

Gödel Number*

Xiaofeng Gao

Department of Computer Science and Engineering
Shanghai Jiao Tong University, P.R.China

CS363-Computability Theory

* Special thanks is given to Prof. Yuxi Fu for sharing his teaching materials.

Outline

- 1 Gödel Coding
 - Numbering Programs
 - Gödel Encoding
 - Numbering Computable Functions
- 2 The Diagonal Method
 - Cantor's Diagonal Argument
 - First Example
 - General Technique
- 3 The s-m-n Theorem
 - Simple Form
 - Full Version

Outline

- 1 Gödel Coding
 - Numbering Programs
 - Gödel Encoding
 - Numbering Computable Functions
- 2 The Diagonal Method
 - Cantor's Diagonal Argument
 - First Example
 - General Technique
- 3 The s-m-n Theorem
 - Simple Form
 - Full Version

General Remark

The set of the programs are countable.

General Remark

The set of the programs are countable.

More importantly, every program can be coded up **effectively** by a number in such a way that a unique program can be recovered from the number.

Denumerability and Enumerability

A set X is **denumerable** if there is a **bijection** $f : X \rightarrow \mathbb{N}$.

Denumerability and Enumerability

A set X is **denumerable** if there is a **bijection** $f : X \rightarrow \mathbb{N}$.

An **enumeration** of a set X is a **surjection** $g : \mathbb{N} \rightarrow X$;
this is often represented by writing $\{x_0, x_1, x_2, \dots\}$.

It is an enumeration *without repetitions* if g is injective.

Denumerability and Enumerability

A set X is **denumerable** if there is a **bijection** $f : X \rightarrow \mathbb{N}$.

An **enumeration** of a set X is a **surjection** $g : \mathbb{N} \rightarrow X$;
this is often represented by writing $\{x_0, x_1, x_2, \dots\}$.
It is an enumeration *without repetitions* if g is injective.

Let X be a set of “finite objects”.

Then X is **effectively denumerable** if there is a **bijection** $f : X \rightarrow \mathbb{N}$
such that both f and f^{-1} are effectively computable functions.

Effective Denumerability

Fact. $\mathbb{N} \times \mathbb{N}$ is effectively denumerable.

Effective Denumerability

Fact. $\mathbb{N} \times \mathbb{N}$ is effectively denumerable.

Proof. A bijection $\pi : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ is defined by

$$\begin{aligned}\pi(m, n) &\stackrel{\text{def}}{=} 2^m(2n + 1) - 1, \\ \pi^{-1}(l) &\stackrel{\text{def}}{=} (\pi_1(l), \pi_2(l)),\end{aligned}$$

where

$$\begin{aligned}\pi_1(x) &\stackrel{\text{def}}{=} (x + 1)_1, \\ \pi_2(x) &\stackrel{\text{def}}{=} ((x + 1)/2^{\pi_1(x)} - 1)/2.\end{aligned}$$

Effective Denumerability

Fact. $\mathbb{N}^+ \times \mathbb{N}^+ \times \mathbb{N}^+$ is effectively denumerable.

Effective Denumerability

Fact. $\mathbb{N}^+ \times \mathbb{N}^+ \times \mathbb{N}^+$ is effectively denumerable.

Proof. A bijection $\zeta : \mathbb{N}^+ \times \mathbb{N}^+ \times \mathbb{N}^+ \rightarrow \mathbb{N}$ is defined by

$$\begin{aligned}\zeta(m, n, q) &\stackrel{\text{def}}{=} \pi(\pi(m-1, n-1), q-1), \\ \zeta^{-1}(l) &\stackrel{\text{def}}{=} (\pi_1(\pi_1(l)) + 1, \pi_2(\pi_1(l)) + 1, \pi_2(l) + 1).\end{aligned}$$

Effective Denumerability

Fact. $\bigcup_{k>0} \mathbb{N}^k$ is effectively denumerable.

Effective Denumerability

Fact. $\bigcup_{k>0} \mathbb{N}^k$ is effectively denumerable.

Proof. A bijection $\tau : \bigcup_{k>0} \mathbb{N}^k \rightarrow \mathbb{N}$ is defined by

$$\begin{aligned} \tau(a_1, \dots, a_k) \stackrel{\text{def}}{=} & 2^{a_1} + 2^{a_1+a_2+1} + 2^{a_1+a_2+a_3+2} + \dots \\ & + 2^{a_1+a_2+a_3+\dots, a_k+k-1} - 1. \end{aligned}$$

Effective Denumerability

Fact. $\bigcup_{k>0} \mathbb{N}^k$ is effectively denumerable.

