; relative risk, 0.88; 95% CI, 0.83-0.93; P < .001). The number needed to treat was 62.51 for all NDDs, 93.61 for Alzheimer disease, and 69.56 for dementia.

CONCLUSIONS AND RELEVANCE Among patients with breast cancer, tamoxifen and steroidal aromatase inhibitors were associated with a decrease in the number who received a diagnosis of NDD, specifically Alzheimer disease and dementia.

JAMA Network Open. 2020;3(3):e201541. doi:10.1001/jamanetworkopen.2020.1541

Key Points

Question Is hormone-modulating therapy associated with neurodegenerative disease in women with breast cancer?

Findings In this cohort study of 57 843 perimenopausal- to postmenopausalaged women with breast cancer, exposure to hormone-modulating therapy (tamoxifen and aromatase inhibitors, especially exemestane) was associated with a significant decrease in the number of women who received a diagnosis of neurodegenerative disease, most specifically Alzheimer disease.

Meaning With the increased life expectancy seen after treatment, therapy selection for breast cancer should include a careful discussion of the risks and benefits of each treatment option that may be associated with a reduced risk of neurodegenerative disease.

Supplemental content

Author affiliations and article information are listed at the end of this article

Open Access. This is an open access article distributed under the terms of the CC-BY License.

Auditing: A Journal of Practice & Theory Vol. 33, No. 4 November 2014 pp. 197–219

Do Big 4 Auditors Provide Higher Audit Quality after Controlling for the Endogenous Choice of Auditor?

John Daniel Eshleman and Peng Guo

SUMMARY: Recent research suggests that Big 4 auditors do not provide higher audit quality than other auditors, after controlling for the endogenous choice of auditor. We reexamine this issue using the incidence of accounting restatements as a measure of audit quality. Using a propensity-score matching procedure similar to that used by recent research to control for clients' endogenous choice of auditor, we find that clients of Big 4 audit firms are less likely to subsequently issue an accounting restatement than are clients of other auditors. In additional tests, we find weak evidence that clients of Big 4 auditors are less likely to issue accounting restatements than are clients of Mid-tier auditors (Grant Thornton and BDO Seidman). Taken together, the evidence suggests that Big 4 auditors do perform higher quality audits.

Keywords: Big 4 auditor; audit quality; propensity-score matching; audit quality proxies.

JEL Classifications: M41; M42.

Data Availability: All data are publicly available from sources identified in the text.

INTRODUCTION

ne of the earliest theories in the audit literature is that Big 4¹ auditors, due to their larger size and better training programs, provide higher audit quality than other auditors. The argument is that larger audit firms have more reputation to lose by sacrificing their independence on any given audit engagement (DeAngelo 1981). In addition, larger audit firms have

John Daniel Eshleman is an Assistant Professor at Oklahoma State University, and Peng Guo is a Ph.D. Student at Louisiana State University.

We thank Donald J. Stokes, two anonymous reviewers, Qiang Cheng, Neil Bhattacharya, Jae Bum Kim, Chee Yeow Lim, Jeff Ng, Tharindra Ranasinghe, Ken Reichelt, Jared Soileau, Yoonseok Zang, and all workshop participants at Singapore Management University. All errors that remain are our own.

Editor's note: Accepted by Donald J. Stokes.

Submitted: February 2013 Accepted: April 2014 Published Online: April 2014

American Accounting Association

DOI: 10.2308/ajpt-50792

¹ We use the term Big 4 to refer to the Big 5 or Big 4 accounting firms.



Contents lists available at ScienceDirect

Social Science Research

journal homepage: www.elsevier.com/locate/ssresearch



Occupational status benefits of studying abroad and the role of occupational specificity – A propensity score matching approach



Stine Waibel^{a,*}, Knut Petzold^b, Heiko Rüger^a

- ^a Federal Institute for Population Research (BiB), Friedrich-Ebert-Allee 4, 65185 Wiesbaden, Germany
- ^b Ruhr-Universität Bochum, Faculty of Social Science, Universitätsstraße 150, 44801 Bochum, Germany

ARTICLE INFO

Keywords:
Study abroad
International student mobility
Labor market returns
Occupational status
Occupational specificity
Propensity score matching

ABSTRACT

Occupational status benefits of student mobility remain uncertain, despite increasing interest in the implications of international student mobility for the reproduction of societal inequality. Since mobile young people are a selective group in terms of socio-economic and achievement-oriented factors, we apply propensity score techniques to test whether German higher education graduates who did or did not study abroad differ in occupational status (based on the Socio-Economic Index of Occupational Status) three years after graduation. Analyses are based on multi-cohort representative data of the German population (Working and Learning in a Changing World). Results confirm a positively biased effect of mobility on early career occupational status driven by compositional differences. Subgroup analyses show that even when accounting for this bias, occupational status returns to mobility are positive for those graduating in occupationally unspecific fields of study. There are no returns for those graduating in occupationally specific fields of study. Findings also suggest that the effect of studying abroad is not homogeneous across the study population. Individuals less likely to study abroad are at the same time more likely to reap the occupational benefits from this experience.

