

# Fusion Plasma Theory 2

## Lecture 5 : Fokker - Planck - Landau

### ① Fokker - Planck collisional form.

$\phi(\vec{v}, \Delta\vec{v})$  : probability to have  $\vec{v} \rightarrow \vec{v} + \Delta\vec{v}$  by a collision. ( $\int \phi(\vec{v}, \Delta\vec{v}) d\Delta\vec{v} = 1$ )

Distribution function change by a collision :

$$f(\vec{v}, t) = \int \phi(\vec{v} - \Delta\vec{v}, \Delta\vec{v}) f(\vec{v} - \Delta\vec{v}, t - \Delta t) d\Delta\vec{v}$$

$\uparrow$                        $\uparrow$                        $\uparrow$   
 (2) 충돌 확률 분포    (1) 이전 state    (3) 모두 적분.

Expanding up to 2nd order

$$\phi(\vec{v} - \Delta\vec{v}, \Delta\vec{v}) = \phi(\vec{v}, \Delta\vec{v}) - \Delta\vec{v} \cdot \frac{\partial}{\partial \vec{v}} \phi(\vec{v}, \Delta\vec{v}) + \frac{1}{2} \Delta\vec{v} \Delta\vec{v} : \frac{\partial^2}{\partial \vec{v} \partial \vec{v}} \phi(\vec{v}, \Delta\vec{v})$$

$$f(\vec{v} - \Delta\vec{v}, t - \Delta t) = f(\vec{v}, t - \Delta t) - \Delta\vec{v} \cdot \frac{\partial}{\partial \vec{v}} f(\vec{v}, t - \Delta t) + \frac{1}{2} \Delta\vec{v} \Delta\vec{v} : \frac{\partial^2}{\partial \vec{v} \partial \vec{v}} f(\vec{v}, t - \Delta t)$$

$$\begin{aligned} \therefore f(\vec{v}, t) &= f(\vec{v}, t - \Delta t) - \int \Delta\vec{v} \cdot \left( \frac{\partial f}{\partial \vec{v}} \phi + \frac{\partial \phi}{\partial \vec{v}} f \right) d\Delta\vec{v} \\ &\quad + \frac{1}{2} \int \Delta\vec{v} \Delta\vec{v} : \left( \frac{\partial^2 f}{\partial \vec{v} \partial \vec{v}} \phi + 2 \frac{\partial f}{\partial \vec{v}} \frac{\partial \phi}{\partial \vec{v}} + \frac{\partial^2 \phi}{\partial \vec{v} \partial \vec{v}} f \right) d\Delta\vec{v} \end{aligned}$$

Distribution function change in time

$$\frac{df}{dt} = \left( \frac{df}{dt} \right)_c = \frac{f(\vec{v}, t) - f(\vec{v}, t - \Delta t)}{\Delta t} \quad (\text{Recall } f \text{ is independent of } \Delta\vec{v})$$

$$= - \frac{\partial}{\partial \vec{v}} \cdot \left( \frac{\int (\phi \Delta\vec{v}) d\Delta\vec{v}}{\Delta t} f \right) + \frac{1}{2} \frac{\partial^2}{\partial \vec{v} \partial \vec{v}} : \left( \frac{\int (\phi \Delta\vec{v} \Delta\vec{v}) d\Delta\vec{v}}{\Delta t} f \right)$$

$$\equiv \frac{\partial}{\partial \vec{v}} \cdot (\vec{V} f) + \frac{\partial^2}{\partial \vec{v} \partial \vec{v}} : (\overleftrightarrow{D} f)$$

( $\vec{V}$  : dynamic friction ,  $\overleftrightarrow{D}$  : velocity diffusion)

## ② Statistical collisional average

$$\vec{V} \equiv - \frac{\int (\phi \Delta \vec{v}) d\Delta \vec{v}}{\Delta t} \equiv - \frac{\langle \Delta \vec{v} \rangle}{\Delta t}, \quad \overleftrightarrow{D}_v \equiv \frac{\int (\phi \Delta \vec{v} \Delta \vec{v}) d\Delta \vec{v}}{2\Delta t} \equiv \frac{\langle \Delta \vec{v} \Delta \vec{v} \rangle}{2\Delta t}$$

$$* \quad \langle F[\Delta \vec{v}] \rangle = \Delta t \int d\Omega \, \delta(\theta, \phi) \int d\vec{v}' F[\Delta \vec{v}] u f_b(\vec{v}')$$

(  $\Delta t d\Omega \delta(\theta, \phi) u$  : differential volume swept by incident particle  
 $d\vec{v}' f_b(\vec{v}')$  : density of target species within  $d\vec{v}'$  )

⇒ Incident particle 이 날아갈 때, Target species 의 분포로 ensemble average 하는 operator.

