

Formal Verification of SHA-3: Proof Techniques for Symmetric Cryptography

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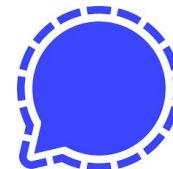


~~CRYSPEN~~

Advisor: Karthikeyan Bhargavan

Supervisor: Julian Pritzi

Cryptography is everywhere



Cryptography is the foundation of
secure systems...

and

...single bug in cryptography can
break a systems security completely

- Most attacks on cryptographic systems exploit bugs in implementation, not flaws in their design
 - One vulnerability per 700 - 1700 lines changed
- **We aim for guarantees not just confidence**
- We argue: Using Formal Methods has lower cost than patches post deployment

Collaboration with ~~CRYSPEN~~



- Cryspen is a Startup that builds High Assurance Software
- HAX: Rust verification Framework
 - State of the art in high assurance software
 - **Make verification easier for non formal methods experts**
 - Translate (a subset of) Rust to formal languages (F*, Rocq, Lean)
- libcrux: Free and open source, fast, portable and formally verified cryptographic library written in rust 🦀

HAX is the tool needed to build libcrux

Research Gap / Problem statement



Can HAX formally verify algorithms such as SHA-3?

- Heavier use of bit-level operations (shifts, rotations, XORs)
- Round based permutations
- Loop over arbitrary input and handling buffers

SHA-3 reference implementation was vulnerable! ([CVE-2022-37454](#))

Evaluate and improve the HAX tool chain for modern
symmetric cryptography

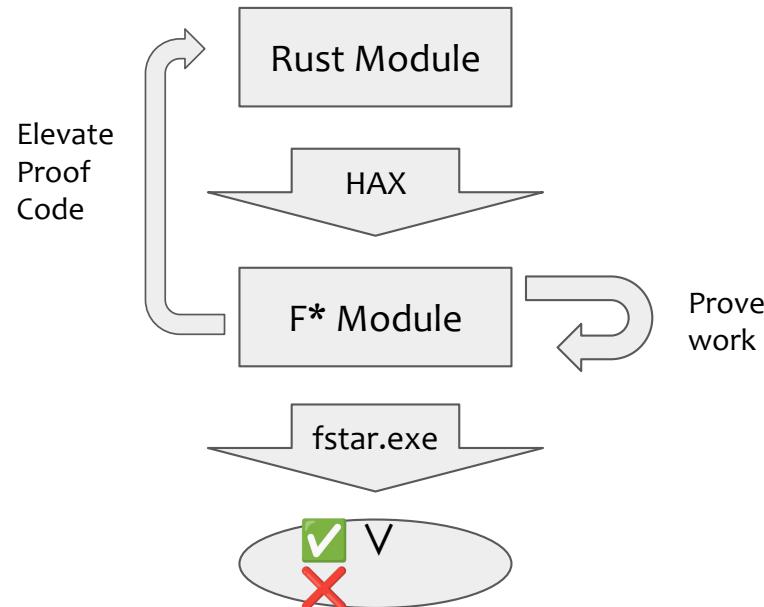
Outline

- Overview
- Background
- Design & Implementation
- Evaluation
- Conclusion

Background: What and how to prove things?

Prove properties of your program!

- Memory safety
- Panic Freedom
- Termination
- Correctness
- ...



Overview: Example



```
fn set(  
    arr: &mut [u64; 25],  
    x: usize,  
    y: usize,  
    value: u64,  
)  
{  
  
    arr[5 * y + x] = value;  
}
```

Background: Example

```
fn set(  
    arr: &mut [u64; 25],  
    x: usize,  
    y: usize,  
    value: u64,  
) {  
  
    debug_assert!(x < 5 && y < 5);  
  
    arr[5 * y + x] = value;  
}
```

Background: Example

```
# [hax::requires(x < 5 && y < 5)]  
fn set(  
    arr: &mut [u64; 25],  
    x: usize,  
    y: usize,  
    value: u64,  
) {  
  
    debug_assert!(x < 5 && y < 5);  
  
    arr[5 * y + x] = value;  
}
```

