



Prediction of path loss in coastal and vegetative environments with deep learning at 5G sub-6 GHz

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Accepted: 15 February 2023 / Published online: 8 April 2023

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Abstract

Path loss prediction is quite important for the network performance of the wireless sensors, quality of cellular communication-based link budget, and optimization of coverage planning in mobile networks. With the development of 5G technology, even though different log-distance path loss models are generated for these, new-developed methods are required to make models more flexible and accurate for complex environments. In this study, for different coastal terrains (air-dry sand, wet sand, small pebble, big pebble) and various vegetable areas (pine, orange, cherry, and walnut), the principle and procedure of deep learning-based path loss prediction are provided in 3.5 GHz, 3.8 GHz, and 4.2 GHz in the 5G frequency zone, as a novelty. For this, recurrent neural network (RNN) and long short-term memory (LSTM) methods are proposed. The test sample number is 240 since 20% of all datasets (1200) are test data. In general, path loss for coastal terrains is higher than path loss for vegetation areas with an average of 5 dB. For both coastal terrains and vegetation areas, the recurrent neural network method predicts better than the long short-term memory method. Consequently, for both coastal terrains and vegetation areas, RNN models with R^2 values of 0.9677 and 0.9042, respectively, are preferred.

Keywords Path loss · 5G · Deep learning · LSTM · RNN · Coastal terrains · Vegetative environments

1 Introduction

Radio wave propagation has a significant contribution not only to the research but also to the development process of wireless communication systems. The signal strength of these wireless network systems decreases with increasing distance between transmitter (T_x) and receiver (R_x) [1]. Radio wave propagation characteristics vary according to the environment due to electromagnetic (EM) effects such as scattering, reflection, or diffraction [2]. These effects cause a complex propagation environment and make it difficult to accurately calculate the received signal strength [3]. Therefore, there is a need for different prediction models specific to each external environment, with reference to measurement data.

As electromagnetic (EM) signals propagate into the environment, they attenuate at a certain distance. The decrease in signal power of the EM wave can be defined as path loss [4]. Accurate estimation of path loss plays an important role in the link budget of the mobile network, the estimation of cellular communication coverage, RF optimization affecting the system performance, and the

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determination of the number and location of base stations [5]. These encourage engineers and researchers to generate accurate models at different frequencies according to the target audience in various outdoor/indoor environments [6–8]. In order to generate and evaluate these models, measurements are conducted in different scenarios, and data are collected.

Many experimentally obtained path loss models such as the log-normal model (LNM) and modified exponential decay (MED) model (Weissberger, ITU Recommendation (ITU-R), LITU-R, FITU-R, and COST235) are commonly used in the different forest [9, 10] and, vegetative [11, 12] areas or various terrains such as grassy [13], snowy [14], and sandy [15] environments to estimate path loss.

The main parameter that determines the RF propagation and channel properties of the electromagnetic wave in the indoor and outdoor environment is path loss [16]. Even though studies on radio wave propagation in forest environments are common [6, 17–20], pebble and sand environments are not studied extensively. In some cases, radio propagation is studied for tracking animal grazing in large terrains [21]. A sample of works utilizes the free-space path loss (FSPL) model [22], two-ray model [23], ray-tracing model [24], and knife-edge diffraction model [25] in various areas. However, these studies show that the effects on path loss are not similar, as each different environment has its own channel characteristics. Therefore, the lack of accurate models causes inadequate and incomplete results of using WSN in coverage planning. The wide variety of these propagation models allows WSNs to monitor and track targets accurately [26, 27]. Also, the research studies [28] point out that the propagation of RF has different effects on various terrains. Therefore, it becomes an important need to effectively determine the effects of terrain changes on path loss in various environments.

