Tally Solutions Pvt. Ltd.

Invoice Date Extraction Model Using Tesseract OCR Engine and Tensorflow

WORKFLOW:

READ A FOLDER CONTAINING PDF INVOICE DOCUMENTS CONVERT THESE PDFS TO JPEG AND STORE IN ANOTHER FOLDER NOW PREPROCESS THESE IMAGES FOR BETTER OCR EXTRACTION APPLY TESSERACT OCR TO EACH IMAGE IN DATASET STORE THE OUTPUT IN DATAFRAME FORMAT MODIFY THIS TESSERACT OUTPUT FOR BETTER RESULTS PROCESS THE TEXT GENERATED AND FIND ALL DATE FIELDS GET NEIGHBOUR INFORMATION FOR EACH DATE FIELD NORMALIZE THE DATAFRAME NOW CREATE A DATASET (.CSV) FILE OUT OF THIS DATAFRAME ANNOTATE THIS DATAFRAME FOR TRUE INVOICE DATE READ THIS CSV AND ADD ZONAL INFO FOR FIELDS PREPROCESS THE DATASET FOR MODEL TRAINING PREPARE / TRAIN / TEST / SAVE A KERAS MODEL USE THIS MODEL FOR INFERENCE

DATASET PREPARATION:

```
In [14]: def create(imagePath):
    image = cv2.imread(imagePath)
    preProcessedImage = preProcessImage(imagePath)
    data = pytesseract.image_to_data(preProcessedImage, output_type=Output.DATAFRAME)
    data.dropna(inplace=True)
    data.reset_index(inplace=True)
    data.drop(columns=["index"], inplace=True)
    processData(data)
    df = pd.DataFrame(data)
    preProcessDataFrame(df, preProcessedImage)
    dateDF, dates = extractDateDataFrame(df)
    addNeighbours(df)
    indexes = findDateDF(dates, dateDF)
    resultDF = df.copy()
    dropIndexes(indexes, resultDF)
    return resultDF
```

- Read the image
- Preprocessed the image

```
In [1]: import cv2
import matplotlib.pyplot as plt

In [1]: def preProcessImage(imagePath):
    image = cv2.imread(imagePath)
    gray = cv2.cvtColor(image, cv2.CoLOR_RGB2GRAY)
    blur = cv2.GaussianBlur(gray, (3,3),1)
    threshold img = cv2.adaptiveThreshold(blur,255,1,1,11,2)
    return threshold_img
```

- Applied tesseract OCR on the image
- Modified tesseract output to concat seperated tokens in a single word

```
In [2]: def processData(df):
    df.drop(columns=|"level", "page_num", "par_num", "word_num"], inplace=True)

df["nextDist"] = 0
    for index in range(df.shape[0]-1):
        index2 = index+1
        endpoint = df["left"][index] + df["width"][index]
        startpoint = df["left"][index]
    nd = startpoint - endpoint
    df "mextDist"][index]=nd

start = 0
    end = 0
    index = 0
    concatList = []
    while index+df.shape[0]:
    while index+df.shape[0]:
    while index+=1
        index+=1
        end+=1
    concatList.append([start, end])
    index+=1
    start = index
    end = index

for L in concatList:
    start = L[0]
    end = L[1]
    block = df["block num"][start]
    left = df["teft"][start]
    vidth = 0
    height = []
    conf = []
    text = ""
    nd = df["nextDist"][end]
    while start=end:
    width + df["width"][start]
    height append(df["beight"][start])
    conf.append(df["conf"][start])
    text + df["text"][start] + ""
    start+=1
    conf = sum(conf)/len(conf)
    df.loc[len(df.index)] = [block, 0, left, top, width, max(height), conf, text, nd]
```

PreProcessed the extracted dataframe from tesseract output

Extracted fields having dates from this dataframe

Allocated neighbour columns with thier distance from respective date field

- Saved this dataframe as .csv file
- Manually annotated the true(1) and false(0) invoice dates in this csv.

