

Fusion Plasma Theory 2

Lecture 17. ETG and ITG.

① Electron Temperature Gradient (ETG)

$\vec{\nabla}T$ can also affect electron drift waves. Let's consider non-uniform temperature

$$f_{en} = n_0(x) \left(\frac{m}{2\pi T_e(x)} \right)^{3/2} \exp \left[-\frac{m}{2T_e(x)} (v_\perp^2 + v_{||}^2) \right]$$

$$\frac{df_{en}}{dx} = \frac{df_{en}}{dn_0} \frac{dn_0}{dx} + \frac{df_{en}}{dT_e} \frac{dT_e}{dx} = \frac{f_{en}}{n_0} \frac{dn_0}{dx} + \frac{dT_e}{dx} \left(-\frac{3}{2} \frac{f_{en}}{T_e} + \frac{m v^2}{2 T_e^2(x)} \right) \quad (T_e = m v_{te}^2 \text{ 가짐})$$

$$= \frac{f_{en}}{n_0} \frac{dn_0}{dx} - \frac{f_{en}}{T_e} \frac{dT_e}{dx} \left(\frac{3}{2} - \frac{v^2}{2 v_{te}^2} \right)$$

$$= \frac{f_{en}}{n_0} \frac{dn_0}{dx} \left[1 - \eta_e \left(\frac{3}{2} - \frac{v^2}{v_{te}^2} \right) \right] \quad \text{where } \eta_e = \frac{L_n}{L_T}, \quad L_n = -\frac{n_0}{dn_0/dx}, \quad L_T = -\frac{T_e}{dT_e/dx}$$

Scale length ratio of L_n/L_T

② Electron response with ETG

(same procedure in Lec 16)

$$\frac{df}{dt} + (\vec{v}_{||} + \vec{v}_E) \cdot \vec{\nabla} f + \frac{q E_{||}}{m} \frac{df}{dv_{||}} = 0 \Rightarrow \frac{df_e}{dt} + \left(v_{||} \hat{z} + \frac{\hat{z} \times \vec{\nabla} \phi}{B_0} \right) \cdot \vec{\nabla} f + \frac{e}{m} \frac{d\phi}{dz} \frac{df}{dv_{||}} = 0$$

< Drift-kinetic >

< Electron drift kinetic >

$$\Rightarrow \frac{df_e}{dt} + v_{||} \frac{df_e}{dz} + \frac{\hat{z} \times \vec{\nabla} \phi}{B_0} \cdot \vec{\nabla} f_{en} + \frac{e}{m} \frac{d\phi}{dz} \frac{df_{en}}{dv_{||}} = 0$$

< Linearized electron drift kinetic eqn >

$$\Rightarrow -i(\omega - k_{||} v_{||}) f_{e1} = -\frac{\hat{z} \times (\frac{d\phi_1}{dz}) \hat{y}}{B_0} \cdot \left(\frac{df_{en}}{dx} \right) \hat{x} - i \frac{e}{m} k_{||} \phi_1 \frac{df_{en}}{dv_{||}}$$

$$= i \left[\frac{k_y}{B_0} \phi_1 \left(\frac{f_{en}}{n_0} \frac{dn_0}{dx} \left(1 - \eta_e \left(\frac{3}{2} - \frac{v^2}{2 v_{te}^2} \right) \right) \right) + \frac{e}{m} k_{||} \phi_1 \left(\frac{v_{||}}{v_{te}^2} \right) f_{me} \right]$$

$$\Rightarrow \text{note } w_{ke} = -\frac{k_y}{e B_0} \frac{1}{n_0} \left(\frac{dn_0}{dx} \right)$$

$$= i \left[-\phi_1 \frac{e}{T_e} w_{ke} \left(1 - \eta_e \left(\frac{3}{2} - \frac{v^2}{2 v_{te}^2} \right) \right) + \frac{e \phi_1}{T_e} k_{||} v_{||} \right] f_{me}$$

