

# Chapter 1 Formation of crystal

1.1 Quantum Mechanics and atomic structure

1.2 Interatomic Bonding in Solids

1.3 Crystal Structure

1.3.1 Crystal Structure

1.3.2 Typical Crystal Structures

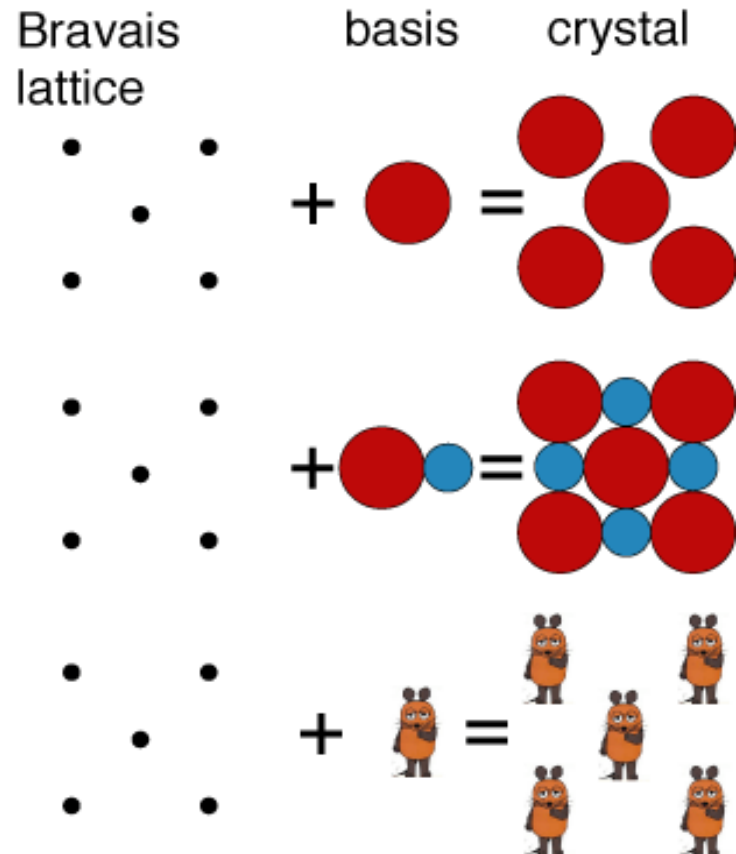
1.4 Reciprocal Lattice and Brillouin Zone



## • Basic concept

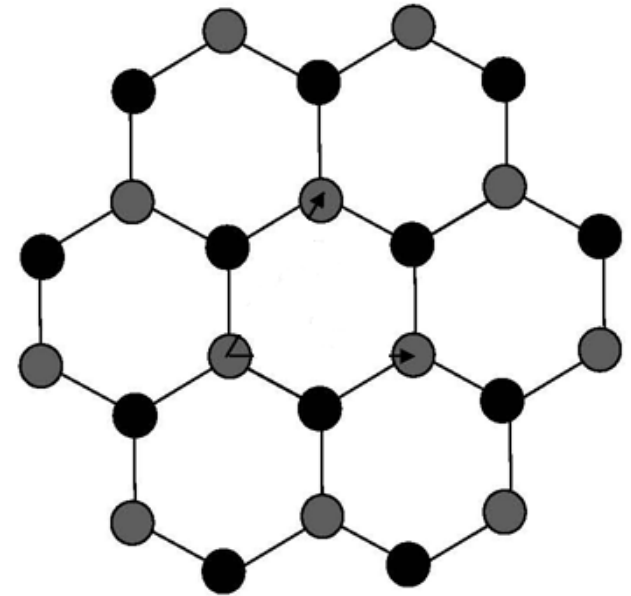
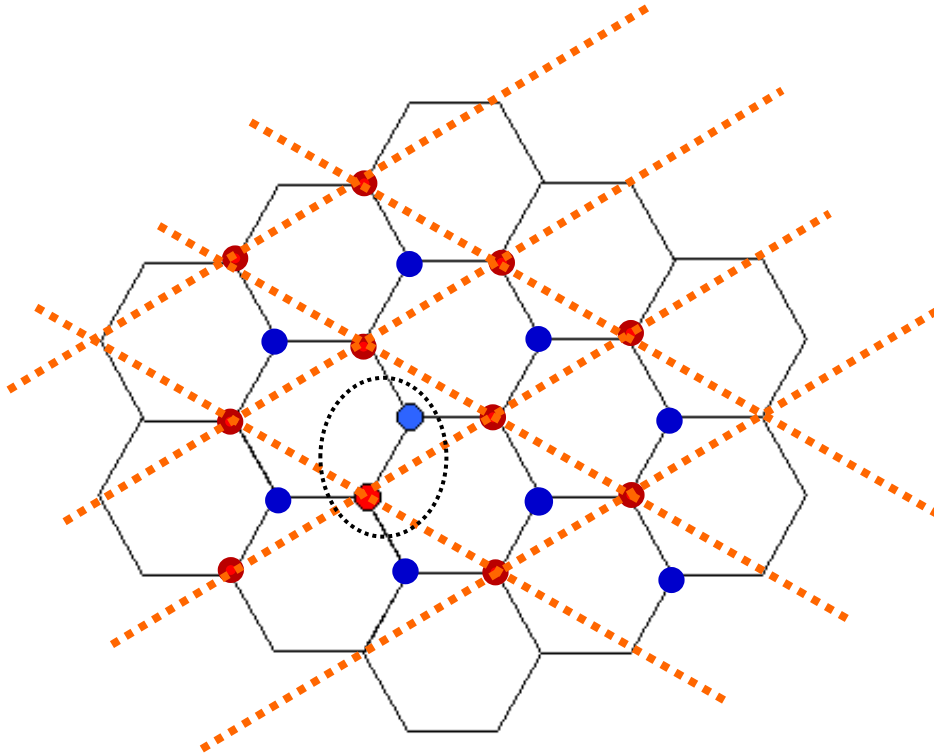
**Bravais Lattice**

- ❖ **Bravais Lattice:** all points of the lattice is identical.
- ❖ For a crystal,
  - ✧ **Bravais lattice :** the geometric pattern of basis' arrangement.
  - ✧ **Lattice point:** the dot representing a basis.



- ❖ Bravais lattice only summarizes **the geometry of crystals**, regardless of what the actual units may be.
- ❖ The basis consists of **the atoms, their spaces and bond angles**.

