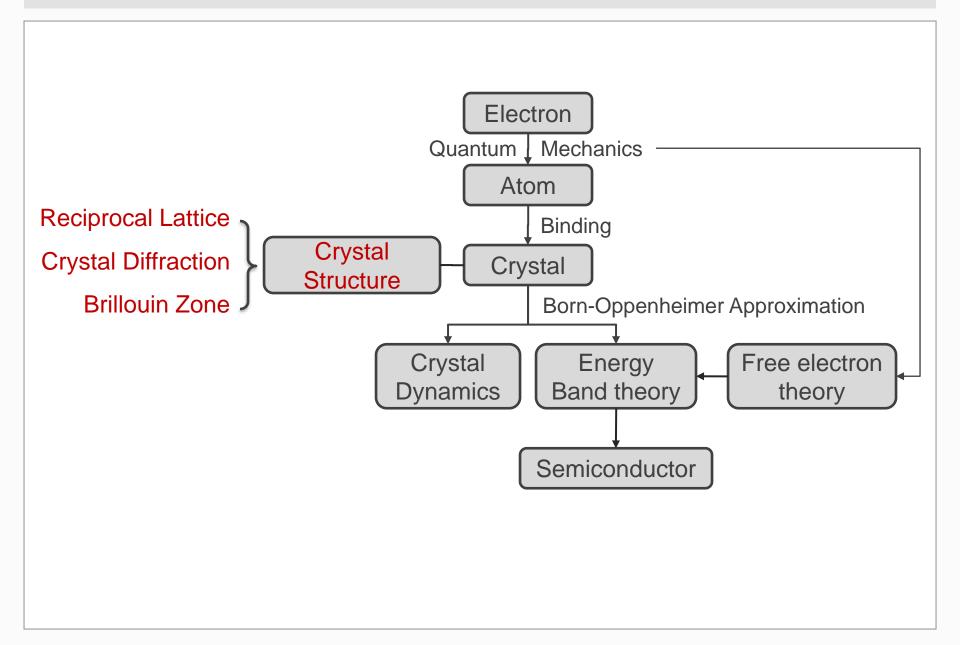
Chapter 1

Formation of Crystal

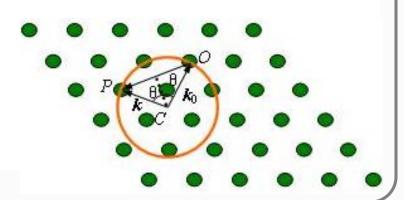
Today's lecture

Profile



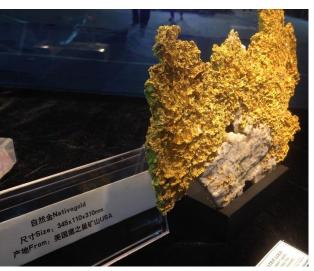
Chapter 1 Formation of Crystal

- 1.1 Quantum Mechanics and atomic structure
- 1.2 Interatomic bonding in solids
- 1.3 Crystal structure and typical crystals
- 1.4 Reciprocal Lattice and Brillouin Zone
 - 1.4.1 Reciprocal Lattice
 - 1.4.2 Crystal Diffraction
 - 1.4.3 Brillouin Zone

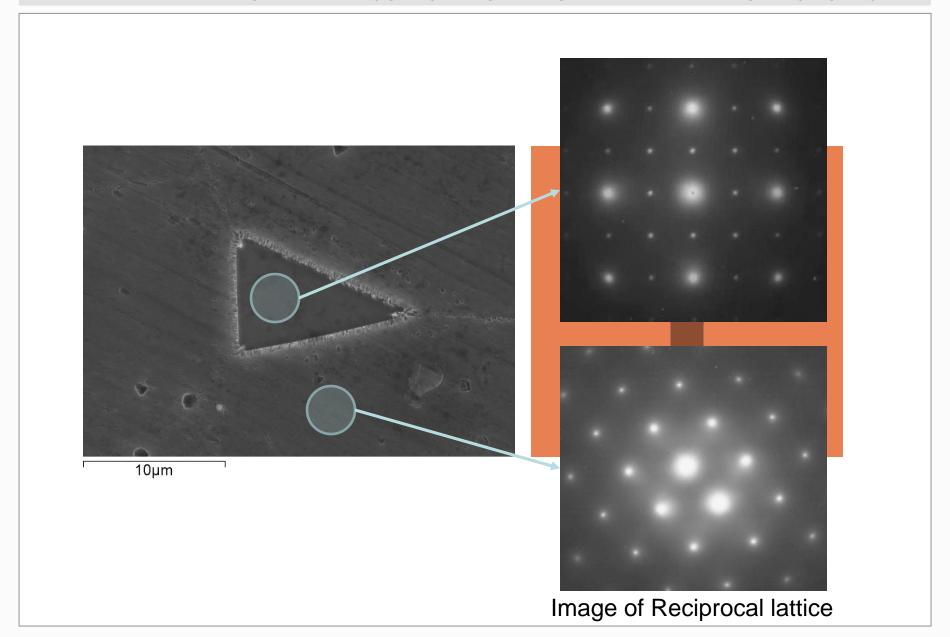


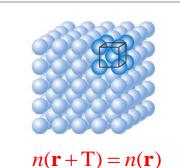


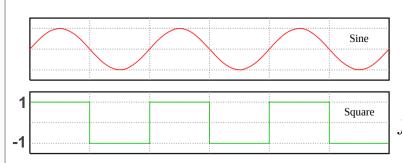








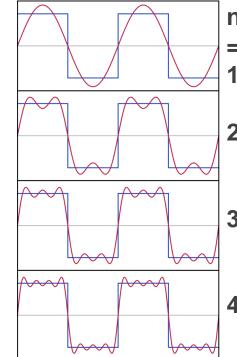




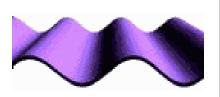
$$f(x) = \sin x$$

$$f(x) = \begin{cases} -1 & (2k-1)\pi \le x < 2k\pi \\ 1 & 2k\pi \le x < (2k+1)\pi \end{cases}$$

$$f(x) \sim \frac{4}{\pi} \sin x + \frac{4}{3\pi} \sin 3x + \frac{4}{5\pi} \sin 5x + \frac{4}{7\pi} \sin 7x + \dots$$



