

Chapter 4: General Vector Spaces

Elementary Linear Algebra (Anton & Rorres,
11th Edition)

4.1 Real Vector Spaces

Definition of a real vector space

Axioms of vector spaces

Examples of vector spaces

Non-examples

Applications

4.1 Real Vector Spaces

Definition of a real vector space (Detail 1)

DEFINITION 1 Let V be an arbitrary nonempty set of objects on which two operations are defined: addition, and multiplication by numbers called *scalars*. By *addition* we mean a rule for associating with each pair of objects \mathbf{u} and \mathbf{v} in V an object $\mathbf{u} + \mathbf{v}$, called the *sum* of \mathbf{u} and \mathbf{v} ; by *scalar multiplication* we mean a rule for associating with each scalar k and each object \mathbf{u} in V an object $k\mathbf{u}$, called the *scalar multiple* of \mathbf{u} by k . If the following axioms are satisfied by all objects \mathbf{u} , \mathbf{v} , \mathbf{w} in V and all scalars k and m , then we call V a *vector space* and we call the objects in V *vectors*.

1. If \mathbf{u} and \mathbf{v} are objects in V , then $\mathbf{u} + \mathbf{v}$ is in V .
2. $\mathbf{u} + \mathbf{v} = \mathbf{v} + \mathbf{u}$
3. $\mathbf{u} + (\mathbf{v} + \mathbf{w}) = (\mathbf{u} + \mathbf{v}) + \mathbf{w}$
4. There is an object $\mathbf{0}$ in V , called a *zero vector* for V , such that $\mathbf{0} + \mathbf{u} = \mathbf{u} + \mathbf{0} = \mathbf{u}$ for all \mathbf{u} in V .
5. For each \mathbf{u} in V , there is an object $-\mathbf{u}$ in V , called a *negative* of \mathbf{u} , such that $\mathbf{u} + (-\mathbf{u}) = (-\mathbf{u}) + \mathbf{u} = \mathbf{0}$.
6. If k is any scalar and \mathbf{u} is any object in V , then $k\mathbf{u}$ is in V .
7. $k(\mathbf{u} + \mathbf{v}) = k\mathbf{u} + k\mathbf{v}$
8. $(k + m)\mathbf{u} = k\mathbf{u} + m\mathbf{u}$
9. $k(m\mathbf{u}) = (km)(\mathbf{u})$
10. $1\mathbf{u} = \mathbf{u}$

4.1 Real Vector Spaces

Non-examples (Detail 2)

► EXAMPLE 7 A Set That Is Not a Vector Space

Let $V = R^2$ and define addition and scalar multiplication operations as follows: If $\mathbf{u} = (u_1, u_2)$ and $\mathbf{v} = (v_1, v_2)$, then define

$$\mathbf{u} + \mathbf{v} = (u_1 + v_1, u_2 + v_2)$$

and if k is any real number, then define

$$k\mathbf{u} = (ku_1, 0)$$

For example, if $\mathbf{u} = (2, 4)$, $\mathbf{v} = (-3, 5)$, and $k = 7$, then

$$\mathbf{u} + \mathbf{v} = (2 + (-3), 4 + 5) = (-1, 9)$$

$$k\mathbf{u} = 7\mathbf{u} = (7 \cdot 2, 0) = (14, 0)$$

The addition operation is the standard one from R^2 , but the scalar multiplication is not. In the exercises we will ask you to show that the first nine vector space axioms are satisfied. However, Axiom 10 fails to hold for certain vectors. For example, if $\mathbf{u} = (u_1, u_2)$ is such that $u_2 \neq 0$, then

$$1\mathbf{u} = 1(u_1, u_2) = (1 \cdot u_1, 0) = (u_1, 0) \neq \mathbf{u}$$

Thus, V is not a vector space with the stated operations. ◀

4.1 Real Vector Spaces

Applications (Detail 2)

► EXAMPLE 8 An Unusual Vector Space

Let V be the set of positive real numbers, let $\mathbf{u} = u$ and $\mathbf{v} = v$ be any vectors (i.e., positive real numbers) in V , and let k be any scalar. Define the operations on V to be

$$u + v = uv \quad [\text{Vector addition is numerical multiplication.}]$$

$$ku = u^k \quad [\text{Scalar multiplication is numerical exponentiation.}]$$

Thus, for example, $1 + 1 = 1$ and $(2)(1) = 1^2 = 1$ —strange indeed, but nevertheless the set V with these operations satisfies the ten vector space axioms and hence is a vector space. We will confirm Axioms 4, 5, and 7, and leave the others as exercises.

- Axiom 4—The zero vector in this space is the number 1 (i.e., $\mathbf{0} = 1$) since

$$u + 1 = u \cdot 1 = u$$

- Axiom 5—The negative of a vector u is its reciprocal (i.e., $-u = 1/u$) since

$$u + \frac{1}{u} = u \left(\frac{1}{u} \right) = 1 (= \mathbf{0})$$

- Axiom 7— $k(u + v) = (uv)^k = u^k v^k = (ku) + (kv)$. ◀

4.2 Subspaces

Definition of subspace

Criteria for a subspace

Examples of subspaces

Span of a set

Applications

4.2 Subspaces

Definition of subspace (Detail 1)

DEFINITION 1 A subset W of a vector space V is called a *subspace* of V if W is itself a vector space under the addition and scalar multiplication defined on V .

4.2 Subspaces

Definition of subspace
(Detail 2)

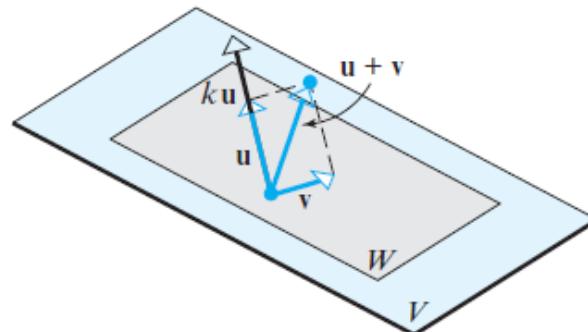
Axiom 1—Closure of W under addition

Axiom 4—Existence of a zero vector in W

Axiom 5—Existence of a negative in W for every vector in W

Axiom 6—Closure of W under scalar multiplication

so these must be verified to prove that it is a subspace of V . However, the next theorem shows that if Axiom 1 and Axiom 6 hold in W , then Axioms 4 and 5 hold in W as a consequence and hence need not be verified.



► **Figure 4.2.1** The vectors u and v are in W , but the vectors $u + v$ and ku are not.

4.2 Subspaces

- Criteria for a subspace (Detail 1)

THEOREM 4.2.1 *If W is a set of one or more vectors in a vector space V , then W is a subspace of V if and only if the following conditions are satisfied.*

- (a) *If \mathbf{u} and \mathbf{v} are vectors in W , then $\mathbf{u} + \mathbf{v}$ is in W .*
- (b) *If k is a scalar and \mathbf{u} is a vector in W , then $k\mathbf{u}$ is in W .*

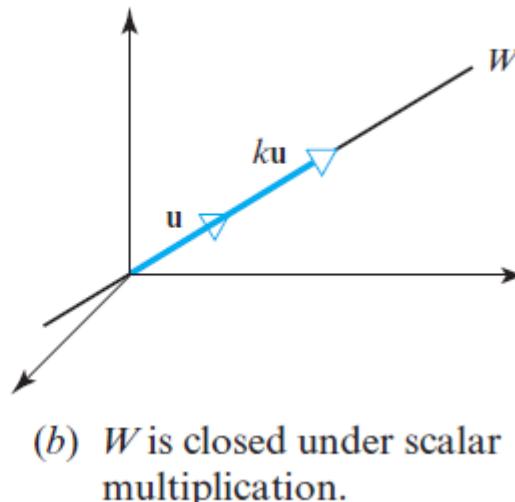
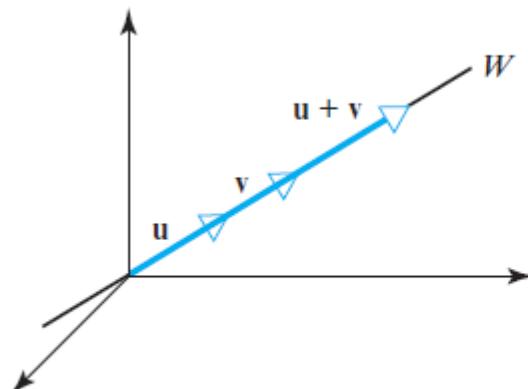
4.2 Subspaces

- Criteria for a subspace (Detail 2)

► EXAMPLE 2 Lines Through the Origin Are Subspaces of R^2 and of R^3

If W is a line through the origin of either R^2 or R^3 , then adding two vectors on the line or multiplying a vector on the line by a scalar produces another vector on the line, so W is closed under addition and scalar multiplication (see Figure 4.2.2 for an illustration in R^3).

4.2 Subspaces



4.2 Subspaces

Examples of subspaces (Detail 1)

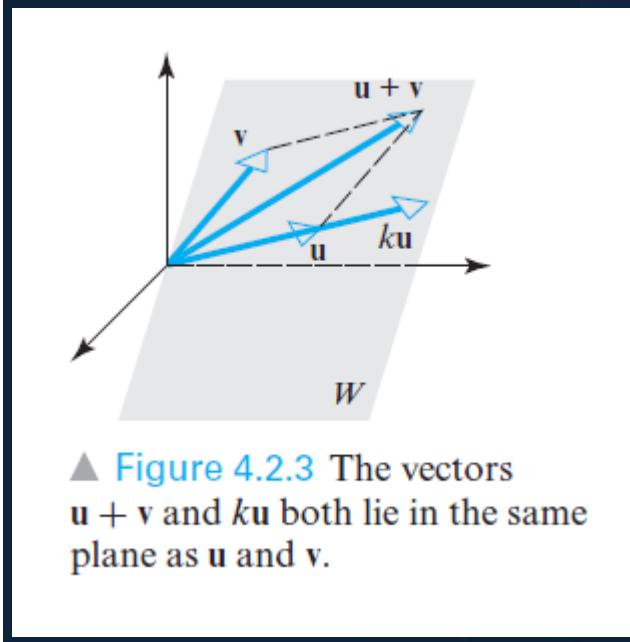
► EXAMPLE 3 Planes Through the Origin Are Subspaces of R^3

If \mathbf{u} and \mathbf{v} are vectors in a plane W through the origin of R^3 , then it is evident geometrically that $\mathbf{u} + \mathbf{v}$ and $k\mathbf{u}$ also lie in the same plane W for any scalar k (Figure 4.2.3). Thus W is closed under addition and scalar multiplication. ◀

Table 1 below gives a list of subspaces of R^2 and of R^3 that we have encountered thus far. We will see later that these are the only subspaces of R^2 and of R^3 .