## • Basic concept

**How to analyze a honeycomb lattice ?**

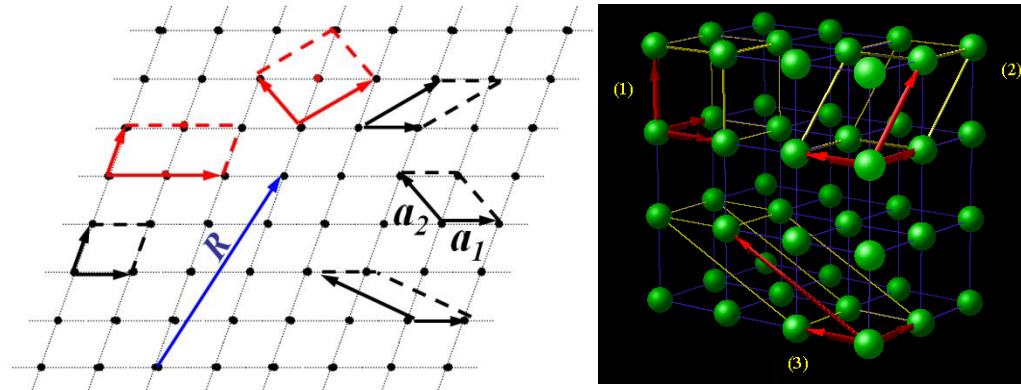
Honeycomb Lattice  
(Graphite or BN layer)

• Basic concept

## Bravais lattice

The geometric pattern of basis' arrangement.  
All points of the lattice is identical.

Primitive vectors 基矢, position vectors 格矢



$$\mathbf{R} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2$$

$$\mathbf{R} = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3$$

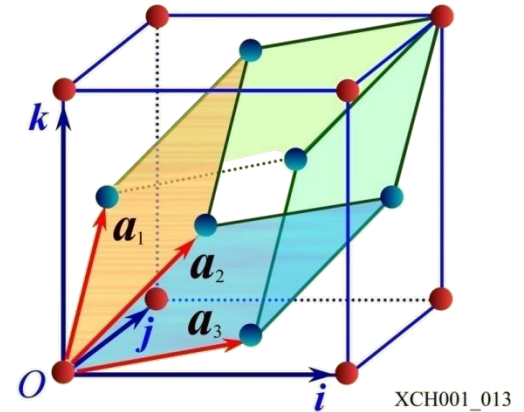
## Primitive unit cell

A set of shortest vectors making the unit cell with a smallest volume

## Conventional unit cell

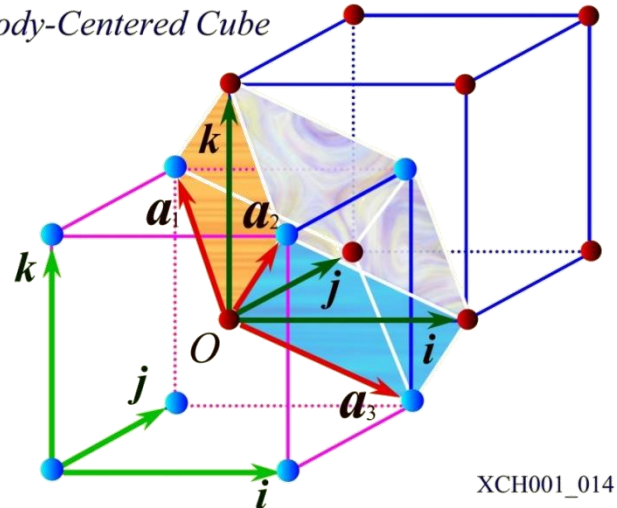
A set of vectors making the unit cell with a highest symmetry

Face-Centered Cube



$$\mathbf{a}_1 = \frac{1}{2} a(\mathbf{j} + \mathbf{k}), \mathbf{a}_2 = \frac{1}{2} a(\mathbf{k} + \mathbf{i}), \mathbf{a}_3 = \frac{1}{2} a(\mathbf{i} + \mathbf{j})$$

