

COMP 3331/9331: **Computer Networks and** **Applications**

Week 5

Transport Layer (Continued)

Reading Guide: Chapter 3, Sections: 3.5 – 3.7

Transport Layer Outline

3.1 transport-layer services

3.2 multiplexing and
demultiplexing

3.3 connectionless transport:
UDP

3.4 principles of reliable data
transfer

3.5 connection-oriented
transport: TCP

- segment structure
- reliable data transfer
- flow control
- connection management

3.6 principles of congestion
control

3.7 TCP congestion control

Recall: Components of a solution for reliable transport

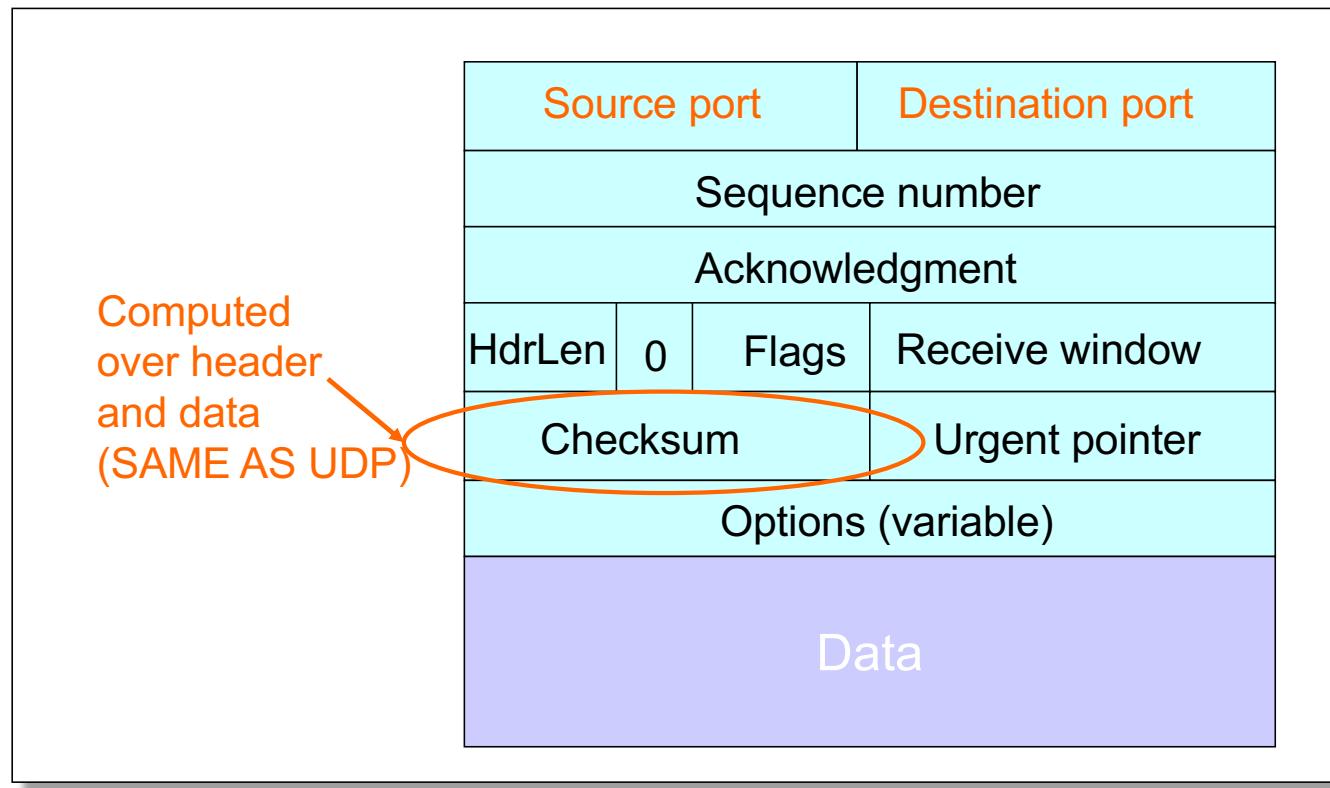
- ❖ Checksums (for error detection)
- ❖ Timers (for loss detection)
- ❖ Acknowledgments
 - Cumulative
 - Selective
- ❖ Sequence numbers (duplicates, windows)
- ❖ Sliding Windows (for efficiency)
 - Go-Back-N (GBN)
 - Selective Repeat (SR)

What does TCP do?

Many of our previous ideas, but some key differences

- ❖ Checksum

TCP Header



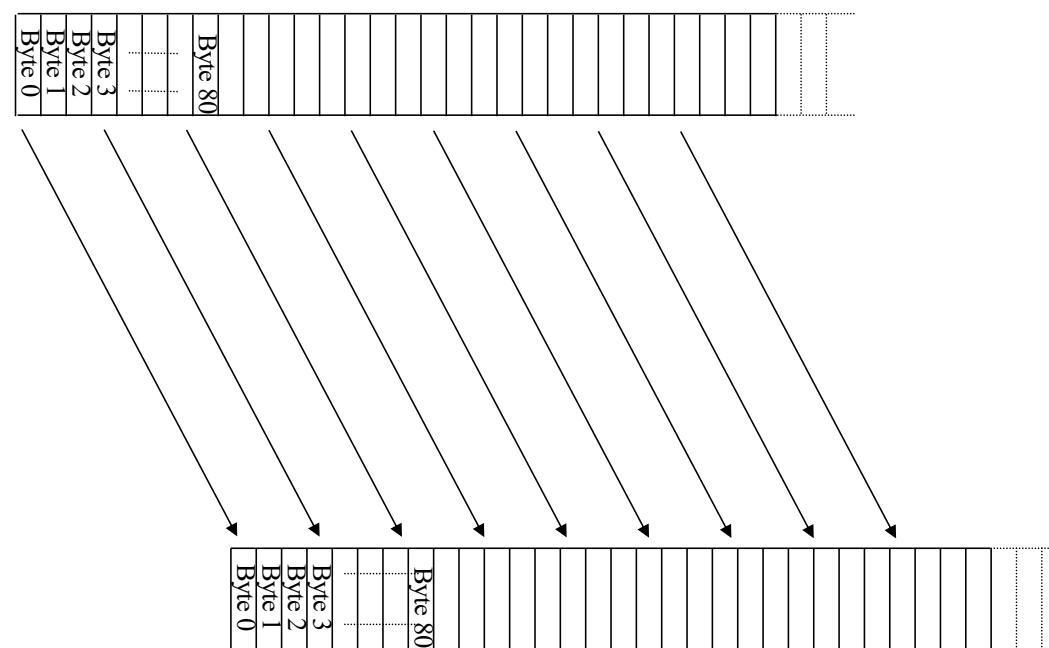
What does TCP do?

Many of our previous ideas, but some key differences

- ❖ Checksum
- ❖ **Sequence numbers are byte offsets**

TCP “Stream of Bytes” Service ..

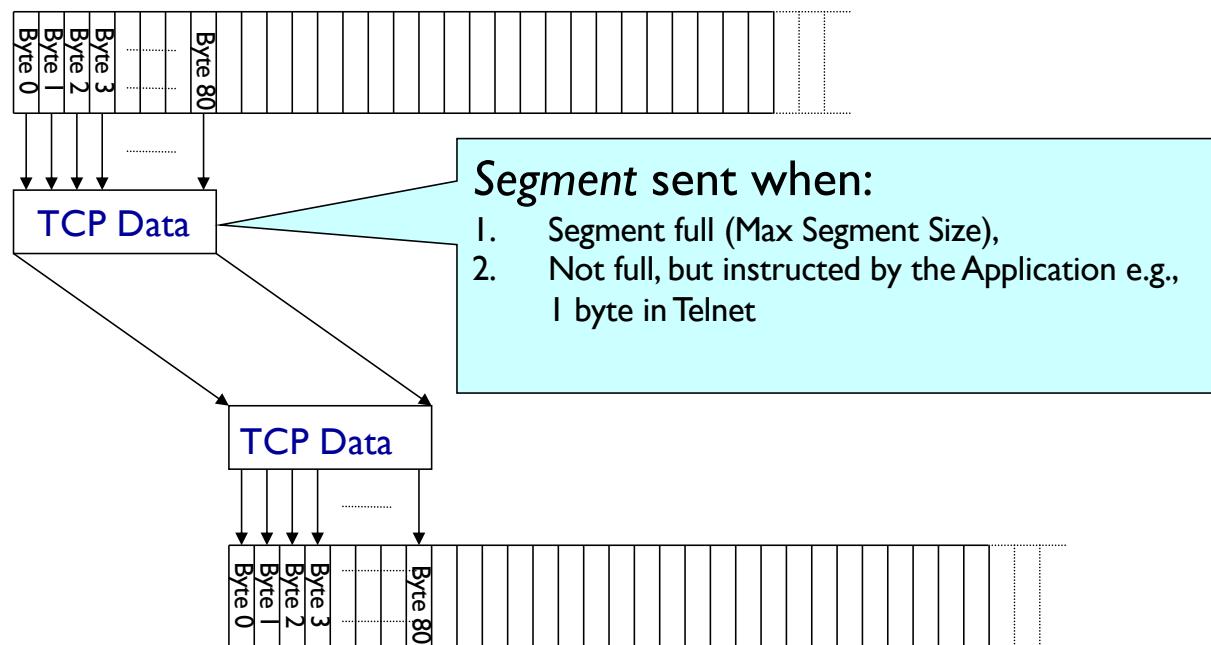
Application @ Host A



Application @ Host B

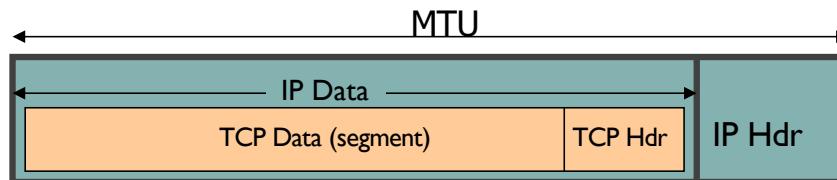
.. Provided Using TCP “Segments”

Host A



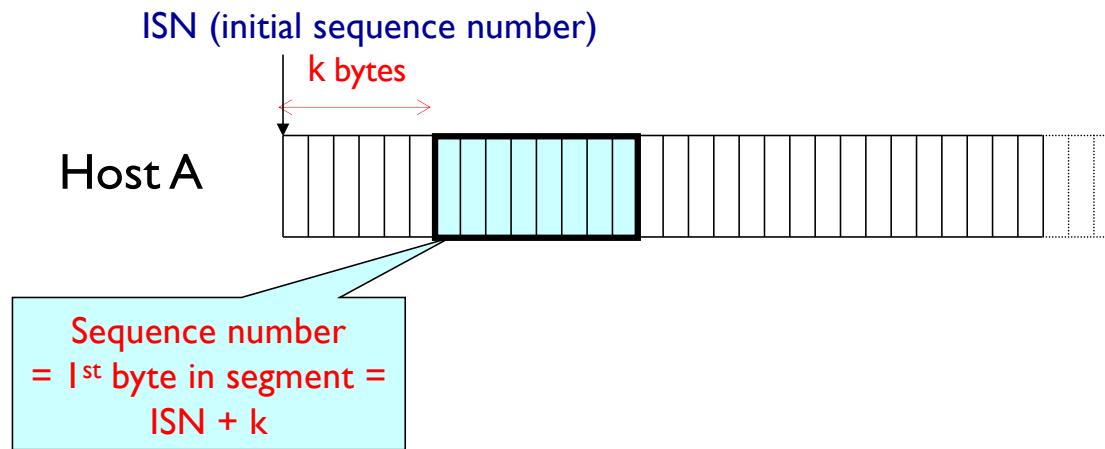
Host B

TCP Maximum Segment Size



- ❖ IP packet
 - No bigger than Maximum Transmission Unit (**MTU**) of link layer
 - E.g., up to 1500 bytes with Ethernet
- ❖ TCP packet
 - IP packet with a TCP header and data inside
 - TCP header \geq 20 bytes long
- ❖ TCP **segment**
 - No more than **Maximum Segment Size (MSS)** bytes
 - E.g., up to 1460 consecutive bytes from the stream
 - $MSS = MTU - 20$ (minimum IP header) – 20 (minimum TCP header)

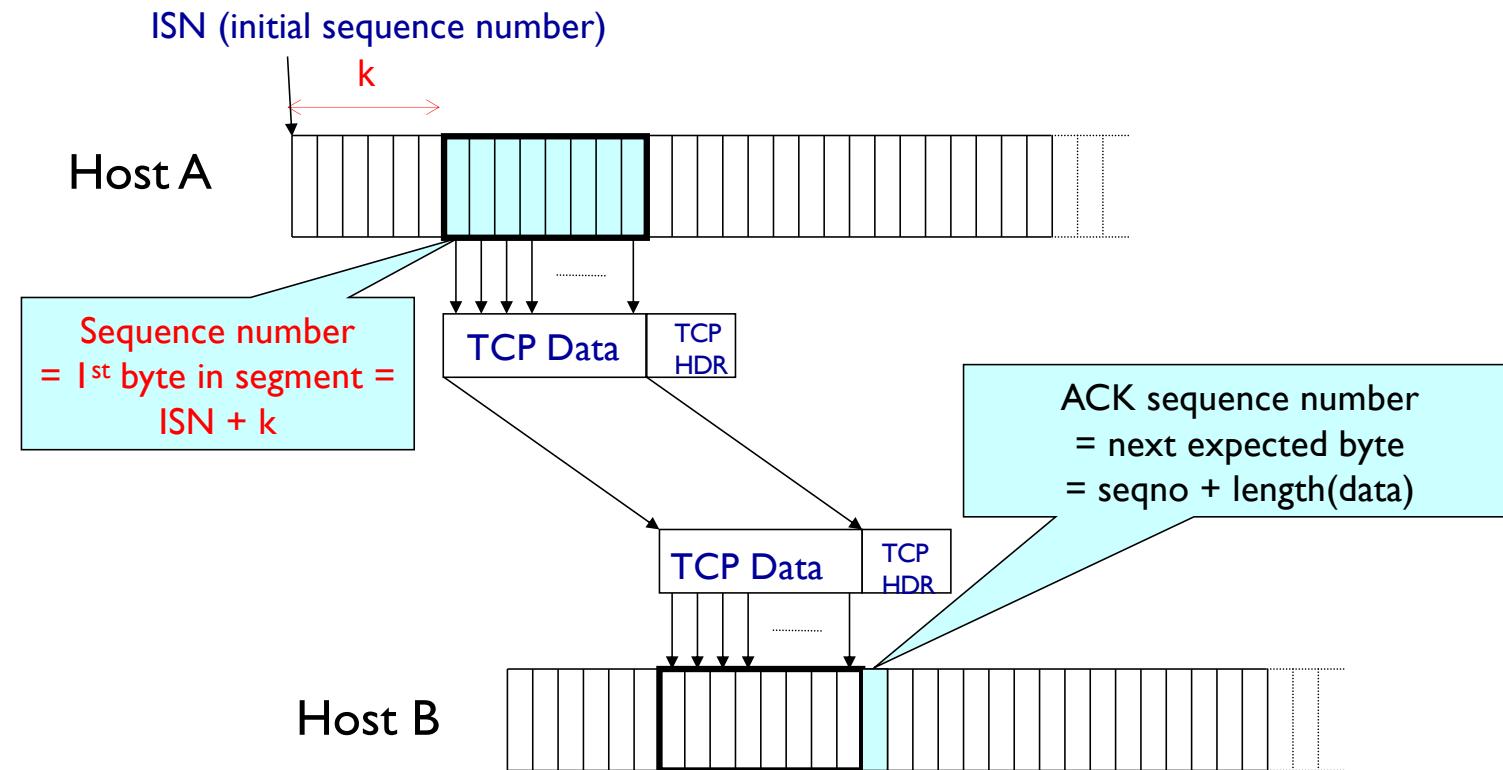
Sequence Numbers



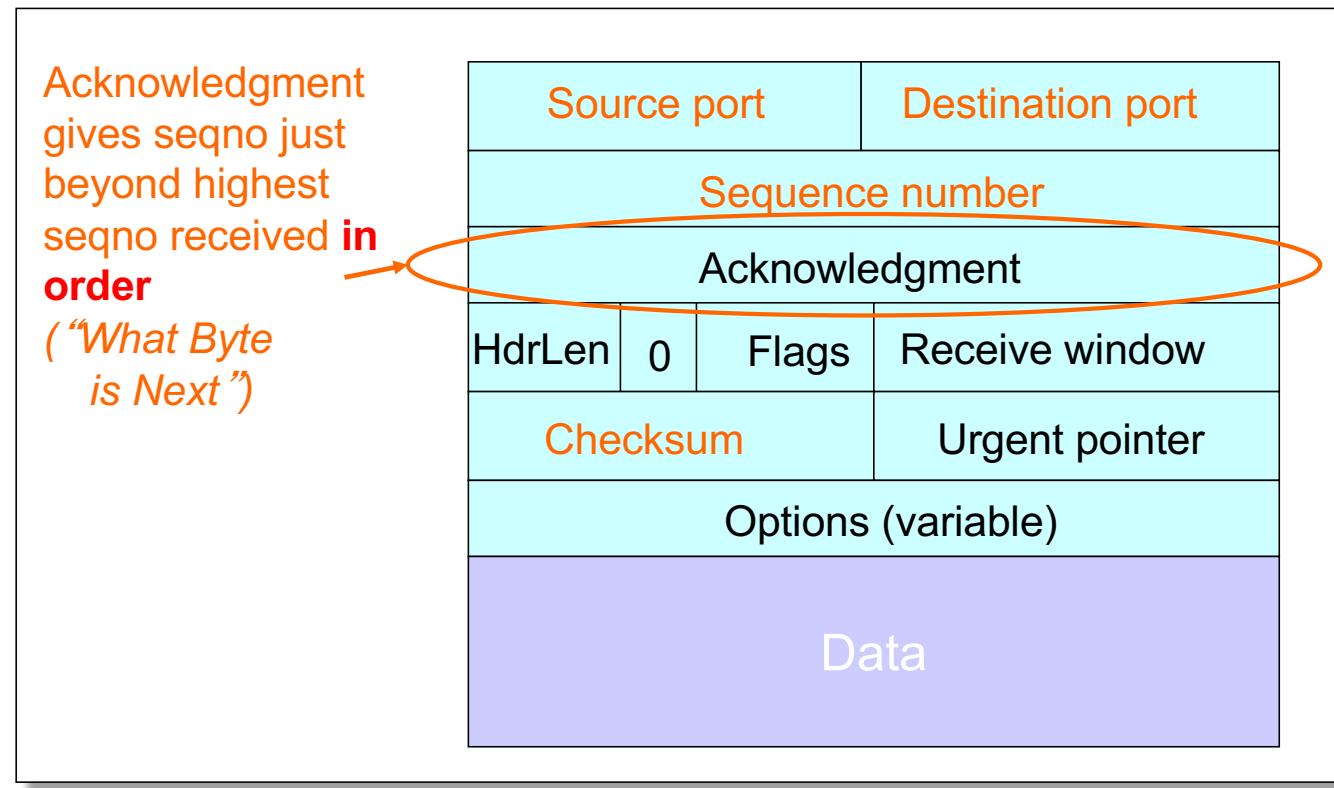
Sequence numbers:

- byte stream “number” of first byte in segment’s data

Sequence & Ack Numbers

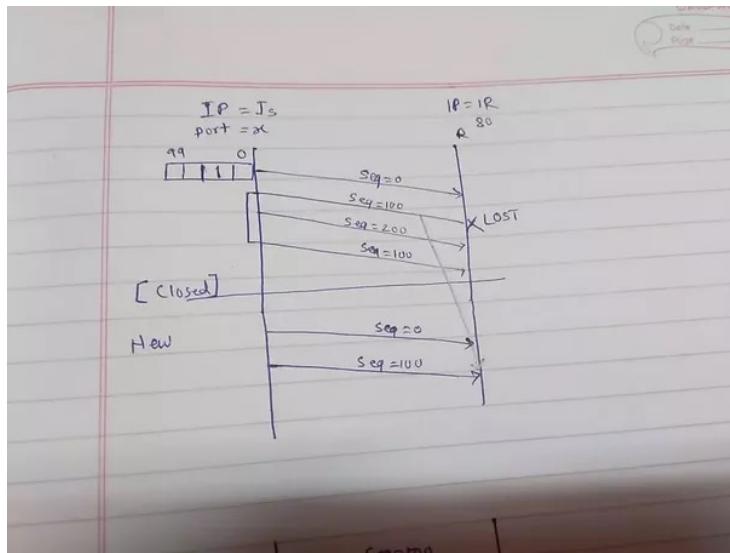


TCP Header

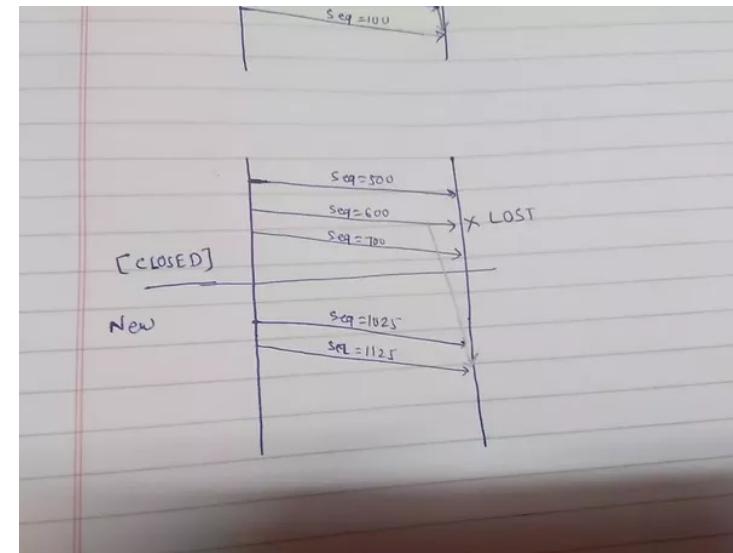


Why choose random ISN?

- ❖ Avoids ambiguity with back-to-back connections between same end-points



(a) When ISN=0



(b) When ISN is random

- ❖ Potential security issue if the ISN is known

What does TCP do?

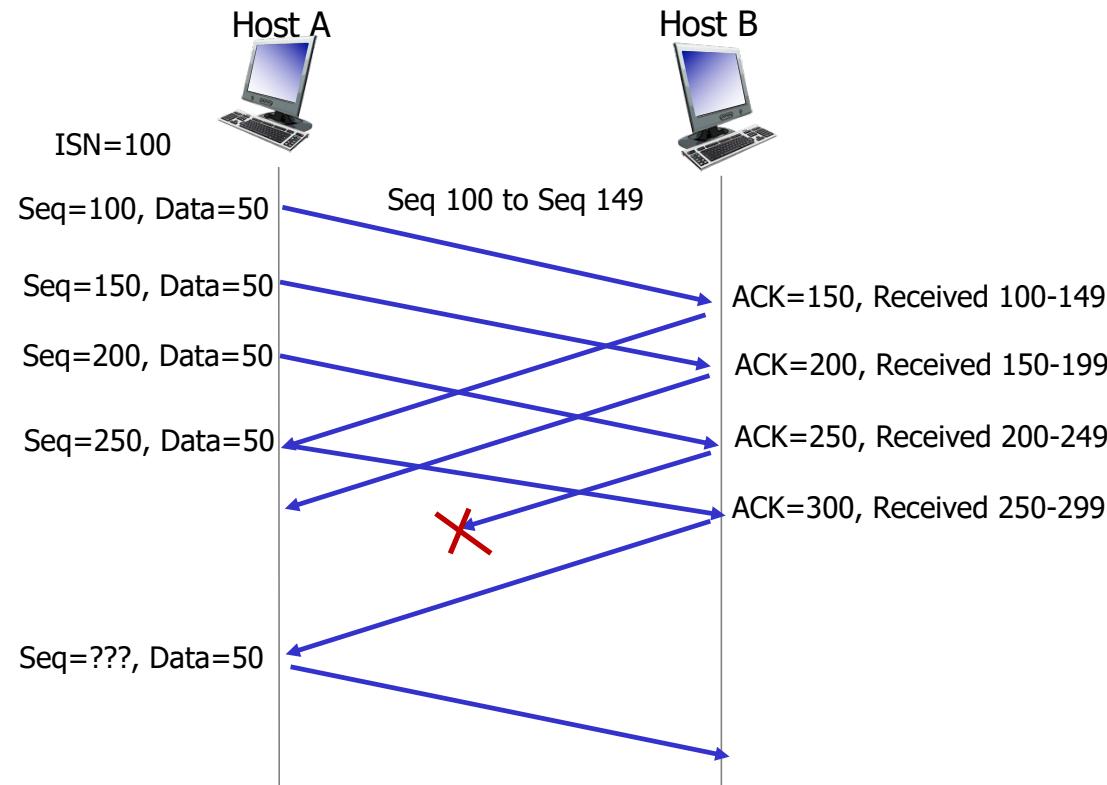
Most of our previous tricks, but a few differences

- ❖ Checksum
- ❖ Sequence numbers are byte offsets
- ❖ Receiver sends cumulative acknowledgements (like GBN)

ACKing and Sequence Numbers

- ❖ Sender sends packet
 - Data starts with sequence number X
 - Packet contains B bytes [X, X+1, X+2, ..., X+B-1]
- ❖ Upon receipt of packet, receiver sends an ACK
 - If all data prior to X already received:
 - ACK acknowledges **X+B** (because that is next expected byte)
 - If highest in-order byte received is Y such that $(Y+1) < X$
 - ACK acknowledges **Y+1**
 - Even if this has been ACKed before

