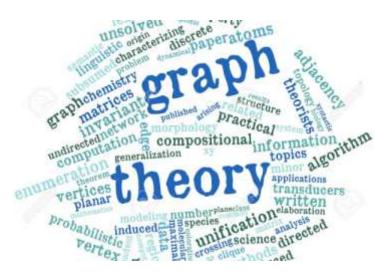


# Graph Theory Basics

Mr. Asim Raza

Email: asim.raza@ucp.edu.pk



# Let's talk more about Graphs

**TREES** 

A fool sees not the same tree that a wise man sees. William Blake

# Summary - Recap

- Graph
- Degree
  - Number of Odd-degree vertices are even
- Paths
- Cycles
- **Eulerian Graph:** A graph containing Eulerian Path
- Hamiltonian Graph: A graph containing Hailtonian Cycle

#### Trees

# (Book 1: Page 766)

• A connected graph that contains no simple circuits is called a tree.

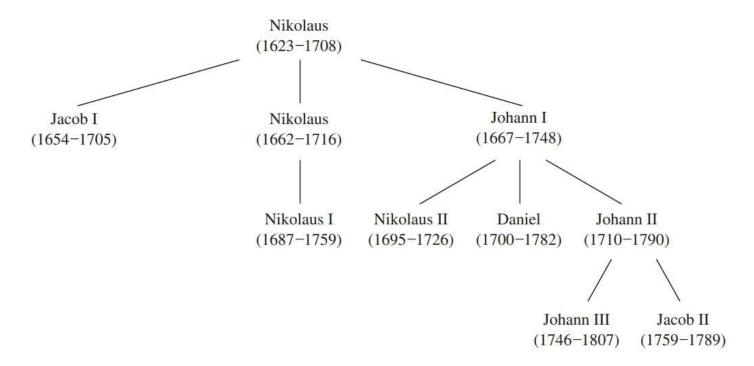


FIGURE 1 The Bernoulli Family of Mathematicians.

# Applications of Trees

(Book 1, Page 766)

- Trees are used to construct efficient algorithms for locating items in a list.
- They can be used in algorithms, such as Huffman coding, that construct efficient codes saving costs in data transmission and storage.
- Trees can be used to study games such as checkers and chess and can help determine winning strategies for playing these games.
- Trees can be used to model procedures carried out using a sequence of decisions. Constructing these models can help determine the computational complexity of algorithms based on a sequence of decisions, such as sorting algorithms.

#### Trees

# (Book 1: Page 767)

A tree is a connected undirected graph with no simple circuits.

Because a tree cannot have a simple circuit, a tree cannot contain multiple edges or loops. Therefore any tree must be a simple graph.

**Example 1:** Which of the graphs shown in Figure 2 are trees?

**Solution:** G1 and G2 are trees, because both are connected graphs with no simple circuits. G3 is not a tree because e, b, a, d, e is a simple circuit in this graph. Finally, G4 is not a tree because it is not connected.

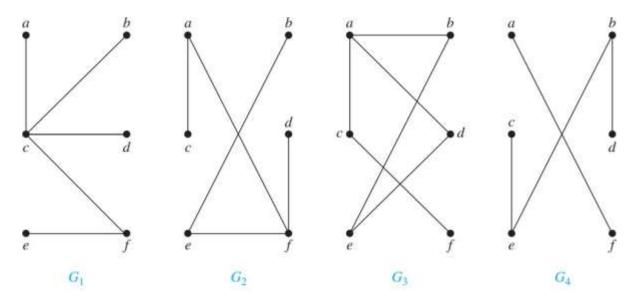


FIGURE 2 Examples of Trees and Graphs That Are Not Trees.

#### Forest

# (Book 1, Page 767)

Graphs containing no simple circuits that are not necessarily connected are called **forest**. They have the property that each of their connected components is a tree as shown in Figure 3.

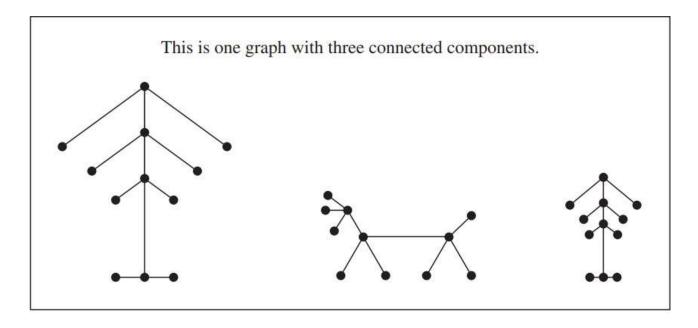


FIGURE 3 Example of a Forest.

Tree (Book 1, Page 767)

#### THEOREM 1

An undirected graph is a tree if and only if there is a unique simple path between any two of its vertices.

#### Rooted Trees

# (Book 1, Page 768)

A *rooted tree* is a tree in which one vertex has been designated as the root and every edge is directed away from the root.

In many applications of trees, a particular vertex of a tree is designated as the **root**. Once we specify a root, we can assign a direction to each edge as follows. Because there is a unique path from the root to each vertex of the graph (by Theorem 1), we direct each edge away from the root. Thus, a tree together with its root produces a **directed graph** called a **rooted tree**.

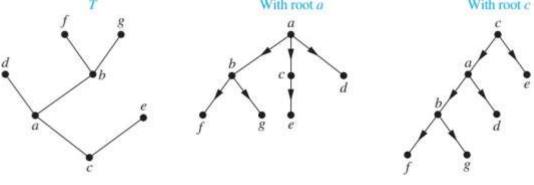
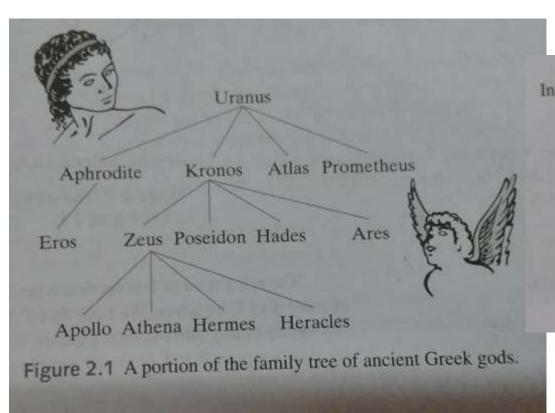


FIGURE 4 A Tree and Rooted Trees Formed by Designating Two Different Roots.

# Terminology of Trees (Book 1, Page 768)

- The terminology for trees has botanical and genealogical.
- Let T be a tree with root  $v_0$ . Suppose that x, y, and z are vertices in T and that  $(v_0, v_1, ..., v_n)$  is a simple path in T. Then
- 1.  $v_{n-1}$  is the **parent** of  $v_n$ .
- 2.  $v_0, \dots, v_{n-1}$  are ancestors of  $v_n$ .
- 3.  $v_n$  is a child of  $v_{n-1}$ .
- 4. If x is an **ancestor** of y, y is a **descendent** of x.
- 5. If x and y are children of z, x and y are **siblings**.
- 6. If x has no children, x is a terminal **vertex** (or a **leaf**).
- 7. If x is not a terminal vertex and has children, x is an **internal** (or **branch**) vertex.