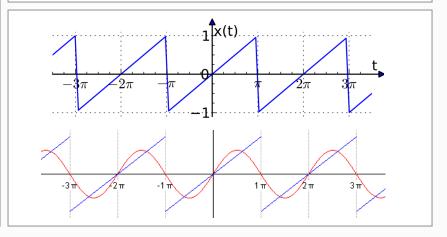
$$=\frac{4}{\pi}\sum_{n=1}^{\infty}\frac{\sin(2n-1)x}{2n-1}$$



First four Fourier approximations for a square wave

$$f(x+2\pi) = f(x)$$

$$f(x) = \frac{a_0}{2} + \sum_{n=1}^{\infty} (a_n \cos nx + b_n \sin nx)$$



$$f(x + 2\pi) = f(x)$$

$$f(x) = \frac{a_0}{2} + \sum_{n=1}^{\infty} (a_n \cos nx + b_n \sin nx)$$

$$a_0 = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) dx$$

$$a_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \cos nx dx$$

$$b_n = \frac{1}{\pi} \int_{-\pi}^{\pi} f(x) \sin nx dx$$

$$e^{\pm ix} = \cos x \pm i \sin x$$

$$e^{i\pi} + 1 = 0$$

$$\cos nx = \frac{1}{2} (e^{inx} + e^{-inx})$$

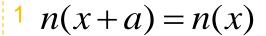
$$\sin nx = -\frac{i}{2} (e^{inx} - e^{-inx})$$

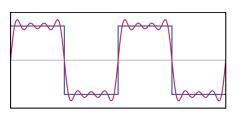
$$f(x) = \sum_{n} c_{n} e^{inx}$$

$$c_{n} = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) e^{-inx} dx$$

$$n(x+a) = n(x)$$

$$n(x) = \sum_{p} n_{p} e^{i\frac{2\pi p}{a}x}$$





$$n(x) = \sum_{p} n_{p} e^{i\frac{2\pi p}{a}x}$$

$$\vec{\mathbf{x}} = x\hat{i}$$

$$\vec{\mathbf{G}} = \frac{2\pi p}{\tilde{i}} \hat{i}$$

 $\vec{\mathbf{x}} = x\hat{\mathbf{i}}$ $\mathbf{G} = \frac{2\pi p}{\hat{\mathbf{i}}}\hat{\mathbf{i}}$ $n(x) = \sum_{p} n_{p} e^{i\mathbf{G} \cdot \mathbf{x}}$ $\mathbf{G} = \frac{2\pi p}{\hat{\mathbf{i}}}\hat{\mathbf{i}}$

$$\mathbf{a} = a\hat{i}$$

$$\mathbf{R} = ma\hat{i} = m\mathbf{a}$$

 $-4\pi/a - 2\pi/a = 0 = 2\pi/a = 4\pi/a$

$$\mathbf{b} = \frac{2\pi}{a}\hat{i}$$

$$\mathbf{G} = \frac{2\pi p}{a}\hat{i} = p\mathbf{b}$$

倒易点阵 倒易空间 波矢空间

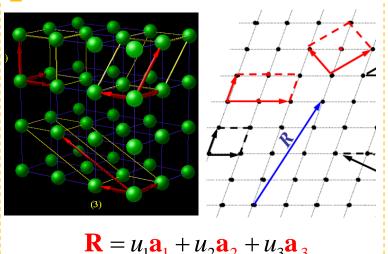
11D $n(\mathbf{x} + \mathbf{a}) = n(\mathbf{x})$

$$n(\mathbf{x}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{x}}$$

$$\mathbf{a} = a\hat{i}$$
 $\mathbf{R} = ma\hat{i} = m\mathbf{a}$

$$\mathbf{b} = \frac{2\pi}{a}\hat{i} \qquad \mathbf{G} = \frac{2\pi p}{a}\hat{i} = p\mathbf{b}$$

2



3 3D
$$n(\mathbf{r} + \mathbf{R}) = n(\mathbf{r})$$

$$n(\mathbf{r}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{r}}$$

4
$$n(\mathbf{r} + \mathbf{R}) = \sum_{G} n_G e^{i\mathbf{G} \cdot (\mathbf{r} + \mathbf{R})} = \sum_{G} n_G e^{i\mathbf{G} \cdot \mathbf{r}} e^{i\mathbf{G} \cdot \mathbf{R}}$$

=

$$n(\mathbf{r}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{r}}$$

$$e^{\pm ix} = \cos x \pm i \sin x$$

$$e^{i\mathbf{G}\cdot\mathbf{R}}=1$$

$$\mathbf{G} \cdot \mathbf{R} = 2\pi m$$
, m —integers

5

$$\mathbf{G} = v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3$$

$$\mathbf{R} = u_1 \mathbf{a}_1 + u_2 \mathbf{a}_2 + u_3 \mathbf{a}_3$$

$$\mathbf{b}_{i} \cdot \mathbf{a}_{j} = 2\pi \delta_{ij} \quad \delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} (i, j = 1, 2, 3)$$

 $\mathbf{G} \cdot \mathbf{R} = (u_1 \mathbf{a}_1 + u_2 \mathbf{a}_2 + u_3 \mathbf{a}_3) \cdot (v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3) = (u_1 v_1 \mathbf{a}_1 \cdot \mathbf{b}_1 + u_2 v_2 \mathbf{a}_2 \cdot \mathbf{b}_2 + u_3 v_3 \mathbf{a}_3 \cdot \mathbf{b}_3) = 2\pi m$

 $\mathbf{G} = v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3$

$$\mathbf{b}_{i} \cdot \mathbf{a}_{j} = 2\pi \delta_{ij} \quad \delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} (i, j = 1, 2, 3)$$

1

$$\mathbf{b}_1 \perp (\mathbf{a}_2, \mathbf{a}_3)$$

$$\mathbf{b}_1 = (\mathbf{a}_2 \times \mathbf{a}_3)$$

$$\mathbf{b}_1 = c \cdot (\mathbf{a}_2 \times \mathbf{a}_3)$$

_

$$\mathbf{a}_1 \cdot \mathbf{b}_1 = c\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)$$