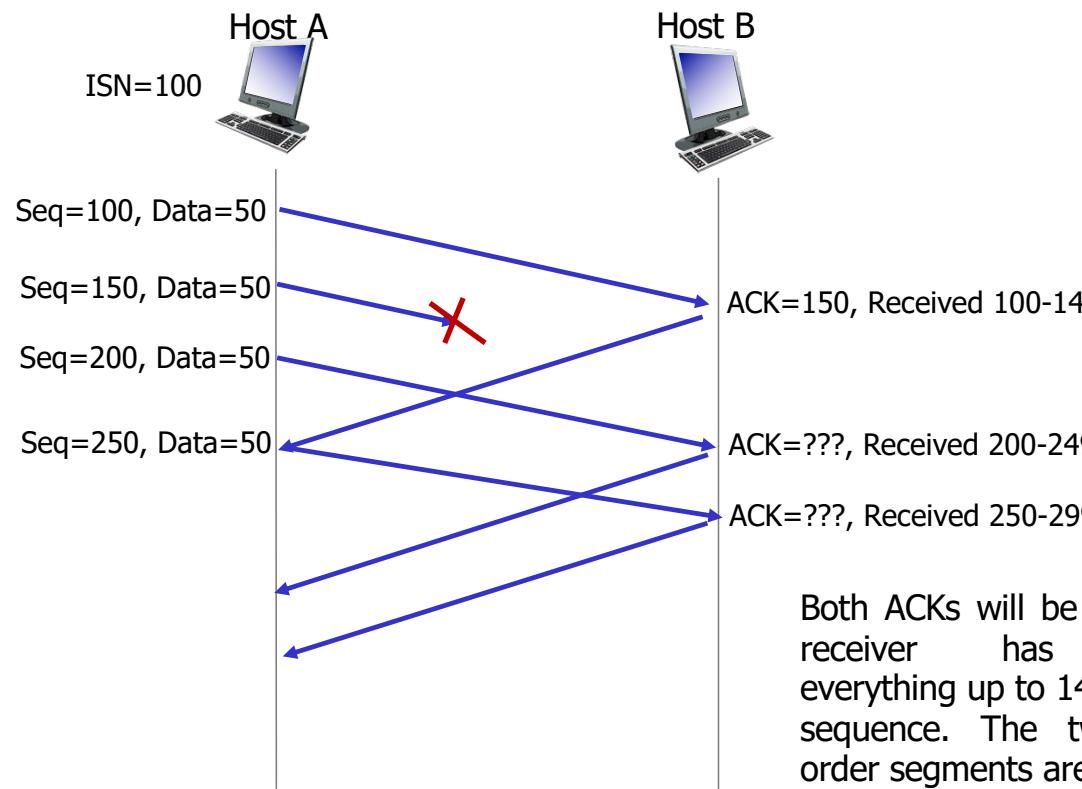
An Example



Seq = 300 (new segment)

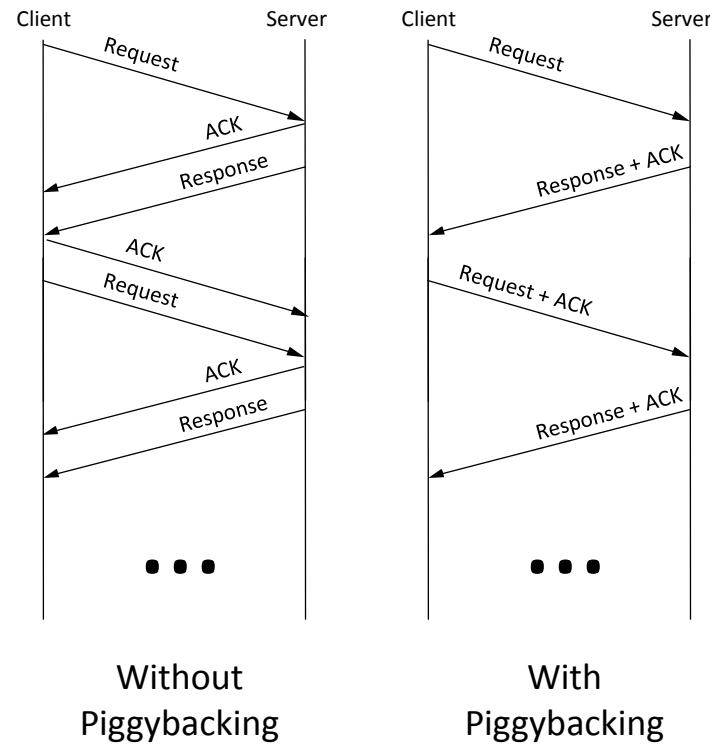
Since TCP uses cumulative ACKs, the receipt of ACK 300 before a timeout (for seg with sequence number 200) implies the receiver has received all 4 segments sent above

Another Example

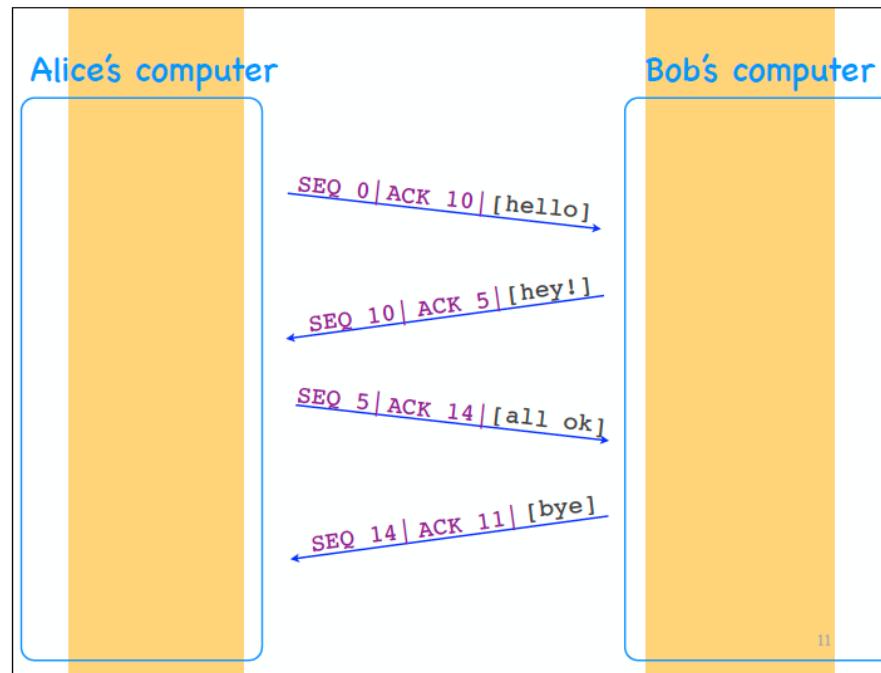


Piggybacking

- ❖ So far, we've assumed distinct “sender” and “receiver” roles
- ❖ Usually both sides of a connection (i.e. the application processes) send some data

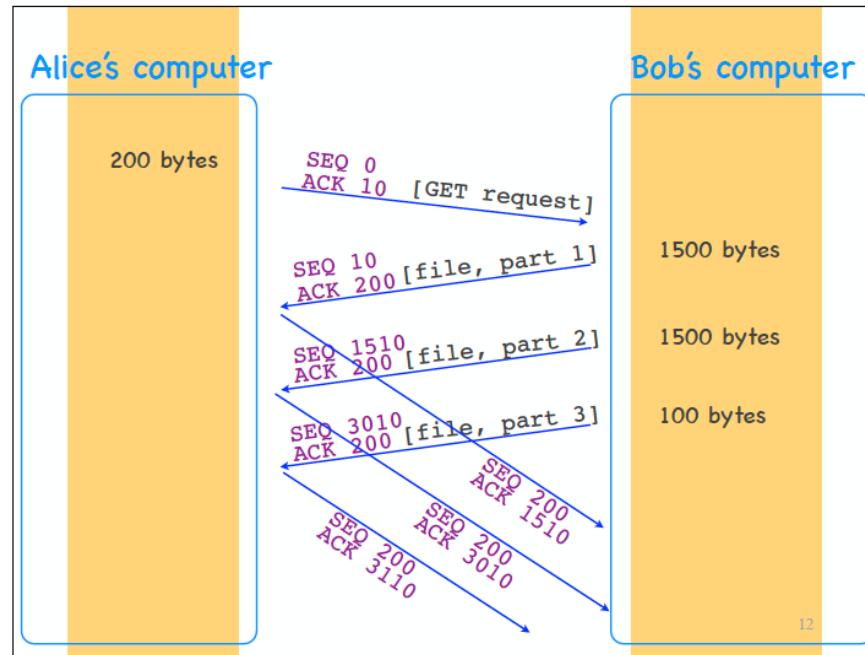


Example



Note: Connection establishment not shown. Alice's end point selects the initial sequence number as 0 while Bob's end point selects the initial sequence number as 10

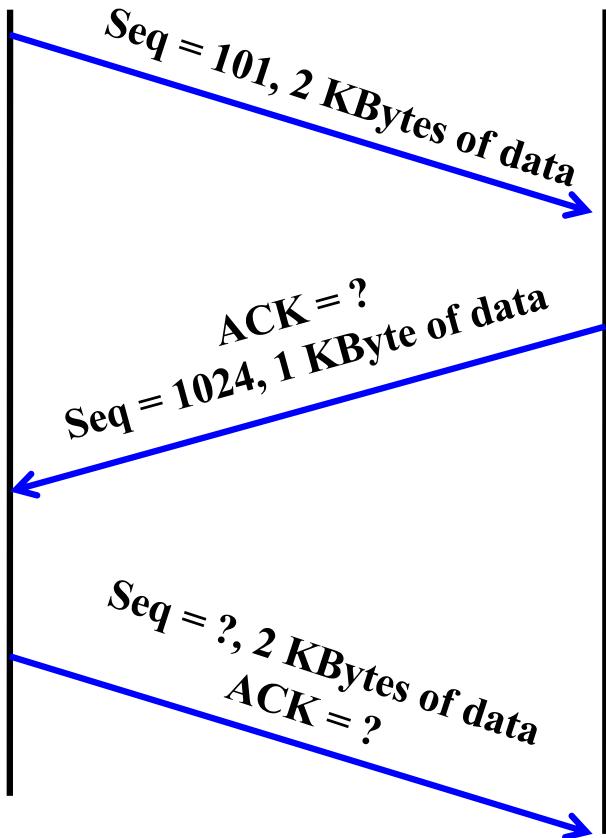
Another Example



Note: Connection establishment not shown. Alice's end point selects the initial sequence number as 0 while Bob's end point selects the initial sequence number as 10

HTTP response split into 3 segments (MSS = 1500 bytes)

Quiz



$$\text{ACK} = 101 + 2048 = 2149$$

$$\text{Seq} = 2149$$

$$\text{ACK} = 1024 + 1024 = 2048$$

What does TCP do?

Most of our previous tricks, but a few differences

- ❖ Checksum
- ❖ Sequence numbers are byte offsets
- ❖ Receiver sends cumulative acknowledgements (like GBN)
- ❖ Receivers **can** buffer out-of-sequence packets (like SR)

Loss with cumulative ACKs

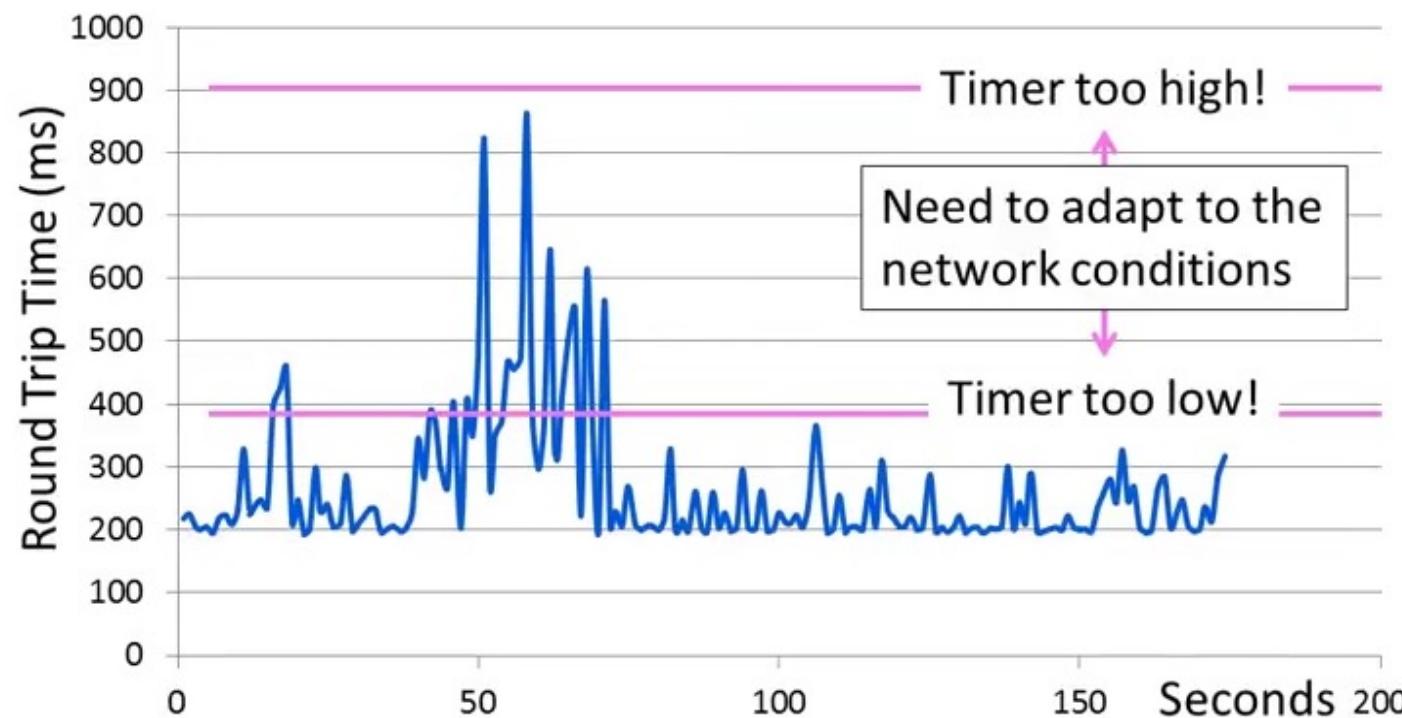
- ❖ Sender sends packets with 100 bytes and sequence numbers:
 - 100, 200, 300, 400, 500, 600, 700, 800, 900, ...
- ❖ Assume the fifth packet (seq. no. 500) is lost, but no others
- ❖ 6th packet onwards are buffered
- ❖ Stream of ACKs will be:
 - 200, 300, 400, 500, 500, 500, ...

What does TCP do?

Most of our previous tricks, but a few differences

- ❖ Checksum
- ❖ Sequence numbers are byte offsets
- ❖ Receiver sends cumulative acknowledgements (like GBN)
- ❖ Receivers do not drop out-of-sequence packets (like SR)
- ❖ Sender maintains a single retransmission timer (like GBN) and retransmits on timeout (*how much?*)

TCP round trip time, timeout



TCP round trip time, timeout

Q: how to set TCP timeout value?

- longer than RTT, but RTT varies!
- *too short*: premature timeout, unnecessary retransmissions
- *too long*: slow reaction to segment loss

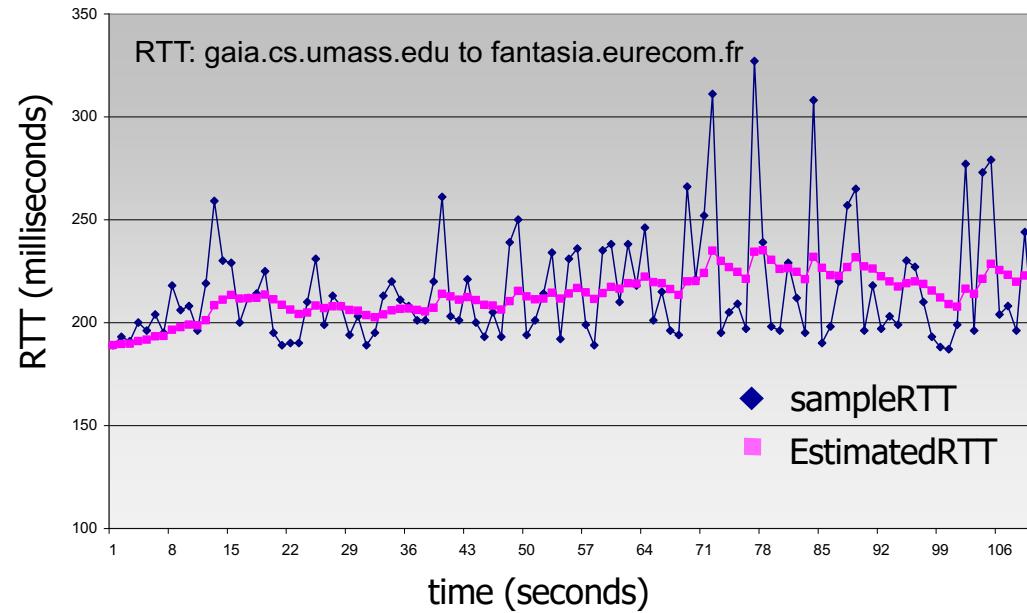
Q: how to estimate RTT?

- `SampleRTT`: measured time from segment transmission until ACK receipt
 - ignore retransmissions
- `SampleRTT` will vary, want estimated RTT “smoother”
 - average several *recent* measurements, not just current `SampleRTT`

TCP round trip time, timeout

$$\text{EstimatedRTT} = (1 - \alpha) * \text{EstimatedRTT} + \alpha * \text{SampleRTT}$$

- exponential weighted moving average (EWMA)
- influence of past sample decreases exponentially fast
- typical value: $\alpha = 0.125$



TCP round trip time, timeout

- timeout interval: **EstimatedRTT** plus “safety margin”
 - large variation in **EstimatedRTT**: want a larger safety margin

$$\text{TimeoutInterval} = \text{EstimatedRTT} + 4 * \text{DevRTT}$$



estimated RTT

“safety margin”

- DevRTT**: EWMA of **SampleRTT** deviation from **EstimatedRTT**:

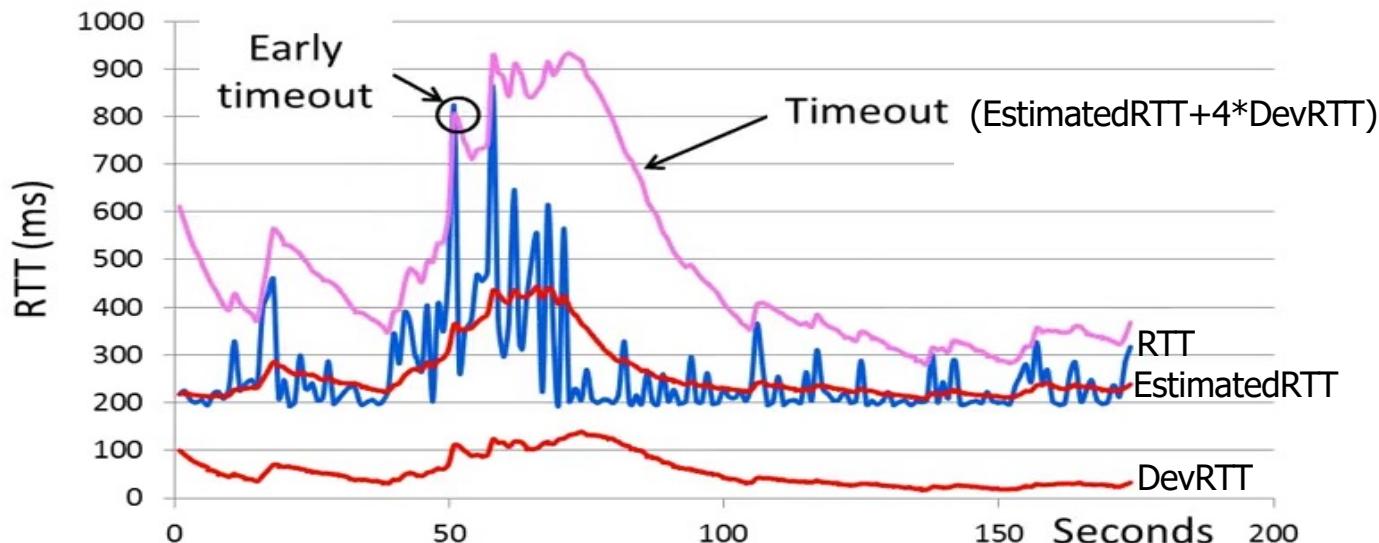
$$\text{DevRTT} = (1-\beta) * \text{DevRTT} + \beta * |\text{SampleRTT} - \text{EstimatedRTT}|$$

(typically, $\beta = 0.25$)

Practice Problem:

http://wps.pearsoned.com/ecs_kurose_compnetw_6/216/55463/14198700.cw/index.html

TCP round trip time, timeout



$$\text{TimeoutInterval} = \text{EstimatedRTT} + 4 * \text{DevRTT}$$



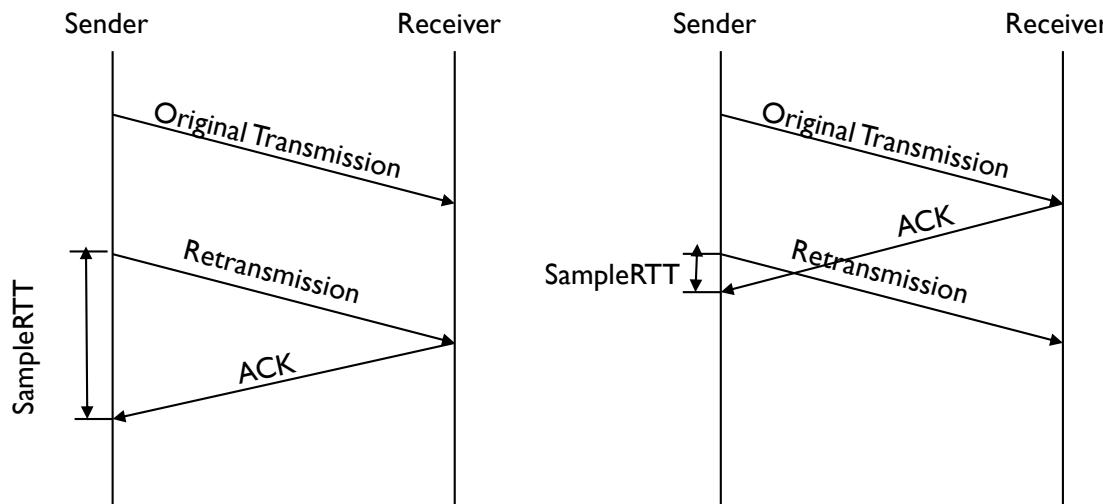
estimated RTT

“safety margin”

Figure: Credits Prof David Wetherall UoW

Why exclude retransmissions in RTT computation?

- ❖ How do we differentiate between the real ACK, and ACK of the retransmitted packet?
- ❖ Sender cannot differentiate between the two scenarios shown below



TCP Sender (simplified)

event: data received from application

- create segment with seq #
- seq # is byte-stream number of first data byte in segment
- start timer if not already running
 - think of timer as for oldest unACKed segment
 - expiration interval:
TimeOutInterval

event: timeout

- retransmit segment that caused timeout
- restart timer

event: ACK received

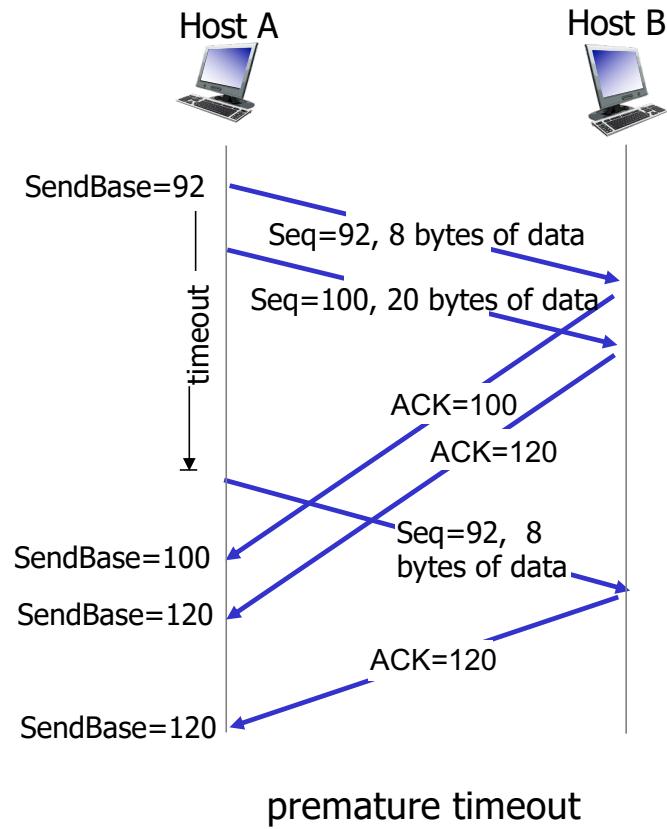
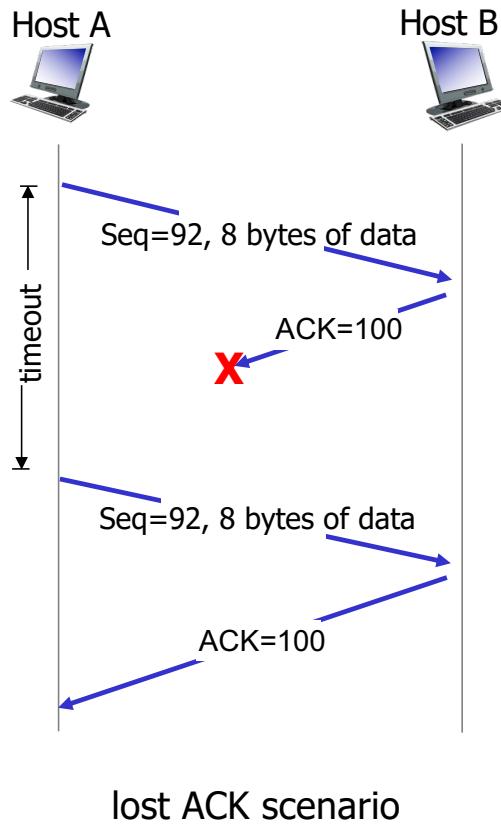
- if ACK acknowledges previously unACKed segments
 - update what is known to be ACKed
 - start timer if there are still unACKed segments

TCP ACK generation [RFC 1122, RFC 2581]

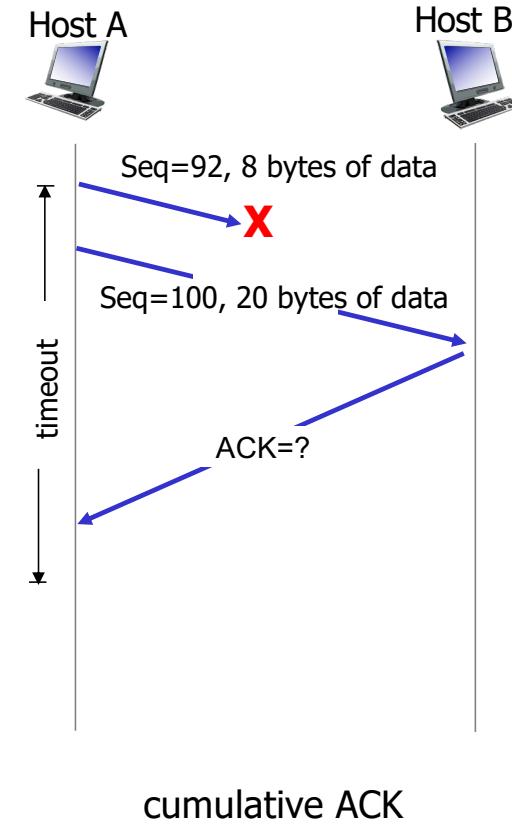
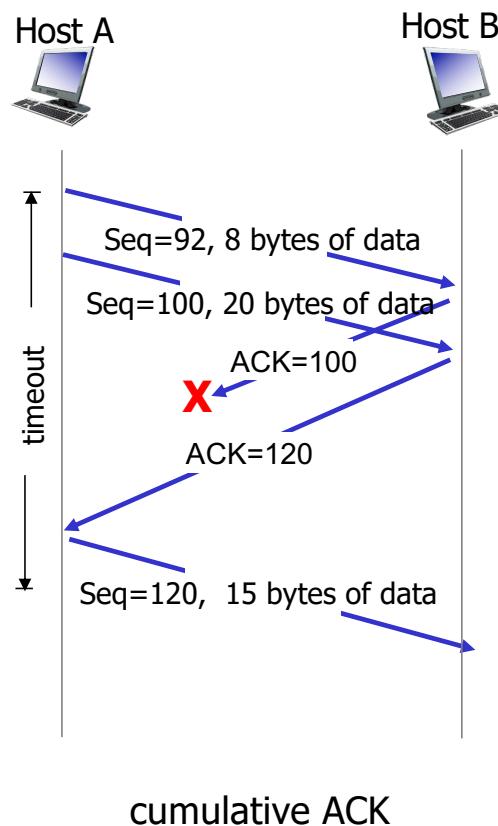
Note: You may neglect delayed ACKs in the exam unless explicitly told to consider it

<i>event at receiver</i>	<i>TCP receiver action</i>
arrival of in-order segment with expected seq #. All data up to expected seq # already ACKed	delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
arrival of in-order segment with expected seq #. One other segment has ACK pending	immediately send single cumulative ACK, ACKing both in-order segments
arrival of out-of-order segment higher-than-expect seq. # . Gap detected	immediately send <i>duplicate ACK</i> , indicating seq. # of next expected byte
arrival of segment that partially or completely fills gap	immediate send ACK, provided that segment starts at lower end of gap

TCP: retransmission scenarios



TCP: retransmission scenarios



What does TCP do?