Recall :  $\Delta \vec{v} = \left(\frac{m_r}{m_a}\right) \Delta \vec{u}$ ,  $\Delta \vec{u} = u \sin \theta \hat{n} - (1 - \cos \theta) \vec{u}$ .

## ③ Dynamic friction

azimuthal average 하면  $\hat{n}$  은 상수  $\rightarrow 0$

$$\vec{V} = - \frac{\langle \Delta \vec{v} \rangle}{\Delta t} = - \frac{m_r}{m_a} \int d\phi \int \sin \theta d\theta \int d\vec{v}' \frac{b_{90}^2}{4 \sin^4(\theta/2)} (u \sin \theta \hat{n} - (1 - \cos \theta) \vec{u}) u f_b(\vec{v}')$$

( \* note  $b_{90}^2 = \left( \frac{e_a e_b}{4\pi \epsilon_0 m_r u^2} \right)^2$ ,  $\ln \Lambda = \frac{1}{2} \int d\theta \cot \frac{\theta}{2}$  . )

$$\frac{\sin \theta (1 - \cos \theta)}{4 \sin^4 \frac{\theta}{2}} = \cot \frac{\theta}{2}$$

$$\vec{V} = 2\pi \left( \frac{m_r}{m_a} \right) \left( \frac{e_a e_b}{4\pi \epsilon_0 m_r} \right)^2 \int d\theta \cot \left( \frac{\theta}{2} \right) \int d\vec{v}' \frac{\vec{u}}{u^3} f_b(\vec{v}')$$

$$= 4\pi \left( \frac{m_r}{m_a} \right) \left( \frac{e_a e_b}{4\pi \epsilon_0 m_r} \right)^2 \ln \Lambda \int d\vec{v}' \frac{\vec{u}}{u^3} f_b(\vec{v}')$$

Define  $L^{ab} \equiv \left( \frac{e_a e_b}{m_a \epsilon_0} \right)^2 \ln \Lambda$ , then

$$\boxed{\vec{V} = \frac{L^{ab}}{4\pi} \left( 1 + \frac{m_a}{m_b} \right) \int d\vec{v}' \frac{\vec{u}}{u^3} f_b(\vec{v}')}$$

### ④ Velocity Diffusion

For velocity diffusion, consider first

$$\begin{aligned}\int d\phi \Delta \vec{u} \Delta \vec{u} &= u^2 \sin^2 \theta \int \hat{n} \hat{n} d\phi + 2\pi (1 - \cos \theta)^2 \vec{u} \vec{u} \\ &= u^2 \sin^2 \theta \int (\hat{x} \hat{x} \cos^2 \phi + \hat{y} \hat{y} \sin^2 \phi) d\phi + 2\pi (1 - \cos \theta)^2 \vec{u} \vec{u} \\ &= \pi u^2 \sin^2 \theta (\hat{x} \hat{x} + \hat{y} \hat{y}) + 2\pi (1 - \cos \theta)^2 \vec{u} \vec{u}\end{aligned}$$

Note that one can write

$$\hat{x} \hat{x} + \hat{y} \hat{y} = \vec{I} - \hat{z} \hat{z} = \frac{u^2 \vec{I} - \vec{u} \vec{u}}{u^2}$$

giving

$$\int d\phi \Delta \vec{u} \Delta \vec{u} = \underbrace{\pi \sin^2 \theta (u^2 \vec{I} - \vec{u} \vec{u})}_{\text{dominant} : \vec{D}_v} + \underbrace{2\pi (1 - \cos \theta)^2 \vec{u} \vec{u}}_{\text{correction} : \vec{D}_{vc}}$$

(in small angle  $\theta$ ,  $\vec{D}_{vc} \sim \theta^4$ , but  $\vec{D}_v \sim \theta^2$ )