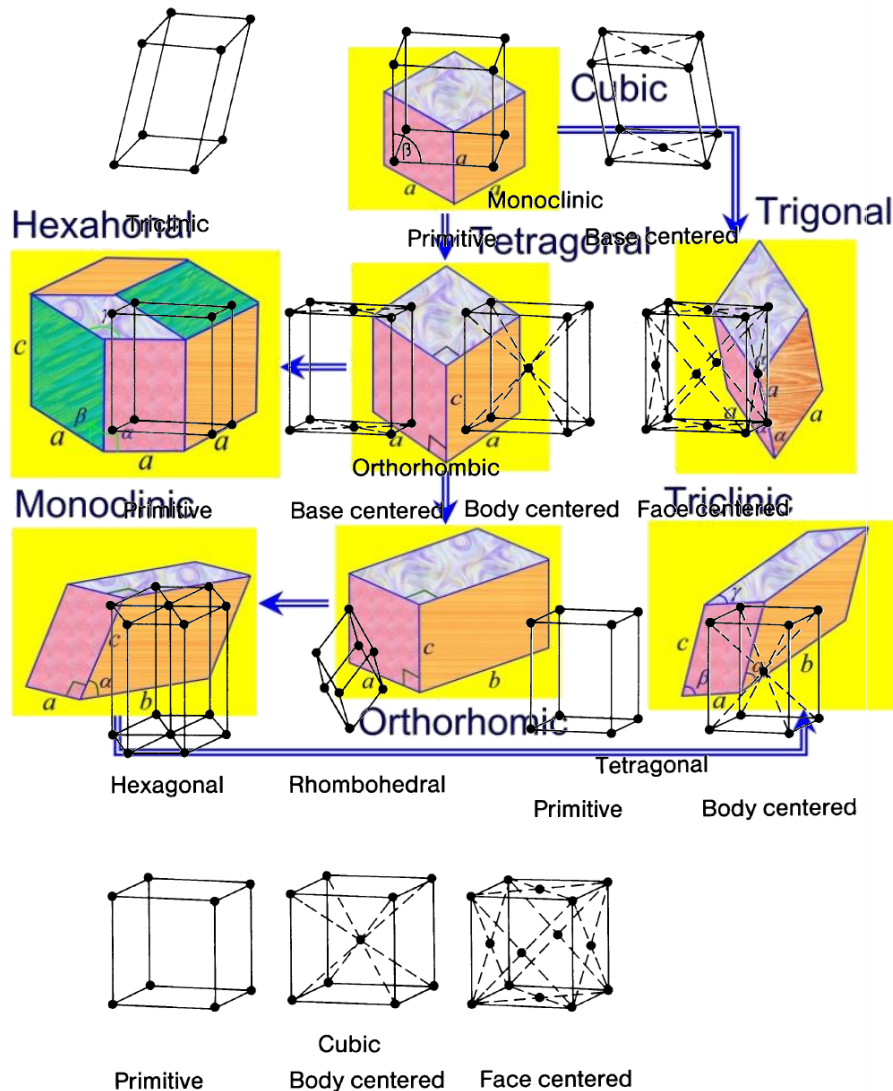
Body-Centered Cube



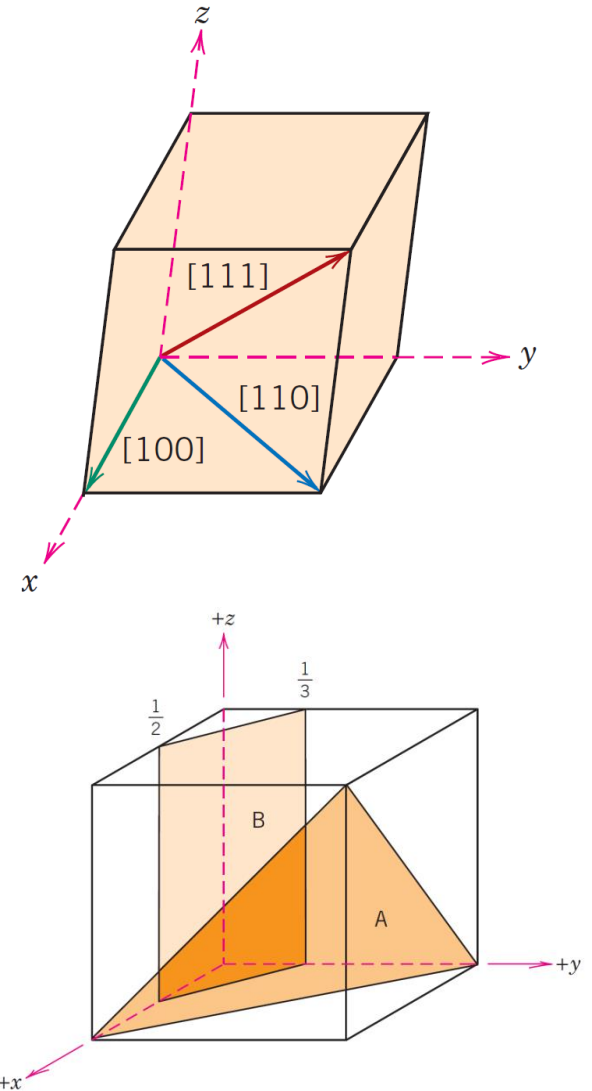
$$\mathbf{a}_1 = \frac{1}{2} a(\mathbf{j} + \mathbf{k} - \mathbf{i}), \mathbf{a}_2 = \frac{1}{2} a(\mathbf{k} + \mathbf{i} - \mathbf{j}), \mathbf{a}_3 = \frac{1}{2} a(\mathbf{i} + \mathbf{j} - \mathbf{k})$$

• Basic concept

# Bravais lattice

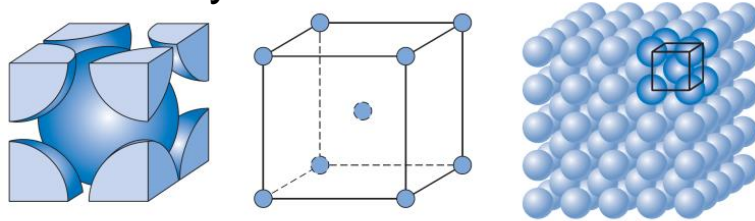


# Crystal direction, crystal plane



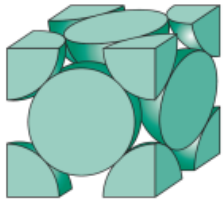
• Preface

# 1 Element crystal

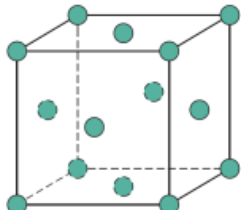


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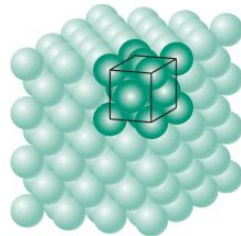
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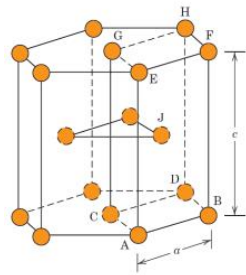
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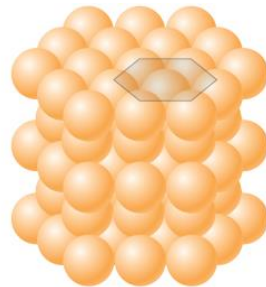
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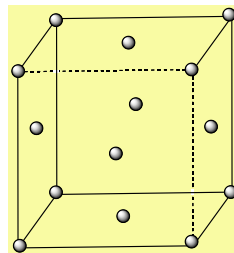
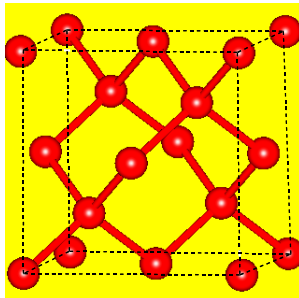
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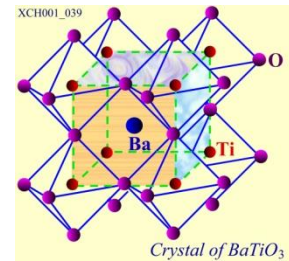
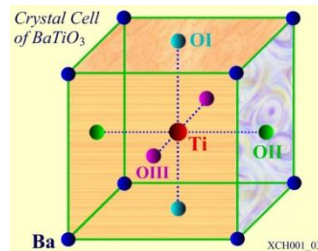
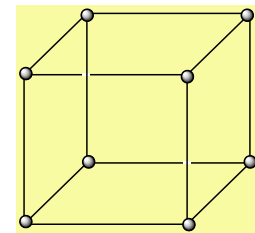
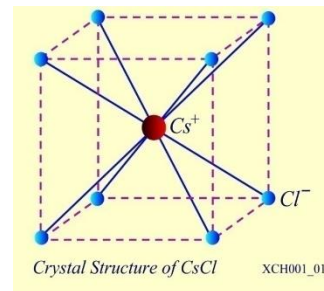
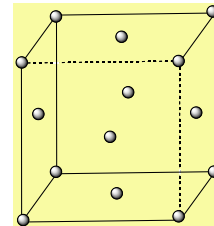
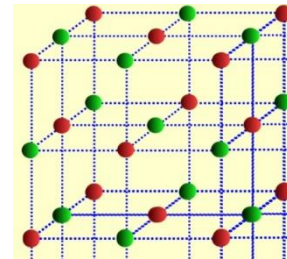
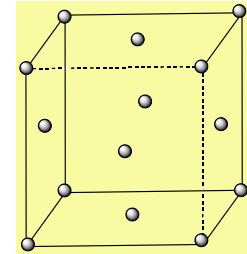
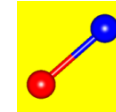
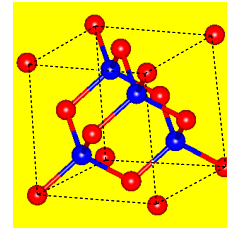
(a)



(b)