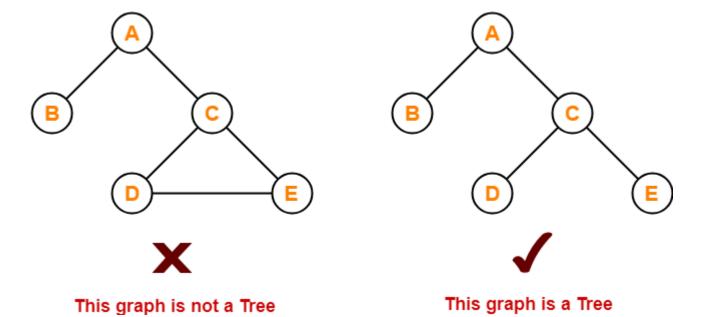
# Terminology of Trees



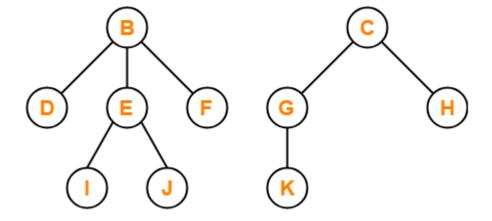
In the rooted tree of Figure 2.1,

- (a) The parent of Eros is Aphrodite.
- (b) The ancestors of Hermes are Zeus, Kronos, and Uranus.
- (c) The children of Zeus are Apollo, Athena, Hermes, and Heracles.
- (d) The descendants of Kronos are Zeus, Poseidon, Hades, Ares, Apollo, Athena, Hermes, and Heracles.
- (e) Aphrodite and Prometheus are siblings.
- (f) The terminal vertices are Eros, Apollo, Athena, Hermes, Heracles, Poseidon, Hades, Ares, Atlas, and Prometheus.

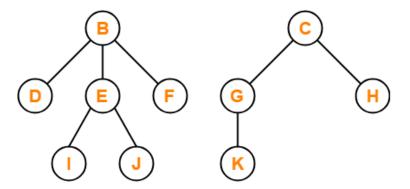
# Trees



- A connected graph containing no cycles as its sub-graphs.
- Connectedness: Graph cannot have too few edges
- No Cycles: Graph cannot have too many edges



- A connected graph containing no cycles as its sub-graphs.
- Connectedness: Graph cannot have too few edges
  - Removing any edge will make graph disconnected
- No Cycles: Graph cannot have too many edges
  - Adding any edge will create cycle in the graph



- A connected graph containing no cycles as its sub-graphs.
- Connectedness: Graph cannot have too few edges
  - Removing any edge will make graph disconnected
- · Na Cycles, Craph cannot have too many
  - **Theorem 8.1.1** (a) A graph G is a tree if and only if it is connected, but deleting any of its edges results in a disconnected graph.
  - (b) A graph G is a tree if and only if it contains no cycles, but adding any new edge creates a cycle.

Consider a connected graph G on n nodes, and an edge e of G. If we delete e, the remaining graph may or may not remain connected. If it is disconnected, then we call e a cut-edge. Part (a) of Theorem 8.1.1 implies that every edge of a tree is a cut-edge.

#### Cut-edge is also called **Bridge**

**Theorem 8.1.1** (a) A graph G is a tree if and only if it is connected, but deleting any of its edges results in a disconnected graph.

(b) A graph G is a tree if and only if it contains no cycles, but adding any new edge creates a cycle.

If we find an edge that is not a cut-edge, delete it. Go on deleting edges until a graph is obtained that is still connected, but deleting any edge from it leaves a disconnected graph. By part (a) of Theorem 8.1.1, this is a tree, with the same node set as G. A subgraph of G with the same node set that is a tree is called a *spanning tree* of G. The edge deletion process above can, of course, be carried out in many ways, so a connected graph can have many different spanning trees.

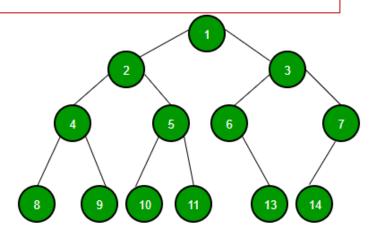
**Theorem 8.1.1** (a) A graph G is a tree if and only if it is connected, but deleting any of its edges results in a disconnected graph.

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#### Rooted Trees

Rooted trees. Often, we use trees that have a special node, which we call the *root*.

We can take any tree, select any of its nodes, and call it a root. A tree with a specified root is called a rooted tree.

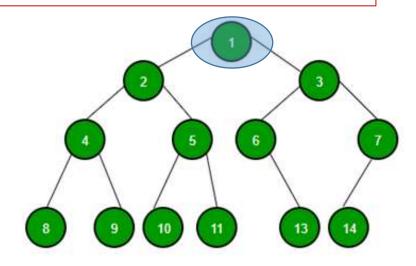


#### Rooted Trees

Rooted trees. Often, we use trees that have a special node, which we call the *root*.

We can take any tree, select any of its nodes, and call it a root. A tree with a specified root is called a *rooted tree*.

- We may define Parent nodes and Children nodes
- Nodes with degree-one are called Leaf nodes
- A child node have only one parent node. If have more than one parents ⇒ there is cycle, hence not a tree graph



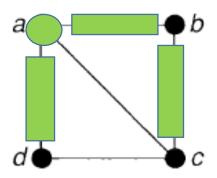
### Trees — Summary of Properties

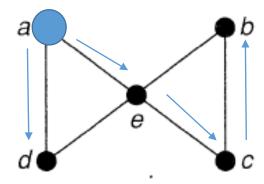
THEOREM. Let T be a graph with n vertices. Then the following statements are equivalent:

- (i) T is a tree;
- (ii) T contains no cycles, and has n-1 edges;
- (iii) T is connected, and has n-1 edges;
- (iv) T is connected, and each edge is a bridge;
- (v) any two vertices of T are connected by exactly one path;
- (vi) T contains no cycles, but the addition of any new edge creates exactly one cycle.

### Trees - Exercise

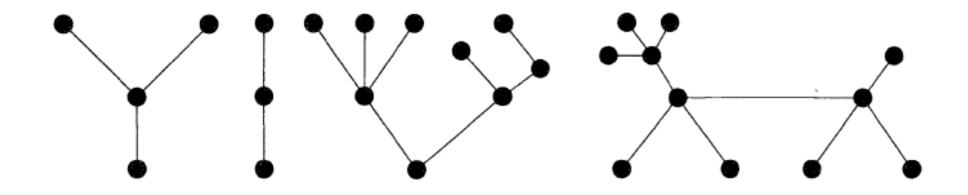
Draw all the spanning trees in the graph





# How to Grow Trees — (Section 8.2 of textbook)

Theorem 8.2.1 Every tree with at least two nodes has at least two nodes of degree 1.



# How to Grow Trees — (Section 8.2 of textbook)

Theorem 8.2.1 Every tree with at least two nodes has at least two nodes of degree 1.

 Join different trees with exactly one edge between them, to expand the tree

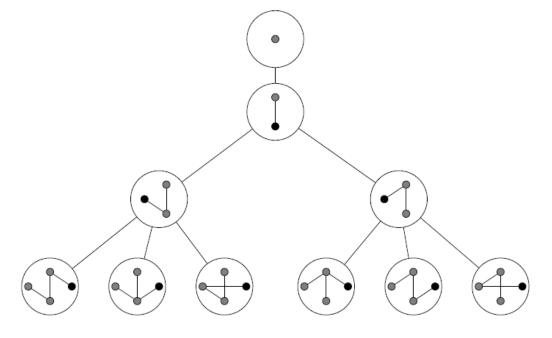


FIGURE 8.2. The descent tree of trees

#### Let's Count Trees

• How many trees are there on n nodes?

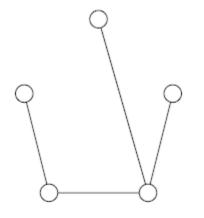


### Let's Count Trees

How many trees are there on n nodes?



• Counting **Labelled** or **Unlabeled** Trees?



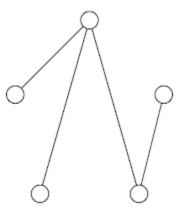


FIGURE 8.3. Are these trees the same?

#### Let's Count Trees

How many trees are there on n nodes?

#### Counting Labelled Trees!

Theorem 8.3.1 (Cayley's Theorem) The number of labeled trees on n nodes is  $n^{n-2}$ .