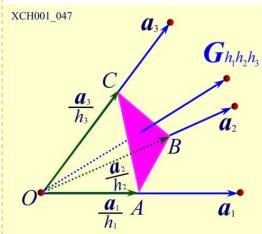
$$2\pi = cv$$

$$c = 2\pi / v$$

$$\frac{3}{v} = \frac{2\pi}{v} \mathbf{a}_2 \times \mathbf{a}_3$$

$$\mathbf{b}_2 = \frac{2\pi}{v} \mathbf{a}_3 \times \mathbf{a}_1$$

$$\mathbf{b}_3 = \frac{2\pi}{2} \mathbf{a}_1 \times \mathbf{a}_2$$



$$n(\mathbf{r} + \mathbf{R}) = n(\mathbf{r})$$

$$n(\mathbf{r}) = \sum_{G} n_G e^{i\mathbf{G} \cdot \mathbf{r}}$$

1

$$v_a = \mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3) v_a = \frac{(2\pi)^3}{v_b}$$
$$v_b = \mathbf{b}_1 \cdot (\mathbf{b}_2 \times \mathbf{b}_3) v_a = \frac{v_a}{v_b}$$

2

$$\mathbf{G}_{h_1h_2h_3}\perp(h_1h_2h_3)$$

$$\left|\mathbf{G}_{h_1 h_2 h_3}\right| = \frac{2\pi}{d_{h_1 h_2 h_2}}$$

$$d_{h_1h_2h_3} = \mathbf{O}\mathbf{A} \bullet \frac{\vec{\mathbf{G}}_{h_1h_2h_3}}{G_{h_1h_2h_3}} = \frac{\mathbf{a}_1}{h_1} \bullet \frac{(h_1\mathbf{b}_1 + h_2\mathbf{b}_2 + h_3\mathbf{b}_3)}{G_{h_1h_2h_3}} = \frac{2\pi}{G_{h_1h_2h_3}}$$

3

SC-SC FCC-BCC BCC-FCC

1D

$$n(\mathbf{x} + \mathbf{a}) = n(\mathbf{x})$$

$$n(\mathbf{x} + \mathbf{a}) = n(\mathbf{x})$$
$$n(\mathbf{x}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{x}}$$

$$\mathbf{b} = \frac{2\pi}{a}\hat{i}$$

$$\mathbf{G} = \frac{2\pi p}{a}\hat{i} = p\mathbf{b}$$

1D

$$n(\mathbf{x} + \mathbf{a}) = n(\mathbf{x})$$

$$n(\mathbf{x}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{x}}$$

$$\mathbf{b} = \frac{2\pi}{a}\hat{i}$$

$$\mathbf{G} = \frac{2\pi p}{a}\hat{i} = p\mathbf{b}$$

3D

$$n(\mathbf{r} + \mathbf{R}) = n(\mathbf{r})$$

$$n(\mathbf{r}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{r}}$$

$$\mathbf{b}_{i} \cdot \mathbf{a}_{j} = 2\pi \delta_{ij} \quad \delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} (i, j = 1, 2, 3)$$

$$\mathbf{G} = v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3$$

$$\mathbf{b}_{i} \cdot \mathbf{a}_{j} = 2\pi \delta_{ij} \quad \delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} (i, j = 1, 2, 3)$$

Real lattice

 $\mathbf{a}_1 = a\hat{\mathbf{x}}$ $\mathbf{SC} \begin{cases} \mathbf{a}_2 = a\hat{\mathbf{y}} \\ \mathbf{a}_3 = a\hat{\mathbf{z}} \end{cases}$

 $\left(\mathbf{a}_1 = \frac{1}{2} a \left(\hat{\mathbf{y}} + \hat{\mathbf{z}} \right) \right)$ $a_3 = \frac{1}{2}a(\hat{\mathbf{x}} + \hat{\mathbf{y}})$

 $a_1 = \frac{1}{2} a (-\hat{x} + \hat{y} + \hat{z})$ **BCC** $\begin{cases} a_2 = \frac{1}{2} a (\hat{x} - \hat{y} + \hat{z}) \\ a_3 = \frac{1}{2} a (\hat{x} + \hat{y} - \hat{z}) \end{cases}$

Reciprocal lattice

 $\int \mathbf{b}_1 = (2\pi / a)\hat{\mathbf{x}}$ $\begin{cases} \mathbf{b}_2 = (2\pi/a)\hat{\mathbf{y}} \end{cases}$ $b_3 = (2\pi / a)\hat{z}$

 $b_1 = \frac{2\pi}{a} (-\hat{x} + \hat{y} + \hat{z})$ $\mathbf{b}_2 = \frac{2\pi}{a} (\hat{\mathbf{x}} - \hat{\mathbf{y}} + \hat{\mathbf{z}})$ **BCC** $b_3 = \frac{2\pi}{a} (\hat{\mathbf{x}} + \hat{\mathbf{y}} - \hat{\mathbf{z}})$

SC

$$= \frac{2\pi}{a} \left(-\hat{\mathbf{x}} + \hat{\mathbf{y}} + \hat{\mathbf{z}} \right)$$

$$= \frac{2\pi}{a} \left(\hat{\mathbf{x}} - \hat{\mathbf{y}} + \hat{\mathbf{z}} \right)$$

$$= \frac{2\pi}{a} \left(\hat{\mathbf{x}} + \hat{\mathbf{y}} + \hat{\mathbf{z}} \right)$$

$$= \frac{2\pi}{a} \left(\hat{\mathbf{x}} + \hat{\mathbf{y}} + \hat{\mathbf{z}} \right)$$
BCC

$$\begin{cases} \mathbf{b}_{1} = \frac{2\pi}{a} (\hat{\mathbf{y}} + \hat{\mathbf{z}}) \\ \mathbf{b}_{2} = \frac{2\pi}{a} (\hat{\mathbf{x}} + \hat{\mathbf{z}}) \\ \mathbf{b}_{3} = \frac{2\pi}{a} (\hat{\mathbf{x}} + \hat{\mathbf{y}}) \end{cases}$$
FCC