Table 1

Subspaces of R^2	Subspaces of R^3
• $\{0\}$	• $\{0\}$
• Lines through the origin	• Lines through the origin
• R^2	• Planes through the origin
	• R^3



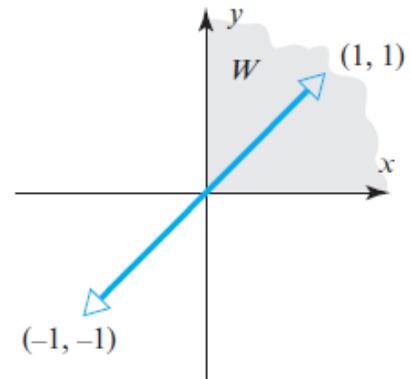
▲ Figure 4.2.3 The vectors $\mathbf{u} + \mathbf{v}$ and $k\mathbf{u}$ both lie in the same plane as \mathbf{u} and \mathbf{v} .

4.2 Subspaces

Examples of subspaces (Detail 2)

► EXAMPLE 4 A Subset of R^2 That Is Not a Subspace

Let W be the set of all points (x, y) in R^2 for which $x \geq 0$ and $y \geq 0$ (the shaded region in Figure 4.2.4). This set is not a subspace of R^2 because it is not closed under scalar multiplication. For example, $v = (1, 1)$ is a vector in W , but $(-1)v = (-1, -1)$ is not.



▲ Figure 4.2.4 W is not closed under scalar multiplication.

4.2 Subspaces

- Span of a set (Detail 1)

DEFINITION 2 If w is a vector in a vector space V , then w is said to be a *linear combination* of the vectors v_1, v_2, \dots, v_r in V if w can be expressed in the form

$$w = k_1v_1 + k_2v_2 + \cdots + k_rv_r \quad (2)$$

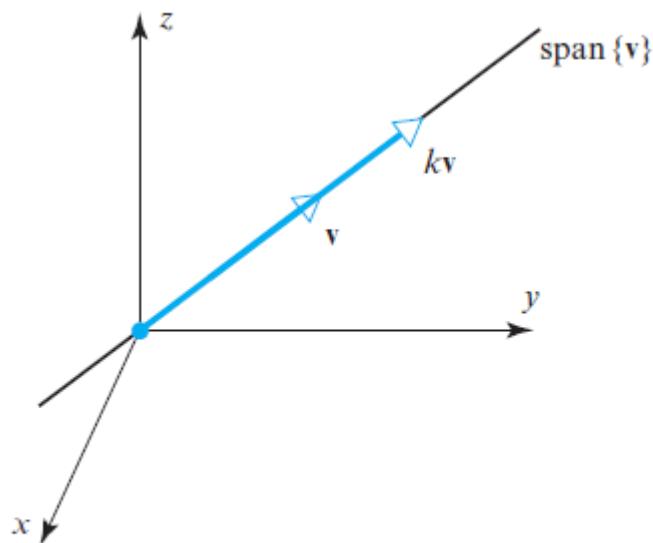
where k_1, k_2, \dots, k_r are scalars. These scalars are called the *coefficients* of the linear combination.

DEFINITION 3 If $S = \{w_1, w_2, \dots, w_r\}$ is a nonempty set of vectors in a vector space V , then the subspace W of V that consists of all possible linear combinations of the vectors in S is called the subspace of V *generated* by S , and we say that the vectors w_1, w_2, \dots, w_r *span* W . We denote this subspace as

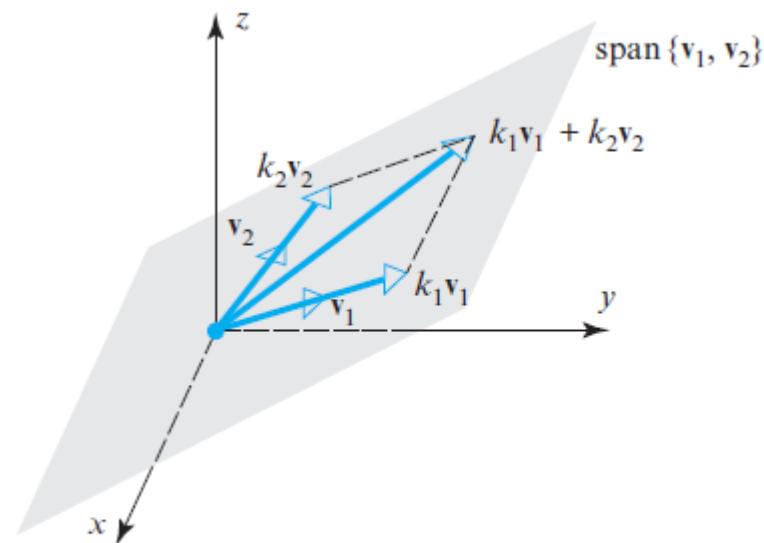
$$W = \text{span}\{w_1, w_2, \dots, w_r\} \quad \text{or} \quad W = \text{span}(S)$$

4.2 Subspaces

- Span of a set (Detail 2)



(a) $\text{Span}\{v\}$ is the line through the origin determined by v .



(b) $\text{Span}\{v_1, v_2\}$ is the plane through the origin determined by v_1 and v_2 .

4.2 Subspaces

Applications (Detail 1)

► EXAMPLE 15 Testing for Spanning

Determine whether the vectors $\mathbf{v}_1 = (1, 1, 2)$, $\mathbf{v}_2 = (1, 0, 1)$, and $\mathbf{v}_3 = (2, 1, 3)$ span the vector space R^3 .

Solution We must determine whether an arbitrary vector $\mathbf{b} = (b_1, b_2, b_3)$ in R^3 can be expressed as a linear combination

$$\mathbf{b} = k_1 \mathbf{v}_1 + k_2 \mathbf{v}_2 + k_3 \mathbf{v}_3$$

of the vectors \mathbf{v}_1 , \mathbf{v}_2 , and \mathbf{v}_3 . Expressing this equation in terms of components gives

$$(b_1, b_2, b_3) = k_1(1, 1, 2) + k_2(1, 0, 1) + k_3(2, 1, 3)$$

or

$$(b_1, b_2, b_3) = (k_1 + k_2 + 2k_3, k_1 + k_3, 2k_1 + k_2 + 3k_3)$$

or

$$k_1 + k_2 + 2k_3 = b_1$$

$$k_1 + k_3 = b_2$$

$$2k_1 + k_2 + 3k_3 = b_3$$

Thus, our problem reduces to ascertaining whether this system is consistent for all values of b_1 , b_2 , and b_3 . One way of doing this is to use parts (e) and (g) of Theorem 2.3.8, which state that the system is consistent if and only if its coefficient matrix

$$A = \begin{bmatrix} 1 & 1 & 2 \\ 1 & 0 & 1 \\ 2 & 1 & 3 \end{bmatrix}$$

has a nonzero determinant. But this is *not* the case here since $\det(A) = 0$ (verify), so \mathbf{v}_1 , \mathbf{v}_2 , and \mathbf{v}_3 do not span R^3 . ◀

4.2 Subspaces

Applications (Detail 2)

THEOREM 4.2.6 *If $S = \{v_1, v_2, \dots, v_r\}$ and $S' = \{w_1, w_2, \dots, w_k\}$ are nonempty sets of vectors in a vector space V , then*

$$\text{span}\{v_1, v_2, \dots, v_r\} = \text{span}\{w_1, w_2, \dots, w_k\}$$

if and only if each vector in S is a linear combination of those in S' , and each vector in S' is a linear combination of those in S .

Checking Attendances

Applications (Detail 2)

7. Which of the following are linear combinations of
 $\mathbf{u} = (0, -2, 2)$ and $\mathbf{v} = (1, 3, -1)$?
- (a) $(2, 2, 2)$ (b) $(0, 4, 5)$ (c) $(0, 0, 0)$

Got it — let's go through the problem in English step by step:

We are asked: Which of the following vectors are linear combinations of

$$u = (0, -2, 2), \quad v = (1, 3, -1)?$$

That means: find if there exist scalars a, b such that

$$au + bv = w$$

for each candidate vector w .

(a) $(2, 2, 2)$

$$a(0, -2, 2) + b(1, 3, -1) = (2, 2, 2)$$

Equations:

1. $b = 2$ (from first coordinate)
2. $-2a + 3b = 2 \implies -2a + 6 = 2 \implies a = 2$
3. $2a - b = 2 \implies 4 - 2 = 2$ ✓ satisfied

✓ Yes, it is a linear combination.

(b) $(0, 4, 5)$

$$a(0, -2, 2) + b(1, 3, -1) = (0, 4, 5)$$

Equations:

1. $b = 0$ (from first coordinate)
2. $-2a = 4 \implies a = -2$
3. $2a - b = 5 \implies -4 - 0 = -4 \neq 5 \times$ not satisfied

 No, not a linear combination.

(c) $(0, 0, 0)$

Choose $a = 0, b = 0$. Trivially satisfied.

 Yes, it is a linear combination.

 Final Answer

- (a) 
- (b) 
- (c) 



4.3 Linear Independence

Definition of linear independence

Dependent vs independent sets

Testing for independence

Examples

Applications

4.3 Linear Independence

- Definition of linear independence (Detail 1)

DEFINITION 1 If $S = \{v_1, v_2, \dots, v_r\}$ is a set of two or more vectors in a vector space V , then S is said to be a *linearly independent set* if no vector in S can be expressed as a linear combination of the others. A set that is not linearly independent is said to be *linearly dependent*.

THEOREM 4.3.1 A nonempty set $S = \{v_1, v_2, \dots, v_r\}$ in a vector space V is linearly independent if and only if the only coefficients satisfying the vector equation

$$k_1v_1 + k_2v_2 + \cdots + k_rv_r = \mathbf{0}$$

are $k_1 = 0, k_2 = 0, \dots, k_r = 0$.

4.3 Linear Independence

Definition of linear
independence (Detail 2)

► EXAMPLE 2 Linear Independence in R^3

Determine whether the vectors

$$\mathbf{v}_1 = (1, -2, 3), \quad \mathbf{v}_2 = (5, 6, -1), \quad \mathbf{v}_3 = (3, 2, 1) \quad (2)$$

are linearly independent or linearly dependent in R^3 .

Solution The linear independence or dependence of these vectors is determined by whether the vector equation

$$k_1\mathbf{v}_1 + k_2\mathbf{v}_2 + k_3\mathbf{v}_3 = \mathbf{0} \quad (3)$$

can be satisfied with coefficients that are not all zero. To see whether this is so, let us rewrite (3) in the component form

$$k_1(1, -2, 3) + k_2(5, 6, -1) + k_3(3, 2, 1) = (0, 0, 0)$$

Equating corresponding components on the two sides yields the homogeneous linear system

$$\begin{aligned} k_1 + 5k_2 + 3k_3 &= 0 \\ -2k_1 + 6k_2 + 2k_3 &= 0 \\ 3k_1 - k_2 + k_3 &= 0 \end{aligned} \quad (4)$$

Thus, our problem reduces to determining whether this system has nontrivial solutions. There are various ways to do this; one possibility is to simply solve the system, which yields

$$k_1 = -\frac{1}{2}t, \quad k_2 = -\frac{1}{2}t, \quad k_3 = t$$

Example

► EXAMPLE 3 Linear Independence in R^4

Determine whether the vectors

$$\mathbf{v}_1 = (1, 2, 2, -1), \quad \mathbf{v}_2 = (4, 9, 9, -4), \quad \mathbf{v}_3 = (5, 8, 9, -5)$$

in R^4 are linearly dependent or linearly independent.