This study is made to overcome the problems mentioned above and to generate more accurate path loss models. The proposed models can be utilized not only for tracking bird feeding habits but also for monitoring sports activities and environmental/ground surveillance of the coastal pebble environments. Unlike path loss models, pebbles can also be tracked using active RFID [29, 30]. In addition to monitoring pebble mobility, the proposed model can also contribute to the recovery process in flood-type avalanches that may occur in Gravel-Bed Rivers. On the other hand, the proposed models are expected to support the coverage planning tools to obtain more accurate channel characterizations. Finally, proposed models, which are expected to be used in applications such as the Internet of Things, can be effective with WSN in controlling the pebbles laid on the ground at home and garden design and in monitoring rural areas using pebbles. In addition to these scenarios and also many other cases [31–33], anticipated applications of

WSN and mobile network is constantly evolving and changing. This reveals the importance of accurate channel features. In coastal, forest, and vegetation areas, the measurement of most of the widely known path loss models is conducted in a temperate climate. However, these models may not be successful in tropical climates due to the high humidity effect. The amount of humidity is an important parameter that changes the dielectric constant and the propagation properties of the electromagnetic wave [12]. So, more attenuation is expected in tropical climates than in temperate climates.

There are several reasons why the measurements in this study were made in a 5G frequency region. Today's 5G networks are designed to increase the throughput data, expand the coverage area, develop the density of connection, decrease radio latency, and expand spectral efficiency. Wireless network, which supports the Internet of Things (IoT) applications, provides increased coverage of different terrains [34]. Furthermore, millimeter wave and sub-6 GHz bands are likely to be used as they provide wide bandwidths. In addition to collecting attenuation data by performing various measurements and drive tests for these new frequencies, verification of these measurement results in different environments is important for the correct estimation of the generated model [35]. Thus, the coverage area of the 5G mobile network is efficient and the number of stations is optimum.

There are several studies to predict path loss with deep learning methods [36, 37]. However, to the best of our knowledge, there is no study on the prediction of the coastal environment and the vegetative environment examined in this study by the deep learning method. In this paper, propagation models are generated for different coastal terrains and vegetation environments by means of the deep learning method. For 3.5 GHz, 3.8 GHz, and 4.2 GHz as in 5G regions (below 6 GHz) in order to break the uncertainty of path loss. While these coastal terrains are air-sand, wet-sand, small, and big pebble areas, vegetation environments consist of pine, orange, cherry, and walnut areas.

Section 2 covers the measurement environment and data acquisition. Section 3 provides the design of DNN models consisting of Recurrent Neural Network (RNN) and Long Short-Term Memory (LSTM). Section 4 includes the results and comparison. Section 5 gives the conclusion.

2 Measurement environment and data acquisition

Generally, the path loss model of the vegetation environments and coastal terrains can be identified as Eqs. 1 and 2.

$$PL_{\text{vegetation}}[\text{dB}] = a \cdot f^b \cdot d^c \quad (1)$$

$$PL(d)_{\log\text{-normal}}[\text{dB}] = PL(d_0) + 10n\log_{10}\left(\frac{d}{d_0}\right) \quad (2)$$

Here, for Eq. 1, a , b , and c parameters are constants. In Eq. 2, for the model of first-order log-normal is $PL(d)$ in dB, obstacles and various terrain effects are also taken into account. d is the distance between the T_x and R_x in meters, d_0 is the reference distance in meters, and f is the operating frequency in GHz.

The measurement environment in various coastal terrains and vegetation areas is given in Fig. 1. Accordingly, coastal terrains consist of small pebbles, big pebbles, air-dry sand, and wet sand. Also, vegetation areas cover pine, orange, cherry, and walnut trees. Measurement set-up consists of a vector signal generator operating in 50 MHz–6 GHz and Minicircuit RF pre-amplifier (ZVA-183X-S + model) with 26 dB gain ad 700 MHz–18 GHz operated range, two double ridged horn antennas (A-info LB-10180) with 1–18 GHz, a spectrum analyzer (Signal Hound USB SA44B) operating in the range of 1 Hz–4.4 GHz. The specifications of measurement are also given in Table 1. The signals are captured by the Spectrum Analyzer (Signal Hound USB-SA44B model operating in the range of 1 Hz–4.4 GHz) having Spike spectrum analyzer software with 30 kHz of bandwidth and stored into a control computer in order to be processed with codes in MATLAB® software. While the T_x tripod is held still throughout the measurement, the R_x tripod moves continuously throughout the main loop of R_x . Since the measurement interval is 1 m and R_x levels are recorded for 5 s at each measurement point. The loss of RF cables is 5.6 dB. In the measurement data, system calibration is done by removing cable losses and antenna gain.

