

CS100433

Illumination Model and Surface Shading

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Visual cues to 3D geometry

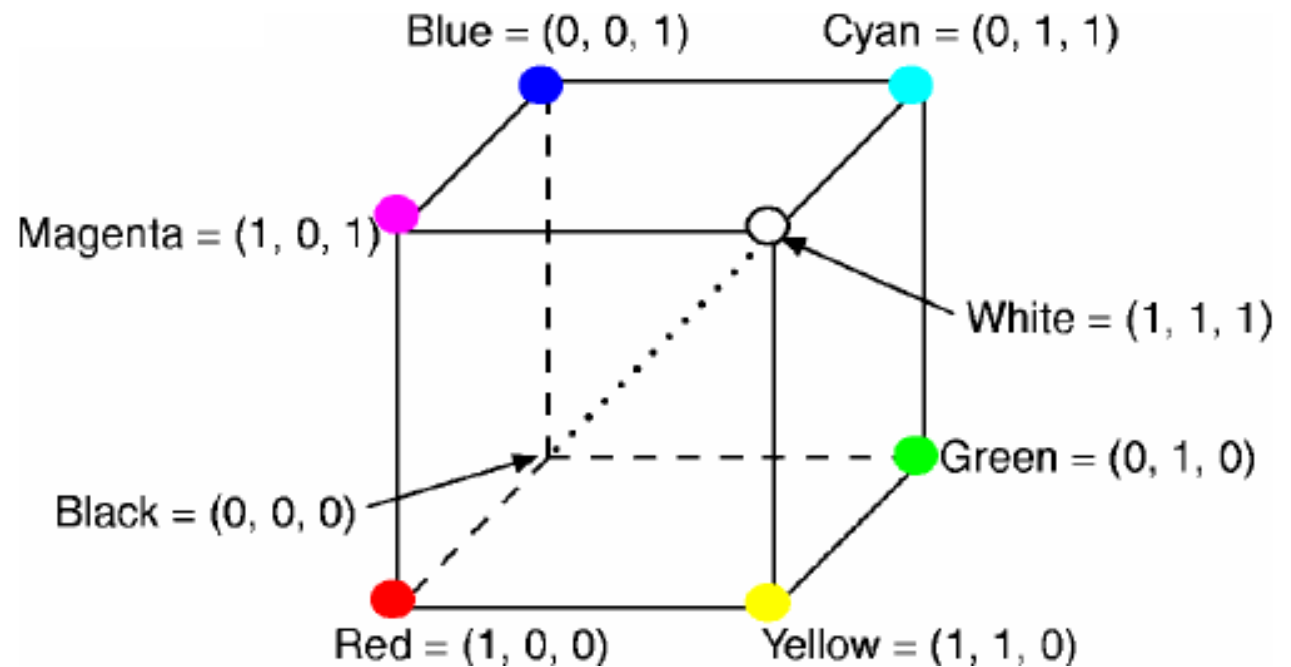
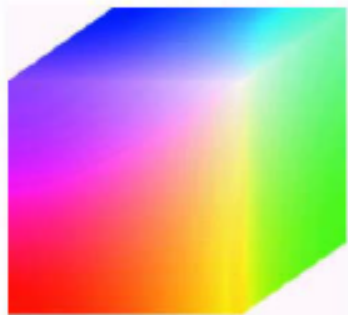
- size (perspective)
- occlusion (depth)
- shading

Color

- Color is a property of objects that our minds create –an interpretation of the world around us
- Color of object depends not only on object itself but also on light source illuminating it, on color of surrounding area, and on human visual system (HVS, the eye/brain mechanism)

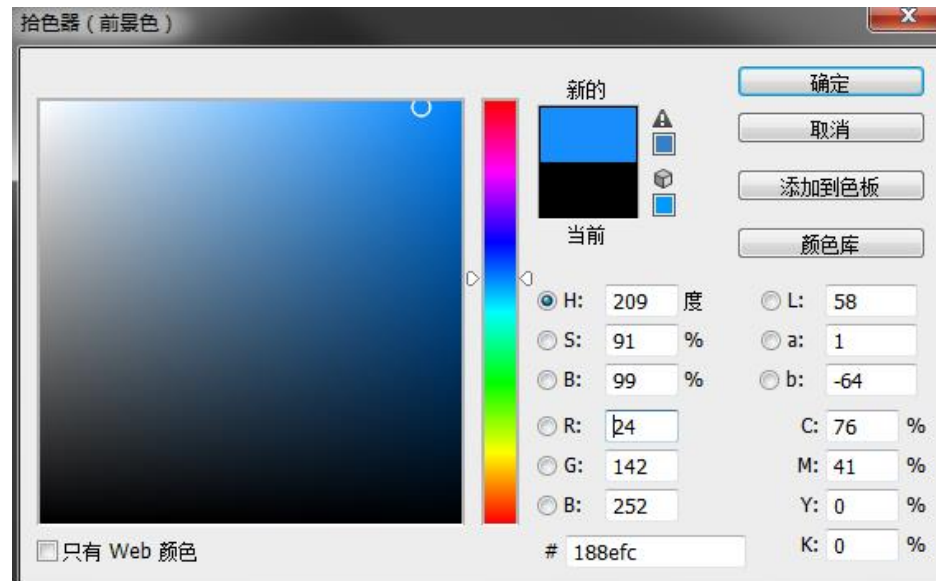
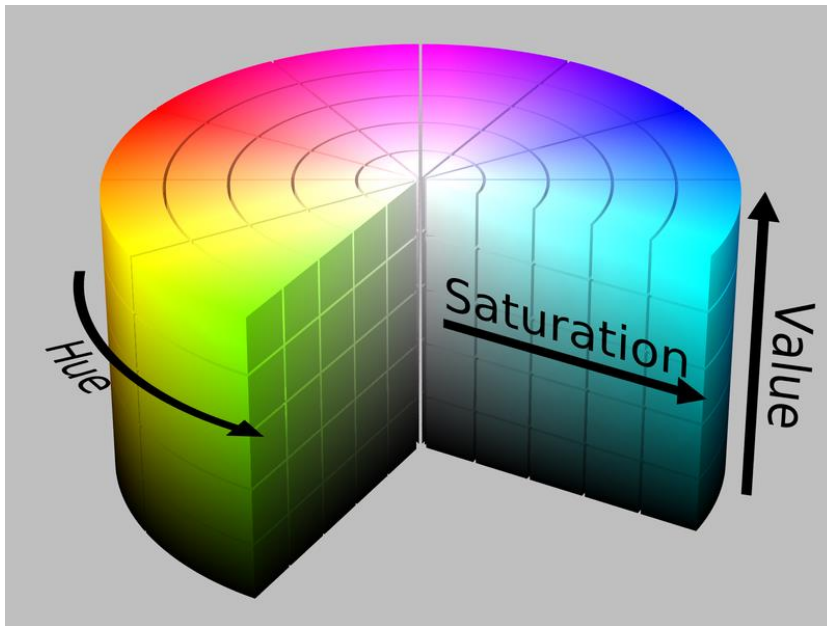
Color model

- RGB
- CMY(K)



HSV color model

- **Hue, Saturation, and Value (Brightness)**
- Rearrange the geometry of RGB in an attempt to be more intuitive and perceptually relevant



Light color vs Reflected color

- The colors we see in real life are not the colors the objects actually have, but are the colors reflected from the object (rejected by the object).
 - If the light color is white: $L = (1.0, 1.0, 1.0)$
 - An object reflects color: $R = (1.0, 0.0, 0.0)$
 - The resulting color of the object is $L * R = (1.0, 0.0, 0.0)$ which is Red

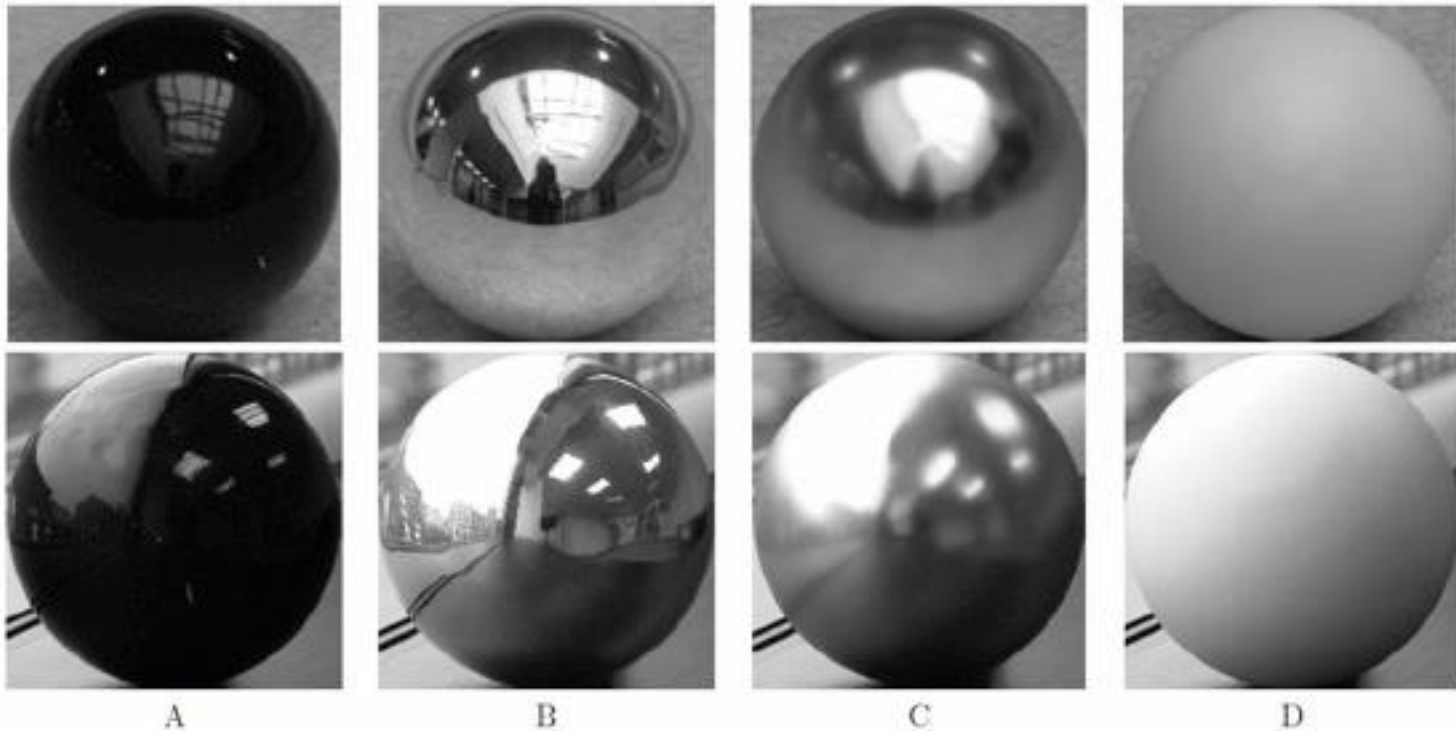
Shading

- Variation in observed color across an object
 - strongly affected by lighting
 - present even for homogeneous material
- caused by how a material reflects light
 - depends on
 - geometry
 - lighting
 - material



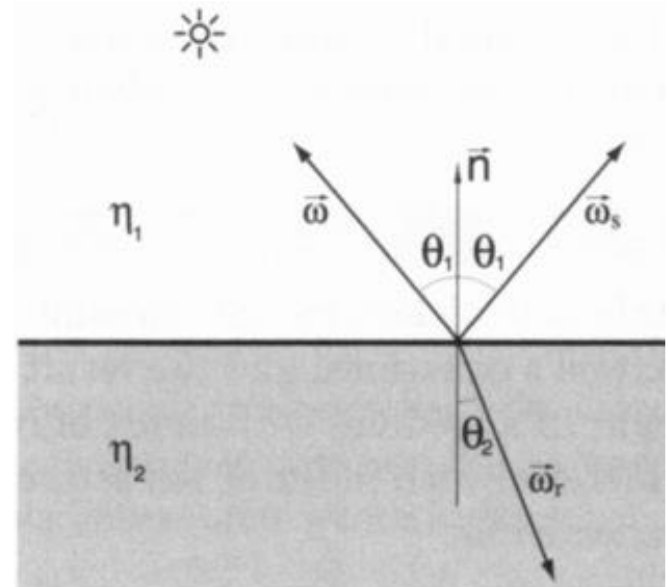
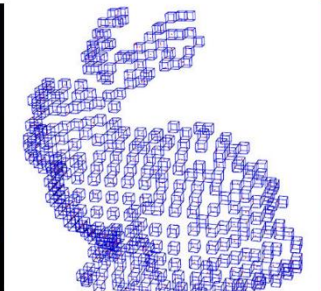
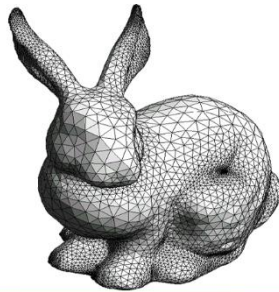
Materials

- Human visual system (HVS) is quite good at understanding shading



Shading problem for CG

- Need to compute an image
 - of particular geometry
 - under particular illumination
 - from a particular viewpoint
- Basic question: how much light reflects from an object toward the viewer?



