

Bayesian coalescent inference of population size history

Alexei Drummond
Center for Computational Evolution
University of Auckland

Taming the BEAST in the South Pacific
Waiheke Island, New Zealand, 2017

Waitangi Day, 6th February 2017

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

Coalescent theory

The coalescent

Data: a **small genetic sample** from a **large background population**.

The coalescent

- ▶ is a model of the ancestral relationships of a sample of individuals taken from a larger population.
- ▶ describes a probability distribution on ancestral genealogies (trees) given a population history, $N(t)$.
 - ▶ Therefore the coalescent can convert information from ancestral genealogies into information about population history and vice versa.
- ▶ a model of ancestral genealogies, not sequences, and its simplest form assumes neutral evolution.
- ▶ can be thought of as a prior on the tree, in a Bayesian setting.

Coalescent theory

Hepatitis C in Egypt

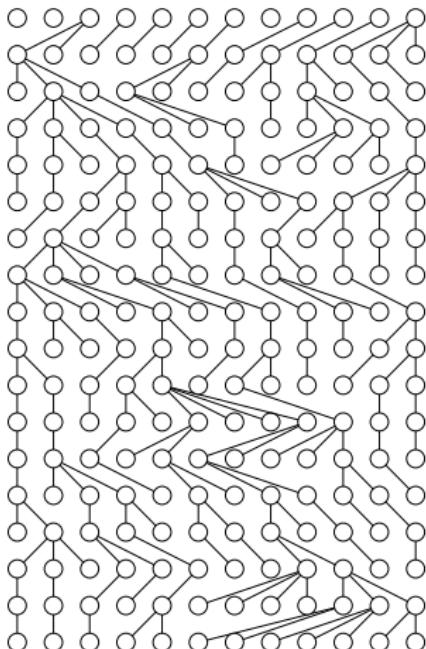
Bayesian skyline plot

Theoretical population genetics

Most of theoretical population genetics is based on the idealized Wright-Fisher model of population which assumes

- ▶ Constant population size N
- ▶ Discrete generations
- ▶ Complete mixing

For the purposes of this presentation the population will be assumed to be haploid, as is the case for many pathogens.



Coalescent theory

Hepatitis C in Egypt

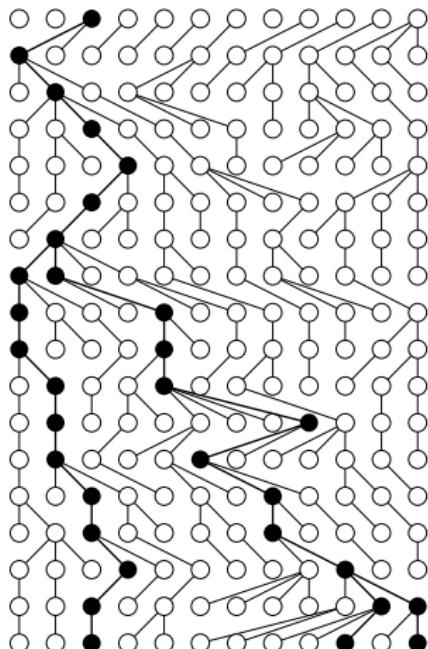
Bayesian skyline plot

Kingman's n-coalescent

Consider tracing the ancestry of a sample of k individuals from the present, back into the past.

This process eventually *coalesces* to a single common ancestor (*concestor*) of the sample of individuals.

Kingman's n-coalescent describes the statistical properties of such an ancestry when k is small compared to the total population size N .



Coalescent theory

Hepatitis C in Egypt

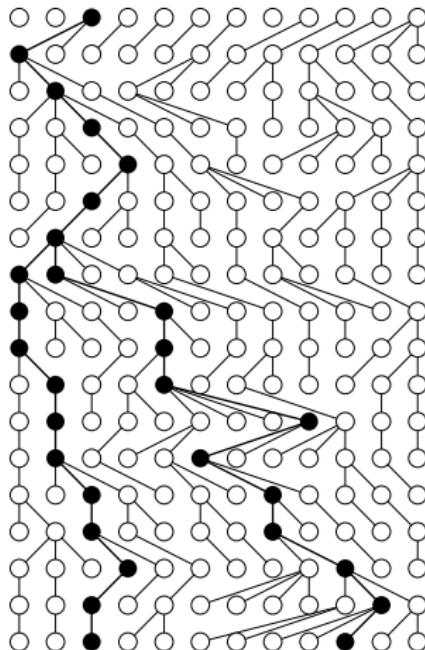
Bayesian skyline plot

The coalescence of two ancestral lineages

- ▶ First, consider two random members from a population of fixed size N .
- ▶ By perfect mixing, the probability they share a *ancestor* in the previous generation is $1/N$.
- ▶ The probability the ancestor is t generations back is

$$\Pr\{t\} = \frac{1}{N} \left(1 - \frac{1}{N}\right)^{t-1}.$$

- ▶ It follows that $g = t - 1$, has a geometric distribution with a success rate of $\lambda = 1/N$, and so has mean N and variance of $N^3/(N - 1)$.



