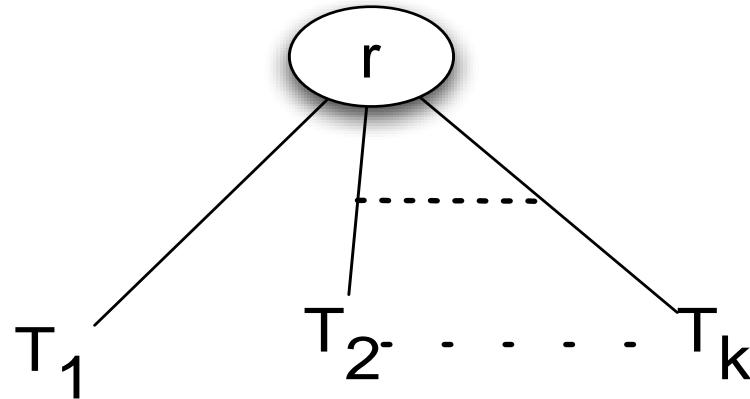


Trees

Initially prepared by Dr. İlyas Çiçekli; improved by various Bilkent CS202 instructors.

What is a Tree?

- T is a **tree** if either
 - T has no nodes, or
 - T is of the form:



where r is a node and T_1, T_2, \dots, T_k are trees.

Tree Terminology

Parent – The parent of node n is the node directly above in the tree.

Child – The child of node n is the node directly below in the tree.

- If node m is the parent of node n , node n is the child of node m .

Root – The only node in the tree with no parent.

Leaf – A node with no children.

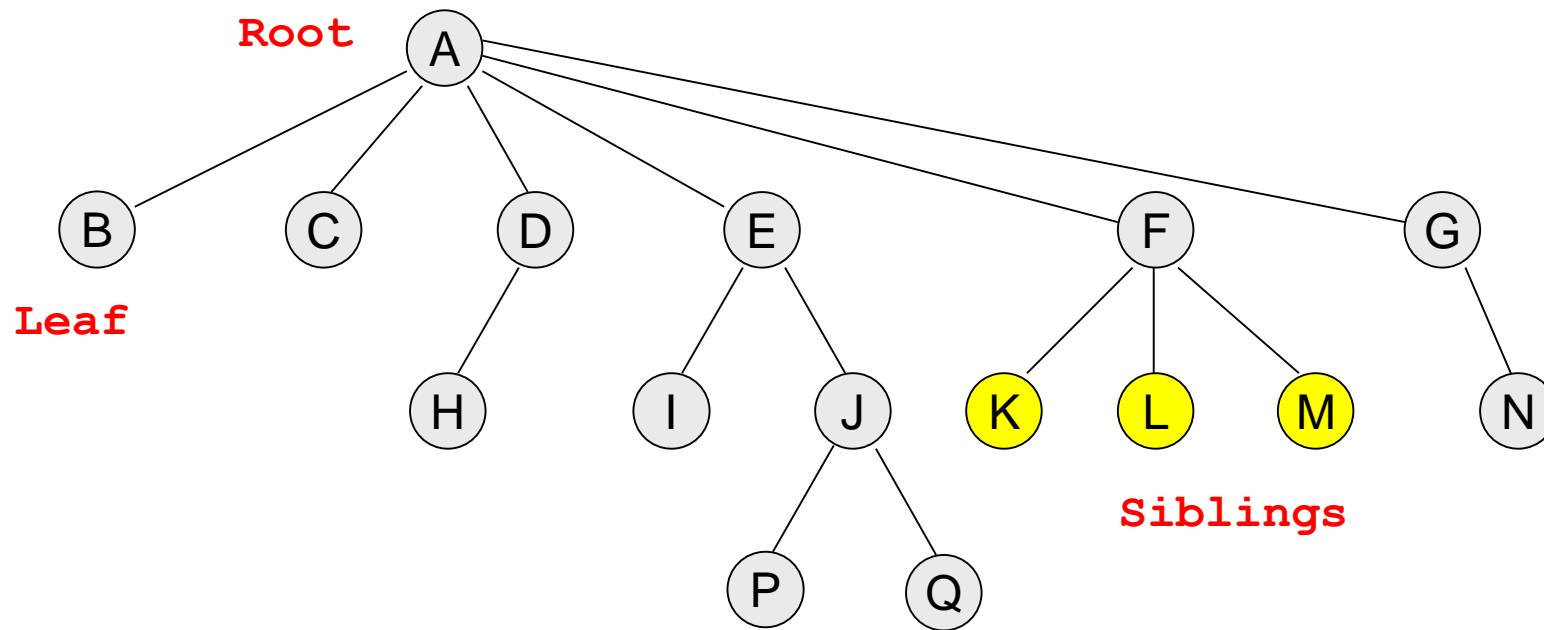
Siblings – Nodes with a common parent.

Ancestor – An ancestor of node n is a node on the path from the root to n .

Descendant – A descendant of node n is a node on the path from n to a leaf.

Subtree – A subtree of node n is a tree that consists of a child (if any) of n and the child's descendants (a tree which is rooted by a child of node n)

A Tree – Example



- Node A has 6 **children**: B, C, D, E, F, G.
- B, C, H, I, P, Q, K, L, M, N are **leaves** in the tree above.
- K, L, M are **siblings** since F is parent of all of them.

What is a Tree?

- The root of each sub-tree is said to be **child** of r , and r is the **parent** of each sub-tree's root.
- If a tree is a collection of N nodes, then it has $N-1$ edges. **Why?**
- A **path** from node n_1 to n_k is defined as a sequence of nodes n_1, n_2, \dots, n_k such that n_i is parent of n_{i+1} ($1 \leq i < k$)
 - There is a path from every node to itself.
 - There is exactly one path from the root to each node. **Why?**

non-circular

Level of a node

Level – The level of node n is the number of nodes on the path from root to node n .

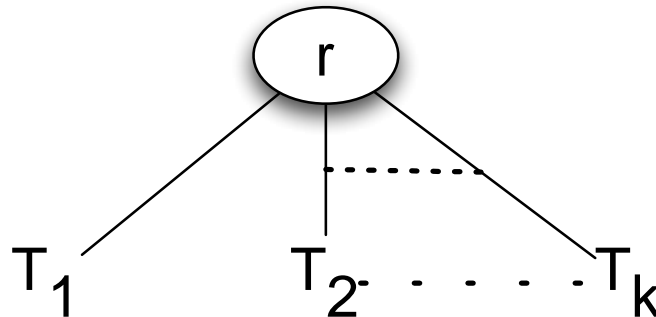
Definition: *The level of node n in a tree T*

- If n is the root of T , the level of n is 1.
- If n is not the root of T , its level is 1 greater than the level of its parent.

Height of A Tree

Height – number of nodes on **longest path** from the root to any leaf.

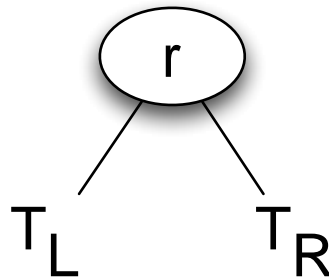
- The height of a tree T in terms of the levels of its nodes is defined as:
 - If T is empty, its height is 0
 - If T is not empty, its height is equal to the maximum level of its nodes.
- Or, the height of a tree T can be defined as recursively as:
 - If T is empty, its height is 0.
 - If T is non-empty tree, then since T is of the form:



$$\text{height}(T) = 1 + \max\{\text{height}(T_1), \text{height}(T_2), \dots, \text{height}(T_k)\}$$

Binary Tree

- A binary tree T is a set of nodes with the following properties:
 - The set can be empty.
 - Otherwise, the set is partitioned into three disjoint subsets:
 - a tree consists of a distinguished node r , called **root**, and
 - two possibly empty sets are binary tree, called **left** and **right subtrees** of r .
- T is a **binary tree** if either
 - T has no nodes, or
 - T is of the form:



where r is a node and T_L and T_R are binary trees.

Binary Tree Terminology

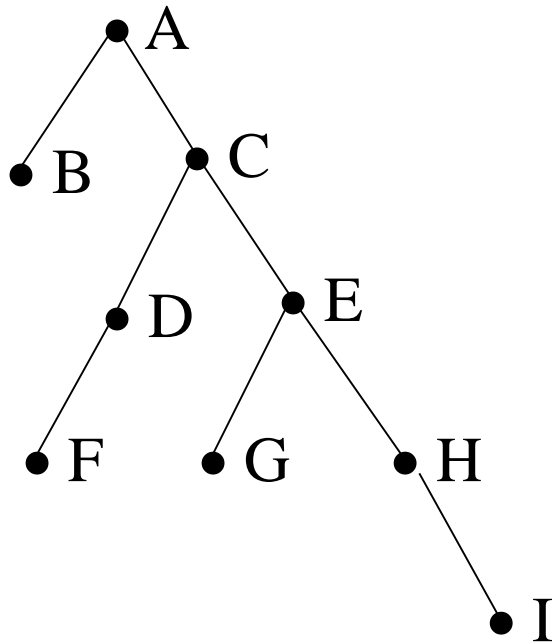
Left Child – The left child of node n is a node directly below and to the left of node n in a binary tree.

Right Child – The right child of node n is a node directly below and to the right of node n in a binary tree.

Left Subtree – In a binary tree, the left subtree of node n is the left child (if any) of node n plus its descendants.

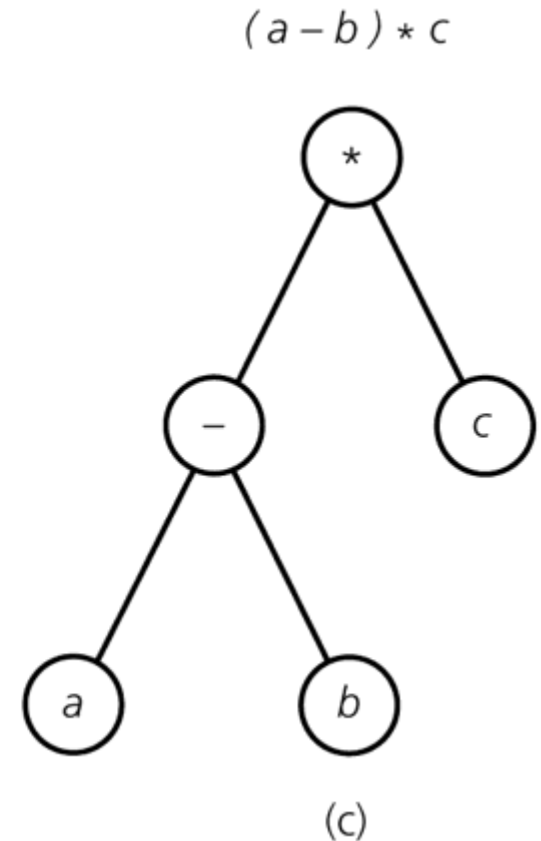
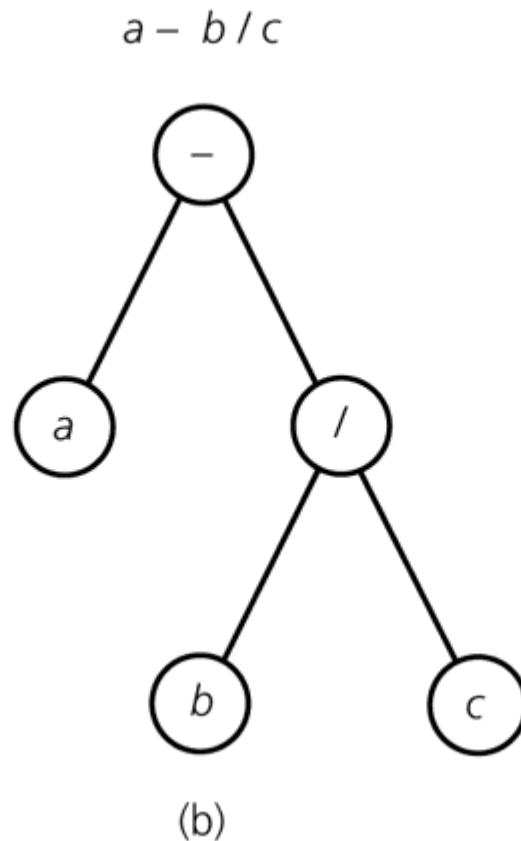
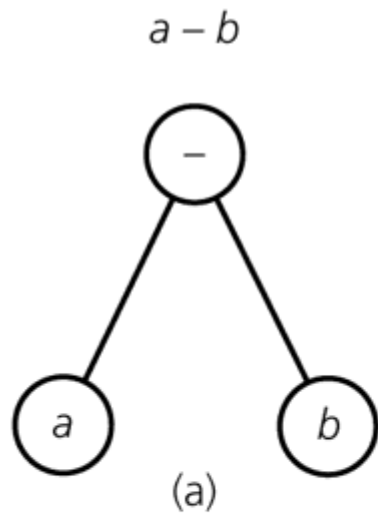
Right Subtree – In a binary tree, the right subtree of node n is the right child (if any) of node n plus its descendants.

Binary Tree -- Example



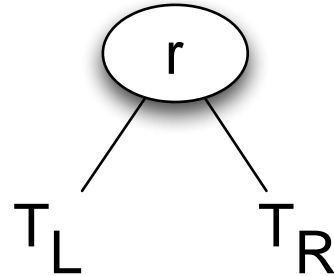
- A is the root.
- B is left child of A,
C is right child of A.
- D doesn't have a right child.
- H doesn't have a left child.
- B, F, G and I are leaves.

