

Fusion Plasma Theory 2

Lecture 13 : Causality, Dispersion, Nyquist

① Dielectric response for normal mode

$$\vec{\nabla} \times \vec{B}_1 = \mu_0 \vec{j}_1 + \frac{1}{c^2} \frac{d\vec{E}_1}{dt} = \frac{1}{c^2} \frac{d\vec{D}_1}{dt}$$

$$ik \times \vec{B}_1 = \mu_0 \vec{j}_1 - \frac{iw}{c^2} \vec{E}_1 = \mu_0 \vec{\sigma} \cdot \vec{E}_1 - \frac{iw}{c^2} \vec{E}_1 = - \frac{iw}{c^2} \vec{D}_1$$

$$\vec{D}_1(\vec{k}, w) = \left(\vec{\sigma} + \frac{i}{\epsilon_0 w} \vec{\epsilon}(\vec{k}, w) \right) \cdot \vec{E}_1(\vec{k}, w) = \vec{\epsilon}(\vec{k}, w) \cdot \vec{E}_1(\vec{k}, w)$$

$$D_1(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dw \int_{-\infty}^{\infty} dk e^{i(kz - wt)} D_1(k, w) \quad \swarrow \text{Inverse Fourier Transform}$$

② Non-locality of Fourier modes

$$\vec{D}_1(k, w) = \vec{\epsilon}(k, w) \cdot \vec{E}_1(k, w)$$

$$\vec{D}_1(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dw \int_{-\infty}^{\infty} dk e^{i(kz - wt)} \times$$

$$\left(\frac{1}{2\pi} \int_{-\infty}^{\infty} dz'' \int_{-\infty}^{\infty} dt'' e^{-i(kz'' - wt'')} \vec{\epsilon}(z'', t'') \right) \cdot \left(\frac{1}{2\pi} \int_{-\infty}^{\infty} dz' \int_{-\infty}^{\infty} dt' e^{-i(kz' - wt')} \vec{E}_1(z', t') \right)$$

$$\left(\delta(z - z') = \frac{1}{2\pi} \int_{-\infty}^{\infty} dk e^{ik(z - z')} , \quad \delta(t - t') = \frac{1}{2\pi} \int_{-\infty}^{\infty} dw e^{-iw(t - t')} \right)$$

$$\Rightarrow \vec{D}_1(z, t) = \frac{1}{2\pi} \int dz' \int dz'' \int dt' \int dt'' \vec{\epsilon}(z'', t'') \vec{E}_1(z', t') \times$$

$$\left(\frac{1}{2\pi} \int dk e^{ik(z - z' - z'')} \right) \times \left(\frac{1}{2\pi} \int dw e^{-iw(t - t' - t'')} \right)$$

$$\delta(z - z' - z'') \qquad \qquad \qquad \delta(t - t' - t'')$$

$$\vec{D}_1(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dz' \int_{-\infty}^{\infty} dt' \vec{\epsilon}(z - z', t - t') \cdot \vec{E}_1(z', t')$$

Displacement field
in configuration space.

Similarly,

$$\vec{j}_1(z, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dz' \int_{-\infty}^{\infty} dt' \vec{\sigma}(z - z', t - t') \cdot \vec{E}_1(z', t')$$

③ Causality in normal modes

The non-local response immediately raises the question of the causality in normal mode approach. There must be no response for $t < 0$ if the initial kick occurs at $t = 0$. (Q. Does response occur always after the impulse that stimulates it?)

Suppose a kick $E_1(t) = E_0 \delta(t)$ $\rightarrow E_1(w) = \frac{E_0}{\sqrt{2\pi}}$.

$$\Rightarrow j_1(t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dw j_1(w) e^{-iwt} = \frac{E_0}{2\pi} \int_{-\infty}^{\infty} dw \sigma(w) e^{-iwt} = -i \frac{E_0}{2\pi} \int_{-\infty}^{\infty} dw w x(w) e^{-iwt}$$

$j_1(w) = \sigma(w) E_0(w)$

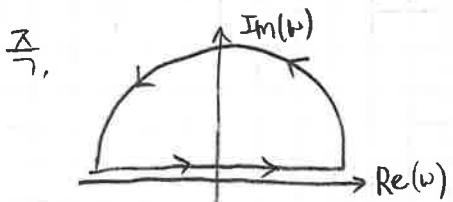
$x = \frac{i\sigma}{wE} \rightarrow \sigma = -ixwE_0$

(This integral vanishes for $t < 0$ if the integrand is analytic in the upper half of the complex w -plane. This is the causality condition for normal mode.)

$$\text{증명: } w = w_r + i w_i \rightarrow \exp(-iwt) = \exp(-iwrt + iwit) = \exp(-iwrt) \exp(iwit)$$

($t < 0$) 인 상평면에서 w_i 가 매우 크다면 (upper half-plane) $j_i(t)$ 의 integrand는 영이다.

