

COMPUTER SCIENCE



Database Management System

Transaction & Concurrency Control

Lecture_6

Vijay Agarwal sir





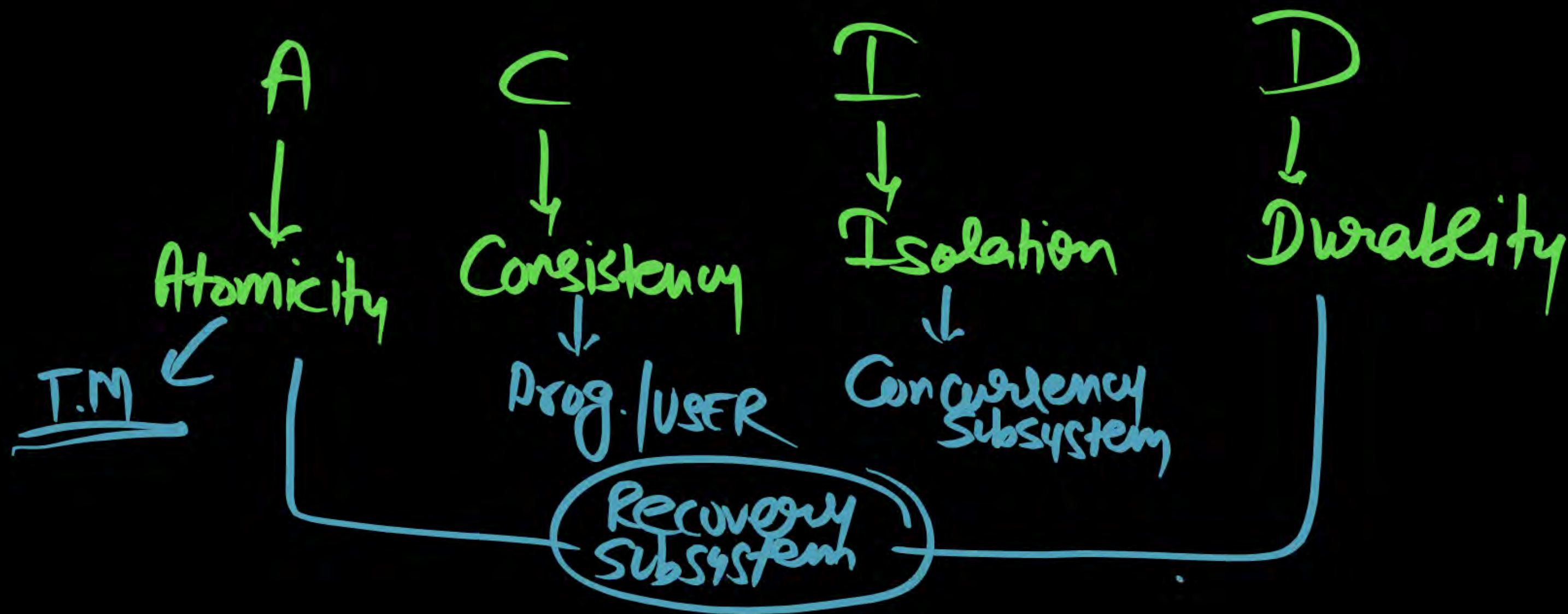
**TOPICS
TO BE
COVERED**

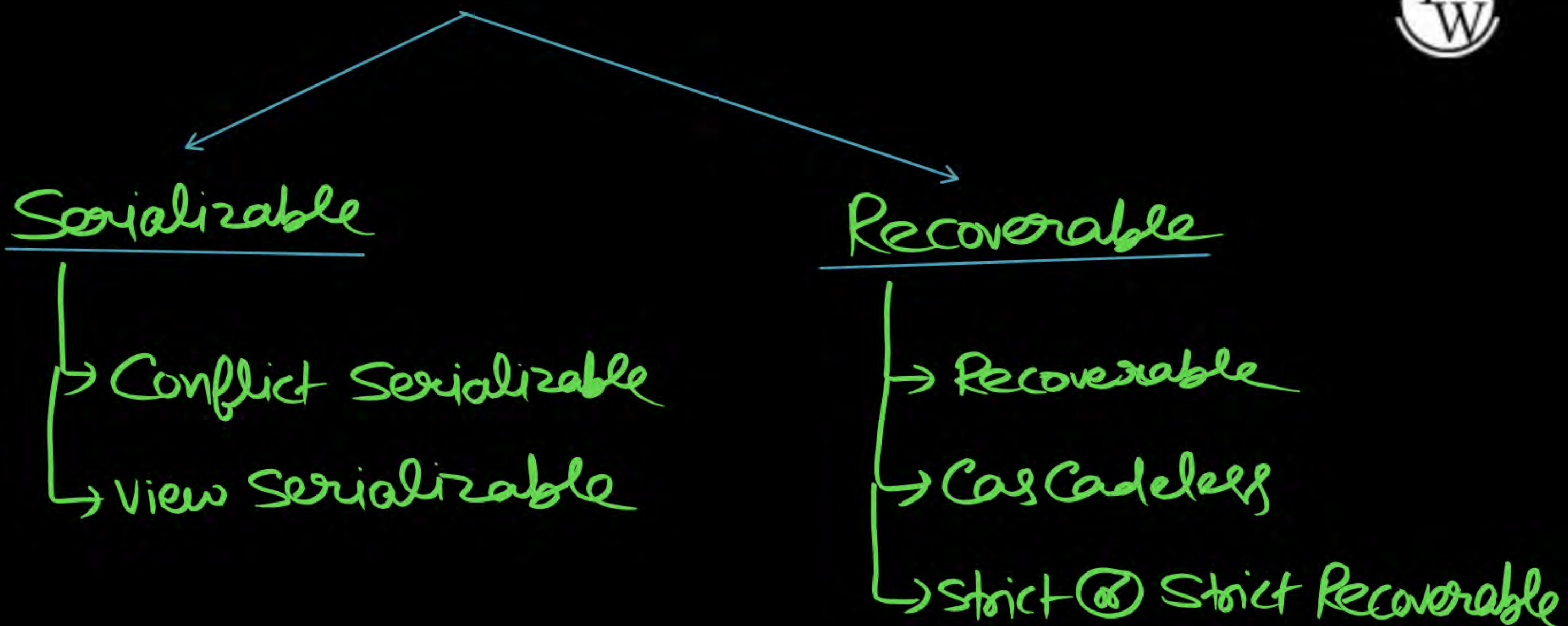
01

**Finding Conflict Serializable
Schedule**



Transaction







Finding Number of Conflict Serializable

- ① Write Down all operation of the following (later) Transaction.
- ② Starts from last operation of the first transaction & try to put at correct place such that Conflict operation order must be maintained same as Transaction order.

Q.1



Two transactions T_1 and T_2 are given as

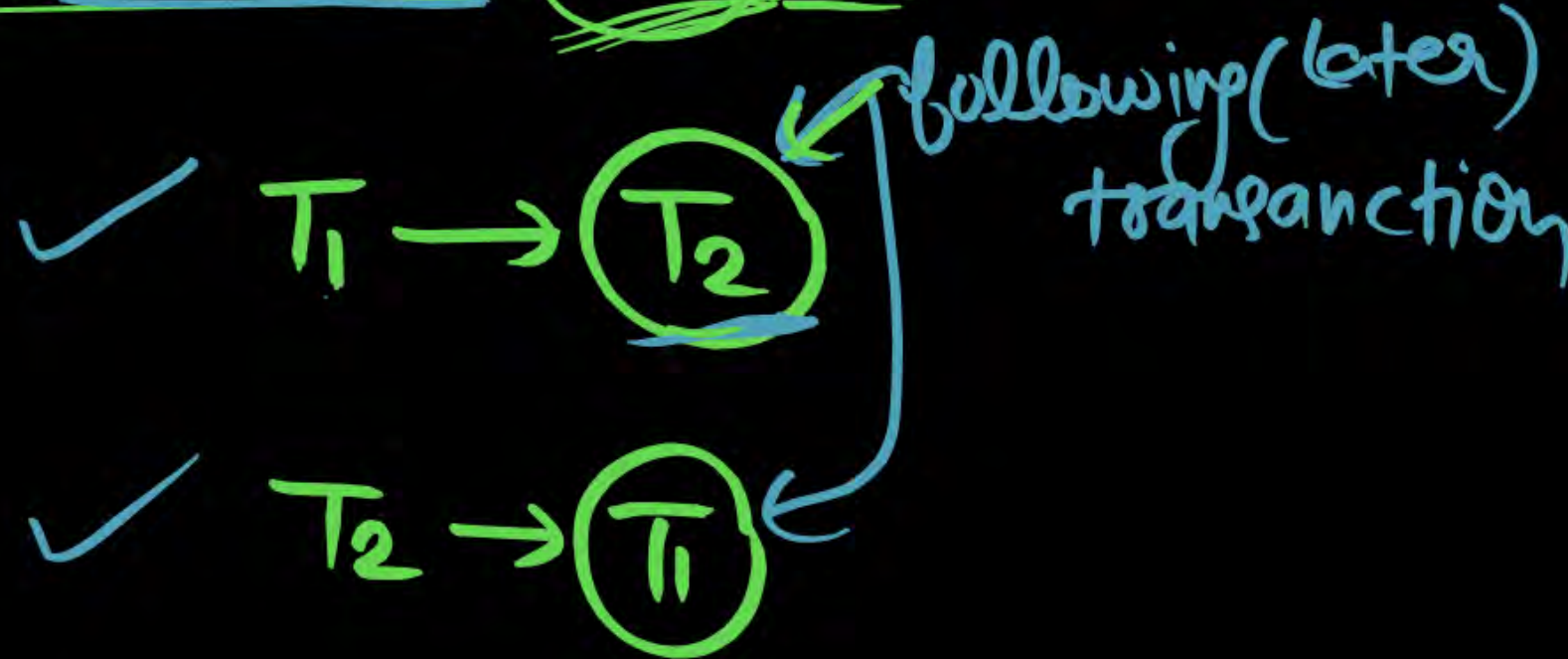
$T_1: r_1(X) \ w_1(X) \ r_1(Y) \ \underline{w_1(Y)}$

$T_2: r_2(Y) \ w_2(Y) \ r_2(Z) \ w_2(Z)$

Where $r_i(V)$ denotes a read operation by transaction T_i on a variable V and $w_i(V)$ denotes a write operation by transaction T_i on a variable V .