Binary Tree – Representing Algebraic Expressions



Height of Binary Tree

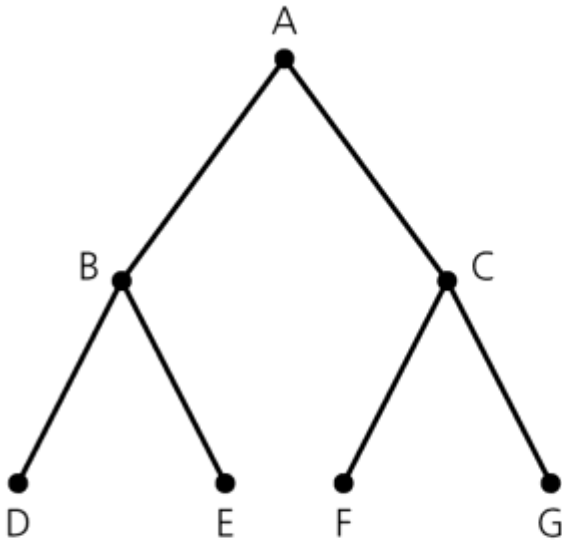
- The height of a binary tree T can be defined as recursively as:
 - If T is empty, its height is 0.
 - If T is non-empty tree, then since T is of the form ...



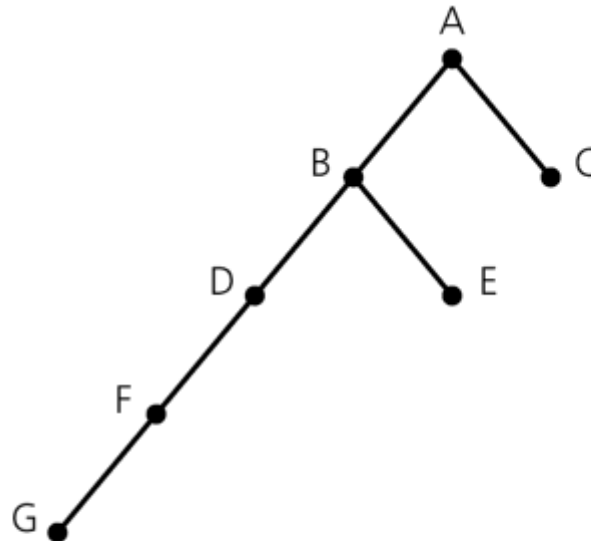
... height of T is 1 greater than height of its root's taller subtree; ie.

$$\text{height}(T) = 1 + \max\{\text{height}(T_L), \text{height}(T_R)\}$$

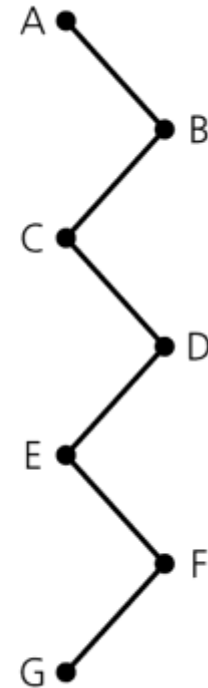
Height of Binary Tree (cont.)



(a)



(b)



(c)

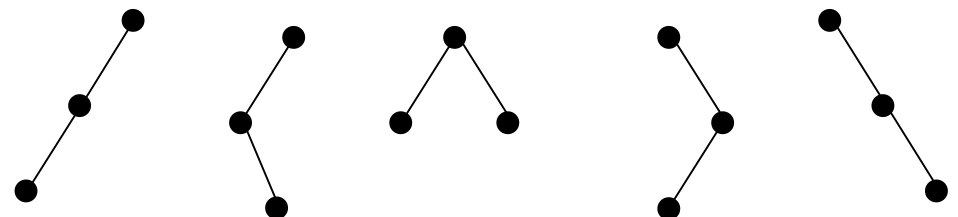
Binary trees with the same nodes but different heights

Number of Binary trees with Same # of Nodes

$n=0 \rightarrow$ empty tree

$n=1 \rightarrow$  (1 tree)

$n=2 \rightarrow$  (2 trees)

$n=3 \rightarrow$  (5 trees)

n is even \rightarrow
$$NumBT(N) = 2 \sum_{i=0}^{(n-1)/2} (NumBT(i)NumBT(n-i-1))$$

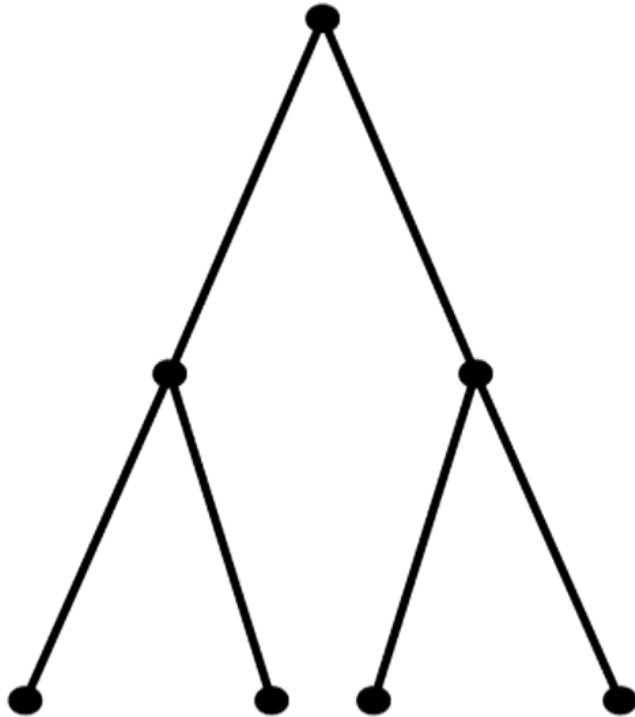
n is odd \rightarrow
$$NumBT(N) = 2 \sum_{i=0}^{((n-1)/2)-1} (NumBT(i)NumBT(n-i-1))$$

$$+ NumBT((n-1)/2)NumBT((n-1)/2)$$

Full Binary Tree

- In a **full binary tree** of height h , all nodes that are at a level less than h have two children each.
- Each node in a full binary tree has left and right subtrees of the same height.
- Among binary trees of height h , a full binary tree has as many leaves as possible, and **leaves all are at level h** .
- A full binary tree has **no missing nodes**.
- Recursive definition of full binary tree:
 - If T is empty, T is a full binary tree of height 0.
 - If T is not empty and has height $h > 0$, T is a full binary tree if its root's subtrees are both full binary trees of height $h-1$.

Full Binary Tree – Example



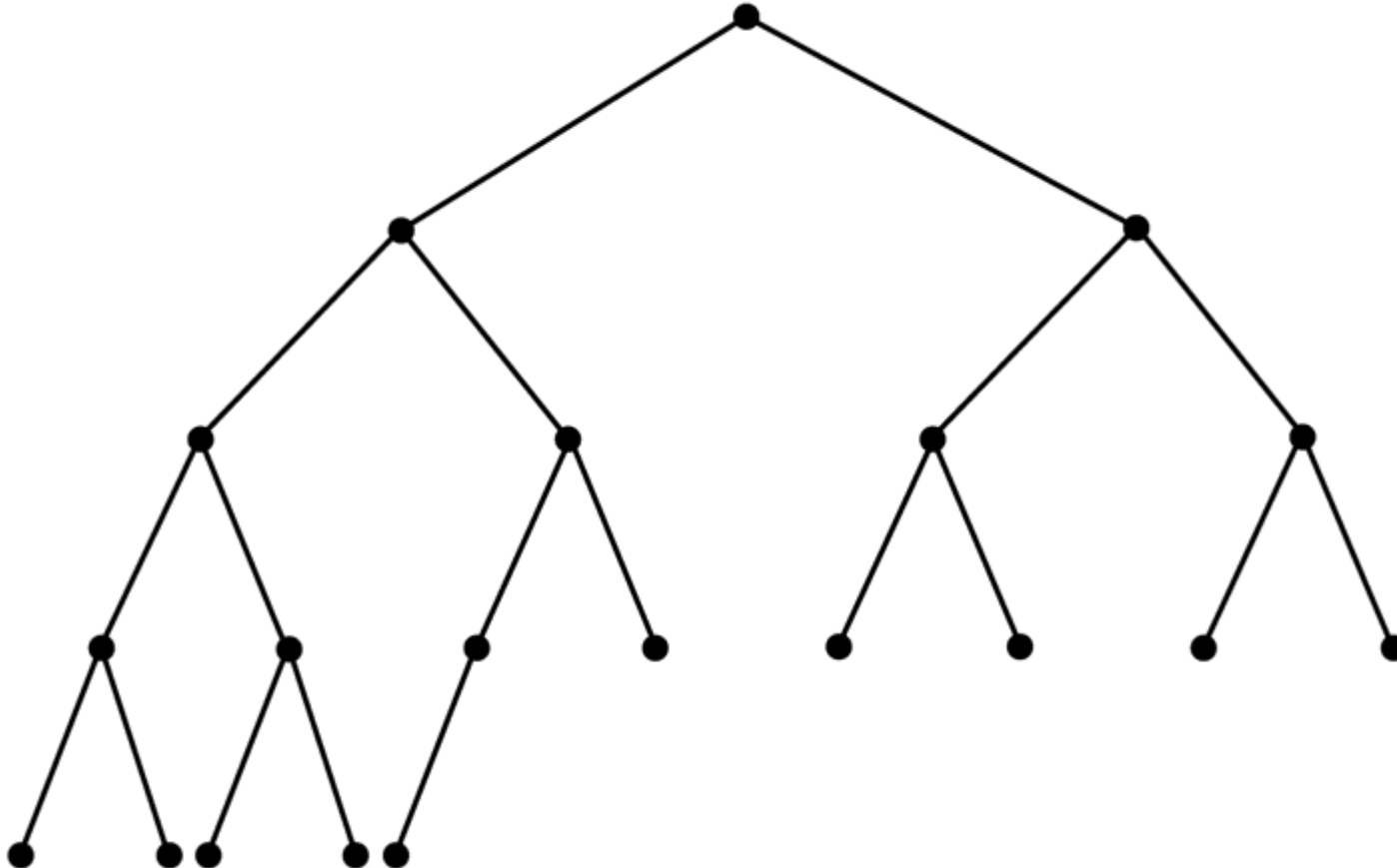
A full binary tree of height 3

Complete Binary Tree

- A **complete binary tree** of height h is a binary tree that is **full down to level $h-1$** , with level h filled in from left to right.
- A binary tree T of height h is complete if
 1. All nodes at level $h-2$ and above have two children each, and
 2. When a node at level $h-1$ has children, all nodes to its left at the same level have two children each, and
 3. When a node at level $h-1$ has one child, it is a left child.

last level filling the tree left to right
- A full binary tree is a complete binary tree.

Complete Binary Tree – Example



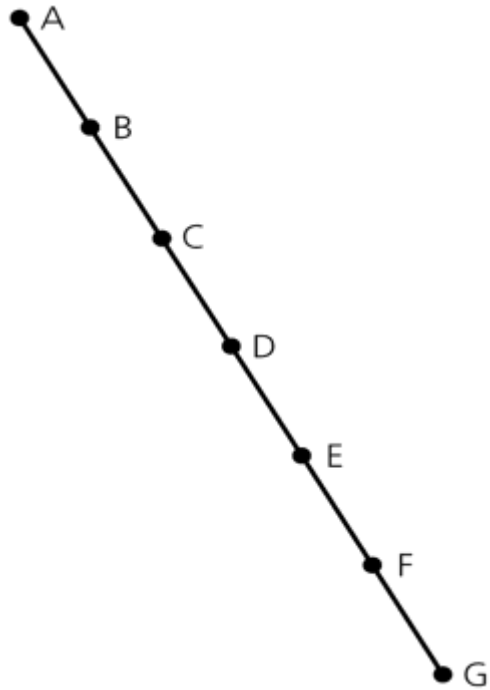
Balanced Binary Tree

- A binary tree is **balanced** (or **height balanced**), if the height of any node's right subtree and left subtree **differ no more than 1**.
- A complete binary tree is a balanced tree. **Why?**
- Later, we look at other height balanced trees.
 - AVL trees
 - Red-Black trees,

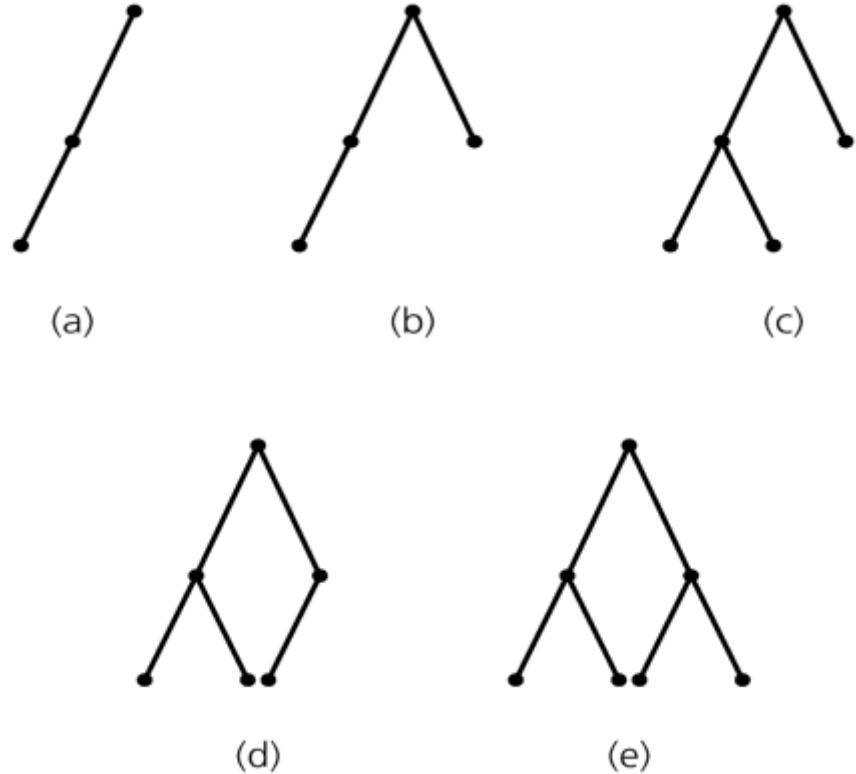
Maximum and Minimum Heights of a Binary Tree

- **Efficiency** of most binary tree operations **depends on tree height**.
- **E.g. maximum number of key comparisons** for retrieval, deletion, and insertion operations for BSTs is the height of the tree.
- The maximum of height of a binary tree with n nodes is n . **How?**
linear (chain)
- Each level of a minimum height tree, except the last level, must contain as many nodes as possible.
 - Should the tree be a Complete Binary Tree?