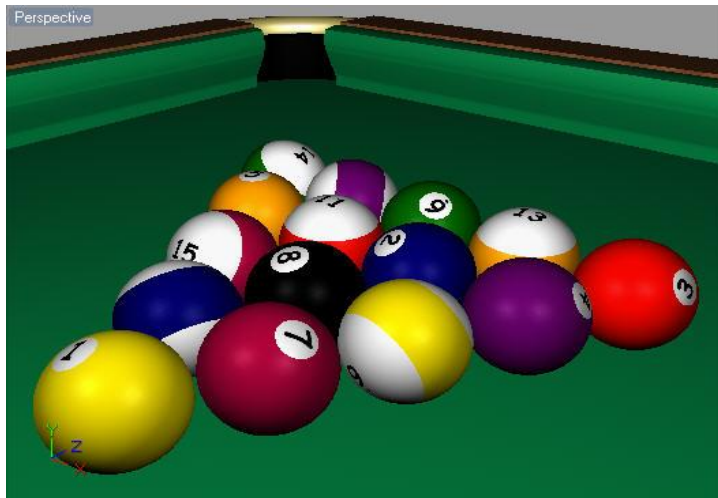
Real Shading

- Complex interactions
 - Even between objects
 - Lot of reflections before reaching the eye
- Scattered light, reflection, absorption etc.
 - Impossible to simulate accurately!



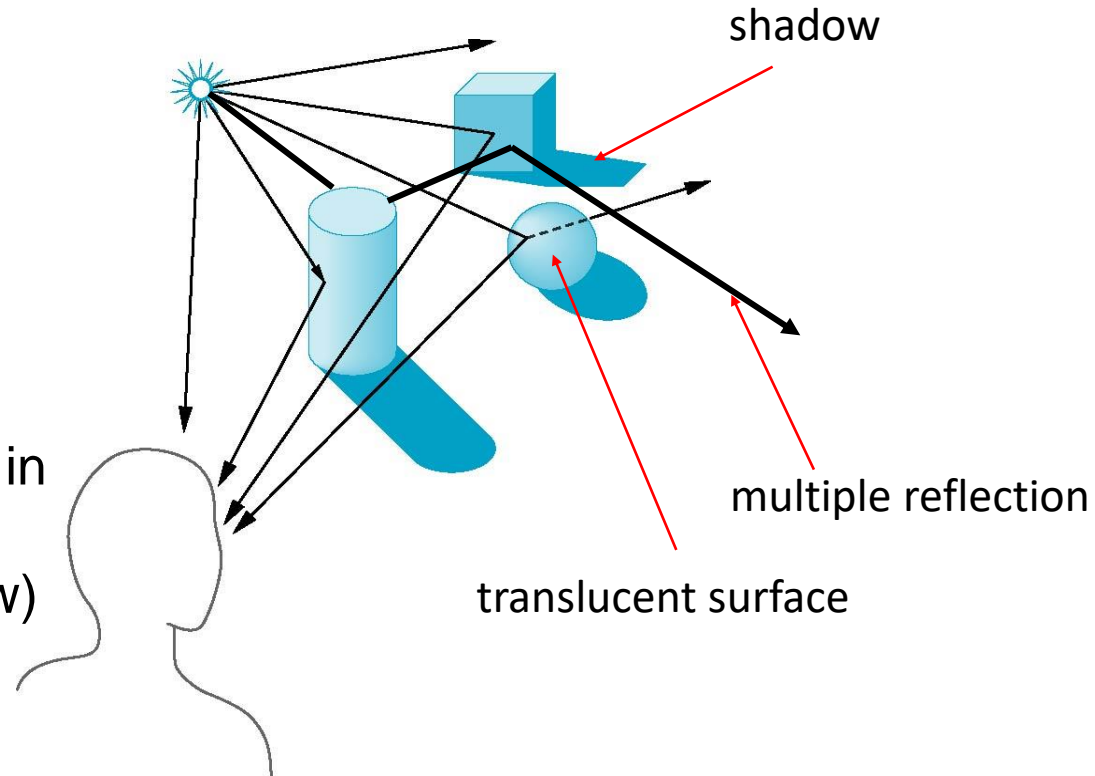
Illumination model

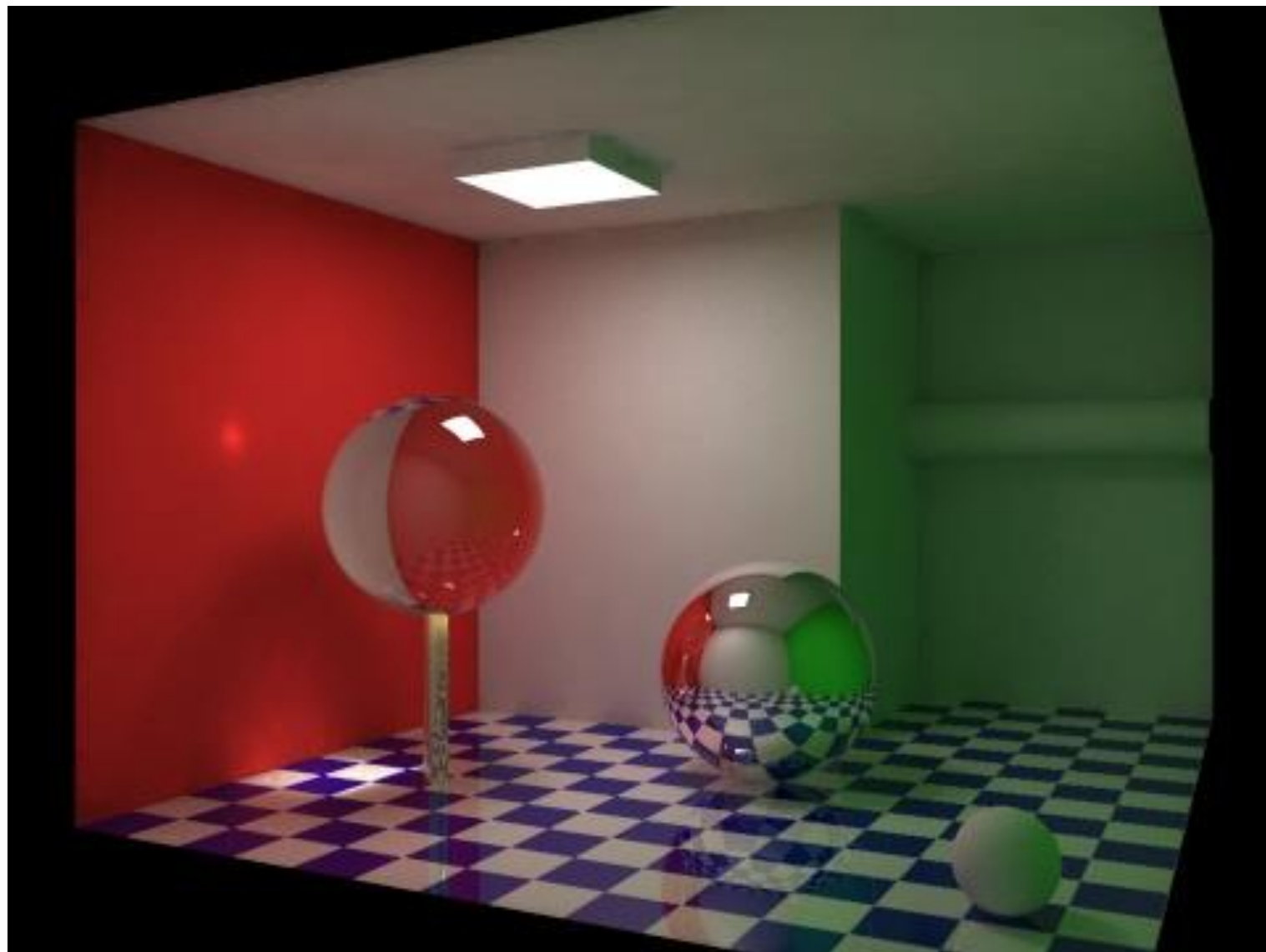
- Global illumination model
 - Simulate not only the direct illuminations but also the indirect illuminations
- Local illumination model
 - Considers light sources and surface properties only



Global Illumination (GI) methods

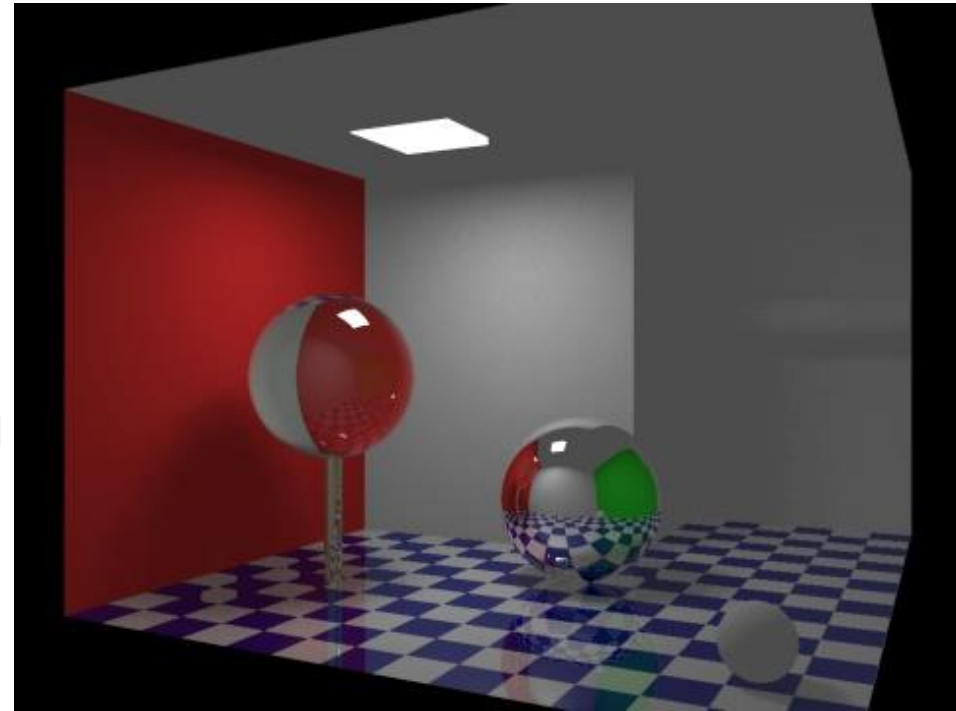
- Ray-tracing
- Radiosity
- Photon Mapping
- Can handle
 - Reflection (one object in another)
 - Refraction (Snell's Law)
 - Shadows
 - Color bleeding
- More computation and slow





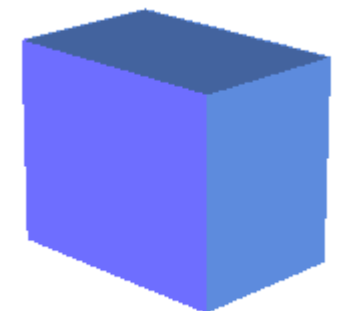
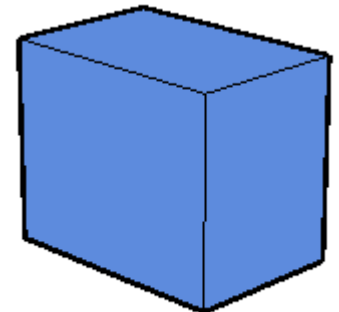
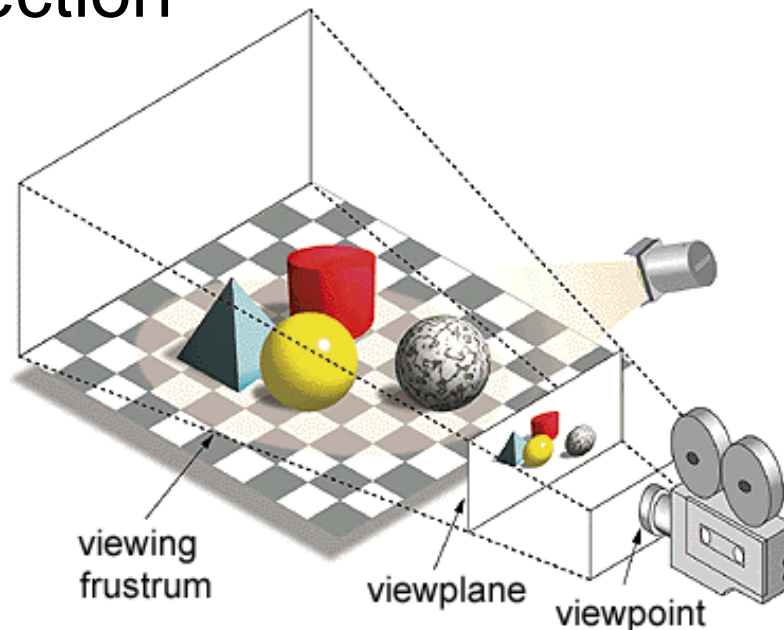
Local Illumination methods

- Gouraud shading
- Phong shading
- Shadow techniques
- Can approximate GI!
 - Environmental Mapping
 - Ambient occlusion
 - Image based lighting
- Fast and real-time
- Not as accurate as GI