Solution The linear independence or linear dependence of these vectors is determined by whether there exist nontrivial solutions of the vector equation

$$k_1\mathbf{v}_1 + k_2\mathbf{v}_2 + k_3\mathbf{v}_3 = \mathbf{0}$$

or, equivalently, of

$$k_1(1, 2, 2, -1) + k_2(4, 9, 9, -4) + k_3(5, 8, 9, -5) = (0, 0, 0, 0)$$

Equating corresponding components on the two sides yields the homogeneous linear system

$$k_1 + 4k_2 + 5k_3 = 0$$

$$2k_1 + 9k_2 + 8k_3 = 0$$

$$2k_1 + 9k_2 + 9k_3 = 0$$

$$-k_1 - 4k_2 - 5k_3 = 0$$

We leave it for you to show that this system has only the trivial solution

$$k_1 = 0, \quad k_2 = 0, \quad k_3 = 0$$

from which you can conclude that \mathbf{v}_1 , \mathbf{v}_2 , and \mathbf{v}_3 are linearly independent.

4.3 Linear Independence

Dependent vs
independent sets (Detail
1)

► EXAMPLE 5 Linear Independence of Polynomials

Determine whether the polynomials

$$p_1 = 1 - x, \quad p_2 = 5 + 3x - 2x^2, \quad p_3 = 1 + 3x - x^2$$

are linearly dependent or linearly independent in P_2 .

Solution The linear independence or dependence of these vectors is determined by whether the vector equation

$$k_1 p_1 + k_2 p_2 + k_3 p_3 = \mathbf{0} \quad (7)$$

can be satisfied with coefficients that are not all zero. To see whether this is so, let us rewrite (7) in its polynomial form

$$k_1(1 - x) + k_2(5 + 3x - 2x^2) + k_3(1 + 3x - x^2) = 0 \quad (8)$$

or, equivalently, as

$$(k_1 + 5k_2 + k_3) + (-k_1 + 3k_2 + 3k_3)x + (-2k_2 - k_3)x^2 = 0$$

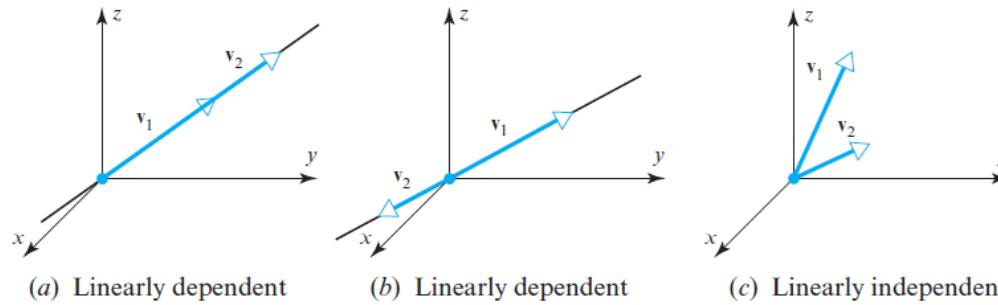
Since this equation must be satisfied by all x in $(-\infty, \infty)$, each coefficient must be zero (as explained in the previous example). Thus, the linear dependence or independence of the given polynomials hinges on whether the following linear system has a nontrivial solution:

$$\begin{aligned} k_1 + 5k_2 + k_3 &= 0 \\ -k_1 + 3k_2 + 3k_3 &= 0 \\ -2k_2 - k_3 &= 0 \end{aligned} \quad (9)$$

We leave it for you to show that this linear system has nontrivial solutions either by solving it directly or by showing that the coefficient matrix has determinant zero. Thus, the set $\{p_1, p_2, p_3\}$ is linearly dependent. ◀

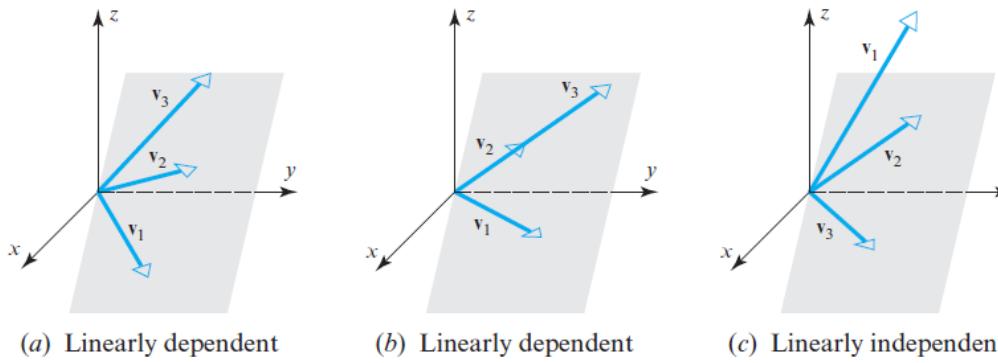
4.3 Linear Independence

Dependent vs
independent sets (Detail
2)



► Figure 4.3.3

- Three vectors in \mathbb{R}^3 are linearly independent if and only if they do not lie in the same plane when they have their initial points at the origin. Otherwise at least one would be a linear combination of the other two (Figure 4.3.4).



► Figure 4.3.4

Exercise 1

1. Explain why the following form linearly dependent sets of vectors. (Solve this problem by inspection.)
 - (a) $\mathbf{u}_1 = (-1, 2, 4)$ and $\mathbf{u}_2 = (5, -10, -20)$ in R^3
 - (b) $\mathbf{u}_1 = (3, -1)$, $\mathbf{u}_2 = (4, 5)$, $\mathbf{u}_3 = (-4, 7)$ in R^2
 - (c) $\mathbf{p}_1 = 3 - 2x + x^2$ and $\mathbf{p}_2 = 6 - 4x + 2x^2$ in P_2

Solving

(a) $u_1 = (-1, 2, 4)$, $u_2 = (5, -10, -20)$ in \mathbb{R}^3 .

$u_2 = -5 u_1$. One vector is a scalar multiple of the other \Rightarrow dependent.

(b) $u_1 = (3, -1)$, $u_2 = (4, 5)$, $u_3 = (-4, 7)$ in \mathbb{R}^2 .

There are 3 vectors in a 2-dimensional space; any such set must be linearly dependent (max independent vectors in \mathbb{R}^2 is 2).

(c) $p_1 = 3 - 2x + x^2$, $p_2 = 6 - 4x + 2x^2$ in P_2 .

$p_2 = 2 p_1$. One polynomial is a scalar multiple of the other \Rightarrow dependent.

4.4 Coordinates and Basis

Definition of a basis

Coordinate representation

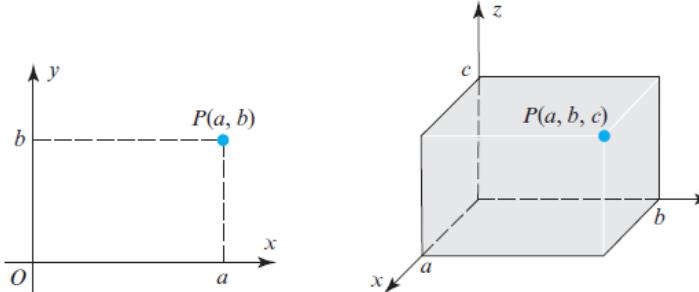
Standard basis in \mathbb{R}^n

Examples of bases

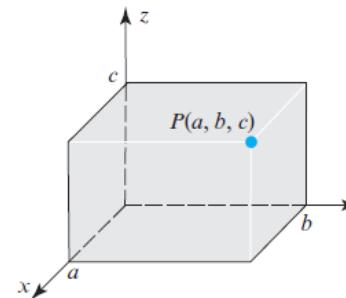
Applications

4.4 Coordinates and Basis

Definition of a basis
(Detail 1)

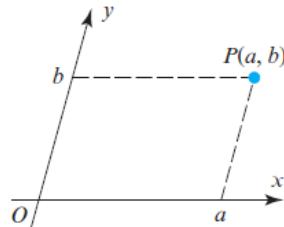


Coordinates of P in a rectangular coordinate system in 2-space.

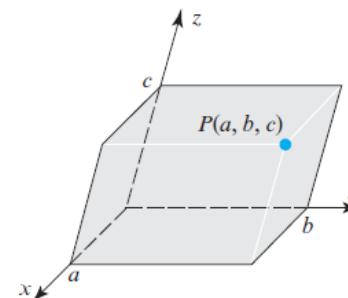


Coordinates of P in a rectangular coordinate system in 3-space.

► Figure 4.4.1



Coordinates of P in a nonrectangular coordinate system in 2-space.



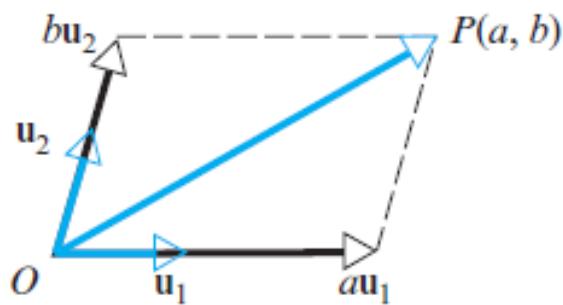
Coordinates of P in a nonrectangular coordinate system in 3-space.

► Figure 4.4.2

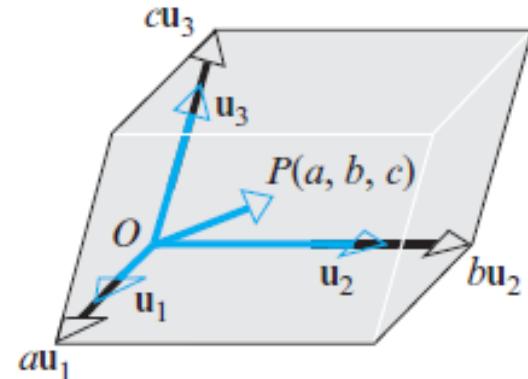
4.4 Coordinates and Basis

Definition of a basis
(Detail 2)

$$\overrightarrow{OP} = a\mathbf{u}_1 + b\mathbf{u}_2 \quad \text{and} \quad \overrightarrow{OP} = a\mathbf{u}_1 + b\mathbf{u}_2 + c\mathbf{u}_3$$



► Figure 4.4.3



4.4 Coordinates and Basis

Coordinate
representation (Detail 1)

DEFINITION 1 If $S = \{v_1, v_2, \dots, v_n\}$ is a set of vectors in a finite-dimensional vector space V , then S is called a *basis* for V if:

- (a) S spans V .
- (b) S is linearly independent.