1. Introduction and research question

As international exchange schemes and fellowships have gained popularity and as the international education market rapidly grew into a 'multi-billion dollar industry' (Waters, 2006, 180) different disciplines developed a sustained interest into the characteristics, determinants, and consequences of studying abroad during higher education. Up to now, most research has been driven by the question *who* studies abroad (or who doesn't) closely related to discussions about growing horizontal education-based stratification (Lörz et al., 2016; Triventi, 2013). The group of students studying abroad is highly socially selective in terms of the economic, cultural, and social capital of the students' families (Brooks and Waters, 2010; Netz and Finger, 2016; Gerhards and Hans, 2013).

Given that the share of the population reaching secondary and postsecondary levels of education has increased substantially across the educationally expanding Western world, competitions for privileged positions in society have intensified. It is likely that horizontal characteristics of education such as international mobility become more important erecting new dimensions of social stratification and new types of social inequality (Gerber and Cheung, 2008; Reimer and Pollak, 2010, 427). In fact, the benefits of international mobility are often taken for granted. Being mobile possibly strengthens graduates' skills, resources, and competitive advantage on the job market and for this reason may be valued by individuals as well as potential employers (e.g., Opper, 1991).

Based on these observations and assumptions, it is essential to figure out whether studying abroad actually yields returns in terms

^{*} Corresponding author.

E-mail address: stine.waibel@bib.bund.de (S. Waibel).

Group 3 papers to be discussed in Classes 10 and 11 (2021-04-08 and 2021-04-15))

Admon Becher Freedberg Preisser Retelsdorf Roze

ORIGINAL RESEARCH

Emulating a Novel Clinical Trial Using Existing Observational Data Predicting Results of the PreVent Study

Andrew J. Admon^{1,2}, John P. Donnelly^{2,3,4}, Jonathan D. Casey⁵, David R. Janz⁶, Derek W. Russell⁷, Aaron M. Joffe⁸, Derek J. Vonderhaar^{9,10}, Kevin M. Dischert⁹, Susan B. Stempek¹¹, James M. Dargin¹¹, Todd W. Rice⁵, Theodore J. Iwashyna^{1,2,4,12‡}, and Matthew W. Semler⁵; on behalf of the Pragmatic Critical Care Research Group*

¹Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, ²Institute for Healthcare Policy and Innovation, ³Department of Learning Health Sciences, and ¹²Institute for Social Research, University of Michigan, Ann Arbor, Michigan; ⁴Veterans Affairs Center for Clinical Management Research, Health Services Research and Development Center of Innovation, Ann Arbor, Michigan; ⁵Division of Pulmonary, Allergy, and Critical Care Medicine, Vanderbilt University Medical Center, Nashville, Tennessee; ⁶Section of Pulmonary/Critical Care & Allergy/Immunology, Louisiana State University School of Medicine, New Orleans, Louisiana; ⁷Division of Pulmonary, Allergy, & Critical Care Medicine, University of Alabama at Birmingham, Birmingham, Alabama; ⁸Department of Anesthesiology and Pain Medicine, University of Washington, Seattle, Washington; ⁹Department of Pulmonary and Critical Care Medicine, Ochsner Health System New Orleans, New Orleans, Louisiana; ¹⁰Department of Medicine, Section of Emergency Medicine, Louisiana State University School of Medicine–New Orleans, New Orleans, Louisiana; and ¹¹Department of Medicine, Division of Pulmonary and Critical Care Medicine, Lahey Hospital and Medical Center, Burlington, Massachusetts

ORCID ID: 0000-0002-7432-3764 (A.J.A.).

Abstract

Rationale: "Target trial emulation" has been proposed as an observational method to answer comparative effectiveness questions, but it has rarely been attempted concurrently with a randomized clinical trial (RCT).