Most of our previous tricks, but a few differences

- ❖ Checksum
- ❖ Sequence numbers are byte offsets
- ❖ Receiver sends cumulative acknowledgements (like GBN)
- ❖ Receivers may not drop out-of-sequence packets (like SR)
- ❖ Sender maintains a single retransmission timer (like GBN) and retransmits on timeout
- ❖ Introduces **fast retransmit**: optimisation that uses duplicate ACKs to trigger early retransmission

TCP fast retransmit

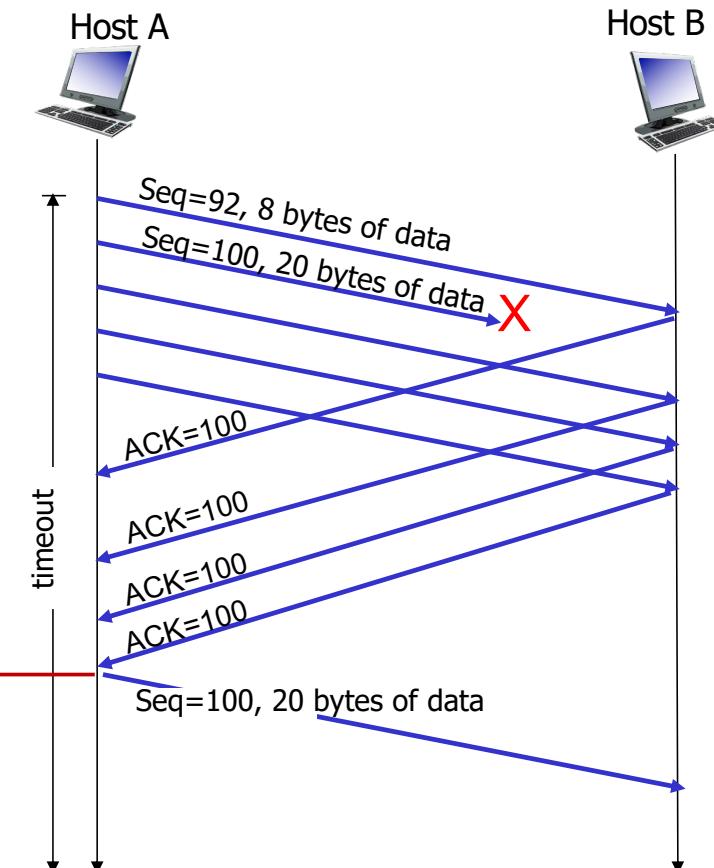
TCP fast retransmit

if sender receives 3 additional ACKs for same data (“triple duplicate ACKs”), resend unACKed segment with smallest seq #

- likely that unACKed segment lost, so don’t wait for timeout



Receipt of three duplicate ACKs indicates 3 segments received after a missing segment – lost segment is likely. So retransmit!





Quiz: TCP Sequence Numbers?

A TCP Sender is about to send a segment of size 100 bytes with sequence number 1234 and ack number 436. What is the highest sequence number up to (and including) which this sender has received from the receiver?

- A. 123
- B. 436
- C. 435 ✓
- D. 1334
- E. 536



Quiz: TCP Sequence Numbers?

A TCP Sender is about to send a segment of size 100 bytes with sequence number 1234 and ack number 436. Is it possible that the receiver has received byte number 1335?

- A. Yes
- B. No ✓



Quiz: TCP Sequence Numbers?

The following statement is true about the TCP sliding window protocol for implementing reliable data transfer

- A. It exclusively uses the ideas of Go-Back-N
- B. It exclusively uses the ideas of Selective Repeat
- C. It uses a combination of ideas of Go-Back-N and Selective-Repeat ✓
- D. It uses none of the ideas of Go-Back-N and Selective-Repeat

Transport Layer Outline

3.1 transport-layer services

3.2 multiplexing and
demultiplexing

3.3 connectionless transport:
UDP

3.4 principles of reliable data
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3.5 connection-oriented
transport: TCP

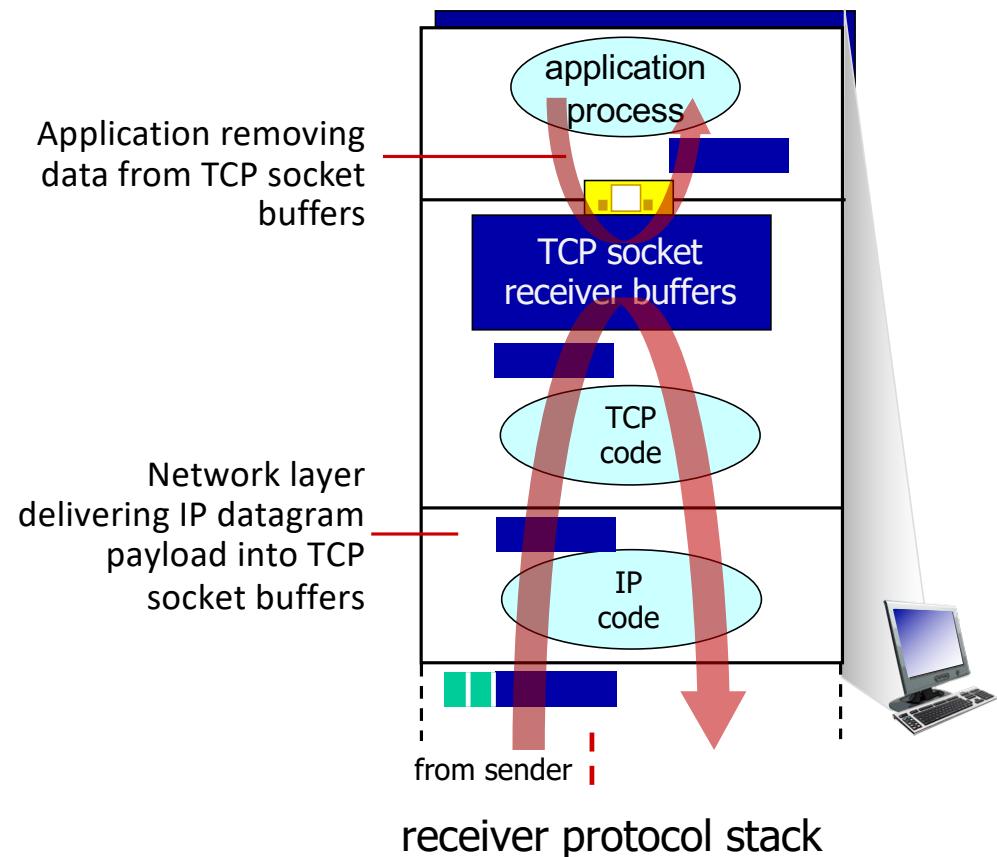
- segment structure
- reliable data transfer
- **flow control**
- connection management

3.6 principles of congestion
control

3.7 TCP congestion control

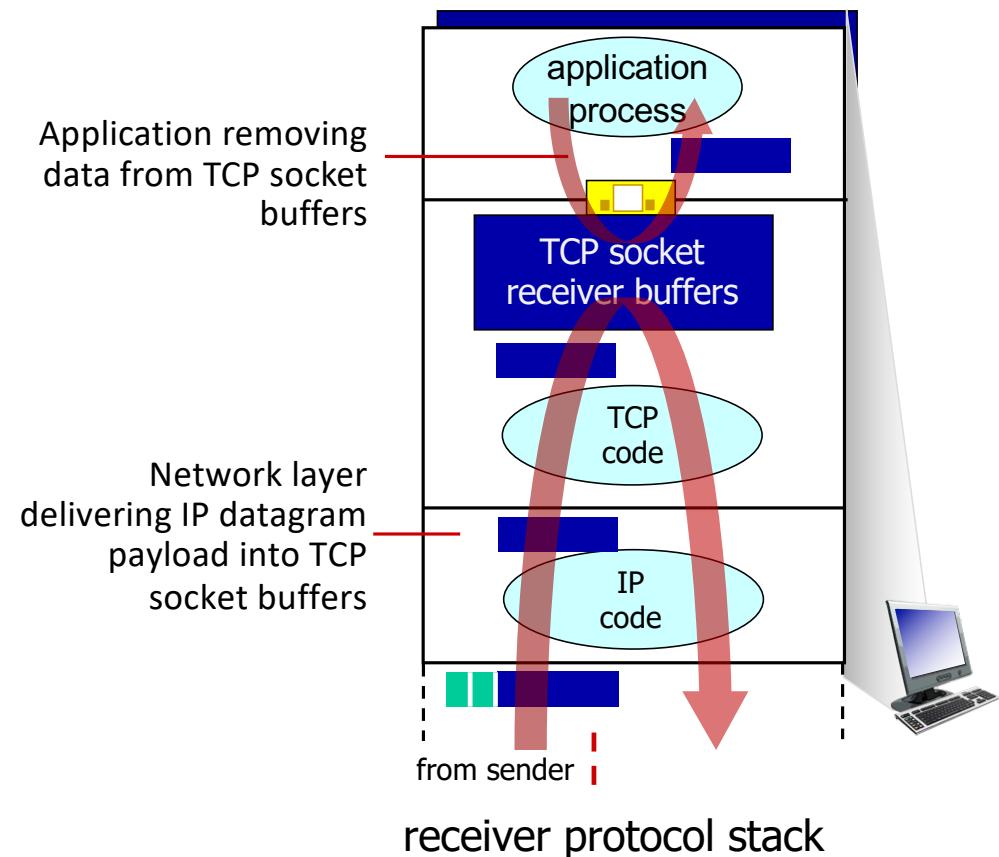
TCP flow control

Q: What happens if network layer delivers data faster than application layer removes data from socket buffers?



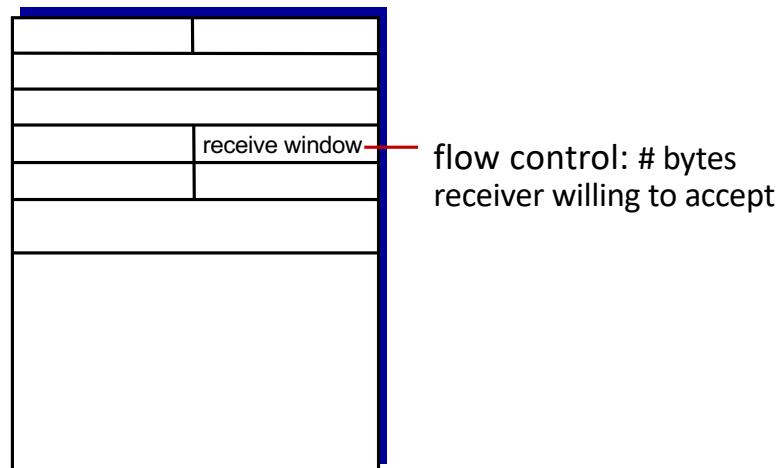
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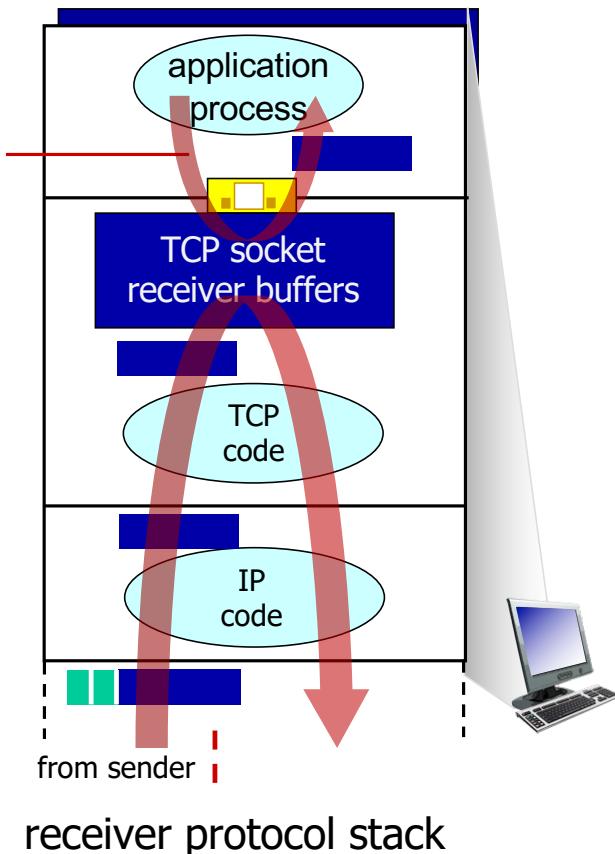


TCP flow control

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Application removing
data from TCP socket
buffers



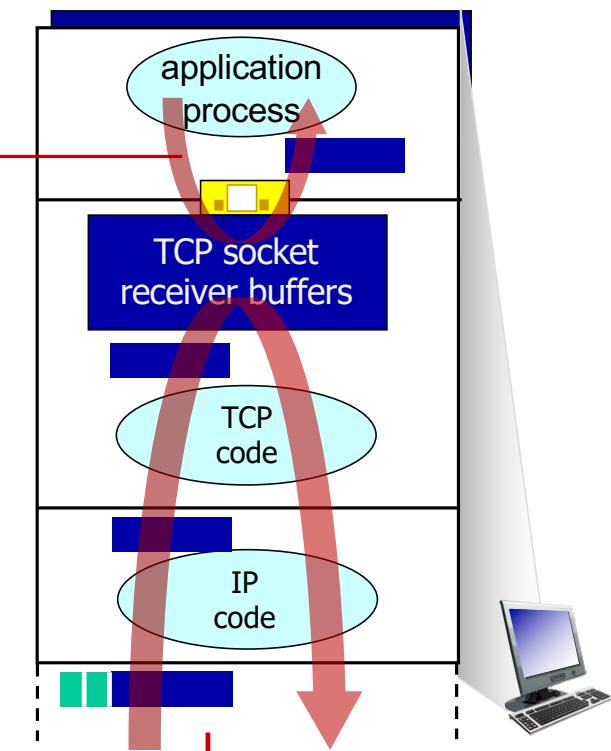
TCP flow control

Q: What happens if network layer delivers data faster than application layer removes data from socket buffers?

flow control

receiver controls sender, so sender won't overflow receiver's buffer by transmitting too much, too fast

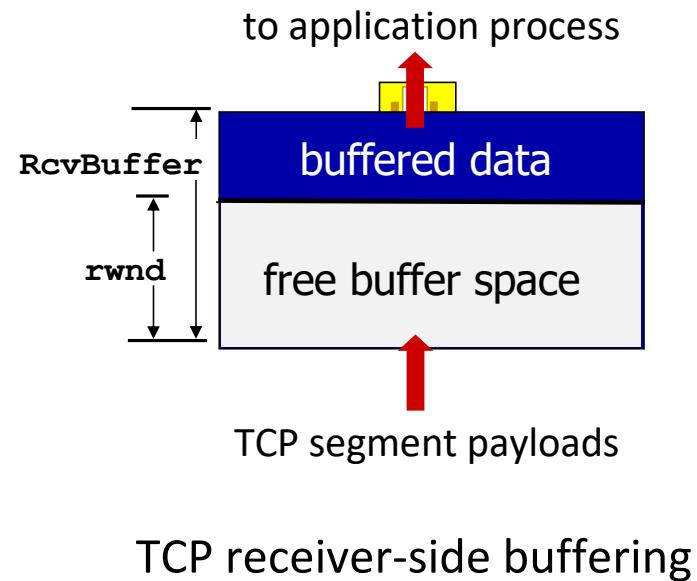
Application removing data from TCP socket buffers



receiver protocol stack

TCP flow control

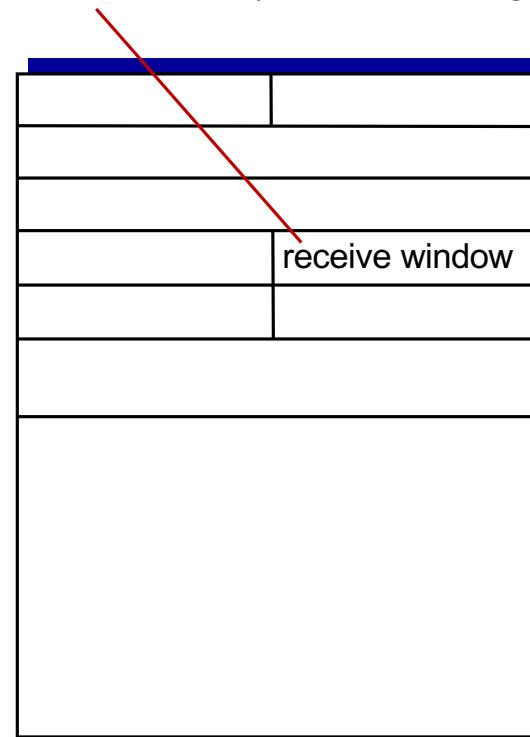
- TCP receiver “advertises” free buffer space in **rwnd** field in TCP header
 - **RcvBuffer** size set via socket options (typical default is 4096 bytes)
 - many operating systems autoadjust **RcvBuffer**
- sender limits amount of unACKed (“in-flight”) data to received **rwnd**
- guarantees receive buffer will not overflow



TCP flow control

- TCP receiver “advertises” free buffer space in **rwnd** field in TCP header
 - **RcvBuffer** size set via socket options (typical default is 4096 bytes)
 - many operating systems autoadjust **RcvBuffer**
- sender limits amount of unACKed (“in-flight”) data to received **rwnd**
- guarantees receive buffer will not overflow

flow control: # bytes receiver willing to accept



TCP segment format

TCP flow control

- ❖ What if **rwnd** = 0?
 - Sender would stop sending data
 - Eventually the receive buffer would have space when the application process reads some bytes
 - But how does the receiver advertise the new **rwnd** to the sender?
- ❖ Sender keeps sending TCP segments with one data byte to the receiver
- ❖ These segments are dropped but acknowledged by the receiver with a zero-window size
- ❖ Eventually when the buffer empties, non-zero window is advertised

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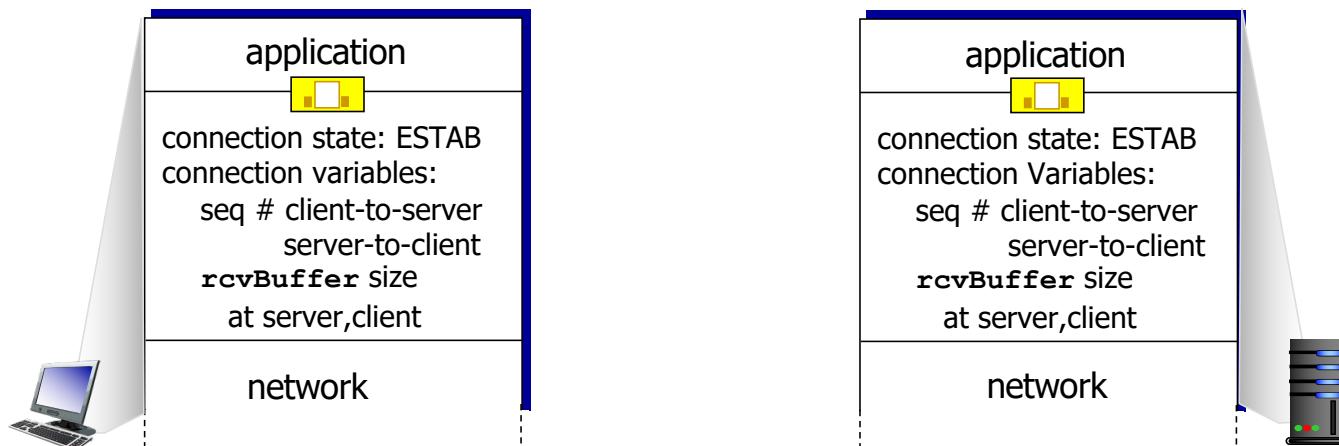
3.6 principles of congestion
control

3.7 TCP congestion control

TCP connection management

before exchanging data, sender/receiver “handshake”:

- agree to establish connection (each knowing the other willing to establish connection)
- agree on connection parameters (e.g., starting seq #s)

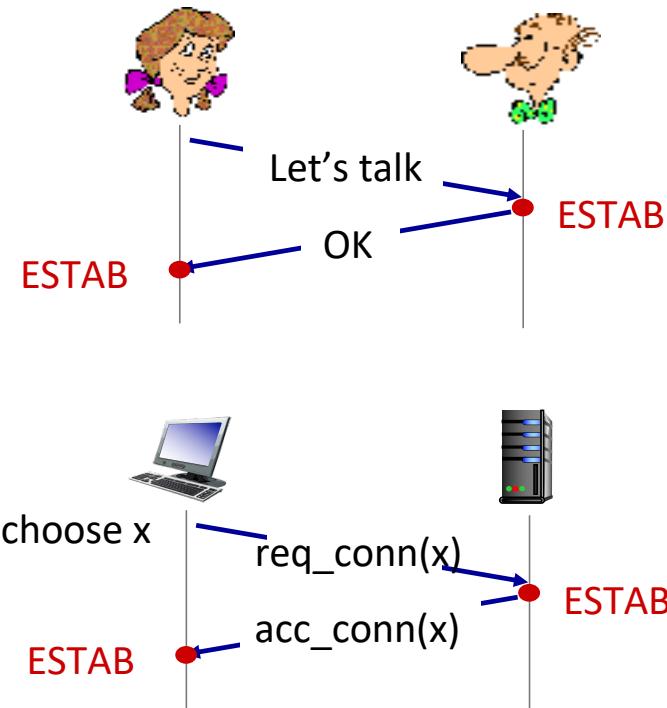


```
Socket clientSocket =  
    newSocket("hostname", "port number");
```

```
Socket connectionSocket =  
    welcomeSocket.accept();
```

Agreeing to establish a connection

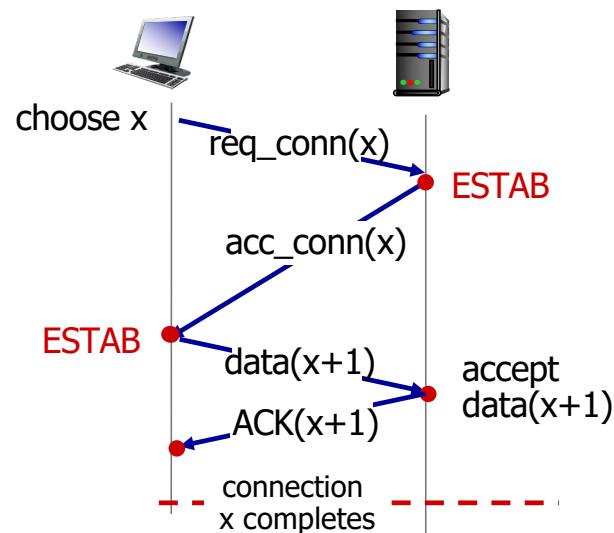
2-way handshake:



Q: will 2-way handshake always work in network?

- variable delays
- retransmitted messages (e.g. $\text{req_conn}(x)$) due to message loss
- message reordering
- can't “see” other side

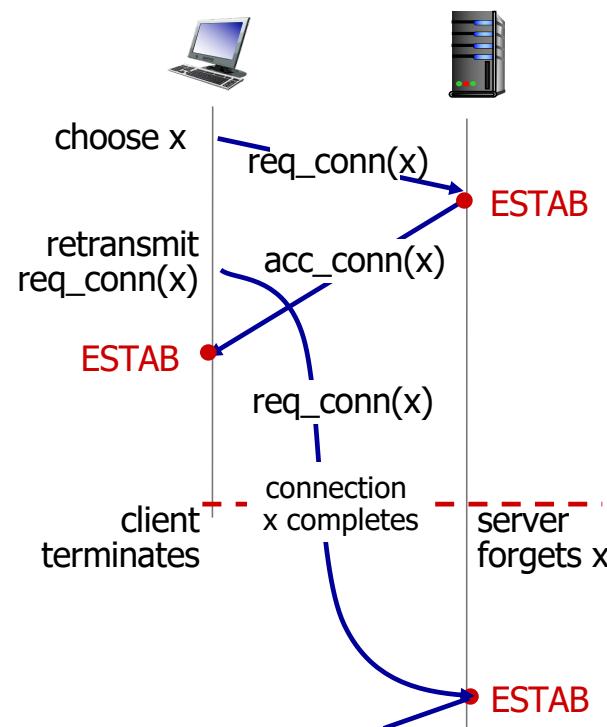
2-way handshake scenarios



No problem!