Table 1 The parameters of the measurement setup

Parameters	Description
Software for measurement	Spike spectrum analyzer
Transmit power	+ 50 dBm
Transmit frequency	3.5, 3.8, and 4.2 GHz
Transmit signal	Sinusoid
Antenna type (for both Tx and Rx)	Double-ridged horn antenna
Antenna gain	12 dBi
Antenna polarization	Vertical
Antenna radiation pattern	Directional
Receiver antenna height	2 m
Transmitter antenna height	2 m
Bandwidth	30 kHz
Signal generator	50 MHz–6 GHz

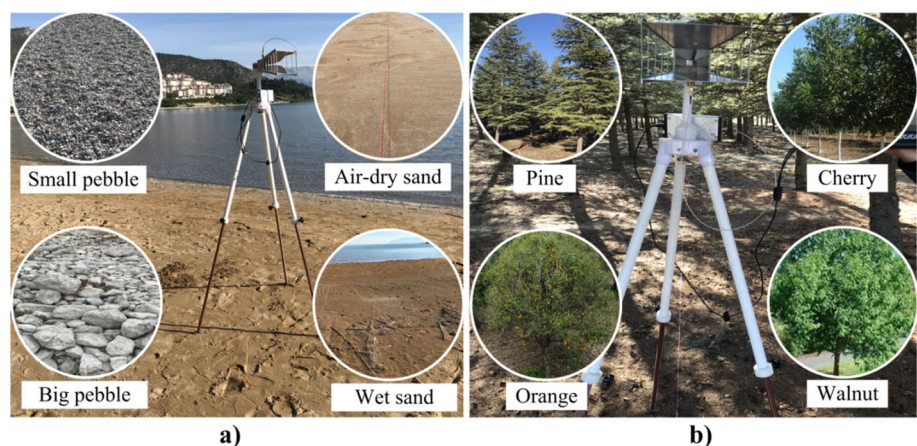
3 The design of DNN models

Nowadays, artificial intelligence methods are used in various applications such as classification and curve fitting. Since the datasets used in this study, which are regression data, include auto-correlation, recurrent neural network (RNN), and long short-term memory (LSTM) methods are preferred [38–42].

Two different datasets are created in the study. The first dataset consists of 1,200 data obtained from various grounds covered by small pebbles, big pebbles, air-dry sand, and wet sand. The second data set consists of 1,200 data obtained from various environments with pine, orange, cherry, and walnut trees. The algorithms used are developed in the MATLAB® environment, and the training and testing processes are carried out on a computer with an Intel Core i7-4702MQ@2.2 GHz processor and 16 GB RAM.

The flowchart with data acquisition, modeling, and model evaluation is given in Fig. 2. The data obtained by

Fig. 1 Measurement environment, four different a coastal terrains and b vegetation areas



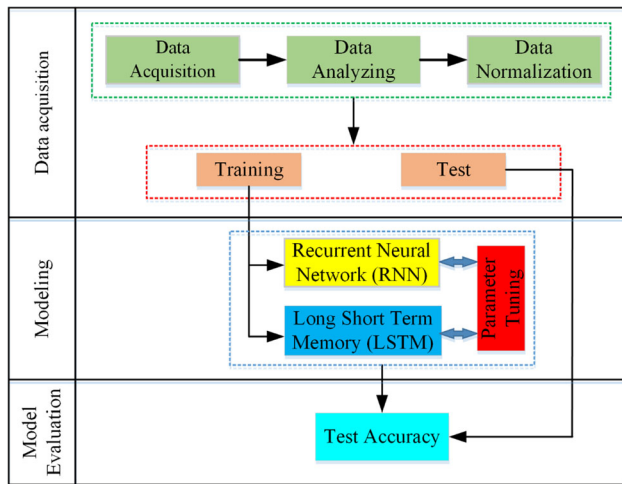


Fig. 2 The flowchart with data acquisition, modeling, and model evaluation

the steps mentioned in Sect. 2 are normalized after analysis. They are divided into training and test according to the K-fold 5 value. During the training process, the model is trained until it reaches 0.001 error or 10,000 iterations. Then, it is trained with the hyper-parameter values specified in both algorithms separately.

