

# TOPOLOGY IN AND VIA LOGIC 2026

## TUTORIAL 2

### COMPACTNESS

**Definition 1.** Let  $X$  be a set, and  $S \subseteq \mathcal{P}(X)$  closed under intersection, union, complement, and contains  $\emptyset$ ; we say that  $S$  is a Boolean algebra. We say that  $F \subseteq S$  is an  $S$ -filter if:

- (1)  $X \in F$ ;
- (2) If  $U \in F$  and  $U \subseteq V$  where  $V \in S$ , then  $V \in F$ ;
- (3) If  $U, V \in F$  then  $U \cap V \in F$ .

Furthermore, we call  $F$  a prime  $S$ -filter if for each  $U \in S$ , either  $U \in F$  or  $X - U \in F$ .

*Note:* Below you can use the fact that the Prime Filter Theorem, which we saw in class, holds for any Boolean algebra in the above condition.

**Exercise 1.** Let  $X$  be a topological space. Observe that

$$\text{Clop}(X) = \{U \subseteq X : U \text{ is clopen}\}$$

is closed under intersection, union, complement, and contains  $\emptyset$ . Thus, we can consider the collection of  $\text{Clop}(X)$ -prime filters, denoted by  $X^* = \text{Spec}(\text{Clop}(X))$ . We give this space a topology by specifying the following basis (you may assume without proof that this, indeed, is a basis for a topology on  $X^*$ ):

$$\{\varphi(U) : U \in \text{Clop}(X)\} \text{ where } \varphi(U) = \{F \in X^* : U \in F\}.$$

- (1) Show that  $X^*$  is always a compact Hausdorff space. Hint: For compactness, given  $X^* = \bigcup_{i \in I} \varphi(U_i)$ , it might be helpful to consider

$$\{U \in \text{Clop}(X) \mid U \supseteq U_{i_0}^c \cap \dots \cap U_{i_n}^c \text{ for some } \{i_0, \dots, i_n\} \subseteq I\}.$$

- (2) Show that the map  $i : X \rightarrow X^*$  given by

$$i(x) := \{U \in \text{Clop}(X) : x \in U\}$$

is well-defined.

**Definition 2.** Let  $X$  be a normal topological space. We say that  $X$  is strongly zero-dimensional if whenever  $A, B$  are disjoint closed sets, then there is some clopen set  $U$  such that  $A \subseteq U$  and  $B \subseteq X - U$ .

**Exercise 2.** Let  $X$  be a topological space and  $X^*$  be defined as in Exercise 1.

- (3) Assume that  $X$  is a strongly zero-dimensional space, and suppose that  $Z$  is some compact Hausdorff space, such that  $f : X \rightarrow Z$  is a continuous function. Show that for  $F \in X^*$  the map

$$\tilde{f}(F) := x_F, \text{ where } x_F \in \bigcap \{\overline{f[U]} : U \in F\}.$$

is a well-defined continuous map from  $X$  to  $Z$ , and has the property that  $\tilde{f} \circ i = f$ . (Hint: you can use the following fact without proof: for all distinct  $u, v \in Z$ , there exists open sets  $U \in N(u)$  and  $V \in N(v)$  such that  $cl(U) \cap cl(V) = \emptyset$ .)

- (4) Conclude that for strongly zero-dimensional spaces we have that  $X^* \cong \beta(X)$ .

### TOPOLOGICAL SEMANTICS OF MODAL LOGIC

McKinsey and Tarski [1] proposed interpretations of the unary modal operator  $\diamond$  as the closure operator  $cl$  and the derived set operator  $d$  of a topological space. These interpretations give the topological C-semantics and d-semantics for modal logic.

In this section, we take a closer look at the topological C-semantics of modal logic.

**Definition 3.** A topological model is a triple  $\mathfrak{M} = (X, \tau, \nu)$  where  $(X, \tau)$  is a topological space and  $\nu : Var \rightarrow \mathcal{P}(X)$  a function called a valuation for  $X$ .

