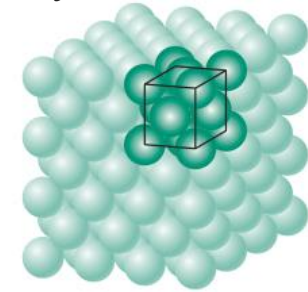


Chapter 1

Formation of Crystal

Profile

Crystal Structure

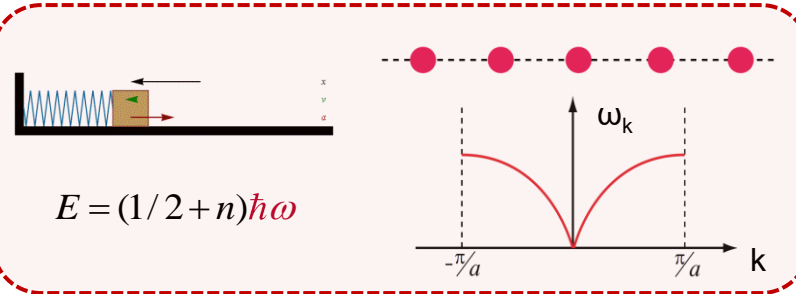


Binding

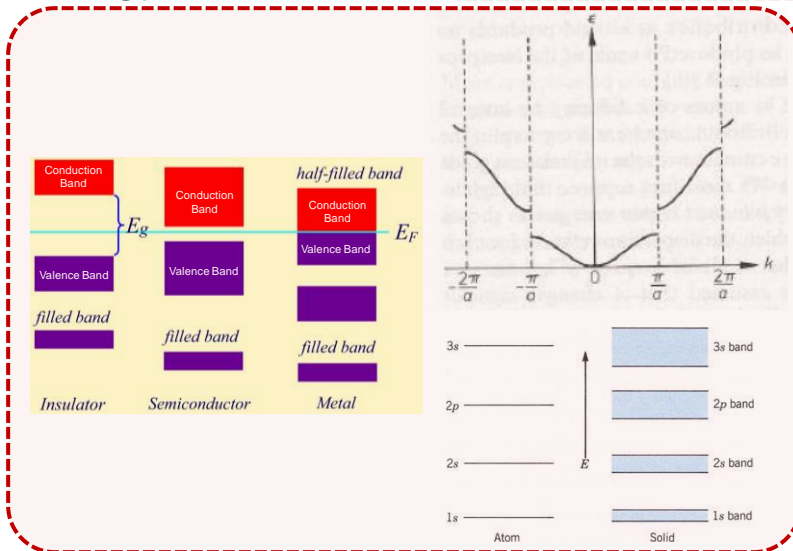
Atom

Electron

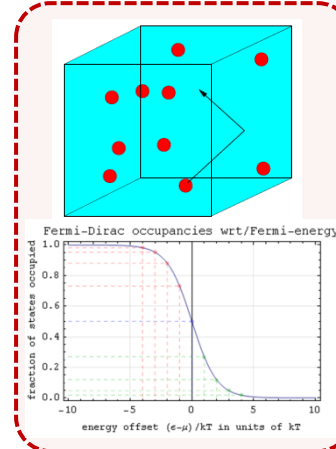
Crystal Dynamics



Energy Bands

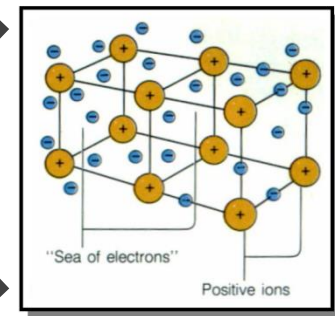


Free Electron Model



Atoms' movement

Born-Oppenheimer Approximation



Electrons' movement

Quantum Mechanics
Classical Theory

• Potential between Two Atoms

Potential between Two Atoms

$$U(R) = \underbrace{\frac{-a}{R^m}}_{\text{attraction}} + \underbrace{\frac{b}{R^n}}_{\text{repulsion}}$$