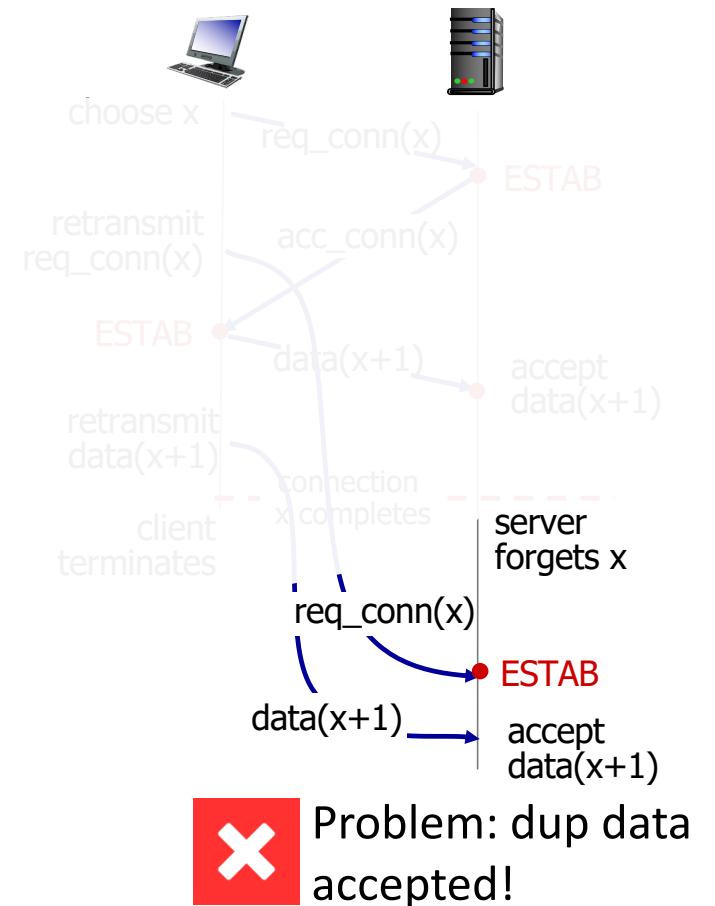


2-way handshake scenarios

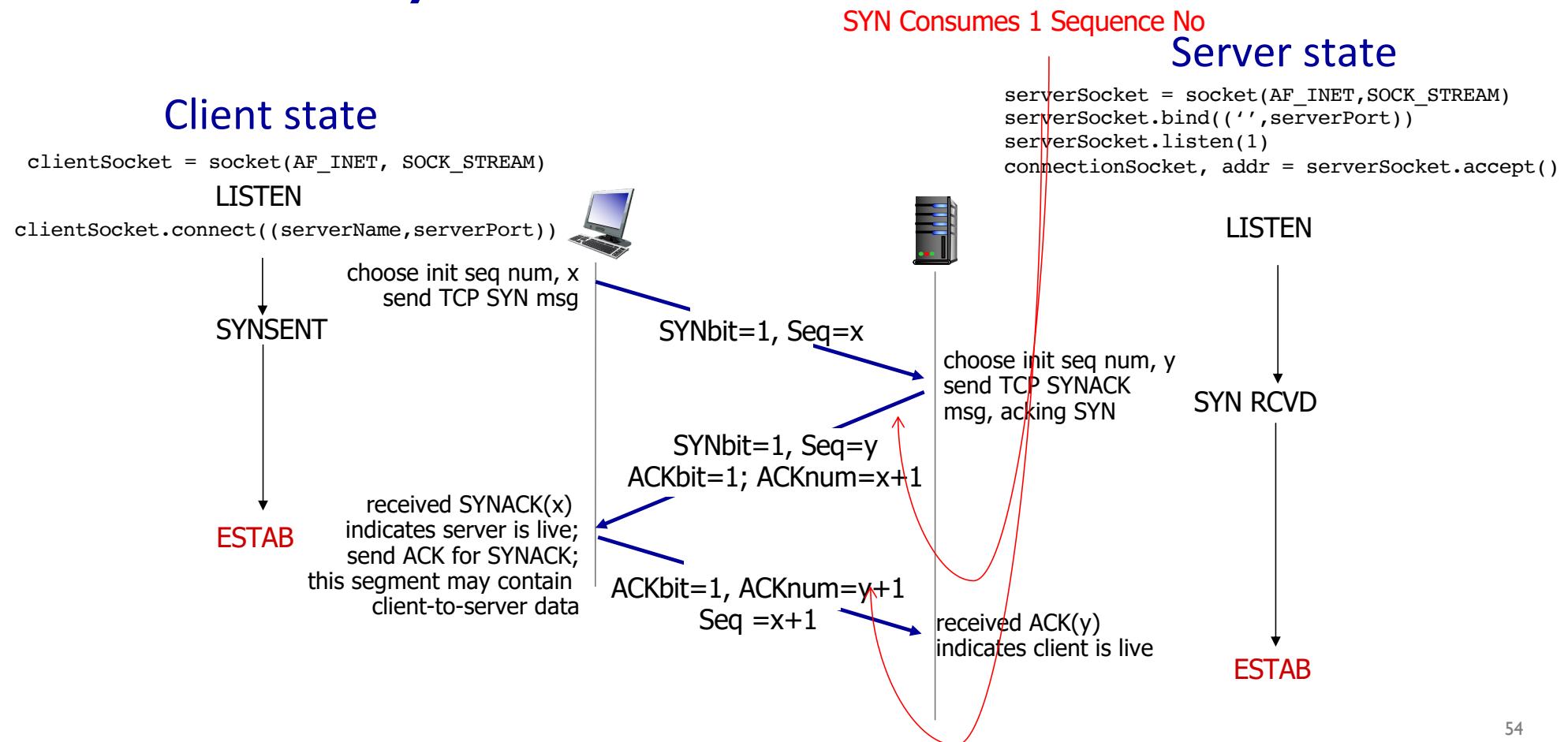


✗ Problem: half open connection! (no client)

2-way handshake scenarios



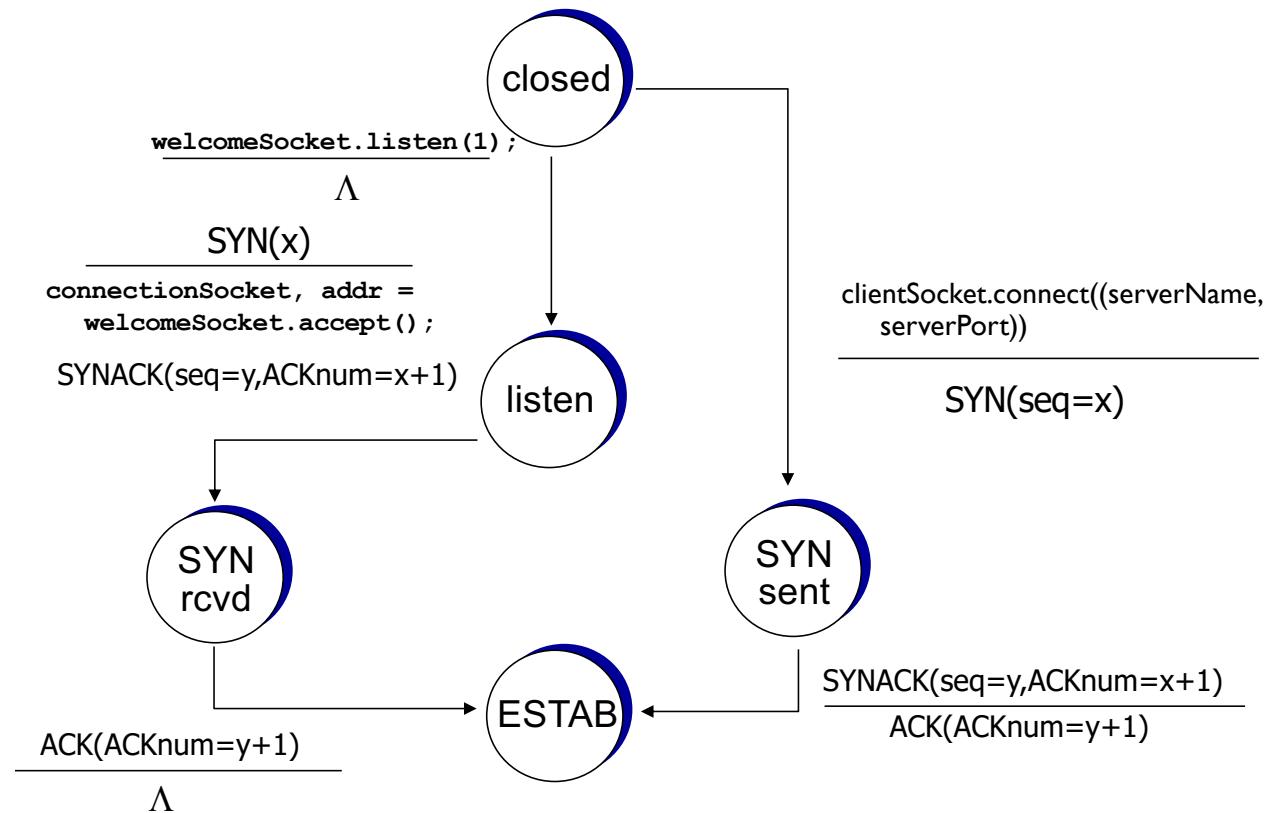
TCP 3-way handshake



A human 3-way handshake protocol



TCP 3-way handshake: Partial state machine



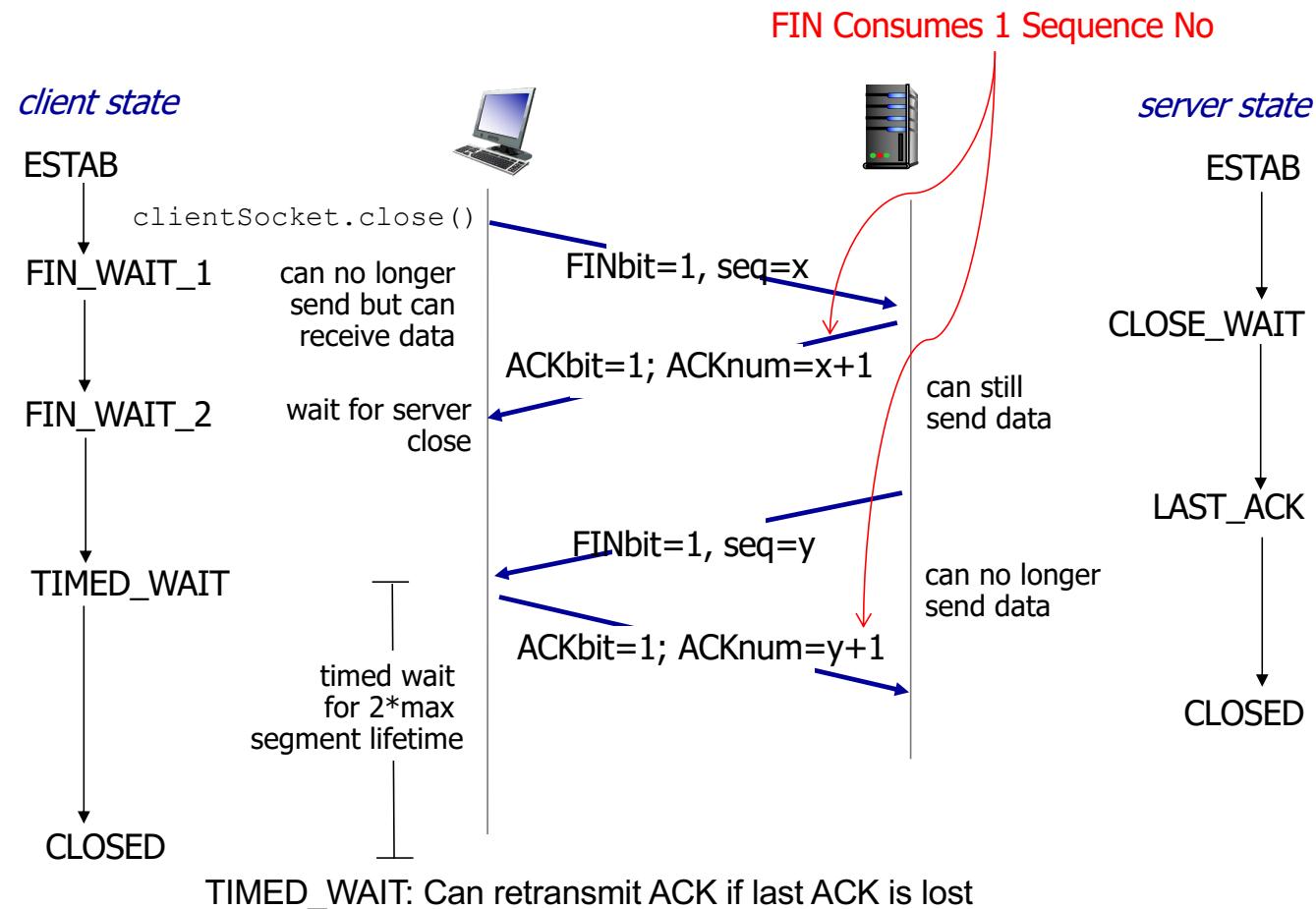
What if the SYN Packet Gets Lost?

- ❖ Suppose the SYN packet gets lost
 - Packet is lost inside the network, or:
 - Server **discards** the packet (e.g., it's too busy)
- ❖ Eventually, no SYN-ACK arrives
 - Sender sets a **timer** and **waits** for the SYN-ACK
 - ... and retransmits the SYN if needed
- ❖ How should the TCP sender set the timer?
 - Sender has **no idea** how far away the receiver is
 - Hard to guess a reasonable length of time to wait
 - **SHOULD** (RFCs 1122,2988) use default of **3 second**,
RFC 6298 use default of **1 second**

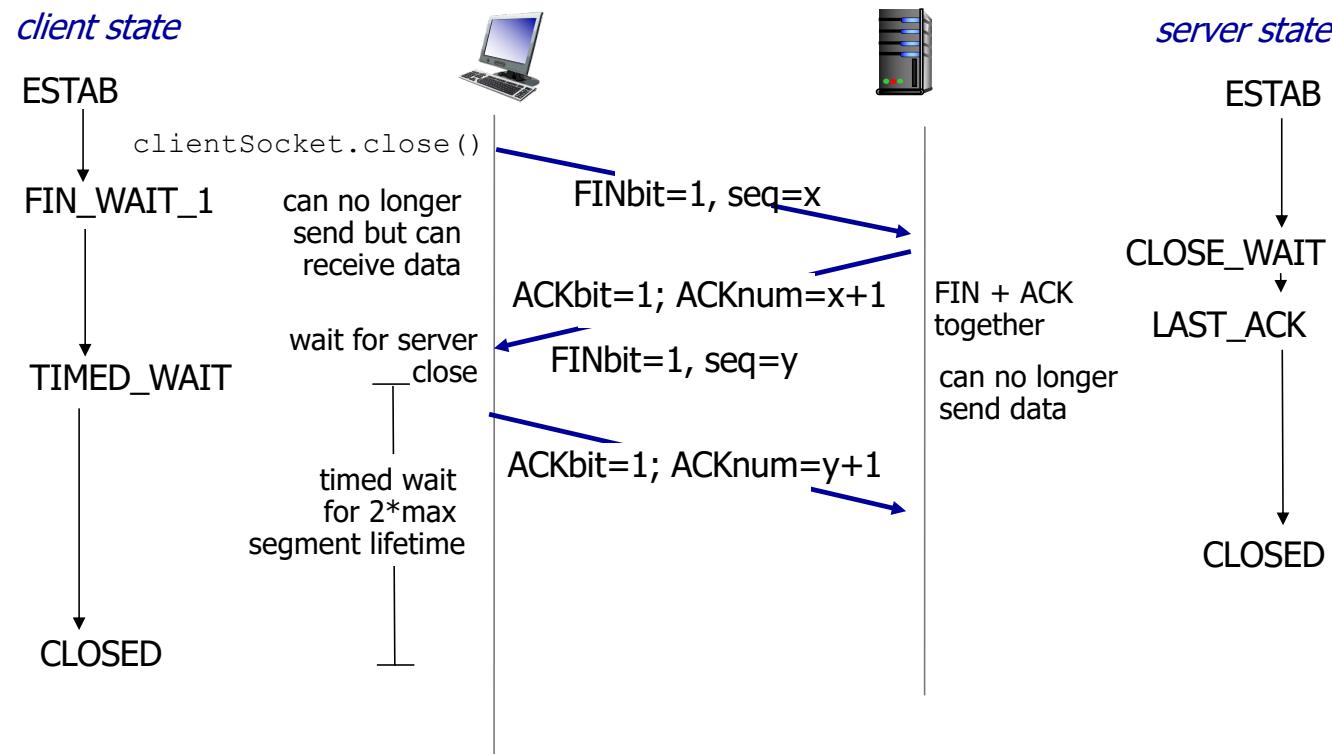
TCP: closing a connection

- ❖ client, server each close their side of connection
 - send TCP segment with FIN bit = 1
- ❖ respond to received FIN with ACK
 - on receiving FIN, ACK can be combined with own FIN
- ❖ simultaneous FIN exchanges can be handled

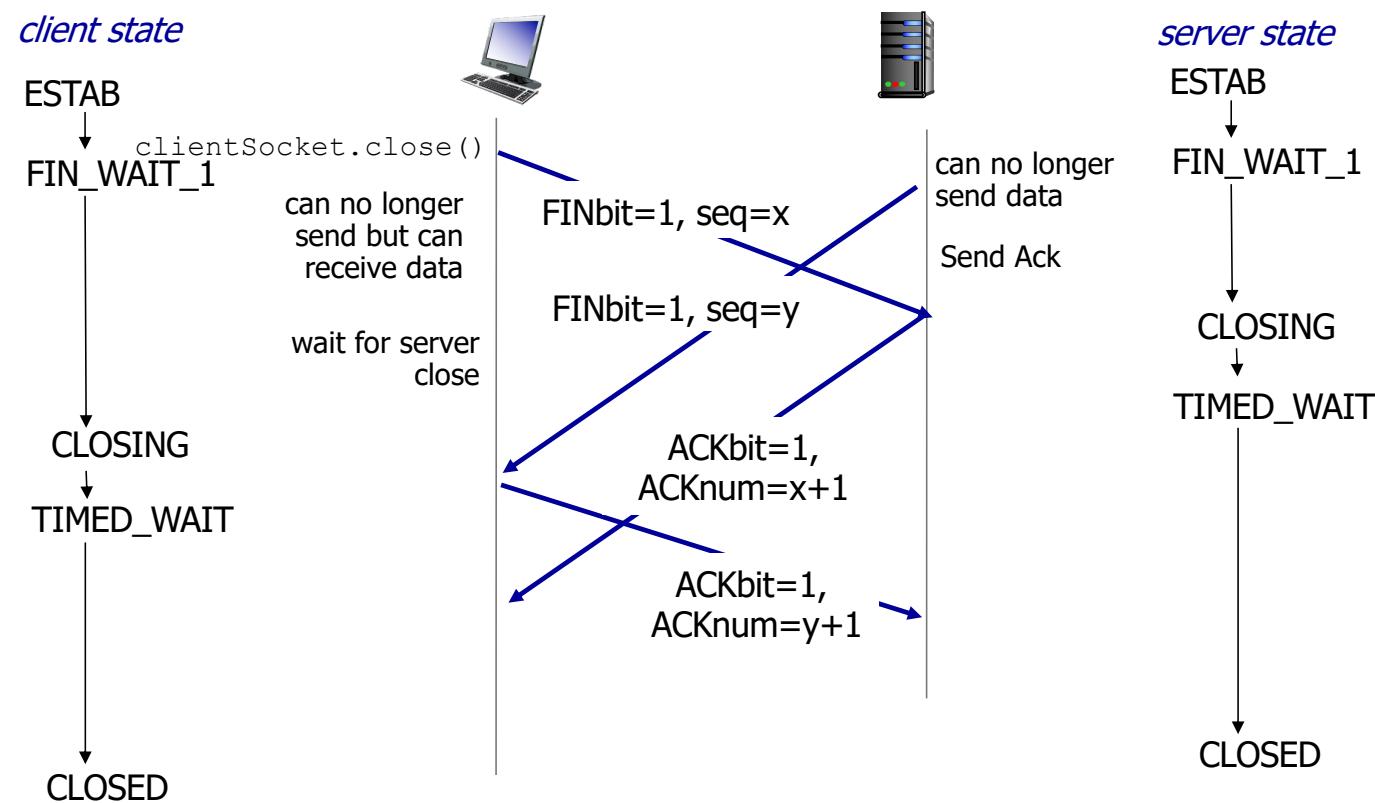
Normal Termination, One at a Time



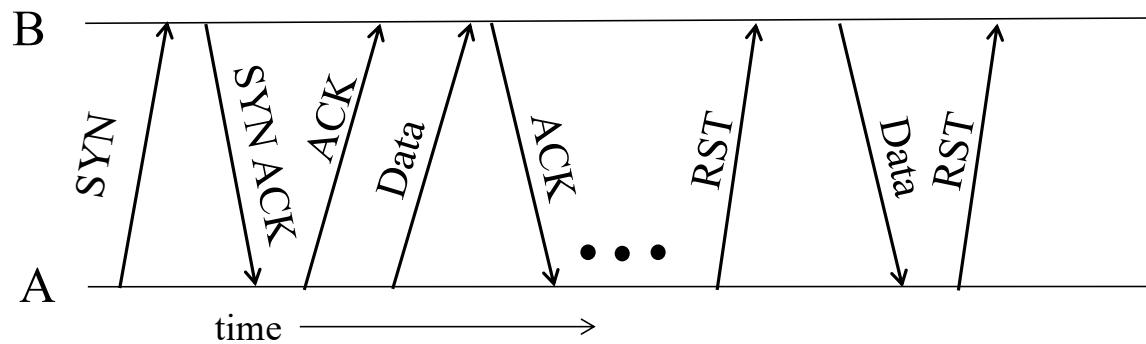
Normal Termination, Both Together



Simultaneous Closure

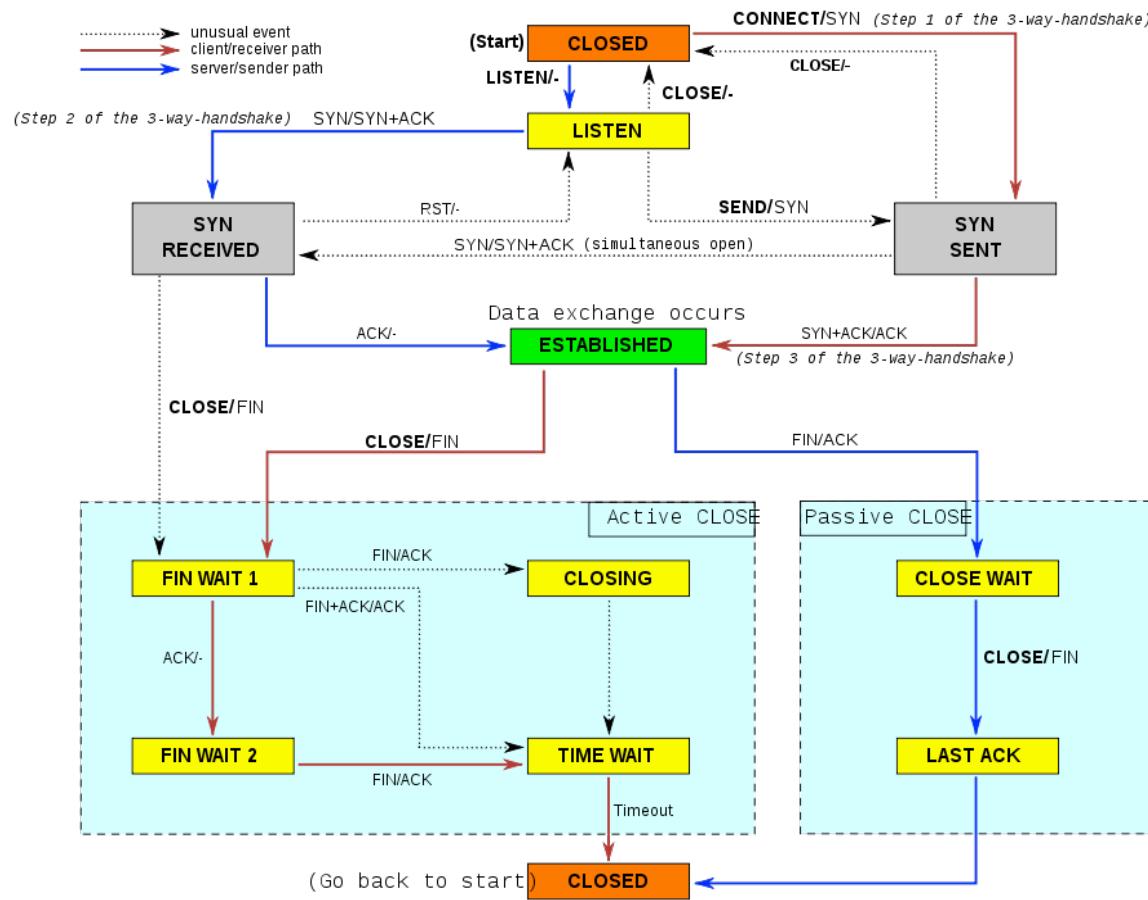


Abrupt Termination



- ❖ A sends a RESET (**RST**) to B
 - E.g., because application process on A **crashed**
- ❖ **That's it**
 - B does **not** ack the **RST**
 - Thus, **RST** is **not** delivered **reliably**
 - And: any data in flight is **lost**
 - But: if B sends anything more, will elicit **another RST**

TCP Finite State Machine



TCP SYN Attack (SYN flooding)

- ❖ Miscreant creates a fake SYN packet
 - Destination is IP address of victim host (usually some server)
 - Source is some spoofed IP address
- ❖ Victim host on receiving creates a TCP connection state i.e allocates buffers, creates variables, etc and sends SYN ACK to the spoofed address (half-open connection)
- ❖ ACK never comes back
- ❖ After a timeout connection state is freed
- ❖ However for this duration the connection state is unnecessarily created
- ❖ Further miscreant sends large number of fake SYNs
 - Can easily overwhelm the victim
- ❖ Solutions:
 - Increase size of connection queue
 - Decrease timeout wait for the 3-way handshake
 - Firewalls: list of known bad source IP addresses
 - TCP SYN Cookies (explained on next slide)

TCP SYN Cookie

- ❖ On receipt of SYN, server does not create connection state
- ❖ It creates an initial sequence number (*init_seq*) that is a hash of source & dest IP address and port number of SYN packet (secret key used for hash)
 - Replies back with SYN ACK containing *init_seq*
 - Server does not need to store this sequence number
- ❖ If original SYN is genuine, an ACK will come back
 - Same hash function run on the same header fields to get the initial sequence number (*init_seq*)
 - Checks if the ACK is equal to (*init_seq+1*)
 - Only create connection state if above is true
- ❖ If fake SYN, no harm done since no state was created

<http://etherealmind.com/tcp-syn-cookies-ddos-defence/>

Quiz: TCP Connection Management?