Volume

 $(2\pi/a)^3$

 $4(2\pi/a)^3$

 $(2(2\pi/a)^3)$

 $^{1D} n(\mathbf{x} + \mathbf{a}) = n(\mathbf{x})$

$$n(\mathbf{x}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{x}}$$

$$\mathbf{b} = \frac{2\pi}{a}\hat{i}$$

$$\mathbf{G} = \frac{2\pi p}{a}\hat{i} = p\mathbf{b}$$

3D

$$n(\mathbf{r} + \mathbf{R}) = n(\mathbf{r})$$

$$n(\mathbf{r}) = \sum_{G} n_G e^{i\mathbf{G} \cdot \mathbf{r}}$$

$$\mathbf{b}_{i} \cdot \mathbf{a}_{j} = 2\pi \delta_{ij} \quad \delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} (i, j = 1, 2, 3)$$

$$\mathbf{G} = v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3$$

 $\mathbf{b}_1 = \frac{2\pi}{v} \mathbf{a}_2 \times \mathbf{a}_3$

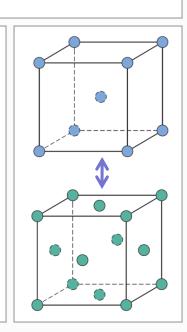
$$\mathbf{b}_2 = \frac{2\pi}{v} \mathbf{a}_3 \times \mathbf{a}_1$$

$$\mathbf{b}_3 = \frac{2\pi}{v} \mathbf{a}_1 \times \mathbf{a}_2$$

 $v_b = \frac{(2\pi)^3}{v_a}$

 $\mathbf{G}_{v_1v_2v_3}\perp (v_1v_2v_3)$

$$\left| \mathbf{G}_{v_1 v_2 v_3} \right| = \frac{2\pi}{d_{v_1 v_2 v_3}}$$

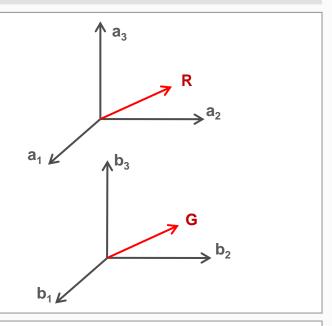


$$\mathbf{R} = u_1 \mathbf{a}_1 + u_2 \mathbf{a}_2 + u_3 \mathbf{a}_3$$

$$n(\mathbf{r} + \mathbf{R}) = n(\mathbf{r})$$
 $n(\mathbf{r}) = \sum_{G} n_{G} e^{i\mathbf{G} \cdot \mathbf{r}}$

$$\mathbf{b}_{i} \cdot \mathbf{a}_{j} = 2\pi \delta_{ij} \quad \delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases} (i, j = 1, 2, 3)$$

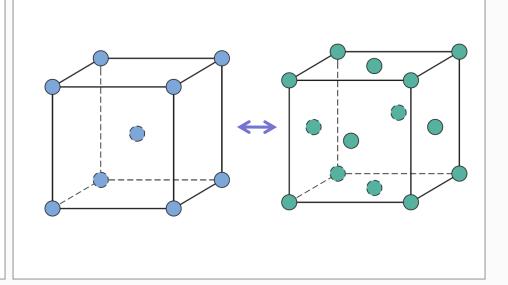
$$\mathbf{G} = v_1 \mathbf{b}_1 + v_2 \mathbf{b}_2 + v_3 \mathbf{b}_3$$

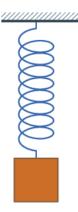


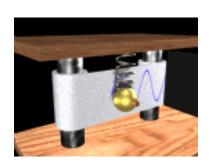
$$v_b = \frac{(2\pi)^3}{v_a}$$

$$\mathbf{G}_{v_1v_2v_3}\perp(v_1v_2v_3)$$

$$|\mathbf{G}_{v_1 v_2 v_3} \perp (v_1 v_2 v_3)| = \frac{2\pi}{d_{v_1 v_2 v_3}}$$

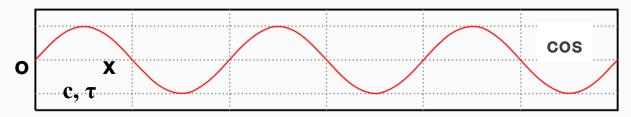






$$u(x = 0, t) = A\cos(\omega t + \varphi_0)$$



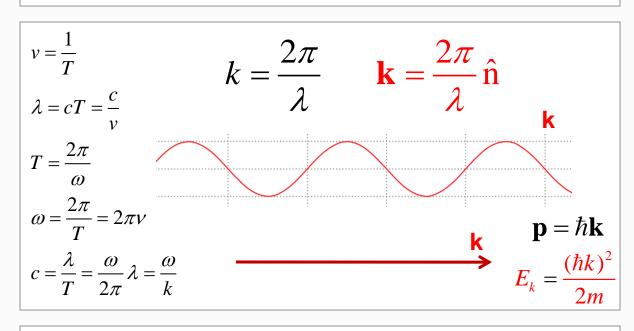


$$u(x,t) = A\cos[\omega(t-\tau) + \varphi_0] = A\cos[\omega(t-\frac{x}{c}) + \varphi_0]$$

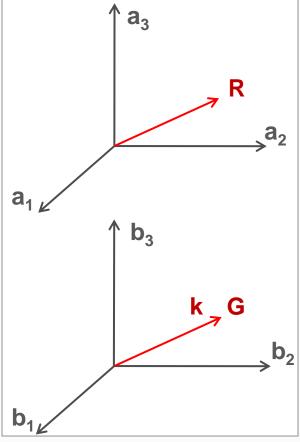
$$= A\cos(\omega t - \frac{2\pi}{T}\frac{x}{c} + \varphi_0) = A\cos(\omega t - \frac{2\pi}{\lambda}x + \varphi_0) = A\cos(\omega t - kx + \varphi_0)$$

$$u(x,t) = A\cos(\omega t - \frac{2\pi}{\lambda}x + \varphi_0)$$
$$= A\cos(\omega t - kx + \varphi_0)$$

$$\tilde{u}(x,t) = \tilde{A}e^{i(\omega t - kx)}$$



$$\mathbf{k} = \frac{2\pi}{\lambda}\hat{\mathbf{n}} \quad \mathbf{b} = \frac{2\pi}{a}\hat{\mathbf{i}} \quad \mathbf{G} = \frac{2\pi p}{a}\hat{\mathbf{i}}$$



How to learn?

