



NSE Institute

**DO NOT REPRINT
© FORTINET**

FortiGate Security Lab Guide

for FortiOS 5.6.2

Fortinet Training

<http://www.fortinet.com/training>

Fortinet Document Library

<http://docs.fortinet.com>

Fortinet Knowledge Base

<http://kb.fortinet.com>

Fortinet Forums

<https://forum.fortinet.com>

Fortinet Support

<https://support.fortinet.com>

FortiGuard Labs

<http://www.fortiguard.com>

Fortinet Network Security Expert Program (NSE)

<https://www.fortinet.com/support-and-training/training/network-security-expert-program.html>

Feedback

Email: courseware@fortinet.com



TABLE OF CONTENTS

Virtual Lab Basics	9
Network Topology	9
Lab Environment	9
System Checker	10
Logging In	11
Disconnections and Timeouts	15
Transferring Files to the VM	15
Screen Resolution	15
International Keyboards	16
Student Tools: View Broadcast and Raise Hand	16
Troubleshooting Tips	17
Lab 1: Introduction to FortiGate	19
Exercise 1: Working With the Command Line Interface	20
Explore the CLI	20
Exercise 2: Configuration Backups	23
Restore Configuration From a Backup	23
Back Up and Encrypt a Configuration File	25
Restore an Encrypted Configuration Backup	26
Compare the Headers of Two Configuration Files	26
Exercise 3: Configuring Administrator Accounts	28
Configure a User Administrator Profile	28
Create an Administrator Account	28
Test the New Administrator Account	29
Restrict Administrator Access	29
Test the Restricted Access	30
Lab 2: Firewall Policies	32
Exercise 1: Creating Firewall Address Objects and Firewall Policies	33
Create Firewall Address Objects	33
Create a Firewall Policy	33
Test the Firewall Policy and View Generated Logs	35
Exercise 2: Reordering Firewall Policies and Firewall Policy Actions	37
Create a Firewall Policy	37
Add the Policy ID Column	38

DO NOT REPRINT

© FORTINET

Test the Reordering of a Firewall Policy.....	39
Exercise 3: Device Identification.....	41
Disable the Existing Firewall Policy.....	41
Configure and Test Device Identification.....	41
Modify the Implicit Deny Firewall Policy.....	43
Reconfigure Device Identification.....	44
View the Details of an Identified Device.....	44
Add an Identified Device to the Configuration File.....	45
Add a Custom Device to the Firewall Policy.....	46
Exercise 4: Internet Service Database (ISDB) Objects as Destination.....	48
Review the Internet Service Database.....	48
Configure a Firewall Policy Destination as an Internet Service Database Object.....	48
Test the Internet Service Firewall Policy.....	50
Exercise 5: Policy Lookup.....	51
Enable Existing Firewall Policies.....	51
Set Up and Test the Policy Lookup Criteria.....	51
Reorder the Firewall Policies.....	52
Retest Policy Lookup After Reordering the Firewall Policies.....	53
Lab 3: Network Address Translation (NAT).....	55
Exercise 1: Access Through VIPs.....	57
Create a VIP.....	57
Create a Firewall Policy.....	58
Test the VIP Firewall Policy.....	59
Test the Source NAT.....	60
Exercise 2: Dynamic NAT With IP Pools.....	62
Create an IP Pool.....	62
Edit a Firewall Policy to Use the IP Pool.....	62
Test Dynamic NAT with IP Pools.....	63
Exercise 3: Configure Central SNAT.....	66
Test SNAT Without an SNAT Policy.....	67
Configure Central SNAT Policy.....	68
Verify that NAT is Enabled on the Firewall Policy.....	69
Test Central SNAT in the Presence of an SNAT Policy.....	70
Create a Second IP Pool.....	71
Create a Second SNAT Policy.....	72
Reorder Central SNAT Policies.....	73
Test Central SNAT.....	73
Exercise 4: DNAT and VIPs.....	76
Create DNAT and VIPs.....	76
Verify the Firewall Policy Settings.....	77
Testing DNAT and VIPs.....	77

DO NOT REPRINT

© FORTINET

Lab 4: Firewall Authentication.....	79
Exercise 1: Configuring Remote Authentication.....	80
Configure an LDAP Server on FortiGate.....	80
Assign an LDAP User to a Firewall Group.....	81
Add the Remote User Group to Your Firewall Policy.....	83
Authenticate and Monitor.....	84
Exercise 2: Configuring Captive Portal.....	88
Create a User Group for Captive Portal.....	88
Enable Captive Portal.....	88
Enable the Disclaimer Message.....	89
Authenticate and Monitor.....	89
Lab 5: Logging and Monitoring.....	92
Exercise 1: Configuring Log Settings.....	94
Configure Log Settings.....	94
Configure Threat Weight.....	96
Exercise 2: Enabling Logging on Firewall Policies.....	98
Enable Logging on a Firewall Policy.....	98
Exercise 3: Monitoring Logs Through Alert Email.....	101
Configure Alert Emails.....	101
Generate Traffic.....	101
Generate Traffic Through FIT.....	102
Generate Traffic Through Nikto.....	103
View Alert Emails.....	105
Exercise 4: Viewing Logs on the FortiGate GUI.....	107
View Logs from Log & Report.....	107
Forward Traffic.....	107
Security Profile Logs.....	109
View and Filter IPS Logs.....	110
View Logs in FortiView.....	111
Lab 6: Certificate Operations.....	113
Exercise 1: Configuring SSL Deep Inspection on Outbound Traffic.....	115
Configure SSL Inspection.....	115
Enable SSL Inspection on a Firewall Policy.....	115
Install the Fortinet_CA_SSL Certificate.....	116
Test Full SSL Inspection.....	119
Exercise 2: Configuring SSL Deep Inspection on Inbound Traffic.....	120
Configure a Virtual IP and Firewall Policy.....	120
Install the Training CA Certificate.....	121
Configure Inbound SSL Deep Inspection.....	126
Lab 7: Web Filtering.....	129
Exercise 1: Configuring FortiGuard Web Filtering.....	131

DO NOT REPRINT

© FORTINET

Review the FortiGate Settings.....	131
Determine Web Filter Categories.....	132
Configure a FortiGuard Category-Based Web Filter.....	133
Apply the Web Filter Profile to a Firewall Policy.....	136
Test the Web Filter.....	136
Create a Web Rating Override.....	138
Test the Web Rating Override.....	138
Exercise 2: Setting Up Web Filtering Authentication.....	140
Set Up the Authenticate Action.....	140
Define Users and Groups.....	141
Test the Authenticate Action.....	142
Exercise 3: Web Profile Overrides.....	144
Configure Web Profile Overrides.....	144
Test the Web Profile Override.....	144
Lab 8: Application Control.....	147
Exercise 1: Controlling Application Traffic.....	148
Configure Filter Overrides.....	148
Apply the Application Control Profile to the Firewall Policy.....	150
Test the Application Control Profile.....	150
Configure Application Overrides.....	151
Test Application Overrides.....	152
View Logs.....	152
Exercise 2: Controlling Application Bandwidth Usage.....	154
Modify Application Overrides Action.....	154
Configure a Traffic Shaping Policy.....	154
Test Traffic Shaping.....	156
Exercise 3: Implementing Application Control in NGFW Policy-Based Mode.....	158
Restore the Configuration File.....	158
Apply Application Control in NGFW Policy-Based Mode.....	158
Test Application Control.....	160
Lab 9: Antivirus.....	162
Exercise 1: Using Antivirus Scanning in Flow-Based Inspection Mode.....	163
Configure the Antivirus Profile in Flow-Based Inspection Mode.....	163
Review the Flow-Based Antivirus Profile.....	164
Enable the Antivirus Profile on a Firewall Policy.....	164
Test the Antivirus Configuration.....	165
Test an alternate download method.....	165
View the Antivirus Logs.....	167
Enable SSL Inspection on a Firewall Policy.....	168
Exercise 2: Configuring Proxy-Based Antivirus Scanning.....	170
Change the FortiGate Inspection Mode.....	170

DO NOT REPRINT

© FORTINET

Review the Antivirus Profile in Proxy-Based Inspection Mode.....	170
Enable the Antivirus Profile on a Firewall Policy.....	171
Test the Proxy-Based Antivirus Profile.....	172
View the Antivirus Logs.....	173
Lab 10: Intrusion Prevention System (IPS) and Denial of Service (DoS).....	175
Exercise 1: Blocking Known Exploits.....	176
Configure IPS Inspection.....	176
Apply an IPS Sensor to a VIP Firewall Policy.....	177
Generate Attacks from the Linux Server.....	180
Monitor the IPS.....	180
Tune the IPS Sensor.....	182
Verify the IPS Sensor Tuning.....	184
View the IPS logs.....	184
Exercise 2: Using Rate Based IPS Signatures.....	186
Apply Rate Based Signatures.....	186
Test the Rate Based Signature.....	187
Exercise 3: Mitigating a DoS Attack.....	189
Create a DoS Policy.....	189
Test the DoS Policy.....	190
Lab 11: SSL-VPN.....	192
Exercise 1: Configuring Web Mode SSL-VPN.....	193
Configure the SSL-VPN Settings.....	193
Create a Firewall Policy for SSL-VPN.....	194
Test the SSL-VPN Access.....	195
Add an Admin-Based Bookmark to the SSL-VPN Portal.....	197
Test SSL-VPN Access Using the Predefined Bookmark.....	198
Examine the Web Mode Mechanism (Reverse HTTP Proxy).....	199
Monitor an SSL-VPN User.....	200
Exercise 2: Configuring SSL-VPN Tunnel Mode.....	201
Add Tunnel Mode.....	201
Configure the Routing for Tunnel Mode.....	201
Configure FortiClient for SSL-VPN connections.....	202
Test SSL-VPN in Tunnel Mode.....	203
Review VPN Events.....	205
Lab 12: Dialup IPsec VPN.....	207
Exercise 1: Configuring a Dialup IPsec VPN Between Two FortiGate Devices.....	209
Create Phases 1 and 2 on Local-FortiGate (Dialup Server).....	209
Create Firewall Policies for VPN Traffic on Local-FortiGate (Dialup server).....	211
Create Phases 1 and 2 on Remote-FortiGate (Dialup Client).....	212
Create a Static Route for Route-Based VPN on Remote-FortiGate (Dialup Client).....	215
Create the Firewall Policies for VPN Traffic on Remote-FortiGate (Dialup Client).....	216

DO NOT REPRINT

© FORTINET

Exercise 2: Testing and Monitoring the VPN	218
Exercise 3: Creating an IPsec VPN Between FortiGate and FortiClient	220
Configure a Dialup VPN.....	221
Configure FortiClient for Dialup VPN.....	223
Connect to the Dialup VPN.....	224
Check the IP Address and Route Added to the Remote-Windows VM.....	225
Test the Dialup VPN.....	226
Disconnect the Dialup VPN.....	227
Lab 13: Data Leak Prevention (DLP)	228
Exercise 1: Blocking Files by File Type.....	229
Enable DLP.....	229
Configure the DLP Sensor and DLP Filter.....	229
Apply a DLP Sensor to a Firewall Policy.....	231
Test the DLP Sensor.....	232
Check the DLP Logs.....	232
Exercise 2: Quarantining IP Addresses.....	234
Quarantine an IP Address.....	234
Test the Quarantined IP Address.....	234
Remove a Quarantined IP Address From the Banned Entry List.....	235
Exercise 3: DLP Fingerprinting.....	236
Configure a DLP Filter for the Network Share.....	236
Add a File to the Network Share.....	237
Test DLP Fingerprinting.....	238
Modify a File in the Network Share.....	239
Test DLP Fingerprinting With the Modified File.....	240

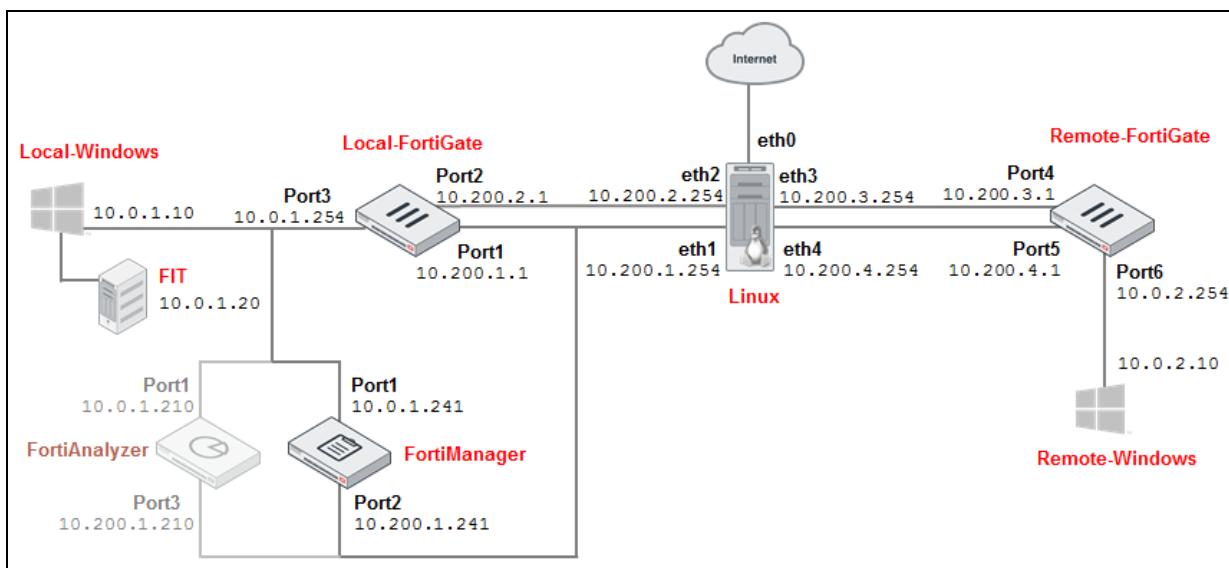
Virtual Lab Basics

In this course, you will use a virtual lab for hands-on exercises. This section explains how to connect to the lab and its virtual machines. It also shows the topology of the virtual machines in the lab.



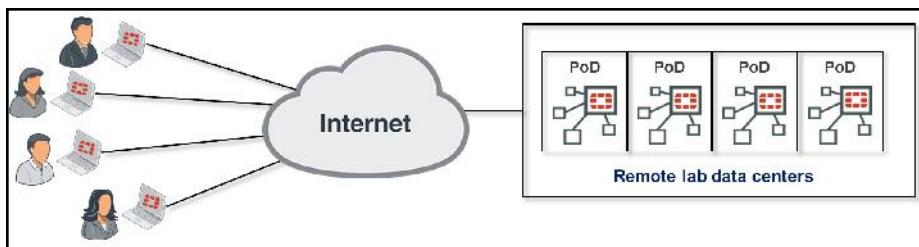
If your trainer asks you to use a different lab, such as devices physically located in your classroom, then ignore this section. This section applies only to the virtual lab accessed through the Internet. If you do not know which lab to use, please ask your trainer.

Network Topology



Lab Environment

Fortinet's virtual lab for hands-on exercises is hosted on remote datacenters that allow each student to have their own training lab environment or point of deliveries (PoD).



System Checker

Before starting any course, check if your computer can connect to the remote datacenters successfully. The System Checker fully verifies if your network connection and your web browser can support a reliable connection to the virtual lab.

You do not have to be logged in to the lab portal in order to run the System Checker.

To run the System Checker

1. Click the URL for your location:

Region	System Checker
AMER - North and South America	https://remotelabs.training.fortinet.com/training/syscheck/?location=NAM-West
EMEA - Europe, Middle East and Africa	https://remotelabs.training.fortinet.com/training/syscheck/?location=Europe
APAC - Asia and Pacific	https://remotelabs.training.fortinet.com/training/syscheck/?location=APAC

If your computer connects successfully to the virtual lab, the **Browser Check** and **Network Connection Check** each display a check mark icon. You can then proceed to log in.

If either of the tests fail:

- **Browser Check:** This affects your ability to access the virtual lab environment.
- **Network Connection Check:** This affects the usability of the virtual lab environment.

For solutions, click the **Support Knowledge Base** link, or ask your trainer.

Check My System

The Hatsize System Checker will now attempt to determine whether your computer is capable of connecting to

Browser Check

HTML5-based classes are supported.

Result: You are able to run classes on your machine.

Network Connection Check

Europe

Connected to Hatsize successfully

Latency (ms)

Bandwidth (<B/s)

Result: Your network connection supports optimal performance.

For more information, please visit the [Support Knowledge Base](#).

LOGIN

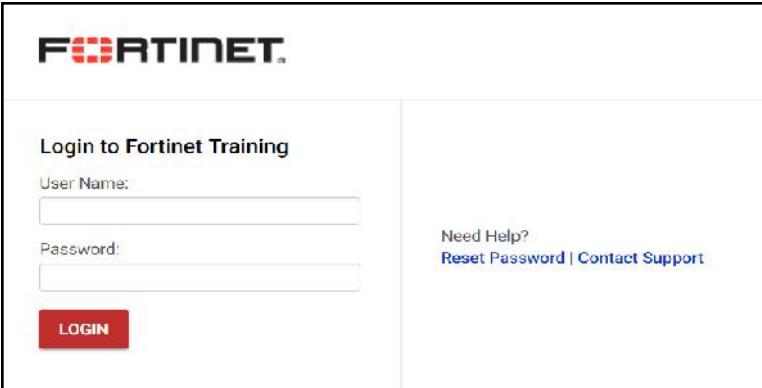
Logging In

After you use the system checker to confirm that your system can run the labs successfully, you can proceed to log in.

To log on to the remote lab

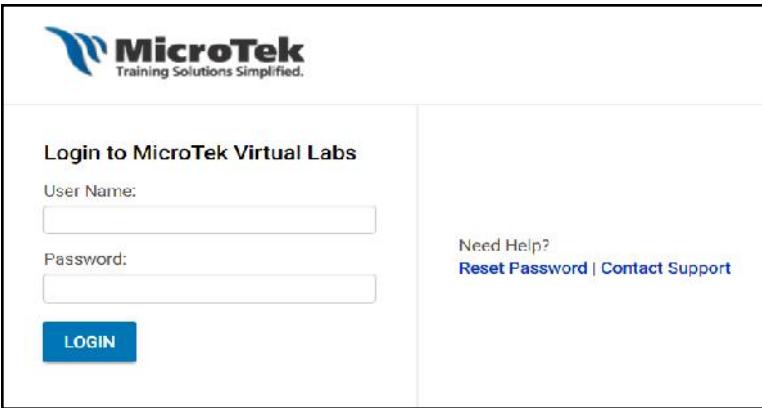
1. Using the user name and password provided by your trainer, do one of the following:
 - On the **Check My System** result screen, click **LOGIN**.
 - Go to the URL for the virtual lab provided by your trainer, and then click **LOGIN**:

<https://remotelabs.training.fortinet.com/>



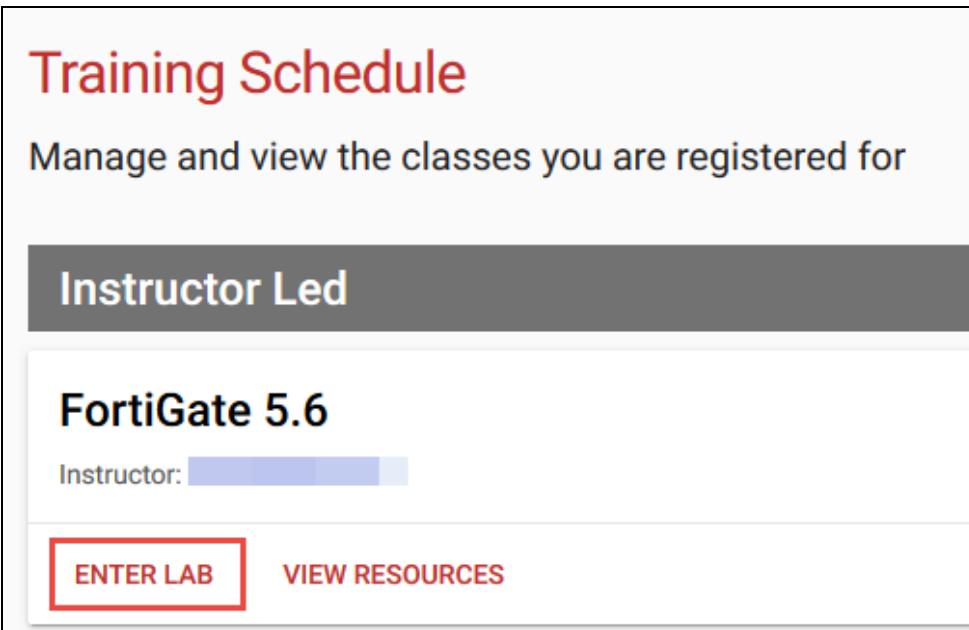
The screenshot shows the Fortinet Training login interface. At the top is the Fortinet logo. Below it, the text "Login to Fortinet Training" is displayed. There are two input fields: "User Name:" and "Password:", each with a corresponding text input box. A red "LOGIN" button is located below the password field. In the top right corner, there is a link "Need Help?" followed by "Reset Password | Contact Support".

- <https://virtual.mclabs.com/>



The screenshot shows the MicroTek Virtual Labs login interface. At the top is the MicroTek logo with the tagline "Training Solutions Simplified.". Below it, the text "Login to MicroTek Virtual Labs" is displayed. There are two input fields: "User Name:" and "Password:", each with a corresponding text input box. A blue "LOGIN" button is located below the password field. In the top right corner, there is a link "Need Help?" followed by "Reset Password | Contact Support".

2. If prompted, select the time zone for your location, and then click **Update**.
This ensures that your class schedule is accurate.
3. Click **Enter Lab**.

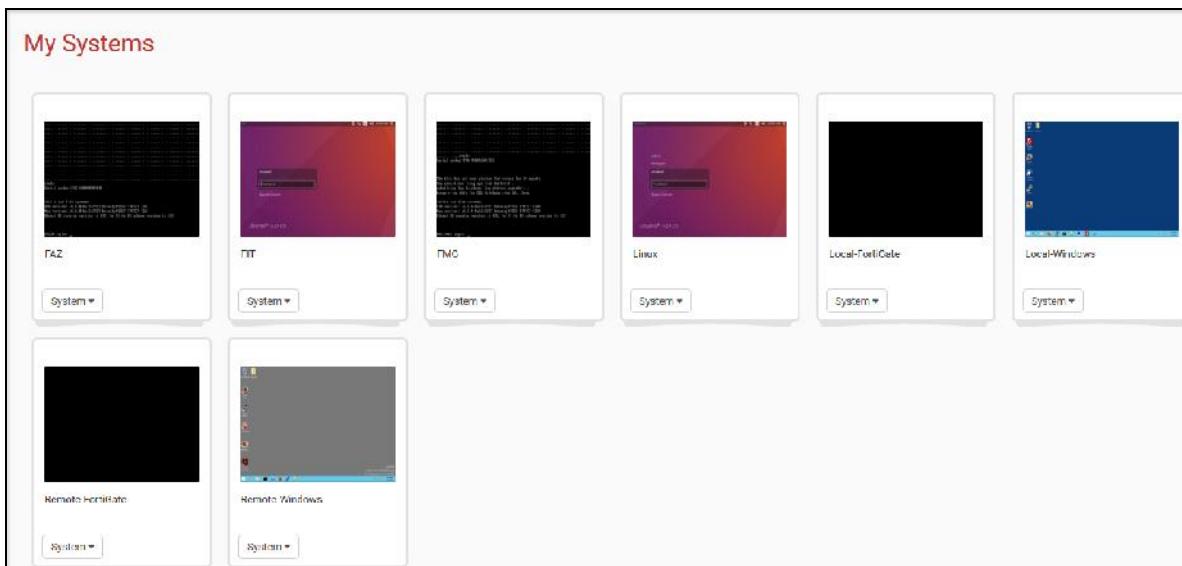


The screenshot shows the "Training Schedule" dashboard. The title "Training Schedule" is at the top in large red font, followed by the sub-instruction "Manage and view the classes you are registered for". Below this is a dark grey bar containing the text "Instructor Led". Underneath is a section for "FortiGate 5.6" which includes the text "Instructor: [REDACTED]" and two buttons: "ENTER LAB" (in a red box) and "VIEW RESOURCES".

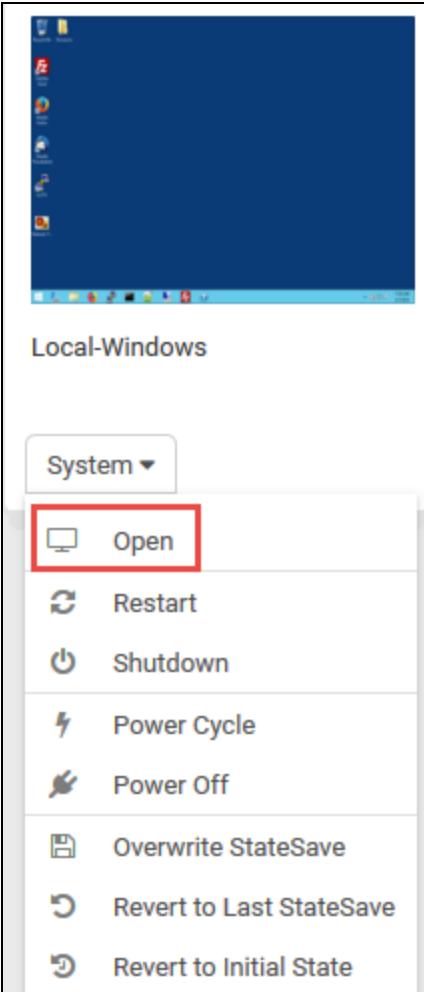
Your system dashboard appears, listing the virtual machines (VM) in your lab topology.

4. To open a VM, on the dashboard, do one of the following:

- Click a VM's thumbnail.



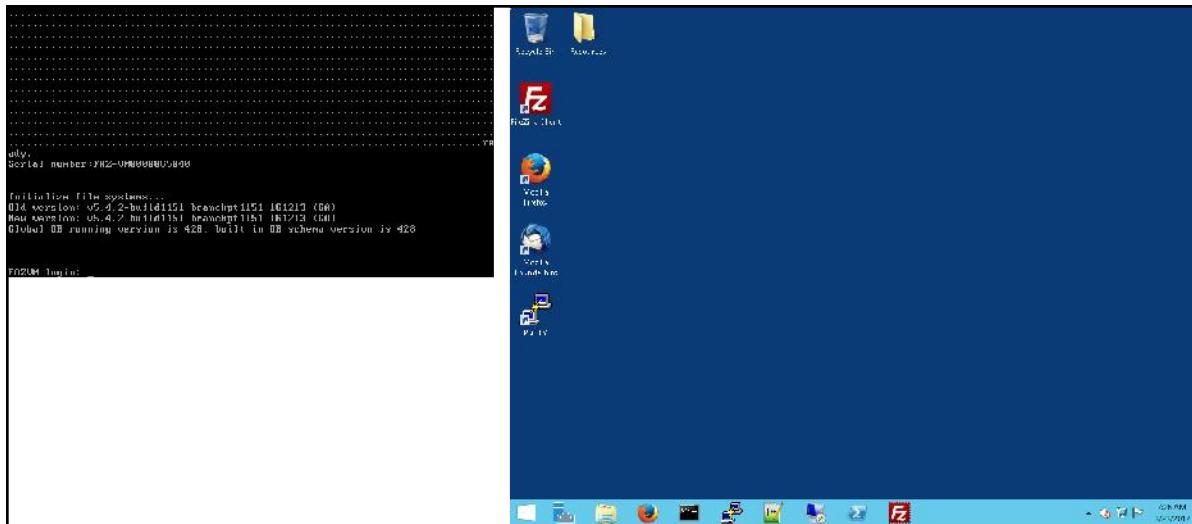
- In the **System** drop-down list for the VM you want to open, select **Open**.



Follow the same procedure to access any of your VMs.

A new web browser tab opens, granting you access to the virtual device. When you open a VM, your browser uses HTML5 to connect to it.

Depending on the VM you select, the web browser provides access to either a text-based CLI or the GUI.



Connections to the **Local-Windows** VM use a GUI similar to a remote desktop. When you use a web-based connection, you are logged in automatically and the Windows desktop opens.

For most lab exercises, you will connect to the **Local-Windows** VM.

Disconnects and Timeouts

If your computer's connection to the VM times out or closes, to regain access, return to the window or tab that contains the list of VMs for your session and reopen the VM.

If that fails, see [Troubleshooting Tips on page 17](#).

Transferring Files to the VM

If you store files in a cloud service such as Dropbox or SugarSync, you can use the web browser to download the files to your **Local-Windows** VM.

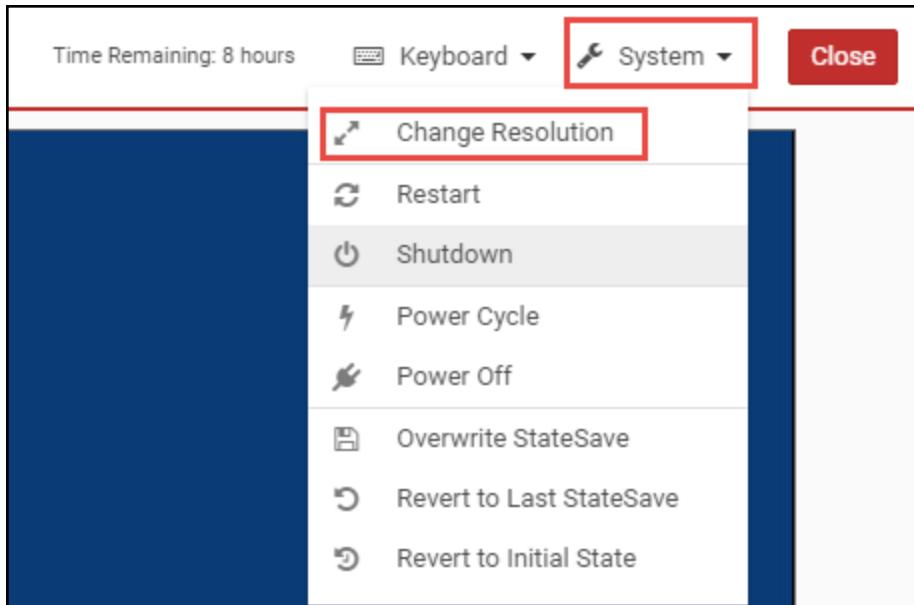
On the VM, you can use a web browser to upload the files to the Fortinet VM GUI.

After you connect your computer to a VM, a web browser opens in a new applet window.

Screen Resolution

The GUIs of some Fortinet devices require a minimum screen size.

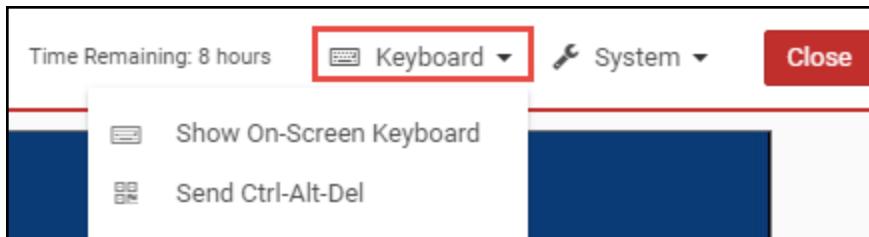
To configure screen resolution in the HTML5 client, open the **System** menu.



International Keyboards

If characters in your language don't display correctly, keyboard mappings may not be correct.

To solve this, at the top of GUI, in the **Keyboard** drop-down list, select **Show On-Screen Keyboard**.

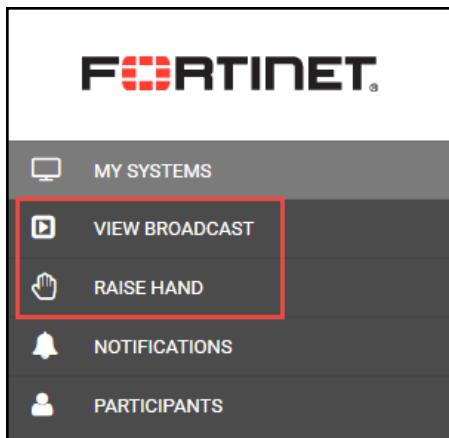


Student Tools: View Broadcast and Raise Hand

Your instructor can broadcast the lab systems to allow students to see ongoing tasks in real time. When an instructor begins a broadcast, you will receive an alert at the top of all open lab pages.

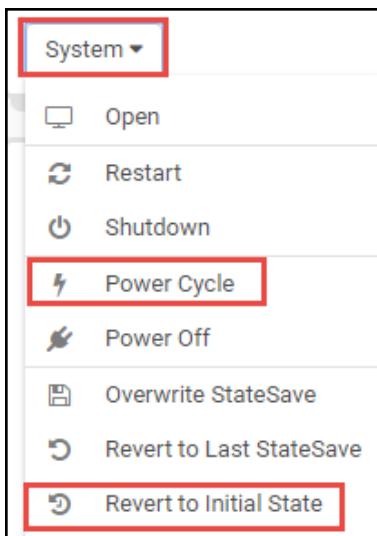
To accept and view the broadcast, click the notification message or, on the left side of the window, click **View Broadcast**.

If you have a question or comment, on the left side of the window, click **Raise Hand**. Your instructor will be notified and will assist you.



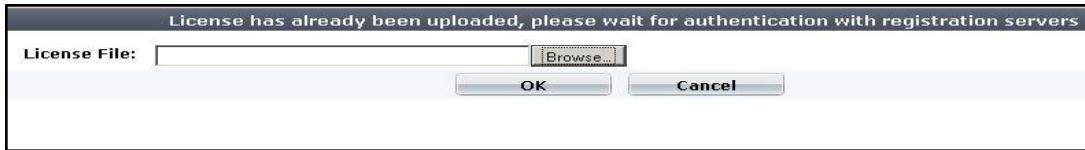
Troubleshooting Tips

- *Do not* connect to the virtual lab environment through Wi-Fi, 3G, VPN tunnels, or other low-bandwidth or high-latency connections.
- For best performance, use a stable broadband connection, such as a LAN.
- Prepare your computer's settings by disabling screen savers and changing the power saving scheme so that your computer is always on, and does not go to sleep or hibernate.
- If the connection to any VM or the virtual lab portal closes unexpectedly, try to reconnect. If you can't reconnect, notify the instructor.
- If you can't connect to a VM, on the dashboard, right-click the VM, and then select **System > Power Cycle**, to force the VM to start up. This fixes most problems. If it does not solve the problem, select **System > Revert to Initial State**, to return the VM to its initial state.



Reverting to the VM's initial snapshot will undo all of your work. Try other solutions first.

- During the labs, if the FortiGate VM is waiting for a response from the authentication server, a license message similar to the following example appears:



To retry immediately, on the CLI, enter the following command:

```
execute update-now
```

Lab 1: Introduction to FortiGate

In this lab, you will learn about the FortiGate administration through the CLI and GUI. You will also back up and restore a configuration file, as well as create a new administrator account and modify administrator access permissions.

Objectives

- Access the FortiGate CLI.
- Back up and restore configuration files.
- Locate the FortiGate model and FortiOS firmware build in a configuration file.
- Create a new administrator user.
- Restrict administrator access.

Time to Complete

Estimated: 25 minutes

Exercise 1: Working With the Command Line Interface

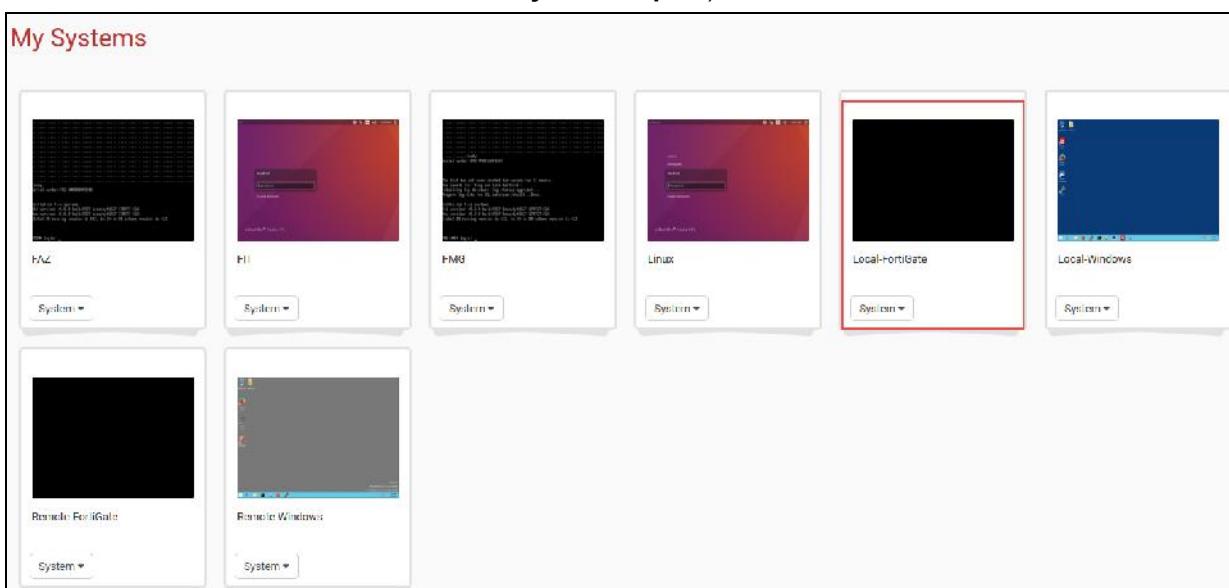
In this exercise, you will access a FortiGate device using the command line interface (CLI).

Explore the CLI

The next steps will help you get familiar with the FortiGate CLI.

To explore the CLI

1. In the virtual lab portal, click the Local-FortiGate VM to open the FortiGate console. (Alternatively, in the drop-down list below the Local-FortiGate VM, click **System > Open**.)



2. At the login prompt, enter `admin`.
3. When prompted for the password, press Enter (no password is required for this user).
4. Enter the following command:

```
get system status
```

This command displays basic status information about FortiGate. The output includes FortiGate's serial number, operation mode, and so on. When the `More` prompt appears on the CLI, do one of the following:

To continue scrolling	Space bar
To scroll one line at a time	Enter
To exit	Q

- Enter the following command:

```
get ?
```



The ? character is not displayed on the screen.

This command shows all of the options that the CLI will accept after the # get command. Depending on the command, you may need to enter additional words to completely specify a configuration option.

- Press the up arrow key twice.

This displays the previous get system status command.

- Try some of the control key sequences shown in the following table:

Action	Command
Previous command	Up Arrow
Next command	Down Arrow
Beginning of line	CTRL+A
End of line	CTRL+E
Back one word	CTRL+B
Forward one word	CTRL+F
Delete current character	CTRL+D
Clear screen	CTRL+L
Abort command and exit	CTRL+C
Auto repeat history	CTRL+P

- Enter the following command:

```
execute ?
```

This command lists all options that the CLI will accept after the execute command.

- Type exe, and then press the Tab key.

Notice that the CLI completes the current word.

- Press the space bar and then press the Tab key three times.

Each time you press the Tab key, the CLI replaces the second word with the next possible option for the execute command, in alphabetical order.



You can abbreviate most commands. In presentations and labs, many of the commands that you see will be in abbreviated form. For example, instead of typing `execute`, you can type `exe`.

Use this technique to reduce the number of keystrokes that are required to enter a command. Often, experts can configure FortiGate faster using the CLI than the GUI.

If there are other commands that start with the same characters, your abbreviation must be long enough to be specific, so that FortiGate can distinguish them. Otherwise, the CLI displays an error message about ambiguous commands.

11. On a fresh line, enter the following command to view the port3 interface configuration (hint: try using the shortcuts you just learned about):

```
show system interface port3
```

12. Enter the following command:

```
show full-configuration system interface port3
```

Stop and think!

Compare both outputs. How are they different?

The `show full-configuration` command displays all the configuration settings for the interface. The `show` command displays only those values that are different from the default values.

Exercise 2: Configuration Backups

In this exercise, you will learn how to generate and restore clear-text and encrypted configuration backups. The configuration files produced by backups, allow you to restore to an earlier FortiGate configuration.

Restore Configuration From a Backup

Now, you will restore a configuration from a backup.

To restore a configuration from a backup

1. In the virtual lab portal, click the Local-Windows VM. (Alternatively, in the drop-down list below the Local-Windows VM, click **System > Open**.)



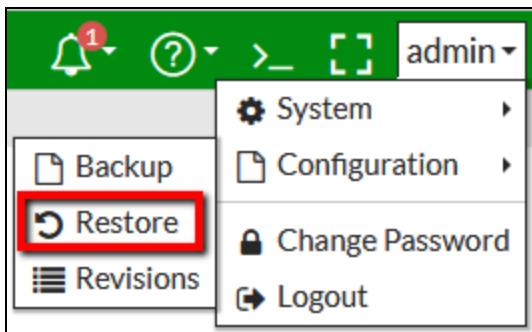
2. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.

You can also access the Local-FortiGate GUI from the Firefox browser bookmarks bar.

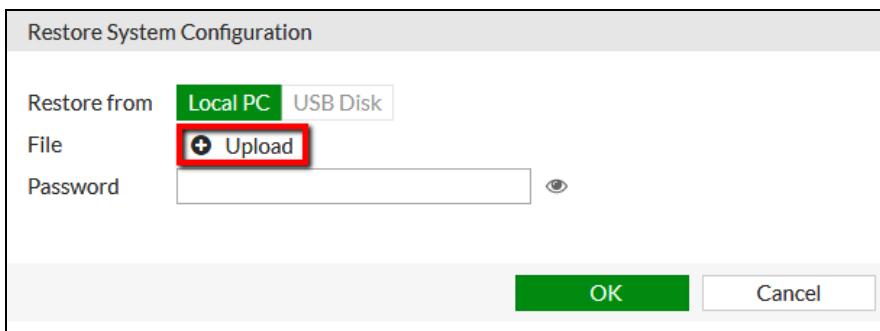


All the lab exercises were tested running Mozilla Firefox on the Local-Windows and Remote-Windows VMs. To get consistent results, you should use Firefox to access both the Internet and the FortiGate GUIs in this virtual environment.

3. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



4. Click **Upload** to select the backup configuration file from your local PC.



5. Click **Desktop > Resources > FortiGate-Security > Introduction** > `local-initial.conf`, and then click **Open**.

6. Click **OK**.

7. Click **OK** to reboot.

After your browser uploads the configuration, FortiGate reboots automatically. This takes approximately 30 to 45 seconds.

8. When the Local-FortiGate GUI login page reappears after reboot, log in as `admin` and leave the password field empty.

9. Click **Network > Interfaces** and verify that the network interface settings were restored.

Physical (10)							
	Status	Name	Members	IP/Netmask	Type	Access	Ref.
●	port1			10.200.1.1 255.255.255.0	Physical Interface	PING HTTPS SSH HTTP FMG-Access	2
●	port2			10.200.2.1 255.255.255.0	Physical Interface	PING HTTPS SSH HTTP	0
●	port3			10.0.1.254 255.255.255.0	Physical Interface	PING HTTPS SSH HTTP Telnet	2
●	port4			0.0.0.0 0.0.0.0	Physical Interface		0
●	port5			0.0.0.0 0.0.0.0	Physical Interface		0

10. Click **Network > Static Routes** and verify that the default route was restored.

<input type="button" value="Create New"/>	<input type="button" value="Edit"/>	<input type="button" value="Clone"/>	<input type="button" value="Delete"/>
Destination	Gateway	Interface	
0.0.0.0/0	10.200.1.254	port1	

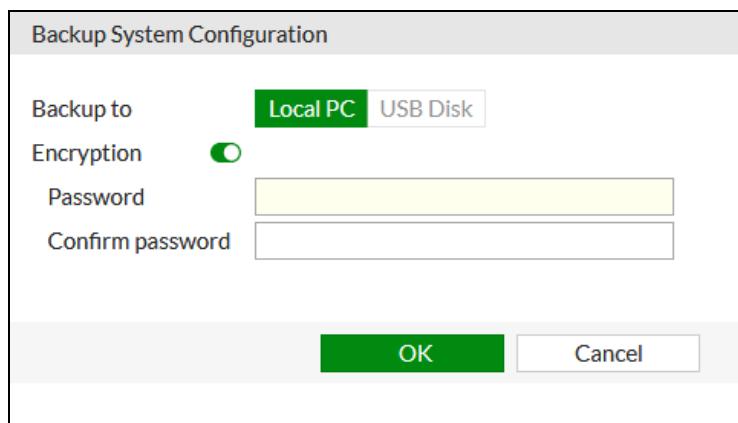
Back Up and Encrypt a Configuration File

Always back up the configuration file before making changes to FortiGate (even if the change seems minor or unimportant). There is no *undo*. You should carefully consider the pros and cons of an encrypted backup before you begin encrypting backups. While your configuration, including things like private keys, remains private, an encrypted file hampers troubleshooting because Fortinet support cannot read the file. Consider saving backups in plain-text and storing them in a secure place instead.

Now, you will create an encrypted file with the backup of the FortiGate's current configuration.

To save an encrypted configuration backup

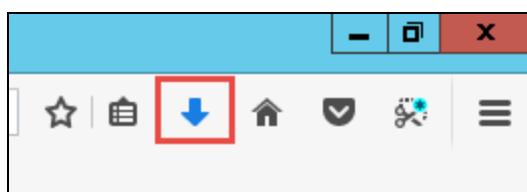
- Continuing on the Local-FortiGate GUI, in the upper-right corner, click **admin**, and then click **Configuration > Backup**.
- On the **Backup System Configuration** page, enable **Encryption**.
- In the **Password** field, enter **fortinet** and repeat in the **Confirm password** field.



- Click **OK**.
- Select **Save File** and click **OK**.

The Firefox browser saves the encrypted configuration file in the **Downloads** folder, by default.

You can access downloaded files by clicking the blue down arrow in the top right of the browser.



Restore an Encrypted Configuration Backup

Restoring from backup allows you to return to a previous configuration. As a word of caution, if you cannot recall the password required to decrypt the backup, you will not be able to restore to this backup! Ensure that you record the password and store it in a secure place.

Now, you will restore the configuration backup that you created in the previous procedure.

Take the Expert Challenge!

Restore the configuration from the encrypted backup.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Compare the Headers of Two Configuration Files on page 26](#).

To restore an encrypted configuration backup

1. Continuing on the Local-FortiGate GUI, in the upper-right corner, click **admin**, and then click **Configuration > Restore**.
2. On the **Restore System Configuration** page, click **Upload**.
3. Browse to your **Downloads** folder and select the configuration file that you created in the previous procedure.
4. In the **Password** field, type **fortinet**, and then click **OK**.
5. Click **OK** to confirm that you want to restore the configuration.
FortiGate reboots.

Compare the Headers of Two Configuration Files

When troubleshooting issues, or when having to restore FortiGate to an earlier OS version or build, it is useful to know where to find this information in a configuration file. This exercise will show you where to find the version and build number in a configuration file.

Now, you will open and compare two configuration files using Notepad++.

To compare the headers of two configuration files

1. On Local-Windows, in the Windows task bar, click the Notepad++ icon.



2. Click **File > Open** and browse to the Downloads folder to open the encrypted configuration file.
3. Click **File > Open** and browse to the initial configuration file:

Desktop\Resources\FortiGate-Security\Introduction\local-initial.conf

The configuration file opens in a second tab in Notepad++.

4. Compare the headers in the two files.
-



In both the clear-text and encrypted configuration files, the top line acts as a header, listing the firmware and model that this configuration belongs to.

5. Close the two tabs in Notepad++ and close the application.

Exercise 3: Configuring Administrator Accounts

FortiGate offers many options for configuring administrator privileges. For example, you can specify the IP addresses that administrators are allowed to connect from.

In this exercise, you will work with administrator profiles and administrator user accounts. An administrator profile is a role that is assigned to an administrator user that defines what the user is permitted to do on the FortiGate GUI and CLI.

Configure a User Administrator Profile

Now, you will create a new user administrator profile that has read-only access for most of the configuration settings.

To configure a user administrator profile

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **System > Admin Profiles**.
3. Click **Create New**.
4. In the **Name** field, type **Security_Admin_Profile**.
5. In the permissions table, set **Security Profile Configuration** to **Read-Write**, but set all other permissions to **Read Only**.
6. Click **OK** to save the changes.

Create an Administrator Account

Now, you will create a new administrator account. You will assign the account to the administrator profile you created previously. The administrator will have read-only access to most of the configuration settings.

To create an administrator account

1. Continuing on the Local-FortiGate GUI, click **System > Administrators**.
2. Click **Create New** and then click **Administrator** to add a new administrator account.
3. On the **New Administrator** page, configure the following settings:

Field	Value
User Name	Security
Type	Local User
Password	fortinet

Field	Value
Confirm Password	fortinet
Administrator Profile	Security_Admin_Profile



Administrator names and passwords are case sensitive. You can't include characters such as < > () # " in an administrator account name or password. Spaces are allowed, but not as the first or last character.

- Click **OK** to save the changes.

Test the New Administrator Account

In this procedure, you will confirm that the new administrator account has read-write access to only the security profiles configuration.

To test the new administrator account

- Continuing on the Local-FortiGate GUI, click **admin** and then **Logout** to log out of the admin account's GUI session.

Name	Trusted Hosts	Profile	Type	Two-factor
Security	0.0.0.0/0	Security_Admin_Profile	Local	(X)
admin	0.0.0.0/0	super_admin	Local	(X)

- Log back in to the Local-FortiGate GUI with the user name **Security** and the password **fortinet**.
- Explore the permissions that you have in the GUI.
You should see that this account can configure only security profiles.

- Log out of the GUI once done.

Restrict Administrator Access

Now, you will restrict access for FortiGate administrators. Only administrators connecting from a trusted subnet will be allowed access. This is useful if you need to restrict the access points from which administrators connect to FortiGate.

To restrict administrator access

- Log back into the Local-FortiGate GUI as **admin** and leave the password field empty.
- Click **System > Administrators**.
- Edit the **admin** account.

4. Enable **Restrict login to trusted hosts**, and set **Trusted Host 1** to the address `10.0.2.0/24`.
5. Click **OK** to save the changes.

Test the Restricted Access

Now, you will verify that administrators outside the subnet `10.0.2.0/24` can't access FortiGate.