$$\Rightarrow f_{e1} = \frac{e \phi_1}{T_e} f_{me} \left[\frac{w_{ke} (1 - \eta_e \dots) + k_{||} v_{||}}{\omega - k_{||} v_{||}} \right] = \frac{e \phi_1}{T_e} f_{me} \left[1 - \frac{\omega - w_{ke} (1 - \eta_e \left(\frac{3}{2} - \frac{v^2}{2 v_{te}^2} \right))}{\omega - k_{||} v_{||}} \right]$$

$$\Rightarrow n_{e1} = \int f_{e1} d\vec{v} = \frac{e \phi_1}{T_e} \left[n_0 - \int_{-\infty}^{\infty} \frac{f_0(v_{||}) dv_{||}}{\omega - k_{||} v_{||}} \left\{ \omega - w_{ke} \left[1 - \eta_e \left(\frac{3}{2} - 1 - \frac{v_{||}^2}{2 v_{te}^2} \right) \right] \right\} \right]$$

$$f_0(v_{||}) = \int 2\pi v_\perp dv_\perp f_{en}$$

* note

$$\left(\int 2\pi v_{\perp} dv_{\perp} dv_{\parallel} f_{eM} = \int_{-\infty}^{\infty} dv_{\parallel} \int (2\pi v_{\perp}) dv_{\perp} n_0 \left(\frac{m}{2\pi T} \right)^{3/2} \exp\left(-\frac{v_{\perp}^2}{2v_{Te}^2}\right) \exp\left(-\frac{v_{\parallel}^2}{2v_{Te}^2}\right) \right.$$

$$= n_0 \left(\frac{m}{2\pi T} \right)^{3/2} \exp\left(-\frac{v_{\parallel}^2}{2v_{Te}^2}\right) \int (2\pi v_{\perp}) dv_{\perp} \exp\left(-\frac{mv_{\perp}^2}{2T}\right) = n_0 \left(\frac{m}{2\pi T} \right)^{1/2} \exp\left(-\frac{mv_{\parallel}^2}{2T}\right) \equiv f_0$$

$$= \left(-\frac{2\pi T}{m} \right) \exp\left(-\frac{mv_{\parallel}^2}{2T}\right) \Big|_{-\infty}^{\infty} = \frac{2\pi T}{m}$$

$$\int_{-\infty}^{\infty} \frac{f_0(v_{\parallel}) dv_{\parallel}}{w - k_{\parallel} v_{\parallel}} = P \int_{-\infty}^{\infty} \frac{f_0(v_{\parallel}) dv_{\parallel}}{w - k_{\parallel} v_{\parallel}} - i \frac{\pi}{k_{\parallel}} f_0\left(\frac{w}{k_{\parallel}}\right)$$

(odd function)

↓

$$n_1 = \frac{e\phi_1}{T_{e0}} \left[n_0 + i \frac{\pi}{k_{\parallel}} f_0\left(\frac{w}{k_{\parallel}}\right) \cdot \left(w - w_{*e} \left(1 - \eta_e \left(\frac{1}{2} - \frac{v_{\parallel}^2}{2v_{Te}^2} \right) \right) \right) \right]$$

$$= \frac{e\phi_1}{T_{e0}} \left[n_0 + i \frac{\pi}{k_{\parallel}} n_0 \left(\frac{m}{2\pi T} \right)^{1/2} \exp\left(-\frac{w^2/k_{\parallel}^2}{2v_{Te}^2}\right) \left(w - w_{*e} \left(1 - \frac{\eta_e}{2} \right) + w_{*e} \eta_e \frac{w^2/k_{\parallel}^2}{2v_{Te}^2} \right) \right]$$

$$= \frac{e\phi_1}{T_{e0}} \left[n_0 + n_0 i \left(\frac{\pi}{2} \right)^{1/2} \frac{w - w_{*e} (1 - \eta_e/2)}{k_{\parallel} v_{Te}} \right]$$

$$\therefore \frac{n_1}{n_0} = \left[1 + i \left(\frac{\pi}{2} \right)^{1/2} \frac{w - w_{*e} (1 - \eta_e/2)}{k_{\parallel} v_{Te}} \right] \quad \overset{\text{fzth}}{\Leftrightarrow} \quad \frac{n_1}{n_0} = \left[1 + i \left(\frac{\pi}{2} \right)^{1/2} \frac{w - w_{*e}}{k_{\parallel} v_{Te}} \right]$$