Maximum and Minimum Heights of a Binary Tree

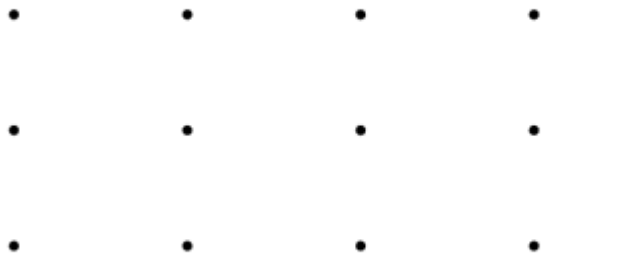
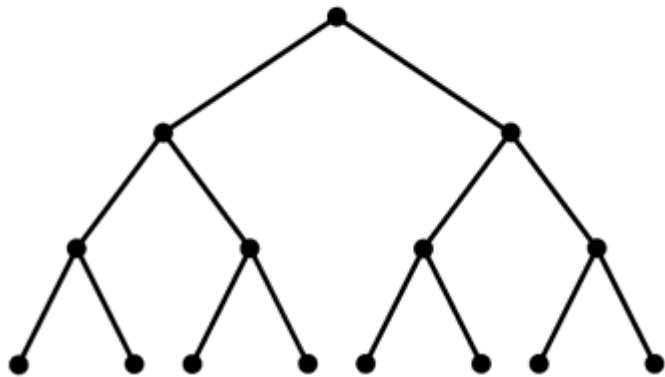


A maximum-height binary tree with seven nodes



Some binary trees of height 3

Counting the nodes in a full binary tree of height h



Level	Number of nodes at this level	Number of nodes at this and previous levels
1	$1 = 2^0$	$1 = 2^1 - 1$
2	$2 = 2^1$	$3 = 2^2 - 1$
3	$4 = 2^2$	$7 = 2^3 - 1$
4	$8 = 2^3$	$15 = 2^4 - 1$
h	2^{h-1}	$2^h - 1$

Some Height Theorems

Theorem: A full binary tree of height $h \geq 0$ has $2^h - 1$ nodes.

- The maximum number of nodes that a binary tree of height h can have is $2^h - 1$.
- We cannot insert a new node into a full binary tree without increasing its height.

Some Height Theorems

Theorem 10-4: The minimum height of a binary tree with n nodes is $\lceil \log_2(n+1) \rceil$.

Proof: Let h be the smallest integer such that $n \leq 2^h - 1$. We can establish following facts:

Fact 1 – A binary tree whose height is $\leq h-1$ has $< n$ nodes.

- Otherwise h cannot be smallest integer in our assumption.

Fact 2 – There exists a complete binary tree of height h that has exactly n nodes.

- A full binary tree of height $h-1$ has $2^{h-1}-1$ nodes.
- Since a binary tree of height h cannot have more than 2^h-1 nodes.
- At level h , we will reach n nodes.

Fact 3 – The minimum height of a binary tree with n nodes is the smallest integer h such that $n \leq 2^h - 1$.

So,

$$\begin{aligned} \Rightarrow 2^{h-1}-1 &< n \leq 2^h-1 \\ \Rightarrow 2^{h-1} &< n+1 \leq 2^h \\ \Rightarrow h-1 &< \log_2(n+1) \leq h \end{aligned}$$

Thus, $\Rightarrow h = \lceil \log_2(n+1) \rceil$ is the minimum height of a binary tree with n nodes.

- UML Diagram for **BinaryTree ADT**
- What is an **ADT**?

Binary tree
<i>root</i> <i>left subtree</i> <i>right subtree</i>
<i>createTree()</i> <i>destroyBinaryTree()</i> <i>isEmpty()</i> <i>getRootData()</i> <i>setRootData()</i> <i>attachRight()</i> <i>attachLeftSubtree()</i> <i>attachRightSubtree()</i> <i>detachLeftSubtree()</i> <i>detachRightSubtree()</i> <i>getLeftSubtree()</i> <i>getRightSubtree()</i> <i>preorderTraverse()</i> <i>inorderTraverse()</i> <i>postorderTraverse()</i>

An Array-Based Implementation of Binary Trees

```
const int MAX_NODES = 100;           // maximum number of nodes
typedef string TreeltemType;

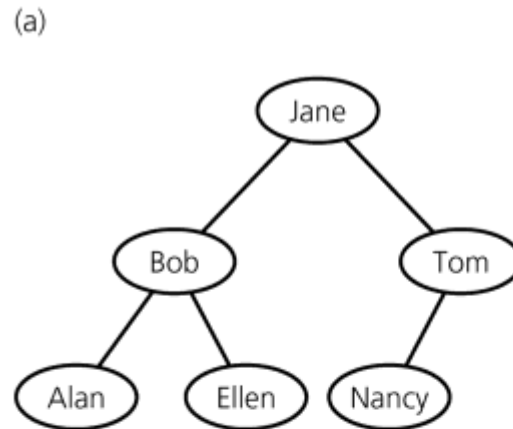
class TreeNode {                      // node in the tree
private:
    TreeNode();
    TreeNode(const TreeltemType& nodeItem, int left, int right);

    TreeltemType item;                // data portion
    int leftChild;                     // index to left child
    int rightChild;                   // index to right child

    // friend class - can access private parts
    friend class BinaryTree;
};

// An array of tree nodes
TreeNode[MAX_NODES] tree;
int root;
int free;
```

An Array-Based Implementation (cont.)



- A **free list** keeps track of available nodes.
- To insert a new node into the tree, we first obtain an available node from the free list.
- When we delete a node from the tree, we have to place into the free list so that we can use it later.

(b)

	item	leftChild	rightChild	root
0	Jane	1	2	0
1	Bob	3	4	free
2	Tom	5	-1	6
3	Alan	-1	-1	
4	Ellen	-1	-1	
5	Nancy	-1	-1	
6	?	-1	7	
7	?	-1	8	
8	?	-1	9	
•	•	•	•	
•	•	•	•	
•	•	•	•	

Free list

An Array-Based Representation of a Complete Binary Tree

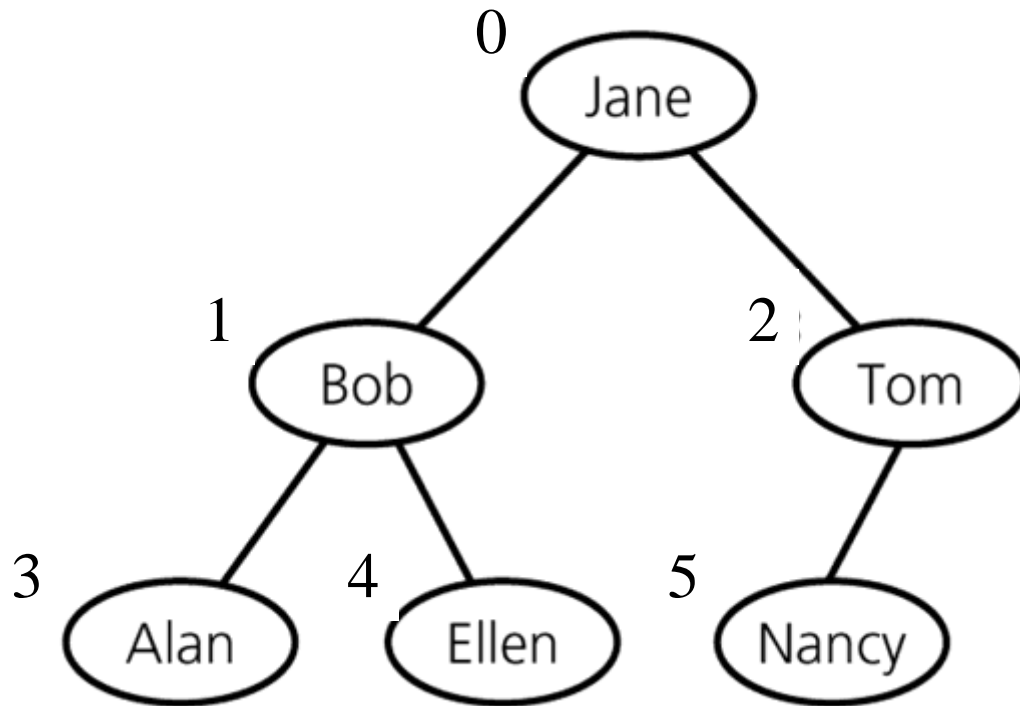
- If we know that our binary tree is a **complete binary tree**, we can use a simpler array-based representation for complete binary trees
 - **without** using **leftChild, rightChild** links
- We can number the nodes level by level, and left to right (starting from 0, the root will be 0). If a node is numbered as i , in the i th location of the array, `tree[i]`, contains this node without links.
- Using these numbers we can find leftChild, rightChild, and parent of a node i .

The left child (if it exists) of node i is `tree[2*i+1]`

The right child (if it exists) of node i is `tree[2*i+2]`

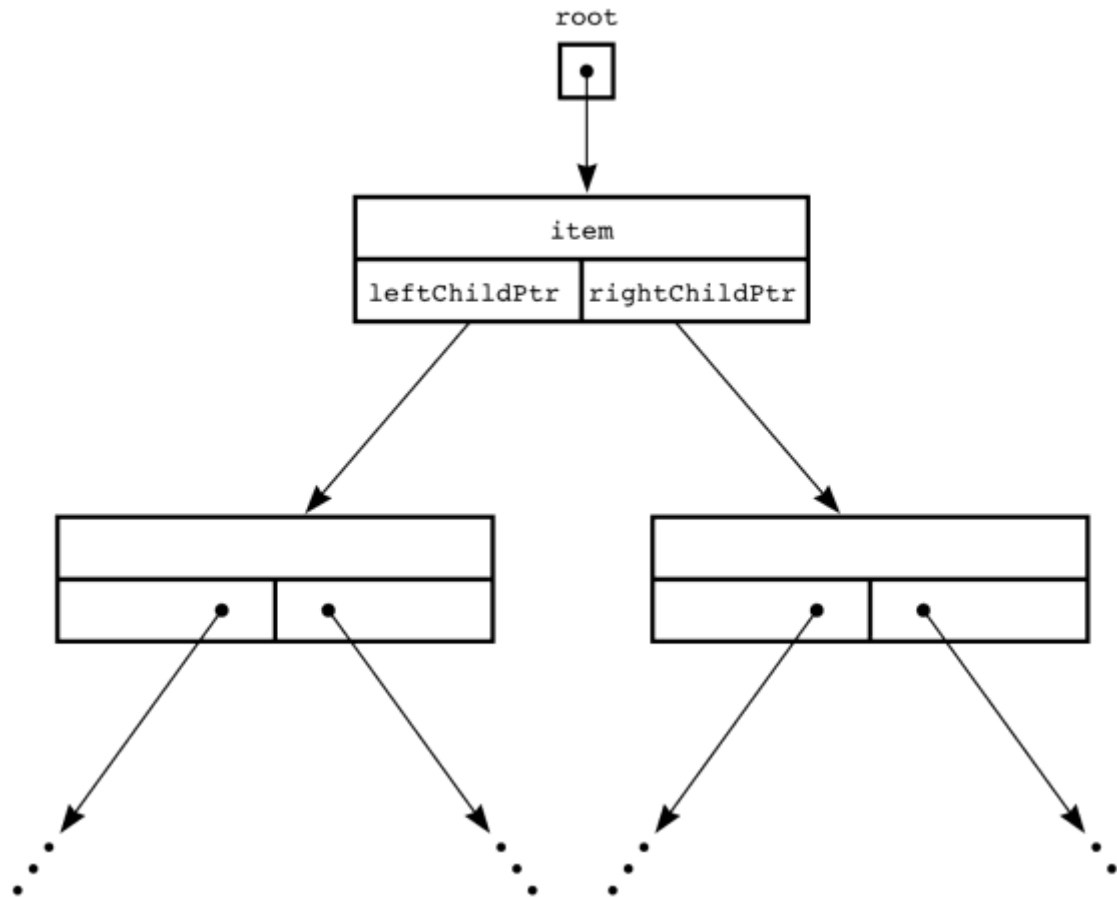
The parent (if it exists) of node i is `tree[(i-1)/2]`
integer division

An Array-Based Representation of a Complete Binary Tree (cont.)