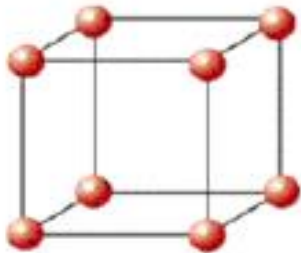
# 2 crystalline compounds



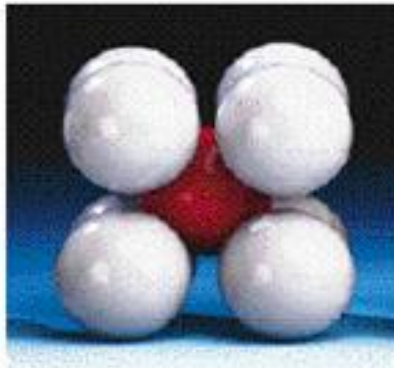
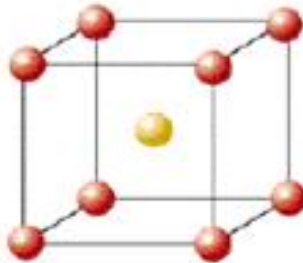


• **Metals** • Semiconductor • Ceramics

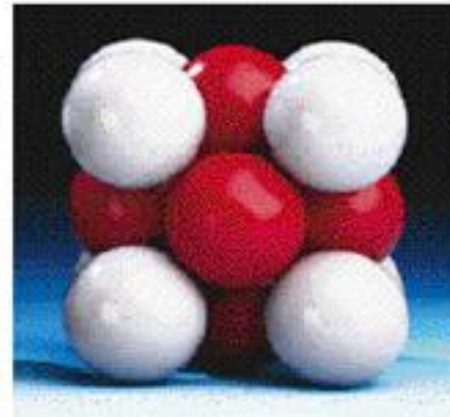
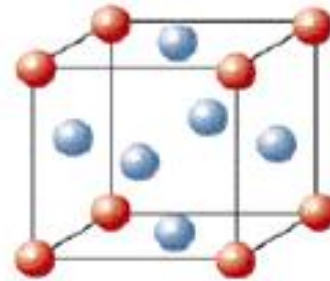
## Three Typical Cubic Structures



**simple cubic**



**Body-centered  
cubic (BCC)**



**Face centered  
cubic (FCC)**

**lattice**

**Crystal  
structure**



## Important Parameters in Crystal Structure

- ◆ **Number of atoms per unit cell ( $n$ ):** The number of atoms in a unit cell.
- ◆ **The number of nearest neighbours, or Coordination Number (CN) :** The number of atoms closest to a given atom.
- ◆ **Atomic Packing Factor (APF):** The fraction of the volume of a unit cell that is occupied by “hard sphere” atoms or ions.

$$APF = \frac{\text{volume of atoms in unit cell}}{\text{volume of unit cell}}$$
- ◆ **Atomic Radius:** The radius of the “hard sphere”.

# 1. Body-centred Cube ( BCC)

$$n = \frac{1}{8} \times 8 + 1 = 2$$

**CN: 8**

**Close-packed plane: {110};**

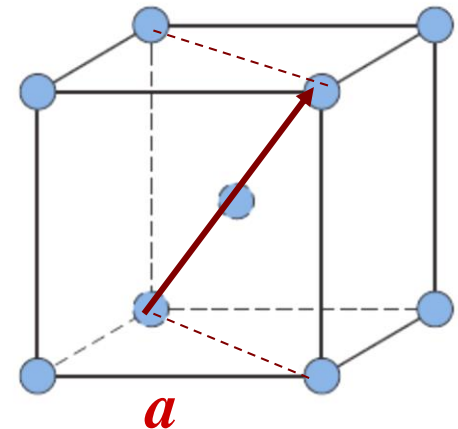
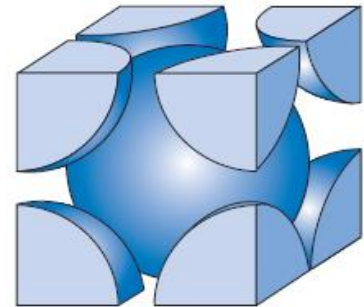
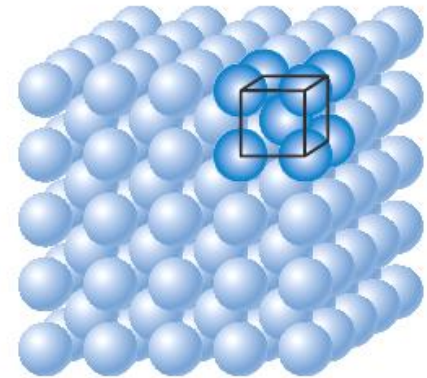
**Close-packed direction:  $\langle 111 \rangle$**

**Atomic radius:**  $\frac{\sqrt{3}}{4}a$

**APF:**

$$K = \frac{nV_0}{V} = \frac{2\sqrt{3}\pi a^3}{16} \cdot \frac{1}{a^3} = \frac{\sqrt{3}\pi}{8} \approx 0.68$$

**Example:  $\alpha$ -Fe, Cr, W, Mo, V**



## 2. Face-centred Cube (FCC)

**n:** 4

**CN:** 12

**Close-packed plane:** {111}

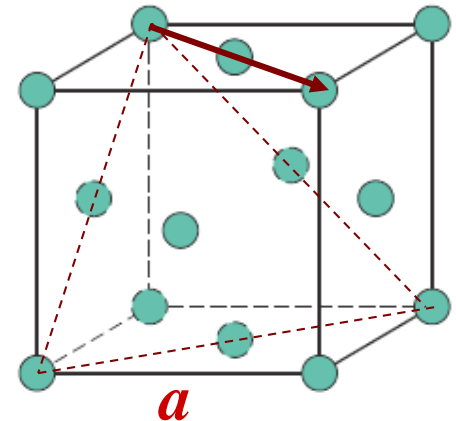
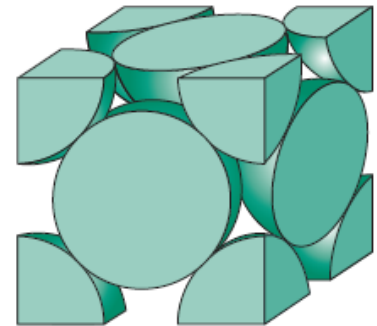
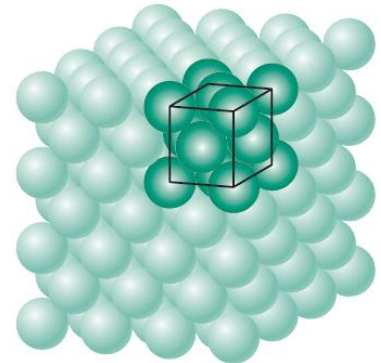
**Close-packed direction:**  $\langle 110 \rangle$