The conventional velocity diffusion can then be written:

$$\vec{D}_v = \frac{\langle \Delta \vec{v} \Delta \vec{v} \rangle}{2\Delta t} = \frac{\pi}{2} \left( \frac{m_r}{m_a} \right)^2 \int \sin \theta d\theta \int d\vec{u}' \frac{b_{90}^2}{4 \sin^4(\theta/2)} \sin^2 \theta (u^2 \vec{I} - \vec{u} \vec{u}) u f_b(\vec{u}')$$

(Define the velocity tensor  $\vec{U} = U$  for simplification,

$$U \equiv \frac{u^2 \vec{I} - \vec{u} \vec{u}}{u^3}, \quad U_{ij} = \frac{u^2 \delta_{ij} - u_i u_j}{u^3}$$

$$\therefore \vec{D}_v = \frac{\pi}{2} \left( \frac{m_r}{m_a} \right)^2 \left( \frac{e_a e_b}{4\pi \epsilon_0 m_r} \right)^2 \int d\theta \frac{\sin^3 \theta}{4 \sin^4(\theta/2)} \int d\vec{u}' U f_b(\vec{u}')$$

$$= \pi \left( \frac{e_a e_b}{4\pi \epsilon_0 m_a} \right)^2 \int d\theta \left( \cot \frac{\theta}{2} - \frac{1}{2} \sin \theta \right) \int d\vec{u}' U f_b(\vec{u}')$$

$$= \frac{1}{8\pi} \left( \frac{e_a e_b}{m_a \epsilon_0} \right)^2 \left( \ln \Lambda - \frac{1}{2} \right) \int d\vec{u}' U f_b(\vec{u}')$$

$$\begin{aligned}\frac{\sin^3 \theta}{4 \sin^4(\theta/2)} &= \frac{2 \sin^3 \theta/2 \cos^3 \theta/2}{4 \sin^4 \theta/2} \\ &= 2 \frac{\cos \theta/2}{\sin \theta/2} \cdot (1 - \cos^2 \theta/2) \\ &= 2 \left[ \cot \theta/2 - \frac{\cos \theta/2 \cdot \sin \theta/2}{\sin \theta/2} \right] \\ &= 2 \cot \theta/2 - \sin \theta.\end{aligned}$$

The correction part of the velocity diffusion can be written:

$$\begin{aligned}
 \overleftrightarrow{D}_{vc} &= \pi \left( \frac{m_r}{m_a} \right)^2 \int \sin \theta d\theta \int d\vec{v}' \frac{b_{q0}^2}{4 \sin^4(\theta/2)} (1 - \cos \theta)^2 (\vec{u} \vec{u}') u f_b(\vec{v}') \\
 &= \pi \left( \frac{e_a e_b}{4 \pi \epsilon_0 m_a} \right)^2 \int d\theta \sin \theta \frac{(2 \sin^2(\theta/2))^2}{4 \sin^4(\theta/2)} \cdot \int d\vec{v}' \frac{\vec{u} \vec{u}'}{u^3} f_b(\vec{v}') \\
 &= \frac{1}{8\pi} \left( \frac{e_a e_b}{m_a \epsilon_0} \right)^2 \int d\vec{v}' \frac{\vec{u} \vec{u}'}{u^3} f_b(\vec{v}')
 \end{aligned}$$

So the velocity diffusion in total

$$\begin{aligned}
 \overleftrightarrow{D}_v + \overleftrightarrow{D}_{vc} &= \frac{1}{8\pi} \left( \frac{e_a e_b}{m_a \epsilon_0} \right)^2 \left[ \left( \ln \Lambda - \frac{1}{2} \right) \int d\vec{v}' U f_b(\vec{v}') + \int d\vec{v}' \frac{\vec{u} \vec{u}'}{u^3} f_b(\vec{v}') \right] \\
 \Rightarrow \boxed{\overleftrightarrow{D}_v = \frac{L^{ab}}{8\pi} \int d\vec{v}' U f_b(\vec{v}')} \quad (L^{ab} \equiv \left( \frac{e_a e_b}{m_a \epsilon_0} \right)^2 \ln \Lambda, \quad U \equiv \frac{u^2 \vec{I} - \vec{u} \vec{u}'}{u^3})
 \end{aligned}$$

⑤ Properties of the velocity gradient operations.