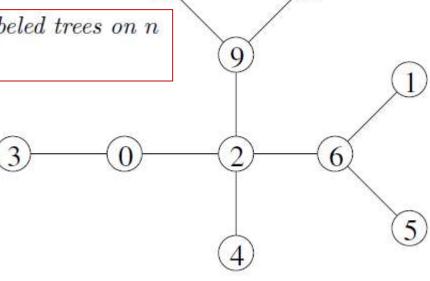


FIGURE 8.4. A labeled tree





• On 4 nodes, there will be  $4^{4-2} = 4^2$ = 16 labelled trees.

Draw the rest

How many unlabeled trees will be there? Draw all unlabeled trees.

THEOREM (Cayley, 1889). There are  $n^{n-2}$  distinct labelled trees on n vertices.



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How many unlabeled trees will be there? Draw all unlabeled trees.

THEOREM (Cayley, 1889). There are  $n^{n-2}$  distinct labelled trees on n vertices.

COROLLARY. The number of spanning trees of  $K_n$  is  $n^{n-2}$ .

Draw all spanning trees for  $K_3$  and  $K_4$ 

# Trees – Examples

**Binary Trees** 

Depth First (DFS) and Breadth First (BFS) Trees

**Red-Black Trees** 

**AVL Trees** 

## Subtree

# (Book 1, Page 769)

• If a is a vertex in a tree, subtree with a as its root is the subgraph of the tree consisting of a and its descendants and all edges incident to these descendants.

In the rooted tree T (with root a) shown in Figure 5, find the parent of c, the children of g, the siblings of h, all ancestors of e, all descendants of b, all internal vertices, and all leaves. What is the subtree rooted at g?

Solution: The parent of c is b. The children of g are h, i, and j. The siblings of h are i and j. The ancestors of e are c, b, and a. The descendants of b are c, d, and e. The internal vertices are a, b, c, g, h, and j. The leaves are d, e, f, i, k, l, and m. The subtree rooted at g is shown in Figure 6.

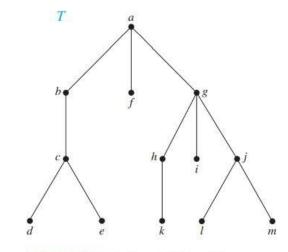


FIGURE 5 A Rooted Tree T.

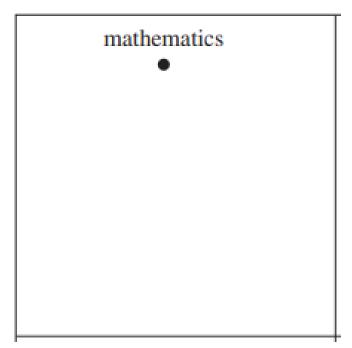


(Book 1, Page 778)

- Searching for items in a list is one of the most important tasks that arises in computer science.
- To implement a searching algorithm that finds items efficiently when the items are totally ordered.
- A binary search tree, which is a binary tree in which each child of a vertex is designated as a right or left child, no vertex has more than one right child or left child, and each vertex is labeled with a key, which is one of the items.
- Furthermore, vertices are assigned keys so that the key of a vertex is both larger than the keys of all vertices in its left subtree and smaller than the keys of all vertices in its right subtree

(Book 1, Page 779)

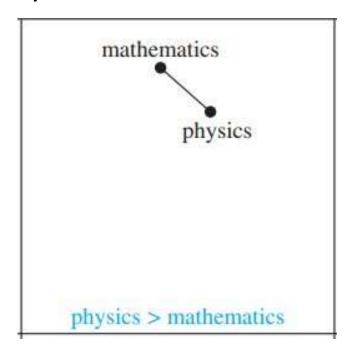
 Form a binary search tree for the words mathematics, physics, geography, zoology, meteorology, geology, psychology, and chemistry (using alphabetical order).



https://sites.google.com/view/adeel-arif/teaching/discretestructures-f22

# (Book 1, Page 779)

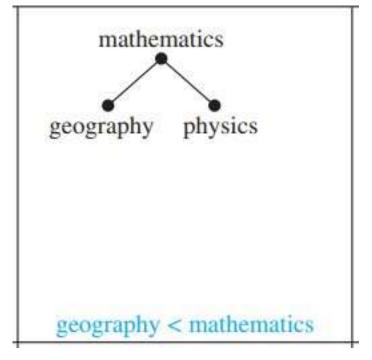
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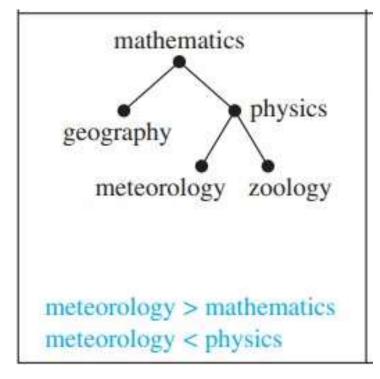
mathematics
physics
geography
zoology

zoology > mathematics

zoology > physics

# (Book 1, Page 779)

 Form a binary search tree for the words mathematics, physics, geography, zoology, meteorology, geology, psychology, and chemistry



# (Book 1, Page 779)

 Form a binary search tree for the words mathematics, physics, geography, zoology, meteorology, geology, psychology, and chemistry

```
geology physics
geology zoology
meteorology

geology < mathematics
geology > geography
```

# (Book 1, Page 779)

 Form a binary search tree for the words mathematics, physics, geography, zoology, meteorology, geology, psychology, and chemistry

```
mathematics
geography
                   physics
 geology
                      zoology
      meteorology
            psychology
  psychology > mathematics
  psychology > physics
  psychology < zoology
```

(Book 1, Page 779)

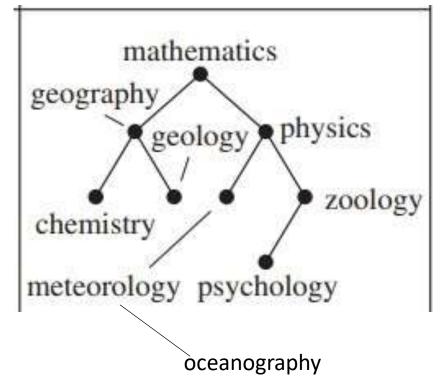
 Form a binary search tree for the words mathematics, physics, geography, zoology, meteorology, geology, psychology, and chemistry

```
mathematics
geography
geology physics
zoology
chemistry
meteorology psychology
chemistry < mathematics
chemistry < geography
```

(Book 1, Page 780)

Use Algorithm 1 to insert the word oceanography into the binary

search tree in Previous Example.



#### Prefix Codes

(Book 1, Page 783)

- To encode letters so that the bit string for a letter never occurs as the first part of the bit string for another letter. Codes with this property are called **prefix codes**.
- Example: the encoding of e as 0, a as 10, and t as 11 is a prefix code. A
  word can be recovered from the unique bit string that encodes its
  letters

The string 10110 is the encoding of ate.

#### **Prefix Codes**

(Book 1, Page 783)

• A prefix code can be represented using a binary tree, where the characters are the labels of the leaves in the tree.

 The tree in Figure 5 represents the encoding of e by 0, a by 10, t by 110, n by 1110, and s by 1111.

• Consider the word encoded by 11111011100 using the code in Figure 5.

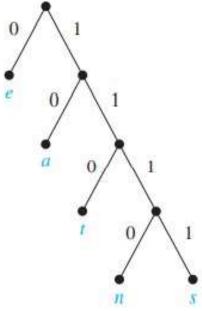


FIGURE 5 A
Binary Tree with a
Prefix Code.

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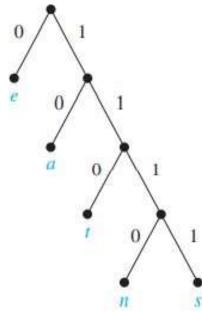


FIGURE 5 A
Binary Tree with a
Prefix Code.