Basic Concept

Train of Thought

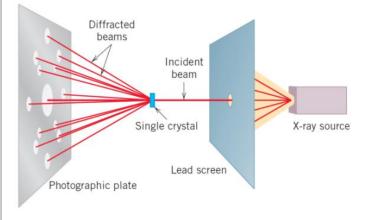
Periodic function

Fourier series

Reciprocal vector

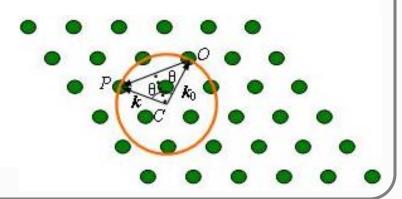
Reciprocal lattice

Wave-vector space

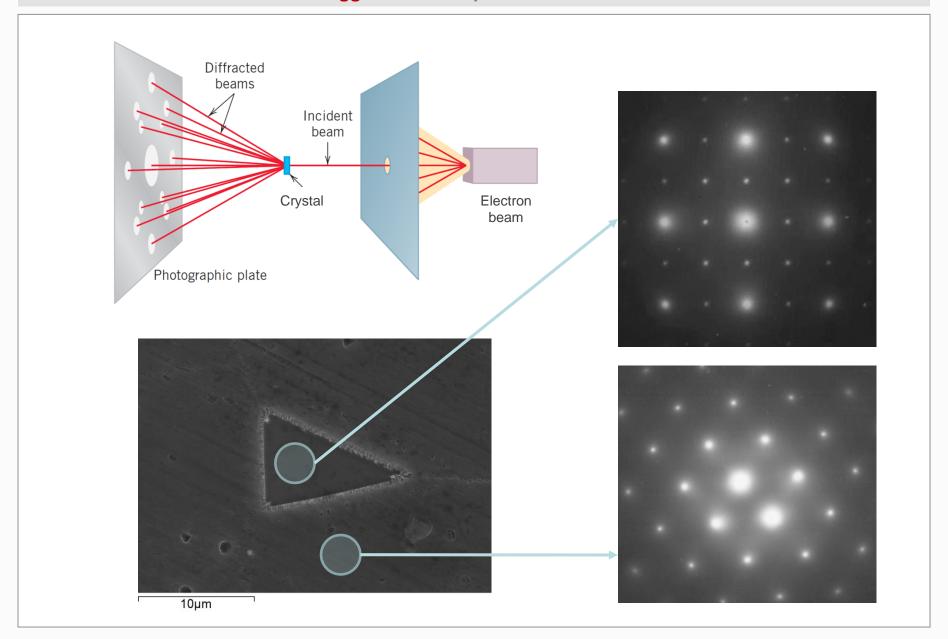


Chapter 1 Formation of Crystal

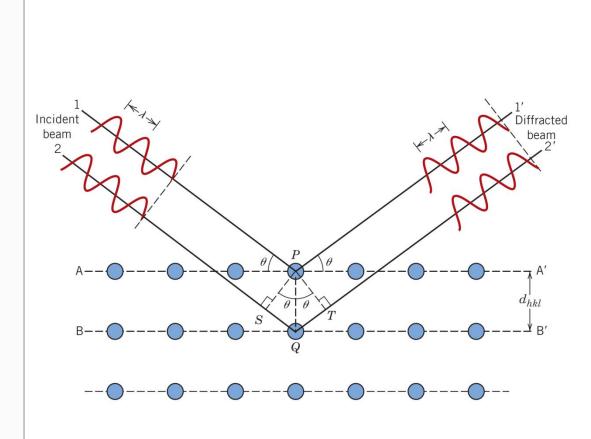
- 1.1 Quantum Mechanics and atomic structure
- 1.2 Interatomic bonding in solids
- 1.3 Crystal structure and typical crystals
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 - 1.4.3 Brillouin Zone



-The Bragg law -Laue equation -Ewald structure



-The Bragg law -Laue equation -Ewald structure

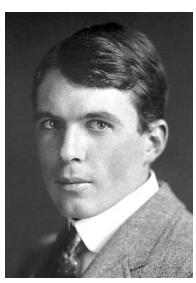


2dsinθ=nλ

Nobel Prize 1915

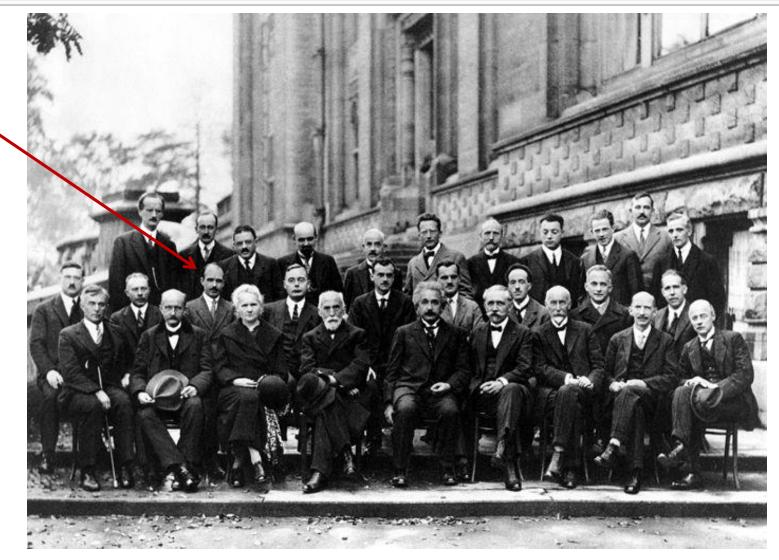


Sir William Henry Bragg (1862-1942)



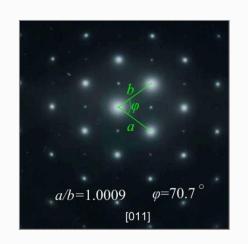
William Lawrence Bragg (1890-1971)