To test the restricted access

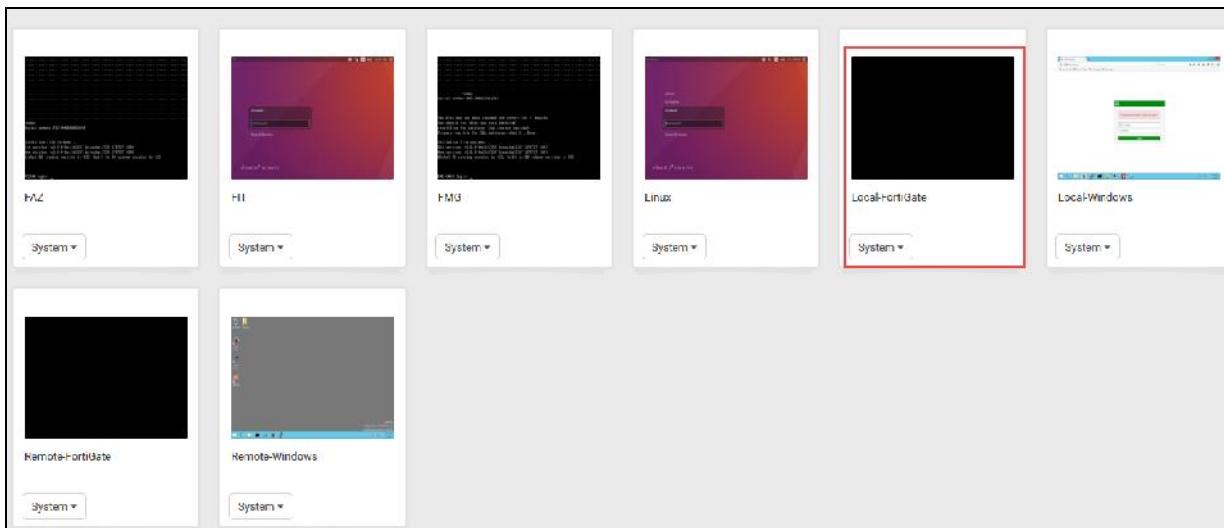
1. Continuing on Local-Windows, log out of the Local-FortiGate GUI session as the admin user.
2. Try to log in to the `admin` account again.
What is the result this time?

Stop and think!

Why do you receive an authentication failure message?

Because you are trying to connect from the `10.0.1.10` address, you shouldn't be able to connect. This is because you restricted logins to *only* the source IP addresses in the list of trusted hosts.

3. On the virtual lab portal, open the Local-FortiGate VM using one of the following methods:
 - Click the Local-FortiGate VM.
 - In the drop-down list below the Local-FortiGate VM, click **System > Open**.



4. Log in as `admin` without a password.
5. Enter the following CLI commands to add `10.0.1.0/24` as the second trusted IP subnet (**Trusted Host 2**) to the `admin` account:

```
config system admin
    edit admin
        set trusthost2 10.0.1.0/24
    end
```

6. Return to the Local-Windows VM.
7. Open a browser and try to log in as `admin` to the Local-FortiGate GUI at `10.0.1.254`
You should be able to log in.

Lab 2: Firewall Policies

In this lab, you will configure firewall policies on Local-FortiGate and perform various tests on the Local-Windows VM, to confirm that traffic is matching the desired firewall policies based on the configuration.

Objectives

- Configure firewall objects and firewall policies.
- Configure source and destination matching in firewall policies.
- Apply service and schedule objects to a firewall policy.
- Configure firewall policy logging options.
- Reorder firewall policies.
- Read and understand logs.
- Use policy lookup to find a matching policy.

Time to Complete

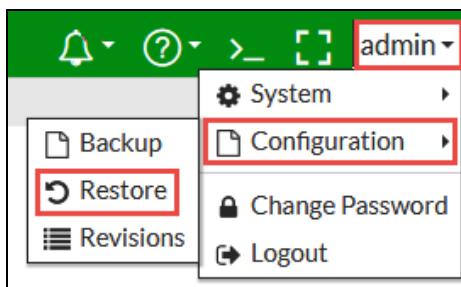
Estimated: 55 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to the Local-FortiGate.

To restore the Local-FortiGate configuration file

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
- In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > Firewall-Policies > local-firewall-policy.conf**, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

Exercise 1: Creating Firewall Address Objects and Firewall Policies

In this exercise, you will configure firewall address objects. You will also configure an IPv4 firewall policy to which you will apply firewall address objects along with schedule, services, and log options. Then, you will test the firewall policy by passing traffic through it and checking the logs for your traffic.

At its core, FortiGate is a firewall, so almost everything that it does to your traffic is related to your firewall policies.

Create Firewall Address Objects

By default, FortiGate has many preconfigured, well-known address objects in the factory default configuration. However, if those objects don't meet the needs of your organization, you can configure more.

To create a firewall address object

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Policy & Objects > Addresses**.
3. Click **Create New > Address**.
4. Configure the following settings:

Field	Value
Name	LOCAL_SUBNET
Type	IP/Netmask
Subnet / IP Range	10.0.1.0/24
Interface	any

5. Click **OK**.

Create a Firewall Policy

First, you will disable the existing firewall policy. Then, you will create a more specific firewall policy using the firewall address object that you created in the previous procedure. You will also select specific services and configure log settings.

To disable an existing firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right-click the **Full_Access** firewall policy from the **Seq.#** column.
3. Select **Policy Status**, and then click **Disable**.

To create a firewall policy

1. Continuing in the **Policy & Objects > IPv4 Policy** section, click **Create New** to add a new firewall policy.
2. Configure the following settings:

Field	Value
Name	Internet_Access
Incoming Interface	port3
Outgoing Interface	port1
Source	LOCAL_SUBNET
Destination	all
Schedule	always
Service	HTTP, HTTPS, DNS, ALL_ICMP, SSH
	Tip: On right side of the screen, type the name in the search box, and then click Services to add.
Action	ACCEPT
NAT	<enable>
Log Allowed Traffic	<enable> and select All Sessions
Generate Logs when Session Starts	<enable>
Enable this policy	<enable>

3. Leave all other settings at their default values and click **OK** to save the changes.



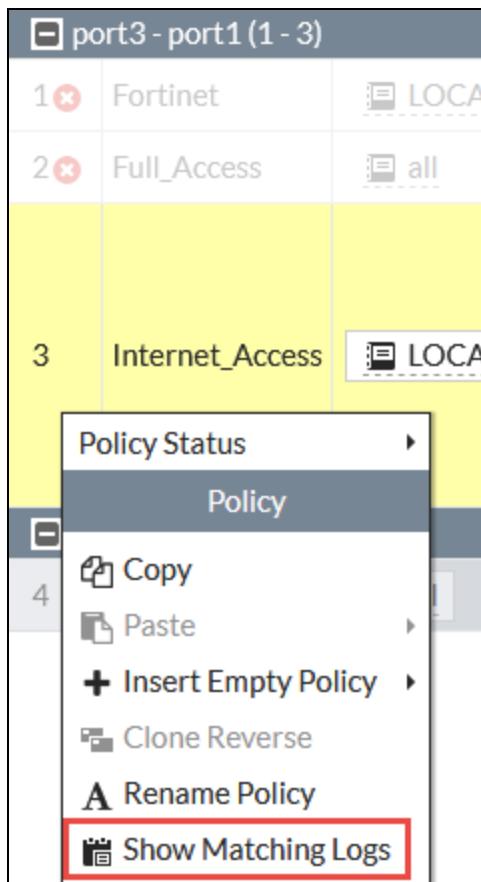
When creating firewall policies, remember that FortiGate is a stateful firewall. As a result, you need to create only one firewall policy that matches the direction of the traffic that initiates the session.

Test the Firewall Policy and View Generated Logs

Now that you have configured the firewall policy, you will test it by passing traffic through it and viewing the generated logs.

To test and view logs for a firewall policy

1. On the Local-Windows VM, open several web browser tabs and connect to several external web sites such as:
 - www.google.com
 - kb.fortinet.com
 - docs.fortinet.com
 - www.bbc.com
2. Return to your browser tab with the Local-FortiGate GUI, and click **Policy & Objects > IPv4 Policy**.
3. Right-click the **Seq.#** column of the **Internet_Access** policy.
4. Click **Show Matching Logs**.



5. Identify the log entries for your Internet browsing traffic.

With the current settings, you should have many log messages that have **Accept: session start** in the **Result** column. These are the session start logs.

When sessions close, you will have a separate log entry for the amount of data sent and received.



Enabling **Generate Logs when Session Starts** will generate twice the amount of log messages. You should use this option only when this level of detail is absolutely necessary.



When you click **Show Matching Logs** in the firewall policy, it adds the **Policy UUID** filter in forward traffic logs.

6. In the **Forward Traffic** logs, click **X** to remove the **Policy UUID** filter.

The screenshot shows a log search interface with the following elements:

- Top bar: Refresh, Download, and a red-highlighted 'X' button labeled "Policy UUID: d634e316 - 16f2 - 51e6 - bffc - b4b9e17a0ff9".
- Buttons: "Add Filter" (with a plus sign).
- Search fields: "#", Date/Time, Source, Destination.

When you remove the **Policy UUID** filter, the logs show unfiltered. You will use the logs in upcoming labs.

7. Close all other browser tabs except the Local-FortiGate GUI.

Exercise 2: Reordering Firewall Policies and Firewall Policy Actions

In the applicable interface pair's section, FortiGate will look for a matching policy, beginning at the top. Usually, you should put more specific policies at the top; otherwise, more general policies will match the traffic first, and your more granular policies will never be applied.

In this exercise, you will create a new firewall policy with more specific settings such as source, destination, service, and action set to **DENY**. Then, you will move this firewall policy above the existing firewall policies and observe the behavior of firewall policy reordering.

Create a Firewall Policy

You will create a new firewall policy to match a specific source, destination, service, and action set to **DENY**.



The firewall address **LINUX_ETH1** with IP/Netmask 10.200.1.254/32 is preconfigured for you, and you will use this address when you create the firewall policy.

Take the Expert Challenge!

Configure a firewall policy on Local-FortiGate GUI using the following settings:

- Name the firewall policy **Block_Ping**.
- Incoming interface: port3, Outgoing interface: port1
- Block all ping traffic from the 10.0.1.0/24 subnet destined for the 10.200.1.254 address. Use the preconfigured address objects **LOCAL_SUBNET** and **LINUX_ETH1**.
- Enable log violation traffic.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you have performed these steps, see [Add the Policy ID Column on page 38](#).

To create a firewall policy

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. Click **Policy & Objects > IPv4 Policy**, and then click **Create New**.
3. Configure the following settings:

Field	Value
Name	Block_Ping
Incoming Interface	port3
Outgoing Interface	port1
Source	LOCAL_SUBNET
Destination	LINUX_ETH1
Schedule	always
Service	PING
	Tip: Type the name in the search box on right hand side and click on services to add.
Action	DENY
Log Violation Traffic	<enable>
Enable this policy	<enable>

4. Click **OK** to save the changes.

Add the Policy ID Column

The policy sequence number defines the order in which firewall policies match the traffic from top to bottom. CLI commands use the policy ID instead of the policy sequence number. When policies are moved, the policy sequence number changes accordingly, but the policy ID stays with the firewall policy.

To add a policy ID Column

1. Continuing on the Local-FortiGate GUI, in the **Policy & Objects > IPv4 Policy** section, right-click any of the column headings.
2. In the **Available Columns** section of the drop-down list, select **ID**.

Seq.#	Available Columns
1	AV
2	Active Sessions
	Application Control
	Comments
	DNS Filter
3	Destination Address
	Devices
	First Used
	Groups
4	Hit Count
	ID

2. Scroll to the bottom of the list and click **Apply** to save the changes.
3. Drag the **ID** column to where you want it positioned in the column list.

Test the Reordering of a Firewall Policy

Now that your configuration is ready, you will test it by moving the **Block_Ping** firewall policy above the **Internet_Access** firewall policy. The objective is to confirm that after reordering the firewall policy,

- traffic is matched to a more specific firewall policy
- the policy ID remains same, and
- the sequence number changes.

To confirm traffic matches a more granular firewall policy after reordering the firewall policy

1. Continuing on the Local-Windows VM, open a command prompt.
2. Ping the destination address (**LINUX_ETH1**) that you configured in the **Block_Ping** firewall policy.

```
ping -t 10.200.1.254
```

Stop and think!

Why are you still able to ping the destination address, even though you just configured a policy to block it?

The ping should still work because it matches the ACCEPT policy and not the DENY policy that you created. The **Block_Ping** policy was never checked, because the traffic matched the policy at the top (**Internet_Access**). This demonstrates the behavior that FortiGate will look for a matching policy, beginning at the top.

3. Leave the command prompt window open and running.
4. Return to your browser where you are logging in to the Local-FortiGate GUI.
5. In **Policy & Objects > IPv4 Policy**, note the current **Seq.#** and **ID** values for both the **Internet_Access** and **Block_Ping** firewall policies.
6. From the **Seq.#** column, drag the **Block_Ping** firewall policy and drop it above the **Internet_Access** firewall policy.

When you move the **Block_Ping** policy up, the **Seq.#** value changes, but the **ID** value remains the same.

7. Return to the command prompt window that is running the continuous ping.

You should see that the traffic is now blocked and the replies appear as Request timed out.

Stop and think!

Why is the traffic now blocked?

This demonstrates the outcome of the policy reordering. After moving the more granular policy above the general access policy, the traffic is matched to the more granular policy and, based on the action DENY, the traffic stops processing.

8. Close the command prompt window.

Exercise 3: Device Identification

FortiGate can match traffic by device type by selecting a device definition in the source field. There are two types of device identification:

- Agentless device identification, which uses traffic from the device and the device is indexed by its MAC address.
- Agent-based device identification, which uses FortiClient and sends its unique FortiClient ID to FortiGate.

In this lab, you will use the agentless device identification technique. You will add the device to the source field of the existing firewall policy and observe the firewall policy source-matching behavior.

Disable the Existing Firewall Policy

First, you will disable the **Block_Ping** firewall policy so that your traffic matches the **Internet_Access** firewall policy.

Take the Expert Challenge!

On the Local-FortiGate GUI, disable the **Policy Status** of the firewall policy named **Block_Ping**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you have performed these steps, see [Configure and Test Device Identification on page 41](#).

To disable the existing firewall policy

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Policy & Objects > IPv4 Policy**.
3. Right-click the **Seq.#** column for the **Block_Ping** firewall policy.
4. Select **Policy Status**, and then click **Disable**.

Configure and Test Device Identification

Now, you will run a continuous ping to an IP address. To test the firewall policy source matching behavior, you will add a non-matching device, such as a Linux PC, to the source field.

To configure and test device identification

1. Continuing on the Local-Windows VM, open a command prompt.
2. Enter the following command to run a continuous ping to `10.200.1.254`:

```
ping -t 10.200.1.254
```

3. Return to your browser where you are logged in to the Local-FortiGate GUI, and click **Policy & Objects > IPv4 Policy**.
4. Right-click the **Seq.#** column for the **Internet_Access** firewall policy and click **Edit**.
5. Click **Source** and in the right pane, click **Device**.
6. Click **Linux PC**.

You are choosing a device type that doesn't match your device (Windows).

Edit Policy			Select Entries
Name	Internet_Access		
Incoming Interface	port3		
Outgoing Interface	port1		
Source	LOCAL_SUBNET	X	
	Linux PC	X	
Destination Address	all		
Schedule	always		
Service	ALL_ICMP	X	
	DNS	X	
	HTTP	X	
	HTTPS	X	
	SSH	X	
Action	<input checked="" type="checkbox"/> ACCEPT	<input type="checkbox"/> DENY	<input type="checkbox"/> LEARN

Device
 Search
DEVICE CATEGORY (21)
 All
 Android Phone
 Android Tablet
 BlackBerry Phone
 BlackBerry PlayBook
 FortiCam
 FortiFone
 Fortinet Device
 Gaming Console
 IP Phone
 Linux PC

7. Click **OK**.

FortiGate notifies you that this action enables device identification on the source interface.

8. Click **OK**.



If you enable a source device type in the firewall policy, FortiGate enables device detection on the source interface(s) of the policy.

9. Return to the command prompt on the Local-Windows VM where you are running the continuous ping. You should see that traffic is blocked.
10. On the Local-Windows VM, open a few browser tabs and try connecting to various external websites such as:
 - kb.fortinet.com
 - docs.fortinet.com
 The firewall blocks this traffic.
 The traffic is blocked because the source device type in the firewall policy is set to Linux-PC, which does not match the Windows device from which the traffic is generated.
11. Close all other browser tabs except Local-FortiGate GUI.



Do not close the command prompt. Keep the continuous ping running until you are notified to stop it.

Modify the Implicit Deny Firewall Policy

FortiGate checks from top to bottom to find a firewall policy that matches the traffic. If none of the firewall policies match the traffic, the default implicit deny firewall policy drops the traffic.

To confirm that the traffic is dropped by the implicit deny policy, you will enable logging on the implicit firewall policy and then check the logs.

Take the Expert Challenge!

- On Local-FortiGate GUI, enable **Log Violation Traffic** on the **Implicit Deny** firewall policy.
- Check the logs to confirm that traffic is dropped by the **Implicit Deny** firewall policy for ping traffic.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you have performed these steps, see Reconfigure Device Identification on page 44.

To enable logging on the implicit deny firewall policy

- Continuing on Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
- Click the + sign to expand the **Implicit** section.

Implicit (5 - 5)			
Seq.	0	Implicit Deny	all
5	0	Implicit Deny	all

- Right-click the **Seq.#** column for the **Implicit Deny** firewall policy and click **Edit**.
- Enable **Log Violation Traffic**.
- Click **OK**.

To confirm traffic is dropped by the implicit deny firewall policy

- Continuing on the Local-FortiGate GUI, click **Log & Report > Forward Traffic**.
- Confirm that there are logging entries for the denied ping traffic.
The **Policy** column shows **0 (Implicit Deny)**.

Reconfigure Device Identification

Now you will edit the **Internet_Access** firewall policy and add a Windows PC to match your Local-Windows VM. You will see that the traffic will be allowed by this policy after you add a matching source device.

To reconfigure device identification

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right-click the **Source** column for the **Internet_Access** firewall policy and click **Select Entries**.



4. From the right pane, click **Device**.
5. Click **Windows PC** to select it.
6. Click **Linux PC** to deselect it.
7. Click **OK**.

To confirm traffic is allowed by a firewall policy

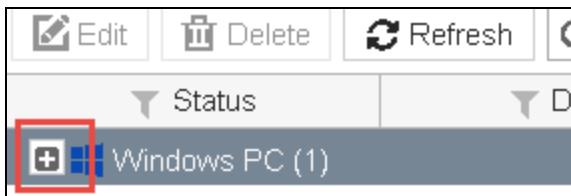
1. On the Local-Windows VM, return to the continuous ping that you started earlier.
You should see that traffic is allowed.
2. Close the command prompt window.
3. On the Local-Windows VM, try browsing the Internet by opening a few browser tabs and connecting to external websites such as:
 - kb.fortinet.com
 - docs.fortinet.com
4. Confirm that the firewall allows this traffic.
5. Close all browser tabs except for the FortiGate GUI.

View the Details of an Identified Device

After a device is identified, FortiGate updates its list of devices and caches the list on the flash disk to speed up detection. You can view the details of an identified device, which include device type, detection method, IP address, and so on.

To view the details of an identified device

- Continuing on the Local-FortiGate GUI, click **User & Device > Device Inventory**.
- Click the + sign associated with **Windows PC** to expand the section.



- Review the details of your detected host device.
You can see the device details, such as IP address, interface, status, and so on.
- On the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
- At the login prompt, enter the user name `admin` (all lower case).
- Run the following command to view the detection method and other device details:

```
diagnose user device list
```

```
10.0.1.254 - PuTTY
```

```
Local-FortiGate # diagnose user device list
hosts
    vd root/0 00:0c:29:e0:c1:87  gen 3  req TOUS/2e
        created 462s  gen 1  seen 0s  port3  gen 1
        ip 10.0.1.10  src mac
        type 17 'Windows PC' [src http] id 0  gen 2
        os 'Windows' version '8.1 (x64)'  src http  id  2208
        host 'WIN-56504U5Q4MI.trainingAD.training.lab'  src dhcp

Local-FortiGate #
```

A screenshot of a PuTTY terminal window titled "10.0.1.254 - PuTTY". The window shows the output of the command "diagnose user device list". The output lists a host named "Windows PC" with various details like MAC address, IP address, and operating system. A red box highlights the "src http" part of the device entry, which corresponds to the highlighted part in the previous screenshot of the FortiGate GUI.

- Leave your PuTTY session open.

Add an Identified Device to the Configuration File

The identified device is cached on FortiGate and is not added to the configuration file. You will add the identified device to the configuration file by adding an alias to the device.

To add an identified device to the configuration file

- Continuing on the Local-FortiGate PuTTY session, run the following command to confirm that there are no devices in the configuration file:

```
show user device
```

2. Return to your browser where you are logged in to the Local-FortiGate GUI, and click **User & Device > Device Inventory**.
3. Click your Windows PC device and click **Edit**.
4. Configure the following settings:

Field	Value
Alias	MyDevice

This creates a static device in the configuration file.

5. Click **OK**.
6. Return to the Local-FortiGate PuTTY session, and run the following command to confirm that the device now appears in the configuration file as a permanent device:

```
show user device
```

8. Return to your browser where you are logged in to the Local-FortiGate GUI, and click **User & Device > Custom Devices & Groups**.

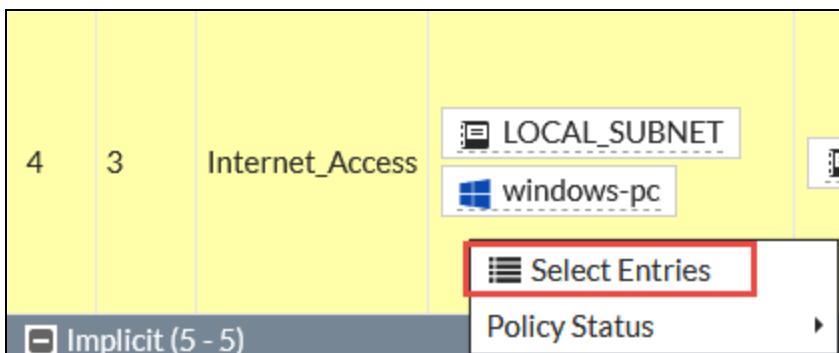
Your device is now listed under **Custom Devices**.

Add a Custom Device to the Firewall Policy

Now that you've added your device as a custom device, you will add it to the firewall policy.

To add a custom device to the firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right-click the **Source** column for the **Internet_Access** firewall policy and click **Select Entries**.



4. In the right pane, click **Device**.
5. Click **Windows PC** to deselect.
6. Under **CUSTOM DEVICE**, click **MyDevice** to select it.
7. Click **OK**.

To confirm that traffic is allowed by the firewall policy

1. Continuing on the Local-Windows VM, try browsing the Internet by opening a few browser tabs and connecting to various external websites, such as:
 - www.yahoo.com
 - www.google.com
2. Confirm that the firewall allows this traffic.
3. Close all browser tabs except the Local-FortiGate GUI.

Exercise 4: Internet Service Database (ISDB) Objects as Destination

FortiGate can match the traffic using address objects or ISDB objects as destinations. ISDB objects are predefined entries that are regularly updated by FortiGuard and contains a database of IP addresses, protocols, and port numbers used by the most common Internet services.

ISDB objects can be used to allow or deny traffic to well-known Internet destinations, without worrying about configuring IP addresses, protocols, or ports used by those destinations in the firewall policy.

In this lab, you will apply an ISDB object as a destination criteria on a firewall policy to block traffic to a well-known Internet service.

Review the Internet Service Database

You will now review the entries in the Internet Service Database.

To review the Internet Service Database

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Policy & Objects > Internet Service Database**.
3. Double-click any entry.
You will see the corresponding IP addresses, ports, and protocols used by that Internet service.
4. Click **Cancel**.

Configure a Firewall Policy Destination as an Internet Service Database Object

Now, you will now modify an existing firewall policy and use an ISDB object as a destination.

To configure a destination as an Internet Service

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right-click the **Seq.#** column for the **Block_Ping** firewall policy, and click **Edit**.
3. Change the **Name** to **Block_Facebook**.
4. Click **Destination** and in the right pane, click **LINUX_EHT1** to deselect.
5. Click **Internet Service**.
6. Select **Facebook-Web**.

Tip: Type the name in the search box and click a service to add it.

The screenshot shows the 'Edit Policy' dialog and a 'Select Entries' sidebar.

Edit Policy Dialog:

- Name: Block_Facebook
- Incoming Interface: port3
- Outgoing Interface: port1
- Source: LOCAL_SUBNET
- Destination: Facebook-Web (highlighted with a red box)
- Schedule: always
- Action: ✓ ACCEPT, ⚡ DENY, 🎓 LEARN
- Log Violation Traffic:

Select Entries Sidebar:

- Address: Internet Service (highlighted with a green box)
- Search: facebook
- INTERNET SERVICE (9):
 - Facebook-DNS
 - Facebook-FTP(S)
 - Facebook-IMAP(S)
 - Facebook-NetBIOS.Name.Service
 - Facebook-NetBIOS.Session.Service
 - Facebook-Others
 - Facebook-POP3(S)
 - Facebook-SMTP(S)
 - Facebook-Web (highlighted with a yellow box)



When **Internet Service** is selected as the **Destination**, you cannot:

- Use **Address** in the **Destination**
- Select **Service** in the firewall policy

7. Turn on the **Enable this policy** switch.

Your configuration should look like the following example:

The screenshot shows the 'Edit Policy' dialog with the 'Enable this policy' switch highlighted with a red box.

Edit Policy Dialog:

- Name: Block_Facebook
- Incoming Interface: port3
- Outgoing Interface: port1
- Source: LOCAL_SUBNET
- Destination: Facebook-Web (highlighted with a red box)
- Schedule: always
- Action: ✓ ACCEPT, ⚡ DENY, 🎓 LEARN
- Log Violation Traffic:
- Comments: Write a comment... (disabled)
- Enable this policy: (highlighted with a red box)

Buttons:

- OK
- Cancel

8. Click **OK**.

Test the Internet Service Firewall Policy

Now that you have configured the firewall policy, you will test it by passing traffic through it.

To test the Internet Service firewall policy

- Continuing on the Local-Windows VM, open few browser tabs and go to the following websites:

- www.facebook.com
- www.twitter.com

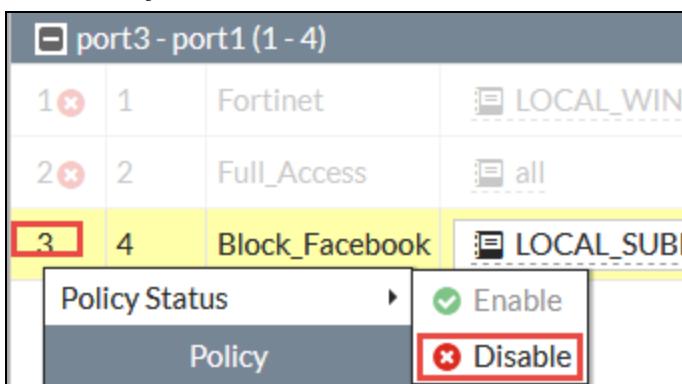
Stop and think!

Why is Facebook blocked but Twitter is allowed?

FortiGate checks for the matching policy from top to bottom. Facebook is blocked by **Seq.# 3** firewall policy because the destination is set to Facebook-Web. Twitter is allowed by **Seq.# 4** firewall policy, which allows Internet access.

Seq.#	ID	Name	Source	Destination	Schedule	Service	Action
port3 - port1 (1 - 4)							
1	1	Fortinet	LOCAL_WINDOWS	FORTINET	always	Web Access	✓ ACCEPT
2	2	Full_Access	all	all	always	ALL	✓ ACCEPT
3	4	Block_Facebook	LOCAL_SUBNET	Facebook-Web	always		✗ DENY
4	3	Internet_Access	LOCAL_SUBNET MyDevice	all	always	ALL_ICMP DNS HTTP HTTPS SSH	✓ ACCEPT

- Return to the browser where you are logged into the Local-FortiGate GUI, and right-click the **Seq.#** column for the **Block_Facebook** firewall policy.
- Select **Policy Status**, and then click **Disable**.



- Close all browser tabs except for the Local-FortiGate GUI.

Exercise 5: Policy Lookup

FortiGate can find a matching firewall policy based on the policy lookup input criteria. Policy lookup feature is basically creating a packet flow over FortiGate without real traffic. From this packet flow, FortiGate can extract a policy ID and highlight it on the GUI policy configuration page.

In this lab, you will use the policy lookup feature to find a matching firewall policy based on input criteria.

Enable Existing Firewall Policies

As required in the previous exercises, most of the configured firewall policies are currently disabled. Now, you will enable some of the existing firewall policies.

Take the Expert Challenge!

On Local-FortiGate GUI, enable the **Policy Status** for the **Fortinet** and **Full_Access** firewall policies.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you have performed these steps, see [Set Up and Test the Policy Lookup Criteria on page 51](#).

To enable existing firewall policies

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Policy & Objects > IPv4 Policy**.
3. Right-click the **Seq.#** column for the **Fortinet** firewall policy, click **Policy Status**, and then click **Enable**.
4. Right-click the **Seq.#** column for the **Full_Access** firewall policy, click **Policy Status**, and then click **Enable**.

Set Up and Test the Policy Lookup Criteria

Now, you will set up the policy lookup criteria. FortiGate will search and highlight the matching firewall policy based on your input criteria.

To set up and test the policy lookup criteria

1. Continuing on the Local-FortiGate GUI in the **Policy & Objects > IPv4 Policy**, click **Policy Lookup**.
2. Configure the following settings:

Field	Value
Source Interface	port3
Protocol	TCP
Source	10.0.1.100
Source Port	<Leave it empty>
Destination	fortinet.com
Destination Port	443

3. Click **Search**.

The search will match the **Full_Access** policy, but not the more specific firewall policy, **Fortinet**.

In the search criteria, the source address is set to 10.0.1.100. This source address is not a part of the **Fortinet** firewall policy; therefore, the search does not match the **Fortinet** firewall policy.



When FortiGate is performing a policy lookup, it does a series of checks on ingress, stateful inspection, and egress for the matching firewall policy. It performs the checks from *top to bottom*, before providing results for the matching policy.

4. Click **Policy Lookup**, and then change the **Source** to 10.0.1.10.

Make sure all the other settings match the settings you used in step 2.

5. Click **Search**.

This time, the search matches the **Fortinet** firewall policy, in which the destination is set to FQDN.

Reorder the Firewall Policies

Now you will reorder the firewall policies. You will move the **Block_Facebook** firewall policy above the **Full_Access** policy.

Take the Expert Challenge!

On Local-FortiGate GUI, move the **Block_Facebook** firewall policy above the **Full_Access** policy.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you have performed these steps, see [Retest Policy Lookup After Reordering the Firewall Policies](#) on page 53.

To reorder the firewall policies

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
 2. From the **Seq.#** column, drag the **Block_Facebook** firewall policy above the **Full_Access** firewall policy.
- The order of your firewall policies should match the following example:

FortiGate Firewall Policies					
Seq.#	ID	Name	Source	Destination	Action
port3 - port1 (1 - 4)					
1	1	Fortinet	LOCAL_WINDOWS	FORTINET	
2	4	Block_Facebook	LOCAL_SUBNET	Facebook-Web	
3	2	Full_Access	all	all	
4	3	Internet_Access	LOCAL_SUBNET MyDevice	all	

Retest Policy Lookup After Reordering the Firewall Policies

Now, you will test the policy lookup feature after reordering the firewall policies.

To retest the policy lookup after reordering the firewall policies

1. Continuing on the Local-FortiGate GUI in **Policy & Objects > IPv4 Policy**, click **Policy Lookup**.
2. Set the following values:

Field	Value
Source Interface	port3
Protocol	TCP
Source	10.0.1.10
Destination	facebook.com
Destination Port	443

3. Click **Search**.

Stop and think!

Why did the search not match the more specific policy, **Block_Facebook**?

When FortiGate is performing a policy lookup, it skips all disabled policies.

The search will match the **Full_Access** policy, but not the more specific policy, **Block_Facebook**, because it is disabled.

4. Right-click the **Seq.#** column of the **Block_Facebook** policy and set the **Policy Status** to **Enable**.
5. Click **Policy Lookup**.
6. Click **Search**.

This time the search matches the more specific policy, **Block_Facebook**.

Lab 3: Network Address Translation (NAT)

NAT is used to perform source NAT (SNAT) and destination NAT (DNAT) for the traffic passing through FortiGate. There are two ways to configure source NAT and destination NAT:

- Firewall policy NAT
- Central NAT

In this lab, you will configure and test firewall policy NAT for SNAT using IP pool, and for DNAT using virtual IP (VIP).

You will configure and test SNAT using the central SNAT policy and DNAT using the DNAT policy and VIPs.

Objectives

- Configure destination NAT settings using a VIP.
- Configure the source NAT settings using overload IP pools.
- Configure a central NAT policy for the source NAT.
- Configure DNAT and VIPs for the destination NAT.

Time to Complete

Estimated: 50 minutes

Prerequisites

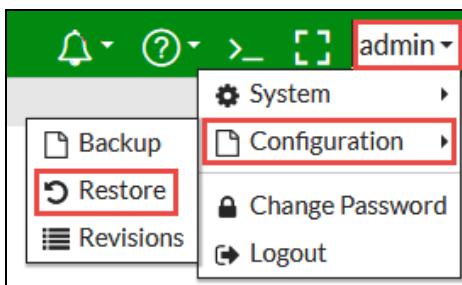
Before starting the procedures in this lab, you must restore a configuration file on each FortiGate.



Make sure to restore the correct configuration in each FortiGate using the following steps. Failure to restore the correct configuration on each FortiGate will prevent you from doing the lab exercise.

To restore the Remote-FortiGate configuration file

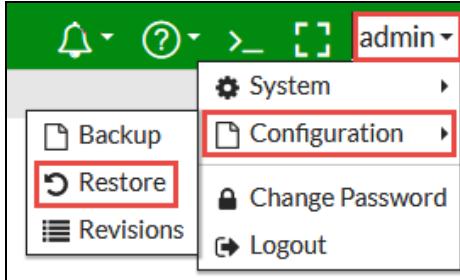
1. On the Local-Windows VM, open a browser and log in to the Remote-FortiGate GUI at `10.200.3.1` as **admin** and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > NAT > remote-nat.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as **admin** and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > NAT > local-nat.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Exercise 1: Access Through VIPs

VIP addresses are typically used to translate external or public IP addresses to internal or private IP addresses.

In this exercise, you will configure a VIP address for the Local-Windows VM. Then, you will create an egress-to-ingress firewall policy and apply a VIP address. This will allow Internet connections to the Local-Windows VM. You will also verify the destination NAT (DNAT) and source NAT (SNAT) behavior using CLI commands.

Create a VIP

On FortiGate, a VIP is a destination NAT (DNAT), which you can select only in a firewall policy's destination address field.

In this procedure, you will configure the VIP to map the Local-Windows VM (10.0.1.10) to 10.200.1.200, which is a part of the port1 subnet. You can refer to the lab [Network Topology on page 9](#) diagram.

To create a VIP

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGateGUI at 10.0.1.254 as admin and leave the password field empty.
2. Click **Policy & Objects > Virtual IPs**.
3. Click **Create New**, and then select **Virtual IP**.
4. Configure the following settings:

Field	Value
Name	VIP-INTERNAL-HOST
Interface	port1 (port1 is connected to the Internet with IP address 10.200.1.1/24.)
External IP Address/Range	10.200.1.200 - 10.200.1.200 (This is the IP address in the same range as the port1 subnet.)
Mapped IP Address/Range	10.0.1.10

Name: VIP-INTERNAL-HOST
Comments:
Color: [Change]

Network
Interface: port1
Type: Static NAT
External IP Address/Range: 10.200.1.200 - 10.200.1.200
Mapped IP Address/Range: 10.0.1.10 - 10.0.1.10
Optional Filters:
Port Forwarding:
OK Cancel

5. Click **OK**.

Create a Firewall Policy

You will configure a new firewall policy using the VIP that you just created as the destination address.

To create a firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Click **Create New**.
3. Configure the following settings:

Field	Value
Name	Web-Server-Access
Incoming Interface	port1
Outgoing Interface	port3
Source	all
Destination	VIP-INTERNAL-HOST Tip: Listed under the Virtual IP section
Schedule	always
Service	HTTP, HTTPS Tip: In right pane, type the name in the search box, and then click Services to add.
Action	ACCEPT

4. In the **Firewall / Network Options** section, turn off the **NAT** switch.
5. In the **Logging Options** section, turn on the **Log Allowed Traffic** switch, and then select **All Sessions**.

6. Click OK.

Name: Web-Server-Access

Incoming Interface: port1

Outgoing Interface: port3

Source: all

Destination: VIP-INTERNAL-HOST

Schedule: always

Service: HTTP, HTTPS

Action: ✓ ACCEPT

Firewall / Network Options

NAT: Off

Security Profiles

- AntiVirus: Off
- Web Filter: Off
- DNS Filter: Off
- Application Control: Off
- IPS: Off

Logging Options

- Log Allowed Traffic: On
- Generate Logs when Session Starts: Off
- Capture Packets: Off

Comments: Write a comment... 0/1023

Enable this policy: On

OK Cancel

Test the VIP Firewall Policy

Now that you've configured a firewall policy with the VIP address as the destination, you can test your VIP by accessing it from the Remote-Windows VM, which is behind the Remote-FortiGate internal network. Traffic is routed from the Remote-FortiGate to the Local-FortiGate by a Linux machine, which acts as a router between these two FortiGate devices. For more information, see [Network Topology on page 9](#).

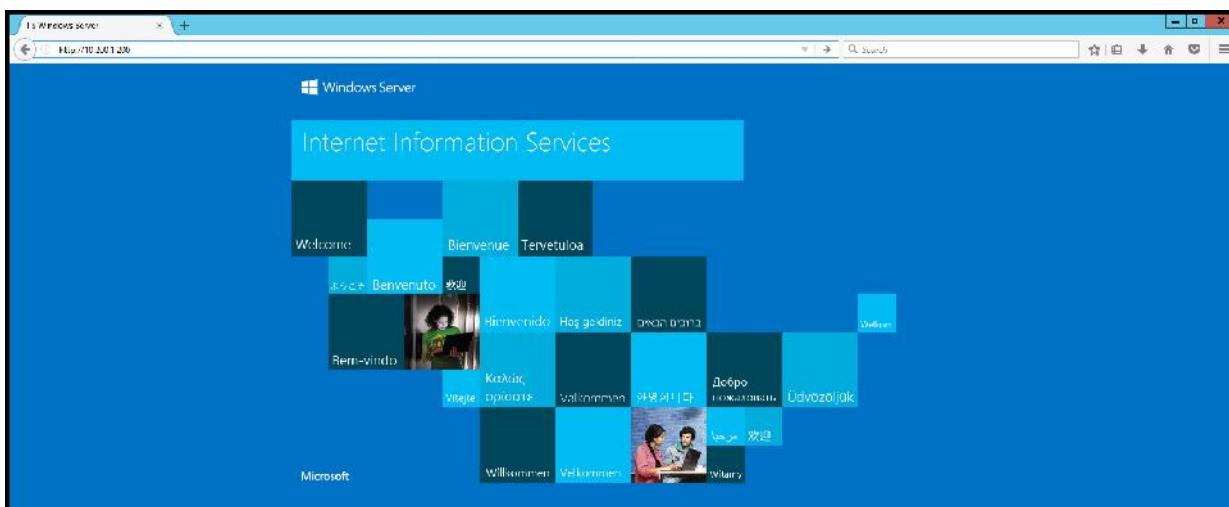
You will also test how the source address is translated by the VIP when traffic is leaving from the Local-Windows VM.

To test VIPs (DNAT)

- On the Remote-Windows VM, open a web browser and go to the following URL:

<http://10.200.1.200>

If the VIP operation is successful, a simple web page opens.



2. On the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
3. At the login prompt, enter the user name `admin` (all lower case).
4. Enter the following command to check the destination NAT entries in the session table:

```
get system session list
```

Sample output:

```
Local-FortiGate# get system session list
PROTO EXPIRE SOURCE SOURCE-NAT DESTINATION DESTINATION-NAT
tcp    3594 10.200.3.1:49478 -      10.200.1.200:80 10.0.1.10:80
```

You will notice that the destination address `10.200.1.200` is translated to `10.0.1.10`, which is the mapping you configured in the VIP.

Test the Source NAT

As a result of the VIP (which is a static NAT), all translated outgoing connections from the Local-Windows VM (IP address `10.0.1.10`) will use the VIP address to source NAT for the ingress-to-egress firewall policy and *not* the egress interface IP address.

To test SNAT

1. Continuing on Local-Windows, return to the Local-FortiGate PuTTY session and run the following command to clear any existing sessions:

```
diagnose sys session clear
```



The CLI command `diagnose sys session clear` will clear all sessions including SSH session you created using PuTTY. This is expected behavior.



The firewall is stateful, so any existing sessions will not use this new firewall policy until they time out or are cleared for ingress-to-egress traffic.

This clears the session to the Local-FortiGate from the Local-Windows VM.

2. Close the PuTTY window.
3. Open a web browser tab and connect to a few websites, for example:
 - www.fortinet.com
 - www.yahoo.com
 - www.bbc.com
4. Open PuTTY, and connect over SSH to the **LOCAL-FORTIGATE** saved session.
5. At the login prompt, enter the user name `admin` (all lower case).
6. Run the following command to view the session information:

```
get system session list
```

Sample output:

PROTO	EXPIRE	SOURCE	SOURCE-NAT	DESTINATION	DESTINATION-NA
tcp	113	10.200.1.1:22696	-	121.111.236.179:8888	-
tcp	113	10.200.1.1:22696	-	121.111.236.180:8888	-
tcp	113	10.200.1.1:22696	-	69.195.205.101:8888	-
tcp	113	10.200.1.1:22696	-	69.195.205.102:8888	-
tcp	113	10.0.1.254:22696	-	10.0.1.241:8888	-
tcp	3583	10.0.1.10:54240	10.200.1.200:54240	31.13.92.36:443	-
tcp	3575	10.0.1.10:54244	10.200.1.200:54244	31.13.92.14:443	-
tcp	3567	10.0.1.10:54238	10.200.1.200:54238	31.13.76.68:443	-



The outgoing connections from the Local-Windows VM are now being translated with the VIP address `10.200.1.200`, instead of the firewall egress interface IP address (`10.200.1.1`).

This is a behavior of the SNAT VIP. That is, when you enable SNAT on a policy, a VIP static NAT takes priority over the destination interface IP address.

7. Close the PuTTY session.
8. Close all browser tabs except the Local-FortiGate GUI.

Exercise 2: Dynamic NAT With IP Pools

IP pools are used to translate the source address to an address from that pool, rather than the egress interface address.

Currently, the Local-FortiGate translates the source IP address of all traffic generated from the Local-Windows VM to 10.200.1.200 because of the SNAT translation in the VIP.

In this exercise, you will create an IP pool, apply it to the ingress-to-egress firewall policy, and verify the SNAT address using CLI commands.

Create an IP Pool

In this procedure, you will create an IP pool from the range of public IP addresses available on the egress port (port1).

To create an IP pool

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. Click **Policy & Objects > IP Pools**.
3. Click **Create New** and configure the following settings:

Field	Value
Name	INTERNAL-HOST-EXT-IP
Type	Overload
External IP Range/Subnet	10.200.1.100 - 10.200.1.100

Name	INTERNAL-HOST-EXT-IP
Comments	<input type="text"/> 0/255
Type	<input checked="" type="radio"/> Overload <input type="radio"/> One-to-One <input type="radio"/> Fixed Port Range <input type="radio"/> Port Block Allocation
External IP Range	<input type="text"/> 10.200.1.100 - <input type="text"/> 10.200.1.100
ARP Reply	<input checked="" type="checkbox"/>
<input type="button" value="OK"/> <input type="button" value="Cancel"/>	

4. Click **OK**.

Edit a Firewall Policy to Use the IP Pool

Now, you will apply the IP pool to change the behavior from static NAT to dynamic NAT on the ingress-to-egress firewall policy.

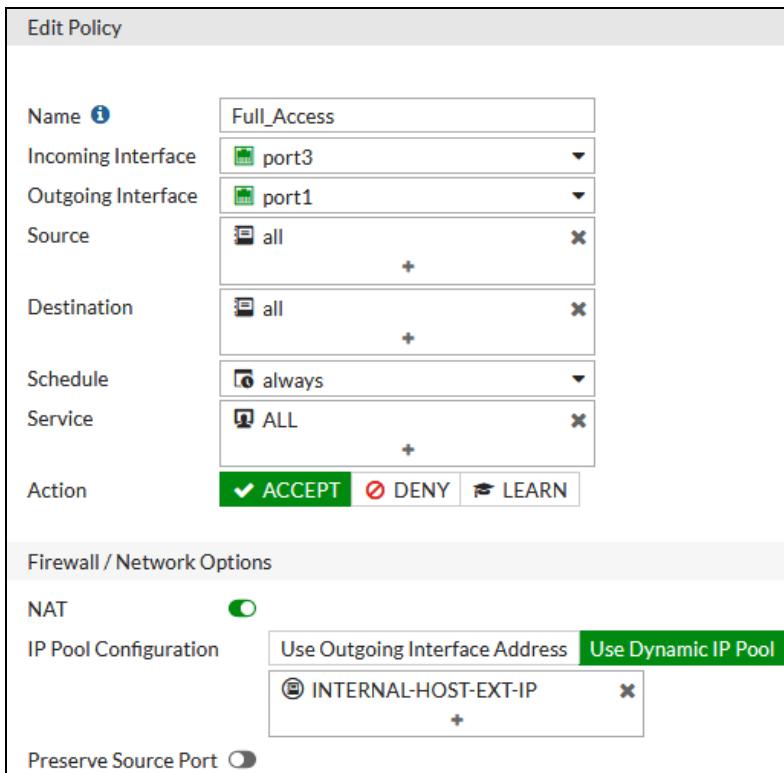
To edit the firewall policy

- Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
- Right-click the **Seq.#** column for the **Full_Access** firewall policy and click **Edit**.
- In the **Firewall / Network Options** section, configure the following settings:

Field	Value
NAT	<enable>
IP Pool Configuration	Use Dynamic IP Pool

- Click the **+** that appeared when you clicked **Use Dynamic IP Pool**, and from the right pane, click **INTERNAL-HOST-EXT-IP**.

Your configuration will look similar to the following example:



- Click **OK**.

Test Dynamic NAT with IP Pools

Now that your configuration is ready, you can test dynamic NAT with IP pools by browsing to a few external sites on the Internet. If successful, you will see that the Local-Windows VM IP address (10.0.1.10) is translated to the IP pool address of 10.200.1.100.

To test dynamic NAT with IP pools

1. Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
2. At the login prompt, enter the user name `admin` (all lower case).
3. Run the following command to clear any existing sessions:

```
diagnose sys session clear
```



The CLI command `diagnose sys session clear` will clear all sessions including the SSH session you created using PuTTY. This is expected behavior.



The firewall is stateful, so any existing sessions will not use this updated firewall policy until they time out or are cleared for ingress-to-egress traffic.

4. Close the PuTTY window.
5. Open several browser tabs and connect to a few websites. For example:
 - www.fortinet.com
 - www.yahoo.com
 - www.bbc.com
5. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
6. At the login prompt, enter the user name `admin` (all lower case).
7. Run the following command to verify the source NAT IP address that those sessions are using:

```
get system session list
```

Sample output:

PROTO	EXPIRE	SOURCE	SOURCE-NAT	DESTINATION	DESTINATION-NA
tcp	126	10.200.1.1:22696	-	121.111.236.179:8888	-
tcp	126	10.200.1.1:22696	-	121.111.236.180:8888	-
tcp	126	10.200.1.1:22696	-	69.195.205.101:8888	-
tcp	126	10.200.1.1:22696	-	69.195.205.102:8888	-
tcp	126	10.0.1.254:22696	-	10.0.1.241:8888	-
tcp	3577	10.0.1.10:56276	10.200.1.100:56276	31.13.92.36:443	-
tcp	3560	10.0.1.10:56290	10.200.1.100:56290	31.13.92.36:443	-
tcp	3552	10.0.1.10:56292	10.200.1.100:56292	31.13.92.14:443	-
tcp	3588	10.0.1.10:56278	10.200.1.100:56278	31.13.74.7:443	-

Notice that the source NAT address is now 10.200.1.100, as configured in the IP pool, and the IP pool has overridden the static NAT VIP.

8. Close PuTTY.
9. Close all browser tabs except the Local-FortiGate GUI.

Exercise 3: Configure Central SNAT

A central SNAT policy is applied to multiple firewall policies, based on a configured central rule. The NAT on the firewall policy controls whether the central SNAT is used or not.

In this exercise, you will configure a central SNAT policy and test it.

Prerequisites

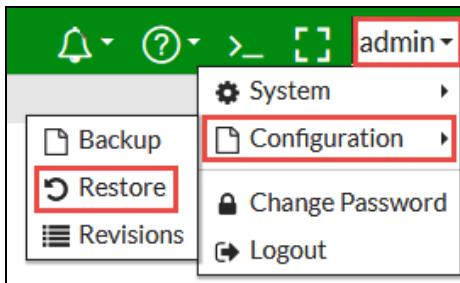
Before beginning this lab, you must restore a configuration for central NAT file to Local-FortiGate.



Make sure to restore the correct configuration for Local-FortiGate using the following steps. Failure to restore the correct configuration on Local-FortiGate will prevent you from doing the lab exercise.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as **admin** and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > NAT > local-central-nat.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

When enabling central NAT, you must remove VIP and IP pool references from the existing firewall policies first.

For example, you will see the following error if you try to enable central NAT without removing VIP and IP pool references from the existing firewall policies.

```
Local-FortiGate (settings) # set central-nat enable
Cannot enable central-nat with firewall policy using ippool (id=1).

Local-FortiGate (settings) # end
```



To prevent this error from occurring during this exercise, the VIP and IP pool references have been removed from the firewall policies.

1. The IP pool has been removed from the **Full_Access** firewall policy (policy **ID 1**), and the VIP address has been removed from the **Web-Server-Access** firewall policy (policy **ID 2**), because central NAT can be enabled only if none of the firewall policies have IP pool and VIP addresses associated with them.
2. When central NAT is enabled, existing VIPs take precedence over source NAT. As such, the VIP object you added in a previous exercise to test the firewall policy source NAT has been removed.

Test SNAT Without an SNAT Policy

In this procedure, you will test the behavior of FortiGate when a SNAT policy is not configured.

To test SNAT Without an SNAT policy

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Policy & Objects > IP Pools**.
3. Review the settings of **INTERNAL-HOST-EXT-IP**.
4. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
5. At the login prompt, enter the user name `admin` (all lower case).
6. Run the following command to clear the existing sessions:

```
diagnose sys session clear
```



The CLI command `diagnose sys session clear` will clear all sessions including the SSH session you created using PuTTY. This is expected behavior.