#### Tree Traversal

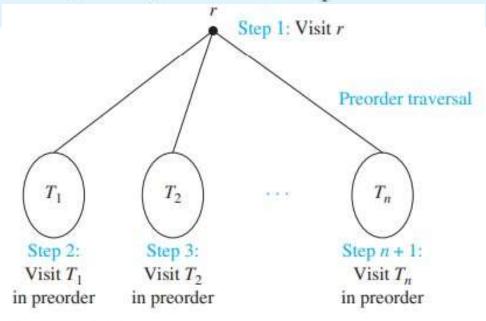
(Book 1, Page 794)

- Ordered rooted trees are often used to store information.
- We need procedures for visiting each vertex of an ordered rooted tree to access data.
- Procedures for systematically visiting every vertex of an ordered rooted tree are called traversal algorithms.
- There are three of the most commonly used such algorithms:
  - 1. Preorder traversal,
  - 2. Inorder traversal, and
  - 3. Postorder traversal.

Each of these algorithms can be defined recursively.

# (Book 1, Page 794)

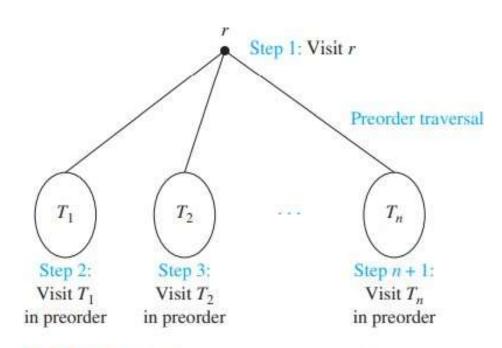
Let T be an ordered rooted tree with root r. If T consists only of r, then r is the *preorder traversal* of T. Otherwise, suppose that  $T_1, T_2, \ldots, T_n$  are the subtrees at r from left to right in T. The *preorder traversal* begins by visiting r. It continues by traversing  $T_1$  in preorder, then  $T_2$  in preorder, and so on, until  $T_n$  is traversed in preorder.



#### FIGURE 2 Preorder Traversal.

# (Book 1, Page 794)

In which order does a preorder traversal visit the vertices in the ordered rooted tree T shown in Figure 3?



Preorder traversal: Visit root, visit subtrees left to right

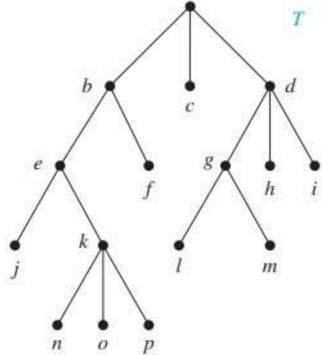
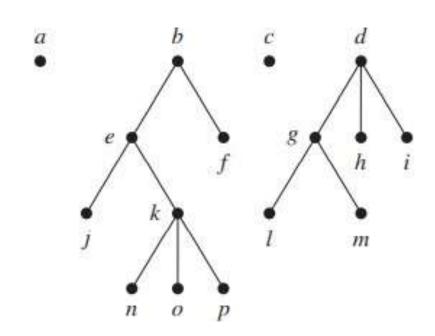
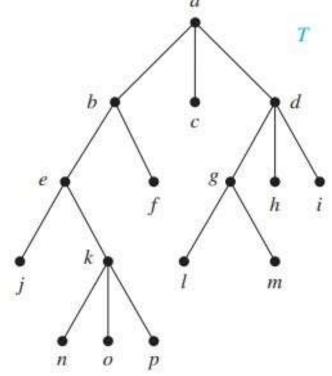


FIGURE 2 Preorder Traversal.

# (Book 1, Page 795)

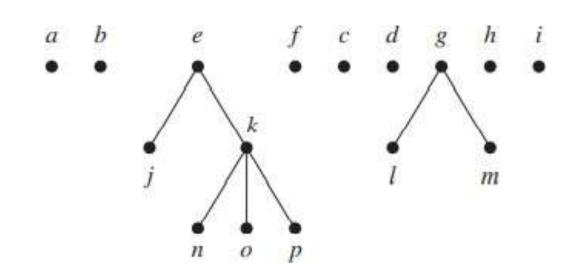
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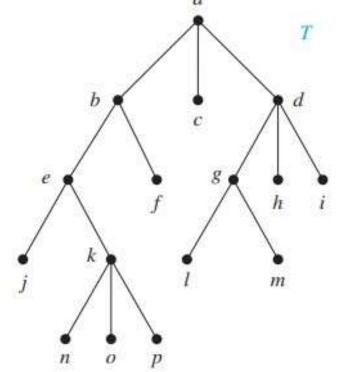




# (Book 1, Page 795)

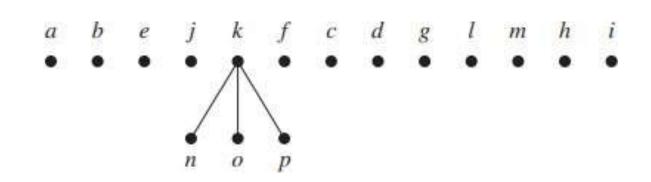
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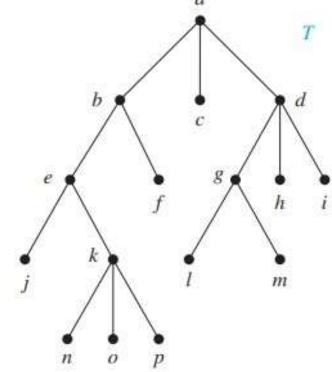




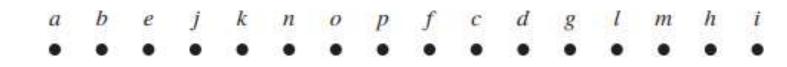
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# (Book 1, Page 795)



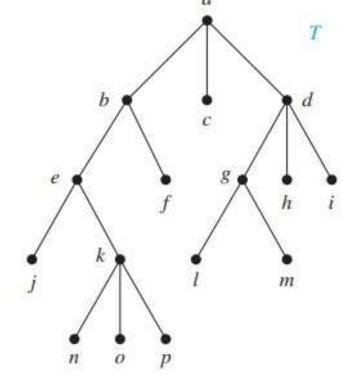


FIGURE 3 The Ordered Rooted Tree T.

# (Book 1, Page 796)

Let T be an ordered rooted tree with root r. If T consists only of r, then r is the *inorder* traversal of T. Otherwise, suppose that  $T_1, T_2, \ldots, T_n$  are the subtrees at r from left to right. The *inorder traversal* begins by traversing  $T_1$  in inorder, then visiting r. It continues by traversing  $T_2$  in inorder, then  $T_3$  in inorder, ..., and finally  $T_n$  in inorder.

