

CS101 Algorithms and Data Structures
Fall 2024
Midterm Exam

Instructors: Dengji Zhao, Yuyao Zhang, Xin Liu, Hao Geng

Time: 8:15-9:55

INSTRUCTIONS

Please read and follow the following instructions:

- You have 100 minutes to answer the questions.
- You are not allowed to bring any papers, books or electronic devices including regular calculators.
- You are not allowed to discuss or share anything with others during the exam.
- You should write the answer to every problem in the dedicated box **clearly**.
- You should write **your name and your student ID** as indicated on the top of **each page** of the exam sheet.

| | |
|---|--|
| Name | |
| Student ID | |
| Exam Classroom Number | |
| Seat Number | |
| <u>All the work on this exam is my own.</u> (please copy this and sign) | |

HINTS

1. Master's Theorem

$$T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^d) \text{ for } a > 0, b > 1, d \geq 0$$

$$T(n) = \begin{cases} \Theta(n^d) & d > \log_b a \\ \Theta(n^d \log n) & d = \log_b a \\ \Theta(n^{\log_b a}) & d < \log_b a \end{cases}$$

2. Inversions:

Given a permutation of n elements a_0, a_1, \dots, a_{n-1} . An inversion is defined as a pair of entries which are reversed. That is, (a_j, a_k) forms an inversion if $j < k$ but $a_j > a_k$.

3. Some Mathematical Formulae

$$\sum_{i=1}^n \frac{1}{i} = \Theta(\log n)$$

$$\sum_{i=1}^{\infty} \frac{1}{i^2} = \frac{\pi^2}{6}$$

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\text{Binomial Coefficient: } \binom{n}{m} = \frac{n!}{m!(n-m)!}$$

$$\text{Stirling Formula (deformed): } n! = \Theta(n^{n+\frac{1}{2}} e^{-n})$$

$$\lim_{n \rightarrow \infty} \left(1 + \frac{c}{n}\right)^n = e^c$$

1. (30 points) Multiple Choices

Each question has **one or more** correct answer(s). Select all the correct answer(s). For each question, you will get 0 points if you select one or more wrong answers, but you will get 1 points if you select a non-empty subset of the correct answers. Write your answers in the **answer sheet**.

- (a) (3') Which of the following implementations do/does not affect the time complexity of any stack/queue operation?
- A. When we implement a stack by an array, we put `stack.top()` at the first element of the array.
 - B. When we implement a stack by a singly linked-list with maintaining tail pointer, we put `stack.top()` at the tail of the linked-list.
 - C. When we implement a queue by a singly linked-list with maintaining tail pointer, we put `queue.back()` at the head of the linked-list and `queue.front()` at the tail.
 - D. When we implement a queue by a doubly linked-list with maintaining tail pointer, we put `queue.back()` at the head of the linked-list and `queue.front()` at the tail.**

Solution:

- A. `stack.push()` and `stack.pop()` should be done on the last element of the array to ensure $\Theta(1)$ complexity.
- B.C. `stack.pop()` and `queue.pop()` should be done on the head element of the linked-list to ensure $\Theta(1)$ complexity, instead of the tail element because we cannot get the previous node of the tail element in singly linked-list.
- D. Obviously.

- (b) (3') For any two functions $f(n)$ and $g(n)$ such that $f(n) > 0, g(n) > 0$ and $g(n) = \Omega(1), g(n) = o(f(n))$, which of the following is/are **TRUE**?
- A. $f(n) = \omega(g(n))$**
 - B. $|f(n) \pm g(n)| = \Theta(f(n))$**
 - C. $\log g(n) = o(\log f(n))$
 - D. $e^{f(n)} = \omega(e^{g(n)})$**

Solution:

- A. $g(n) = o(f(n)) \iff f(n) = \omega(g(n))$
- B. $\lim_{n \rightarrow \infty} \frac{f(n) \pm g(n)}{f(n)} = \lim_{n \rightarrow \infty} \left(\frac{f(n)}{f(n)} \pm \frac{g(n)}{f(n)} \right) = 1 \pm 0 = 1$
- C. Counterexample: $g(n) = n!, f(n) = n^n$, or $g(n) = 2^n, f(n) = 4^n$.
- D. $\lim_{n \rightarrow +\infty} \frac{e^{f(n)}}{e^{g(n)}} = \lim_{n \rightarrow +\infty} e^{f(n)-g(n)} = +\infty$

- (c) (3') Which of the following statements is/are **TRUE**?
- A. The time complexity of bubble sort (no matter which optimization) is always not lower than insertion sort because it always performs not fewer swaps than insertion sort.

- B. The worst-case time complexity of counting the number of swaps of insertion sort on an array must be $\Omega(n^2)$.
- C. Insertion sort is more suitable for sorting small arrays compared to quick sort.**
- D. Quick sort is more suitable for sorting large arrays than merge sort in all cases, especially for distributed data.