따라서 적분을 할 때 $w : (-\infty, \infty)$ 적분과 upper half-plane 적분과 그 값은 동일하다.

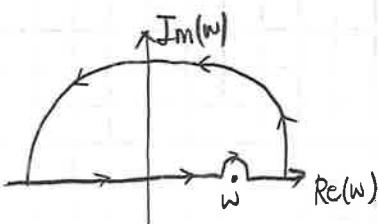


직분을 의미한다. ($t < 0$)에서 $j_1(t) = 0$ 이 되기 위해서는 Cauchy's integral theorem에 의해 UHP가 analytic 해야 한다.

Again, Causality condition is that the susceptibility should be analytic for $w_i \geq 0$ when it is calculated hypothetically by w_{rtiwi} , even if w is actually real in normal mode.

Suppose an integral of

$$J(w) = \int_c d\omega' \frac{w' x(w)}{\omega' - w}$$



This indicates

Dividing $X(w) = X_r(w) + iX_i(w)$ on the real w -axis,

We obtain Kramers - Kronig (KK) relations for the susceptibility.

$$\Rightarrow W X_r(w) = \frac{1}{\pi} P \int_{-\infty}^{\infty} dw' \frac{w' X_i(w')}{w' - w}, \quad W X_i(w) = -\frac{1}{\pi} P \int_{-\infty}^{\infty} dw' \frac{w' X_r(w')}{w' - w}$$

④ Plemelj correction for causality.

Recall cold plasma response. For example L-wave and its susceptibility is

$$\omega \chi_s(\omega) = -\frac{\omega_{ps}^2}{\omega - \omega_{cs}} \quad \leftarrow \text{This does not hold the causality due to the pole } \omega = \omega_{cs}.$$

To satisfy causality, one can make a slight alteration

$$\omega \chi_s(\omega) = -\lim_{v \rightarrow 0+} \frac{\omega_{ps}^2}{\omega - (\omega_{cs} - iv)} \quad \leftarrow \text{same as one introduces a damping term to meet the causality.}$$

Common practice to write an identity due to J. Plemelj.

$$\lim_{v \rightarrow 0+} \frac{1}{\omega - \omega_0 + iv} = P\left(\frac{1}{\omega - \omega_0}\right) - i\pi \delta(\omega - \omega_0). \quad \leftarrow \text{meaningful only when integration over } \omega \text{ is operated.}$$

⑤ Modified dispersion for causality.

Using Plemelj's form, the cold plasma dispersion can be modified.

$$R = 1 - \sum_s \frac{\omega_{ps}^2}{\omega(\omega + \omega_{cs})} \quad \longrightarrow R \equiv 1 - \sum_s \frac{\omega_{ps}^2}{\omega} \left[P\left(\frac{1}{\omega + \omega_{cs}}\right) - i\pi \delta(\omega + \omega_{cs}) \right]$$

$$L = 1 - \sum_s \frac{\omega_{ps}^2}{\omega(\omega - \omega_{cs})} \quad \longrightarrow L \equiv 1 - \sum_s \frac{\omega_{ps}^2}{\omega} \left[P\left(\frac{1}{\omega - \omega_{cs}}\right) - i\pi \delta(\omega - \omega_{cs}) \right]$$

$$P = 1 - \sum_s \frac{\omega_{ps}^2}{\omega^2} \quad \longrightarrow P \equiv 1 - \sum_s \frac{\omega_{ps}^2}{\omega} \left[P\left(\frac{1}{\omega}\right) - i\pi \delta(\omega) \right]$$

(and $S = (R+L)/2$, $D = (R-L)/2$ accordingly.)