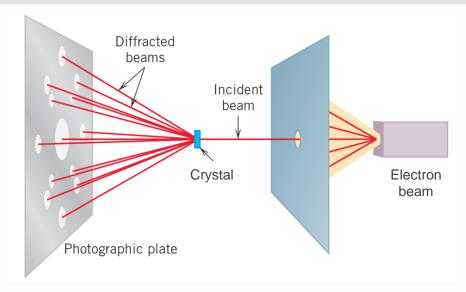
The Bragg law · Laue equation · Ewald structure

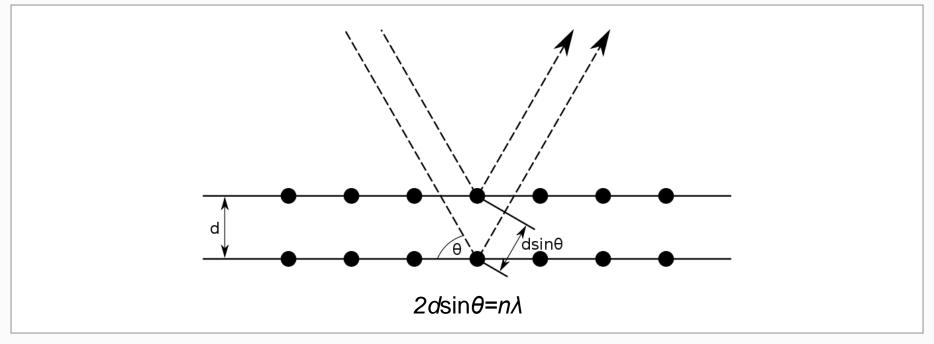


A. Piccard, E. Henriot, P. Ehrenfest, E. Herzen, Th. De Donder, E. Schrödinger, J.E. Verschaffelt, W. Pauli, W. Heisenberg, R.H. Fowler, L. Brillouin; A. P. Debye, M. Knudsen, W.L. Bragg, H.A. Kramers, P.A.M. Dirac, A.H. Compton, L. de Broglie, M. Born, N. Bohr; I. Langmuir, M. Planck, M. Skłodowska-Curie, H.A. Lorentz, A. Einstein, P. Langevin, Ch. E. Guye, C.T.R. Wilson, O.W. Richardson

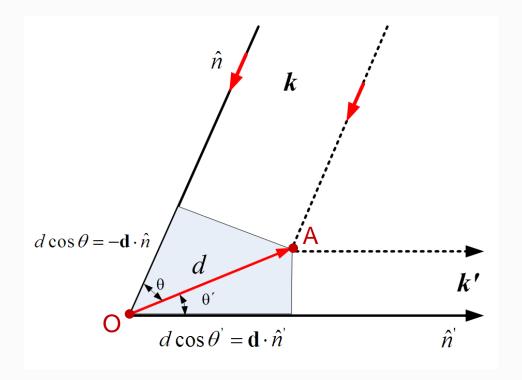
•The Bragg law •Laue equation •Ewald structure







-The Bragg law -Laue equation -Ewald structure



$$\mathbf{k} = \frac{2\pi}{\lambda} \hat{n}$$

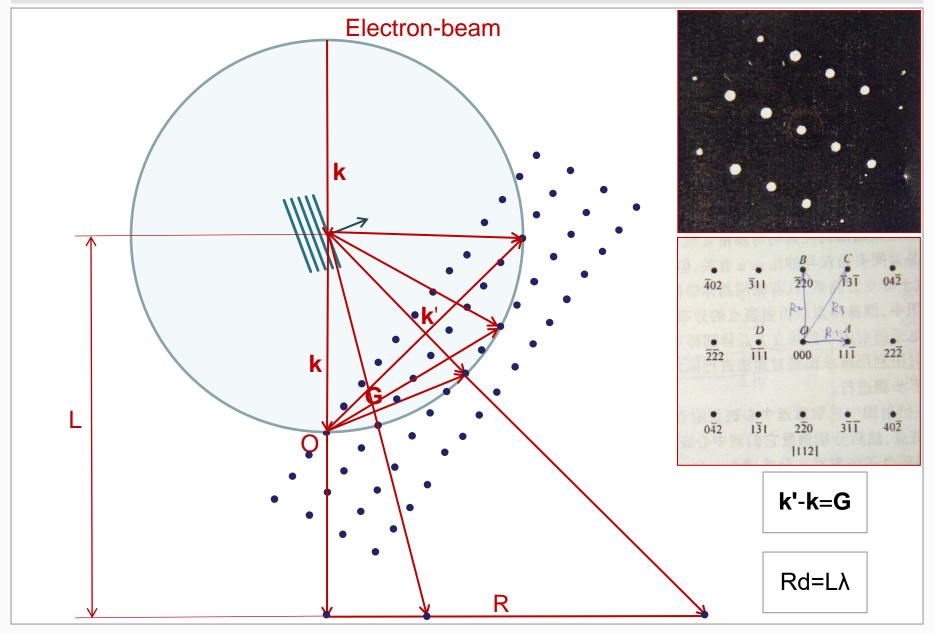
$$\mathbf{k'} = \frac{2\pi}{\lambda} \hat{n}'$$

$$d\cos\theta + d\cos\theta' = \mathbf{d}\cdot(\hat{\mathbf{n}}' - \hat{\mathbf{n}}) = m\lambda$$

$$\mathbf{d} \cdot (\mathbf{k}' - \mathbf{k}) = 2\pi m$$
$$\mathbf{d} \cdot \mathbf{G} = 2\pi n$$