**Atomic radius:**  $\frac{\sqrt{2}}{4}a$

**APF:**

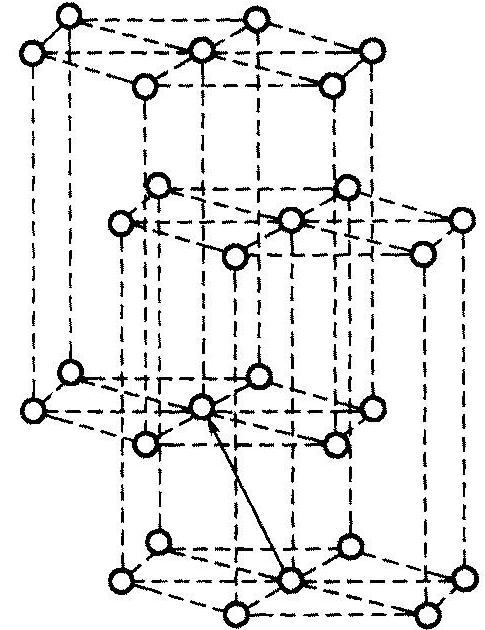
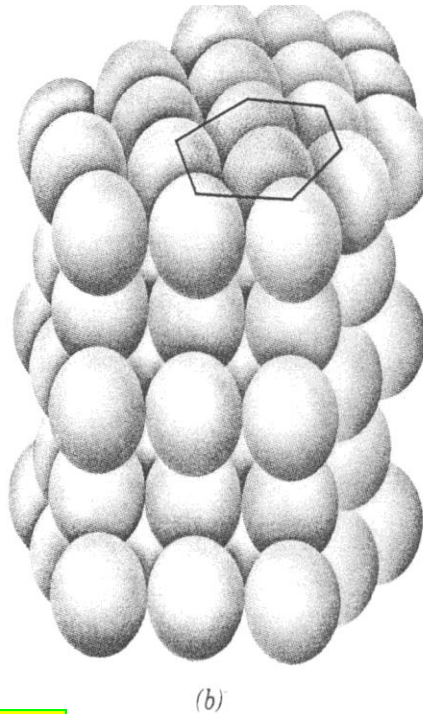
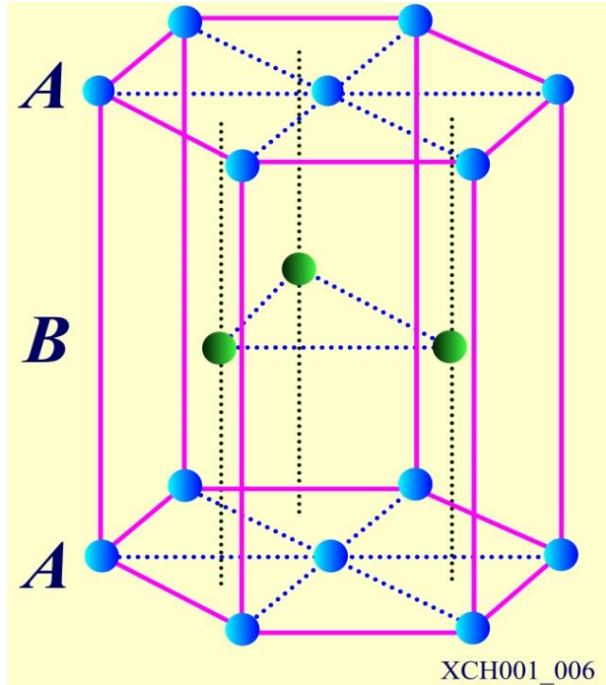
$$K = \frac{4 \times \frac{4}{3} \pi \left( \frac{\sqrt{2}}{4} a \right)^3}{a^3} = 0.74$$

**Example:**  $\gamma$ -Fe, Cu, Al, Ag, Au,  
Pb, Ni



• Metals • Semiconductor • Ceramics

### 3. Hexagonal Close-Packed Structure (HCP)



$$a=b, \alpha=120, c=1.633a$$

**Example:** *He, Be, Mg, Ti, Zn, Cd, Co, Y, Zr, Gd, Re...*



**n, CN, APF, lattice type?**

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## SUMMARY

Structure	$a$ vs. $r$	n	CN	APF	Examples
SC	$a = 2r$	1	6	0.52	$P, \alpha\text{-Mn}$
BCC	$a = \frac{4}{\sqrt{3}} r$	2	8	0.68	$\alpha\text{-Fe}, \text{Ti}, \text{W},$ $\text{Mo}, \text{Nb}, \text{K},$ $\text{Na}, \text{V}, \text{Zr}, \text{Cr}$
FCC	$a = \frac{4}{\sqrt{2}} r$	4	12	0.74	$\gamma\text{-Fe}, \text{Cu}, \text{Au},$ $\text{Pt}, \text{Ag}, \text{Pb}, \text{Ni}$
HCP	$a = 2r$ $c / a \approx 1.633$	6	12	0.74	$\text{Mg}, \text{Zn}, \text{Be},$ $\text{Co}, \text{Zr}, \text{Cd}$

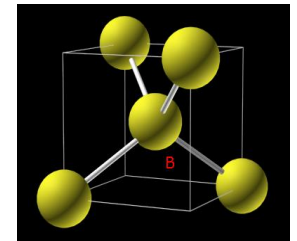
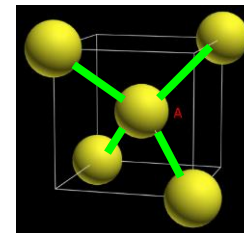
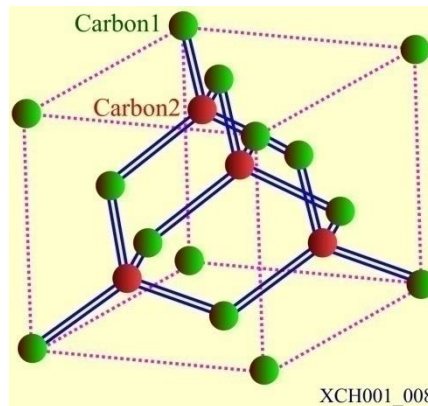
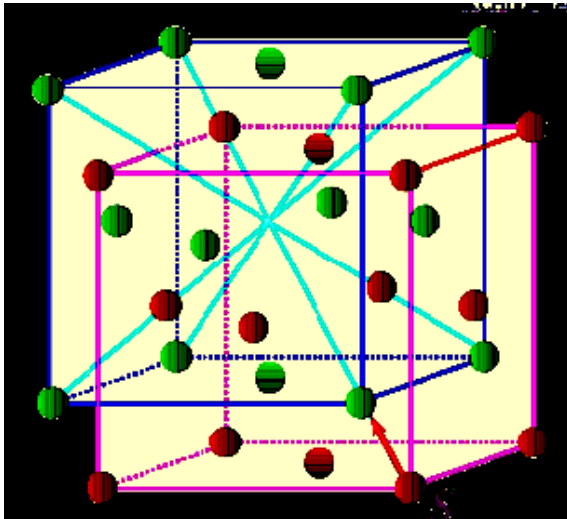
# Semiconductor's structure

## 1. Diamond Structure

The diamond lattice (formed by the carbon atoms ) consists of two interpenetrating **face-centered cubic Bravais lattices**, displaced along the body diagonal of the cubic cell by one quarter the length of the diagonal.