The total number of conflict serializable schedules that can be formed by T_1 and T_2 is 54 Ans

[NAT:2017-2M]



Conflict

$R(Q) - W(Q)$

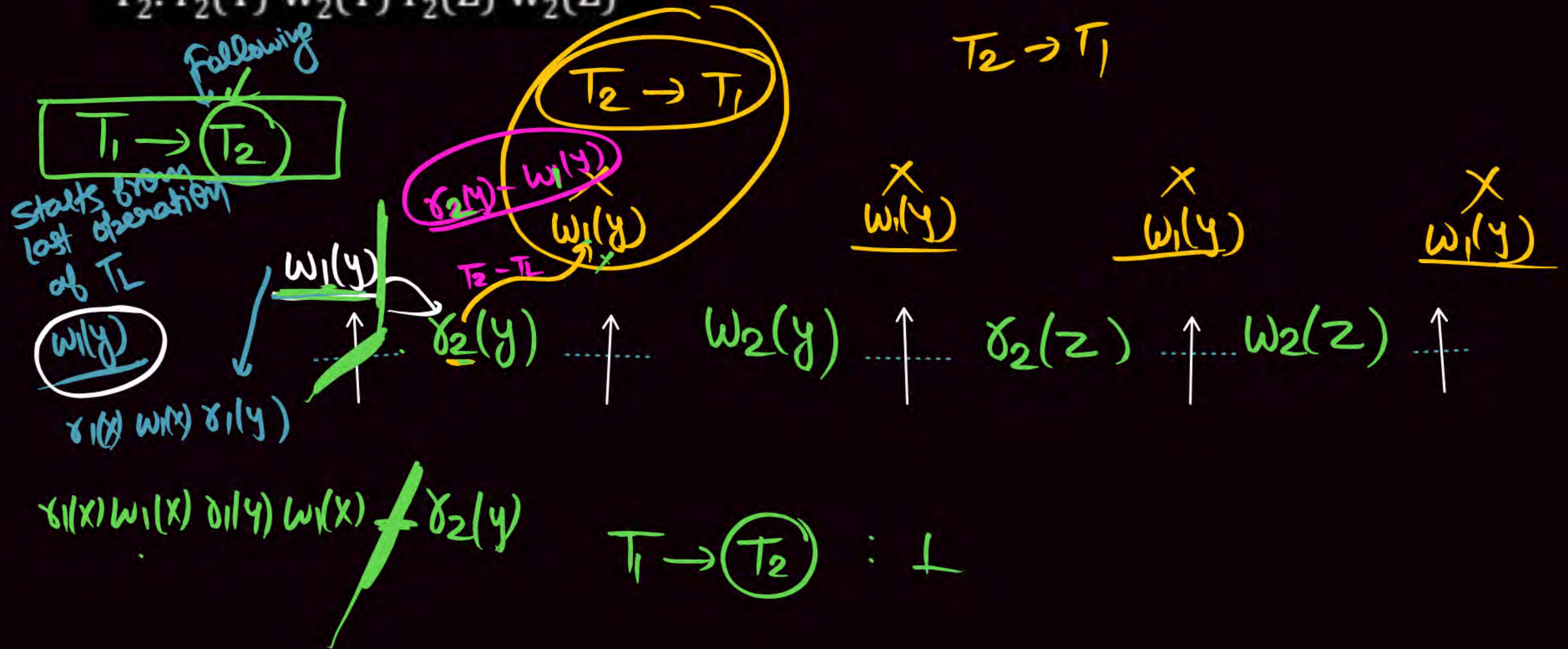
$W(Q) - R(Q)$

$W(Q) - W(Q)$

$T_1: r_1(X) w_1(X) r_1(Y) \underline{w_1(Y)}$

$T_2: r_2(Y) w_2(Y) r_2(Z) w_2(Z)$

$T_2 \rightarrow T_1$



$T_1: r_1(X) w_1(X) r_1(Y) w_1(Y)$

$T_2: r_2(Y) w_2(Y) r_2(Z) w_2(Z)$

$r_2(Z) w_2(Z)$ Can be Placed Any Where
Because Different Data Item(Z).
But Only after $w_2(Y)$.

$T_2 \rightarrow T_1$

Following

Start from last operation of transaction T_2

$r_2(Z) w_2(Z)$

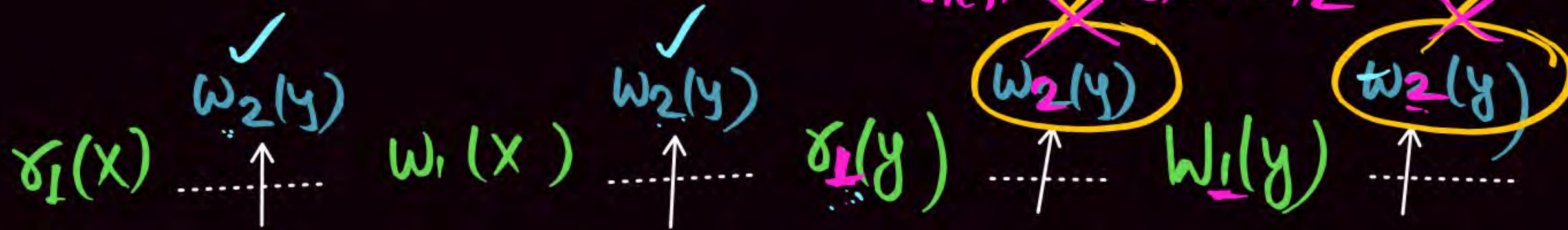
CASE III

Now $w_2(Y)$

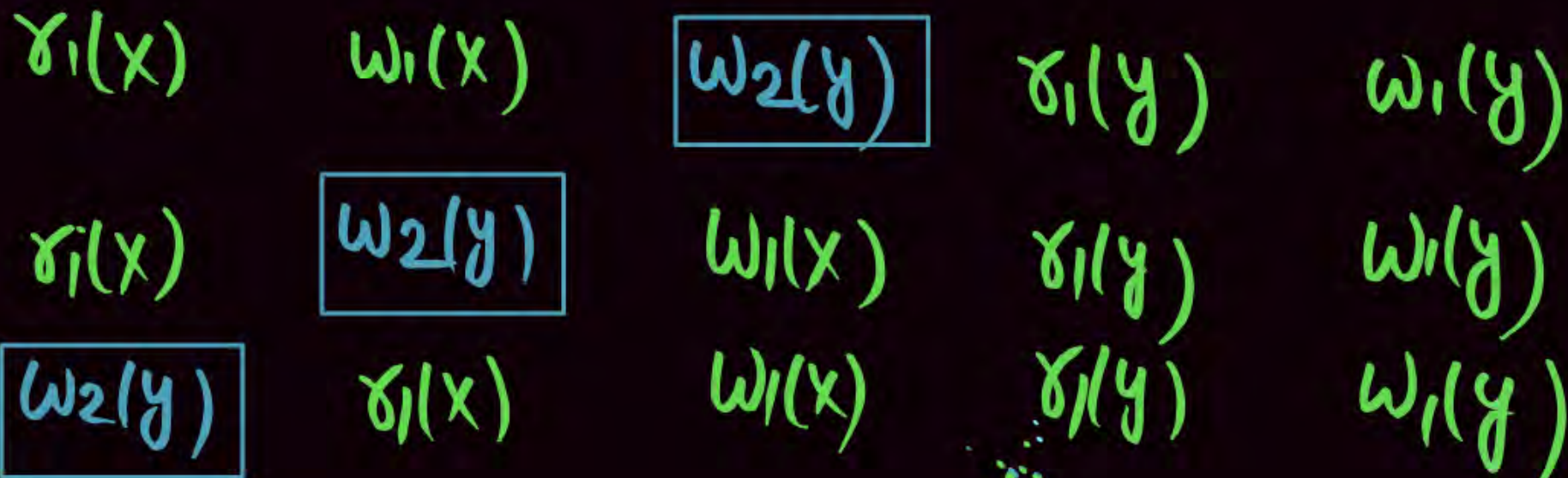
CASE I

CASE II

CASE III



CASE I

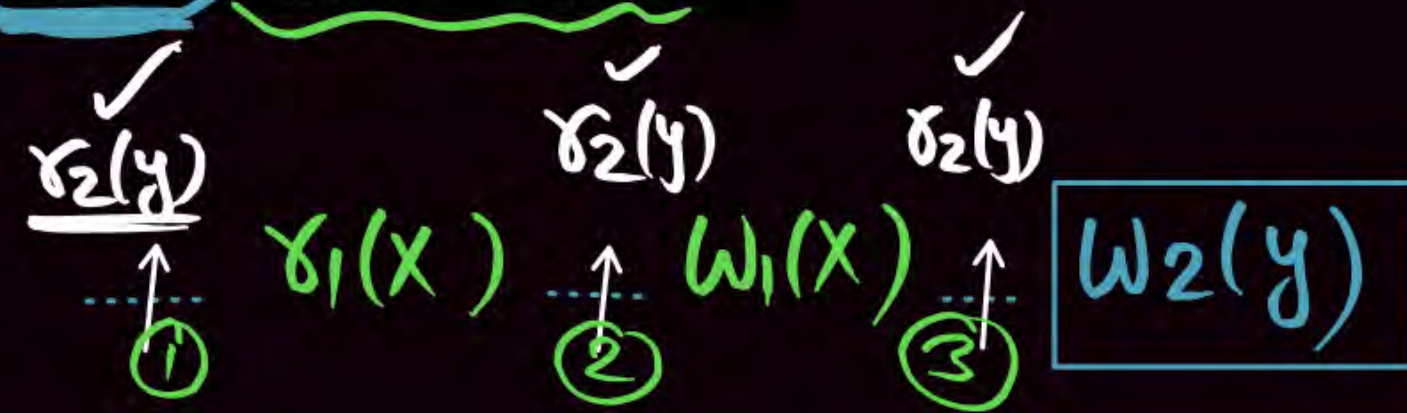
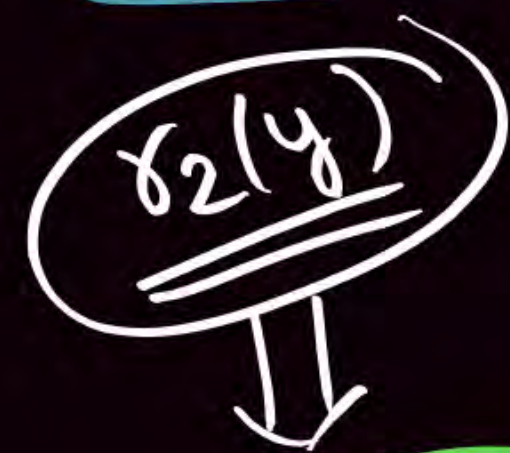


