

Operating System Theory MID (2025-2022)

Spring 2025 MID

1#Illustrate different multi-threading models with associated diagrams
[Spring 2023 MID]

Operating systems use three common models to map **user-level threads** to **kernel-level threads**:

1. **Many-to-One Model**
2. **One-to-One Model**
3. **Many-to-Many Model**
(+ sometimes **Two-Level Model**, a variation of many-to-many)

Let's explain each with diagrams.

1. Many-to-One Model

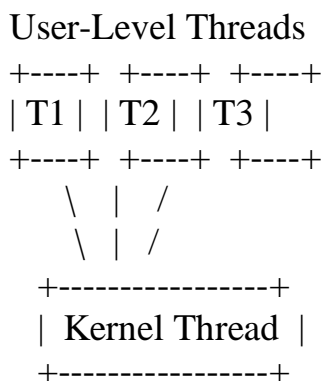
Concept

- **Many user threads** → **one kernel thread**
- Thread management happens in **user space**.
- The OS sees only one thread.

Characteristics

- Fast thread creation & switching
- **No true parallelism** (only one kernel thread can run)
- If one thread blocks, **entire process blocks**

Diagram



2. One-to-One Model

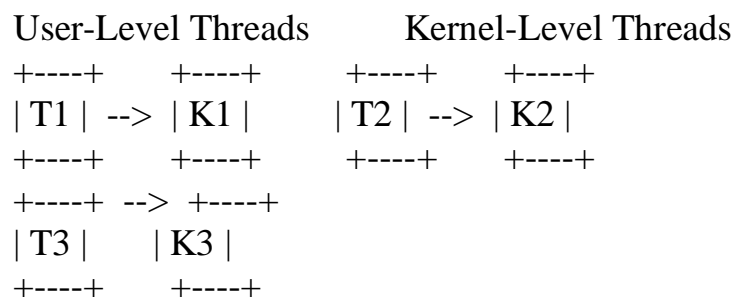
Concept

- **Each user thread → one kernel thread**
- OS manages every thread.

Characteristics

- True parallelism possible
- Better concurrency
- More resource usage (more kernel threads)

Diagram



3. Many-to-Many Model

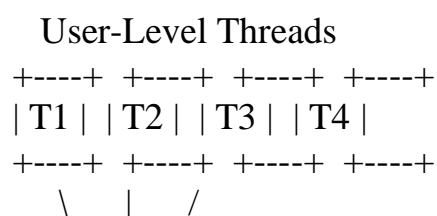
Concept

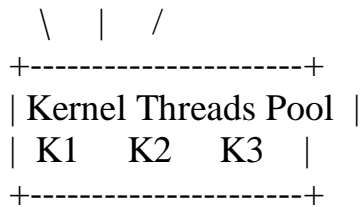
- **Many user threads → mapped onto a smaller or equal number of kernel threads**
- OS can choose how many kernel threads to create.

Characteristics

- Good concurrency
- Efficient use of kernel threads
- No blocking problems like many-to-one
- More flexible than one-to-one

Diagram





4. Two-Level Model (Hybrid Model)

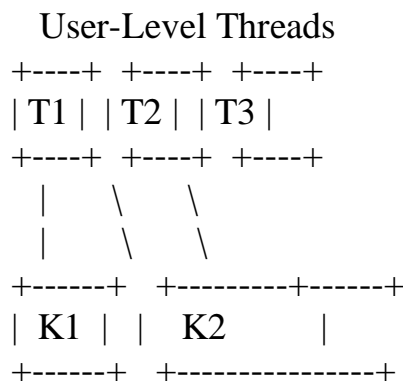
Concept

- A variation of many-to-many.
- User threads may be bound to specific kernel threads **or** multiplexed.

Characteristics

- Gives flexibility + performance
- Used in some UNIX/Pthreads implementations

Diagram



T1 is *bound* to K1;
T2 & T3 are *multiplexed* over K2.

Short Exam Answer

The multithreading models define how user threads map to kernel threads:

1. **Many-to-One:** Many user threads mapped to one kernel thread. Simple but no parallelism and blocking affects all threads.
2. **One-to-One:** Each user thread maps to a separate kernel thread. Supports parallelism but creates more overhead.
3. **Many-to-Many:** Many user threads mapped to a configurable number of kernel threads. Provides concurrency and flexibility.
4. while others are multiplexed.

2#Explain what happens during an exec system call

The **exec** system call is used by a process to **replace its current program with a new program**.

It does **not** create a new process — instead, it **transforms** the existing one.

Think of exec as:

→ *"Throw away my current program and load a completely new one into this process."*

1. The process keeps its PID

- The OS **does not create a new process**.
- The same PID (Process ID) continues.

This differentiates exec() from fork().

2. The OS removes the current program

The following are discarded:

- old code (text segment)
 - old data segment
 - old heap
 - old stack
- The process's **entire memory image is cleared**.

3. The new program is loaded into memory

- The OS loads the new executable file from disk.
- The ELF/EXE loader initializes the new:
 - **text (code) segment**
 - **data segment**
 - **bss segment**
 - **heap**
 - **stack**

4. The OS rebuilds the process's memory layout

The process gets a **fresh virtual address space** designed for the new program.

5. Command-line arguments and environment variables are set

exec() installs the new program's:

- argv[]
- envp[]

6. File descriptors are preserved (mostly)

Open files **remain open**, unless they have the *close-on-exec* (FD_CLOEXEC) flag set.