Assume that one end of a TCP connection selects an initial sequence number 120. The first TCP segment containing data sent by this end point will have a sequence number of _____

- A. 120
- B. 121
- C. 122
- D. 128
- E. 0



Quiz: TCP Connection Management

Assume that one end point of the TCP connection sends a FIN segment. If it never receives an ACK, what should it do?

- A. Assume that the connection is closed and do nothing
- B. Retransmit the FIN
- C. Transmit an ACK
- D. Start crying



Transport Layer: Outline

3.1 transport-layer services

3.2 multiplexing and
demultiplexing

3.3 connectionless transport:
UDP

3.4 principles of reliable data
transfer

3.5 connection-oriented
transport: TCP

- segment structure
- reliable data transfer
- flow control
- connection management

3.6 principles of congestion
control

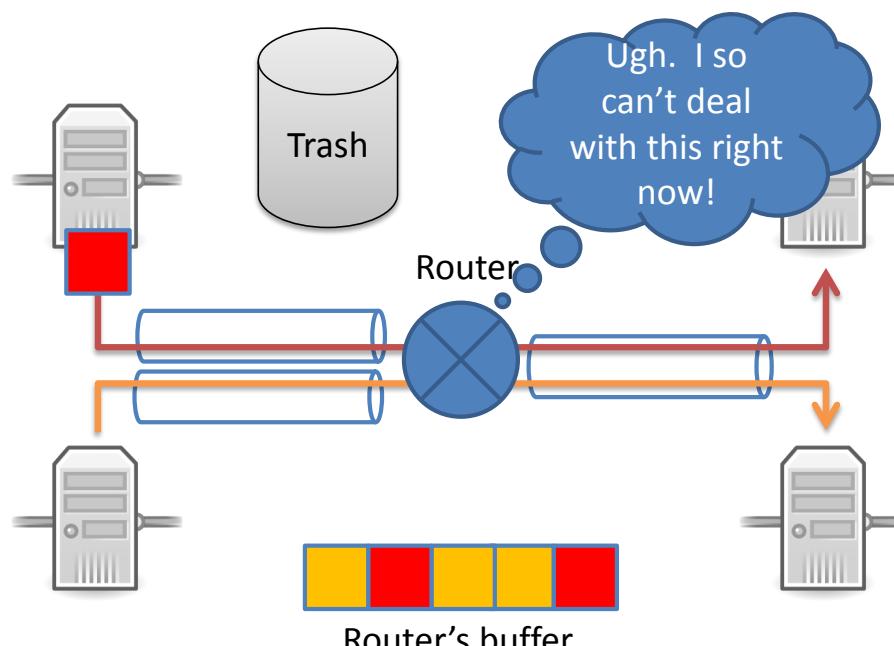
3.7 TCP congestion control

Principles of congestion control

congestion:

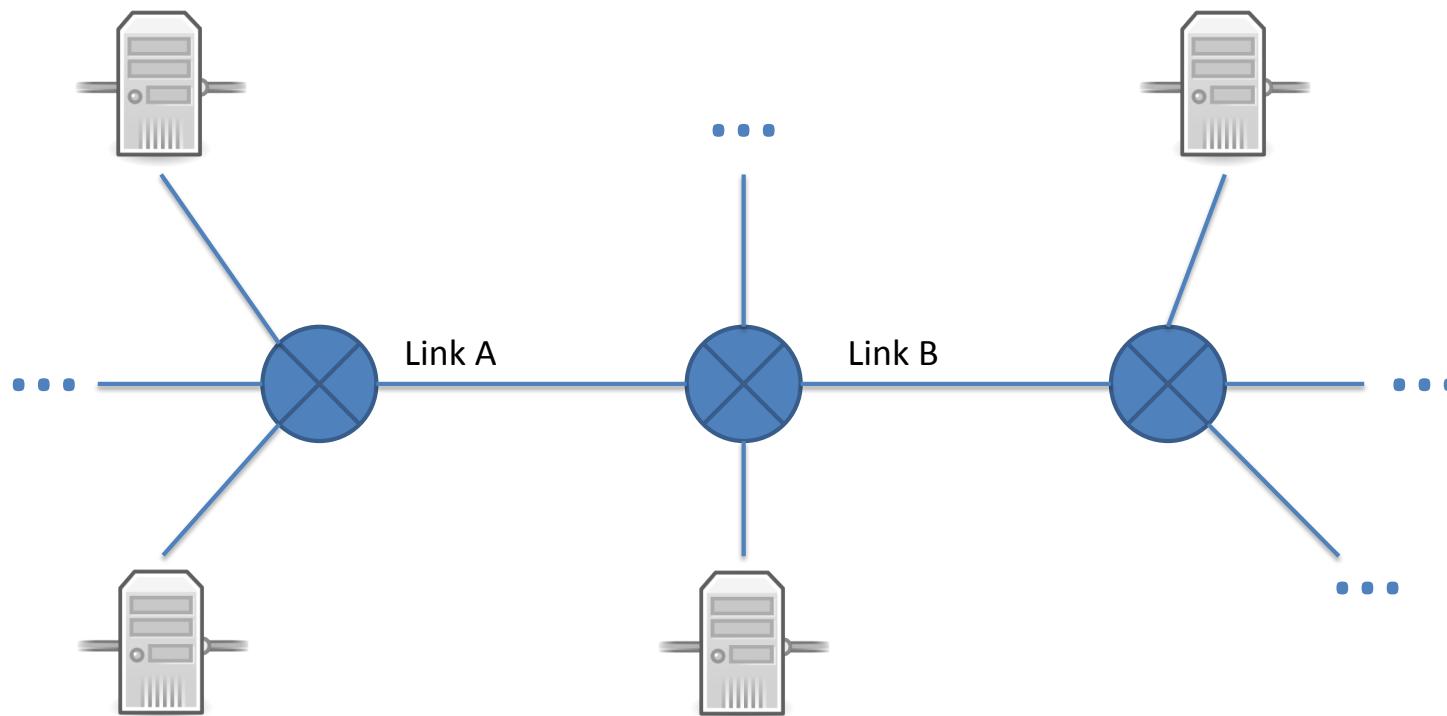
- ❖ informally: “too many sources sending too much data too fast for *network* to handle”
- ❖ different from flow control!
- ❖ manifestations:
 - lost packets (buffer overflow at routers)
 - long delays (queueing in router buffers)
- ❖ a top-10 problem!

Congestion

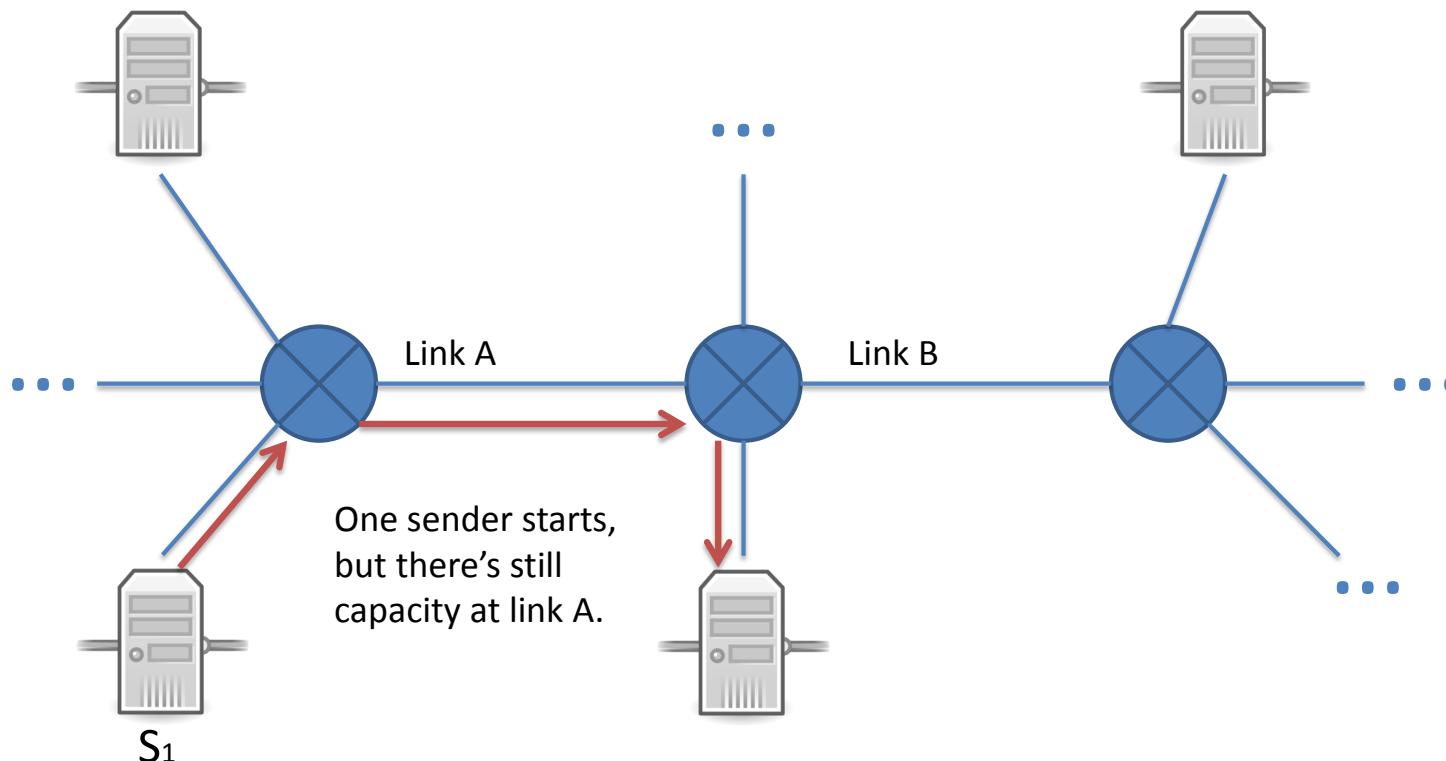


Incoming rate is faster than
outgoing link can support.

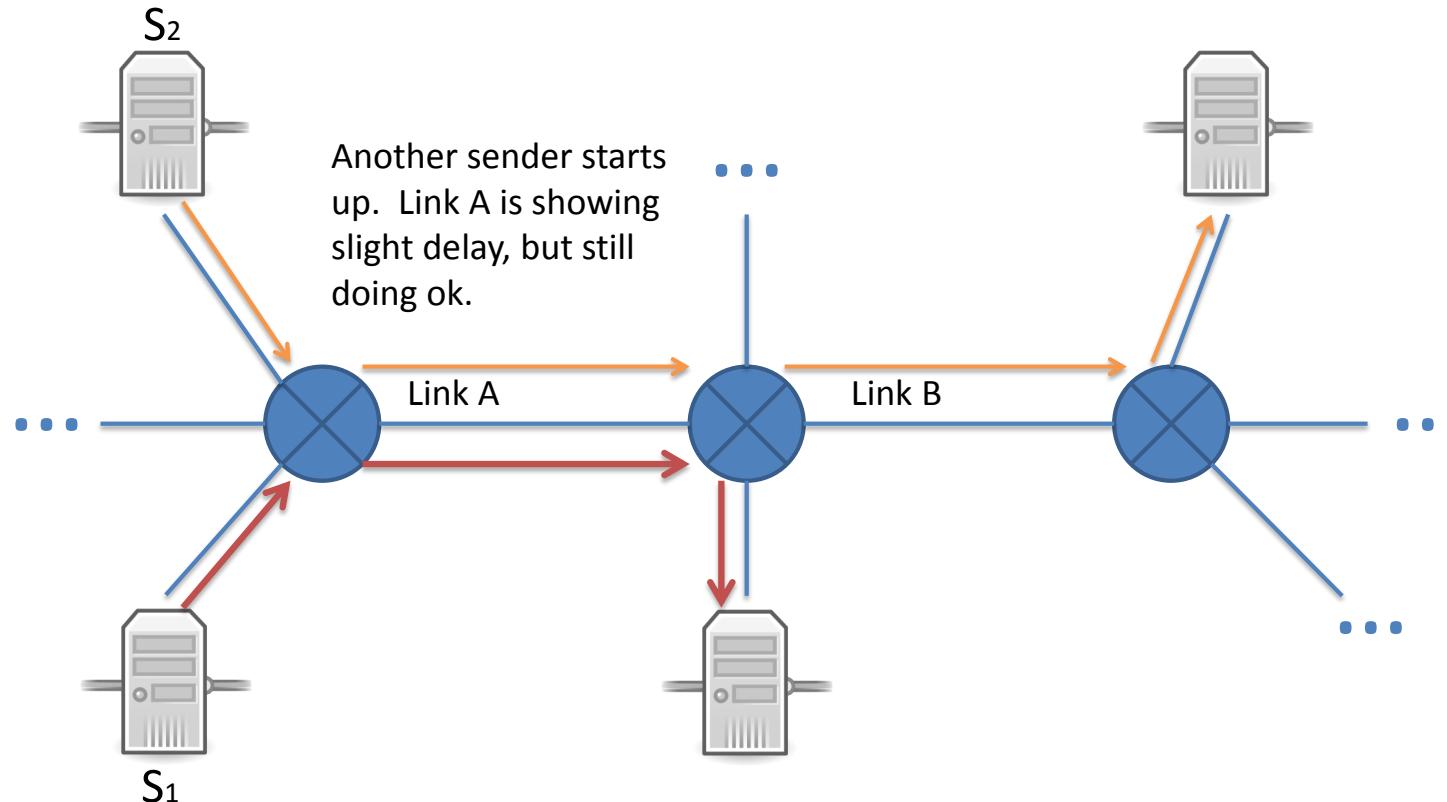
Congestion Collapse



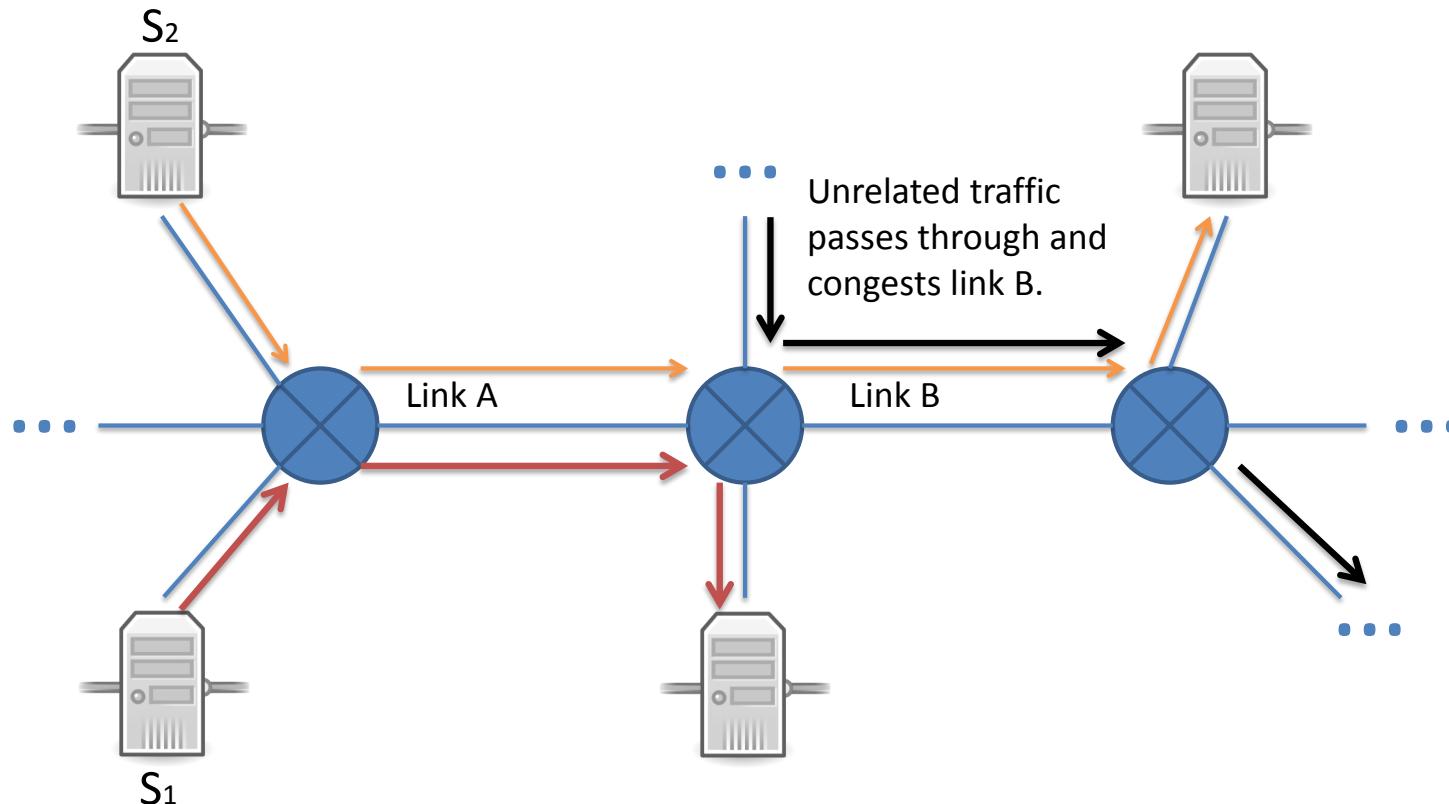
Congestion Collapse



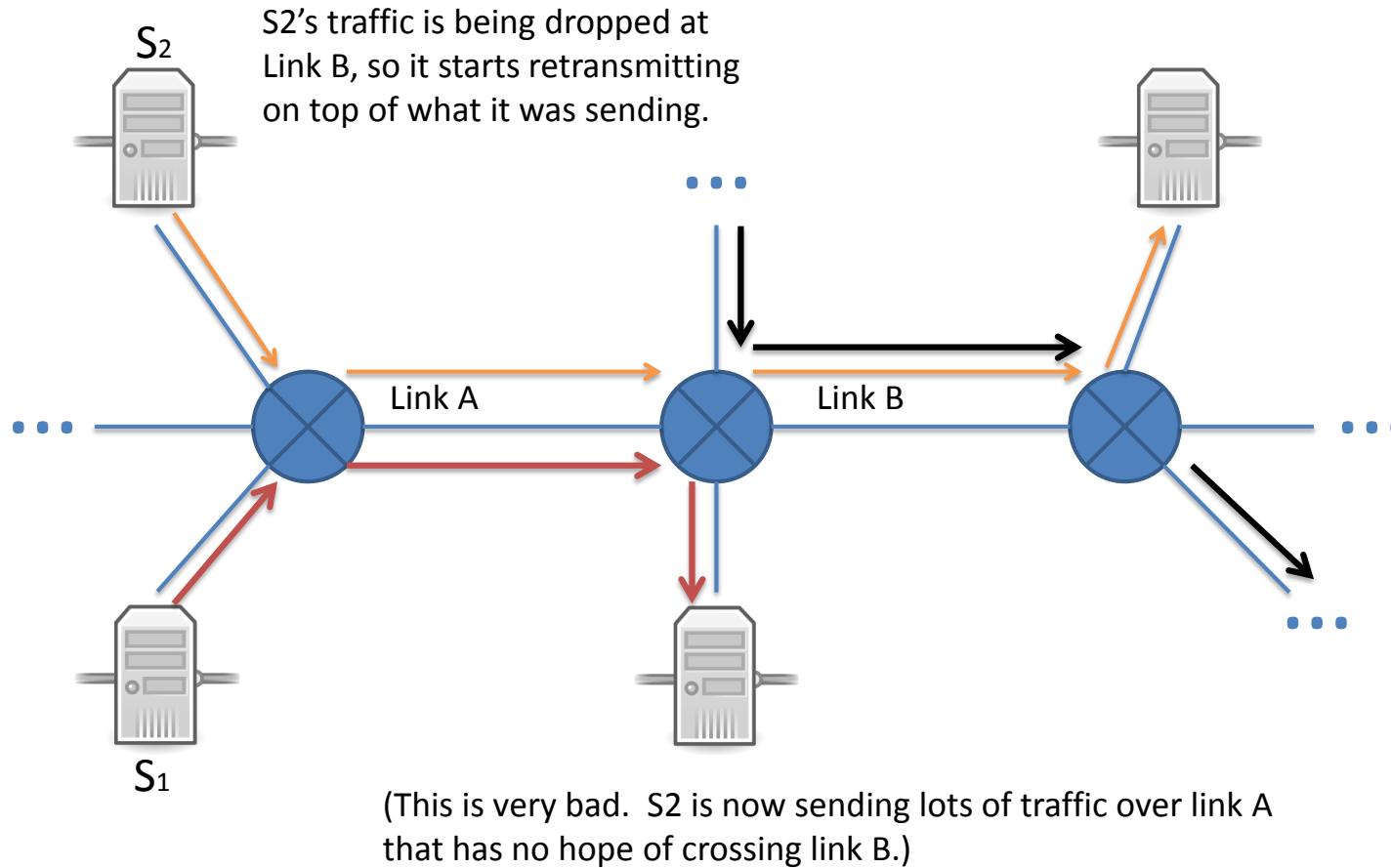
Congestion Collapse



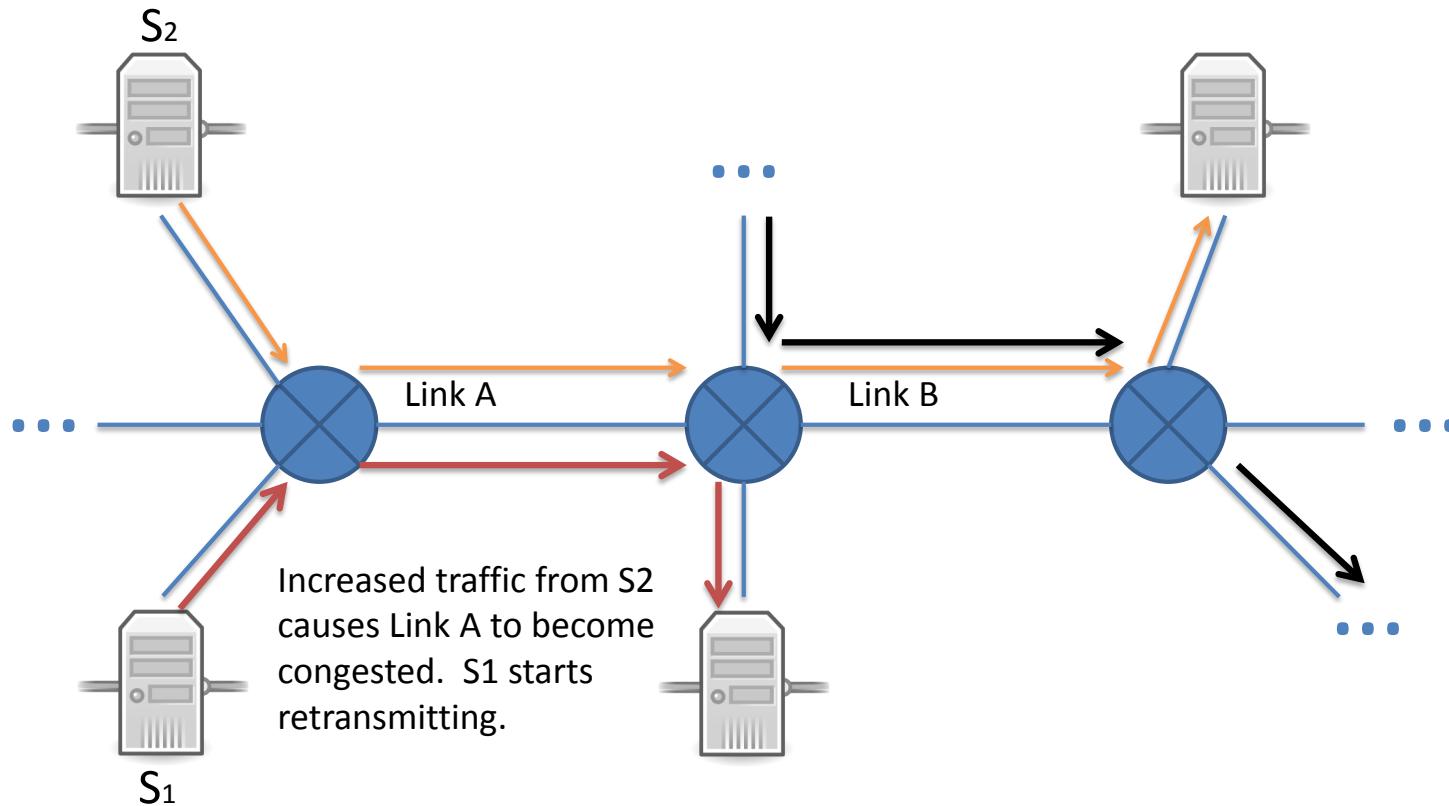
Congestion Collapse



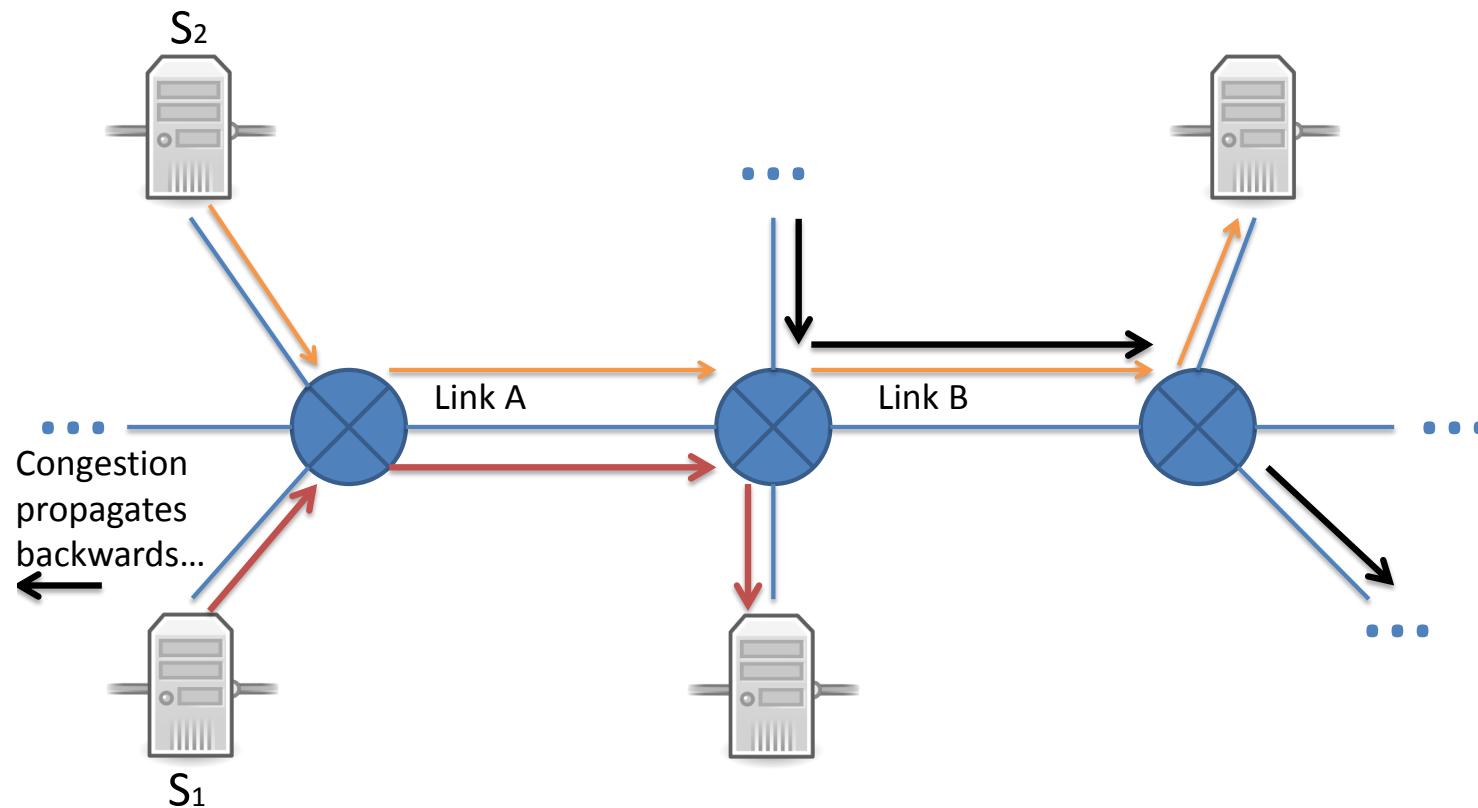
Congestion Collapse



Congestion Collapse



Congestion Collapse



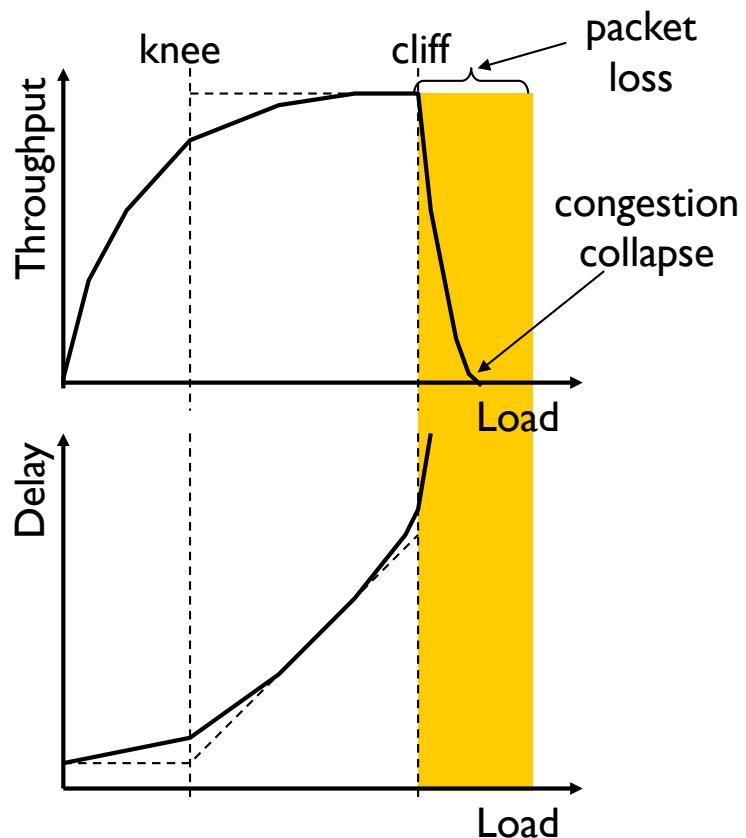
Without congestion control

congestion:

