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solid_dmft: grayboxing ab initio DFT+DMFT utilizing TRIQS

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Summary

solid_dmft is a MPI parallelized scientific simulation code written in Python 3, allowing to perform ab-initio density functional theory (DFT) plus dynamical mean-field theory (DMFT) calculations. The software is utilizing the TRIQS software library (Parcollet et al., 2015), handling most numerical operations. Although DMFT has been very successfully in describing correlated electron systems for over two decades, ready-to-use software packages have started to become available only very recently, with most scientific research carried out by self-written codes in research groups. The aim of solid_dmft is to provide such a ready-to-use implementation to increase reproducibility of results, provide clearer convergence metrics, and allow to run DMFT calculations for all kind of systems without adapting the code manually, very similar to widely available DFT simulation packages. Hence, the targeted user group are researchers that aim to perform DMFT calculations on top of their DFT simulations to describe the physics of strongly correlated electron systems, without the need of elaborate coding, but rather using a standardized input file to control the calculation.

DFT calculations are performed with one of the TRIQS/DFTTools (Aichhorn et al., 2016) compatible codes, with a fully charge self-consistent (CSC) interface implemented for Quantum Espresso and the Vienna ab-initio simulation package (VASP). The DFT output is converted by TRIQS/DFTTools into a HDF archive in a standardized structure to be read by solid_dmft.

Design Principles

The code is designed to run with any input DFT calculation or model system providing a low energy (downfolded) description of the periodic solid system. This can be either provided directly as Hamiltonian in reciprocal $\mathbf{k}$ space or as overlap between localized basis functions and the DFT wave function (projectors), and their eigenvalues. Furthermore, the code is designed to be modular, relying on triqs functionalities to perform basic operations, and is meant to be easily extendable to add new features. Therefore, we split each part of the simulation into separate stand-alone functions, to limit statefulness to a minimum. The only python class of solid_dmft is a so called `solver` class to solve the occurring impurity problem in the DMFT loop. This class implements the various impurity solvers available in triqs: cthyb, HubbardI, ForkTPS, ctint, and ctseg. Even though these solvers operate very differently, solid_dmft allows to seamlessly switch impurity solvers, with a simple input flag.

solid_dmft allows also to perform inhomogenous DMFT calculations, i.e. treatment of multiple correlated and uncorrelated shells (impurity problems) while converging one shared dynamic Weiss field. After self-consistency is reached, either via full CSC or just within the DMFT cycle, postprocessing scripts are available to perform analytic continuation of imaginary Green's functions to calculate spectral functions.

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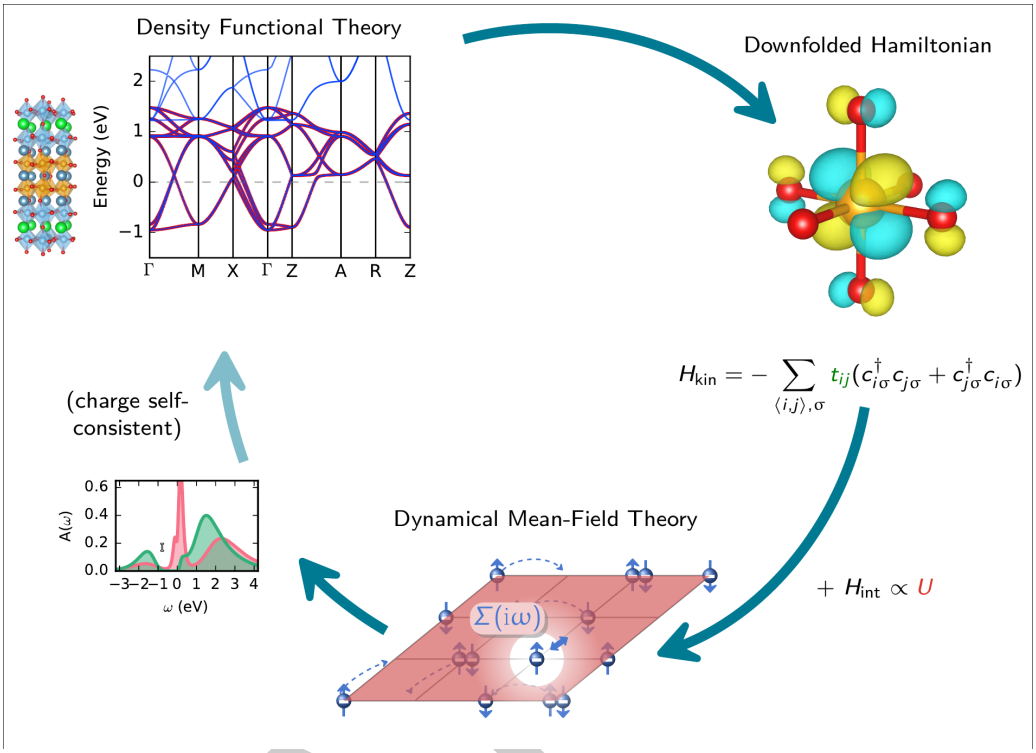

Figure 1: Full CSC DFT+DFMT cycle. Starting from a DFT calculation (top left), a downfolded Hamiltonian or projector functions are created via triqs/dfttools (top right). By specifying an interaction Hamiltonian H_{int} a full interacting electron problem is created, which is solved via the DMFT equations (bottom). After convergence in DMFT is reached, physical observables are calculated (bottom left), and if wanted a full CSC calculation is performed by adding a charge density correction to DFT and the cycle is restarted.

The idea is to provide the full functionality of a full a DFT+DMFT calculation, bringing together the state-of-the-art implementations provided by the triqs library and its applications. This allows to implement and test new features of triqs or benchmark new solvers against existing ones. The full DFT+DMFT cycle is presented in Figure 1. solid_dmft can run the DFT calculations, create the downfolded Hamiltonian, solve the resulting Hubbard-like Hamiltonian via DMFT, post-process the data to calculate physically meaningful observables, and allow for charge-corrected feedback via CSC.

The modularity of the program also allows to run, for example, only the DMFT loop via a single function with well-defined input and output without running solid_dmft as a monolithic code, making it easy to be used in other projects.

As of now solid_dmft has been successfully used in various peer reviewed research studies (Beck & Georges, 2022; Hampel et al., 2019, 2020, 2021), and provides stable releases matching the releases of the TRIQS library, which are well tested. We provide a full documentation including tutorials and a reference manual. Furthermore, we utilize an extensive CI workflow on github to test every PR and commit.

Statement of need

As already mentioned, the number of ready-to-use DFT+DMFT codes is rather limited, and all codes are rather recent. Most of them are completely black boxed, such as EDMFT, Amulet and the DMFT implementation in Abinit. Other implementation like DFTwDMFT and DCORE follow a very similar strategy as solid_dmft but do not implement different impurity solvers as

of now. solid_dmft provides a flagship implementation of the TRIQS functionality to perform DFT+DMFT calculation and is ready to be extended to beyond-DMFT formalisms. This is beneficial both for developers of TRIQS applications, by enabling them to test their applications in a well tested framework, and for users, as they benefit of the most recent features of TRIQS.

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