This allows:

- redirected I/O
- pipes (important for shells)

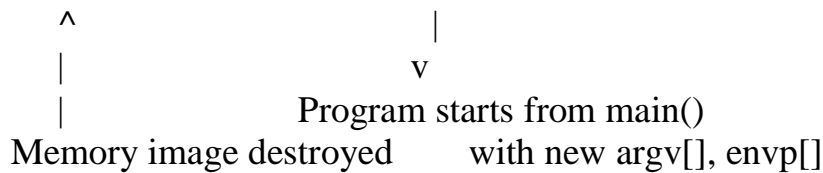
7. The process starts executing the new program

- The program counter (PC) is set to the entry point of the new program.
- Execution begins from main().

→ The process behaves as if it just started fresh.

Simple Diagram of exec()

Before exec()		After exec()
-----		-----
PID = 1234		PID = 1234
Program: oldprog	==>	Program: newprog
Code: old code		Code: new code
Data: old data		Data: new data
Heap/Stack: old		Heap/Stack: new
-----		-----



Short Exam Answer

The exec system call replaces the current process's memory image with a new program. The process keeps its PID, but its code, data, heap, and stack are replaced by the new program's segments. The OS loads the executable into memory, initializes arguments and environment variables, preserves open file descriptors, and begins execution at the new program's entry point. Unlike fork, exec does not create a new process; it transforms the existing one.

3#Describe different states of a process with proper diagram. [Fall 24] [Spring 23]

Different States of a Process

An operating system keeps each process in one of several states depending on what it is doing.

The most commonly used process states are:

1. New

- The process is being **created**.
- OS is loading program code, allocating PCB, etc.

2. Ready

- The process is **loaded into memory** and is **waiting** for CPU time.
- It is ready to run but the CPU is currently executing another process.

3. Running

- The process is **currently being executed** on the CPU.
- Only one process per CPU core can be in this state at a time.

4. Waiting (Blocked)

- The process **cannot continue** until some event happens.
- Waiting for:
 - I/O operation (disk/keyboard)

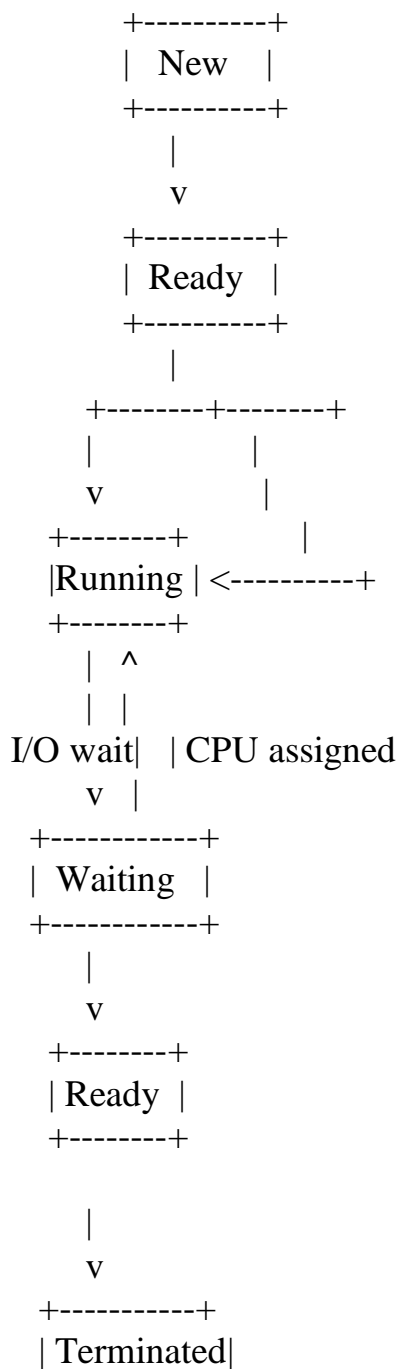
- Resource availability
- Signal from another process

5. Terminated (Exit)

- The process has **finished execution** or was **killed** by the OS.
- The OS cleans up its resources.

Simple Diagram of Process States

You can draw this in your exam answer sheet:



+-----+

Short Exam-Ready Explanation

A process transitions through several states during its lifetime:

- **New:** The OS is creating the process.
- **Ready:** The process is waiting in memory for CPU scheduling.
- **Running:** The CPU is actively executing the process.
- **Waiting/Blocked:** The process is waiting for an I/O or external event.
- **Terminated:** The process has completed execution.

The OS moves a process between these states depending on CPU scheduling, I/O operations, and program behavior.

Fall 2024 MID

1#Explain the mechanism of context switch

ChatGPT said:

Here's a **clear, exam-ready explanation** of the **mechanism of a context switch** in an operating system:

Mechanism of a Context Switch (with clean breakdown)

A **context switch** is the process by which the OS **saves the state of a running process/thread** and **restores the state of another**, allowing multitasking.

The OS kernel performs it when switching the CPU from one process to another.

Why is context switching needed?

- To implement **multiprogramming** and **multitasking**
- To ensure **fair CPU sharing**
- To switch to a higher priority process
- To respond to **interrupts** or **system calls**

Mechanism / Steps of a Context Switch

1. Interrupt or system call occurs

A context switch starts when:

- A **hardware interrupt** happens (timer interrupt, I/O interrupt)
- A process performs a blocking **system call**
- The scheduler decides to run a different process (preemption)

The CPU switches into **kernel mode** and transfers control to the OS scheduler.

2. Save the current process state

The OS saves the state of the currently running process:

This includes:

- **Program counter (PC)**
- **CPU registers**
- **Stack pointer**
- **Memory management info (page table pointer)**
- **Process control block (PCB)**

This saved information is stored in the **PCB of the running process**.