$$a, b, m, n > 0 \text{ and } n > m$$

$$F(R) = -\frac{\partial U(R)}{\partial R}$$

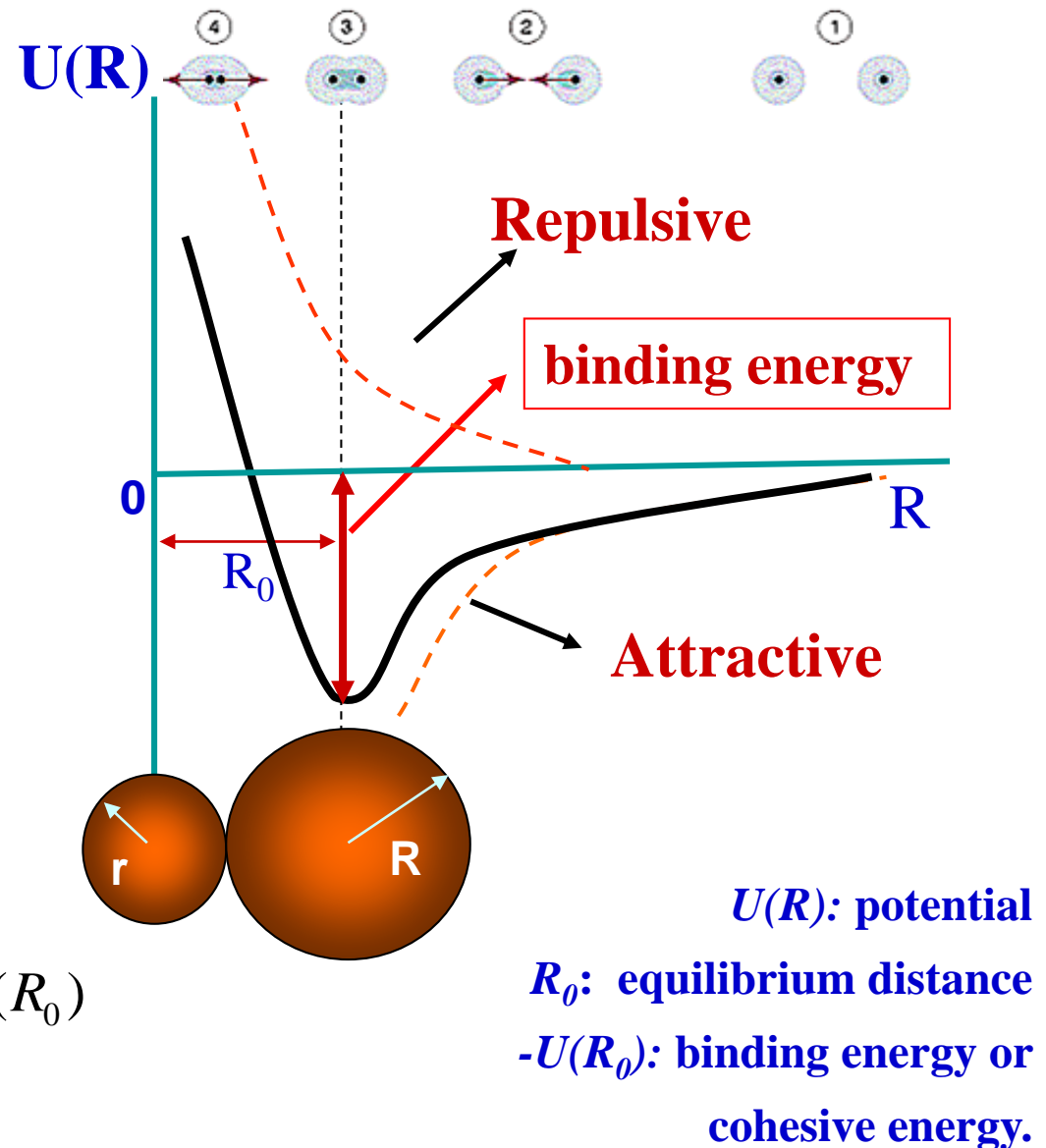
$$F(R) = F_a(R) + F_r(R)$$

$$R = R_0: F(R) = 0.$$

$$R > R_0: F(R) > 0, \text{ attractive}$$

$$R < R_0: F(R) < 0, \text{ repulsive}$$

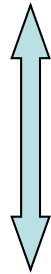
$$\left. \frac{\partial U(R)}{\partial R} \right|_{R=R_0} = 0 \implies R_0 \implies U(R_0)$$