A valuation  $\nu$  is extended to the set  $Fm$  of all modal formulas by the following rules:

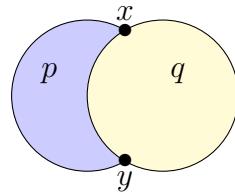
$$\nu(\perp) = \emptyset, \nu(\varphi \rightarrow \psi) = (X \setminus \nu(\varphi)) \cup \nu(\psi) \text{ and } \nu(\diamond \varphi) = cl(\nu(\varphi)).$$

A formula  $\varphi$  is true at  $x$  in  $\mathfrak{M}$ , notation  $\mathfrak{M}, x \models \varphi$ , if  $x \in \nu(\varphi)$ . Note that by definition of the operator  $cl : \mathcal{P}(X) \rightarrow \mathcal{P}(X)$ , the following statements hold:

- (a)  $\mathfrak{M}, x \models \square \varphi$  if and only if there is  $U \in N(x)$  such that  $\mathfrak{M}, y \models \varphi$  for all  $y \in U$ ;
- (b)  $\mathfrak{M}, x \models \diamond \varphi$  if and only if for all  $U \in N(x)$ , there is  $y \in U$  such that  $\mathfrak{M}, y \models \varphi$ .

**Exercise 3.** Show that (a) and (b) in Definition 3 hold.

**Exercise 4.** Consider the following topological model, where  $p$  is true in the blue area and its border line, and  $q$  is true in the yellow area and its border line.



- (1) Draw the region defined by the modal formula  $\diamond p \vee \square q$ .
- (2) Find modal formulas that define the set  $\{x, y\}$ .

Recall that modal logic S4 is defined to be  $K \oplus \{\diamond \diamond p \rightarrow \diamond p, p \rightarrow \diamond p\}$ .

**Exercise 5.** Prove that every theorem of S4 is valid. That is, given any  $\varphi \in \text{S4}$ ,  $\mathfrak{M}, x \models \varphi$  for all topological model  $\mathfrak{M} = (X, \tau, \nu)$  and  $x \in X$ .

In fact, the converse of Exercise 5 also holds. Thus we have

**Theorem 4.** S4 is the modal logic of all topological spaces.

#### MORE ON TOPOLOGICAL SEMANTICS: D-SEMANTICS

There are more than one semantics of modal logic based on topological spaces. The one we have seen in class is also called C-semantics. We now introduce the d-semantics as follows:

**Definition 5.** Let  $\mathcal{X} = (X, \tau)$  be a topological space and  $x \in X$ . A subset  $Y \subseteq X$  is an open neighborhood of  $x$  if  $x \in Y \in \tau$ . Let  $N(x)$  be the set of all open neighborhoods of  $x$ . For every subset  $A \subseteq X$ , let  $\mathbf{d}(A)$  be the derived set of  $A$ , i.e.,

$$\mathbf{d}(A) = \{x \in X : \forall U \in N(x)(U \cap (A \setminus \{x\}) \neq \emptyset)\}.$$

A topological model is a triple  $\mathcal{M} = (X, \tau, \nu)$  where  $\mathcal{X} = (X, \tau)$  is a topological space and  $\nu : \text{Prop} \rightarrow \mathcal{P}(X)$  is a function which is called a valuation in  $\mathcal{X}$ . A valuation  $\nu$  is extended to all modal formulas  $\mathcal{L}$  as follows:

$$\nu(\neg\varphi) = X \setminus \nu(\varphi), \nu(\varphi \vee \psi) = \nu(\varphi) \cup \nu(\psi) \text{ and } \nu(\Diamond\varphi) = \mathbf{d}(\nu(\varphi)).$$

For each formula  $\varphi$ ,  $\varphi$  is d-true at  $w$  in  $\mathcal{M}$  (notation:  $\mathcal{M}, w \models_d \varphi$ ) if  $w \in \nu(\varphi)$ . We say that  $\varphi$  is d-valid if  $\mathcal{M}, w \models_d \varphi$  for all topological model  $\mathcal{M}$  and point  $w$  in  $\mathcal{M}$ .

**Exercise 6.** Try to understand the d-semantics given above and show:

- (1)  $\Diamond\Diamond p \rightarrow \Diamond p$  is not d-valid.
- (2)  $\Diamond\Diamond p \rightarrow \Diamond p \vee p$  is d-valid.

#### REFERENCES

- [1] McKinsey J. C. C., Tarski A., The algebra of topology, Annals of Mathematics **45**, 141–191 (1944)