Basis: two identical atoms at

$$000; \frac{1}{4} \frac{1}{4} \frac{1}{4}$$

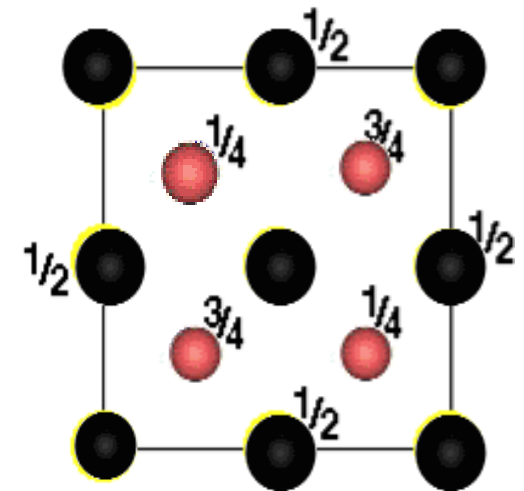
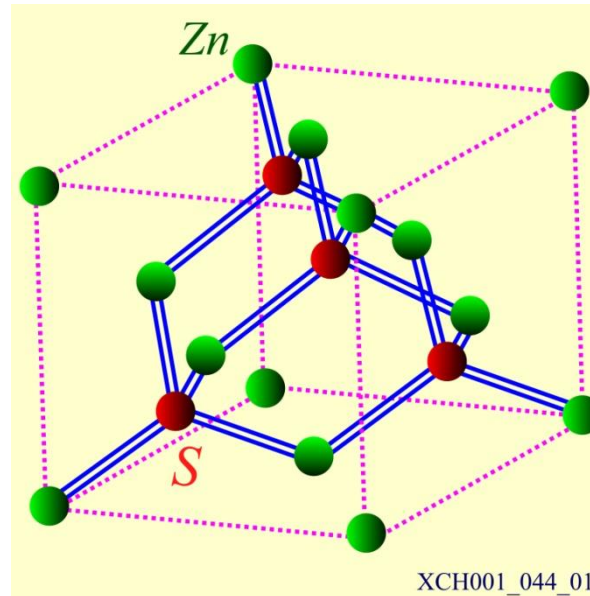
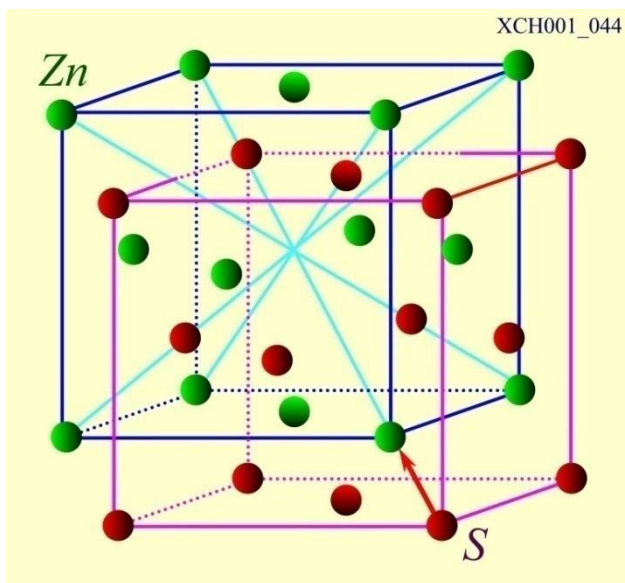


Lattice type  
and basis?



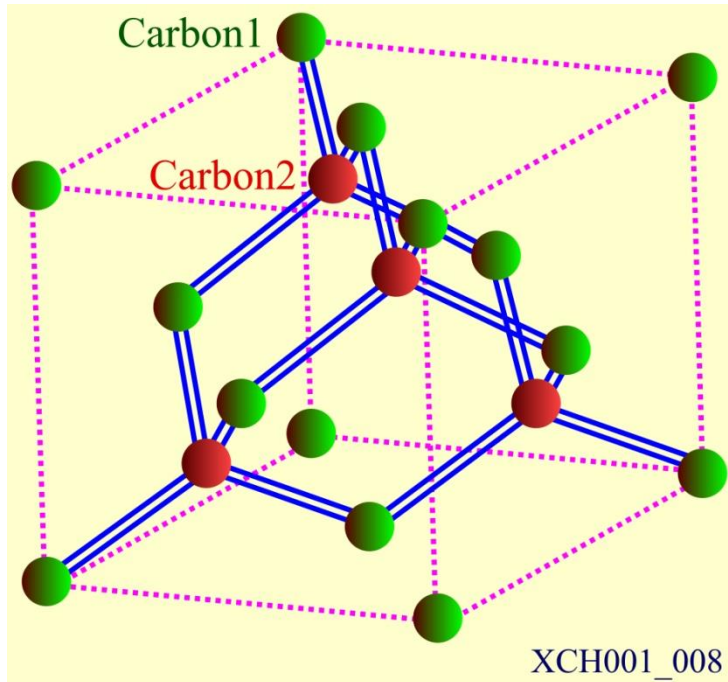
## 2. Zinc Blende Structure (ZnS)

Zinc Blende has equal numbers of zinc and sulfur ions distribute on a diamond lattice or is the FCC arrays of S and Zn interpenetrating each other.

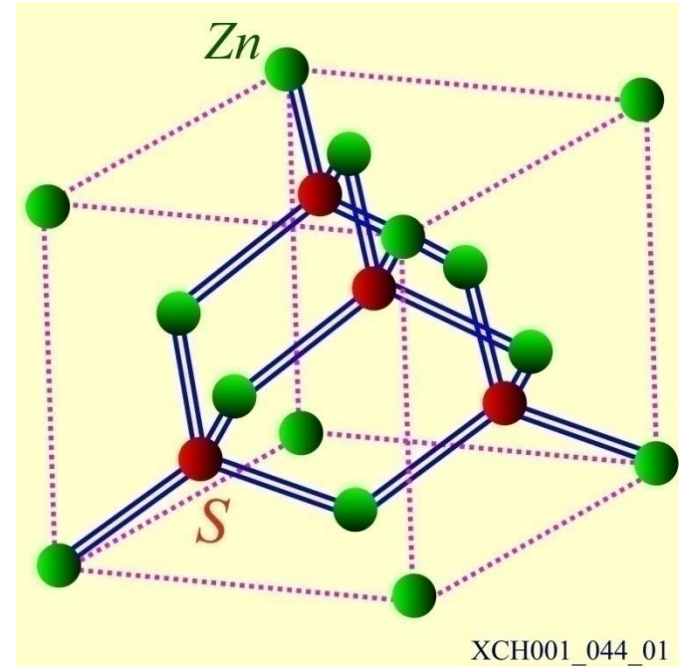


lattice? Basis?

