

8 Advanced Counting Techniques

§8.1 Applications of Recurrence Relations

the Fibonacci Numbers $f_n = f_{n-1} + f_{n-2}$

the Tower of Hanoi $H_n = 2H_{n-1} + 1$

example. find a recurrence relation and give initial conditions for the number of bit strings of length n that do not have two consecutive 0s

End with a 1	Any bit string of length $n-1$ with no two consecutive 0s	1	a_{n-1}
End with a 0	Any bit string of length $n-2$ with no two consecutive 0s	1	0 a_{n-2}

§8.2 Solving Linear Recurrence Relations

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

\Downarrow

$$r^n = c_1 r^{n-1} + c_2 r^{n-2} + \dots + c_k r^{n-k} \quad \text{Characteristic equation}$$

$$r^k - c_1 r^{k-1} - c_2 r^{k-2} - \dots - c_k = 0$$

THEOREM 1 $r^2 - c_1 r - c_2 = 0$ has two distinct roots r_1, r_2

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$$

$$\text{then } \begin{cases} \alpha_0 = \alpha_1 + \alpha_2 \\ \alpha_1 = \alpha_1 r_1 + \alpha_2 r_2 \end{cases}$$

$$\alpha_1 = \frac{a_1 - a_0 r_2}{r_1 - r_2}$$

$$\alpha_2 = \frac{a_0 r_1 - a_1}{r_1 - r_2}$$

the formula for the Fibonacci number

$$f_n = \frac{1}{\sqrt{5}} \left(\frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left(\frac{1-\sqrt{5}}{2} \right)^n$$

THEOREM 2 $r^2 - c_1 r - c_2 = 0$ has only one root r_0

$$a_n = \alpha_1 r_0^n + \alpha_2 n r_0^n$$

THEOREM 3 $r^k - c_1 r^{k-1} - \dots - c_k = 0$

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n + \dots + \alpha_k r_k^n$$

THEOREM 4 $r^k - c_1 r^{k-1} - \dots - c_k = 0$

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

$$a_n = (\alpha_{1,0} + \alpha_{1,1}n + \dots + \alpha_{1,m_1-1}n^{m_1-1}) r_1^n$$

$$+ (\alpha_{2,0} + \alpha_{2,1}n + \dots + \alpha_{2,m_2-1}n^{m_2-1}) r_2^n$$

$$+ \dots + (\alpha_{t,0} + \alpha_{t,1}n + \dots + \alpha_{t,m_t-1}n^{m_t-1}) r_t^n$$

linear nonhomogeneous recurrence relations with constant coefficients.

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n)$$

$$F(n) = (b_t n^t + b_{t-1} n^{t-1} + \dots + b_1 n + b_0) s^n$$

s is not a root of the characteristic equation

particular solution of the form

$$(p_t n^t + p_{t-1} n^{t-1} + \dots + p_1 n + p_0) s^n$$

s is a root of this characteristic equation and its multiplicity is m .

$$n^m (p_t n^t + p_{t-1} n^{t-1} + \dots + p_1 n + p_0) s^n$$

§8.4 Generating Function

the sequence $a_0, a_1, \dots, a_k, \dots$ of real numbers is the infinite series

$$G(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_k x^k + \dots = \sum_{k=0}^{\infty} a_k x^k$$

The generating function of $1, 1, 1, 1, 1, 1$ is

$$1 + x + x^2 + x^3 + x^4 + x^5 = \frac{x^6 - 1}{x - 1}$$

Useful Facts About Power Series

$$f(x) = \sum_{k=0}^{\infty} a_k x^k \quad g(x) = \sum_{k=0}^{\infty} b_k x^k$$

$$(1) f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$$

$$(2) f(x) \cdot g(x) = \sum_{k=0}^{\infty} \left(\sum_{j=0}^k a_j b_{k-j} \right) x^k = a_0 b_0 + (a_0 b_1 + a_1 b_0) x$$

$$(3) \alpha \cdot f(x) = \sum_{k=0}^{\infty} \alpha a_k x^k \quad + (a_0 b_2 + a_1 b_1 + a_2 b_0) x^2 + \dots$$

$$(4) x \cdot f'(x) = \sum_{k=0}^{\infty} k a_k x^k = (a_0 + a_1 x + a_2 x^2 + \dots) (b_0 + b_1 x + b_2 x^2 + \dots)$$

$$(5) f(x) g(x) = \sum_{k=0}^{\infty} \left(\sum_{j=0}^k a_j b_{k-j} \right) x^k = f(x) g(x)$$

$$(1+x)^n \quad C_n^0, C_n^1, C_n^2, \dots, C_n^n$$

$$a_k = 1$$

$$G(x) = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \dots = \frac{1}{1-x} \quad \text{converges for } |x| < 1$$

$$a_k = b \quad G(x) = \sum_{k=0}^{\infty} b x^k = b \sum_{k=0}^{\infty} x^k = b(1 + x + x^2 + \dots) = \frac{b}{1-x}$$

$$a_k = b^k \quad G(x) = \sum_{k=0}^{\infty} b^k x^k = 1 + bx + (bx)^2 + \dots = \frac{1}{1-bx} \quad \frac{1}{1-bx}$$

$$a_n = \frac{1}{n!}$$

$$G(x) = 1 + x + \frac{1}{2!} x^2 + \frac{1}{3!} x^3 + \dots \quad G(x) = e^x$$

$$a_n = \frac{1}{n} \text{ for odd } n, -\frac{1}{n} \text{ for even } n$$

$$G(x) = x - \frac{x^3}{2} + \frac{x^5}{3} - \frac{x^7}{4} + \dots \quad G(x) = \ln(1+x)$$

$$C_n = n+1 \iff G(x) = \frac{1}{1-x^2}$$