Objectives: We tested the hypothesis that blinded analysts applying target trial emulation to existing observational data could predict the results of an RCT.

Methods: PreVent (Preventing Hypoxemia with Manual Ventilation during Endotracheal Intubation) was a multicenter RCT examining the effects of positive-pressure ventilation during tracheal intubation on oxygen saturation and severe hypoxemia. Analysts unaware of PreVent's results used patient-level data from three previous trials evaluating airway management interventions to emulate PreVent's eligibility criteria, randomization procedure, and statistical analysis. After PreVent's release, results of this blinded observational analysis were compared with those of the RCT. Difference-in-differences estimates for comparison of treatment effects between the observational analysis and the PreVent trial are reported on the absolute scale.

Results: Using observational data, we were able to emulate PreVent's randomization procedure to produce balanced groups for comparison. The

lowest oxygen saturation during intubation was higher in the positivepressure ventilation group than the no positive-pressure ventilation group in the observational analysis (n = 360; mean difference = 1.8%; 95% confidence interval [CI] = -1.0 to 4.6) and in the PreVent trial (n = 401; mean difference = 3.9%; 95% CI = 1.4 to 6.4), though the observational analysis could not exclude no difference. Difference-in-differences estimates comparing treatment effects showed reasonable agreement for lowest oxygen saturation between the observational analysis and the PreVent trial (mean difference = -2.1%; 95% CI = -5.9 to 1.7). Positivepressure ventilation resulted in lower rates of severe hypoxemia in both the observational analysis (risk ratio = 0.60; 95% CI = 0.38 to 0.93) and in the PreVent trial (risk ratio = 0.48; 95% CI = 0.30 to 0.77). The absolute reduction in the incidence of severe hypoxemia with positive-pressure ventilation was similar in the observational analysis (9.4%) and the PreVent trial (12.0%), though the difference between these estimates had wide CIs (mean difference = 2.5%; 95% CI = -8.0 to 13.6%).

Conclusions: Applying target trial emulation methods to existing observational data for the evaluation of a novel intervention produced results similar to those of a randomized trial. These findings support the use of target trial emulation for comparative effectiveness research.

Keywords: clinical trials; intubation; epidemiology; causal inference; target trial emulation

(Received in original form March 18, 2019; accepted in final form April 29, 2019)

*A complete list of members of the Pragmatic Critical Care Research Group Investigators may be found in the online supplement.

Supported by U.S. National Heart, Lung, and Blood Institute grants T32HL007749 (A.J.A.), K12HL138039 (J.P.D.), T32HL087738 (J.D.C.), and K23HL143053 (M.W.S.), and by U.S. Department of Veterans Affairs Health Services Research and Development grant 17-045 (T.J.I.).

Ann Am Thorac Soc Vol 16, No 8, pp 998–1007, Aug 2019 Copyright © 2019 by the American Thoracic Society DOI: 10.1513/AnnalsATS.201903-2410C

Internet address: www.atsjournals.org

[‡]T.J.I. is a Section Editor of *AnnalsATS*. His participation complies with American Thoracic Society requirements for recusal from review and decisions for authored works.





Brown adipose tissue is associated with cardiometabolic health

Tobias Becher ^{1,2,3}, Srikanth Palanisamy ^{1,4}, Daniel J. Kramer ^{1,4,5}, Mahmoud Eljalby ^{1,4}, Sarah J. Marx ¹, Andreas G. Wibmer ⁶, Scott D. Butler ⁷, Caroline S. Jiang ⁸, Roger Vaughan ^{4,8}, Heiko Schöder ⁶, Allyn Mark ⁹ and Paul Cohen ¹ □ ¹

White fat stores excess energy, whereas brown and beige fat are thermogenic and dissipate energy as heat. Thermogenic adipose tissues markedly improve glucose and lipid homeostasis in mouse models, although the extent to which brown adipose tissue (BAT) influences metabolic and cardiovascular disease in humans is unclear^{1,2}. Here we retrospectively categorized 134,529 ¹⁸F-fluorodeoxyglucose positron emission tomography-computed tomography scans from 52,487 patients, by presence or absence of BAT, and used propensity score matching to assemble a study cohort. Scans in the study population were initially conducted for indications related to cancer diagnosis, treatment or surveillance, without previous stimulation. We report that individuals with BAT had lower prevalences of cardiometabolic diseases, and the presence of BAT was independently correlated with lower odds of type 2 diabetes, dyslipidemia, coronary artery disease, cerebrovascular disease, congestive heart failure and hypertension. These findings were supported by improved blood glucose, triglyceride and high-density lipoprotein values. The beneficial effects of BAT were more pronounced in individuals with overweight or obesity, indicating that BAT might play a role in mitigating the deleterious effects of obesity. Taken together, our findings highlight a potential role for BAT in promoting cardiometabolic health.

