

# Database Management System: Assignment 7

Total Marks : 20

August 26, 2023

## Question 1

Consider the following **schedule S** involving five transactions  $T_1, T_2, T_3, T_4$  and  $T_5$ :

*Marks: 2 MCQ*

$T_1$	$T_2$	$T_3$	$T_4$	$T_5$
	R(X)			
		W(X)		
		R(Y)		
W(Y)				
R(X)				
			R(X)	
			W(X)	
				R(Y)

R(X) denotes read operation on data item X by transaction  $T_i$ .

W(X) denotes write operation on data item X by transaction  $T_i$ .

Choose the correct option for the above **transaction schedule**.

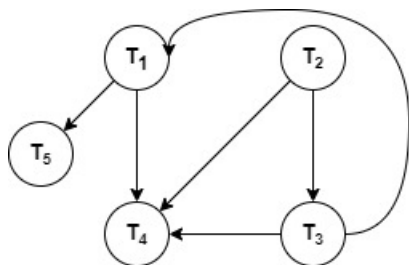
- a) The **schedule** is neither **conflict serializable** nor **view serializable schedule**.
- b) The **schedule** is both **conflict** and **view serializable schedule**.
- c) The **schedule** is only **view serializable schedule**.
- d) The **schedule** is only **conflict serializable schedule**.

**Answer:** b)

**Explanation:** If we draw the **precedence graph** of the transactions as shown in the following, we can observe that the graph has no cycle.

So, the above schedule is a **conflict serializable schedule**.

All **conflict serializable schedules** are **view serializable** too.



So, option (b) is correct.

## Question 2

Consider the following **schedule S** involving five transactions  $T_1$ ,  $T_2$ ,  $T_3$ ,  $T_4$  and  $T_5$ :

*Marks: 2 MCQ*

$T_1$	$T_2$	$T_3$	$T_4$	$T_5$
R(X)				
		R(X)		
		W(X)		
	W(X)			
				W(Z)
			R(Z)	
			W(Z)	
R(Z)				

R(X) denotes read operation on data item X by transaction  $T_i$ .

W(X) denotes write operation on data item X by transaction  $T_i$ .

Identify the correct option(s) that represent the order of execution of all transactions of the above **schedule S**.

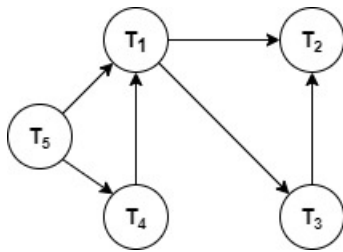
- a)  $T_4 \rightarrow T_5 \rightarrow T_1 \rightarrow T_3 \rightarrow T_2$
- b)  $T_5 \rightarrow T_4 \rightarrow T_1 \rightarrow T_3 \rightarrow T_2$
- c)  $T_1 \rightarrow T_2 \rightarrow T_3 \rightarrow T_5 \rightarrow T_4$
- d)  $T_4 \rightarrow T_1 \rightarrow T_4 \rightarrow T_3 \rightarrow T_2$

**Answer:** b)

**Explanation:** If we draw the **precedence graph** of the transactions as shown in the following, we can observe that the graph has no cycle.

So, the above schedule is a **conflict serializable schedule**.

All **conflict serializable schedules** are **view serializable** too.



All possible **topological orderings** of the above **precedence graph** will be the possible **conflict serializable schedule**.

Hence, the correct order of execution of all transactions is:  $(T_5 \rightarrow T_4 \rightarrow T_1 \rightarrow T_3 \rightarrow T_2)$

So, option (b) is correct

### Question 3

Consider the following **schedule S** involving five transactions  $T_1, T_2, T_3, T_4$  and  $T_5$ :

*Marks: 2 MCQ*

$T_1$	$T_2$	$T_3$	$T_4$	$T_5$
	R(X)			
		W(X)		
W(Y)				
				W(Y)
			R(X)	
			W(X)	
	W(Y)			

R(X) denotes read operation on data item X by transaction  $T_i$ .

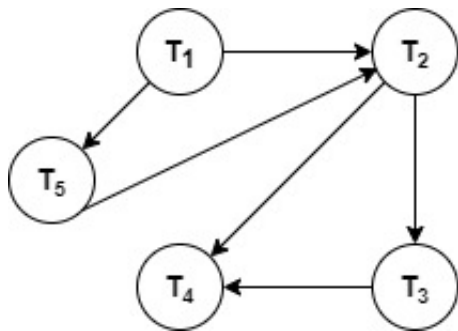
W(Y) denotes write operation on data item Y by transaction  $T_i$ .

Identify the possible number of **conflict serializable** schedules of the above **schedule S**.

- a) 0
- b) 1
- c) 3
- d) 5

**Answer:** b)

**Explanation:** If we draw the **precedence graph** of the **schedule**, we can observe that the graph has no cycle. Hence, the above schedule is **conflict serializable** schedules.