Local illumination model

- Light sources
- Geometry
- Material
- Viewing Direction

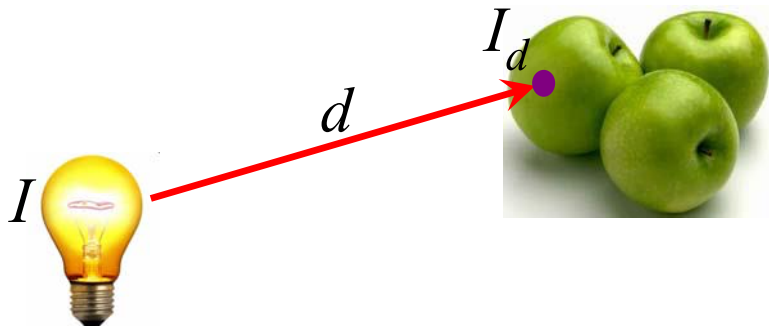
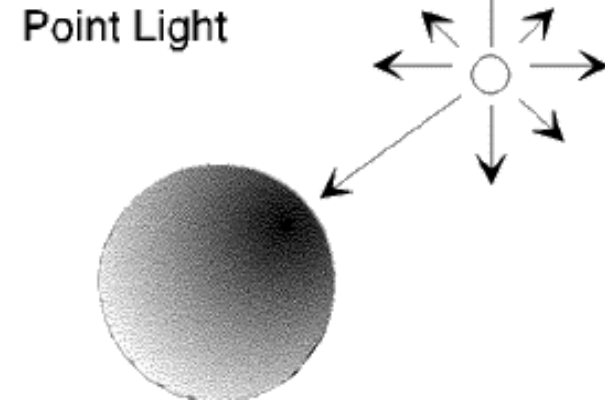


Light sources

- Point Light
- Directional Light
- Spotlight Light
- Area Light
- Volumetric Light

Point light

- The most simple one
- It is omni-directional
- Attributes to specify a point light source
 - Position (px, py, pz)
 - Intensity I (if it is a chromatic light, three values representing R, G, and B are needed (I_r, I_g, I_b))
 - Coefficients (a_0, a_1, a_2) to specify its attenuation property with distance d

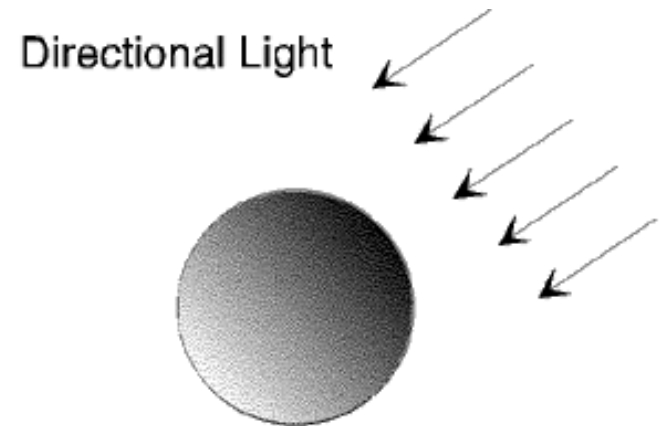


$$f_{radatten} = \frac{1}{a_0 + a_1 d + a_2 d^2}$$

$$I_d = f_{radatten} I$$

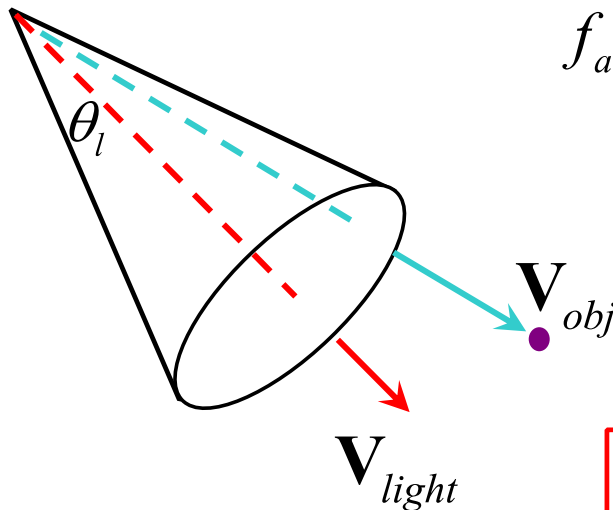
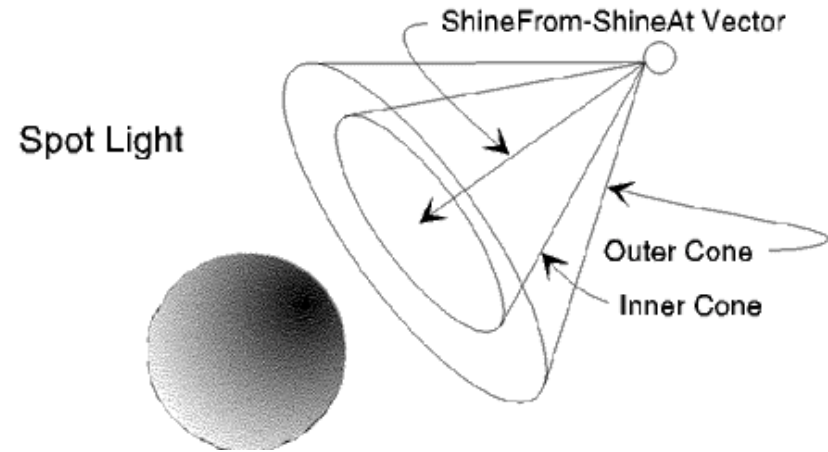
Directional light

- This type can be imagined as a point light source lying in infinity, e.g. Sun light
- Light rays from such a source are radiated in parallel.
- Attributes to specify a directional light source
 - Direction V (v_x, v_y, v_z)
 - Intensity I
 - No attenuation Why?



Spotlight

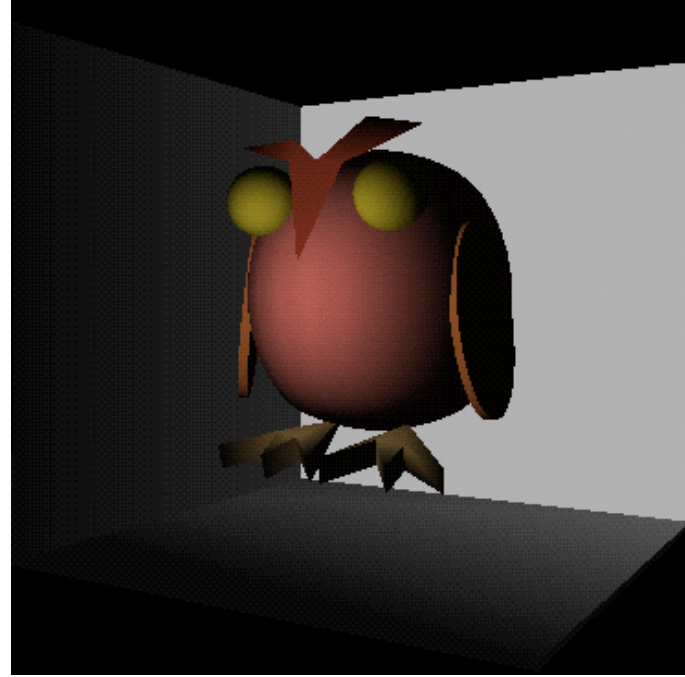
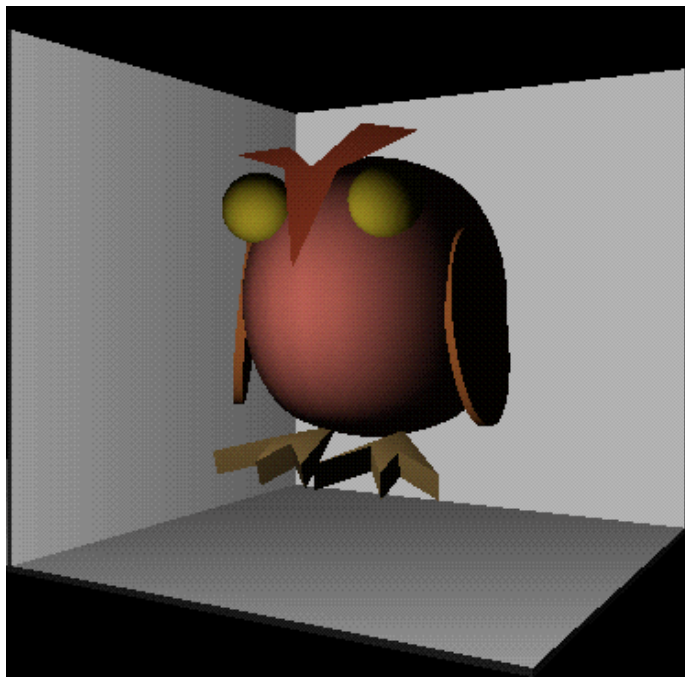
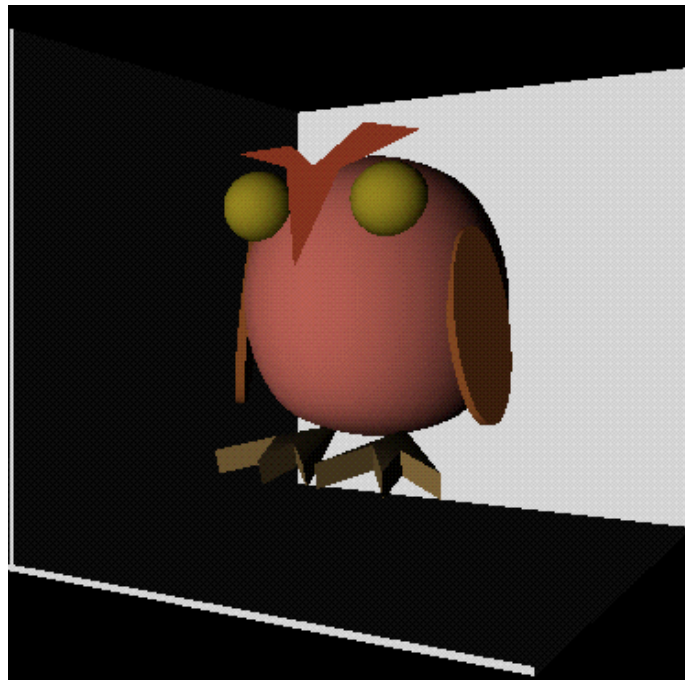
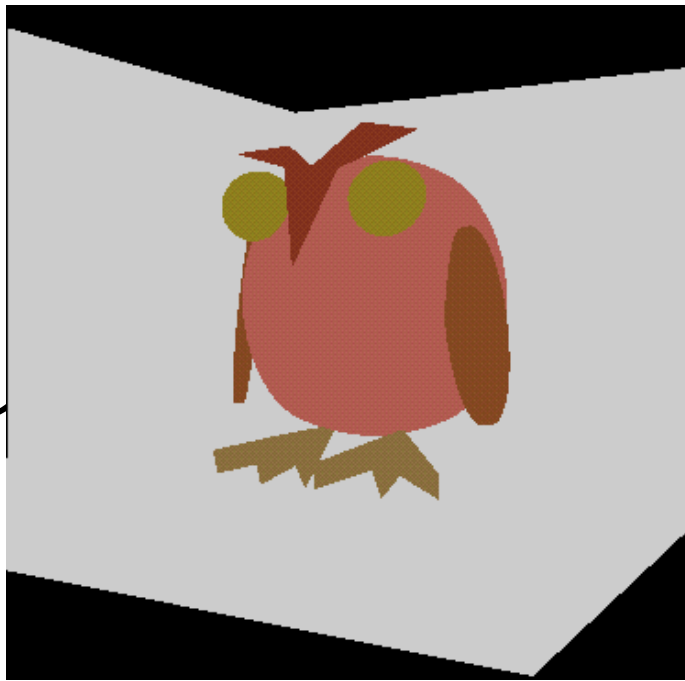
- Besides position and color
- the apex angle of the lighting cone needs to be specified
- (px, py, pz) , I , (tx, ty, tz) and Theta



$$f_{angatten} = \begin{cases} 0.0, & \text{when } \mathbf{V}_{obj} \cdot \mathbf{V}_{light} < \cos \theta_l \\ \left(\mathbf{V}_{obj} \cdot \mathbf{V}_{light} \right)^\alpha, & \text{otherwise} \end{cases}$$

where α is a constant

$f_{radatten}, f_{angatten}$ can be combined



- Questions?