Solution:

- A. Their number of swaps is always identical. Actually bubble sort is worse because it has more unnecessary comparisons instead of swaps.
- B. Enhance Merge Sort is $\Theta(n \log n)$ for counting inversion pairs.
- C. Obviously. Although the time complexity of insertion sort is $O(n^2)$, it has a smaller constant.
- D. Merge sort is better for distributed data. (Quick sort is faster due to its being local and cache-friendly.)

- (d) (3') Consider an array $\{a_i\}$ with $n(n \geq 5)$ **distinct** elements. We want to use randomized quick sort to make it sorted. Denote $\{p_i\}$: the index of the i -th smallest number, i.e. a_{p_i} is the i -th smallest number. Which of the following statements is/are **TRUE**?

- A. The probability that a_{p_1} and a_{p_n} are compared during sorting is $\frac{1}{n}$.
- B. a_{p_i} and $a_{p_{i+1}}$ will always be compared during sorting. ($i \in \{1, \dots, n-1\}$)**
- C. If we randomly erased one of the elements (except $a_{p_{i-1}}$ and $a_{p_{i+1}}$) in the array (i.e. each element will be equally likely to be selected). The probability of $a_{p_{i-1}}$ and $a_{p_{i+1}}$ are compared is less than $\frac{2n-3}{3n-6}$, for every $i \in \{2, \dots, n-1\}$.
- D. If we randomly erased one of the elements (except $a_{p_{i-1}}$ and $a_{p_{i+1}}$) in the array (i.e. each element will be equally likely to be selected). The probability of $a_{p_{i-1}}$ and $a_{p_{i+1}}$ are compared is greater than $\frac{2n-3}{3n-6}$, for every $i \in \{2, \dots, n-1\}$.

Solution:

- A. Consider the first partition and pivot choosing, if and only if a_{p_1} and a_{p_n} are chosen they will be compared. So the probability is $\frac{2}{n}$.
Moreover, if you remember $\frac{2}{|j-i|+1}$ you can directly calculate the probability.
- B. Always compared. $\frac{2}{|j-i|+1}$ is also applicable.
- C.D. If we erase a_{p_i} , then $a_{p_{i-1}}$ and $a_{p_{i+1}}$ will be always compared. If we erase other elements, the comparison probability of $a_{p_{i-1}}$ and $a_{p_{i+1}}$ is $\frac{2}{3}$. So the probability of $a_{p_{i-1}}$ and $a_{p_{i+1}}$ are compared is $\frac{1}{n-2} + \frac{n-3}{n-2} \frac{2}{3} = \frac{2n-3}{3n-6}$.

- (e) (3') Which of the following statements about BFS/DFS on a tree is/are **TRUE**?
- A. When performing queue implemented BFS while checking a node v , the number of nodes we will push into the queue is $O(\text{depth}(v))$.
- B. When performing queue implemented BFS, whenever we look at any two nodes u and v inside the queue, $|\text{depth}(u) - \text{depth}(v)| < 2$.**

- C. When performing stack-implemented DFS, if we want to visit the children of node v in a specific order, we should push them into the stack in the reversed order.
- D. When performing stack implemented DFS, whenever we look at any two nodes u and v inside the stack, u is neither the ancestor nor the descendant of v .

Solution:

- A. It should be $O(\text{degree}(v))$.
- B. If any node v of depth d has enqueued, then every node of depth $d - 1$ should have enqueued, therefore every node of depth less than $d - 2$ should have dequeued.
- C. Obviously, because the stack is LIFO.
- D. If any node v is in the stack, then all of v 's ancestors should have been visited, and all of v 's descendants should have not been visited.

- (f) (3') Consider a complete binary max-heap with 99 nodes (without duplicated nodes). Which of the following statements is/are **TRUE**?

- A. Every node of depth 1 is greater than at least 34 other nodes in the heap.
- B. The second largest node's depth must be 1.
- C. The second smallest node must be a leaf node.
- D. There may be a sub-tree with a height of at least 1 that is also a BST.

Solution:

- A. The number of descendants of root's left child is $1 + 2 + 4 + 8 + 16 + 32 = 63$, and the number of descendants of root's right child is $1 + 2 + 4 + 8 + 16 + 4 = 35$.
- B. It is either the left child or the right child of the root node.
- C.D. In this case, each non-leaf node has two children, both of which are smaller than this non-leaf node.

- (g) (3') Which of the following statements about the Huffman Coding is/are **TRUE**?

- A. Characters with distinct frequencies must have distinct lengths of Huffman Code.
- B. The Huffman Code of one character cannot be a prefix of the Huffman Code of another character.
- C. Characters with the same frequency must have the same length of Huffman Code.
- D. Given the Huffman Code for each character, if one character has the only shortest length, we can infer that it has the largest frequency among those.