[Coalescent theory](#)

[Hepatitis C in Egypt](#)

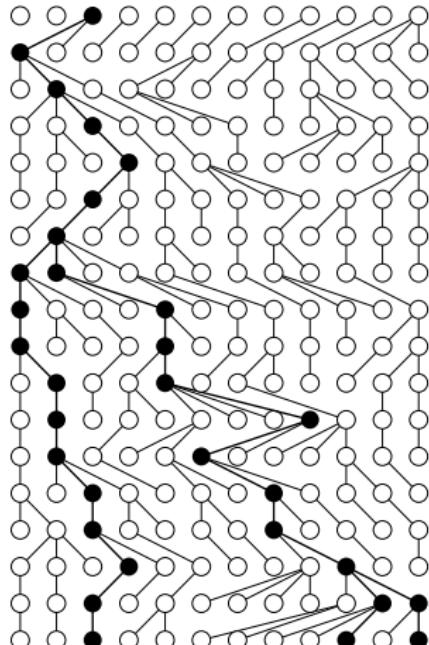
[Bayesian skyline plot](#)

The coalescence of k lineages

With k lineages the time to the first coalescence is derived in the same way, only now there are $\binom{k}{2}$ possible pairs that may coalesce, resulting in a success rate of $\lambda = \binom{k}{2}/N$ and mean time to first coalescence (t_k) of

$$E[t_k] = \frac{N}{\binom{k}{2}}.$$

This implicitly assumes that N is much larger than $O(k^2)$, so that the probability of two coalescent events in the same generation is small.



Coalescent theory

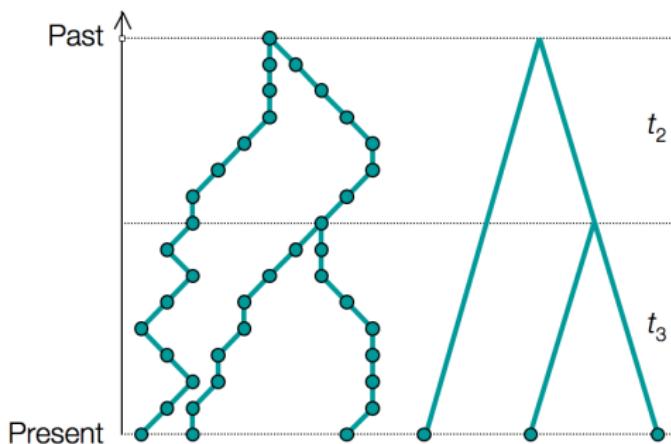
Hepatitis C in Egypt

Bayesian skyline plot

The coalescent likelihood for a genealogy

For a genealogy with known coalescent times $t = \{t_2, t_3, \dots, t_n\}$ we can write the likelihood:

$$f(t|N) = \frac{1}{N^{n-1}} \prod_{k=2}^n \exp \left(-\frac{\binom{k}{2} t_k}{N} \right).$$



Coalescent theory

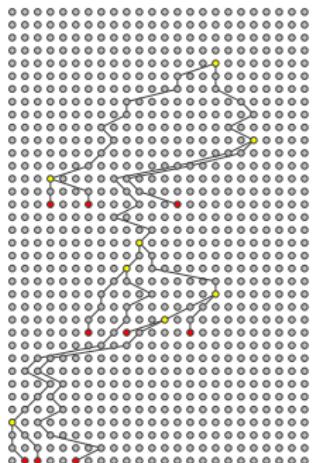
Hepatitis C in Egypt

Bayesian skyline plot

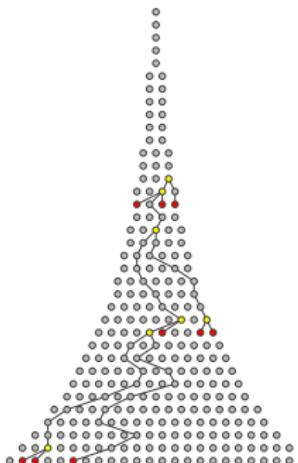
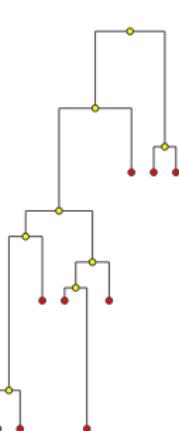
The coalescent with serial samples

Many epidemiological agents, like **RNA viruses**, evolve very rapidly, so that the effect of sampling the population at different times becomes important. **Ancient DNA** also requires care handling of sampling times.

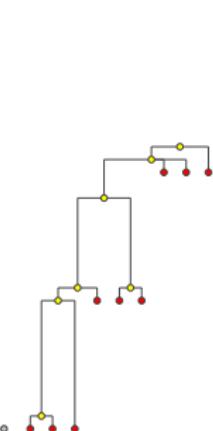
Coalescent theory
Hepatitis C in Egypt
Bayesian skyline plot