0	Jane
1	Bob
2	Tom
3	Alan
4	Ellen
5	Nancy
6	
7	

Pointer-Based Implementation of Binary Trees



A Pointer-Based Implementation of a Binary Tree Node

```
typedef string TreelItemType;
```

```
class TreeNode {           // node in the tree
```

```
private:
```

```
    TreeNode() {}
```

```
    TreeNode(const TreelItemType& nodeItem,
```

```
        TreeNode *left = NULL,
```

```
        TreeNode *right = NULL)
```

```
    :item(nodeItem),leftChildPtr(left),rightChildPtr(right) {}
```

```
    TreelItemType item;           // data portion
```

```
    TreeNode *leftChildPtr; // pointer to left child
```

```
    TreeNode *rightChildPtr; // pointer to right child
```

```
friend class BinaryTree;
```

```
};
```

Binary Tree – TreeException.h

```
class TreeException : public exception{

private:
    string msg;

public:
    virtual const char* what() const throw()
    {
        return msg.c_str();
    }
    TreeException(const string & message = ""):
        exception(), msg(message) {};
    ~TreeException() throw() {};

}; // end TreeException
```


Binary tree
<i>root</i> <i>left subtree</i> <i>right subtree</i>
<i>createTree()</i> <i>destroyBinaryTree()</i> <i>isEmpty()</i> <i>getRootData()</i> <i>setRootData()</i> <i>attachRight()</i> <i>attachLeftSubtree()</i> <i>attachRightSubtree()</i> <i>detachLeftSubtree()</i> <i>detachRightSubtree()</i> <i>getLeftSubtree()</i> <i>getRightSubtree()</i> <i>preorderTraverse()</i> <i>inorderTraverse()</i> <i>postorderTraverse()</i>

The BinaryTree Class

- **Properties**

- `TreeNode * root`

- **Constructors**

- `BinaryTree();`
- `BinaryTree(const TreeItemType& rootItem);`
- `BinaryTree(const TreeItemType& rootItem,
BinaryTree& leftTree, BinaryTree& rightTree);`
- `BinaryTree(const BinaryTree& tree);`
`void copyTree(TreeNode *treePtr, TreeNode* &newTreePtr) const;`

- **Destructor**

- `~BinaryTree();`
`void destroyTree(TreeNode * &treePtr);`

BinaryTree: Public Methods

- `bool isEmpty()`
- `TreelItemType rootData() const throw(TreeException)`
- `void setRootData(const TreelItemType& newItem)`
- `void attachLeft(const TreelItemType& newItem)`
- `void attachRight(const TreelItemType& newItem)`
- `void attachLeftSubtree(BinaryTree& leftTree)`
- `void attachRightSubtree(BinaryTree& rightTree)`
- `void detachLeftSubtree(BinaryTree& leftTree)`
- `void detachRightSubtree(BinaryTree& rightTree)`
- `BinaryTree leftSubtree()`
- `BinaryTree rightSubtree()`
- `void preorderTraverse(FunctionType visit_fn)`
- `void inorderTraverse(FunctionType visit_fn)`
- `void postorderTraverse(FunctionType visit_fn)`
 - FunctionType is a pointer to a function:
 - `typedef void (*FunctionType)(TreelItemType& anItem);`

BinaryTree: Implementation

- The complete implementation is in your text book
- In class, we will go through only some methods
 - Skipping straightforward methods
 - Such as `isEmpty`, `rootData`, and `setRootData` functions
 - Skipping some details
 - Such as throwing exceptions

// Default constructor

```
BinaryTree::BinaryTree() : root(NULL) {  
  
}
```

// Protected constructor

```
BinaryTree::BinaryTree(TreeNode *nodePtr) : root(nodePtr) {  
  
}
```

// Constructor

```
BinaryTree::BinaryTree(const TreeItemType& rootItem) {  
    root = new TreeNode(rootItem, NULL, NULL);  
}
```

// Constructor

```
BinaryTree::BinaryTree(const TreeltemType& rootItem,
                      BinaryTree& leftTree, BinaryTree& rightTree) {
    root = new TreeNode(rootItem, NULL, NULL);
    attachLeftSubtree(leftTree);
    attachRightSubtree(rightTree);
}

void BinaryTree::attachLeftSubtree(BinaryTree& leftTree) {
    // Assertion: nonempty tree; no left child
    if (!isEmpty() && (root->leftChildPtr == NULL)) {
        root->leftChildPtr = leftTree.root;
        leftTree.root = NULL    //done so can't reach the subtree with using this pointer again, only reach it
                                //with using the whole trees root pointer
    }
}

void BinaryTree::attachRightSubtree(BinaryTree& rightTree) {
    // Left as an exercise
}
```

// Copy constructor

```
BinaryTree::BinaryTree(const BinaryTree& tree) {  
    copyTree(tree.root, root);  
}
```

// Uses preorder traversal for the copy operation

// (Visits first the node and then the left and right children)

```
void BinaryTree::copyTree(TreeNode *treePtr, TreeNode *& newTreePtr) const {  
  
    if (treePtr != NULL) {                // copy node  
        newTreePtr = new TreeNode(treePtr->item, NULL, NULL);  
        copyTree(treePtr->leftChildPtr, newTreePtr->leftChildPtr);  
        copyTree(treePtr->rightChildPtr, newTreePtr->rightChildPtr);  
    }  
    else  
        newTreePtr = NULL; // copy empty tree  
}
```

// Destructor

```
BinaryTree::~BinaryTree() {  
    destroyTree(root);  
}
```

// Uses postorder traversal for the destroy operation

// (Visits first the left and right children and then the node)

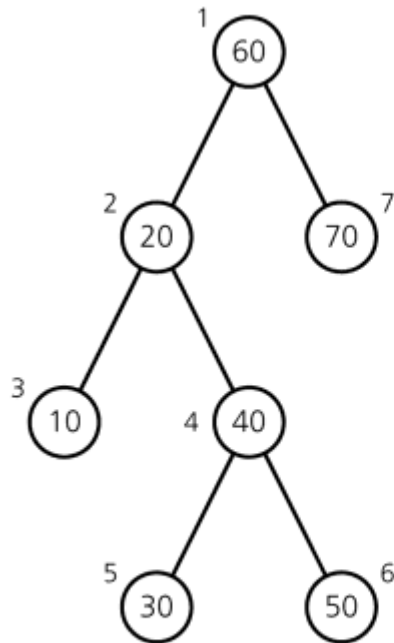
```
void BinaryTree::destroyTree(TreeNode *& treePtr) {  
  
    if (treePtr != NULL){  
        destroyTree(treePtr->leftChildPtr);  
        destroyTree(treePtr->rightChildPtr);  
        delete treePtr;  
        treePtr = NULL;  
    }  
}
```


Binary Tree Traversals

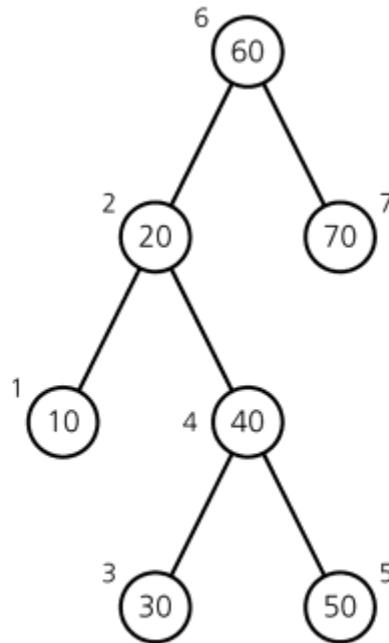
6 different traversals

- **Preorder Traversal**
 - The node is visited before its left and right subtrees,
- **Postorder Traversal**
 - The node is visited after both subtrees.
- **Inorder Traversal**
 - The node is visited between the subtrees,
 - Visit left subtree, visit the node, and visit the right subtree.

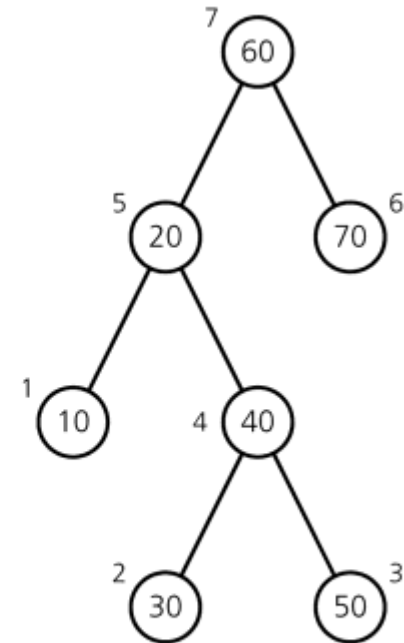
Binary Tree Traversals



(a) Preorder: 60, 20, 10, 40, 30, 50, 70



(b) Inorder: 10, 20, 30, 40, 50, 60, 70



(c) Postorder: 10, 30, 50, 40, 20, 70, 60

(Numbers beside nodes indicate traversal order.)

```

void BinaryTree::preorderTraverse(FunctionType visit) {
    preorder(root, visit);
}

void BinaryTree::inorderTraverse(FunctionType visit) {
    inorder(root, visit);
}

void BinaryTree::postorderTraverse(FunctionType visit) {
    postorder(root, visit);
}

```

Remember that:

FunctionType is a pointer to a function

- Variables that point to the address of a function
- `typedef void (*FunctionType) (TreeItemType& anItem);`

Example of using inorderTraverse function:

- `void display(TreeItemType& anItem) { cout << anItem << endl; }`
- `BinaryTree T1;`
`T1.inorderTraverse(display);`

```

void BinaryTree::preorder(TreeNode *treePtr, FunctionType visit) {
    if (treePtr != NULL) {
        visit(treePtr->item);
        preorder(treePtr->leftChildPtr, visit);
        preorder(treePtr->rightChildPtr, visit);
    }
}

```

```

void BinaryTree::inorder(TreeNode *treePtr, FunctionType visit) {
    if (treePtr != NULL) {
        inorder(treePtr->leftChildPtr, visit);
        visit(treePtr->item);
        inorder(treePtr->rightChildPtr, visit);
    }
}

```

```

void BinaryTree::postorder(TreeNode *treePtr, FunctionType visit) {
    if (treePtr != NULL) {
        postorder(treePtr->leftChildPtr, visit);
        postorder(treePtr->rightChildPtr, visit);
        visit(treePtr->item);
    }
}

```

Complexity of Traversals

What is the complexity of each traversal type?

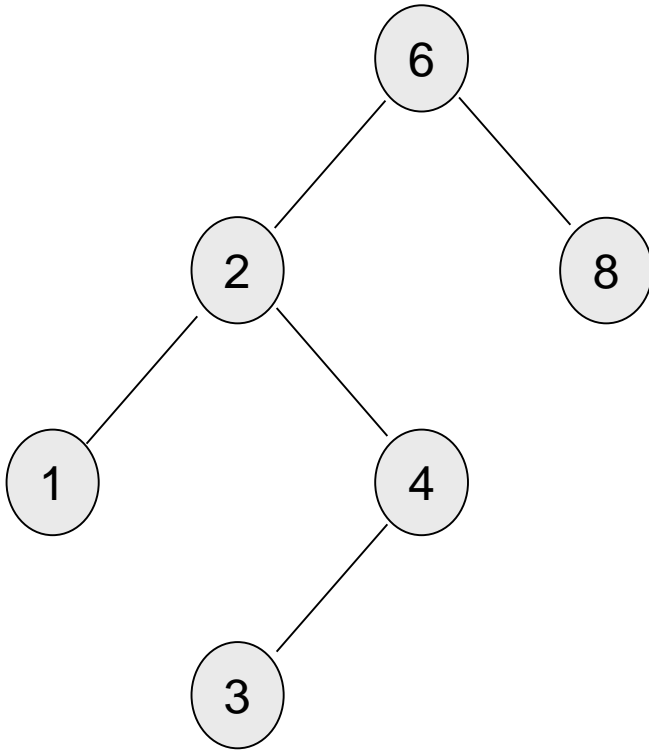
$O(n)$ --> visits every node

- Preorder traversal
- Postorder traversal
- Inorder traversal

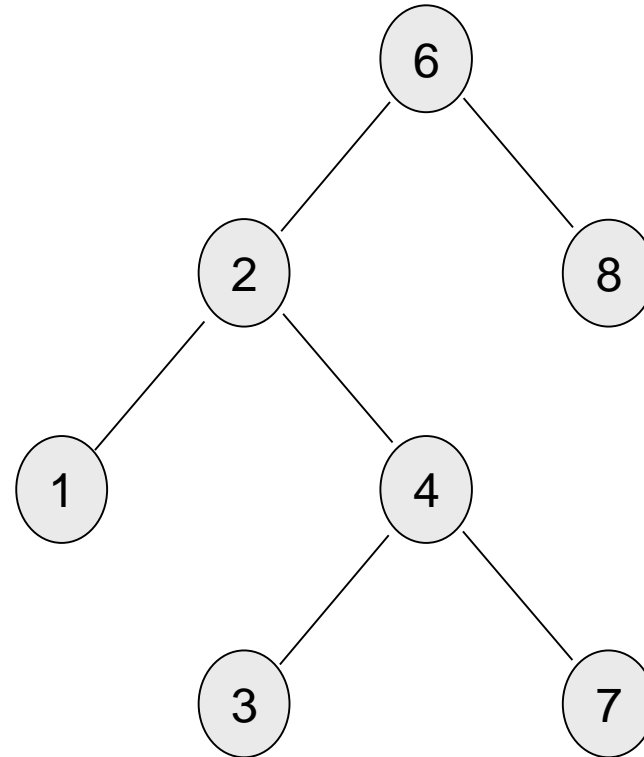
Binary Search Tree

- An important application of binary trees is their use in searching.
- **Binary search tree** is a binary tree in which every node X contains a data value that satisfies the following:
 - a) all data values in its **left subtree are smaller** than data value in X
 - b) all data values in its **right subtree are larger** than data value in X
 - c) the left and right subtrees are also binary search trees