Solution:

- A. Counterexample: (2, 3, 4, 5).
- B. Characters must be the leaf nodes of the Huffman Coding Tree, one cannot be the ancestor of another.

C. Counterexample: (2, 2, 2).

D. By the fact that Huffman Coding minimizes $\sum(\text{length} \times \text{frequency})$, if it has the shortest length but not the largest frequency, then $\sum(\text{length} \times \text{frequency})$ is not minimized, which contradicts.

(h) (3') When performing binary search operations in a BST that stores integers from 1 to 100, which sequences of visited nodes is/are **IMPOSSIBLE**?

A. 50, 90, 73, 60, 80, 65, 69

B. 1, 2, 3, 4, 5, 99, 100

C. 94, 22, 91, 30, 35, 80, 50

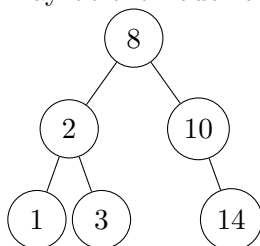
D. 95, 24, 81, 34, 39, 92, 36

Solution:

A. 80 is impossible. It should be between (50, 73).

D. 92 is impossible. It should be between (34, 81).

(i) (3') After erasing a node and making it balanced again, the AVL tree looks like below, what may be the node removed in the original tree?



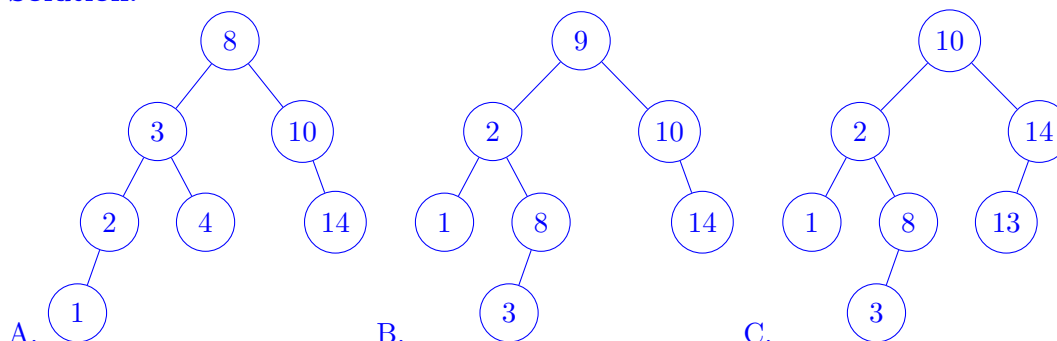
A. 4

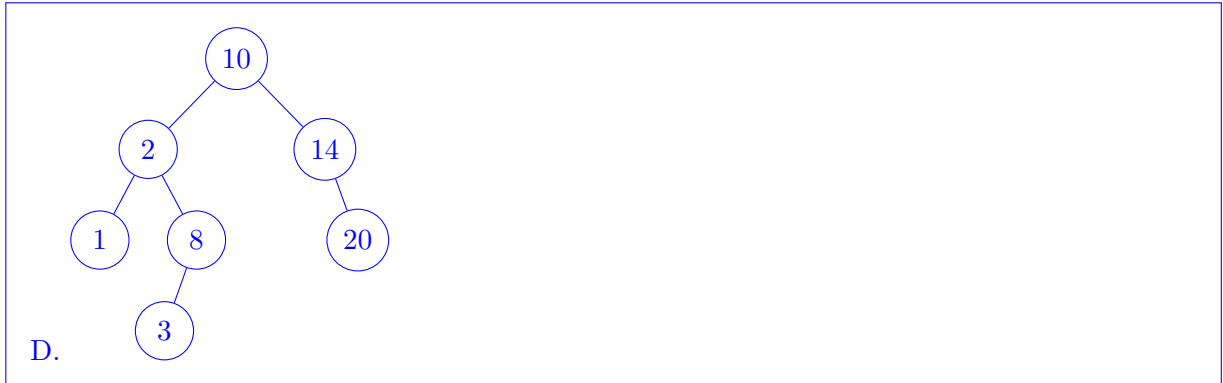
B. 9

C. 13

D. 20

Solution:





(j) (3') Which of the following is/are **TRUE** about the relation of the number of nodes and the height of AVL trees?

- A. The minimal height of an AVL tree with n nodes is $\Theta(\log n)$.**
- B. The maximal height of an AVL tree with n nodes is $\Theta(n)$.
- C. The minimal number of nodes of an AVL tree of height h is $F(h)$, where $F(h) = F(h-1) + F(h-2)$ for $h \geq 2$.
- D. The maximal number of nodes of an AVL tree of height h is $G(h)$, where $G(h) = 2G(h-1) + 1$ for $h \geq 1$.**

Solution:

A.D. In this case $n = G(h) = 2G(h-1) + 1 = 2^{h+1} - 1$.