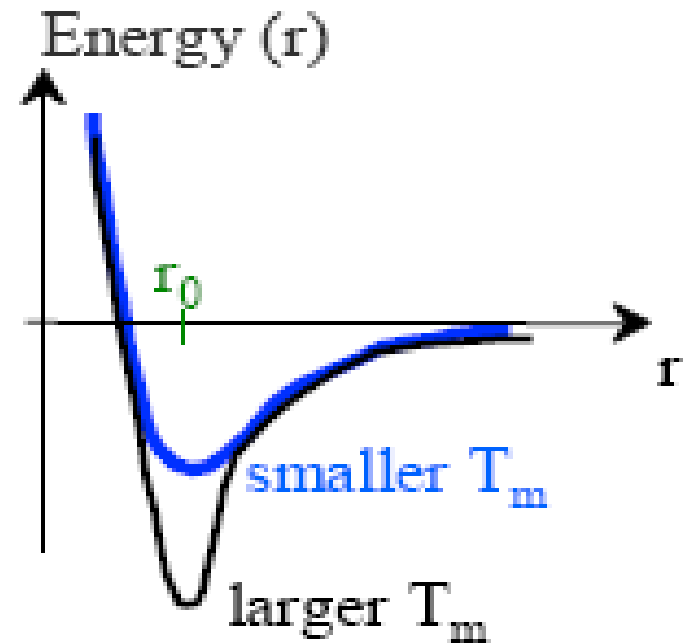
• Potential between Two Atoms

Relationship Between Binding Energy and Melting Point

binding energies \uparrow



melting temperatures \uparrow



A higher binding energy means a higher melting point!

• **Ionic crystal** • Cohesive Energy

Ionic Crystal - Ionic Bond

Ionic bond is formed between atoms with large differences in electronegativity.

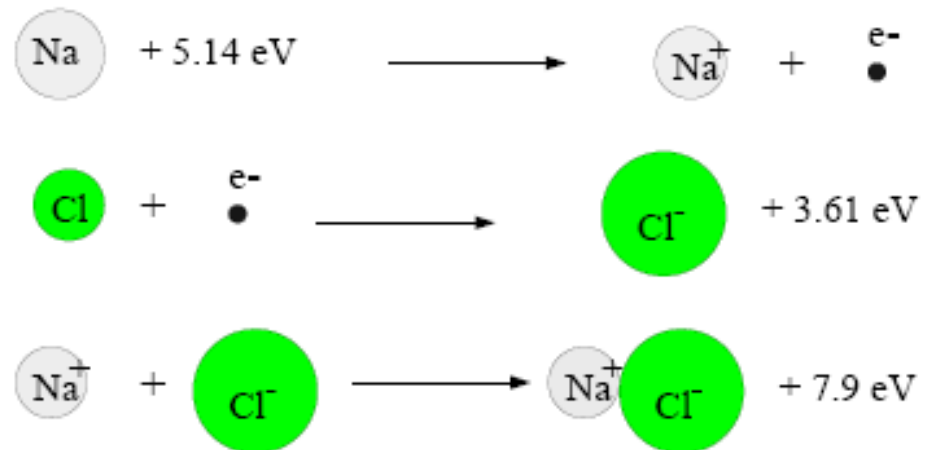
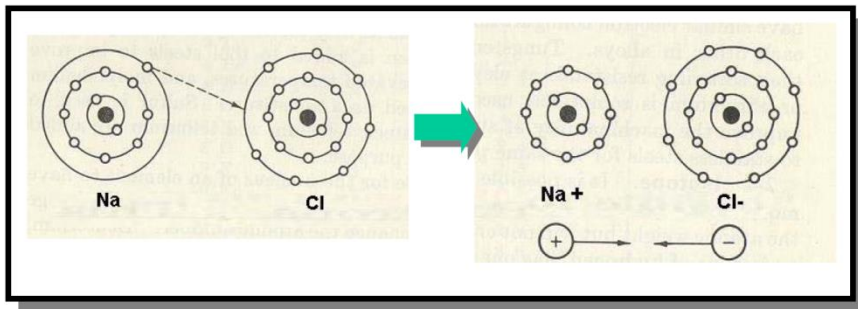
Group 1 alkali metals — Li, Na, K, Rb, Cs

