

In short, we have proved properties of  $\text{LPVC}(G)$ . There exists a half-integral optimal solution  $(x_v)_{v \in V(G)}$  to  $\text{LPVC}(G)$ , and it can be found efficiently. We can look at this solution as a partition of  $V(G)$  into parts  $V_0$ ,  $V_{\frac{1}{2}}$ , and  $V_1$  with the following message: greedily take  $V_1$  into a solution, do not take any vertex of  $V_0$  into a solution, and in  $V_{\frac{1}{2}}$ , we do not know what to do and that is the hard part of the problem. However, as an optimum solution pays  $\frac{1}{2}$  for every vertex of  $V_{\frac{1}{2}}$ , the hard part — the kernel of the problem — cannot have more than  $2k$  vertices.

## 2.6 Sunflower lemma

In this section we introduce a classical result of Erdős and Rado and show some of its applications in kernelization. In the literature it is known as the sunflower lemma or as the Erdős-Rado lemma. We first define the terminology used in the statement of the lemma. A *sunflower* with  $k$  *petals* and a *core*  $Y$  is a collection of sets  $S_1, \dots, S_k$  such that  $S_i \cap S_j = Y$  for all  $i \neq j$ ; the sets  $S_i \setminus Y$  are petals and we require *none of them to be empty*. Note that a family of pairwise disjoint sets is a sunflower (with an empty core).

**Theorem 2.25 (Sunflower lemma).** *Let  $\mathcal{A}$  be a family of sets (without duplicates) over a universe  $U$ , such that each set in  $\mathcal{A}$  has cardinality exactly  $d$ . If  $|\mathcal{A}| > d!(k-1)^d$ , then  $\mathcal{A}$  contains a sunflower with  $k$  petals and such a sunflower can be computed in time polynomial in  $|\mathcal{A}|$ ,  $|U|$ , and  $k$ .*

*Proof.* We prove the theorem by induction on  $d$ . For  $d = 1$ , i.e., for a family of singletons, the statement trivially holds. Let  $d \geq 2$  and let  $\mathcal{A}$  be a family of sets of cardinality at most  $d$  over a universe  $U$  such that  $|\mathcal{A}| > d!(k-1)^d$ .

Let  $\mathcal{G} = \{S_1, \dots, S_\ell\} \subseteq \mathcal{A}$  be an inclusion-wise maximal family of pairwise disjoint sets in  $\mathcal{A}$ . If  $\ell \geq k$  then  $\mathcal{G}$  is a sunflower with at least  $k$  petals. Thus we assume that  $\ell < k$ . Let  $S = \bigcup_{i=1}^\ell S_i$ . Then  $|S| \leq d(k-1)$ . Because  $\mathcal{G}$  is maximal, every set  $A \in \mathcal{A}$  intersects at least one set from  $\mathcal{G}$ , i.e.,  $A \cap S \neq \emptyset$ . Therefore, there is an element  $u \in U$  contained in at least

$$\frac{|\mathcal{A}|}{|\mathcal{G}|} > \frac{d!(k-1)^d}{d(k-1)} = (d-1)!(k-1)^{d-1}$$

sets from  $\mathcal{A}$ . We take all sets of  $\mathcal{A}$  containing such an element  $u$ , and construct a family  $\mathcal{A}'$  of sets of cardinality  $d-1$  by removing from each set the element  $u$ . Because  $|\mathcal{A}'| > (d-1)!(k-1)^{d-1}$ , by the induction hypothesis,  $\mathcal{A}'$  contains a sunflower  $\{S'_1, \dots, S'_k\}$  with  $k$  petals. Then  $\{S'_1 \cup \{u\}, \dots, S'_k \cup \{u\}\}$  is a sunflower in  $\mathcal{A}$  with  $k$  petals.

The proof can be easily transformed into a polynomial-time algorithm, as follows. Greedily select a maximal set of pairwise disjoint sets. If the size

of this set is at least  $k$ , then return this set. Otherwise, find an element  $u$  contained in the maximum number of sets in  $\mathcal{A}$ , and call the algorithm recursively on sets of cardinality  $d - 1$ , obtained from deleting  $u$  from the sets containing  $u$ .  $\square$

### 2.6.1 $d$ -HITTING SET

As an application of the sunflower lemma, we give a kernel for  $d$ -HITTING SET. In this problem, we are given a family  $\mathcal{A}$  of sets over a universe  $U$ , where each set in the family has cardinality at most  $d$ , and a positive integer  $k$ . The objective is to decide whether there is a subset  $H \subseteq U$  of size at most  $k$  such that  $H$  contains at least one element from each set in  $\mathcal{A}$ .

**Theorem 2.26.**  *$d$ -HITTING SET admits a kernel with at most  $d!k^d$  sets and at most  $d!k^d \cdot d^2$  elements.*

*Proof.* The crucial observation is that if  $\mathcal{A}$  contains a sunflower

$$S = \{S_1, \dots, S_{k+1}\}$$

of cardinality  $k + 1$ , then every hitting set  $H$  of  $\mathcal{A}$  of cardinality at most  $k$  intersects the core  $Y$  of the sunflower  $S$ . Indeed, if  $H$  does not intersect  $Y$ , it should intersect each of the  $k + 1$  disjoint petals  $S_i \setminus Y$ . This leads to the following reduction rule.

**Reduction HS.1.** Let  $(U, \mathcal{A}, k)$  be an instance of  $d$ -HITTING SET and assume that  $\mathcal{A}$  contains a sunflower  $S = \{S_1, \dots, S_{k+1}\}$  of cardinality  $k + 1$  with core  $Y$ . Then return  $(U', \mathcal{A}', k)$ , where  $\mathcal{A}' = (\mathcal{A} \setminus S) \cup \{Y\}$  is obtained from  $\mathcal{A}$  by deleting all sets  $\{S_1, \dots, S_{k+1}\}$  and by adding a new set  $Y$  and  $U' = \bigcup_{X \in \mathcal{A}'} X$ .

Note that when deleting sets we do not delete the elements contained in these sets but only those which do not belong to any set. Then the instances  $(U, \mathcal{A}, k)$  and  $(U', \mathcal{A}', k)$  are equivalent, i.e.  $(U, \mathcal{A})$  contains a hitting set of size  $k$  if and only if  $(U, \mathcal{A}')$  does.

The kernelization algorithm is as follows. If for some  $d' \in \{1, \dots, d\}$  the number of sets in  $\mathcal{A}$  of size exactly  $d'$  is more than  $d'!k^{d'}$ , then the kernelization algorithm applies the sunflower lemma to find a sunflower of size  $k + 1$ , and applies Reduction HS.1 on this sunflower. It applies this procedure exhaustively, and obtains a new family of sets  $\mathcal{A}'$  of size at most  $d!k^d \cdot d$ . If  $\emptyset \in \mathcal{A}'$  (that is, at some point a sunflower with an empty core has been discovered), then the algorithm concludes that there is no hitting set of size at most  $k$  and returns that the given instance is a no-instance. Otherwise, every set contains at most  $d$  elements, and thus the number of elements in the kernel is at most  $d!k^d \cdot d^2$ .  $\square$