Constant size



Exponential growth

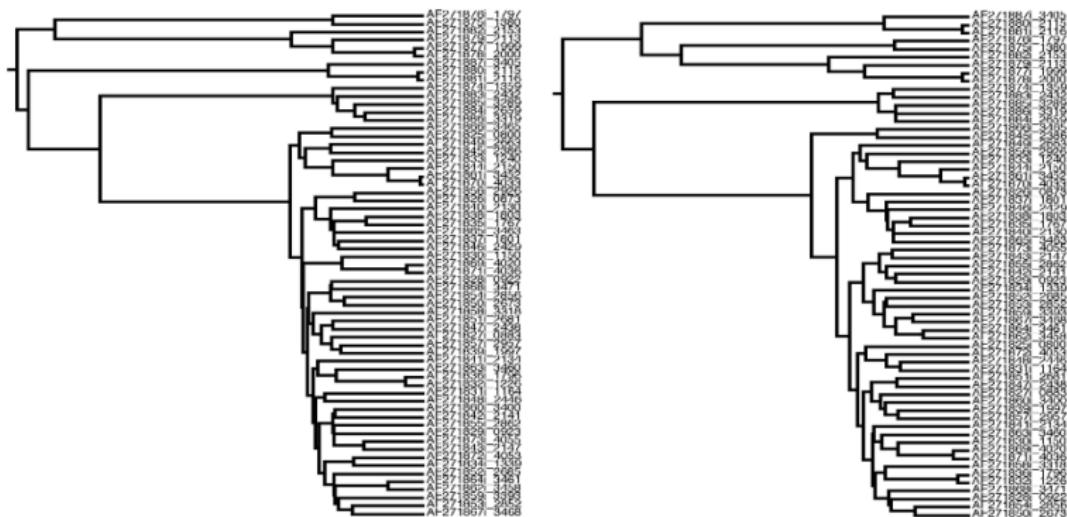


Bayesian integration of uncertainty in genealogies

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot



How similar are these two trees? Both of them are plausible given the data. We can use Bayesian Markov-chain Monte Carlo to average the coalescent over all plausible trees.

Hepatitis C in Egypt

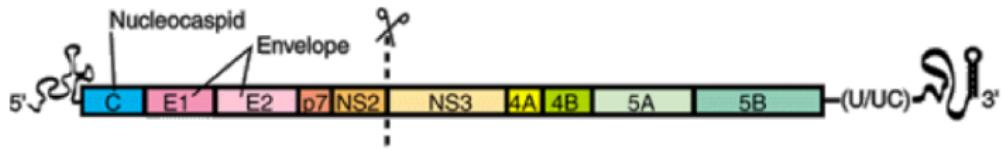
Hepatitis C in Egypt

A case study of the coalescent approach to molecular epidemiology

Coalescent theory

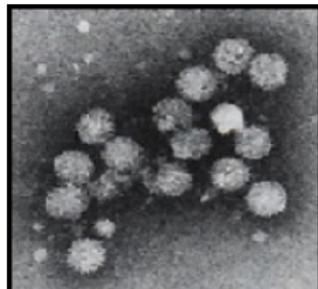
Hepatitis C in Egypt

Bayesian skyline plot



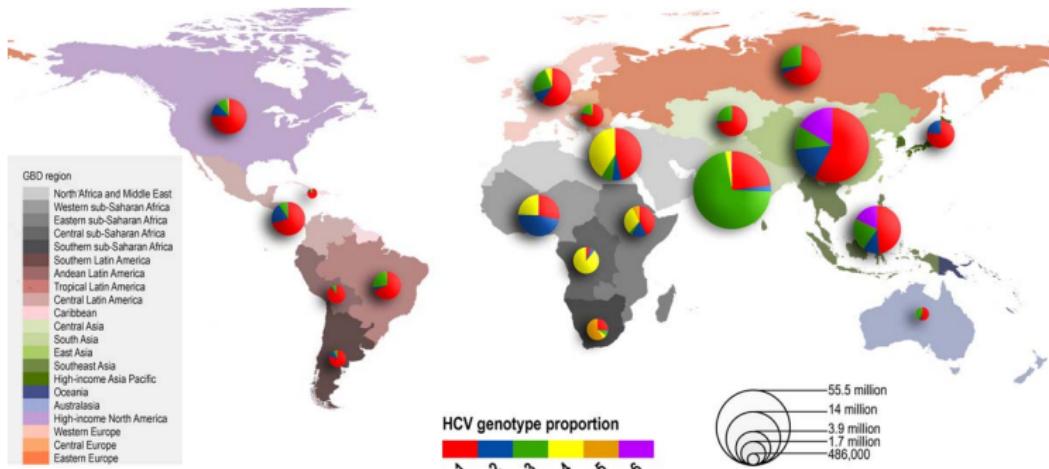
Hepatitis C (HCV)

- ▶ Identified in 1989
- ▶ 9.6kb single-stranded RNA genome
- ▶ Polyprotein cleaved by proteases
- ▶ Tissue culture system only recently developed



How important is Hepatitis C?

> 185 million people infected worldwide



- ~80% infections are chronic
- Liver cirrhosis and cancer risk
- 10,000 deaths per year in USA
- No protective immunity?