3. Update PCB and scheduler data

The OS:

- Marks the current process state as **Ready, Waiting**, etc.
- Inserts the PCB into the appropriate **queue** (ready queue, wait queue)

4. Select the next process

The **CPU scheduler** selects another process based on:

- Round Robin
- Priority scheduling
- Multilevel feedback queue
- etc.

The chosen process's PCB is fetched.

5. Load the new process state

The OS:

- Restores CPU registers from the new process's PCB
- Restores program counter
- Loads new page table base register
- Loads stack pointer

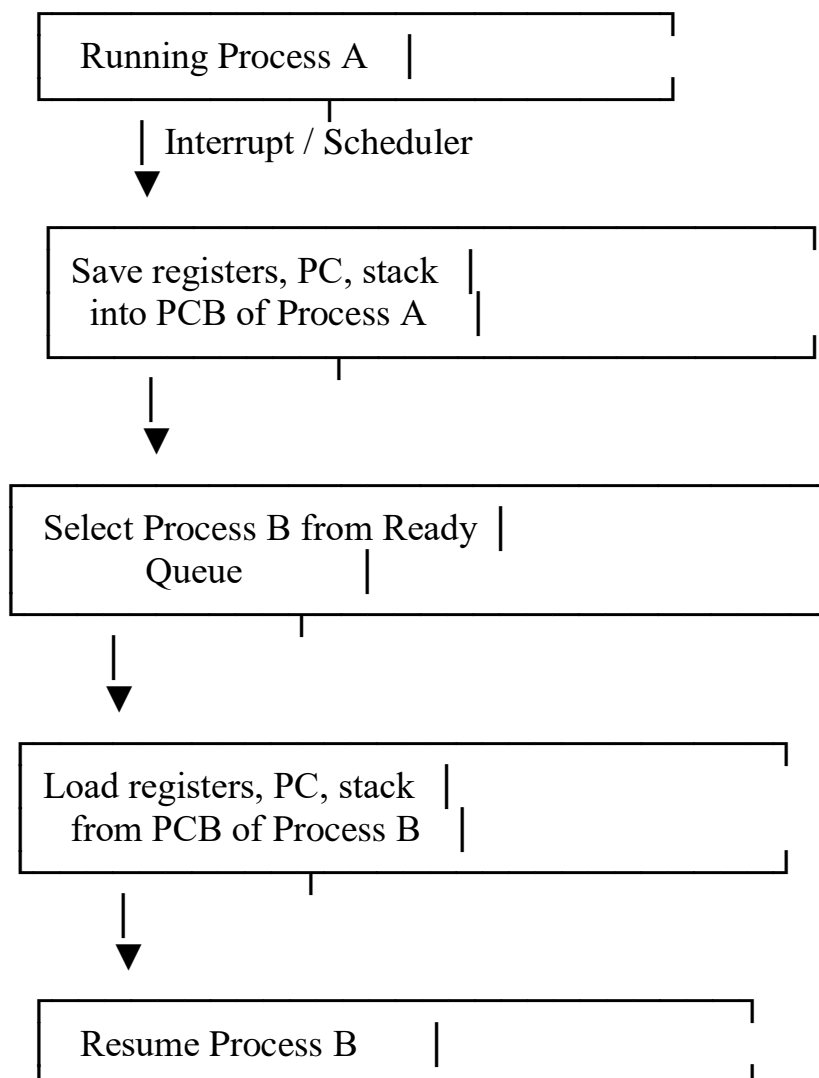
Now the CPU contains the new process's context.

6. Switch to user mode and continue execution

The OS returns from the interrupt/system call.

The CPU resumes execution of the **newly scheduled process** exactly where it left off earlier.

Diagram: Context Switch



Key Points to Remember

- Context switching happens in **kernel mode**
- It is managed by the **scheduler**
- It requires saving and restoring **process context**
- It adds **overhead** (no useful work during switch)
- Faster context switching improves **system performance**

2#Explain what happens during fork

fork() is a system call used in UNIX/Linux to **create a new process** by duplicating the calling process.

The calling process = **parent**

The newly created process = **child**

🔍 Step-by-Step Explanation

1. The OS creates a new process

- A new **Process Control Block (PCB)** is created for the child.
- The child gets:
 - its own PID
 - its own entry in the process table
 - its own scheduling information

2. The child receives a copy of the parent's memory

This includes:

- code (text segment)
- data segment
- heap
- stack

BUT modern OSes use **Copy-on-Write**:

→ Memory is not actually copied immediately.

→ Parent and child *share* pages until one of them writes.

This makes fork() very fast.

3. File descriptors are copied

- The child receives copies of the parent's open file descriptors.
- Both processes now refer to the same open files.

This allows:

- I/O redirection
- Pipelines in shells (`ls | grep abc`)

4. Both processes continue execution

After `fork()`, **both parent and child continue executing the next line of code.**

But they get **different return values**:

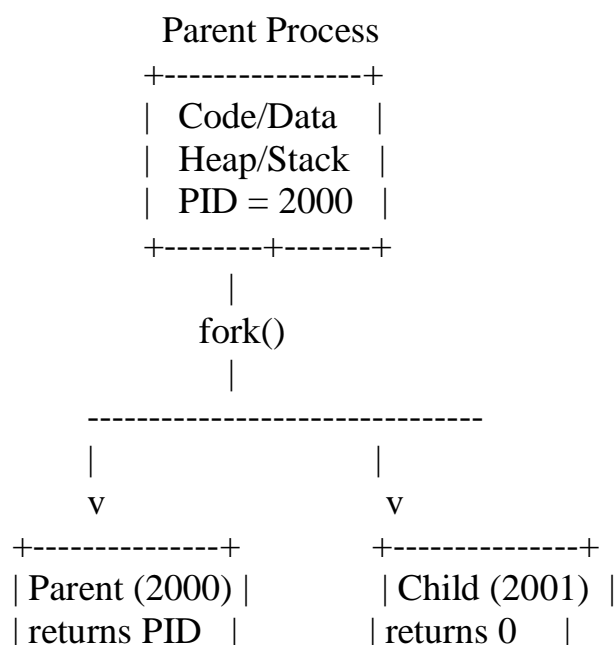
- **Parent receives:** the *child's PID*
- **Child receives: 0**
- If `fork()` fails, parent gets **-1**