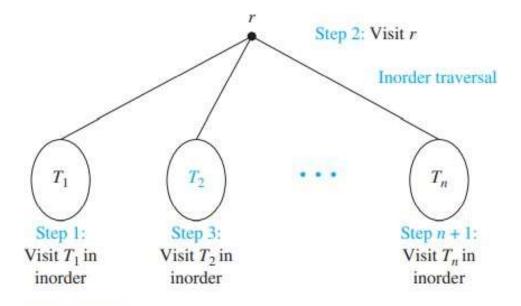
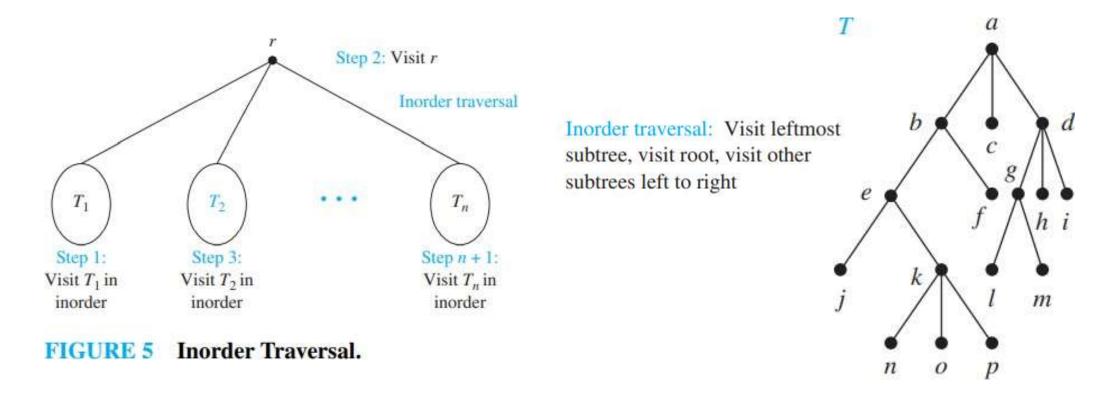
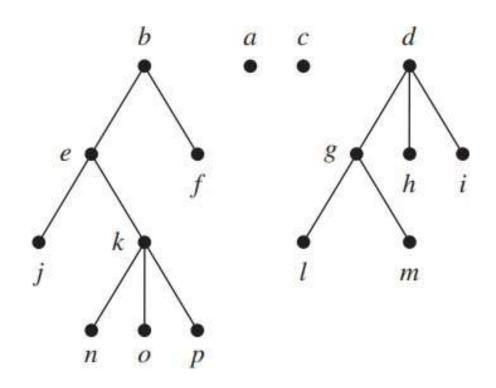


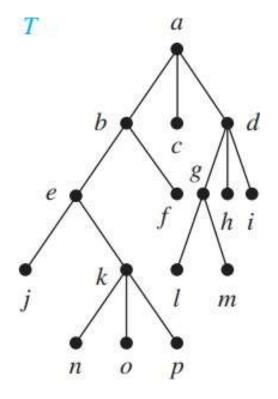
FIGURE 5 Inorder Traversal.

# (Book 1, Page 796)

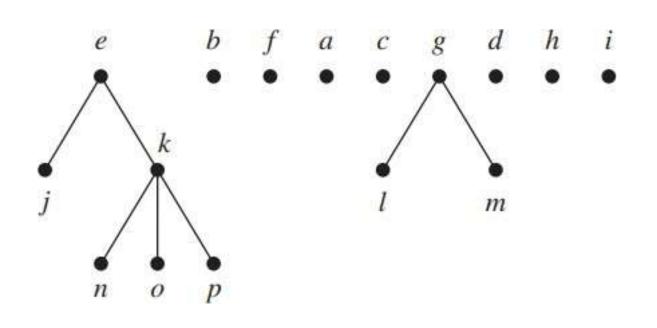


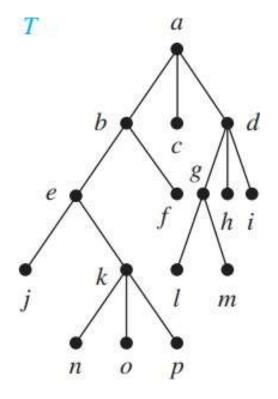
# (Book 1, Page 796)



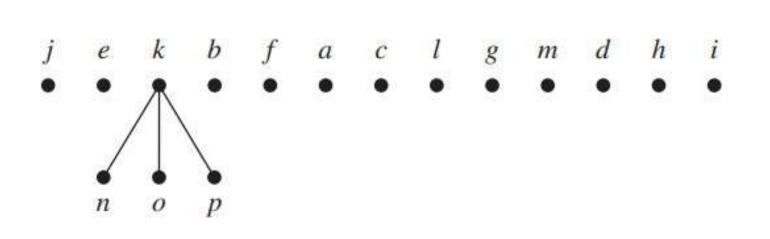


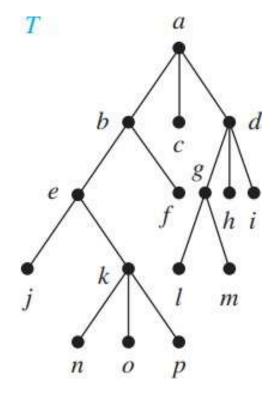
# (Book 1, Page 796)



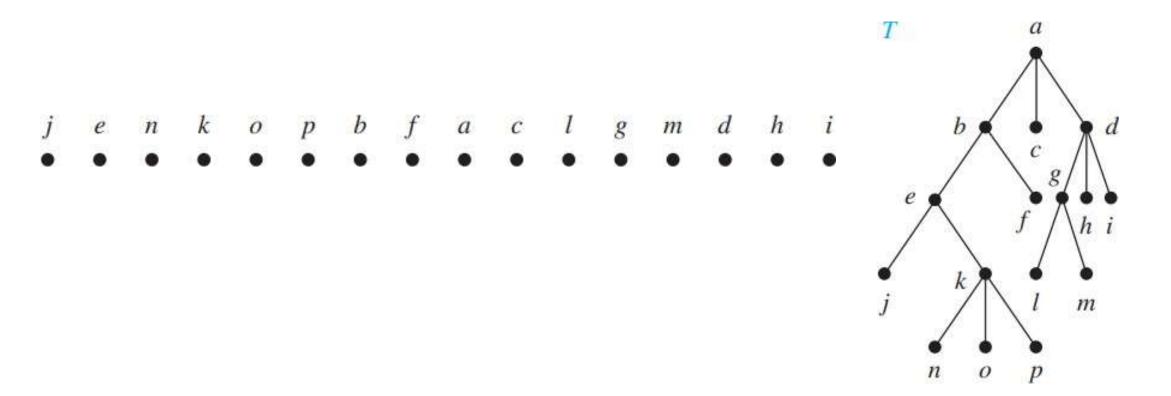


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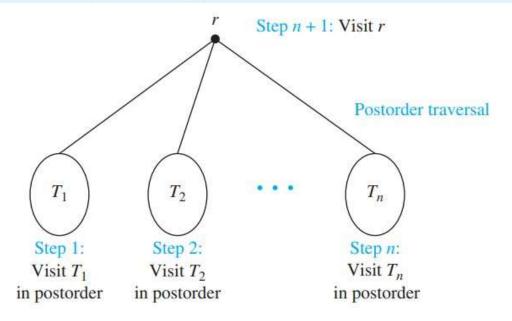


(Book 1, Page 797)



# (Book 1, Page 797)

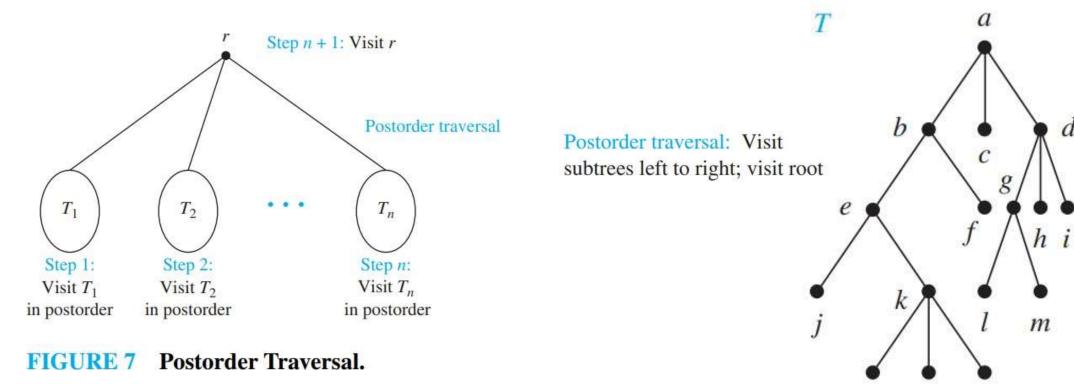
Let T be an ordered rooted tree with root r. If T consists only of r, then r is the *postorder traversal* of T. Otherwise, suppose that  $T_1, T_2, \ldots, T_n$  are the subtrees at r from left to right. The *postorder traversal* begins by traversing  $T_1$  in postorder, then  $T_2$  in postorder, ..., then  $T_n$  in postorder, and ends by visiting r.