### 3. Comparison Between Diamond and ZnS



**Diamond**



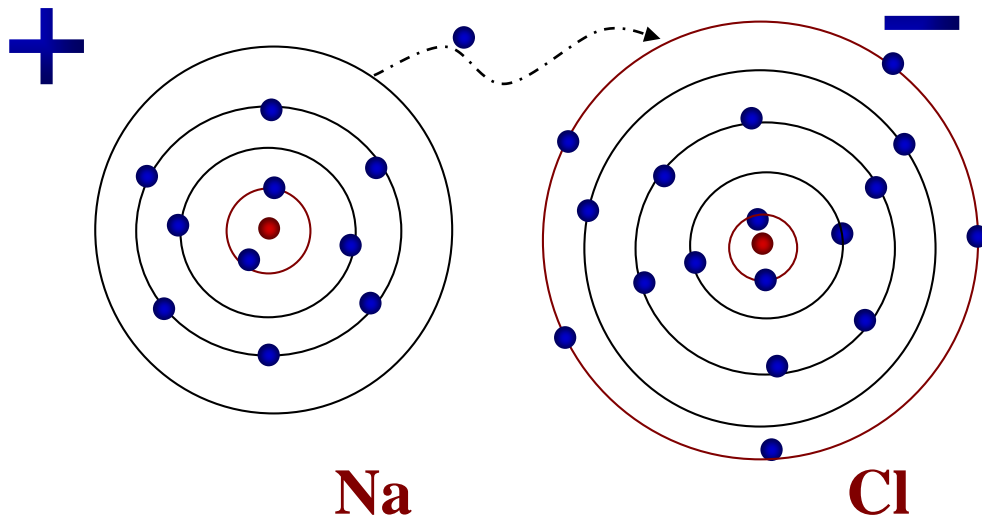
**Zinc Blende  
(Cubic Zinc Sulfide)**

## Summary

Crystal Structure	CN	Atoms/per unit cell	APF	Examples
<b>Diamond</b>	4	8	0.34	Diamond, Ge, Si, $\alpha$ -Sn
<b>Zinc blende</b>	4	8	0.34	ZnS, GaAs, GaSb, InAs, InSb

## Ionic Crystals' Structure

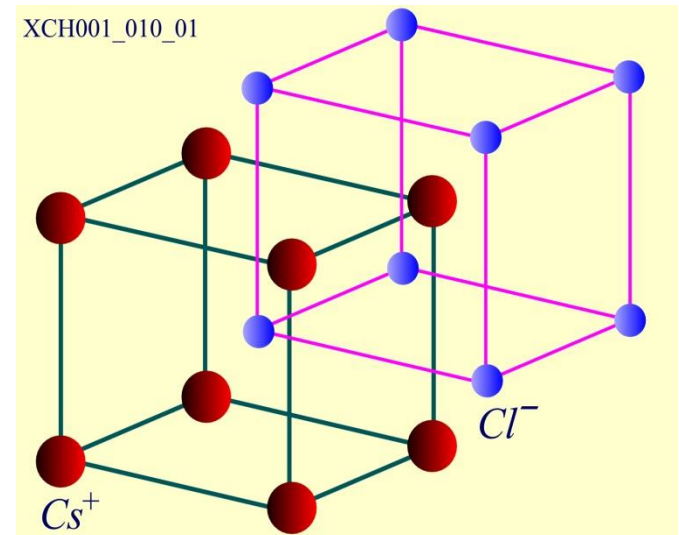
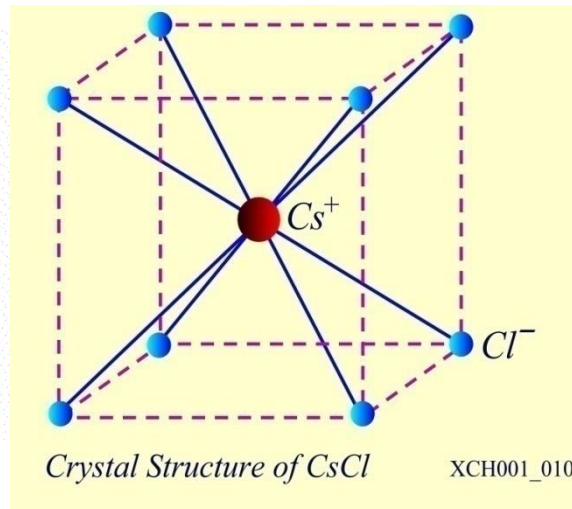
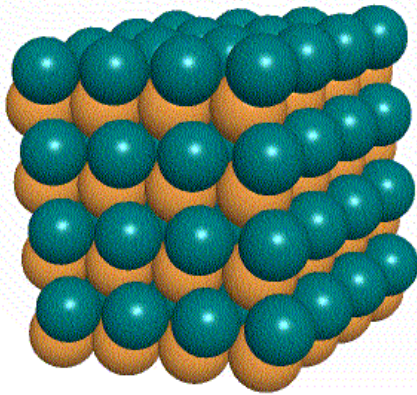
- ❖ In an **ionic crystal**, the cations and anions are held together by electrostatic forces, thus forming an ionic bond.
- ❖ The ions has a full shell structure, thus tend to close-packed.



