# Computation of the Density-Density Response Function in a Hofstadter Model

by Timo Zacharias, supervised by Michael Knap and Fabian Pichler

Technische Universität München

#### **Abstract**

The Fractional Quantum Hall (FQH) effect in lattice systems provides a rich platform to study strongly correlated topological phases beyond the continuum limit. In this work, we investigate the FQH states at filling factors  $\nu=1/3$  and  $\nu=2/3$  within a Hofstadter model using a parton-based framework. Employing the Random Phase Approximation (RPA), we compute the density-density response function and use the Padé approximation to obtain its real-frequency behavior. The resulting excitation spectrum exhibits a gapped collective mode with characteristic magnetoroton modes appearing on top of a continuum of excitations. Moreover, we can identify distinct regions in the spectrum that originate from excitations involving different numbers of partons.

### Parton Model

The FQHE was discovered in 2D electron liquids (area A) with a strong perpendicular magnetic field B. The electrons fill up Landau levels (LL's), to an amount given by  $\nu_e$  [Tong 2016].

**Idea of the parton model:** Explain the FQHE at fractional  $\nu_e$  by decomposing the electrons into M partons (charge  $q_i$ ) of species i=1,...,M, that exhibit an integer QHE, i. e.  $\nu_i$  integer [Jain 1989].

- lacksquare On operator level in **real space**:  $\hat{\Psi}_e(\vec{x}, au)=\hat{\Psi}_1(\vec{x}, au)\cdot ...\cdot \hat{\Psi}_M(\vec{x}, au)$  (,,Parton factorization")
- lacksquare Constraint  $1 \equiv q_e = q_1 + ... + q_M$
- lacksquare For all partons we have  $rac{N}{
  u_i}=rac{A\cdot B}{\phi}=rac{A\cdot B\cdot q_i e}{2\pi\hbar}\quad\Rightarrow\quad q_i=rac{
  u_e}{
  u_i}$  and  $u_e=(\sum_i^M 
  u_i)^{-1}$
- lacksquare For u=1/3: M=3 parton species,  $q_i=rac{1}{3}$  and  $u_i=1$  for all i=1, 2, 3;
- $\blacksquare$  For u=2/3: M=3 parton species,  $q_1=-\frac{1}{3}$ ,  $u_1=-2$  and  $q_i=\frac{2}{3}$ ,  $u_i=1$  for =2, =3.

#### Transition to Lattice Models and my Model

- In a lattice model, we do not have LL's but only electron bands.
- $\blacksquare$  For topologically non-trivial materials: connection between the filling factor  $\nu$  in LL's and the sum of the Chern numbers of filled bands in a lattice model (by the TKNN formula) [Tong 2016].
- For the case of  $\nu = 1/3$  and  $\nu = 2/3$  we use a Hofstadter model to get the appropriate Chern numbers, they are schematically shown in the figures 1 and 2.

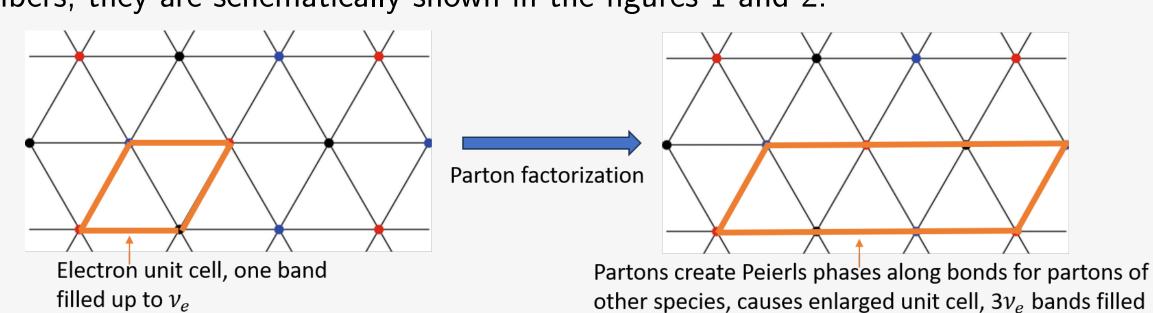


Figure 1. Triangular lattice used to model the parton models for both states,  $\nu = 1/3$  and  $\nu = 2/3$ .