③ Electron drift wave dispersion under ETG

$$1 + k_{\perp}^2 r_s^2 - \frac{w_{*e}}{w} - \frac{k_{\parallel}^2 C_s^2}{w^2} = -i \left(\frac{\pi}{2} \right)^{1/2} \frac{w - w_{*e} (1 - \eta_e/2)}{k_{\parallel} v_{Te}} \quad (w_{*e} \rightarrow w_{*e} (1 - \eta_e/2))$$

$$\frac{\gamma}{w_{*e}} = \frac{\text{Im}(w)}{w_{*e}} \approx \left(\frac{\pi}{2} \right)^{1/2} \frac{w_{*e}}{k_{\parallel} v_{Te}} \left(k_{\perp}^2 r_s^2 - \frac{k_{\parallel}^2 C_s^2}{w_{*e}^2} - \frac{\eta_e}{2} \right)$$

∴ Electron drift wave condition with ETG

$$\Rightarrow \boxed{k_{\perp}^2 r_s^2 > \frac{k_{\parallel}^2 C_s^2}{w_{*e}^2} + \frac{\eta_e}{2}} \quad \leftarrow \text{stabilizing effect due to ETG!}$$

④ Shifted Maxwellian with electron currents.

$j_{||} = -en_0 v_{eo}$ can also affect the electron drift waves.

$$f_{em} = n_0(x) \left(\frac{m}{2\pi T_e} \right)^{3/2} \exp \left(- \frac{m}{2T_e} (v_{\perp}^2 + (v_{||} - v_{eo})^2) \right)$$

$$\frac{df_{em}}{dv_{||}} = - \frac{v_{||} - v_{eo}}{v_{te}^2} f_{em} \quad (T_e = m v_{te}^2 / 2) \quad / \quad \frac{df_{em}}{dx} = \frac{f_{em}}{n_0} \frac{dn}{dx}$$

< Drift kinetic, again >

$$\frac{df}{dt} + \left(v_{||} \hat{b} + \frac{\hat{b} \times \nabla \phi}{B_0} \right) \cdot \nabla f + \frac{q E_{||}}{m} \frac{df}{dv_{||}} = 0 \rightarrow \frac{df}{dt} + \left(v_{||} \hat{b} + \frac{\hat{b} \times \nabla \phi}{B_0} \right) \cdot \nabla f + \frac{e}{m} \frac{d\phi}{dz} \frac{df}{dv_{||}} = 0$$

$$\frac{df_e}{dt} + v_{||} \frac{df_e}{dz} + \frac{\hat{z} \times \frac{d\phi}{dy} \hat{y}}{B_0} \cdot \frac{df_e}{dx} \hat{x} + \frac{e}{m} \frac{d\phi}{dz} \frac{df_e}{dv_{||}} = 0$$

$$-i(\omega - k_{||} v_{||}) f_e - i k_y \frac{\phi}{B_0} \frac{df_e}{dx} + i \frac{e}{m} k_{||} \phi \frac{df_e}{dv_{||}} = 0$$

$$(\omega - k_{||} v_{||}) f_e + k_y \frac{\phi}{B_0} \frac{f_m}{n_0} \frac{dn}{dx} - \frac{e}{m} k_{||} \phi \left(- \frac{v_{||} - v_{eo}}{v_{te}^2} \right) f_{em} = 0$$

$$\left(\text{*note } w_{*e} = - \frac{k_y T_e}{e B} \frac{1}{n_0} \frac{dn}{dx} \right)$$

$$(\omega - k_{||} v_{||}) f_e - \frac{e \phi}{T_e} w_{*e} f_{em} + \frac{e \phi}{T_e} k_{||} (v_{||} - v_{eo}) f_{em} = 0$$

$$\therefore f_e = \frac{e \phi}{T_e} f_{em} \left(\frac{w_{*e} - k_{||} (v_{||} - v_{eo})}{\omega - k_{||} v_{||}} \right) = \left(1 - \frac{\omega - w_{*e} - k_{||} v_{eo}}{\omega - k_{||} v_{||}} \right) \frac{e \phi}{T_e} f_{em}$$

clearly we only see the Doppler shift $\omega \rightarrow \omega - k_{||} v_{eo}$ ($\omega^* \rightarrow \omega^* + k_{||} v_{eo}$)