Background: Example

```
# [hax::requires(x < 5 && y < 5)]  
fn set(  
    arr: &mut [u64; 25],  
    x: usize,  
    y: usize,  
    value: u64,  
) {  
    # [cfg(not(hax))]  
    debug_assert!(x < 5 && y < 5);  
  
    arr[5 * y + x] = value;  
}
```

Background: Example



```
let set
  (arr: t_Array u64 (mk_usize 25))
  (x y: usize)
  (value: u64)
  : Prims.Pure (t_Array u64 (mk_usize 25))
  (requires x <. mk_usize 5 && y <. mk_usize 5)
  (fun _ -> Prims.l_True) =
let arr = update_at_usize arr ((mk_usize 5 *! y) +! x) value in
arr

  i: usize { v i < Seq.length arr }
```

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Design: libcrux-sha3



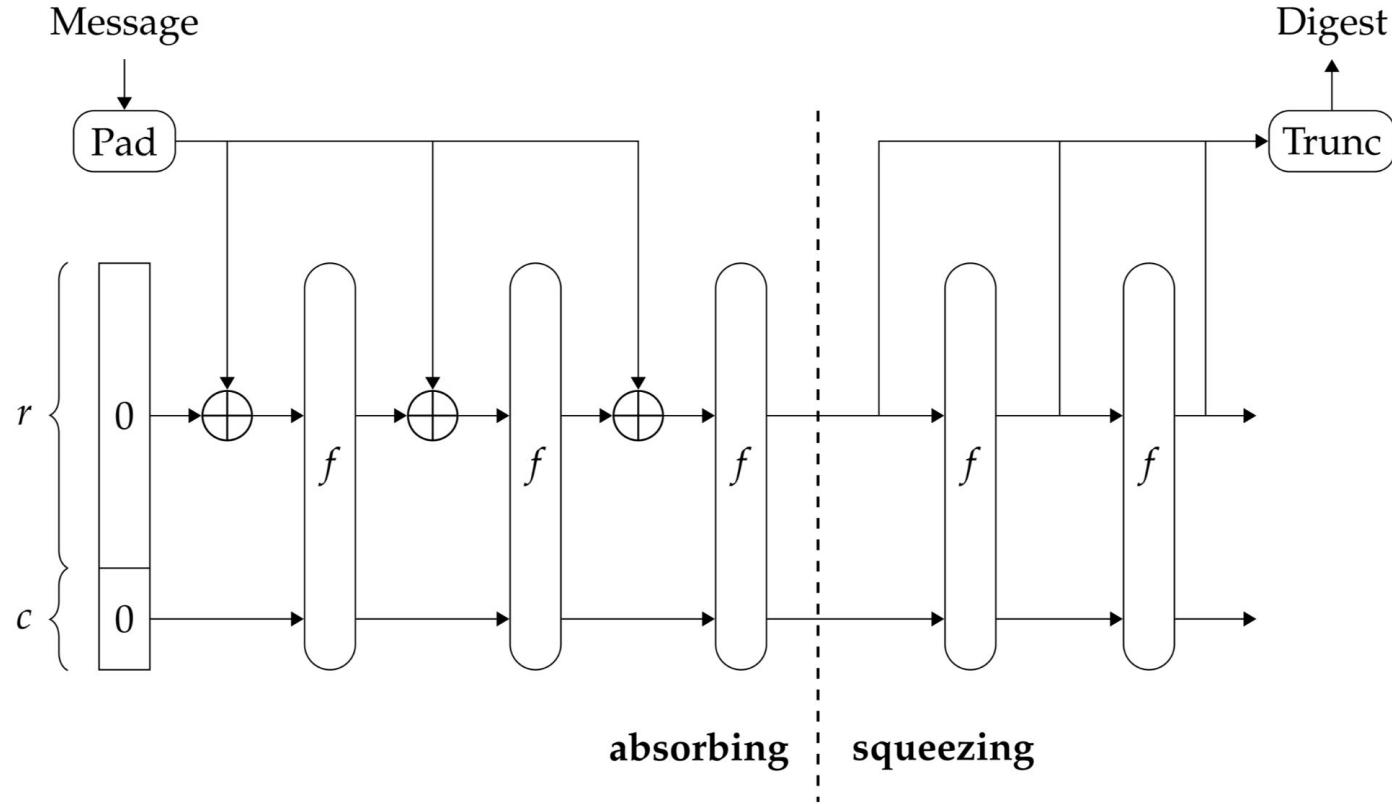
- Implements all versions of the SHA-3 Standard
 - SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE128, SHAKE256
- Different backends
 - Portable → Hash a single input
 - NEON → Hash two inputs
 - AVX2 → Hash four inputs
- **Buffering API to incrementally absorb inputs**

