

Analysis and Design of Algorithms

Chapter 4: Recursive Algorithm



School of Software Engineering © Yanling Xu



Recursive Algorithm

■ **Recursion :**

a procedure or subroutine, whose implementation references itself

■ **Example 1: Recursive evaluation of $n !$**

Iterative Definition

$$n! = 1 \times 2 \times 3 \times \dots \times (n-1) \times n$$

Recursive definition

$$n! = \begin{cases} 1 & n = 0 \\ n(n-1)! & n > 0 \end{cases}$$

initial condition

recurrence relation

Recursive Algorithm

■ *Example 1: Recursive evaluation of $n!$ ('cont)*

```
Algorithm  $F(n)$   
  if  $n=0$   
    return 1                //base case  
  else  
    return  $F(n-1) * n$       //general case
```

Recursive Algorithm

■ Example 1: Recursive evaluation of $n!$ ('cont)

input size: n

basic operation: multiplication

Times of Basic operation for $F(n)$

$$C(0) = 0$$

initial condition

$$C(n) = C(n-1) + 1$$

recurrence relation

to solve recurrences,

method of backward substitutions

$$C(n) = C(n-1) + 1$$

$$= [C(n-2) + 1] + 1 = C(n-2) + 2$$

$$= [C(n-3) + 1] + 2 = C(n-3) + 3$$

.....

$$= [C(n-n) + 1] + n - 1 = n$$

to find the initial condition, to see
when the call stop in the
pseudocode

can be proved by
mathematical induction

Recursive Algorithm

■ *Example 2: Fibonacci numbers*

$$F(n) = \begin{cases} 1 & n = 0 \\ 1 & n = 1 \\ F(n-1) + F(n-2) & n > 1 \end{cases}$$

Iterative Definition

$$F(n) = \frac{1}{\sqrt{5}} \left(\frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left(\frac{1-\sqrt{5}}{2} \right)^n$$

$$C(n) \in \Theta(\phi^n)$$

Recursive Algorithm

■ Example 3: Ackerman Function

$$\left\{ \begin{array}{ll} A(1,0) = 2 \\ A(0,m) = 1 & m \geq 0 \\ A(n,0) = n + 2 & n \geq 2 \\ A(n,m) = A(A(n-1,m), m-1) & n, m \geq 1 \end{array} \right.$$

- *E.g.*
 - $A(0, y) = y + 1$
 - $A(1, y) = y + 2$
 - $A(2, y) = 2y + 3$
 - $A(3, y) = 2^{y+3} - 3$
- *power towers*

$$A(4, y) = \frac{2^{2^{\cdot^{\cdot^{\cdot^2}}}}}{y+3} - 3$$

- *Its value grows rapidly, even for small inputs. For example $A(4,2)$ is an integer of 19,729 decimal digits*
- *No Iterative Definition*

Recursive Algorithm

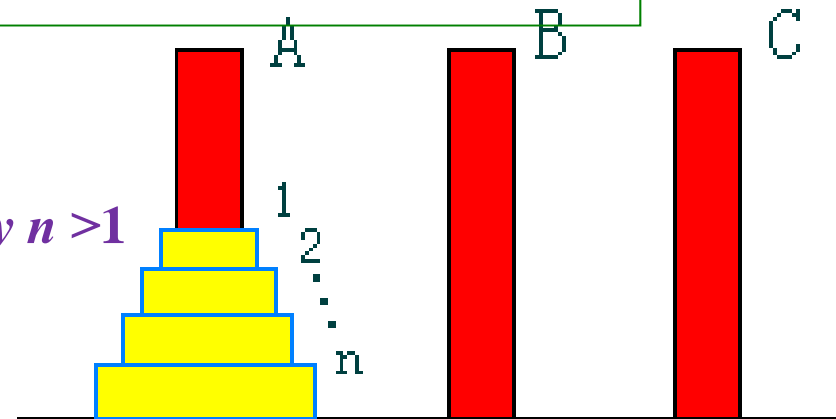
■ Example 4: The Tower of Hanoi Puzzle

```
void hanoi(int n, int a, int b, int c)
{
    if (n > 0)
    {
        hanoi(n-1, a, c, b);
        move(a,b);
        hanoi(n-1, c, b, a);
    }
}
```

$$C(1) = 1$$

$$C(n) = 2C(n-1) + 1 = 2^n - 1 \quad \text{for every } n > 1$$

$$C(n) \in \Theta(2^n)$$



Recursive Algorithm

Example 4: The Tower of Hanoi Puzzle ('cont)