$$\vec{u} = \vec{v} - \vec{v}'$$

$$1) \vec{\nabla}_v v = \vec{\nabla}_v (v_x^2 + v_y^2 + v_z^2)^{1/2} = \frac{(v_x, v_y, v_z)}{(v_x^2 + v_y^2 + v_z^2)^{1/2}} = \frac{\vec{v}}{v}$$

$$\Rightarrow \vec{\nabla}_v v = \frac{\vec{v}}{v}, \quad \vec{\nabla}_v u = -\vec{\nabla}_v' u = \frac{\vec{u}}{u}$$

$$2) \vec{\nabla}_v \left( \frac{\vec{u}}{u} \right) = \frac{d}{d\vec{v}} \left( \frac{\vec{u}}{u} \right) = \frac{1}{u} \frac{d\vec{u}}{d\vec{v}} - \frac{1}{u^2} \left( \frac{du}{d\vec{v}} \right) \vec{u} = \frac{1}{u} \vec{I} - \frac{\vec{u} \vec{u}}{u^3} = \overleftrightarrow{U}$$

$$\Rightarrow \vec{\nabla}_v \left( \frac{\vec{u}}{u} \right) = -\vec{\nabla}_v' \left( \frac{\vec{u}}{u} \right) = \overleftrightarrow{U}$$

$$3) \vec{\nabla}_v \vec{\nabla}_v u = \vec{\nabla}_v \left( \frac{\vec{u}}{u} \right) = \overleftrightarrow{U} \Rightarrow \vec{\nabla}_v \vec{\nabla}_v u = \overleftrightarrow{U}$$

$$4) \frac{d}{dv_i} \left( \frac{1}{u} \delta_{ij} - \frac{u_i u_j}{u^3} \right) = -\frac{1}{u^2} \frac{du}{dv_i} \delta_{ij} - \frac{d}{dv_i} \left( \frac{1}{u^3} \right) u_i u_j - \frac{du_i}{dv_i} \frac{u_j}{u^3} - \frac{du_j}{dv_i} \frac{u_i}{u^3}$$

$$= -\frac{u_i}{u^3} \delta_{ij} + \frac{3}{u^4} \frac{u_i}{u} u_i u_j - \frac{3u_j}{u^3} - \frac{u_i}{u^3} \delta_{ij} = -\frac{u_j}{u^3} + \frac{3}{u^3} u_j - \frac{3u_j}{u^3} - \frac{u_j}{u^3} = -\frac{2u_j}{u^3}$$

From (1)

$$\Rightarrow \vec{\nabla}_v \cdot \overleftrightarrow{U} = -\vec{\nabla}_v' \cdot \overleftrightarrow{U} = -2 \frac{\vec{u}}{u^3}$$

$$5) \frac{d}{dv_i} \left( \frac{u_i u_j}{u^3} \right) = -\frac{u_j}{u^3} \Rightarrow \vec{\nabla}_v \cdot \left( \frac{\vec{u} \vec{u}}{u^3} \right) = -\vec{\nabla}_v \cdot \left( \frac{\vec{u} \vec{u}}{u^3} \right) = -\frac{\vec{u}}{u^3}$$

$$6) \nabla_v^2 u = \vec{\nabla}_v \cdot \vec{\nabla}_v u = \vec{\nabla}_v \cdot \left( \frac{\vec{u}}{u} \right) = \frac{1}{u} \vec{\nabla}_v \cdot \vec{u} + \vec{\nabla}_v \cdot \left( \frac{1}{u} \right) \cdot \vec{u} = \frac{3}{u} - \frac{1}{u^2} \left( \frac{du}{dv} \right) \cdot \vec{u} \\ = \frac{3}{u} - \frac{1}{u^2} \cdot \frac{\vec{u}}{u} \cdot \vec{u} = \frac{3}{u} - \frac{1}{u} = \frac{2}{u} \Rightarrow \nabla_v^2 u = \nabla_v^2 u = \vec{U} : \vec{I} = \frac{2}{u}$$

$$7) \underline{\vec{u} \cdot \vec{U} = \vec{U} \cdot \vec{u} = 0} \quad \leftarrow \vec{U} \text{ implies perpendicular diffusion w.r.t } \vec{u}.$$