Therefore $h = \Theta(\log n)$.

B.C. In this case $n = F(h) = F(h-1) + F(h-2) + 1 = \Theta\left(\left(\frac{\sqrt{5}+1}{2}\right)^h\right)$.

Therefore $h = \Theta(\log n)$ too.

2. (14 points) Fill in Blanks

In this problem, you are asked to fill in the blanks with the correct answers (satisfying the requirements). **Write the most precise and most simplified answer you can think of.**

- (a) (2') If we implement a stack by array and when the array is full, we move elements to another double-sized array, then for consecutively n pushes to the empty stack, the time complexity of each push in general is $O(\text{_____}n\text{_____})$, but the amortized time complexity is $\Theta(\text{_____}1\text{_____})$.
- (b) (1') If we implement a chained hash table (using singly linked-list) of size M , suppose there are already n elements in it, and the hash function is uniformly random and computed in $\Theta(1)$ time, then the average-case time complexity of inserting an element is $\Theta(\text{_____}1\text{_____})$.

Solution:

This problem is controversial. You can get full points no matter whether you answer 1, $\frac{n}{M}$ or $1 + \frac{n}{M}$.

The correct description of this problem should include a condition: we are sure that the element we will insert is not in the hash table. In this case we should directly insert the element x at the head of the $H(x)$ -th linked-list, which is $\Theta(1)$.

In this case $\frac{n}{M}$ is not correct, because n can be arbitrary large, for example, $M = 10, n = 1000000000$, therefore $\frac{n}{M} \neq \Theta(1)$.

- (c) (1') There are 15 inversions in the sequence $[4, 5, 7, 3, 2, 6, 8, 1]$.
- (d) (1') Consider an array of length n holding an uncommon type of elements, whose comparison take $\Theta(\log(n))$ time. Any in-place sorting algorithm based on comparison will have a worst-case time complexity of $\Omega(\text{_____}n \log^2 n\text{_____})$.
- (e) (1') Let $T(n) = T(0.3n) + T(0.4n) + \Theta(n)$, $S(n) = S(0.99n) + \Theta(\log n)$, $T(1) = S(1) = 1$, then $T(n) = \text{_____}\omega\text{_____}(S(n))$. (Write Θ , o or ω in the blank)
- (f) (2') There are 132 different binary trees with 6 nodes.
- (g) Array $[a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8, a_9, a_{10}]$ represents a binary min-heap containing 10 items, where the key of each item is a distinct integer. (Write all item(s) satisfying requirements.)
- (2') a_1 could be the smallest integer.
 - (2') a_3, a_4, a_{10} could be the fourth smallest integer, if a_5 is the third smallest one.
- (h) Assume we have an AVL tree of size n ,
- (1') Insertion operation takes $\Theta(\text{_____}\log n\text{_____})$ time,
 - (1') Erasion operation takes $\Theta(\text{_____}\log n\text{_____})$ time.

3. (12 points) Hash Table Operations

There are a series of tuples (a, b) to be stored in a hash table using

- Quadratic probing. The probing function is $H_i(a, b) = (a + b + 0.5i + 0.5i^2) \bmod 11$.
- Lazy erasing. A lazy-erased element is marked as E .

There is a hash table T which looks like

| Index | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|-----------|---|---|--------|--------|---|--------|-----|---|-----|---|----|
| Key Value | | | (6, 7) | (1, 2) | | (4, 9) | E | | E | | |

Hint: Probing sequence means the sequence of Index that you visit, including the initial index i.e. $H_i(a, b)$ when $i = 0$. Follow the format below to fill in the blanks:

8, 9, 10, 0, 1, ...

- (a) (2') The load factor of T (including lazy-erased elements) is $\lambda = \underline{\frac{5}{11}}$.
- (b) (2') If we search for (1, 1) in T , the probing sequence is 2, 3, 5, 8, 1.
- (c) (3') If we want to insert (4, 1) into T (but we are not sure if it is in T), the probing sequence is 5, 6, 8, 0, and finally it will be inserted at Index 6.
- (d) (2') If we want to erase (4, 9) from T , the probing sequence is 2, 3, 5, and finally it will be marked as E at Index 5.
- (e) (3') If we create another hash table using lazy erasing but **linear probing**, then is it possible that starting from an empty hash table and after some insert and erase operations, the hash table looks the same as T ?

If possible, please write one of such sequence of operations like

Insert (6, 7), Erase (6, 0), ...

If not possible, please explain the reason.

Solution:

Not possible. (1pt)

Explanation: (2pt as below, as long as reasonable)

The probing sequence of searching (4, 9) is 2, 3, 4 and we find that Index 4 is empty. (1pt)

Therefore (4, 9) is in T but we can't find it after searching, which contradicts. (1pt)

4. (12 points) Merge sort on Linked-lists

Linked-list is a kind of linear data structure that is able to access data one by one. Merge sort is a kind of sort algorithm that takes $\Theta(n \log n)$ time to sort n elements. (Suppose we sort in ascending order, and comparison takes constant time.)