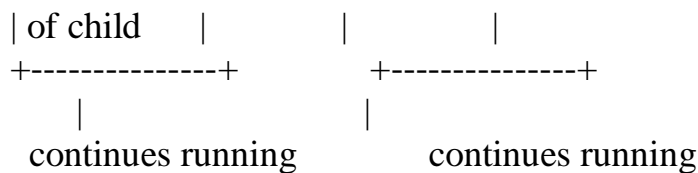
This is how programs know which process is which.

5. Scheduling begins

- Parent and child run independently.
- Either one may run first, depending on the scheduler.

Simple Diagram (Easy for Exam)





Short Exam Answer

The `fork()` system call creates a new process (child) by duplicating the calling process (parent). The OS creates a new PCB, assigns a new PID, and copies the parent's memory space using copy-on-write. File descriptors are inherited, and both processes continue execution after the fork. The parent receives the child's PID, while the child receives 0.

Spring 2024 MID

1#Explain the CPU switch from process with an appropriate diagram

CPU Switch From One Process to Another (Process Switching)

The **CPU switch** occurs when the operating system stops running one process and starts running a different one. This switching allows multitasking even when there is only one CPU.

A process switch happens during:

- Timer interrupt
- I/O interrupt
- System call
- When the scheduler preempts a process
- Higher-priority process becomes ready

This is handled entirely by the **kernel** and requires saving and restoring process states.

Steps of CPU Switching Between Processes

1. Interrupt or System Call Occurs

The running process (say **Process A**) is interrupted. CPU switches from **User Mode** → **Kernel Mode**.

2. Save Context of Current Process

The kernel saves the state of Process A into its **PCB (Process Control Block)**:

- Program Counter (PC)
- CPU registers
- Stack pointer
- Status registers

This saved info is called the **context**.

3. Update Process A State

Process A is moved to:

- Ready queue
 - Waiting queue
- depending on the reason for the switch.

4. CPU Scheduler Chooses Next Process

The scheduler picks another process (say **Process B**) using:

- Round Robin
- Priority scheduling
- MLFQ
- etc.

5. Load Context of Process B

The kernel loads:

- Registers
 - Program counter
 - Stack pointer
- from **PCB of Process B**
into the CPU.