DATASET:

	АВ	cl	D	Εĺ	F		G		нΙ	ıl	J.	κ	ī	М	N	0	PO	R
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7	2 873	1833	106	18	69.67271402/04/					1851	2339	1654	0		0 (o	0 0.120358013069441
==	3 873	1904	106	18	96.78810102/04/2				979	1922	2339	1054	0		0 (0 0.116689952697426
8	41168	1145	332	24	94.731491 Invoice	e Date : 02.04.2023			1500	1169	2339	1654	0		0 (0 0	0	0 0.0906892382103991
7	5 588	897	88	29	71.1752329/10/20	022,			676	926	2339	1054	0		0 (0 0	0	0 0
8	6 745	898	92	28	64.23980716-10-	2022,			837	926	2339	1054	0		0 (0 0	0	0 0
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13	11 588	1037	84	19	94.234421 9/10/20					1056	2339	1654	0		0 (0 0
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_15	13 370	503	146	23	89.50355505Dec				516	526	2200	1700	0		0 (0 0.187058823529412
_16	14 370	503	146	23	89.50355505Dec				516	526	2200	1700	0		0 (0 0.187058823529412
-14-	15 84	320	113	19	70.81395704/14/2				197	339	2200	1700	0		0 (0 0
-18	16 9	319	169	19	84.121198Bill Dt				178	338	2200	1700	0		0 (0 0
38	17 1216 18 153	328 894	127	22	73.26934815/04/2 88.9447115/04/2				1343	350 914	2200 2200	1700 1700	0		0 (0 0.0505281451688294
- 51	18 153	894	124	20	96.541641.17/04/				277 424	914	2200	1700			0 (0 0
55	201216	328	127	22	73.20934815/04/				1343	350	2200	1700	- 0		0 (0 0.0505281451688294
- 55	21 1088	165	114	21	75.6162349-Dec				1202	186	2200	1700	0		0			0 0.003201431088294
-52	22 1088	165	114	21	75.6162349-Dec				1202	186	2200	1700			0 (0 0.053009909131844
5 ₹	23 1336	357	121	19	94.08584605/12/2				1457	376	2200	1700			0 (0 0
26	24 1213	896	123	26	87.991821.05/12/2				1336	922	2200	1700	0		0 (0
59	25 1336	357	121	19	94.08584605/12/2				1457	376	2200	1700	0		0 (0 0
55	26 1213	896	123	26	87.99182105/12/2				1336	922	2200	1700	0		0 (0 0
58	27 1221	533	159	20	65.645515date:11				1380	553	2339	1654	0		0 (0 0
30	28 1202	599	178	20	61.784561 Dated				1380	619	2339	1054	0		0 (0 0
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32	30 1202	599	178	20	61.784561 Dated:	12-Jan-22			1380	619	2339	1654	0	(0 (0 0	0	0 0
- 33	31 426	763	121	19	90.49037205/12/2	2022			547	782	2334	1684	0		0 (0 0	0	o o
34	32 181	764	330	25	94.2072921666667NO OR	F PAX: 02, DOT: 05/12/2022			511	789	2334	1684	0		0 (0 0	0	0 0
35	33 1399	350	106	16	86.91559621-08-	2022			1505	376	2339	1653	0		0 (0 0	0	0 0
- 36	34 233	524	480	40	81.03462836/5, 1s	t Floor, 3rd Main 5th A Cross, B	indavan Layout, LN.		713	364	2339	1653	0		0 (0 0	0	0 0
_37	35 831	532	316	27		ss: 6/5, 1st Floor, 3rd Main Sth			1147	559	2339	1653	0		0 (0 0
-38	36 277	1153	89	19	96.09514610/17/2					1172	2339	1653	0		0 (0 0
- 39	37 1323	130	137	22	93.4841283333333Coctobs				1460	152	2339	1653	0		0 (0 0
-4 0	38 277	1153	180	19	96.300987333333310/17/2					1172	2339	1653	0		0 (0 0
41_	39 280	480	107	32	54.923683°7-Mar				387	512	2339	1656	0		0 (0 0
42	40 726	952	153	25	17.499382dt.'7-N				879	977	2339	1656	0			0 0		0 0
<u> 43</u>	41 151	487	209	32	79.49061775/Ack D				360	519	2339 2339	1656	0		0 (0 0
44	42 726	952	153	25 18	17.499382dt.'7-N				879	977	2339	1656	0		0 (0 0
	43 1316 44 1133	95 1953	81	36	91.72443416-De 89.1922532022.1				1214	104	2200	1700 1700	- 0		0 (
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48	47 330	1541	112	22	50.167881 12-6-5				442	1563	1654	2339	- 0		0 0			0 0
50	48 74	44	00	21	85.528679/3/4/23				140	65	3312	2342	0		0	_		0 0
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53	501432	1030	100	34	96.72328229/01/2	2023				1064	3312	2342	0		0 (0 0.0802823554758887
- 	51 233	1766	137	26	90.921455			2022-12-29		1792	3312	2342	0		0 (0 0.0410898969227378
54	52 233	1848	138	20	96.509483			2022-12-30		1868	3312	2342	0		0 (0 0.058716647853726
53	53 233		134	34	88.367561 2022-1	12-31.				1959	3312	2342	0			0 0	a	0 0.0824653870234039
**															1			