As early as 2003, reports³ described increased uptake of the glucose analog ¹⁸F-fluorodeoxyglucose (¹⁸F-FDG) on positron emission tomography (PET) scans in areas corresponding to supraclavicular fat on computed tomography (CT) images, suggesting the presence of metabolically active BAT in adult humans. In 2009, a series of papers confirmed the presence of active BAT in adults, which correlated with lower body mass index (BMI), decreased age, colder outdoor temperature, female sex and decreased glucose levels⁴⁻⁶. Since then, small prospective studies in healthy humans have demonstrated that cold-activated BAT is associated with increased energy expenditure and enhanced disposal of glucose and free fatty acids^{7,8}. Although these effects have generated enthusiasm for BAT as a therapeutic target for obesity and associated diseases, these studies have been too small to definitively address whether BAT is a clinically meaningful modulator of metabolic and cardiovascular disease in humans.

To address the relationship of BAT with metabolic and cardio-vascular diseases, we reviewed 134,529 ¹⁸F-FDG positron emission

tomography–computed tomography (PET/CT) reports from 52,487 patients generated between 1 June 2009 and 31 March 2018 at Memorial Sloan Kettering Cancer Center (MSKCC) (Fig. 1a). 18 F-FDG PET/CT was conducted for cancer diagnosis, staging, monitoring of treatment response and surveillance, and it is MSKCC protocol to record BAT status in each scan. BAT was reported in 7,923 (5.9%) 18 F-FDG PET/CT scans (Fig. 1b and Supplementary Table 1) in 5,070 (9.7%) patients (Fig. 1c and Supplementary Table 2), with reporting consistent from 2009 to 2018 (Extended Data Fig. 1). BAT was more prevalent among women (13.8 versus 4.9%, P < 0.0001), decreased with age (r = -0.9850, P < 0.0001) and was inversely correlated with ambient temperature (r = -0.6993, P < 0.0001) and BMI (r = -0.9032, P < 0.0001), in accord with earlier, smaller retrospective studies (Fig. 1c–f and Extended Data Fig. 2a,b) 9,10 .

To assess accuracy of reporting, all scans conducted in 2016 with reported BAT were manually reviewed (Methods), and BAT activity was measured in six depots (cervical, supraclavicular, axillary, mediastinal, paraspinal and abdominal) (Fig. 1g). Of the 1,139 scans with brown fat identified in 2016, 1,136 (99.7%) showed increased ¹⁸F-FDG uptake in regions identified as fat on CT, while 3 (0.3%) were false-positive and reported resolution of previously detected BAT. In total, 1,091 (95.8%) scans met Brown Adipose Reporting Criteria in Imaging STudies (BARCIST 1.0) criteria in terms of ¹⁸F-FDG uptake above the recommended threshold of standardized uptake value (SUV) normalized to body mass, $\geq 1.5 \,\mathrm{g\,ml^{-1}}$ (ref. 11). As previously reported¹², detected BAT activity was more prevalent in the cervical (81.5%) and supraclavicular depots (87.9%) compared with paraspinal (58.2%), mediastinal (50.1%), axillary (31.4%) and abdominal depots (21.1%) (Fig. 1h). Maximum BAT activity was higher in the supraclavicular depot (5.4 g ml⁻¹, interquartile range (IQR) 3.6-8.0) compared with paraspinal $(4.7 \,\mathrm{g\,ml^{-1}}, \,\mathrm{IQR} \,3.3-6.7,$ P < 0.0001), mediastinal (4.8 g ml⁻¹, IQR 3.5-7.0, P = 0.0185) and axillary depots $(4.1 \,\mathrm{g}\,\mathrm{ml}^{-1}, \mathrm{IQR}\,3.0-5.6, P < 0.0001)$ (Fig. 1i). Lastly, maximum measured BAT activity positively correlated with the number of depots with BAT activity (r=0.6510, P<0.0001), indicating an association between abundance and activity (Fig. 1j). Patients were then categorized by presence or absence of BAT (BAT+ and BAT-, respectively) based on ¹⁸F-FDG uptake on PET/ CT, and an index scan was assigned as a reference point for data collection (Fig. 1a and described in the Methods). In patients without a BAT signature on any scan, the first ¹⁸F-FDG PET/CT scan served