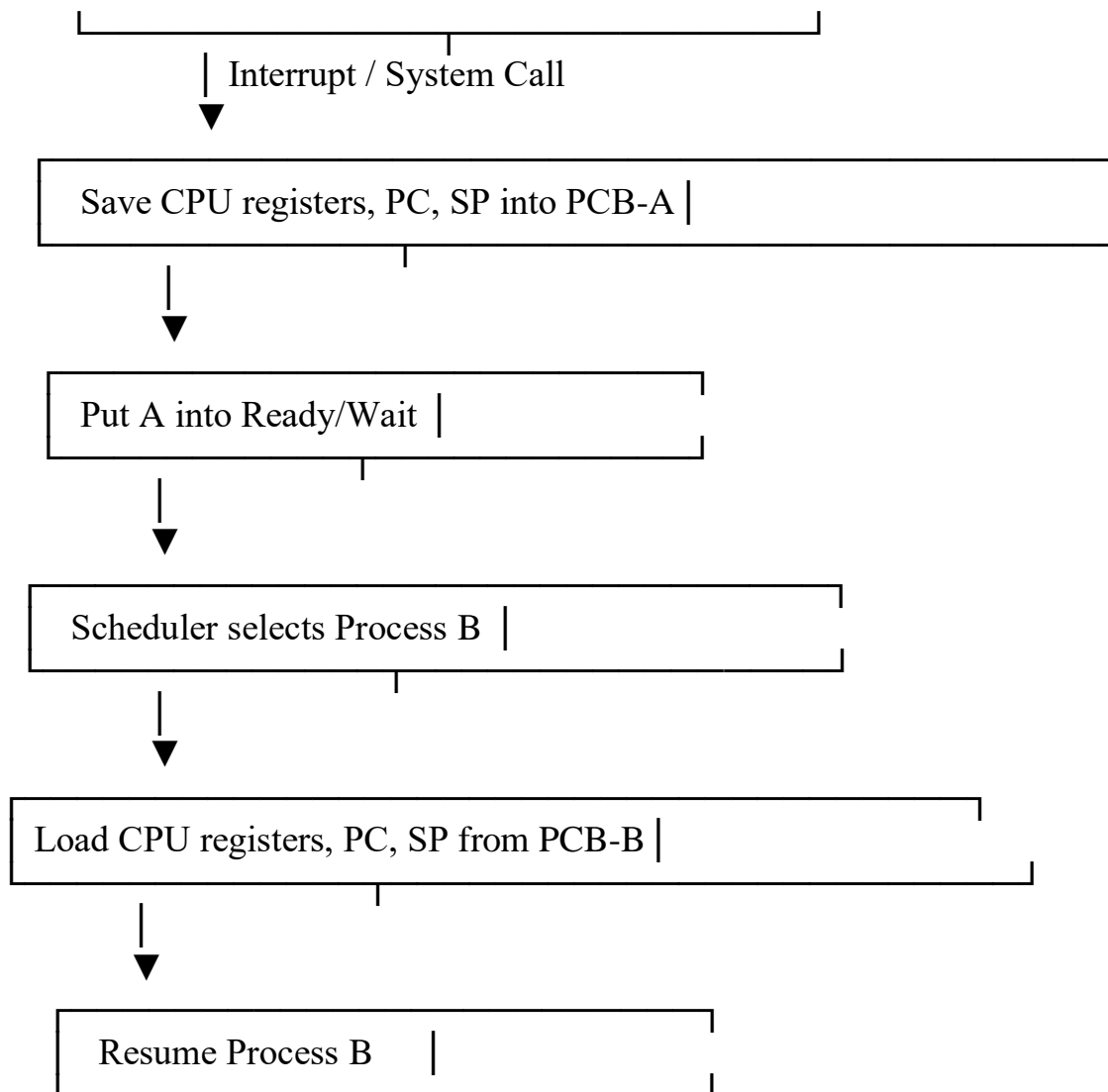
6. Switch to User Mode

The CPU returns to **user mode** and resumes execution of Process B from where it last stopped.

CPU Process Switch Diagram (Simple & Exam-Friendly)



Running Process A



This diagram shows **context save**, **scheduler decision**, and **context restore**.

Summary (Very Short Answer)

A **CPU switch** happens when the OS:

1. Saves the context of Process A into its PCB
2. Chooses another Process B
3. Restores the context of Process B
4. CPU resumes execution of Process B

1# i. Name the basic goals of an operating system ii. Define the term kernel in terms of memory. iii. List the components of an OS iv. Name the functions as the services that provide by the OS v. what is system call?

1. (i) Name the basic goals of an Operating System

The basic goals of an OS are:

1. **Convenience** – Make the computer easy to use.
2. **Efficiency** – Use hardware resources efficiently.
3. **Reliability** – Ensure correct and stable operation.
4. **Security** – Protect data and resources.
5. **Performance** – Provide fast execution and responsiveness.

(For short answers, you can write the first three.)

1. (ii) Define the term Kernel in terms of memory

Kernel is the **core part of the operating system that always stays in memory** while the system is running.

It resides in **protected memory** and controls:

- CPU
- Memory
- Devices
- System calls
- Process scheduling

It is the part of the OS that never gets swapped out.

1. (iii) List the components of an Operating System

The main components of an OS are:

1. **Kernel**
2. **Process Management**
3. **Memory Management**
4. **File System Management**
5. **I/O (Device) Management**

6. **Security & Protection System**
7. **System Programs / Utilities**
8. **User Interface (CLI/GUI)**

1. (iv) Name the functions or services provided by the OS

Operating system provides the following services:

1. **Process Management**
2. **Memory Management**
3. **File Management**
4. **I/O Device Management**
5. **Security & Protection**
6. **Error Detection and Handling**
7. **Networking**
8. **Resource Allocation**
9. **User Interface (shell/GUI)**
10. **Communication between processes (IPC)**

v. What is a System Call?

A **system call** is a **special interface** that allows a user program to request a service from the operating system's kernel.

It provides a safe way for programs to perform operations that require kernel privileges, such as:

- Creating or ending processes
- Reading/writing files
- Allocating memory
- Communicating with devices

System calls act as a **bridge between user mode and kernel mode**.

1#Identify the difference between Kernel,Operating System and Bootstrap program

Component	Definition	Role	When It Runs	Key Features
Operating System (OS)	A collection of programs that manages hardware and software resources for users and applications.	Provides user interface, manages files, memory, processes, I/O, networking.	Runs after booting , stays active while PC is on.	Contains kernel + system programs + utilities.
Kernel	The core part of the OS that directly interacts with hardware.	Manages CPU, memory, devices, system calls.	Always running in kernel mode once OS starts.	Handles scheduling, interrupts, context switching.
Bootstrap Program	A small program stored in ROM that starts the booting process.	Loads the operating system from disk into memory and begins execution.	Runs immediately when computer is powered on.	Also called bootloader or Bootstrapping program

2#State the data structure used in the internal implementation of a kernel

Operating system kernels use several specialized data structures to manage processes, memory, files, and hardware. The most important ones are:

1. Process Control Block (PCB)

- Stores all information about a process.
- Contains:
 - Process ID
 - CPU registers
 - Program counter
 - Process state

- Scheduling info
 - Memory information
- PCBs are usually linked together using **linked lists** or **queues**.

2. Queues (Ready Queue, Wait Queue)

- Used for CPU scheduling.
- Maintain lists of processes that are:
 - Ready to run
 - Waiting for I/O
- Implemented using **linked lists** or **priority queues**.

3. Page Tables

- Used in memory management (virtual memory).
- Map **virtual addresses** → **physical addresses**.
- Implemented as:
 - Multi-level page tables
 - Inverted page tables
 - Hash tables

4. File System Inodes

- Store metadata about files.
- Include file size, owner, timestamps, block pointers.
- Organized in **arrays** or **tables**.

5. Open File Table

- Kernel structure that tracks all open files.
- Implemented using **arrays**, **tables**, and **reference counters**.

6. Device Descriptor Tables

- Kernel stores information about each device driver.
- Implemented using **tables** or **arrays**.

7. Interrupt Vector Table

- Holds the addresses of all interrupt service routines (ISRs).
- Implemented as a **fixed-size array**.

8. Scheduling Data Structures

- Used for choosing the next process.
- Examples:
 - **Priority queues**
 - **Heaps**
 - **Multi-Level Feedback Queues (MLFQ)**

9. Kernel Stack

- Each process has its own kernel stack.
- Implemented using **stack data structure**.