7. Close the PuTTY window.
8. Open a web browser and connect to a few websites. For example:
 - www.fortinet.com
 - www.yahoo.com
 - www.bbc.com

9. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
10. At the login prompt, enter the user name `admin` (all lower case).
11. Run the following command to verify the SNAT IP address that those sessions are using:

```
get system session list
```

Sample output:

PROTO	EXPIRE	SOURCE	SOURCE-NAT	DESTINATION	DESTINATION-NAT
tcp	3594	10.0.1.10:61608	10.200.1.1:61608	151.101.48.81:443	-
tcp	3591	10.0.1.10:61678	10.200.1.1:61678	23.73.43.120:443	-
udp	111	10.0.1.10:62292	10.200.1.1:62292	162.159.1.33:53	-
tcp	3595	10.0.1.10:61688	10.200.1.1:61688	172.217.1.198:443	-
tcp	3585	10.0.1.10:61636	10.200.1.1:61636	172.217.1.194:443	-
tcp	3597	10.0.1.10:61646	10.200.1.1:61646	209.121.139.146:80	-
tcp	3591	10.0.1.10:61553	10.200.1.1:61553	23.203.240.233:443	-
tcp	3579	10.0.1.10:61560	10.200.1.1:61560	209.85.232.154:443	-
tcp	3586	10.0.1.10:61658	10.200.1.1:61658	198.41.214.67:443	-
udp	126	10.0.1.10:60934	10.200.1.1:60934	205.251.199.58:53	-
tcp	3593	10.0.1.10:61592	10.200.1.1:61592	151.101.48.81:80	-
tcp	3590	10.0.1.10:61556	10.200.1.1:61556	216.93.180.162:443	-
udp	129	10.0.1.10:62224	10.200.1.1:62224	208.111.184.12:53	-
tcp	3591	10.0.1.10:61563	10.200.1.1:61563	69.59.163.6:443	-
tcp	3580	10.0.1.10:61577	10.200.1.1:61577	199.59.148.84:443	-

Notice that the SNAT address is now `10.200.1.1`, which is the egress interface IP (port1).



If no central SNAT or matching central SNAT rule exists, FortiGate automatically uses the outgoing interface IP address for the source NAT.

-
12. Close PuTTY.
 13. Close all other browser tabs except the Local-FortiGate GUI.

Configure Central SNAT Policy

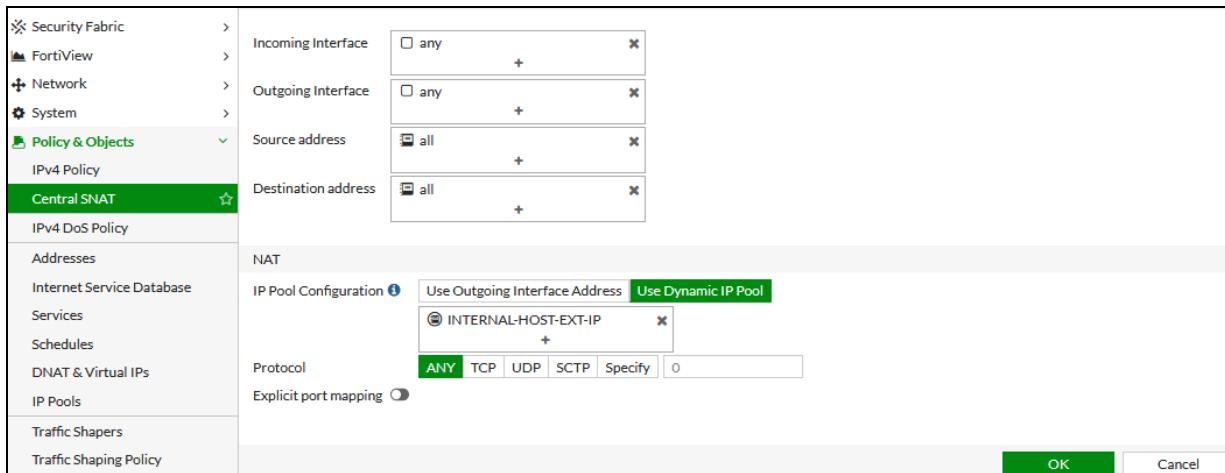
In this procedure, you will configure a central SNAT policy using the IP pool you created in the previous exercise.

To configure a central NAT policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > Central SNAT**.
2. Click **Create New** and configure the following settings:

Field	Value
Incoming Interface	any
Outgoing Interface	any
Source address	all

Field	Value
Destination address	all
IP Pool Configuration	Use Dynamic IP Pool Click + and select INTERNAL-HOST-EXT-IP
Protocol	ANY



- Keep the default values for the remaining settings and click **OK** to save the changes.

Verify that NAT is Enabled on the Firewall Policy

If NAT is enabled on the firewall policy, central SNAT is used. In this procedure, you will verify that NAT is enabled on the firewall policy.

To verify that NAT is enabled on firewall policy

- Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
- Review the **NAT** option of the **Full_Access** policy to make sure that NAT is enabled.

The screenshot shows the FortiGate management interface under the 'Policy & Objects' section. A policy named 'Full_Access' is selected. The configuration details are as follows:

- Name:** Full_Access
- Incoming Interface:** port3
- Outgoing Interface:** port1
- Source:** all
- Destination:** all
- Schedule:** always
- Service:** ALL
- Action:** ACCEPT (selected)

At the bottom, there is a 'Firewall / Network Options' section with a 'NAT' toggle switch, which is highlighted with a red box.



There is no option for IP pools. In central SNAT, NAT on the firewall policy controls whether the central SNAT is used or not. If NAT is enabled on the firewall policy, central SNAT is used.

Test Central SNAT in the Presence of an SNAT Policy

Now that your configuration is ready, you can test the behavior of the central SNAT policy.

To test central SNAT in the presence of an SNAT policy

1. On the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
2. At the login prompt, enter the user name `admin` (all lower case).
3. Run the following command to clear the existing sessions:

```
diagnose sys session clear
```

3. Close the PuTTY window.
4. Open multiple browser tabs and connect to a few websites. For example:
 - www.fortinet.com
 - www.yahoo.com
 - www.bbc.com
5. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
6. At the login prompt, enter the user name `admin` (all lower case).

- Run the following command to verify the source NAT IP address that those sessions are using:

```
get system session list
```

Sample output:

PROTO	EXPIRE	SOURCE	SOURCE-NAT	DESTINATION	DESTINATION-NAT
tcp	3595	10.0.1.10:61974	10.200.1.100:61974	151.101.48.81:443	-
udp	108	10.0.1.10:60816	10.200.1.100:60816	198.51.45.4:53	-
tcp	3590	10.0.1.10:61956	10.200.1.100:61956	23.73.43.120:443	-
tcp	3588	10.0.1.10:61951	10.200.1.100:61951	208.71.44.31:443	-
udp	113	10.0.1.10:60427	10.200.1.100:60427	192.5.6.30:53	-
tcp	3582	10.0.1.10:61900	10.200.1.100:61900	172.217.1.206:443	-
tcp	3584	10.0.1.10:61958	10.200.1.100:61958	172.217.1.198:443	-
udp	108	10.0.1.10:61613	10.200.1.100:61613	192.5.6.30:53	-
tcp	3598	10.0.1.10:62000	10.200.1.100:62000	209.121.139.147:80	-
tcp	3590	10.0.1.10:62010	10.200.1.100:62010	104.125.241.40:443	-
tcp	3595	10.0.1.10:61913	10.200.1.100:61913	23.203.240.233:443	-
udp	105	10.0.1.10:61639	10.200.1.100:61639	204.13.250.29:53	-
tcp	3594	10.0.1.10:62024	10.200.1.100:62024	52.85.69.19:80	-
udp	113	10.0.1.10:60327	10.200.1.100:60327	96.17.144.47:53	-
udp	113	10.0.1.10:62183	10.200.1.100:62183	84.53.139.194:53	-
udp	108	10.0.1.10:60429	10.200.1.100:60429	96.17.108.36:53	-
tcp	3596	10.0.1.10:61930	10.200.1.100:61930	23.221.116.192:443	-

Notice that the source NAT address is now 10.200.1.100, which matches the central SNAT policy.

- Close PuTTY.
- Close all browser tabs except the Local-FortiGate GUI.

Create a Second IP Pool

Now you will create a second IP Pool, which you will use later when creating a second central SNAT policy.

Take the Expert Challenge!

On the Local-FortiGate GUI, create a second IP Pool named **SNAT-Pool** with IP range 10.200.1.50 - 10.200.1.50 and the type as **Overload**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Create a Second SNAT Policy on page 72](#)

To create a second IP Pool

- On the Local-FortiGate GUI, click **Policy & Objects > IP Pools**.
- Click **Create New** and configure the following settings:

Field	Value
Name	SNAT-Pool
Type	Overload
External IP Range	10.200.1.50 - 10.200.1.50

3. Click **OK**.

Create a Second SNAT Policy

Now you will create a more granular SNAT policy by selecting a specific destination address and protocol to match specific traffic.

Take the Expert Challenge!

On the Local-FortiGate GUI, create a second SNAT policy for **REMOTE_FORTIGATE** as a destination to allow only the TCP protocol using **SNAT_Pool** for traffic from port3 to port1.

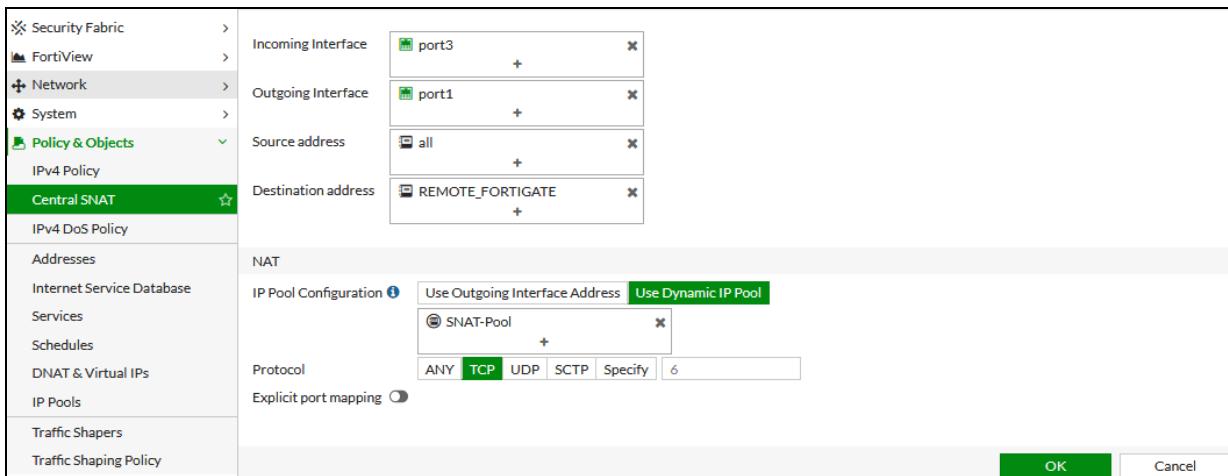
If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Reorder Central SNAT Policies on page 73](#)

To create second SNAT policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > Central SNAT**.
2. Click **Create New** and configure the following settings:

Field	Value
Incoming Interface	port3
Outgoing Interface	port1
Source address	all
Destination address	REMOTE_FORTIGATE
IP Pool Configuration	Use Dynamic IP Pool Click + and select SNAT-Pool
Protocol	TCP



- Click **OK**.

Reorder Central SNAT Policies

Now you will reorder the central NAT policies to put the more granular rule at the top.

Similar to firewall policies, a central SNAT policy is processed from *top to bottom* and, if a match is found, the source address and source port translate based on that central SNAT policy.

To reorder central SNAT policies

- Continuing on the Local-FortiGate GUI, click **Policy & Objects > Central SNAT**.
- Drag the newly created central SNAT policy above the previously created central SNAT policy.

Seq.#	From	To	Source Address	Destination Address	Translated Address	Protocol Number
1	port3	port1	all	REMOTE_FORTIGATE	SNAT-Pool	6
2	any	any	all	all	INTERNAL-HOST-EXT-IP	0

Test Central SNAT

Now that your configuration is ready, you will test the central SNAT configuration.

To test central SNAT

- On the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
- At the login prompt, enter the user name `admin` (all lower case).
- Run the following command to clear the existing sessions:

```
diagnose sys session clear
```

- Close the PuTTY window.
- Open a new browser tab and log in to the Remote-FortiGate GUI at `10.200.3.1` as `admin` and leave the

password field empty.

6. Open a command prompt and run a continuous ping to the Remote-FortiGate IP.

```
ping -t 10.200.3.1
```

7. Open several browser tabs and connect to a few websites. For example:

- www.fortinet.com
- www.yahoo.com
- www.bbc.com

8. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.

9. At the login prompt, enter the user name `admin` (all lower case).

10. Run the following command:

```
get system session list
```

Notice that the TCP sessions to destination 10.200.3.1 are translated to 10.200.1.50, because that address matches the central SNAT policy.

Sample output:

PROTO	EXPIRE	SOURCE	SOURCE-NAT	DESTINATION	DESTINATION-NA
tcp	165	10.200.1.1:22696	-	121.111.236.179:8888	-
tcp	165	10.200.1.1:22696	-	121.111.236.180:8888	-
tcp	165	10.200.1.1:22696	-	69.195.205.101:8888	-
tcp	165	10.200.1.1:22696	-	69.195.205.102:8888	-
tcp	165	10.0.1.254:22696	-	10.0.1.241:8888	-
tcp	165	10.200.1.1:22696	-	208.91.112.196:8888	-
tcp	165	10.200.1.1:22696	-	208.91.112.198:8888	-
tcp	3598	10.0.1.10:50966	10.200.1.50:50966	10.200.3.1:443	-

ICMP sessions to destination 10.200.3.1 are translated to 10.200.1.100, which matches the central SNAT policy at the bottom.

Sample output:

icmp	59	10.0.1.10:1	10.200.1.100:62464	10.200.3.1:8	-
------	----	-------------	--------------------	--------------	---

Also, other TCP sessions to different destinations are translated to 10.200.1.100, based on the matching central SNAT policy at the bottom.



A Central SNAT policy is processed from *top to bottom*, similar to firewall policies.

11. Close the command prompt and PuTTY.
12. Close all browser tabs except the Local-FortiGate GUI.

Exercise 4: DNAT and VIPs

In firewall policy NAT, **Virtual IPs** is selected in the firewall policy as the destination address. In central NAT, as soon as **DNAT & Virtual IPs** is configured, FortiGate automatically creates a rule in the kernel to allow DNAT to occur, and no additional configuration is required.

In this exercise, you will configure and test the behavior of central DNAT.

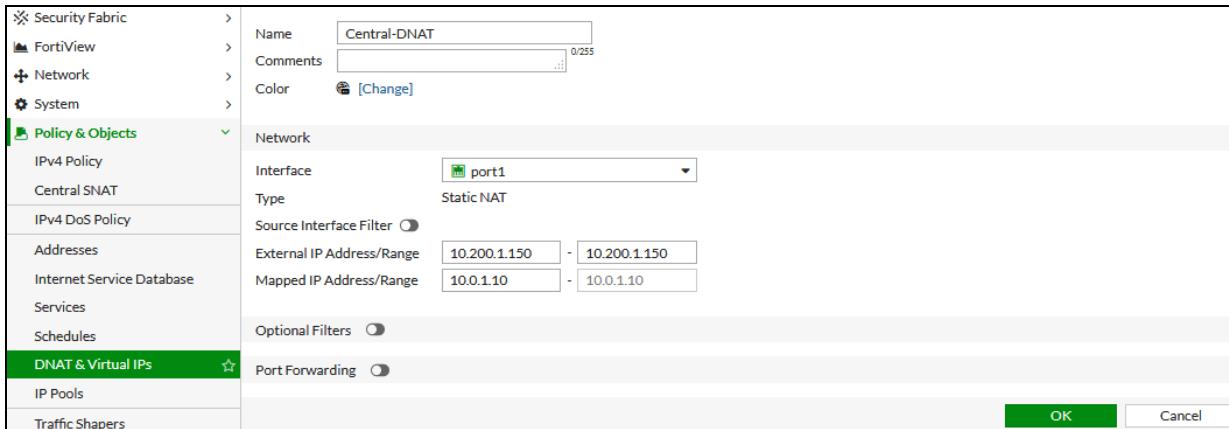
Create DNAT and VIPs

In this procedure, you will configure DNAT and VIPs.

To create DNAT and VIPs

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Policy & Objects > DNAT & Virtual IPs**.
3. Click **Create New**, and then select **DNAT & Virtual IP**.
4. Configure the following settings:

Field	Value
Name	Central-DNAT
Interface	port1
Type	Static NAT (default setting)
External IP Address/Range	10.200.1.150 - 10.200.1.150
Mapped IP Address/Range	10.0.1.10



5. Click **OK**.

Verify the Firewall Policy Settings

Now, you will verify the firewall policy settings for the egress-to-ingress firewall policy.

To verify the firewall policy settings

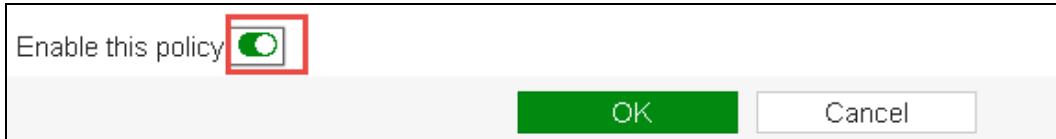
1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right click **Seq.#** of the **Web-Server-Access** firewall policy, and then click **Edit**.
3. Review the settings of the firewall policy.
4. Try to select the **DNAT & Virtual IPs** address in the firewall destination address.

You will be not able to do so.



You can't select VIPs previously created in a firewall policy as a destination address. As soon as a VIP object is created, FortiGate automatically creates a rule in the kernel for DNAT to occur.

5. Scroll to the bottom of the page and ensure the **Enable this policy** switch is turned on.



6. Click **OK**.

Testing DNAT and VIPs

In this procedure, you will test DNAT and VIPs by accessing the Local-Windows VM.

To test DNAT and VIPs

1. On the Remote-Windows VM, open a web browser and access the following URL:

`http://10.200.1.150`

If the VIP operation is successful, a simple web page opens.

2. Return to the Local-Windows VM.
3. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
4. At the login prompt, enter the user name `admin` (all lower case).
5. Run the following command to check the destination NAT entries in the session table:

```
get system session list
```

Sample output:

```
Local-FortiGate # get system session list
PROTO EXPIRE      SOURCE      SOURCE-NAT      DESTINATION      DESTINATION-NAT
```

```
tcp    3599  10.200.3.1:49183   -      10.200.1.150:80  10.0.1.10:80
```

6. Open additional web browser tabs and try to access few websites. For example:
 - www.fortinet.com
 - www.yahoo.com
 - www.bbc.com
7. Return to the Local-FortiGate PuTTY session and verify the SNAT IP address those sessions are using:

```
get system session list
```

Sample output:

```
Student # get sys session list
PROTO  EXPIRE SOURCE          SOURCE-NAT      DESTINATION      DESTINATION-NAT
tcp    3596   10.0.1.10:61857  10.200.1.150:61857 216.23.154.74:80 -
tcp    3596   10.0.1.10:61855  10.200.1.150:61855 216.23.154.74:80 -
tcp    3593   10.0.1.10:61853  10.200.1.150:61853 216.23.154.74:80 -
tcp    3595   10.0.1.10:61867  10.200.1.150:61867 216.23.154.74:80 -
tcp    3595   10.0.1.10:61865  10.200.1.150:61865 216.23.154.74:80 -
tcp    3595   10.0.1.10:61869  10.200.1.150:61869 216.23.154.74:80 -
tcp    3598   10.0.1.10:61907  10.200.1.150:61907 216.23.154.88:80 -
tcp    3598   10.0.1.10:61909  10.200.1.150:61909 216.23.154.88:80 -
```

Notice that the session originating from source IP, 10.0.1.10, is translated to 10.200.1.150 (VIP) as opposed to the central SNAT policy pool IP of 10.200.1.100. This is expected behavior in central NAT.



If both the SNAT and DNAT are defined, the egress traffic will source NAT to the DNAT/VIP address, as opposed to the configured source SNAT policy.

-
8. Close PuTTY.
 9. Close all browser tabs except the Local-FortiGate GUI.

Lab 4: Firewall Authentication

In this lab, you will configure FortiGate to communicate with a remote LDAP server for server-based password authentication.

You will also configure captive portal, so that any user connecting to the network is prompted for their login credentials (active authentication).

Objectives

- Configure server-based password authentication with an LDAP server.
- Configure captive portal so users connecting to the network are forced to authenticate.

Time to Complete

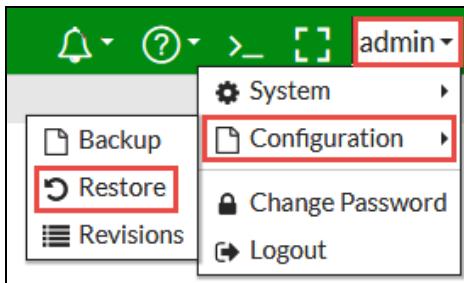
Estimated: 20 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as **admin** and leave the password field empty .
2. In the upper-right corner of the screen, click **admin**, and then select **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Firewall-Authentication > local-firewall-authentication.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Exercise 1: Configuring Remote Authentication

In this exercise, you will configure an LDAP server on FortiGate for remote authentication, create a remote authentication group for remote users, and add that group as a source in a firewall policy.

Finally, you will authenticate over SSL-VPN as one of the remote users, and then monitor the login as the administrator.

Configure an LDAP Server on FortiGate

You can configure FortiGate to point to an LDAP server for server-based password authentication using the preconfigured Active Directory service located on the Local-Windows VM. Active Directory already has users available to use in this lab.

To configure an LDAP server on FortiGate

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **User & Device > LDAP Servers**, and then click **Create New**.
3. Configure a server using the following settings:

Field	Value
Name	ADserver
Server IP/Name	10.0.1.10 This is the IP address of the Windows Server, Local-Windows VM. For more information, see Network Topology on page 9 .
Server Port	389 This is the default port for LDAP.
Common Name Identifier	cn This is the attribute name used to find the user name. Active Directory calls this cn.
Distinguished Name	ou=Training,dc=trainingAD,dc=training,dc=lab This is the domain name for Active Directory on the Windows Server. Active Directory has already been preconfigured, with all users located in the Training organizational unit (ou).
Bind Type	Regular

Field	Value
Username	ADadmin We are using the credentials of an Active Directory user called ADadmin to authenticate to Active Directory. ADadmin is located in the Users organizational unit (ou).
Password	Training! This is the password pre-configured for the ADadmin user. You must use it to be able to bind.

4. Click **Test Connectivity**.

You should see a message indicating that the connection was successful.

5. Click **OK**.

Assign an LDAP User to a Firewall Group

Now, you will assign an LDAP user group (AD-users) that includes two users (aduser1 and aduser2) to a firewall user group called **Remote-users** on FortiGate. By doing this , you will be able to configure firewall policies to act on the firewall user group.

Usually, groups are used to more effectively manage individuals who have a shared relationship.



The **Remote-users** firewall group is preconfigured for you. However, you must modify it to add the users from the remote LDAP server you configured in the previous procedure.

Take the Expert Challenge!

On Local-FortiGate (10.0.1.254 | admin/<leave the password field empty>), assign the Active Directory user group called **AD-users** to the FortiGate firewall user group called **Remote-users**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you have completed this exercise, see [Add the Remote User Group to Your Firewall Policy on page 83](#).

To assign a user to a user group

- Continuing on the Local-FortiGate GUI, click **User & Device > User Groups**, and then edit the **Remote-users** group.
Notice that it's currently configured as a firewall group.
- To add users from the remote LDAP server, in the **Remote Groups** table, click **Add**.

The screenshot shows the 'Remote Groups' configuration page. At the top, there are buttons for '+ Add', 'Edit', and 'Delete'. Below this is a table with two columns: 'Remote Server' and 'Group Name'. A message at the bottom says 'No matching entries found'.

The **Add Group Match** dialog box opens.

The screenshot shows the 'Add Group Match' dialog box. It has a title bar and a single input field labeled 'Remote Server' with a dropdown arrow.

3. In the **Remote Server** drop-down list, select **ADserver**.
4. On the **Groups** tab, right-click **AD-users**, and then click **Add Selected**.

The screenshot shows the 'Groups' tab in the 'Add Group Match' dialog box. The 'Selected' tab is active. A red box highlights the 'AD-users' row, which contains a green checkmark and a button labeled '+ Add Selected'.

The AD-users group is disabled and has a green checkmark beside it, indicating it has been added.

The screenshot shows the 'Groups' tab in the 'Add Group Match' dialog box. The 'Selected' tab is active. A red box highlights the 'AD-users' row, which now has a blue checkmark and a small '1' in a circle.

5. Click **OK**.

The users in this Active Directory group are now included in your FortiGate **Remote-users** firewall user group. Only users from the remote LDAP server that match this user group entry can authenticate.

The screenshot shows the 'Edit User Group' dialog box. It has fields for 'Name' (Remote-users), 'Type' (Firewall), and 'Members' (+). Below this is a 'Remote Groups' section with a table. A red box highlights the 'OK' button at the bottom.

6. Click **OK**.

Add the Remote User Group to Your Firewall Policy

Now that the LDAP server is added to the **Remote-users** firewall user group, you can add the group to a firewall policy. This allows you to control access to network resources, because policy decisions are made for the group as a whole.

Because a remote user on your LDAP server will authenticate over SSL-VPN, you will add the group to an SSL-VPN firewall policy.



Configuring SSL-VPN is out of scope for this lab, so the SSL-VPN settings are preconfigured. However, you still need to configure an SSL-VPN firewall policy and add the **Remote-user** group to it.

To add the remote user group to your firewall policy

- Continuing on the Local-FortiGate GUI, click **VPN > SSL-VPN Settings**, and then click the warning message that opens at the top of the page.

Clicking this warning message will create a new SSL-VPN policy using the preconfigured settings.



[No SSL-VPN policies exist. Click here to create a new SSL-VPN policy using these settings](#)

- Configure the following settings:

Field	Value
Name	SSL-VPN
Outgoing Interface	port1
Source	LOCAL_SUBNET Remote-users (located under User)
Destination Address	all
Schedule	always
Service	ALL
Action	ACCEPT

- In the **Security Profiles** section, enable **Web Filter**, and then select **Category_Monitor**.

This web filter was preconfigured and is set to block the following categories: Potentially Liable, Adult/Mature Content, and partially blocking Security Risk.

- In the **Logging Options** section, enable **Log Allowed Traffic**, and then select **All Sessions**.

- Click **OK**.

6. Click **OK**.

The **SSL_VPN Settings** page reopens. Note that web mode access for SSL VPN is listening at <https://10.0.1.254:10443>.



To test whether aduser1 will be able to successfully authenticate

1. Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
2. At the login prompt, enter the user name `admin` (all lower case).
3. Type the following command:

```
diagnose test authserver ldap <LDAP server name> <LDAP user name> <password>
```

Where:

- <LDAP server name> is ADserver (case-sensitive)
- <LDAP user name> is aduser1
- <password> is Training!

A message like the following example should appear to indicate that authentication was successful:

```
Local-FortiGate # diagnose test authserver ldap ADserver aduser1 Training!
authenticate 'aduser1' against 'ADserver' succeeded!
Group membership(s) - CN=AD-users,OU=Training,DC=trainingAD,DC=lab
```

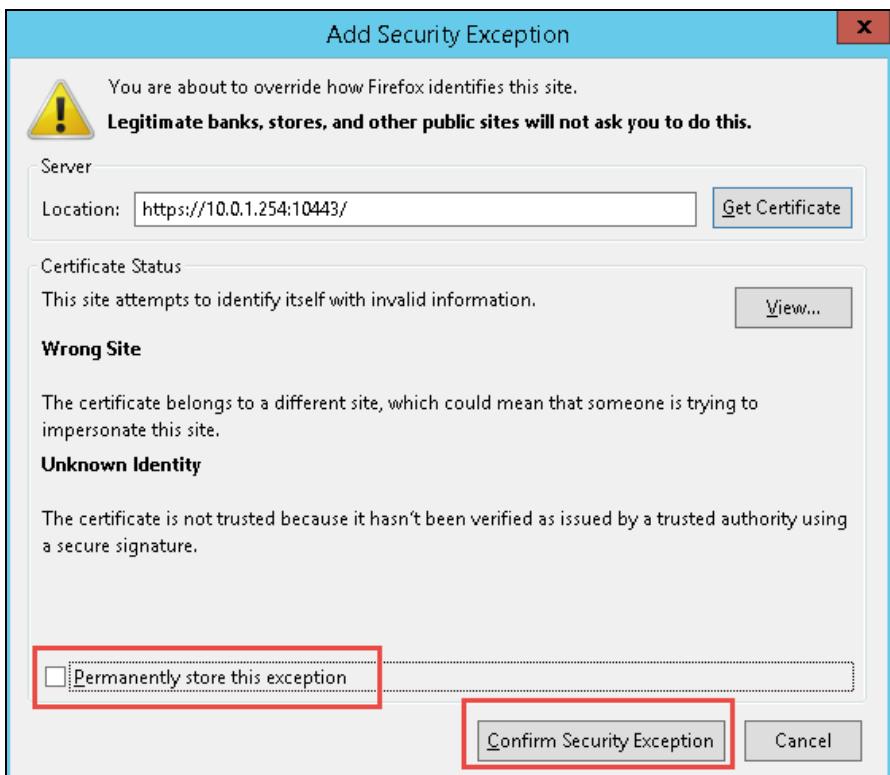
4. Close PuTTY.

Authenticate and Monitor

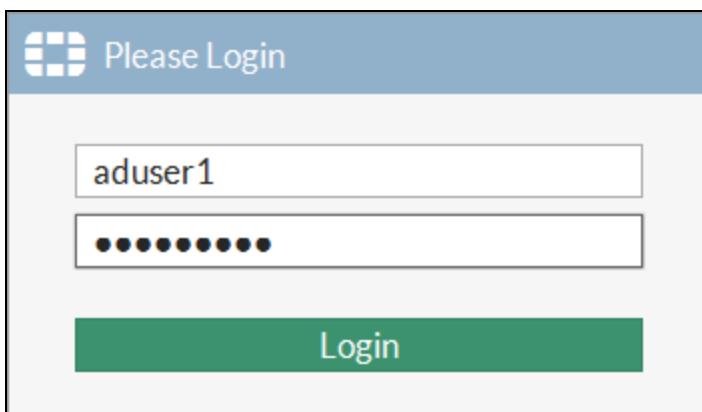
Now, you will authenticate through the preconfigured SSL VPN as aduser1. This user is a member of the **Remote_users** group on FortiGate. Then, you will monitor the authentication.

To authenticate as a remote user

1. Continuing on the Local-Windows VM, open a new browser tab and go to <https://10.0.1.254:10443>. This is the web mode access for SSL VPN.
If you receive an error that indicates your connection is not secure, click **Advanced**, and then select **Add Exception**.
2. Clear the **Permanently store this exception** check box and click **Confirm Security Exception**.



- Log in as aduser1 with the password Training !



The SSL VPN Web portal opens.



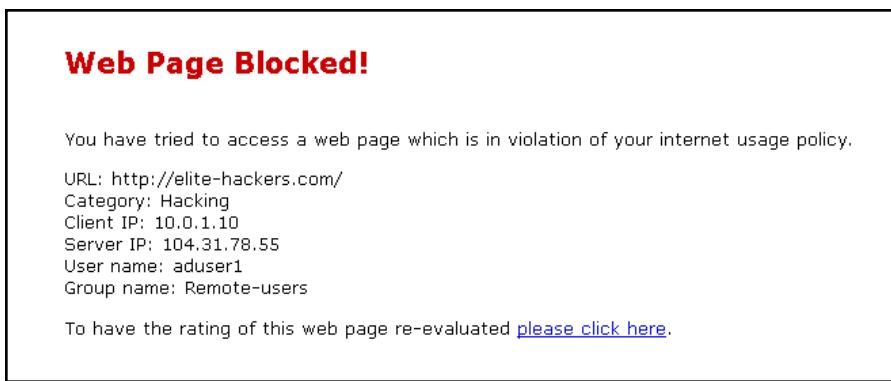
- Click **Quick Connection**.

- In the **URL** field, type www.google.com, and then click **Launch**.

The site launches successfully.

6. Return to your browser tab with the SSL-VPN portal and click **Quick Connection** again. This time, in the **URL** field, type `elite-hackers.com` and then click **Launch**.

This URL is set to be blocked by the Web Filter security profile you enabled in the SSL VPN firewall policy.



7. Remain logged in to the SSL VPN portal and continue to the next procedure.

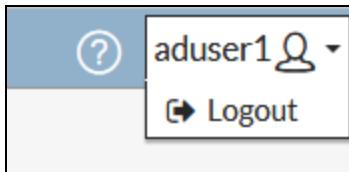
To monitor user authentications

1. Return to the browser tab where you are logged in to Local-FortiGate as `admin`.
2. To monitor `aduser1`, do one of the following to view this login authentication:
 - Click **FortiView > VPN**, and double-click the `aduser1` entry to view more details.
 - Click **Monitor > SSL-VPN Monitor**.
3. To view the activity of `aduser1`, do one of the following:
 - Click **FortiView > All Sessions**.
 - Click **Log & Report > Forward Traffic**.
 - Click **Log & Report > Web Filter**.



If you do not see a **Web Filter** menu item, refresh your browser tab.

4. Return to the browser tab where you are logged in to the SSL VPN portal and log out.



5. Return to the browser tab with the Local-FortiGate GUI, and go to **Monitor > SSL-VPN Monitor**.

Stop and think!

Why does aduser1 no longer appear in the SSL-VPN monitor?

The user no longer appears in the SSL-VPN monitor because the connection is no longer active. However, **FortiView > VPN** retains the login information.

6. Close your browser.

Exercise 2: Configuring Captive Portal

In this exercise, you will configure captive portal and restrict access to a specific user group. Captive portal is a convenient way to authenticate web users on wired or Wi-Fi networks using an HTML form that requests a user name and password (active authentication).

This exercise involves creating a user group (and adding a user to it), enabling captive portal and restricting access based on that group, and enabling the disclaimer message.

Finally, you will authenticate through captive portal and monitor the authentication.

Create a User Group for Captive Portal

Because the goal is to enable captive portal based on a specific group, you must first create a user group and then add a user to the group. For the purposes of this exercise, you will add the user **student** to the group. Student is a local user on FortiGate that was preconfigured.

To create a user group for captive portal

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **User & Device > User Groups**, and then click **Create New**.
3. Create a captive portal user group using the following settings:

Field	Value
Name	CP-group
Type	Firewall
Members	student

4. Click **OK**.

Enable Captive Portal

Now, you will enable captive portal on a wired network.

To enable captive portal

1. Continuing on the Local-FortiGate GUI, click **Network > Interfaces**, and then edit **port3**. This port is your incoming traffic. For more information, see [Network Topology on page 9](#).
2. In the **Admission Control** section, enable captive portal using the following settings:

Field	Value
Security Mode	Captive Portal
Authentication Portal	Local
User Access	Restricted to Groups
User Groups	CP-group

- Click **OK**.

Enable the Disclaimer Message

To provide a disclaimer message to users who are logging in through captive portal, you must enable disclaimers. Because you are enabling captive portal through a wired interface, you can enable disclaimers only using the CLI.



If you enable captive portal using Wi-Fi, you can enable disclaimers using the GUI (**WiFi & Switch Controller > SSID**). You are using a wired interface in this lab.

To enable the disclaimer message

- Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
- At the login prompt, enter the user name `admin` (all lower case).
- Type the following commands:

```
config firewall policy
edit 1
set disclaimer enable
end
```

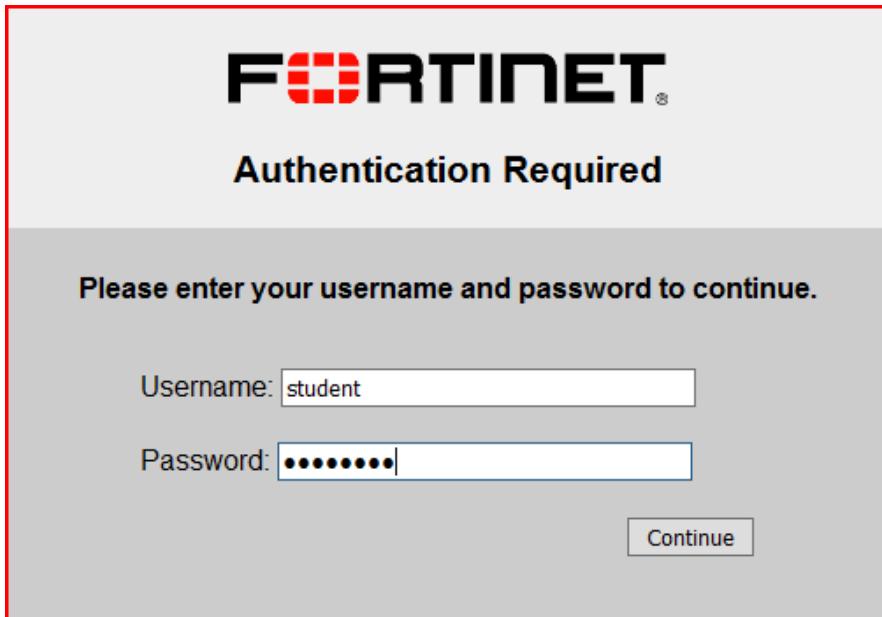
- Close PuTTY.

Authenticate and Monitor

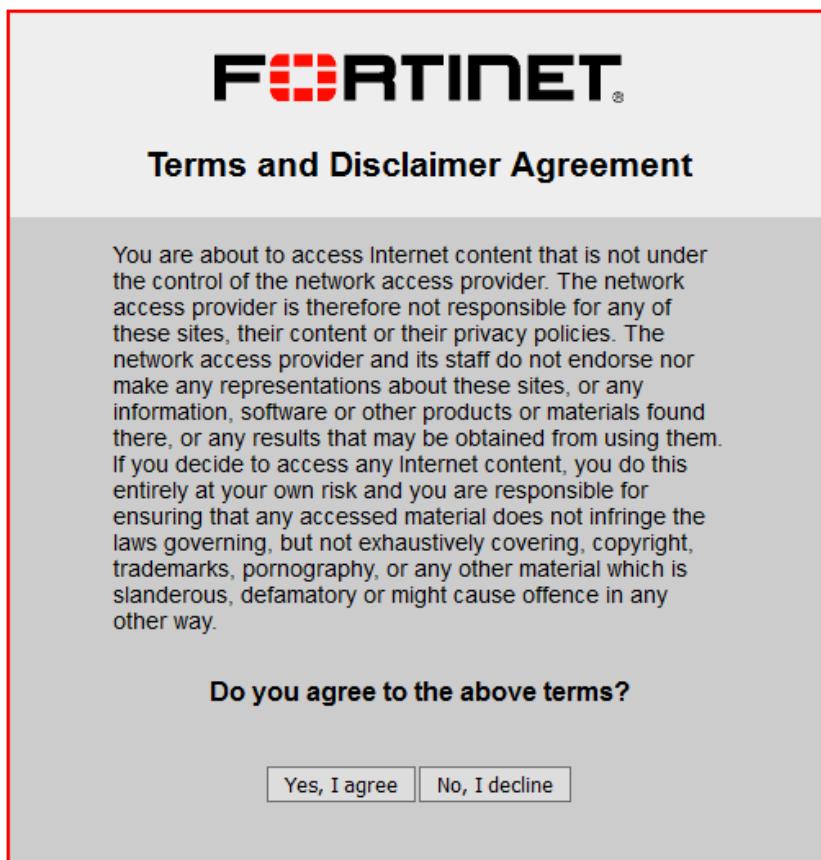
Now that captive portal is configured and the disclaimer is enabled, you can test the configuration by authenticating through captive portal as the **student** user. Then, you will monitor the authentication as the **admin** user.

To authenticate through captive portal

- Continuing on the Local-Windows VM, open a new browser tab and go to a website, such as www.bbc.com.
- When prompted, log in with the username `student` and password `fortinet`.



The **Terms and Disclaimer Agreement** dialog opens.



3. Click **Yes, I agree**.

After you agree to the terms, you are redirected to the website you originally requested.

4. Open additional browser tabs and access a few more websites through captive portal, for example:

- www.youtube.com
- www.cnn.com

5. Leave all browser tabs open and continue to the next procedure.

To monitor active captive portal authentications

1. Continuing on the Local-Windows VM, return to the browser tab where you are logged in to the Local-FortiGate GUI as admin.
2. Monitor the student user. To view this login authentication, click **Monitor > Firewall User Monitor**.

User Name	User Group	Duration	IP Address	Traffic Volume	Method
student	CP-group	9 minutes 41 seconds	10.0.1.10	264.96 kB	Firewall



While the CLI config user setting dictates how long a user authenticating through captive portal can remain authenticated, you can choose to manually revoke a captive portal user's authentication by selecting the user in the **Firewall User Monitor** list and clicking **De-authenticate**. Once deauthenticated, the user disappears from the list, because it is reserved for active users only.

3. Select **student** and click **De-authenticate** to manually end the user's session.
4. Click **OK**.
5. Close the browser.

Lab 5: Logging and Monitoring

In this lab, you will configure log settings on Local-FortiGate, configure alert email, and view logs.

Objectives

- Configure logging on FortiGate so FortiGate understands how to log traffic.
- Configure threat weight.
- Monitor logs through alert emails.
- View logs on the Local-FortiGate GUI.

Time to Complete

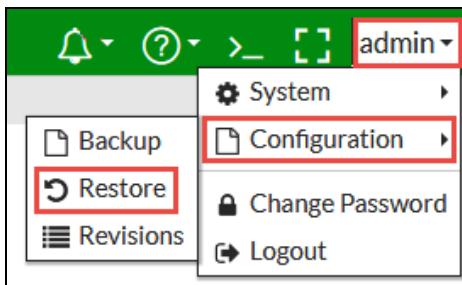
Estimated: 35 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate. After the reboot, you must also check your web filter license status, because you will be using web filtering in this lab and it must show as licensed.

To restore the Local-FortiGate configuration file

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
- In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > Logging > local-logging.conf**, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

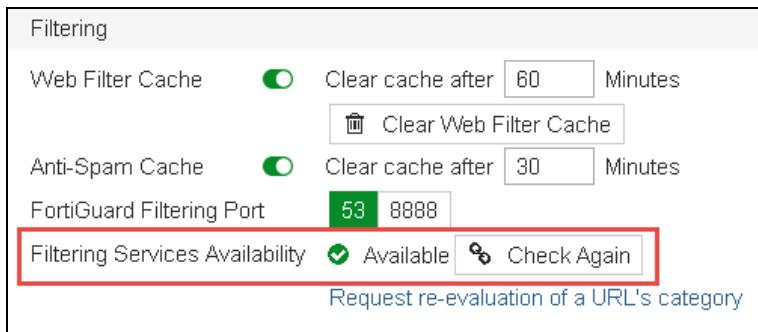
To check the web filter license status upon reboot

- Continuing on the Local-Windows VM, log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.

2. Select **Dashboard**, and in the **License Information** widget, verify that there is a green check mark next to **Web Filtering**, indicating the service is licensed and active.

If it is licensed, continue to [Configuring Log Settings on page 94](#)

3. If there is a grey ? icon next to **Web Filtering**, indicating the license status is unavailable, complete the following:
 - a. Click **System > FortiGuard**.
 - b. Scroll to the bottom of the page, and then, next to **Filtering Services Availability**, click **Check Again** to force an update.



- c. Click **OK** to confirm.

You should see a confirmation message indicating that the web filtering service is available.

Exercise 1: Configuring Log Settings

To record network activity, you must configure logging on FortiGate. In this exercise, you will configure the log settings.

Configure Log Settings

Configuring log settings does not generate logs directly on FortiGate. Rather, log settings define if, where, and how a log is stored.

The objective of this exercise is to prepare the log settings on Local-FortiGate. For the purposes of this lab, this includes:

- Enabling disk logging, so that logs are stored locally on FortiGate.
- Enabling **Historical FortiView**, so that more than just real-time information is captured in the FortiView dashboards.
- Configuring event logging for all activity, to track and monitor events that occur on FortiGate.
- Disabling **Local Traffic** logging, to prevent filling up your disk too quickly with traffic going directly to and from FortiGate.
- Setting the GUI to display logs from disk, so that your log view is from the logs stored locally on FortiGate.
- Configuring FortiGate to resolve hostnames, so that FortiGate performs reverse DNS lookups for all the IPs and makes searching logs easier.

Take the Expert Challenge!

Configure the log settings on Local-FortiGate (`10.0.1.254 | admin / <blank password>`) according to the objective stated above.

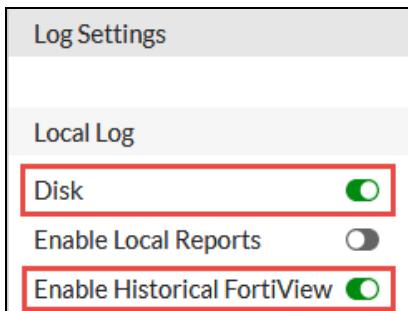
If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Configure Threat Weight on page 96](#).

To configure the log settings

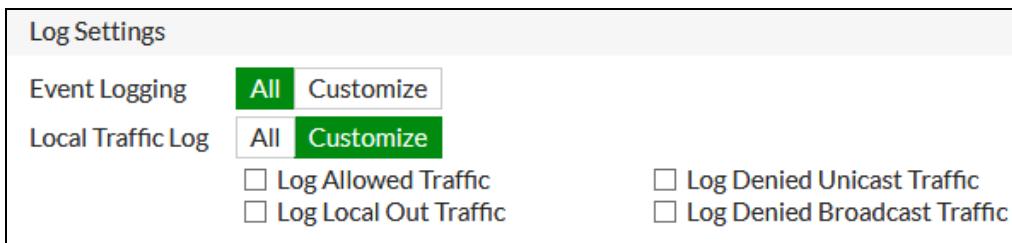
1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Log & Report > Log Settings**.
3. In the **Local Log** section, enable the following:

Field	Value
Disk	<enable>
Enable Historical FortiView	<enable>



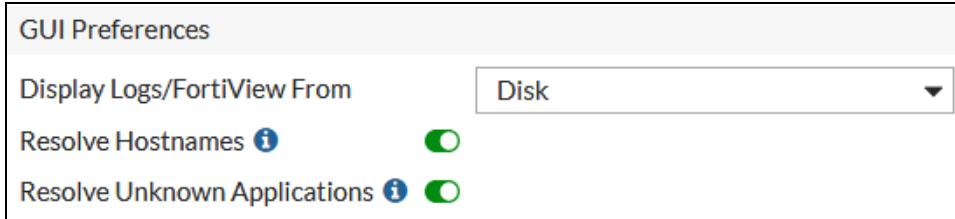
4. In the **Log Settings** section, make sure the following settings are configured:

Field	Value
Event Logging	All Event logs provide all of the system information generated by the FortiGate device (they are not caused by traffic passing through firewall policies). However, it is good practice to track and monitor events that occur on FortiGate.
Local Traffic Log	Customize - with all settings disabled These logs record traffic directly to and from FortiGate and can fill up your disk quickly if not properly managed and monitored. For the purposes of this lab, leave all local traffic log options disabled.



5. In the **GUI Preferences** section, configure the following:

Field	Value
Display Logs/FortiView From	Disk
Resolve Hostnames	<enable> Resolving hostnames requires FortiGate to perform reverse DNS lookups for all the IPs and makes searching logs easier.



6. Click **Apply**.

Configure Threat Weight

To prioritize solving the most relevant issues easily, you can configure severity levels for IPS signatures, web categories, and applications that are associated with a threat weight (or score). Threat weight allows you to set the risk values for low, medium, high, and critical levels, and then apply a threat weight to specific categories.

The objective of this task is to set the following categories to critical status:

- **Malicious Websites**
- **Hacking**
- **Explicit Violence**
- **Pornography**

You will use threat weight later when searching for logs at a specific threat weight.

To configure threat weight

1. Continuing on the Local-FortiGate GUI, click **Log & Report > Threat Weight**.
2. In the **Web Activity** section, select the **Critical** option for the following categories:

Web Activity					
Blocked URLs	Off	Low	Medium	High	Critical
Malicious Websites	Low	Medium	High	Critical	
Phishing	Low	Medium	High	Critical	
Spam URLs	Low	Medium	High	Critical	
Drug Abuse	Low	Medium	High	Critical	
Hacking	Low	Medium	High	Critical	
Illegal or Unethical	Low	Medium	High	Critical	
Discrimination	Low	Medium	High	Critical	
Explicit Violence	Low	Medium	High	Critical	
Extremist Groups	Low	Medium	High	Critical	
Proxy Avoidance	Low	Medium	High	Critical	
Plagiarism	Low	Medium	High	Critical	
Child Abuse	Low	Medium	High	Critical	
Peer-to-peer File Sharing	Low	Medium	High	Critical	
Pornography	Low	Medium	High	Critical	

3. In the **Risk Level Values** section, record the value associated with the **Critical** risk level.

You will use this information later to search for logs using the risk level value as a filter.

Risk Level	Value
Critical	

4. Click **Apply**.

Exercise 2: Enabling Logging on Firewall Policies

Now that you've defined if, where, and how a log is stored using the FortiGate log settings, you must define whether logs are generated. To accomplish this, you must enable logging on your firewall policy. A log message can generate only when logging is enabled on a firewall policy.

Enable Logging on a Firewall Policy

For the purposes of this lab, two firewall policies have been created for you. However, you will now need to configure these firewall policies for logging.

The two firewall policies are:

- **IPS**: You will use this firewall policy to capture IPS traffic.
- **Full Access**: You will use this firewall policy to capture antivirus, web filter, DNS, and application control traffic.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), configure logging for *all sessions* on both the **IPS** and **Full Access** firewall policies. Enable the following security profiles:

IPS

- IPS | high_security

Full Access

- AntiVirus | default
- Web Filter | Category-block-and-warning
- DNS Filter | default
- Application Control | block-high-risk

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Monitoring Logs Through Alert Email on page 101](#).

To enable logging on the **IPS** firewall policy

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. Click **Policy & Objects > IPv4 Policy**, and then edit the **IPS** firewall policy.

Seq.#	Name	Source	Destination	Schedule	Service	Action	NAT	Security Profiles	Log
	port1 - port3(1 - 1)								
1	IPS	all	VIP-for-Linux	always	ALL	ACCEPT	Enabled		UTM
	port3 - port1(2 - 2)								
2	Full Access	LOCAL_SUBNET	all	always	ALL	ACCEPT	Enabled		UTM
	Implicit (3 - 3)								

2. In the **Security Profiles** section, configure the following:

Security Profile	Profile
IPS	high_security

3. In the **Logging Options** section, enable **Log Allowed Traffic**, and then select **All Sessions**.

Remember, you will not get logs of any kind if **Log Allowed Traffic** is not enabled.

Logging Options

Log Allowed Traffic	<input checked="" type="checkbox"/>	Security Events	All Sessions
Generate Logs when Session Starts	<input type="checkbox"/>		
Capture Packets	<input type="checkbox"/>		

4. Click **OK**.

You've successfully enabled logging on your firewall policy. Later in this lab, you will test these log settings.

To enable logging on the Full Access firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**, and then edit the **Full Access** firewall policy.