Also Plemelj's form led exactly to Landau damping correction.

$$\hat{f}_i(k, \omega) = -i \frac{q \hat{E}_i}{m} \frac{df_0/dv}{\omega - kv} \quad \longrightarrow \hat{f}_i(k, \omega) \equiv -i \frac{q \hat{E}_i}{m} \frac{df_0}{dv} \left[P(\omega - kv) - i\pi \delta(\omega - kv) \right]$$

⑥ Plasma dispersion function

Dispersion function by Vlasov (Vlasov + Poisson equation)

with Maxwellian $f_{so} = f_{Mo} = (n_{so}/v_{ts} \pi^{1/2}) e^{-v^2/v_{ts}^2}$, $t \equiv v/v_{ts}$, $dt = dv/v_{ts}$

$$0 = D(k, w) = 1 + \sum_s \frac{q_s^2}{m_s k E_0} \int_{-\infty}^{\infty} \frac{df_{so}/dv}{w - kv} dv = 1 - \sum_s \frac{w_{ps}^2}{k v_{ts}^2} \left[\frac{1}{\pi^{1/2}} \int_{-\infty}^{\infty} \frac{(d/dt)e^{-t^2}}{t - \xi_s} dt \right]$$

Here, we define plasma dispersion function, contained in NRL formula, ($\xi_s \equiv w/kv_{ts}$)

$$Z(\xi) \equiv \frac{1}{\pi^{1/2}} \int_{-\infty}^{\infty} \frac{e^{-t^2}}{t - \xi} dt, \quad \text{Im}(\xi) > 0. \quad \text{+ spirit of Landau integral}$$

*note

$$Z'(\xi) = \frac{dZ(\xi)}{d\xi} = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{e^{-t^2}}{(t - \xi)^2} dt = -\frac{1}{\sqrt{\pi}} \left[\frac{e^{-t^2}}{t - \xi} \Big|_{t=-\infty}^{t=\infty} + \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{d}{dt} \left(\frac{e^{-t^2}}{t - \xi} \right) dt \right]$$

Thus, the dispersion becomes

$$k^2 = \sum_s \frac{w_{ps}^2}{v_{ts}^2} Z'(\xi_s) = \frac{1}{2} \sum_s k_{ps}^2 Z'(\xi_s) \quad k_{ps} \equiv \lambda_{ps}^{-1} \text{ (Debye length)}$$

⑦ Characteristics of plasma dispersion function

- Various representations of dispersion function.

$$\begin{aligned} Z'(\xi) &= \frac{1}{\pi^{1/2}} \int_{-\infty}^{\infty} \frac{(d/dt)e^{-t^2}}{t - \xi} dt = -\frac{2}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{te^{-t^2}}{t - \xi} dt \\ &= -\frac{2}{\sqrt{\pi}} \left[\int_{-\infty}^{\infty} \frac{(t - \xi)e^{-t^2}}{t - \xi} dt + \xi \int_{-\infty}^{\infty} \frac{e^{-t^2}}{t - \xi} dt \right] = -2(1 + \xi Z(\xi)) \end{aligned}$$

$$\Rightarrow Z' + 2\xi Z + 2 = 0 \quad (-\infty, 0) + (0, i\xi)$$

Let the solution becomes $Z(\xi) = 2ie^{-\xi^2} \int_{-\infty}^{i\xi} e^{-t^2} dt = i\pi^{1/2} e^{-\xi^2} (1 + \operatorname{erf}(i\xi))$

$$\text{then, } Z'(\xi) = -2i\xi e^{-\xi^2} \int_{-\infty}^{i\xi} e^{-t^2} dt + 2ie^{-\xi^2} i e^{i\xi^2} = -2\xi Z(\xi) - 2$$

it satisfies $Z'(\xi) = -2(1 + \xi Z(\xi))$. Thus it is another expression of $Z(\xi)$ by analytic continuation.

$$\text{Next, } u = i\xi - \frac{t}{2}, \quad du = -\frac{1}{2} dt \quad \rightarrow u: (-\infty, i\xi) \Rightarrow t: (\infty, 0)$$

↑ original ↑ new

$$Z(\xi) = 2ie^{-\xi^2} \int_{-\infty}^{i\xi} e^{-u^2} du = 2ie^{-\xi^2} \int_{\infty}^0 e^{-(i\xi - t/2)^2} \left(-\frac{dt}{2}\right) = i \int_0^{\infty} e^{i\xi t - \frac{t^2}{4}} dt$$