Proof. A bijection $\tau : \bigcup_{k>0} \mathbb{N}^k \rightarrow \mathbb{N}$ is defined by

$$\begin{aligned} \tau(a_1, \dots, a_k) \stackrel{\text{def}}{=} & 2^{a_1} + 2^{a_1+a_2+1} + 2^{a_1+a_2+a_3+2} + \dots \\ & + 2^{a_1+a_2+a_3+\dots+a_k+k-1} - 1. \end{aligned}$$

Now given x we can find a unique expression of the form

$$2^{b_1} + 2^{b_2} + 2^{b_3} + \dots + 2^{b_k}$$

that equals to $x + 1$. It is then clear how to define $\tau^{-1}(x)$.

Gödel Encoding

Let \mathcal{I} be the set of all instructions.

Let \mathcal{P} be the set of all programs.

Gödel Encoding

Let \mathcal{I} be the set of all instructions.

Let \mathcal{P} be the set of all programs.

The objects in \mathcal{I} , and \mathcal{P} as well, are ‘finite objects’.
They must be effectively denumerable.

Gödel Encoding

Theorem. \mathcal{I} is effectively denumerable.

Gödel Encoding

Theorem. \mathcal{I} is effectively denumerable.

Proof. The bijection $\beta : \mathcal{I} \rightarrow \mathbb{N}$ is defined as follows:

$$\begin{aligned}\beta(Z(n)) &= 4(n-1), \\ \beta(S(n)) &= 4(n-1) + 1, \\ \beta(T(m, n)) &= 4\pi(m-1, n-1) + 2, \\ \beta(J(m, n, q)) &= 4\zeta(m, n, q) + 3.\end{aligned}$$

Gödel Encoding

Theorem. \mathcal{I} is effectively denumerable.

Proof. The bijection $\beta : \mathcal{I} \rightarrow \mathbb{N}$ is defined as follows:

$$\begin{aligned}\beta(Z(n)) &= 4(n-1), \\ \beta(S(n)) &= 4(n-1) + 1, \\ \beta(T(m, n)) &= 4\pi(m-1, n-1) + 2, \\ \beta(J(m, n, q)) &= 4\zeta(m, n, q) + 3.\end{aligned}$$

The converse β^{-1} is easy.

Gödel Encoding

Theorem. \mathcal{P} is effectively denumerable.

Gödel Encoding

Theorem. \mathcal{P} is effectively denumerable.

Proof. The bijection $\gamma : \mathcal{P} \rightarrow \mathbb{N}$ is defined as follows:

$$\gamma(P) = \tau(\beta(I_1), \dots, \beta(I_s)),$$

assuming $P = I_1, \dots, I_s$.

Gödel Encoding

Theorem. \mathcal{P} is effectively denumerable.

Proof. The bijection $\gamma : \mathcal{P} \rightarrow \mathbb{N}$ is defined as follows:

$$\gamma(P) = \tau(\beta(I_1), \dots, \beta(I_s)),$$

assuming $P = I_1, \dots, I_s$.

The converse γ^{-1} is obvious.

Gödel Encoding

The number $\gamma(P)$ is called the **Gödel number** of P .

Gödel Encoding

The number $\gamma(P)$ is called the **Gödel number** of P .

$$\begin{aligned} P_n &= \text{the program with Gdel number } n \\ &= \gamma^{-1}(n) \end{aligned}$$

Gödel Encoding

Let P be the program $T(1, 3), S(4), Z(6)$.

Gödel Encoding

Let P be the program $T(1, 3), S(4), Z(6)$.

$$\beta(T(1, 3)) = 18, \beta(S(4)) = 13, \beta(Z(6)) = 20.$$

Gödel Encoding

Let P be the program $T(1, 3), S(4), Z(6)$.

$$\beta(T(1, 3)) = 18, \beta(S(4)) = 13, \beta(Z(6)) = 20.$$

$$\gamma(P) = 2^{18} + 2^{32} + 2^{53} - 1.$$

Gödel Encoding

Consider P_{4127} .