Design: Buffering XOF API

```
trait Xof {  
    /// Create new absorb state  
    fn new() -> Self;  
  
    /// Absorb input  
    fn absorb(&mut self, input: &[u8]);  
  
    /// Absorb final input (may be empty)  
    fn absorb_final(&mut self, input: &[u8]);  
  
    /// Squeeze output bytes  
    fn squeeze(&mut self, out: &mut [u8]);  
}
```

Be able to iteratively absorb and squeeze any number of bytes

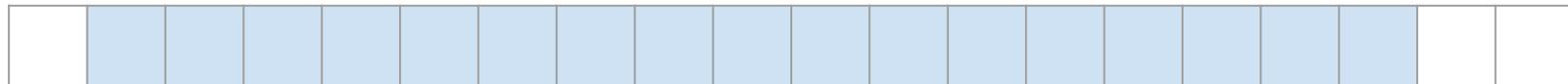
Design: Sponge Construction



Implementation: XOF Buffer API

```
let mut state = Shake256Xof::new();  
let mut out = [0u8; 32];
```

buffer_length = 0



136 bytes



= 8 bytes

free

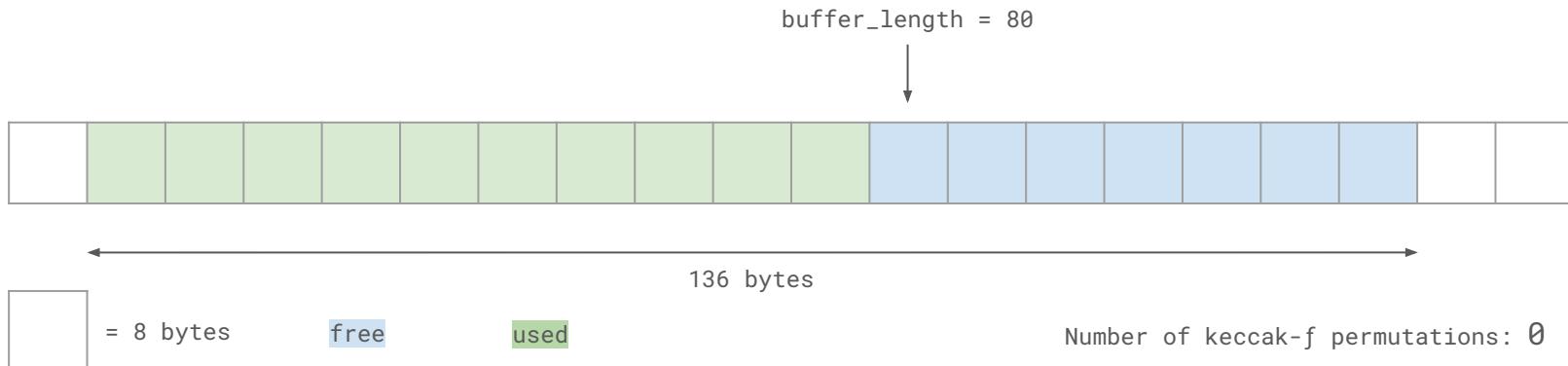
used

Number of keccak-f permutations: 0

Implementation: XOF Buffer API

```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&input[..80]);
```