(a) (2') Fill in the table according to what you know about merge sort and doubly linked-lists:

| Data Structure \ Operation | Divide | Sub-problem(s) | Merge |
|----------------------------|-------------------------------------|-------------------|-------------------------------------|
| Array | $\Theta(1)$ | $2T(\frac{n}{2})$ | $\Theta(\text{_____}n\text{_____})$ |
| Doubly Linked-list | $\Theta(\text{_____}n\text{_____})$ | $2T(\frac{n}{2})$ |(Don't Need This) |

(b) Then we think about how to merge two linked-lists. Here is a C++ function about it.

```
/*
x.head() returns the head node of linked-list x(non-empty, otherwise error),
x.nohead() returns a linked-list started from x's second element,
x.empty() return whether x is empty.
con(x,y) returns the list obtained by connecting x and y, where one of x,y
        should be a non-empty linked list and another should be an element.
*/
```

```
List merge(const List &x, const List &y) {
    if (x.empty())
        return _____B_____;
    if (y.empty())
        return _____A_____;
    auto xh = x.head(), yh = y.head();
    if (xh < yh)
        return _____A_____;
    else
        return _____C_____;
}
```

i. (2') Use some of the following options to fill in the first two blanks:

Hint: Handle the cases where one of the given Lists is empty.

A. x B. y C. con(x.head(), y) D. con(y.head(), x)

ii. (2') Use some of the following options to fill in the last two blanks:

Hint: Finish the work in a recursive way

A. con(xh, merge(x.nohead(), y)) B. con(merge(y, x.nohead()), xh)

C. con(yh, merge(y.nohead(), x)) D. con(merge(x, y.nohead()), yh)

iii. (2') The time complexity of the function `merge` is $\Theta(\text{_____}n+m\text{_____})$ (Assume the length of x is n and length of y is m).

(c) (2') We merge two sorted linked-lists $(a_1, a_2, a_3, a_4, a_5)$ and $(b_1, b_2, b_3, b_4, b_5)$ into one. Assume that these elements are distinct except $a_4 = b_3$. Suppose the result is $(b_1, b_2, a_1, a_2, a_3, b_3, a_4, b_4, a_5, b_5)$. From this, you can infer that the number of inversions in the original array is at least 12.

(d) (2') In the above parts we discuss situations of doubly linked-lists, if `merge` is used to singly linked-lists, will the time complexity change? (Write "Yes" or "No".) No.

5. (12 points) Karatsuba's Algorithm

Let A, B be two polynomials where $A(x) = \sum_{i=0}^n a_i x^i$, $B(x) = \sum_{i=0}^n b_i x^i$.

The goal of this problem is to invent an algorithm to multiply two polynomials faster.

- (a) (1') The time complexity of calculating the product of two polynomials of n or less degree trivially (i.e. use $C(x) = \sum_{0 \leq i, j \leq n} a_i b_j x^{i+j}$) is \mathbf{A} .

- A. $\Theta(n^2)$
- B. $\Theta(n)$
- C. $\Theta(n \log n)$
- D. $\Theta(n^{1.5})$

- (b) (3') Recall the vertical multiplication on polynomials. Rewrite $A(x) = A_1(x)x^{\frac{n}{2}} + A_2(x)$ and $B(x) = B_1(x)x^{\frac{n}{2}} + B_2(x)$, where A_i, B_i are polynomials of $\frac{n}{2}$ or less degree :

$$\begin{array}{r}
 \begin{array}{r} A_1 x^{\frac{n}{2}} \\ \times B_1 x^{\frac{n}{2}} \end{array} \quad \begin{array}{r} + A_2 \\ + B_2 \end{array} \\
 \hline
 \begin{array}{r} A_1 B_2 x^{\frac{n}{2}} \\ + A_2 B_1 x^{\frac{n}{2}} \end{array} \\
 \hline
 \begin{array}{r} A_1 B_1 x^n \\ + (A_1 B_2 + A_2 B_1) x^{\frac{n}{2}} \\ + A_2 B_2 \end{array}
 \end{array}$$

Write down the recurrence relation of this algorithm's time complexity and calculate it:

$$T(n) = \underline{4} T\left(\frac{n}{2}\right) + \Theta(\underline{n}) = \Theta(\underline{n^2}).$$

- (c) (3') Recall how Strassen's algorithm works for matrix multiplication: It decreases one time of multiplication (from 8 to 7), then its time complexity turns into $\Theta(\underline{n^{\log_2 7}})$ from $\Theta(n^3)$. Consider how to reduce one multiplication, we wonder if $A_1 B_2 + A_2 B_1$ can be calculated with 1 multiplication with proper polynomial calculation:

Hint: You can use any number of additions. Think out reuse $A_1 B_1$ and $A_2 B_2$. ($A_1 B_1$ and $A_2 B_2$ won't be considered as extra multiplication.)

$$A_1 B_2 + A_2 B_1 = \underline{(A_1 + A_2)(B_1 + B_2) - A_1 B_1 - A_2 B_2}$$

- (d) (3') After the modification, we obtain a method to use 1 less multiplication to calculate the polynomial multiplication.