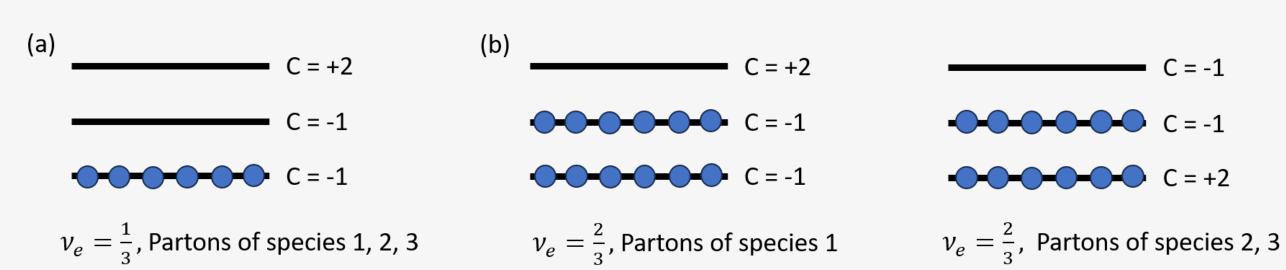


Figure 2. (a) For  $\nu = 1/3$  we have three parton species, all of them have a charge  $q_i = -1/3$  and a filling  $\sum_{\alpha} C_{\alpha} = -1$ . (b) For  $\nu=2/3$  there are three parton species, one of them with charge  $q_1=-1/3$  and  $\sum_{\alpha} C_{\alpha}=-2$  (left) and two of them with  $q_{2,3}=2/3$  and  $\sum_{\alpha} C_{\alpha}=+1$  (right).

## Neutral Excitations in a FQH state: Investigation via the **Density-Density Response function**

- $\blacksquare$  FQH states are gapped  $\Rightarrow$  particle-hole excitation spectrum is gapped.
- On top of this continuum there are collective excitation modes (magnetoroton modes) [Chengzhang 2012; Girvin et al. 1986].
- We want to investigate these neutral excitations for our lattice model of the  $\nu=1/3$  and  $\nu=2/3$  states by computing the Density-Density Response function, see eq. (1).

$$\chi(ec{k},\omega) = -rac{i}{\hbar} \int_0^\infty e^{i(\omega+i\eta)t} heta(t) \langle \left[\hat{
ho}_{ec{k}}(t),\hat{
ho}_{-ec{k}}(0)
ight] 
angle.$$

- Diagrammatically,  $\chi(\vec{k},\omega)$  can be written as depicted in fig. 3
- employ the Random-Phase approximation, illustrated in fig. 3 to sum up all perturbation orders.

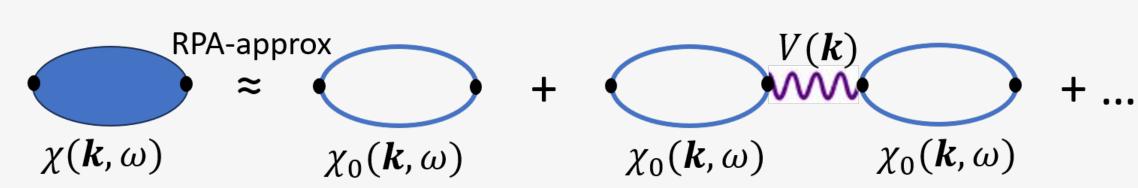


Figure 3. Diagrammatic representation of the density-density response function in the random phase approximation

■ Summing up all terms in the RPA yields the following expression for  $\chi(\vec{k},\omega)$ ,

$$\chi(\vec{k},\omega) = \frac{\chi_0(\vec{k},\omega)}{1 - V(k)\chi_0(\vec{k},\omega)}.$$
 (2)

■ To compute  $\chi(\vec{k},\omega)$  with the RPA we need to calculate  $\chi_0(\vec{k},\omega)$ . Employing the parton-factorization for  $\nu=1/3$  and  $\nu=2/3$  yields the following diagrammatic contributions:

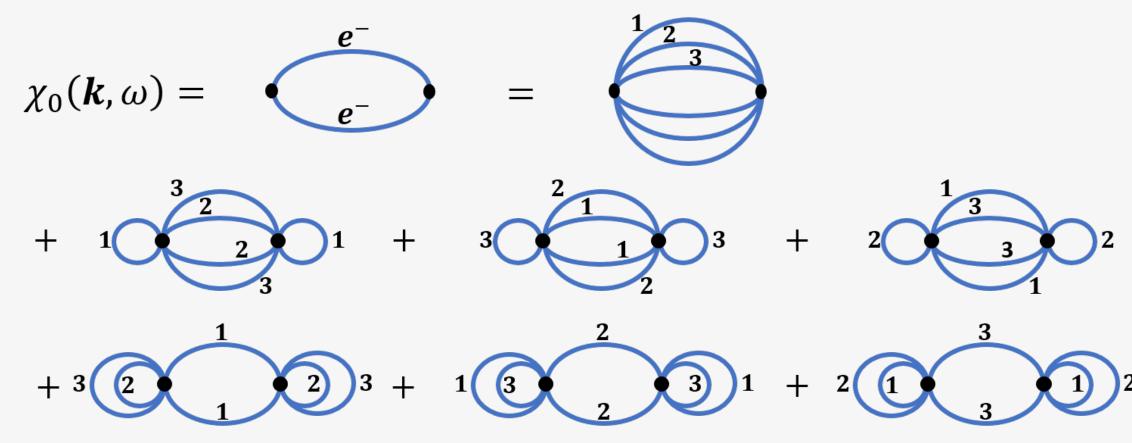


Figure 4. Diagrammatic Rerpresentation of  $\chi_0(\vec{k},\omega)$  after parton decomposition