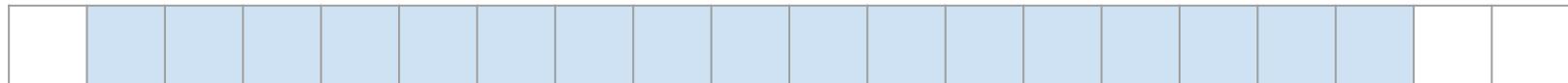


Implementation: XOF Buffer API

```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&input[..80]);
state.absorb(&input[80..136]);
```

buffer_length = 0



136 bytes



= 8 bytes

free

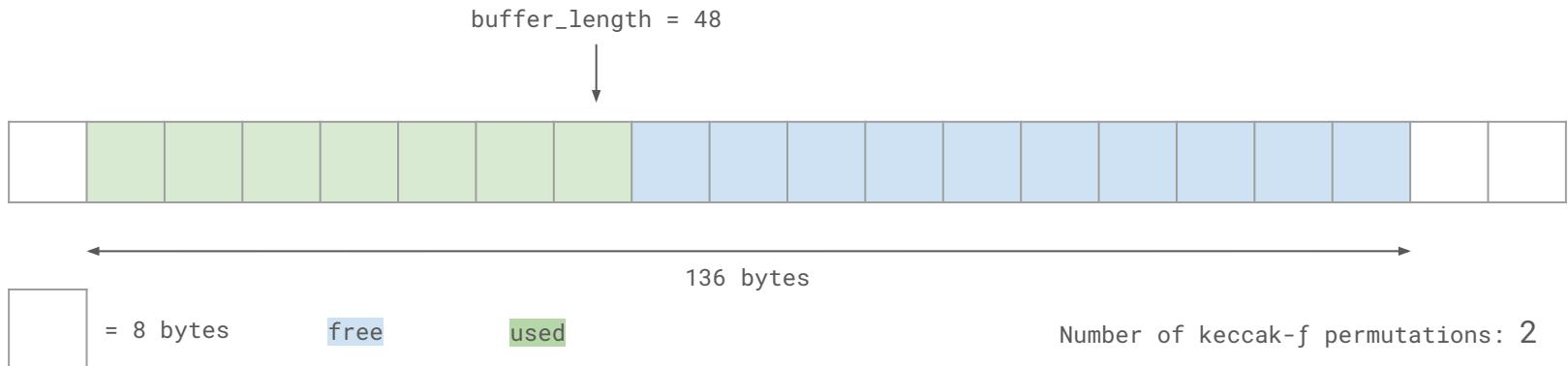
used

Number of keccak-f permutations: 1

Implementation: XOF Buffer API

```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&input[..80]);
state.absorb(&input[80..136]);
state.absorb(&input[136..320]);
```

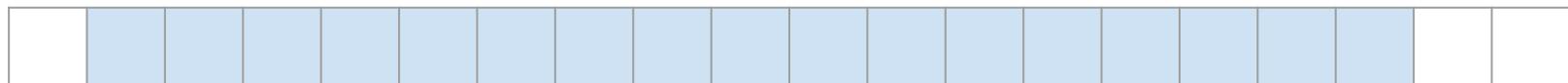


Implementation: XOF Buffer API

```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&input[..80]);
state.absorb(&input[80..136]);
state.absorb(&input[136..320]);
state.absorb_final(&input[320..408]);
```