∴ Summary of various representations of dispersion function

$$\left\{ \begin{array}{l} Z(\xi) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{\infty} \frac{e^{-t^2}}{t-\xi} dt, \quad \text{Im } (\xi) > 0 \\ = 2i e^{-\xi^2} \int_{-\infty}^{i\xi} e^{-t^2} dt = i\pi^{1/2} e^{-\xi^2} (1 + \operatorname{erf}(i\xi)) \quad \leftarrow \text{from } Z' + 2\xi Z + 2 = 0 \\ = i \int_0^{\infty} e^{i\xi t - t^2/4} dt \quad \leftarrow \text{from 2nd expression, use change of variables } u = i\xi - \frac{t}{2}. \end{array} \right.$$

The power series of plasma dispersion function,

$$\begin{aligned} Z(\xi) &= i\sqrt{\pi} e^{-\xi^2} \left(1 + \frac{2}{\sqrt{\pi}} \int_0^{i\xi} e^{-t^2} dt \right) \\ &= i\sqrt{\pi} e^{-\xi^2} - 2\xi \left(1 - \frac{2}{3}\xi^2 + \frac{4}{15}\xi^4 \dots \right) \quad \leftarrow \text{for small } \xi \end{aligned}$$

* $Z(\xi) = i\pi^{1/2} \sigma e^{-\xi^2} - \frac{1}{\xi} \left(1 + \frac{1}{2\xi^2} + \frac{3}{4\xi^4} + \frac{15}{8\xi^6} \dots \right) \quad \leftarrow \text{for large } \xi.$

where $\sigma = 0$ for $\text{Im } (\xi) > 0$, $\sigma = 1$ for $\text{Im } (\xi) = 0$, $\sigma = 2$ for $\text{Im } (\xi) < 0$.

☞ 무언말이지?

⑧ Ion Landau damping.

low-frequency ion waves $kV_{ti} \ll w \ll kV_{te,c} \leftrightarrow \xi_e \ll 1$; small ξ expansion for electron
 $\xi_i \gg 1$: large ξ expansion for ion

$$\Rightarrow k^2 = \frac{w_{pe}^2}{V_{te}^2} (-2i(\pi)^{1/2} \xi_e e^{-\xi_e^2} - 2\dots) + \frac{w_{pi}^2}{V_{ti}^2} \left(-2i(\pi)^{1/2} \xi_i e^{-\xi_i^2} + \frac{1}{\xi_i^2} + \dots \right)$$

Using $\xi_s = w/kV_{ts}$ and $V_{ts}^2 = 2V_{ti,s}^2$ (consistent w/ Goldstone)

$$\Rightarrow D(k, w) = 1 - \frac{w_{pe}^2}{k^2 V_{te}^2} Z(\xi_e) - \frac{w_{pi}^2}{k^2 V_{ti}^2} Z(\xi_i) = 0 \quad V_{ti}^2 = 2V_{te,i}^2$$

{ Real term : $1 - \frac{w_{pe}^2}{k^2 V_{te}^2} (-2) + \frac{w_{pi}^2}{k^2 V_{ti}^2} \left(\frac{1}{\xi_i^2} \right) = 1 + \frac{2w_{pe}^2}{k^2 V_{te}^2} - \frac{w_{pi}^2}{k^2 V_{ti}^2} \frac{k^2 V_{ti}^2}{w^2} = 1 + \frac{w_{pe}^2}{k^2 V_{te}^2} - \frac{w_{pi}^2}{w^2}$

{ Imaginary term : $- \frac{w_{pe}^2}{k^2 V_{te}^2} (-2i\pi^{1/2} \xi_e e^{-\xi_e^2}) - \frac{w_{pi}^2}{k^2 V_{ti}^2} (-2i\pi^{1/2} \xi_i e^{-\xi_i^2}) = i$

$$= i\pi^{1/2} \left[\frac{2w_{pe}^2 w}{k^3 V_{te}^3} e^{-\xi_e^2} + \frac{2w_{pi}^2 w}{k^3 V_{ti}^3} e^{-\xi_i^2} \right] = i \left(\frac{\pi}{2} \right)^{1/2} \left[\frac{w_{pe}^2 w}{k^3 V_{te}^3} e^{-\xi_e^2} + \frac{w_{pi}^2 w}{k^3 V_{ti}^3} e^{-\xi_i^2} \right]$$