¹Laboratory of Molecular Metabolism, The Rockefeller University, New York, NY, USA. ²DZHK (German Centre for Cardiovascular Research), Partner Site Heidelberg/Mannheim, Mannheim, Germany. ³Division of Cardiology, First Department of Medicine, University Medical Center Mannheim, Mannheim, Germany. ⁴Weill Cornell Medicine, New York, NY, USA. ⁵Weill Cornell/Rockefeller/Sloan Kettering Tri-Institutional MD-PhD Program, New York, NY, USA. ⁶Department of Radiology, Memorial Sloan Kettering Cancer Center, New York, NY, USA. ⁷Department of Biomedical Sciences, College of Veterinary Medicine, Cornell University, Ithaca, NY, USA. ⁸Center for Clinical and Translational Science, The Rockefeller University, New York, NY, USA. ⁹Department of Internal Medicine, Carver College of Medicine, University of Iowa, Iowa City, IA, USA. ⁸e-mail: pcohen@rockefeller.edu

BRIEF COMMUNICATIONS

Famotidine Use Is Associated With Improved Clinical Outcomes in Hospitalized COVID-19 Patients: A Propensity Score Matched Retrospective Cohort Study



Daniel E. Freedberg, ¹ Joseph Conigliaro, ^{2,3} Timothy C. Wang, ¹ Kevin J. Tracey, ⁴ Michael V. Callahan, ^{5,6} and Julian A. Abrams, ¹ on behalf of the Famotidine Research Group

¹Division of Digestive and Liver Diseases, Columbia University Irving Medical Center-New York Presbyterian Hospital, New York, New York; ²Division of General Internal Medicine, Department of Medicine, Northwell Health, Manhasset, New York; ³Zucker School of Medicine at Hofstra/Northwell, Hempstead, New York; ⁴Feinstein Institutes for Medical Research, Northwell Health, Manhasset, New York; ⁵Division of Infectious Diseases, Massachusetts General Hospital, Boston, Massachusetts; and ⁶Office of the Assistant Secretary for Public Health Preparedness and Response, U.S. Department of Health and Human Services, Washington, DC

See Covering the Cover synopsis on page 803.

Keywords: Coronavirus 2019; SARS-CoV-2; Famotidine; Histamine-2 Receptor Antagonists.

oronavirus Disease 2019 (COVID-19) caused 2 million cases and more than 150,000 deaths worldwide as of mid-April 2020. Clinical trials are under way to assess the efficacy of a variety of antiviral drugs; however, many of these drugs have toxicities and thus far no drug has been proven to improve outcomes in patients with COVID-19.

Famotidine is a histamine-2 receptor antagonist that suppresses gastric acid production. In vitro, famotidine inhibits human immunodeficiency virus replication. Recently, Wu et al. Used computational methods to predict structures of proteins encoded by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) genome and identified famotidine as one of the drugs most likely to inhibit the 3-chymotrypsin-like protease (3CL^{pro}), which processes proteins essential for viral replication. We hypothesized that famotidine would be associated with improved clinical outcomes among hospitalized patients with COVID-19. To explore this, we performed a retrospective cohort study at a single academic center located at the epicenter of the COVID-19 pandemic in the United States.

Methods

Complete methods are available in the Supplementary Materials. In brief, adults were eligible for the study if they were admitted to our institution from February 25, 2020, to April 13, 2020, and tested positive for SARS-CoV-2 within no more than 72 hours following admission. Patients were excluded if they died or were intubated within 48 hours following hospital admission. The primary exposure was use of famotidine (any dose, form of administration, or duration), classified as present if famotidine was received within 24 hours of hospital admission and otherwise as absent. The primary outcome was a composite of death or endotracheal intubation from hospital day 2 to day 30 (intubation-free survival). This follow-up period avoided

immortal time bias because the exposure was classified based on the 24-hour period after hospitalization and the at-risk period began on hospital day 2. Cox proportional hazards modeling was performed on the full cohort, and a matched subset was examined with propensity scoring matching to balance baseline characteristics based on use of famotidine.