Binary Search Tree

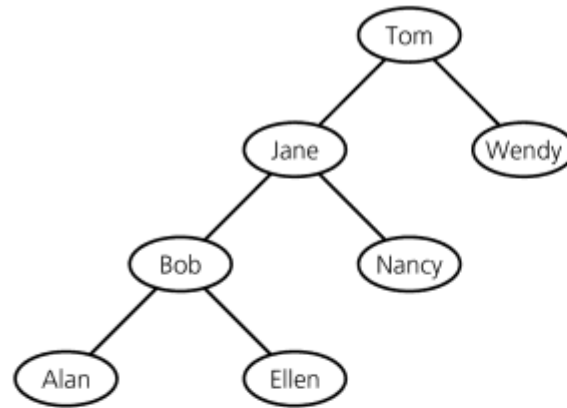


A binary search tree

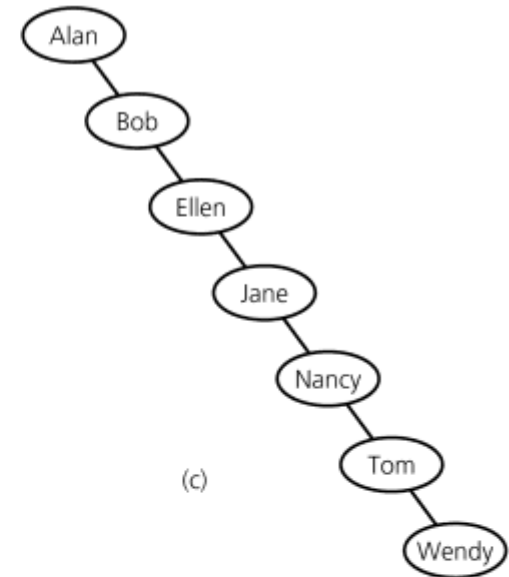


Not a *binary search tree*,
but a *binary tree* **Why?**

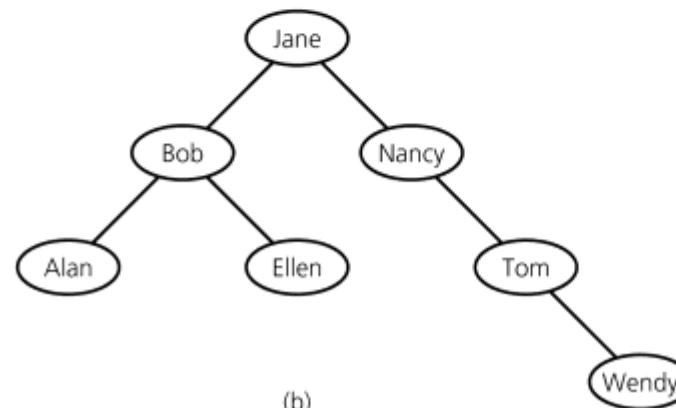
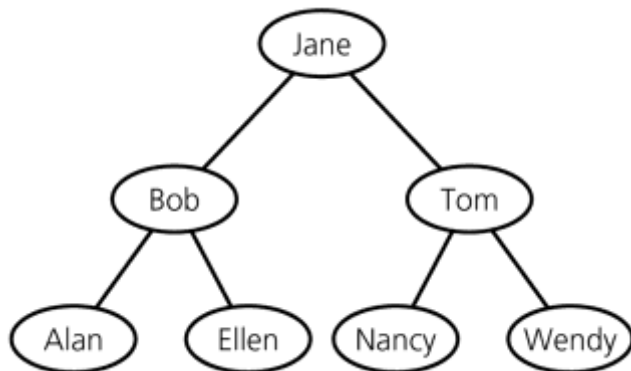
Binary Search Trees – containing same data



(a)

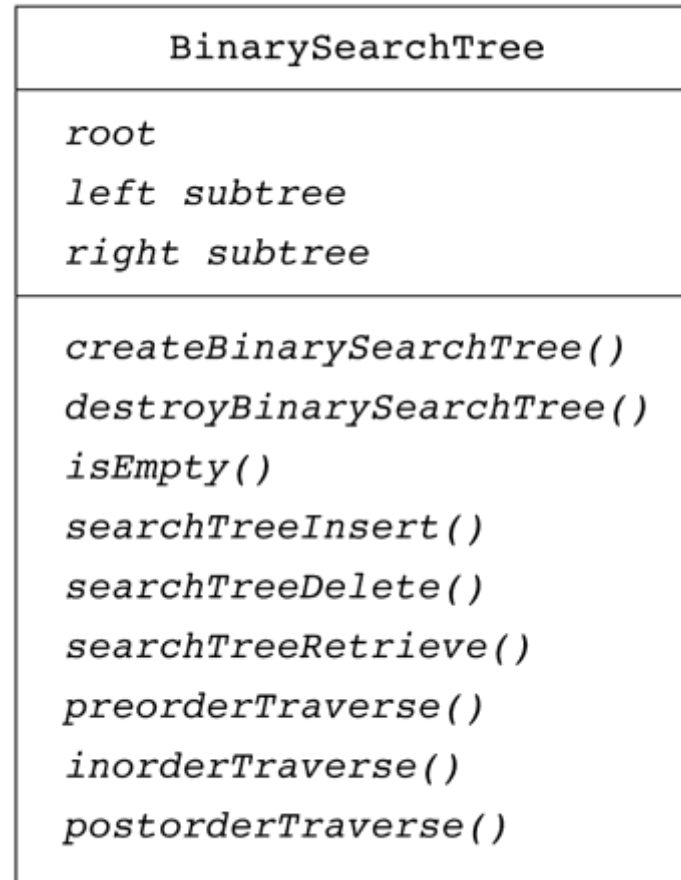


(c)



(b)

BinarySearchTree Class – UML Diagram



The KeyedItem Class

```
typedef desired-type-of-search-key KeyType;
```

```
class KeyedItem {
```

```
public:
```

```
    KeyedItem() { }
```

```
    KeyedItem(const KeyType& keyValue) : searchKey(keyValue) { }
```

```
    KeyType getKey() const {
```

```
        return searchKey;
```

```
    }
```

```
private:
```

```
    KeyType searchKey;
```

```
    // ... and other data items
```

```
};
```

The TreeNode Class

```
typedef KeyedItem TreeItemType;
```

```
class TreeNode {    // a node in the tree
```

```
private:
```

```
    TreeNode() { }
```

```
    TreeNode(const TreeItemType& nodeItem, TreeNode *left = NULL,  
                                                     TreeNode *right = NULL)
```

```
    : item(nodeItem), leftChildPtr(left), rightChildPtr(right){ }
```

```
    TreeItemType item;                // a data item in the tree
```

```
    TreeNode *leftChildPtr;           // pointers to children
```

```
    TreeNode *rightChildPtr;
```

```
    // friend class - can access private parts
```

```
    friend class BinarySearchTree;
```

```
};
```

The BinarySearchTree Class

- **Properties**

- `TreeNode * root`

- **Constructors**

- `BinarySearchTree();`

- `BinarySearchTree(const BinarySearchTree& tree);`

- **Destructor**

- `~BinarySearchTree();`

The BinarySearchTree Class

- **Public methods**

- `bool isEmpty() const;`
- `void searchTreeRetrieve(KeyType searchKey, TreeltemType& item);`
- `void searchTreeInsert(const TreeltemType& newItem);`
- `void searchTreeDelete(KeyType searchKey);`
- `void preorderTraverse(FunctionType visit);`
- `void inorderTraverse(FunctionType visit);`
- `void postorderTraverse(FunctionType visit);`
- `BinarySearchTree& operator=(const BinarySearchTree& rhs);`

The BinarySearchTree Class

- Protected methods

- `void retrieveItem(TreeNode *treePtr, KeyType searchKey, TreeltemType& item);`
- `void insertItem(TreeNode * &treePtr, const TreeltemType& item);`
- `void deleteItem(TreeNode * &treePtr, KeyType searchKey);`
- `void deleteNodeItem(TreeNode * &nodePtr);`
- `void processLeftmost(TreeNode * &nodePtr, TreeltemType& item);`

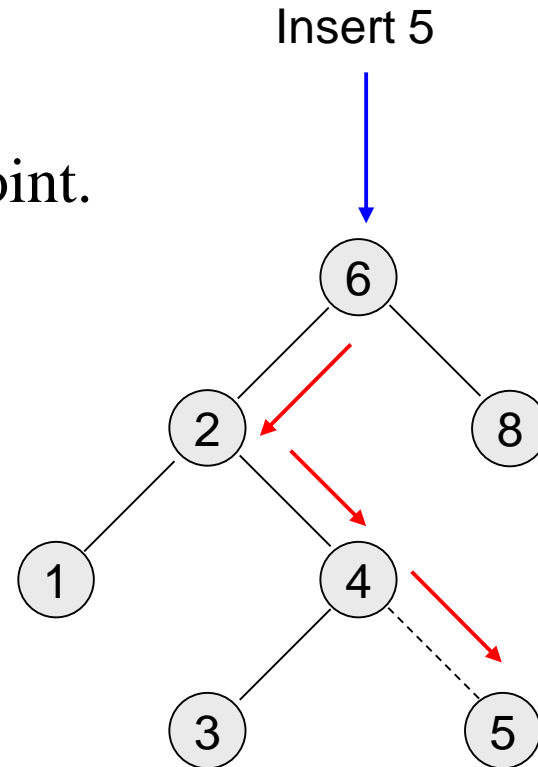
Searching (Retrieving) an Item in a BST

```
void BinarySearchTree::searchTreeRetrieve(KeyType searchKey,  
                                         TreeltemType& treeltem) const throw(TreeException) {  
    retrievaltem(root, searchKey, treeltem);  
}
```

```
void BinarySearchTree::retrievaltem(TreeNode *treePtr, KeyType searchKey,  
                                     TreeltemType& treeltem) const throw(TreeException) {  
  
    if (treePtr == NULL)  
        throw TreeException("TreeException: searchKey not found");  
    else if (searchKey == treePtr->item.getKey())  
        treeltem = treePtr->item;  
    else if (searchKey < treePtr->item.getKey())  
        retrievaltem(treePtr->leftChildPtr, searchKey, treeltem);  
    else  
        retrievaltem(treePtr->rightChildPtr, searchKey, treeltem);  
}
```

Inserting an Item into a BST

Search determines the insertion point.

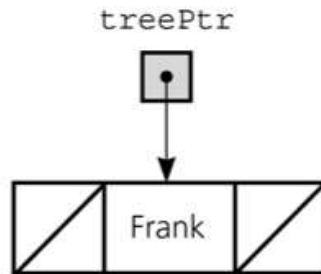


Inserting an Item into a BST

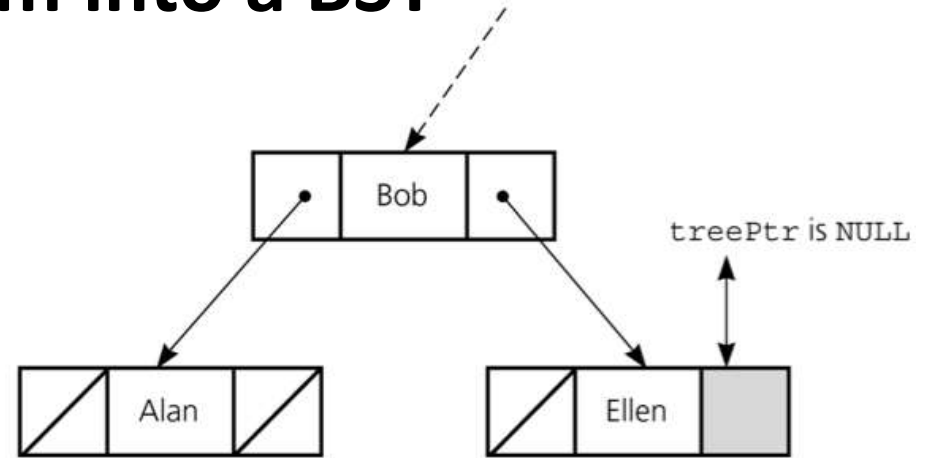
```
void BinarySearchTree::searchTreeInsert(const TreeltemType& newItem) {  
    insertItem(root, newItem);  
}
```

```
void BinarySearchTree::insertItem(TreeNode *& treePtr,  
    const TreeltemType& newItem) throw(TreeException) {  
  
    // Position of insertion found; insert after leaf  
    if (treePtr == NULL) {  
        treePtr = new TreeNode(newItem, NULL, NULL);  
        if (treePtr == NULL)  
            throw TreeException("TreeException: insert failed");  
    }  
    // Else search for the insertion position  
    else if (newItem.getKey() < treePtr->item.getKey())  
        insertItem(treePtr->leftChildPtr, newItem);  
    else  
        insertItem(treePtr->rightChildPtr, newItem);  
}
```

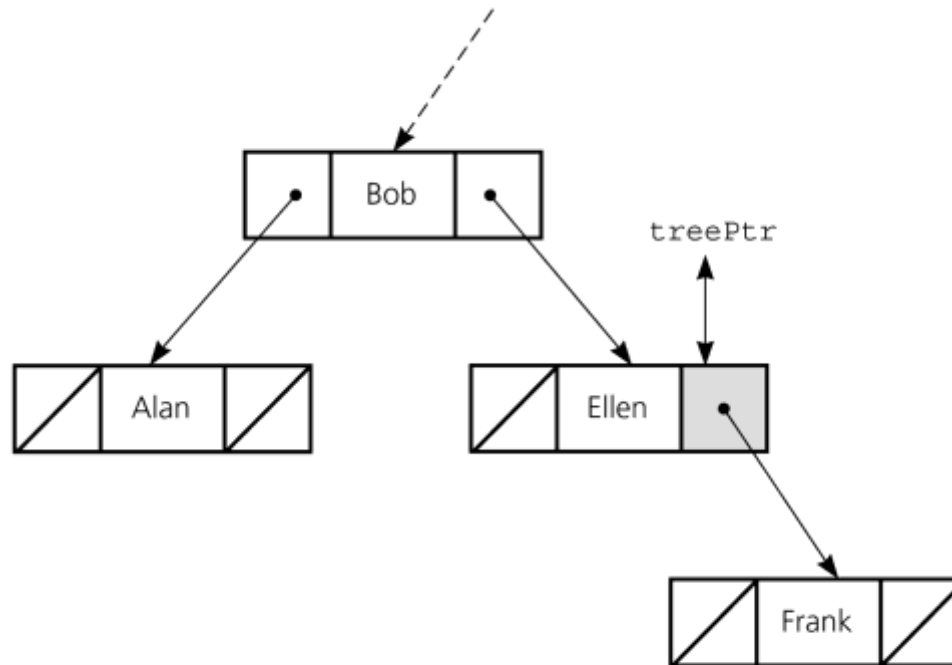
Inserting an Item into a BST



(a)