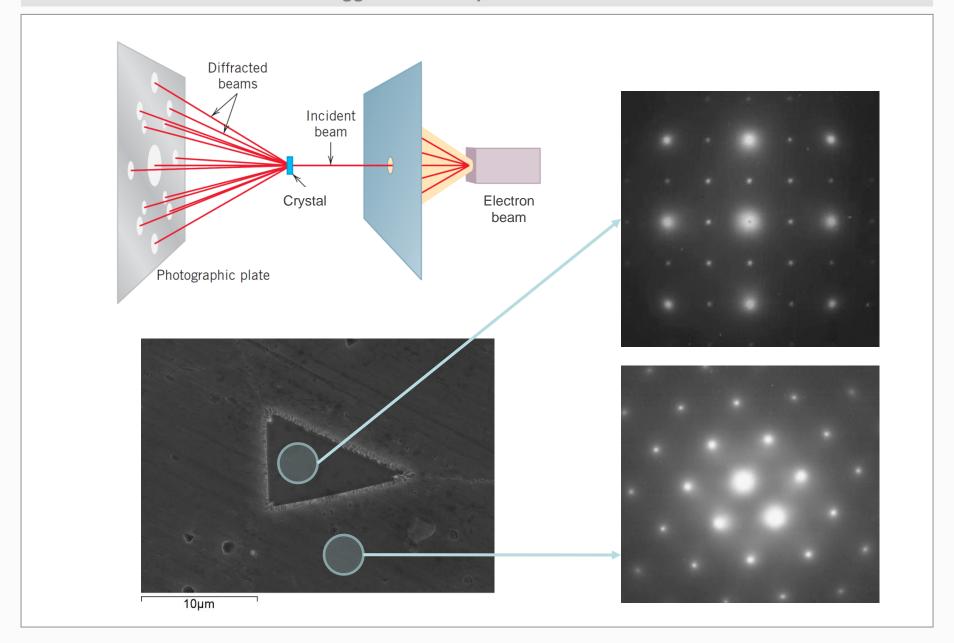
$$k'-k=G$$
 $\Delta k=G$

-The Bragg law -Laue equation -Ewald structure



 $Rd=L\lambda$

•The Bragg law •Laue equation •Ewald structure



How to learn?

1

Basic Concept

2

Train of Thought

Periodic function

Fourier series

Reciprocal vector

Reciprocal lattice

Wave-vector space

The Bragg law

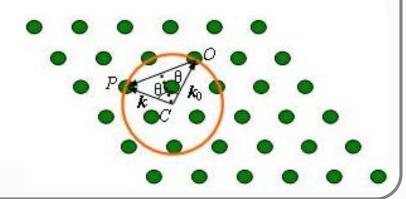
Laue equation

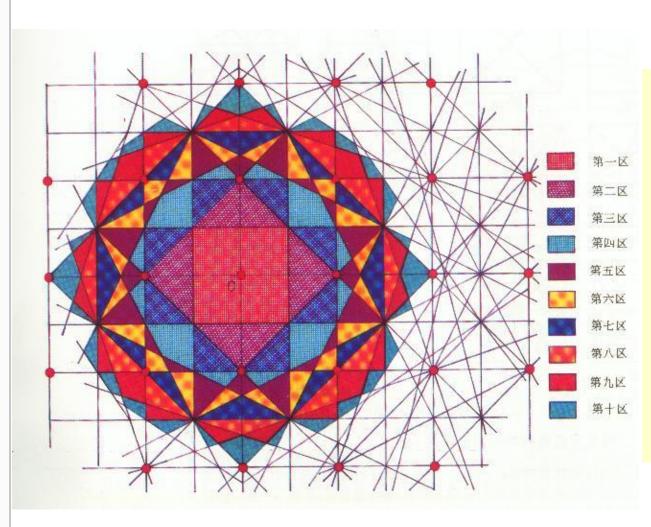
Ewald structure

Brillouin Zone

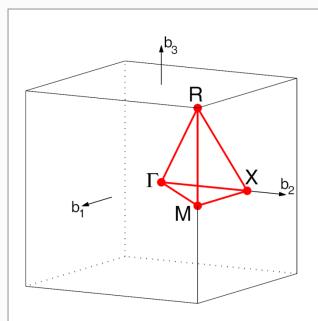
Chapter 1 Formation of Crystal

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 - 1.4.3 Brillouin Zone



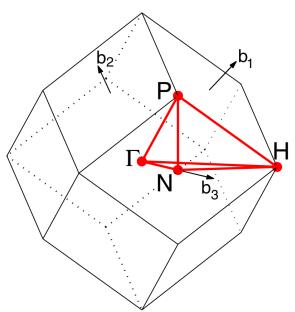


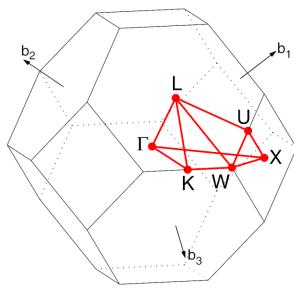
- * The reciprocal lattice point is in the middle of its first BZ.
- * All BZs have the same volume.
- ***** Every BZ just contains one lattice point.



CUB path: Γ -X-M- Γ -R-X|M-R

[Setyawan & Curtarolo, DOI: 10.1016/j.commatsci.2010.05.010



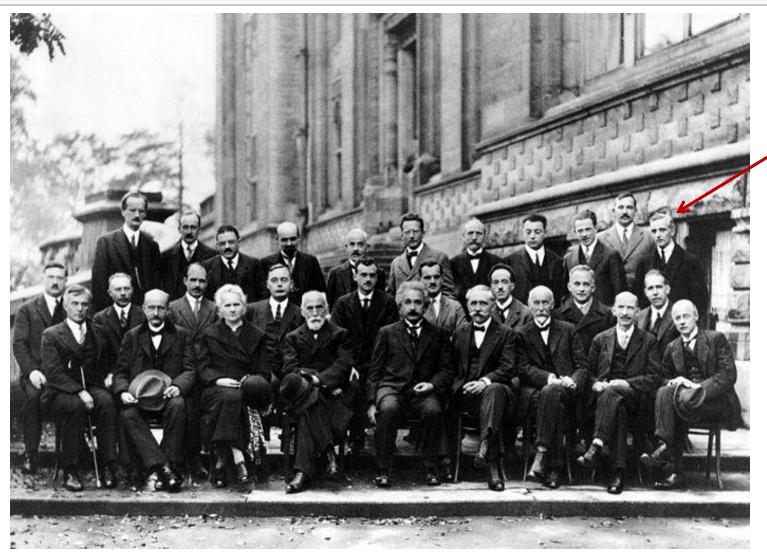


FCC path: Γ -X-W-K- Γ -L-U-W-L-K|U-X

[Setyawan & Curtarolo, DOI: 10.1016/j.commatsci.2010.05.010]