4.4 Coordinates and Basis

Coordinate representation (Detail 2)

► EXAMPLE 1 The Standard Basis for R^n

Recall from Example 11 of Section 4.2 that the standard unit vectors

$$\mathbf{e}_1 = (1, 0, 0, \dots, 0), \quad \mathbf{e}_2 = (0, 1, 0, \dots, 0), \dots, \quad \mathbf{e}_n = (0, 0, 0, \dots, 1)$$

span R^n and from Example 1 of Section 4.3 that they are linearly independent. Thus, they form a basis for R^n that we call the *standard basis for R^n* . In particular,

$$\mathbf{i} = (1, 0, 0), \quad \mathbf{j} = (0, 1, 0), \quad \mathbf{k} = (0, 0, 1)$$

is the standard basis for R^3 .

► EXAMPLE 2 The Standard Basis for P_n

Show that $S = \{1, x, x^2, \dots, x^n\}$ is a basis for the vector space P_n of polynomials of degree n or less.

Solution We must show that the polynomials in S are linearly independent and span P_n . Let us denote these polynomials by

$$\mathbf{p}_0 = 1, \quad \mathbf{p}_1 = x, \quad \mathbf{p}_2 = x^2, \dots, \quad \mathbf{p}_n = x^n$$

We showed in Example 13 of Section 4.2 that these vectors span P_n and in Example 4 of Section 4.3 that they are linearly independent. Thus, they form a basis for P_n that we call the *standard basis for P_n* .

4.4 Coordinates and Basis

Standard basis in \mathbb{R}^n
(Detail 1)

► EXAMPLE 3 Another Basis for \mathbb{R}^3

Show that the vectors $\mathbf{v}_1 = (1, 2, 1)$, $\mathbf{v}_2 = (2, 9, 0)$, and $\mathbf{v}_3 = (3, 3, 4)$ form a basis for \mathbb{R}^3 .

Solution We must show that these vectors are linearly independent and span \mathbb{R}^3 . To prove linear independence we must show that the vector equation

$$c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + c_3\mathbf{v}_3 = \mathbf{0} \quad (1)$$

has only the trivial solution; and to prove that the vectors span \mathbb{R}^3 we must show that every vector $\mathbf{b} = (b_1, b_2, b_3)$ in \mathbb{R}^3 can be expressed as

$$c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + c_3\mathbf{v}_3 = \mathbf{b} \quad (2)$$

By equating corresponding components on the two sides, these two equations can be expressed as the linear systems

$$\begin{array}{ll} c_1 + 2c_2 + 3c_3 = 0 & c_1 + 2c_2 + 3c_3 = b_1 \\ 2c_1 + 9c_2 + 3c_3 = 0 & \text{and} \quad 2c_1 + 9c_2 + 3c_3 = b_2 \\ c_1 + 4c_3 = 0 & c_1 + 4c_3 = b_3 \end{array} \quad (3)$$

(verify). Thus, we have reduced the problem to showing that in (3) the homogeneous system has only the trivial solution and that the nonhomogeneous system is consistent for all values of b_1 , b_2 , and b_3 . But the two systems have the same coefficient matrix

$$A = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 9 & 3 \\ 1 & 0 & 4 \end{bmatrix}$$

so it follows from parts (b), (e), and (g) of Theorem 2.3.8 that we can prove both results at the same time by showing that $\det(A) \neq 0$. We leave it for you to confirm that $\det(A) = -1$, which proves that the vectors \mathbf{v}_1 , \mathbf{v}_2 , and \mathbf{v}_3 form a basis for \mathbb{R}^3 .

Coordinate

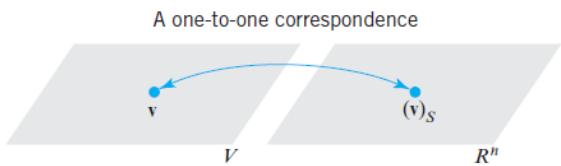
DEFINITION 2 If $S = \{v_1, v_2, \dots, v_n\}$ is a basis for a vector space V , and

$$v = c_1v_1 + c_2v_2 + \cdots + c_nv_n$$

is the expression for a vector v in terms of the basis S , then the scalars c_1, c_2, \dots, c_n are called the *coordinates* of v relative to the basis S . The vector (c_1, c_2, \dots, c_n) in \mathbb{R}^n constructed from these coordinates is called the *coordinate vector of v relative to S* ; it is denoted by

$$(v)_S = (c_1, c_2, \dots, c_n) \tag{6}$$

Coordinate



► Figure 4.4.6

► EXAMPLE 9 Coordinates in R^3

- (a) We showed in Example 3 that the vectors

$$v_1 = (1, 2, 1), \quad v_2 = (2, 9, 0), \quad v_3 = (3, 3, 4)$$

form a basis for R^3 . Find the coordinate vector of $v = (5, -1, 9)$ relative to the basis $S = \{v_1, v_2, v_3\}$.

- (b) Find the vector v in R^3 whose coordinate vector relative to S is $(v)_S = (-1, 3, 2)$.

Solution (a) To find $(v)_S$ we must first express v as a linear combination of the vectors in S ; that is, we must find values of c_1, c_2 , and c_3 such that

$$v = c_1 v_1 + c_2 v_2 + c_3 v_3$$

► EXAMPLE 9 Coordinates in R^3

(a) We showed in Example 3 that the vectors

$$\mathbf{v}_1 = (1, 2, 1), \quad \mathbf{v}_2 = (2, 9, 0), \quad \mathbf{v}_3 = (3, 3, 4)$$

form a basis for R^3 . Find the coordinate vector of $\mathbf{v} = (5, -1, 9)$ relative to the basis $S = \{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$.

(b) Find the vector \mathbf{v} in R^3 whose coordinate vector relative to S is $(\mathbf{v})_S = (-1, 3, 2)$.

Solution (a) To find $(\mathbf{v})_S$ we must first express \mathbf{v} as a linear combination of the vectors in S ; that is, we must find values of c_1 , c_2 , and c_3 such that

$$\mathbf{v} = c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + c_3\mathbf{v}_3$$

or, in terms of components,

$$(5, -1, 9) = c_1(1, 2, 1) + c_2(2, 9, 0) + c_3(3, 3, 4)$$

Equating corresponding components gives

$$c_1 + 2c_2 + 3c_3 = 5$$

$$2c_1 + 9c_2 + 3c_3 = -1$$

$$c_1 + 4c_3 = 9$$

Solving this system we obtain $c_1 = 1$, $c_2 = -1$, $c_3 = 2$ (verify). Therefore,

$$(\mathbf{v})_S = (1, -1, 2)$$

Solution (b) Using the definition of $(\mathbf{v})_S$, we obtain

$$\mathbf{v} = (-1)\mathbf{v}_1 + 3\mathbf{v}_2 + 2\mathbf{v}_3$$

$$= (-1)(1, 2, 1) + 3(2, 9, 0) + 2(3, 3, 4) = (11, 31, 7) \quad \blacktriangleleft$$

Example:

4.5 Dimension

Definition of dimension

Finite vs infinite dimension

Dimension of subspaces

Examples

Applications

4.5 Dimension

Definition of dimension
(Detail 1)

DEFINITION 1 The *dimension* of a finite-dimensional vector space V is denoted by $\dim(V)$ and is defined to be the number of vectors in a basis for V . In addition, the zero vector space is defined to have dimension zero.

► EXAMPLE 1 Dimensions of Some Familiar Vector Spaces

$$\dim(R^n) = n \quad [\text{The standard basis has } n \text{ vectors.}]$$

$$\dim(P_n) = n + 1 \quad [\text{The standard basis has } n + 1 \text{ vectors.}]$$

$$\dim(M_{mn}) = mn \quad [\text{The standard basis has } mn \text{ vectors.}]$$

4.5 Dimension

► EXAMPLE 3 Dimension of a Solution Space

Find a basis for and the dimension of the solution space of the homogeneous system

$$\begin{aligned}x_1 + 3x_2 - 2x_3 &+ 2x_5 = 0 \\2x_1 + 6x_2 - 5x_3 - 2x_4 + 4x_5 - 3x_6 &= 0 \\5x_3 + 10x_4 &+ 15x_6 = 0 \\2x_1 + 6x_2 &+ 8x_4 + 4x_5 + 18x_6 = 0\end{aligned}$$

Solution In Example 6 of Section 1.2 we found the solution of this system to be

$$x_1 = -3r - 4s - 2t, \quad x_2 = r, \quad x_3 = -2s, \quad x_4 = s, \quad x_5 = t, \quad x_6 = 0$$

which can be written in vector form as

$$(x_1, x_2, x_3, x_4, x_5, x_6) = (-3r - 4s - 2t, r, -2s, s, t, 0)$$

$$(x_1, x_2, x_3, x_4, x_5, x_6) = r(-3, 1, 0, 0, 0, 0) + s(-4, 0, -2, 1, 0, 0) + t(-2, 0, 0, 0, 1, 0)$$

This shows that the vectors

$$\mathbf{v}_1 = (-3, 1, 0, 0, 0, 0), \quad \mathbf{v}_2 = (-4, 0, -2, 1, 0, 0), \quad \mathbf{v}_3 = (-2, 0, 0, 0, 1, 0)$$

span the solution space. We leave it for you to check that these vectors are linearly independent by showing that none of them is a linear combination of the other two (but see the remark that follows). Thus, the solution space has dimension 3. ◀

Exercise 1:

► In Exercises 1–6, find a basis for the solution space of the homogeneous linear system, and find the dimension of that space.



$$1. \quad x_1 + x_2 - x_3 = 0$$

$$-2x_1 - x_2 + 2x_3 = 0$$

$$-x_1 + x_3 = 0$$

$$2. \quad 3x_1 + x_2 + x_3 + x_4 = 0$$

$$5x_1 - x_2 + x_3 - x_4 = 0$$

$$3. \quad 2x_1 + x_2 + 3x_3 = 0$$

$$x_1 + 5x_3 = 0$$

$$x_2 + x_3 = 0$$

$$4. \quad x_1 - 4x_2 + 3x_3 - x_4 = 0$$

$$2x_1 - 8x_2 + 6x_3 - 2x_4 = 0$$

$$5. \quad x_1 - 3x_2 + x_3 = 0$$

$$2x_1 - 6x_2 + 2x_3 = 0$$

$$3x_1 - 9x_2 + 3x_3 = 0$$

$$6. \quad x + y + z = 0$$

$$3x + 2y - 2z = 0$$

$$4x + 3y - z = 0$$

$$6x + 5y + z = 0$$

4.6 Change of Basis

Concept of basis transformation

Transition matrices

Examples of change of basis

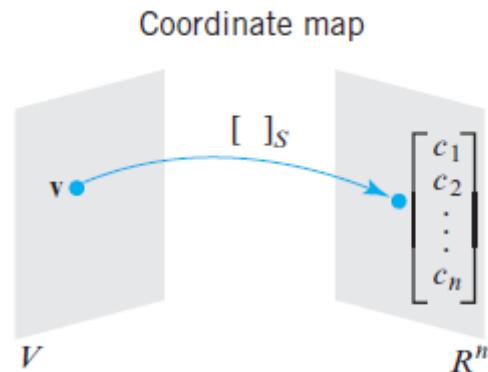
Applications in geometry

Applications in computer graphics

4.6 Change of Basis

- Concept of basis transformation (Detail 1)

The Change-of-Basis Problem If v is a vector in a finite-dimensional vector space V , and if we change the basis for V from a basis B to a basis B' , how are the coordinate vectors $[v]_B$ and $[v]_{B'}$ related?