⑤ Drift wave dispersion with electron currents.

$$1 + k_{\perp}^2 r_s^2 - \frac{w_{*e}}{w} - \frac{k_{||} C_s^2}{w^2} = -i \left(\frac{\pi}{2} \right)^{1/2} \frac{\omega - \omega^* - k_{||} v_{eo}}{k_{||} v_{te}}$$

$$\omega - \omega^* \left(1 + \frac{k_{||} v_{eo}}{\omega^*} \right)$$

교차항 지우기

$$\frac{\gamma}{w_{*e}} = \frac{\text{Im}(\omega)}{w_{*e}} \simeq \left(\frac{\pi}{2} \right)^{1/2} \frac{w_{*e}}{k_{||} v_{te}} \left(k_{\perp}^2 r_s^2 - \frac{k_{||} C_s^2}{w_{*e}^2} - \frac{k_{||}}{w^*} \frac{j_{||}}{en_0} \right)$$

\therefore Electron drift wave instability condition with the electron currents:

$$\boxed{k_{\perp}^2 r_s^2 > \frac{k_{||} C_s^2}{w_{*e}^2} + \frac{k_{||}}{w^*} \frac{j_{||}}{en_0}}$$

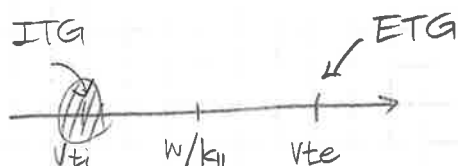
$j_{||} > 0$: stabilizing

$j_{||} < 0$: destabilizing.

⑥ Drift waves with Ion Temperature Gradient (ITG)

- $\vec{\nabla} T_i$ can excite an instability by driving the ion acoustic wave unstable, as called ITG mode.
- It can be driven collisionlessly, even without kinetic wave particle interaction

⑦ Electron and ion responses by ITG



In this limit, treat adiabatic electron (Boltzmann relation)

$$n_{ei} = \frac{en_0}{T_e} \phi_i$$

But restore ion thermal effects (which we ignored by "cold" ion assumption)

$$\tau \equiv \frac{T_e}{T_i}$$

Linearized drift-kinetic equation for ions:

$$\frac{df_{i1}}{dt} + v_{||} \frac{df_{i1}}{dz} + \frac{\hat{z} \times \vec{\nabla} \phi_i}{B_0} \cdot \vec{\nabla} f_{i1} - \frac{e}{M} \frac{d\phi_i}{dz} \frac{df_{i1}}{dv_{||}} = 0$$

↳ ignores ion polarization or any order $O(k_{\perp} r_{Li})$ ($\because k_{\perp}$ is small in ion)

Analogous to that carried out for electrons

$$n_{i1} = \int f_{i1} d\vec{v} = -\frac{e\phi_i}{T_i} \left[n_0 - \int_{-\infty}^{\infty} \frac{f_0(v_{||}) dv_{||}}{w - k_{||} v_{||}} \left\{ w - w_{xi} \left[1 - \gamma_i \left(\frac{1}{2} - \frac{v_{||}^2}{v_{ti}^2} \right) \right] \right\} \right]$$

$$\text{Define: } \left(w_{xi} \equiv w_{xi} + w_{Ti} = k_y \frac{1}{neB} \frac{dp_i}{dx} < 0 \right. \\ \left. (w_{xi} \equiv k_y \frac{T}{neB} \frac{dn_i}{dx} < 0, w_{Ti} \equiv k_y \frac{1}{eB} \frac{dT_i}{dx} < 0) \right) / \left(\gamma_i \equiv \frac{L_n}{L_T} \right. \\ \left. (L_n = -\frac{n}{dn/dx}, L_T = -\frac{T}{dT/dx}) \right)$$