S		T	U	V	W	X	Y	Z	AA	AB AC	AD
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	0	0.494869189709518	0	0.151753325272068	0		0.52883064020940	0	0	020.jpeg	
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	0	0.184538106277025			0			0	0		
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	0	0.137243047158404			0			0	0	0,20.jpeg	
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	0	0.113434800791966			0			0.0829424219919789	0	018.jpeg	-
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	0	0			0.133529411764706	0.16413338284877		0		04.jpeg	
0.04667030920	000004	0.247174159075415	0.2411182995998	0	0			0.757865188708613	0	04.jpeg	
	0	0			0.133529411764706	0.16413338284877		0	0	04.jpeg	-
	0	0.100362756952842			0			0.116017442116524	0		
	0	0.104253981295133			0			0.100650101622661	0		
	0	0.0961315432080582	0		0			0.112486099139547	0	017.jpeg	
	0	0.104253981295133			0			0.100650101622661	0	017.jpeg	
	0	0	0.270936372672721		0		0	0	0	05.jpeg	
	0	0	0.290551001150363	0	0	0	0	0	0	05.jpeg	
	0	0			0			0	0	09.jpeg	
	0	0			0			0.194686486343399	0	09.jpeg	
	0	0	0	0	0		0	0	0	09.jpeg	
	0	0	0	0	0		0	0	0	03.jpeg	
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	0	0		0	0			0.0590765797891738	0		
0.07649362522	275245	0.211154559078172	0.171141344687927	0.179590252473496	0	0.0834742914533473	0	0	0	0,25.jpeg	
	0	0.451803349740062	0	0	0		0.615758663779722	0	0	0,25.jpeg	
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	0	0.162960594314003	0		0			0	0	034.jpeg	
	0	0.162960594314003	0		0		0	0	0	034.jpeg	
	0	0.100870388913715			0		0.0554565313339055	0	0	07.jpeg	
	0	0	0	0	0	0	0	0	0	07.jpeg	
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	0	0	0	0	0	0	0.550898056358818	0	0	07.jpeg	
	0	0	0	0	0		0.544287329518377	0	0	07.jpeq	

MODEL PREPERATION:

- Read this .csv dataset
- PreProcessed and normalized the dataset and added zonal info to dates

```
In [8]: def preProcess(df):
    for index in df.index:
        x = df["x"][index]
        y = df["y"][index]
        X = X/2
        Y = df["PageWidth"][index]
        Y = Y/2

        text = df["text"][index]
        date = list(datefinder.find_dates(text))[0]

        if x < X:
            df["isLeft"][index]=1
        elif x >= X:
            df["isRight"][index]=1

        elif y >Y:
            df["isBottom"][index]=1

        elif y >y:
            df["isTop"][index]=1

        elif y >> (df"isTop")[index]=1

        colsToDrop = ["Unnamed: 0", "left", "top", "width", "height", "imageName", "text", "PageHeight", "PageWidth", "df.drop(columns=colsToDrop, inplace=True)
```