#### FIGURE 7 Postorder Traversal.

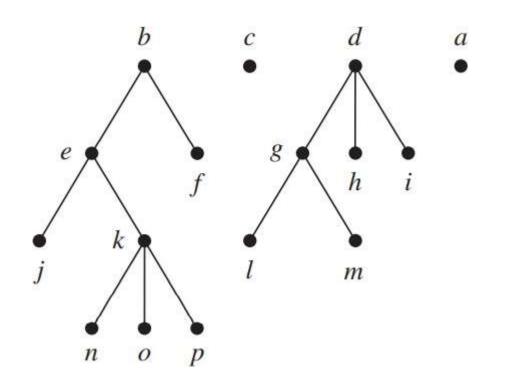
https://sites.google.com/view/adeel-arif/teaching/discretestructures-f22

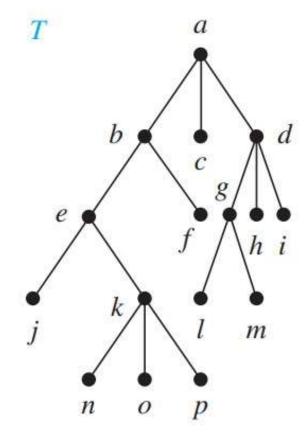
(Book 1, Page 798)



(Book 1, Page 799)

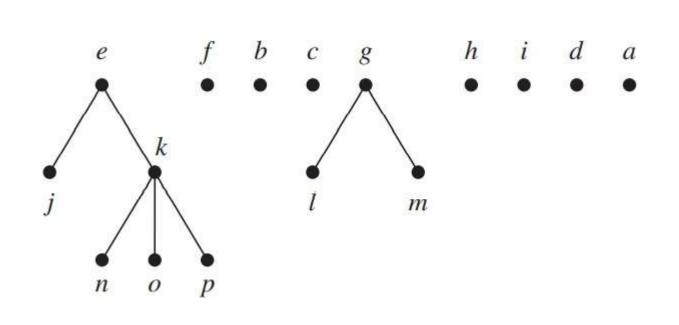
In which order does a postorder traversal visit the vertices of the ordered rooted tree T shown in Figure 3?

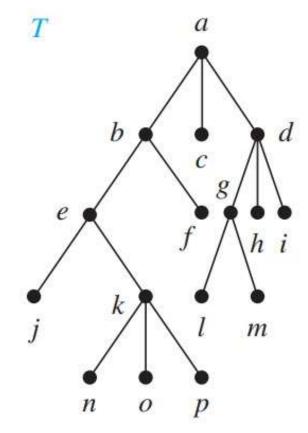




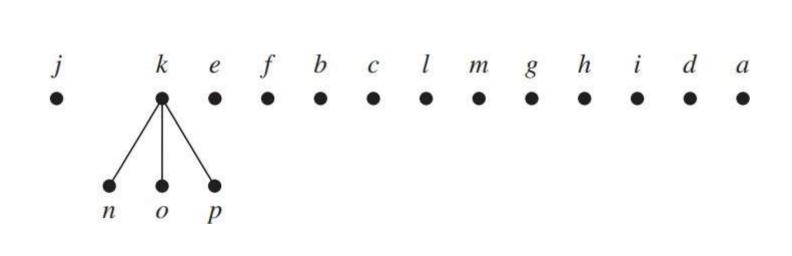
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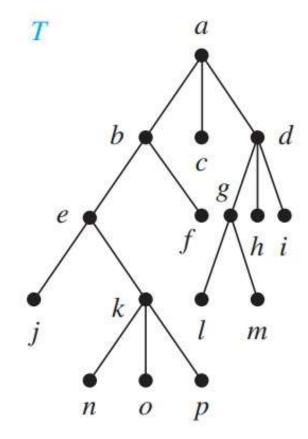
(Book 1, Page 799)



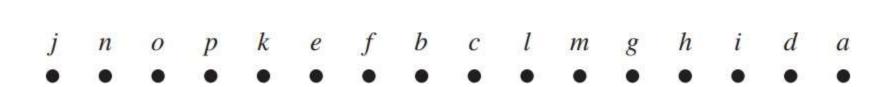


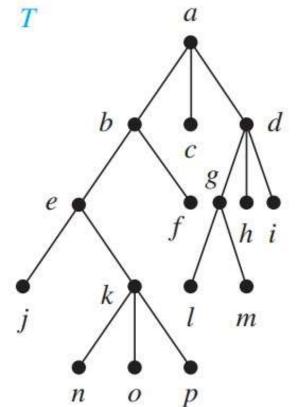
(Book 1, Page 799)





(Book 1, Page 799)



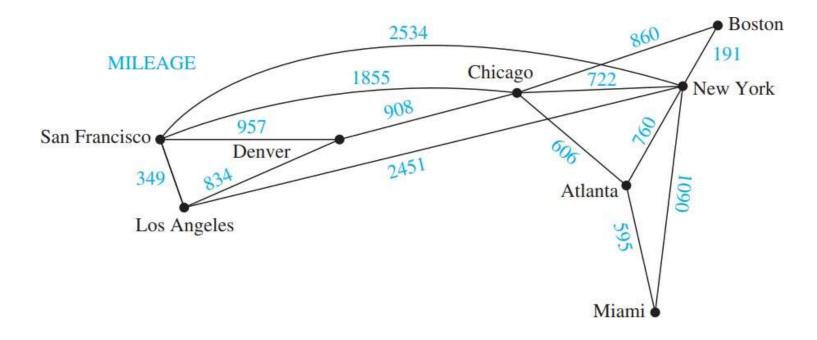


# Shortest-Path Problems (Book 1, Page 728)

- Many problems can be modeled using graphs with weights assigned to their edges.
- How an airline system can be modeled. We set up the basic graph model by representing cities by vertices and flights by edges.
- 1. Problems involving distances can be modeled by assigning distances between the cities to the edge.
- 2. Problems involving flight time can be modeled by assigning flight times to edges.
- 3. Problems involving fares can be modeled by assigning fares to the edges.

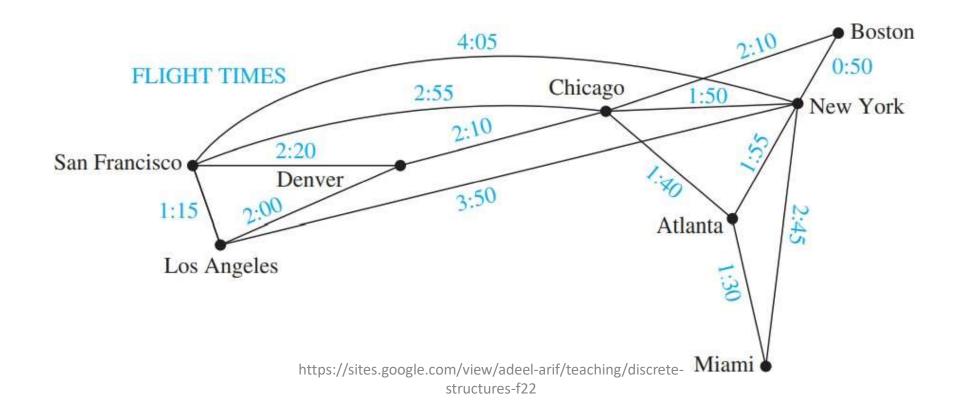
# Weighted Graphs Modeling an Airline System

• Problems involving distances can be modeled by assigning distances between the cities to the edge.



