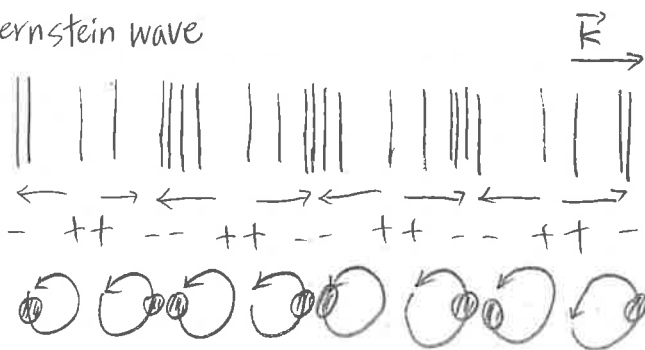


# Fusion Plasma Theory 2

## Lecture 15: Bernstein Waves and Mode Conversion

### ① Bernstein wave



collective rotation in phase of the electrons around their guiding centers sustain the longitudinal electron Bernstein wave (EBW)

### ② Electrostatic kinetic wave dispersion

$$\vec{k} \cdot \vec{E} \cdot \vec{k} = 0 \quad \text{w/} \quad \vec{k} = k_x \hat{x} + k_z \hat{z} = k_\perp \hat{x} + k_{||} \hat{z}$$

$$\Rightarrow k_x^2 \epsilon_{xx} + 2k_x k_z \epsilon_{xz} + k_z^2 \epsilon_{zz} = 0 \quad (\epsilon_{xx} = \epsilon_{zz})$$

↓ same tedious analysis using Eqs (21)-(24) in Lecture 14.

$$1 + \sum_s \frac{W_{ps}^2}{k^2} \sum_{n=-\infty}^{\infty} \int \frac{J_n^2(z)}{W - k_{||} v_{||} - n \omega_{cs}} \left( \frac{n W_{cs}}{v_\perp} \frac{df_{s0}}{dv_\perp} + k_{||} \frac{df_{s0}}{dv_{||}} \right) d\vec{v} = 0 \quad \text{where } z = \frac{k_\perp v_\perp}{W_{cs}} \text{ and } k^2 = k_\perp^2 + k_{||}^2$$

< Harris dispersion relation >

### ③ Electrostatic kinetic wave dispersion under Maxwellian

$$k_x^2 + k_z^2 + \sum_s \frac{W_{ps}^2}{W^2} \sum_{n=-\infty}^{\infty} \left[ k_x^2 T_{xx} + 2k_x k_z T_{xz} + k_z^2 T_{zz} \right]$$

$\underbrace{\hspace{10em}}_{\text{I part in } \epsilon_{zz}, \epsilon_{xz}}$

$$= k_x^2 + k_z^2 + \sum_s \frac{W_{ps}^2}{W^2} \sum_{n=-\infty}^{\infty} \left[ k_x^2 \frac{n^2}{\lambda_s} I_n Z - k_x k_z \left( \frac{2}{\lambda_s} \right)^{1/2} n Z' - k_z^2 \sum_{n'} Z' \right] = 0$$

$$\left( \lambda_s = \frac{k_x^2 v_{ts}^2}{2k_z^2}, \quad \sum_{n'} = \frac{W - n \omega_{cs}}{k_z v_{ts}}, \text{ and } Z' = -2(1 + \sum_{n'} Z) \right)$$

$$\left( - \sum_{n=-\infty}^{\infty} I_n \left( \frac{k_z (2v_{ts})}{v_{ts}} \right) n + k_z^2 \sum_{n'} Z' \right) Z'$$

$$0 = k_x^2 + k_z^2 + \sum_s \frac{W_{ps}^2}{W^2} \sum_{n=-\infty}^{\infty} I_n \left[ \frac{2W_{cs}^2}{v_{ts}^2} n^2 Z - k_z^2 \sum_{n'} Z' \right] \quad \left( = - \sum_{n=-\infty}^{\infty} I_n k_z^2 \left( \frac{2n \omega_{cs}}{k_z v_{ts}} + \frac{W - n \omega_{cs}}{k_z v_{ts}} \right) Z' \right)$$

$$= k_x^2 + k_z^2 + \sum_s \frac{W_{ps}^2}{W^2} \sum_{n=-\infty}^{\infty} I_n \left[ \frac{2W_{cs}^2}{v_{ts}^2} n^2 Z + 2k_z^2 \sum_{n'} (1 + \sum_{n'} Z) \right]$$

$$= k_x^2 + k_z^2 + \sum_s \frac{W_{ps}^2}{W^2} \sum_{n=-\infty}^{\infty} I_n \left[ \frac{2W_{cs}^2}{v_{ts}^2} n^2 Z + 2k_z^2 \sum_{n'} + 2k_z^2 \left( \sum_{n'}^2 Z - \frac{n^2 W_{cs}^2}{k_z^2 v_{ts}^2} Z \right) \right]$$

$$= k_x^2 + k_z^2 + \sum_s \frac{2W_{ps}^2}{W^2} k_z^2 \sum_{n=-\infty}^{\infty} I_n (1 + \sum_{n'} Z) \quad \downarrow \quad \sum_{n=-\infty}^{\infty} e^{-\lambda} I_n = 1$$

$$= k_x^2 + k_z^2 + \sum_s \frac{2W_{ps}^2}{W^2} k_z^2 \sum_{n=-\infty}^{\infty} I_n (1 + e^{-\lambda} \sum_{n'} Z) = 0$$