Area light source



Volumetric light source

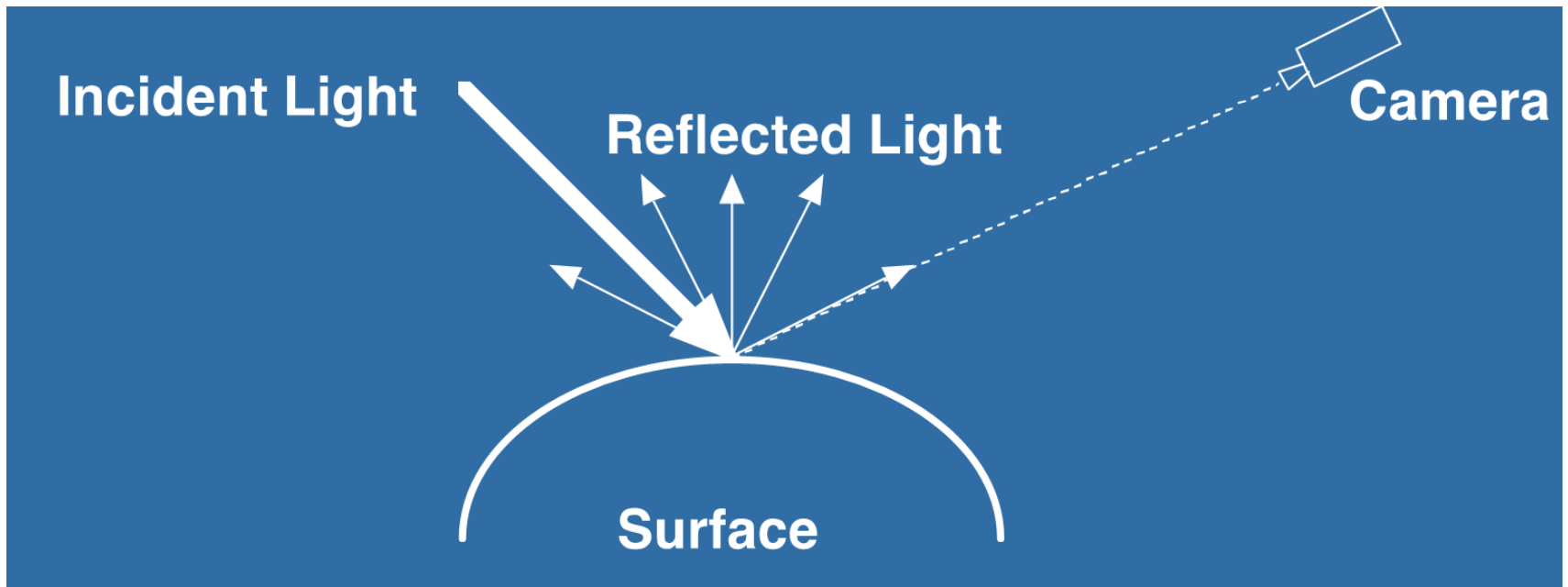


- For any of these light sources, it is easy to compute illumination arriving at a point (e.g., a vertex)
 - How?
- Now we can think about how the incoming light is reflected by a surface

Surface reflection

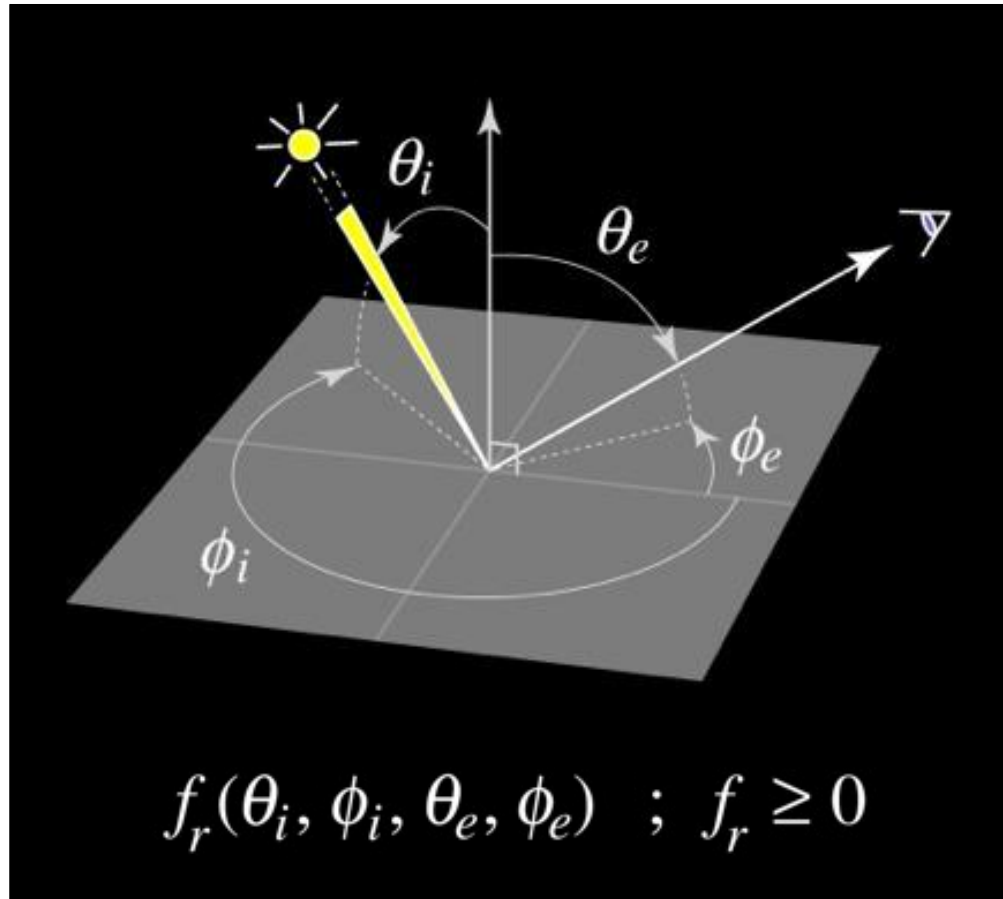
- When light hits an opaque surface some is absorbed, the rest is reflected (some can be transmitted too)
- The reflected light is what we see
- Reflection is not simple and varies with material
 - the surface's micro structure define the details of reflection
 - variations produce anything from bright specular reflection (mirrors) to dull matte finish (chalk)

Surface reflection



Physical-based Rendering (PBR)

- Bidirectional reflectance distribution function (BRDF)

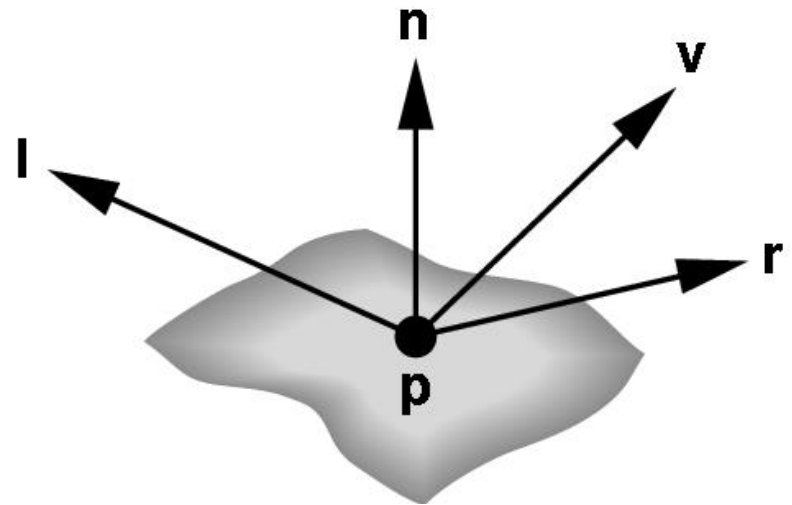


Phong reflection model

- Developed by Bui Tuong Phong (裴祥风) at the University of Utah 1973 (Vietnamese, 1942-1975)
- By Phong model, the light energy emitted from a point on an object to the observer's eye comprises three components
 - Ambient lighting
 - Diffuse reflection
 - Specular reflection

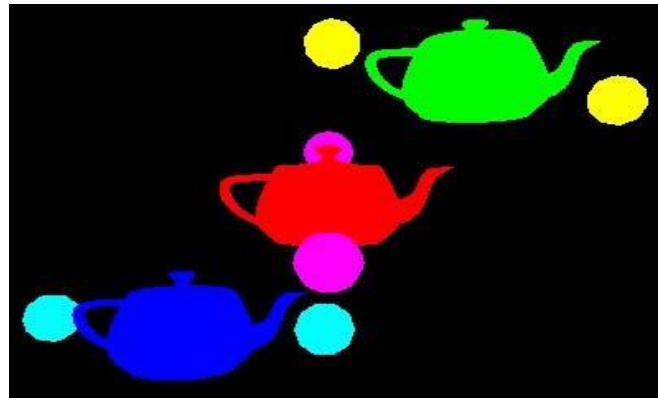
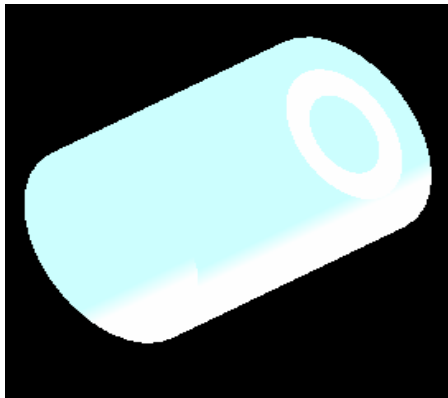
Phong reflection model

- Empirical Model
- Calculate color for arbitrary point on surface
- Basic inputs are material properties and I , n , v :
 - I = vector to light source
 - n = surface normal
 - v = vector to viewer
 - r = reflection of I at p
(determined by I and n)



Ambient reflection

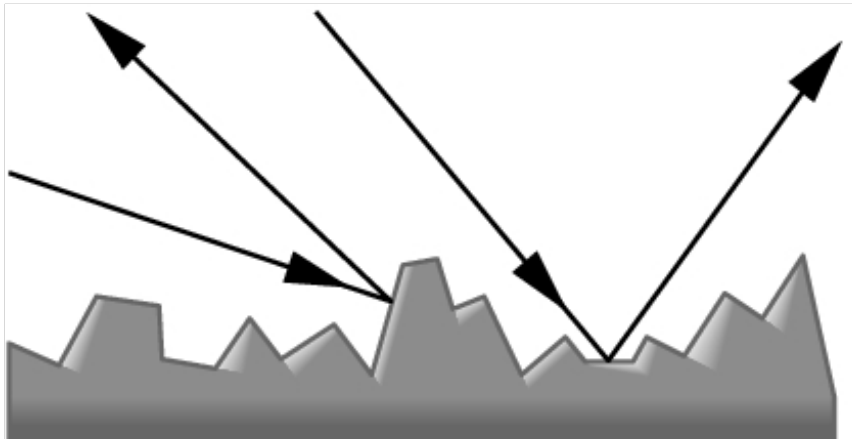
- Ambient reflection is a constant for a scene L_a
- Different surface can have different ambient reflection coefficient k_a ($0 \leq k_a \leq 1$)
- So, if only consider ambient lighting, the illumination at a point simply is $I_a = L_a * k_a$



Rendering results when only ambient lighting is considered

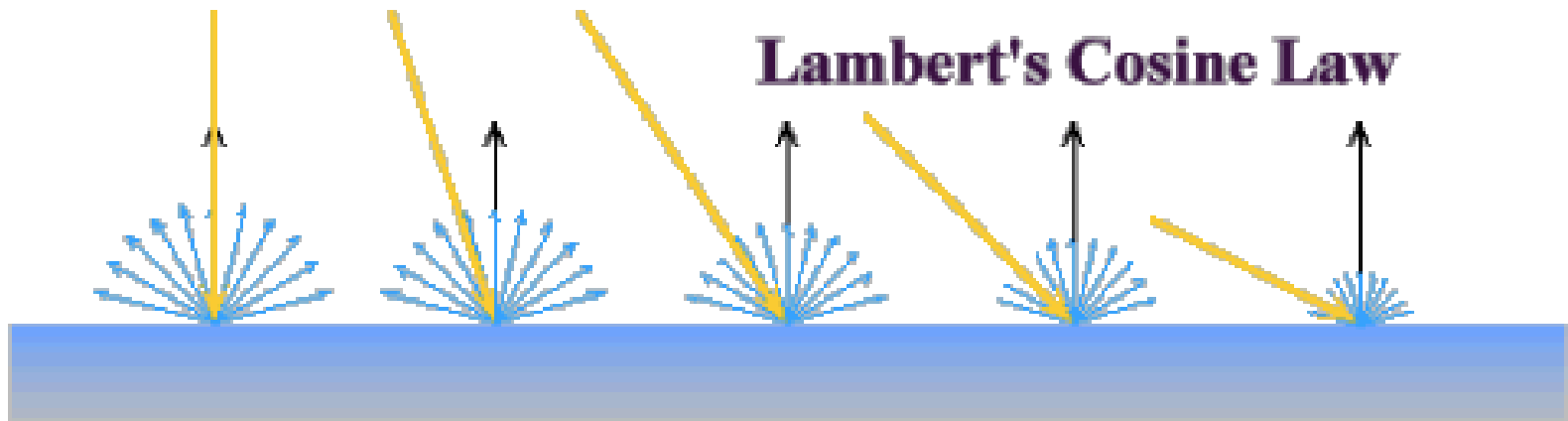
Diffuse reflection

- Diffuse reflector scatters light
- Assume equally all direction
- Called Lambertian surface
- Diffuse reflection coefficient k_d , $0 \leq k_d \leq 1$
- Angle of incoming light still critical



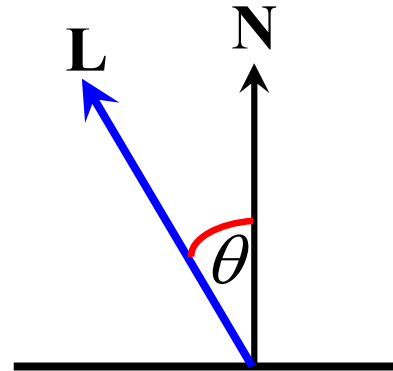
Diffuse reflection

- Lambert's Law
- Intensity depends on angle of incoming light



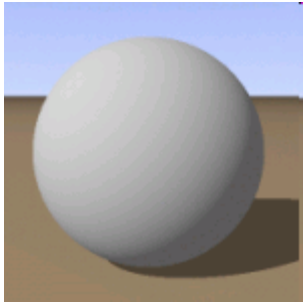
Diffuse reflection

- Lambert's Law
- Intensity depends on angle of incoming light
- Recall
 - \mathbf{l} = unit vector to light
 - \mathbf{n} = unit surface normal
 - θ = angle to normal
- $\cos\theta = \mathbf{l} \cdot \mathbf{n}$
- $I_d = k_d (\mathbf{l} \cdot \mathbf{n}) L_d$
- If $\theta > 90^\circ$ $(\mathbf{l} \cdot \mathbf{n}) < 0$, $I_d = 0$

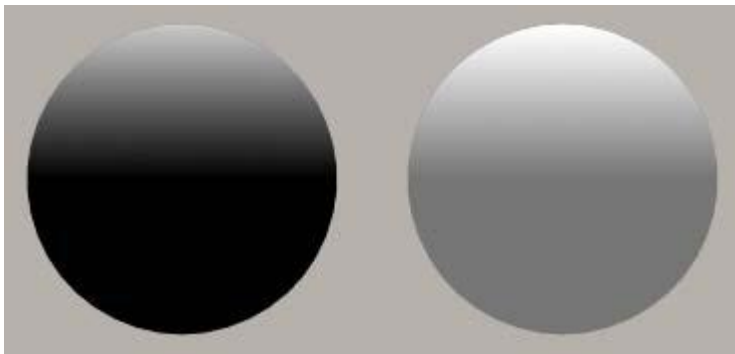


Combine I_a and I_d

- $I = L_a k_a + L_d k_d \max(I \cdot n, 0)$
- Lack of highlight!



