

# [Review] An End-to-End Trainable Neural Network for Image-based Sequence Recognition and Its Application to Scene Text Recognition (2016)

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# Outline

1 Introduction

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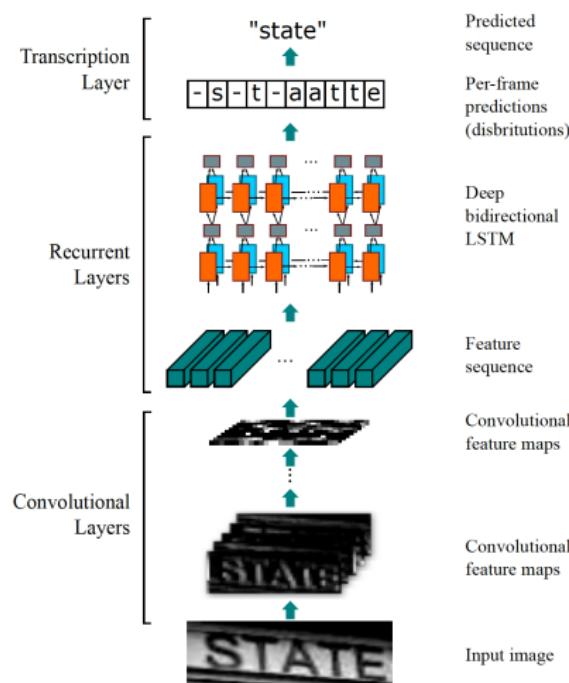
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# Introduction

## Contribution

- An end-to-end trainable model, named **CRNN**.
- Handling sequences of **arbitrary lengths**, involving no character segmentation or horizontal scale normalization.
- Achieving remarkable performances in **lexicon-free** and **lexicon-based** scene text recognition tasks.

# Introduction

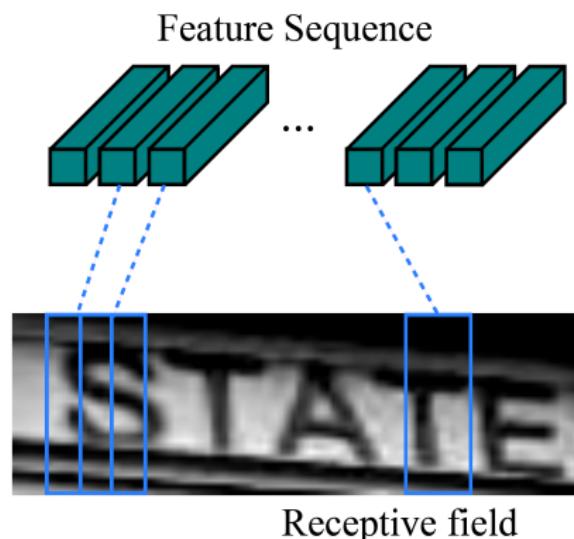


**Figure:** Overview of CRNN. There are mainly three steps: Convolutional layers, Recurrent layer, Transcription layer

Type	Configurations
Transcription	-
Bidirectional-LSTM	#hidden units:256
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Map-to-Sequence	-
Convolution	#maps:512, k:2 × 2, s:1, p:0
MaxPooling	Window:1 × 2, s:2
BatchNormalization	-
Convolution	#maps:512, k:3 × 3, s:1, p:1
BatchNormalization	-
Convolution	#maps:512, k:3 × 3, s:1, p:1
MaxPooling	Window:1 × 2, s:2
Convolution	#maps:256, k:3 × 3, s:1, p:1
Convolution	#maps:256, k:3 × 3, s:1, p:1
MaxPooling	Window:2 × 2, s:2
Convolution	#maps:128, k:3 × 3, s:1, p:1
MaxPooling	Window:2 × 2, s:2
Convolution	#maps:64, k:3 × 3, s:1, p:1
Input	$W \times 32$ gray-scale image

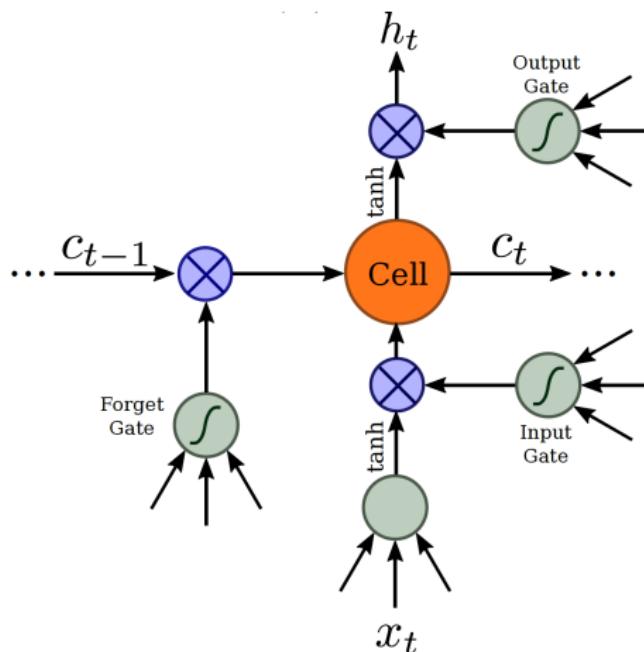
Figure: Network configuration summary.