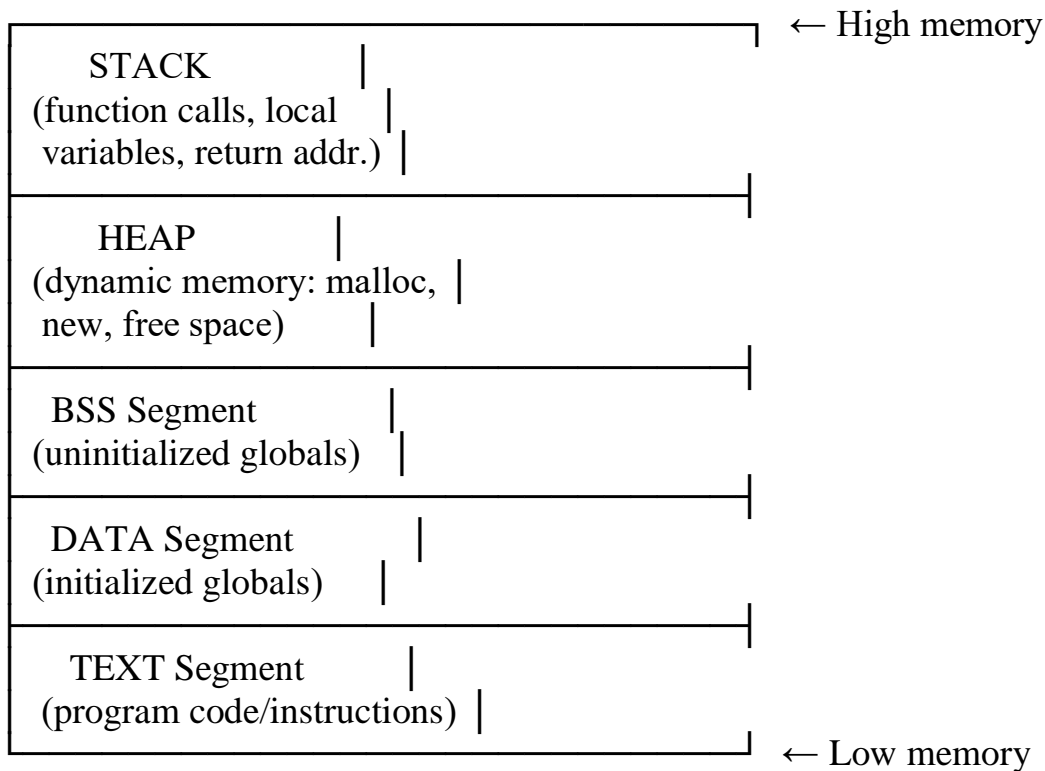
3#Express how a program in execution is stored in memory.

When a program is executed, it becomes a **process**, and the operating system loads it into memory in a well-defined structure called the **process address space**.

This space is divided into logical segments, each serving a different purpose.

Memory Layout of a Running Program

A program in execution is typically stored in memory in the following segments (from low to high address):



Explanation of Each Segment

1. Text Segment (Code Segment)

- Contains the **machine code** (compiled instructions) of the program.
- Usually **read-only** for protection.
- Shared among processes for efficiency.

2. Data Segment

- Stores **initialized global** and **static variables**.
- Values are known before the program starts.

Example:

```
int x = 10;
```

3. BSS Segment (Block Started by Symbol)

- Stores **uninitialized global/static variables**.
- Allocated memory but not stored in the executable.

Example:

```
int y;
```

4. Heap

- Used for **dynamic memory allocation** at runtime.
- Grows upward in memory.
- Managed by calls like:
 - malloc(), calloc() (C)
 - new (C++)

5. Stack

- Stores:
 - Function call frames
 - Local variables
 - Return addresses
 - Parameters
- Grows downward in memory.
- Automatically managed by compiler and CPU.

4#Discuss about Context Switch in OS

A **context switch** is the mechanism by which the operating system **saves the state of the currently running process and loads the state of another process**.

This allows multiple processes to share a single CPU efficiently and enables multitasking.

Definition

A **context switch** is the procedure where the OS switches the CPU from executing one process (or thread) to executing another by saving and restoring their CPU states (context).

What is Context?

The **context** of a process includes:

- Program Counter (PC)
- CPU registers
- Stack pointer
- Process state
- Memory management info
- I/O status info

This information is stored in the **Process Control Block (PCB)**.

Why is Context Switch Needed?

Context switching happens when:

1. A process is interrupted
2. A process finishes its CPU time
3. A higher-priority process arrives
4. A process performs I/O
5. Scheduler decides to preempt the current process

It enables:

- **Multitasking**
- **Process isolation**
- **Fair scheduling**

Steps in a Context Switch

1. Interrupt or trap occurs

The running process stops.

2. Save the context of the current process

The OS saves CPU register values, PC, stack pointer, etc., into the **PCB of Process A**.