Results

Population and Use of Famotidine

A total of 1620 patients met criteria for analysis, including 84 patients (5.1%) who received famotidine within 24 hours of hospital admission. Home use of famotidine was documented on admission medication reconciliation in 15% of those who used famotidine while hospitalized compared with 1% of those who did not (P < .01). Twenty-eight percent of all famotidine doses were intravenous; 47% were 20 mg, 35% were 40 mg, and 17% were 10 mg. Famotidine users received a median 5.8 days of drug for a total median dose of 136 mg (63–233 mg). There were minimal differences comparing patients who used famotidine with those who did not, and balance between the groups was further improved after propensity score matching (Supplementary Table 1).

Death or Intubation

A total of 142 (8.8%) patients were intubated and 238 (15%) died; 340 (21%) patients met the composite study outcome. In crude analysis, use of famotidine was significantly associated with reduced risk for the composite outcome of death or intubation (Figure 1A, log-rank P < .01). This association was driven primarily by the relationship between famotidine and death (Figure 1B, log-rank

Abbreviations used in this paper: CI, confidence interval; COVID-19, Coronavirus Disease 2019; PPI, proton pump inhibitor; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.



Effect of Extended Pelvic Lymph Node Dissection on Oncologic Outcomes in Patients with D'Amico Intermediate and High Risk Prostate Cancer Treated with Radical Prostatectomy: A Multi-Institutional Study



Felix Preisser, Roderick C. N. van den Bergh, Giorgio Gandaglia, Piet Ost,* Christian I. Surcel, Prasanna Sooriakumaran, Francesco Montorsi, Markus Graefen, Henk van der Poel, Alexandre de la Taille, Alberto Briganti, Laurent Salomon, Guillaume Ploussard and Derya Tilki,† on behalf of the EAU-YAUWP

From the Martini-Klinik Prostate Cancer Center, University Hospital Hamburg-Eppendorf (FP, MG, DT) and Departments of Urology, University Hospital Hamburg-Eppendorf (DT), University Hospital Frankfurt (FP), Frankfurt am Main, Germany, Department of Urology, Antonius Hospital (RCNvdB, HvdP), Utrecht, The Netherlands, Unit of Urology, Division of Oncology, URI, IRCCS Ospedale San Raffaele (GG, FM, AB), Milan, Italy, Department of Radiotherapy, Ghent University Hospital (PO), Ghent, Belgium, Centre of Urological Surgery, Dialysis and Renal Transplantation, Fundeni Clinical Institute (CIS), Bucharest, Romania, Department of Uro-oncology, University College London Hospital (PS), London, United Kingdom, and Department of Urology, Henri Mondor Hospital, Assistance-Publique Hôpitaux de Paris (AdIT, LS, GP), Créteil and Department of Urology, La Croix du Sud Hospital and Institut Universitaire du Cancer Toulouse Oncopole, Toulouse (GP), France

Abbreviations and Acronyms

BCR = biochemical recurrence

CSM = cancer specific mortality

ePLND = extended PLND

LNI = lymph node invasion

PCa = prostate cancer

PLND = pelvic lymph node

PSA = prostate specific antigen

RP = radical prostatectomy

Purpose: Pelvic lymph node dissection represents the gold standard of lymph node staging in patients with prostate cancer. We sought to assess the effect of extended pelvic lymph node dissection on oncologic outcomes in patients with characteristics of D'Amico intermediate or high risk prostate cancer treated with radical prostatectomy.

Materials and Methods: In a multi-institutional database of 4 centers we identified 9,742 patients who underwent radical prostatectomy from 2000 to 2017 with or without pelvic lymph node dissection. Only patients with a greater than 5% probability of lymph node invasion according to the Briganti nomogram were included in study. We performed 2:1 propensity score matching to account for potential differences between the 2 cohorts. Cox regression models were used to test the effect of pelvic lymph node dissection on biochemical recurrence, metastasis and cancer specific mortality.

Results: Overall 707 patients (7.3%) did not undergo pelvic lymph node dissection, of whom 520 and 187 harbored D'Amico intermediate and high risk characteristics, respectively. A median of 14 lymph nodes (IQR 8–21) were removed in the pelvic lymph node dissection cohort and 1,714 of these cases (19.0%) harbored lymph node metastasis. After propensity score matching the biochemical recurrence-free, metastasis-free and cancer specific mortality-free survival rates were 60.4% vs 65.6% (p=0.07), 87.0% vs 90.0% (p=0.06) and 95.2% vs 96.4% (p=0.2) for pelvic lymph node dissection vs no pelvic lymph node dissection 120 months after radical prostatectomy. Multivariable Cox regression models adjusted for postoperative and preoperative tumor characteristics revealed that pelvic lymph node dissection

0022-5347/20/2032-0338/0
THE JOURNAL OF UROLOGY®
© 2020 by American Urological Association Education and Research, Inc.

https://doi.org/10.1097/JU.00000000000000504 Vol. 203, 338-343, February 2020 Printed in U.S.A.

