## Abstract

Going to make LIGO the best possible ever.

## Adaptive Mode Matching in Advanced LIGO

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## **Preface**

The era of gravitational waves astronomy was ushered in by the LIGO (Laser Interferometer Gravitational-Wave Observatory) collaboration with the detection of a binary black hole collision (Detection paper). The event that shook the foundation of space-time allowed mankind to view the cosmos in a way that had never been done previously.

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### Introduction

#### 1.1 Gravitational Waves

In 1915, Albert Einstein published his theory of general relativity. The seminal equation in this theory is:

$$G_{\mu\nu} = 8\pi T_{\mu\nu} \tag{1.1}$$

which is a set of 10 coupled second order differential equations that are nonlinear. In their complete form, equation [1] fully describes the interaction between space-time and mass-energy. To describe the physics in a highly curved space-time, one would have to fully solve the Einstein field equations numerically.

In the weak field approximation, the metric can be described as

$$g_{\mu\nu} \approxeq \eta_{\mu\nu} + h_{\mu\nu} \tag{1.2}$$

where  $\eta_{\mu\nu}$  is the metric of flat space time and  $|h_{\mu\nu}| \ll 1$  is the perturbation due to a gravitational field.

By plugging in equation 1.2 into equation 1.1 and using empty space we obtain the familiar wave equation

$$\left(\nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}\right) h_{\mu\nu} = 0 \tag{1.3}$$

which has a plane-wave solution of the form  $h_{\mu\nu}=A_{\mu\nu}e^{ik_{\nu}x^{\nu}}$ . By using the gauge constraint  $h^{\mu\nu}_{,\nu}=0$ , it follows that  $A_{\mu\nu}k^{\mu}=0$  which means that the gravitational wave amplitude is orthogonal to the propagation vector.

By further imposing transverse-traceless gauge, we can constrain the complex amplitude to two orthogonal polarizations which have physical significance:

$$A_{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & A_{xx} & A_{yx} & 0 \\ 0 & A_{xy} & -A_{yy} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$
 (1.4)

One can ask if a gravitational wave passed by a pair of particles separated by length L, what would be the effect on the distance between the two points? The proper distance is defined as

$$\delta l = \int g_{\mu\nu} dx^{\mu} dx^{\nu} = \int_{0}^{L} g_{xx} dx \approx |g_{xx}(x=0)|^{1/2} \approx \left[1 + \frac{1}{2} h_{xx}(x=0)\right] L \quad (1.5)$$

which shows us two very important points about the nature of gravitational waves. Firstly, the effect is very small since the variation in the length is

Even with the theoretical formulation of gravitational waves resolved, the detection of gravitational waves by ground-based detectors was still a controversial topic among scientists in the field. This was due to the incredible amount of accuracy needed to actually measure strain.

Even with some of the most energetic events known to humanity such as the merger of neutron stars and black holes, the amount of strain expected is on the order of 10e-24'

#### 1.2 The LIGO Instrument

In the simplest form, the LIGO instrument is an incredibly large Michaelson interferometer. If we imagine the interferometer as a measure of the differential arm length, it becomes a natural way of detecting gravitational waves.

The LIGO instruments are considered dual-recycled Fabry-Perot interferometers

#### 1.2.1 Fabry-Perot Cavities

A Fabry-Perot cavity is an optical system comprised of two mirrors and one laser input. The very simple condition that once the laser has made a round trip around the optics, it is the same shape at the same point. Conceptually, this may seem simple but in practice, controlling and sensing any optical cavity comes with many challenges.

Ray Trace: Round trip phase, cavity stability

TF: analytical derivation

Important quantities: Finesse, cavity pole, free spectral range

Effect of higher order modes into the cavity, mode scanning. All comes from round trip Gouy phase.

References:[Black, Rick's Paper]

#### 1.2.2 Power-Recycled Interferometers

Power Recycling If the interferometer is operating such that the 4 km arms are exactly different in arms a pi over two times the wavelength, then the intensity of the light at antisymmetric port will be close to null. This means the power from the arms will reflect back towards the input laser. Ref[] shows the effect of adding a partially reflecting mirror to increase the optical gain of the Michaelson.