Input and output parameters of the proposed DNN model are provided in Table 2. DNN models applied for both coastal terrains and vegetation areas have 3 inputs and 1 output. While the distance between T_x - R_x , frequency, and environment type shows the inputs, while the path loss value indicates the output. The distance, which is the first input, takes 100 different values since the measurement intervals are 1 m. For the second input, frequency takes three different values: 3.5 GHz, 3.8 GHz, and 4.2 GHz. The third input, which is environment type (coastal terrain/vegetation type), takes 4 different values. and the output value is PL varying between 20 and 100 dB. 1200 data is obtained by multiplying the numbers of different states of 3 inputs ($100 \times 4 \times 3$). For both environments, both LSTM and RNN methods are utilized to determine in which

environment these methods are most successful. Thus, it is possible to break the uncertainty of path loss in the environment.

3.1 Recurrent neural network (RNN)

Recently, artificial neural network (ANN) models based on biological neural networks are utilized in many sectors from health to the military, especially because they can provide solutions to non-linear problems [43–45]. For this reason, new ANN models have been developed for many problems and one of these models is RNN [46]. In Figs. 3a and 3b, RNN and Feed Forward Neural Network (FFNN) structures with hidden layers and neurons in these layers are given. Even if RNN given in seem very similar to the FFNN structure in general, there are some fundamental differences [47]. The most significant difference is that the output of each cell in the model is taken as its input in the next iteration. Since the data used in this study are sequential, the RNN method is preferred. There are many variants of the RNN method in the literature [48].

The total output value of an RNN cell is given in Eq. 3. Here, the terms can be expressed that x_t is the input value in t th step, U is the weight coefficient of the input value, f_{sum} is the output value of the cell in $(t - 1)$ th step, and w is the weight of the output value, and b is the bias values.

$$f_{sum} = (x_t \cdot U + f_{net-1} \cdot w) + b \quad (3)$$

In Eq. 4, it is given that f_{sum} value obtained from the cell is transferred to the activation function. f_{net} value represents the cell's output at t^{th} step. Various activation functions in the literature and their results are presented in detail in Fig. 4. Also, the formulas of the sigmoid, tanh, ReLU and softplus activation functions are shown in Eqs. (5–8), respectively.

$$f_{net} = Act_{fonk}(f_{sum}) \quad (4)$$

Table 2 Input and output parameters of the proposed DNN model

Environment	Inputs/output	Parameters	Range	# of different state
DNN models for various coastal terrains	Inputs	Distance, d	0–100 m	100
		Frequency, f	3.5, 3.8, and 4.2 GHz	3
	Output	Coastal terrain type	Small/big pebble, air-dry/wet sand	4
		Path loss, PL	20–100 dB	–
DNN models for various vegetation areas	Inputs	Distance, d	0–100 m	100
		Frequency, f	3.5, 3.8, and 4.2 GHz	3
	Output	Vegetation type	Pine, orange, cherry, and walnut trees	4
		Path loss, PL	20–100 dB	–