(b)



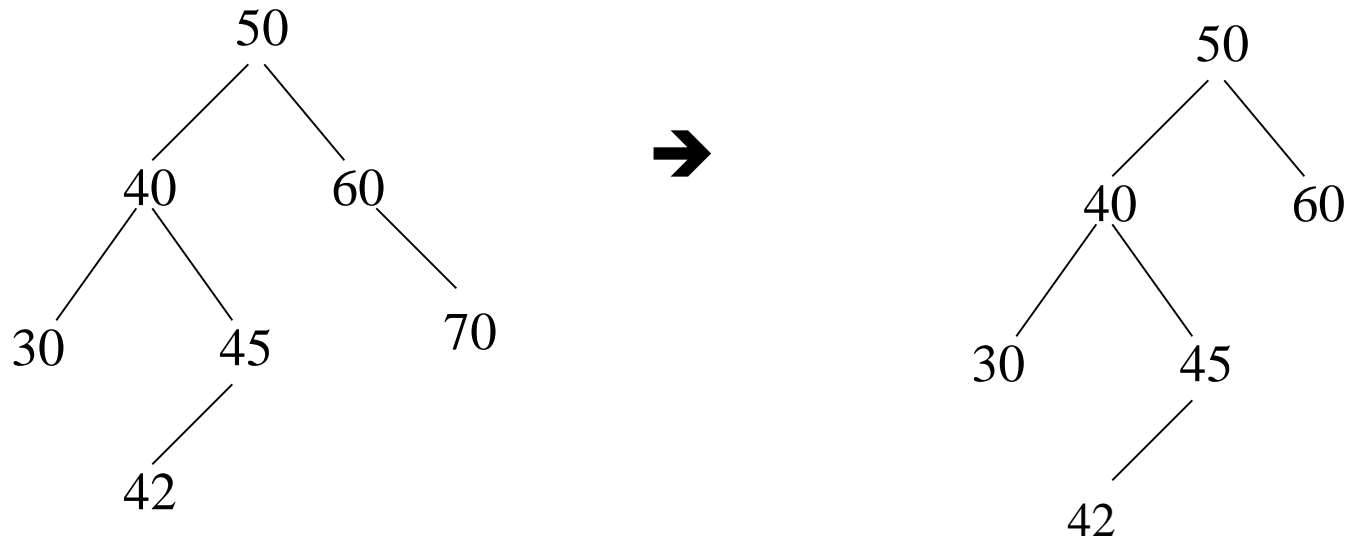
(c)

Deleting An Item from a BST

- To delete an item from a BST, we have to locate that item in that BST.
- The deleted node can be:
 - *Case 1* – A leaf node.
 - *Case 2* – A node with only with child
(with left child or with right child).
 - *Case 3* – A node with two children.

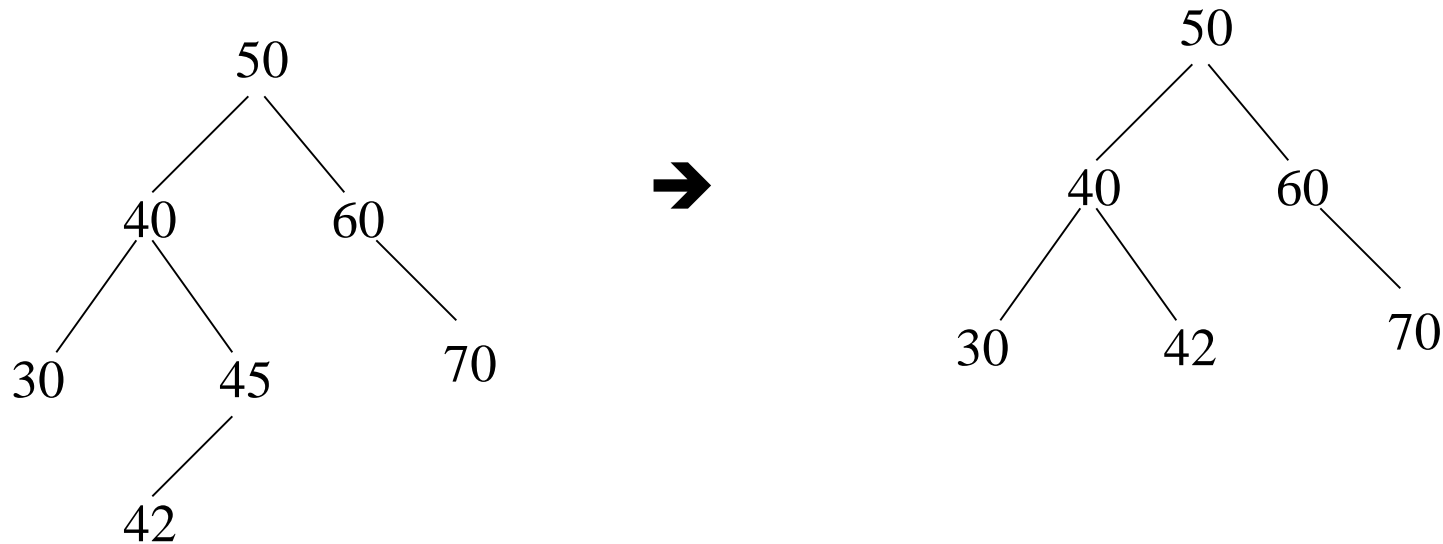
Deletion – Case 1: A Leaf Node

To remove the leaf containing the item, we have to set the pointer in its parent to NULL.



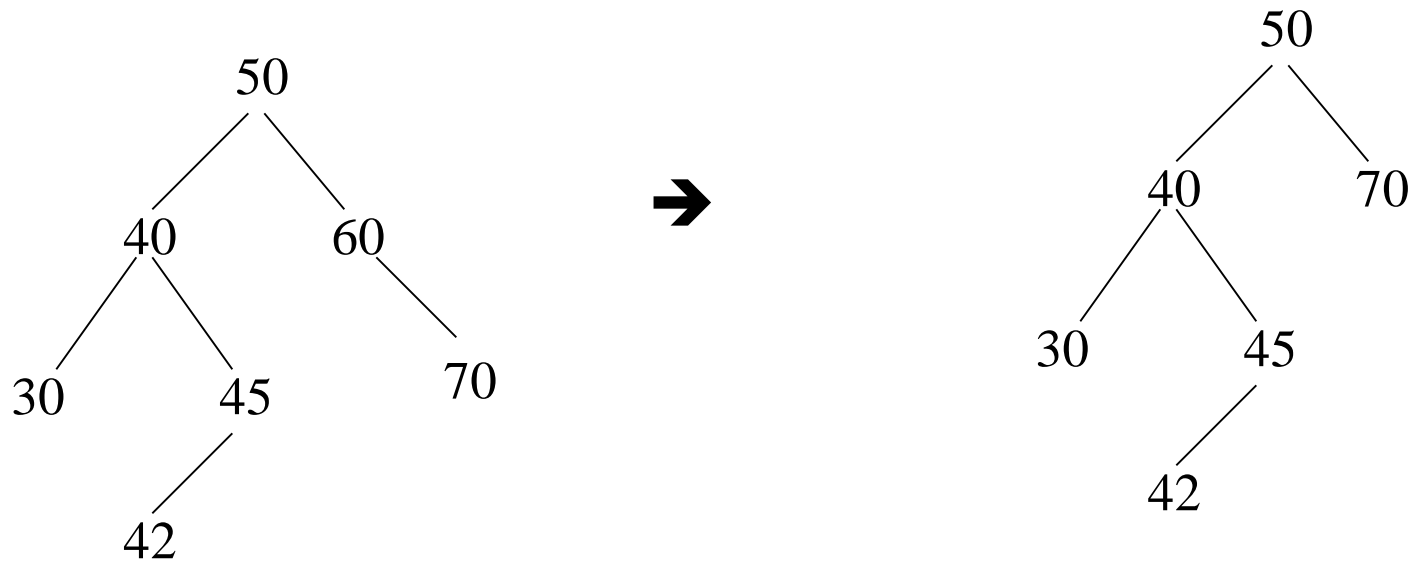
Delete 70 (A leaf node)

Deletion – Case 2: A Node with only a left child



Delete 45 (A node with only a left child)

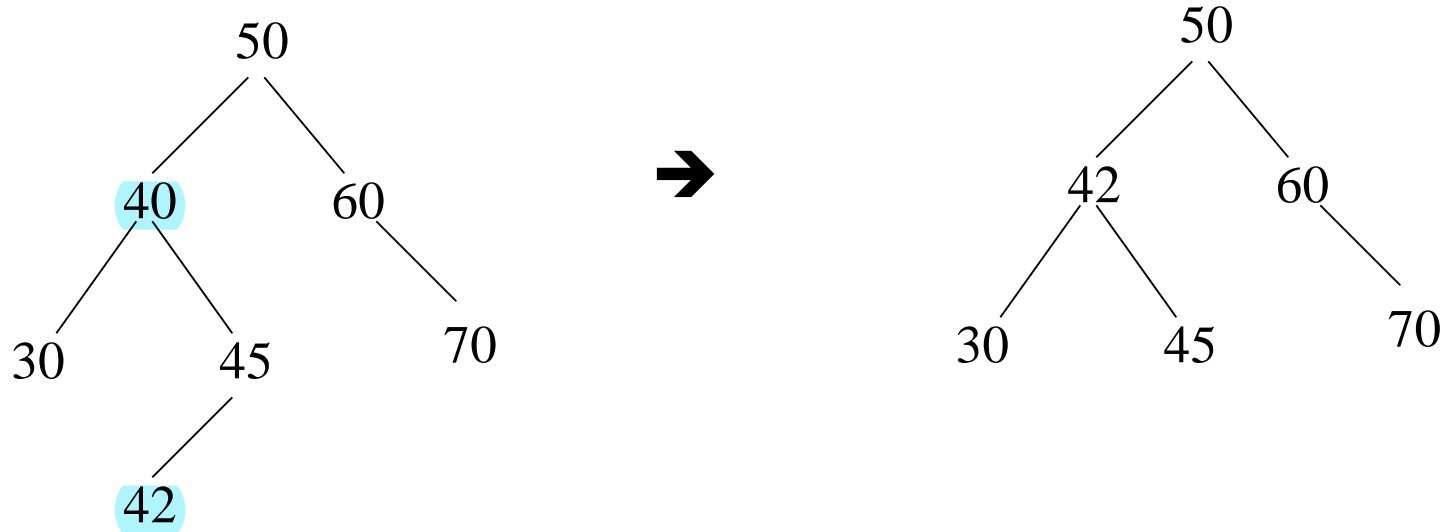
Deletion – Case 2: A Node with only a right child



Delete 60 (A node with only a right child)

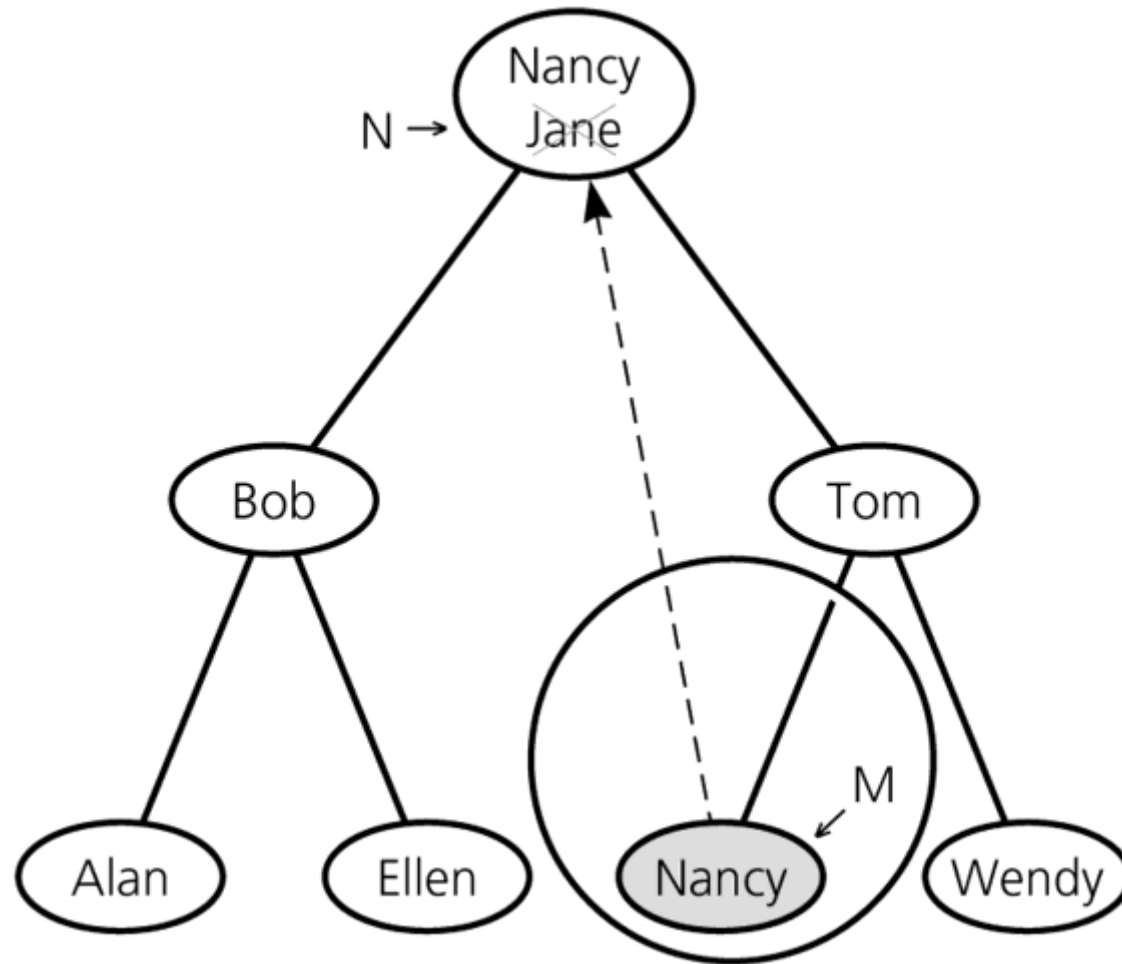
Deletion – Case 3: A Node with two children

- **Locate** the **inorder successor** of the node.
- **Copy** the item in this node into the node which contains the item which will be deleted.
- **Delete** the node of the inorder successor.