References: [Meers, Kiwamu]

#### 1.2.3 Dual-Recycled Interferometers

Figure comparing the three cases of increased sensitivity. References: [Kiwamu]

#### 1.2.4 Noise Budget

Ref: Evan Hall, GWINC

Noise budget: - Quantum Noise - Seismic - Thermal Noise

#### 1.3 Squeezed States of Light

The Quantum Noise is a fundamental source that can be improved by modifying the quantum vacuum using correlated photons and injecting the states of light into the antisymmetric port of the interferometer. Within the LIGO community, this procedure of modifying quantum vacuum is called squeezing. Caves analytically derived the effects of quantum noise on the interferometer as well as the improvement due to i

References: [Caves, Dwyer, Kwee, Miao]

# The Effects of Mode-Matching

Theory section of modematching. Gaussian beams, define relevant quantities, Gouy Phase!

References: [Kognelik and Li]

#### 2.0.1 Gaussian Beam Optics

When dealing with length sensing DOFs, the simple plane wave appoximation works, however, when trying to understand the misalignment and mode-mismatch signals, we require Gaussian beam optics

Define a Gaussian beam:

- -Maxwell's equations + approximations = Paraxial helmholtz equation
- Complex amplitude \* phase
- Waist size and Beam Size
- Radius of Curvature
- Gouy Phase + graph
- HOM equation for LG and HG

#### 2.0.2 Coupling Power to Higher Order Modes

In general, there are a number of ways that a  ${\rm TEM00}$  mode can couple into higher order modes.

This can be broken up into two categories: mode misalignment and mode mismatch. Everyone says it differently.

Summarize the coupling [Anderson]

Summarize the formalism with ket notation as a sum of the eigenmodes of a cavity. References:[Anderson, Guido]

#### Misalignment

Mode Misalignment: tilted axis, displaced axis References: [Daniel and Nergis, own calculations]

#### Mode Mismatch

References: [Own Calculations]

#### 2.0.3 Effects of Mode-matching on Squeezing

Still not clear to me.

References: [Miao, Sheon?]

## Modeling Mode-Matching

#### 3.1 How it works

Summary of how Finesse works (input output matrix), how it handles HOMs

- 3.2 Finesse Simulations
- 3.2.1 ALIGO Design with FC and Squeezer
- 3.2.2 Looking at just Modal Change
- 3.2.3 QM Limited Sensitivity
- 3.3 Results
- \* Signal recycling cavity mismatches
  - \* Mismatches before the OMC
  - \* Mismatch contour graph: Comparing all of ALIGO cavities
- $^{\ast}$  Optical Spring pops up at 7.4 Hz in the Signal-to-Darm TF, re-run with varying SRM Trans which should.

# Mode Matching Cavities at Syracuse

#### 4.1 Adaptive Mode Matching

Real time digital system and model. Signal chain.

#### 4.2 Actuators

#### 4.2.1 Thermal Lenses

Fabian's work and UFL paper.

#### 4.2.2 Translation Stages

#### 4.3 Sensors

#### 4.3.1 Bullseye Photodiodes

Why do we need bullseye photodiodes Derivations in the appendix. Picture of BPD Pitch and Yaw sensing matrix Explain why we need  $\omega_0 = \sqrt{2}r_0$  The ratio of the out over in will give:

Power Ratio = 
$$\frac{P_2 + P_3 + P_4}{P_1} = \frac{e^{-2r_0^2/\omega_0^2}}{1 - e^{-2r_0^2/\omega_0^2}} \approx 0.582$$
 (4.1)

#### 4.3.2 Mode Converters

# Mode Matching Cavities at LIGO Hanford

#### 5.1 Active Wavefront Control System

#### 5.2 SRC

The importance of mode-matching actually goes beyond reducing the amount of losses in coupled cavities. It also is important for cavity stability. If we look at the g-factor of a cavity, it is required through ABCD transformations that the values lay between 0 and 1. For the signal recycling cavity, if the round trip gouy phase is off by a few millimeters, the stability of the cavity can be compromised.

#### 5.3 Beam Jitter

Current measurements of mode-matching.

## **High Power Commissioning**

#### 6.1 Effect on Mode-Matching

What is the effect on mode-matching when you change the laser power?