### ⊕ Bernstein dispersion relation

Using  $k_z^2 \zeta_{os}^2 = \omega^2 / v_{ts}^2$ , we obtain general dispersion for Bernstein Wave:

$$k^2 + \sum_s \frac{2Wps^2}{v_{ts}^2} \left( 1 + e^{-\lambda_s} \zeta_{os} \sum_{n=-\infty}^{\infty} I_n(\lambda_s) Z(\zeta_{ns}) \right) = 0$$

1) parallel propagating wave.  $k_{\perp} \rightarrow 0$ ,  $\lambda_s \rightarrow 0$

$$1 + \sum_s \frac{2Wps^2}{k_{\parallel}^2 v_{ts}^2} (1 + \zeta_{os} Z(\zeta_{os})) = 1 - \sum_s \frac{Wps^2}{k_{\perp}^2 v_{ts}^2} Z'(\zeta_{os}) = 0$$

2) Perpendicular propagating wave  $k_{\parallel} \rightarrow 0$ ,  $\zeta_{hs} \rightarrow \infty$

$$1 + \sum_s \frac{2Wps^2}{k_{\perp}^2 v_{ts}^2} \left( 1 - e^{-\lambda_s} \zeta_{os} \sum_{n=-\infty}^{\infty} \frac{I_n(\lambda_s)}{\zeta_{ns}} \right) = 0$$

(\*)

$$(*) = 1 - e^{-\lambda_s} \zeta_{os} \left( \frac{I_0}{\zeta_{os}} + \sum_{n=1}^{\infty} \left( \frac{1}{\zeta_{ns}} + \frac{1}{\zeta_{-ns}} \right) I_n \right)$$

$$= 1 - e^{-\lambda_s} I_0 - e^{-\lambda_s} \zeta_{os} \sum_{n=1}^{\infty} \frac{2W_{\parallel} k_{\parallel} v_{ts}}{\omega^2 - n^2 \omega_c^2} I_n \quad \zeta_{so} = \frac{\omega}{k_{\parallel} v_{ts}}$$

$$= 1 - e^{-\lambda_s} I_0 - e^{-\lambda_s} \sum_{n=1}^{\infty} \frac{2\omega^2 - 2n^2 \omega_c^2 + 2n^2 \omega_c^2}{\omega^2 - n^2 \omega_c^2} I_n$$

$$= 1 - e^{-\lambda_s} \underbrace{\left( I_0 + 2 \sum_{n=1}^{\infty} I_n \right)}_{=1} - e^{-\lambda_s} \sum_{n=1}^{\infty} \frac{2n^2}{(\omega/\omega_c)^2 - n^2} I_n$$

(\* note  $\lambda_s = \frac{1}{2} k_{\perp}^2 \rho_s^2$ )

$$\Rightarrow 1 = \sum_s \frac{Wps^2}{\omega cs^2} \frac{2}{\lambda_s} \sum_{n=1}^{\infty} e^{-\lambda_s} I_n(\lambda_s) \frac{n^2}{(\omega/\omega_{cs})^2 - n^2} \quad \dots (13)$$

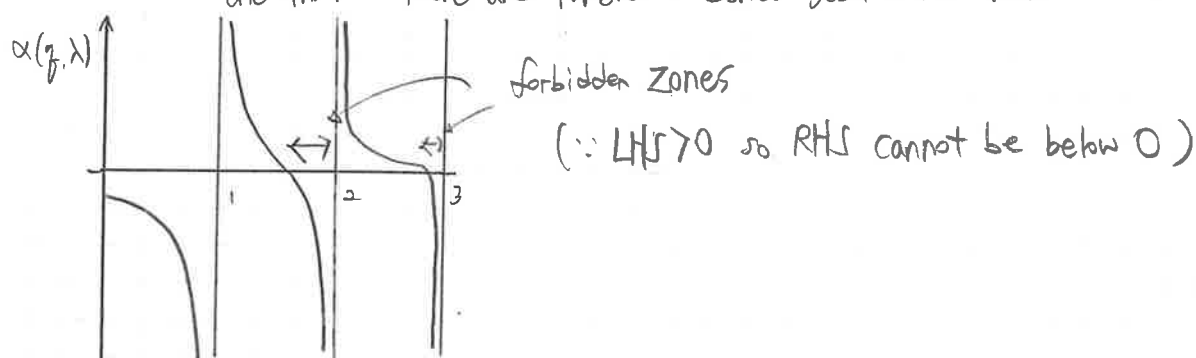
### ⑤ Frequency characteristics of Electron Bernstein Wave

Consider the high frequency mode where the ion contribution can be ignored due to  $\omega/\omega_{ci} \gg 1$ . Define  $q = \omega/\omega_{ce}$  and drop 'e' subscript.

$$\Rightarrow \frac{k_z^2 v_{te}^2}{2W_{pe}^2} = 2 \sum_{n=1}^{\infty} e^{-\lambda} I_n(\lambda) \frac{n^2}{q^2 - n^2} \equiv \alpha(q, \lambda)$$

Given a  $\lambda > 0$ ,  $\alpha$  as a function of  $q$  is shown below.

↳ indicates that "its frequencies are just above each cyclotron harmonic frequencies" and that "there are forbidden zones just below them"



### ⑥ Dispersion characteristics of Electron Bernstein Wave

The fluid limit of EBW can be obtained by  $\lambda \rightarrow 0$  and  $I_n(\lambda) \sim \frac{1}{n!} \left(\frac{\lambda}{2}\right)^n$

From eq (13), only  $n=1$  remains finite:

$$1 = \frac{W_{pe}^2}{W_{ce}^2} \times \frac{1}{2} \frac{1}{(W/W_{ce})^2 - 1} = \frac{W_{pe}^2}{W^2 - W_{ce}^2} \rightarrow \text{upper hybrid resonance condition} \\ (\text{x-wave resonance condition}) \\ (\zeta = 0)$$