Group 7 halogen elements — F, Cl, Br, I

A transfer of electrons between two atoms results the electrostatic force of attraction between positively and negatively charged ions

◇ **Binding Energy:** **150~370 kcal/mol**

◇ **Typical Crystal Structure:** NaCl, CsCl, ZnS



Cohesive Energy in Ionic Crystals

The interaction between two ions can be described as:

$$U(R) = \frac{-a}{R^m} + \frac{b}{R^n} \quad u(r) = -\frac{\delta q^2}{4\pi\epsilon_0 r} + \frac{b}{r^n}$$

q : charges of ion,
 $\delta = +1$ for the unlike charges
 $\delta = -1$ for the like charges

For a crystal with N cations and N anions, the total cohesive energy are:

$$U = \frac{1}{2}(2N) \sum_{j=1}^{2N-1} \left(-\frac{\delta_j q^2}{4\pi\epsilon_0 r_j} + \frac{b}{r_j^n} \right) \xrightarrow{r_j = \ell_j r} U(r) = -\frac{N\alpha q^2}{4\pi\epsilon_0 r} + \frac{NB'}{r^n}$$

Here r : the nearest distance between two atoms

$$B' = \sum_{j=1}^{2N-1} \frac{b}{l_j^n}$$

$$\alpha = \sum_{j=1}^{2N-1} \frac{\delta_j}{l_j}$$

Madelung constant

How to calculate a Madelung constant?

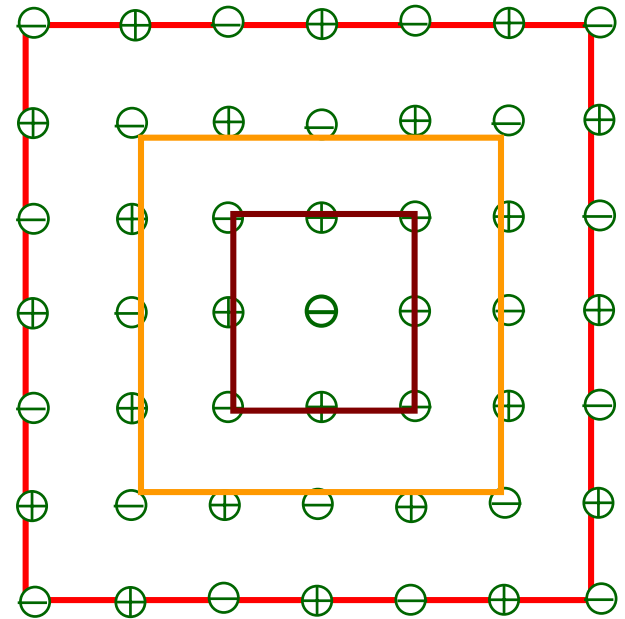
In 2-D ionic crystal,

$$\alpha = \sum_{j \neq 0} \frac{\delta_j}{\ell_j} \quad r_j = \ell_j r$$

$$\alpha_1 = 4 \cdot \frac{1}{2} \cdot \frac{1}{1} - 4 \cdot \frac{1}{4} \cdot \frac{1}{\sqrt{2}} \approx 1.293$$