Gödel Encoding

Consider P_{4127} .

$$4127 = 2^5 + 2^{12} - 1.$$

Gödel Encoding

Consider P_{4127} .

$$4127 = 2^5 + 2^{12} - 1.$$

$$\beta(I_1) = 4 + 1, \beta(I_2) = 4\pi(1, 0) + 2.$$

Gödel Encoding

Consider P_{4127} .

$$4127 = 2^5 + 2^{12} - 1.$$

$$\beta(I_1) = 4 + 1, \beta(I_2) = 4\pi(1, 0) + 2.$$

So P_{4127} is $S(2); T(2, 1)$.

Gödel Encoding

We shall fix this particular coding function γ throughout.

Numbering Computable Functions

Suppose $a \in \mathbb{N}$ and $n \geq 1$.

Numbering Computable Functions

Suppose $a \in \mathbb{N}$ and $n \geq 1$.

$$\begin{aligned}\phi_a^{(n)} &= \text{the } n \text{ ary function computed by } P_a \\ &= f_{P_a}^{(n)},\end{aligned}$$

$$W_a^{(n)} = \text{the domain of } \phi_a^{(n)} = \{(x_1, \dots, x_n) \mid P_a(x_1, \dots, x_n) \downarrow\},$$

$$E_a^{(n)} = \text{the range of } \phi_a^{(n)}.$$

Numbering Computable Functions

Suppose $a \in \mathbb{N}$ and $n \geq 1$.

$$\begin{aligned}\phi_a^{(n)} &= \text{the } n \text{ ary function computed by } P_a \\ &= f_{P_a}^{(n)},\end{aligned}$$

$$W_a^{(n)} = \text{the domain of } \phi_a^{(n)} = \{(x_1, \dots, x_n) \mid P_a(x_1, \dots, x_n) \downarrow\},$$

$$E_a^{(n)} = \text{the range of } \phi_a^{(n)}.$$

The super script (n) is omitted when $n = 1$.

Numbering Computable Functions

Let $a = 4127$. Then $P_{4127} = S(2); T(2, 1)$.

Numbering Computable Functions

Let $a = 4127$. Then $P_{4127} = S(2); T(2, 1)$.

$$\phi_{4127}(x) = 1,$$

$$W_{4127} = \mathbb{N},$$

$$E_{4127} = \{1\}.$$

Numbering Computable Functions

Let $a = 4127$. Then $P_{4127} = S(2); T(2, 1)$.

$$\begin{aligned}\phi_{4127}(x) &= 1, \\ W_{4127} &= \mathbb{N}, \\ E_{4127} &= \{1\}.\end{aligned}$$

$$\begin{aligned}\phi_{4127}^{(n)}(x_1, \dots, x_n) &= x_2 + 1, \\ W_{4127}^n &= \mathbb{N}^n, \\ E_{4127}^n &= \mathbb{N}^+.\end{aligned}$$

Numbering Computable Functions

Suppose $f = \phi_a$. Then a is **an index** for f .

Numbering Computable Functions

Suppose $f = \phi_a$. Then a is **an index** for f .

There are an infinite number of indexes for f .

Numbering Computable Functions

Theorem. \mathcal{C}_n is denumerable.

Proof

We use the enumeration $\phi_0^{(n)}, \phi_1^{(n)}, \phi_2^{(n)}, \dots$ (with repetitions) to construct one without repetitions.

$$\text{Let } \begin{cases} f(0) = 0; \\ f(m+1) = \mu z (\phi_z^{(n)} \neq \phi_{f(0)}^{(n)}, \dots, \phi_{f(m)}^{(n)}), \end{cases}$$

Then $\phi_{f(0)}^{(n)}, \phi_{f(1)}^{(n)}, \phi_{f(2)}^{(n)}, \dots$ is an enumeration of \mathcal{C}_n without repetitions.

Corollary

Corollary: \mathcal{C} is denumerable.

Corollary

Corollary: \mathcal{C} is denumerable.

Proof: Since $\mathcal{C} = \bigcup_{n \geq 1} \mathcal{C}_n$, the corollary follows from the fact that a denumerable union of denumerable sets is denumerable.

Corollary

Corollary: \mathcal{C} is denumerable.

Proof: Since $\mathcal{C} = \bigcup_{n \geq 1} \mathcal{C}_n$, the corollary follows from the fact that a denumerable union of denumerable sets is denumerable.