3. Scheduler selects the next process

Uses algorithms like:

- Round Robin
- Priority scheduling
- MLFQ

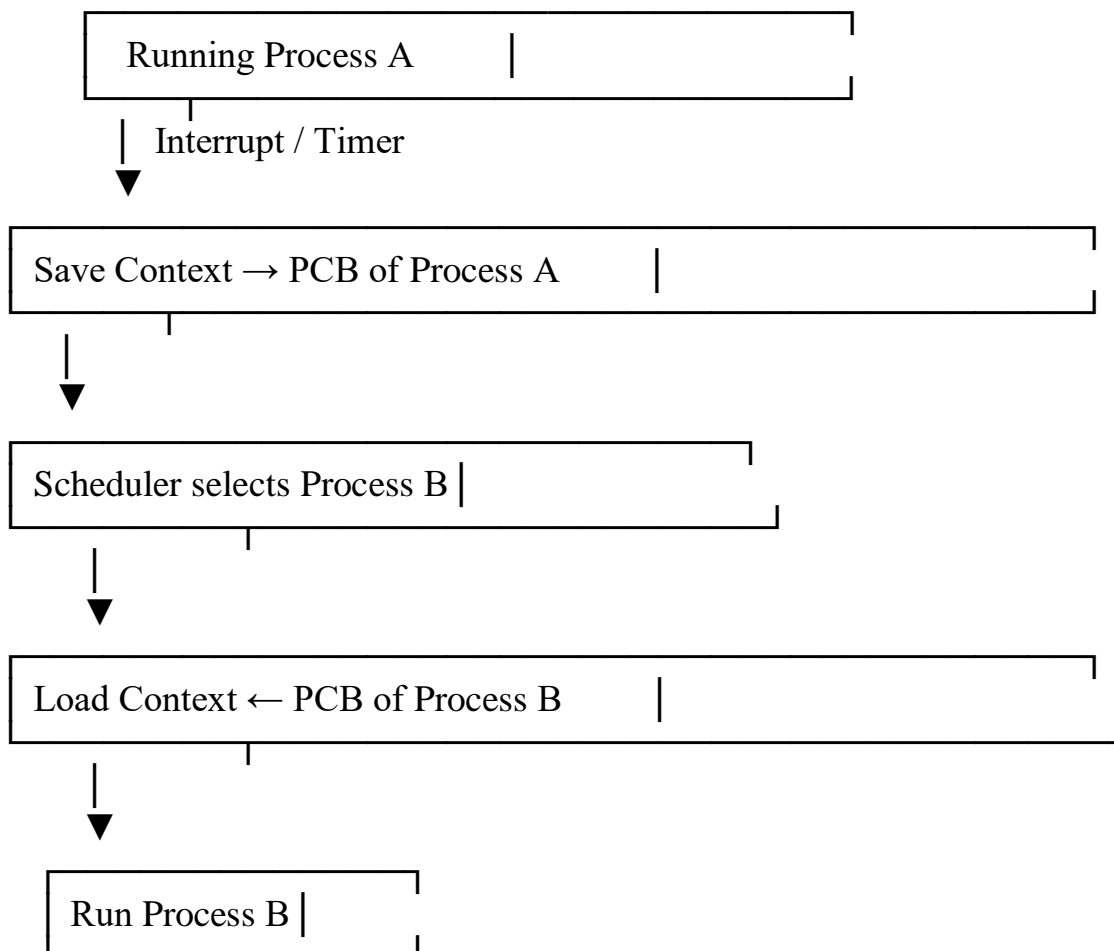
4. Restore context of the next process

The OS loads the saved state from **PCB of Process B** into CPU registers.

5. CPU resumes execution

Execution continues from where Process B previously stopped.

◆ Context Switch Diagram



Overhead of Context Switching

Context switch adds overhead because:

- No useful computation happens during switching.
- It takes time to save/restore states.
- More frequent switching → lower efficiency.

Advantages

- Enables multitasking
- Improves system responsiveness
- Supports multi-user environments
- Allows preemptive scheduling

Disadvantages

- Adds CPU overhead
- Can reduce performance if switching is too frequent
- Complex to implement

Fall 2022 MID

1.a.what is an operating System? Mention some name of operating systems.b.What are the kernel and user modes in operating systems?

ChatGPT said:

Here is a **clear, simple, exam-ready answer** for both parts (a) and (b):

1(a). What is an Operating System?

An **Operating System (OS)** is system software that manages computer hardware and provides services to users and application programs. It works as an interface between the user and the hardware.

Examples of Operating Systems:

- Windows
- Linux
- macOS
- Android
- iOS
- Ubuntu

1(b). What are **Kernel Mode** and **User Mode** in Operating Systems?

The CPU operates in two protection levels when running programs:

Kernel Mode

- Also called **privileged mode** or **supervisor mode**.
- The OS has **full access** to hardware and memory.
- Critical tasks like device control, memory management, and process scheduling are executed here.
- Only the **kernel** runs in this mode.

Features:

- Can execute *all* CPU instructions
- Can access *all* memory locations
- Used for system-level operations

User Mode

- Normal mode in which **applications** run.
- Programs have **limited access** to hardware for safety.
- If they need hardware access, they must use **system calls** to enter kernel mode.

Features:

- Restricted memory access
- Cannot directly interact with hardware
- Provides security by isolating processes

Short Summary (for quick writing in exam)

- **Kernel Mode:** Full privilege, OS runs here, can access all hardware and memory.
- **User Mode:** Limited privilege, application programs run here, must use system calls for OS services.

2.a. What is a process? What are the different states of a process? Explain with a diagram. b. write sort notes on- i. Kernel ii. GUI iii. critical Section iv. Concurrency v. Parallelism

2(a). What is a Process?

A **process** is a **program in execution**.

It includes the program code, current activity, CPU registers, program counter, stack, and allocated memory.

A process is an active entity, while a program is a passive file stored on disk.

Different States of a Process (with Explanation)

Operating systems define several states to represent the lifecycle of a process:

1. New

The process is created but not yet admitted to memory.

2. Ready

The process is loaded into memory and waiting for CPU time.

3. Running

The CPU is currently executing the process.