buffer_length = 0



= 8 bytes

free

used

Number of keccak-f permutations: 4

Implementation: Additional absorb features



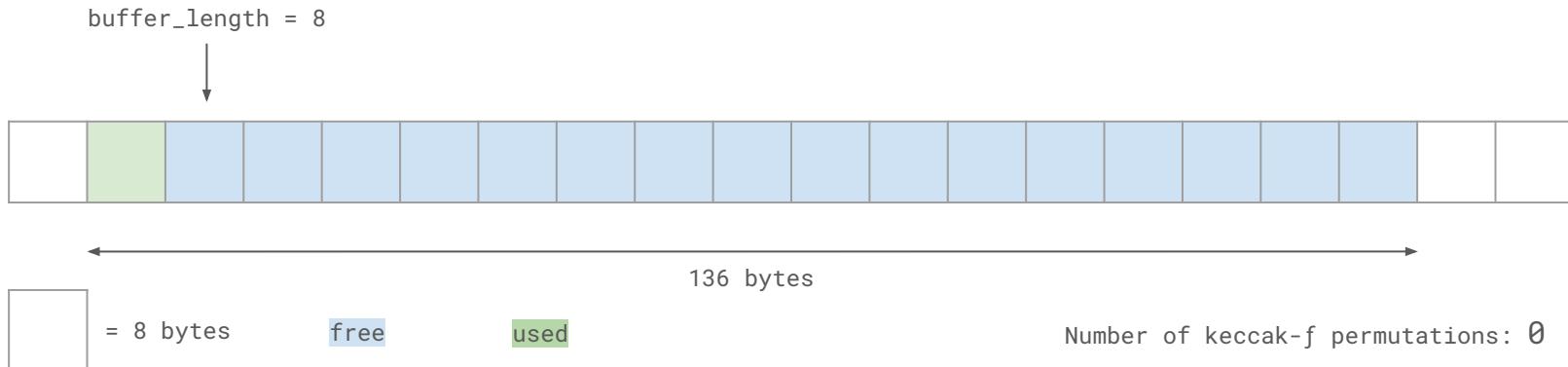
```
pub fn fill_buffer(&mut self, inputs: &[u8]) -> usize
```

Fill the internal buffer and return the absorbed bytes

Implementation: XOF Buffer API Panic

```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&INPUT[..8]);
```

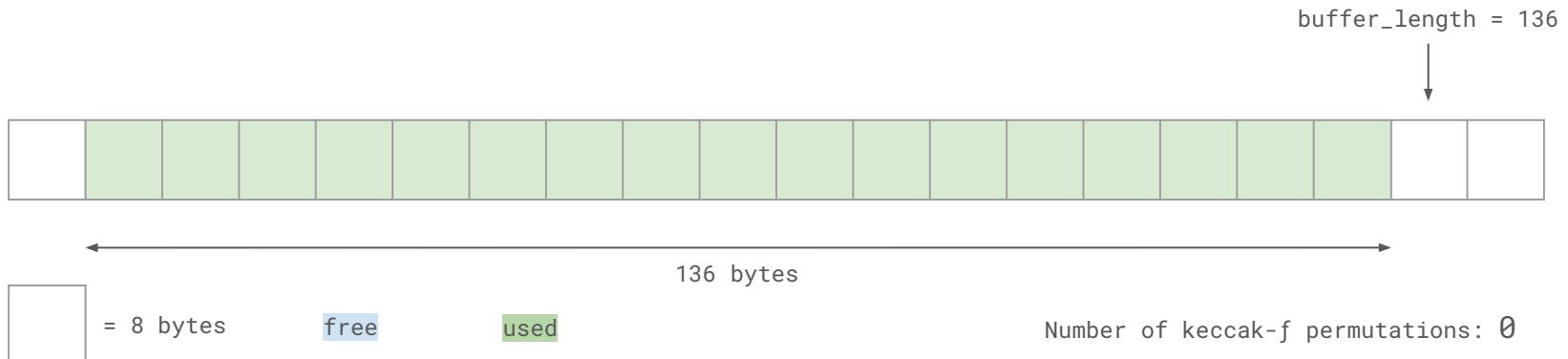


Implementation: XOF Buffer API Panic



```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&INPUT[..8]);
let rem = state.fill_buffer(&INPUT[8..136]);
assert!(128 == rem);
```