Seq.#	Name	Source	Destination	Schedule	Service	Action	NAT	Security Profiles	Log
	port1 - port3(1 - 1)								
1	IPS	all	VIP-for-Linux	always	ALL	ACCEPT	Enabled		UTM
	port3 - port1(2 - 2)								
2	Full Access	LOCAL_SUBNET	all	always	ALL	ACCEPT	Enabled		UTM
	Implicit (3 - 3)								

2. In the **Security Profiles** section, configure the following:

Security Profile	Profile
AntiVirus	default
Web Filter	Category-block-and-warning
DNS Filter	default
Application Control	block-high-risk

3. In the **Logging Options** section, enable **Log Allowed Traffic**, and then select **All Sessions**.

Remember, you will not get logs of any kind if **Log Allowed Traffic** is not enabled.

Logging Options

Log Allowed Traffic	<input checked="" type="radio"/>	Security Events	All Sessions
Generate Logs when Session Starts	<input checked="" type="radio"/>		
Capture Packets	<input checked="" type="radio"/>		

4. Click **OK**.

You've successfully enabled logging on your firewall policy. Later in this lab, you will test these log settings.

Exercise 3: Monitoring Logs Through Alert Email

In this exercise, you will configure alert emails, run some traffic through the Local-FortiGate, and view alert emails.

Configure Alert Emails

Because you can't always be physically at the FortiGate device, you can monitor events by setting up alert emails. Alert emails provide an efficient and direct method of notifying an administrator of events.



An SMTP mail server is required for alert email to operate. Because configuring a mail server is out of scope for this lab, it has been preconfigured for you. You can view the email service configuration on the Local-FortiGate GUI by clicking **System > Advanced**.

To configure email alerts

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Log & Report > Email Alert Settings**.
3. Turn on the **Enabled** switch.
The page loads with configuration options.
4. In the **Email Alert Settings** section, configure the following:

Field	Value
From	FortiGate@training.lab
To	admin@training.lab
Alert parameter	Events
Interval	1

4. In the **Security** section, enable the following:
 - **Intrusion detected**
 - **Web Filter blocked traffic**
5. Click **Apply**.

Generate Traffic

For the purposes of this lab, you must generate traffic so you can see the logs collected by FortiGate.



The traffic you generate will go through Local-FortiGate. You have already enabled the security policy on the IPS firewall policy and enabled logging for all sessions.

You will use two different tools to create different types of traffic.

Generate Traffic Through FIT

The Firewall Inspection Tester (FIT) program on the FIT VM generates web browsing traffic, application control, botnet IP hits, malware URLs, and malware downloads.

In this lab, you will direct FIT-generated traffic through the Local-FortiGate. The FIT is behind port3 on the Local-FortiGate. The traffic from FIT will go through the **Full Access** firewall policy. For more information, see [Network Topology on page 9](#).

You configured the **Full Access** firewall policy to include the following security policies and logging options:

The screenshot shows the 'Security Profiles' configuration window. It lists several security policies with their current status and selected profiles:

Policy	Status	Profile
AntiVirus	On	AV default
Web Filter	On	WEB Category-block-and-warning
DNS Filter	On	DNS default
Application Control	On	APP block-high-risk
IPS	Off	
Proxy Options	On	PRX default
SSL/SSH Inspection	On	SSL certificate-inspection

Below this, the 'Logging Options' section contains the following settings:

Option	Status	Selected Profile
Log Allowed Traffic	On	Security Events
Generate Logs when Session Starts	Off	
Capture Packets	Off	



Because FIT-generated traffic will originate from the IP of the FIT VM (10.0.1.20), all these logs will show the same source IP in the logs. This is a limitation of the lab environment. In a real-world scenario, you will likely see many different source IPs for your traffic.

To generate traffic through FIT

1. Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **FIT** saved session.
2. At the login prompt, enter `student` with the password `password`.
3. Type the following commands:

```
cd FIT
```

```
./fit.py all --repeat
```

Traffic begins to generate and repeats the script each time it completes.

```
[+] Network connection is okay
[+] Repeat, repeat, repeat...
[+] IP Reputation Test
[+] Fetching bad ip list... Done
[###-----] 9% 0d 00:01:23
```

- Leave the PuTTY session open (you can minimize it) so traffic continues to generate.

This will run throughout the remainder of this lab.



Do not close the FIT PuTTY session or traffic will stop generating.

Generate Traffic Through Nikto

Nikto generates intrusion prevention system (IPS) traffic.

You will direct the Nikto-generated traffic through Local-FortiGate. Nikto is running on the Linux VM, and the traffic will go through the egress to ingress firewall policy named **IPS**. For more information, see [Network Topology on page 9](#).

You configured the **IPS** firewall policy to include the following security policy and logging options:

Security Profiles	
AntiVirus	<input type="checkbox"/>
Web Filter	<input type="checkbox"/>
DNS Filter	<input type="checkbox"/>
Application Control	<input type="checkbox"/>
IPS	<input checked="" type="checkbox"/> IPS high_security ▼ ✎
SSL/SSH Inspection	<input type="checkbox"/> SSL certificate-inspection ▼ ✎
Logging Options	
Log Allowed Traffic	<input checked="" type="checkbox"/> Security Events All Sessions
Generate Logs when Session Starts	<input type="checkbox"/>
Capture Packets	<input type="checkbox"/>



Because Nikto-generated traffic will originate from the IP of the Linux VM where Nikto is installed (10.200.1.254), all these logs will show the same source IP in the FortiGate logs. This is a limitation of the lab environment. In a real-world scenario, you will likely see many different source IPs for your traffic.

To generate traffic through Nikto

1. Continuing on the Local-Windows VM, open a second PuTTY application and connect over SSH to the **LINUX** saved session.
2. Log in as student with password **password**.
3. Type the following command:

```
nikto.pl -host 10.200.1.10
```

The vulnerability scanning will result in traffic beginning to generate.

```
- ***** SSL support not available (see docs for SSL install) *****
- Nikto v2.1.5
-----
+ Target IP:          10.200.1.10
+ Target Hostname:    10.200.1.10
+ Target Port:        80
+ Start Time:         2017-03-17 06:58:33 (GMT-7)
-----
+ Server: Microsoft-IIS/8.5
+ Server leaks inodes via ETags, header found with file /, fields: 0x35e578bc95b
2d11:0
+ The anti-clickjacking X-Frame-Options header is not present.
+ No CGI Directories found (use '-C all' to force check all possible dirs)
+ Server banner has changed from 'Microsoft-IIS/8.5' to 'Microsoft-HTTPAPI/2.0'
which may suggest a WAF, load balancer or proxy is in place
```

The scan will continue for approximately 25 minutes. The dialog displays an **End Time** and indication that **1** host is tested when complete.

```
+ End Time:           2017-03-17 07:33:35 (GMT-7) (2102 seconds)
-----
+ 1 host(s) tested
```

You can run the command again after the scan completes (press the up arrow and then press Enter) to generate more logs, but it's not required. One cycle will provide enough logs for the purposes of this lab.

4. Leave the PuTTY session open (you can minimize it) so traffic continues to generate.

This will run for the remainder of the lab.



Do not close the LINUX PuTTY session or traffic will stop generating.

View Alert Emails

Now that traffic is being sent through your FortiGate, you can check the admin@training.lab email to see if any alerts have been generated based on that traffic. You configured the alert email to generate an alert every one minute any time an intrusion is detected by the IPS security profile on the **IPS** firewall policy, and any time the web filter security profile blocks traffic on the **Full Access** firewall policy.

The log message that accompanies an alert provides more details about the traffic that caused the alert.

To view your alert emails

- Continuing on Local-Windows, on the desktop, open Mozilla Thunderbird.



- Select the inbox of the admin@training.lab email account and click **Get Messages**.

You should see a message in the admin inbox with a subject of "Message meets Alert condition". If no email appears in the inbox, wait 30 seconds, and then click **Get Messages** again.

- Open any alert email and review the log message.

As you can see, the log message is in raw format. In the web filter example below (you may receive a different log message), the log message header provides the `type` (utm) and `subtype` (webfilter). The log message body provides information about the web filter security profile that was applied to the traffic (Category_block-and-warning), the action it took (blocked), and the category description of the traffic (Malicious Websites).

```

From: FortiGate@training.lab
Subject: Message meets Alert condition
To: Me <admin@training.lab>
11:29 AM

Message meets Alert condition
date=2017-11-06 time=11:29:40 devname=Local-FortiGate devid=FGVM010000064692 logid="0316013056" type="utm" subtype="webfilter" eventtype="ftgd_blk"
level="warning" vd="root" logtime=1509996580 policyid=1 sessionid=6629 srcip=10.0.1.20 srcport=39232 srcintf="port3" srcintfrole="undefined"
dstip=38.130.218.117 dstport=80 dstintf="port1" dstintfrole="undefined" proto=6 service="HTTP" hostname="38.130.218.117" profile="Category-block-
and-warning" action="blocked" rectype="direct" url="/mme.gif" sentbyte=152 rcvbyte=0 direction="outgoing" msg="URL belongs to a denied category in policy"
method="domain" cat=26 catedesc="Malicious Websites" crscore=80 crlevel="critical"

```

- Open another alert email and record the following information from a single *web filter* log:

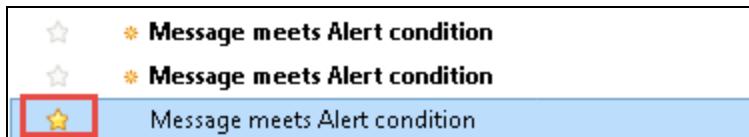
Field	Value
date	
time	
logid	
subtype	
level	

Field	Value
sessionid	
profile	
catdesc	
crscore	

You will locate this log on the Local-FortiGate GUI in the next exercise.

5. Select the email of the log you recorded by clicking the star icon to the left of the email subject.

The star icon turns yellow.



If you would like to review more alert emails, click **Get Messages** in your admin inbox again. You configured your alert email to send messages that meet the alert condition every one minute.

6. Close the Thunderbird email client when you are finished.

Exercise 4: Viewing Logs on the FortiGate GUI

In this exercise, you will view logs using both the **Log & Report** and **FortiView** menus of the Local-FortiGate GUI. You will also configure filter options to locate specific logs.

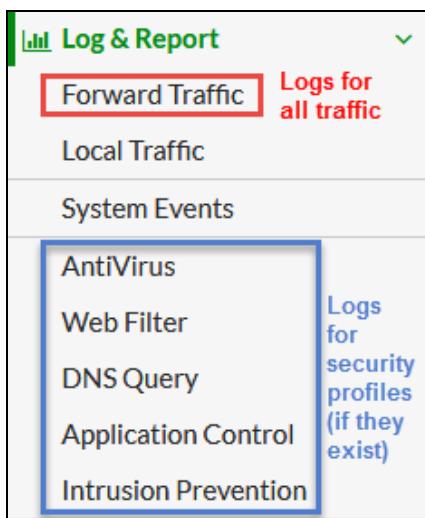
View Logs from Log & Report

In this exercise, you will examine the logs on the Local-FortiGate GUI, based on the traffic you generated from the FIT VM and Nikto.

Forward Traffic

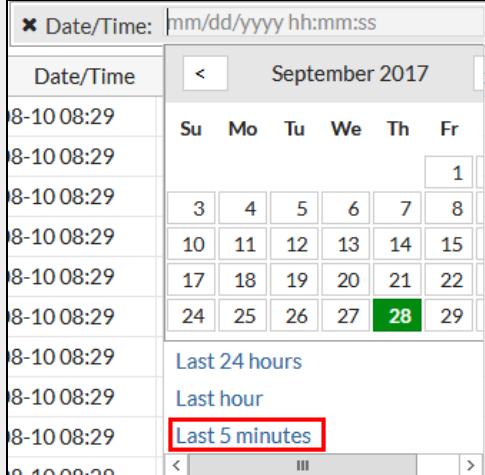
The first place you will examine logs is on the **Forward Traffic** page.

All security profile-related logs are tracked within the forward traffic logs, so you can search all forward traffic in one place. This is helpful if you are looking to see all activity from a particular address, security feature, or traffic. Security profile logs are still tracked separately in the GUI, but only appear when logs exist.



To view and filter forward traffic logs

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Log & Report > Forward Traffic**.
3. To narrow down the logs (results), on the search bar, click **Add Filter**, and then add some filters. For example:

Filter	Value
Date/Time	Last 5 Minutes This filters on all logs from the last 5 minutes.
	
Result	Deny (all) This filters on all blocked traffic.
Threat Score	>=50 This filters on all Web activity greater than or equal to the Critical (50) risk level. Remember, you set Malicious Websites, Hacking, Explicit Violence, and Pornography to the critical risk level.



If the information on which you are filtering does not appear in the table, you may need to add the related column to the table. To do so, right-click any column in the table and select the column you want to add. For example, to view the **Threat Score** column, add **Threat Score**. At the bottom of the list, click **Apply** to refresh the table with the new column.

- Double-click the log you want to view.
- The **Log Details** pane appears on the right side of the page.

Log Details

Details		Security
General		
Date	11/08/2017	
Time	10:19:26	
Duration	31s	
Session ID	30463	
Virtual Domain	root	
NAT Translation	Source	
Source		
IP	10.0.1.20	
NAT IP	10.200.1.1	
Source Port	49144	
Country	Reserved	
Source Interface	port3	
Destination		
IP	160.153.16.11	
Host Name	icpem.com	
Port	80	
Country	United States	
Destination Interface	port1	
Application		
Sensor	block-high-risk	
Application Name	HTTP.BROWSER	
ID	15893	
Category	Web.Client	
Risk		
Protocol	tcp	

5. View both the **Details** and **Security** tabs to see what information is available.

Security Profile Logs

Now, you will examine the security profile logs, which are tracked separately on the GUI. The menu item for the specific security profile only appears on the GUI if logs of that type exist.

To view web filter logs

1. Continuing on the Local-FortiGate GUI, click **Log & Report > Web Filter**.



If this menu item does not display, you can refresh the page, or log out of the Local-FortiGate GUI and log in again.

2. Locate the log in the alert email that you recorded in [To view your alert emails on page 105](#) by using log filters.

Stop and think!

Which filter would best return the specific log you are seeking? For example, filters based on log subtype or crscore would most likely return too many logs, making the search inefficient.

Answer: **Log original timestamp** or **Session ID**.

3. After you locate the log, double-click the entry to view the log details.

As you can see, the log details in the alert email are the same as the log details on the GUI. The only difference is the format. Alert emails provide the log detail information in raw format, while the GUI provides the log detail information in a formatted format.

View and Filter IPS Logs

In this exercise, you will view and filter IPS logs.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- View the GUI page that shows intrusion prevention logs only.
- Filter for a log with the attack name **Web.Server.Password.Files.Access**.
- View information about the attack on FortiGuard.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [View Logs in FortiView on page 111](#).

To view and filter IPS logs

1. Continuing on the Local-FortiGate GUI, click **Log & Report > Intrusion Prevention**.
2. Click **Add Filter**, and then, in the drop-down list, select **Attack Name**.
3. Type (or search for) `Web.Server.Password.Files.Access`.
4. Double-click a log to view more information about the attack.
5. In the **Log Details** pane, under **Intrusion Prevention**, click the reference link.

Intrusion Prevention	
Profile Name	high_security
Attack Name	Web.Server.Password.Files.Acc
Attack ID	43336
Reference	http://www.fortinet.com /ids/VID43336
Incident Serial No.	2087789654
Direction	outgoing
Severity	
Message	applications3: Web.Server.Password.Files.Acc

This takes you to the FortiGuard website, where you can gather more information about the specific attack, such as the description of the attack, affected products, impact, and recommended actions.

6. After you finish, close the FortiGuard tab.

View Logs in FortiView

FortiView is a comprehensive monitoring system for your network that integrates real-time and historical data into a single view on your FortiGate.

Now, you will view your logs in FortiView.

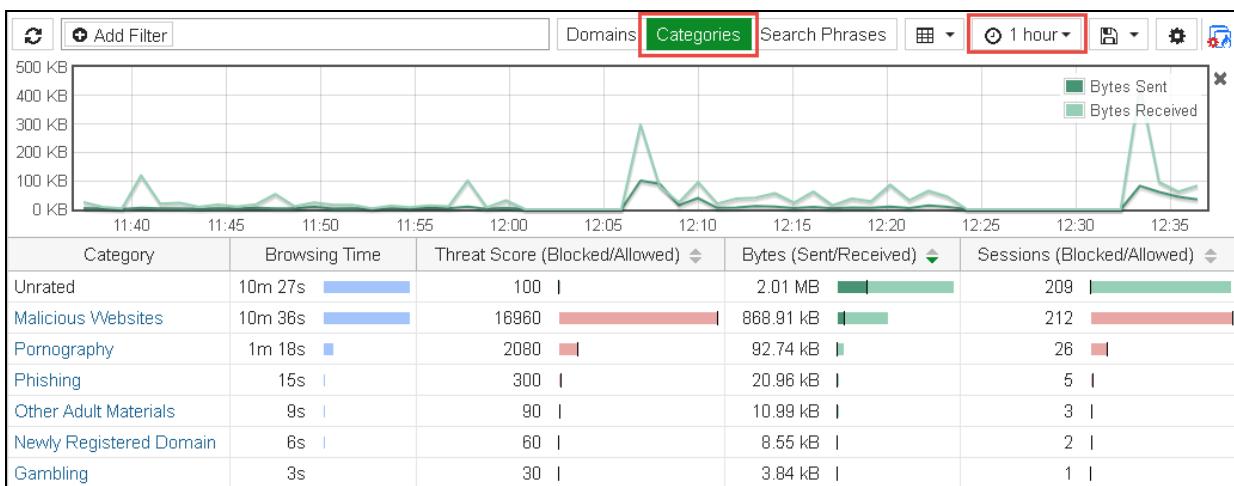
To view logs in FortiView

1. Continuing on the Local-FortiGate GUI, click **FortiView > Web Sites**.

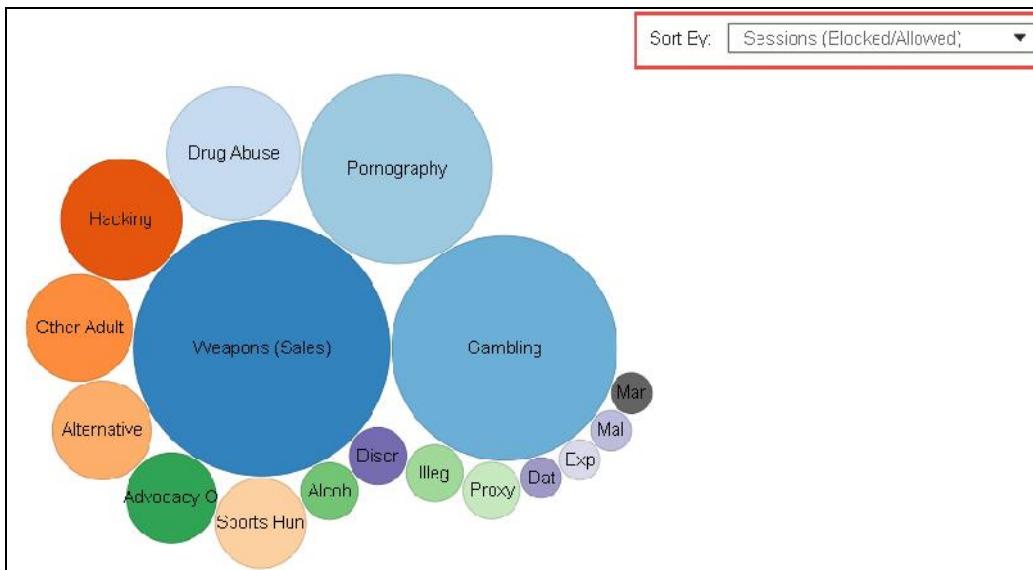
By default, the search settings are set to display logs being created **now**. If no logs are being created currently, the page will be blank. This is expected.

2. Use the search settings to display the Web activity in a different way. For example:

- Select **Categories** and **1 hour** to see the Web categories most accessed in the last hour.



- Click the table icon (), and then select **Bubble Chart**.
- Use the **Sort By** drop-down menu to display the information by **Threat Score**, **Sessions**, or **Bytes**.



- Click **FortiView > Threats**.
- Use the filters and sort options to examine different ways you can view the threats to the network.



Close both the FIT and LINUX PuTTY sessions to stop log generation.

Lab 6: Certificate Operations

In this lab, you will configure SSL deep inspection using a self-signed SSL certificate on FortiGate to inspect outbound traffic. You will also import a web server certificate on FortiGate and configure inbound SSL inspection.

Objectives

- Configure and enable SSL deep inspection on outbound traffic.
- Import an external web server certificate.
- Configure and enable SSL deep inspection on inbound traffic.

Time to Complete

Estimated: 40 minutes

Prerequisites

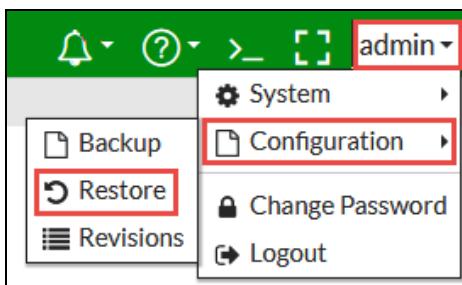
Before beginning this lab, you must restore a configuration file on each FortiGate.



Make sure to restore the correct configuration on each FortiGate using the following steps. Failure to restore the correct configuration on each FortiGate will prevent you from doing the lab exercise.

To restore the Remote-FortiGate configuration file

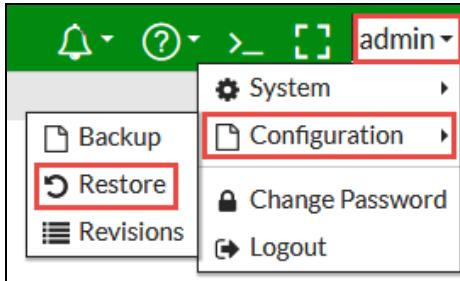
- On the Local-Windows VM, open a browser and log in to the Remote-FortiGate GUI at `10.200.3.1` as `admin` and leave the password field empty.
- In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > Certificate-Operations** > `remote-certificate-operations.conf`, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Certificate-Operations > local-certificate-operations.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Exercise 1: Configuring SSL Deep Inspection on Outbound Traffic

SSL deep inspection on outbound traffic allows FortiGate to inspect encrypted Internet-bound traffic, and apply security profiles to that traffic to protect your network and end users. FortiGate employs a man-in-the-middle (MITM) attack to inspect the traffic and apply security profiles such as antivirus, web filter, and application control.

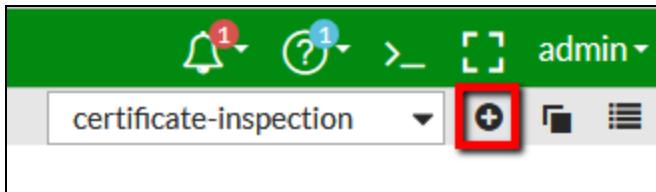
In this exercise, you will configure and enable SSL inspection on all outbound traffic.

Configure SSL Inspection

By default, FortiGate includes two security profiles for SSL/SSH inspection: **certificate-inspection** and **deep-inspection**, which you cannot modify. Because this exercise involves configuring FortiGate for SSL full inspection, you will configure a new SSL/SSH inspection profile for full SSL inspection.

To configure SSL inspection

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > SSL/SSH Inspection**.
3. In the upper-right corner, click the plus (+) icon to create a new profile.



4. In the **Name** field, type `Custom_Full_Inspection`.
5. At the bottom of the page, in the **Common Options** section, enable **Allow Invalid SSL Certificates**.
6. Click **OK**.

Enable SSL Inspection on a Firewall Policy

You must enable SSL inspection on a firewall policy to start inspecting traffic. However, you cannot enable SSL inspection by itself. The firewall policy must have one or more other security profiles enabled, because enabling SSL inspection tells FortiGate only how to handle encrypted traffic—you still need to tell FortiGate which traffic to inspect. For the purposes of this lab, you will enable the default web filter security profile.

To enable SSL inspection on a firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Double-click the **Full_Access** firewall policy to edit it.
3. In the **Security Profiles** section, enable the following security profiles:

Security Profile	Value
Web Filter	default
SSL/SSH Inspection	Custom_Full_Inspection
	This is the profile you created previously.

4. In the **Logging Options** section, enable **Log Allowed Traffic**, and select **All Sessions**.
5. Click **OK**.

Install the Fortinet_CA_SSL Certificate

FortiGate includes an SSL certificate called Fortinet_CA_SSL that you can use for full SSL inspection. It is signed by a certificate authority (CA) called FortiGate CA, which is not public. Because the CA is not public, your browser will display a certificate warning each time a user connects to an HTTPS site. This is because the browser is receiving certificates signed by FortiGate, which is a CA it does not know and trust. You can avoid this warning by downloading the Fortinet_CA_SSL certificate and installing it on all the workstations as a public authority.

In this procedure, you will first test access to an HTTPS site *without* the Fortinet_CA_SSL certificate installed. Then, you will install the Fortinet_CA_SSL certificate and test again.

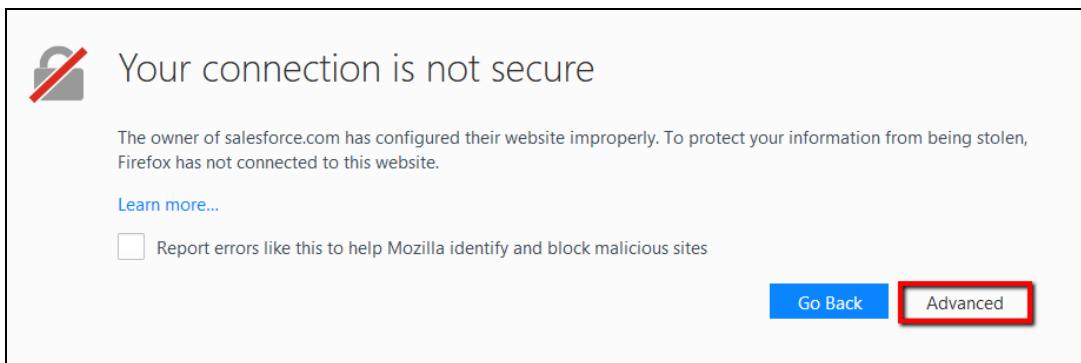
To test SSL deep inspection without a trusted CA

1. On the Local-Windows VM, open a new browser tab and go to an HTTPS site, such as:

<https://salesforce.com>

2. Click **Advanced**.

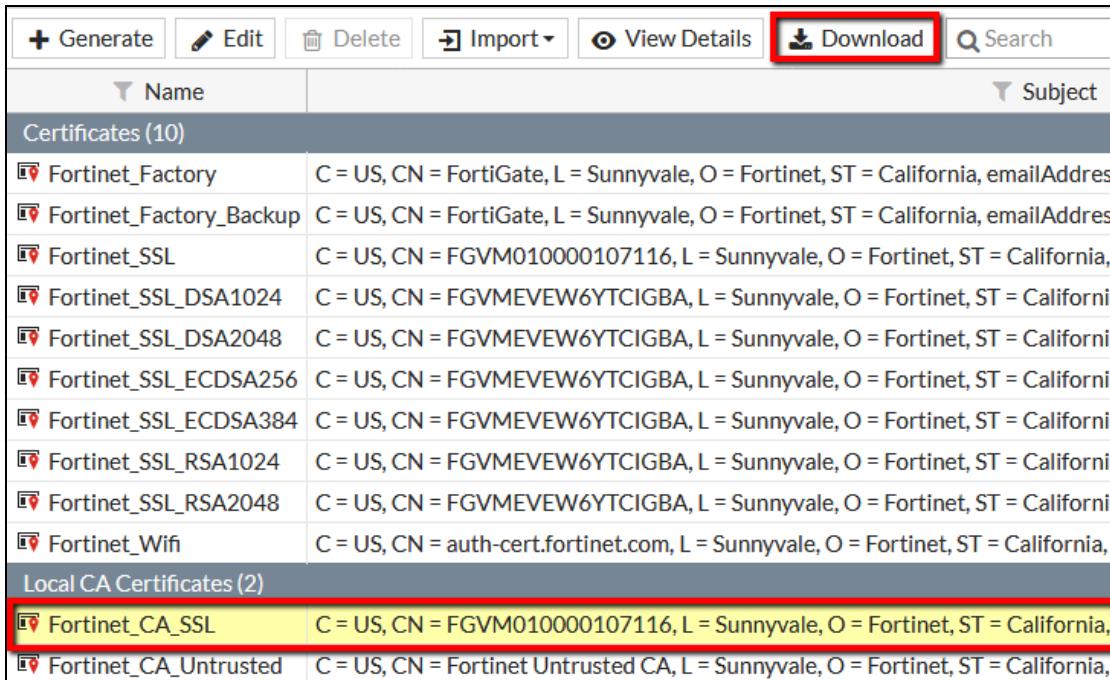
Notice the certificate warning. This appears because the browser is receiving certificates signed by the FortiGate CA's private key, and the corresponding CA certificate is not in the Local-Windows certificate store.



3. Leave the browser tab open and continue to the next procedure. *Do not add the exception.*

To install the Fortinet_CA_SSL certificate in the browser

1. Return to the browser tab where you are logged in to the Local-FortiGate GUI, and click **System > Certificates**.
2. In the **Local CA Certificates** section, click **Fortinet_CA_SSL**, and then click **Download**.

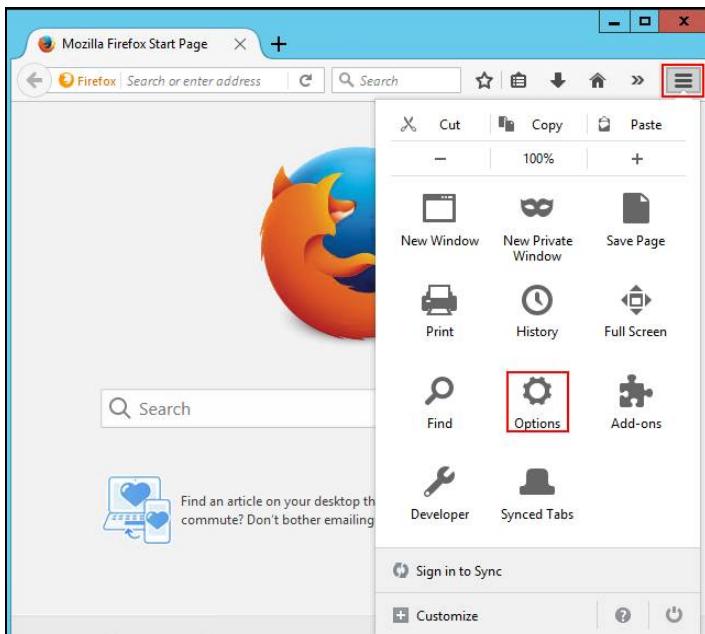


		Name	Subject
Certificates (10)			
	Fortinet_Factory	C = US, CN = FortiGate, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_Factory_Backup	C = US, CN = FortiGate, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL	C = US, CN = FGVM010000107116, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL_DSA1024	C = US, CN = FGVMEEVW6YTCIGBA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL_DSA2048	C = US, CN = FGVMEEVW6YTCIGBA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL_ECDSA256	C = US, CN = FGVMEEVW6YTCIGBA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL_ECDSA384	C = US, CN = FGVMEEVW6YTCIGBA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL_RSA1024	C = US, CN = FGVMEEVW6YTCIGBA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_SSL_RSA2048	C = US, CN = FGVMEEVW6YTCIGBA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_Wifi	C = US, CN = auth-cert.fortinet.com, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
Local CA Certificates (2)			
	Fortinet_CA_SSL	C = US, CN = FGVM010000107116, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	
	Fortinet_CA_Untrusted	C = US, CN = Fortinet Untrusted CA, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = fortinet@fortinet.com	

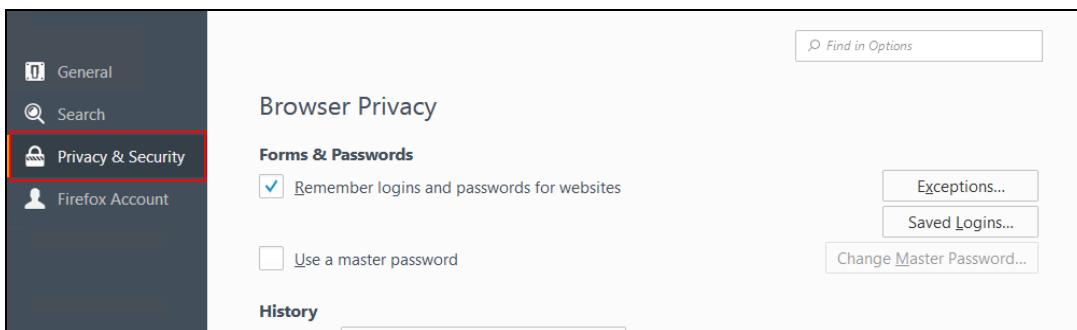
3. Click **Save File**.

The certificate downloads to your **Downloads** folder.

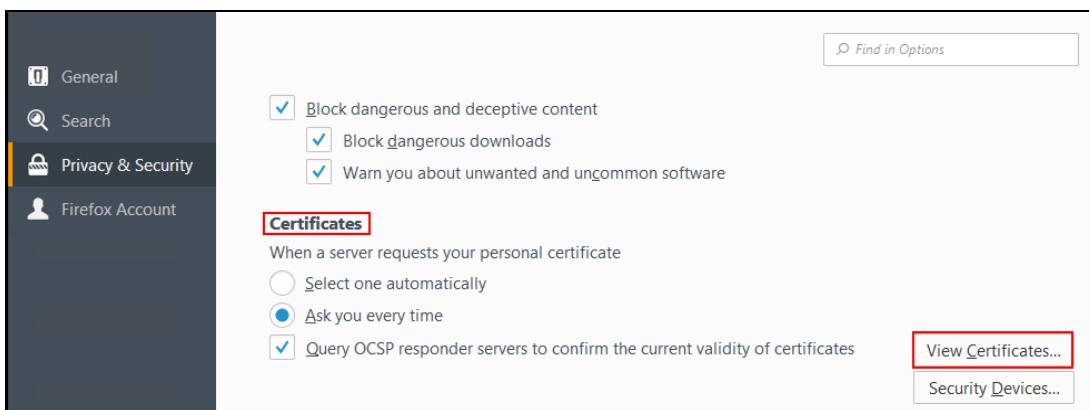
4. In Firefox, in the upper-right corner of the window, click the **Open menu** icon, and then click **Options**.



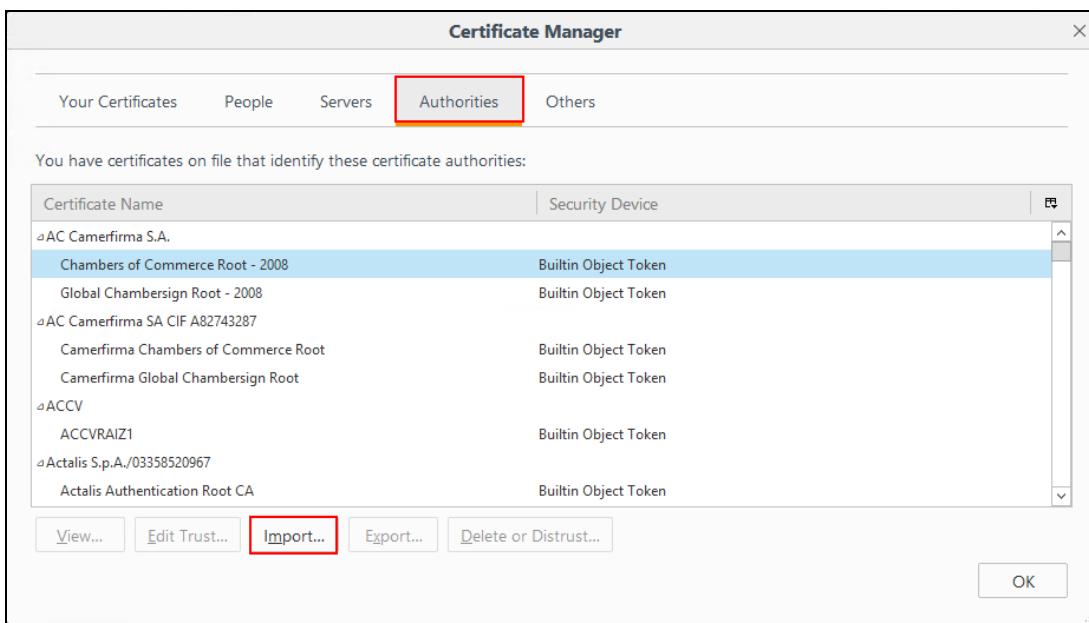
5. Click **Privacy & Security**.



6. In the Certificates section, click View Certificates.

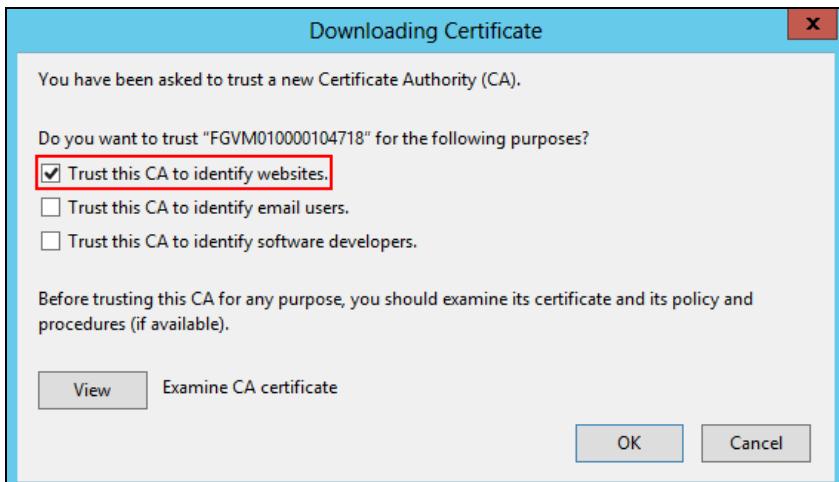


7. In the Certificate Manager window, click the Authorities tab, and then click Import.



8. Click Downloads > Fortinet_CA_SSL.cer, and then click Open.

9. In the Downloading Certificate window, select Trust this CA to identify websites, and then click OK.



The **Fortinet_CA_SSL** certificate is added to the Firefox **Authorities** certificate store.

10. Click **OK**.
11. Restart Firefox.

Test Full SSL Inspection

Now that you have added the Fortinet_CA_SSL certificate to your browser, you will not receive any certificate warnings when accessing a secure site.

The CA that signed this certificate is not public, but the browser is aware of it because you added it as a trusted authority in the previous exercise.

To test SSL full inspection

1. Continuing on the Local-Windows VM, open a new browser and go to a secure site, such as:

<https://salesforce.com>

This time you are passed through to the site without any certificate warnings.

2. Close the browser.

Exercise 2: Configuring SSL Deep Inspection on Inbound Traffic

You can use SSL deep inspection on inbound traffic to protect internal resources, such as web servers, that users can access on the Internet. Implementing inbound SSL deep inspection allows you to apply antivirus, IPS, and web application firewall (WAF) on encrypted traffic destined for your web servers, to protect them from malicious files and traffic.

In this exercise, you will import an external web server certificate to Local-FortiGate, and then configure SSL deep inspection to protect a web server with an antivirus profile.

Configure a Virtual IP and Firewall Policy

First, you will configure a virtual IP to map an external IP address to the web server's internal IP address. Then, you will configure a firewall policy to allow access to the virtual IP.

Take the Expert Challenge!

- On the Local-FortiGate GUI, configure a new virtual IP to map the external IP, 10.200.1.200, to the internal IP, 10.0.1.10, using **port1** as the external interface. Use **VIP-WEB-SERVER** as the name of your virtual IP.
- Create a new firewall policy to allow all inbound traffic to the virtual IP. Use **Web_Server_Access** as the name of the firewall policy.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Install the Training CA Certificate on page 121](#).

To configure a virtual IP

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
- Click **Policy & Objects > Virtual IPs**.
- Click **Create New**, and select **Virtual IP**.
- Configure the following settings:

Field	Value
Name	VIP-WEB-SERVER
Interface	port1

Field	Value
External IP Address/Range	10.200.1.200
Mapped IP Address/Range	10.0.1.10

5. Click **OK**.

To configure a firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Click **Create New**, and then create a new firewall policy using the following settings:

Field	Value
Name	Web_Server_Access
Incoming Interface	port1
Outgoing Interface	port3
Source	all
Destination	VIP-WEB-SERVER
Schedule	always
Service	ALL
Action	ACCEPT
NAT	<disable>

3. Click **OK**.

Install the Training CA Certificate

Now, you will verify access to the web server URL, and then install the Training CA certificate on Firefox to eliminate certificate errors.

Take the Expert Challenge!

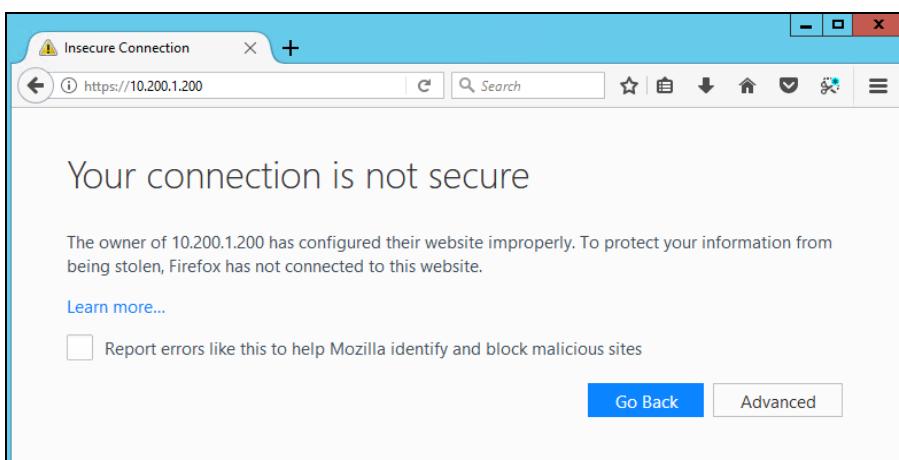
- On the Remote-Windows VM, verify that you have access to the web server using `https://10.200.1.200`.
- Using Firefox, review the web server certificate details and identify the certificate issuer.
- Install the Training CA certificate in Firefox's **Authorities** certificate store. The certificate file is located on **Desktop > Resources > FortiGate-Security > Training.crt**.
- Make sure certificate-related warning messages no longer appear before proceeding to the next section.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

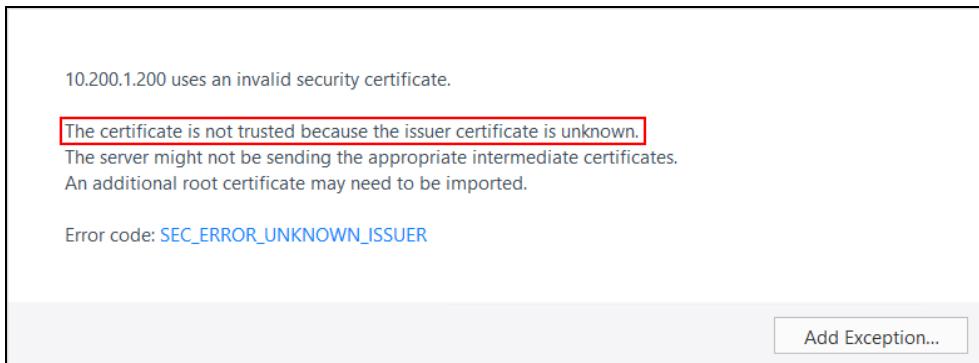
After you complete the challenge, see [Configure Inbound SSL Deep Inspection on page 126](#).

To verify access

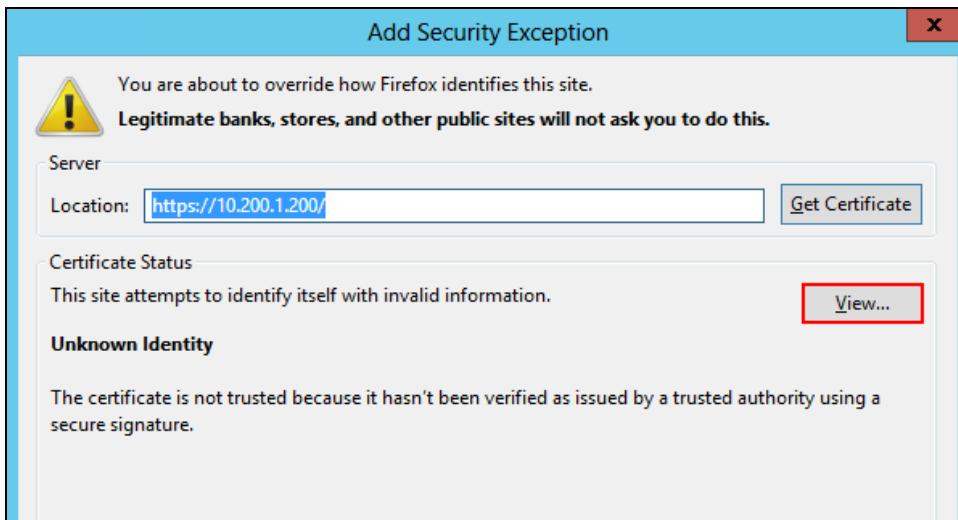
- On the virtual lab portal, click the Remote-Windows VM.
- Open Firefox and access the web server using `https://10.200.1.200`. A security warning opens.



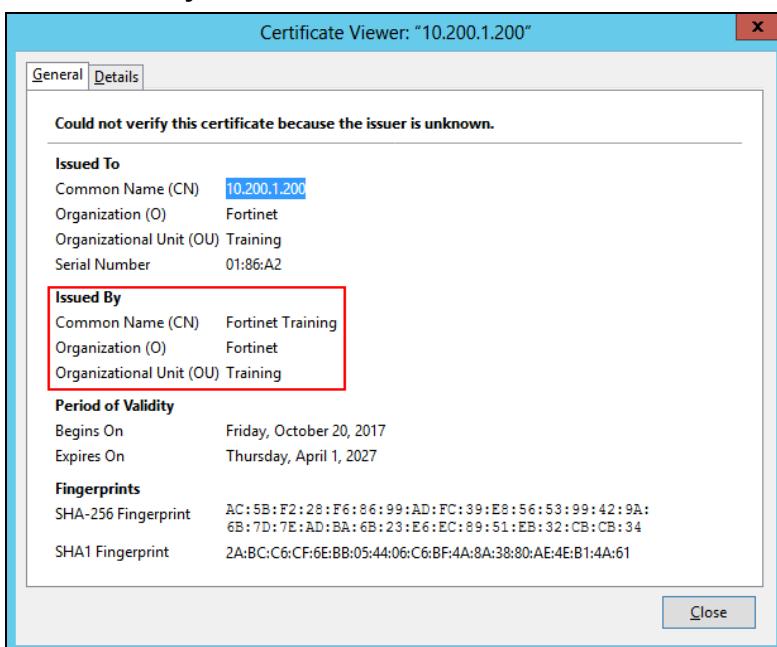
- Click **Advanced**, and then review the warning message.



- Click **Add Exception**.
- Click **View**.



6. In the **Issued By** section, review the information.

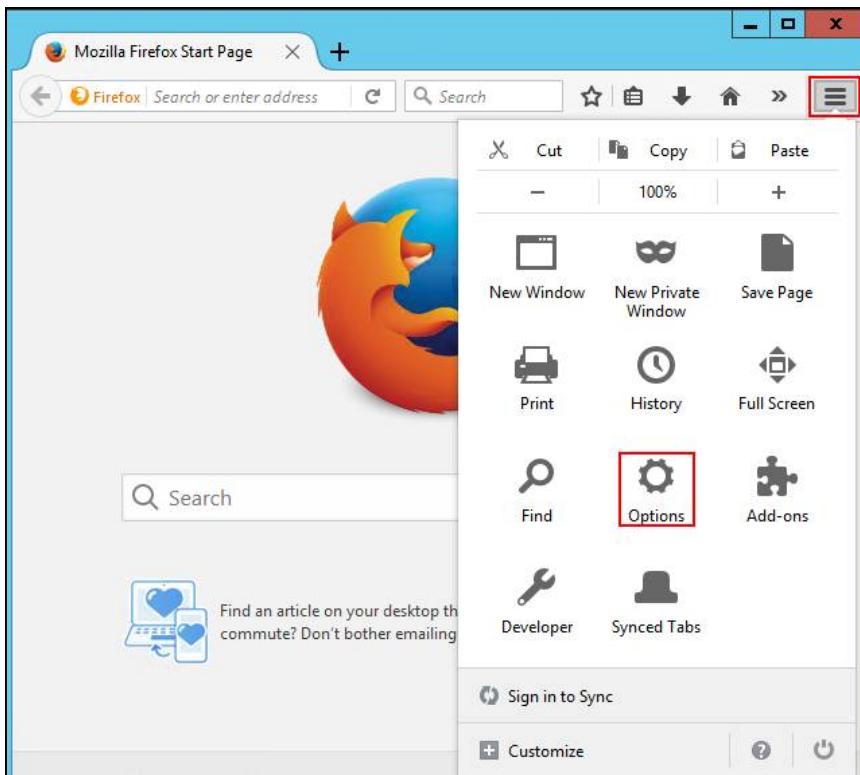


7. Click **Close**.

8. Click **Cancel**.

To install the Training CA certificate

- Continuing on the Remote-Windows VM, in the upper-right corner of the Firefox browser, click the **Open menu** icon, and then select **Options**.



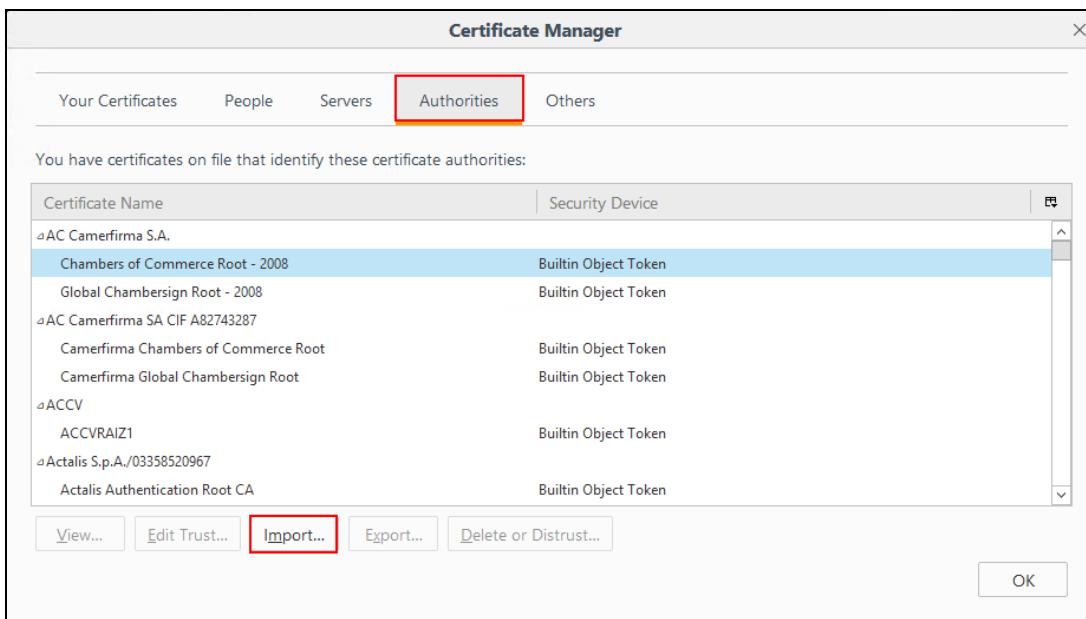
2. Click Privacy & Security.