Explicitly, for each n let f_n be the function to give an enumeration of \mathcal{C}_n without repetitions. Let π be the bijection $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$. Define $\theta : \mathcal{C} \rightarrow \mathbb{N}$ by

$$\theta \left(\phi_{f_n(m)}^{(n)} \right) = \pi(m, n - 1),$$

then θ is a bijection.

Outline

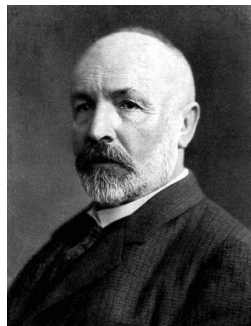
- 1 Gödel Coding
 - Numbering Programs
 - Gödel Encoding
 - Numbering Computable Functions
- 2 The Diagonal Method
 - Cantor's Diagonal Argument
 - First Example
 - General Technique
- 3 The s-m-n Theorem
 - Simple Form
 - Full Version

Cantor's Diagonal Argument

In set theory, Cantor's diagonal argument, also called the **diagonalisation argument**, the **diagonal slash argument** or the **diagonal method**, was published in 1891 by Georg Cantor.

It was proposed as a mathematical proof for uncountable sets.

It demonstrates a powerful and general technique that has been used in a wide range of proofs.



Georg Cantor
1845-1918

The Diagonal Method

Theorem. There is a total unary function that is not computable.

The Diagonal Method

Theorem. There is a total unary function that is not computable.

Proof. Suppose $\phi_0, \phi_1, \phi_2, \dots$ is an enumeration of \mathcal{C}_1 . Define

$$f(n) = \begin{cases} \phi_n(n) + 1, & \text{if } \phi_n(n) \text{ is defined,} \\ 0, & \text{if } \phi_n(n) \text{ is undefined.} \end{cases}$$

The function $f(n)$ is not computable.

Example of uncomputable function

Consider again the construction of f to construct a total uncomputable function. Complete details of the functions ϕ_0, ϕ_1, \dots can be represented by the following infinite table:

	0	1	2	3	4
ϕ_0	$\phi_0(0)$	$\phi_0(1)$	$\phi_0(2)$	$\phi_0(3)$...
ϕ_1	$\phi_1(0)$	$\phi_1(1)$	$\phi_1(2)$	$\phi_1(3)$...
ϕ_2	$\phi_2(0)$	$\phi_2(1)$	$\phi_2(2)$	$\phi_2(3)$...
ϕ_3	$\phi_3(0)$	$\phi_3(1)$	$\phi_3(2)$	$\phi_3(3)$...
\vdots	\vdots	\vdots	\vdots	\vdots	

Diagonal Method

We suppose that in this table the word ‘undefined’ is written whenever $\phi_n(m)$ is not defined.

The function f was constructed by taking the diagonal entries on the table $\phi_0(0), \phi_1(1), \phi_2(2), \dots$ and systematically changing them, obtaining $f(0), f(1), \dots$ such that $f(n)$ differs from $\phi_n(n)$, for each n .

Diagonal Method

We suppose that in this table the word ‘undefined’ is written whenever $\phi_n(m)$ is not defined.

The function f was constructed by taking the diagonal entries on the table $\phi_0(0), \phi_1(1), \phi_2(2), \dots$ and systematically changing them, obtaining $f(0), f(1), \dots$ such that $f(n)$ differs from $\phi_n(n)$, for each n .

Note that there was considerable freedom in choosing the value of $f(n)$ (just differ from $\phi_n(n)$). Thus

$$g(n) = \begin{cases} \phi_n(n) + 27^n & \text{if } \phi_n(n) \text{ is defined,} \\ n^2 & \text{if } \phi_n(n) \text{ is undefined,} \end{cases}$$

is another non-computable total function.

Cantor's Diagonal Method

Suppose that χ_0, χ_1, \dots is an enumeration of objects of a certain kind (functions or sets of natural numbers), then we can construct an object χ of the same kind that is different from every χ_n , using the following motto:

‘Make χ and χ_n differ at n .’

Cantor's Diagonal Method

Suppose that χ_0, χ_1, \dots is an enumeration of objects of a certain kind (functions or sets of natural numbers), then we can construct an object χ of the same kind that is different from every χ_n , using the following motto:

‘Make χ and χ_n differ at n .’

The interpretation of the phrase *differ at n* depends on the kind of object involved.