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

HCV Transmission

[Coalescent theory](#)[Hepatitis C in Egypt](#)[Bayesian skyline plot](#)

By percutaneous exposure to infected blood

- ▶ Blood transfusion / blood products
- ▶ Injecting and nasal drug use
- ▶ Sexual and vertical transmission
- ▶ Unsafe injections
- ▶ Unidentified routes



Coalescent population inference of HCV

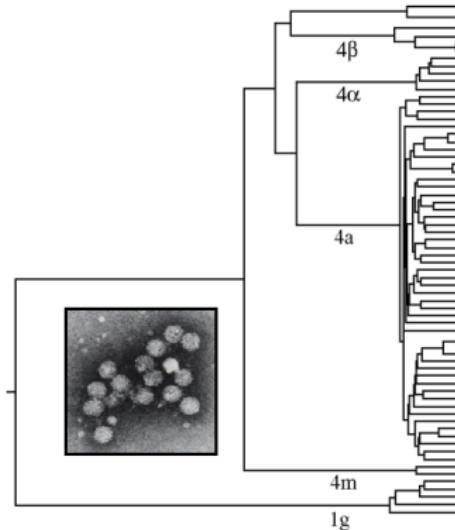
Pybus *et al* (2003) *Molecular Biology and Evolution*

Egyptian HCV gene sequences

n=61

E1 gene, 411bp

- ▶ All sequence contemporaneous
- ▶ Egypt has highest prevalence of HCV worldwide (10-20%)
- ▶ But low prevalence in neighbouring states
- ▶ Why is Egypt so seriously affected?
- ▶ Parenteral antischistosomal therapy (PAT)?



Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

Demographic model for Hepatitis C in Egypt

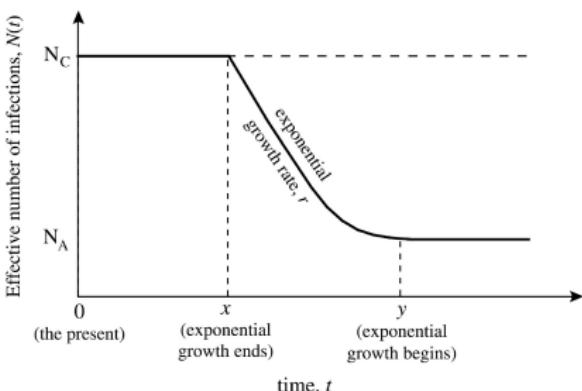
[Coalescent theory](#)

[Hepatitis C in Egypt](#)

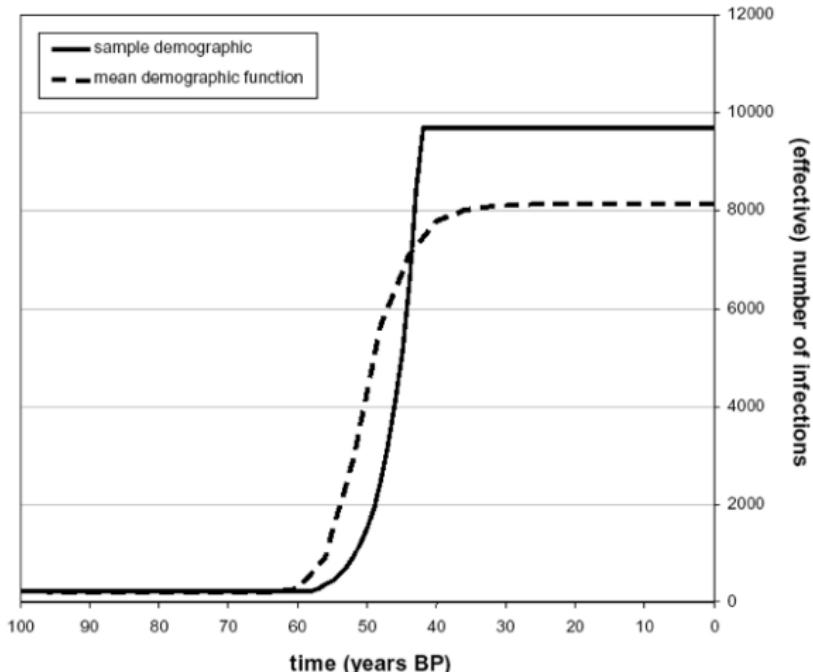
[Bayesian skyline plot](#)

- ▶ The coalescent can be extended to model any integrable function of varying population size.
- ▶ The model we used was a const-exp-const model.
- ▶ A Bayesian MCMC method was developed to sample the gene genealogy, the substitution model and demographic function simultaneously.

$$N(t) = \begin{cases} N_c & \text{if } t \leq x \\ N_c e^{-r(t-x)} & \text{if } x < t < y \\ N_A & \text{if } t \geq y \end{cases}$$



Estimated population history of HCV in Egypt



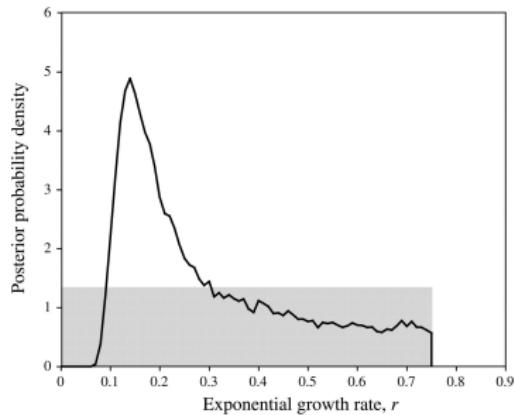
Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