Write down the recurrence relation of the modified algorithm's time complexity and calculate it:

$$T(n) = \underline{3} T\left(\frac{n}{2}\right) + \Theta(\underline{n}) = \Theta(\underline{n^{\log_2 3}}).$$

The algorithm we get is Karatsuba's algorithm.

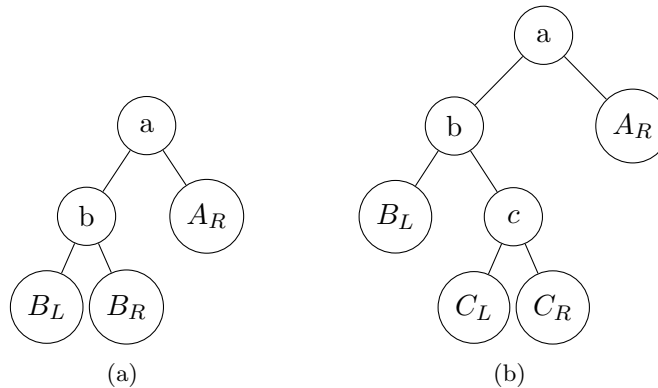
- (e) (2') Based on this modification, could the time of multiplication each time decrease more? (Write "Yes" or "No".) \mathbf{No} .

6. (10 points) Rotate To Balance

In an AVL tree, the imbalance caused by insertion can generally be categorized into four types: **LL** (Left-Left), **RR** (Right-Right), **LR** (Left-Right), and **RL** (Right-Left), where 'L' indicates a left subtree and 'R' indicates a right subtree.

First, consider the tree depicted on the left, where each lowercase letter represents a node, and the uppercase letters represent subtrees. The height of B_L, B_R, A_R is denoted as h , and they are all balanced.

After inserting a node into B_R , the tree transforms into the configuration shown on the right, where C_L or C_R are the subtrees of c . Now, the tree is **unbalanced**.



- (a) (2') The heights of the new subtree C_L and C_R may be _____ and _____ separately (Given one possible solution is enough).

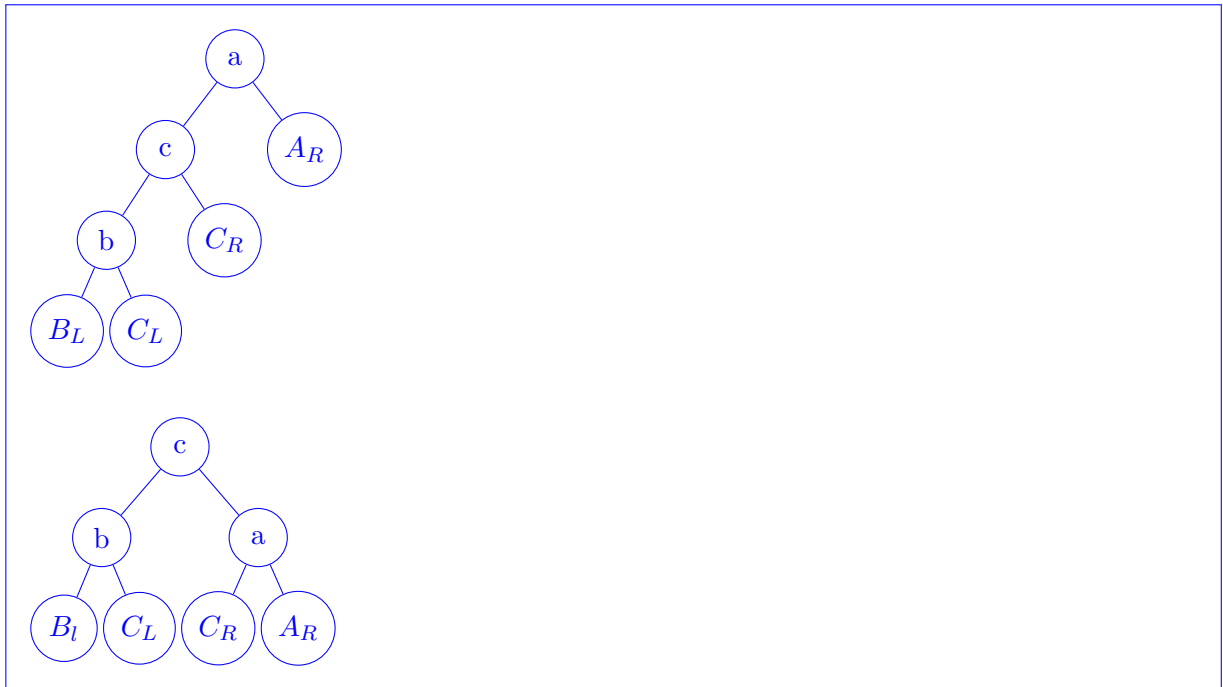
Solution: $h - 1$ and h , or h and $h - 1$.