# Sequential feature representations



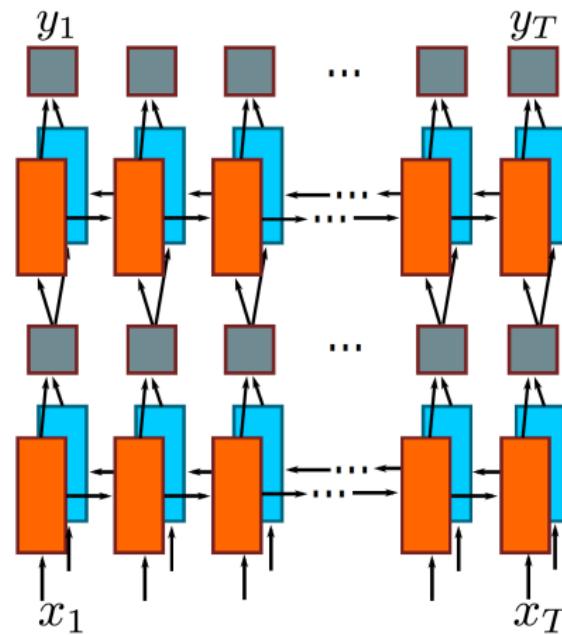
**Figure:** The receptive field. Each vector in the extracted feature sequence is associated with a receptive field on the input image, and can be considered as the feature vector of that field.

# LSTM (Long-Short Term Memory)



**Figure:** An illustration of LSTM (Long-Short Term Memory). It consists of a memory cell and three multiplicative gates, namely the input, output and forget gates.

# BiLSTM



**Figure:** The structure of deep bidirectional LSTM in this paper. Stacking multiple bidirectional LSTM results is a deep BiLSTM.

## Why RNN, especially... BiLSTM ?

1. A RNN has strong capability of capturing **contextual information** within a sequence.
2. A RNN can be back-propagates error differentials to its input.
3. A RNN is able to operate on sequence of arbitrary lengths, traversing from starts to ends.
4. But it suffers the problem of gradient vanishing problem.

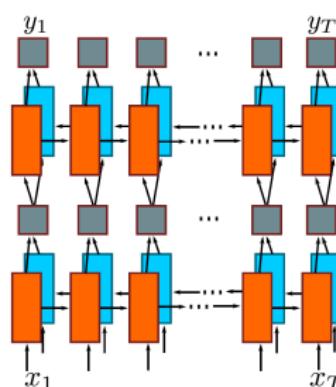
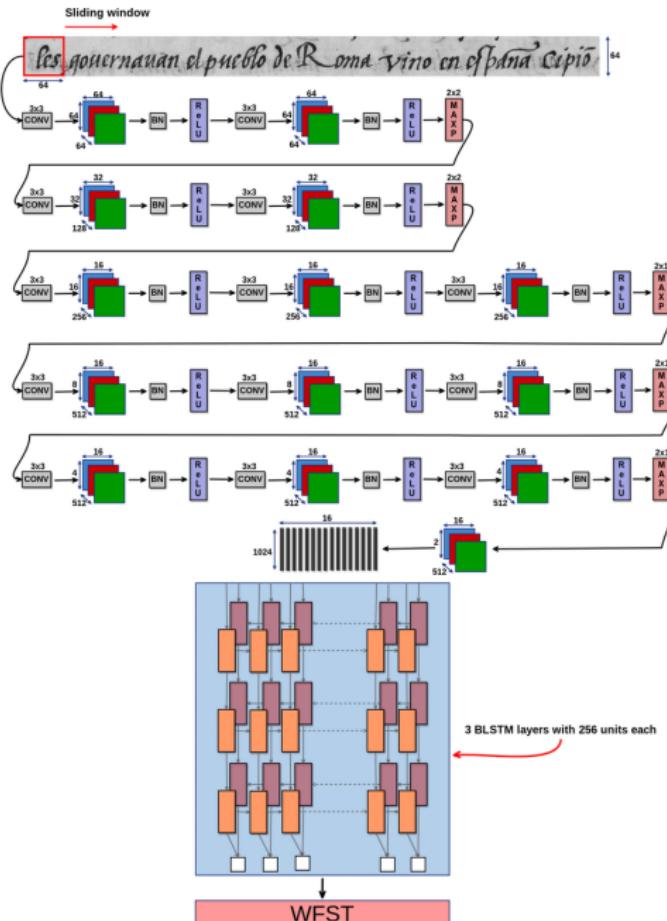


Figure: BiLSTM



## Conditional Probability

Suppose  $y = (y_1, y_2, \dots, y_T)$  is an input of sequence length  $T$ . A sequence-to-sequence mapping function  $B$  maps  $\pi$  onto  $l$  by firstly removing the repeated labels, then removing the 'blanks'. Then the conditional probability is defined by

$$p(l|y) = \sum_{\pi: B(\pi)=l} p(\pi|y),$$

where the probability of  $\pi$  is defined as  $p(\pi|y) = \prod_{t=1}^T y_{\pi_t}^t$ ,  $y_{\pi_t}^t$  is the probability of having label  $\pi_t$  at time  $t$ .