- ❖ Increases delays
 - If delays > RTO, sender retransmits
- ❖ Increases loss rate
 - Dropped packets also retransmitted
- ❖ Increases retransmissions, many unnecessary
 - Wastes capacity of traffic that is never delivered
 - Increase in load results in decrease in useful work done
- ❖ Increases congestion, cycle continues ...

Cost of Congestion

- ❖ Knee – point after which
 - Throughput increases slowly
 - Delay increases fast
- ❖ Cliff – point after which
 - Throughput starts to drop to zero (congestion collapse)
 - Delay approaches infinity



Congestion Collapse

This happened to the Internet (then NSFnet) in 1986

- ❖ Rate dropped from a *blazing* 32 Kbps to 40bps
- ❖ This happened on and off for two years
- ❖ In 1988, Van Jacobson published “Congestion Avoidance and Control”
- ❖ The fix: senders voluntarily limit sending rate

Approaches towards congestion control

two broad approaches towards congestion control:

end-end congestion control:

- ❖ no explicit feedback from network
- ❖ congestion inferred from end-system observed loss, delay
- ❖ approach taken by TCP

network-assisted congestion control:

- ❖ routers provide feedback to end systems
 - single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
 - explicit rate for sender to send at

Transport Layer: Outline

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- segment structure
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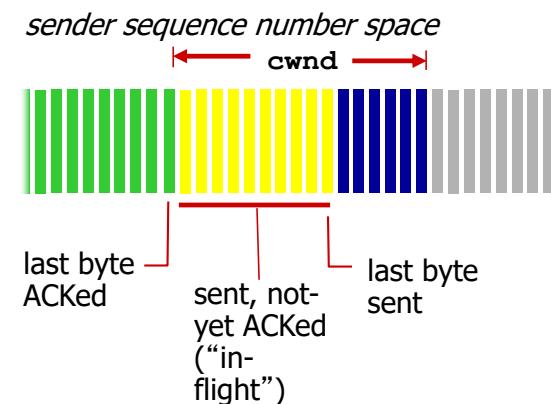
3.6 principles of congestion
control

3.7 TCP congestion control

TCP's Approach in a Nutshell

- ❖ TCP connection maintains a **window**
 - Controls number of packets in flight
- ❖ *TCP sending rate:*
 - roughly: send cwnd bytes, wait RTT for ACKs, then send more bytes

$$\text{rate} \approx \frac{\text{cwnd}}{\text{RTT}} \text{ bytes/sec}$$



- ❖ **Vary window size to control sending rate**

All These Windows...

- ❖ Congestion Window: **CWND**
 - How many bytes can be sent without overflowing routers
 - Computed by the sender using congestion control algorithm
- ❖ Flow control window: **Advertised / Receive Window (RWND)**
 - How many bytes can be sent without overflowing receiver's buffers
 - Determined by the receiver and reported to the sender
- ❖ Sender-side window = **minimum{CWND, RWND}**
 - Assume for this discussion that RWND >> CWND

CWND

- ❖ This lecture will talk about CWND in units of MSS
 - (Recall MSS: Maximum Segment Size, the amount of payload data in a TCP packet)
 - This is only for pedagogical purposes
- ❖ Keep in mind that real implementations maintain CWND in bytes

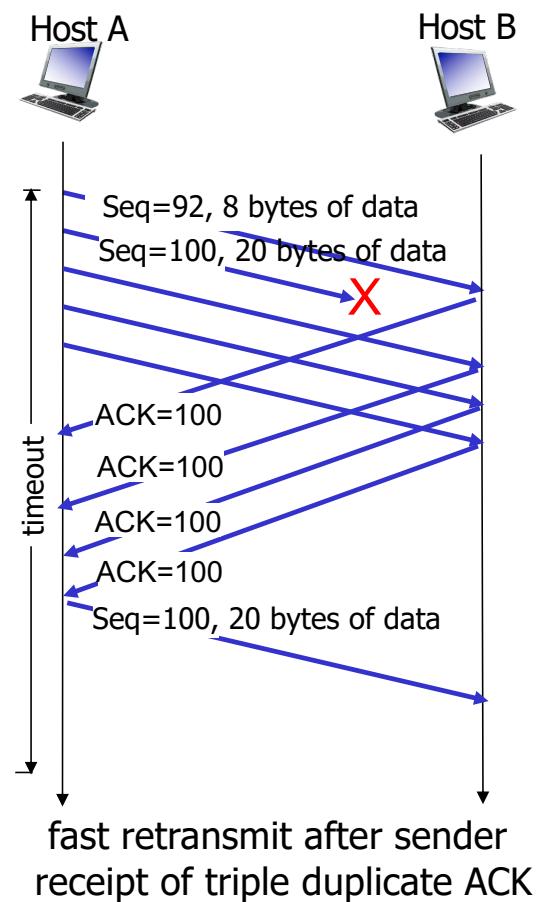
Two Basic Questions

- ❖ How does the sender detect congestion?
- ❖ How does the sender adjust its sending rate?

Detection Congestion: Infer Loss

- ❖ Duplicate ACKs: isolated loss
 - dup ACKs indicate network capable of delivering some segments
- ❖ Timeout: much more serious
 - Not enough dup ACKs
 - Must have suffered several losses
- ❖ Will adjust rate differently for each case

RECAP: TCP fast retransmit (dup acks)

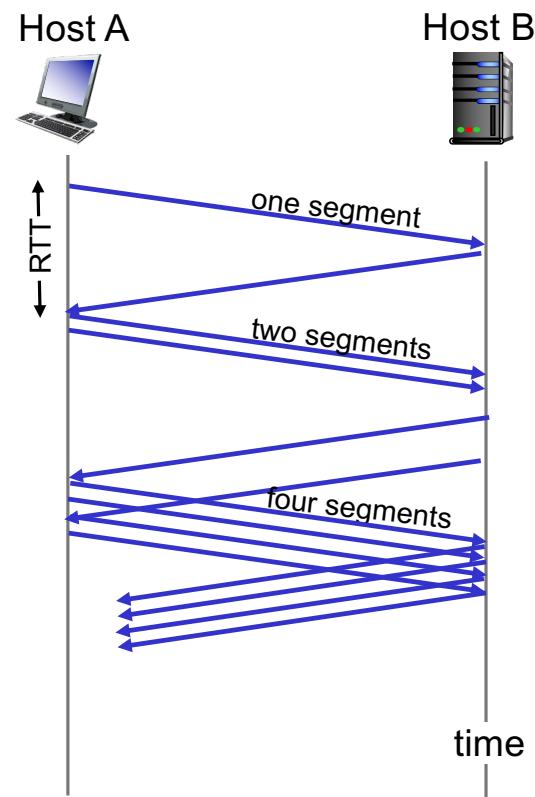


Rate Adjustment

- ❖ Basic structure:
 - Upon receipt of ACK (of new data): increase rate
 - Upon detection of loss: decrease rate
- ❖ How we increase/decrease the rate depends on the phase of congestion control we're in:
 - Discovering available bottleneck bandwidth vs.
 - Adjusting to bandwidth variations

TCP Slow Start (Bandwidth discovery)

- ❖ when connection begins, increase rate **exponentially** until **first loss event**:
 - initially **cwnd** = 1 MSS
 - double **cwnd** every RTT (all ACKs)
 - Simpler implementation achieved by incrementing **cwnd** for every ACK received
 - $cwnd += 1$ for each ACK
- ❖ **summary:** initial rate is slow but ramps up exponentially fast



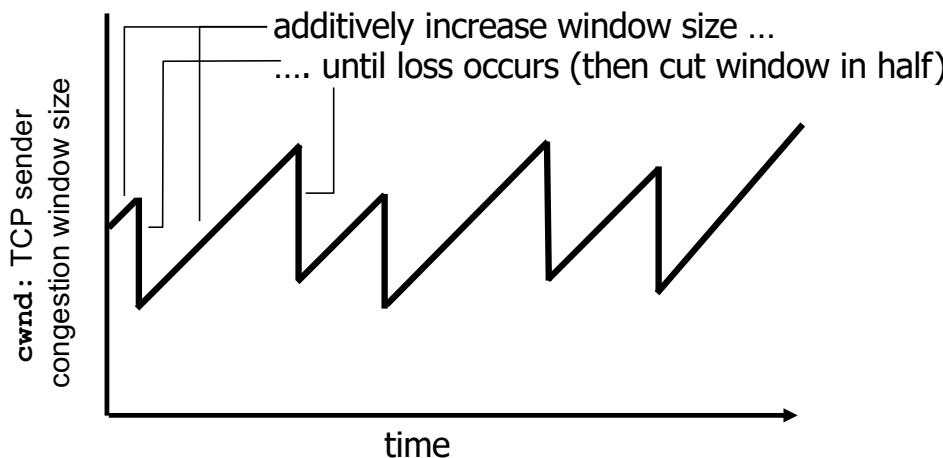
Adjusting to Varying Bandwidth

- ❖ Slow start gave an estimate of available bandwidth
- ❖ Now, want to track variations in this available bandwidth, oscillating around its current value
 - Repeated probing (rate increase) and back-off (rate decrease)
 - Known as Congestion Avoidance (CA)
- ❖ TCP uses: “Additive Increase Multiplicative Decrease” (AIMD)

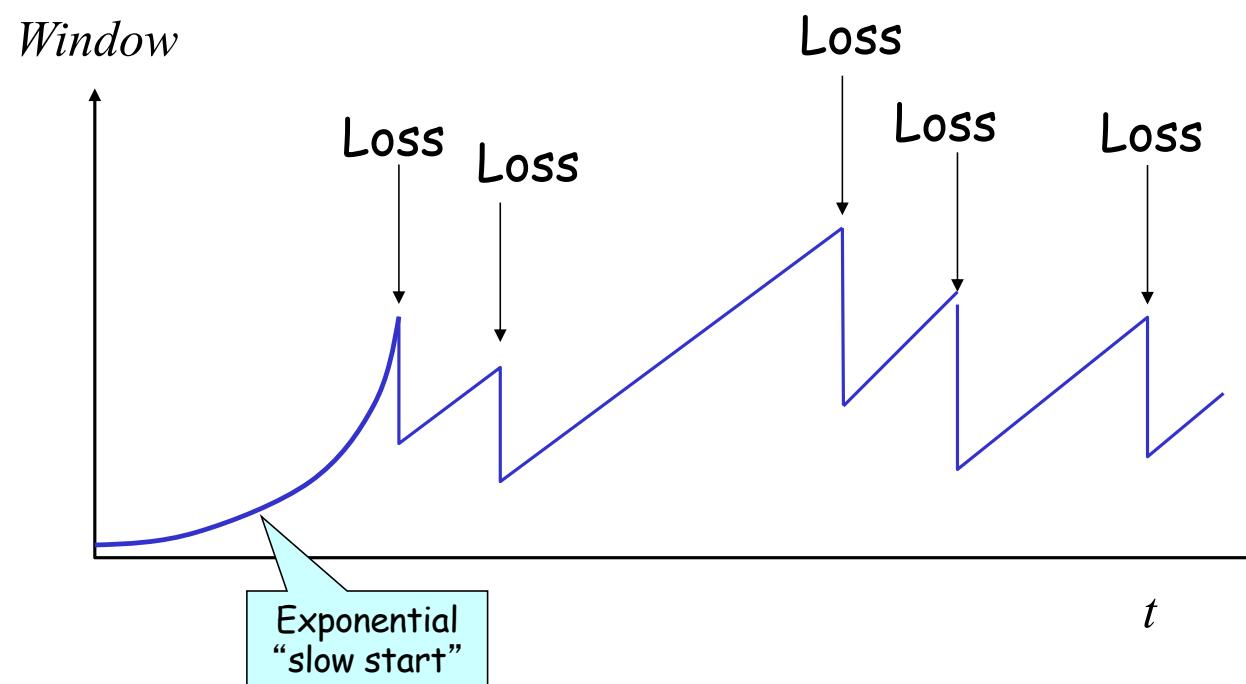
AIMD

- ❖ **approach:** sender increases transmission rate (window size), probing for usable bandwidth, until another congestion event occurs
 - **additive increase:** increase **cwnd** by 1 MSS every RTT until loss detected
 - For each successful RTT (all ACKs), $cwnd = cwnd + 1$ (in multiples of MSS)
 - Simple implementation: for each ACK, $cwnd = cwnd + 1/cwnd$ (since there are $cwnd/MSS$ packets in a window)
 - **multiplicative decrease:** cut **cwnd** in half after loss

AIMD saw tooth behavior: probing for bandwidth



Leads to the TCP “Sawtooth”



Slow-Start vs. AIMD

- ❖ When does a sender stop Slow-Start and start Congestion Avoidance?
- ❖ Introduce a “slow start threshold” (**ssthresh**)
 - Initialized to a large value
- ❖ Convert to CA when $cwnd = ssthresh$, sender switches from slow-start to AIMD-style increase
 - On loss, $ssthresh = CWND/2$

Implementation

- ❖ State at sender
 - CWND (initialized to a small constant)
 - the slides use multiple of MSS
 - ssthresh (initialized to a large constant)
 - [Also dupACKcount and timer, as before]

- ❖ Events
 - ACK (new data)
 - dupACK (duplicate ACK for old data)
 - Timeout

Event: ACK (new data)

- ❖ If $CWND < ssthresh$
 - $CWND += 1$

• Hence after one RTT (All ACKs with no drops):
 $CWND = 2 \times CWND$

Event: ACK (new data)

- ❖ If $CWND < ssthresh$
 - $CWND += 1$



Slow start phase

- ❖ Else
 - $CWND = CWND + \frac{1}{CWND}$



*“Congestion
Avoidance” phase
(additive increase)*

- Hence after one RTT (All ACKs with no drops):
 $CWND = CWND + 1$

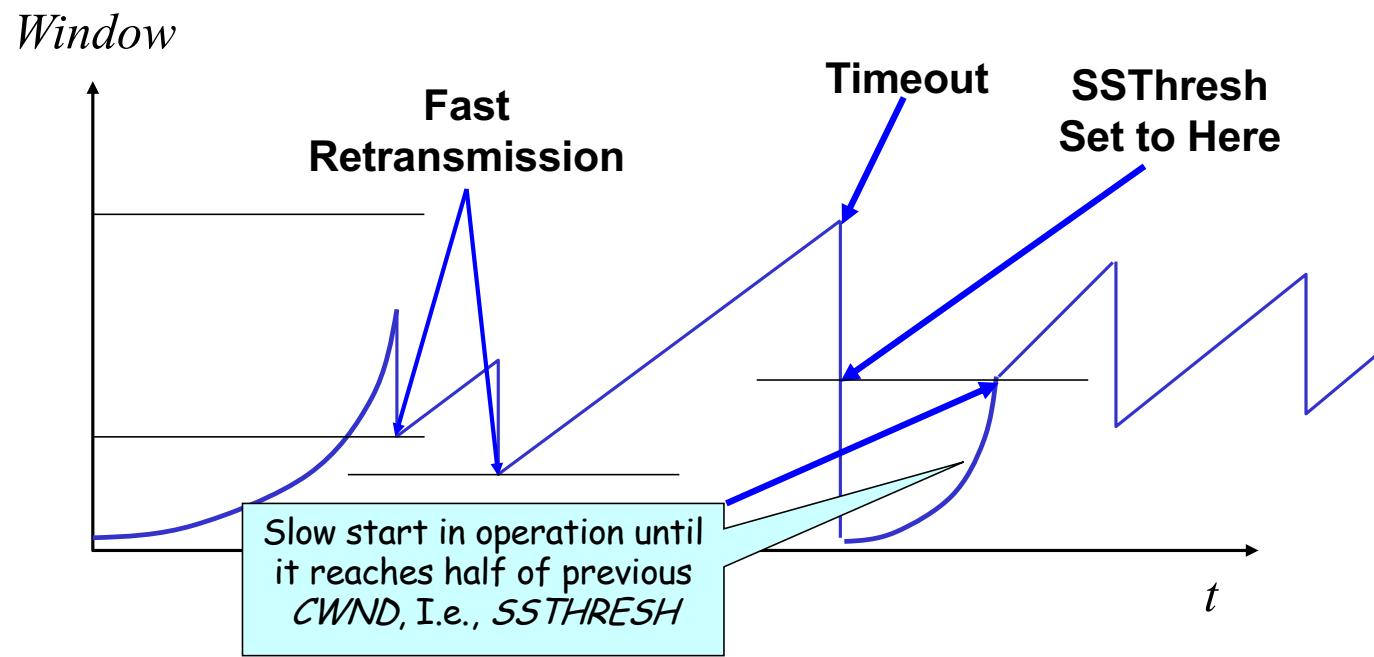
Event: dupACK

- ❖ dupACKcount ++
- ❖ If dupACKcount = 3 /* fast retransmit */
 - ssthresh = CWND/2
 - **CWND = CWND/2**

Event: TimeOut

- ❖ On Timeout
 - $\text{ssthresh} \leftarrow \text{CWND}/2$
 - $\text{CWND} \leftarrow 1$

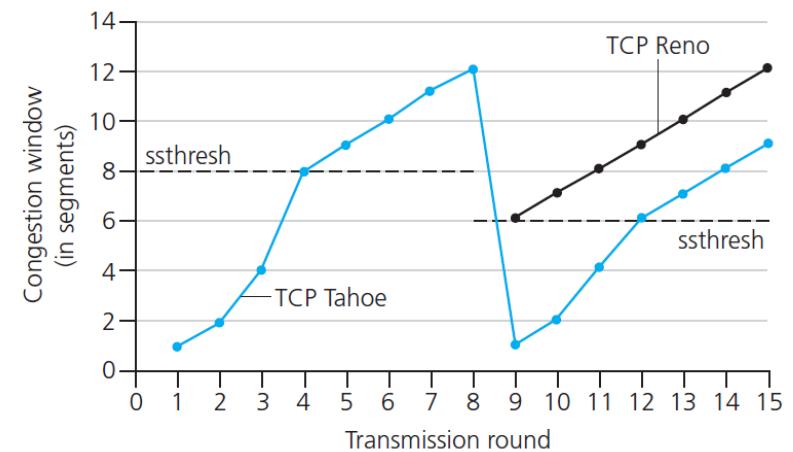
Example



Slow-start restart: Go back to $CWND = 1$ MSS, but take advantage of knowing the previous value of $CWND$

TCP Flavours

- ❖ TCP-Tahoe
 - $cwnd = 1$ on triple dup ACK & timeout
- ❖ TCP-Reno
 - $cwnd = 1$ on timeout
 - $cwnd = cwnd/2$ on triple dup ACK
- ❖ TCP-newReno
 - TCP-Reno + improved fast recovery (SKIPPED AND NOT ON EXAM)
- ❖ TCP-SACK (NOT COVERED IN THE COURSE)
 - incorporates selective acknowledgements

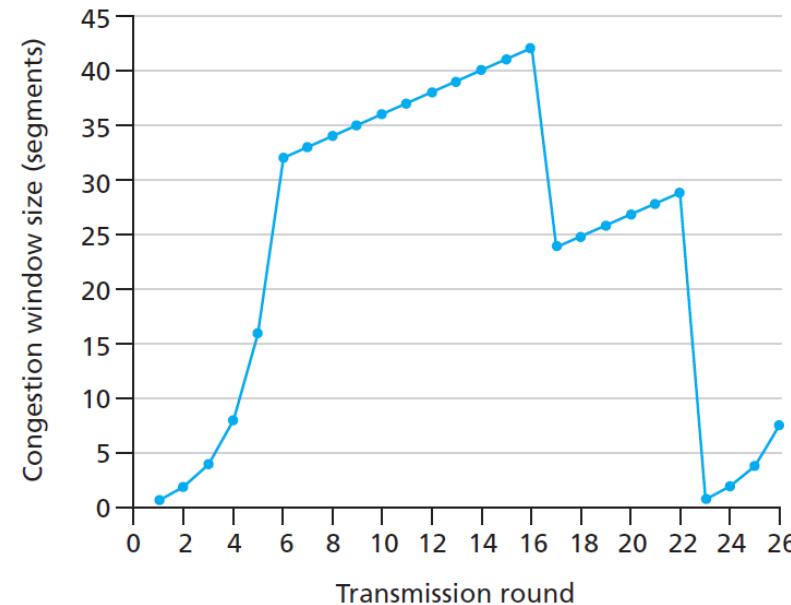




Quiz: TCP Congestion Control?

In the figure how many congestion avoidance intervals can you identify?

- A. 0
- B. 1
- C. 2
- D. 3
- E. 4



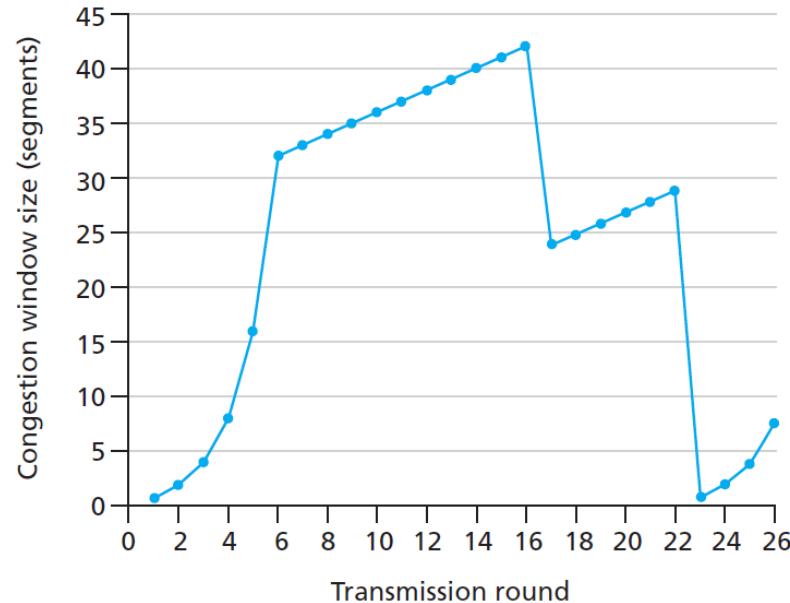
Note: the transition at round 17 is not entirely accurate, the window should reduce to 21 (currently 24)



Quiz: TCP Congestion Control?

In the figure how many slow start intervals can you identify?