▲ Figure 4.6.1
Change of Basis

4.6 Change of Basis

- Concept of basis transformation (Detail 2)

The Change-of-Basis Problem If \mathbf{v} is a vector in a finite-dimensional vector space V , and if we change the basis for V from a basis B to a basis B' , how are the coordinate vectors $[\mathbf{v}]_B$ and $[\mathbf{v}]_{B'}$ related?

For simplicity, we will solve this problem for two-dimensional spaces. The solution for n -dimensional spaces is similar. Let

$$B = \{\mathbf{u}_1, \mathbf{u}_2\} \quad \text{and} \quad B' = \{\mathbf{u}'_1, \mathbf{u}'_2\}$$

be the old and new bases, respectively. We will need the coordinate vectors for the new basis vectors relative to the old basis. Suppose they are

$$[\mathbf{u}'_1]_B = \begin{bmatrix} a \\ b \end{bmatrix} \quad \text{and} \quad [\mathbf{u}'_2]_B = \begin{bmatrix} c \\ d \end{bmatrix} \quad (3)$$

That is,

$$\begin{aligned} \mathbf{u}'_1 &= a\mathbf{u}_1 + b\mathbf{u}_2 \\ \mathbf{u}'_2 &= c\mathbf{u}_1 + d\mathbf{u}_2 \end{aligned} \quad (4)$$

Now let \mathbf{v} be any vector in V , and let

$$[\mathbf{v}]_{B'} = \begin{bmatrix} k_1 \\ k_2 \end{bmatrix} \quad (5)$$

4.6 Change of Basis

Transition matrices
(Detail 1)

be the new coordinate vector, so that

$$\mathbf{v} = k_1 \mathbf{u}'_1 + k_2 \mathbf{u}'_2 \quad (6)$$

In order to find the old coordinates of \mathbf{v} , we must express \mathbf{v} in terms of the old basis B . To do this, we substitute (4) into (6). This yields

$$\mathbf{v} = k_1(a\mathbf{u}_1 + b\mathbf{u}_2) + k_2(c\mathbf{u}_1 + d\mathbf{u}_2)$$

or

$$\mathbf{v} = (k_1a + k_2c)\mathbf{u}_1 + (k_1b + k_2d)\mathbf{u}_2$$

Thus, the old coordinate vector for \mathbf{v} is

$$[\mathbf{v}]_B = \begin{bmatrix} k_1a + k_2c \\ k_1b + k_2d \end{bmatrix}$$

4.6 Change of Basis

Transition matrices
(Detail 2)

which, by using (5), can be written as

$$[\mathbf{v}]_B = \begin{bmatrix} a & c \\ b & d \end{bmatrix} \begin{bmatrix} k_1 \\ k_2 \end{bmatrix} = \begin{bmatrix} a & c \\ b & d \end{bmatrix} [\mathbf{v}]_{B'}$$

This equation states that the old coordinate vector $[\mathbf{v}]_B$ results when we multiply the new coordinate vector $[\mathbf{v}]_{B'}$ on the left by the matrix

$$P = \begin{bmatrix} a & c \\ b & d \end{bmatrix}$$

Since the columns of this matrix are the coordinates of the new basis vectors relative to the old basis [see (3)], we have the following solution of the change-of-basis problem.

Solution of the Change-of-Basis Problem If we change the basis for a vector space V from an old basis $B = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n\}$ to a new basis $B' = \{\mathbf{u}'_1, \mathbf{u}'_2, \dots, \mathbf{u}'_n\}$, then for each vector \mathbf{v} in V , the old coordinate vector $[\mathbf{v}]_B$ is related to the new coordinate vector $[\mathbf{v}]_{B'}$ by the equation

$$[\mathbf{v}]_B = P[\mathbf{v}]_{B'} \tag{7}$$

where the columns of P are the coordinate vectors of the new basis vectors relative to the old basis; that is, the column vectors of P are

$$[\mathbf{u}'_1]_B, \quad [\mathbf{u}'_2]_B, \dots, \quad [\mathbf{u}'_n]_B \tag{8}$$

4.6 Change of Basis

Examples of change of basis (Detail 1)

► EXAMPLE 1 Finding Transition Matrices

Consider the bases $B = \{\mathbf{u}_1, \mathbf{u}_2\}$ and $B' = \{\mathbf{u}'_1, \mathbf{u}'_2\}$ for \mathbb{R}^2 , where

$$\mathbf{u}_1 = (1, 0), \quad \mathbf{u}_2 = (0, 1), \quad \mathbf{u}'_1 = (1, 1), \quad \mathbf{u}'_2 = (2, 1)$$

- Find the transition matrix $P_{B' \rightarrow B}$ from B' to B .
- Find the transition matrix $P_{B \rightarrow B'}$ from B to B' .

4.6 Change of Basis

Examples of change of basis (Detail 2)

Solution (a) Here the old basis vectors are \mathbf{u}'_1 and \mathbf{u}'_2 and the new basis vectors are \mathbf{u}_1 and \mathbf{u}_2 . We want to find the coordinate matrices of the old basis vectors \mathbf{u}'_1 and \mathbf{u}'_2 relative to the new basis vectors \mathbf{u}_1 and \mathbf{u}_2 . To do this, observe that

$$\begin{aligned}\mathbf{u}'_1 &= \mathbf{u}_1 + \mathbf{u}_2 \\ \mathbf{u}'_2 &= 2\mathbf{u}_1 + \mathbf{u}_2\end{aligned}$$

from which it follows that

$$[\mathbf{u}'_1]_B = \begin{bmatrix} 1 \\ 1 \end{bmatrix} \quad \text{and} \quad [\mathbf{u}'_2]_B = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$$

and hence that

$$P_{B' \rightarrow B} = \begin{bmatrix} 1 & 2 \\ 1 & 1 \end{bmatrix}$$

Solution (b) Here the old basis vectors are \mathbf{u}_1 and \mathbf{u}_2 and the new basis vectors are \mathbf{u}'_1 and \mathbf{u}'_2 . As in part (a), we want to find the coordinate matrices of the old basis vectors \mathbf{u}_1 and \mathbf{u}_2 relative to the new basis vectors \mathbf{u}'_1 and \mathbf{u}'_2 . To do this, observe that

$$\begin{aligned}\mathbf{u}_1 &= -\mathbf{u}'_1 + \mathbf{u}'_2 \\ \mathbf{u}_2 &= 2\mathbf{u}'_1 - \mathbf{u}'_2\end{aligned}$$

from which it follows that

$$[\mathbf{u}_1]_{B'} = \begin{bmatrix} -1 \\ 1 \end{bmatrix} \quad \text{and} \quad [\mathbf{u}_2]_{B'} = \begin{bmatrix} 2 \\ -1 \end{bmatrix}$$

and hence that

$$P_{B \rightarrow B'} = \begin{bmatrix} -1 & 2 \\ 1 & -1 \end{bmatrix} \quad \blacktriangleleft$$

4.6 Change of Basis

Applications in geometry
(Detail 1)

► EXAMPLE 2 Computing Coordinate Vectors

Let B and B' be the bases in Example 1. Use an appropriate formula to find $[\mathbf{v}]_B$ given that

$$[\mathbf{v}]_{B'} = \begin{bmatrix} -3 \\ 5 \end{bmatrix}$$

Solution To find $[\mathbf{v}]_B$ we need to make the transition from B' to B . It follows from Formula (11) and part (a) of Example 1 that

$$[\mathbf{v}]_B = P_{B' \rightarrow B} [\mathbf{v}]_{B'} = \begin{bmatrix} 1 & 2 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} -3 \\ 5 \end{bmatrix} = \begin{bmatrix} 7 \\ 2 \end{bmatrix} \quad \blacktriangleleft$$

4.6 Change of Basis

Applications in geometry
(Detail 2)

A Procedure for Computing $P_{B \rightarrow B'}$

Step 1. Form the matrix $[B' \mid B]$.

Step 2. Use elementary row operations to reduce the matrix in Step 1 to reduced row echelon form.

Step 3. The resulting matrix will be $[I \mid P_{B \rightarrow B'}]$.

Step 4. Extract the matrix $P_{B \rightarrow B'}$ from the right side of the matrix in Step 3.

This procedure is captured in the following diagram.

$$[\text{new basis} \mid \text{old basis}] \xrightarrow{\text{row operations}} [I \mid \text{transition from old to new}] \quad (14)$$

4.6 Change of Basis

Applications in computer graphics (Detail 1)

► EXAMPLE 3 Example 1 Revisited

In Example 1 we considered the bases $B = \{\mathbf{u}_1, \mathbf{u}_2\}$ and $B' = \{\mathbf{u}'_1, \mathbf{u}'_2\}$ for \mathbb{R}^2 , where

$$\mathbf{u}_1 = (1, 0), \quad \mathbf{u}_2 = (0, 1), \quad \mathbf{u}'_1 = (1, 1), \quad \mathbf{u}'_2 = (2, 1)$$

- Use Formula (14) to find the transition matrix from B' to B .
- Use Formula (14) to find the transition matrix from B to B' .

Solution (a) Here B' is the old basis and B is the new basis, so

$$[\text{new basis} \mid \text{old basis}] = \left[\begin{array}{cc|cc} 1 & 0 & 1 & 2 \\ 0 & 1 & 1 & 1 \end{array} \right]$$

4.6 Change of Basis

Applications in computer graphics (Detail 2)

Since the left side is already the identity matrix, no reduction is needed. We see by inspection that the transition matrix is

$$P_{B' \rightarrow B} = \begin{bmatrix} 1 & 2 \\ 1 & 1 \end{bmatrix}$$

which agrees with the result in Example 1.

Solution (b) Here B is the old basis and B' is the new basis, so

$$[\text{new basis} \mid \text{old basis}] = \left[\begin{array}{cc|cc} 1 & 2 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{array} \right]$$

By reducing this matrix, so the left side becomes the identity, we obtain (verify)

$$[I \mid \text{transition from old to new}] = \left[\begin{array}{cc|cc} 1 & 0 & -1 & 2 \\ 0 & 1 & 1 & -1 \end{array} \right]$$

so the transition matrix is

$$P_{B \rightarrow B'} = \begin{bmatrix} -1 & 2 \\ 1 & -1 \end{bmatrix}$$

which also agrees with the result in Example 1. 