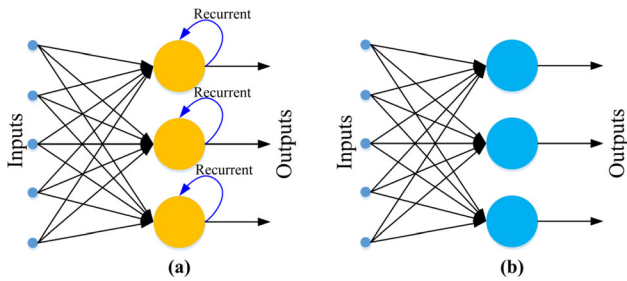


Fig. 3 Recurrent Neural Network and Feed Forward Neural Network structures

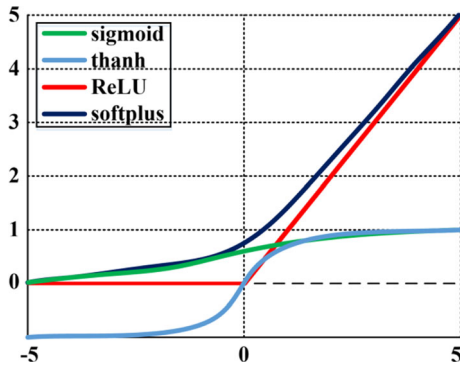


Fig. 4 Various activation functions

$$f_{Net} = \frac{1}{1 + e^{-f_{sum}}} \text{ for sigmoid} \quad (5)$$

$$f_{Net} = \tanh(f_{sum}) \text{ for tanh} \quad (6)$$

$$f_{Net} = \max(0, f_{sum}) \text{ for ReLU} \quad (7)$$

$$f_{Net} = \ln(1 + e^{f_{sum}}) \text{ for softplus} \quad (8)$$

3.2 Long Short-Term Memory (LSTM)

RNNs usually perform operations by keeping the value from the previous iteration in their memory. RNNs work accurately if the value in the last iteration is highly dependent on the value of the previous iteration. However, the success rate decreases if the last iteration value is related to the values before 5–10 iterations as shown in

Fig. 5. The LSTM method has been developed to resolve this shortcoming [49].

In the LSTM model, the dependence of the current value on the previous value can be defined significantly. While a typical RNN cell consists of a single cell and parallel structures as shown in Fig. 3(a), in the LSTM structure, these cells are serially connected to each other as repeating modules. Another difference between LSTM from RNN is that while there is only one layer in the RNN cell, there are four different layers interacting with each other in the LSTM cell as shown in Fig. 6. The functions, that allow deciding which information in these layers to pass, how much, and how, act as a gate.

An LSTM module consists of four functions input gate (i), forget gate (f), cell candidate (g), and output gate (o) as shown in Eqs. (9–12).

$$i_t = \sigma(W_i x_t + R_i h_{t-1} + b_i) \quad (9)$$

$$f_t = \sigma(W_f x_t + R_f h_{t-1} + b_f) \quad (10)$$

$$g_t = \tanh(W_g x_t + R_g h_{t-1} + b_g) \quad (11)$$

$$o_t = \sigma(W_o x_t + R_o h_{t-1} + b_o) \quad (12)$$

Here, W , x , R , and b terms used in these equations are input weight, inputs, recursive weight, and bias, respectively. The term σ expresses the sigmoid function in Eq. 13, and the term h_t is given in Eq. 14. In addition, \odot represents the Hadamard term.

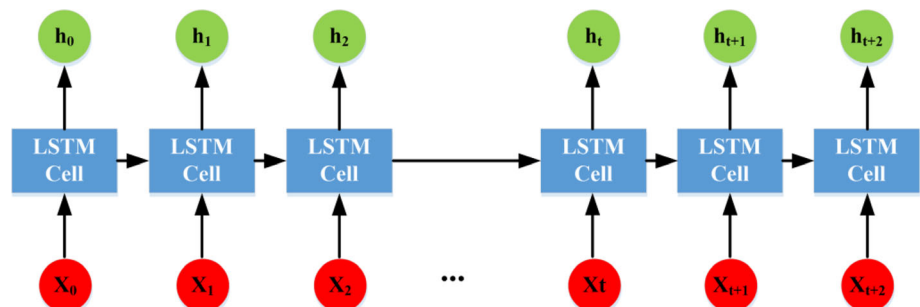
$$\sigma(x) = (1 + e^{-x})^{-1} \quad (13)$$

$$h_t = o_t \odot \tanh_{(ct)} \quad (14)$$

4 Results

Parameters used for the LSTM and RNN models are provided in Table 3. Data obtained from measurements are trained with the hyper-parameters specified in both algorithms separately. To determine the best performance of the models, the tested hyperparameters are determined at certain intervals using the gradient descent method. Accordingly, while the initial learning rate of LSTM varies