A screenshot of the Firefox Options dialog. On the left, there is a sidebar with icons for General, Search, Privacy & Security (which is highlighted with a red box), and Firefox Account. The main area is titled 'Browser Privacy' and contains sections for 'Forms & Passwords' and 'History'. Under 'Forms & Passwords', there are two checkboxes: 'Remember logins and passwords for websites' (checked) and 'Use a master password' (unchecked). To the right of these checkboxes are buttons for 'Exceptions...', 'Saved Logins...', and 'Change Master Password...'. A search bar at the top right says 'Find in Options'.

3. In the Certificates section, click View Certificates.

A screenshot of the Firefox Options dialog. The sidebar shows General, Search, Privacy & Security (selected and highlighted with a red box), and Firefox Account. The main area has a 'Certificates' section with the following content: 'When a server requests your personal certificate', three radio button options ('Select one automatically', 'Ask you every time' (selected), and 'Query OCSP responder servers to confirm the current validity of certificates'), and two buttons at the bottom right: 'View Certificates...' (highlighted with a red box) and 'Security Devices...'.

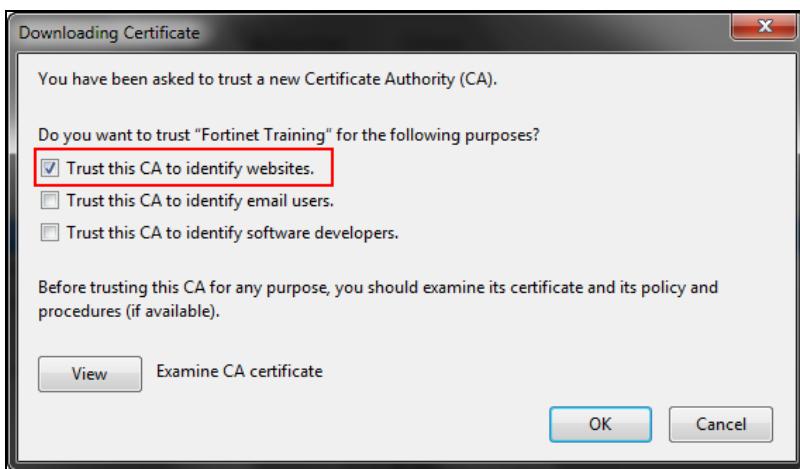
4. In the **Certificate Manager** window, click the **Authorities** tab, and then click **Import**.



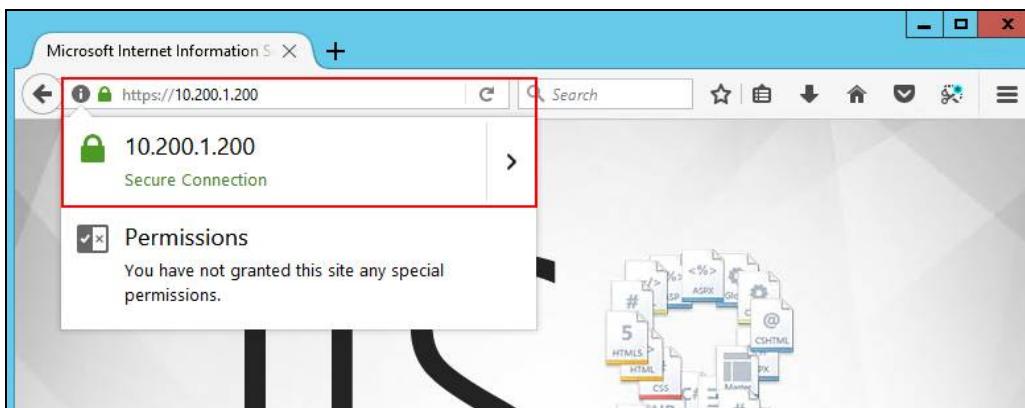
5. Click **Desktop > Resources > FortiGate-Security > Certificate-Operations > Training.crt**, and then click **Open**.

The **Downloading Certificate** window opens.

6. Click **Trust this CA to identify websites**.



7. Click **OK**.
 8. Click **OK**.
 9. Restart Firefox.
 10. Go to <https://10.200.1.200>, and then verify that the security warning is no longer displayed.



Configure Inbound SSL Deep Inspection

On Local-FortiGate, you will configure and enable SSL deep inspection on all inbound traffic destined to the web server using the default certificate. You will also observe the changes to the end-user browser session on Remote-Windows. Then, you will import the external web server certificate on Local-FortiGate, and use it to perform SSL deep inspection to eliminate security errors.

To configure inbound SSL deep inspection

1. Return to the Local-Windows VM, and on the Local-Fortigate GUI, click **Security Profiles** > **SSL/SSH Inspection**.
2. In the upper-right corner, click the plus (+) icon to create a new profile.
3. Configure the following settings:

Field	Value
Name	Inbound_SSL_Inspection
Enable SSL Inspection of	Protecting SSL Server
Server Certificate	Fortinet_SSL

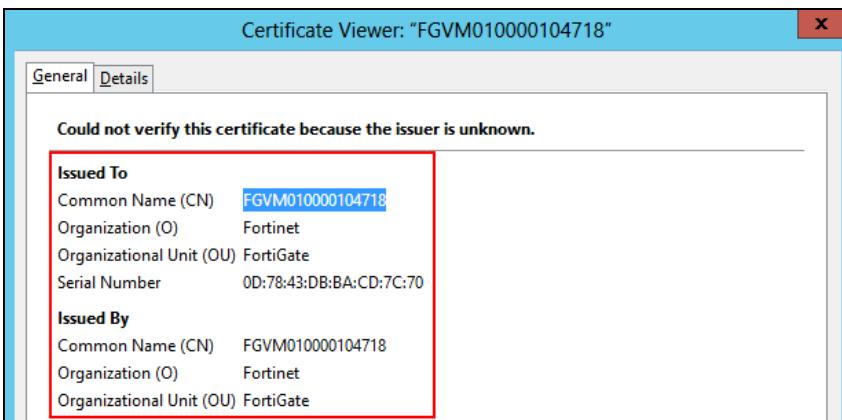
4. Click **OK**.
5. Click **Policy & Objects > IPv4 Policy**.
6. Edit the **Web_Server_Access** policy.
7. In the **Security Profiles** section, enable the following security profiles:

Security Profile	Value
AntiVirus	default
SSL/SSH Inspection	Inbound_SSL_Inspection

8. Click **OK**.

To verify inbound SSL deep inspection

1. Return to the Remote-Windows VM, and close any existing instances of Firefox.
2. Open Firefox again, and go to <https://10.200.1.200>.
A security warning opens. If you do not receive a security warning, refresh the page (F5). This forces Firefox to update its local cache.
3. Click **Advanced**, and review the error message.
4. Click **Add Exception**.
5. Click **View**.
6. Review the certificate information.



Stop and think!

To inspect the encrypted traffic, Local-FortiGate must proxy the connection between Remote-Windows and the web server. To do this, FortiGate must use its own certificate (FortiGate_SSL), which is *not* a trusted certificate. It is also not issued for the hostname you are using in the URL to access the secure website. While this does verify that Local-FortiGate is inspecting the encrypted traffic, you must perform a few more configuration steps to make sure the correct certificate is being used, to eliminate any end-user-side security errors.

7. Click **Close**.
8. Click **Cancel**.

To import the web server certificate and private key on Local-FortiGate

1. Return to the Local-Windows VM.
2. On the Local-FortiGate GUI, click **System > Certificates**.
3. Click **Import**, and then select **Local Certificate**.
4. In the **Type** drop-down list, select **PKCS # 12 Certificate**.
5. Click **Browse**.
6. Click **Desktop > Resources > FortiGate-Security > Certificate-Operations > webserver.p12**, and then click **Open**.

The **Certificate Name** field is auto-populated from the certificate file name.



PKCS#12 (.p12 file extension) is an archive file format used to bundle a certificate with its private key. It is usually protected using a password.

The `webserver.p12` file contains the web server's certificate and private key.

7. In the **Password** field, enter `fortinet`.
8. Click **OK**.

The certificate and key are imported.

		Generate	Edit	Delete	Import	View Details	Download	Search
		Name	Subject					
Certificates (11)								
<input checked="" type="checkbox"/>	Fortinet_Factory_Backup	C = US, CN = FortiGate, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = support@fortinet.com, OU = Fortinet						
<input checked="" type="checkbox"/>	Fortinet_SSL	C = US, CN = FGVM010000104718, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = support@fortinet.com						
<input checked="" type="checkbox"/>	Fortinet_Factory	C = US, CN = FortiGate, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = support@fortinet.com, OU = Fortinet						
<input checked="" type="checkbox"/>	Fortinet_Wifi	C = US, CN = auth-cert.fortinet.com, L = Sunnyvale, O = Fortinet, ST = California, OU = FortiWifi						
<input checked="" type="checkbox"/>	webserver	C = US, CN = 10.200.1.200, L = Sunnyvale, O = Fortinet, ST = California, emailAddress = courseware@fortinet.com						

To modify the inbound SSL inspection profile

1. Continuing on the Local-FortiGate GUI, click **Security Profiles > SSL/SSH Inspection**.
2. In the upper-right corner, in the profile drop-down list, select **Inbound_SSL_Inspection**.
3. In the **Server Certificate** drop-down list, select **webserver**.
4. Click **Apply**.

To verify the SSL inspection profile change

1. Return to the Remote-Windows VM, and close any existing instances of Firefox.
2. Open Firefox again, and go to `https://10.200.1.200`.

Verify that there are no more security errors. If you still receive errors, refresh the page (F5). This forces Firefox to update its local cache.

Lab 7: Web Filtering

In this lab, you will configure one of the most used security profiles on FortiGate: web filter. This includes configuring a FortiGuard category-based filter, applying the web filter profile on a firewall policy, testing your configuration, and basic troubleshooting.

You will also apply overrides to FortiGuard website categories and perform overrides on the web filtering profile. The web filtering overrides allow you to execute different actions, rather than the configured actions on the web filter security profile.

Objectives

- Configure web filtering on FortiGate.
- Apply the FortiGuard category-based option for web filtering.
- Troubleshoot the web filter.
- Read and interpret web filter log entries.
- Configure web rating overrides.
- Configure web profile overrides.

Time to Complete

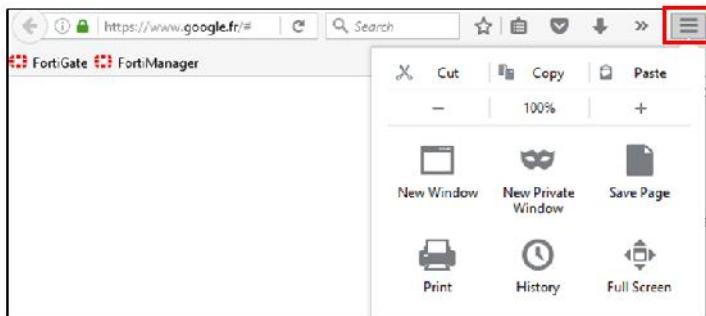
Estimated: 25 minutes

Prerequisites

Before beginning this lab, you must clear your web browser history and restore a configuration file to the Local-FortiGate.

To clear the web browser history

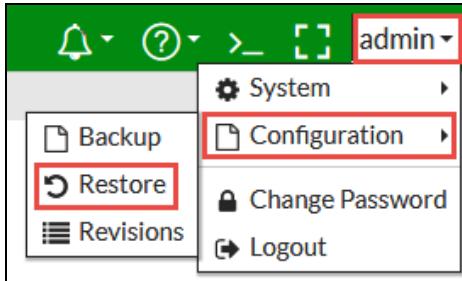
1. On the Local-Windows VM, open the browser and click the menu icon in the upper-right corner.



2. Click **History > Clear Recent History**, and ensure the time range to clear is set to **Everything**.
3. Click **Clear Now**.

To restore the FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then select **Configuration > Restore**.



3. Select **Restore** from **Local PC**, and then click **Upload**.
4. Browse to **Desktop > Resources > FortiGate-Security > Web-Filtering > local-web-filtering.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Exercise 1: Configuring FortiGuard Web Filtering

To configure FortiGate for web filtering based on FortiGuard categories, you must make sure that FortiGate has a valid FortiGuard security subscription license. The license provides the web filtering capabilities necessary to protect against inappropriate websites.

Then, you must configure a category-based web filter security profile on FortiGate and apply the security profile on a firewall policy to inspect the HTTP traffic.

Finally, you can test different actions taken by FortiGate according to the website rating.

Review the FortiGate Settings

You will review the inspection mode and the license status according to the uploaded settings. You will also list the FortiGuard distribution servers (FDS) that your FortiGate will use to send the web filtering requests.

To review the restored settings on FortiGate

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. On the **Dashboard**, locate the **Licenses** widget and confirm that the **FortiGuard Web Filtering** service is licensed and active.

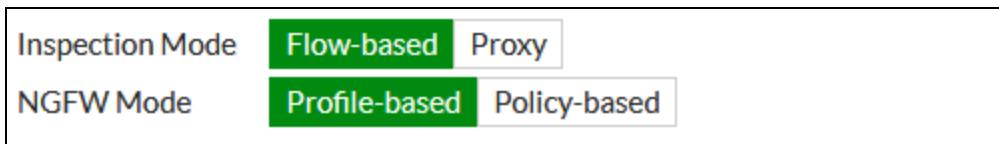
A green check mark should appear beside **Web Filtering**.



Because of the reboot following the restoration of the configuration file, the web filter license status may show "Unavailable". In this case, navigate to **System > FortiGuard**, click **Check Again** to force an update, and **OK** to confirm.



3. Click **System > Settings** to verify the **Inspection Mode** setting.



Notice that the setting is **Flow-based**, which is the default setting.

4. Open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
5. At the login prompt, enter the user name `admin` (all lower case).
6. Enter the following commands to change from **Flow-based** to **Proxy** inspection mode.

```
config system settings
set inspection-mode proxy
end
```

7. Return to your browser where you are logged into the Local-FortiGate GUI and refresh the browser. (Alternatively, you can log out of the Local-FortiGate GUI and log back in.)
8. Click **System > Settings** to verify that the **Inspection Mode** is now set to **Proxy**.



Determine Web Filter Categories

In order to configure web filter categories, you must first identify how specific websites are categorized by the FortiGuard service.

To determine web filter categories

1. Continuing on the Local-Windows VM, open a new browser tab and go to <http://www.fortiguard.com/webfilter>.

2. Use the **Web Filter Lookup** tool and search for the following URL:

<http://www.youtube.com>



This is one of the websites you will use later to test your web filter.

As you can see, YouTube is listed in the **Steaming Media and Download** category.

- Use the **Web Filter Lookup** tool again to find the web filter category for the following websites:

- <http://www.skype.com/>
- <http://www.ask.com/>
- <http://www.bing.com/>



You will test your web filter using these websites as well.

This table shows the category assigned to each URL, as well as the action you will configure your FortiGate to take based on your web filter security profile:

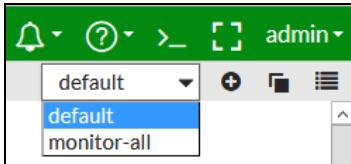
Website	Category	Action
http://www.youtube.com/	Streaming Media and Download	Block
http://www.skype.com/	Internet Telephony	Warning
http://www.bing.com/	Search Engines and Portals	Allow
http://www.ask.com/	Search Engines and Portals	Allow

Configure a FortiGuard Category-Based Web Filter

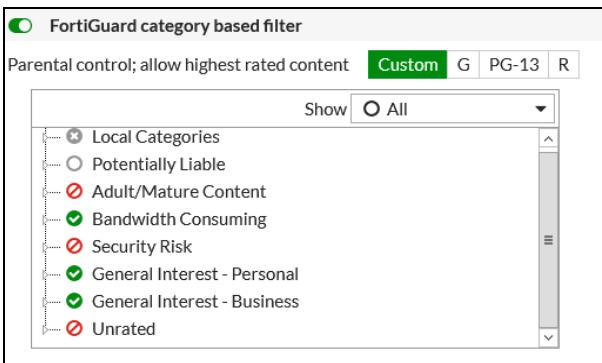
You will review the default web filtering profile and configure the FortiGuard category-based filter.

To configure the web filter security profile

1. Return to your browser tab where you are logged into the Local-FortiGate GUI, and click **Security Profiles > Web Filter**.
2. In the drop-down list in the upper-right corner of the screen, ensure **default** is selected as your web filter profile.



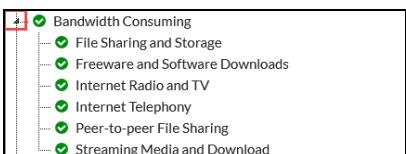
3. Verify that **FortiGuard category based filter** is enabled.



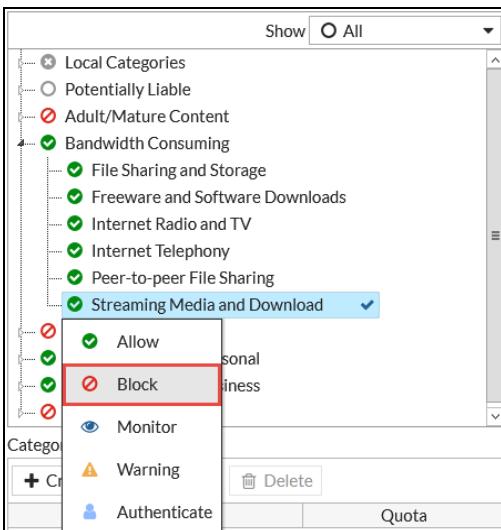
4. Review the default actions for each category.

Category	Action
Local Categories	Disable
Potentially Liable	Block: Extremist Group Allow: all other sub-categories Tip: Expand Potentially Liable to view the subcategories.
Adult/Mature Content	Block
Bandwidth Consuming	Allow
Security Risk	Block
General Interest - Personal	Allow
General Interest - Business	Allow
Unrated	Block

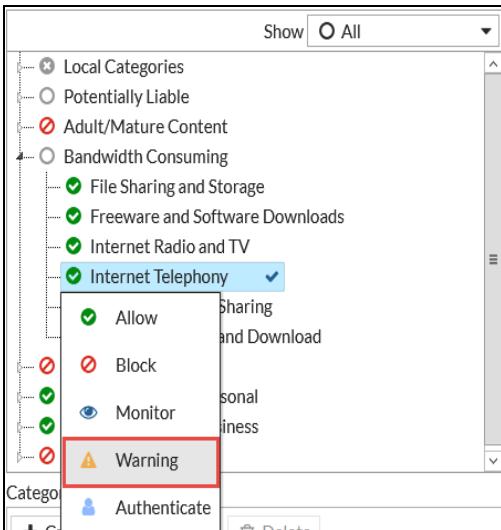
5. Expand **Bandwidth Consuming** to view the subcategories.



- Right-click **Streaming Media and Download** and select **Block**.



- Right-click **Internet Telephony**, and then select **Warning**.



The **Edit Filter** dialog box opens, allowing you to modify the warning interval.

- Keep the default setting of 5 minutes and click **OK**.
- Click **Apply**.

Apply the Web Filter Profile to a Firewall Policy

Now that you have configured the web filter profile, you must apply this security profile to a firewall policy in order to start inspecting web traffic.

You will also enable the logs to store and analyze the security events generated by the web traffic.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), apply the web filter profile to the existing **Full_Access** firewall policy. Make sure that logging is also enabled and set to **Security Events**.

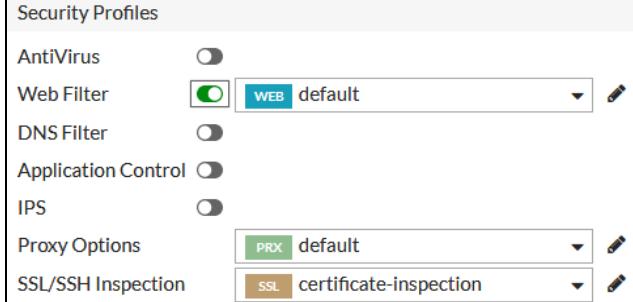
If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test the Web Filter on page 136](#).

To apply a security profile on a firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Double-click the **Full_Access** policy to edit it.
3. In the **Security Profiles** section, enable **Web Filter**, and from the drop-down menu select **default**.

Note that this action enables the **Proxy Options** profile.



4. Under **Log Allowed Traffic**, make sure **Security Events** is selected.
5. Keep all other default settings and click **OK**.

Test the Web Filter

For the purposes of this lab, you will test the web filter security profile you configured for each category.

To test the web filter

1. Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
2. At the login prompt, enter the user name `admin` (all lower case).
3. Enter the following command to verify the web filter status:

```
get webfilter status
```

The `get webfilter status` and `diagnose debug rating` commands show the list of FortiGuard FDS that your FortiGate uses to send web filtering requests. In normal operations, FortiGate sends the rating requests only to the server at the top of the list. Each server is probed for round-trip time (RTT) every two minutes.

Stop and think!

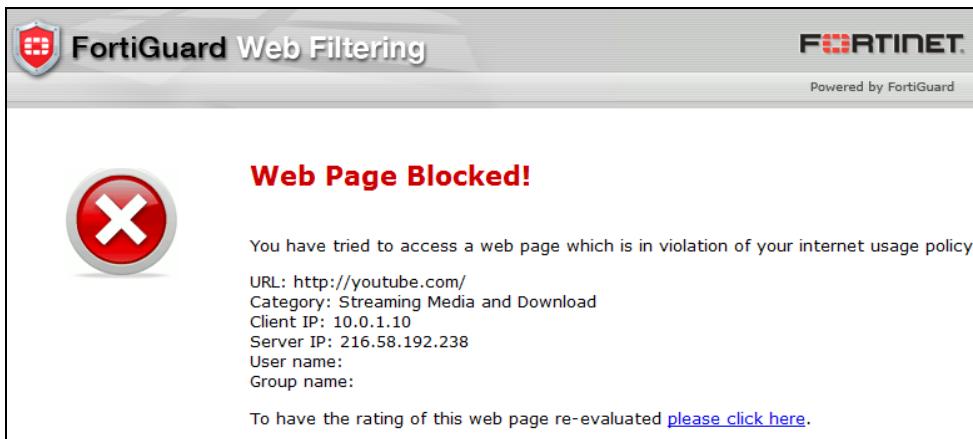
Why does only one IP address from your network appear in the server list?

Your lab environment uses a FortiManager at `10.0.1.241`, which has been configured as a local FDS server. It contains a local copy of the FDS web rating database.

FortiGate sends the rating requests to FortiManager instead of the public FDS servers. For this reason, the output of the above command lists only the FortiManager IP address.

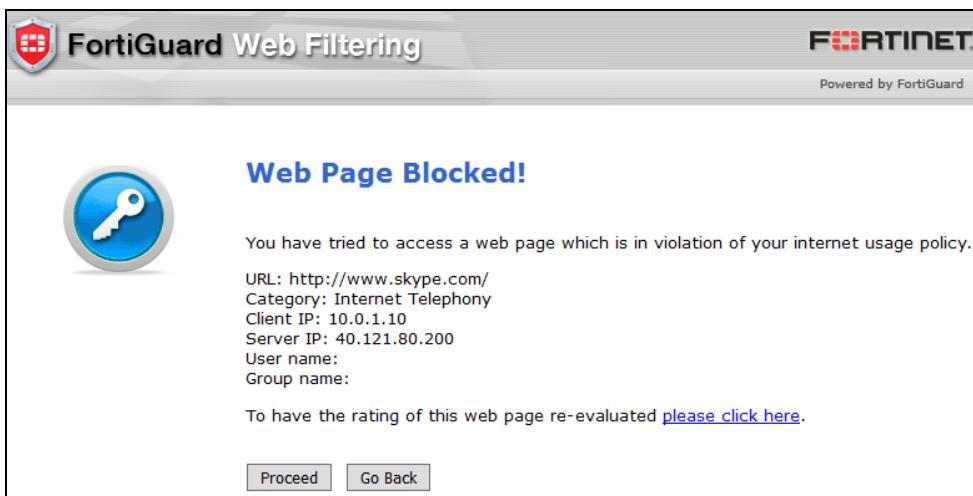
4. Open a new web browser tab and go to <http://www.youtube.com>.

A warning displays, according to the predefined action for this website category.



5. Open a new web browser tab and go to <http://www.skype.com/>.

A warning displays, according to the predefined action for this website category.



- Click **Proceed** to accept the warning and access the website.

You are presented with a certificate warning.

- Click **Advanced** and then **Add Exception**.

- Click **Confirm Security Exception**.

- Open a new web browser tab and go to <http://www.bing.com/>.

This website appears because it belongs to the **Search Engines and Portals** category, which is set to **Allow**.

Create a Web Rating Override

In this procedure you will override the category for www.bing.com.

To create a web rating override

- Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Security Profiles > Web Rating Overrides**.
- Click **Create New**, and then configure the following settings:

Field	Value
URL	www.bing.com
Category	Security Risk
Sub-Category	Malicious Websites

- Click **OK**.

Test the Web Rating Override

You will test the web rating override you created in the previous procedure. To confirm that FortiGate is taking the local override, you will enable the real-time debug for the web filtering process.

Real-time debugs shows what a process is doing in real time.

To troubleshoot the web filter

- Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
- At the login prompt, enter the user name `admin` (all lower case).
- Enter the following commands to enable the web filtering real-time debug:

```
diagnose debug application urlfilter -1
diagnose debug enable
```

- Open a new browser tab, and try again to access the website www.bing.com.

The website is blocked.

5. Return to the PuTTY CLI session and observe the output.

It should be similar to the following example:

```
msg="received a request /tmp/.wad_202_0_0.url.socket, addr_len=31: d=www.bing.com:80,
    id=183, vfname='root', vfid=0, profile='default', type=0, client=10.0.1.10, url_
    source=1, url='/"
Url matches local rating
action=10 (ftgd-block) wf-act=3 (BLOCK) user="N/A" src=10.0.1.10 sport=53863
    dst=204.79.197.200 dport=80 service="http" cat=26 cat_desc="Malicious Websites"
    hostname="www.bing.com" url="/"
```

The diagnostic output indicates that the URL matches a local rating instead of a FortiGuard rating.

So, <http://www.bing.com/> is blocked, because you have overridden its category rating.

5. In your PuTTY session, press Enter a few times to get a fresh command line and type the following command to stop the real-time debug:

```
diagnose debug reset
```

Exercise 2: Setting Up Web Filtering Authentication

In this exercise, you will configure and test the authenticate action for web filtering categories.

Set Up the Authenticate Action

First, you will override the category for www.bing.com to **Proxy Avoidance**. Then, you will set the action for this FortiGuard category to **Authenticate**.

To override the category

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Web Rating Overrides**.

There is an entry for www.bing.com. The override category is set to **Malicious Websites**, which you should have created in the previous exercises.

URL	Override Category	Original Category	Status
Malicious Websites			
www.bing.com	Malicious Websites	Search Engines and Portals	 Enabled

3. Double-click www.bing.com to verify the rating override and confirm the category and subcategory:

Field	Value
Category	Security Risk
Sub-Category	Malicious Websites

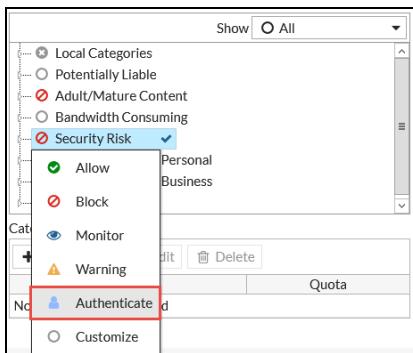


By default, the **Security Risk** category is set to **Block** on your FortiGate.

4. Click **Cancel**.

To set up the authenticate action

1. Continuing on the Local-FortiGate GUI, click **Security Profiles > Web Filter**.
2. Under **FortiGuard category based filter**, right-click **Security Risk**, and then select **Authenticate**.



The **Edit Filter** widget appears.

- Use the following settings:

Field	Value
Warning Interval	5 minutes
Selected User Groups	Override_Permissions

- Click **OK**.

- Click **Apply**.



For the purpose of this lab, **Override_Permissions** is a predefined user group. To review the user groups, click **User & Devices > User Groups**.

Define Users and Groups

You will define a user in order to test the authenticate action.

To create a user

- Continuing on the Local-FortiGate GUI, click **User & Device > User Definition**.
- Click **Create New**.
- Select **Local User** as the **User Type**.
- Click **Next**, and then configure the following settings:

Field	Value
User Name	student
Password	fortinet

- Click **Next**.
- Click **Next**.

7. Enable **User Group**, and then, in the drop-down list, select **Override_Permissions**.
8. Click **Submit**.

The **student** user is created.

User Name	Type	Two-factor Authentication	Ref.
guest	LOCAL	✗	1
student	LOCAL	✗	1

Test the Authenticate Action

In this section, you will test access to a website using the authenticate action, and then analyze the logs made by the security events.

To test the web rating override

1. Continuing in the Local-Windows VM, open a new browser tab, and try to access <http://www.bing.com>. A warning displays. Note that it is a different message from the one that appeared before.

The screenshot shows a web page titled "FortiGuard Web Filtering" with the Fortinet logo. The main message is "Web Page Blocked!" with a key icon. It details the blocked access: URL: http://www.bing.com/, Category: Proxy Avoidance, Client IP: 10.0.1.10, Server IP: 204.79.197.200, User name: [redacted], Group name: [redacted]. It also includes a link to re-evaluate the rating. At the bottom are "Proceed" and "Go Back" buttons.

2. Click **Proceed**.



You might receive a certificate warning at this stage. This is normal and is a direct result of using a self-signed certificate. Accept the warning message to proceed with the remainder of the procedure (click **Advanced**, click **Add Exception**, and then click **Confirm Security Exception**).

3. Enter the following credentials:

Field	Value
Username	student
Password	fortinet

This website now displays correctly.

To review the web filter logs for web rating overrides

1. Return to your browser tab where you are logged into the Local-FortiGate GUI, and click **Log & Report > Web Filter**.

#	Date/Time	User	Source	Action
1	09:09:23	10.0.1.241		passthrough 208.91.112.68/
2	09:09:13	10.0.1.10	www.bing.com/fdls/lsp.asp	passthrough www.bing.com/fdls/lsp.asp
3	09:09:00	10.0.1.10		passthrough www.bing.com/fdls/lsp.asp
4	09:09:00	10.0.1.10		passthrough www.bing.com/fdls/lsp.asp
5	09:09:00	10.0.1.10		passthrough www.bing.com/Passport.as
6	09:09:00	10.0.1.10		passthrough www.bing.com/
7	09:09:00	10.0.1.10		passthrough a4.bing.com/fdls/l?IG=070
8	09:09:00	10.0.1.10		passthrough login.live.com/
9	09:08:58	10.0.1.10		passthrough www.bing.com/fdls/l?IG=0
10	09:08:58	10.0.1.10		passthrough www.bing.com/HPIimageAr
11	09:08:58	10.0.1.10		passthrough www.bing.com/npm?ID=SI
12	09:08:58	10.0.1.10		passthrough www.bing.com/notifications
13	09:08:58	10.0.1.10		passthrough www.bing.com/fdls/l?IG=0
14	09:08:58	10.0.1.10		passthrough www.bing.com/fdls/lsp.asp
15	09:08:57	10.0.1.10		passthrough www.bing.com/
16	09:08:03	10.0.1.10		blocked www.bing.com/
17	09:07:31	10.0.1.10		blocked www.bing.com/

Log Details
General
 Date: 05/02/2016
 Time: 09:07:31
 Session ID: 56
 Virtual Domain: root

Source
 IP: 10.0.1.10
 Port: 54289
 Interface: port3

Destination
 IP: 204.79.197.200
 Port: 80
 Interface: port1
 Hostname: www.bing.com
 URL: www.bing.com/

Application
 Protocol: 6



The **Web Filter** logs section won't display if there are no web filtering logs. FortiGate displays the section after creating logs. If the **Web Filter** menu does not appear in the GUI, refresh your browser or log out of the Local-FortiGate GUI and log back in.

According to the logs, <http://www.bing.com> was initially blocked, but after clicking **Proceed** and authenticating, the logs show a different action: **passthrough**.

Remember, <http://www.bing.com> is rated by FortiGuard as belonging to the **Search Engines and Portals** category, where the action, by default, is set to **Allow**.

However, for this website, you changed the category to **Security Risk**.

Exercise 3: Web Profile Overrides

As you have tested the web rating overrides, you will now test web profile overrides.

The web profile overrides feature changes the rules applied to inspected traffic. It authorizes some users, user groups, or predefined source IPs, to use a different web filter profile.

Configure Web Profile Overrides

In this procedure, you will allow users to override blocked categories. Those users must authenticate in order to apply a different web filter profile.

To configure a web profile override

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Web Filter**.
3. Enable **Allow users to override blocked categories**, and then enter the following values:

Field	Value
Group that can override	Override_Permissions
Profile can switch to	monitor-all
Switch applies to	IP
Switch duration	Predefined 0 Day(s) 0 Hour(s) 15 Minute(s)

4. Click **Apply** to save the changes.

Test the Web Profile Override

Finally, you will test the global access for a blocked category, and authenticate to apply a new web filter profile. You will also review the web filter logs to verify how actions change after the new web profile is applied.

To test the web profile override

1. Continuing on the Local-Windows VM, open a new browser tab, and try to access www.youtube.com. A warning displays according to the action for this website category. However, this warning is different from the one that appeared in [To test the web filter on page 136](#). This warning includes an override link at the bottom.



2. Click **Override**.



You might receive a certificate warning at this stage. This is normal and is a direct result of using a self-signed certificate. Accept the warning message to proceed with the remainder of the procedure (click **Advanced**, click **Add Exception**, and then click **Confirm Security Exception**).

A block override message appears:

Web Filter Block Override

If you have been granted override creation privileges by your administrator, you can enter your username and password here to gain immediate access to the blocked web-page. If you do not have these privileges, please contact your administrator to gain access to the web-page.

Username:	student
Password:	*****
Scope:	IP (10.0.1.10)
New Profile:	Web-filter Profile (monitor-all)
Duration:	0 (Days) 0 (Hours) 15 (Minutes)
Continue	

3. Enter the following values, and then click **Continue**:

Field	Value
Username	student
Password	fortinet

FortiGate overrides the default profile and allows you to access the website.

To review the web filter logs for web profile overrides

1. Return to your browser tab where you are logged in to the **Local-FortiGate** GUI, and click **Log & Report > Web Filter**.
2. Compare the current **passthrough** entries with the older **blocked** logs.

The screenshot shows the FortiGate Log & Report interface. The left sidebar has categories like Forward Traffic, System Events, User Events, WiFi Events, and Web Filter (which is selected). The main area shows a table of logs:

#	Date/Time	Source	Action	URL
1	07:53:08	10.0.1.10	passthrough	clients1.google.com/ocsp
2	07:53:08	10.0.1.10	passthrough	clients1.google.com/ocsp
3	07:52:59	10.0.1.10	passthrough	clients1.google.com/ocsp
4	07:52:49	10.0.1.10	passthrough	clients1.google.com/ocsp
5	07:52:45	10.0.1.10	passthrough	www.youtube.com/
6	07:51:23	10.0.1.10	blocked	www.youtube.com/
7	07:51:14	10.0.1.10	blocked	www.bing.com/fd/lsp.aspx
8	07:51:11	10.0.1.10	blocked	www.bing.com/fd/lsp.aspx
9	07:50:11	10.0.1.10	blocked	www.bing.com/fd/lsp.aspx
10	07:50:09	10.0.1.10	blocked	www.bing.com/fd/lsp.aspx
11	07:42:20	10.0.1.10	passthrough	www.bing.com/fd/lsp.aspx

The right panel shows log details for entry 6:

Profile Name	monitor-all
Initiator	student
Request Type	direct
Direction	outgoing
Method	domain
Category	25
Category Description	Streaming Media and Download
Message	URL belongs to an allowed category in policy

3. Select a blocked entry and in the upper-right corner of the screen, click **Details**.
4. Now, select a passthrough entry and click **Details**.

Notice that the web profile used is different.

Lab 8: Application Control

In this lab, you will configure and use the application control, in both profile-based and policy-based modes, to apply an appropriate action to specified application traffic. You will view logs and monitor from FortiView. You will also use the application control feature, along with traffic shaping, to alter the bandwidth that is available to an application.

Objectives

- Configure an application control profile.
- Read and understand application control logs and monitor applications from FortiView.
- Configure and monitor traffic shaping for applications.
- Configure and test application control in NGFW policy-mode.

Time to Complete

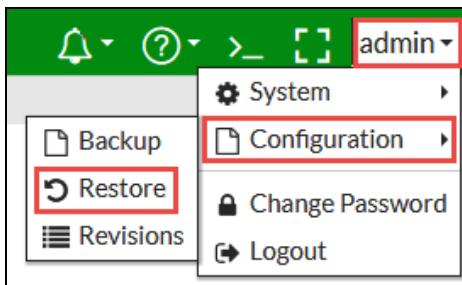
Estimated: 35 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate.

To restore the FortiGate configuration file

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
- In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > Application Control > Local-App-Control-Profile.conf**, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

Exercise 1: Controlling Application Traffic

In this exercise, you will create a profile-based application control profile in flow-based inspection mode. Flow-based and proxy-based inspection modes share identical configuration steps for application control. The FortiGate matches the traffic in this order:

1. Application overrides
2. Filter overrides
3. Categories

You will also view the application control logs and applications from FortiView to confirm that the applications are logged correctly.

Configure Filter Overrides

The configuration file for this exercise already has the application control categories set to monitor (except **Unknown Applications**). This allows the applications to pass, but also records a log message.

In this exercise, you will configure filter overrides. The filter overrides will take precedence over application categories.

To configure filter overrides

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Application Control**.
3. Ensure the application sensor named **default** is selected and review the sensor.

96 Cloud Applications require deep inspection.
0 policies are using this profile.

Category	Count
Business	148
Email	79
Industrial	590
P2P	69
Social.Media	117
Video/Audio	161
Unknown Applications	6
Cloud.IT	41
Game	82
Mobile	3
Proxy	139
Storage.Backup	171
VoIP	27
Collaboration	275
General.Interest	226
Network.Service	321
Remote.Access	84
Update	49
Web.Client	21



There are 96 cloud-based application signatures available in the application control signatures database that require deep inspection. The number beside the cloud icon in each category represents the number of cloud application signatures in a specific category.

4. Under the **Filter Overrides** section, click **Add Filter** to add a filter override.
5. On the **Add Filter Overrides** page, click **Add Filter**.
6. Click **Behavior**, and then click **Excessive-Bandwidth**.

Add Filter Overrides					
Behavior: Excessive-Bandwidth	Category	Technology	Popularity	Risk	
1lxun	Video/Audio	Client-Server	★★★★★	██████	x All Cloud
4shared_File.Download	Storage.Backup	Browser-Based, Client-Server	★★★★★	██████	
4shared_File.Upload	Storage.Backup	Browser-Based, Client-Server	★★★★★	██████	
8tracks	Video/Audio	Browser-Based, Client-Server	★★★★★	██████	
360Yunpan_File.Download	Storage.Backup	Browser-Based, Client-Server	★☆☆☆☆	██████	
360Yunpan_File.Upload	Storage.Backup	Browser-Based, Client-Server	★★★★★	██████	
ABC	P2P	Peer-to-Peer	★★★★★	██████	
ABC.Com	Video/Audio	Browser-Based	★★★★★	██████	
Acrobat.Cloud_Download	Storage.Backup	Browser-Based	★☆☆☆☆	██████	
Acrobat.Cloud_Upload	Storage.Backup	Browser-Based	★☆☆☆☆	██████	
ActiveCampaign_File.Upload	Business	Browser-Based	★☆☆☆☆	██████	
Adobe.Connect_Meeting.Share.Document.Upload	Collaboration	Browser-Based	★☆☆☆☆	██████	
Adobe.Creative.Cloud_File.Download	Storage.Backup	Browser-Based	★☆☆☆☆	██████	
Adobe.Creative.Cloud_File.Upload	Storage.Backup	Browser-Based	★☆☆☆☆	██████	
Adobe.Flash.Media.Playback	Video/Audio	Client-Server	★☆☆☆☆	██████	

[Total: 466]

Use Filters **Cancel**



The **Excessive-Bandwidth** setting blocks many applications that are known to be bandwidth intensive. Applications can belong to different categories, but they may be part of this behavior filter if they are bandwidth intensive.

7. Click **Use Filters**.

Your configuration should look similar to below. The action for this should show as **Block**.

Filter Overrides		
+ Add Filter	Edit	Delete
Filter Details		Action
Behavior: Excessive-Bandwidth (417, △49)		Block

8. Click **Apply**.

Apply the Application Control Profile to the Firewall Policy

Now that you have configured the application control profile, you will apply it to the firewall policy.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), edit the existing **Application_Control** firewall policy and do the following:

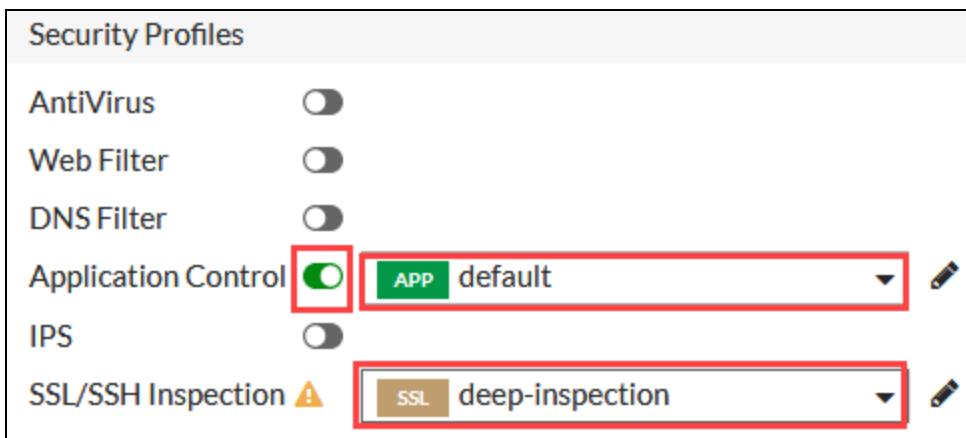
- Enable the **default** application control profile.
- Enable **deep-inspection** in the SSL/SSH inspection profile.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test the Application Control Profile on page 150](#).

To apply an application control profile to a firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right click the **Seq.#** column of the **Application_Control** firewall policy and click **Edit**.
3. In the **Security Profiles** section, enable **Application Control** and select **default** from the drop-down menu.
5. Select **deep-inspection** from the drop-down menu for **SSL/SSH Inspection** profile.



6. Click **OK** to save the changes.

Test the Application Control Profile

Now that your configuration is complete, you will test the application control profile by going to the application that you blocked in the application overrides configuration.

To test the application control profile

1. Continuing on the Local-Windows VM, open a new web browser tab and go to the following URL: <http://dailymotion.com>. You should observe that you cannot connect to this site. It times out.
2. Return to the browser tab where you are logged in to the Local-FortiGate GUI, and click **Security Profiles > Application Control**.
3. Edit the **default** application sensor again.
4. In the **Options** section at the bottom of the page, enable **Replacement Messages for HTTP-based Applications**.
5. Click **Apply**.
6. Open a new web browser tab and go to the following URL: <http://dailymotion.com>. FortiGate should display a block message.

Configure Application Overrides

In this exercise, you will configure application overrides. The application overrides will take precedence over filter overrides and application categories.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- Modify the **default** application control profile.
- Add **Application Overrides** for the **Dailymotion** application signature and set the action to **Allow**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test Application Overrides on page 152](#).

To configure application overrides

1. Return to the browser tab where you are logged in to the Local-FortiGate GUI, and click **Security Profiles > Application Control**.
2. Edit the **default** application sensor again.
3. In the **Application Overrides** section, click **Add Signatures**.
4. On the **Add Signatures** page, click **Add Filter**.
5. Click **Name** and type **Dailymotion** in the search field.

A signature is returned.

Add Signatures				
<input type="checkbox"/> Select All	<input type="text"/> Name: Dailymotion	<input type="button"/> Add Filter	<input type="button"/> All	<input type="button"/> Cloud
Name	Category	Technology	Popularity	Risk
SPDailymotion	Video/Audio	Browser-Based	★★★★★	██████

6. Select **Dailymotion** and click **Use Selected Signatures** at the bottom.
7. In the **Action** column, select **Allow** from the drop-down menu.

Your configuration should look like the following:

Application Overrides		
+ Add Signatures	Edit Parameters	Delete
Application Signature	Category	Action
Dailymotion	Video/Audio	Allow 

8. At the bottom of the **Edit Application Sensor** page, click **Apply**.



This application control profile is already applied to a firewall policy that is scanning all outbound traffic. You do not need to reapply the application control profile for the changes to take affect.

Test Application Overrides

Now that your configuration is complete, you will test the application control profile by going to the application that you allowed.

To test the application control profile

1. Continuing on the Local-Windows VM, open a new web browser tab and go to the following URL:
<http://dailymotion.com>.
FortiGate allows the website to load properly.

View Logs

Now you will view the logs for the test you just performed.

To view logs

1. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Log & Report > Application Control**.



The **Application Control** logs section will not display if there are no application control logs. FortiGate will show it after creating logs. If the **Application Control** menu item does not display in the GUI, refresh the browser or log out of the Local-FortiGate GUI and log in again.

2. Use the **Application Name** log filter and search for **Dailymotion**.
You will see log messages with the action set to **block**.
3. Double-click on a log to view more details.

The details include application sensor name, application name, category, policy ID, and the action taken by FortiGate.

4. Click **Log & Report > Forward Traffic** and search and view the log information for **Dailymotion**.

You will see more details about the log, including translated IP, bytes sent, bytes received, action, and application.

Stop and think!

Why are you not seeing a log message for **Dailymotion** with the action set to **Pass**?

FortiGate will not log information for application control events that have the action set to **Allow**. FortiGate will only log application control events for applications with the action set to **Monitor** or **Block**. Applications with the action set to **Pass** will be logged only in the **Forward Traffic** section and only if the firewall policy is set to log **All Sessions** instead of just **Security Events**.

Exercise 2: Controlling Application Bandwidth Usage

You can limit the bandwidth consumption of an application category, or of a specific application, by configuring a traffic shaping policy. You must ensure that the matching criteria aligns with the firewall policy or policies to which you want to apply shaping.

In this exercise, you will configure and apply traffic shaping to an application, to limit its bandwidth consumption.

Modify Application Overrides Action

You will be modifying the application override for the **Dailymotion** application to change the action from **Allow** to **Monitor**. Then, you will apply traffic shaping in the next procedure.

To modify the application overrides action

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Application Control**.
3. Ensure the application sensor named **default** is selected.
4. In the **Application Overrides** section, right-click **Dailymotion** and click **Monitor**.
This changes the action for **Dailymotion** from **Allow** to **Monitor**.
5. Click **Apply**.



For the purposes of this lab, setting the action to **Monitor** ensures all application control events are logged.

Configure a Traffic Shaping Policy

You will be configuring a traffic shaping policy using the preconfigured traffic shaper to limit the bandwidth use of **Dailymotion**.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- Create a traffic shaping policy for the **Dailymotion** application only from port1.
- Apply **DAILYMOTION_Shaper** as **Reverse Shaper**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test Traffic Shaping on page 156](#).

To configure a Traffic Shaping Policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > Traffic Shapers**.
2. For the **DAILYMOTION_SHAPER**, examine the **Max Bandwidth** column.
You will notice that maximum amount of allowed bandwidth is very low.
3. Click **Policy & Objects > Traffic Shaping Policy**, and then click **Create New**.
4. Configure the following settings:

Field	Value
Source	all
Destination	all
Service	ALL
Application	Dailymotion Tip: Type Dailymotion in the search box in the right pane to locate it easily.
Outgoing Interface	port1 This is FortiGate egress interface.
Reverse Shaper	<enable> and apply DAILYMOTION_SHAPER
Enable this policy	<enable>

Your configuration should look like this:

Matching Criteria

Source	all	<input type="button" value="x"/>
Destination	all	<input type="button" value="x"/>
Service	All	<input type="button" value="x"/>
Application Category		<input type="button" value="+"/>
Application	Dailymotion	<input type="button" value="x"/>
URL Category		<input type="button" value="+"/>

Apply shaper

Outgoing Interface	port1	<input type="button" value="x"/>
Shared Shaper	<input type="radio"/>	<input type="button" value="▼"/>
Reverse Shaper	<input checked="" type="radio"/> DAILYMOTION_SHAPER	<input type="button" value="▼"/>
Per-IP Shaper	<input type="radio"/>	<input type="button" value="▼"/>

Enable this policy

5. Click **OK**.



The **Shared Shaper** option limits the bandwidth from ingress-to-egress. It is useful for limiting uploading bandwidth. The **Reverse Shaper** limits the bandwidth from egress-to-ingress. It is useful for limiting downloading or streaming bandwidth.

You must ensure that the matching criteria aligns with the firewall policy or policies to which you want to apply traffic shaping.

Test Traffic Shaping

Now that your configuration is complete, you will test traffic shaping by playing a video on Dailymotion.

To test traffic shaping

- Continuing on the Local-Windows VM, open a new web browser tab and go to the following URL:

<http://dailymotion.com>

- Try to play any video.

You will notice that access to this site is slow and the video is taking a long time to buffer and play.



If your classroom is using a virtual lab, the underlying hardware is shared, so the amount of available bandwidth for Internet access varies according to other simultaneous use. The traffic shaper is set to a very low value in order to make sure that the difference in behavior is easily noticeable. In real networks, this setting would be greater.

3. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Policy & Objects > Traffic Shapers**.
4. Review the **Bandwidth Utilization** and **Dropped Bytes** columns for the **DAILYMOTION_SHAPER**. You might need to refresh the FortiGate GUI to view the statistics on **Traffic Shapers**.

You will notice the bandwidth used by the **Dailymotion** application and FortiGate is dropping the packets that are in excess of the configured bandwidth in the traffic shaper.



Monitor statistics are current as of the time that you requested the GUI page, so make sure to view them while a video is downloading. Also, refresh the page few times to get the results.

5. Click **Log & Report > Forward Traffic** and review the logs to display basic information regarding the **Traffic Shaper** policy.

The screenshot shows a table of security events for the Dailymotion application. The table has columns for Application Name, Security Events, Result, Policy, and Shaping Policy ID. The Shaping Policy ID column is highlighted with a red border. The right side of the interface shows a detailed log for a single event, also with a red border around the Shaping Policy ID and Received Shaper Bytes Dropped fields.