Recurrence Relations

input size: the number of disks, n

basic operation: moving one disk

total number of moving : $C(n)$

$$C(1) = 1$$

$$C(n) = 2C(n-1) + 1 = 2^n - 1 \quad \text{for every } n > 1$$

$$C(n) \in \Theta(2^n)$$

$$C(n) = 2C(n-1) + 1$$

$$= 2(2C(n-2)+1)+1 = 2^2C(n-2)+2+1=...$$

$$= 2^iC(n-i)+2^{i-1}+2^{i-2}+...+2+1=...$$

$$= 2^{n-1}C(1)+2^{n-2}+2^{n-3}+...+2+1$$

$$= 2^{n-1}+2^{n-2}+2^{n-3}+...+2+1 \quad \text{等比数列}$$

$$= (1-q^n)/(1-q) = (2^n-1)/(2-1) = 2^n-1$$

Recursive Algorithm

■ **Example 5: permutation problem** 排列问题

✦ Recursive algorithm to make all permutations for list $\{r_1, r_2, \dots, r_n\}$.

- $R = \{r_1, r_2, \dots, r_n\}$, $R_i = R - \{r_i\}$.
- $\text{perm}(R)$: all permutation for all elements in R .
- $(r_i)\text{perm}(X)$: to add a prefix in front of each permutation in $\text{perm}(X)$
- $\text{perm}(R)$:

If $n=1$, $\text{perm}(R)=(r)$, r is the only element in set R ;

If $n>1$, $\text{perm}(R)$ consists of $(r_1)\text{perm}(R_1)$, $(r_2)\text{perm}(R_2)$, ..., $(r_n)\text{perm}(R_n)$.

Recursive Algorithm

■ **Example 5: permutation problem ('cont)**

```
template <class Type>
void Perm(Type list[], int k, int m)
{ // create all permutation of list [k: m ] with prefix list[0,k-1]
  if (k == m) { //only one element to be done
    for (int i = 0; i <= m; i++)
      putchar(list[i]);
    putchar('\n');
  }
  else // several permutations for list[k: m ] are created by recursive
    for (i=k; i <= m; i++) {
      Swap (list[k], list[i]);
      Perm (list, k+1, m);
      // Swap (list [k], list [i]);
    }
}
inline void Swap(Type & a, Type & b)
{
  Type temp = a;  a = b;  b = temp; }
```

Recursive Algorithm

■ Important Recurrence Type

★ Decrease-by-one recurrences

- *A decrease-by-one algorithm solves a problem by exploiting a relationship between a given instance of size n and a smaller size $n - 1$.*
- *Example: $n!$*
- *The recurrence equation for investigating the time efficiency of such algorithms typically has the form*

$$T(n) = T(n-1) + f(n)$$

★ Decrease-by-a-constant-factor recurrences

- *A decrease-by-a-constant algorithm solves a problem by dividing its given instance of size n into several smaller instances of size n/b , solving each of them recursively, and then, if necessary, combining the solutions to the smaller instances into a solution to the given instance.*
- *Example: binary search.*
- *The recurrence equation for investigating the time efficiency of such algorithms typically has the form*

$$T(n) = aT(n/b) + f(n)$$

Recursive Algorithm

✦ Decrease-by-one recurrences

- ***One (constant) operation reduces problem size by one.***

$$T(n) = T(n-1) + c \qquad T(1) = d$$

Solution: $T(n) = (n-1)c + d$ linear

- ***A pass through input reduces problem size by one.***

$$T(n) = T(n-1) + c n \qquad T(1) = d$$

Solution: $T(n) = [n(n+1)/2 - 1] c + d$ quadratic

$$\begin{aligned} T(n) &= T(n-1) + cn = T(n-2) + c(n-1) + cn = \dots \\ &= T(1) + c[2 + \dots + (n-1) + n] \end{aligned}$$

Recursive Algorithm

- ✦ *Decrease-by-a-constant-factor recurrences*
 - *The Master Theorem*

$$T(n) = aT(n/b) + f(n), \quad \text{where } f(n) \in \Theta(n^k), \quad k \geq 0$$

1. $a < b^k$ $T(n) \in \Theta(n^k)$
2. $a = b^k$ $T(n) \in \Theta(n^k \log n)$
3. $a > b^k$ $T(n) \in \Theta(n^{\log_b a})$

- *Example:*

- ✦ $T(n) = T(n/2) + 1$ $\Theta(\log n)$
- ✦ $T(n) = 2T(n/2) + n$ $\Theta(n \log n)$
- ✦ $T(n) = 3T(n/2) + n$ $\Theta(n^{\log_2 3})$