update: This question has some problems. In graph (b), if C_L 's height is $h - 1$, C_R 's height is $h - 2$, and the new node is insert as C_L 's child, then node a and node c are all unbalanced. So (a) may have another solution: h and $h - 2$. And the next question (b) has an undecidable solution for node c , and LR type for node a .

A possible modification method is to add a constrain in the description of the problem that node a is the only unbalanced node, then it will not have such a problem.

- (b) (2') The type of imbalance present in the tree is **C**.
- A. LL B. RR C. LR D. RL
- (c) (4') Draw the tree after each of the following steps:
1. Perform an RR rotation on the node b .
 2. Perform an LL rotation on the tree after step 1.

Solution:



- (d) (2') Determine if the final tree is balanced. What conclusion can you draw from this result? (**Hint:** Consider experimenting with additional cases on your own. Your goal is to find the relation between LR, RL, and LL, RR.)

Solution: Yes, it is balanced. The LR and RL rotations are a combination of LL and RR rotations.

7. (10 points) Time complexity of the n -choose- m algorithm

If we want to enumerate all ways of choosing m numbers from $\{1, 2, \dots, n\}$, we can implement it by m `for` loops:

```
int main() {
    for (a[1] = 1; a[1] <= n - m + 1; ++a[1])
        for (a[2] = a[1] + 1; a[2] <= n - m + 2; ++a[2])
            ...
                for (a[m] = a[m - 1] + 1; a[m] <= n; ++a[m])
                    for (int j = 1; j <= m; ++j)
                        printf("%d%c", a[j], j < m ? ' ' : '\n');
    /* Example: When n = 4, m = 3, the output should be
        1 2 3
        1 2 4
        1 3 4
        2 3 4
    */
}
```

However, if m is not a constant, we are unable to write such m `for` loops.

For either constant or variable m , we can implement it by recursive functions like:

```
void loop(int i, int start, int end) {
    for (a[i] = start; a[i] <= end; ++a[i])
        if (i < m)
            loop(_____, _____, _____);
        else
            for (int j = 1; j <= m; ++j)
                printf("%d%c", a[j], j < m ? ' ' : '\n');
}

int main() {
    loop(1, 1, n - m + 1);
}
```

- (a) (3') Please fill in the three blanks in the code above.

Solution:

`loop(i + 1, a[i] + 1, end + 1).`

`loop(i + 1, a[i] + 1, n - m + i + 1)` is also OK.

- (b) (5') It is difficult to directly evaluate the time complexity of `loop(1, 1, n - m + 1)`. However, by the well-known fact that this algorithm enumerates all ways of choosing m numbers from n elements, we can simply derive the time complexity using the Binomial Coefficient:

$$T(n, m) = \Theta \left(m \binom{n}{m} \right) = \Theta \left(\frac{m \cdot n!}{m!(n-m)!} \right)$$

Now you need to prove mathematically that if m is a constant c , then the time complexity should be $T(n, c) = \Theta(n^c)$. [Hint: you can check the Stirling Formula on the HINTS page.]

Solution: Prove by showing that $\frac{T(n,c)}{n^c} = \Theta(1)$.

$$\begin{aligned}
 \frac{T(n,c)}{n^c} &= \frac{c \cdot n!}{c!(n-c)!n^c} \\
 &= \Theta\left(\frac{n!}{(n-c)!n^c}\right) && \text{(ignoring constant coefficient, 1pt)} \\
 &= \Theta\left(\frac{n^{n+\frac{1}{2}}e^{-n}}{(n-c)^{n-c+\frac{1}{2}}e^{-n+c}n^c}\right) && \text{(Stirling Formula, 1pt)} \\
 &= \Theta\left(\frac{n^{n-c+\frac{1}{2}}}{(n-c)^{n-c+\frac{1}{2}}}\right) \\
 &= \Theta\left(\left(\frac{u+c}{u}\right)^{u+\frac{1}{2}}\right) && (u \leftarrow n-c) \\
 &= \Theta\left(\left(1+\frac{c}{u}\right)^u \cdot \left(1+\frac{c}{u}\right)^{\frac{1}{2}}\right) \\
 &= \Theta(e^c \cdot 1) = \Theta(1) && \text{(calculating limits as } u \rightarrow \infty, 1\text{pt)}
 \end{aligned}$$

2pts for mathematical correctness and step-by-step deduction.