Application Name	Security Events	Result	Policy	Shaping Policy ID
SPDailymotion	APP 2	✓ 12.59 kB / 32.03 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 3.33 kB / 91.84 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 2.24 kB / 49.45 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 1.31 kB / 48.00 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 2.88 kB / 50.37 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 1.82 kB / 30.61 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 11.52 kB / 3.49 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 10.11 kB / 34.46 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 1.08 kB / 339 B	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 1.29 kB / 339 B	1 (Application_Control)	1
SPDailymotion	APP 2	✓ 5.06 kB / 7.01 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 6.79 kB / 17.78 kB	1 (Application_Control)	1
SPDailymotion	APP 1	✓ 9.03 kB / 33.08 kB	1 (Application_Control)	
SPDailymotion	APP 1	✓ 3.75 kB / 6.57 kB	1 (Application_Control)	
SPDailymotion	APP 1	✗ Deny: UTM Blocked	1 (Application_Control)	
SPDailymotion	APP 1	✗ Deny: UTM Blocked	1 (Application_Control)	
SPDailymotion	APP 1	✗ Deny: UTM Blocked	1 (Application_Control)	
SPDailymotion	APP 1	✗ Deny: UTM Blocked	1 (Application_Control)	



Add the **Shaping Policy ID** column to the table so the page displays the traffic shaper policy ID for the traffic.

Exercise 3: Implementing Application Control in NGFW Policy-Based Mode

In NGFW policy-based mode, application control is applied directly on a firewall policy, without the use of an application control profile.

In this exercise, you will configure application control on a FortiGate operating in NGFW policy-based mode.

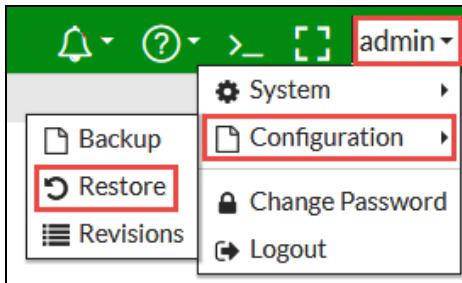
Restore the Configuration File

The following settings are configured on the configuration file:

- NGFW policy-mode enabled
- Central SNAT policy allowing traffic in NGFW policy-mode to pass
- Firewall policy allowing all traffic to pass

To restore the FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FGT-Security > Application Control > Local-App-Control-Policy.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Apply Application Control in NGFW Policy-Based Mode

You will be configuring a new firewall policy and applying application control on the policy.

DO NOT REPRINT

© FORTINET

To configure an application control firewall policy

1. Continuing on the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. Click **Policy & Objects > IPv4 Policy**.
3. Click **Create New**.
4. Configure the following settings:

Field	Value
Name	Social_Media_Block
Incoming Interface	port3
Outgoing Interface	port1
Source	all
Destination	all
Service	ALL
Application	Social.Media
Tip: From the right pane, click Application Category and then search for Social.Media .	
Action	DENY
Log Violation Traffic	<enable>
Enable this policy	<enable>

5. Keep the default values for the remaining settings.

Name	Social_Media_Block
Incoming Interface	port3
Outgoing Interface	port1
Source	all
Destination	all
Schedule	always
Service	ALL
Application	Social.Media
URL Category	
Action	<input checked="" type="checkbox"/> ACCEPT <input type="checkbox"/> DENY <input type="checkbox"/> LEARN
<p>NGFW mode is policy-based so NAT settings from matching Central SNAT policies will be applied.</p>	
<input checked="" type="checkbox"/> Log Violation Traffic	

6. Click **OK**.
7. From the **Seq.#** column, drag the **Social_Media_Block** firewall policy above the **ALLOW_ALL** firewall policy.
Your firewall policy order should look like this:

Seq.#	Name	Source	Destination	Schedule	Service	Applications	URL Category	Action	NAT	Security Profiles	Log
port3 - port1(1 - 2)											
1	Social_Media_Block	all	all	always	ALL	Social.Media		<input type="checkbox"/> DENY	SSL	<input checked="" type="checkbox"/> All	
2	ALLOW_ALL	all	all	always	ALL			<input checked="" type="checkbox"/> ACCEPT	<input type="checkbox"/> Custom		UTM
Implicit (3 - 3)											
3	Implicit Deny	all	all	always	ALL			<input type="checkbox"/> DENY			Disabled



When applying application control, you should have a policy that allows all applications. Otherwise, you allow only specific applications and all other applications (including web browsers) will be blocked.

Test Application Control

Now that your configuration is complete, you will test application control by going to the application that you have configured.

To test the application control firewall policy

1. Continuing on the Local-Windows VM, open new web browser tabs and go to one or more of the following URLs:

- <https://www.linkedin.com>
- <https://facebook.com>
- <https://plus.google.com>

None of the pages load.

2. Try to visit websites that fall under application categories other than social media, such as <http://dailymotion.com>.
The pages load.
3. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Log & Report > Application Control**.



The **Application Control** logs section will not display if there are no application control logs. FortiGate will show the section after creating logs. If the **Application Control** menu item does not display in the GUI, refresh your browser or log out of the Local-FortiGate GUI and log back in.

4. Search the logs for LinkedIn, Facebook, and Google Plus.

You will see logs similar to the following example:

#		Date/Time	Source	Destination	Application Name	Action
1		19:19:51	10.0.1.10	157.240.14.19 (staticxx.facebook.com)	Facebook	block
2		19:19:51	10.0.1.10	31.13.69.228 (star-mini.c10r.facebook.com)	Facebook	block
3		19:19:34	10.0.1.10	172.217.10.46 (plus.l.google.com)	Google Plus	block
4		19:19:27	10.0.1.10	108.174.10.10 (www.linkedin.com)	LinkedIn	block
5		19:16:02	10.0.1.10	157.240.14.19 (staticxx.facebook.com)	Facebook	block
6		19:16:02	10.0.1.10	157.240.14.35 (facebook.com)	Facebook	block
7		19:15:03	10.0.1.10	172.217.10.142 (plus.google.com)	Google Plus	block
8		19:14:53	10.0.1.10	157.240.14.35 (facebook.com)	Facebook	block
9		19:14:53	10.0.1.10	157.240.14.35 (facebook.com)	Facebook	block
10		19:14:44	10.0.1.10	108.174.10.10 (www.linkedin.com)	LinkedIn	block

5. Close your browser.

Lab 9: Antivirus

In this lab, you will configure, use, and monitor antivirus scanning on Local-FortiGate in both flow-based and proxy-based inspection modes.

Objectives

- Configure antivirus scanning in both flow-based and proxy inspection modes.
- Understand FortiGate antivirus scanning behavior.
- Scan multiple protocols.
- Read and understand antivirus logs.

Time to Complete

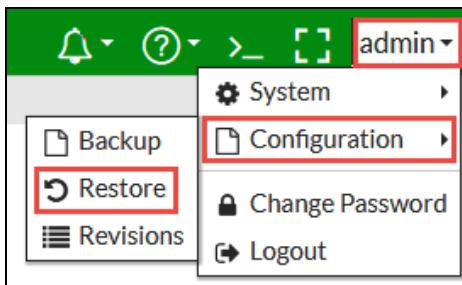
Estimated: 20 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate.

To restore the FortiGate configuration file

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as **admin** and leave the password field empty.
- In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > Antivirus** > `local-AV-flow-based.conf`, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

Exercise 1: Using Antivirus Scanning in Flow-Based Inspection Mode

There are two antivirus scanning modes in flow-based inspection mode:

- Quick scan uses a compact antivirus database and performs faster scanning because it doesn't buffer the file in memory.
- Full scan uses the full antivirus database. It buffers the file locally, but transmits it simultaneously to the end client. Everything is transmitted except the last packet. The last packet is delayed, and the whole file is sent to the antivirus engine for scanning.

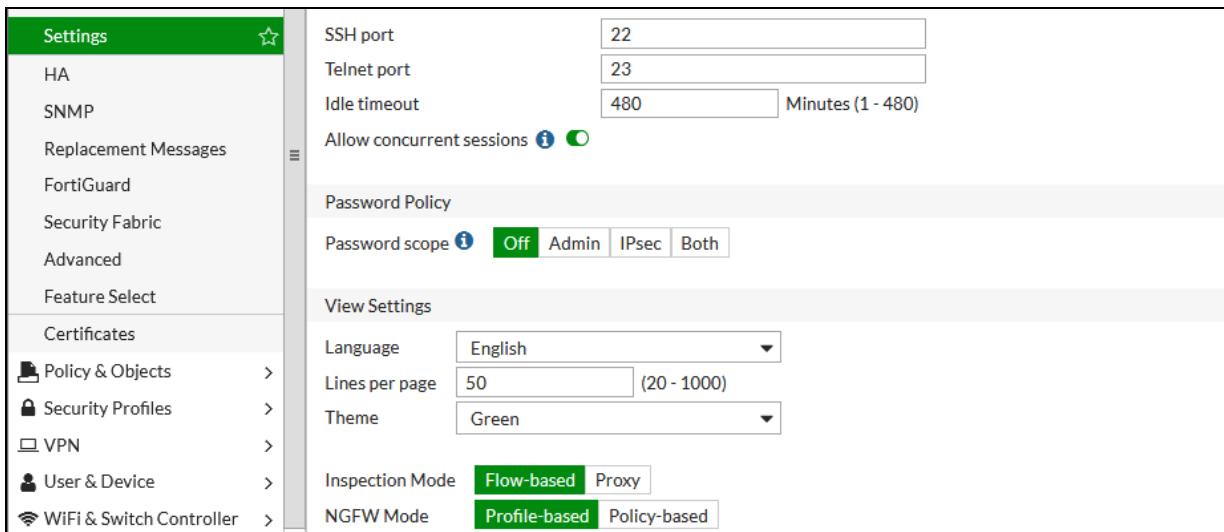
In this exercise, you will use antivirus in flow-based inspection mode to understand how FortiGate performs antivirus scanning. You will use full-scan mode with and without deep inspection. You will observe the behavior of antivirus scanning, with and without deep inspection, to understand the importance of performing full-content inspection.

Configure the Antivirus Profile in Flow-Based Inspection Mode

By default, the FortiGate inspection mode is set to flow-based, so all the security profiles will also be set to flow-based inspection mode. In this procedure, you will verify the antivirus profile settings, and apply the antivirus profile to a firewall policy.

To view the current FortiGate inspection mode

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **System > Settings**.
3. At the bottom of the page, verify that **Inspection Mode** is set to **Flow-based**, and that **NGFW Mode** is set to **Profile-based**.



Review the Flow-Based Antivirus Profile

Now that you've verified that the inspection mode is set to flow-based, you will review the antivirus profile to view the settings.

To review the flow-based antivirus profile

1. Continuing on the Local-FortiGate GUI, click **Security Profiles > AntiVirus**.
2. Review the **default** antivirus profile.



Because the inspection mode is set to flow-based, by default, all the security profiles will be set to flow-based as well.

Enable the Antivirus Profile on a Firewall Policy

Now that you have reviewed the antivirus profile, you must enable the antivirus profile on your firewall policy. After you enable the antivirus profile on a firewall policy, it can scan for viruses and generate logs (based on configured log settings).

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- Edit the **Full_Access** firewall policy and enable the **default** antivirus profile.
- Use **certificate-inspection** profile for SSL inspection.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test the Antivirus Configuration on page 165](#).

To enable the antivirus profile on a firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right-click the **Seq.#** column for the **Full_Access** firewall policy and click **Edit**.
3. Under the **Security Profiles** section, enable **AntiVirus**, and select **default** from the drop-down menu.
4. In the **SSL/SSH Inspection** drop-down menu, keep the default **certificate-inspection** profile.



When selecting an antivirus profile, **SSL/SSH Inspection** is enabled by default. You can't disable it, but you can select any preconfigured SSL/SSH inspection profile in the associated drop-down menu. You will use the **certificate-inspection** profile for this section of the lab.

5. Keep the default values for the remaining settings, and then click **OK** to save the changes.

Test the Antivirus Configuration

In this procedure, you will download the EICAR test file to your Local-Windows VM. The EICAR test file is an industry-standard virus used to test antivirus detection without causing damage. The file contains the following characters:

```
X5O!P%QAP[4\PZX54(P^)7CC)7\$EICAR-STANDARD-ANTIVIRUS-TEST-FILE!\$H+H*
```

To test the antivirus configuration

- Continuing on the Local-Windows VM, open a new web browser tab and access the following website:

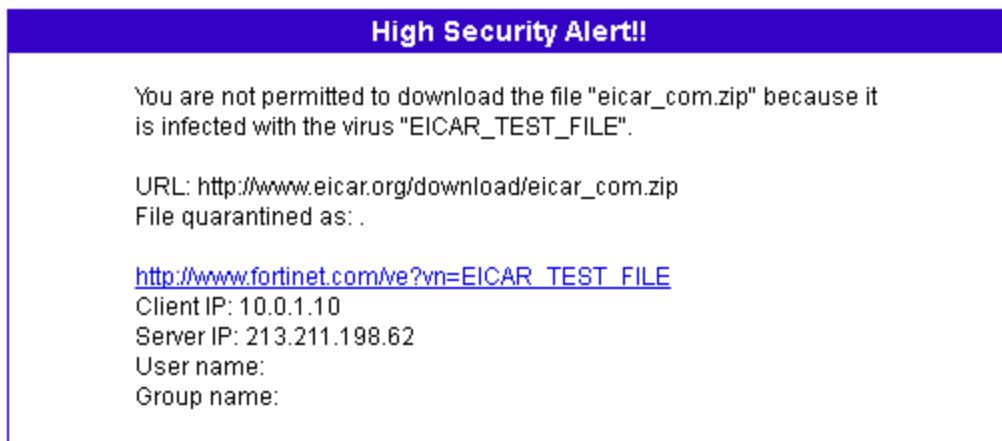
<http://eicar.org>

- In the upper-right corner of the EICAR webpage, click **DOWNLOAD ANTI MALWARE TESTFILE**.
- Click the **Download** link on the left.
- In the **Download area using the standard protocol http** section, download any EICAR sample file.

Download area using the standard protocol http			
eicar.com	eicar.com.txt	eicar_com.zip	eicarcom2.zip
68 Bytes	68 Bytes	184 Bytes	308 Bytes

Download area using the secure, SSL enabled protocol https			
eicar.com	eicar.com.txt	eicar_com.zip	eicarcom2.zip
68 Bytes	68 Bytes	184 Bytes	308 Bytes

FortiGate should block the download attempt and insert a replacement message similar to the following example:



FortiGate shows the HTTP virus message when it blocks or quarantines infected files.

Test an alternate download method

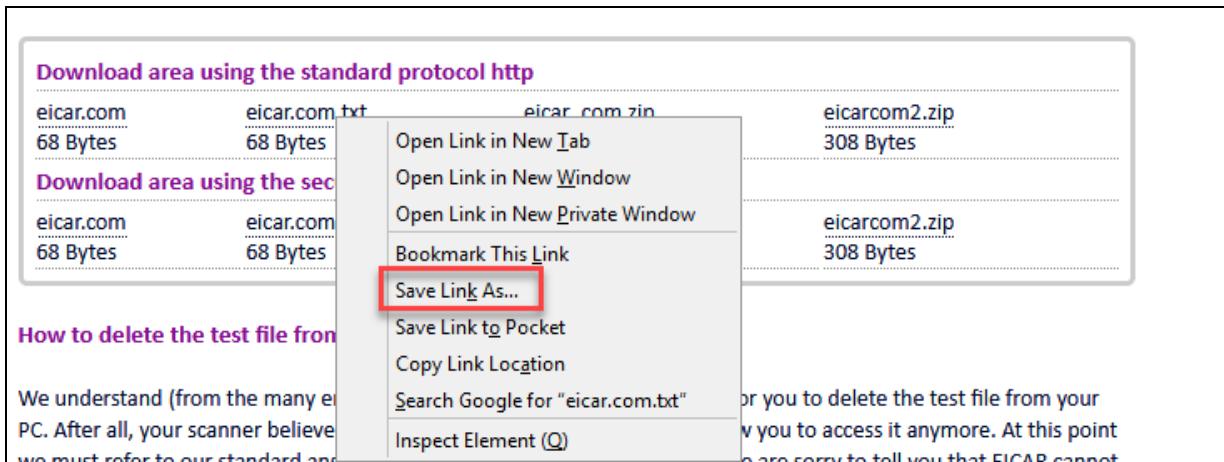
In this section, you will test the flow-based antivirus configuration using the **Save Link As** method to download the EICAR text file.

To test the antivirus configuration

- Continuing on the Local-Windows VM, open a new web browser tab and go to the following website:

<http://eicar.org>

- On the EICAR website, in the upper-right corner of the page, click **DOWNLOAD ANTI MALWARE TESTFILE**.
- Click the **Download** link on the left.
- In the **Download area using the standard protocol http** section, right-click **eicar.com.txt** and select **Save Link As...**



- Change the download location to **Desktop**, and then click **Save**.

You should see the file you downloaded on the desktop. Why was the download allowed?

- On your desktop, right-click the **eicar.com** downloaded file, and click **Edit with Notepad++** to open the file you downloaded.

Is the content of the file what it's supposed to be?

Stop and think!

Remember, you are using flow-based inspection mode. Using this method, the client sends a request and starts receiving the packets immediately, but FortiGate is also buffering those packets at the same time.

When the last packet arrives, FortiGate buffers it and puts it on hold. Then, it sends the whole buffered file to the IPS engine where rule match is checked and passed to the antivirus engine for scanning. If the antivirus scan does not detect any viruses, and the result comes back clean, the last buffered packet is regenerated and delivered to the client.

However, if a virus is found, the last packet is dropped. Even if the client has received most of the file, the file will be truncated and the client will be not able to open a truncated file. FortiGate injects the block message into the partially download file. The client can use Notepad to open and view the file.

- Delete the downloaded **eicar.com** file from the **Desktop**.

View the Antivirus Logs

The purpose of logs is to help you monitor your network traffic, locate problems, establish baselines, and make adjustments to network security, if necessary.

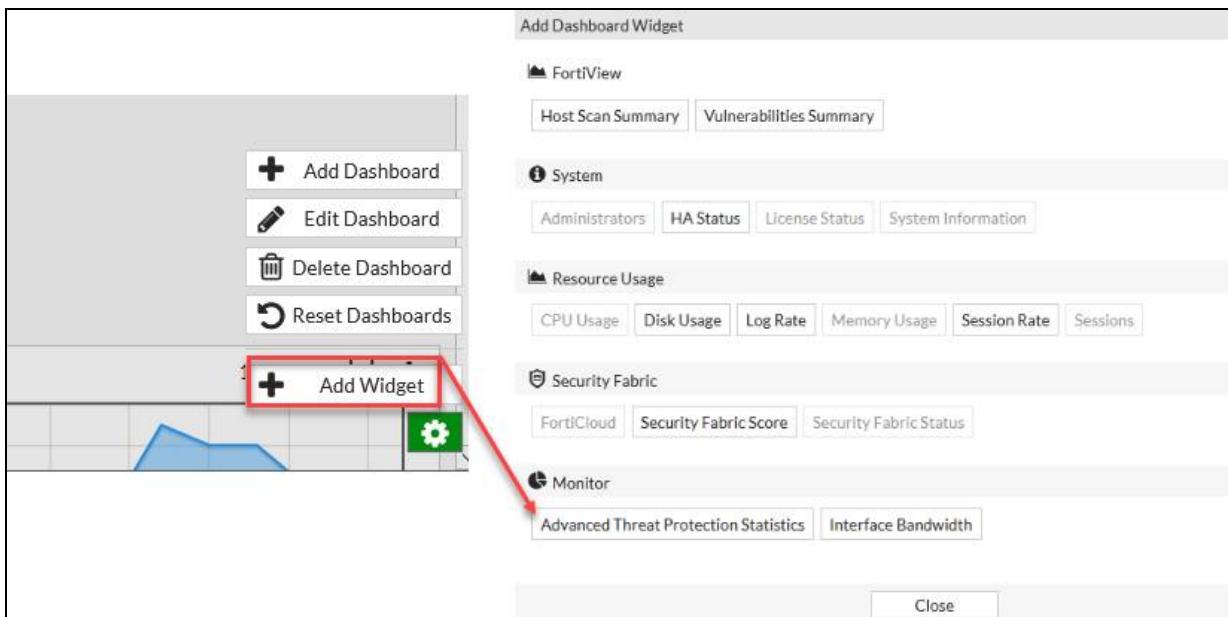
To view the antivirus logs

1. Return to your browser where you are logged in to the Local-FortiGate GUI, and click **Log & Report > Forward Traffic**. You may need to remove any log filters you have set.
2. Locate the antivirus log message and double-click it.
The **Details** tab shows forward traffic log information along with the action taken.
3. Select the **Security** tab to view security logs, which provide information more specific to security events, such as file name, virus or botnet, and reference.
4. To view antivirus security logs, click **Log & Report > AntiVirus**.



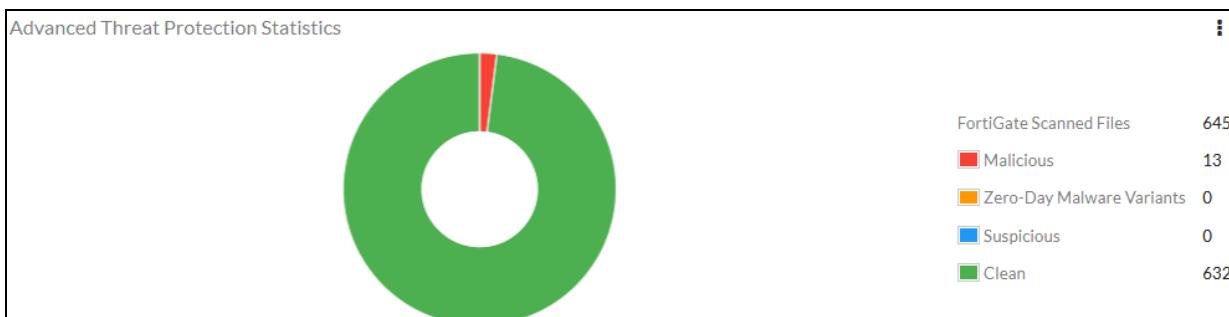
The **AntiVirus** section won't display if there are no antivirus logs. FortiGate displays the **AntiVirus** section after creating logs. If the **AntiVirus** menu item does not display in the GUI, refresh your browser or log out of the FortiGate GUI and log back in again.

5. Click **Dashboard > Main**.
6. Scroll to the bottom of the page, and in the bottom right, click the settings icon.
7. Click **Add Widget** and add the **Advanced Threat Protection Statistics** widget to view the summary statistics of the antivirus activity.



8. Click **Close**.

The **Advanced Threat Protection Statistics** widget provides statistics about the number of files submitted and the results of those scans.



The Advance Threat Protection Statistics widget displays malware statistics stored on the device by the antivirus process. Statistics on the widget can be cleared by formatting the log disk.

Enable SSL Inspection on a Firewall Policy

So far, you have tested unencrypted traffic for antivirus scanning. In order for FortiGate to inspect the encrypted traffic, you must enable deep inspection on the firewall policy. After you enable this feature, FortiGate will filter for traffic that is using the SSL encrypted protocol, which is very similar to a man-in-the-middle (MITM) attack.

Take the Expert Challenge!

- On Local-Windows, test the configuration by downloading the `eicar.com` file using HTTPS without enabling the **deep-inspection** profile on the **Full Access** firewall policy.
- Configure Local-FortiGate to scan secure protocols by enabling **SSH/SSL Inspection** using the **deep-inspection** profile on the **Full Access** firewall policy.
- Test the configuration by downloading the `eicar.com` file using HTTPS.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

To test antivirus scanning without SSL Inspection enabled on the firewall policy

- Continuing on the Local-Windows VM, open a web browser and go to the following website:

<http://eicar.org>

- On the EICAR webpage, click **DOWNLOAD ANTI MALWARE TESTFILE**.
- Click the **Download** link that appears on the left side.
- In the **Download area using the secure, SSL enabled protocol https** section, download `eicar.com` sample file.

Download area using the standard protocol http			
eicar.com 68 Bytes	eicar.com.txt 68 Bytes	eicar_com.zip 184 Bytes	eicarcom2.zip 308 Bytes
Download area using the secure, SSL enabled protocol https			
eicar.com 68 Bytes	eicar.com.txt 68 Bytes	eicar_com.zip 184 Bytes	eicarcom2.zip 308 Bytes

FortiGate should not block the file, because you have not enabled full SSL inspection.

To enable and test the SSL inspection profile on a firewall policy

1. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Policy& Objects > IPv4Policy**.
2. Right-click the **Seq.#** column for the **Full Access** firewall policy and click **Edit**.
3. Under the **Security Profiles** section, in the **SSL/SSH Inspection** drop-down menu, select **deep-inspection**.
4. Keep the remaining default settings, and then click **OK** to save the changes.
5. On the EICAR web page, in the **Download area using the secure, SSL enabled protocol https** section, try to download the same **eicar.com** file again.



If the FortiGate self-signed, full-inspection certificate is not installed on the browser, end users will see a certificate warning message. In this environment, the FortiGate self-signed SSL inspection certificate is installed on the browser.

FortiGate should block the download and replace it with a message. If it doesn't, you may need to clear your cache. In Firefox, click **History > Clear Recent History > Everything**.

Exercise 2: Configuring Proxy-Based Antivirus Scanning

In proxy-based inspection mode, each protocol's proxy buffers the entire file (or waits for oversize limit) and scans it. The client must wait for the scan to finish.

In this exercise, you will configure antivirus scanning in proxy-based inspection mode, including associated security features, such as proxy options with deep-inspection. Then, you will apply antivirus scanning to the firewall policy. Finally, you will view the logs and summary information for the antivirus activity.

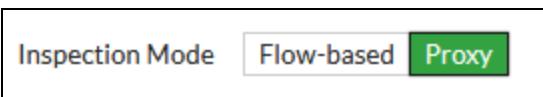
Change the FortiGate Inspection Mode

By default, flow-based inspection mode is enabled on FortiGate. You will change the inspection mode from flow-based to proxy-based.

To change the FortiGate inspection mode

1. On the Local-Windows VM, open PuTTY and connect over SSH to the LOCAL-FORTIGATE saved session.
2. At the login prompt, enter the user name `admin` (all lower case).
3. Enter the following commands to change from **Flow-based** to **Proxy** inspection mode:

```
config system settings
    set inspection-mode proxy
end
```
4. Open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
5. Click **System > Settings** to verify that the **Inspection Mode** is now set to **Proxy**.



Changing from one inspection mode to another will result in the conversion of profiles and removal or addition of security features, based on the selected mode.

Review the Antivirus Profile in Proxy-Based Inspection Mode

Now that you've changed the inspection mode to proxy-based, you will view the antivirus profile to see the changes.

To review the antivirus profile in proxy-based inspection mode

1. Continuing on the Local-FortiGate GUI, click **Dashboard > Main**.

You will notice that in **System Information** widget, the **Mode** is set to **NAT (Proxy-based)**.



If you do not see the mode set to NAT in the system information widget, please refresh your browser.

- Click **Security Profiles > AntiVirus**, and select the **default** antivirus profile.

- Verify that **Detect Viruses** is set to **Block** and, in the **Inspected Protocols** section, make sure the **FTP** switch is turned on.

This profile defines the behavior for virus scanning on the traffic that matches policies using that profile.

Enable the Antivirus Profile on a Firewall Policy

Now that the antivirus profile is configured, you must enable the antivirus profile on the firewall policy. After you enable the antivirus profile on a firewall policy, it can scan for viruses and generate logs (based on configured log settings).

To enable an antivirus profile on a firewall policy

- Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
- Right-click the **Seq.#** column for the **Full_Access** firewall policy and click **Edit**.
- Under the **Security Profiles** section, verify that the default profile for **AntiVirus** is applied.



When selecting an antivirus profile, **Proxy Options** and **SSL/SSH Inspection** are automatically enabled. You can't disable **Proxy Options** or **SSL/SSH Inspection**, but you can select any preconfigured profiles in the **Proxy Options** and **SSL/SSH Inspection** drop-down menus.

- Beside the **Proxy Options** profile, click the pencil icon to view the profile on the firewall policy tab.

Alternatively, click **Security Profiles > Proxy Options** to see the **default** proxy options profile selected in the firewall policy.

This profile specifies how FortiGate's proxies pick up protocols. For example, The FTP listening port is set to port 21.

Test the Proxy-Based Antivirus Profile

Now, you will test the proxy-based antivirus profile using FTP file transfer.

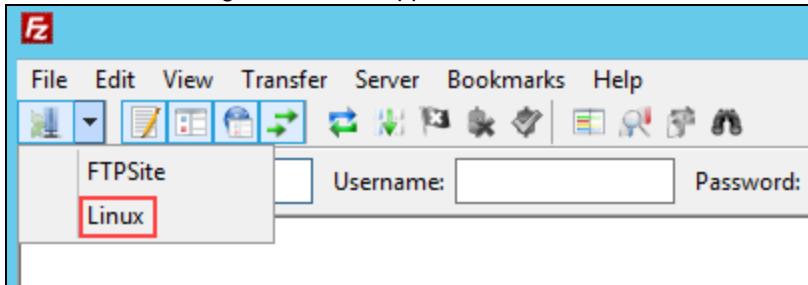
Take the Expert Challenge!

- On the Local-Windows VM desktop, use the FileZilla FTP client to connect to the **Linux** preconfigured profile under Site Manager.
- Leave the username and password fields empty.
- Download the `eicar.com` file from the FTP server.
- View the relevant logs on the Local-FortiGate GUI, and identify the action taken as a result of the scanning.

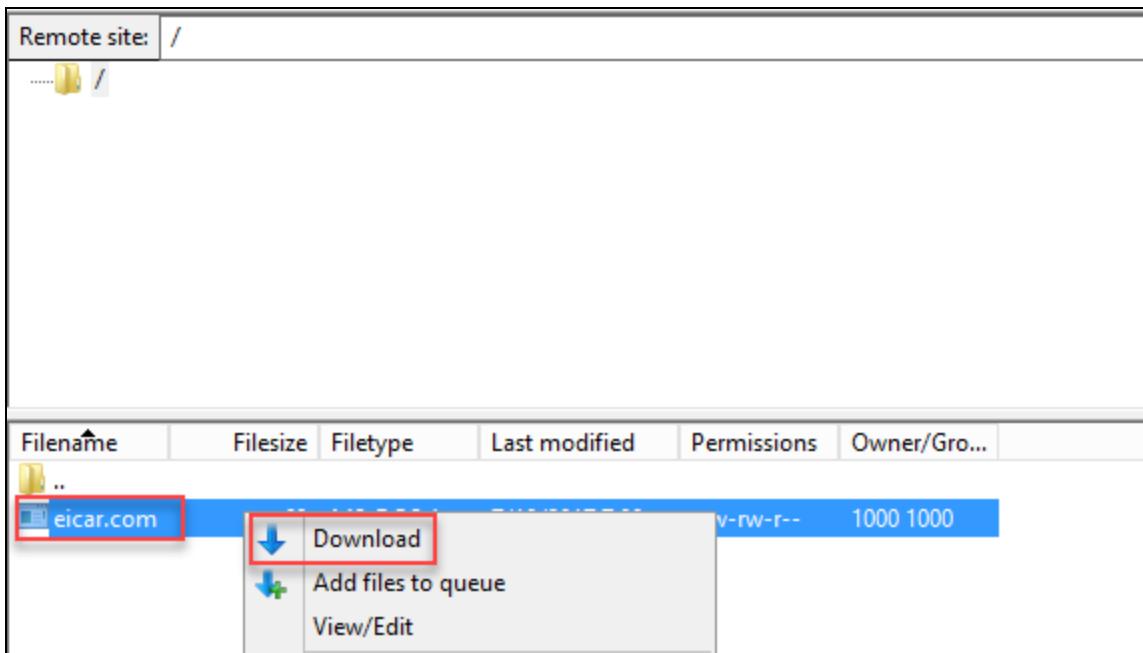
If you require assistance, or to verify your work, the step-by-step instructions are provided below.

To test the antivirus configuration

1. Continuing on the Local-Windows VM, open the FileZilla FTP client software from the desktop.
2. Click the Site Manager icon in the upper-left corner and Select **Linux**.



3. On the **Remote site** side of the application (right), right-click the `eicar.com` file, and then select **Download**.



The client should display an error message that the server aborted the connection. FortiGate sends the replacement message as a server response.

```

Command: RETR eicar.com
Response: 150 Opening BINARY mode data connection for eicar.com (68 bytes).
Response: 550-Dangerous Attachment has been Removed. The file "eicar.com" has been removed because of a virus. It was infected with the "EICAR_TEST_FILE" virus. File quarantined as:
""."http://www.fortinet.com/ve?vn=EICAR_TEST_FILE"
Response: 550 *
Error: File transfer failed
  
```



In proxy-based inspection mode, FortiGate buffers the file to scan the content before sending the file or a replacement message to the client.

4. Close the FileZilla FTP client.

View the Antivirus Logs

Now, you will check and confirm the logs for the test you just performed.

To view the antivirus logs

1. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Log & Report > Forward Traffic**.
2. Locate the antivirus logs message from when you tried to access the file from the FTP, and double-click the log entry to view the details.

The screenshot shows the FortiGate Log & Report interface. On the left is a navigation tree with categories like Dashboard, Firewall, Network, System, Policy & Objects, Security Profiles, VPN, User & Device, WiFi & SubIF Controller, and Log & Report. Under Log & Report, Forward Traffic is selected. A table lists log entries. The first entry (row 1) is highlighted in yellow and has a red border around its columns. It details a connection from 10.0.1.10 to 10.200.1.254 on port 21 (FTP). The application name is 'FTP' and the security events show a 'Deny UTM Blocked' event with ID 3. The result is '1 (Full Accepted)' and the policy is also '1 (Full Accepted)'. The 'Details' tab on the right shows the full log entry with fields for Date, Time, Duration, Session ID, Virtual Domain, NAT Translation Source, Source IP, NAT IP, and Source Port.

#	Date/Time	Service	Source	File Name	Virus/Botnet	User	Details	Action
1	14:50:34	FTP	10.0.1.10	eicar.com	EICAR_TEST_FILE		host: 10.200.1.254	blocked

The **Details** tab shows forward traffic log information along with the action taken.

3. To view security log information, do one of the following:

- Select the **Security** tab. This includes information more specific to the security event, such as file name, virus/botnet, reference, and so on.
- Click **Log & Report > AntiVirus**.

#	Date/Time	Service	Source	File Name	Virus/Botnet	User	Details	Action
1	14:50:34	FTP	10.0.1.10	eicar.com	EICAR_TEST_FILE		host: 10.200.1.254	blocked

Lab 10: Intrusion Prevention System (IPS) and Denial of Service (DoS)

In this lab, you will set up IPS profiles and denial of service (DoS) policies. You will also use a vulnerability scanner and a custom script to generate attacks on Local-FortiGate.

Objectives

- Protect your network against known attacks using IPS signatures.
- Use rate based signatures to block brute force attacks.
- Mitigate and block DoS attacks.

Time to Complete

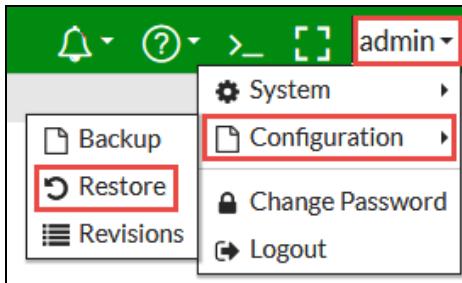
Estimated: 40 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as **admin** and leave the password field empty.
2. In the upper-right corner of the screen, in the **admin** drop-down menu, select **Configuration > Restore**.



3. Select **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Intrusion-Prevention-System > local-intrusion-prevention-system.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Exercise 1: Blocking Known Exploits

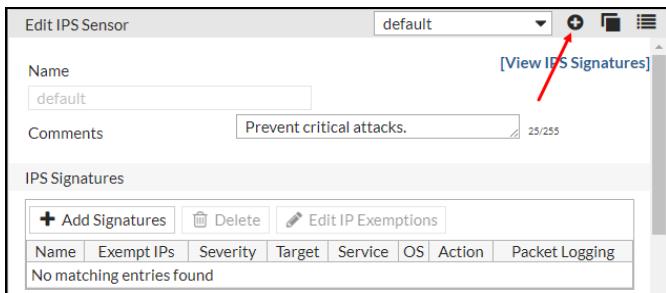
During this exercise, you will configure IPS inspection on Local-FortiGate.

Configure IPS Inspection

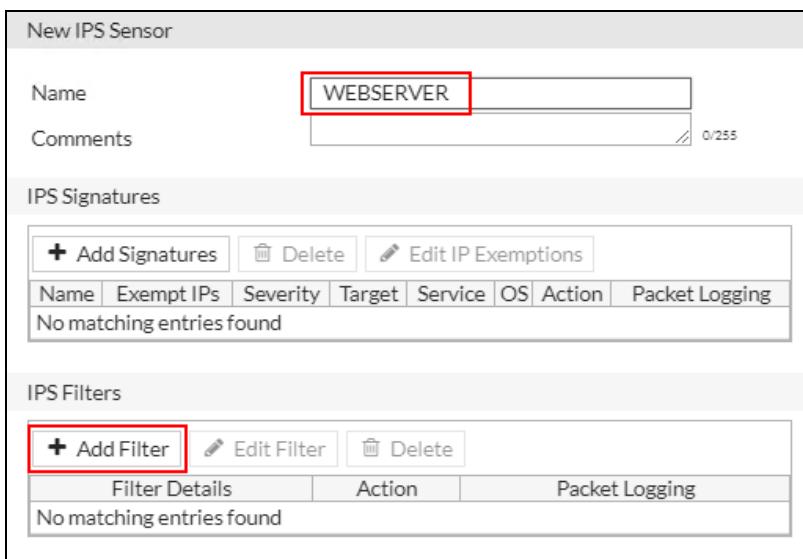
First, you will configure an IPS sensor that includes the signatures for known attacks on Windows operating systems.

To configure IPS

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Intrusion Prevention**.
3. In the upper-right corner, click the plus (+) icon to create a new sensor.



4. In the **Name** field, type `WEBSERVER` for the new sensor name.
5. In the **IPS Filters** section, click **Add Filter**.



5. In the **Add Filter** window, click **Add Filter**.
6. Click **OS** and then click **Windows**.

7. Click Use Filters.

The screenshot shows a list of 5187 signatures. The first entry, 'OS: Windows', is selected and highlighted with a red box. At the bottom of the dialog, there are two buttons: 'Use Filters' (highlighted with a red box) and 'Cancel'.

All the signatures matching the filter are added to the IPS sensor.

- 8. Click OK.**
9. Right-click the filter you created, and then click Monitor.

The screenshot shows the 'IPS Filters' configuration window. On the left, a table lists a single filter named 'OS: Windows' with an 'Edit Filter' icon. On the right, a dropdown menu is open over the 'Action' column, showing options: Pass (checked), Monitor (highlighted with a red box), Block, Reset, Default, Quarantine, and Packet Logging.

FortiGate will create an intrusion prevention log entry for each detected attack, without blocking it.

- 10. Click Apply.**

Apply an IPS Sensor to a VIP Firewall Policy

You will apply the new IPS sensor to a firewall policy that allows external access to the web server running on Local-Windows.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), do the following:

- Configure a new virtual IP to map the external IP 10.200.1.200 to the internal IP 10.0.1.10, using **port1** as the external interface. Name the virtual IP **VIP-WEB-SERVER**.
- Create a new firewall policy to allow all inbound traffic to the virtual IP and enable the **WEB SERVER** IPS sensor. Name the firewall policy **Web_Server_Access_IPS**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Generate Attacks from the Linux Server on page 180](#)

To create a virtual IP

- Continuing on the Local-Fortigate GUI, click **Policy & Objects > Virtual IPs**.
- Click **Create New > Virtual IP**.
- Configure the following settings:

Field	Value
Name	VIP-WEB-SERVER
Interface	port1
External IP Address/Range	10.200.1.200
Mapped IP Address/Range	10.0.1.10

- Click **OK**.

To configure a firewall policy

- Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
- Click **Create New** and create a new firewall policy using the following settings:

Field	Value
Name	Web_Server_Access_IPS
Incoming Interface	port1
Outgoing Interface	port3
Source	all
Destination	VIP-WEB-SERVER
Schedule	always

Field	Value
Service	ALL
Action	ACCEPT
NAT	disabled

3. In the **Security Profiles** section, enable **IPS**, and from the drop-down list, select **WEB SERVER**.

The policy should look like the following example:

New Policy

Name	Web_Server_Access_IPS
Incoming Interface	port1
Outgoing Interface	port3
Source	all
Destination	VIP-WEB-SERVER
Schedule	always
Service	ALL
Action	<input checked="" type="button"/> ACCEPT <input type="button"/> DENY <input type="button"/> LEARN

Firewall / Network Options

NAT

Security Profiles

AntiVirus	<input type="radio"/>
Web Filter	<input type="radio"/>
DNS Filter	<input type="radio"/>
Application Control	<input type="radio"/>
IPS	<input checked="" type="radio"/> <input type="button"/> IPS WEB SERVER
SSL/SSH Inspection	<input type="button"/> SSL certificate-inspection



Configuring full SSL inspection would significantly increase the time required to complete this lab. Therefore, for the purposes of this exercise, you will not configure full SSL inspection.

4. Click **OK**.

Generate Attacks from the Linux Server

You will run a Perl script to generate attacks from the Linux server located in front of the Local-FortiGate.

To generate attacks from the Linux server

1. Continuing on Local-Windows, open PuTTY and connect over SSH to the **LINUX** saved session.
2. At the login prompt, enter the user name `student` with the password `password`.
3. Run the following script to start the attacks:
`nikto.pl -host 10.200.1.200`
4. Leave the PuTTY session open (you can minimize it) so traffic continues to generate.



Do not close the LINUX PuTTY session or traffic will stop generating.

Monitor the IPS

You will check the IPS logs to monitor for known attacks being detected by Local-FortiGate.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- Review the IPS logs for all detected attacks.
- Locate the relevant log entries for the following signatures:
 - Apache.Expect.Header.XSS
 - LaVague.PrintBar.PHP.File.Inclusion
- Review the FortiGuard encyclopedia pages, and make note of the affected products for both signatures.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Tune the IPS Sensor on page 182](#).

To monitor the IPS

1. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Log & Report > Intrusion Prevention**.

2. Review the generated logs for all detected attacks.

Currently none of the attacks are being blocked because the **WEB SERVER** IPS sensor is set to monitor traffic.



The IPS logs section will not display if there are no IPS logs. FortiGate displays this section only after creating logs. After the attacks, if the Intrusion Prevention menu item does not display in the GUI, refresh your browser or log out of the Local-FortiGate GUI and log back in again.

3. Locate the relevant log entries for the following signatures using the log filter:

- Apache.Expect.Header.XSS
- LaVague.PrintBar.PHP.File.Inclusion

#	Date/Time	Severity	Source	Protocol	User	Action	Count	Attack Name
1	11:49:38		10.200.1.254	tcp		detected		LaVague.PrintBar.PHP.File.Inclusion
2	11:49:10		10.200.1.254	tcp		detected		Apache.Expect.Header.XSS

3. Click a log entry, and then click **Details**.

4. Click the **Reference** link:

#	Date/Time	Severity	Source	Protocol	User	Action	Count	Attack Name	Log Details
1	11:49:38		10.200.1.254	tcp		detected		LaVague.PrintBar.PHP.File.Inclusion	<p>Action detected Policy 2</p> <p>Security Level Threat Level high Threat Score 30</p> <p>Intrusion Prevention Profile Name WEB SERVER Attack Name LaVague.PrintBar.PHP.File.I Attack ID 14948</p> <p>Reference http://www.fortinet.com/id</p> <p>Incident Serial No. 497527705 Direction outgoing Severity Message LaVague.PrintBar.PHP.File.I</p> <p>Other Source Interface Role undefined pcap_id 14948</p>
2	11:49:10		10.200.1.254	tcp		detected		Apache.Expect.Header.XSS	

5. Review the FortiGuard encyclopedia pages for both signatures and record the affected products for each signature in the table below:

Signature	Affected Products
Apache.Expect.Header.XSS	
LaVague.PrintBar.PHP.File.Inclusion	



None of the affected products are currently installed on Local-Windows. This information is important to make note of before you tune the **WEB SERVER** IPS sensor. If the affected products aren't installed, is it really necessary to inspect those packets?

Tune the IPS Sensor

You will modify the IPS sensor you created to block most of the attacks, and create individual rules that have different actions for the two signatures you noted in the previous procedure.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- On Local-FortiGate, in the **WEB SERVER** IPS sensor, change the **IPSFilter** rule to **Block**
- Add the following individual IPS rules:
 - **LaVague.PrintBar.PHP.File.Inclusion** | Action: **Pass**
 - **Apache.Expect.Header.XSS** action | Action: **Monitor**

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Verify the IPS Sensor Tuning on page 184](#).

To modify the IPS filter rule

1. Continuing on the Local-FortiGate GUI, click **Security Profiles > Intrusion Prevention**.
2. In the sensor drop-down list located on the top right of the page, select the sensor named **WEB SERVER** to edit it.
3. In the **IPS Filters** section, right-click the rule you created previously, and then select **Block**.
4. Click **Apply**.

To create individual IPS signature rules

1. Continuing on the **WEB SERVER** IPS sensor configuration page, in the **IPS Signatures** section, click **Add Signatures**.

The screenshot shows the Local-FortiGate GUI configuration page for the WEB SERVER sensor. The top section displays basic sensor information: Name (SERVER) and Comments (empty). Below this is the **IPS Signatures** section, which includes a table for managing signatures. A red box highlights the '+ Add Signatures' button. The table has columns for Name, Exempt IPs, Severity, Target, Service, OS, Action, and Packet Logging. A message 'No matching entries found' is displayed. The bottom section is the **IPS Filters** section, featuring a table with columns for Filter Details, Action, and Packet Logging. A single entry is shown: 'OS: Windows' under Filter Details, 'Block' under Action, and an unchecked checkbox under Packet Logging.

Name	Exempt IPs	Severity	Target	Service	OS	Action	Packet Logging
No matching entries found							

Filter Details	Action	Packet Logging
OS: Windows	Block	<input type="checkbox"/>

2. Search for the first signature you noted: **Apache.Expect.Header.XSS**

FortiGate searches the entire IPS database for matching signatures. It might take a few minutes for the search to complete.

The screenshot shows the 'Add Signatures' dialog box. In the search bar at the top left, the text 'Apache.Expect.Header.XSS' is entered. To the right of the search bar, it says 'Total Selected Signatures: 0'. Below the search bar is a table with columns: Name, Severity, Target, OS, Service, and Action. A single row is present in the table, corresponding to the search term. The row contains the following information: Name: Apache.Expect.Header.XSS, Severity: 4 (yellow), Target: Server, OS: Windows, Linux, BSD, Service: TCP, HTTP, Action: Block. At the bottom of the dialog box are two buttons: 'Use Selected Signatures' (highlighted in green) and 'Cancel'.

- Click the signature to select it.

This screenshot is identical to the one above, but the row for 'Apache.Expect.Header.XSS' is highlighted with a yellow background. A red arrow points from the text 'Click the signature to select it.' to this highlighted row. The rest of the interface is the same, with the search bar containing 'Apache.Expect.Header.XSS' and the total selected signatures counter showing '1'.

- Click **Use Selected Signatures**.

The signature is added to the table.

- Click **Add Signatures** again.

- Search for the second signature: LaVague.PrintBar.PHP.File.Inclusion

- Click the signature to select it.

- Click **Use Selected Signatures**.

The signature is added to the table.

- Right-click the **LaVague.PrintBar.PHP.File.Inclusion** signature rule, and then click **Pass**.

- Right-click the **Apache.Expect.Header.XSS** signature rule, and then click **Monitor**.

- Click **Apply**.

The **WEB SERVER** IPS sensor should look like the following example:

IPS Signatures

Name	Exempt IPs	Severity	Target	Service	OS	Action	Packet Logging
Apache.Expect.Header.XSS	0	██████	Server	TCP, HTTP	Windows, Linux, BSD	█ Monitor	✖
LaVague.PrintBar.PHP.File.Inclusion	0	██████	Server	TCP, HTTP	Windows, Linux, BSD, Solaris, MacOS	█ Pass	✖

IPS Filters

Filter Details	Action	Packet Logging
OS: Windows	█ Block	✖

Verify the IPS Sensor Tuning

You will generate another attack from the Linux VM. This time, FortiGate should block most of the attacks.

To test the IPS sensor tuning

1. Still on Local-Windows, return to your LINUX PuTTY session and press the up arrow to run the same command again.

```
nikto.pl -host 10.200.1.200
```

This time the script will take longer to complete.

2. Wait approximately 10 minutes for the script to run all the attacks. If, after 10 minutes, the script has not finished running, press Ctrl+C to stop it.

View the IPS logs

Now you will view the new IPS logs.

Take the Expert Challenge!

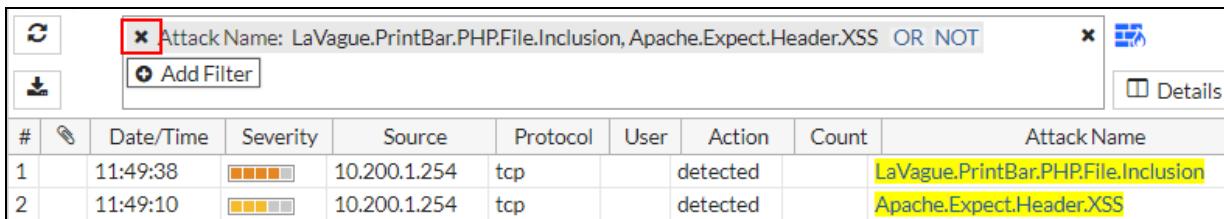
On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), complete the following:

- Verify that the **WEB SERVER** IPS sensor is now blocking attacks generated by the script.
- Locate the new log entry for the **Apache.Expect.Header.XSS** signature.
- Identify why there aren't any new logs for the **LaVague.PrintBar.PHP.File.Inclusion** signature

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

To view the IPS logs

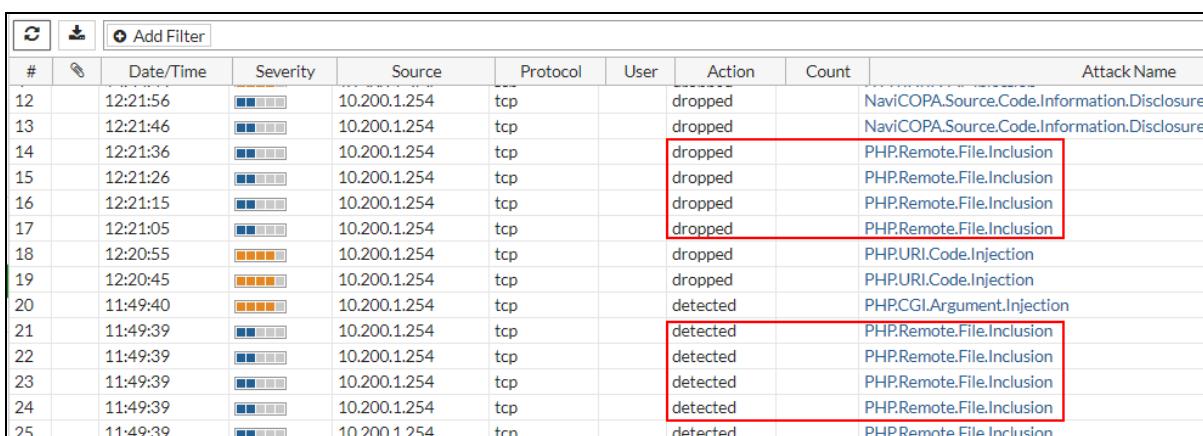
1. On the Local-FortiGate GUI, click **Log & Report > Intrusion Prevention**.
2. Click the **x** icon to remove any log filters:



#	Date/Time	Severity	Source	Protocol	User	Action	Count	Attack Name
1	11:49:38	██████	10.200.1.254	tcp		detected		LaVague.PrintBar.PHP.File.Inclusion
2	11:49:10	██████	10.200.1.254	tcp		detected		Apache.Expect.Header.XSS

3. Review the logs.

Signatures that were previously **detected** are now **dropped**.