### ⑥ Einstein relation

$$\vec{V} = \frac{L^{ab}}{4\pi} \left( 1 + \frac{m_a}{m_b} \right) \int d\vec{v}' \frac{\vec{u}}{u^3} f_b(\vec{v}') \quad : \text{Dynamic friction}$$

$$\overleftrightarrow{D}_v = \frac{L^{ab}}{8\pi} \int d\vec{v}' \vec{U} f_b(\vec{v}') \quad : \text{Velocity diffusion}$$

$$\left( \vec{\nabla}_v \cdot \vec{U} = -\vec{\nabla}_v \cdot \vec{U} = -2 \frac{\vec{u}}{u^3} \right)$$

$$\Rightarrow \vec{V} = \frac{L^{ab}}{4\pi} \left( 1 + \frac{m_a}{m_b} \right) \int d\vec{v}' \left( -\frac{1}{2} \frac{d}{d\vec{v}} \cdot \vec{U} \right) f_b(\vec{v}') \\ = - \left( 1 + \frac{m_a}{m_b} \right) \frac{d}{d\vec{v}} \cdot \left( \frac{L^{ab}}{8\pi} \int d\vec{v}' \vec{U} f_b(\vec{v}') \right)$$

Giving the extended version of Einstein relation :

$$\boxed{\vec{V} = - \left( 1 + \frac{m_a}{m_b} \right) \vec{\nabla}_v \cdot \overleftrightarrow{D}_v} \quad \leftarrow \text{Divergence of } \overleftrightarrow{D}_v \Rightarrow \text{Dynamic friction } \vec{V}$$

# ⑦ Fokker - Planck - Landau form

$$\left(\frac{df}{dt}\right)_c = \frac{d}{d\vec{v}} \cdot (\vec{\nabla} f) + \frac{d^2}{d\vec{v} d\vec{v}} : (\overleftrightarrow{D}_v f) = \frac{d}{d\vec{v}} \cdot (\vec{v} f_a(\vec{v}) + \frac{d}{d\vec{v}} \cdot (\overleftrightarrow{D}_v f_a(\vec{v})))$$

Inserting Einstein relation :

\*note

$$\left(\frac{df}{dt}\right)_c = \frac{d}{d\vec{v}} \cdot \left[ -\left(1 + \frac{m_a}{m_b}\right) \left(\frac{d}{d\vec{v}} \cdot \overleftrightarrow{D}_v\right) f_a + \frac{d}{d\vec{v}} \cdot (\overleftrightarrow{D}_v f_a) \right] \quad \left\{ \begin{array}{l} f_a = f_a(\vec{v}) \\ f_b = f_b(\vec{v}') \end{array} \right\}$$

$$= \frac{d}{d\vec{v}} \cdot \left[ \overleftrightarrow{D}_v \cdot \frac{d}{d\vec{v}} f_a - \frac{m_a}{m_b} \left(\frac{d}{d\vec{v}} \cdot \overleftrightarrow{D}_v\right) f_a \right]$$

$$= \frac{L^{ab}}{8\pi} \frac{d}{d\vec{v}} \cdot \left[ \int d\vec{v}' f_b (\vec{v} \cdot \frac{d}{d\vec{v}} f_a) - \frac{m_a}{m_b} \int d\vec{v}' \left(\frac{d}{d\vec{v}} \cdot \vec{v}\right) f_b f_a \right]$$

Using  $\frac{d}{d\vec{v}} \cdot \vec{v} = -\frac{d}{d\vec{v}'} \cdot \vec{v}$  in the 2nd term, gives.

$$\left(\frac{df}{dt}\right)_c = \frac{L^{ab}}{8\pi} \frac{d}{d\vec{v}} \cdot \left[ \int d\vec{v}' f_b (\vec{v} \cdot \frac{d}{d\vec{v}} f_a) - \frac{m_a}{m_b} \int d\vec{v}' \left(-\frac{d}{d\vec{v}'} \cdot \vec{v}\right) f_b f_a \right]$$

$$= \frac{L^{ab}}{8\pi} \frac{d}{d\vec{v}} \cdot \int \vec{v} \cdot \left[ f_b(\vec{v}') \frac{df_a(\vec{v})}{d\vec{v}} - \frac{m_a}{m_b} f_a(\vec{v}) \frac{df_b(\vec{v}')}{d\vec{v}'} \right] d\vec{v}' \quad \swarrow \text{Integration by parts.}$$