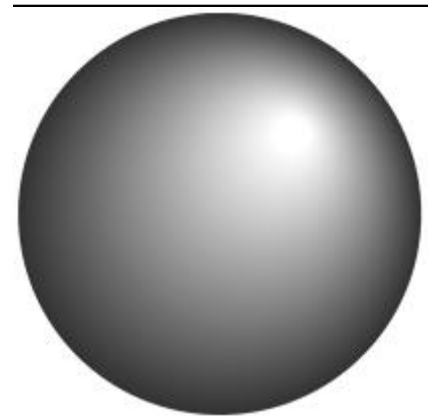
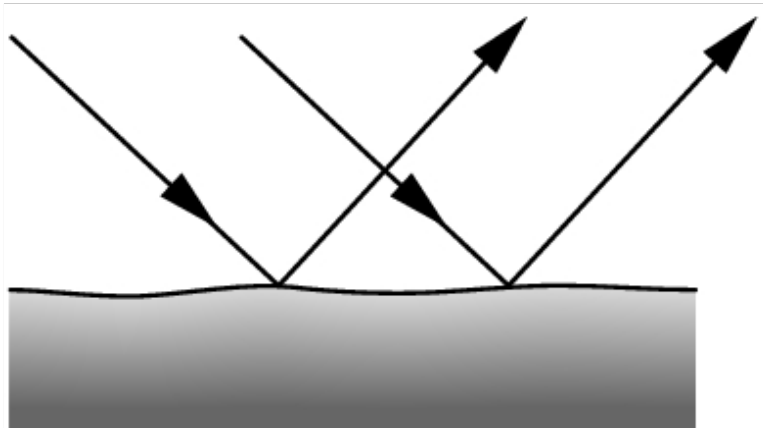
Illuminated by ambient lighting and diffuse reflection



Left: diffuse reflection only
right: ambient + diffuse reflection

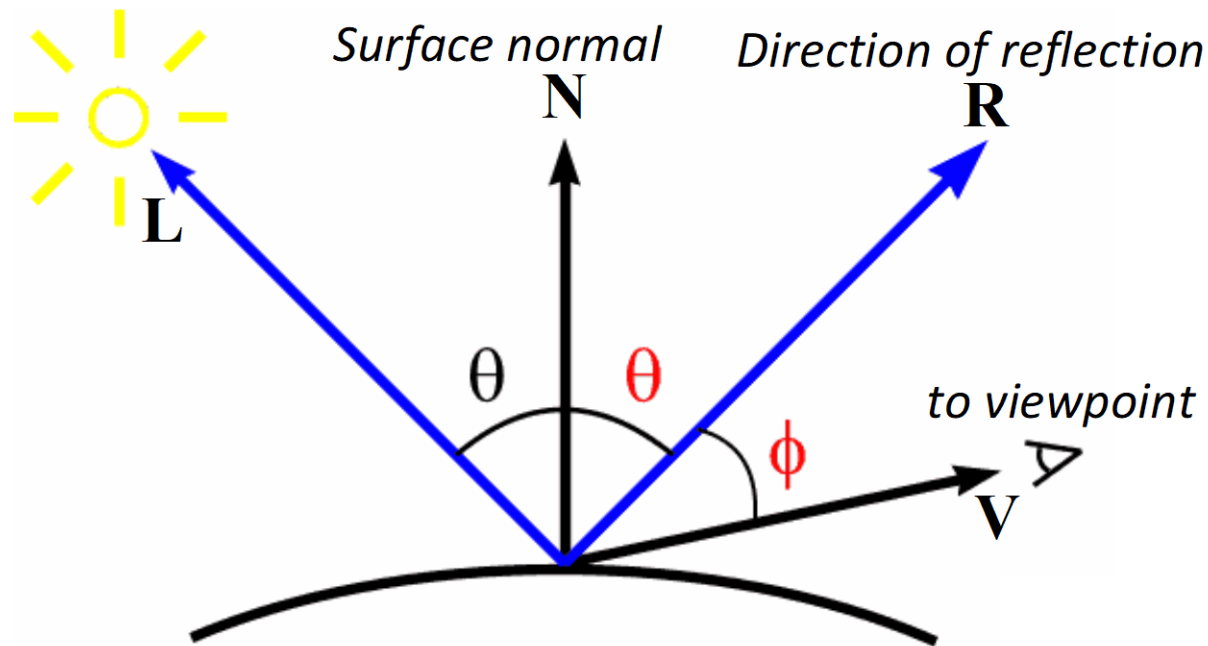
Specular reflection

- Specular reflection coefficient k_s , $0 \leq k_s \leq 1$
- Shiny surfaces have high specular coefficient
- Used to model specular highlights
- Do not get mirror effect (need other techniques)



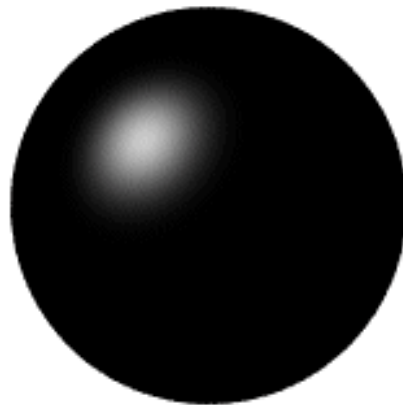
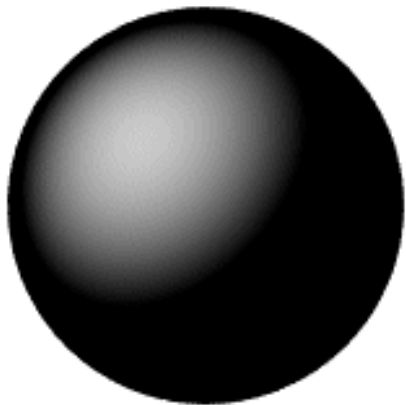
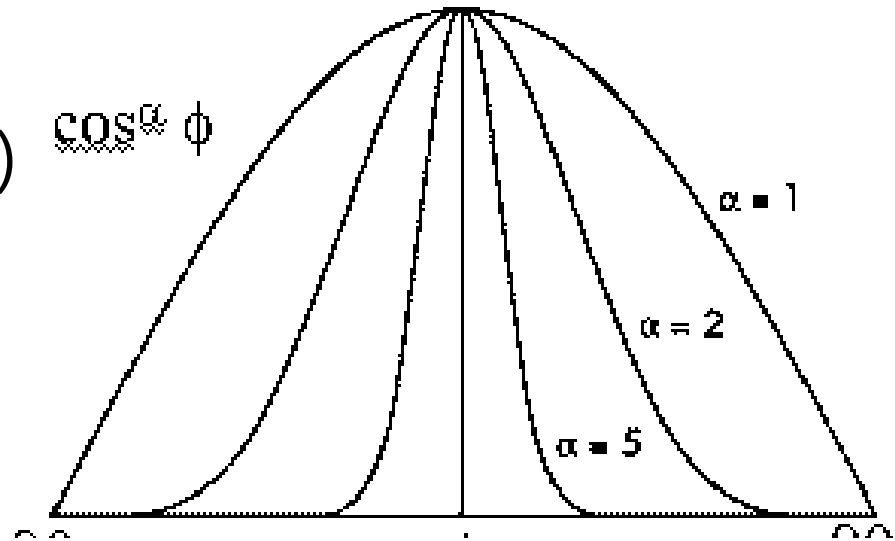
Specular reflection

- $I_s = \max(k_s L_s \cos^\alpha \phi, 0.0)$
- $\cos \phi = r \cdot v$
- *What is α ?*



Shininess coefficient

- Higher α is narrower
- $I_s = \max(k_s L_s \cos^\alpha \phi, 0.0)$



Summary of Phong reflection

- Light components
 - Ambient I_a , Diffuse I_d and Specular I_s
- Material coefficients for each light component
 - k_a , k_d , k_s and α
- Therefore:
- $I = L_a k_a + L_d k_d \max(l \cdot n, 0) + L_s k_s \max(r \cdot v)^\alpha, 0)$

Summary of Phong reflection

- Attenuation

- $$I_{\text{atten}} = I_a + \frac{1}{a_0 + a_1 d + a_2 d^2} (I_d + I_s)$$

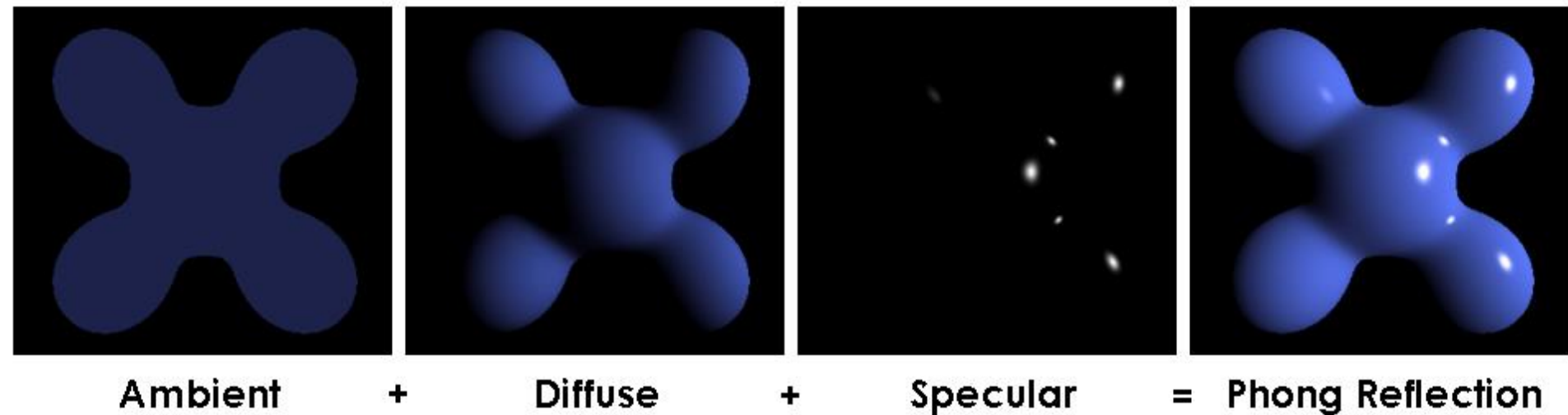
- How about If there are multiple lights?

- $$I = L_a k_a + \sum_{i=1}^m (L_{d,i} k_d \max(I \cdot n, 0) + L_{s,i} k_s \max((r_i \cdot v)^\alpha, 0))$$

- How about shadows?

- $$I = L_a k_a + s_i \sum_{i=1}^m (L_{d,i} k_d \max(I \cdot n, 0) + L_{s,i} k_s \max((r_i \cdot v)^\alpha, 0))$$

Phong reflection model

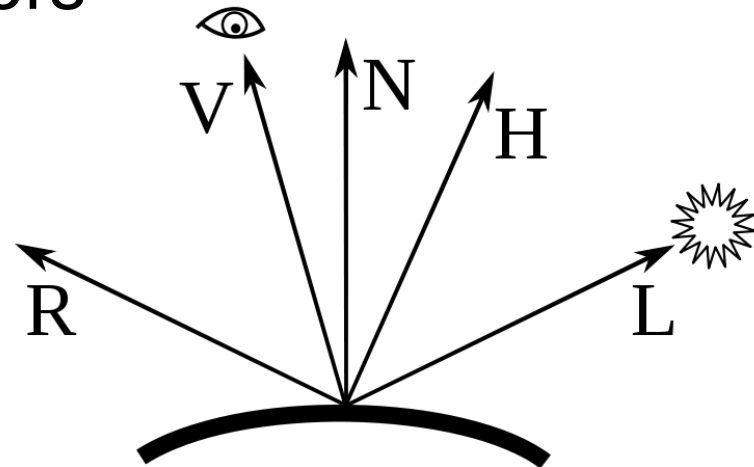


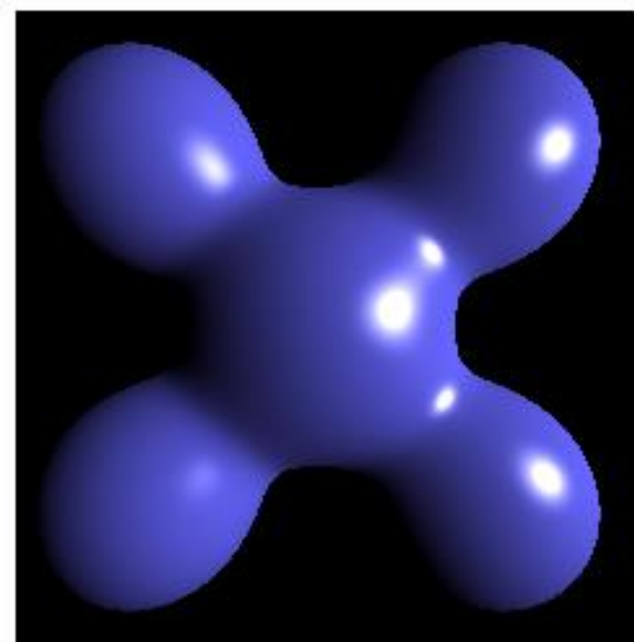
Blinn-Phong reflection model

- A modification to the Phong reflection model developed by Jim Blinn
- In Phong model, one must continually recalculate the dot product of r and v . Instead, one calculates a halfway vector between the viewer and light-source vectors