Diagonal Construction on Sets

Suppose that A_0, A_1, \dots is an enumeration of subsets of \mathbb{N} . We can define a new set B using the diagonal motto, by

$$n \in B \text{ if and only if } n \notin A_n.$$

Clearly, for each n , $B \neq A_n$.

Note that $B \subseteq 2^{\mathbb{N}}$, so $2^{\mathbb{N}}$ is not a denumerable set.

Outline

- 1 Gödel Coding
 - Numbering Programs
 - Gödel Encoding
 - Numbering Computable Functions
- 2 The Diagonal Method
 - Cantor's Diagonal Argument
 - First Example
 - General Technique
- 3 The s-m-n Theorem
 - Simple Form
 - Full Version

The s-m-n Theorem

Given a computable binary function $f(x, y)$ (not necessarily total), we get a unary computable function $f(a, y)$ by fixing a value a for x .

The s-m-n Theorem

Given a computable binary function $f(x, y)$ (not necessarily total), we get a unary computable function $f(a, y)$ by fixing a value a for x .

We can use a unary computable function $g_a(y) \simeq f(a, y)$ to represent $f(a, y)$, then there is an index e for $f(a, y)$.

$$f(a, y) \simeq \phi_e(y).$$

The s-m-n Theorem

Given a computable binary function $f(x, y)$ (not necessarily total), we get a unary computable function $f(a, y)$ by fixing a value a for x .

We can use a unary computable function $g_a(y) \simeq f(a, y)$ to represent $f(a, y)$, then there is an index e for $f(a, y)$.

$$f(a, y) \simeq \phi_e(y).$$

The S-m-n Theorem states that the index e can be computed from a .

The s-m-n Theorem, simple form

Theorem. Suppose that $f(x, y)$ is a computable function. There is a total computable function $k(x)$ such that

$$f(x, y) \simeq \phi_{k(x)}(y).$$

The s-m-n Theorem

Proof. Let F be a program that computes f . Consider the following program

$$\left. \begin{array}{l} T(1, 2) \\ Z(1) \\ S(1) \\ \vdots \\ S(1) \\ F \end{array} \right\} a \text{ times}$$

The s-m-n Theorem

Proof. Let F be a program that computes f . Consider the following program

$$\left. \begin{array}{l} T(1, 2) \\ Z(1) \\ S(1) \\ \vdots \\ S(1) \\ F \end{array} \right\} a \text{ times}$$

The above program can be effectively constructed from a .

The s-m-n Theorem

Proof. Let F be a program that computes f . Consider the following program

$$\left. \begin{array}{l} T(1, 2) \\ Z(1) \\ S(1) \\ \vdots \\ S(1) \\ F \end{array} \right\} a \text{ times}$$

The above program can be effectively constructed from a .

Let $k(a)$ be the Gödel number of the above program.

It can be effectively computed from the above program.

Notation

The s-m-n theorem is also called **Parametrization Theorem** because it shows that an index for a computable function (such as g_a) can be found effectively from a parameter (such as a) on which it effectively depends.

Examples

Let $f(x, y) = y^x$. Then $\phi_{k(x)}(y) = y^x$. For each fixed n , $k(n)$ is an index for y^n .

Examples

Let $f(x, y) = y^x$. Then $\phi_{k(x)}(y) = y^x$. For each fixed n , $k(n)$ is an index for y^n .

Let $f(x, y) = \begin{cases} y, & \text{if } y \text{ is a multiple of } x, \\ \text{undefined}, & \text{otherwise.} \end{cases}$

Then $\phi_{k(n)}(y)$ is defined if and only if y is a multiple of n .

The s-m-n Theorem

Theorem. For m, n , there is a total computable $(m + 1)$ -function $s_n^m(_, \mathbf{x})$ such that for all e the following holds:

$$\phi_e^{m+n}(\mathbf{x}, \mathbf{y}) \simeq \phi_{s_n^m(e, \mathbf{x})}^n(\mathbf{y}).$$

The s-m-n Theorem

Proof. Given e, x_1, \dots, x_m , we can effectively construct the following program

$$T(n, m + n)$$

$$\vdots$$

$$T(1, m + 1)$$

$$Q(1, x_1)$$

$$\vdots$$

$$Q(m, x_m)$$

$$P_e$$

where $Q(i, x)$ is the program $Z(i), \underbrace{S(i), \dots, S(i)}_{x \text{ times}}$.