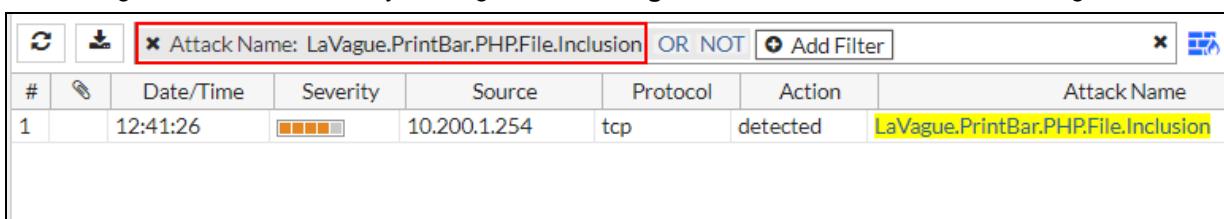


#	Date/Time	Severity	Source	Protocol	User	Action	Count	Attack Name
12	12:21:56	██████	10.200.1.254	tcp		dropped		NaviCOPA.Source.Code.Information.Disclosure
13	12:21:46	██████	10.200.1.254	tcp		dropped		NaviCOPA.Source.Code.Information.Disclosure
14	12:21:36	██████	10.200.1.254	tcp		dropped		PHP.Remote.File.Inclusion
15	12:21:26	██████	10.200.1.254	tcp		dropped		PHP.Remote.File.Inclusion
16	12:21:15	██████	10.200.1.254	tcp		dropped		PHP.Remote.File.Inclusion
17	12:21:05	██████	10.200.1.254	tcp		dropped		PHP.Remote.File.Inclusion
18	12:20:55	██████	10.200.1.254	tcp		dropped		PHP.URI.Code.Injection
19	12:20:45	██████	10.200.1.254	tcp		dropped		PHP.URI.Code.Injection
20	11:49:40	██████	10.200.1.254	tcp		detected		PHPCGI.Argument.Injection
21	11:49:39	██████	10.200.1.254	tcp		detected		PHP.Remote.File.Inclusion
22	11:49:39	██████	10.200.1.254	tcp		detected		PHP.Remote.File.Inclusion
23	11:49:39	██████	10.200.1.254	tcp		detected		PHP.Remote.File.Inclusion
24	11:49:39	██████	10.200.1.254	tcp		detected		PHP.Remote.File.Inclusion
25	11:49:39	██████	10.200.1.254	tcp		detected		PHP.Remote.File.Inclusion

4. Locate the new log entry for the **Apache.Expect.Header.XSS** signature.

Because of the **Monitor** action, FortiGate still detects and generates an IPS log entry, but allows the traffic to pass.

5. Use the log filter and search for any new logs for the **LaVague.PrintBar.PHP.File.Inclusion** signature.



#	Date/Time	Severity	Source	Protocol	Action	Attack Name
1	12:41:26	██████	10.200.1.254	tcp	detected	LaVague.PrintBar.PHP.File.Inclusion

Because the signature action is set to **Pass**, FortiGate won't generate any new logs for it.

6. Close your LINUX PuTTY session.

Exercise 2: Using Rate Based IPS Signatures

In this exercise you will configure a rate based signature to detect and block a brute force FTP attack.

Apply Rate Based Signatures

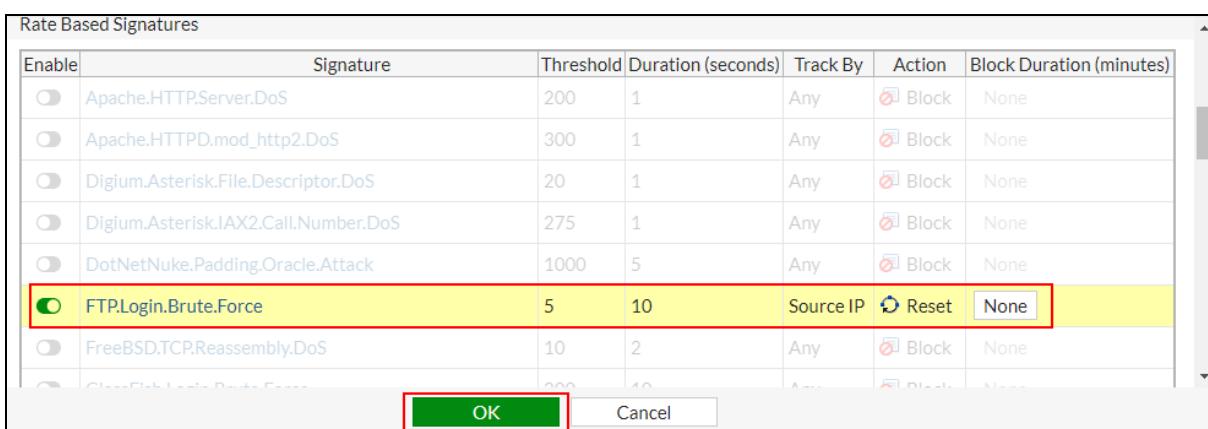
You will create a new IPS sensor, then enable and configure the appropriate signature to detect and block FTP brute-force attacks. You will then apply the IPS sensor to all outbound traffic on Local-FortiGate.

To create an IPS sensor

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Intrusion Prevention**.
3. Click the plus (+) icon in the top right of the page to create a new sensor.
4. In the **Name** field, type `FTP_BRUTE_FORCE`.
5. In the **Rate Based Signatures** table, enable the `FTP.Login.Brute.Force` signature.
6. Double-click the signature and configure the following values:

Field	Value
Threshold	5
Track By	Source IP
Action	Reset

7. Click **OK**.



To apply IPS on outbound traffic

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Double-click the existing **Full_Access** policy to edit it.

3. In the **Security Profiles** section, enable **IPS**, and in the drop-down list, select **FTP_BRUTE_FORCE**.
4. Click **OK**.

Test the Rate Based Signature

You will use a custom Windows batch script to generate invalid login attempts to the FTP server located on the Linux VM. You will then verify your configuration using the IPS logs.



A typical brute-force attack makes use of a dictionary of usernames and passwords. In this scenario, the script is using an incorrect username and password to flood the FTP server with invalid login attempts. The 530 Login incorrect responses from the FTP server should be enough to trigger the signature.

To run the Windows batch script

1. Continuing on the Local-Windows VM, open a command prompt window.
2. Change the working directory to `Resources\FortiGate-Security\Intrusion-Prevention-System`:

```
>cd Desktop  
>cd Resources  
>cd FortiGate-Security  
>cd Intrusion-Prevention-System
```
3. Execute the Windows batch script:
`bruteFTP`
4. Wait for the script to finish running all 10 attempts, and then press any key to stop the script.

```
Administrator: Command Prompt - bruteFTP.bat  
=====  
ftpx> open 10.200.1.254  
Connected to 10.200.1.254.  
220 (vsFTPd 3.0.3)  
User <10.200.1.254:<none>>:  
331 Please specify the password.  
Connection closed by remote host.  
ftpx> bye  
=====  
Press any key to continue . . .
```

5. Leave the command prompt window open in the background.

To view the IPS logs

1. Return to the browser tab where you are logged in to the Local-FortiGate GUI, and click **Log & Report > Intrusion Prevention**.
2. Locate the logs for the FTP brute force attacks:



You may need to remove any log filters you previously set.

#	Date/Time	Severity	Source	Protocol	User	Action	Count	Attack Name
1	10:01:14	██████	10.0.1.10	tcp		reset		FTP.Login.Brute.Force
2	10:01:13	██████	10.0.1.10	tcp		reset		FTP.Login.Brute.Force
3	10:01:12	██████	10.0.1.10	tcp		reset		FTP.Login.Brute.Force
4	10:01:11	██████	10.0.1.10	tcp		reset		FTP.Login.Brute.Force
5	10:01:09	██████	10.0.1.10	tcp		reset		FTP.Login.Brute.Force
6	10:01:08	██████	10.0.1.10	tcp		reset		FTP.Login.Brute.Force

Why are there only six log entries, when the script generated 10 login attempts?

Stop and think!

The **FTP.Login.Brute.Force** rate based signature was configured with a threshold of five. The IPS signature action only triggered after the threshold was met.

To verify the IPS signature action

1. Continuing on the Local-Windows VM, go back to the command prompt window.
2. Scroll up and locate **Attempt 4** and **Attempt 5**.

```

Administrator: Command Prompt - bruteFTP.bat
=====
=====Attempt 4=====
ftp> open 10.200.1.254
Connected to 10.200.1.254.
220 (vsFTPd 3.0.3)
User <10.200.1.254:<none>>:
331 Please specify the password.

530 Login incorrect.
Login failed.
ftp> bye
221 Goodbye.
=====

=====Attempt 5=====
ftp> open 10.200.1.254
Connected to 10.200.1.254.
220 (vsFTPd 3.0.3)
User <10.200.1.254:<none>>:
331 Please specify the password.

Connection closed by remote host.
ftp> bye
=====
```

Note that for **Attempt 4**, the server response is **530 Login incorrect**. However, for **Attempt 5**, the error message is **Connection closed by remote host**. This is where the rate based signature's action triggers, and the FTP client's connections are reset. This **Connection closed by remote host** message repeats until the script ends with **Attempt 10**.

3. Close the command prompt window.

Exercise 3: Mitigating a DoS Attack

In this exercise, you will configure the Local-FortiGate for DoS protection.

Create a DoS Policy

You will create a DoS policy to detect and block an icmp flood attack

Take the Expert Challenge!

On the Local-FortiGate GUI (`10.0.1.254 | admin <blank password>`), do the following:

- Create a new IPv4 DoS policy for **port1**.
- Configure the policy to block ICMP floods with a threshold of 200.
- Enable logging.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test the DoS Policy on page 190](#).

To create a DoS policy

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as **admin** and leave the password field empty.
2. Click **Policy & Objects > IPv4 DoS Policy**.
3. Click **Create New**.
4. Configure the following settings:

Field	Value
Incoming Interface	port1
Source Address	all
Destination Address	all
Services	ALL

5. Locate **icmp_flood**, and enable **Status** and **Logging**.
6. Set the **Action** to **Block** and the **Threshold** to 200.

L4 Anomalies						
Name	Status	Logging	Pass	Action	Threshold	
tcp_syn_flood	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	2000	
tcp_port_scan	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	1000	
tcp_src_session	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	5000	
tcp_dst_session	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	5000	
udp_flood	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	2000	
udp_scan	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	2000	
udp_src_session	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	5000	
udp_dst_session	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	5000	
icmp_flood	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Pass	Block	200	
icmp_sweep	<input type="checkbox"/>	<input type="checkbox"/>	Pass	Block	100	

- Click **OK**.

Test the DoS Policy

You will generate an ICMP flood from the Linux VM. This will trigger the DoS policy on Local-FortiGate.

To test the DoS policy

- Continuing on the Local-Windows VM, open PuTTY and connect over SSH to the **LINUX** saved session.
- At the login prompt, enter the user name `student` with a password of `password`.
- Execute the following command to generate an ICMP flood to Local-FortiGate:

```
sudo ping -f 10.200.1.1
```

A password prompt for the `student` account is displayed



The command option `-f` causes the ping utility to run continuously, and not wait for replies between ICMP echo requests. It also requires super-user privilege.

- Enter `password`.
For every ping sent, the SSH session will display a period.
- Leave the SSH connection open with the ping running (you can minimize the window).

To view the anomaly logs

- Return to the browser where you are logged in to the Local-FortiGate GUI, and press F5 to refresh the browser (or log out and log in).
- Click **Log & Report > Anomaly**.



The **Anomaly** logs section will not display if there are no anomaly logs. If the **Anomaly** menu item does not display in the GUI, refresh the browser or log out from the Local-FortiGate GUI and log back in again.

3. Examine the logs.

Note that the ICMP flood has been blocked. This is indicated by the entry **clear_session** in the **Action** field.

#	Date/Time	Severity	Source	Protocol	User	Action	Count	Attack Name
1	09:25:52	██████	10.200.1.254	1		clear_session	1	icmp_flood

4. Go back to the PuTTY window and press Ctrl+C to stop the ping.

5. Close the PuTTY session.

Lab 11: SSL-VPN

In this lab, you will configure an SSL-VPN connection in tunnel and web modes. You will also manage user groups and portals for an SSL-VPN.

Objectives

- Configure and connect to an SSL-VPN.
- Enable authentication security.
- Configure a firewall policy for SSL-VPN users to access private network resources.
- Customize the SSL-VPN portal for web mode.
- Configure FortiClient for the SSL-VPN connection in tunnel mode.

Time to Complete

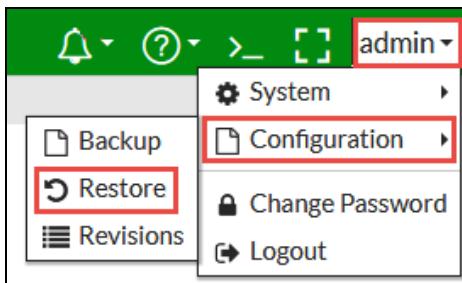
Estimated: 25 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate.

To restore the Local-FortiGate configuration file

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
- In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > SSL-VPN > local-SSL-VPN.conf**, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

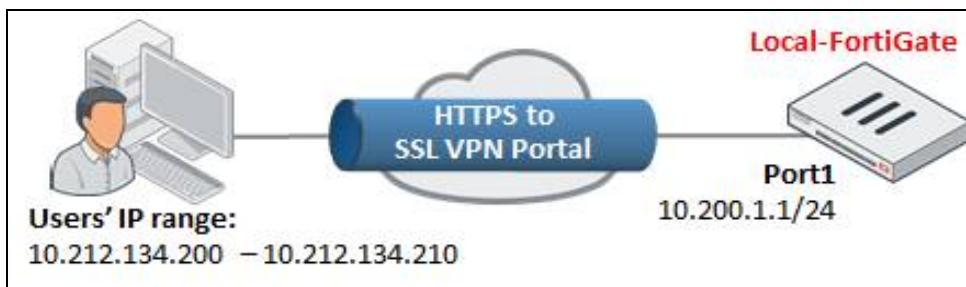
Exercise 1: Configuring Web Mode SSL-VPN

On FortiGate, there are two modes you can configure to allow remote access through SSL-VPN: web mode and tunnel.

In this exercise, you will test web mode, which will allow SSL-VPN users to connect from the Remote-Windows VM, to resources located in the local subnet (10.0.1.0/24).

Configure the SSL-VPN Settings

Now, you will configure the SSL-VPN settings to allow the remote connection shown in the following example:



To create a user for SSL-VPN connections

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. Click **User & Device > User Definition**.
3. Click **Create New**.
4. Click **Local User**, and then click **Next**.
5. Enter the following credentials for the remote user, and then click **Next**:

Username	student
Password	fortinet

6. Leave the contact info empty, and click **Next**.
7. For **User Account Status**, verify that **Enabled** is selected.
8. Enable **User Group**, click the **+** field that appears, and then, in the right pane, select **SSL_VPN_USERS**.
9. Click **Submit**.



The group **SSL_VPN_USERS** has been preconfigured for the purpose of this lab.

To review the settings of this group, click **User & Device > User Groups**.

To configure the SSL-VPN settings for web access

- Continuing on the Local-FortiGate GUI, click **VPN > SSL-VPN Settings**.
- In the **Connection Settings** section, configure the following settings:

Field	Value
Listen on Interface(s)	port1
Listen on Port	10443
Restrict Access	Allow access from any host
Inactive For	3000 seconds
Server Certificate	Fortinet_Factory

- In the **Tunnel Mode Client Settings** section, configure the following settings:

Field	Value
Access Range	Automatically assign addresses

- In the **Authentication/Portal Mapping** section, select **All Other Users/Groups**, and then click **Edit**.

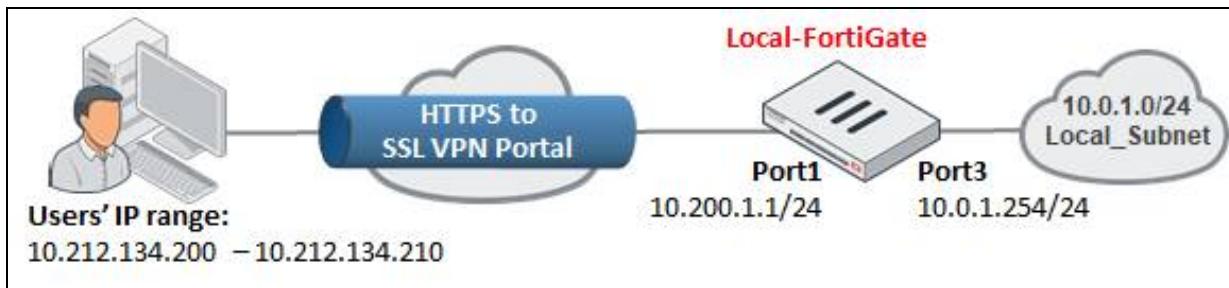
Users/Groups	Portal
All Other Users/Groups	⚠️ Not Set

- In the **Portal** drop-down list, select **web-access**, and then click **OK**.
- Click **Apply** to save the changes.
- Click **OK** to confirm the use of the built-in certificate.

Notice the warning message displayed on the top of this page. It indicates that you need to create a firewall policy for SSL-VPN connections.

Create a Firewall Policy for SSL-VPN

Now, you will create a firewall policy that allows traffic to the local subnet (`10.0.1.0/24`) from remote users connected to the SSL-VPN portal.



To create a firewall policy for SSL-VPN

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Click **Create New**, and then configure the following firewall policy settings:

Field	Value
Name	SSL-VPN-Access
Incoming Interface	SSL-VPN tunnel interface (ssl.root)
Outgoing Interface	port3
Source	Address > SSLVPN_TUNNEL_ADDR1 User > SSL_VPN_USERS
Destination	LOCAL_SUBNET
Schedule	always
Service	ALL
Action	ACCEPT
NAT	Disabled

3. Click **OK**.
4. Click **OK** to confirm the use of the built-in certificate.

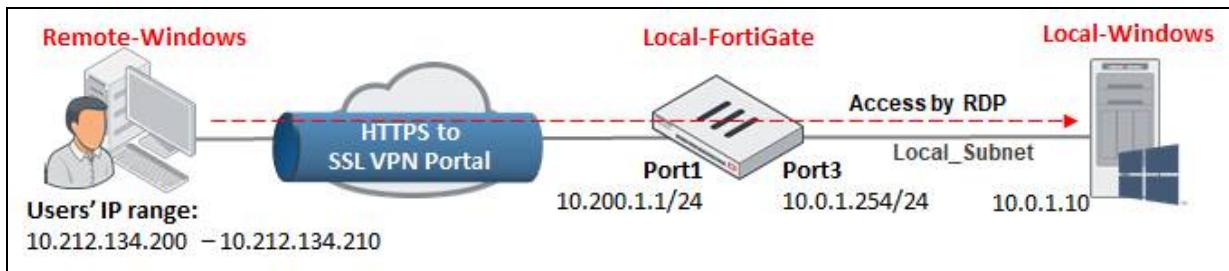


The SSL-VPN firewall policy will only allow traffic from users within the group **SSL_VPN_Users**.

Test the SSL-VPN Access

Now, you will test the SSL-VPN by accessing resources remotely within the local subnet (10.0.1.0/24).

For this, you will connect to the SSL-VPN portal using the Remote-Windows VM, and then you'll perform an RDP connection to the Local-Windows VM.



To access the SSL-VPN portal

1. In your lab environment, connect to the Remote-Windows VM.

2. Open Firefox and connect to:

<https://10.200.1.1:10443>

A security warning appears.

Stop and think!

Why do you receive a security warning?

For SSL connections, FortiGate is using a built-in certificate, which is signed by a certificate authority that the browser does not trust.

3. Click **Advanced**, click **Add Exception**, and then click **Confirm Security Exception**.

The remote login page opens.

4. Log in as student with the password fortinet.

The SSL-VPN web portal opens. The portal is using default settings.

To test the SSL-VPN portal

1. Continuing on the SSL-VPN portal where you are logged in as student, click **Quick Connection**.

Notice all the available options the SSL-VPN portal allows for connections.

2. Click **RDP**, and configure the following setting:

Field	Value
Host	10.0.1.10

3. Keep the default values for the remaining settings, and then click **Launch**.

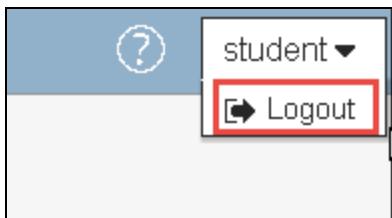
4. Wait five seconds, and then click **TRAININGAD\Administrator** when it appears.

5. Enter the password **password**.

You are now remotely connected to the Local-Windows VM.

6. Close the web browser that is running the RDP session.

7. In the upper-right corner, click **student > Logout** to log out of the SSL VPN portal.

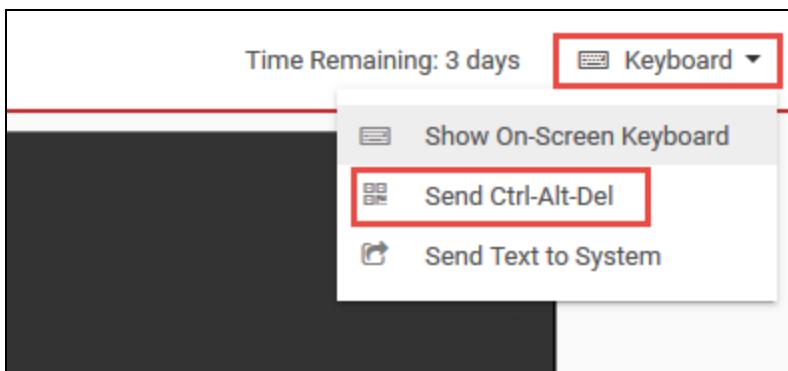


Add an Admin-Based Bookmark to the SSL-VPN Portal

In this exercise, you will customize the SSL-VPN portal with a new color and a predefined bookmark.

To customize the SSL-VPN Portal

1. Return to the Local-Windows VM.
2. In the upper-right corner of the browser window, click **Keyboard** > **Send Ctrl-Alt-Del**.



3. Click the **TRAININGAD\Administrator** user account.
4. Enter the password **password**.
5. Open a web browser and log in to the Local-FortiGate GUI at **10.0.1.254** as **admin** and leave the password field.
6. Click **VPN > SSL-VPN Portals**.
7. Select **web-access**, and then click **Edit**.
8. Configure the following settings:

Field	Value
Portal Message	My Portal
Theme	Red
Show Connection Launcher	<disable>
User Bookmarks	<disable>

9. In the **Predefined Bookmarks** section, click **Create New**, and then configure the following settings:

Field	Value
Name	Local-Windows VM
Type	HTTP/HTTPS
URL	http://10.0.1.10
Single Sign-On	Disabled

10. Click **OK**.

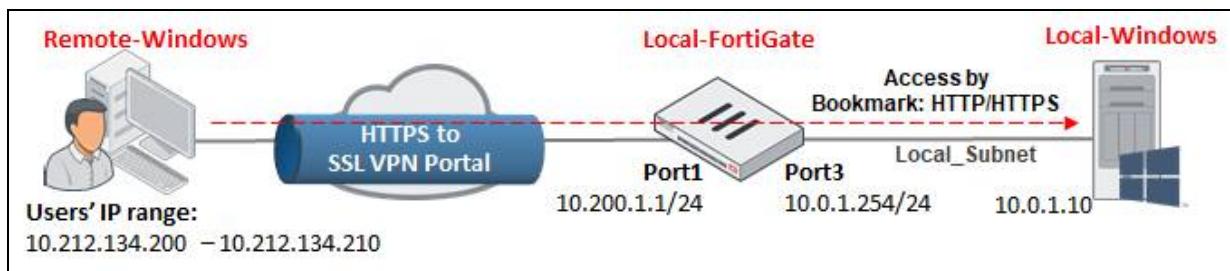
11. Click **OK** again to save the portal's settings.

Test SSL-VPN Access Using the Predefined Bookmark

Now, you will connect again to the SSL-VPN portal on the Remote-Windows VM to access the resources in the local subnet (10.0.1.0/24).

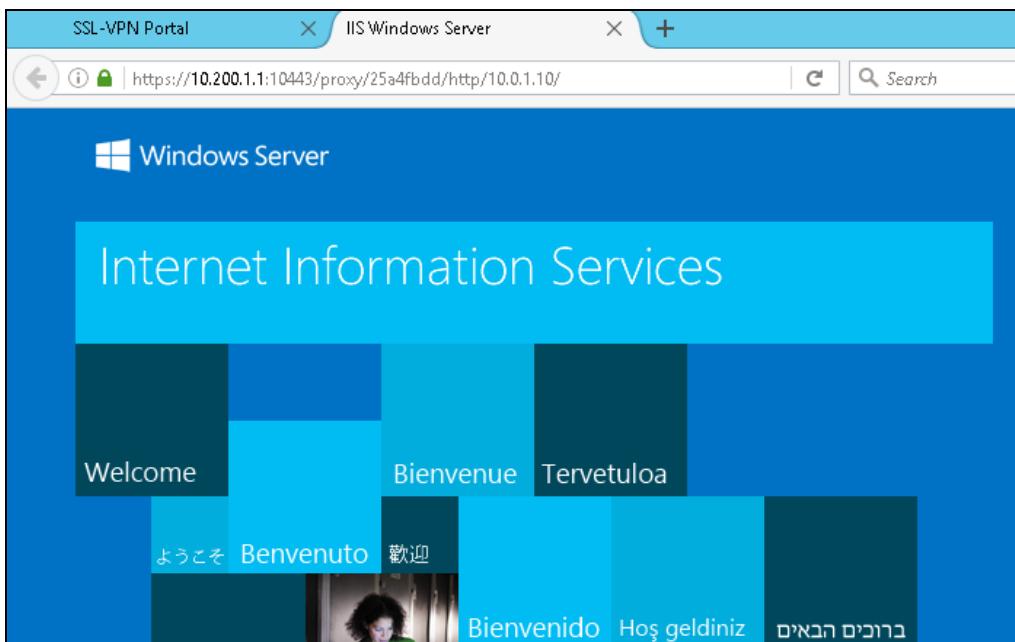
For this, you will access the Local-Windows VM using the predefined bookmark on the SSL-VPN Portal.

Notice that the SSL-VPN Portal looks different and provides fewer settings.



To test the bookmark

1. Return to the Remote-Windows VM.
2. Open Firefox, and then reconnect to the SSL VPN portal at:
<https://10.200.1.1:10443/>
3. Log in using the username `student` with the password `fortinet`.
Notice the SSL VPN portal no longer allows quick connections or to add bookmarks.
4. Click the **Local-Windows VM** bookmark.
You will connect to the web server running on the Local-Windows VM at 10.0.1.10.

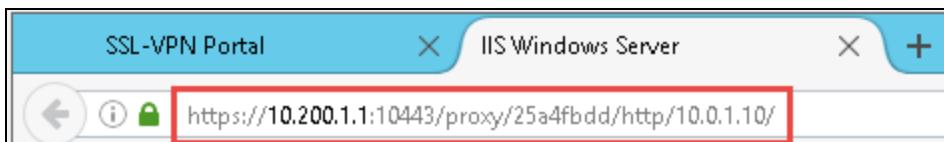


Examine the Web Mode Mechanism (Reverse HTTP Proxy)

Now, you will examine the reverse HTTP proxy mechanism, to learn how SSL-VPN connections in web mode work..

To examine the reverse HTTP proxy mechanism

- Continuing on the Remote-Windows VM where you are connected to the web server running on the **Local-Windows** VM at 10.0.1.10, examine the URL in the address bar.



If you were on the local network while accessing the website, the address would be `http://10.0.1.10`. But, because you are accessing it remotely through FortiGate's HTTP proxy, the URL is different.

Notice the URL structure in the browser's address bar:

```
https://10.200.1.1:10443/proxy/....http/10.0.1.10/
```

What does it mean?

Part of the URL	Description
<code>https://10.200.1.1:10443</code>	Indicates that the connection is SSL/TLS-encrypted, and that the portal is on FortiGate's port1 SSL-VPN gateway.

Part of the URL	Description
/proxy/..../http/	Indicates that the connection is being handled by FortiGate's HTTP reverse proxy.
10.0.1.10/	Indicates the destination IP address of the website inside your private network, which you are accessing through the VPN.



FortiGate encrypts the connection to the browser, but the destination server's IP address in the URL is displayed in clear text, *not* hidden from users. The secondary connection, from FortiGate's HTTP proxy to the bookmarked website, is not encrypted.

Monitor an SSL-VPN User

Now, you will monitor and disconnect an SSL-VPN user from the FortiGate GUI.

To monitor and disconnect an SSL-VPN user

1. Return to the Local-Windows VM where you are logged in to the Local-FortiGate GUI.
2. Click **Monitor > SSL-VPN Monitor**.
You can see the student user is connecting from the remote host 10.200.3.1.
3. Right-click **student**, and select **End Session**.
4. Click **OK**.

The student user no longer appears in the SSL-VPN monitor.

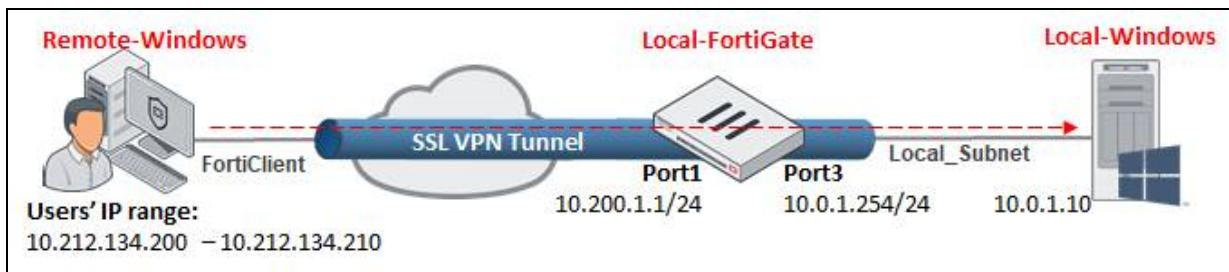
Username	Last Login	Remote Host
student	Mon Nov 13 03:04:27 2017	10.200.3.1

Exercise 2: Configuring SSL-VPN Tunnel Mode

In this exercise, you will change the SSL-VPN settings to allow remote access to the resources in the local subnet ($10.0.1.0/24$), but perform a connection in tunnel mode from the Remote-Windows VM.

You will use the remote access module of FortiClient 5.6.0, which supports Fortinet's SSL-VPN client.

FortiClient 5.6.0 is already installed on the Remote-Windows VM.



Add Tunnel Mode

Now, you will change the SSL-VPN portal mapping settings to use **tunnel-access**, in order to allow connections in tunnel mode only.

The **full-access** setting available on FortiGate supports both web and tunnel mode.

To add tunnel mode

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at $10.0.1.254$ as **admin** and leave the password field empty.
2. Click **VPN > SSL-VPN Settings**.
3. In the **Authentication/Portal Mapping** section, select **All Other Users/Groups**, and then click **Edit**.

Authentication/Portal Mapping <small>1</small>		
		<input type="button" value="Create New"/> <input checked="" type="button" value="Edit"/> <input type="button" value="Delete"/>
Users/Groups		Portal
All Other Users/Groups		web-access

4. In the **Portal** drop-down list, select **tunnel-access**, and click **OK**.
5. Click **Apply**.
6. Click **OK** to confirm the use of the built-in certificate.

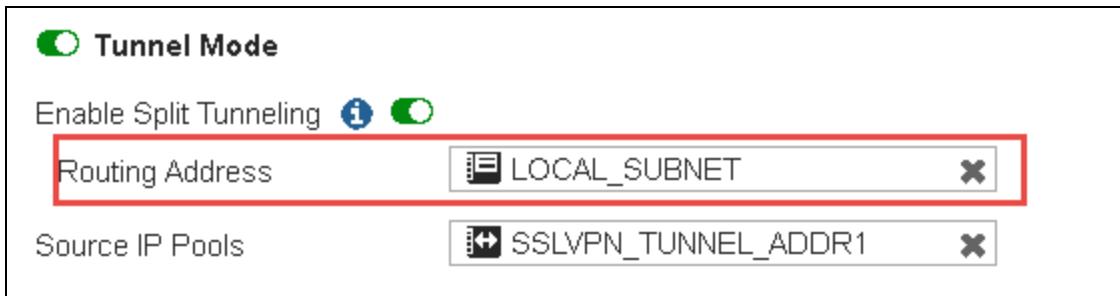
Configure the Routing for Tunnel Mode

Now, you will establish the routing address to use in tunnel mode.

Notice that in tunnel mode, the FortiClient establishes one or more routes in the SSL-VPN user's host after the tunnel is connected. Traffic destined to the internal subnets is correctly routed through the tunnel.

To configure the routing for tunnel mode

1. Continuing on the Local-FortiGate GUI, click **VPN > SSL-VPN Portals**.
2. Select the **tunnel-access** portal, and then click **Edit**.
3. In the **Tunnel Mode** section, set the **Routing Address** to **LOCAL_SUBNET**.



4. Click **OK**.

Configure FortiClient for SSL-VPN connections

SSL-VPN connections in tunnel mode require FortiClient. You will use FortiClient that is installed on the Remote-Windows VM to test your configuration.

To configure FortiClient for SSL-VPN

1. Connect to the Remote-Windows VM.
2. Start the **FortiClient** application located on the desktop.



3. Click **Remote Access**, and then click **Configure VPN**.
4. Select the **SSL-VPN** tab, and then configure the following settings:

Field	Value
Connection Name	Local-FortiGate
Remote Gateway	10.200.1.1
Customize port	<enable> 10443

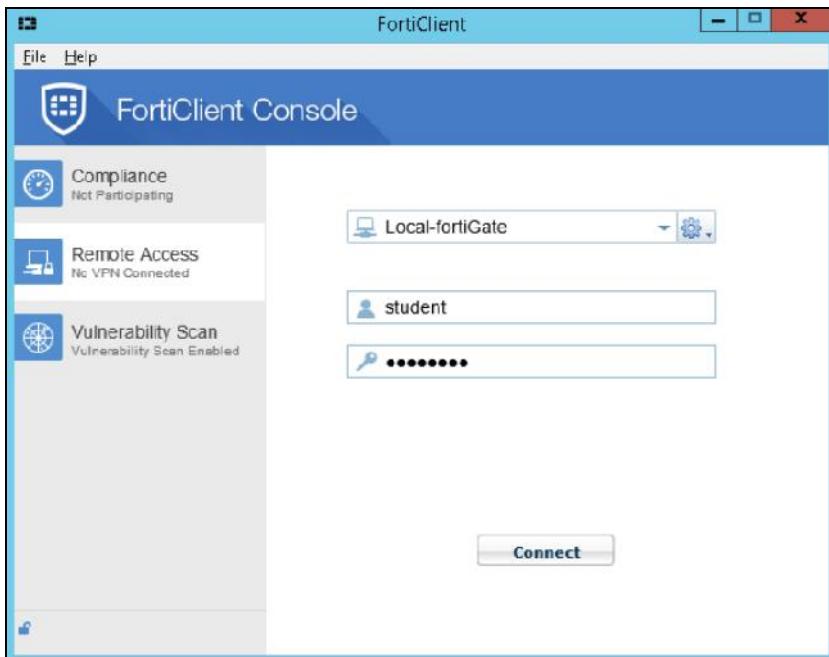
5. Click **Apply**.
6. Click **Close**.

Test SSL-VPN in Tunnel Mode

Now, you will connect using the student account to test tunnel mode.

To connect in tunnel mode

1. Open **FortiClient**, and then click **Remote Access**.
2. Enter the username **student** with the password **fortinet**.

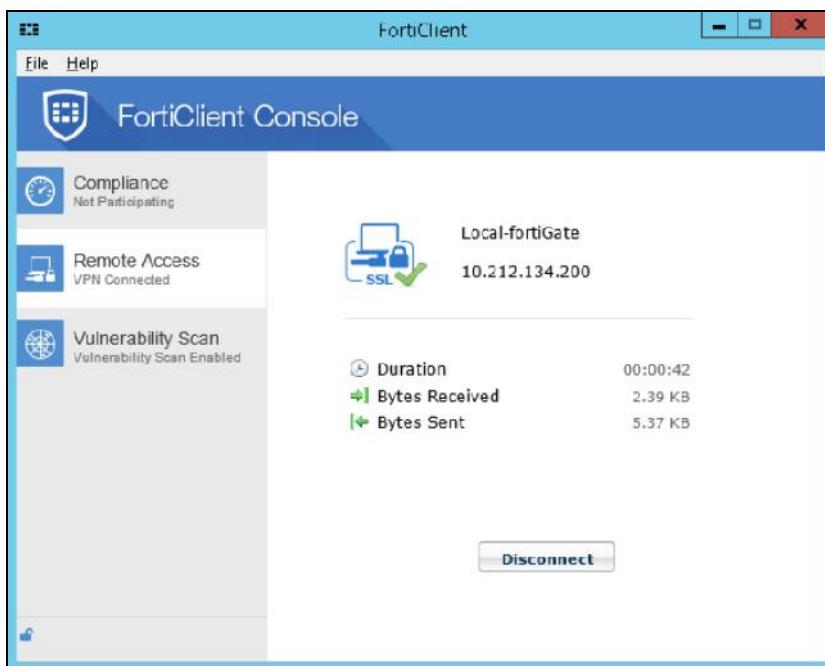


3. Click **Connect**.
 4. Click **Yes** to accept the certificate.
- The tunnel is connected.
5. To verify the tunnel connection, do one of the following:
 - In the Remote-Windows toolbar, click the black up arrow, and hover the cursor over the FortiClient icon.



You should see a lock overlay on the icon and the message should show it is connected, as well as the bytes sent and received.

- Open the **FortiClient** that is minimized in the toolbar.



To test the tunnel

- Continuing on the Remote-Windows VM, open Firefox and access the following URL:

```
http://10.0.1.10
```

- Look at the URL.

You are connected to the web server URL as if you were based in the local subnet (10.0.1.0/24).

This time, you are not using the reverse HTTP proxy as in the case of web-access mode. The IP traffic is directly encapsulated over HTTPS and sent through the tunnel.

- Return to FortiClient, and then click **Disconnect**.

To attempt SSL-VPN access by web mode

- Continuing on the Remote-Windows VM, open a web browser and log in to the SSL-VPN portal at <https://10.200.1.1:10443/> using the username `student` and the password `fortinet`.
- View the warning message.
The web access for SSL-VPN is not available because you set up the SSL-VPN settings for **tunnel-access**.
The **full-access** setting supports both web and tunnel modes.
- Close the web browser.

Review VPN Events

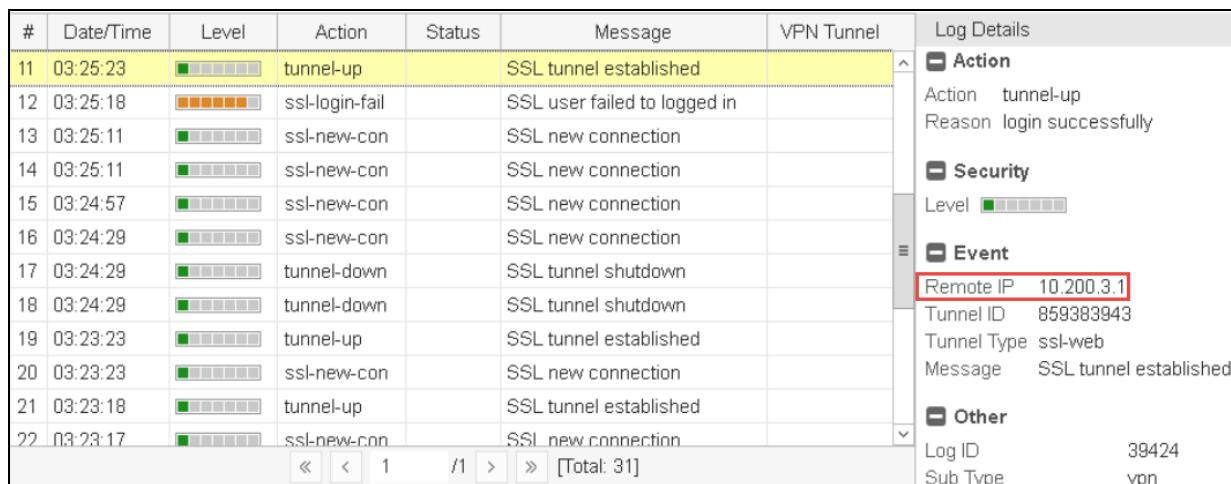
Now, you'll review the VPN events for both of the SSL-VPN connections you performed in this lab (web and tunnel modes).

To review VPN events for SSL-VPN connections

1. Return to the Local-Windows VM.
2. Open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
3. Click **Log & Report > VPN Events**.
4. Compare the log details of the **tunnel-up** logs you see.

Hint: Use your log filters to filter on **Action = tunnel-up**.

The most recent **tunnel-up** log shows one IP address under **Remote IP**. This log shows the recent connection to the SSL-VPN portal. Even though the SSL-VPN portal presented a warning message and it did not allow remote access to the local resources, FortiGate shows that an SSL-VPN connection was established and the tunnel was up.



The screenshot shows a table of log entries and a detailed view on the right. The table has columns: #, Date/Time, Level, Action, Status, Message, and VPN Tunnel. The log details pane shows the following information for the selected log entry:

#	Date/Time	Level	Action	Status	Message	VPN Tunnel	Log Details
11	03:25:23	[green bar]	tunnel-up		SSL tunnel established		<ul style="list-style-type: none"> Action Action: tunnel-up Reason: login successfully
12	03:25:18	[orange bar]	ssl-login-fail		SSL user failed to logged in		
13	03:25:11	[green bar]	ssl-new-con		SSL new connection		
14	03:25:11	[green bar]	ssl-new-con		SSL new connection		
15	03:24:57	[green bar]	ssl-new-con		SSL new connection		
16	03:24:29	[green bar]	ssl-new-con		SSL new connection		
17	03:24:29	[green bar]	tunnel-down		SSL tunnel shutdown		
18	03:24:29	[green bar]	tunnel-down		SSL tunnel shutdown		
19	03:23:23	[green bar]	tunnel-up		SSL tunnel established		
20	03:23:23	[green bar]	ssl-new-con		SSL new connection		
21	03:23:18	[green bar]	tunnel-up		SSL tunnel established		
22	03:23:17	[green bar]	ssl-new-con		SSL new connection		

« < 1 /1 > » [Total: 31]

Log Details

- Action**
- Action: tunnel-up
- Reason: login successfully
- Security**
- Level: [green bar]
- Event**
- Remote IP: 10.200.3.1
- Tunnel ID: 859383943
- Tunnel Type: ssl-web
- Message: SSL tunnel established
- Other**
- Log ID: 39424
- Sub Type: vpn

The second most recent **tunnel-up** log in the VPN event list, shows the SSL-VPN connection in tunnel mode through FortiClient. Notice this log presents two IP addresses:

- **Remote IP:** IP address of the remote user's gateway (egress interface).
- **Tunnel IP:** IP address FortiGate assigns to the virtual network adapter `fortissl`.

#	Date/Time	Level	Action	Status	Message	VPN Tunnel	Log Details
11	03:25:23	[green]	tunnel-up		SSL tunnel established		Action Action tunnel-up Reason tunnel established
12	03:25:18	[orange]	ssl-login-fail		SSL user failed to logged in		Security Level [orange]
13	03:25:11	[green]	ssl-new-con		SSL new connection		Event
14	03:25:11	[green]	ssl-new-con		SSL new connection		Remote IP 10.200.3.1
15	03:24:57	[green]	ssl-new-con		SSL new connection		Tunnel ID 859383942
16	03:24:29	[green]	ssl-new-con		SSL new connection		Tunnel IP 10.212.134.200
17	03:24:29	[green]	tunnel-down		SSL tunnel shutdown		Tunnel Type ssl-tunnel
18	03:24:29	[green]	tunnel-down		SSL tunnel shutdown		Message SSL tunnel established
19	03:23:23	[green]	tunnel-up		SSL tunnel established		
20	03:23:23	[green]	ssl-new-con		SSL new connection		
21	03:23:18	[green]	tunnel-up		SSL tunnel established		

Stop and think!

Aside from SSL-VPN connections in web mode showing one IP address and tunnel mode showing two IP addresses, what other indicator shows how SSL-VPN users are connected?

Notice the **Tunnel Type** indicator in the log details shown in the previous step.

- **ssl-web** for web mode.
- **ssl-tunnel** for tunnel mode.

Lab 12: Dialup IPsec VPN

In this lab, you will configure a dialup VPN between two FortiGate devices. You will also create a dialup VPN between a FortiGate and FortiClient.

Objectives

- Deploy a dialup VPN between two FortiGate devices.
- Deploy a dialup VPN between FortiGate and FortiClient.

Time to Complete

Estimated: 45 minutes

Prerequisites

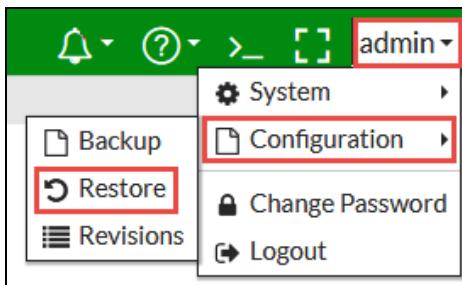
Before beginning this lab, you must restore a configuration file on Remote-FortiGate and Local-FortiGate .



Make sure to restore the correct configuration on each FortiGate using the following steps. Failure to restore the correct configuration on each FortiGate will prevent you from doing the lab exercise.

To restore the Remote-FortiGate configuration file

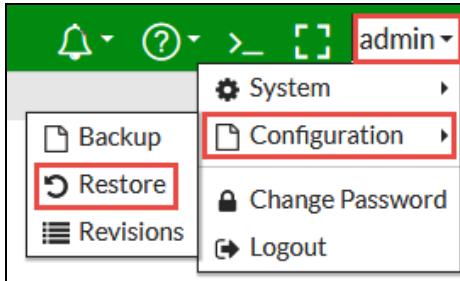
1. On the Local-Windows VM, open a browser and log in to the Remote-FortiGate GUI at `10.200.3.1` as `admin` and leave the password field empty.
2. In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Dialup-IPsec > Dialup-IPsec-Two-FortiGates > remote-dialup-IPsec-TwoFGT.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Dialup-IPsec > Dialup-IPsec-Two-FortiGates > local-dialup-IPsec-TwoFGT.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Exercise 1: Configuring a Dialup IPsec VPN Between Two FortiGate Devices

In this exercise, you will configure dialup VPN between the Local-FortiGate and the Remote-FortiGate. The Local-FortiGate will act as dialup server and Remote-FortiGate will act as dialup client.

Create Phases 1 and 2 on Local-FortiGate (Dialup Server)

Now, you will configure the IPsec VPN by creating phases 1 and 2.

To create phases 1 and 2

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **VPN > IPsec Tunnels**, and then click **Create New**.
3. Complete the following:

Field	Value
Name	To Remote
Template Type	Custom

4. Click **Next**.
5. In the **Network** section, configure the following settings:

Field	Value
Remote Gateway	Dialup user
Interface	port1

6. In the **Authentication** section, configure the following settings:

Field	Value
Method	Pre-shared Key
Pre-shared Key	fortinet
Mode	Aggressive
Accept Types	Specific peer ID
Peer ID	fortinet



The peer ID shown in the configuration above was selected, which you need if you have more than one dialup client.

7. Keep the default values for the remaining settings.
8. In the **Phase 2 Selectors** section, click the edit icon to edit the settings.

Phase 2 Selectors		
Name	Local Address	Remote Address
To Remote	0.0.0.0/0.0.0.0	0.0.0.0/0.0.0.0 
Edit Phase 2  		
Name	To Remote	
Comments	Comments 	
Local Address	Subnet 	0.0.0.0/0.0.0.0
Remote Address	Subnet 	0.0.0.0/0.0.0.0
 Advanced...		

9. Complete the following:

Field	Value
Local Address	10.0.1.0/24
Remote Address	10.0.2.0/24

Phase 2 Selectors		
Name	Local Address	Remote Address
To Remote	10.0.1.0/24	10.0.2.0/24

Edit Phase 2		
Name	To Remote	
Comments	Comments	
Local Address	Subnet	10.0.1.0/24
Remote Address	Subnet	10.0.2.0/24

[+] Advanced...

- Click **OK**.



Although you have created a route-based IPsec tunnel, you do not need to add a static route because it is a dialup VPN. FortiGate will dynamically add or remove appropriate routes to each dialup peer, each time the peer's VPN is trying to connect.

Create Firewall Policies for VPN Traffic on Local-FortiGate (Dialup server)

Now, you will create two firewall policies between **port3** and **To Remote**: one for each traffic direction.

To create the firewall policies for VPN traffic

- Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
- Click **Create New**.
- Configure the following settings:

Field	Value
Name	Remote_out
Incoming Interface	port3
Outgoing Interface	To Remote
Source	LOCAL_SUBNET
Destination	REMOTE_SUBNET

DO NOT REPRINT**© FORTINET**

Field	Value
Schedule	always
Service	ALL
Action	ACCEPT

4. In the **Firewall/Network Options** section, disable **NAT**.
5. Click **OK**.
6. Click **Create New** one more time.
7. Configure the following settings:

Field	Value
Name	Remote_in
Incoming Interface	To Remote
Outgoing Interface	port3
Source	REMOTE_SUBNET
Destination	LOCAL_SUBNET
Schedule	always
Service	ALL
Action	ACCEPT

8. In the **Firewall/Network Options** section, disable **NAT**.
9. Click **OK**.

Create Phases 1 and 2 on Remote-FortiGate (Dialup Client)

Now, you will add phases 1 and 2 on Remote-FortiGate.