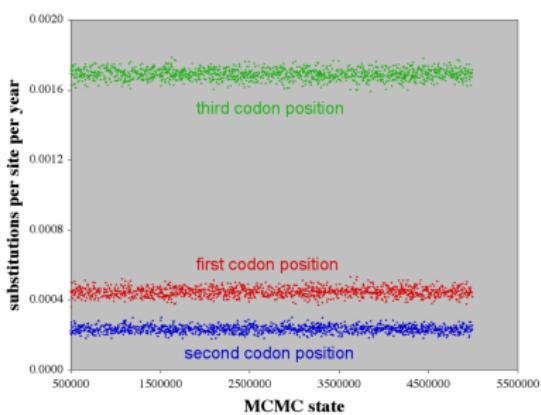
Uncertainty in parameter estimates

Demographic parameters



Growth rate of the growth phase
Grey box is the prior

Mutational parameters



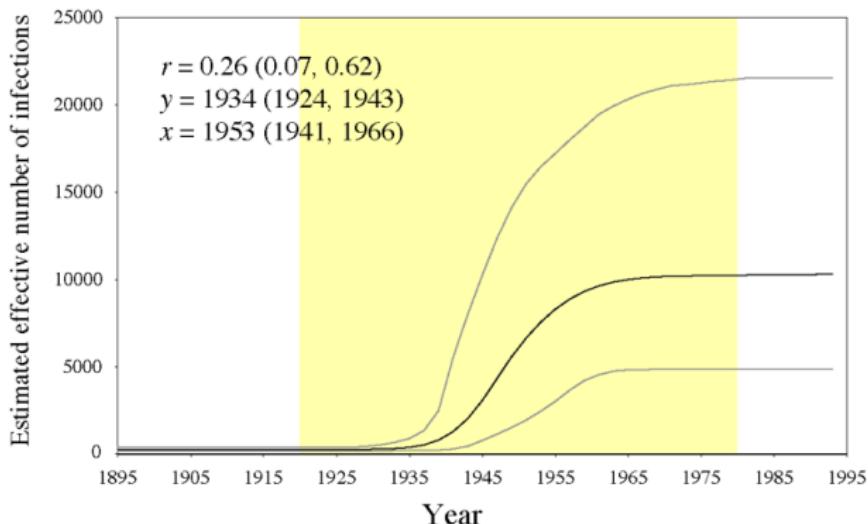
Rates at different codon positions,
All significantly different

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

Full Bayesian Estimation



Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

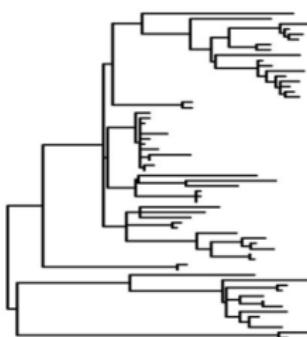
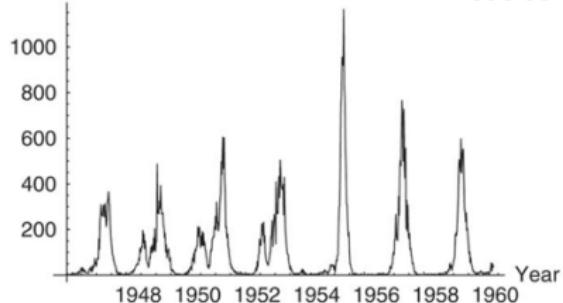
- ▶ Marginalized over uncertainty in genealogy and mutational processes
- ▶ Yellow band represents time over which PAT was employed in Egypt

Bayesian skyline plot

Virus Phylodynamics

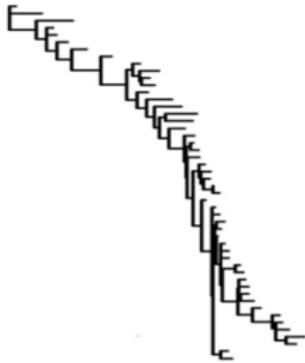
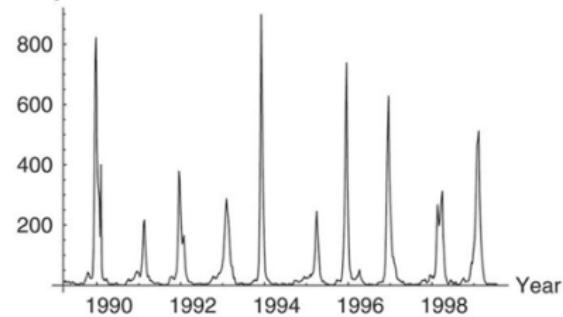
Weekly Cases