BCC path: Γ -H-N- Γ -P-H|P-N

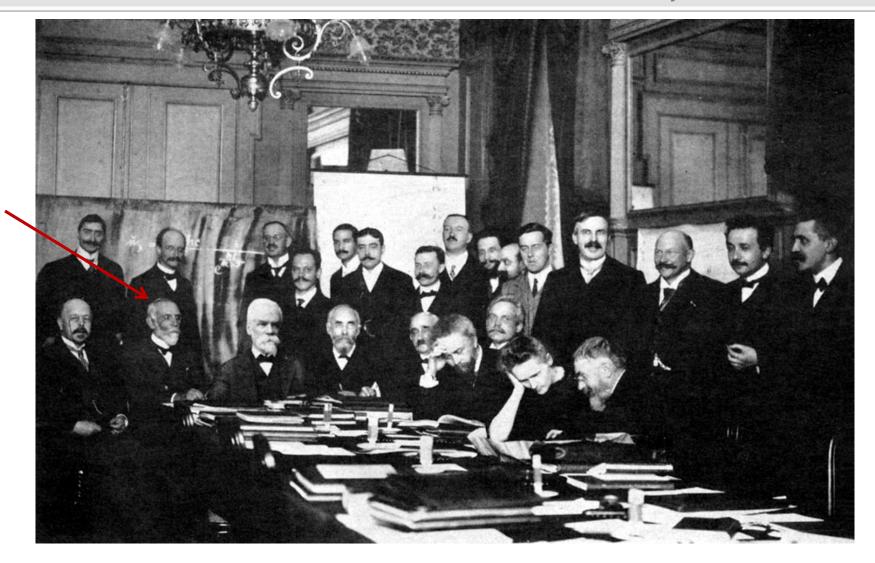
[Setyawan & Curtarolo, DOI: 10.1016/j.commatsci.2010.05.010]



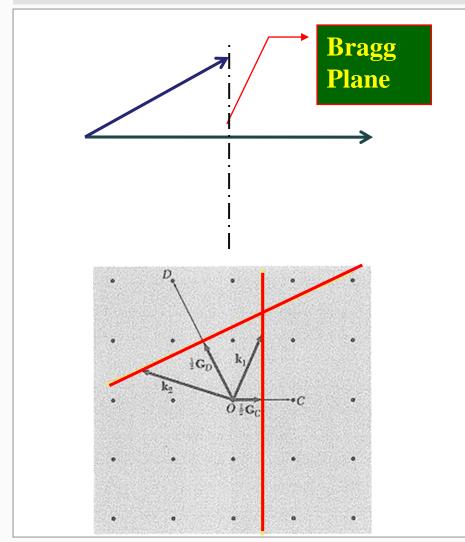
A. Piccard, E. Henriot, P. Ehrenfest, E. Herzen, Th. De Donder, E. Schrödinger, J.E. Verschaffelt, W. Pauli, W. Heisenberg, R.H. Fowler, L. Brillouin; B. P. Debye, M. Knudsen, W.L. Bragg, H.A. Kramers, P.A.M. Dirac, A.H. Compton, L. de Broglie, M. Born, N. Bohr; I. Langmuir, M. Planck, M. Skłodowska-Curie, H.A. Lorentz, A. Einstein, P. Langevin, Ch. E. Guye, C.T.R. Wilson, O.W. Richardson

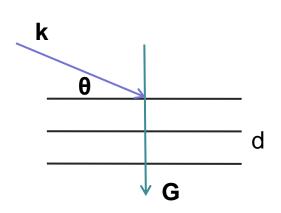
Reciprocal lattice Crystal diffraction Brillouin Zone

-Brillouin Zone 2D -Brillouin Zone 3D -Brillouin Zone Interface & Crystal diffraction



Photograph of the first conference in 1911 at the Hotel Metropole. Seated (L-R): W. Nernst, M. Brillouin, E. Solvay, H. Lorentz, E. Warburg, J. Perrin, W. Wien, M. Skłodowska-Curie, and H. Poincaré. Standing (L-R): R. Goldschmidt, M. Planck, H. Rubens, A. Sommerfeld, F. Lindemann, M. de Broglie, M. Knudsen, F. Hasenöhrl, G. Hostelet, E. Herzen, J.H. Jeans, E. Rutherford, H. Kamerlingh Onnes, A. Einstein and P. Langevin.





$$\mathbf{k} \cdot \frac{\mathbf{G}}{G} = \frac{1}{2}G$$

$$2\mathbf{k} \cdot \mathbf{G} = G^{2}$$

$$2kG\sin\theta = G^{2}$$

$$2\frac{2\pi}{\lambda}\sin\theta = \frac{2\pi}{d}$$

 $2d \sin \theta = \lambda$

All wave vectors *k* which tips are on the boundary of BZ can be Bragg reflected.

Only waves whose wave vector drawn from the origin of k-space terminates on the boundary of BZ will satisfy the condition for diffraction.

How to learn?

Train of Thought

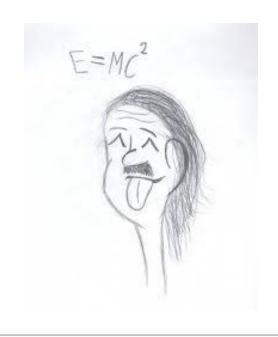
Conclusions

3

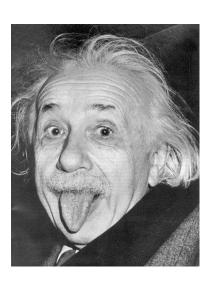
Basic Concept

4

Math and physical Details







Summary & Basic Concepts

Basic Concept

Train of Thought

Periodic function

Fourier series

Reciprocal vector

Reciprocal lattice

Wave-vector space

The Bragg law

Laue equation

Ewald structure

Brillouin Zone

Fourier series

3

Conclusions

We know and we see.

Summary

Thank you for your attention!