# Problems with the Computation of $\chi(\vec{k},\omega)$

- The diagrams depicted in figure 4 contain too many **convoluted sums** in momentum space ⇒ cannot be computed exactly for realistic system sizes
- Solution so far: **Padê Approximation** [Beach et al. 2000], see fig. 5.

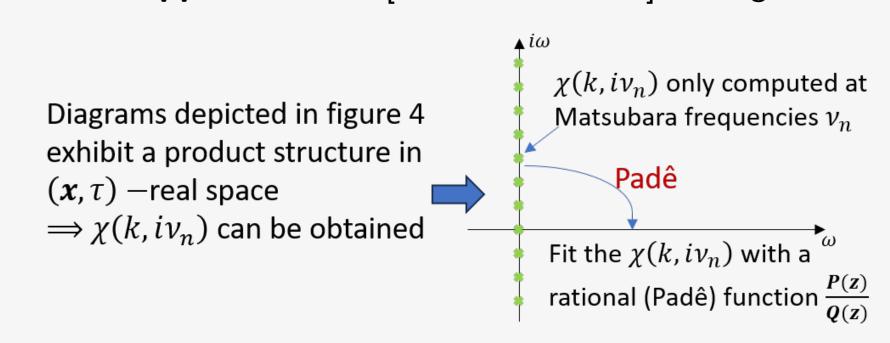


Figure 5. Illustration of the Padê Approximation procedure

## Results: Plots of $\mathcal{I}m(\chi(\vec{k},\omega))$ along high-symmetry points $\Gamma MK\Gamma$

- $\blacksquare$  We computed  $\chi(k,\omega)$  for the lattice model (figure 2) and assumed a **repulsive neirest**neighbor (NN) potential.
- We applied the Padê approximation at different stages:
- **Variant A**: we apply Padê **after** doing the RPA, i. e. onto  $\chi(k, i\nu_n) \to \chi(k, \omega)$ , see figures 6 and 7.

Variant B: we apply Padê before doing the RPA, i. e. by approximating the individual diagrams shown in fig. 4, and then doing RPA, see figures 8 and 9.

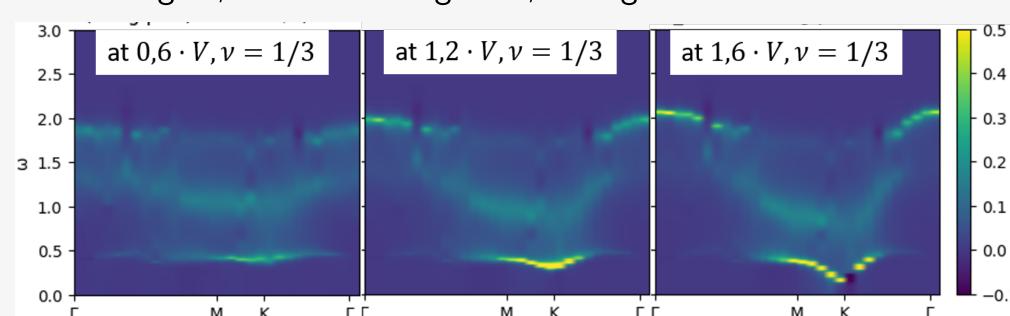


Figure 6. Variant A- $\mathcal{I}m(\chi(\vec{k},\omega))$  at  $\nu=1/3$  and repulsive NN-potential V, with different strengths.

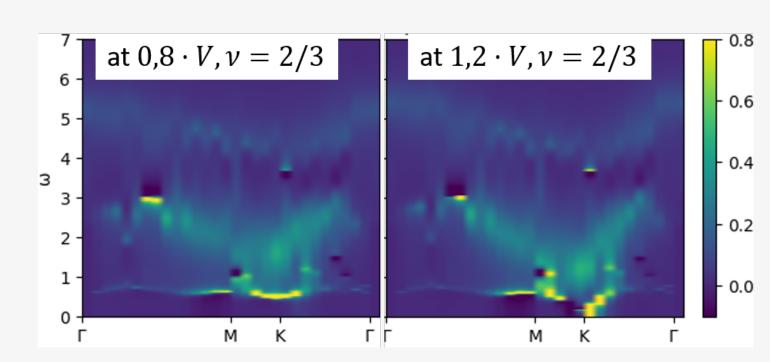


Figure 7. Variant A- $\mathcal{I}m(\chi(\vec{k},\omega))$  at  $\nu=2/3$  and repulsive NN-potential V, with different strengths.