4.7 Row Space, Column Space, and Null Space

Definition of row space

Definition of column space

Definition of null space

Rank of a matrix

Examples and applications

4.7 Row Space, Column Space, and Null Space

Definition of row space
(Detail 1)

DEFINITION 1 For an $m \times n$ matrix

$$A = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix}$$

the vectors

$$\mathbf{r}_1 = [a_{11} \quad a_{12} \quad \cdots \quad a_{1n}]$$

$$\mathbf{r}_2 = [a_{21} \quad a_{22} \quad \cdots \quad a_{2n}]$$

$$\vdots \qquad \vdots$$

$$\mathbf{r}_m = [a_{m1} \quad a_{m2} \quad \cdots \quad a_{mn}]$$

in R^n that are formed from the rows of A are called the *row vectors* of A , and the vectors

$$\mathbf{c}_1 = \begin{bmatrix} a_{11} \\ a_{21} \\ \vdots \\ a_{m1} \end{bmatrix}, \quad \mathbf{c}_2 = \begin{bmatrix} a_{12} \\ a_{22} \\ \vdots \\ a_{m2} \end{bmatrix}, \dots, \quad \mathbf{c}_n = \begin{bmatrix} a_{1n} \\ a_{2n} \\ \vdots \\ a_{mn} \end{bmatrix}$$

in R^m formed from the columns of A are called the *column vectors* of A .

4.7 Row Space, Column Space, and Null Space

Definition of row space
(Detail 2)

DEFINITION 2 If A is an $m \times n$ matrix, then the subspace of R^n spanned by the row vectors of A is called the *row space* of A , and the subspace of R^m spanned by the column vectors of A is called the *column space* of A . The solution space of the homogeneous system of equations $Ax = \mathbf{0}$, which is a subspace of R^n , is called the *null space* of A .

4.7 Row Space, Column Space, and Null Space

Definition of column space
(Detail 1)

THEOREM 4.7.1 *A system of linear equations $Ax = \mathbf{b}$ is consistent if and only if \mathbf{b} is in the column space of A .*

4.7 Row Space, Column Space, and Null Space

Definition of column space (Detail 2)

► EXAMPLE 2 A Vector \mathbf{b} in the Column Space of A

Let $A\mathbf{x} = \mathbf{b}$ be the linear system

$$\begin{bmatrix} -1 & 3 & 2 \\ 1 & 2 & -3 \\ 2 & 1 & -2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 1 \\ -9 \\ -3 \end{bmatrix}$$

Show that \mathbf{b} is in the column space of A by expressing it as a linear combination of the column vectors of A .

Solution Solving the system by Gaussian elimination yields (verify)

$$x_1 = 2, \quad x_2 = -1, \quad x_3 = 3$$

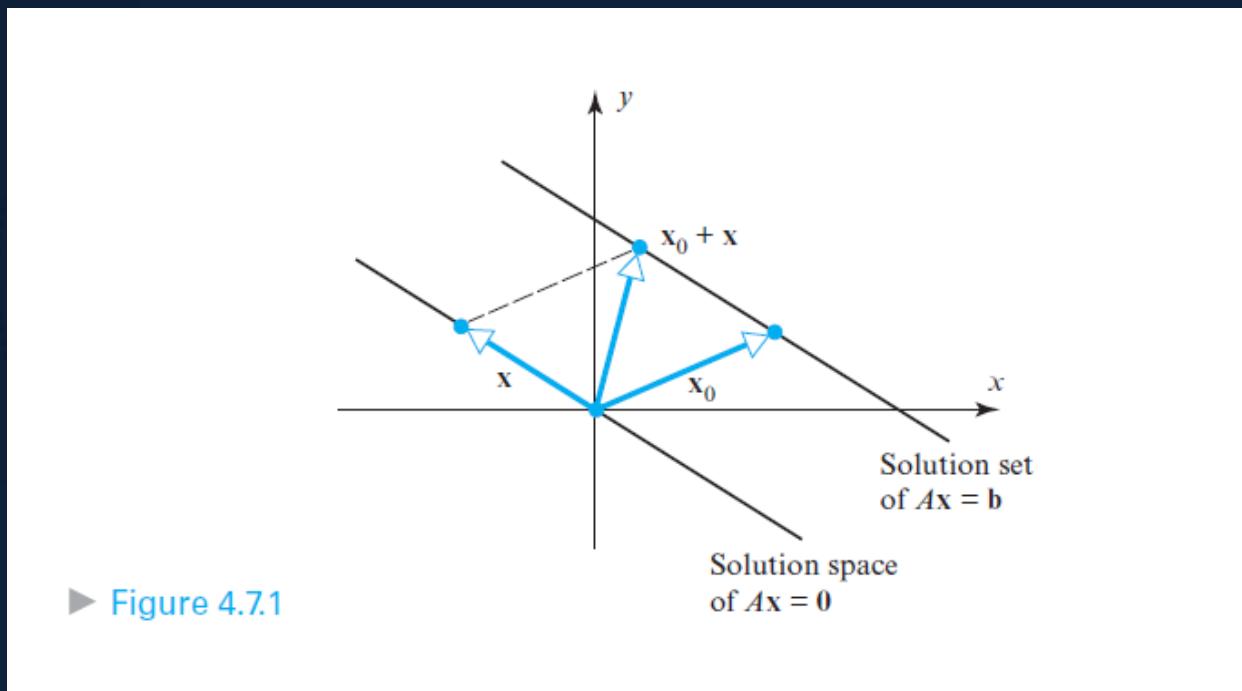
It follows from this and Formula (2) that

$$2 \begin{bmatrix} -1 \\ 1 \\ 2 \end{bmatrix} - \begin{bmatrix} 3 \\ 2 \\ 1 \end{bmatrix} + 3 \begin{bmatrix} 2 \\ -3 \\ -2 \end{bmatrix} = \begin{bmatrix} 1 \\ -9 \\ -3 \end{bmatrix} \quad \blacktriangleleft$$

Recall from Theorem 3.4.4 that the general solution of a consistent linear system $A\mathbf{x} = \mathbf{b}$ can be obtained by adding any specific solution of the system to the general solution of the corresponding homogeneous system $A\mathbf{x} = \mathbf{0}$. Keeping in mind that the null space of A is the same as the solution space of $A\mathbf{x} = \mathbf{0}$, we can rephrase that theorem in the following vector form.

4.7 Row Space, Column Space, and Null Space

Definition of null space (Detail
1)



4.7 Row Space, Column Space, and Null Space

Definition of null space (Detail
2)

THEOREM 4.7.3 *Elementary row operations do not change the null space of a matrix.*

The following theorem, whose proof is left as an exercise, is a companion to Theorem 4.7.3.

THEOREM 4.7.4 *Elementary row operations do not change the row space of a matrix.*

4.7 Row Space, Column Space, and Null Space

► EXAMPLE 4 Finding a Basis for the Null Space of a Matrix

Find a basis for the null space of the matrix

$$A = \begin{bmatrix} 1 & 3 & -2 & 0 & 2 & 0 \\ 2 & 6 & -5 & -2 & 4 & -3 \\ 0 & 0 & 5 & 10 & 0 & 15 \\ 2 & 6 & 0 & 8 & 4 & 18 \end{bmatrix}$$

Solution The null space of A is the solution space of the homogeneous linear system $Ax = 0$, which, as shown in Example 3, has the basis

$$\mathbf{v}_1 = \begin{bmatrix} -3 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{v}_2 = \begin{bmatrix} -4 \\ 0 \\ -2 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{v}_3 = \begin{bmatrix} -2 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$



Remark Observe that the basis vectors \mathbf{v}_1 , \mathbf{v}_2 , and \mathbf{v}_3 in the last example are the vectors that result by successively setting one of the parameters in the general solution equal to 1 and the others equal to 0.

4.7 Row Space, Column Space, and Null Space

Rank of a matrix (Detail 2)

► **EXAMPLE 5** Bases for the Row and Column Spaces of a Matrix in Row Echelon Form

Find bases for the row and column spaces of the matrix

$$R = \begin{bmatrix} 1 & -2 & 5 & 0 & 3 \\ 0 & 1 & 3 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

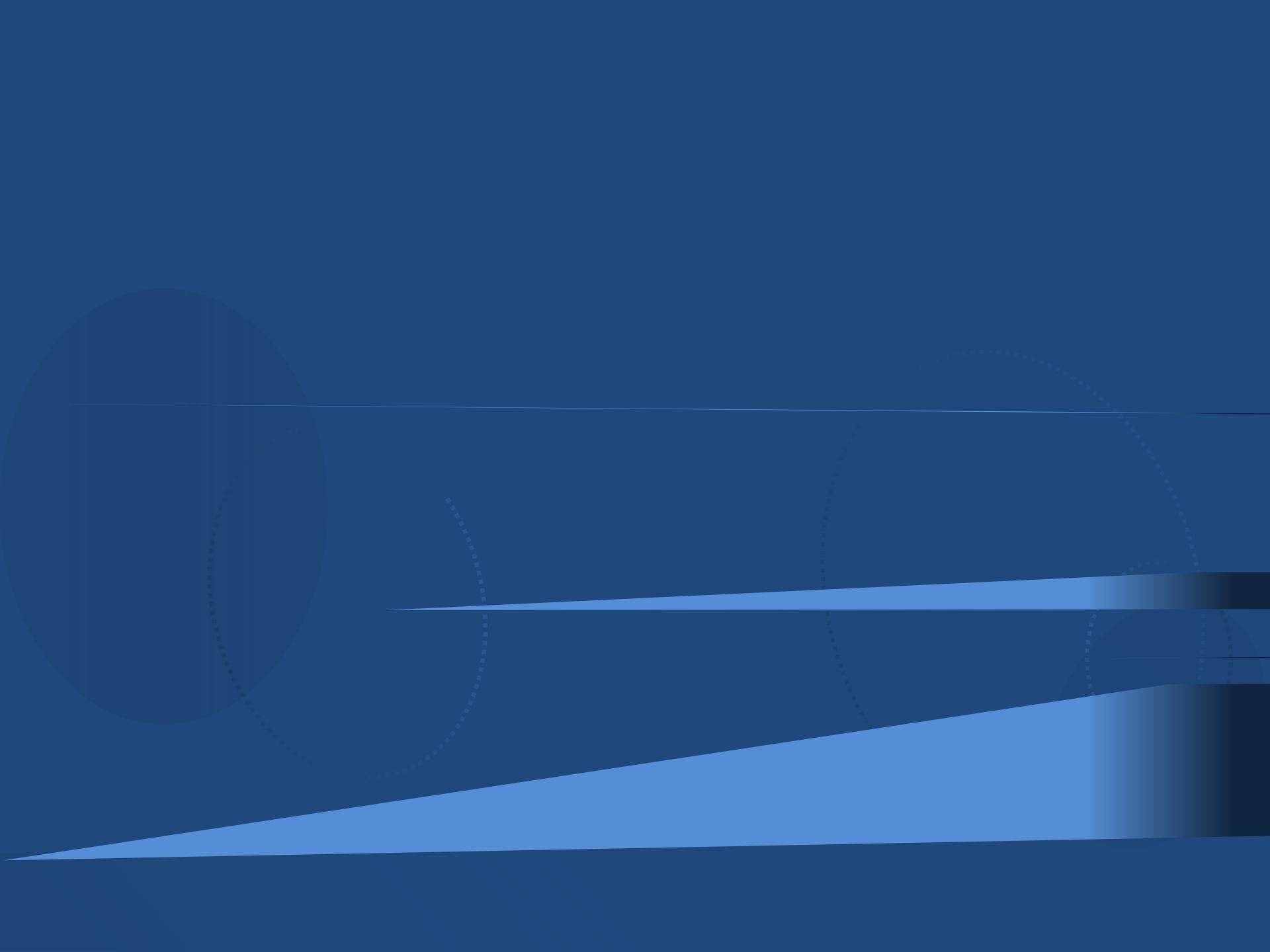
Solution Since the matrix R is in row echelon form, it follows from Theorem 4.7.5 that the vectors

$$\begin{aligned}\mathbf{r}_1 &= [1 \quad -2 \quad 5 \quad 0 \quad 3] \\ \mathbf{r}_2 &= [0 \quad 1 \quad 3 \quad 0 \quad 0] \\ \mathbf{r}_3 &= [0 \quad 0 \quad 0 \quad 1 \quad 0]\end{aligned}$$

form a basis for the row space of R , and the vectors

$$\mathbf{c}_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{c}_2 = \begin{bmatrix} -2 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{c}_4 = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$

form a basis for the column space of R .