$T_1: r_1(X) w_1(X) r_1(Y) w_1(Y)$

$T_2: r_2(Y) w_2(Y) r_2(Z) w_2(Z)$

$T_2 \rightarrow (T_1)$

CASE I



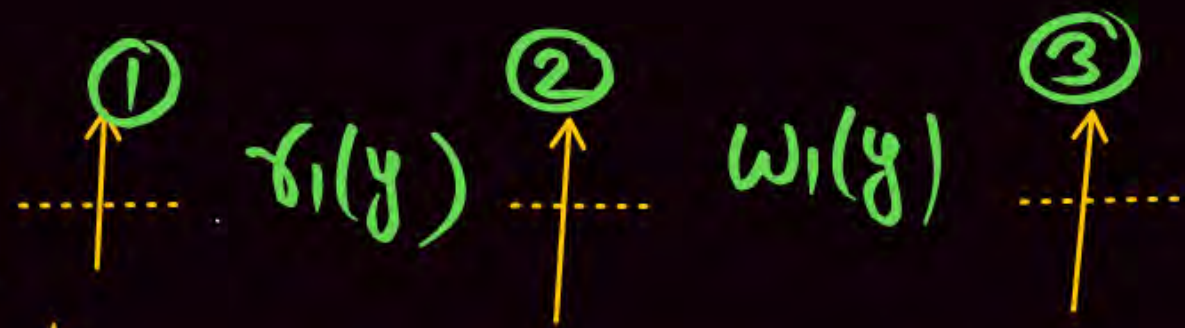
$$3 \times [3C_1 + 3C_2]$$

$$3 \times (3 + 3)$$

3×6

Case I = 18 way

$r_2(z) w_2(z)$



Out of 3 place Put them together $[3C_1]$

OR

Out of 3 place Put them Separately $[3C_2]$

$$3C_1 + 3C_2$$

$T_1: r_1(X) w_1(X) r_1(Y) w_1(Y)$

$T_2: r_2(Y) \underline{w_2(Y)} r_2(Z) w_2(Z)$

$T_2 \rightarrow \textcircled{11}$

CASE II: $\overset{r_2(Y)}{\uparrow} \dots r_1(X) \overset{r_2(Y)}{\uparrow} \boxed{w_2(Y)} \overset{\textcircled{1}}{\uparrow} \dots w_1(X) \overset{\textcircled{2}}{\uparrow} \dots r_1(Y) \overset{\textcircled{3}}{\uparrow} \dots w_1(Y) \overset{\textcircled{4}}{\uparrow} \dots$

$\textcircled{r_2(Y)}$

2 way \Rightarrow

$$2 \times \{4C_1 + 4C_2\}$$

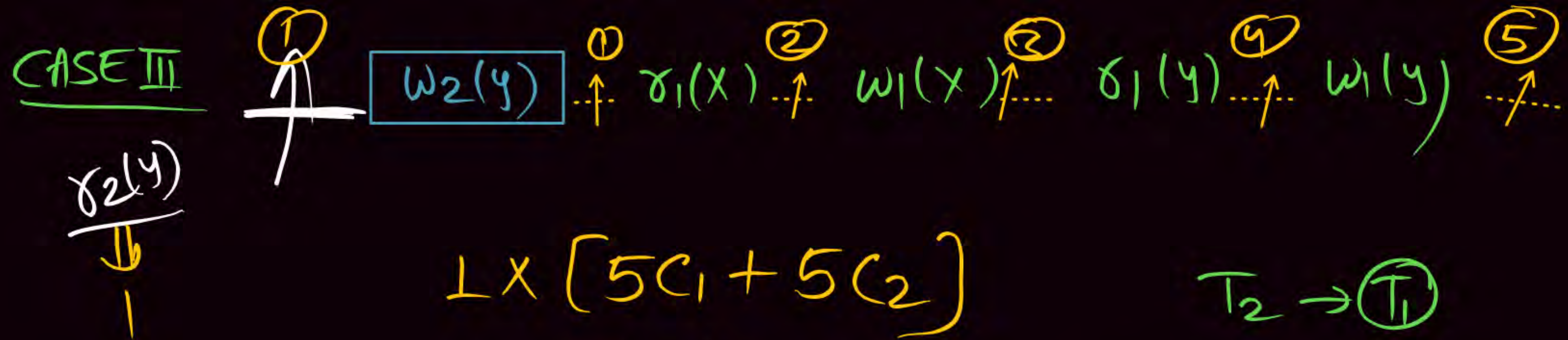
$$2 \times \{4 + 6\}$$

2x10

CASE II: 20 way

$T_1: r_1(X) w_1(X) r_1(Y) w_1(Y)$

$T_2: r_2(Y) w_2(Y) r_2(Z) w_2(Z)$



$$1 \times [5C_1 + 5C_2]$$

$$5 + 10$$

Case III: 15 way

$T_2 \rightarrow T_1$

CASE I: 18

CASE II: 20

CASE III: 15
53

$T_1: r_1(X) w_1(X) r_1(Y) w_1(Y)$

$T_2: r_2(Y) w_2(Y) r_2(Z) w_2(Z)$

$T_1 \rightarrow (T_2) : L$

$T_2 \rightarrow (T_1) : 53$

54 Ans

Q.2

Consider the transaction T_1 and T_2 given below:

[NAT]



$T_1 : R_1(A) \quad R_1(B) \quad W_1(B)$

$T_2 : R_2(A) \quad R_2(B) \quad W_2(B)$

T_1 & T_2 Exact Mirror Copy
operation & order all are same

Where $R_i(A)$ denote a read operation by transaction T_i on a Data Item (A) $W_i(B)$ Denote a write operation by transaction T_i on a Data Item B.

The Total number of conflict serializable schedule is 8. Ans

$T_1 \rightarrow \textcircled{T_2} : 4$

$T_2 \rightarrow \textcircled{T_1} : 4$

8

$T_1: R_1(A) \quad R_1(B) \quad \underline{W_1(B)}$

$T_2: R_2(A) \quad R_2(B) \quad W_2(B)$

$T_1 \rightarrow (T_2)$

$W_1(B)$

✓
 $W_1(B)$
.....↑

CASE II

✓
 $W_1(B)$
.....↑

CASE I

$R_2(B) \xrightarrow{\text{pink arrow}} \overset{\times}{W_1(B)}$
.....↑

$\overset{\times}{W_1(B)}$
 $W_2(B)$ ↑

$R_2(B) - W_1(B): T_2 \rightarrow T_1$ BUT

$W_2(B) - W_1(B)$ Schedule is $T_1 \rightarrow T_2$

CASE I

$R_2(A)$

$W_1(B)$

$R_2(B)$

$W_2(B)$

CASE II

$W_1(B)$

$R_2(A)$

$R_2(B)$

$W_2(B)$

$T_1: R_1(A) \quad R_1(B) \quad \underline{W_1(B)}$

$T_2: R_2(A) \quad R_2(B) \quad W_2(B)$

CASE I:

$R_1(A) \quad R_1(B)$



$$2C_1 + 2C_2 = 2 + 1 = \textcircled{3}$$

CASE II

$R_1(A) \quad R_1(B)$



$\textcircled{1}$

out of 2 place
sit them together

$2C_1$

or

out of 2 place, sit
them separately

$2C_2$

Case I: 3
Case II: 1

$$T_1 \rightarrow (T_2) : 4$$

$$T_2 \rightarrow (T_1) : 4$$

Total Conflict Serializable: (8)

Serial
↓
Schedule

$$\begin{aligned} \text{Non Serial Conflict Serializable} &= 8 - 2 \\ &= \underline{\underline{6 \text{ Ans}}} \end{aligned}$$

Q.3

Consider the transaction T_1 and T_2 given below:

[NAT]



$T_1 : R_1(A) \quad R_1(B) \quad W_1(B)$

$T_2 : R_2(B) \quad R_2(A) \quad W_2(B)$

Where $R_i(A)$ denote a read operation by transaction T_i on a Data Item (A) $W_i(B)$ Denote a write operation by transaction T_i on a Data Item B.

The Total number of conflict serializable schedule is_____.

Q.4

Consider given schedule:

S: $r_1(x)$, $r_2(y)$, $w_3(y)$, $r_4(x)$, $w_4(z)$, $w_3(y)$

How many conflict serializable schedules exists for the above schedule S?

T_1	T_2	T_3	T_4
$r(x)$			
	$r(y)$		
		$w(y)$	
			$r(x)$ $w(z)$
		$w(y)$	

Ans(12)

T_1

T_1 & T_4 can be placed anywhere

T_4

T_2

T_3

$T_2 \rightarrow T_3$

Ans(12)

Q.4

Consider given schedule:

$S: r_1(x), r_2(y), w_3(y), r_4(x), w_4(z), w_3(y)$

How many conflict serializable schedules exists for the above schedule S ?

T_1	T_2	T_3	T_4
$r(x)$			
	$r(y)$		
		$w(y)$	
			$r(x)$ $w(z)$
		$w(y)$	

Ans (12)

T_1 & T_4 can be placed anywhere.

T_1

T_4

T_2

T_3

① T_2 ② T_3 ③

$$3! \cdot 2! = 12$$

T_1 & T_4 can be place Any Where.