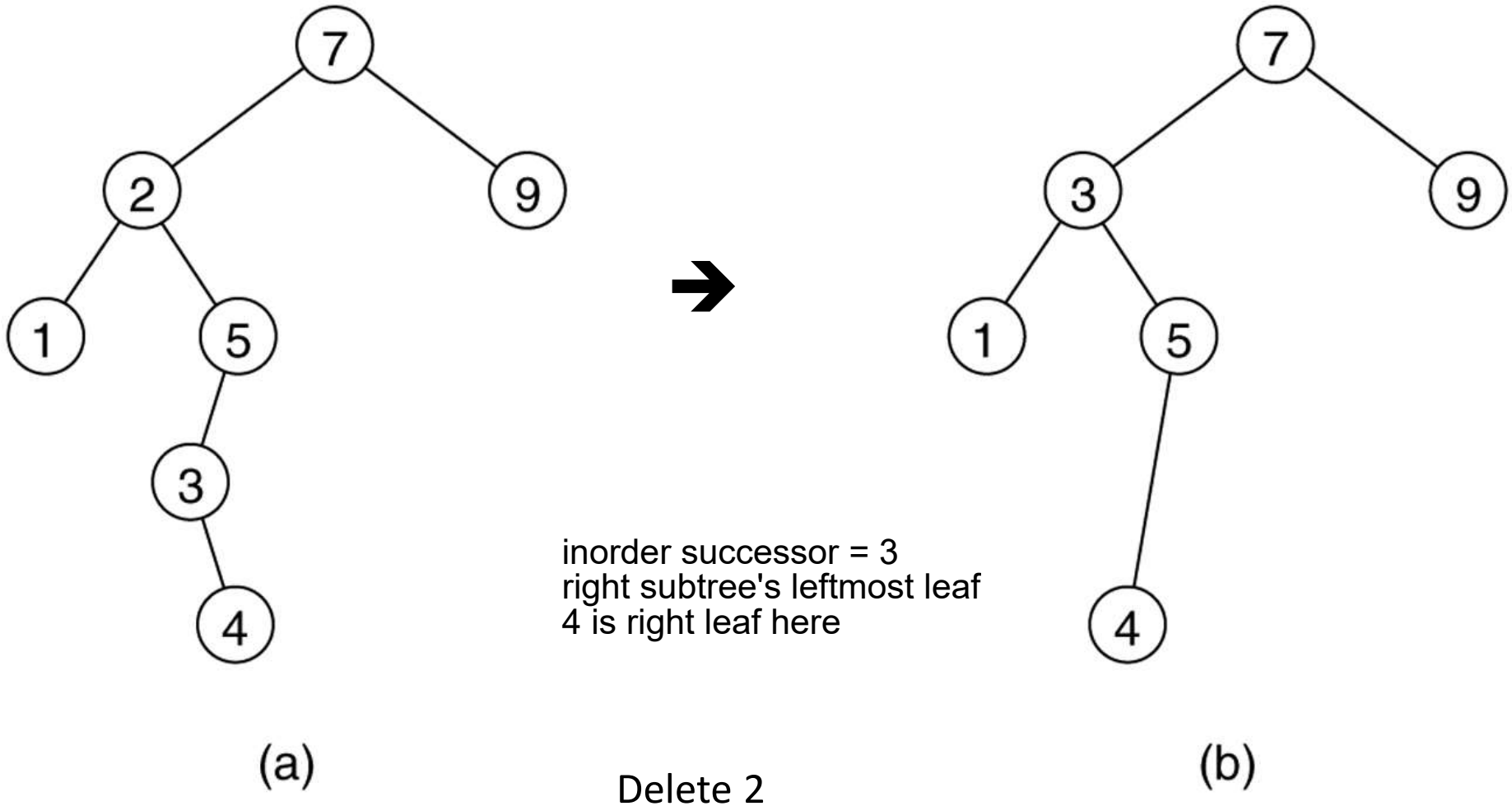


Delete 40 (A node with two children)

Deletion – Case 3: A Node with two children



Deletion – Case 3: A Node with two children



Deletion from a BST

```
void BinarySearchTree::searchTreeDelete(KeyType searchKey)
                                                                    throw(TreeException) {
    deleteItem(root, searchKey);
}
```

```
void BinarySearchTree::deleteItem(TreeNode * &treePtr, KeyType searchKey)
                                                                    throw(TreeException) {
    if (treePtr == NULL) // Empty tree
        throw TreeException("Delete failed");

    // Position of deletion found
    else if (searchKey == treePtr->item.getKey())
        deleteNodeItem(treePtr);

    // Else search for the deletion position
    else if (searchKey < treePtr->item.getKey())
        deleteItem(treePtr->leftChildPtr, searchKey);
    else
        deleteItem(treePtr->rightChildPtr, searchKey);
}
```

Deletion from a BST

```
void BinarySearchTree::deleteNodeItem(TreeNode * &nodePtr) {
```

```
    TreeNode *delPtr;
```

```
    TreeItemType replacementItem;
```

```
    // (1) Test for a leaf
```

```
    if ( (nodePtr->leftChildPtr == NULL) &&
```

```
        (nodePtr->rightChildPtr == NULL) ) {
```

```
        delete nodePtr;
```

```
        nodePtr = NULL;
```

```
    }
```

```
    // (2) Test for no left child
```

```
    else if (nodePtr->leftChildPtr == NULL){
```

```
        delPtr = nodePtr;
```

```
        nodePtr = nodePtr->rightChildPtr;
```

```
        delPtr->rightChildPtr = NULL;
```

```
        delete delPtr;
```

```
    }
```

right child will replace deleted node

swap

Deletion from a BST

// (3) Test for no right child

else if (nodePtr->rightChildPtr == NULL) {

 // ...

left child will replace deleted node

 // Left as an exercise

}

// (4) There are two children:

// Retrieve and delete the inorder successor

right subtree's leftmost leaf

else {

 processLeftmost(nodePtr->rightChildPtr, replacementItem);

 nodePtr->item = replacementItem;

}

}

Deletion from a BST

```
void BinarySearchTree::processLeftmost(TreeNode *&nodePtr,  
                                         TreeltemType& treeltem){
```

```
    if (nodePtr->leftChildPtr == NULL) {  
        treeltem = nodePtr->item;  
        TreeNode *delPtr = nodePtr;  
        nodePtr = nodePtr->rightChildPtr;  
        delPtr->rightChildPtr = NULL; // defense  
        delete delPtr;
```

copy inorder successor, change it to nodeToDelete
and delete the node

inorder successor might have a right child

```
    }  
    else
```

```
        processLeftmost(nodePtr->leftChildPtr, treeltem);
```

```
}
```

Traversals

- The traversals for binary search trees are same as the traversals for the binary trees.

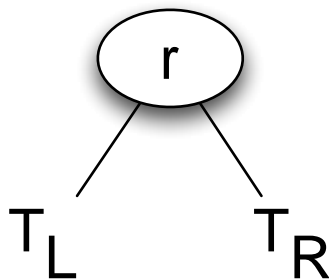
Theorem: Inorder traversal of a binary search tree will visit its nodes in sorted search-key order.

Proof: Proof by induction on the height of the binary search tree T .

Basis: $h=0 \rightarrow$ no nodes are visited, empty list is in sorted order.

Inductive Hypothesis: Assume that the theorem is true for all k , $0 \leq k < h$

Inductive Conclusion: We have to show that the theorem is true for $k=h>0$. T should be:



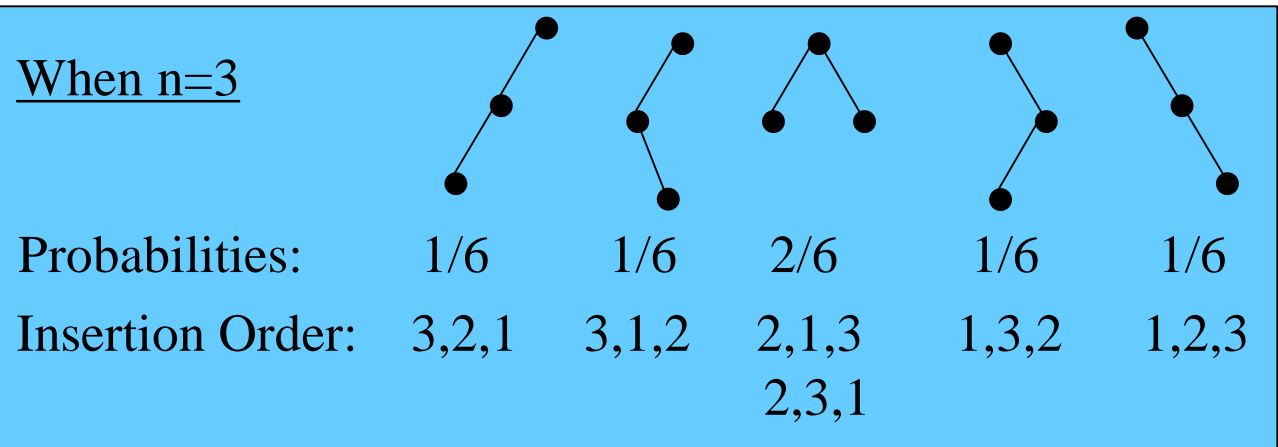
Since the lengths of T_L and T_R are less than h , the theorem holds for them. All the keys in T_L are less than r , and all the keys in T_R are greater than r . In inorder traversal, we visit T_L first, then r , and then T_R . Thus, the theorem holds for T with height $k=h$.

Minimum Height

- **Complete trees and full trees have minimum height.**
- The height of an n -node binary search tree ranges from $\lceil \log_2(n+1) \rceil$ to n .
- **Insertion in search-key order** produces a maximum-height BST.
- **Insertion in random order** produces a near-minimum-height BST.
- That is, the height of an n -node binary search tree
 - *Best Case* – $\lceil \log_2(n+1) \rceil \rightarrow O(\log_2 n)$
 - *Worst Case* – $n \rightarrow O(n)$
 - *Average Case* – close to $\lceil \log_2(n+1) \rceil \rightarrow O(\log_2 n)$

Average Height

- If we insert n items into an empty BST to create a BST with n nodes,
 - How many different binary search trees with n nodes?
 - What are their probabilities?
 - There are $n!$ different orderings of n keys.
 - But how many different binary search trees with n nodes?
- $n=0 \rightarrow 1$ BST (empty tree)
 $n=1 \rightarrow 1$ BST (a binary tree with a single node)
 $n=2 \rightarrow 2$ BSTs
 $n=3 \rightarrow 5$ BSTs



Order of Operations on BSTs

<u>Operation</u>	<u>Average case</u>	<u>Worst case</u>
Retrieval	$O(\log n)$	$O(n)$
Insertion	$O(\log n)$	$O(n)$
Deletion	$O(\log n)$	$O(n)$
Traversal	$O(n)$	$O(n)$

Treesort

- **We can use a binary search tree to sort an array.**

// Sorts n integers in an array anArray into

// ascending order

treesort(inout anArray:ArrayType, in n:integer)

Insert anArray's elements into a binary search
tree bTree

Traverse bTree in inorder. As you visit bTree's
nodes, copy their data items into successive
locations of anArray

Treesort Analysis

- Inserting an item into a binary search tree:
 - Worst Case: $O(n)$ height = n , for example insert 10 11 12 13 14 15 sorted order
 - Average Case: $O(\log_2 n)$
- Inserting n items into a binary search tree:
 - Worst Case: $O(n^2)$ \rightarrow $(1+2+\dots+n) = O(n^2)$
 - Average Case: $O(n \cdot \log_2 n)$
- Inorder traversal and copy items back into array $\rightarrow O(n)$
- Thus, treesort is
 - $\rightarrow O(n^2)$ in worst case, and
 - $\rightarrow O(n \cdot \log_2 n)$ in average case.
- Treesort makes exactly same key comparisons of keys as does quicksort when the pivot for each sublist is chosen to be the first key