4.7 Row Space, Column Space, and Null Space

Examples and applications (Detail 2)

► EXAMPLE 9 Basis for the Row Space of a Matrix

Find a basis for the row space of

$$A = \begin{bmatrix} 1 & -2 & 0 & 0 & 3 \\ 2 & -5 & -3 & -2 & 6 \\ 0 & 5 & 15 & 10 & 0 \\ 2 & 6 & 18 & 8 & 6 \end{bmatrix}$$

consisting entirely of row vectors from A .

Solution We will transpose A , thereby converting the row space of A into the column space of A^T ; then we will use the method of Example 7 to find a basis for the column space of A^T ; and then we will transpose again to convert column vectors back to row vectors.

Transposing A yields

$$A^T = \begin{bmatrix} 1 & 2 & 0 & 2 \\ -2 & -5 & 5 & 6 \\ 0 & -3 & 15 & 18 \\ 0 & -2 & 10 & 8 \\ 3 & 6 & 0 & 6 \end{bmatrix}$$

and then reducing this matrix to row echelon form we obtain

$$\begin{bmatrix} 1 & 2 & 0 & 2 \\ 0 & 1 & -5 & -10 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Solving

The first, second, and fourth columns contain the leading 1's, so the corresponding column vectors in A^T form a basis for the column space of A^T ; these are

$$\mathbf{c}_1 = \begin{bmatrix} 1 \\ -2 \\ 0 \\ 0 \\ 3 \end{bmatrix}, \quad \mathbf{c}_2 = \begin{bmatrix} 2 \\ -5 \\ -3 \\ -2 \\ 6 \end{bmatrix}, \quad \text{and} \quad \mathbf{c}_4 = \begin{bmatrix} 2 \\ 6 \\ 18 \\ 8 \\ 6 \end{bmatrix}$$

Transposing again and adjusting the notation appropriately yields the basis vectors

$$\mathbf{r}_1 = [1 \quad -2 \quad 0 \quad 0 \quad 3], \quad \mathbf{r}_2 = [2 \quad -5 \quad -3 \quad -2 \quad 6], \\ \mathbf{r}_4 = [2 \quad 6 \quad 18 \quad 8 \quad 6]$$

for the row space of A . 

Summary

Basis for the Space Spanned by a Set of Vectors

Step 1. Form the matrix A whose columns are the vectors in the set $S = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$.

Step 2. Reduce the matrix A to reduced row echelon form R .

Step 3. Denote the column vectors of R by $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k$.

Step 4. Identify the columns of R that contain the leading 1's. The corresponding column vectors of A form a basis for $\text{span}(S)$.

This completes the first part of the problem.

Step 5. Obtain a set of dependency equations for the column vectors $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_k$ of R by successively expressing each \mathbf{w}_i that does not contain a leading 1 of R as a linear combination of predecessors that do.

Step 6. In each dependency equation obtained in Step 5, replace the vector \mathbf{w}_i by the vector \mathbf{v}_i for $i = 1, 2, \dots, k$.

This completes the second part of the problem.

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Rank-nullity theorem

Fundamental theorem of linear algebra

Examples with matrices

Applications in solving systems

Applications in engineering

4.8 Rank, Nullity, and Fundamental Matrix Spaces

- Rank-nullity theorem (Detail 1)

DEFINITION 1 The common dimension of the row space and column space of a matrix A is called the *rank* of A and is denoted by $\text{rank}(A)$; the dimension of the null space of A is called the *nullity* of A and is denoted by $\text{nullity}(A)$.

$$\dim(\text{row space of } A) = \dim(\text{row space of } R)$$

$$\dim(\text{column space of } A) = \dim(\text{column space of } R)$$

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Rank-nullity theorem
(Detail 2)

► EXAMPLE 1 Rank and Nullity of a 4×6 Matrix

Find the rank and nullity of the matrix

$$A = \begin{bmatrix} -1 & 2 & 0 & 4 & 5 & -3 \\ 3 & -7 & 2 & 0 & 1 & 4 \\ 2 & -5 & 2 & 4 & 6 & 1 \\ 4 & -9 & 2 & -4 & -4 & 7 \end{bmatrix}$$

Solution The reduced row echelon form of A is

$$\begin{bmatrix} 1 & 0 & -4 & -28 & -37 & 13 \\ 0 & 1 & -2 & -12 & -16 & 5 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Fundamental theorem of linear algebra (Detail 1)

$$\begin{aligned}x_1 - 4x_3 - 28x_4 - 37x_5 + 13x_6 &= 0 \\x_2 - 2x_3 - 12x_4 - 16x_5 - 5x_6 &= 0\end{aligned}$$

Solving these equations for the leading variables yields

$$\begin{aligned}x_1 &= 4x_3 + 28x_4 + 37x_5 - 13x_6 \\x_2 &= 2x_3 + 12x_4 + 16x_5 - 5x_6\end{aligned}\tag{2}$$

from which we obtain the general solution

$$\begin{aligned}x_1 &= 4r + 28s + 37t - 13u \\x_2 &= 2r + 12s + 16t - 5u \\x_3 &= r \\x_4 &= s \\x_5 &= t \\x_6 &= u\end{aligned}$$

or in column vector form

$$\begin{bmatrix}x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6\end{bmatrix} = r \begin{bmatrix}4 \\ 2 \\ 1 \\ 0 \\ 0 \\ 0\end{bmatrix} + s \begin{bmatrix}28 \\ 12 \\ 0 \\ 1 \\ 0 \\ 0\end{bmatrix} + t \begin{bmatrix}37 \\ 16 \\ 0 \\ 0 \\ 1 \\ 0\end{bmatrix} + u \begin{bmatrix}-13 \\ -5 \\ 0 \\ 0 \\ 0 \\ 1\end{bmatrix}\tag{3}$$

Because the four vectors on the right side of (3) form a basis for the solution space, $\text{nullity}(A) = 4$.

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Fundamental theorem of
linear algebra (Detail 2)

THEOREM 4.8.2 Dimension Theorem for Matrices

If A is a matrix with n columns, then

$$\text{rank}(A) + \text{nullity}(A) = n \quad (4)$$

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Examples with matrices
(Detail 1)

► EXAMPLE 3 The Sum of Rank and Nullity

The matrix

$$A = \begin{bmatrix} -1 & 2 & 0 & 4 & 5 & -3 \\ 3 & -7 & 2 & 0 & 1 & 4 \\ 2 & -5 & 2 & 4 & 6 & 1 \\ 4 & -9 & 2 & -4 & -4 & 7 \end{bmatrix}$$

has 6 columns, so

$$\text{rank}(A) + \text{nullity}(A) = 6$$

This is consistent with Example 1, where we showed that

$$\text{rank}(A) = 2 \quad \text{and} \quad \text{nullity}(A) = 4 \quad \blacktriangleleft$$

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Examples with matrices
(Detail 2)

THEOREM 4.8.5 *If A is any matrix, then $\text{rank}(A) = \text{rank}(A^T)$.*

Proof

$$\text{rank}(A) = \dim(\text{row space of } A) = \dim(\text{column space of } A^T) = \text{rank}(A^T). \quad \blacktriangleleft$$

This result has some important implications. For example, if A is an $m \times n$ matrix, then applying Formula (4) to the matrix A^T and using the fact that this matrix has m columns yields

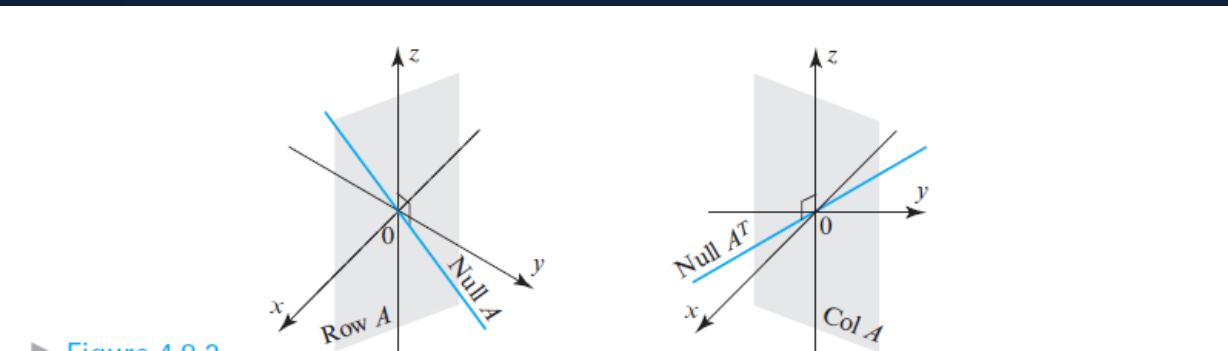
$$\text{rank}(A^T) + \text{nullity}(A^T) = m$$

which, by virtue of Theorem 4.8.5, can be rewritten as

$$\text{rank}(A) + \text{nullity}(A^T) = m \tag{5}$$

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Applications in solving systems (Detail 1)



► Figure 4.8.2

THEOREM 4.8.7 If A is an $m \times n$ matrix, then:

- The null space of A and the row space of A are orthogonal complements in \mathbb{R}^n .
- The null space of A^T and the column space of A are orthogonal complements in \mathbb{R}^m .

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Applications in solving systems (Detail 2)

THEOREM 4.8.8 Equivalent Statements

If A is an $n \times n$ matrix, then the following statements are equivalent.

- (a) A is invertible.
- (b) $Ax = \mathbf{0}$ has only the trivial solution.
- (c) The reduced row echelon form of A is I_n .
- (d) A is expressible as a product of elementary matrices.
- (e) $Ax = \mathbf{b}$ is consistent for every $n \times 1$ matrix \mathbf{b} .
- (f) $Ax = \mathbf{b}$ has exactly one solution for every $n \times 1$ matrix \mathbf{b} .
- (g) $\det(A) \neq 0$.
- (h) The column vectors of A are linearly independent.
- (i) The row vectors of A are linearly independent.
- (j) The column vectors of A span \mathbb{R}^n .
- (k) The row vectors of A span \mathbb{R}^n .
- (l) The column vectors of A form a basis for \mathbb{R}^n .
- (m) The row vectors of A form a basis for \mathbb{R}^n .
- (n) A has rank n .
- (o) A has nullity 0.
- (p) The orthogonal complement of the null space of A is \mathbb{R}^n .
- (q) The orthogonal complement of the row space of A is $\{\mathbf{0}\}$.