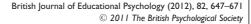
Accepted for publication August 7, 2019.

The corresponding author certifies that, when applicable, a statement(s) has been included in the manuscript documenting institutional review board, ethics committee or ethical review board study approval; principles of Helsinki Declaration were followed in lieu of formal ethics committee approval; institutional animal care and use committee approval; all human subjects provided written informed consent with guarantees of confidentiality; IRB approved protocol number; animal approved project number.

No direct or indirect commercial, personal, academic, political, religious or ethical incentive is associated with publishing this article.

^{*} Financial interest and/or other relationship with Merck, Ferring, Varian, Bayer and Janssen.

[†] Correspondence: Martini-Klinik Prostate Cancer Center, University Hospital Hamburg-Eppendorf, Martinistrasse 52, 20246 Hamburg, Germany (e-mail: d.tilki@uke.de).





www.wileyonlinelibrary.com

Reading development in a tracked school system: A longitudinal study over 3 years using propensity score matching

Jan Retelsdorf^{1*}, Michael Becker^{2,3}, Olaf Köller¹ and Jens Möller⁴

- ¹Leibniz Institute for Science and Mathematics Education, Kiel, Germany
- ²University of Potsdam, Germany
- ³Max Planck Institute for Human Development, Germany
- ⁴Christian-Albrechts-University of Kiel, Germany

Background. Assigning students to different school tracks on the basis of their achievement levels is a widely used strategy that aims at giving students the best possible learning opportunity. There is, however, a growing body of literature that questions such positive effects of tracking.

Aims. This study compared the developmental trajectories of reading comprehension and decoding speed between students at academic track schools that typically prepare students for university entrance and students at non-academic track schools that usually prepare students for vocational education.

Sample. In a longitudinal design with three occasions of data collection, the authors drew on a sample of N=1,508 5th graders (age at T1 about 11 years, age at T3 about 14 years) from 60 schools in Germany. The academic track sample comprised n=568 students; the non-academic track sample comprised n=940 students.

Method. Achievement measures were obtained by standardized tests of reading comprehension and decoding speed. Students at the different tracks were closely matched using propensity scores. To compare students' growth trajectories between the different school tracks, we applied multi-group latent growth curve models.

Results. Comparable results were recorded for the complete (unmatched) sample and for the matched pairs. In all cases, students at the different tracks displayed a similar growth in reading comprehension, whereas larger growth rates for students at academic track schools were recorded for decoding speed.

Conclusions. Our findings contribute to an increasing body of literature suggesting that tracking might have undesired side effects.

^{*}Correspondence should be addressed to Jan Retelsdorf, Leibniz Institute for Science and Mathematics Education, Kiel, Olshausenstraße 62, 24118 Kiel, Germany (e-mail: jretelsdorf@ipn.uni-kiel.de).



Nutritional strategies and gut microbiota composition as risk factors for necrotizing enterocolitis in very-preterm infants

Jean-Christophe Rozé, ^{1–3} Pierre-Yves Ancel, ^{4,5,7} Patricia Lepage, ⁸ Laetitia Martin-Marchand, ⁴ Ziad Al Nabhani, ⁸ Johanne Delannoy, ^{5,6} Jean-Charles Picaud, ⁹ Alexandre Lapillonne, ¹⁰ Julio Aires, ^{5,6} Mélanie Durox, ⁴ Dominique Darmaun, ³ Josef Neu, ¹¹ Marie-José Butel, ^{5,6} for the Nutrition EPIPAGE 2 study group and the EPIFLORE Study Group

¹Department of Neonatal Medicine, ²Epidémiologie Clinique, Clinical Investigation Center - Clinical Epidemiology (CIC004), and ³INRA, UMR 1280 Physiology of Nutritional Adaptations, Nantes University Hospital, Nantes, France; ⁴INSERM, U1153, Obstetrical, Perinatal and Pediatric Epidemiology Team, Epidemiology and Statistics Sorbonne Paris Cité Research Center, ⁵Risks in Pregnancy Department, and ⁶EA 4065 Intestinal Ecosystem, Probiotics, Antibiotics, Faculty of Pharmacy, Paris Descartes University, Paris, France; ⁷Clinical investigation center CIC P1419, Cochin Hotel-Dieu Hospital, AP-HP, Paris, France; ⁸Micalis Institute, INRA, AgroParisTech, University Paris-Saclay, Paris, France; ⁹Department of Neonatal Medicine, Croix Rousse Hospital, Lyon Hospitals, Lyon, France; ¹⁰Department of Neonatal Medicine, AP-HP, Necker Enfants Malades Hospital, Paris, France; and ¹¹Department of Pediatrics, University of Florida, Gainesville, FL

ABSTRACT

Background: The pathophysiology of necrotizing enterocolitis (NEC) remains poorly understood.