First T_1 (T_1) T_2 (T_1) T_3 (T_1)

CASE I (T_4) (T_1) (T_4) T_2 (T_4) T_3 (T_4) 4

CASE II T_2 (T_1) T_3 4

CASE III T_2 T_3 (T_1) 4

Now for T_4

12 Case

CASE I

(T_4)	T_1	T_2	T_3
T_1	(T_4)	T_2	T_3
T_1	T_2	(T_4)	T_3
T_1	T_2	T_3	(T_4)

} 4

(T_4)	T_2	T_1	T_3
T_2	(T_4)	T_1	T_3
T_2	T_1	(T_4)	T_3
T_2	T_1	T_3	(T_4)

} 4

(T_4)	T_2	T_3	T_1
T_2	(T_4)	T_3	T_1
T_2	T_3	(T_4)	T_1
T_2	T_3	T_1	(T_4)

} 4

Q. ① How Many (Number of)
Conflict Serializable

Q. ② Number of Conflict Equivalent

Q.5



Consider the following schedule

$S = r_1(P); r_3(S); w_1(Q); r_2(Q) \ r_4(Q), w_2(R);$
 $r_5(R); w_4(T); r_5(T); w_5(Q)$

How many serial schedules are possible which will be view equal to S? 10 Ans

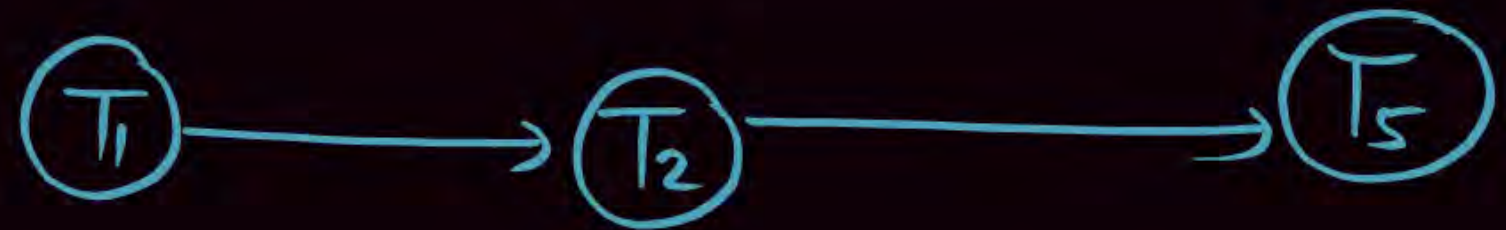
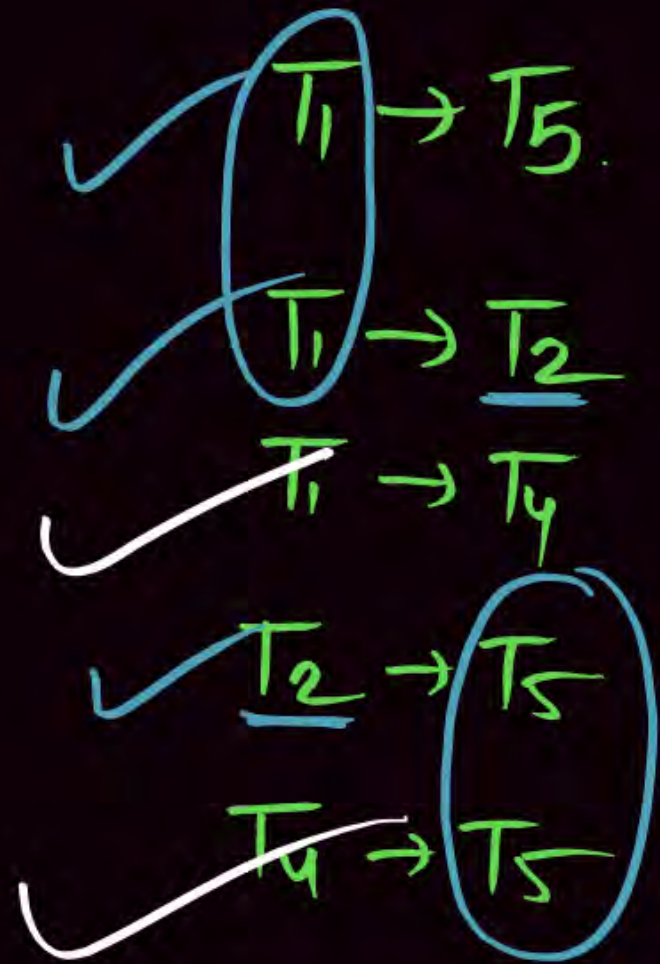
① Initial Read : $P: T_1$ $S: T_3$

② Final Write : $Q: T_5 \Rightarrow T_1 \rightarrow T_5$

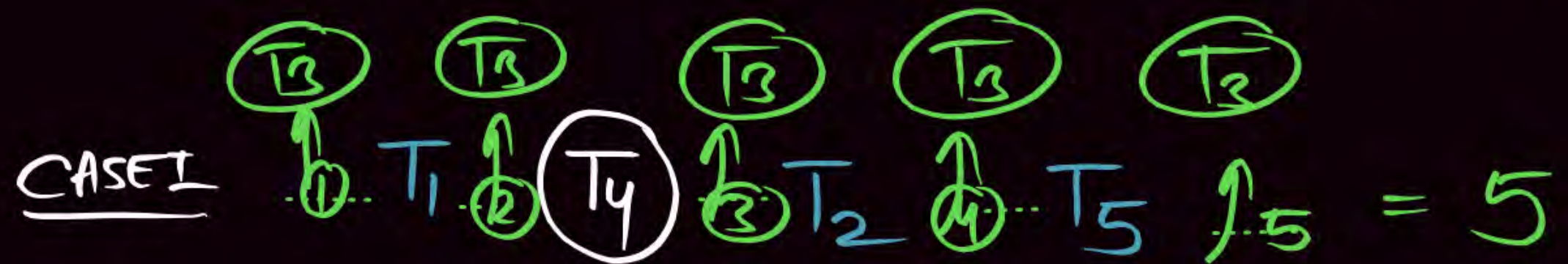
③ Updated Read
(Write-Read
Sequence)

$w_1(Q) - r_2(Q) : T_1 \rightarrow T_2$
 $w_1(Q) - r_4(Q) : T_1 \rightarrow T_4$
 $w_2(R) - r_5(R) : T_2 \rightarrow T_5$
 $w_4(T) - r_5(T) : T_4 \rightarrow T_5$

T_1	T_2	T_3	T_4	T_5
$r(P)$		$r(S)$		
<u>$w(Q)$</u>	$r(Q)$			
			$r(Q)$	
	<u>$w(R)$</u>			
			<u>$w(T)$</u>	$r(R)$
				<u>$r(T)$</u>
				<u>$w(Q)$</u>



T_4 Comes After T_1 & Before T_5



for (T_3)

$\underline{\underline{10 \text{ Ans}}}$

$\uparrow T_1 \uparrow \textcircled{T_4} \uparrow T_2 \uparrow T_5 \uparrow$

$\textcircled{T_3}$	T_1	T_4	T_2	T_5
T_1	$\textcircled{T_3}$	T_4	T_2	T_5
T_1	T_4	$\textcircled{T_3}$	T_2	T_5
T_1	T_4	T_2	$\textcircled{T_3}$	T_5
T_1	T_4	T_2	T_5	$\textcircled{T_3}$

5

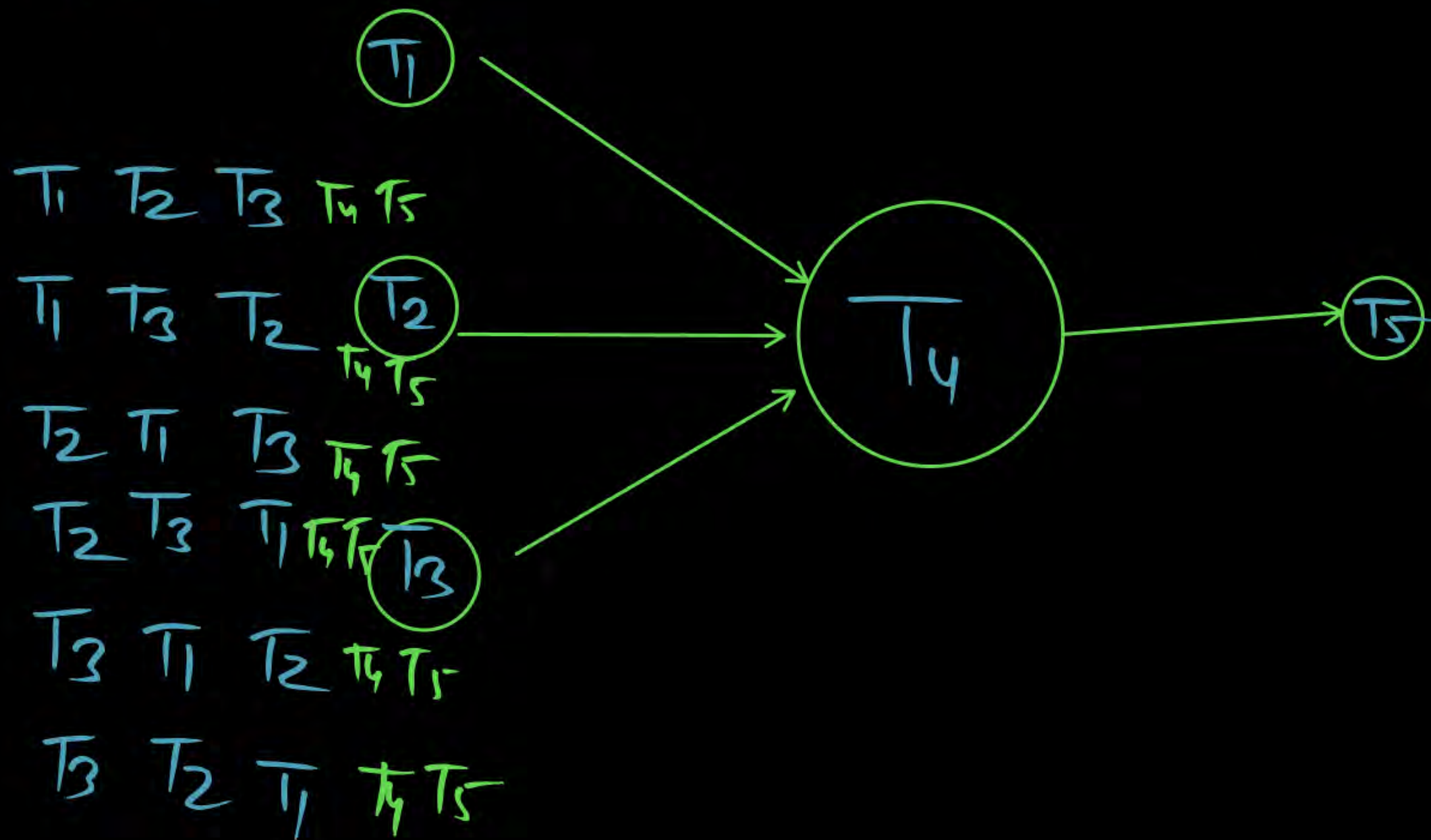
$\uparrow T_1 \uparrow T_2 \uparrow \textcircled{T_4} \uparrow T_5 \uparrow$