Expression by Landau (1936) :

$$\left(\frac{df}{dt}\right)_c \equiv C_{ab}[f_a, f_b] = \frac{e_a^2 e_b^2 \ln \Lambda}{8\pi \epsilon_0^2 m_a} \frac{d}{d\vec{v}} \cdot \int \vec{v} \cdot \left[ \frac{f_b(\vec{v}')}{m_a} \frac{df_a(\vec{v})}{d\vec{v}} - \frac{f_a(\vec{v})}{m_b} \frac{df_b(\vec{v}')}{d\vec{v}'} \right] d\vec{v}'$$

- ★
- 1) Particle conservation :  $\int C_{ab}[f_a, f_b] d\vec{v} = 0$
  - 2) Momentum conservation :  $\int m_a \vec{v} C_{ab}[f_a, f_b] d\vec{v} + \int m_b \vec{v} C_{ba}[f_b, f_a] d\vec{v} = 0$
  - 3) Energy conservation :  $\frac{1}{2} \int m_a v^2 C_{ab}[f_a, f_b] d\vec{v} + \frac{1}{2} \int m_b v^2 C_{ba}[f_b, f_a] d\vec{v} = 0$
  - 4) Invariance to Galilean transformation :  $\vec{v} \rightarrow \vec{v} - \vec{V}$
  - 5) In equal T, Landau integral vanishes by Maxwellian :  

$$C_{ab}[f_{a0}, f_{b0}] = 0 \quad \text{if} \quad T_a = T_b$$

## ⑧ Landau integral with Rosenbluth potentials

One of difficulties in the full Coulomb collisional operator is the integral dependency on  $\vec{u}$ . In general, this can be avoided by solving differential equation rather than the integral, as suggested by M.N. Rosenbluth.

1) First Rosenbluth potential :  $f_b$  source  $\rightarrow \varphi_b$  potential

$$\varphi_b(\vec{v}) \equiv -\frac{1}{4\pi} \int \frac{f_b(\vec{v}')}{u} d\vec{v}' \leftrightarrow \nabla_v^2 \varphi_b = f_b(\vec{v})$$

2) Second Rosenbluth potential :  $\varphi_b$  : source  $\rightarrow \psi_b$  : potential

$$\psi_b(\vec{v}) \equiv -\frac{1}{8\pi} \int u f_b(\vec{v}') d\vec{v}' \leftrightarrow \left( \nabla_v^2 u = \frac{2}{u} \right) : \nabla_v^2 \psi_b = \varphi_b(\vec{v})$$

so that all techniques solving Poisson eqn can be adopted in principle.

Using  $\vec{\nabla}_v \left( \frac{1}{u} \right) = -\frac{\vec{u}}{u^3}$ ,

$$\vec{v} = \frac{L^{ab}}{4\pi} \left( 1 + \frac{m_a}{m_b} \right) \int d\vec{v}' \frac{\vec{u}}{u^3} f_b(\vec{v}') = \left( 1 + \frac{m_a}{m_b} \right) L^{ab} \frac{d\varphi_b}{d\vec{v}}$$

Using  $\vec{\nabla}_v \vec{\nabla}_v u = \vec{u}$ ,

$$\vec{D} = \frac{L^{ab}}{8\pi} \int d\vec{v}' \vec{u} f_b(\vec{v}') = -L^{ab} \frac{d^2 \psi_b}{d\vec{v} d\vec{v}}$$

$$\vec{\nabla}_v \vec{\nabla}_v = -\left( \frac{m_b}{m_a + m_b} \right) \vec{v}$$

$$-\left( \frac{m_a + m_b}{m_b} \right) \vec{\nabla}_v \cdot \vec{\nabla}_v$$

combining the two and noting the Einstein relation.  $(\vec{v} = -\left( 1 + \frac{m_a}{m_b} \right) \vec{\nabla}_v \cdot \vec{\nabla}_v)$

Landau integral can be expressed by

$$C_{ab}[f_a, f_b] = \frac{d}{d\vec{v}} \cdot (\vec{v} f_a(\vec{v})) + \frac{d}{d\vec{v}} \cdot (\vec{D}_v f_a) = \frac{d}{d\vec{v}} \cdot (\vec{v} f_a(\vec{v})) + \vec{D}_v \cdot \frac{df_a}{d\vec{v}} + \left( \frac{d}{d\vec{v}} \cdot \vec{D}_v \right) f_a$$