## Solutions for Detector Upgrades

- \* SR3 Heater
  - \* SRM Heater
  - $^*$  Bullseye photodetectors
  - \* Operation: range (in terms of watts and
  - \* Translation stages
  - \* Mechanical description (Solidworks designs)
  - \* Constraints (range, vacuum, alignment, integration)
  - \* Electronics
  - \* Software

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## Appendix A

## Bullseye Photodiode Characterization

#### A.1 DC

Power = 
$$\int_{A}^{B} |A_{00}|^{2} e^{\frac{-2r^{2}}{\omega_{0}^{2}}} 2\pi r dr$$
  
=  $-|A_{00}|^{2} \frac{\pi \omega_{0}^{2}}{2} e^{\frac{-2r^{2}}{\omega_{0}^{2}}} \Big|_{A}^{B}$  (A.1)

$$P_{in} = \text{Power} \Big|_{0}^{r_0} = |A_{00}|^2 \frac{\pi \omega_0^2}{2} [1 - e^{\frac{-2r_0^2}{\omega_0^2}}]$$
 (A.2)

$$P_{out} = Power \Big|_{r_0}^{\infty} = |A_{00}|^2 \frac{\pi \omega_0^2}{2} \left[ e^{\frac{-2r_0^2}{\omega_0^2}} \right]$$
 (A.3)

$$P_{total} = P_{in} + P_{out} \tag{A.4}$$

$$\omega = \sqrt{\frac{P_{total}}{\left|A_{00}\right|^{2} \pi / 2}} \tag{A.5}$$

DC Power Ratio = 
$$\frac{P_{out}}{P_{in}} = \frac{e^{-2r_0^2/\omega_0^2}}{1 - e^{-2r_0^2/\omega_0^2}} \approx 0.582$$
 (A.6)

#### A.2 RF

$$\begin{split} \mathbf{P}_{RF} &= \int_{A}^{B} |A_{01}|^{2} \left(1 - \frac{2r^{2}}{\omega_{0}^{2}}\right) e^{\frac{-2r^{2}}{\omega_{0}^{2}}} 2\pi r dr \\ &= -|A_{00}|^{2} \frac{\pi}{2} \omega_{0}^{2} e^{\frac{-2r^{2}}{\omega_{0}^{2}}} \left(1 + \frac{4r_{4}}{\omega_{0}^{4}}\right) \Big|_{A}^{B} \end{split} \tag{A.7}$$

$$P_{in} = P_{RF} \Big|_{0}^{r_0} = -|A_{01}|^2 \frac{\pi}{2} \omega_0^2 \left( e^{\frac{-2r^2}{\omega_0^2}} \left( 1 + \frac{4r_4}{\omega_0^4} \right) - 1 \right)$$
 (A.8)

$$P_{out} = P_{RF} \Big|_{r_0}^{\infty} = -|A_{01}|^2 \frac{\pi}{2} \omega_0^2 e^{\frac{-2r^2}{\omega_0^2}} \left(1 + \frac{4r_4}{\omega_0^4}\right)$$
 (A.9)

RF Power Ratio = 
$$\frac{P_{out}}{P_{in}} = \frac{e^{-2r_0^2/\omega_0^2}}{1 - e^{-2r_0^2/\omega_0^2}} \approx 2.7844$$
 (A.10)

## Appendix B

## Overlap of Gaussian Beams

$$|A(r)\rangle = \frac{A_0}{q(z)} e^{\frac{-ikr^2}{2q(z)}}$$

$$= \frac{A_0}{q(z)} e^{\frac{-ikr^2(z-iz_0)}{2|q(z)|^2}}$$
(B.1)

where

 $q(z) = z + iz_0$ 

Dot product:

$$\langle A(r)|A(r)\rangle = \frac{A_0}{z^2 + z_0^2} \int_0^\infty e^{\frac{-kr^2z_0}{|q(z)|^2}} 2\pi r dr = 1$$
 (B.2)

Normalization factor is this:

$$A_0 = \sqrt{\frac{kz_0}{\pi}} \tag{B.3}$$

For two Gaussian beams with arbitrary q-parameters

$$|A_i\rangle = \frac{A_{0,i}}{q_i} e^{\frac{-ikr^2(z-iz_0)}{2|q_i|^2}}$$
 (B.4)

where  $z_{0,i}$  is the waist size of one particular beam.

The power overlap is:

Overlap = 
$$\langle A_1 | A_2 \rangle = 4 \frac{\sqrt{z_{0,1} z_{0,2}}}{|q_1 - q_2^*|^2}$$
 (B.5)