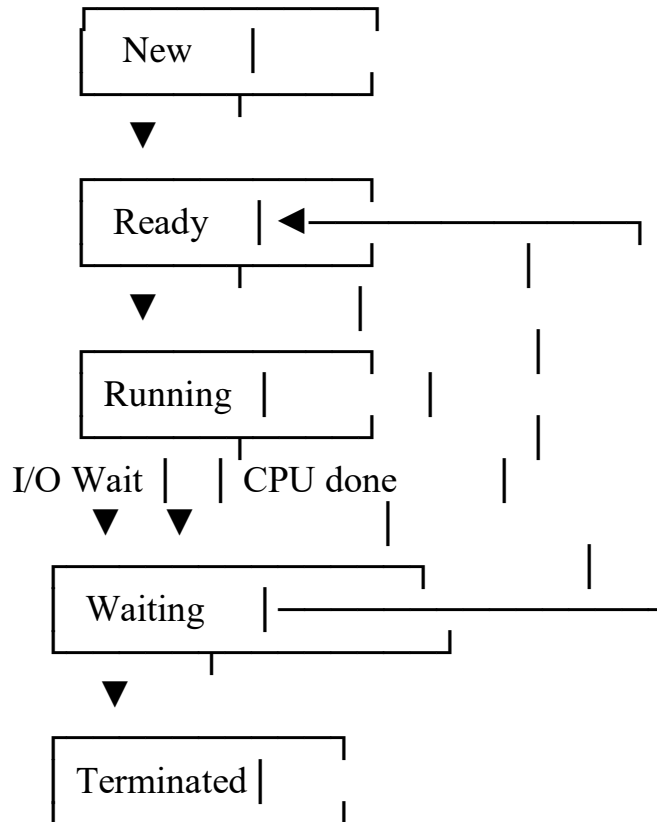
4. Waiting / Blocked

The process is waiting for some event (I/O operation, input, resource).

5. Terminated / Exit

The process has finished execution.

Process State Transition Diagram (Exam-Friendly)



This diagram shows the transitions between New → Ready → Running → Waiting → Terminated.

2(b). Write Short Notes on:

i. Kernel

The **kernel** is the core part of the operating system. It stays in memory and manages:

- CPU scheduling
- Memory management
- File systems
- Device control
- System calls

It runs in **kernel mode** with full privileges.

ii. GUI (Graphical User Interface)

A GUI is a visual interface that allows users to interact using:

- Windows
- Buttons
- Icons
- Menus

Examples: Windows OS desktop, Android interface, macOS UI.
It is user-friendly compared to command-line interfaces.

iii. Critical Section

A **critical section** is a part of a program where shared resources (variables, files, data structures) are accessed.

Only **one process** should execute the critical section at a time to avoid:

- Race conditions
- Data inconsistency

Mechanisms used: semaphores, locks, monitors.

iv. Concurrency

Concurrency means **multiple tasks appear to run at the same time**, but not necessarily simultaneously.

It is achieved using **context switching** on a single CPU.

Example: Two processes share CPU time slices.

v. Parallelism

Parallelism means **multiple tasks actually run at the same time**, using **multiple CPUs or cores**.

Example:

- Multi-core processors running different tasks simultaneously
- GPU executing many threads in parallel

Concurrency = logical parallelism

Parallelism = true simultaneous execution

Spring 2022

1.a. What is critical section problem? Define the requirements for the critical section problem solution. Explain mutual exclusion using Semaphore..

1(a). What is the Critical Section Problem?

A **critical section** is a part of a process where **shared resources** (variables, files, buffers, data structures) are accessed.

The **Critical Section Problem** is the challenge of ensuring that **only one process executes its critical section at a time**, so that:

- No race conditions occur
- Data remains consistent
- Processes do not interfere with each other

The OS must provide a mechanism so that multiple processes can cooperate safely.

Requirements for a Correct Critical Section Solution

A valid solution to the critical section problem must satisfy **three requirements**:

1. Mutual Exclusion

Only **one** process can be inside its critical section at a time.

If Process P is inside its critical section, no other process Q may enter.

2. Progress

If no process is inside the critical section, and multiple processes want to enter, **the selection of the next process cannot be postponed indefinitely**.

Only the processes that are not in their remainder section should participate in the decision.

3. Bounded Waiting (Fairness)

After a process requests entry into the critical section, there must be a limit on the number of times other processes can enter before it.

This prevents **starvation**.

Summary of Requirements

- **Mutual Exclusion** → Only one process at a time
- **Progress** → No unnecessary delays
- **Bounded Waiting** → No starvation

Explain Mutual Exclusion Using Semaphore

Semaphore Basics

A **semaphore** is a synchronization variable used to control access to shared resources.

Two main operations:

- **wait()** (also called P or down)
- **signal()** (also called V or up)

These operations are atomic (cannot be interrupted)

Binary Semaphore for Mutual Exclusion

A **binary semaphore** (mutex) ensures only one process enters the critical section.

Initialize the semaphore:

```
mutex = 1
```

Process Code

```
do {  
    wait(mutex);    // Enter critical section (lock)  
  
    // ----- Critical Section -----  
    // Access and modify shared resources  
  
    signal(mutex);  // Exit critical section (unlock)  
  
    // ----- Remainder Section -----  
} while(true);
```

How Mutual Exclusion Works Here

When a process executes wait(mutex):

- If mutex == 1, it becomes **0**, and the process enters the critical section.
- If mutex == 0, the process is **blocked** and put in a waiting queue.

When the process finishes and calls signal(mutex):

- mutex becomes **1**, allowing another waiting process to enter.
- Only one process can enter at any time → **mutual exclusion ensured**.

Why Semaphores Solve the Critical Section Problem?

- wait() and signal() are atomic → no race condition can occur.
- Only one process can reduce mutex from 1 to 0 → ensures **mutual exclusion**.
- Processes do not wait unnecessarily → meets **progress**.
- Queue-based implementation ensures **bounded waiting**.