= \*

$$C_{ab}[f_a, f_b] = L^{ab} \frac{d}{d\vec{v}} \cdot \left( \frac{m_a}{m_b} \frac{d\varphi_b}{d\vec{v}} f_a - \frac{d^2 \psi_b}{d\vec{v} d\vec{v}} \cdot \frac{df_a}{d\vec{v}} \right)$$

### ⑨ Rosenbluth potentials with Isotropic background distribution

Rosenbluth potentials become particularly simple when background distribution is isotropic, i.e.  $f_b(\vec{v}) = f_b(v)$ . Then, clearly  $\varphi_b(\vec{v}) = \varphi_b(v)$ ,  $\psi_b(\vec{v}) = \psi_b(v)$

$$1) \frac{d\varphi_b}{d\vec{v}} = \frac{d\varphi_b}{dv} \frac{d\vec{v}}{d\vec{v}} = \frac{\vec{v}}{v} \varphi_b' \quad ( ' = \frac{d}{dv} )$$

$$2) \frac{d^2\psi_b}{d\vec{v}d\vec{v}} = \frac{d}{d\vec{v}} \left( \frac{\vec{v}}{v} \psi_b' \right) = \frac{d}{d\vec{v}} \left( \frac{\vec{v}}{v} \right) \psi_b' + \frac{\vec{v}\vec{v}}{v^2} \psi_b'' = \frac{\overleftrightarrow{V}}{v} \psi_b' + \frac{\vec{v}\vec{v}}{v^2} \psi_b'' \quad \left( \overleftrightarrow{V} = \frac{v^2 \mathbf{I} - \vec{v}\vec{v}}{v^3} \right)$$

### ⑩ Lorentz operator

$$\begin{aligned} C_{ab}[f_a, f_b] &= L^{ab} \frac{d}{d\vec{v}} \cdot \left( \frac{m_a}{m_b} \frac{d\varphi_b}{d\vec{v}} f_a - \frac{d^2\psi_b}{d\vec{v}d\vec{v}} \cdot \frac{df_a}{d\vec{v}} \right) \\ &= L^{ab} \frac{d}{d\vec{v}} \cdot \left[ \frac{m_a}{m_b} \frac{\vec{v}}{v} \varphi_b' f_a - \left( \frac{\overleftrightarrow{V}}{v} \psi_b' + \frac{\vec{v}\vec{v}}{v^2} \psi_b'' \right) \cdot \frac{df_a}{d\vec{v}} \right] \end{aligned}$$

↑  
very important element

$$\rightarrow \frac{d}{d\vec{v}} \cdot \left[ \frac{\overleftrightarrow{V}}{v} \cdot \frac{df_a}{d\vec{v}} \right] = \frac{d}{d\vec{v}} \cdot \left[ \frac{1}{v} \frac{df_a}{d\vec{v}} - \frac{\vec{v}}{v^3} (\vec{v} \cdot \frac{df_a}{d\vec{v}}) \right]$$

This part only changes the direction:  $(\vec{A} = \frac{df}{d\vec{v}}, \quad \frac{1}{v} (\vec{A} - \hat{v}(\hat{v} \cdot \vec{A})))$   
 $\Rightarrow$  Lorentz scattering.

Using 'velocity spherical coordinates  $(v, \theta, \phi)$  (only component  $(\theta, \phi)$  matters)

$$\frac{df}{d\vec{v}} = \frac{df_a}{dv} \hat{v} + \frac{1}{v} \frac{df}{d\theta} \hat{\theta} + \frac{1}{v \sin \theta} \frac{df}{d\phi} \hat{\phi}$$

It can be re-written:

$$\begin{aligned} \frac{d}{d\vec{v}} \cdot \left[ \frac{\overleftrightarrow{V}}{v} \cdot \frac{df_a}{d\vec{v}} \right] &= \frac{d}{d\vec{v}} \cdot \left[ \frac{1}{v^2} \frac{df_a}{d\theta} \hat{\theta} + \frac{1}{v^2 \sin \theta} \frac{df_a}{d\phi} \hat{\phi} \right] \\ &= \frac{1}{v^2 \sin \theta} \left[ \frac{d}{d\theta} \left( \frac{\sin \theta}{v} \frac{df_a}{d\theta} \right) + \left( \frac{1}{v \sin \theta} \frac{d^2 f_a}{d\phi^2} \right) \right] \equiv \frac{2}{v^3} \mathcal{L}(f_a) \end{aligned}$$