Fig. 5 The structures of LSTM



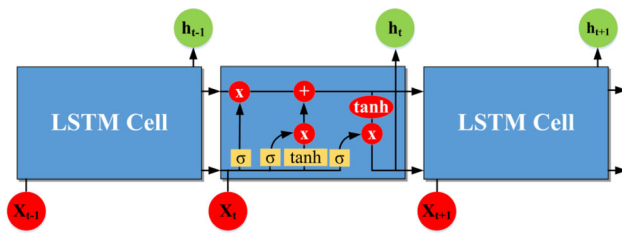


Fig. 6 Four different layers within a repeating module in an LSTM

between 10–3–0, the number of neurons in the hidden layer of RNN range from 1 to 10.

In any soft-computing application, measuring the success of algorithms is as important as the preferred algorithm. For this, the error of the system is obtained by calculating the difference between the predicted and actual (measured) values in the developed systems. Therefore, some well-known metrics as the mean absolute error (MAE), root mean squared error (RMSE), and R-squared (R^2) are utilized to evaluate the success of the proposed

methods [43]. A success criterion for the estimation of outputs of this study is shown in Table 4. For both coastal terrains and vegetation areas, RNN models with R^2 values of 0.9677 and 0.9042, respectively, are preferred. It should be noted that even though the LSTM method is generally more advanced and up-to-date than RNN, the RNN method is more successful due to the structure of the data set in this study.

A comparison of the predicted and measured results for both coastal terrains and vegetation areas are given in Table 5 with 5 different inputs. Accordingly, these inputs are randomly selected for each parameter. While PL values for coastal terrains are in the range of 23.38 dB to 84.74 dB, and PL values for vegetation areas vary between 26.05 dB to 86.32 dB. As seen in Table 5, RNN and LSTM values are quite close to each other.

A comparison of normalized values of RNN, LSTM, and measured results is depicted in Fig. 7 according to the test sample numbers. The test sample number is 240 since 20% of all datasets (1200) are test data. For both coastal