Only one possible **topological orderings** of the above **precedence graph** will be the possible **conflict serializable** schedule.

1.  $T_1 \rightarrow T_5 \rightarrow T_2 \rightarrow T_3 \rightarrow T_4$

Hence, option b) is correct.

## Question 4

Consider the following schedule  $S$ .

Marks: 2 MCQ

T1	T2	T3
R(X)		
	W(X)	
		R(X)
W(X)		
		W(X)
		W(Y)

R(X) denotes read operation on data item X by Transaction  $T_i$ .

W(X) denotes write operation on data item X by Transaction  $T_i$ .

Identify the possible number of **view serializable** schedules of the schedule  $S$ .

- a) 5
- b) 3
- c) 2
- d) 1

**Answer:** d)

**Explanation:** Step 1: Final Update on data item: This is done on data item X,Y by T3.

Since the final update on X, Y is made by T3, the transaction T3 must execute after all transactions T1 and T2. So,  $(T1, T2) \rightarrow T3$ .

Step 2: Transaction T1 does the initial read on X; then, T2 updates on it.

Hence, the dependency is:  $T1 \rightarrow T2$

Step 3: Write Read Sequence: T2 writes X and then T3 reads X.

Hence, the dependency is:  $T2 \rightarrow T3$

Thus, the only possible way, this is possible is:  $T1 \rightarrow T2 \rightarrow T3$ .

Hence, total possible **view serializable** schedule of  $S = 1$

So, option (d) is correct.

## Question 5

Identify the correct statement(s) from the followings. In this context, *older* implies *smaller timestamp*.

Marks:2 MSQ

- a) In Wound-Wait Deadlock Prevention scheme, **Older** transaction forces rollback of younger transaction instead of waiting for it.
- b) In Wound-Wait Deadlock Prevention scheme, **Younger** transactions never wait for older ones; they are **rolled back** instead.
- c) In Wait-Die Deadlock Prevention scheme, **Older** transaction forces rollback of younger transaction instead of waiting for it.
- d) In Wait-Die Deadlock Prevention scheme, **Younger** transactions never wait for older ones; they are **rolled back** instead.

**Answer:** a), d)

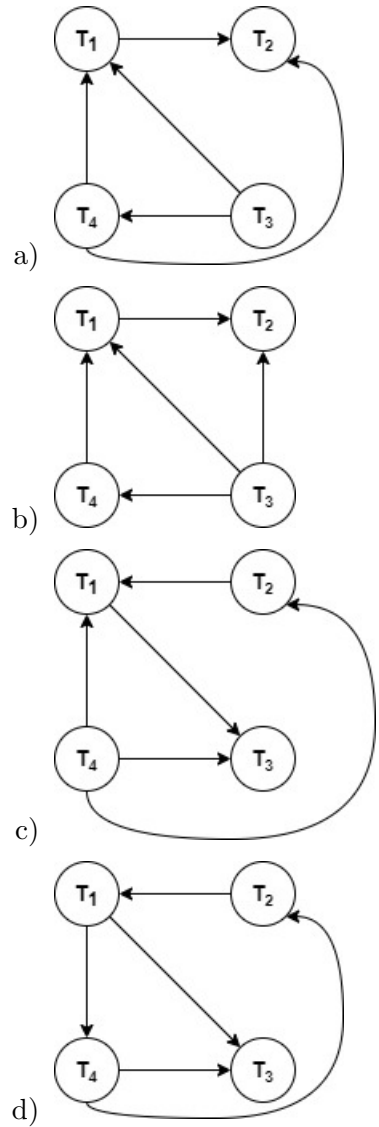
**Explanation:** As per the deadlock prevention scheme, options a) and d) are correct. For more details refer to Module 35.7.

## Question 6

Suppose that four transactions  $T_1, T_2, T_3$  and  $T_4$  are being applied on a database. Transaction  $T_1$  is waiting for transactions  $T_3$  and  $T_4$ ; transaction  $T_2$  is waiting for transaction  $T_1$ , and transaction  $T_4$  is waiting for transactions  $T_2$  and  $T_3$  to release a data item.

Identify the correct wait-for graph for the above scenario.

Marks: 2 MCQ



**Answer:** d)

**Explanation:** When  $T_i$  requests a data item currently being held by  $T_j$ , then the edge  $T_i \rightarrow T_j$  is inserted in the wait-for graph. An edge  $T_i \rightarrow T_j$  implies that  $T_i$  is waiting for  $T_j$  to release a data item.

Hence, option d) is correct.

## Question 7

Consider the following two schedules S1 and S2.

Marks: 2 MSQ

S1		S2	
$T_1$	$T_2$	$T_1$	$T_2$
	R(X)	R(X)	
	W(X)	W(X)	
	COMMIT		R(X)
R(X)			W(X)
W(X)		COMMIT	
COMMIT			COMMIT

R(X) denotes read operation on data item X by Transaction  $T_i$ .