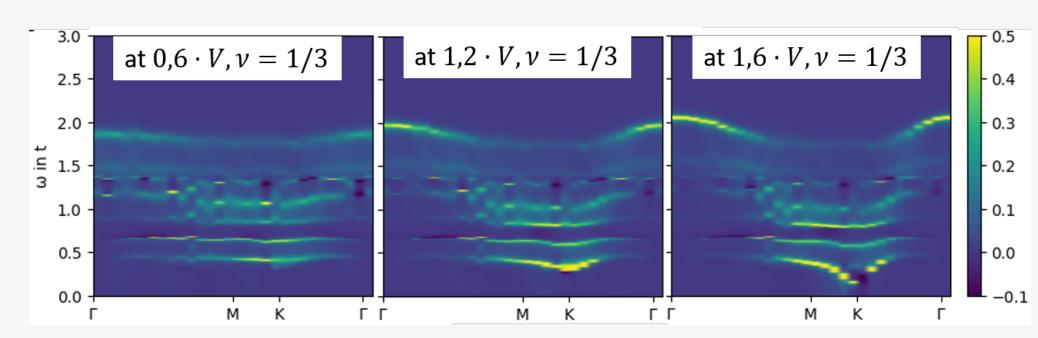


Figure 8. Variant B- $\mathcal{I}m(\chi(\vec{k},\omega))$  at  $\nu=1/3$  and repulsive NN-potential V, with different strengths.

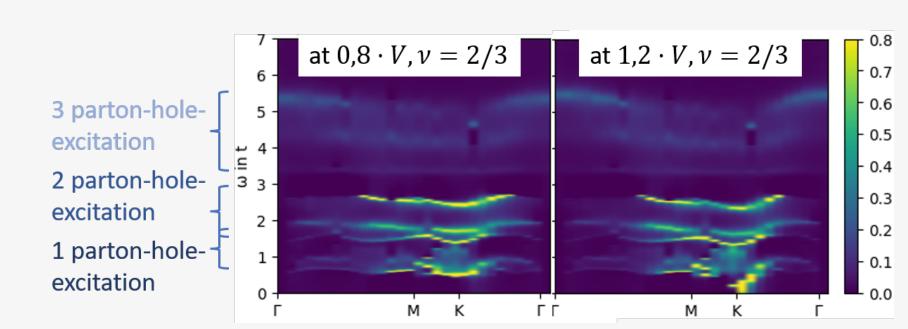


Figure 9. Variant B- $\mathcal{I}m(\chi(\vec{k},\omega))$  at  $\nu=2/3$  and repulsive NN-potential V, with different strengths.

- $\blacksquare$  For both variants, A and B, we observe a softening of the roton mode at the K-point, at equal interaction strengths V.
- Both variants, A and B, predict gapped spectra with a similar gap size.
- On the other hand, the fine structure differs, variant B seems to yield more sharp modes than the approximation scheme A.
- $\blacksquare$  The different contributions to  $\chi_0$ , coming from the different diagrams in 4, can be identified, especially in variant B, see figure 9.
- To benchmark the right approximation method, we want to check the correctness of the presented results by exactly computing  $\chi(k,\omega)$ , via (1), using Tensor Networks.

## References

- Tong, D. (2016). Lectures on the Quantum Hall Effect. arXiv: 1606.06687 [hep-th]. URL: https://arxiv.org/abs/1606.06687. Chengzhang, S. (Apr. 2012). "THE CHERN-SIMONS-LANDAU-GINZBURG THEORY OF THE FRACTIONAL QUANTUM HALL EFFECT". In: International Journal of Modern Physics B 06.
- - Beach, K. S. D., R. J. Gooding, and F. Marsiglio (Feb. 2000). "Reliable Padé analytical continuation method based on a high-accuracy symbolic computation algorithm". In: Physical Review B 61.8, pp. 5147-5157. ISSN: 1095-3795. DOI: 10.1103/physrevb.61.5147. URL: http://dx.doi.org/10.1103/PhysRevB.61.5147
- Jain, J. K. (Oct. 1989). "Incompressible quantum Hall states". In: Phys. Rev. B 40 (11), pp. 8079-8082. DOI: 10.1103/PhysRevB.40.8079. URL: https://link.aps.org/doi/10.1103/ Girvin, S. M., A. H. MacDonald, and P. M. Platzman (Feb. 1986). "Magneto-roton theory of collective excitations in the fractional quantum Hall effect". In: Phys. Rev. B 33 (4), pp. 2481–2494. DOI: 10.1103/PhysRevB.33.2481. URL: https://link.aps.org/doi/10.1103/PhysRevB.33.2481.

October 17, 2025 Group Retreat