Saving a BST into a file and restoring it to its original shape

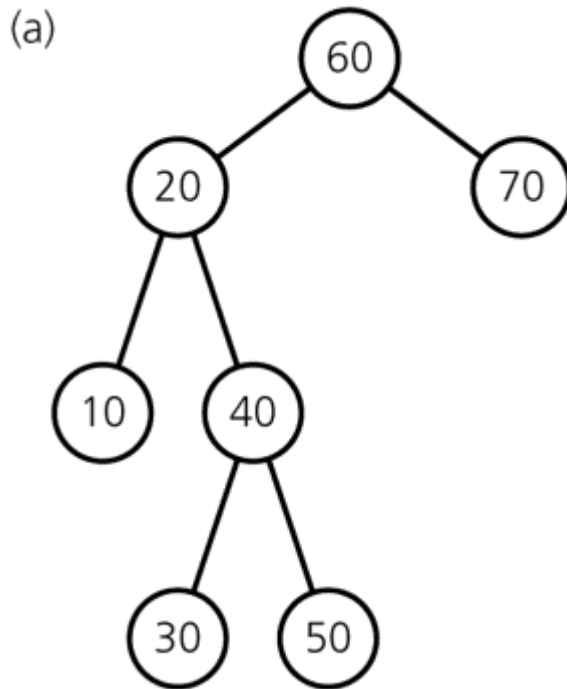
- **Save:**

- Use a preorder traversal to save the nodes of the BST into a file

- **Restore:**

- Start with an empty BST
- Read the nodes from the file one by one and insert them into the BST

Saving a BST into a file and restoring it to its original shape



(b)

```
bst.searchTreeInsert(60);  
bst.searchTreeInsert(20);  
bst.searchTreeInsert(10);  
bst.searchTreeInsert(40);  
bst.searchTreeInsert(30);  
bst.searchTreeInsert(50);  
bst.searchTreeInsert(70);
```

Preorder: 60 20 10 40 30 50 70

Saving a BST into a file and restoring it to a minimum-height BST

- **Save:**

- Use an inorder traversal to save the nodes of the BST into a file. The saved nodes will be in ascending order
- Save the number of nodes (n) in somewhere

- **Restore:**

- Read the number of nodes (n)
- Start with an empty BST
- Read the nodes from the file one by one to create a minimum-height binary search tree

Building a minimum-height BST

```
// Builds a minimum-height binary search tree from n sorted
```

```
// values in a file. treePtr will point to the tree's root.
```

```
readTree(out treePtr:TreeNodePtr, in n:integer)
```

```
    if (n>0) {
```

```
        treePtr = pointer to new node with NULL child pointers
```

```
        // construct the left subtree
```

```
        readTree(treePtr->leftChildPtr, n/2)
```

```
        // get the root
```

```
        Read item from file into treePtr->item
```

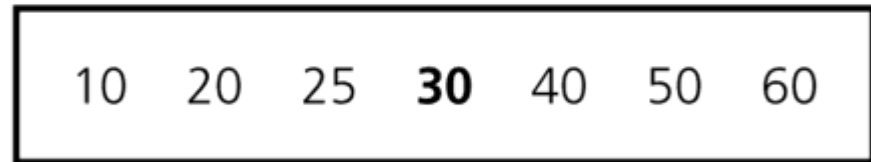
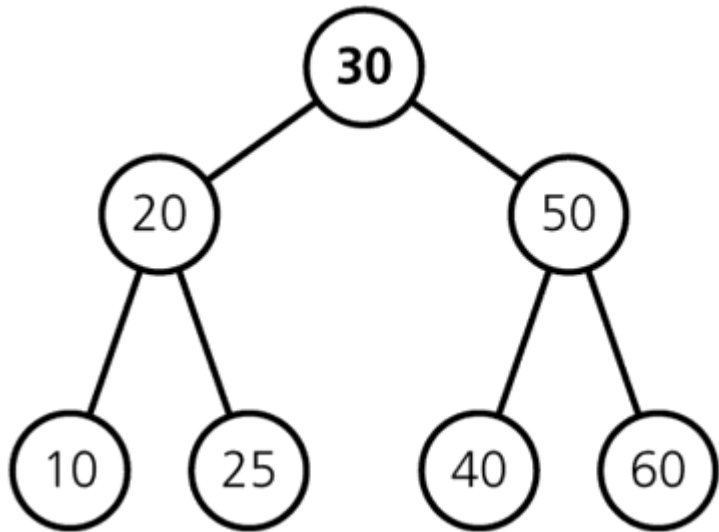
```
        // construct the right subtree
```

```
        readTree(treePtr->rightChildPtr, (n-1)/2)
```

```
    }
```

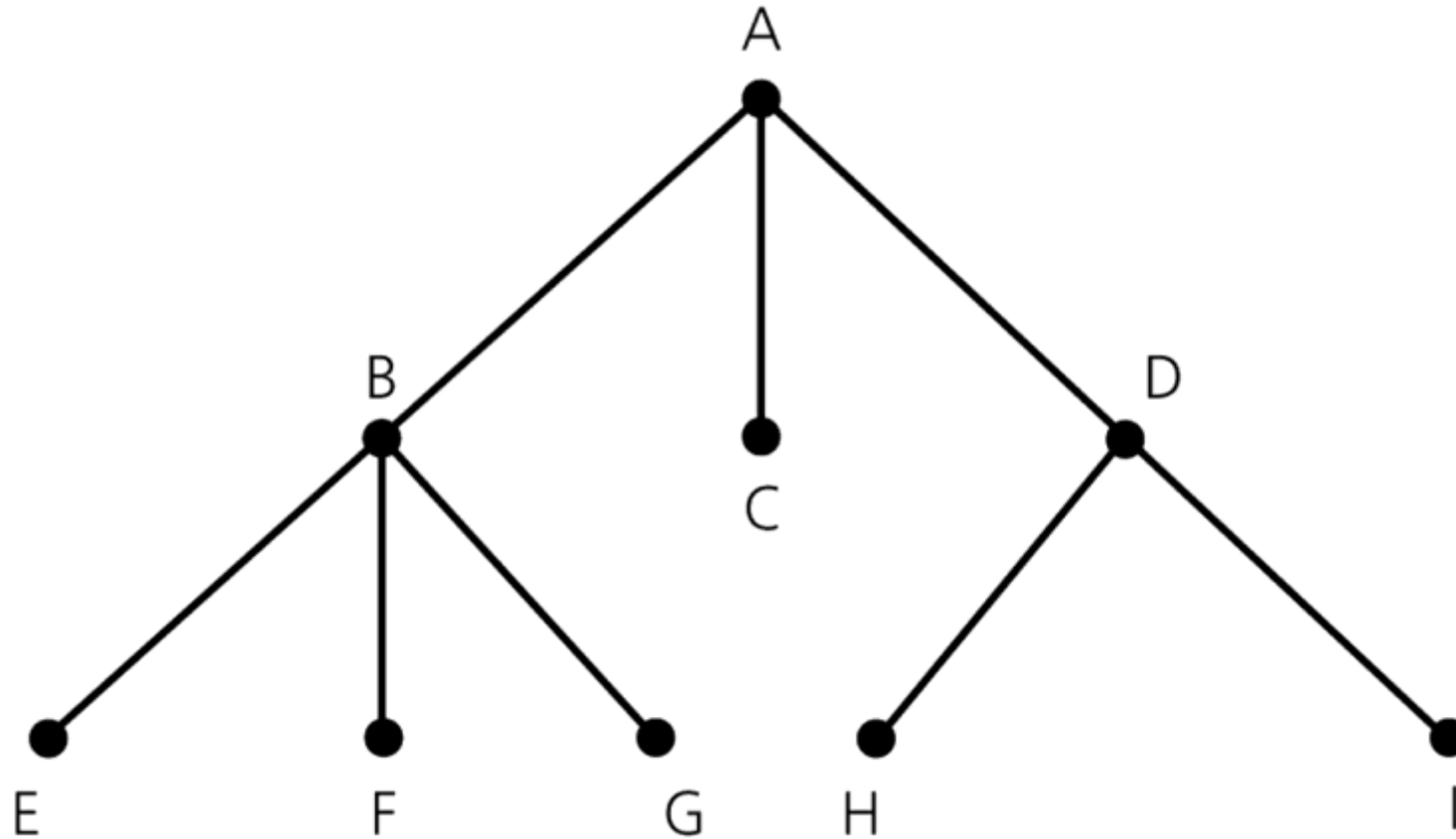
integer division

A full tree saved in a file by using inorder traversal

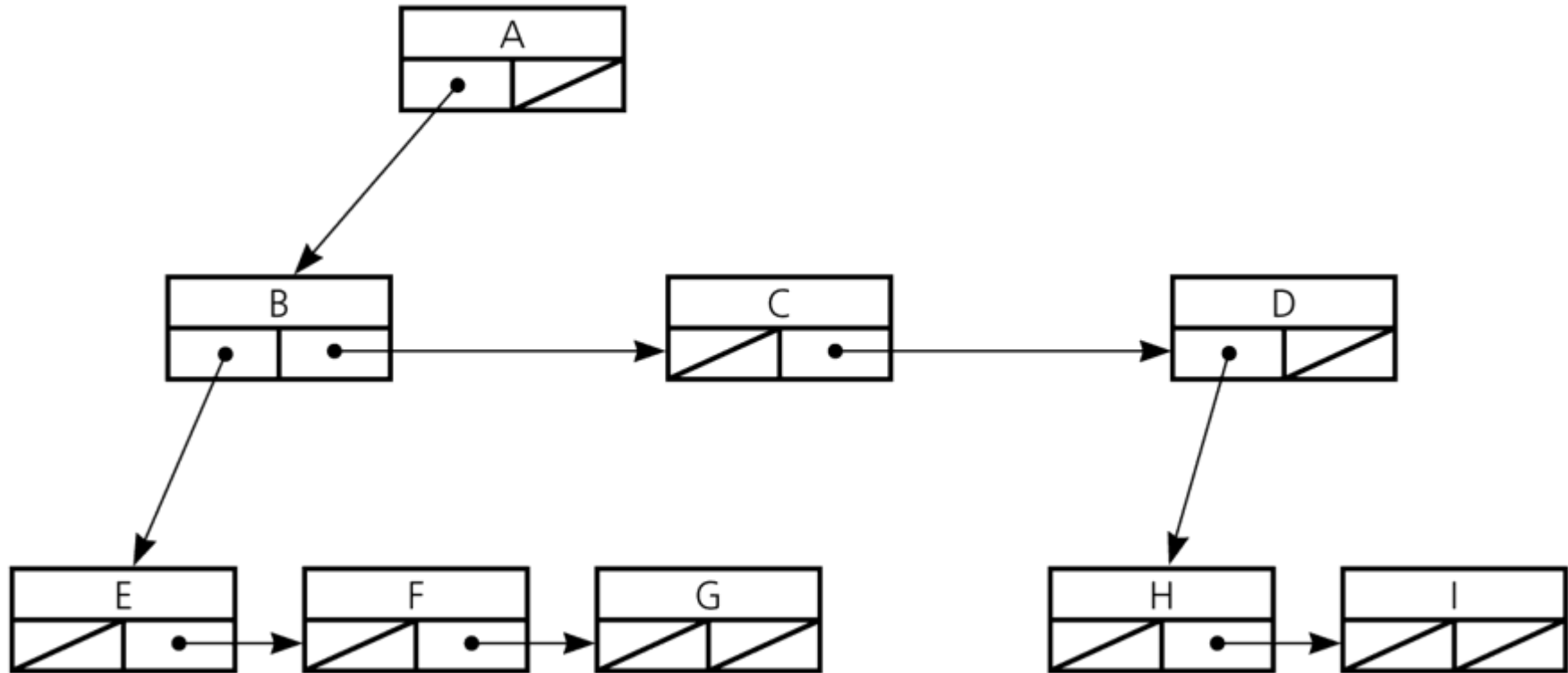


File

A General Tree

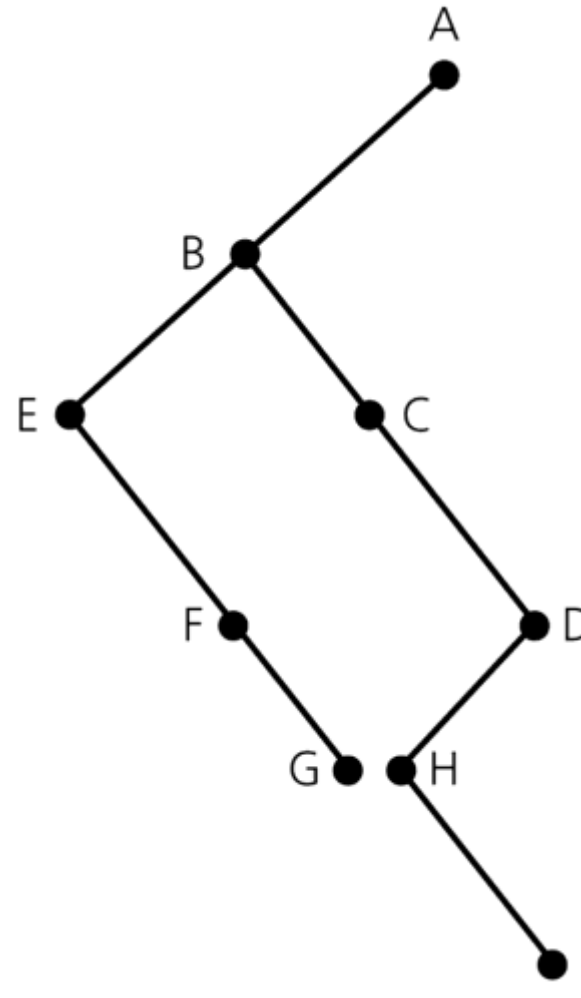


A Pointer-Based Implementation of General Trees



A Pointer-Based Implementation of General Trees

A pointer-based implementation of a general tree can also represent a binary tree.



N-ary Tree

An **n-ary tree** is a generalization of a binary tree whose nodes each can have no more than n children.