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Applications in engineering
(Detail 1)

THEOREM 4.8.9 *Let A be an $m \times n$ matrix.*

- (a) **(Overdetermined Case).** *If $m > n$, then the linear system $Ax = \mathbf{b}$ is inconsistent for at least one vector \mathbf{b} in R^n .*
- (b) **(Underdetermined Case).** *If $m < n$, then for each vector \mathbf{b} in R^m the linear system $Ax = \mathbf{b}$ is either inconsistent or has infinitely many solutions.*

4.8 Rank, Nullity, and Fundamental Matrix Spaces

Applications in engineering
(Detail 2)

► EXAMPLE 7 An Overdetermined System

The linear system

$$x_1 - 2x_2 = b_1$$

$$x_1 - x_2 = b_2$$

$$x_1 + x_2 = b_3$$

$$x_1 + 2x_2 = b_4$$

$$x_1 + 3x_2 = b_5$$

is overdetermined, so it cannot be consistent for all possible values of b_1, b_2, b_3, b_4 , and b_5 . Conditions under which the system is consistent can be obtained by solving the linear

system by Gauss–Jordan elimination. We leave it for you to show that the augmented matrix is row equivalent to

$$\left[\begin{array}{ccc|c} 1 & 0 & 2b_2 - b_1 \\ 0 & 1 & b_2 - b_1 \\ 0 & 0 & b_3 - 3b_2 + 2b_1 \\ 0 & 0 & b_4 - 4b_2 + 3b_1 \\ 0 & 0 & b_5 - 5b_2 + 4b_1 \end{array} \right] \quad (7)$$

Thus, the system is consistent if and only if b_1, b_2, b_3, b_4 , and b_5 satisfy the conditions

$$\begin{aligned} 2b_1 - 3b_2 + b_3 &= 0 \\ 3b_1 - 4b_2 + b_4 &= 0 \\ 4b_1 - 5b_2 + b_5 &= 0 \end{aligned}$$

Solving this homogeneous linear system yields

$$b_1 = 5r - 4s, \quad b_2 = 4r - 3s, \quad b_3 = 2r - s, \quad b_4 = r, \quad b_5 = s$$

where r and s are arbitrary. ◀

Remark The coefficient matrix for the given linear system in the last example has $n = 2$ columns, and it has rank $r = 2$ because there are two nonzero rows in its reduced row echelon form. This implies that when the system is consistent its general solution will contain $n - r = 0$ parameters; that is, the solution will be unique. With a moment's thought, you should be able to see that this is so from (7).

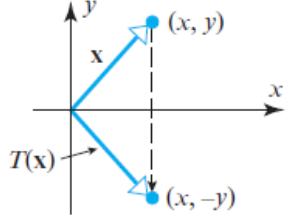
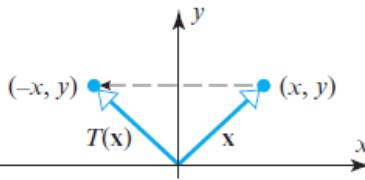
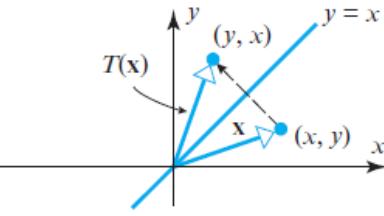
4.9 Basic Matrix Transformations in R^2 and R^3

- Geometric transformations in R^2
- Rotations, reflections, and scaling
- Transformations in R^3
- Examples of transformations
- Applications

4.9 Basic Matrix Transformations in \mathbb{R}^2 and \mathbb{R}^3

Geometric transformations in \mathbb{R}^2
(Detail 1)

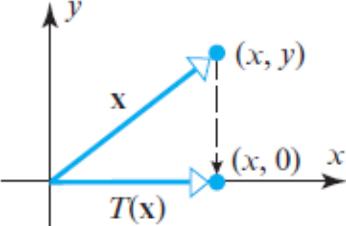
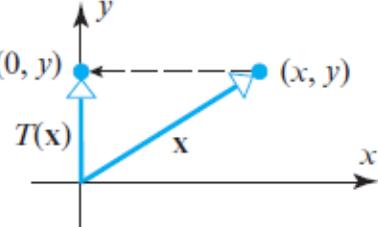
Table 1

Operator	Illustration	Images of e_1 and e_2	Standard Matrix
Reflection about the x -axis $T(x, y) = (x, -y)$		$T(e_1) = T(1, 0) = (1, 0)$ $T(e_2) = T(0, 1) = (0, -1)$	$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$
Reflection about the y -axis $T(x, y) = (-x, y)$		$T(e_1) = T(1, 0) = (-1, 0)$ $T(e_2) = T(0, 1) = (0, 1)$	$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$
Reflection about the line $y = x$ $T(x, y) = (y, x)$		$T(e_1) = T(1, 0) = (0, 1)$ $T(e_2) = T(0, 1) = (1, 0)$	$\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$

4.9 Basic Matrix Transformations in \mathbb{R}^2 and \mathbb{R}^3

Geometric transformations in \mathbb{R}^2
(Detail 2)

Table 3

Operator	Illustration	Images of e_1 and e_2	Standard Matrix
Orthogonal projection onto the x -axis $T(x, y) = (x, 0)$		$T(e_1) = T(1, 0) = (1, 0)$ $T(e_2) = T(0, 1) = (0, 0)$	$\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$
Orthogonal projection onto the y -axis $T(x, y) = (0, y)$		$T(e_1) = T(1, 0) = (0, 0)$ $T(e_2) = T(0, 1) = (0, 1)$	$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$

4.9 Basic Matrix Transformations in \mathbb{R}^2 and \mathbb{R}^3

Rotations, reflections,
and scaling (Detail 1)

Table 2

Operator	Illustration	Images of e_1, e_2, e_3	Standard Matrix
Reflection about the xy -plane $T(x, y, z) = (x, y, -z)$		$T(e_1) = T(1, 0, 0) = (1, 0, 0)$ $T(e_2) = T(0, 1, 0) = (0, 1, 0)$ $T(e_3) = T(0, 0, 1) = (0, 0, -1)$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$
Reflection about the xz -plane $T(x, y, z) = (x, -y, z)$		$T(e_1) = T(1, 0, 0) = (1, 0, 0)$ $T(e_2) = T(0, 1, 0) = (0, -1, 0)$ $T(e_3) = T(0, 0, 1) = (0, 0, 1)$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$
Reflection about the yz -plane $T(x, y, z) = (-x, y, z)$		$T(e_1) = T(1, 0, 0) = (-1, 0, 0)$ $T(e_2) = T(0, 1, 0) = (0, 1, 0)$ $T(e_3) = T(0, 0, 1) = (0, 0, 1)$	$\begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

4.9 Basic Matrix Transformations in \mathbb{R}^2 and \mathbb{R}^3

Rotations, reflections,
and scaling (Detail 2)

Table 6

Operator	Illustration	Rotation Equations	Standard Matrix
Counterclockwise rotation about the positive x -axis through an angle θ		$w_1 = x$ $w_2 = y \cos \theta - z \sin \theta$ $w_3 = y \sin \theta + z \cos \theta$	$\begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}$
Counterclockwise rotation about the positive y -axis through an angle θ		$w_1 = x \cos \theta + z \sin \theta$ $w_2 = y$ $w_3 = -x \sin \theta + z \cos \theta$	$\begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$
Counterclockwise rotation about the positive z -axis through an angle θ		$w_1 = x \cos \theta - y \sin \theta$ $w_2 = x \sin \theta + y \cos \theta$ $w_3 = z$	$\begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$

Exercise 2

14. Various advanced texts in linear algebra prove the following determinant criterion for rank: *The rank of a matrix A is r if and only if A has some $r \times r$ submatrix with a nonzero determinant, and all square submatrices of larger size have determinant zero.* [Note: A submatrix of A is any matrix obtained by deleting rows or columns of A . The matrix A itself is also considered to be a submatrix of A .] In each part, use this criterion to find the rank of the matrix.

(a)
$$\begin{bmatrix} 1 & 2 & 0 \\ 2 & 4 & -1 \end{bmatrix}$$

(b)
$$\begin{bmatrix} 1 & 2 & 3 \\ 2 & 4 & 6 \end{bmatrix}$$

(c)
$$\begin{bmatrix} 1 & 0 & 1 \\ 2 & -1 & 3 \\ 3 & -1 & 4 \end{bmatrix}$$

(d)
$$\begin{bmatrix} 1 & -1 & 2 & 0 \\ 3 & 1 & 0 & 0 \\ -1 & 2 & 4 & 0 \end{bmatrix}$$

Checking attendance

3. For what values of s is the solution space of

$$x_1 + x_2 + sx_3 = 0$$

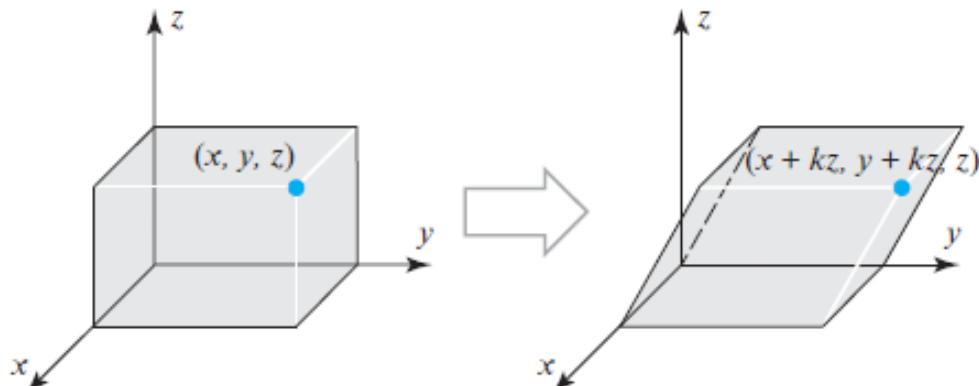
$$x_1 + sx_2 + x_3 = 0$$

$$sx_1 + x_2 + x_3 = 0$$

the origin only, a line through the origin, a plane through the origin, or all of \mathbb{R}^3 ?

Problem

26. In R^3 the *shear in the xy -direction by a factor k* is the matrix transformation that moves each point (x, y, z) parallel to the xy -plane to the new position $(x + kz, y + kz, z)$. (See the accompanying figure.)
- (a) Find the standard matrix for the shear in the xy -direction by a factor k .
- (b) How would you define the shear in the xz -direction by a factor k and the shear in the yz -direction by a factor k ? What are the standard matrices for these matrix transformations?



▲ Figure Ex-26

Problem2

14. Discuss how the rank of A varies with t .

$$(a) A = \begin{bmatrix} 1 & 1 & t \\ 1 & t & 1 \\ t & 1 & 1 \end{bmatrix}$$

$$(b) A = \begin{bmatrix} t & 3 & -1 \\ 3 & 6 & -2 \\ -1 & -3 & t \end{bmatrix}$$