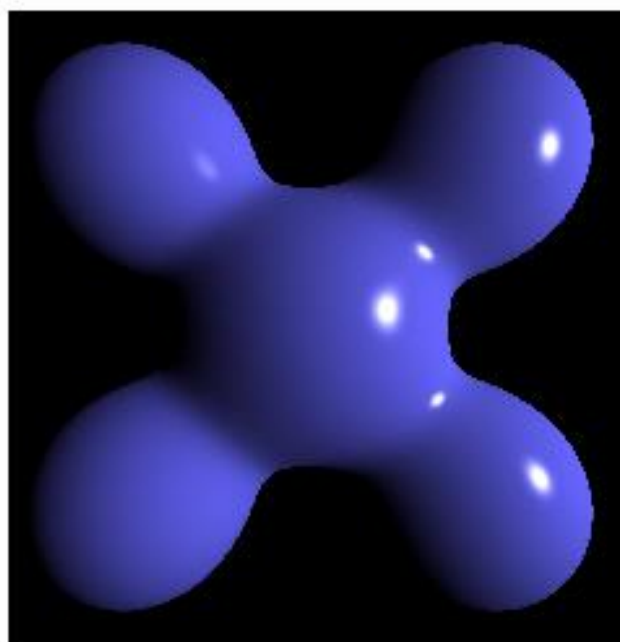
$$\mathbf{H} = \frac{\mathbf{L} + \mathbf{V}}{\|\mathbf{L} + \mathbf{V}\|}$$

- $L_s k_s (r \cdot v)^\alpha \rightarrow L_s k_s (n \cdot h)^\alpha$

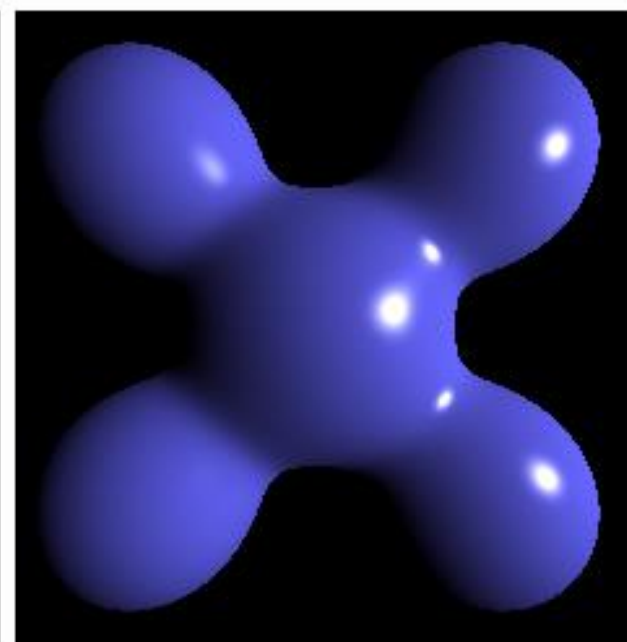




Blinn-Phong

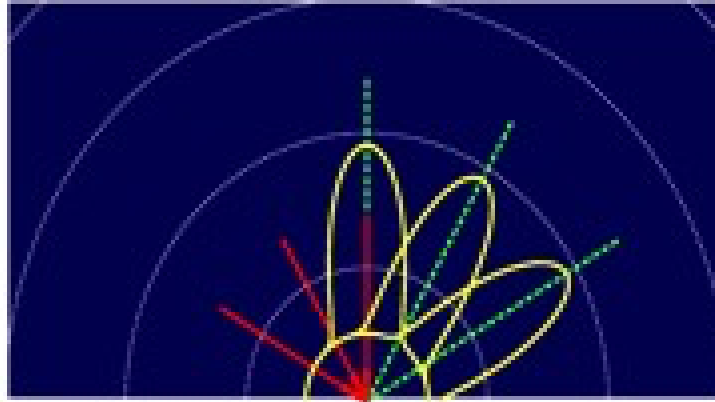


Phong

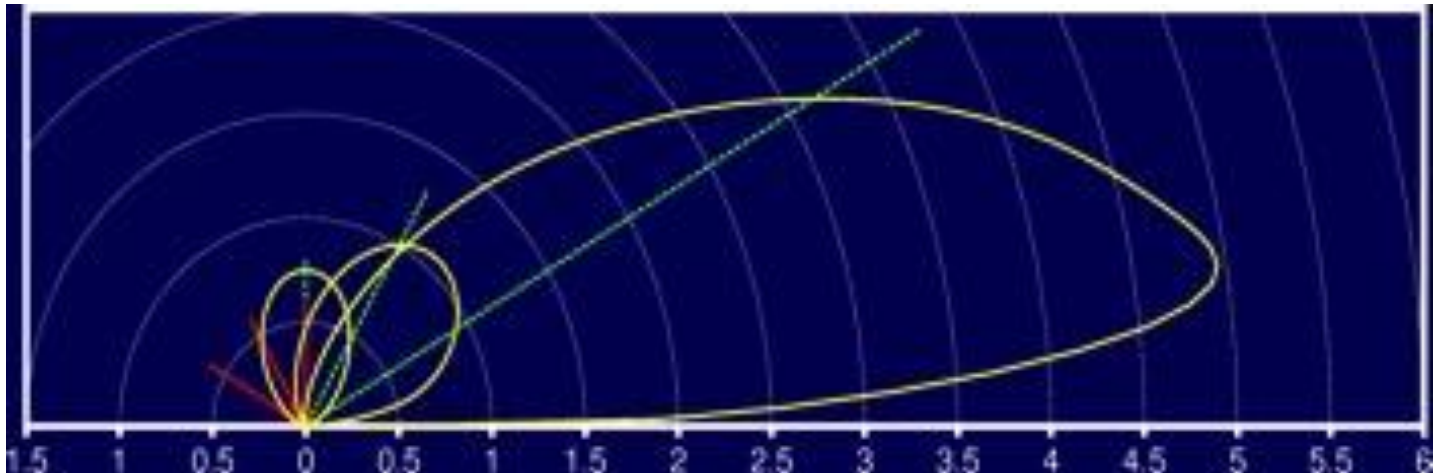


Blinn-Phong
(higher exponent)

Phong model



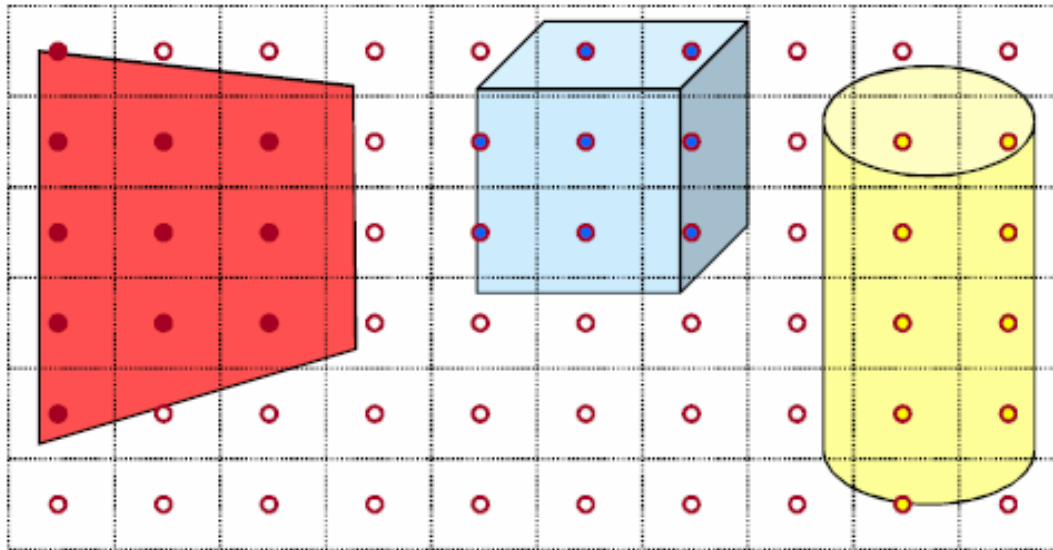
Physics-based model



- Questions?

Surface shading

- How do we choose a color for each filled pixel if the object is represented in a set of polygonal meshes?
- Recall Rasterization
- Simplest shading approach is to perform independent lighting calculation for every pixel



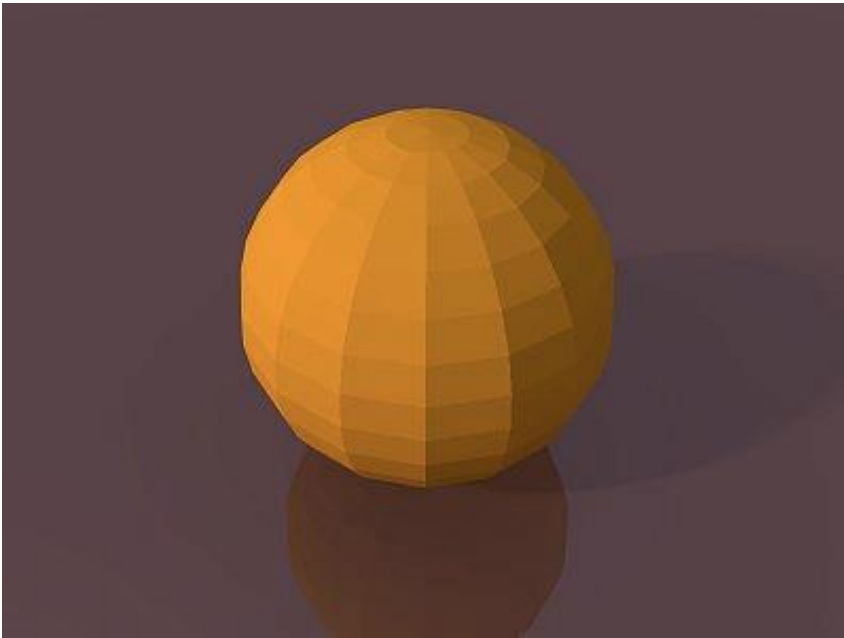
Surface shading

- Common used shading algorithms
 - Flat shading
 - Smooth shading
 - Gouraud shading
 - Phong shading

Flat shading

- The simplest one, also called as “constant intensity surface rendering”
- One illumination calculation per polygon
- Assign all pixels inside each polygon the same color, therefore reveal polygons and fast
- OK for polyhedral objects, Not good for smooth surfaces

Examples of flat shading



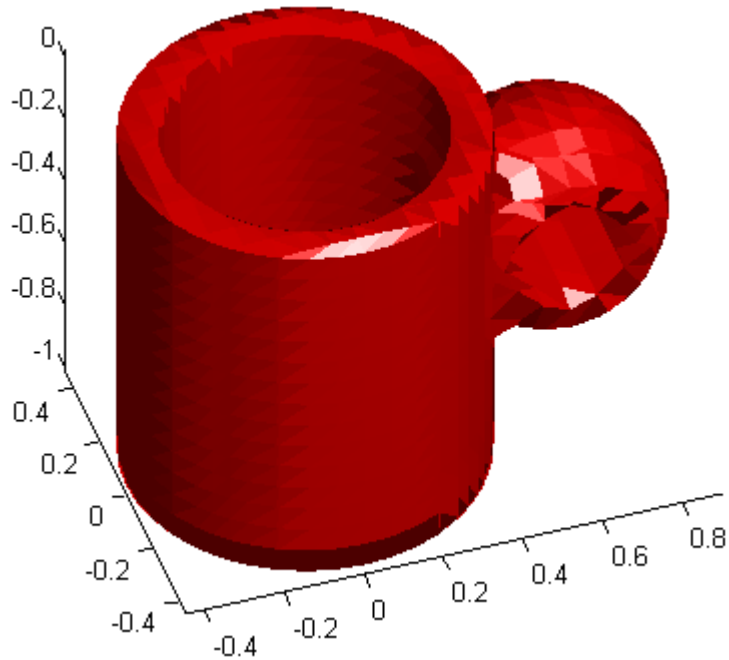
Examples of flat shading



Examples of flat shading



Examples of flat shading



Gouraud shading

- Named after Henri Gouraud (1971)
- Produce continuous shading of surfaces represented by polygon meshes
- An interpolation method



Flat

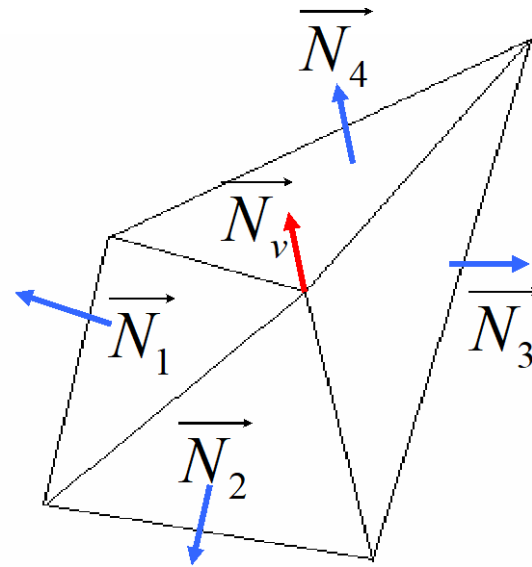


Gouraud

Gouraud shading

- Step 1: Normal averaging
 - estimate the normal of each vertex by averaging the surface normals of the polygons that meet at each vertex