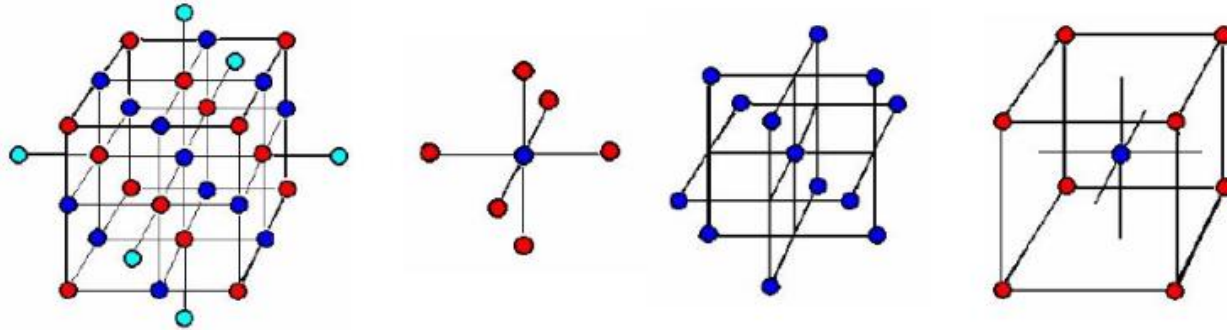
$$\alpha_2 = 4 - 4 \cdot \frac{1}{\sqrt{2}} - 4 \cdot \frac{1}{2} \cdot \frac{1}{2} + 8 \cdot \frac{1}{2} \cdot \frac{1}{\sqrt{5}} - 4 \cdot \frac{1}{4} \cdot \frac{1}{2\sqrt{2}} \approx 1.607$$

$$\alpha_3 \approx 1.6105$$



• Ionic crystal • **Cohesive Energy**

Example: Madelung Constant of NaCl Crystal



$$\alpha = \sum_{j \neq 0} \frac{\delta_j}{\ell_j}$$

Taking Na^+ as reference ion: First near: 6 Cl^- , $r=1$, $R=1/2$; Second near: 12 Na^+ , $r=2^{1/2}$, $R=1/4$; Third near: 8 Cl^- , $r=3^{1/2}$, $R=1/8$

$$\alpha = \frac{1}{2} \times 6 - \frac{1}{4} \times \frac{1}{\sqrt{2}} \times 12 + \frac{1}{8} \times \frac{1}{\sqrt{3}} \times 8 = 1.457$$

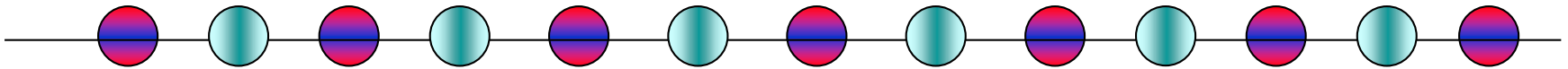
$$\alpha = \frac{1}{2} \times 6 - \frac{1}{4} \times \frac{1}{\sqrt{2}} \times 12 + \frac{1}{8} \times \frac{1}{\sqrt{3}} \times 8 + \dots$$

The bigger the cell , the more exactness the Madelung constant is.

structure	NaCl	CsCl	Cubic ZnS
α	1.748	1.763	1.638

Practice

A chain consisting of univalent cations and anions is shown as follow, please calculate the Madelung constant.



$$\alpha = \sum_{j \neq 0} \frac{\delta_j}{\ell_j} = ?$$

• Ionic crystal • **Cohesive Energy**

How to calculate B' and n

For B'

$$U(r) = -\frac{N\alpha q^2}{4\pi\epsilon_0 r} + \frac{NB'}{r^n} \quad B' = \sum_{j=1}^{2N-1} \frac{b}{l_j^n}$$

$$\left. \frac{\partial U}{\partial r} \right|_{r_0} = -\frac{N}{2} \left[-\frac{\alpha q^2}{4\pi\epsilon_0 r^2} + \frac{nB'}{r^{n+1}} \right]_{r_0} = 0 \quad \longrightarrow \quad B' = \frac{\alpha q^2}{4\pi\epsilon_0 n} r_0^{n-1}$$

$$\left. \begin{array}{l} U = f(B', n, r) \\ B' = f_1(n) \end{array} \right\} \Rightarrow n = f_3(K)$$

$$K = f_2(U, r)$$

$$K \text{ 由 } U, r \text{ 确定} \rightarrow n \rightarrow B' \Rightarrow U$$

• Ionic crystal • **Cohesive Energy**

How to calculate B' and n

For B'

$$U(r) = -\frac{N\alpha q^2}{4\pi\epsilon_0 r} + \frac{NB'}{r^n} \quad B' = \sum_{j=1}^{2N-1} \frac{b}{l_j^n}$$

$$\left. \frac{\partial U}{\partial r} \right|_{r_0} = -\frac{N}{2} \left[-\frac{\alpha q^2}{4\pi\epsilon_0 r^2} + \frac{nB'}{r^{n+1}} \right]_{r_0} = 0 \quad \longrightarrow \quad B' = \frac{\alpha q^2}{4\pi\epsilon_0 n} r_0^{n-1}$$