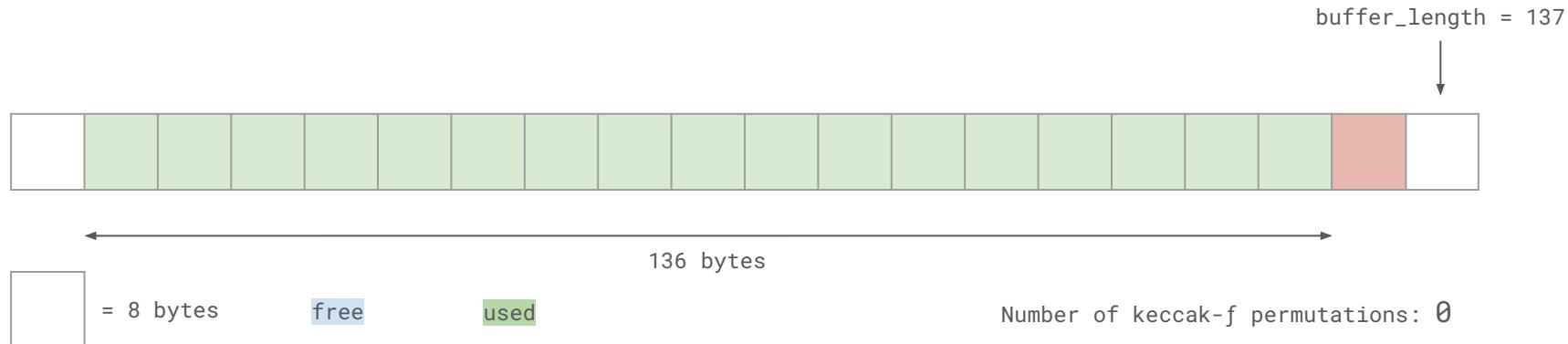


Implementation: XOF Buffer API Panic



```
let mut state = Shake256Xof::new();
let mut out = [0u8; 32];

state.absorb(&INPUT[..8]);
let rem = state.fill_buffer(&INPUT[8..136]);
assert!(0 == rem);
state.absorb(&INPUT[136..137]);
```



Implementation: XOF Buffer API Panic



```
let consumed = self.fill_buffer(input);

if consumed > 0 {
    if self.buffer_length == RATE {
        self.state.absorb_block::<RATE>(&self.buffer, 0);
        self.state.keccakf1600();
        self.buffer_length = 0;
    }
}
```

Beginning of the absorb function

Implementation: State invariants



```
impl Xof for Shake256Xof {
    #[hax_lib::ensures(|result| xof_state_inv(&result))]
    fn new() -> Self

    #[hax_lib::requires(xof_state_inv(&self))]
    #[hax_lib::ensures(|_| xof_state_inv(&future(self)))]
    fn absorb(&mut self, input: &[u8])

    #[hax_lib::requires(xof_state_inv(&self))]
    #[hax_lib::ensures(|_| xof_state_inv(&future(self)))]
    fn absorb_final(&mut self, input: &[u8])

    #[hax_lib::requires(xof_state_inv(&self))]
    #[hax_lib::ensures(|_| xof_state_inv(&self))]
    fn squeeze(&mut self, out: &mut [u8])
}
```

```
fn xof_state_inv (
    xof: &Shake256Xof
) -> bool {
    xof.buffer_length <= 136
}
```

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- Formal Verification of industry grade SHA-3 Implementation
- Formal Verification with HAX still is a very manual task
- HAX Limitations:
 - Large Permutations cause exponential blowup in verification time
 - Types with mutable references don't work → No `&[&mut [u8]; N]`
 - Core library misses bit manipulations → No correctness proof

```
u64::rotate_left(x, LEFT)
u64::rotate_right(x, RIGHT)
x << LEFT
x >> RIGHT
```

```
trait Squeeze<N: usize> {
    fn squeeze(&self, out: &[&mut [u8]; N]);
}
```

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Conclusion



**HAX and Formal Verification are useful for finding edge case bugs
in high assurance software!**

Conclusion: Impact



Merged Libcrux Pull Requests:

- Add tests for hash functions [#981](#)
- Fixes the flake to work with rust-rover IDE [#994](#)
- Sha3 lax check [#1092](#)
- Makefile improvement [#1101](#)
- Remove unsafe expression on safe AVX2 [#1109](#)
- SHA3 SIMD tests [#1120](#)
- bump HAX version [#1127](#)
- Fix warning. Remove unused lemma [#1145](#)

Open Libcrux Pull Requests:

- Add full type check for SHA-3 portable [#1157](#)
- Panic freedom for SIMD backends [#1238](#)

Merged HAX Pull Requests:

- Fix type of u64 rotate left [#1548](#)
- fix Core.Clone [#1552](#)
- rename explicit_panic [#1605](#)
- Change impl_u64__rotate_right second parameter type to u32 [#1778](#)

libcrux-sha3 is used in Signal's new Post-Quantum Ratchet!

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Questions