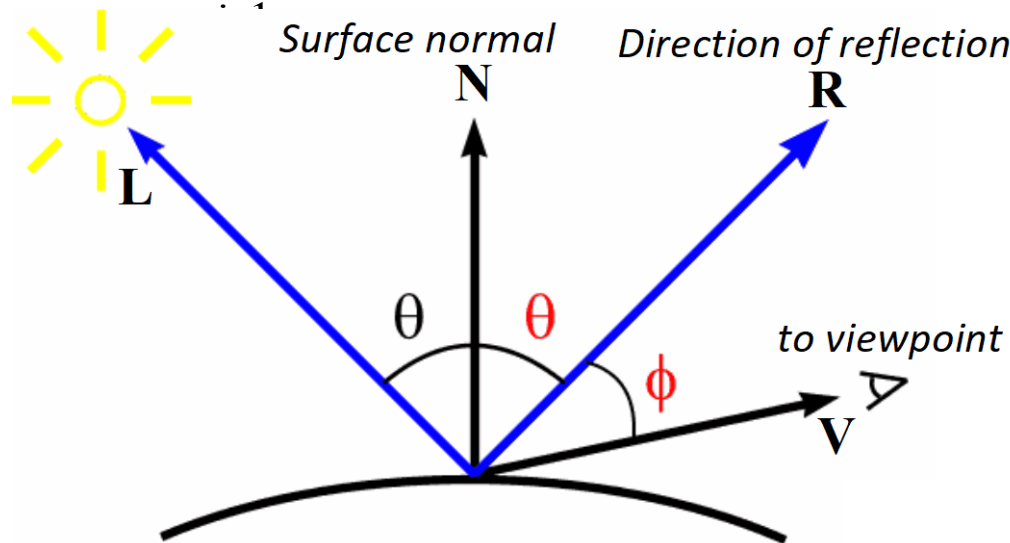
$$\vec{N}_v = \frac{\sum_{k=1}^n \vec{N}_k}{\left| \sum_{k=1}^n \vec{N}_k \right|}$$



Gourand shading

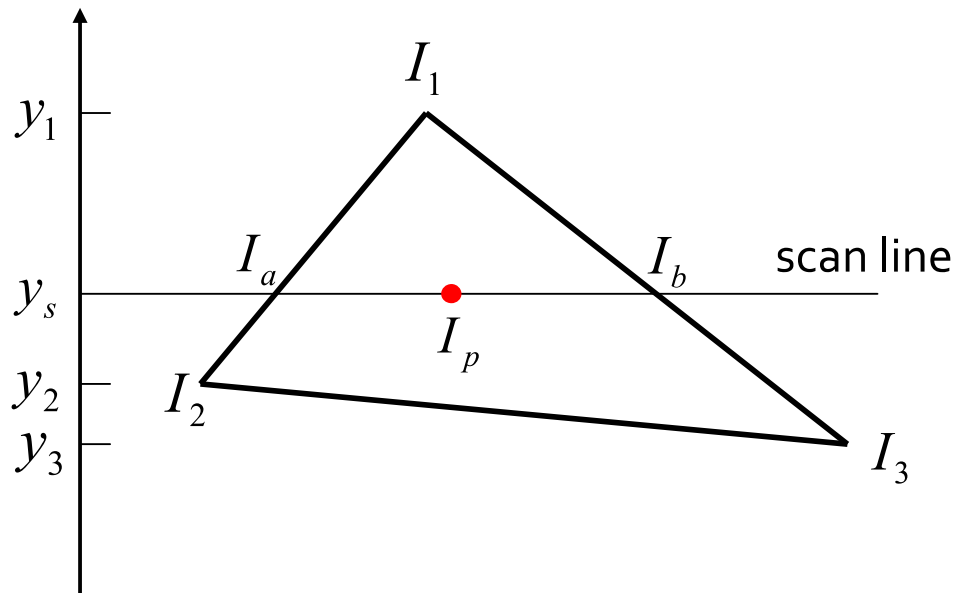
- Step 2: Vertex Lighting
 - compute the color for each vertex based on a shading model

- $$I = L_a k_a + \sum_{i=1}^m (L_{d,i} k_d \max(l \cdot n, 0) + L_{s,i} k_s (h_i \cdot n)^\alpha)$$



Gourand shading

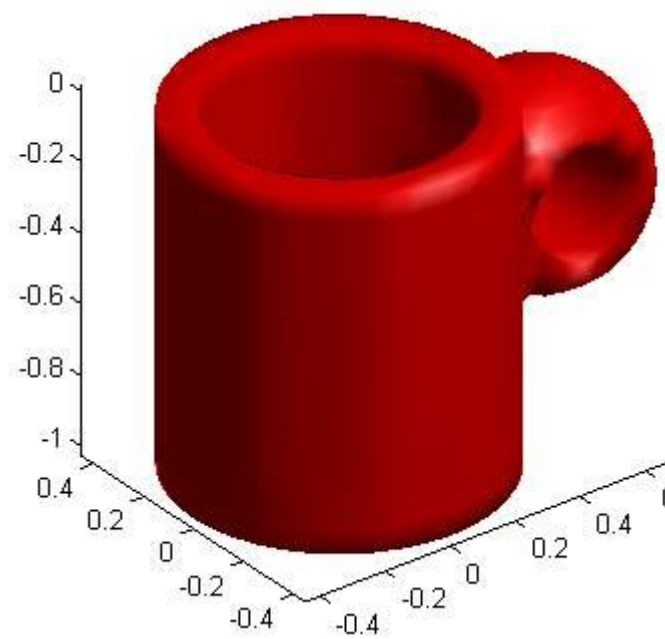
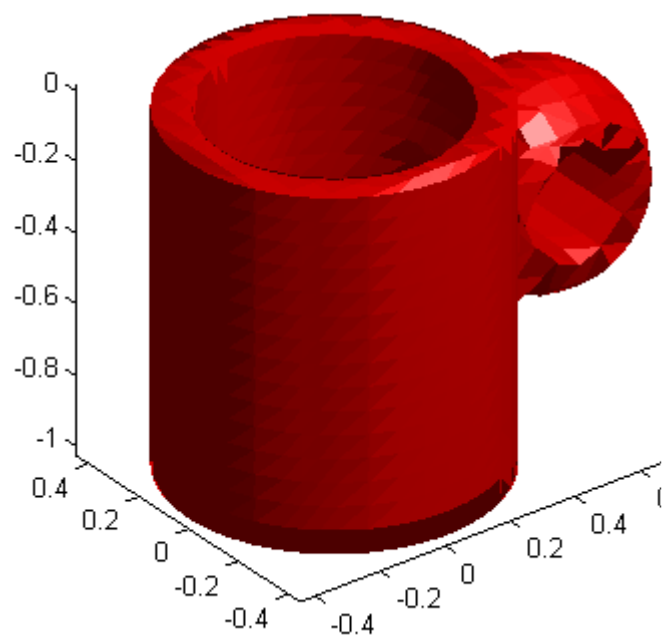
- Step3: Interpolation
 - for each **screen pixel** that represents the polygonal mesh, color is interpolated from the color values calculated at the vertices
 - Bilinear interpolation or barycentric coordinates



$$I_a = I_1 \frac{y_s - y_2}{y_1 - y_2} + I_2 \frac{y_1 - y_s}{y_1 - y_2}$$

$$I_b = I_1 \frac{y_s - y_3}{y_1 - y_3} + I_3 \frac{y_1 - y_s}{y_1 - y_3}$$

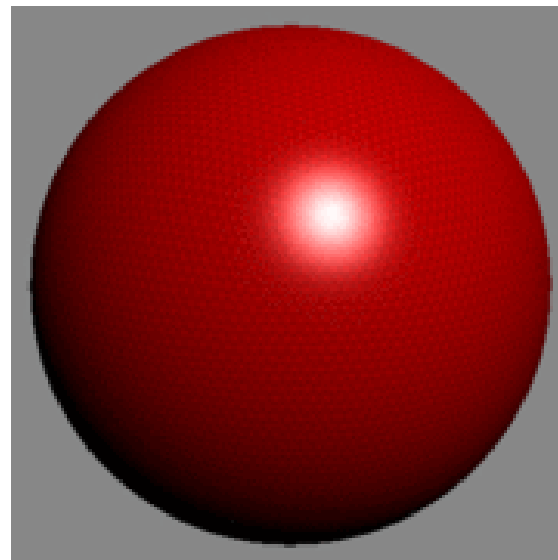
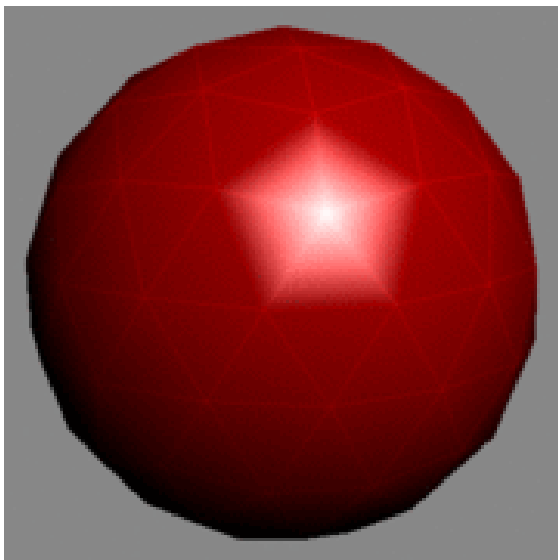
$$I_p = I_a \frac{x_b - x_p}{x_b - x_a} + I_b \frac{x_p - x_a}{x_b - x_a}$$





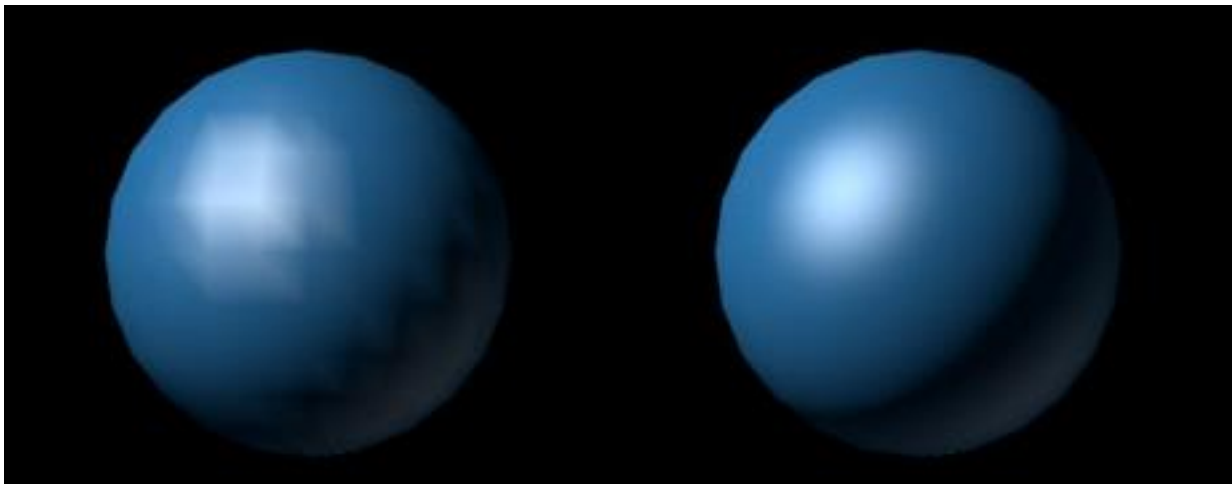
Gouraud shading

- Not good at highlights



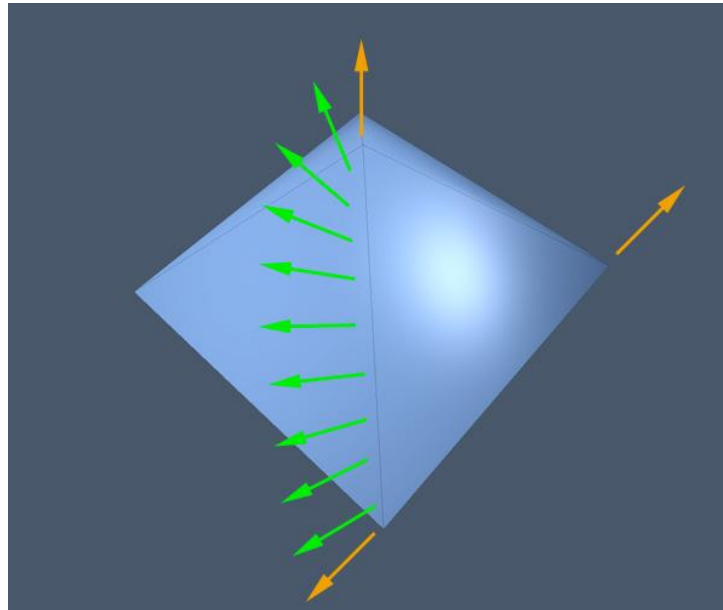
Phong shading

- Named after Bui Tuong Phong (1973)
- Also produce continuous shading of surfaces represented by polygon meshes
- Also an interpolation method
- But



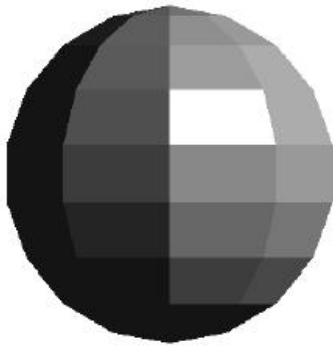
Phong shading

- Different from Gouraud shading, Phong shading interpolate normals rather than colors
- It interpolates surface normals across **rasterized polygons** and computes pixel colors based on the interpolated normals and a reflection model



Phong shading

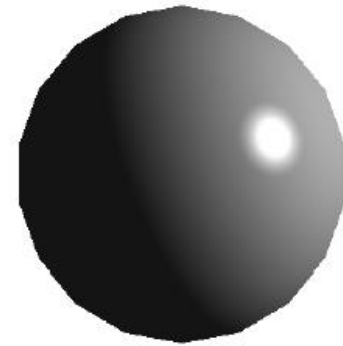
- Always captures specular highlights, but computationally expensive
- At each pixel, n is recomputed and normalized
Then I is computed at each pixel (lighting model is more expensive than interpolation algorithms)
- Not available in fixed pipeline, but now can be implemented in hardware (per fragment shading), How?