$\textcircled{T_3}$	T_1	T_2	T_4	T_5
T_1	$\textcircled{T_2}$	T_2	T_4	T_5
T_1	T_2	$\textcircled{T_3}$	T_4	T_5
T_1	T_2	T_4	$\textcircled{T_3}$	T_5
T_1	T_2	T_4	T_5	$\textcircled{T_3}$

5

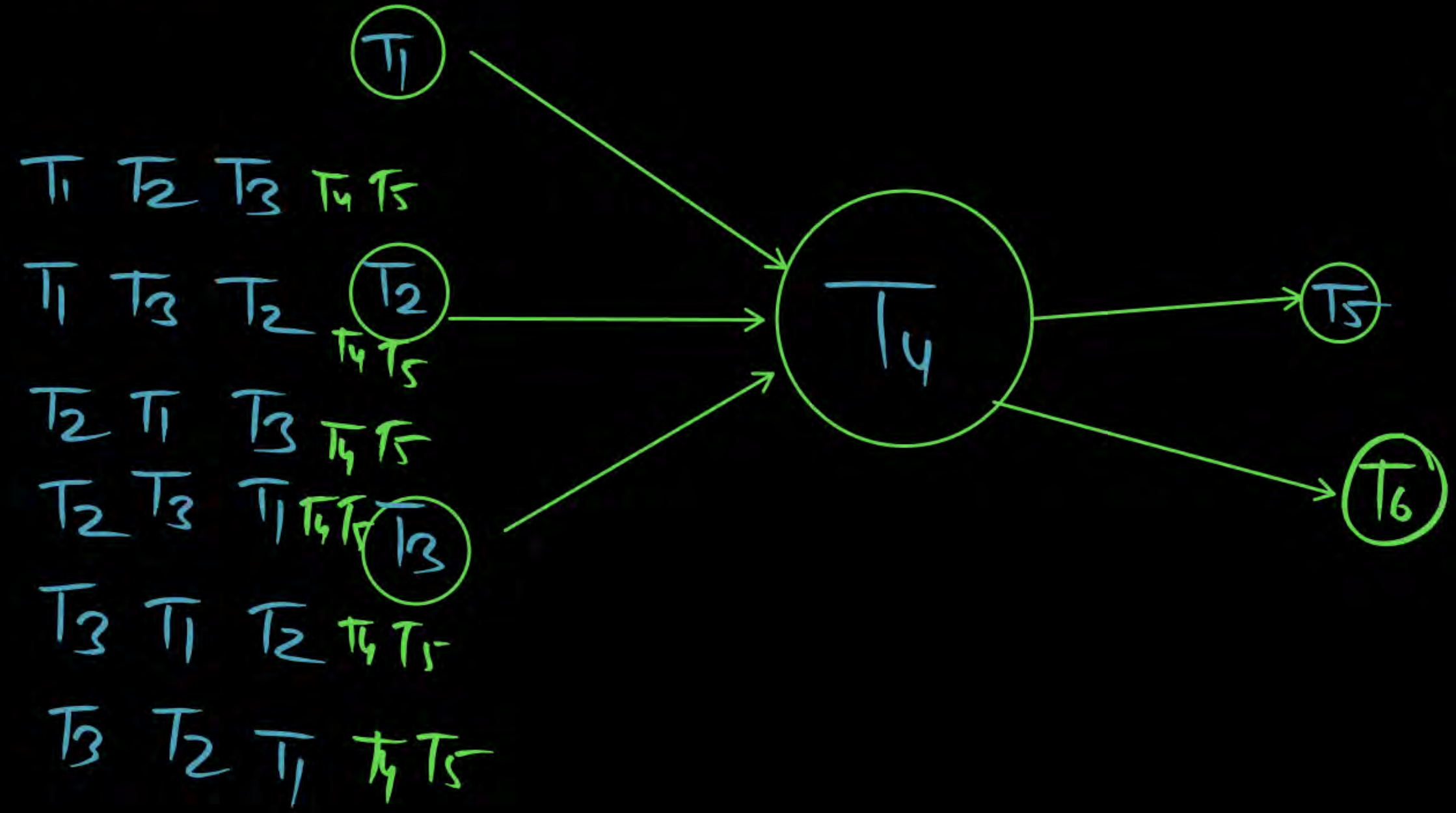
Topological Sorting

$$3! = 6$$



Topological Sorting

$$3! = 6$$

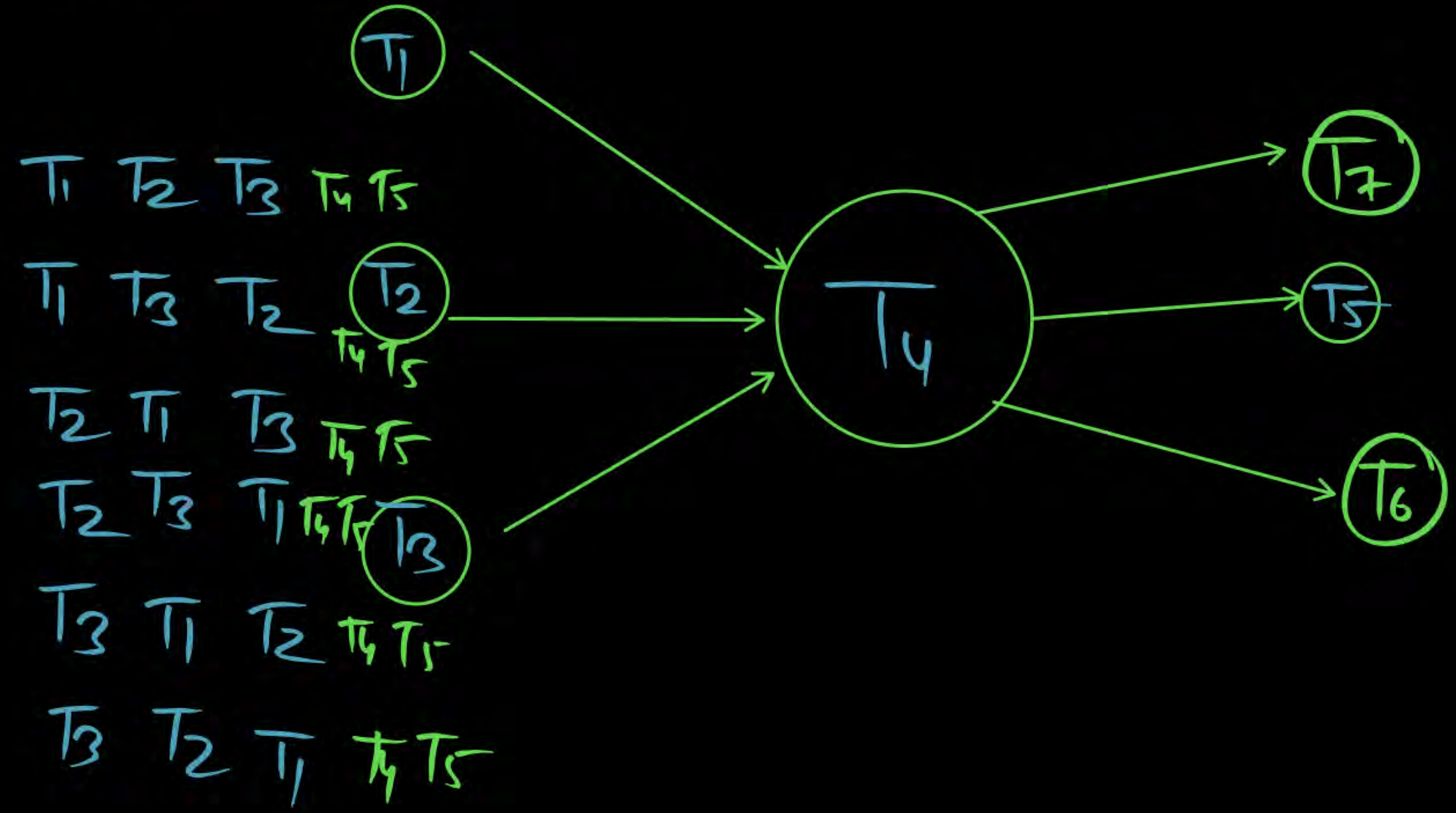


$$3! \times 1 \times 2!$$

$$6 \times 1 \times 2 = \underline{\underline{12}}$$

Topological Sorting

$$3! = 6$$



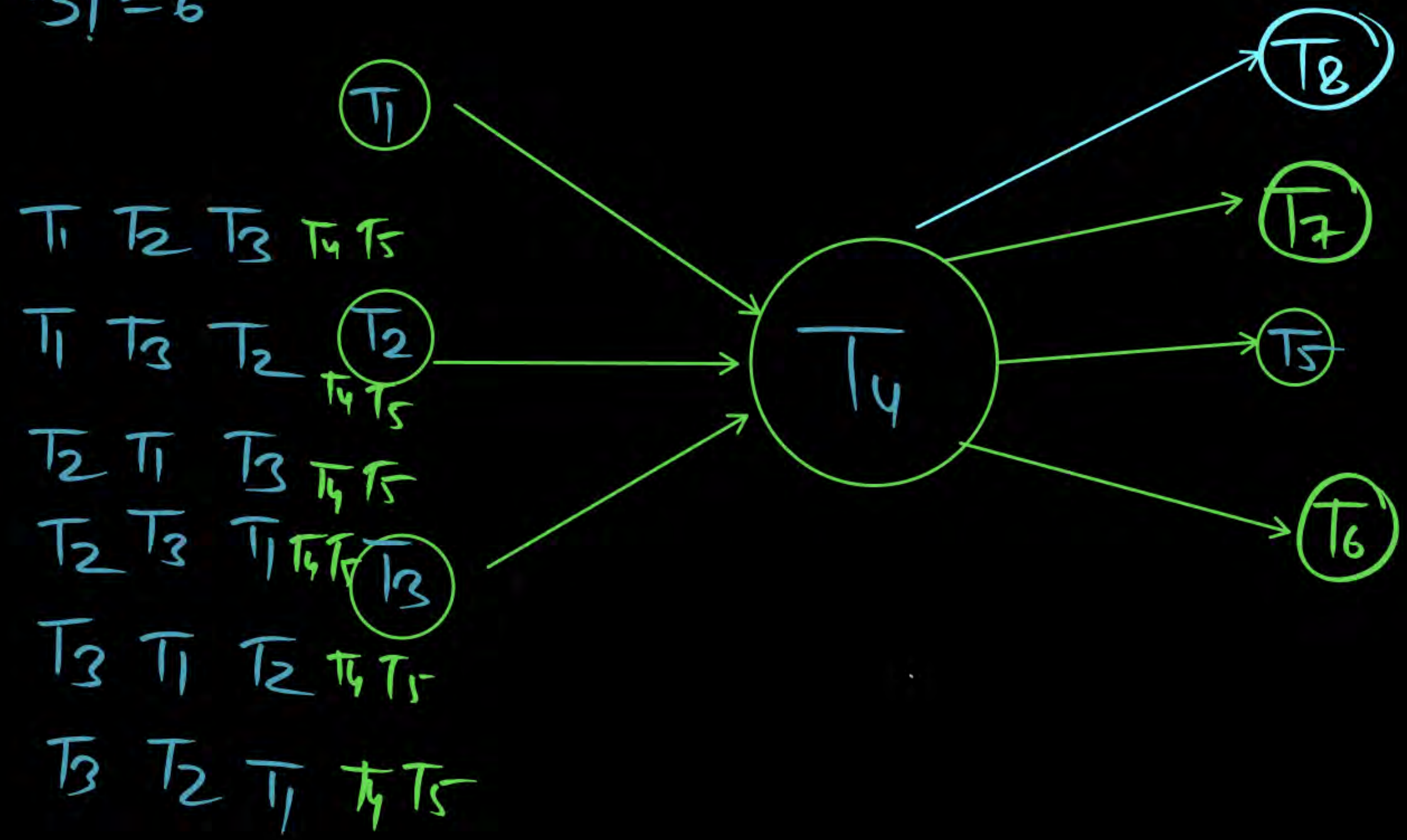