Then, $n_{ei} = n_{i1}$ gives

$$\frac{en_0}{T_e} \phi_i = -\frac{en_0}{T_i} \phi_i \left[1 - \frac{1}{n_0} \int_{-\infty}^{\infty} \dots \right] \quad \text{divide by } \frac{en_0}{T_i} \phi_i$$

$$\frac{T_i}{T_e} + 1 = 1 + \frac{1}{\tau} = \frac{1}{n_0} \int_{-\infty}^{\infty} \frac{f_0(v_{||}) dv_{||}}{w - k_{||} v_{||}} \left\{ w - w_{xi} \left[1 - \gamma_i \left(\frac{1}{2} - \frac{v_{||}^2}{2v_{ti}^2} \right) \right] \right\}$$

⑧ Dispersion relation for ITG mode

$$(w \geq k_{\perp} v_{ti}) : \frac{1}{w - k_{\perp} v_{ti}} = \frac{1}{w} \frac{1}{(1 - k_{\perp} v_{ti}/w)} = \frac{1}{w} \left(1 + \frac{k_{\perp} v_{ti}}{w} + \left(\frac{k_{\perp} v_{ti}}{w} \right)^2 + \left(\frac{k_{\perp} v_{ti}}{w} \right)^3 + \dots \right) = \frac{1}{w} + \frac{k_{\perp} v_{ti}}{w^2} + \frac{k_{\perp}^2 v_{ti}^2}{w^3} + \dots$$

The integrals we need:

$$\left(\begin{aligned} \int_{-\infty}^{\infty} \frac{f_0(v_{ti})}{w - k_{\perp} v_{ti}} dv_{ti} &\approx \frac{n_0}{w} \left(1 + \frac{k_{\perp}^2 v_{ti}^2}{w^2} + \dots \right) - i\pi \frac{1}{k_{\perp}} f(w/k_{\perp}) \\ \int_{-\infty}^{\infty} \frac{f_0(v_{ti}) v_{ti}^2}{w - k_{\perp} v_{ti}} dv_{ti} &= \frac{n_0 v_{ti}^2}{w} \left(1 + \frac{3k_{\perp}^2 v_{ti}^2}{w^2} + \dots \right) - i\pi \frac{1}{k_{\perp}} \left(\frac{w}{k_{\perp}} \right)^2 f(w/k_{\perp}) \end{aligned} \right)$$

Putting together:

$$\begin{aligned} 1 + \frac{1}{Z} &= \frac{1}{n_0} \int_{-\infty}^{\infty} \frac{f_0(v_{ti}) dv_{ti}}{w - k_{\perp} v_{ti}} \left\{ w - w x_i \left(1 - \frac{\eta_i}{2} \right) \right\} + \frac{1}{n_0} \int_{-\infty}^{\infty} \frac{f_0(v_{ti}) v_{ti}^2 dv_{ti}}{w - k_{\perp} v_{ti}} \left\{ + \frac{w x_i \eta_i}{2 v_{ti}^2} \right\} \\ &= \frac{1}{n_0} \frac{n_0}{w} \left(1 + \frac{k_{\perp}^2 v_{ti}^2}{w^2} \right) (w - w x_i (1 - \frac{\eta_i}{2})) + \frac{1}{n_0} \frac{n_0 v_{ti}^2}{w} \left(1 + \frac{3k_{\perp}^2 v_{ti}^2}{w^2} \right) \left(+ \frac{w x_i \eta_i}{2 v_{ti}^2} \right) \\ &\quad + \frac{1}{n_0} \left(-i\pi \frac{1}{k_{\perp}} f(w/k_{\perp}) \right) + \frac{1}{n_0} \left(-i\pi \frac{w^2}{k_{\perp}^3} f(w/k_{\perp}) \right) \\ \frac{1}{w^3} \frac{\partial^3 f}{\partial t^3} &= 1 + \frac{k_{\perp}^2 v_{ti}^2}{w^2} - \frac{w x_i}{w} \left(1 - \frac{\eta_i}{2} \right) + \frac{w x_i \eta_i}{w} \frac{1}{2} - i \left(\frac{\pi}{2} \right)^{1/2} \frac{w - (w x_i - \frac{w^2}{k_{\perp}^2 v_{ti}^2}) (1 - \eta_i/2)}{k_{\perp} v_{ti}} \exp \left(-\frac{w^2}{2 k_{\perp}^2 v_{ti}^2} \right) \\ &= 1 - \frac{w x_i}{w} + \frac{k_{\perp}^2 v_{ti}^2}{w^2} \left[1 - \frac{w x_i}{w} (1 + \eta_i) \right] - i \left(\frac{\pi}{2} \right)^{1/2} \frac{w - (w x_i - \frac{w^2}{k_{\perp}^2 v_{ti}^2}) (1 - \eta_i/2)}{k_{\perp} v_{ti}} \exp \left(-\frac{w^2}{2 k_{\perp}^2 v_{ti}^2} \right) \end{aligned}$$