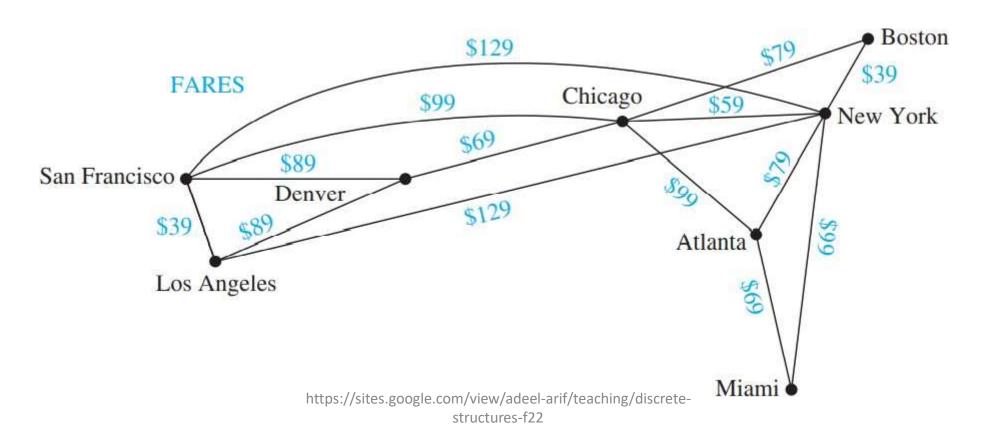
# Weighted Graphs Modeling an Airline System

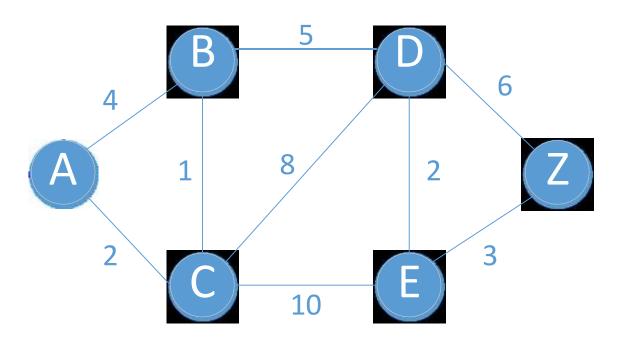
1. Problems involving flight time can be modeled by assigning flight times to edges.



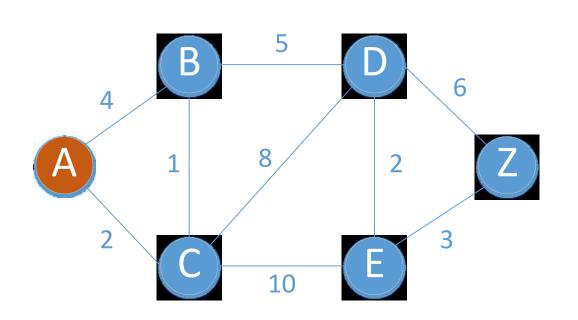
# Weighted Graphs Modeling an Airline System

 Problems involving fares can be modeled by assigning fares to the edges.





| Node             | A | В        | С        | D        | Е        | Z |
|------------------|---|----------|----------|----------|----------|---|
| Mini<br>Distance | 0 | <b>∞</b> | <b>∞</b> | <b>∞</b> | <b>∞</b> | ∞ |
| Previous<br>Node |   |          |          |          |          |   |

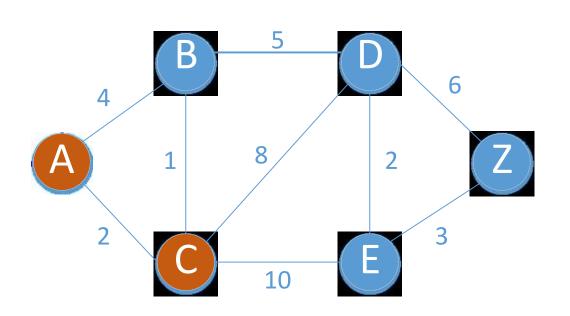


| Node             | A | В | С        | D        | Е        | Z        |
|------------------|---|---|----------|----------|----------|----------|
| Mini<br>Distance | 0 | ∞ | $\infty$ | $\infty$ | $\infty$ | $\infty$ |
| Previous<br>Node |   |   |          |          |          |          |

| Node             | A | В | С | D        | Е        | Z |
|------------------|---|---|---|----------|----------|---|
| Mini<br>Distance | 0 | 4 | 2 | <b>∞</b> | <b>∞</b> | ∞ |
| Previous<br>Node |   | Α | Α |          |          |   |

 $L(b) = min{old L(b), L(a)+w(ab)} = {\infty,0+4} = 4$ 

 $L(c) = min{old L(c), L(a)+w(ac)} = {\infty,0+2} = 2$ 

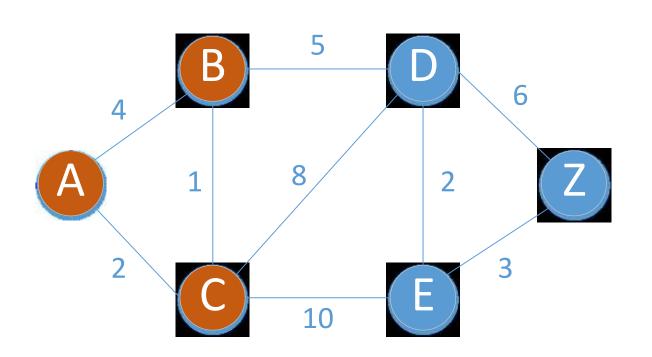


| Node             | A | В | С | D        | Е        | Z        |
|------------------|---|---|---|----------|----------|----------|
| Mini<br>Distance | 0 | 4 | 2 | $\infty$ | $\infty$ | $\infty$ |
| Previous<br>Node |   | Α | Α |          |          |          |

| Node             | A | В | С | D  | Е  | Z        |
|------------------|---|---|---|----|----|----------|
| Mini<br>Distance | 0 | 3 | 2 | 10 | 12 | $\infty$ |
| Previous<br>Node |   | С | Α | С  | С  |          |

 $L(b) = min{old L(b), L(c)+w(cb)} = {4,2+1}=3$ 

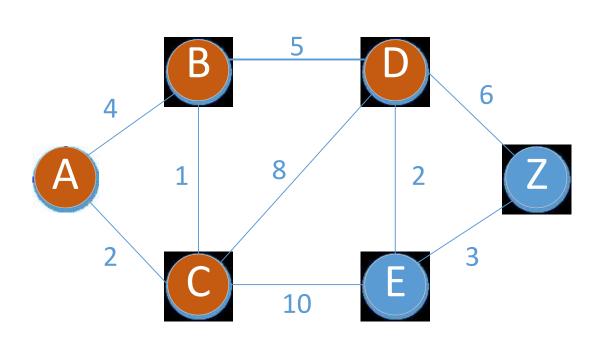
 $L(d) = min{old L(d), L(c)+w(cd)} = {\infty,2+8}=10$ 



| Node             | A | В | С | D  | Е  | Z        |
|------------------|---|---|---|----|----|----------|
| Mini<br>Distance | 0 | 3 | 2 | 10 | 12 | $\infty$ |
| Previous<br>Node |   | С | Α | С  | С  |          |

| Node             | A | В | С | D | Е  | Z        |
|------------------|---|---|---|---|----|----------|
| Mini<br>Distance | 0 | 3 | 2 | 8 | 12 | <b>∞</b> |
| Previous<br>Node |   | С | Α | В | С  |          |