- A. 0
- B. 1
- C. 2
- D. 3
- E. 4



Note: the transition at round 17 is not entirely accurate, the window should reduce to 21 (currently 24)

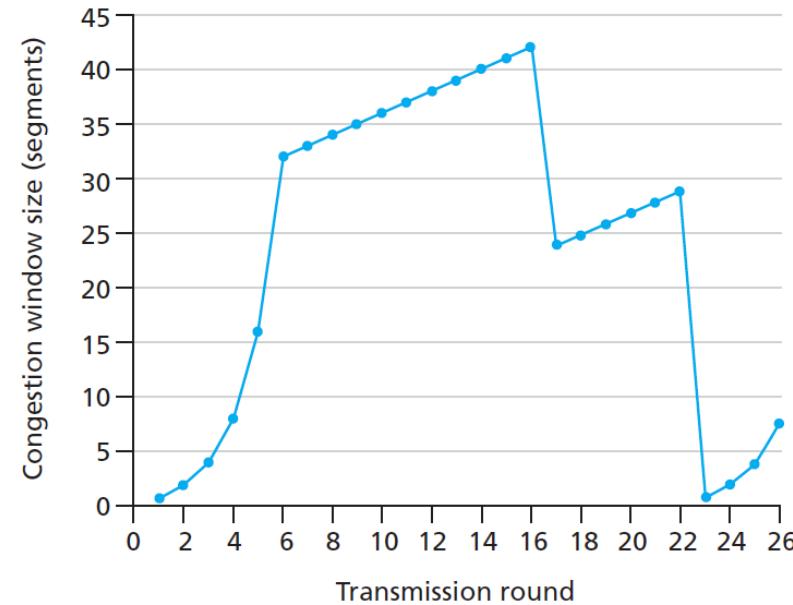


Quiz: TCP Congestion Control

In the figure after the 16th transmission round, segment loss is detected by _____?

- A. Triple Dup Ack
- B. Timeout

Note: the transition at round 17 is not entirely accurate, the window should reduce to 21 (currently 24)

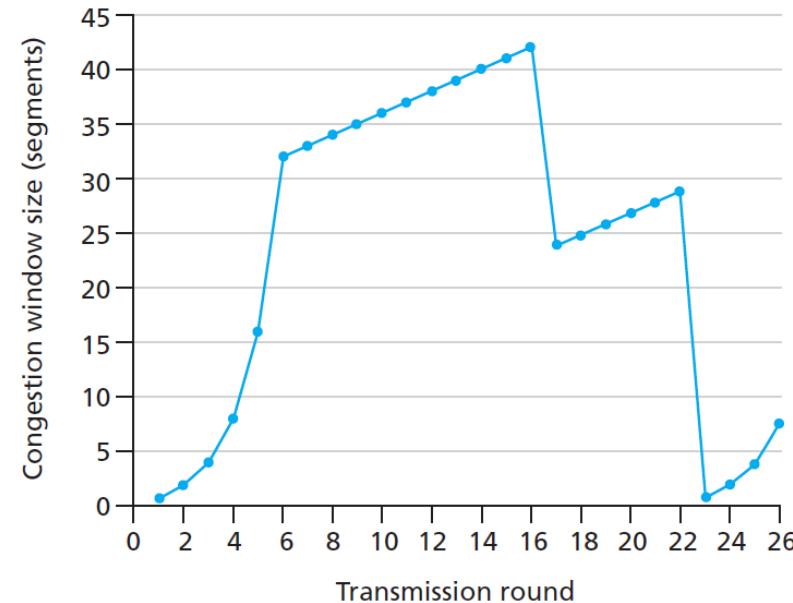




Quiz: TCP Congestion Control

In the figure what is the initial value of ssthresh (steady state threshold)?

- A. 0
- B. 28
- C. 32
- D. 42
- E. 64



Note: the transition at round 17 is not entirely accurate, the window should reduce to 21 (currently 24)

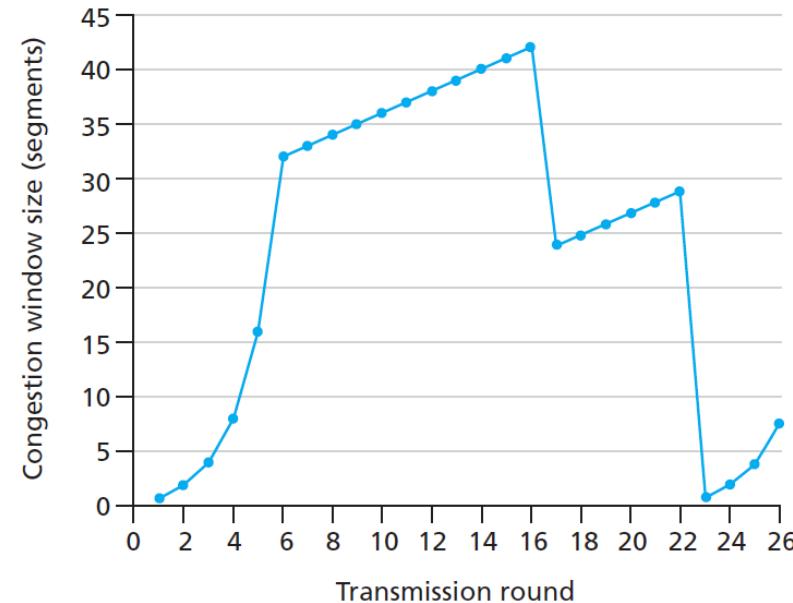


Quiz: TCP Congestion Control

In the figure what is the value of ssthresh (steady state threshold) at the 18th round?

- A. 1
- B. 32
- C. 42
- D. 21
- E. 20

Note: the transition at round 17 is not entirely accurate, the window should reduce to 21 (currently 24)



Evolving transport-layer functionality

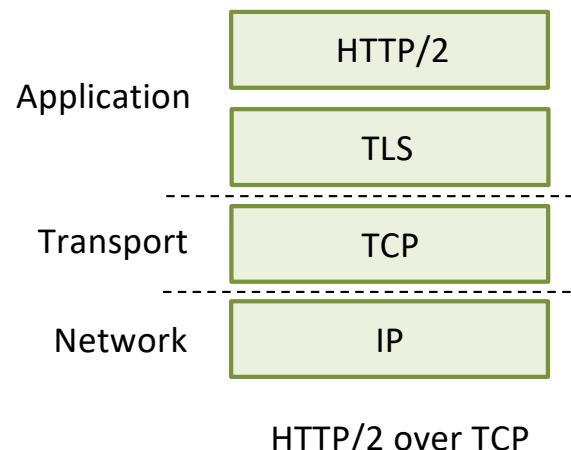
- ❖ TCP, UDP: principal transport protocols for 40 years
- ❖ different “flavors” of TCP developed, for specific scenarios:

Scenario	Challenges
Long, fat pipes (large data transfers)	Many packets “in flight”; loss shuts down pipeline
Wireless networks	Loss due to noisy wireless links, mobility; TCP treat this as congestion loss
Long-delay links	Extremely long RTTs
Data center networks	Latency sensitive
Background traffic flows	Low priority, “background” TCP flows

- moving transport-layer functions to application layer, on top of UDP
 - HTTP/3: QUIC

QUIC: Quick UDP Internet Connections

- ❖ application-layer protocol, on top of UDP
 - increase performance of HTTP
 - deployed on many Google servers, apps (Chrome, mobile YouTube app)

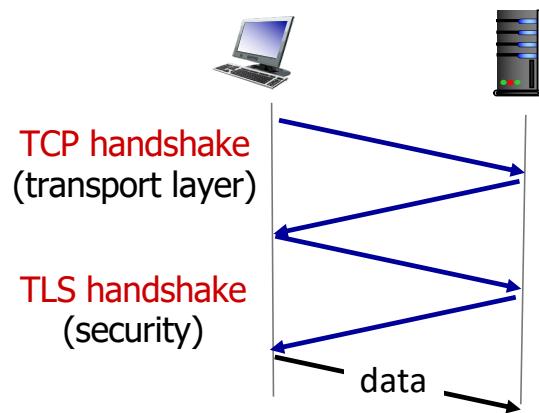


QUIC: Quick UDP Internet Connections

adopts approaches we've studied in this module for connection establishment, error control, congestion control

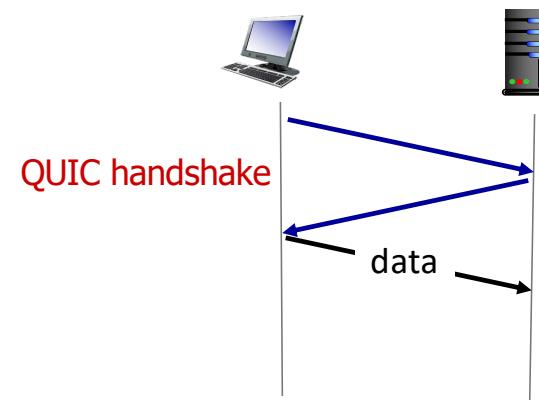
- **error and congestion control:** “Readers familiar with TCP’s loss detection and congestion control will find algorithms here that parallel well-known TCP ones.” [from QUIC specification]
- **connection establishment:** reliability, congestion control, authentication, encryption, state established in one RTT
- ❖ multiple application-level “streams” multiplexed over single QUIC connection
 - separate reliable data transfer, security
 - common congestion control

QUIC: Connection establishment



TCP (reliability, congestion control state) + TLS (authentication, crypto state)

- 2 serial handshakes

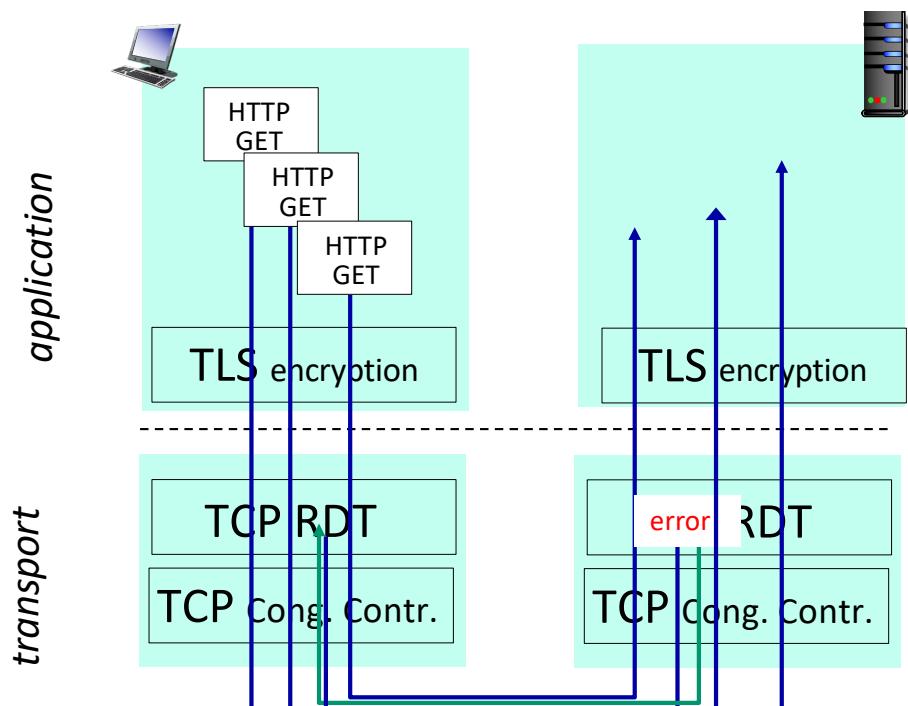


QUIC: reliability, congestion control, authentication, crypto state

- 1 handshake

NOT ON EXAM

QUIC: streams: parallelism, no HOL blocking



(a) HTTP 1.1

Transport Layer: Summary

- ❖ principles behind transport layer services:
 - multiplexing, demultiplexing
 - reliable data transfer
 - flow control
 - congestion control
- ❖ instantiation, implementation in the Internet
 - UDP
 - TCP

next:

- ❖ leaving the network “edge” (application, transport layers)
- ❖ into the network “core”

Quiz: TCP Reliability



TCP uses cumulative ACKs like Go-Back-N but does not retransmit the entire window of outstanding packets upon a timeout. What mechanism lets TCP get away with this?

- A. Per-byte sequence and acknowledgement numbers
- B. Triple Duplicate ACKs
- C. Receiver window-based flow control
- D. Timeout estimation algorithm

One Final Phase: Fast Recovery

- ❖ The problem: Fast retransmit slow in recovering from an isolated loss

Example (window in units of MSS, not bytes)

- ❖ Consider a TCP connection with:
 - CWND=10 packets (of size MSS, which is 100 bytes)
 - Last ACK was for byte # 101
 - i.e., receiver expecting next packet to have seq. no. 101
- ❖ 10 packets [101, 201, 301,..., 1001] are in flight
 - Packet 101 is dropped
 - What ACKs do they generate?
 - And how does the sender respond?

Timeline

- ❖ ACK 101 (due to 201) cwnd=10 dupACK#1 (no xmit)
- ❖ ACK 101 (due to 301) cwnd=10 dupACK#2 (no xmit)
- ❖ ACK 101 (due to 401) cwnd=10 dupACK#3 (no xmit)
- ❖ RETRANSMIT 101 ssthresh=5 cwnd= 5
- ❖ ACK 101 (due to 501) cwnd=5 + 1/5 (no xmit)
- ❖ ACK 101 (due to 601) cwnd=5 + 2/5 (no xmit)
- ❖ ACK 101 (due to 701) cwnd=5 + 3/5 (no xmit)
- ❖ ACK 101 (due to 801) cwnd=5 + 4/5 (no xmit)
- ❖ ACK 101 (due to 901) cwnd=5 + 5/5 (no xmit)
- ❖ ACK 101 (due to 1001) cwnd=6 + 1/6 (no xmit)
- ❖ ACK 1101 (due to 101) ← only now can we transmit new packets
- ❖ Plus no packets in flight so ACK “clocking” (to increase CWND) stalls for another RTT

Solution: Fast Recovery

Idea: Grant the sender temporary “credit” for each dupACK so as to keep packets in flight

- ❖ If $\text{dupACKcount} = 3$
 - $\text{ssthresh} = \text{cwnd}/2$
 - $\text{cwnd} = \text{ssthresh} + 3$
- ❖ While in fast recovery
 - $\text{cwnd} = \text{cwnd} + 1$ for each additional duplicate ACK
- ❖ Exit fast recovery after receiving new ACK
 - set $\text{cwnd} = \text{ssthresh}$

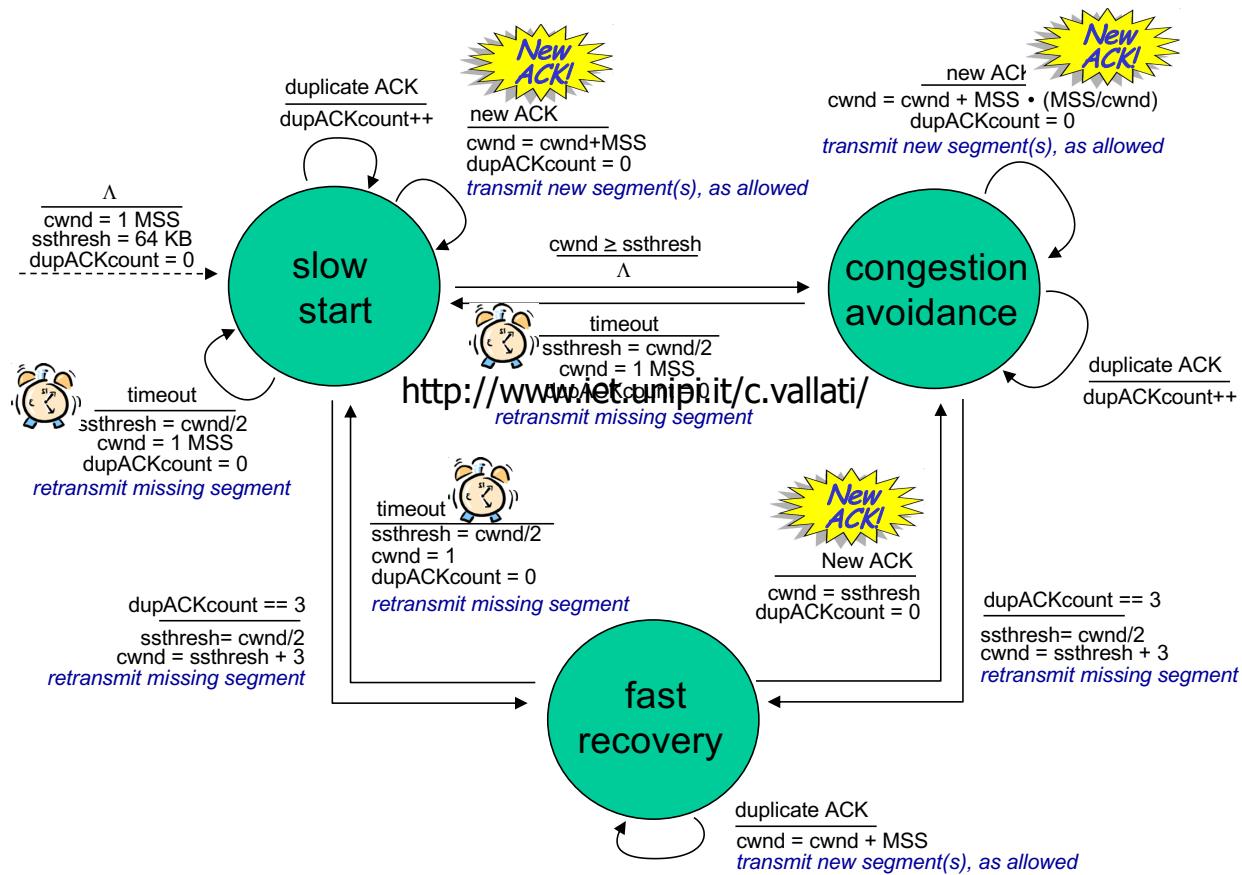
Example

- ❖ Consider a TCP connection with:
 - CWND=10 packets (of size MSS = 100 bytes)
 - Last ACK was for byte # 101
 - i.e., receiver expecting next packet to have seq. no. 101
- ❖ 10 packets [101, 201, 301,..., 1001] are in flight
 - **Packet 101 is dropped**

Timeline

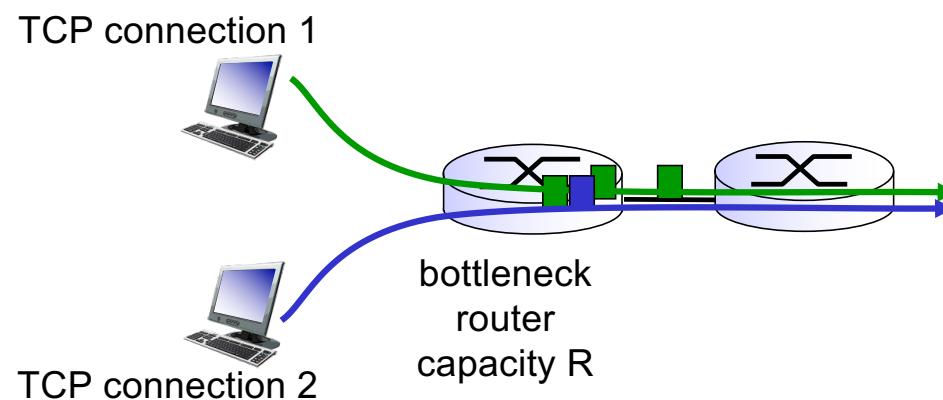
- ❖ ACK 101 (due to 201) cwnd=10 dup#1
- ❖ ACK 101 (due to 301) cwnd=10 dup#2
- ❖ ACK 101 (due to 401) cwnd=10 dup#3
- ❖ REXMIT 101 ssthresh=5 cwnd= 8 (5+3)
- ❖ ACK 101 (due to 501) cwnd= 9 (no xmit)
- ❖ ACK 101 (due to 601) cwnd=10 (no xmit)
- ❖ ACK 101 (due to 701) cwnd=11 (xmit 1101)
- ❖ ACK 101 (due to 801) cwnd=12 (xmit 1201)
- ❖ ACK 101 (due to 901) cwnd=13 (xmit 1301)
- ❖ ACK 101 (due to 1001) cwnd=14 (xmit 1401)
- ❖ ACK 1101 (due to 101) cwnd = 5 (xmit 1501) ← exiting fast recovery
- ❖ Packets 1101-1401 already in flight
- ❖ ACK 1201 (due to 1101) cwnd = 5 + 1/5 ← back in congestion avoidance

Summary: TCP Congestion Control



TCP Fairness

fairness goal: if K TCP sessions share same bottleneck link of bandwidth R , each should have average rate of R/K

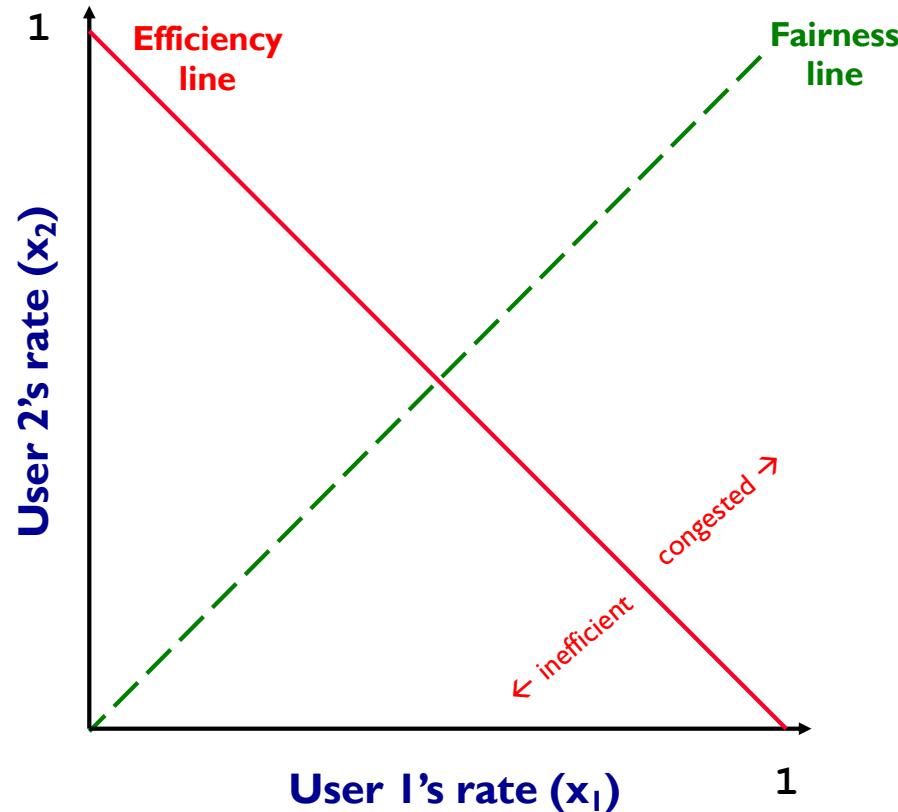


Why AIMD?

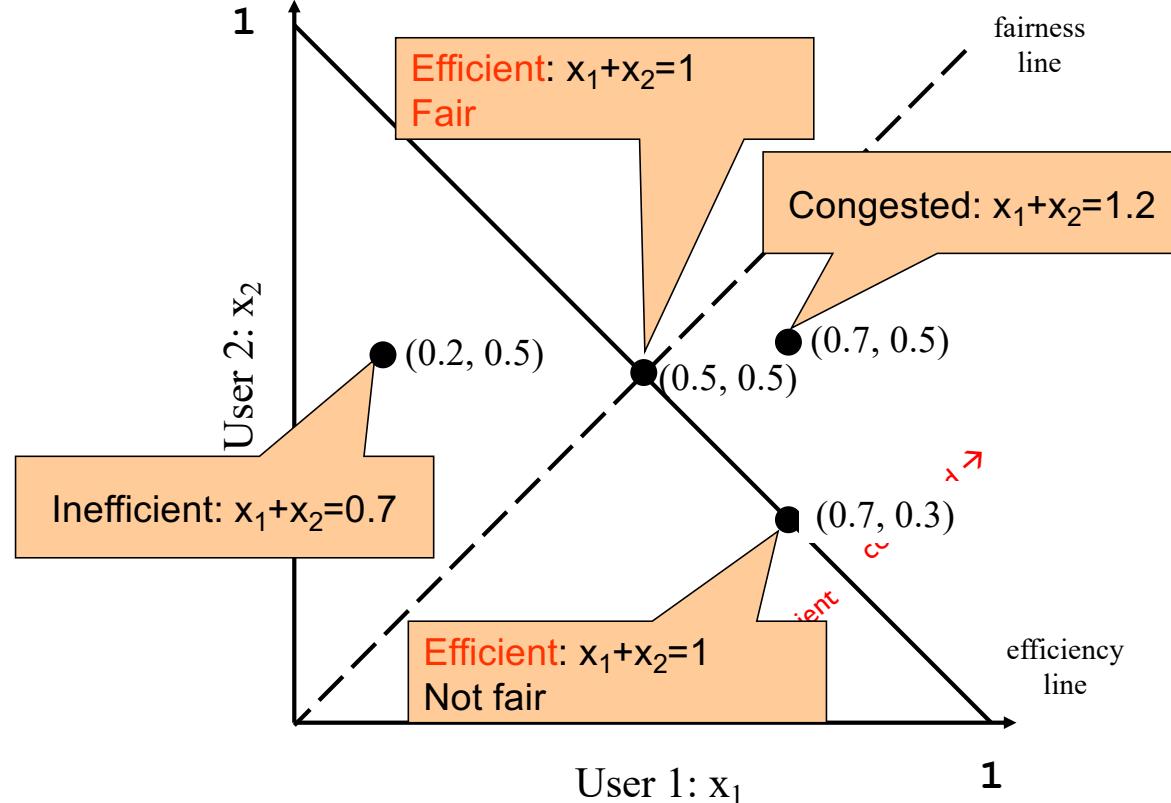
- ❖ Some rate adjustment options: Every RTT, we can
 - Multiplicative increase or decrease: $\text{WND} \rightarrow a * \text{WND}$
 - Additive increase or decrease: $\text{WND} \rightarrow \text{WND} + b$
- ❖ Four alternatives:
 - AIAD: gentle increase, gentle decrease
 - AIMD: gentle increase, drastic decrease
 - MIAD: drastic increase, gentle decrease
 - MIMD: drastic increase and decrease

Simple Model of Congestion Control

- ❖ Two users
 - rates x_1 and x_2
- ❖ Congestion when $x_1+x_2 > 1$
- ❖ Unused capacity when $x_1+x_2 < 1$
- ❖ Fair when $x_1 = x_2$