For n

$$V = N\gamma r^3 \quad \gamma \text{-volume factor}$$

$$\frac{\partial U}{\partial V} = \frac{\partial U}{\partial r} \frac{\partial r}{\partial V} = \frac{\partial U}{\partial r} \frac{1}{3\gamma N r^2}$$

$$\frac{\partial^2 U}{\partial V^2} = \frac{\partial}{\partial V} \left(\frac{\partial U}{\partial V} \right) = \dots = \frac{1}{9\gamma^2 N^2 r^4} \frac{\partial^2 U}{\partial r^2} - \frac{2}{9\gamma^2 N^2 r^5} \frac{\partial U}{\partial r}$$

	γ
CsCl structure	$\frac{4}{3\sqrt{3}}$
ZnS structure	$\frac{8}{3\sqrt{3}}$
NaCl structure	1

$$K = -V \frac{dp}{dV} = V_0 \left(\frac{d^2 U}{dV^2} \right)_{V_0} = N\gamma r_0^3 \frac{1}{9\gamma^2 N^2 r_0^4} \left(\frac{\partial^2 U}{\partial r^2} \right)_{r_0} = \frac{1}{9\gamma N r_0} \left(\frac{\partial^2 U}{\partial r^2} \right)_{r_0} \quad \longrightarrow \quad n = 1 + \frac{72\pi\epsilon_0 \gamma r_0^4}{q^2 \alpha e^2} K$$

r_0 and K are decided by experiments, and γ is calculated according to the crystal structure.

• Ionic crystal • **Cohesive Energy**

How to calculate the γ of a crystal?

$$V = N\gamma R_0^3$$

CsCl structure

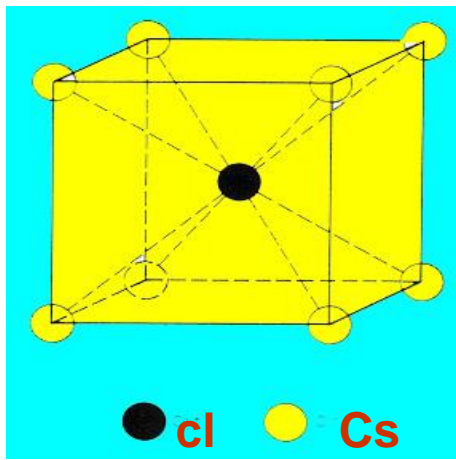
$$V = a^3, N = 2, R_0 = \frac{\sqrt{3}}{2} a,$$

$$\gamma = \frac{4}{3\sqrt{3}}$$

ZnS structure

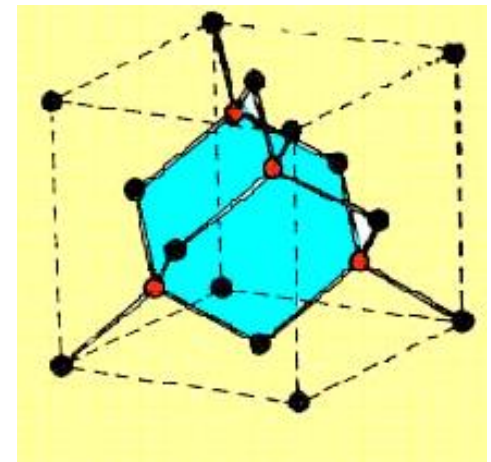
$$V = a^3, N = 8, R_0 = \frac{\sqrt{3}}{4} a$$

$$\gamma = \frac{8}{3\sqrt{3}}$$



- +

How about NaCl?