Measles virus



Weekly Cases

Human influenza virus

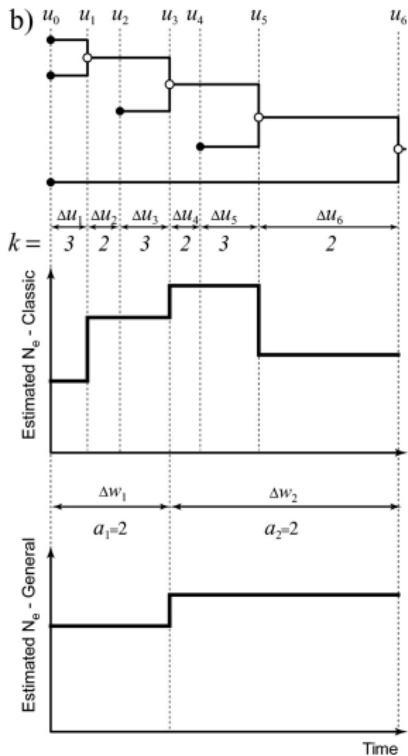
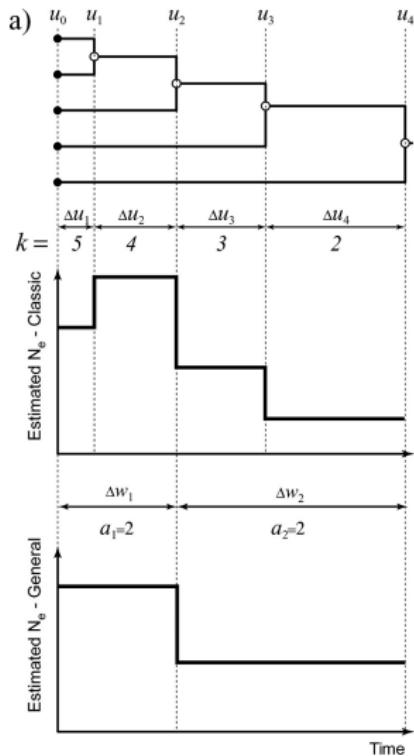


Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

“Skyline” coalescent model



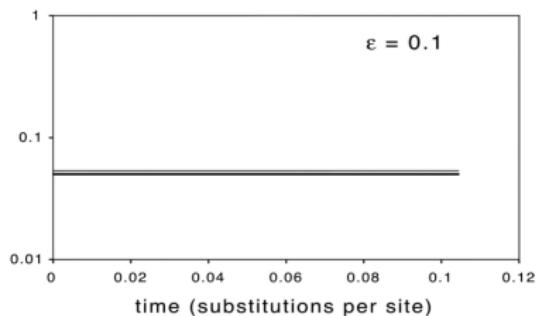
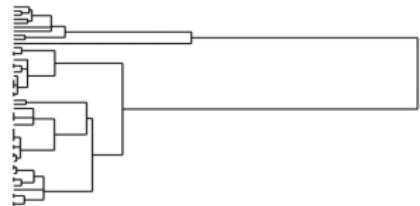
Coalescent theory

Hepatitis C in Egypt

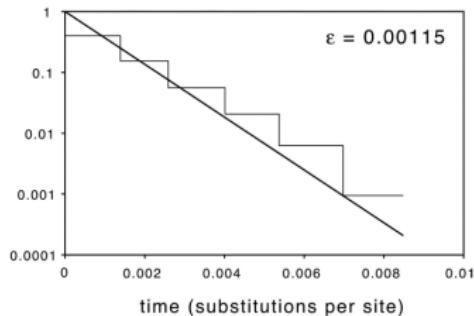
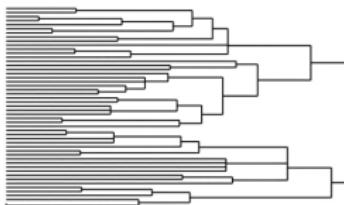
Bayesian skyline plot

The generalized skyline plot - simulated data

Constant population size,
 $N(t) = N_0$



Exponential growth,
 $N(t) = N_0 e^{-rt}$



Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

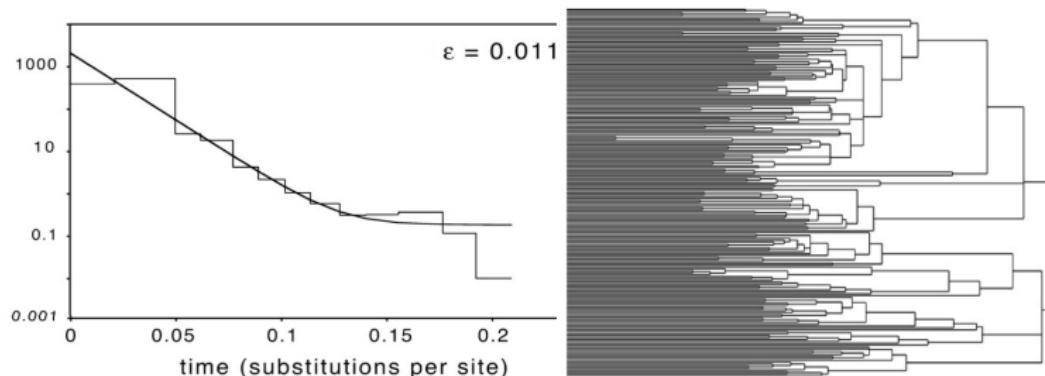
The generalized skyline plot - HIV-1 group M

[Coalescent theory](#)[Hepatitis C in Egypt](#)[Bayesian skyline plot](#)

The tree used here was estimated in Yusim *et al* (2001) *Phil. Trans. Roy. Soc. Lond. B* **356**:855-866.