Method 2:

$$\frac{n!}{(n-c)!} = n(n-1)(n-2) \cdots (n-c+1) = \Theta(n \cdot n \cdot n \cdots n) = \Theta(n^c)$$

but it is not suitable for solving (c).

Method 3:

$$(n-c)^c \leq \frac{n^{n-c+\frac{1}{2}}}{(n-c)^{n-c+\frac{1}{2}}} \leq n^c$$

- (c) (2') Now you need to show that if $m = \frac{n}{2}$ instead of a constant, then the time complexity should be $T\left(n, \frac{n}{2}\right) = \underline{\quad o \quad} \left(n^{\frac{n}{2}}\right)$. (Write Θ , o or ω in the blank).

Solution: We can prove by $T\left(n, \frac{n}{2}\right) = \Theta\left(n^{\frac{1}{2}}2^n\right) = o\left(n^{\frac{n}{2}}\right)$.

$$\begin{aligned}
 T\left(n, \frac{n}{2}\right) &= \frac{\frac{n}{2} \cdot n!}{\left(\frac{n}{2}\right)! \left(\frac{n}{2}\right)!} \\
 &= \Theta\left(\frac{n \cdot n^{n+\frac{1}{2}} e^{-n}}{\left(\frac{n}{2}\right)^{\frac{n}{2}+\frac{1}{2}} e^{-\frac{n}{2}} \left(\frac{n}{2}\right)^{\frac{n}{2}+\frac{1}{2}} e^{-\frac{n}{2}}}\right) && \text{(Stirling Formula)} \\
 &= \Theta\left(\frac{n^{n+\frac{3}{2}} e^{-n}}{\left(\frac{n}{2}\right)^{n+1} e^{-n}}\right) \\
 &= \Theta\left(\frac{n^{n+\frac{3}{2}} 2^{n+1}}{n^{n+1}}\right) \\
 &= \Theta\left(n^{\frac{1}{2}} 2^n\right)
 \end{aligned}$$

And then

$$\begin{aligned}
 n^{\frac{1}{2}} 2^n &= o\left(n^{\frac{n}{2}}\right) \\
 \iff \lim_{n \rightarrow \infty} \frac{n^{\frac{1}{2}} 2^n}{n^{\frac{n}{2}}} &= 0 \\
 \iff \lim_{n \rightarrow \infty} 2^{\left(\frac{1}{2} \log n + n - \frac{n}{2} \log n\right)} &= 2^{-\infty} = 0 \\
 \iff \frac{1}{2} \log n + n &= o\left(\frac{n}{2} \log n\right)
 \end{aligned}$$

(Please make it clear otherwise unspecified answers may not be counted.)

1 Multiple Choice

- | | | | | | | | | | |
|-----|-------------------------|-------------------------|-------------------------|-------------------------|-----|-------------------------|-------------------------|-------------------------|-------------------------|
| (a) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D | (b) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D |
| (c) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D | (d) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D |
| (e) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D | (f) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D |
| (g) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D | (h) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D |
| (i) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D | (j) | <input type="radio"/> A | <input type="radio"/> B | <input type="radio"/> C | <input type="radio"/> D |

2 Fill in Blanks

- | | | | | | | |
|-----|-------|-------|-------|-------|-------|-------|
| (a) | _____ | _____ | (b) | _____ | (c) | _____ |
| (d) | _____ | (e) | _____ | (f) | _____ | |
| (g) | _____ | _____ | (h) | _____ | _____ | |

3 Hash Table Operations

- | | | | |
|-----|-------|-----|-------|
| (a) | _____ | (b) | _____ |
| (c) | _____ | (d) | _____ |
| (e) | | | |

4 Merge sort on Linked-lists

(a)

| Data Structure \ Operation | Divide | Sub-problem(s) | Merge |
|----------------------------|------------------------|-------------------|------------------------|
| Array | $\Theta(1)$ | $2T(\frac{n}{2})$ | $\Theta(\text{_____})$ |
| Doubly Linked-list | $\Theta(\text{_____})$ | $2T(\frac{n}{2})$ |(Don't Need This) |

Name: _____

ID: _____

(b)

- (i) 1st blank ☐ A ☐ B ☐ C ☐ D (i) 2nd blank ☐ A ☐ B ☐ C ☐ D
(ii) 1st blank ☐ A ☐ B ☐ C ☐ D (ii) 2nd blank ☐ A ☐ B ☐ C ☐ D

(iii) _____ (c) _____ (d) _____

5 Karatsuba's Algorithm

(a) ☐ A ☐ B ☐ C ☐ D

(b) _____

(c) _____

(d) _____

(e) ☐ Yes ☐ No

6 Rotate To Balance

(a) _____ (b) _____

(c)

(d) _____

7 Time complexity of the n -choose- m algorithm

(a) _____

(b)

(c) _____