Table 3 Parameters used for the LSTM and RNN model

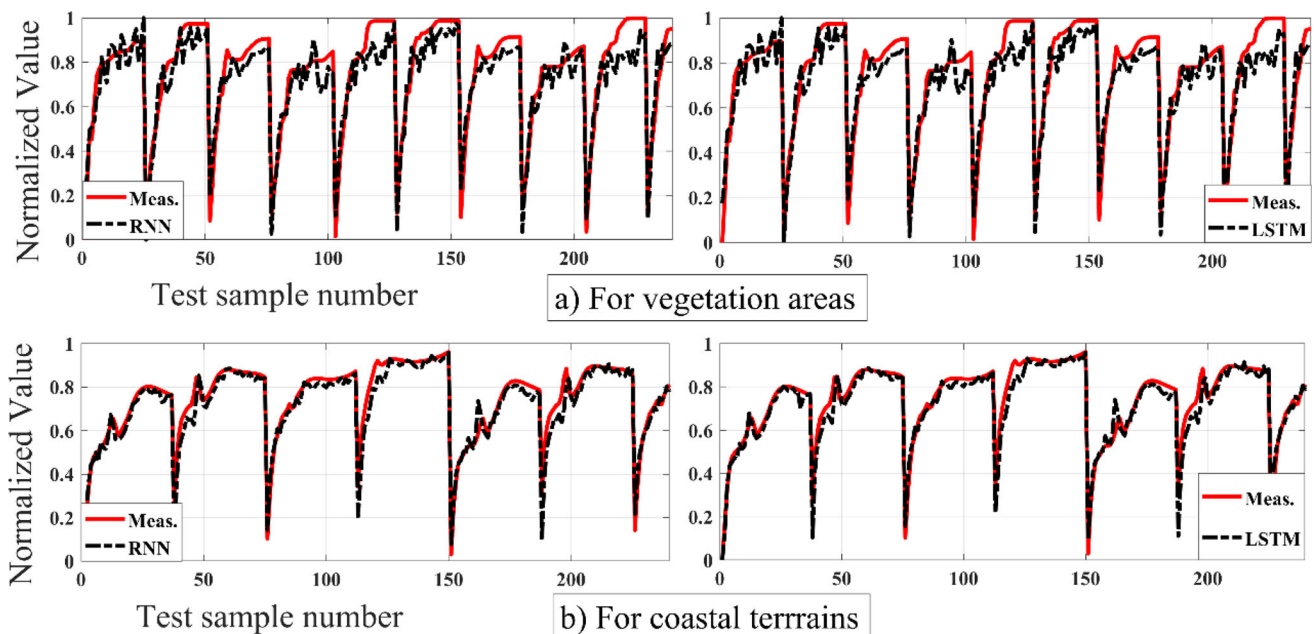
	Hyper-parameters	Tested hyper-parameters range	Best parameters for coastal terrains	Best parameters for vegetation areas
LSTM	Initial learning rate	10^{-3} –0	4×10^{-3}	7×10^{-2}
	Dropout rate	0.01–0.9	0.12	0.57
	Batch size	3–8	6	6
	Learn rate drop period	25–250	125	125
	Learn rate drop factor	0.01–0.8	0.25	0.35
	Max epoch iterations	100–10,000	745	980
	Fully connected layer	15–200	150	150
	Dropout layer	0.1–0.9	0.5	0.5
RNN	Hidden layer number	1–20	7	8
	The number of neurons in the hidden layer	1–10	10	7
	Training functions	Levenberg–Marquardt (trainlm)	trainbr	trainbr
		Bayesian Regularization (trainbr)		
	Goal	0.001–0.01	0.07	0.05
	Max epoch iterations	0–10,000	375	803

Table 4 Success criteria for the estimation of outputs

	DNN models	RMSE	MAE	R^2
DNN Models for various coastal terrains	LSTM	2.0751	1.5958	0.9631
	RNN	1.9670	1.2218	0.9677
DNN Models for various vegetation areas	LSTM	4.5678	3.5787	0.8981
	RNN	4.3624	3.2368	0.9042

Table 5 Comparison of the predicted and measured results

	Distance	Environment type	Frequency	Path loss		
				Measured	RNN	LSTM
Coastal terrains	144	Air-dry sand	3.5	76.75	76.71	76.78
	140	Big pebble	3.8	82.39	82.37	82.45
	96	Wet sand	4.2	74.66	74.62	74.65
	148	Small pebble	3.8	76.78	76.72	76.74
	132	Small pebble	3.5	76.84	76.80	76.76
Vegetation areas	78	Orange	3.8	78.68	78.80	78.81
	56	Walnut	4.2	84.45	84.50	84.52
	48	Orange	3.5	73.04	73.04	73.04
	4	Cherry	4.2	26.05	26.05	26.05
	32	Cherry	4.2	74.11	74.11	74.11

**Fig. 7** Comparison of normalized values of measured, RNN, and LSTM results for both vegetation areas and coastal terrains

terrains and vegetation areas, the RNN method predicts better than the LSTM method. It is noted that output results are predicted for four coastal terrains and for four vegetation areas at three different frequencies (3.5 GHz, 3.8 GHz, and 4.2 GHz). Instead of evaluating the path loss value for each frequency of these 8 environments, results can be drawn for 3.5 GHz of a randomly preferred environment. Therefore, wet-sand terrain from the coastal terrain group and cherry trees from the vegetation area group is selected and the variation of path loss values with respect to distance is given in Fig. 8. As can be seen, the RNN and LSTM results are consistent with the measured values. In addition, in both cases, the path loss increases rapidly up to

about 10 m, while for further distances, it first increases slowly and then continues at a constant oscillation.