$$3! \times T_4 \times 3!$$

$$6 \times 1 \times 6$$

$$= 36 \text{ Ans}$$

Topological Sorting

$$3! = 6$$



- T₁ T₂ T₃ T₄ T₅
- T₁ T₃ T₂ T₄ T₅
- T₂ T₁ T₃ T₄ T₅
- T₂ T₃ T₁ T₄ T₅
- T₃ T₁ T₂ T₄ T₅
- T₃ T₂ T₁ T₄ T₅

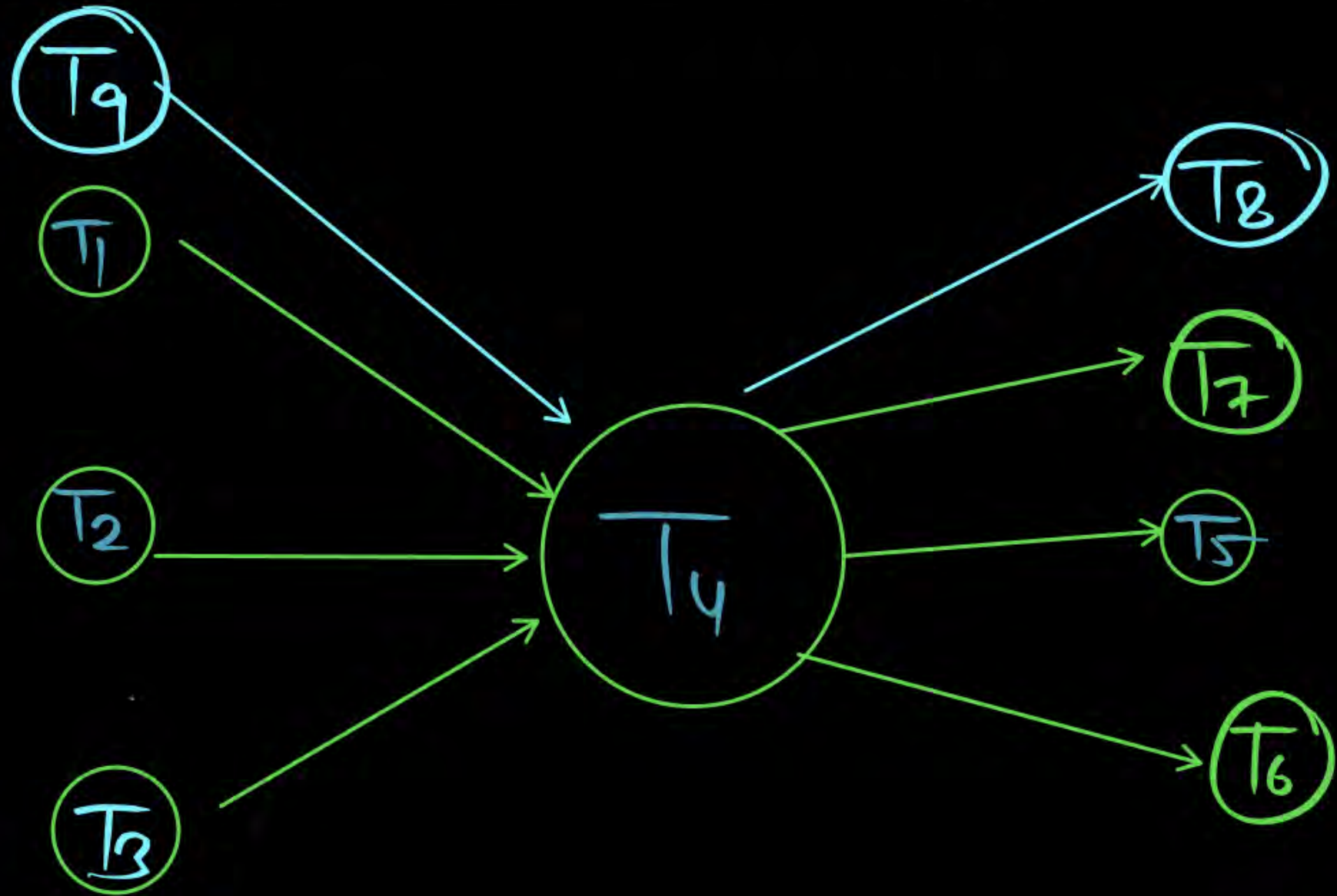
$$3! \times 1 \times 4!$$

$$6 \times 1 \times 24$$

$$\Rightarrow 144 \text{ Ans}$$

Topological Sorting

$$3! = 6$$



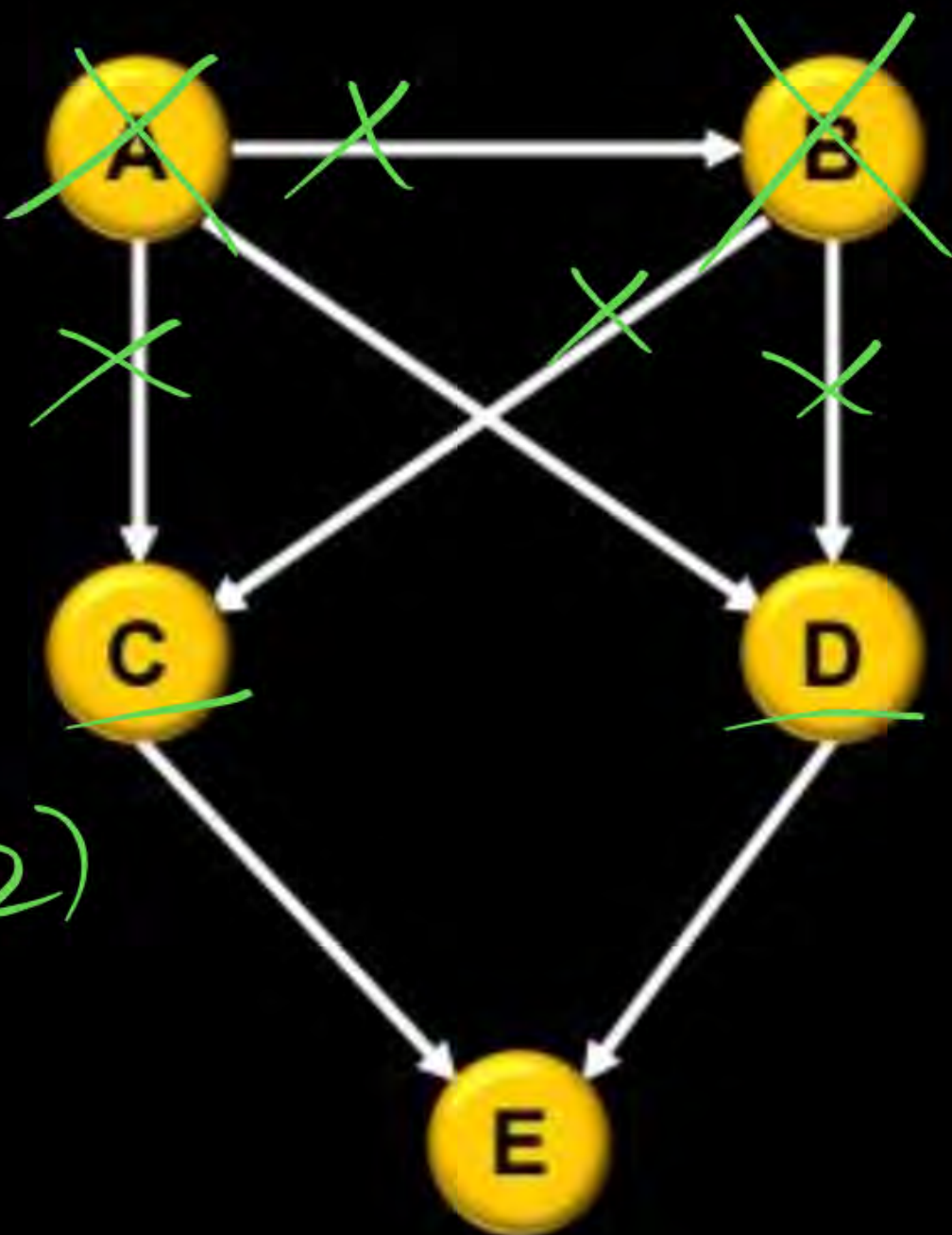
$$4! \times 1 \times 4!$$

$$24 \times 24$$

$$\Rightarrow 576 \text{ Ans}$$

Topological Sorting

Q.