W(X) denotes write operation on data item X by Transaction  $T_i$ .

Which of the following statements is (are) **true** for the above two schedules S1 and S2?

- a) Both schedules S1 and S2 are **Recoverable Schedule**.
- b) Both schedules S1 and S2 are **Cascadeless Schedule**.
- c) The schedule S1 is **Cascadeless Schedule** but the schedule S2 is a **Recoverable Schedule**.
- d) The schedule S1 is **Cascadeless Schedule** but the schedule S2 is **not a Recoverable Schedule**.

**Answer:** a), c)

**Explanation:** **Recoverable Schedule:** If a transaction  $T_j$  reads a data item previously written by a transaction  $T_i$ , the commit operation of  $T_i$  must appear before the commit operation of  $T_j$ .

**Cascadeless schedules:** For each pair of transactions  $T_i$  and  $T_j$  such that  $T_j$  reads a data item previously written by  $T_i$ , the commit operation of  $T_i$  appears before the read operation of  $T_j$ .

In S1,  $T_1$  reads the data item X that was previously written by  $T_2$ ,  $T_2$  committed before  $T_1$  reads X. Hence, the schedule is **recoverable schedule** as well as **Cascadeless schedule**.

In S2,  $T_2$  reads the data item X that was previously written by  $T_1$ ,  $T_1$  committed before the commit operation of  $T_2$ . Hence, the schedule is only **recoverable schedule** but not **Cascadeless schedule**.

Hence, options (a) and (c) are correct.

## Question 8

Consider two transactions given below where **lock-X(A)** denotes that  $T_i$  has obtained an **Exclusive-mode** lock on data item A and **lock-S(A)** denotes that  $T_i$  has obtained a **Shared-mode** lock on data item A. **read(A)** denotes read operation on data item A by the transaction  $T_i$ . **write(A)** denotes write operation on data item A by the transaction  $T_i$ . Marks:2 MCQ

$T_1$		$T_2$
lock-X(A)		lock-S(A)
read(A)		read(A)
lock-X(B)		unlock(A)
lock-S(C)		lock-S(B)
write(A)		read(B)
read(B)		commit
write(B)		unlock(B)
read(C)		
unlock(C)		
commit		
unlock(A)		
unlock(B)		

Which of the following statements is (are) true?

- a) Both  $T_1$  and  $T_2$  follow rigorous two-phase locking protocol.
- b) Both  $T_1$  and  $T_2$  follow strict two-phase locking protocol.
- c) Only  $T_1$  follows strict two-phase locking protocol, but  $T_2$  does not follow two-phase locking protocol.
- d) Only  $T_1$  follows rigorous two-phase locking protocol but  $T_2$  does not follow two-phase locking protocol.

**Answer:** c)

**Explanation:** Transaction  $T_1$  unlocks the Shared-mode lock before commit and unlocks all Exclusive-mode lock after commit. That is why, it follows the strict two phase locking protocol but not rigorous two-phase locking protocol.

Transactions  $T_2$  does not follow any two-phase locking protocol. Because there no growing phase and shrinking phase, after unlock(A) again granted a lock-S(B).

Hence, option (c) is correct.



## Question 9

Identify the incorrect statement(s) about the lock compatibility matrix given below, where S denotes a shared mode lock and X denotes an exclusive mode lock.

Marks:2 MCQ

	S	X
S	True	False
X	False	False

- a) If a transaction holds an S lock on a data item, other transactions will not be allowed to obtain a X lock on the same data item.
- b) If a transaction holds an S lock on a data item, other transactions will not be allowed to obtain an S lock on the same data item.
- c) If a transaction holds an X lock on a data item, other transactions will not be allowed to obtain an X lock on the same data item.
- d) If a transaction holds an X lock on an item, other transactions will not be allowed to obtain an S lock on the same data item.

**Answer:** b)

**Explanation:** As per lock based protocols. Refer to Module 34 slide 10.

## Question 10

Consider the following schedule S.

Marks: 2 MCQ

T1	T2	T3
R(Y)		
R(Z)		
W(Y)		
commit		
	R(Y)	
	W(Y)	
		R(Y)
		W(Y)
	abort	

R(Y) denotes read operation on data item Y by Transaction  $T_i$ .

W(Y) denotes write operation on data item Y by Transaction  $T_i$ .

Identify the correct statement(s) based on the above schedule S.

- a) If T2 fails (aborted), both transactions T1, and T3 must also be rolled back.
- b) If T2 fails (aborted), transaction T3 must also be rolled back.
- c) If T2 fails (aborted), only transaction T1 must be rolled back.
- d) If T2 fails (aborted), no transaction will be rolled back.

**Answer:** b)

**Explanation:** As per the cascading rollback a single transaction failure leads to a series of transaction rollbacks.

Hence, if T2 fails (aborted), T3 must also be rolled back.

So, option (b) is correct.