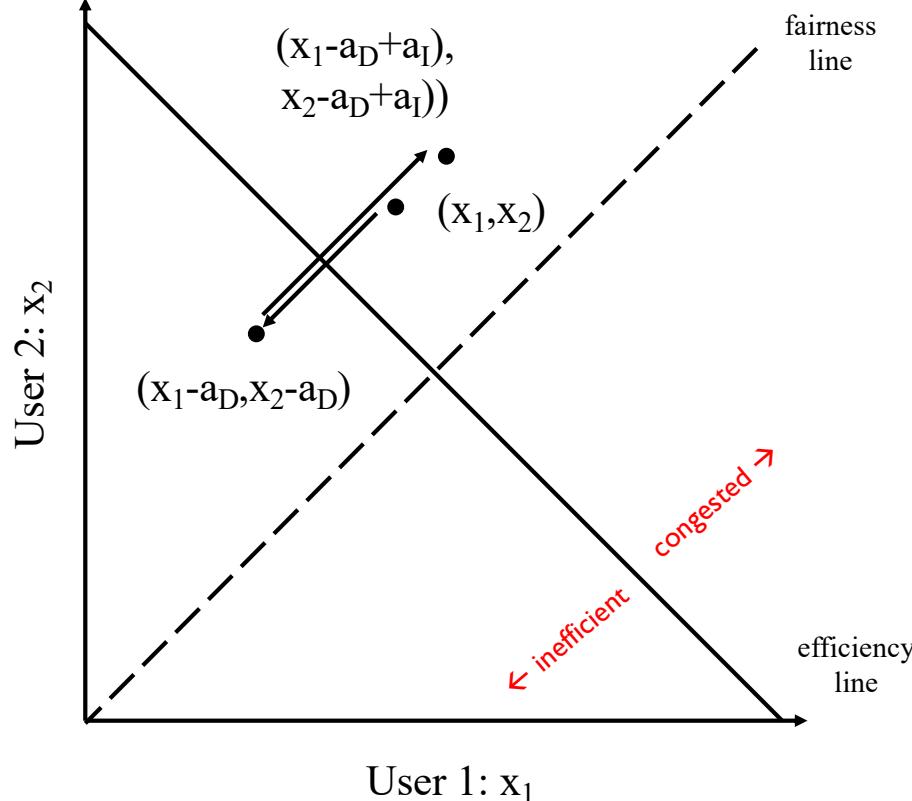


Example

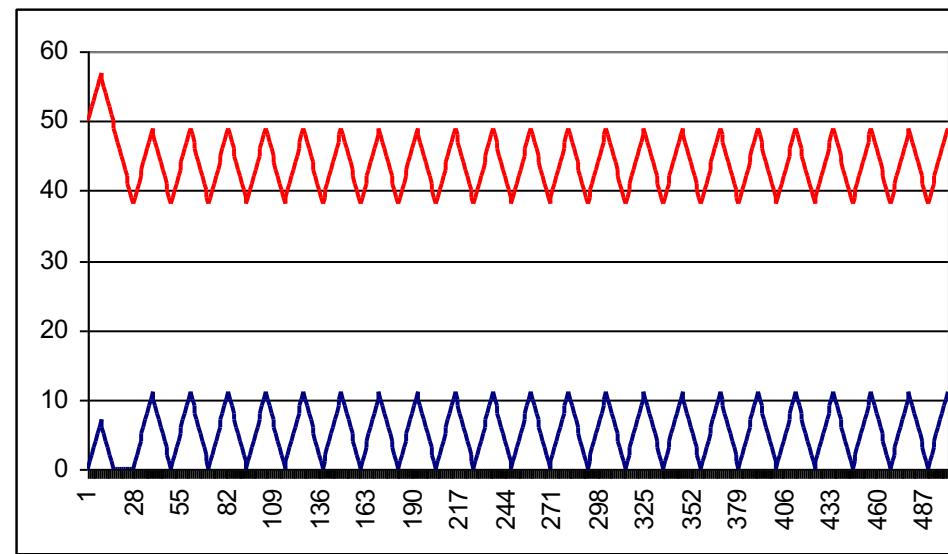
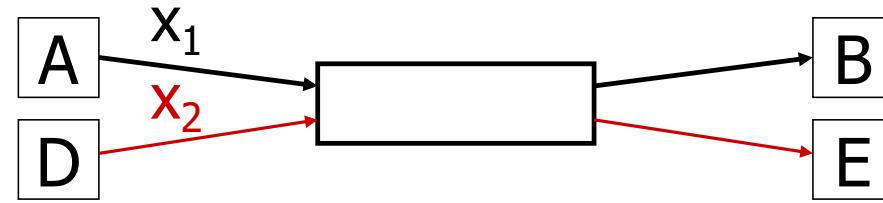


AIAD

- ❖ Increase: $x + a_I$
- ❖ Decrease: $x - a_D$
- ❖ Does not converge to fairness

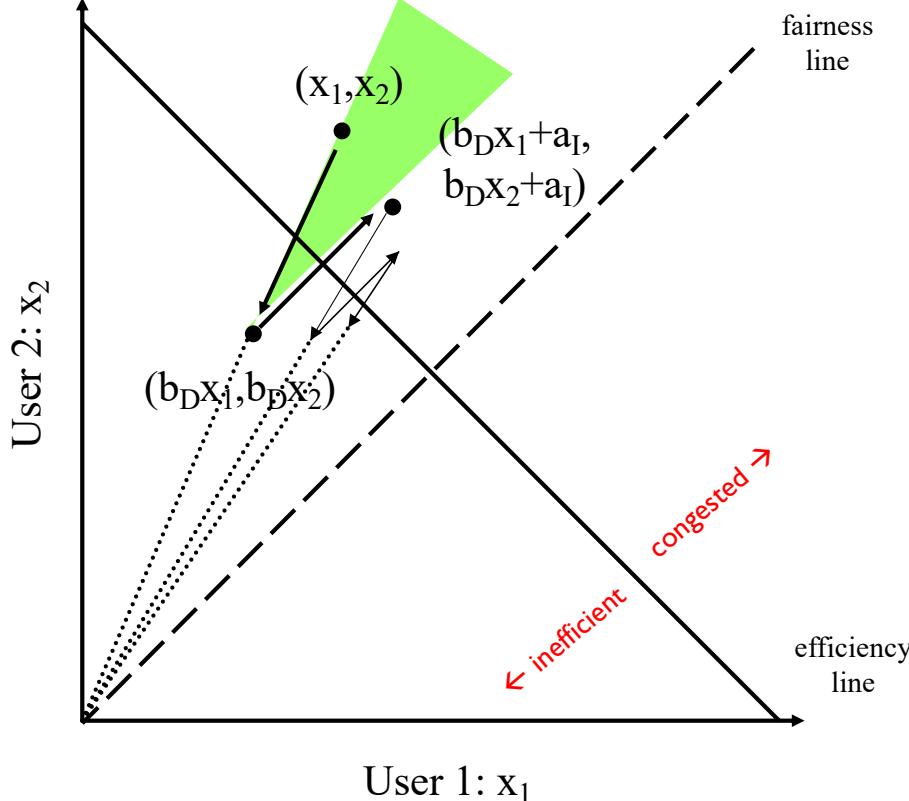


AIAD Sharing Dynamics

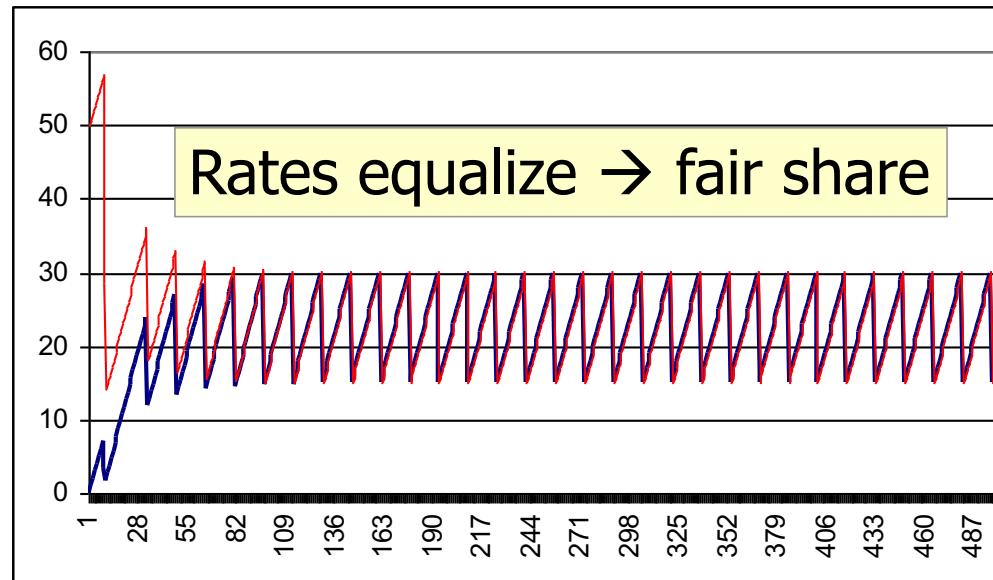
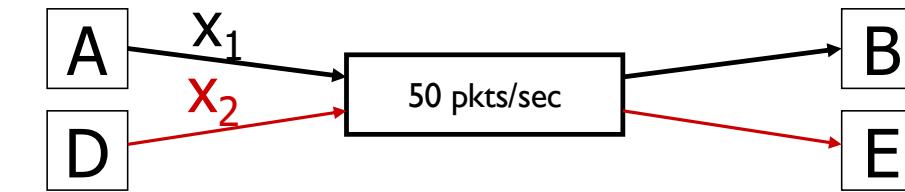


AIMD

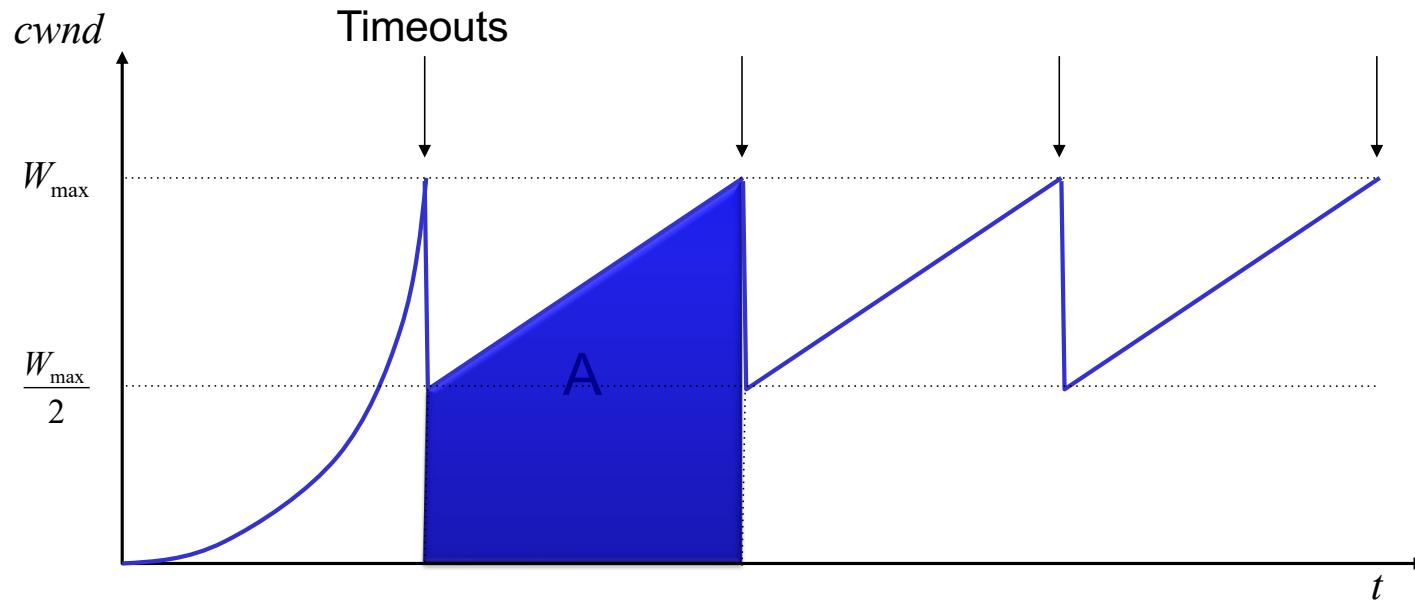
- ❖ Increase: $x+a_I$
- ❖ Decrease: $x*b_D$
- ❖ Converges to fairness



AIMD Sharing Dynamics



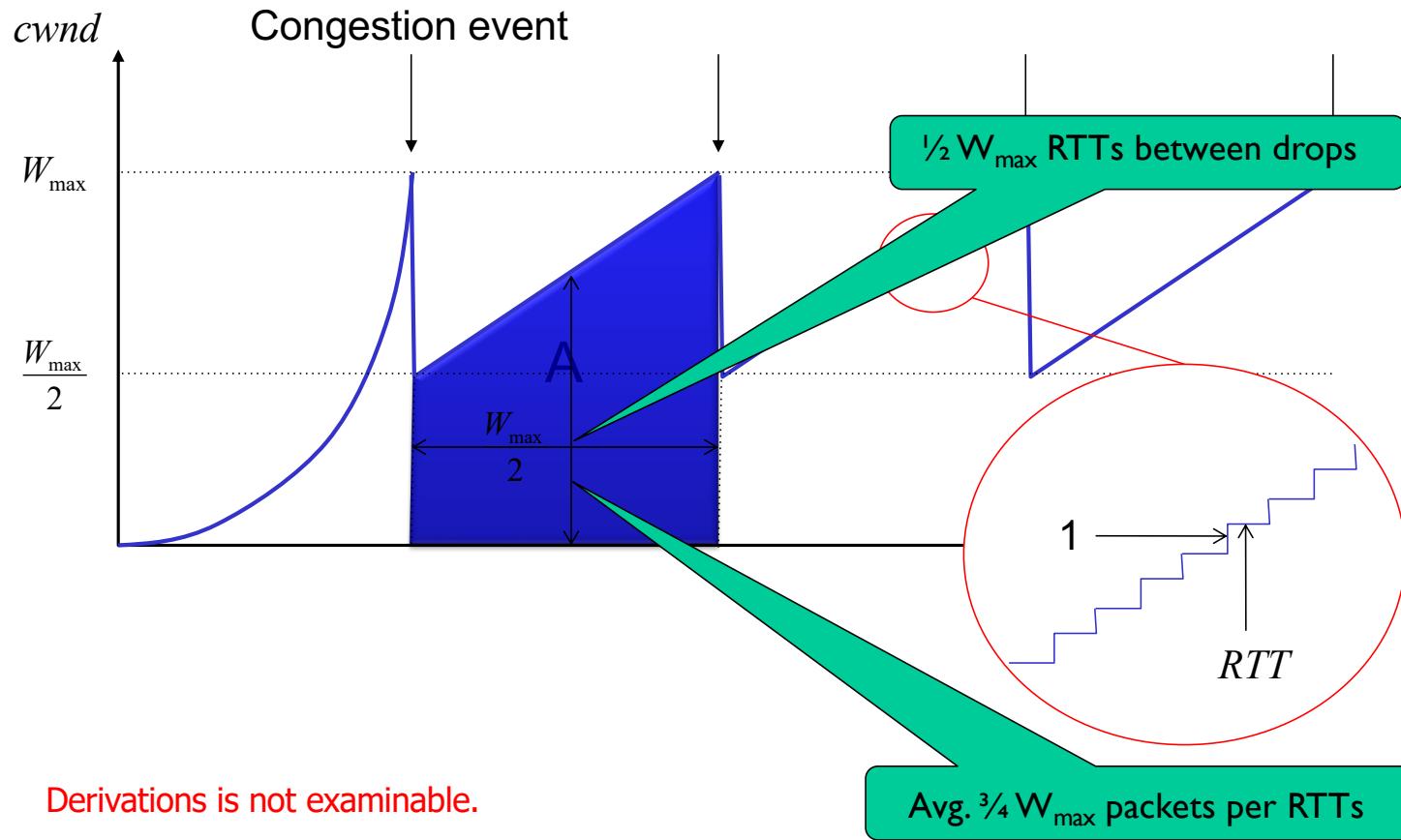
A Simple Model for TCP Throughput



Packet drop rate, $p = 1/A$, where $A = \frac{3}{8}W_{\max}^2$

$$\text{Throughput, } B = \frac{A}{\left(\frac{W_{\max}}{2}\right)RTT} = \sqrt{\frac{3}{2}} \frac{1}{RTT\sqrt{p}}$$

A Simple Model for TCP Throughput



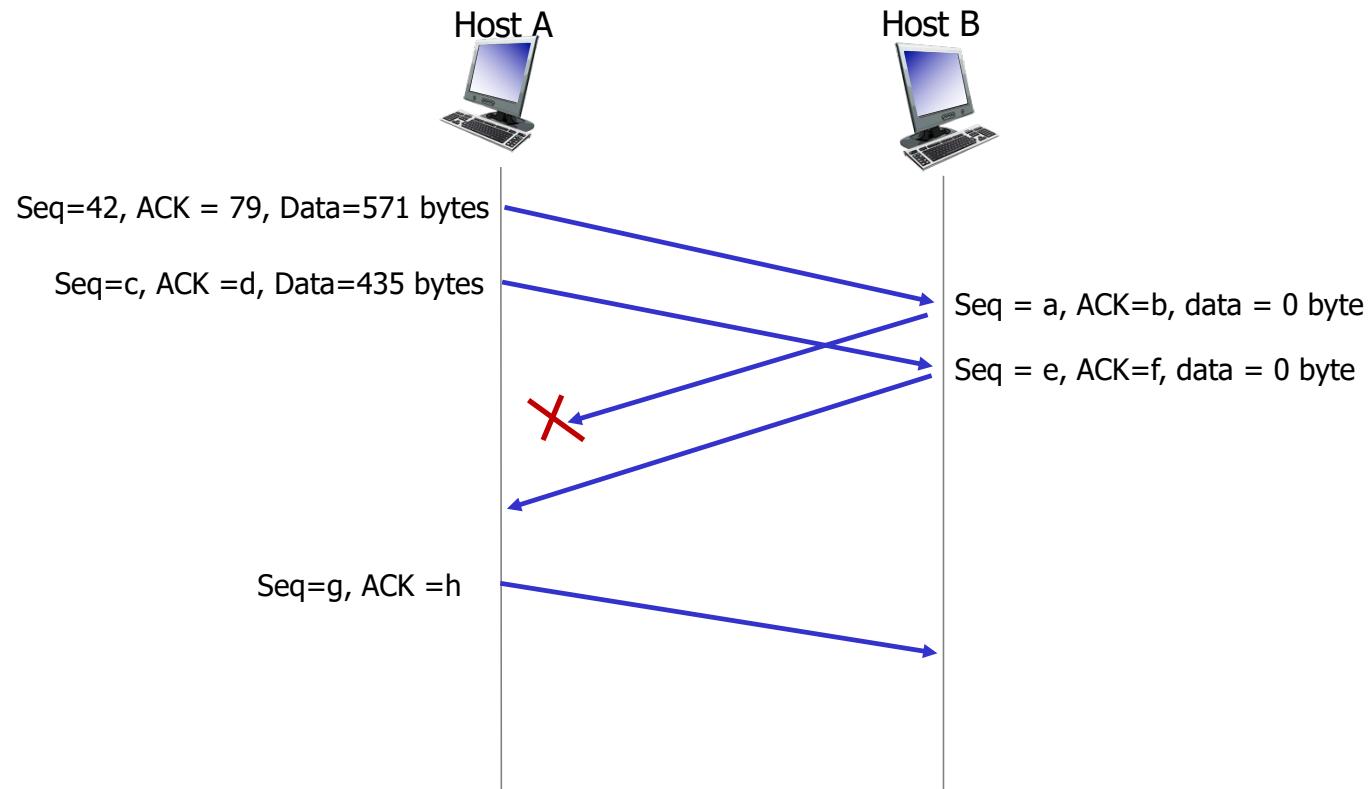
Quiz: TCP Timeout



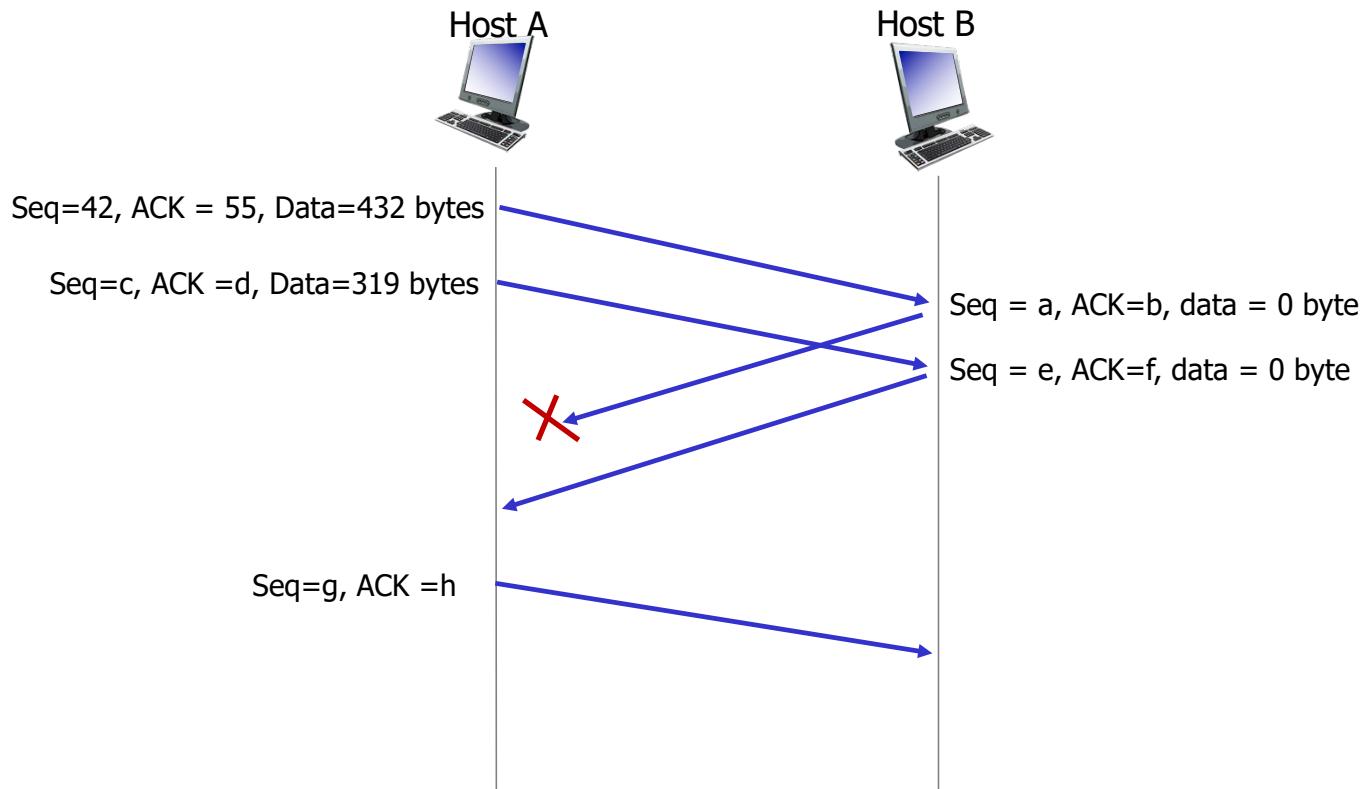
A TCP Sender maintains an EstimatedRTT of 100ms. Suppose the next SampleRTT is 108. Which of the following is true about the sender?

- A. It will increase EstimatedRTT but leave timeout unchanged
- B. It will increase the timeout
- C. Whether it increases EstimatedRTT will depend on the deviation
- D. Whether it increases the timeout will depend on the deviation

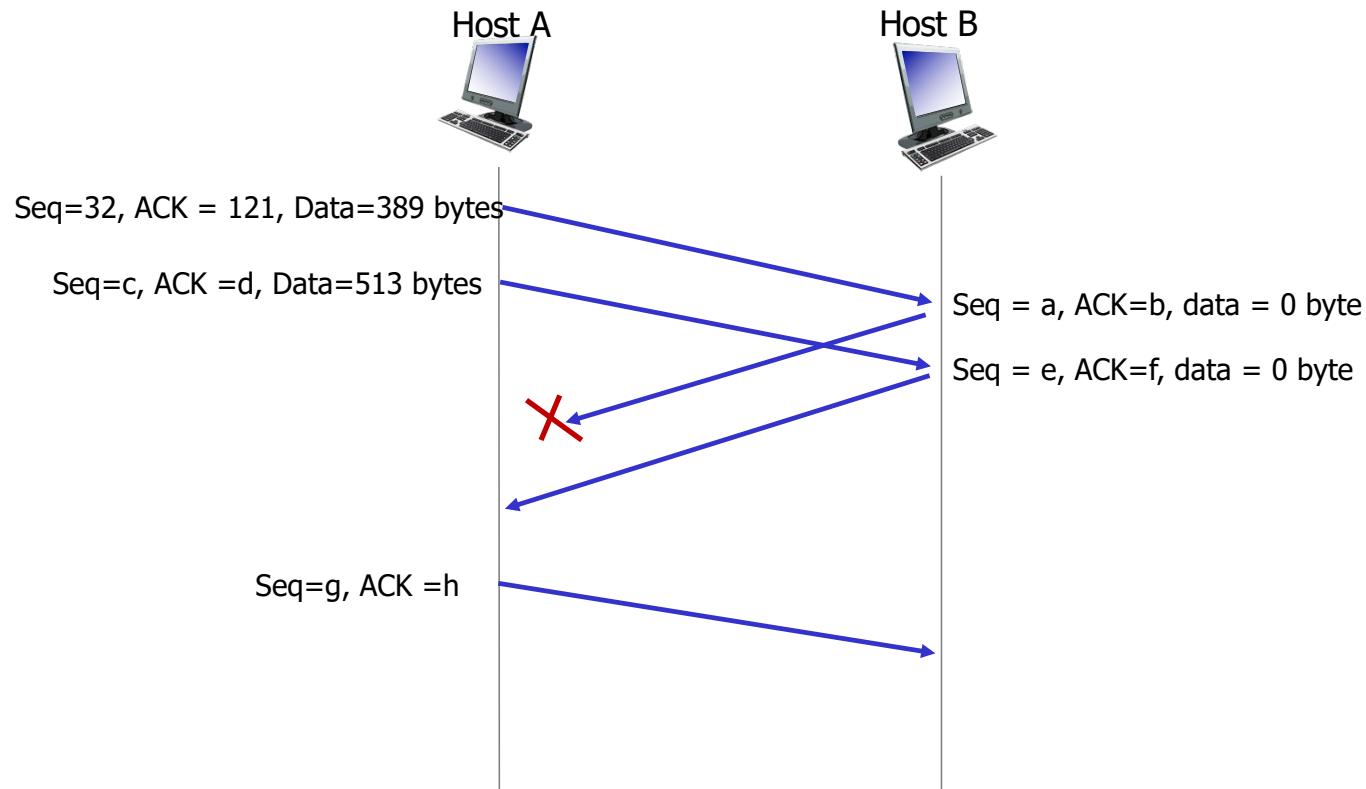
TCP seq. numbers, ACKs



TCP seq. numbers, ACKs



TCP seq. numbers, ACKs



Seq=32, ACK = 121, Data=389 bytes Seq=c, ACK =d, Data=513 bytes