The black curve is a parametric coalescent estimate obtained from the same data under the expansion model,

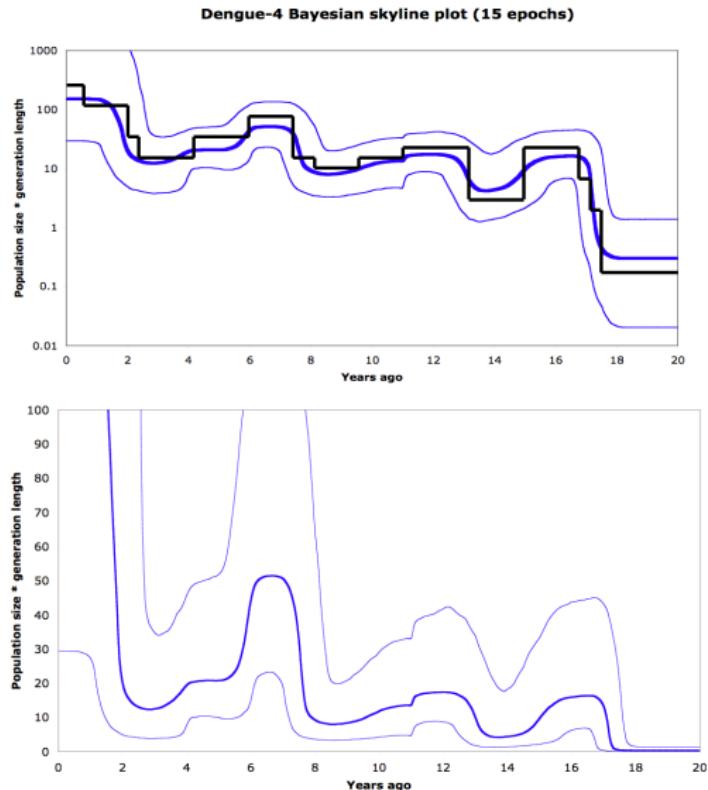
$$N(t) = (N_0 - N_A)e^{-rt} + N_A$$



The Bayesian skyline plot

Drummond *et al* (2005) *Molecular Biology and Evolution*

The Bayesian skyline plot estimates a demographic function that has a certain fixed number of steps (in this example 15) and then integrates over all possible positions of the break points, and population sizes within each epoch.