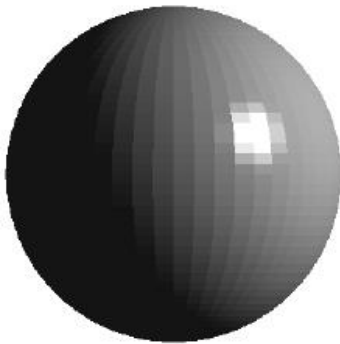
(a_1)



(b_1)



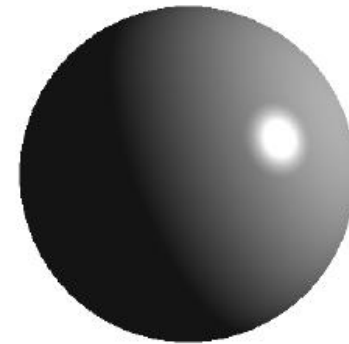
(c_1)



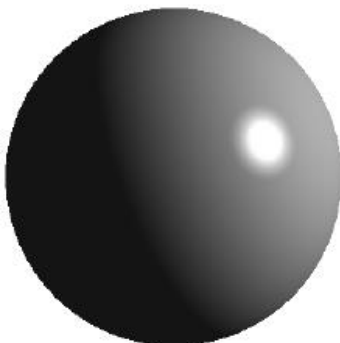
(a_2)



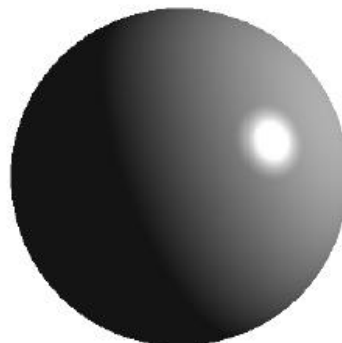
(b_2)



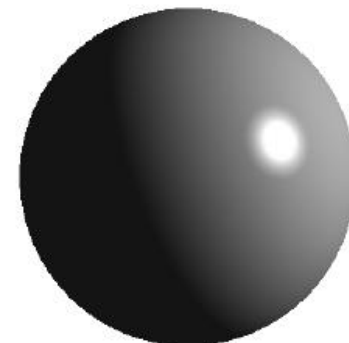
(c_2)



(a_3)



(b_3)



(c_3)





Flat



Gouraud



Phong



Raytrace

How to implement shading in OpenGL?

- Lights
 - Defined in vertex or fragment shader as structs
 - Intensity can be detailed defined for each lighting component
 - Ambient
 - Diffuse
 - Specular

```
struct directionalLight{
    vec3 direction;
    vec3 intensity;
};
struct pointLight{
    vec3 pose;
    vec3 intensity;
    float a0;
    float a1;//linear
    float a2;//quadratic
};
struct spotLight{
    vec3 pose;
    vec3 direction;
    vec3 intensity;
    float alpha;
    float cutOff;
    float a0;
    float a1;//linear
    float a2;//quadratic
};
```

How to implement shading in OpenGL?

- Material
 - Material can be defined as textures which is called lighting maps

```
struct material{  
    float ka, kd, ks;  
    float shininess;  
}
```

How to implement shading in OpenGL?

- Reflection

- Ambient

- ```
vec3 ambient = material.ka * Light.intensity;
```

- Diffuse

- ```
vec3 diffuse = material.kd * max(dot(fragNormal, lightDir), 0.0) * Light.intensity;
```

- Specular

- ```
vec3 H = normalize(viewDir + lightDir);
```

- ```
vec3 specular = material.ks * pow(max(dot(H, fragNormal), 0.0), material.shininess) * Light.intensity;
```

How to implement shading in OpenGL?

- Reflection

- Attenuation

- ```
float attenuation = 1.0 / (a0 + a1 * distance + a2 * distance * distance);
```

- Attenuation

- ```
float angle = dot(lightDir, lightFragDir);
```

- ```
float angAttenuation = angle > cos(cutOff) ?
```

- ```
pow(angle, alpha) : 0.0;
```

How to implement shading in OpenGL?

- Shading
 - Vertex shader
 - Shading in WC or in Camera space
 - Normals should be transformed as well

```
layout (location=0) pos;  
layout (location=1) normal;  
out vec3 fragPos;  
out vec3 fragNormal;  
uniform vec4 model;  
uniform vec4 view;  
uniform vec4 projection;  
  
void main()  
{  
    fragPos = vec3(model * vec4(pos, 1.0)); //wc  
    fragNormal = mat3(transpose(inverse(model))) * normal; //normal transformation in wc  
    gl_Position = projection * view * model * vec4(pos, 1.0);  
}
```

How to implement shading in OpenGL?

- Shading
 - Fragment shader

```
out vec4 FragColor;
in vec3 fragPos;
in vec3 fragNormal;
uniform vec3 viewPos;
uniform DirectionalLight dirLight;
uniform SpotLight spotLight;
uniform PointLight pointLight;
uniform Material material;
void main()
{
    vec3 normal = normalize(fragNormal);
    vec3 viewDir = normalize(viewPos - fragPos);
    vec3 result = CalculateDirectionalLight(dirLight, normal, viewDir) +
                 CalculatePointLight(pointLight, normal, fragPos, viewDir) +
                 CalculateSpotLight(spotLight, normal, fragPos, viewDir);
    FragColor = vec4(result, 1.0);
}
```

How to implement shading in OpenGL?

- Shading
 - Fragment shader

```
vec3 CalculateDirectionalLight(DirectionalLight dirLight, vec3 fragNormal, vec3 viewDir){
    vec3 lightDir = normalize(-dirLight.direction);
    //ambient
    vec3 ambient = material.ka * dirLight.intensity;
    //diffuse
    vec3 diffuse = material.kd * max(dot(fragNormal, lightDir), 0.0) * dirLight.intensity;
    //specular (blinn phong)
    vec3 H = normalize(viewDir + lightDir);
    vec3 specular = material.ks * pow(max(dot(H, fragNormal), 0.0), material.shininess) * dirLight.intensity;
    return ambient + diffuse + specular;
}
```

How to implement shading in OpenGL?

- Shading
 - Fragment shader

```
vec3 CalculatePointLight(PointLight pointLight, vec3 fragNormal, vec3 fragPos, vec3 viewDir){
    vec3 lightDir = normalize(pointLight.pose - fragPos);
    //ambient
    vec3 ambient = material.ka * pointLight.intensity;
    //diffuse
    vec3 diffuse = material.kd * max(dot(fragNormal, lightDir), 0.0) * pointLight.intensity;
    //specular (blinn phong)
    vec3 H = normalize(viewDir + lightDir);
    vec3 specular = material.ks * pow(max(dot(H, fragNormal), 0.0), material.shininess) * pointLight.intensity;
    //attenuation
    float distance = length(pointLight.pose - fragPos);
    float attenuation = 1.0 / (pointLight.a0 + pointLight.a1 * distance + pointLight.a2 * distance * distance);
    return (ambient + diffuse + specular) * attenuation;
}
```


How to implement shading in OpenGL?

- Shading
 - Fragment shader

```
vec3 CalculateSpotLight(SpotLight spotLight, vec3 fragNormal, vec3 fragPos, vec3 viewDir){
    vec3 lightDir = normalize(-spotLight.direction);
    vec3 lightFragDir = normalize(spotLight.pose - fragPos);
    //ambient
    vec3 ambient = material.ka * spotLight.intensity;
    //diffuse
    vec3 diffuse = material.kd * max(dot(fragNormal, lightFragDir), 0.0) * spotLight.intensity;
    //specular (blinn phong)
    vec3 H = normalize(viewDir + lightFragDir);
    vec3 specular = material.ks * pow(dot(H, fragNormal), material.shininess) * spotLight.intensity;
    //attenuation
    float distance = length(spotLight.pose - fragPos);
    float attenuation = 1.0 / (spotLight.a0 + spotLight.a1 * distance + spotLight.a2 * distance * distance);
    //angle attenuation
    float angle = dot(lightDir, lightFragDir);
    float angAttenuation = angle > cos(spotLight.cutOff) ? pow(angle, spotLight.alpha) : 0.0;
    //
    return ambient * attenuation + (diffuse + specular) * attenuation * angAttenuation;
}
```

```
glm::vec3 cameraPos = glm::vec3(1.0, 2.0, 2.0);
```

```
glm::vec3 dirLightDir = glm::vec3(0.0, 0.0, -1.0);  
glm::vec3 dirLightIntensity = glm::vec3(0.0, 0.0, 0.0);
```

```
glm::vec3 pointLightPos = glm::vec3(0.0, 0.0, 0.6);  
glm::vec3 pointLightIntensity = glm::vec3(0.0, 0.0, 0.0);
```

```
glm::vec3 spotLightPos = glm::vec3(0.0, 0.0, 4.0);  
glm::vec3 spotLightIntensity = glm::vec3(1.0, 1.0, 1.0);  
glm::vec3 spotLightDir = glm::vec3(0.0, 0.0, -1.0);
```

```
//Material
```

```
myShaderLight.setFloat("material.ka", 0.1);  
myShaderLight.setFloat("material.kd", 0.5);  
myShaderLight.setFloat("material.ks", 0.8);  
myShaderLight.setFloat("material.shininess", 64.0);
```

```
//Lights
```

```
//Directional
```

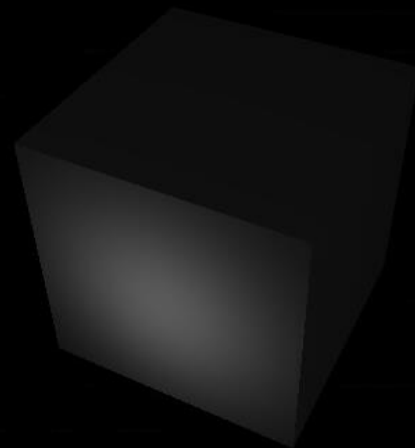
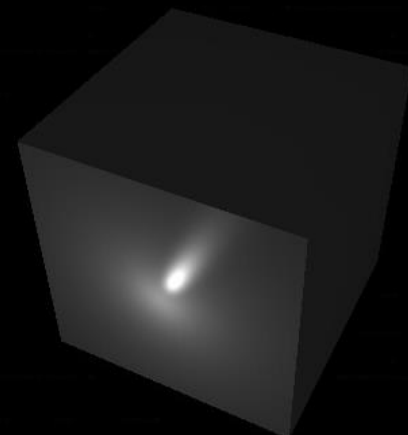
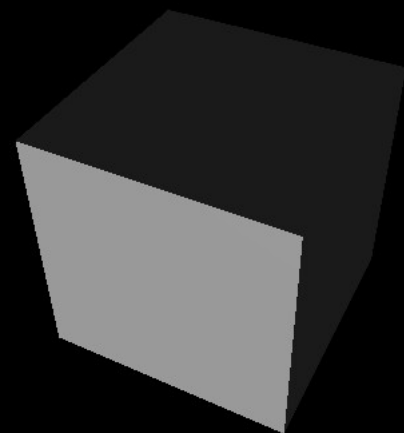
```
myShaderLight.setVec3("dirLight.direction", dirLightDir);  
myShaderLight.setVec3("dirLight.intensity", dirLightIntensity);
```

```
//Point
```

```
myShaderLight.setVec3("pointLight.pose", pointLightPos);  
myShaderLight.setVec3("pointLight.intensity", pointLightIntensity);  
myShaderLight.setFloat("pointLight.a0", 1.0);  
myShaderLight.setFloat("pointLight.a1", 0.09);  
myShaderLight.setFloat("pointLight.a2", 0.032);
```

```
//Spot
```

```
myShaderLight.setVec3("spotLight.pose", spotLightPos);  
myShaderLight.setVec3("spotLight.direction", spotLightDir);  
myShaderLight.setVec3("spotLight.intensity", spotLightIntensity);  
myShaderLight.setFloat("spotLight.cutOff", glm::radians(25.0));  
myShaderLight.setFloat("spotLight.alpha", 128.0);  
myShaderLight.setFloat("spotLight.a0", 1.0);  
myShaderLight.setFloat("spotLight.a1", 0.09);  
myShaderLight.setFloat("spotLight.a2", 0.032);
```



- Questions?

References

- Nancy Pollard, 15-462: Computer Graphics, CMU
- Steve Marschner, CS4620/5620 Computer Graphics, Cornell
- Cutler and Durand, MIT EECS 6.837
- Tom Thorne, COMPUTER GRAPHICS, The University of Edinburgh
- www.learningopengl.org