To create phases 1 and 2

1. Continuing on the Local-Windows VM, open a browser and log in to the Remote-FortiGate GUI at 10.200.3.1 as **admin** and leave the password field empty.
2. Click **VPN > IPsec Tunnels**.
3. Click **Create New**.
4. Complete the following:

Field	Value
Name	To local
Template Type	Custom

5. Click **Next**.
6. In the **Network** section, configure the following settings:

Field	Value
Remote Gateway	Static IP Address
IP Address	10.200.1.1
Interface	port4

7. In the **Authentication** section, configure the following settings:

Field	Value
Method	Pre-shared Key
Pre-shared Key	fortinet
Mode	Aggressive
Accept Types	Any peer ID

8. In the **Phase 1 Proposal** section, configure the following settings:

Field	Value
Local ID	fortinet

DO NOT REPRINT
© FORTINET

Phase 1 Proposal + Add

Encryption	AES128	Authentication	SHA25	X
Encryption	AES256	Authentication	SHA25	X
Encryption	3DES	Authentication	SHA25	X
Encryption	AES128	Authentication	SHA1	X
Encryption	AES256	Authentication	SHA1	X
Encryption	3DES	Authentication	SHA1	X

Diffie-Hellman Groups

30 29 28 27 21 20
 19 18 17 16 15 14
 5 2 1

Key Lifetime (seconds)

Local ID



The local ID should be same as the peer ID that you configured on the Local-FortiGate that is acting as the dialup server.

9. Keep the default values for the remaining settings.
10. In the **Phase 2 Selectors** section, click the edit icon to edit the settings.

Phase 2 Selectors

Name	Local Address	Remote Address
To local	0.0.0.0/0.0.0.0	0.0.0.0/0.0.0.0

Edit Phase 2

Name

Comments

Local Address Subnet

Remote Address Subnet

+ Advanced...

11. Complete the following:

Field	Value
Local Address	10.0.2.0/24
Remote Address	10.0.1.0/24

Phase 2 Selectors

Name	Local Address	Remote Address
To local	10.0.2.0/24	10.0.1.0/24

Edit Phase 2

Name	To local
Comments	Comments
Local Address	Subnet 10.0.2.0/24
Remote Address	Subnet 10.0.1.0/24

[+] Advanced...

12. Click **OK**.



Now the quick mode selectors in both sides mirror each other. If that is not the case, the tunnel will not come up.

Create a Static Route for Route-Based VPN on Remote-FortiGate (Dialup Client)

Now, you will create one static route, because the current VPN is route based.

To create a static route for a route-based VPN

1. Continuing on the Remote-FortiGate GUI, click **Network > Static Routes**.
2. Click **Create New**.
3. Configure the following settings:

DO NOT REPRINT

© FORTINET

Field	Value
Destination	Subnet 10.0.1.0/24
Device	To local

4. Click **OK**.

Create the Firewall Policies for VPN Traffic on Remote-FortiGate (Dialup Client)

Now, you will create two firewall policies between **port6** and **To Local**: one for each traffic direction.

To create the firewall policies for VPN traffic

1. Continuing on the Remote-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Click **Create New**.
3. Configure the following settings:

Field	Value
Name	Local_out
Incoming Interface	port6
Outgoing Interface	To local
Source	REMOTE_SUBNET
Destination	LOCAL_SUBNET
Schedule	always
Service	ALL
Action	ACCEPT

4. In the **Firewall/Network Options** section, disable **NAT**.
5. Click **OK**.
6. Click **Create New** again.
7. Configure the following settings:

Field	Value
Name	Local_in
Incoming Interface	To local

Field	Value
Outgoing Interface	port6
Source	LOCAL_SUBNET
Destination	REMOTE_SUBNET
Schedule	always
Service	ALL
Action	ACCEPT

8. In the **Firewall/Network Options** section, disable **NAT**.
9. Click **OK**.

Exercise 2: Testing and Monitoring the VPN

Now that you have configured the VPN on both FortiGate devices, you will test the VPN.

To test the VPN

1. On the Local-Windows VM, open a browser and log in to the Remote-FortiGate GUI at `10.200.3.1` as `admin` and leave the password field empty.
2. Click **Monitor > IPsec Monitor**.
Notice that the VPN is currently down.
3. Click the VPN and select **Bring Up**.

Refresh	Reset Statistics	Bring Up	Bring Down				
Name	Type	Remote Gateway	User Name	Status	Incoming Data	Outgoing Data	Phase 1
To local	Custom	10.200.1.1		Down			To local

The **Status** column of the VPN now contains a green up arrow, indicating that the tunnel is up.

Stop and think!

Do I always have to bring up the tunnel manually after creating it?

No. With the current configuration, the tunnel will stay down until you manually bring it up or there is traffic that should be routed through the tunnel. Because you are not generating traffic between `10.0.2.0/24` and `10.0.1.0/24` subnets yet, the tunnel is still down. If you had generated the required traffic while the tunnel was down, it would have come up automatically.

You can only initiate a tunnel from Remote-FortiGate because it is a dialup client .

4. Switch to the Remote-Windows VM.
5. Open a command prompt window, and then run the following command to ping the Local-Windows VM:
`ping 10.0.1.10`

The ping should work.

6. Return to the Local-Windows VM.
7. On the Remote-FortiGate GUI, click **Monitor > IPsec Monitor**.
8. Click **Refresh** to refresh the screen.

You will notice that counters for **Incoming Data** and **Outgoing Data** have increased. This indicates that the traffic between `10.0.1.10` is `10.0.2.10` is being encrypted successfully and routed through the tunnel.

Refresh	Reset Statistics	Bring Up	Bring Down				
Name	Type	Remote Gateway	User Name	Status	Incoming Data	Outgoing Data	Phase 1
ToLocal	Custom	10.200.1.1		Up	21.84 kB	10.92 kB	

9. Open a new browser tab and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
10. Click **Monitor > Routing Monitor**.

Find the static route that was dynamically added to the FortiGate.

11. View the details of the **To Remote** VPN connection.

Notice the **Remote Gateway** IP address.

Type	Network	Gateway IP	Interfaces	Distance	Up Since
Static	0.0.0.0/0	10.200.1.254	port1	10	
Connected	10.0.1.0/24	0.0.0.0	port3	0	
Static	10.0.2.0/24	0.0.0.0	To Remote	15	
Connected	10.200.1.0/24	0.0.0.0	port1	0	
Connected	10.200.2.0/24	0.0.0.0	port2	0	

Exercise 3: Creating an IPsec VPN Between FortiGate and FortiClient

Now, you will now create a dialup VPN between FortiGate and FortiClient.

Prerequisites

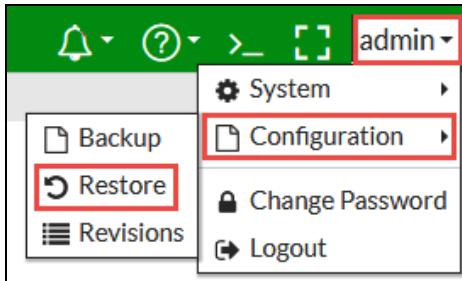
Before beginning this lab, you must restore a configuration file on Remote-FortiGate and Local-FortiGate.



Make sure to restore the correct configuration on each FortiGate using the following steps. Failure to restore the correct configuration on each FortiGate will prevent you from doing the lab exercise.

To restore the Remote-FortiGate configuration file

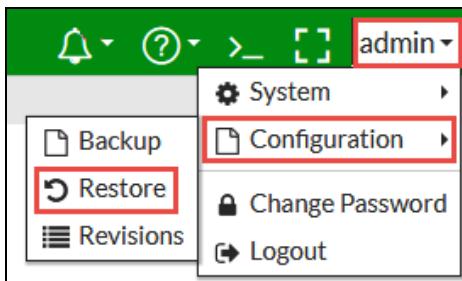
1. On the Local-Windows VM, open a browser and log in to the Remote-FortiGate GUI at `10.200.3.1` as `admin` and leave the password field empty.
2. In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Dialup-IPsec > Dialup-IPsec-Forticlient > remote-dialup-IPsec-VPN.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

To restore the Local-FortiGate configuration file

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



3. Click **Local PC**, and then click **Upload**.
4. Click **Desktop > Resources > FortiGate-Security > Dialup-IPsec > Dialup-IPsec-Forticlient > local-dialup-IPsec-VPN.conf**, and then click **Open**.
5. Click **OK**.
6. Click **OK** to reboot.

Configure a Dialup VPN

Now, you will create the dialup VPN on Local-FortiGate.

To create the dialup VPN

1. Continuing on the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **VPN > IPsec Tunnels**, and then click **Create New**.
3. Complete the following:

Field	Value
Name	FClient
Template Type	Remote Access
Remote Device Type	FortiClient VPN for OS X, Windows, and Android

The screenshot shows the 'VPN Creation Wizard' window. The title bar says 'VPN Creation Wizard'. Below it is a progress bar with four steps: 1. VPN Setup (highlighted in green), 2. Authentication, 3. Policy & Routing, and 4. Client Options. The main area has three configuration sections:

- Name:** FClient
- Template Type:** A radio button group with 'Site to Site' (disabled), 'Remote Access' (selected and highlighted in green), and 'Custom' (disabled).
- Remote Device Type:** A dropdown menu with several options:
 - FortiClient VPN for OS X, Windows, and Android (selected and highlighted in green)
 - iOS Native
 - Android Native
 - Windows Native
 - Cisco Client

4. Click **Next**.
5. Configure the following settings:

Field	Value
Incoming Interface	port1
Authenticated Method	Pre-shared Key
Pre-Shared Key	fortinet
User Group	training



For the purpose of this exercise, the User Group **training** is preconfigured for you.

6. Click **Next**.
7. Configure the following settings:

Field	Value
Local Interface	port3
Local Address	LOCAL_SUBNET
Client Address Range	172.20.1.1-172.20.1.5
Subnet	255.255.255.0
DNS Server	Use System DNS
Enable IPv4 Split Tunnel	<enable>
Allow Endpoint Registration	<disable>

8. Click **Next**.
9. Verify that **Save Password** is enabled.
10. Click **Create**.
The VPN wizard creates IPsec phases 1 and 2, as well as clientaddress range firewall address, and one firewall policy that allows incoming traffic from the VPN to the internal subnet.
11. Click **Show Tunnel List** to view the tunnel.



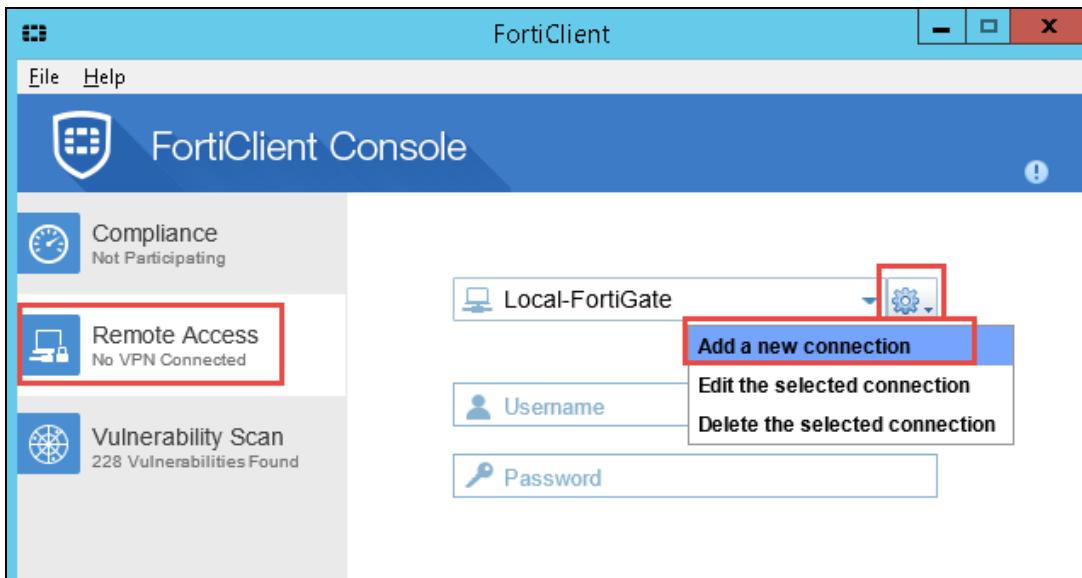
Although you have created a route-based IPsec tunnel, you do not need to add a static route because it is a dialup VPN. FortiGate will dynamically add or remove appropriate routes to each dialup peer, each time a peer's VPN is established or disconnected.

Configure FortiClient for Dialup VPN

Now, you will configure the FortiClient IPsec client to connect to Local-FortiGate. You will use the FortiClient installed on the Remote-Windows VM.

To configure FortiClient for dialup VPN

1. On the Remote-Windows VM, launch the **FortiClient** application from the desktop.
2. Click **Remote Access**.
3. Click the **Settings** icon, and then click **Add a new connection**.



4. Select **IPsec VPN**.

New VPN Connection	
SSL-VPN	IPsec VPN
Connection Name	
<input type="text"/>	
Description	
<input type="text"/>	
Remote Gateway	
<input type="text"/>	
Authentication Method	
Pre-shared key	<input type="text"/>
Authentication (XAuth)	
<input checked="" type="radio"/> Prompt on login <input type="radio"/> Save login <input type="radio"/> Disable	
Advanced Settings	

- Configure the following settings:

Field	Value
Connection Name	FC_VPN
Remote Gateway	10.200.1.1
Authentication Method	Pre-shared key fortinet
Authentication (XAuth)	Save Login
Username	student

6. Click **Apply**.

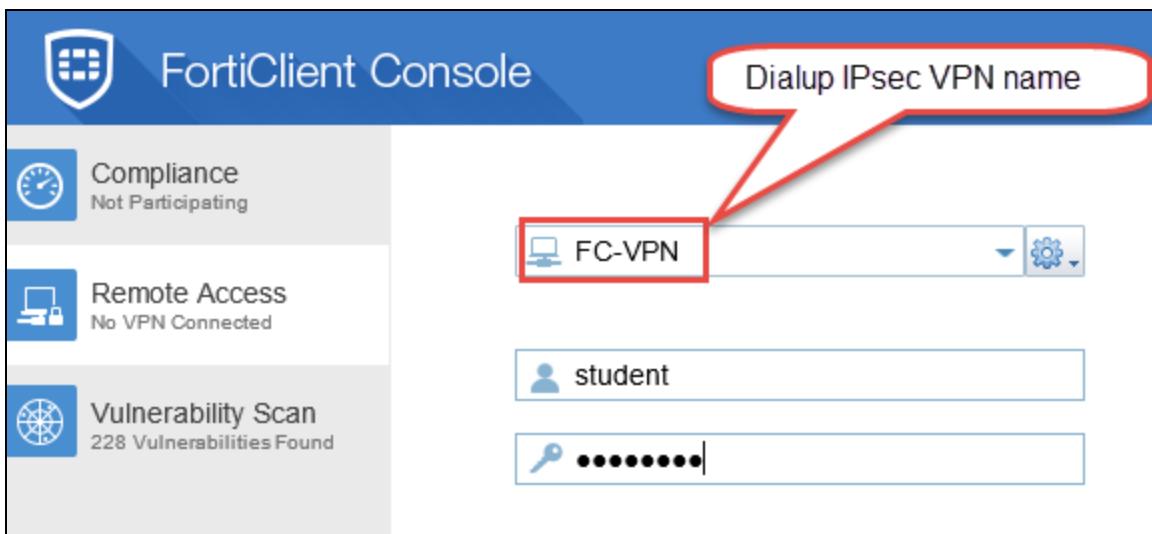
7. Click **Close**.

Connect to the Dialup VPN

Now, you will use FortiClient to connect to the dialup VPN you created on Local-FortiGate.

To connect to the dialup VPN

- Continuing on the Remote-Windows VM in the FortiClient application, enter the password `fortinet`.

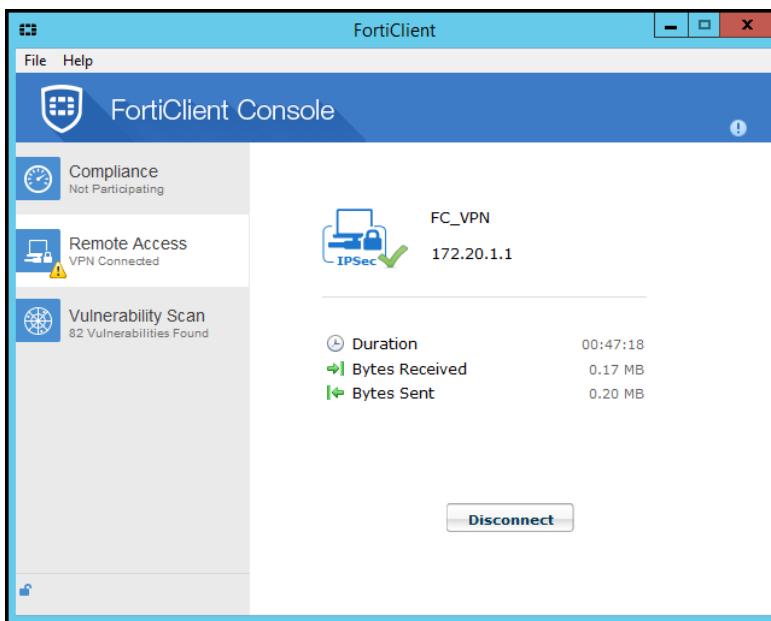


- Click **Connect**.

Wait for few seconds.

- Open the FortiClient application that has minimized to the toolbar.

A green checkmark confirms that the tunnel is up:



Check the IP Address and Route Added to the Remote-Windows VM

While the dialup VPN is up, the Remote-Windows VM receives an IP address in the 172.20.1.1 – 172.20.1.5 range. FortiGate also installs a route to the subnet 10.0.1.0/24.

To check the IP address and route added to the Remote-Windows VM

- Continuing on the Remote-Windows VM, open a command prompt window and enter the following command:

```
ipconfig /all
```

```
C:\> Administrator: Command Prompt
Ethernet adapter Ethernet:
  Connection-specific DNS Suffix . . . . . : Fortinet Virtual Ethernet Adapter <NDIS 6.30>
  Description . . . . . : 00-09-0F-FE-00-01
  Physical Address . . . . . : 00-09-0F-FE-00-01
  DHCP Enabled . . . . . : Yes
  Autoconfiguration Enabled . . . . . : Yes
  Link-local IPv6 Address . . . . . : fe80::bie2:25f6:ead8:b87f%30<Preferred>
  IPv4 Address . . . . . : 172.20.1.1<Preferred>
  Subnet Mask . . . . . : 255.255.255.0
  Lease Obtained . . . . . : Monday, October 23, 2017 6:31:24 AM
  Lease Expires . . . . . : Friday, December 7, 2153 12:17:26 AM
  Default Gateway . . . . . : 172.20.1.2
  DHCP Server . . . . . : 172.20.1.2
  DHCPv6 IAID . . . . . : 503318799
  DHCPv6 Client DUID . . . . . : 00-01-00-01-21-13-ED-FC-00-0C-29-D2-B4-6F
  DNS Servers . . . . . : 208.91.112.53
                                         208.91.112.52
  NetBIOS over Tcpip. . . . . : Enabled
```

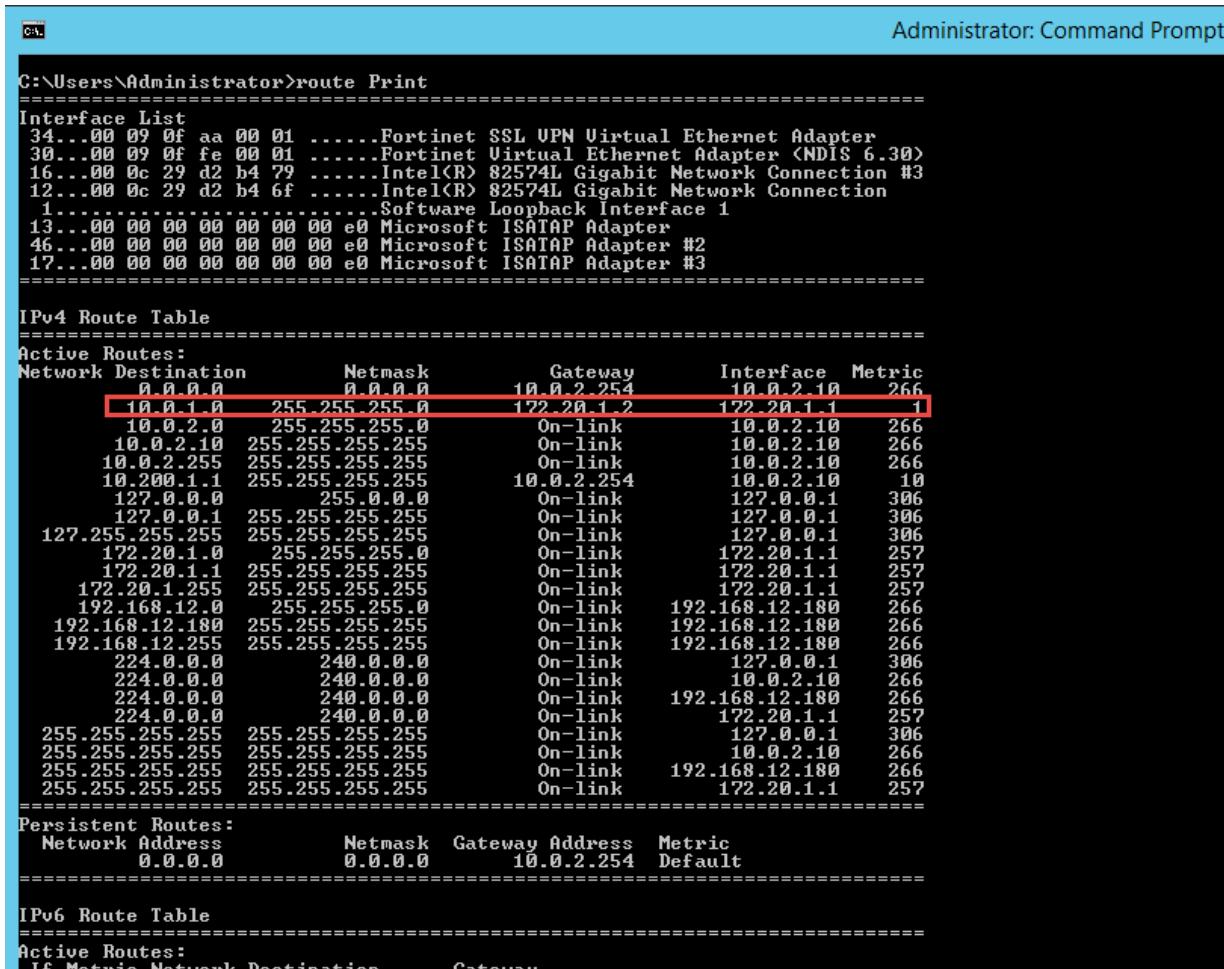
- Analyze the output.

You should observe a virtual ethernet adapter with an IP address in the 172.20.1.1 to 172.20.1.5 range.

- Enter the following command to display the routing table information:

```
route print
```

4. Locate the 10.0.1.0/24 network entry in the output.



```
C:\> Administrator: Command Prompt
C:\Users\Administrator>route Print
=====
Interface List
34...00 09 0f aa 00 01 ....Fortinet SSL VPN Virtual Ethernet Adapter
30...00 09 0f fe 00 01 ....Fortinet Virtual Ethernet Adapter (NDIS 6.30)
16...00 0c 29 d2 b4 79 ....Intel(R) 82574L Gigabit Network Connection #3
12...00 0c 29 d2 b4 6f ....Intel(R) 82574L Gigabit Network Connection
1.....Software Loopback Interface 1
13...00 00 00 00 00 00 e0 Microsoft ISATAP Adapter
46...00 00 00 00 00 00 e0 Microsoft ISATAP Adapter #2
17...00 00 00 00 00 00 e0 Microsoft ISATAP Adapter #3
=====

IPv4 Route Table
=====
Active Routes:
Network Destination      Netmask     Gateway       Interface   Metric
          0.0.0.0          0.0.0.0    10.0.2.254   10.0.2.10    266
        10.0.1.0    255.255.255.0  122.20.1.2   122.20.1.1    1
          10.0.2.0    255.255.255.0  On-link        10.0.2.10    266
        10.0.2.10    255.255.255.255  On-link        10.0.2.10    266
        10.0.2.255   255.255.255.255  On-link        10.0.2.10    266
        10.200.1.1   255.255.255.255 10.0.2.254   10.0.2.10    10
        127.0.0.0    255.0.0.0     On-link       127.0.0.1    306
       127.0.0.1    255.255.255.255  On-link       127.0.0.1    306
      127.255.255.255 255.255.255.255  On-link       127.0.0.1    306
        172.0.1.0    255.255.255.0  On-link       172.20.1.1   257
        172.20.1.1   255.255.255.255  On-link       172.20.1.1   257
      172.20.1.255  255.255.255.255  On-link       172.20.1.1   257
        192.168.12.0  255.255.255.0  On-link      192.168.12.180  266
      192.168.12.180 255.255.255.255  On-link      192.168.12.180  266
      192.168.12.255 255.255.255.255  On-link      192.168.12.180  266
        224.0.0.0    240.0.0.0     On-link       127.0.0.1    306
        224.0.0.0    240.0.0.0     On-link       10.0.2.10    266
        224.0.0.0    240.0.0.0     On-link      192.168.12.180  266
        224.0.0.0    240.0.0.0     On-link       172.20.1.1   257
      255.255.255.255 255.255.255.255  On-link       127.0.0.1    306
      255.255.255.255 255.255.255.255  On-link       10.0.2.10    266
      255.255.255.255 255.255.255.255  On-link      192.168.12.180  266
      255.255.255.255 255.255.255.255  On-link       172.20.1.1   257
=====
Persistent Routes:
Network Address      Netmask     Gateway Address Metric
          0.0.0.0          0.0.0.0    10.0.2.254 Default
=====

IPv6 Route Table
=====
Active Routes:
If Metric Network Destination      Gateway
```

5. Close the command prompt.

Test the Dialup VPN

Now, you will test the dialup VPN by sending traffic from the Remote-Windows VM to the Local-Windows VM.

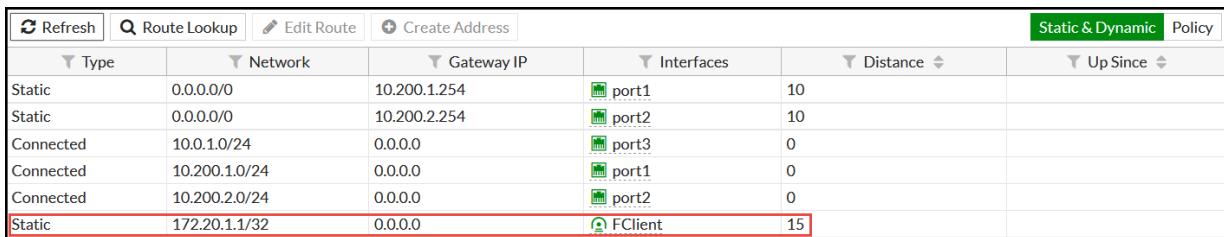
To test the dialup VPN

1. Continuing on the Remote-Windows VM in the command prompt window try to ping the Local-Windows VM:

```
ping 10.0.1.10
```

The ping succeeds, confirming that the tunnel is working.

2. Return to the Local-Windows VM.
3. In the browser tab where you are logged in to the Local-FortiGate GUI, click **Monitor > Routing Monitor**.
4. Find the static route that was dynamically added to the FortiGate.



Type	Network	Gateway IP	Interfaces	Distance	Up Since
Static	0.0.0.0/0	10.200.1.254	port1	10	
Static	0.0.0.0/0	10.200.2.254	port2	10	
Connected	10.0.1.0/24	0.0.0.0	port3	0	
Connected	10.200.1.0/24	0.0.0.0	port1	0	
Connected	10.200.2.0/24	0.0.0.0	port2	0	
Static	172.20.1.1/32	0.0.0.0	FClient	15	

5. Click **Monitor > IPsec Monitor**.
6. View the details of the **FClient_0** VPN connection.
Notice the **Remote Gateway IP** address.

Disconnect the Dialup VPN

Now, you will disconnect the Remote-Windows VM from the dialup VPN.

To disconnect the dialup VPN

1. On the Remote-Windows VM, open FortiClient.
2. Click **Disconnect**.

Lab 13: Data Leak Prevention (DLP)

In this lab, you will use data leak prevention (DLP) rules and sensors to block sensitive data from leaving the private network.

Objectives

- Configure DLP to block ZIP files.
- Read and interpret DLP log entries.
- Set up DLP banning and quarantining.
- Configure DLP fingerprinting.

Time to Complete

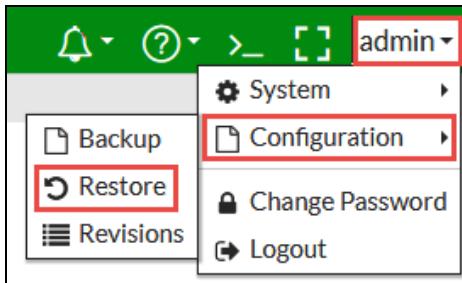
Estimated: 30 minutes

Prerequisites

Before beginning this lab, you must restore a configuration file to Local-FortiGate.

To restore the Local-FortiGate configuration file

- On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
- In the upper-right corner of the screen, click `admin`, and then click **Configuration > Restore**.



- Click **Local PC**, and then click **Upload**.
- Click **Desktop > Resources > FortiGate-Security > DLP > local-dlp.conf**, and then click **Open**.
- Click **OK**.
- Click **OK** to reboot.

Exercise 1: Blocking Files by File Type

There are multiple ways to configure DLP to prevent sensitive information from leaving your network.

In this exercise, you will configure DLP to block files by file type, and apply DLP to a firewall policy. Then, you will test the configuration and view the logs. The DLP feature is only available in the proxy mode.

Enable DLP

By default, DLP is not enabled in the GUI. You will enable DLP to be visible in the GUI.

To enable DLP

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **System > Feature Visibility**.
3. In the **Security Features** section, enable **DLP**.
4. Click **Apply**.

Configure the DLP Sensor and DLP Filter

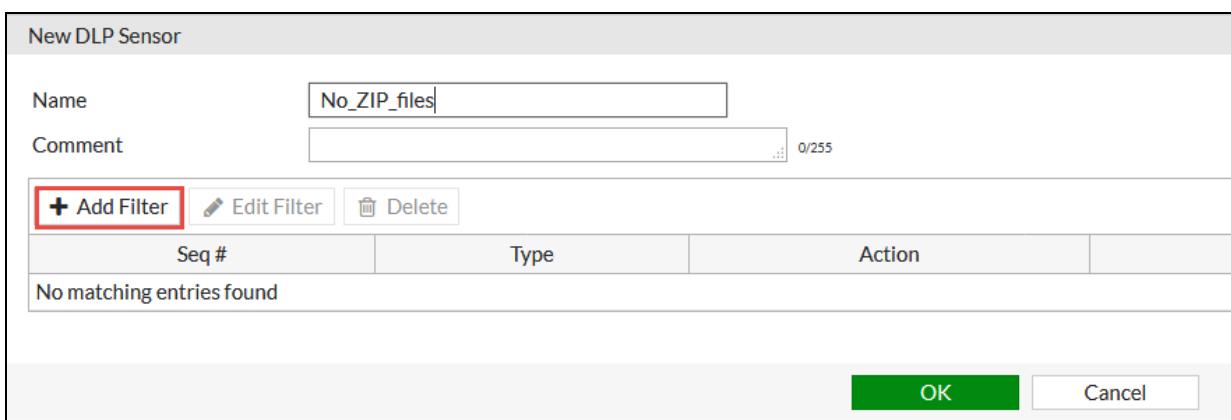
You will configure a new DLP sensor, and create a DLP filter to block ZIP files.

To configure the DLP sensor and DLP filter

1. Continuing on the Local-FortiGate GUI, click **Security Profiles > Data Leak Prevention**.
2. In the top right corner of the GUI, click the **+** icon to create a new sensor.



3. In the **Name** field, enter **No_ZIP_files**.
4. Click **Add Filter** to create a new filter.



Seq #	Type	Action
No matching entries found		

5. Configure the following settings:

Field	Value
Type	Files
Specify File Types	<select>
File Types	Archive (zip)
	Tip: On right side of the screen, type the name in the search box, and then click file types to add.
Action	Block

Your configuration should look like the following example:

The screenshot shows a configuration dialog for a DLP filter. The 'Type' dropdown is set to 'Files'. Under 'Specify File Types', 'Archive (zip)' is selected. The 'Action' dropdown is set to 'Block'. Other sections like 'Examine the Following Services' and 'Action' are also visible.

6. Click **OK**.
7. Click **Apply**.



You can also block traffic based on a file name of *.zip, but it is not recommended. A person could circumvent that type of DLP by changing the filename to, for example, *.zpl, or *.txt.

By comparison, file type identification works by analyzing the binary layout of the file.

Apply a DLP Sensor to a Firewall Policy

Now that you have created a DLP sensor, you will edit the existing firewall policy to apply the DLP sensor to it.

Take the Expert Challenge!

On the Local-FortiGate GUI (10.0.1.254 | admin <blank password>), apply the previously created DLP sensor to the existing firewall policy named **DLP**.

If you require assistance, or to verify your work, use the step-by-step instructions that follow.

After you complete the challenge, see [Test the DLP Sensor on page 232](#).

To apply a DLP sensor to firewall policy

1. Continuing on the Local-FortiGate GUI, click **Policy & Objects > IPv4 Policy**.
2. Right-click the **Seq.#** column for the **DLP** firewall policy and click **Edit**.
3. In the **Security Profiles** section, enable **DLP Sensor**, and from the drop-down menu, select **No_ZIP_files**.



When selecting a DLP sensor, **Proxy Options** and **SSL/SSH Inspection** is automatically enabled. You cannot disable **Proxy Options** and **SSL/SSH Inspection**, but you can select any preconfigured profile in the associated drop-down menu.

Your configuration should look like the following example:

4. Click **OK**.
5. Optionally, if you would like to see the **default** proxy options profile that is selected in the firewall policy, click **Security Profiles > Proxy Options**.

This profile determines how FortiGate's proxies pick up protocols. For example, the HTTP listening port is set to port 80.

Test the DLP Sensor

Now, you will test the DLP sensor by trying to transmit a ZIP file by uploading the file to a web URL.

To test the DLP sensor

1. Continuing on the Local-Windows VM, open a new web browser tab and go to the following URL:

<http://10.200.1.254/fileupload.html>

2. On the web page, click **Browse**.
3. Browse to **Desktop > Resources > FortiGate-Security > DLP > DLP_Lab.zip**, and then click **Open**.
4. Click **Submit the file**.

The DLP block message will appear.



Check the DLP Logs

Now, you will check the logs related to DLP for the test you performed previously.

To check the DLP logs

1. On the Local-FortiGate GUI, click **Log & Report > Forward Traffic**.
2. Locate the log entry that has **DLP** in the **Security Events** column and a **Deny: UTM Blocked** in the **Result** column for this attempted data leak.
3. Double-click that log entry to view more details.

#	Date/Time	Source	Destination	Application	Security Events	Result
1	07:54:19	10.0.1.10	10.200.1.254	HTTP	DLP 1	Deny: UTM Blocked

4. On the right side of the screen, the **Details** tab shows the forward traffic log information, such as NAT translation, NAT IP, policy ID, and security action.

Log Details

Details **Security**

General

- Date: 05/13/2016
- Time: 13:22:55
- Duration: 6s
- Session ID: 2117
- Virtual Domain: root
- NAT Translation: Source

Source

- IP: 10.0.1.10
- NAT IP: 10.200.1.1
- Port: 52878
- Country: Reserved
- Interface: port3

Destination

- IP: 10.200.1.254
- Port: 80
- Country: Reserved
- Interface: port1

- Click the **Security** tab to view security log information.

This tab provides information that is more specific to the security profile, such as event type, file name, file type, filter type, filter category, and security profile name.

Log Details

Details **Security**

DLP Sensor

Agent	Firefox/46.0
Details	host: 10.200.1.254
Direction	outgoing
Epoch	1677543092
Event ID	0
Event Type	dlp
File Name	DLP_Lab.zip
File Type	zip
Filter Category	file
Filter Index	1
Filter Type	file-type
Hostname	10.200.1.254
Profile Name	No_ZIP_files
Severity	Warning
URL	10.200.1.254/result.html

You can also view DLP logs under **Log & Report > Data Leak Prevention**.



The **DLP** logs section will not display if there are no DLP logs. FortiGate will show it after creating logs. If the DLP menu item does not display in the GUI, refresh your browser or log out of the Local-FortiGate GUI and log back in again.

Exercise 2: Quarantining IP Addresses

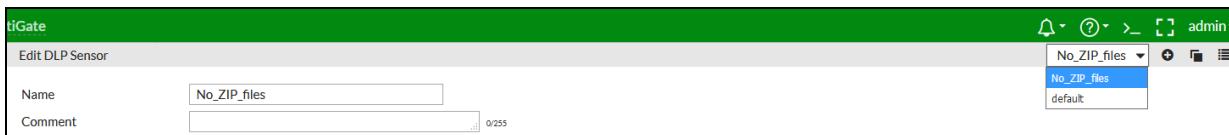
You can configure the DLP filter to quarantine IP addresses that are trying to leak sensitive information. The quarantined IP address will be blocked from accessing the network so that you have time to investigate the issue.

Quarantine an IP Address

Now, you will modify the action of the previously configured DLP filter to quarantine the IP address.

To quarantine an IP address

1. On the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at `10.0.1.254` as `admin` and leave the password field empty.
2. Click **Security Profiles > Data Leak Prevention**.
3. In the upper-right corner of the screen, from the drop-down menu, select **No_ZIP_files**.



4. Select **Seq# 1**, and then click **Edit Filter**.
5. In the Action drop-down list, select **Quarantine IP Address**, and enter an interval of **5** minutes.



6. Click **OK**.
7. Click **Apply**.

Test the Quarantined IP Address

Now, you will test the quarantine action by trying to upload a ZIP file.

To test the quarantined IP address

1. Continuing on the Local-Windows VM, open a web browser and go to the following URL:
`http://10.200.1.254/fileupload.html`
2. On the web page, click **Browse**.
3. Browse to **Desktop > Resources > FortiGate-Security > DLP > DLP_Lab.zip**, and then click **Open**.
4. Click **Submit the file**.

The DLP block message will appear.

5. On the Local-Windows VM, open a few new web browser tabs and go to the following websites:
 - <http://10.200.1.254>
 - <http://10.200.3.254>

A replacement message appears instead of the website. This occurs because the IP address that is sending the request has been quarantined and is not allowed through the firewall policy on FortiGate.

Remove a Quarantined IP Address From the Banned Entry List

Now, you will remove the quarantined IP address from the banned entry list so that you can access the network.

To remove a quarantined IP address from the banned entry list

1. Return to your browser tab where you are logged in to the Local-FortiGate GUI, and click **Monitor > Quarantine Monitor**.
2. Select the entry with the banned IP **10.0.1.10**.
3. Click **Delete** to remove it from the banned entry list.
4. Click **OK**.
5. On the Local-Windows VM, open additional web browser tabs and go to a few websites, such as:
 - <http://www.bbc.com>
 - <http://dailymotion.com>

You should be able to access the Internet, even if the five minutes time interval you set has not yet elapsed.

6. Close all browser tabs except for the Local-FortiGate GUI.

Exercise 3: DLP Fingerprinting

DLP fingerprinting is a technique that uses content-based filtering and identifies specific files using one or more cyclic redundancy checks (CRC) for the files in the configured network share.

Configure a DLP Filter for the Network Share

A network share is preconfigured on the Local-Windows VM with a user account of `Administrator` and share name of `DLPshare`.

In the configuration that you uploaded at the beginning of this exercise, FortiGate is preconfigured to access the network share.

In this procedure, you will first view the DLP configuration for the network share, and then you will configure a new filter for DLP fingerprinting.

To configure a DLP filter for the network share

1. On the Local-Windows VM, open PuTTY and connect over SSH to the **LOCAL-FORTIGATE** saved session.
2. At the login prompt, enter the user name `admin` (all lower case).
3. Enter the following command to check the DLP fingerprinting configuration.

```
show dlp fp-doc-source
```

You will notice that the Local-FortiGate is configured to access the network share configured on Local-Windows with an IP address of `10.0.1.10`.

```
Local-FortiGate # show dlp fp-doc-source
config dlp fp-doc-source
    edit "DLP_fingerprint"
        set server "10.0.1.10"
        set username "TRAININGAD\Administrator"
        set password ENC 9xWb/oihcNgePSS6+D16YOGQ99DaEHqnePRvxvfeTe0gv1+BdJuCuwJV/baYSZJYrDrznKPoefx4n+ZG1piqptX0pV1KmAF5LRs1GmaZSTcj6aSOUw8rBfopnhuocCbYeL4gHwgLh1PRKCK858QZYHX5RinHoy3uAxK4siKWM1tRgSVIJ1UmbCPjuOhpVFqPHDQ==
        set file-path "/DLPshare/"
        set file-pattern "*.*"
        set sensitivity "Critical"
    next
end
```

4. Enter the following commands to configure a new filter for DLP fingerprinting in the DLP sensor named **No_ZIP_files**:

```
config dlp sensor
    edit No_ZIP_files
        config filter
        edit 2
        set proto http-post
        set filter-by fingerprint
        set fp-sensitivity Critical
        set action block
    end
end
```



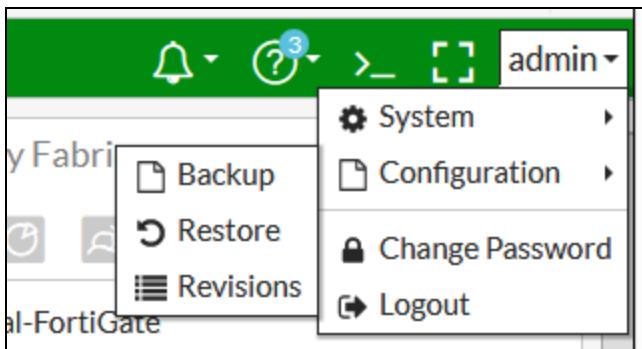
The DLP fingerprinting filter can be configured using only the CLI. After it is configured, it is visible on the GUI.

Add a File to the Network Share

Now, you will add a file to the network share.

To add file to the network share

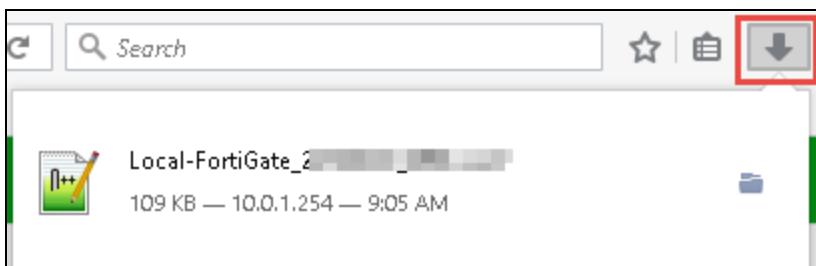
1. Continuing on the Local-Windows VM, open a browser and log in to the Local-FortiGate GUI at 10.0.1.254 as admin and leave the password field empty.
2. In the upper-right corner of the screen, click **admin**, and then click **Configuration > Backup**.



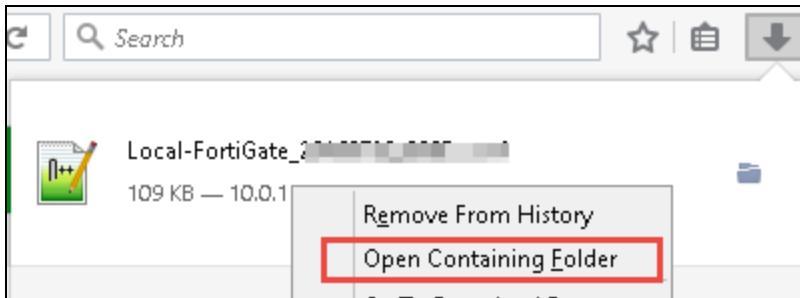
3. Click **OK**.
4. Click **Save File**.
5. Click **OK**.

The file saves to the **Downloads** folder.

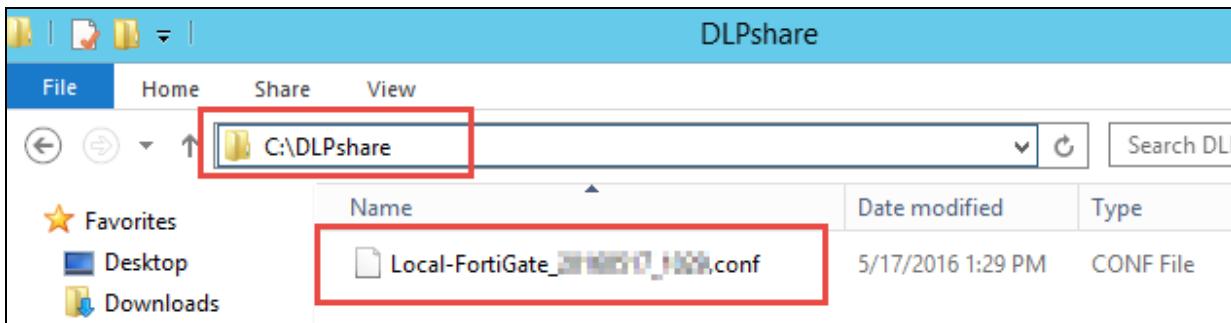
7. Click the down arrow download icon on the top right of the browser.



8. Right-click the backup file for your configuration, and then click **Open Containing Folder**.



9. Right-click the configuration file and click **Copy**.
10. Go to **C:\DLPshare**.
11. Right-click and click **Paste** to paste the configuration file in that folder.



Test DLP Fingerprinting

Now, you will test DLP fingerprinting for the file you added to the network share. DLP fingerprinting is configured based on a schedule. For the purpose of this lab, we will trigger fingerprint checksums manually, using CLI commands. This is because training is conducted at different times globally, and a configured schedule may not work correctly.

To test DLP fingerprinting

1. Continuing on the Local-Windows VM, return to the **LOCAL-FORTIGATE** PuTTY session, and run the following command to refresh the DLP fingerprint checksums:

```
diagnose test application dlpfingerprint 6
```

2. Run the following command to check the updated checksum:

```
diagnose test application dlpfingerprint 9
```

You will see that a new file has been added.

```
Local-FortiGate # diag test application dlpfingerprint 6

Local-FortiGate # diag test application dlpfingerprint 9
buf.print.error.null_buf: 0
buf.print.error.null_ptr: 0
file.scan.error.db_full: 0
file.scan.error.checksum_revised: 0
file.scan.error.clear_deleted: 0
file.scan.error.file_lookup: 0
file.scan.error.file_insert: 0
file.scan.error.delete_checksum_revised: 0
file.scan.file_undated: 0
file.scan.file_added: 1
```

3. Open a new browser tab and go to the following URL:

<http://10.200.1.254/fileupload.html>

4. On the web page, click **Browse**, and go to **C: > DLPshare > Local-FortiGate_<yourtimestamp>.conf**.
5. Click **Open**.
6. Click **Submit the file**.

The file upload should be blocked.

Modify a File in the Network Share

Now, you will modify a file in the network share.

To modify a file in the Network Share

1. Continuing on the Local-Windows VM, open **File Explorer**, and go to **C: > DLPshare**.
2. Right-click the FortiGate configuration file and click **Edit with Notepad++**.
3. Make a few small changes to different areas of the configuration.
4. Click **Save**.



5. Close **Notepad++**.



Test DLP Fingerprinting With the Modified File

Now, you will test DLP fingerprinting using the modified file in the network share. DLP fingerprinting is configured based on schedule. For the purpose of this lab, you will trigger fingerprint checksums manually, using CLI commands. This is because training is conducted at different times globally and using a configured schedule might not work correctly.

To test DLP fingerprinting with the modified file

- Continuing on Local-Windows, return to **LOCAL-FORTIGATE** PuTTY session, run the following command to refresh the DLP fingerprint checksums:

```
diagnose test application dlpfingerprint 6
```

Tip: You can press the up button on your keyboard twice to get that command you entered previously.

- Run the following command to check the updated checksum:

```
diagnose test application dlpfingerprint 9
```

You will see that the file has been updated.

```
Local-FortiGate # diagnose test application dlpfingerprint 6

Local-FortiGate # diagnose test application dlpfingerprint 9
buf.print.error.null_buf: 0
buf.print.error.null_ptr: 0
file.scan.error.db_full: 0
file.scan.error.checksum_revised: 0
file.scan.error.clear_deleted: 0
file.scan.error.file_lookup: 0
file.scan.error.file_insert: 0
file.scan.error.delete_checksum_revised: 0
file.scan.file_updated: 1
file.scan.file_added: 1
```

- Open a browser and go to the following URL:

<http://10.200.1.254/fileupload.html>

- On the web page, click **Browse** and go to **C: > DLPshare**.
- Click the configuration file.
- Click **Open**.
- Click **Submit the file**.

The file upload should be blocked (assuming that changes to file were not too large, and not made in too many areas).



Fingerprinting breaks the file into chunks and performs checksums on each part. By default, DLP will detect a match if any part's checksum from the fingerprint matches.

DO NOT REPRINT
© FORTINET



High Performance Network Security



No part of this publication may be reproduced in any form or by any means or used to make any derivative such as translation, transformation, or adaptation without permission from Fortinet Inc., as stipulated by the United States Copyright Act of 1976.

Copyright© 2018 Fortinet, Inc. All rights reserved. Fortinet®, FortiGate®, FortiCare® and FortiGuard®, and certain other marks are registered trademarks of Fortinet, Inc., in the U.S. and other jurisdictions, and other Fortinet names herein may also be registered and/or common law trademarks of Fortinet. All other product or company names may be trademarks of their respective owners. Performance and other metrics contained herein were attained in internal lab tests under ideal conditions, and actual performance and other results may vary. Network variables, different network environments and other conditions may affect performance results. Nothing herein represents any binding commitment by Fortinet, and Fortinet disclaims all warranties, whether express or implied, except to the extent Fortinet enters a binding written contract, signed by Fortinet's General Counsel, with a purchaser that expressly warrants that the identified product will perform according to certain expressly-identified performance metrics and, in such event, only the specific performance metrics expressly identified in such binding written contract shall be binding on Fortinet. For absolute clarity, any such warranty will be limited to performance in the same ideal conditions as in Fortinet's internal lab tests. In no event does Fortinet make any commitment related to future deliverables, features, or development, and circumstances may change such that any forward-looking statements herein are not accurate. Fortinet disclaims in full any covenants, representations, and guarantees pursuant hereto, whether express or implied. Fortinet reserves the right to change, modify, transfer, or otherwise revise this publication without notice, and the most current version of the publication shall be applicable.