$$= i \left(\frac{\pi}{2} \right)^{1/2} \left[\frac{w_{pe}^2 w}{k^3 V_{te}^3} + \frac{w_{pi}^2 w}{k^3 V_{ti}^3} \exp \left(-\frac{w^2}{2k^2 V_{ti,i}^2} \right) \right]$$

$$\Rightarrow D(k, w) = 1 + \frac{w_{pe}^2}{k^2 V_{te,c}^2} - \frac{w_{pi}^2}{w^2} + i \left(\frac{\pi}{2} \right)^{1/2} \left[\frac{w_{pe}^2 w}{k^3 V_{te}^3} + \frac{w_{pi}^2 w}{k^3 V_{ti}^3} \exp \left(-\frac{w^2}{2k^2 V_{ti,i}^2} \right) \right] = 0$$

For wavelength longer than Debye length $k \lambda_d \ll 1$, we see $\omega \approx kC_s$

our interest is to find the small correction $\omega = kC_s - i\gamma$. ($= \omega_r - i\gamma$)

Let's find γ !!

$$D(k, \omega) \approx D(k, \omega_r) + (\omega - \omega_r) \left. \frac{dD}{d\omega} \right|_{\omega=\omega_r} = 0$$

$$D(k, \omega_r) - i\gamma \left. \frac{dD}{d\omega} \right|_{\omega=\omega_r} = 0 \quad \xrightarrow{\text{1st-order balance}} i \text{Im}[D(\omega_r)] - i\gamma \left. \frac{d \text{Re}[D(\omega_r)]}{d\omega} \right|_{\omega=\omega_r} = 0$$

$$\Rightarrow \gamma = \frac{\text{Im}[D(\omega_r)]}{d \text{Re}[D(\omega)] / d\omega \Big|_{\omega=\omega_r}}$$

$$\frac{d \text{Re}[D]}{d\omega} = \frac{d}{d\omega} \left(-\frac{\omega_{pi}^2}{\omega^2} \right) = 2 \frac{\omega_{pi}^2}{\omega^3} \approx 2 \frac{\omega_{pi}^2}{(kC_s)^3}$$

$$\text{Im}(D) = \left(\frac{\pi}{2} \right)^{1/2} \left[\frac{\omega_{pe}^2 (kC_s)}{k^3 V_{te}^3} + \frac{\omega_{pi}^2 (kC_s)}{k^3 V_{ti}^3} e^{-\frac{(kC_s)^2}{2k^2 V_{ti}^2}} \right]$$

$$C_s = \sqrt{\frac{T_e}{M}}, V_{Ti} = \sqrt{\frac{T_e}{m}}$$

$$\therefore \gamma = \frac{\left(\frac{\pi}{2} \right)^{1/2} [A+B]}{2\omega_{pi}^2 / (kC_s)^3} \rightarrow A = \frac{(kC_s)^3}{2\omega_{pi}^2} \cdot \frac{\omega_{pe}^2 k C_s}{k^3 V_{te}^3} = \frac{1}{2} (kC_s) \frac{\omega_{pe}^2}{\omega_{pi}^2} \left(\frac{C_s}{V_{te}} \right)^3 = \frac{1}{2} kC_s \left(\frac{M}{m} \right) \left(\frac{m}{M} \right)^{3/2}$$

$$= \frac{1}{2} kC_s \left(\frac{m}{M} \right)^{1/2}$$

$$B = \frac{(kC_s)^3}{2\omega_{pi}^2} \frac{\omega_{pi}^2 (kC_s)}{k^3 V_{ti}^3} e^{-\frac{T_e}{2T_i}} = \frac{1}{2} kC_s \left(\frac{C_s}{V_{ti}} \right)^3 e^{-\frac{T_e}{2T_i}}$$

$$= \frac{1}{2} kC_s \left(\frac{T_e}{T_i} \right)^{3/2} e^{-\frac{T_e}{2T_i}}$$

$$\therefore \gamma = \frac{1}{2} \left(\frac{\pi}{2} \right)^{1/2} kC_s \left[\left(\frac{m}{M} \right)^{1/2} + \left(\frac{T_e}{T_i} \right) e^{-\frac{T_e}{2T_i}} \right]$$

when $T_i \sim T_e$, Landau damping can be rapidly increasing.