5 Conclusion

Accurate propagation modeling is of great importance to the accuracy of link budget, coverage planning, network performance, and optimization efficiency of mobile communication and wireless sensors. Accordingly, path loss prediction and radio channel modeling are widely studied by means of machine learning methods. In this study, for 8 different complex environments, four coastal terrains, and four vegetable areas, novel path loss models are developed

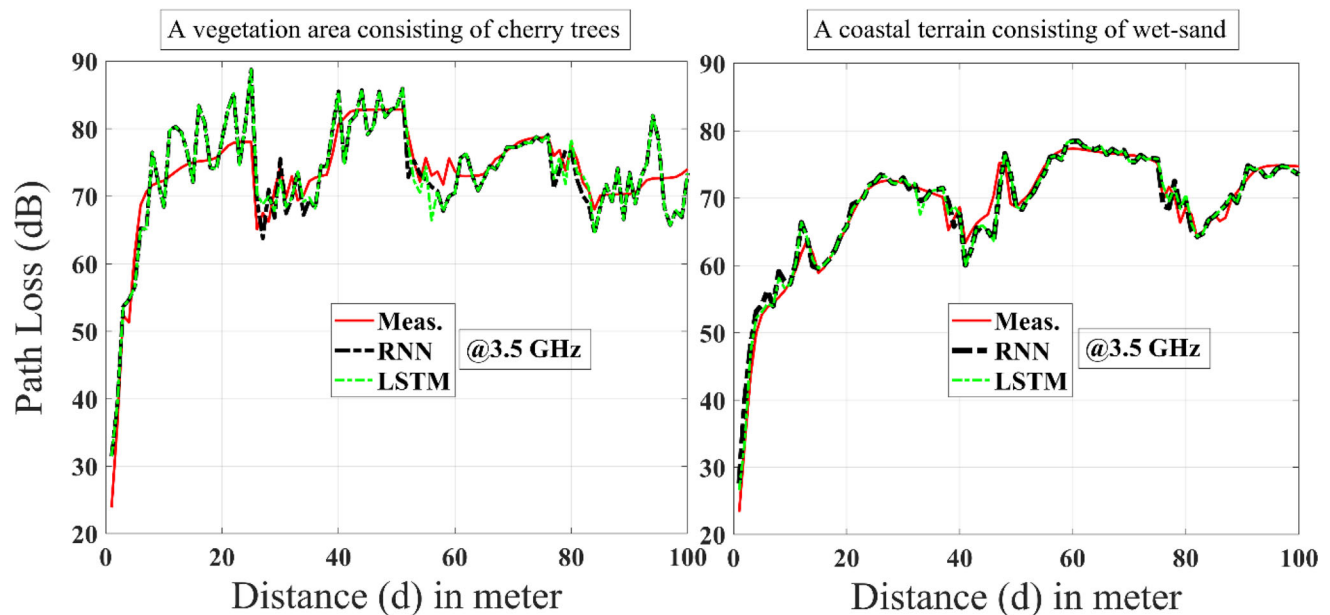


Fig. 8 Comparison of PL values of measured, RNN, and LSTM results for both vegetation areas consisting of cherry trees and wet-sand terrains

with deep learning techniques: RNN and LSTM. While these coastal terrains are air-sand, wet-sand, small, and big pebble areas, vegetation environments consist of pine, orange, cherry, and walnut areas. Measurements are made at frequencies of 3.5 GHz, 3.8 GHz, and 4.2 GHz, which are known as sub-6 GHz and located within the 5G frequency region. Data from measurement results are divided into training and test according to the K-fold 5 value. During the training process, the model is trained until it reaches 0.001 error or 10,000 iterations. Then, it is trained with the hyper-parameter values specified in both algorithms separately. RMSE, MAE, and R2 values of both RNN and LSTM models are compared. For both coastal terrains and vegetation areas, key results show that models with RMSE values of 1.9670 and 4.3624, respectively, are preferred. It should be noted that even though the LSTM method is generally more advanced and up-to-date than RNN, the RNN method is more successful due to the structure of the data set in this paper. Examination of snowy and rainy (humid) weather conditions on the same lands on path loss is a future study.

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