Ans(2)

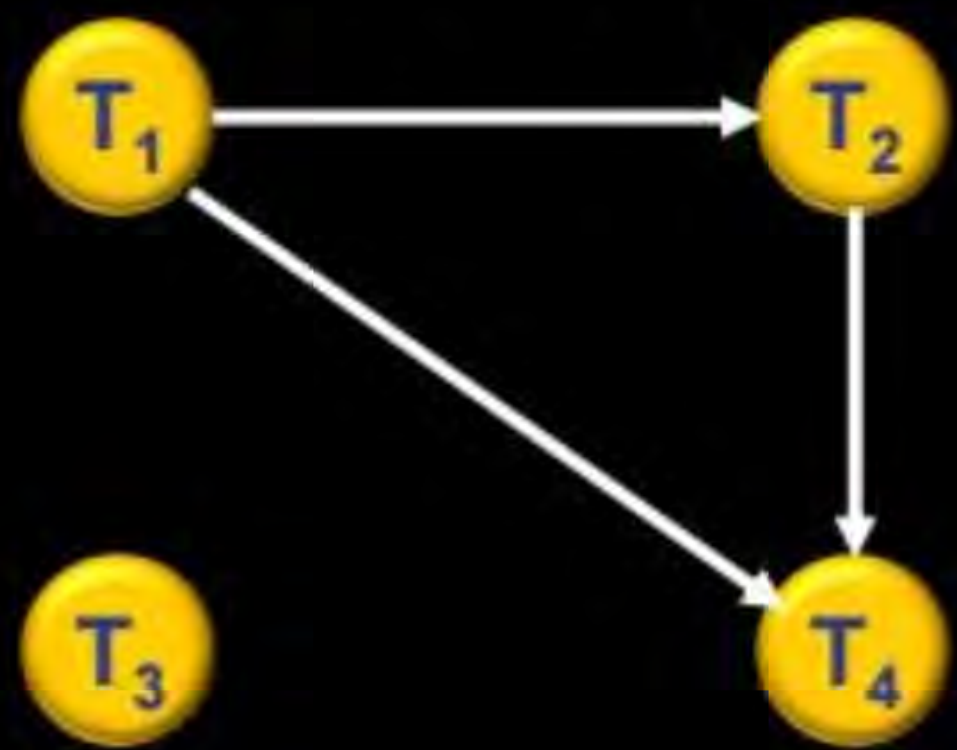
A B { C D E
D C E

A B C D E

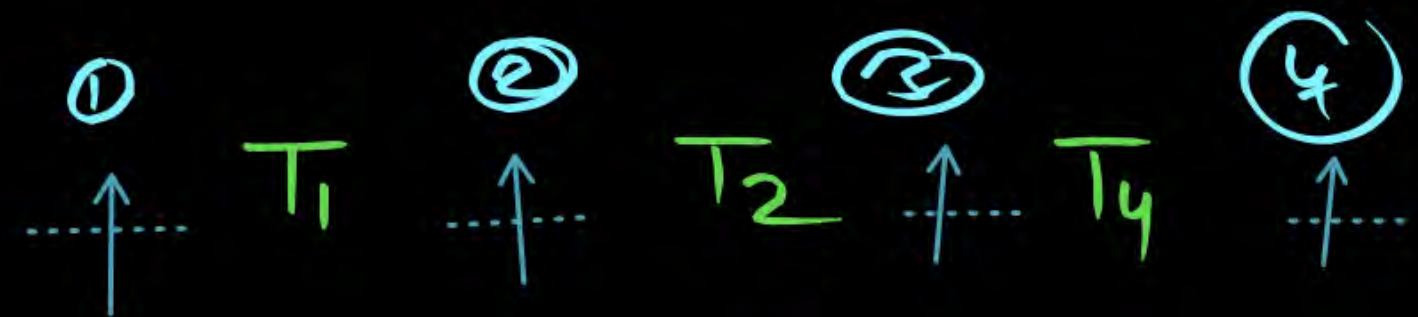
A B D C E

Topological Sorting

Q.



T_3



Ans 4

$\langle T_3, T_1, T_2, T_4 \rangle$
 $\langle T_1, T_3, T_2, T_4 \rangle$
 $\langle T_1, T_2, T_3, T_4 \rangle$
 $\langle T_1, T_2, T_4, T_3 \rangle$

4 Ans

Topological Sorting

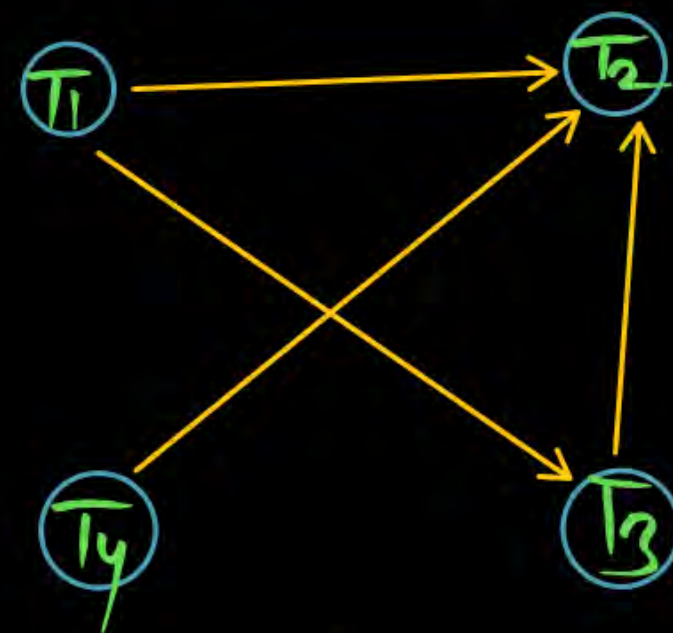
Q.

$R_4(x)$ $R_2(x)$ $R_3(x)$ $W_1(y)$ $W_2(x)$ $R_3(y)$ $W_2(y)$

T_1	T_2	T_3	T_4
			<u>$R(x)$</u>
	<u>$R(x)$</u>		
		<u>$R(x)$</u>	
<u>$W(y)$</u>			
	<u>$W(x)$</u>		
		<u>$R(y)$</u>	
	<u>$W(y)$</u>		

$Ans(3)$

$\prec T_1, T_3, T_4, T_2$



T_1	T_2	T_3	T_4
			<u>$R(x)$</u>
	<u>$R(x)$</u>		
		<u>$R(x)$</u>	
<u>$W(y)$</u>			
	<u>$W(x)$</u>		
		<u>$R(y)$</u>	
	<u>$W(y)$</u>		

T_4

$\langle T_1, T_4, T_3, T_2 \rangle$
 $\langle T_4, T_1, T_3, T_2 \rangle$
 $\langle T_1, T_3, T_4, T_2 \rangle$

Ans

T_1

T_4

T_2

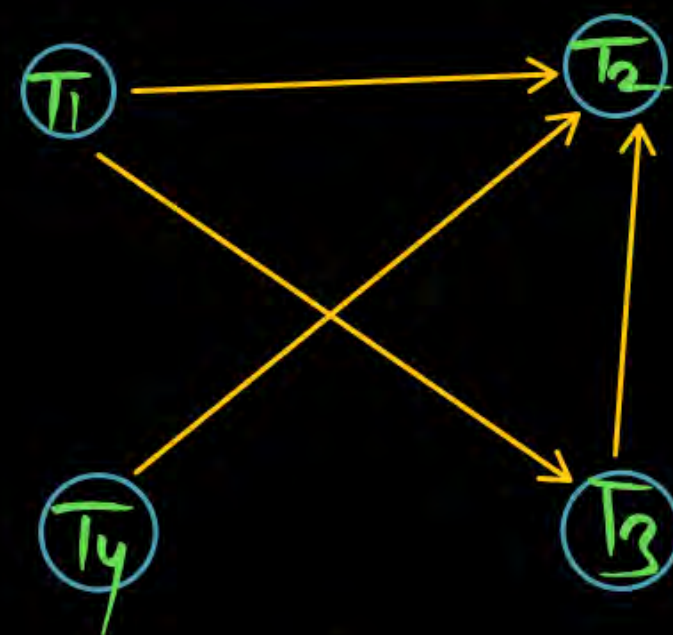
T_3

Topological Sorting

Q.