**Transfer  
electrons!**

# 1. Cesium Chloride Structure (CsCl)

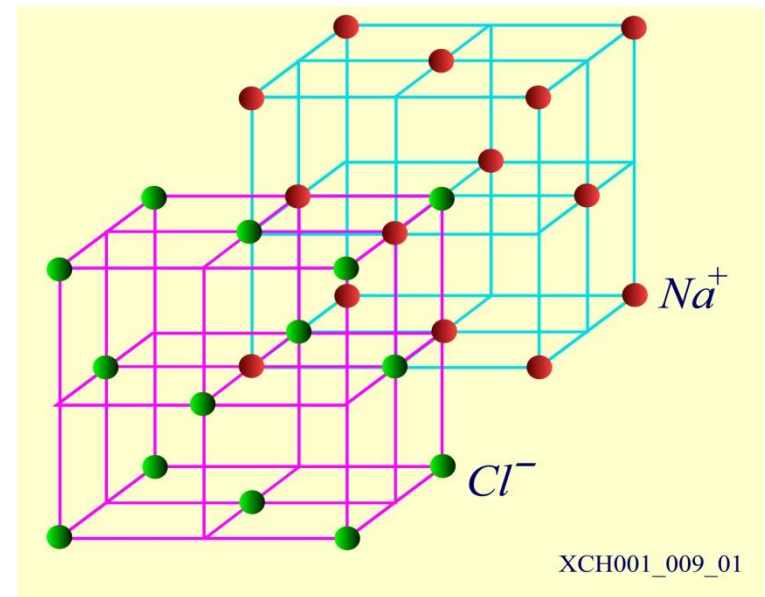
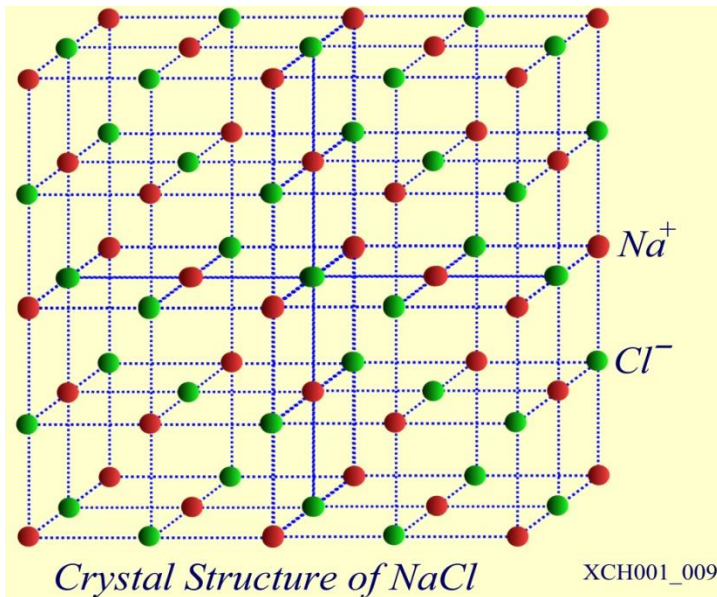
A **simple cubic Bravais lattice** with a basis consisting of a cesium and a chlorine ion at the points of corners and body centered cubic lattice respectively.



**Number of atoms per unit cell?**

## Rock Salt Structure (NaCl)

A **face-centered-cubic** Bravais lattice with a basis consisting of a sodium ion at 000 and chlorine ion at  $\frac{1}{2} \frac{1}{2} \frac{1}{2}$ .

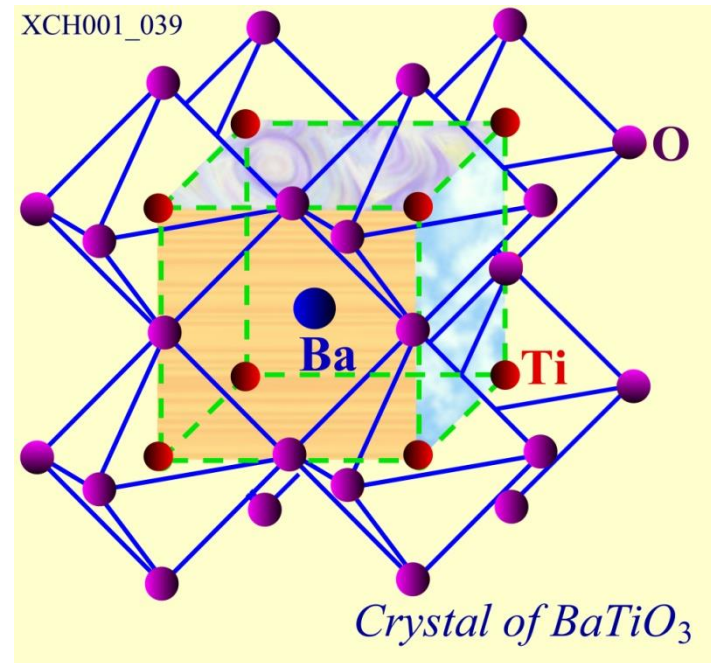
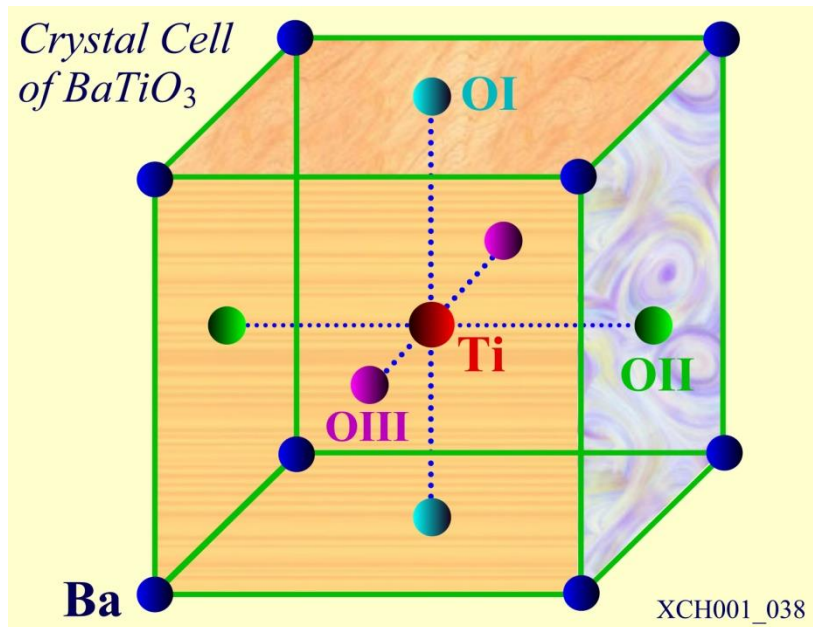


**CN? number of atoms per unit cell?**



## Perovskite Structure ( $\text{CaTiO}_3$ )

Perovskite structure is composed by five simple cubes of Ba, Ti,  $\text{O}_\text{I}$ ,  $\text{O}_\text{II}$  and  $\text{O}_\text{III}$ .



Typical materials:  **$\text{BaTiO}_3$ ,  $\text{PbZrO}_3$ ,  $\text{LiNbO}_3$ ,  $\text{LiTaO}_3$ ...**

## Summary of Some Common Ionic Crystal Structures

Structure name	Structure type	Lattice	CN Cation anion		Examples
Rock salt (NaCl)	AX	FCC	6	6	NaCl, MgO, FeO
Cesium chloride	AX	Simple cubic	8	8	CeCl
Zinc blende	AX	FCC	4	4	ZnS, SiC
Perovskite	ABX <sub>3</sub>	Simple cubic	12(A)6(B), 6		BaTiO <sub>3</sub> , SrZrO <sub>3</sub>
Spinel	AB <sub>2</sub> X <sub>4</sub>	FCC	4(A)6(B), 4		MgAl <sub>2</sub> O <sub>4</sub> , FeAl <sub>2</sub> O <sub>4</sub>

## Metals

- ★ Cubic (SC, FCC, BCC)
- ★ Hexagonal Closed-Packed Structure (HCP)

## Semiconductor

- ★ Diamond Structure (Si, C, Ge)
- ★ Zinc Blende (ZnS)

## Ceramics

- ★ Cesium Chloride Structure (CsCl)
- ★ Sodium Chloride Structure (NaCl)—rock salt structure
- ★ Perovskite Structure ( $\text{CaTiO}_3$ )