- For example,  $B$  maps "-hh-e-l-ll-oo-" onto "hello" ("-" represents "blank").

## Network Training

Denote the training dataset by  $\chi = \{x_i, l_i\}$ , where  $x_i$  is the training image and  $l_i$  is the ground truth label sequence. The objective is to minimize the negative log-likelihood of conditional probability of ground truth:

$$O := - \sum_{x_i, l_i} \log p(l_i | y_i),$$

where  $y_i$  is the sequence produced by the recurrent and convolutional layers from  $x_i$ .

# Experimental Results

	E2E Train	Conv Ftrs	CharGT-Free	Unconstrained	Model Size
Wang <i>et al.</i> [34]	✗	✗	✗	✓	-
Mishra <i>et al.</i> [28]	✗	✗	✗	✗	-
Wang <i>et al.</i> [35]	✗	✓	✗	✓	-
Goel <i>et al.</i> [13]	✗	✗	✓	✗	-
Bissacco <i>et al.</i> [8]	✗	✗	✗	✓	-
Alsharif and Pineau [6]	✗	✓	✗	✓	-
Almazán <i>et al.</i> [5]	✗	✗	✓	✗	-
Yao <i>et al.</i> [36]	✗	✗	✗	✓	-
Rodrguez-Serrano <i>et al.</i> [30]	✗	✗	✓	✗	-
Jaderberg <i>et al.</i> [23]	✗	✓	✗	✓	-
Su and Lu [33]	✗	✗	✓	✓	-
Gordo [14]	✗	✗	✗	✗	-
Jaderberg <i>et al.</i> [22]	✓	✓	✓	✗	490M
Jaderberg <i>et al.</i> [21]	✓	✓	✓	✓	304M
CRNN	✓	✓	✓	✓	8.3M

Figure: Comparison among various methods.

# Experimental Results

	IIIT5k			SVT		IC03				IC13
	50	1k	None	50	None	50	Full	50k	None	None
ABBYY [34]	24.3	-	-	35.0	-	56.0	55.0	-	-	-
Wang <i>et al.</i> [34]	-	-	-	57.0	-	76.0	62.0	-	-	-
Mishra <i>et al.</i> [28]	64.1	57.5	-	73.2	-	81.8	67.8	-	-	-
Wang <i>et al.</i> [35]	-	-	-	70.0	-	90.0	84.0	-	-	-
Goel <i>et al.</i> [13]	-	-	-	77.3	-	89.7	-	-	-	-
Bissacco <i>et al.</i> [8]	-	-	-	90.4	78.0	-	-	-	-	87.6
Alsharif and Pineau [6]	-	-	-	74.3	-	93.1	88.6	85.1	-	-
Almazán <i>et al.</i> [5]	91.2	82.1	-	89.2	-	-	-	-	-	-
Yao <i>et al.</i> [36]	80.2	69.3	-	75.9	-	88.5	80.3	-	-	-
Rodrguez-Serrano <i>et al.</i> [30]	76.1	57.4	-	70.0	-	-	-	-	-	-
Jaderberg <i>et al.</i> [23]	-	-	-	86.1	-	96.2	91.5	-	-	-
Su and Lu [33]	-	-	-	83.0	-	92.0	82.0	-	-	-
Gordo [14]	93.3	86.6	-	91.8	-	-	-	-	-	-
Jaderberg <i>et al.</i> [22]	97.1	92.7	-	95.4	80.7*	<b>98.7</b>	<b>98.6</b>	93.3	<b>93.1*</b>	<b>90.8*</b>
Jaderberg <i>et al.</i> [21]	95.5	89.6	-	93.2	71.7	97.8	97.0	93.4	89.6	81.8
CRNN	<b>97.6</b>	<b>94.4</b>	<b>78.2</b>	<b>96.4</b>	<b>80.8</b>	<b>98.7</b>	97.6	<b>95.5</b>	89.4	86.7

**Figure:** Recognition accuracy on four datasets. In the second row, 50, 1k, 50k, Full denote the lexicon used, and None denotes recognition without a lexicon.

## Conclusions

1. CRNN that integrates the advantages of both CNN and RNN.
2. CRNN is able to take input images of varying dimensions.

# References

- [1] BAOGUANG SHI AND XIANG BAI AND CONG YAO, *An End-to-End Trainable Neural Network for Image-based Sequence Recognition and Its Application to Scene Text Recognition*, IEEE Transactions on Pattern Analysis and Machine Intelligence, 39, 11, 2298–2304, 2016
- [2] ALEX GRAVES AND SANTIAGO FERNÁNDEZ AND FAUSTINO GOMEZ AND JÜRGEN SCHMIDHUBER, *Connectionist Temporal Classification: Labelling Unsegmented Sequence Data with Recurrent Neural Networks*, Proceedings of the 23rd International Conference on Machine Learning, 369–376, 2006