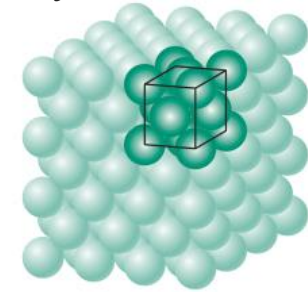


Properties of Alkali Halide Crystal with NaCl Structure

Ionic Crystal	Nearest neighbor separation $R_0(\text{\AA})$	Bulk modulus $E(10^{10}\text{N/m}^2)$	Cohesive Energy $U_0(10^{-16}\text{J/ion pair})$
LiF	2.014	6.71	-1.68
LiCl	2.570	2.98	-1.38
NaCl	2.82	2.40	-1.27
NaBr	2.989	1.99	-1.21
NaI	3.237	1.51	-1.13

Profile

Crystal Structure

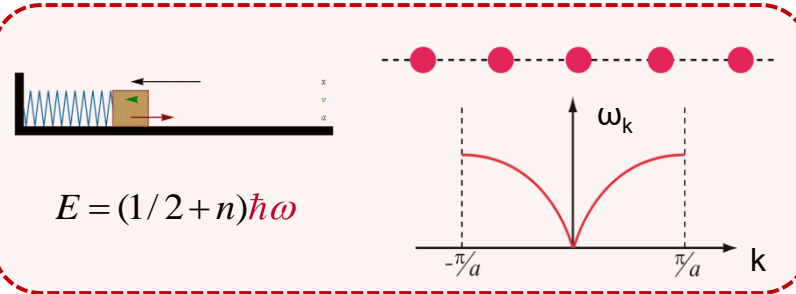


Binding

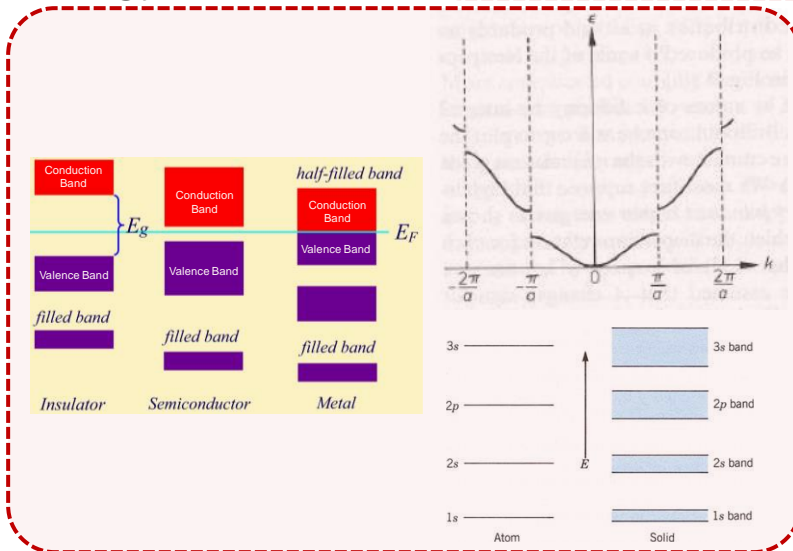
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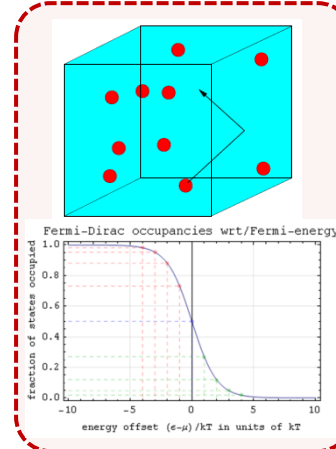
Crystal Dynamics



Energy Bands

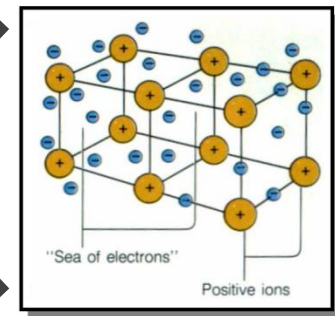


Free Electron Model



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Classical Theory