*note

$$w x_i (1 + \eta_i) = w x_{pi}, \quad Z w x_i = -w x_e, \quad Z v_{ti} = C_s$$

$$\text{Then, } \boxed{1 - \frac{w x_e}{w} - \frac{k_{\perp}^2 C_s^2}{w^2} \left(1 - \frac{w x_{pi}}{w} \right) = -i Z \left(\frac{\pi}{2} \right)^{1/2} \frac{w - (w x_i - \frac{w^2}{k_{\perp}^2 v_{ti}^2}) (1 - \eta_i/2)}{k_{\perp} v_{ti}} \exp \left(-\frac{w^2}{2 k_{\perp}^2 v_{ti}^2} \right)}$$

$w x_{pi} \rightarrow 0$, $v_{ti} \rightarrow 0$ or $Z \rightarrow 0$ gives pure electron drift wave.

⑨ Upper limit of ITG mode growth

$$1 - \frac{w_{xe}}{w} - \frac{k_{\perp}^2 C_s^2}{w^2} \left(1 - \frac{w_{xi}}{w}\right) = 0 \quad (\text{when ignoring kinetic correction})$$

Consider a strong gradient. \Rightarrow 2nd + 4th term dominate.

$$\frac{w_{xe}}{w} = \frac{k_{\perp}^2 C_s^2}{w^3} w_{xi} \rightarrow w^2 = k_{\perp}^2 C_s^2 \left(\frac{w_{xi}}{w_{xe}}\right) = k_{\perp}^2 C_s^2 \left(\frac{w_{xi}(1+\eta_i)}{-2w_{xi}}\right) = -\left(\frac{1+\eta_i}{2}\right) k_{\perp}^2 C_s^2$$

$$\boxed{\gamma = \left(\frac{1+\eta_i}{2}\right)^{1/2} k_{\perp} C_s} \quad \leftarrow \text{upper bound of ITG linear growth rate.}$$

(가장 극단적인 상황 (strong gradient) 가정)

⑩ ITG mode in flat density limit

$L_n \rightarrow \infty$, $w_{xe}/w \ll 1 \Rightarrow$ 1st + 4th term dominate

$$1 + \frac{k_{\perp}^2 C_s^2}{w^3} w_{xi} = 0 \rightarrow w^3 = k_{\perp}^2 C_s^2 (-w_{xi} T_i)$$

$$\boxed{\gamma = |w_{xi} T_i|^{1/3} (k_{\perp} C_s)^{2/3}} \quad \checkmark \text{ one of the 3 roots are unstable}$$

⑪ ITG mode onset condition

ITG mode remains unstable even for very weak ITG $L_{Ti} \rightarrow \infty$ or $\eta_i \rightarrow 0$

This is unphysical and does not agree with numerical modeling results.

$$1 - \frac{w_{xe}}{w} - \frac{k_{\perp}^2 C_s^2}{w^2} = -i\tau \left(\frac{\pi}{2}\right)^{1/2} \left[\frac{w - w_{xi}(1-\eta_i/2)}{k_{\perp} v_{Ti}} \right]$$

If one assumes $w \approx k_{\perp} C_s \ll w_{xi}$ it gives

$$(1-\eta_i/2) < 0 \rightarrow \boxed{\eta_i > 2} \quad \langle \text{onset condition for ITG mode} \rangle$$