Objective: We assessed the relation between feeding strategies, intestinal microbiota composition, and the development of NEC.

Design: We performed a prospective nationwide population-based study, EPIPAGE 2 (Etude Epidémiologique sur les Petits Ages Gestationnels), including preterm infants born at <32 wk of gestation in France in 2011. From individual characteristics observed during the first week of life, we calculated a propensity score for the risk of NEC (Bell's stage 2 or 3) after day 7 of life. We analyzed the relation between neonatal intensive care unit (NICU) strategies concerning the rate of progression of enteral feeding, the direct-breastfeeding policy, and the onset of NEC using general linear mixed models to account for clustering by the NICU. An ancillary propensity-matched case-control study, EPIFLORE (Etude Epidémiologique de la flore), in 20 of the 64 NICUs, analyzed the intestinal microbiota by culture and 16S ribosomal RNA gene sequencing.

Results: Among the 3161 enrolled preterm infants, 106 (3.4%; 95% CI: 2.8%, 4.0%) developed NEC. Individual characteristics were significantly associated with NEC. Slower and intermediate rates of progression of enteral feeding strategies were associated with a higher risk of NEC, with an adjusted OR of 2.3 (95% CI: 1.2, 4.5; P = 0.01) and 2.0 (95% CI: 1.1, 3.5; P = 0.02), respectively. Less favorable and intermediate direct-breastfeeding policies were associated with higher NEC risk as well, with an adjusted OR of 2.5 (95% CI: 1.1, 5.8; P = 0.03) and 2.3 (95% CI: 1.1, 4.8; P = 0.02), respectively. Microbiota analysis performed in 16 cases and 78 controls showed an association between *Clostridium neonatale* and *Staphylococcus aureus* with NEC (P = 0.001 and P = 0.002).

Conclusions: A slow rate of progression of enteral feeding and a less favorable direct-breastfeeding policy are associated with an increased risk of developing NEC. For a given level of risk assessed by propensity score, colonization by *C. neonatale* and/or *S. aureus*

is significantly associated with NEC. This trial (EPIFLORE study) was registered at clinicaltrials.gov as NCT01127698. *Am J Clin Nutr* 2017;106:821–30.

Keywords: breastfeeding, clostridia, necrotizing enterocolitis, preterm infant, speed of increasing enteral nutrition

INTRODUCTION

Necrotizing enterocolitis (NEC) is one of the most dreaded diseases in neonatal intensive care units (NICUs) (1, 2). The

Supported by the French Institute of Public Health Research/Institute of Public Health and its partners the French Health Ministry, the NIH and Medical Research, the National Institute of Cancer, and the National Solidarity Fund for Autonomy; grant ANR-11-EQPX-0038 from the National Research Agency through the French Equipex Program of Investments in the Future; grant ANR-12-SV and ANR-12-BSV3-0025001/EPIFLORE from the National Research Agency and the PremUp Foundation. Stool collection was supported by a grant from Nestec Research Center (Vers-chez-les-Blanc, Switzerland).

No funder/sponsor had any role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; the preparation, review, or approval of the manuscript; or the decision to submit the manuscript for publication.

Supplemental Figures 1–4, Supplemental Methods, and Supplemental Tables 1 and 2 are available from the "Online Supporting Material" link in the online posting of the article and from the same link in the online table of contents at http://ajcn.nutrition.org.

 $Address\ correspondence\ to\ J-CR\ (e-mail:\ jcroze@chu-nantes.fr).$

Abbreviations used: EPIFLORE, Etude Epidémiologique de la flore; EPIPAGE 2, Etude Epidémiologique sur les Petits Ages Gestationnels; NEC, necrotizing enterocolitis; NICU, neonatal intensive care unit; rRNA, ribosomal RNA.

Received January 17, 2017. Accepted for publication June 5, 2017. First published online June 28, 2017; doi: https://doi.org/10.3945/ajcn. 7 152967