$R_4(x)$ $R_2(x)$ $R_3(x)$ $W_1(y)$ $W_2(x)$ $R_3(y)$ $W_2(y)$

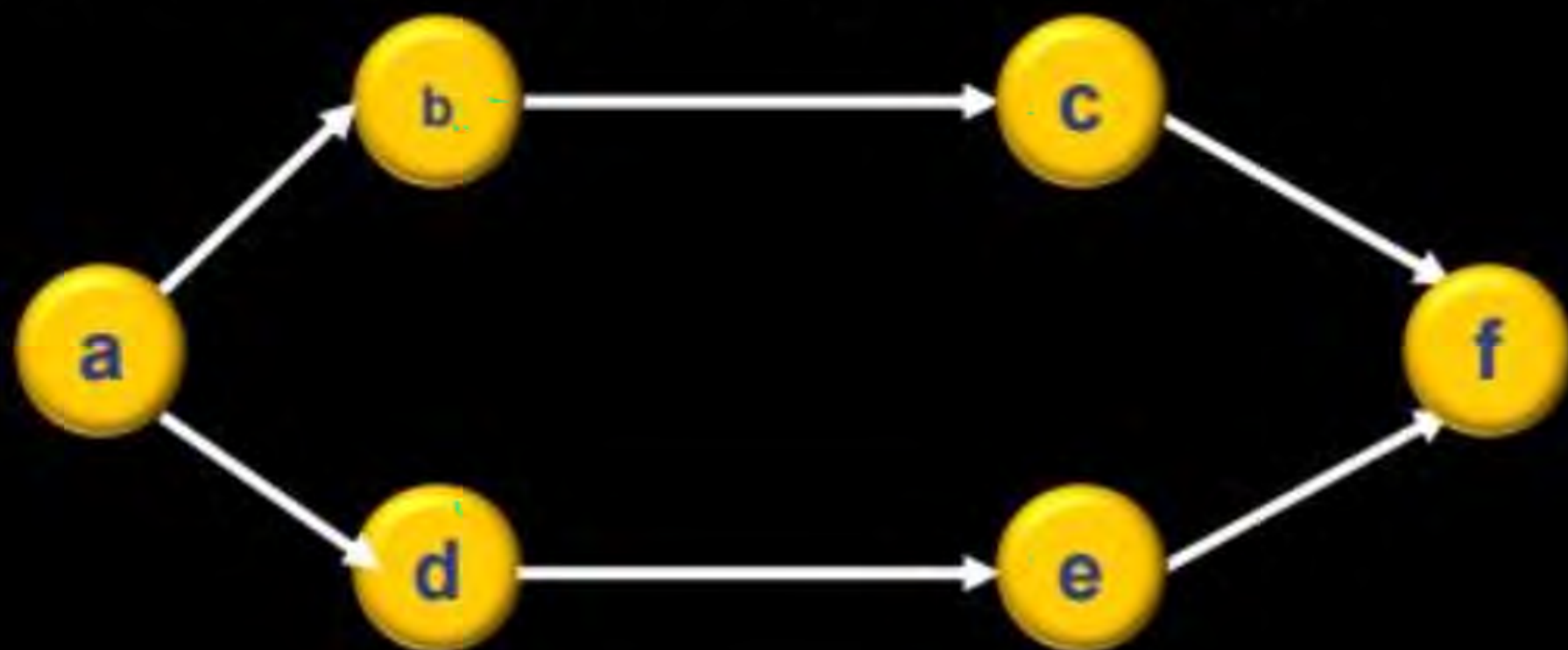
T_1	T_2	T_3	T_4
			<u>$R(x)$</u>
	<u>$R(x)$</u>		
		<u>$R(x)$</u>	
<u>$W(y)$</u>			
	<u>$W(x)$</u>		
		<u>$R(y)$</u>	
	<u>$W(y)$</u>		



Topological Sorting

Q.

Consider the following directed graph:



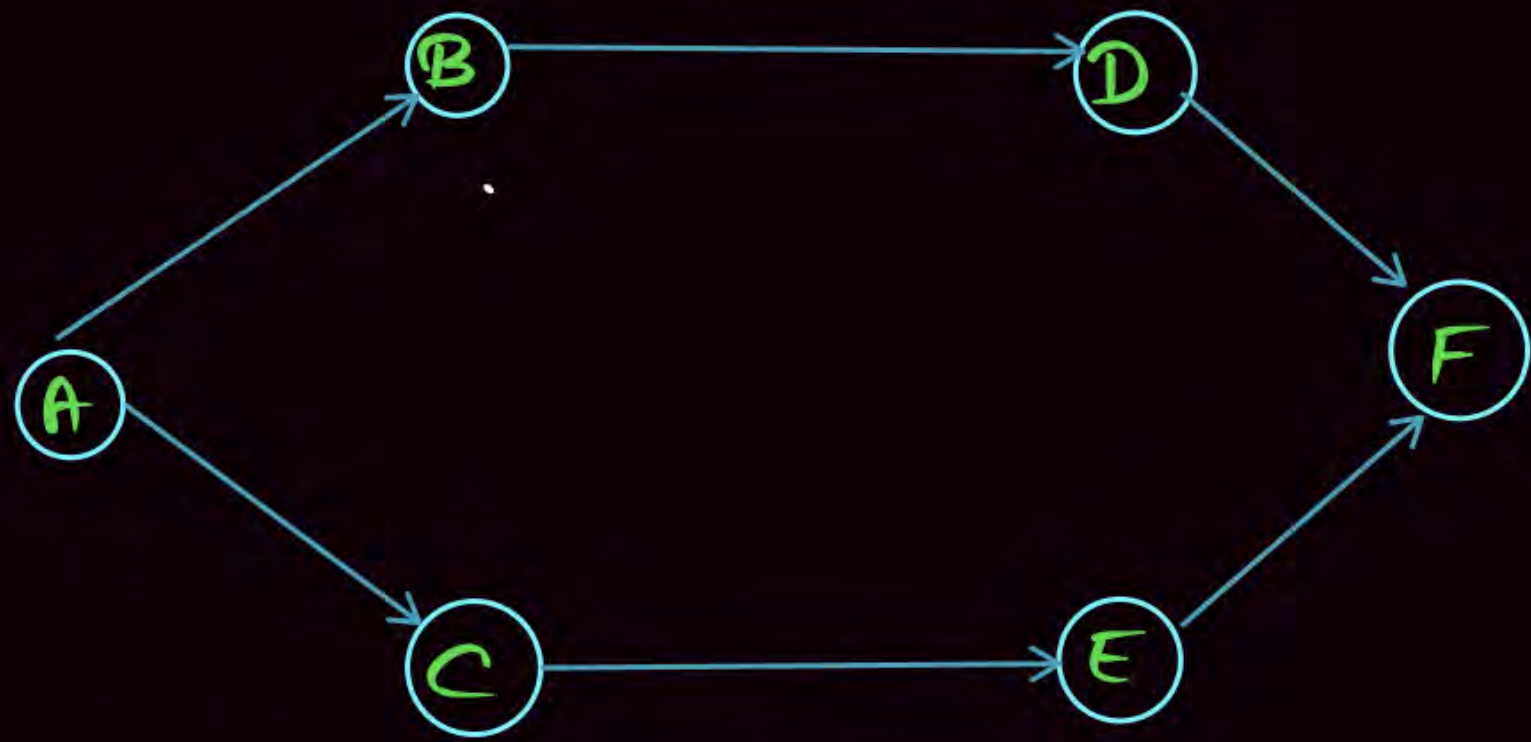
a

b

Topological Sorting: 6

The number of different topological ordering of the vertices of the graph is _____.

[MCQ: 2016]



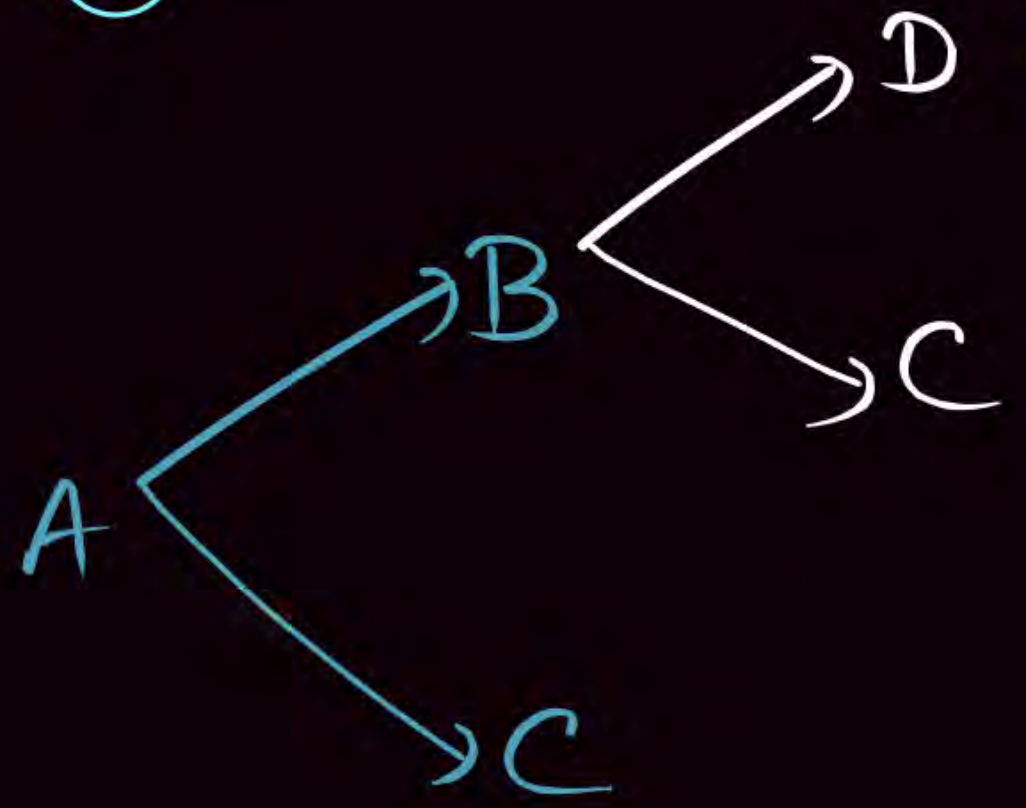
Topological Sorting

A	B D	C	E	F
A	C E	B D		F
A	B C	D	E	
A	B C	E	D	
A	C B	E	D	
A	C B	D	E	

|||||

A	X
B	Y

A	B	X	Y
X	Y	A	B
A	X	B	Y
A	X	Y	B
X	A	Y	B
X	A	B	Y



Now D

C E F

Topological sorting

A

Indegree = 0

Now B

~~B~~

~~A~~

~~C~~

Topological Sorting

Indegree = 0

Now B



Now C



6) Ans

Consider a simple checkpointing protocol and the following set of operations in the log.

(start, T4); (write, T4, y, 2, 3); (start, T1);
(commit, T4); (write, T1, z, 5, 7);
(checkpoint);
(start, T2); (write, T2, x, 1, 9); (commit, T2);
(start, T3); (write, T3, z, 7, 2);

If a crash happens now and the system tries to recover using both undo and redo operations, what are the contents of the undo list and the redo list?

[GATE-2015-CS: 2M]

- A** Undo: T3, T1; Redo: T2
- B** Undo: T3, T1; Redo: T2, T4
- C** Undo: none; Redo: T2, T4, T3, T1
- D** Undo: T3, T1, T4; Redo: T2



**THANK
YOU!**