· Divided this dataset into training and testing sets

Prepared a keras neural network to train on this dataset

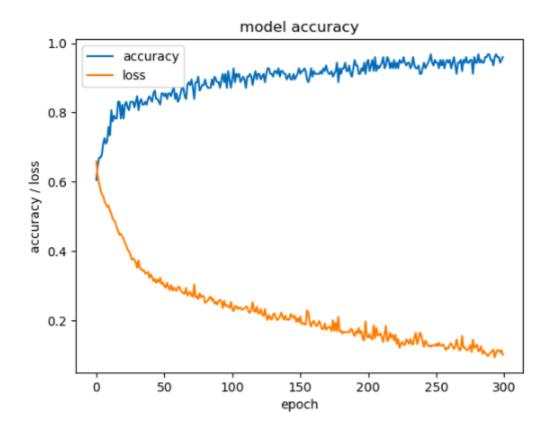
 Used loss function "binary_crossentopy" and "adam" optimizer to compile this model and trained for 300 epochs

```
In [45]: history = model.fit(xTrain, yTrain, epochs=300, batch_size=2)
   Epoch 297/300
   1.0000
   Epoch 298/300
   4.0000
   Epoch 299/300
   call: 0.9630 - true_positives: 52.0000 - true_negatives: 66.0000 - false_positives: 4.0000 - false_negatives:
   2.0000
   Epoch 300/300
   ecall: 0.9815 - true_positives: 53.0000 - true_negatives: 66.0000 - false_positives: 4.0000 - false_negatives:
   1.0000
```

• Testing accuracy came out to be 93.55%

Saved this model as .h5 for further inference

MODEL TRAINING VISUALIZATION:



INFERENCE:

- Document was read and preprocessed to fit the trained model
- Saved model was loaded
- Model was used for predictions

```
In [44]:
    def predictImage(imagePath):
        image = cv2.imread(imagePath)
        image = preProcessImage(image)
        data = pytesseract.image to_data(image, output_type=Output.DATAFRAME)
        data.dropna(implace=True)
        data.dropna(implace=True)
        data.dropna(columns=["index"], implace=True)
        processDatafotata)
        df = pd.DataFrame(data)
        preProcessDataFrame(dff, image)
        dateDF, dates = extractDateDataFrame(df)
        addNeighbours(df)
        indexes = findDateDF(dates, dateDF)
        resultDF = df.copy()
        dropIndexes(indexes, resultDF)
        resultDF.reset_index(implace=True)
        texts = []
        inputs = []
        for index in resultDF.index:
            texts.append(resultDF["text"][index])
            inputs.append(resultDF["text"][index])
            inputs.append(resultDF["text"][i
```

```
In [43]:
    result = predictPdf(pdfPath)
    dates = list(datefinder.find_dates(result, strict=True))
    if len(dates)==0:
        clear_output(wait=True)
        print("Sorry, invoice date not found")
    else:
        date = dates[0]
        day = date.day
        month = date.month
        year = date.year
        inv0ate = str(day) + "/" + str(month) + "/" + str(year)
        clear_output(wait=True)
        print("Invoice Date : " + invDate)
```

Invoice Date : 28/9/202

• Model was used on a folder on 50 images to test its performance.

```
In [52]: times = []
              progress = []
              for image in sorted(imagesDir):
    print(image)
                    print(image)
L = []
start = time.time()
result = predictImage(image)
end = time.time()
                     if result == None:
dates = []
                     else:
                           dates = list(datefinder.find_dates(result, strict=True))
                     if len(dates)==0:
    L.append("Sorry, invoice date not found")
                           date = dates[0]
                           day = date.day
month = date.month
                           year = date.year
invDate = str(day) + "/" + str(month) + "/" + str(year)
print("Invoice Date : " + invDate)
                           L.append(invDate)
                     times.append(end-start)
                     L.append(end-start)
                     progress.append(L)
              clear output(wait=True)
              number = 0
               for L in progress:
                     invDate = L[\theta]
                     T = L[1]
                    r = L[]
print("1)\n")
print("Invoice Date : " + str(invDate))
print("Time Taken : " + str(T))
print("\n")
              print("\n\n")
print("Max Time Taken : " + str(max(times)))
print("Min Time Taken : " + str(min(times)))
print("Avg Time Taken : " + str(sum(times)/len(times)))
```

• Output was as follows

```
Max Time Taken : 7.416690826416016
Min Time Taken : 0.6528520584106445
Avg Time Taken : 3.2954273043938405
```

A benchmark was prepared for monitoring CPU and RAM usage.

```
In [68]: inferenceTime, maxCPU, minCPU, maxMemory, minMemory = benchmark()

clear_output(wait=True)

print("TIME TAKEN : ", inferenceTime, "SEC")
print("MAX CPU : ", maxCPU, "%")
print("MAX MEMORY : ", maxMemory/(1024*1024), "MB")
print("MIN MEMORY : ", minMemory//(1024*1024), "MB")

| TIME TAKEN : 5.41I151170730591 SEC
MAX CPU : 9.36 %
MAX MEMORY : 750.0 MB
MIN MEMORY : 625.0 MB
```