$\therefore$  The pitch angle scattering is represented by Lorentz operator:

$$\mathcal{L}(f_a) \equiv \frac{1}{2} \left[ \frac{1}{\sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{df_a}{d\theta} \right) + \frac{1}{\sin^2 \theta} \frac{d^2 f_a}{d\phi^2} \right]$$



Note that the Lorentz operator is same as the total angular momentum operator but in velocity space, with an additional factor  $1/2$ .

$$\mathcal{L} = \frac{1}{2} \hat{L}^2 = \frac{1}{2} \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \left( \sin\theta \frac{\partial}{\partial\theta} \right) + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial\phi^2} \right]$$

So the eigen functions of Lorentz operator are spherical harmonics  $Y_\ell^m(\theta, \phi)$

$$\mathcal{L} [Y_\ell^m(\theta, \phi)] = \ell(\ell+1) Y_\ell^m(\theta, \phi) \quad \leftarrow \text{useful when Lorentz scattering becomes important.}$$

Typically, the angular dependency on  $\phi$  is ignorable in strongly magnetized plasmas and then it becomes:

$$\mathcal{L} [P_\ell(\theta)] = \ell(\ell+1) P_\ell(\theta) \quad \text{where } P_\ell = \text{legendre polynomial.}$$

When  $\phi$  is ignorable, we also often defines the pitch angle  $\xi = v_{||}/v = \cos\theta$

$$\mathcal{L} = \frac{1}{2} \frac{\partial}{\partial\xi} (1-\xi^2) \frac{\partial}{\partial\xi} \quad \left( \because \frac{\partial}{\partial\theta} = \frac{\partial\xi}{\partial\theta} \frac{\partial}{\partial\xi} = -\sin\theta \frac{\partial}{\partial\xi} \right)$$

$$\hat{L} = \frac{1}{2} \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \left( \sin^2\theta \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \right) \right] = \frac{1}{2} \frac{\partial}{\partial\xi} (1-\xi^2) \frac{\partial}{\partial\xi}$$

Also, when further assuming the particle kinetic energy is conserved,

one can use  $\mu = \frac{mv_\perp^2}{2B}$  as a variable, as found useful in bounce-averaged

drift-kinetic theories:

$$\mathcal{L} = M \frac{v_{||}}{B} \frac{\partial}{\partial\mu} \left( v_{||} \mu \frac{\partial}{\partial\mu} \right)$$

① Collisional operator for isotropic background

$$\begin{aligned} C_{ab}[f_a, f_b] &= L^{ab} \frac{\partial}{\partial \vec{v}} \cdot \left( \frac{m_a}{m_b} \frac{\vec{v}}{v} \psi_b' f_a - \left( \frac{\vec{v} \psi_b'}{v} + \frac{\vec{v} \psi_b''}{v^2} \right) \cdot \frac{df_a}{d\vec{v}} \right) \\ &= -\frac{2L^{ab}}{v^3} \psi_b' \mathcal{L}[f_a] + L^{ab} \frac{\partial}{\partial \vec{v}} \cdot \left( \frac{m_a}{m_b} \frac{\vec{v}}{v} \psi_b' f_a - \frac{\vec{v} \psi_b''}{v^2} \cdot \frac{df_a}{d\vec{v}} \right) \end{aligned}$$

In the case  $f_a(\vec{v}) = f_a(v)$ , use:  $\frac{\partial}{\partial \vec{v}} \cdot (A(\vec{v}) \vec{v}) = \frac{1}{v^2} \frac{\partial}{\partial v} (v^3 A)$   $\leftarrow$  trivial in spherical coord

$$\therefore C_{ab}[f_a, f_b] = -\frac{2L^{ab}}{v^3} \psi_b' \mathcal{L}(f_a) + \frac{L^{ab}}{v^2} \frac{\partial}{\partial v} \left[ v^3 \left( \frac{m_a}{m_b} \frac{\psi_b'}{v} f_a - \frac{\psi_b''}{v} \frac{df_a}{dv} \right) \right]$$

In many cases, the other parts than Lorentz operator are ignorable. Nonetheless, one can also see that the second part can be important especially for the cases  $m_a \gg m_b$  (i.e. ion-electron or  $\alpha$ -to-thermal collisions).