 $L(d) = min{old L(d), L(b)+w(bd)} = {10,3+5}=8$ 

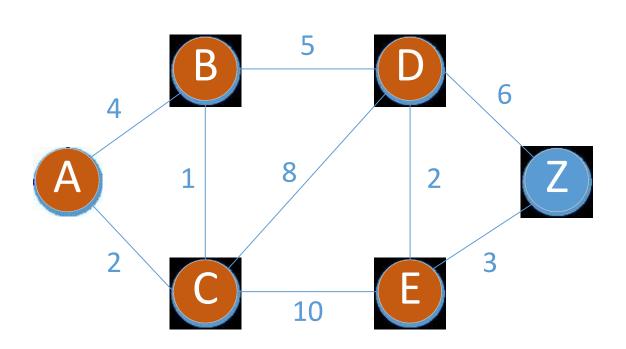


| Node             | A | В | С | D | Е  | Z        |
|------------------|---|---|---|---|----|----------|
| Mini<br>Distance | 0 | 3 | 2 | 8 | 12 | $\infty$ |
| Previous<br>Node |   | С | Α | В | С  |          |

| Node             | A | В | С | D | E  | Z  |
|------------------|---|---|---|---|----|----|
| Mini<br>Distance | 0 | 3 | 2 | 8 | 10 | 14 |
| Previous<br>Node |   | С | Α | В | D  | D  |

 $L(e) = min{old L(e), L(d)+w(de)} = {12,8+2}=10$ 

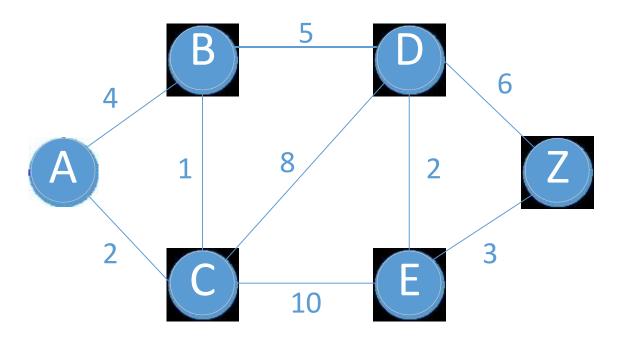
 $L(z) = min{old L(z), L(d)+w(dz)} = {\infty,8+6}=14$ 



| Node             | A | В | С | D | Е  | Z  |
|------------------|---|---|---|---|----|----|
| Mini<br>Distance | 0 | 3 | 2 | 8 | 10 | 14 |
| Previous<br>Node |   | С | Α | В | D  | D  |

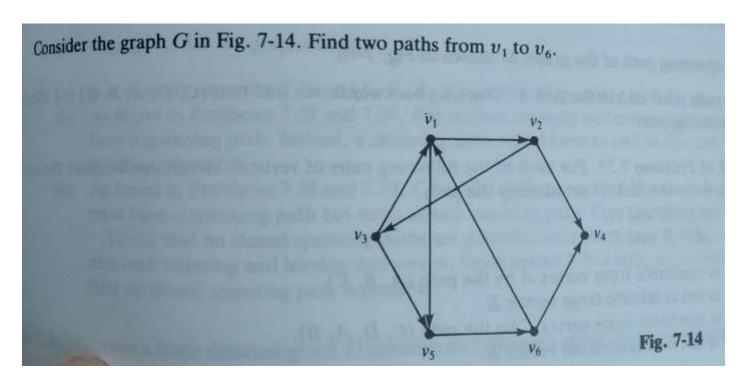
| Node             | A | В | С | D | Е  | Z  |
|------------------|---|---|---|---|----|----|
| Mini<br>Distance | 0 | 3 | 2 | 8 | 10 | 13 |
| Previous<br>Node |   | С | Α | В | D  | E  |

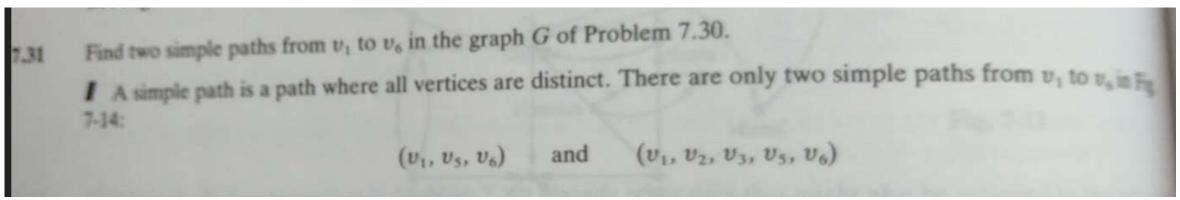
 $L(z) = min{old L(z), L(e)+w(ez)} = {14,10+3}=13$ 



| Node             | A | В | С | D | Е  | Z  |
|------------------|---|---|---|---|----|----|
| Mini<br>Distance | 0 | 3 | 2 | 8 | 10 | 13 |
| Previous<br>Node |   | С | Α | В | D  | E  |

The shortest path from a to z is a,c,b,d,e,z with length 13.





# Cayley's Formula – How to Store the Trees

Theorem 8.3.1 (Cayley's Theorem) The number of labeled trees on n nodes is  $n^{n-2}$ .

How to store this tree in computer?

 We want to store the tree so it should use least memory

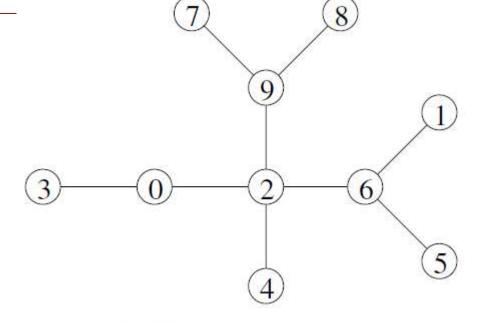


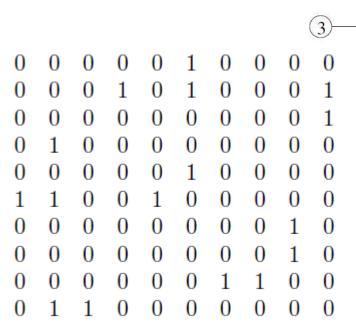
FIGURE 8.4. A labeled tree

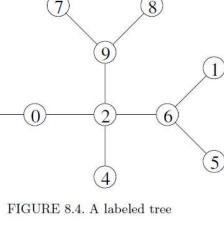
#### How to Store the Trees – 1: Adjacency Matrix

 How to store this tree in computer?

 We want to store the tree so it should use least memory

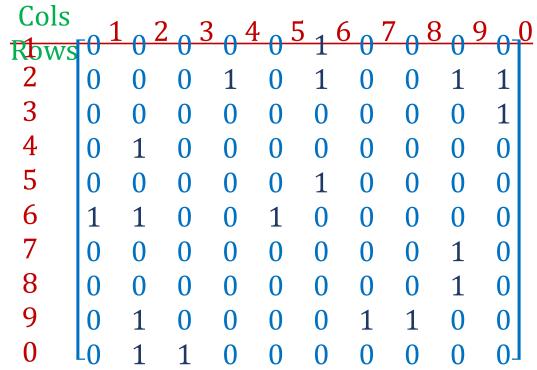
 One solution could be to store the adjacency matrix ... not an optimal way --- consuming n<sup>2</sup> bits





#### How to Store the Trees – 1: Adjacency Matrix

Adjacency Matrix



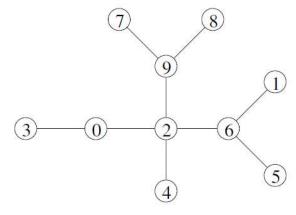


FIGURE 8.4. A labeled tree

One solution could be to store the adjacency matrix ... not an optimal way --- consuming  $n^2$  bits