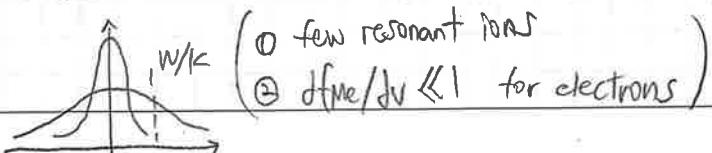
① Physical meaning of Landau damping

It occurs by resonant particles $v = \omega/k$

입자 ($v \leq \omega/k$ 가속 / $v \geq \omega/k$ 감속) by interaction

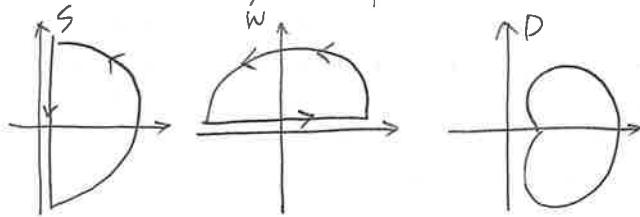
In Maxwellian $df/dv \ll 1$: 흥장 $v \leq \omega/k$ 가 더 많음 \Rightarrow wave damping

$T_i \ll T_e$ 면서 Landau damping \downarrow



⑩ Nyquist Diagram for instability

Recall the instability corresponds to zeros of $D(k, s) = 0$ with $\text{Re}(s) > 0$.



If D-contour does not include $D=0$,
there is no instability.

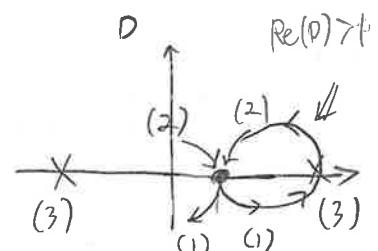
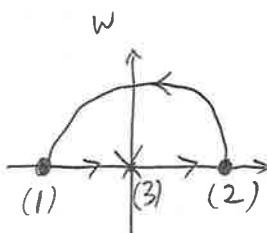
If D-contour include $D=0$, or multiple $D=0$,
there is unstable mode.

⑪ No instability in homogeneous Maxwellian

$$D(k, w) = 1 + \frac{q^2}{mk\epsilon_0} \int_{-\infty}^{\infty} \frac{df_m/dv}{w - kv} dv$$

Landau correction
 (1) : $w \rightarrow -\infty$, $D \rightarrow 1$
 (2) : $w \rightarrow \infty$, $D \rightarrow 1$

$$D(k, w) = 1 + \frac{q^2}{mk\epsilon_0} \left[P \int_{-\infty}^{\infty} \frac{df_m/dv}{w - kv} dv - \frac{i\pi}{k} \frac{df_m}{dv} \Big|_{v=w/k} \right]$$



(1) : $w \rightarrow -\infty$, $\text{Im}(D) < 0$ \Rightarrow (1)는 $D=1$ 에서 아래로 출발

(2) : $w \rightarrow \infty$, $\text{Im}(D) > 0$ \Rightarrow (2)는 $D=1$ 에서 위에서 도착.

이제 $w=0$ ((3) point)에서 D의 behavior을 확인해보자.

$$w=0 \rightarrow v=w/k=0 \rightarrow \frac{df_m}{dv} \Big|_{w=0} = 0 \Rightarrow \text{Im}(D)=0.$$

따라서 $\text{Re}(D)$ 를 계산해보자! (at $w=0$)

$$\begin{aligned} \text{Re}(D) &= 1 - \frac{q^2}{mk^2\epsilon_0} \int_{-\infty}^{\infty} \frac{df_m/dv}{v} dv = 1 + \frac{q^2}{mk^2\epsilon_0} \int_{-\infty}^{\infty} \frac{2f_m}{vt^2} dv = 1 + \frac{1}{k^2vt^2} \left(\frac{nq^2}{m\epsilon_0} \right)^2 \\ &\quad (* f_m = \frac{n_0}{\pi^{3/2}vt^3} \exp\left(-\frac{v^2}{vt^2}\right), \frac{df_m}{dv} = -\frac{2v}{vt^2} f_m) = 1 + \frac{W_p^2}{k^2vt^2} > 1 \end{aligned}$$

$\therefore \text{Re}(D) > 1$ 이므로 반드시 오른쪽 path로만 움직인다!