Coalescent theory

Hepatitis C in Egypt

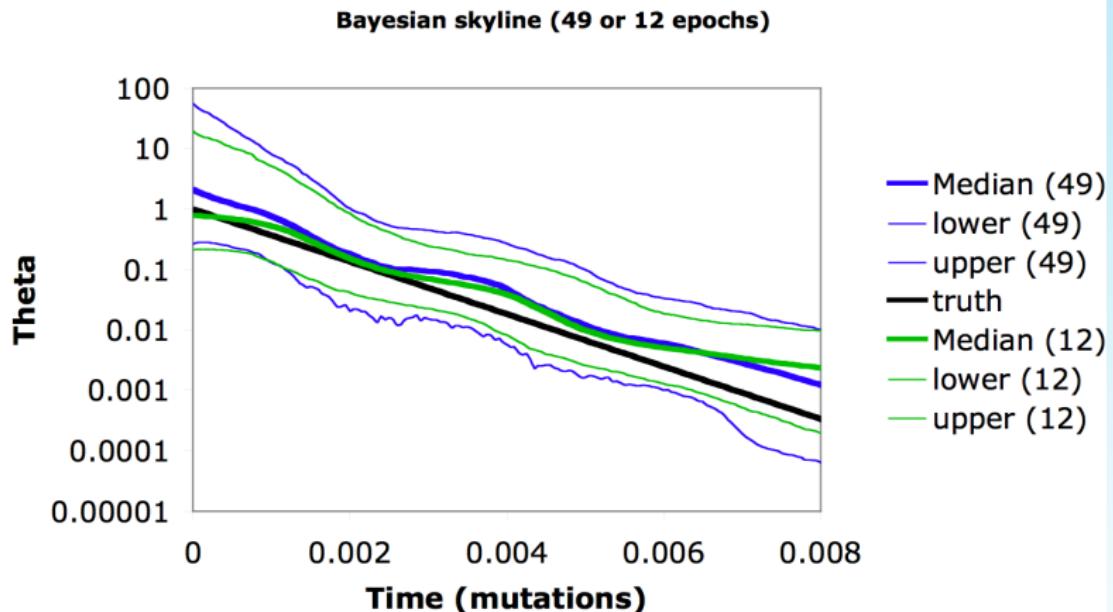
Bayesian skyline plot

Validating the Bayesian skyline plot

Coalescent theory

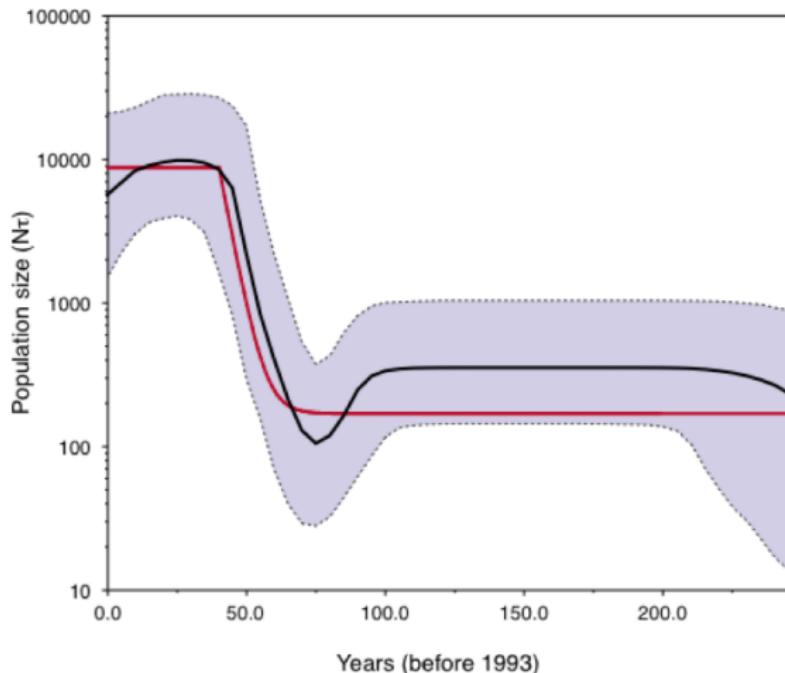
Hepatitis C in Egypt

Bayesian skyline plot



Comparison of BSP to parametric coalescent

Hepatitis C in Egypt



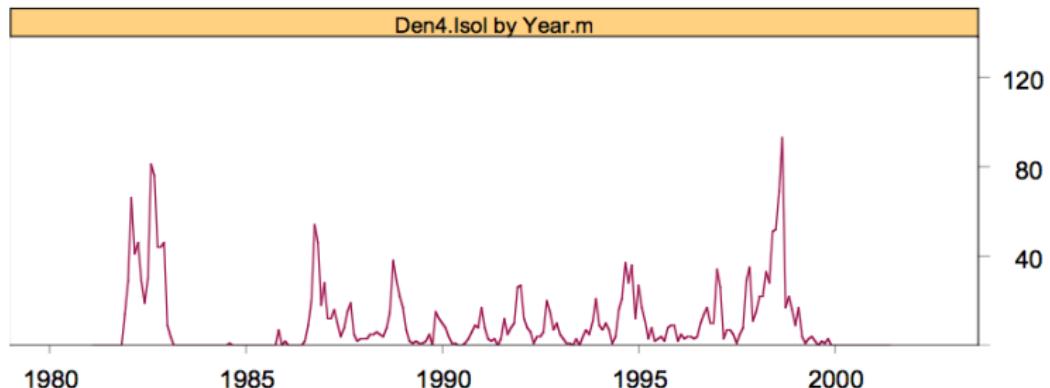
Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

Modeling complex demographic history

Dengue 4 in Puerto Rico



- ▶ $N(t) = N_0 \exp(-rt)$
 - ▶ log marginal likelihood = -10566.421
- ▶ $N(t) = \text{scaled-translated case data}$
 - ▶ log marginal likelihood = -10478.572

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

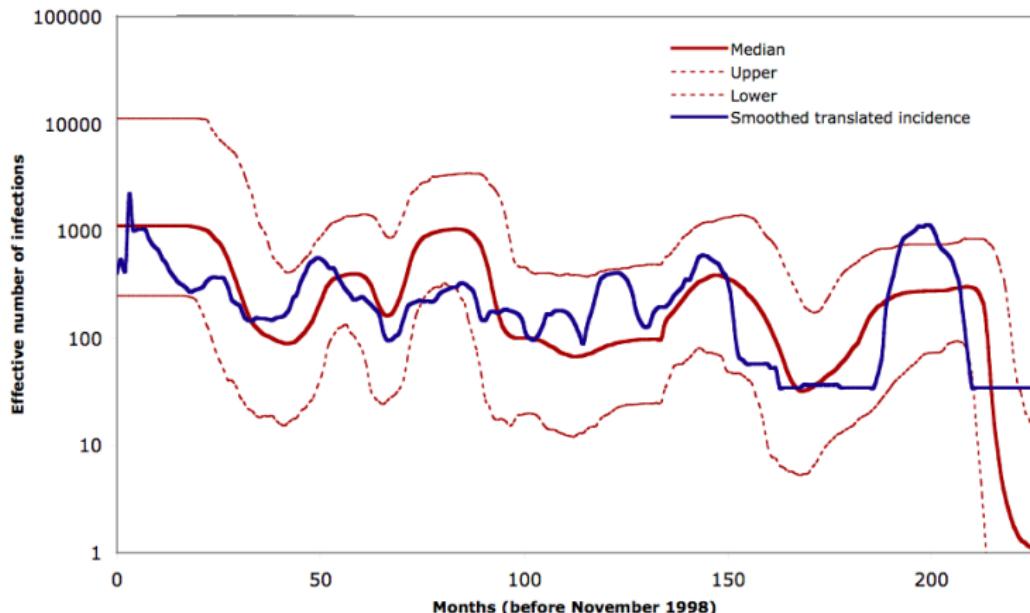
Comparing BSP to incidence data

Dengue 4 in Puerto Rico

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot



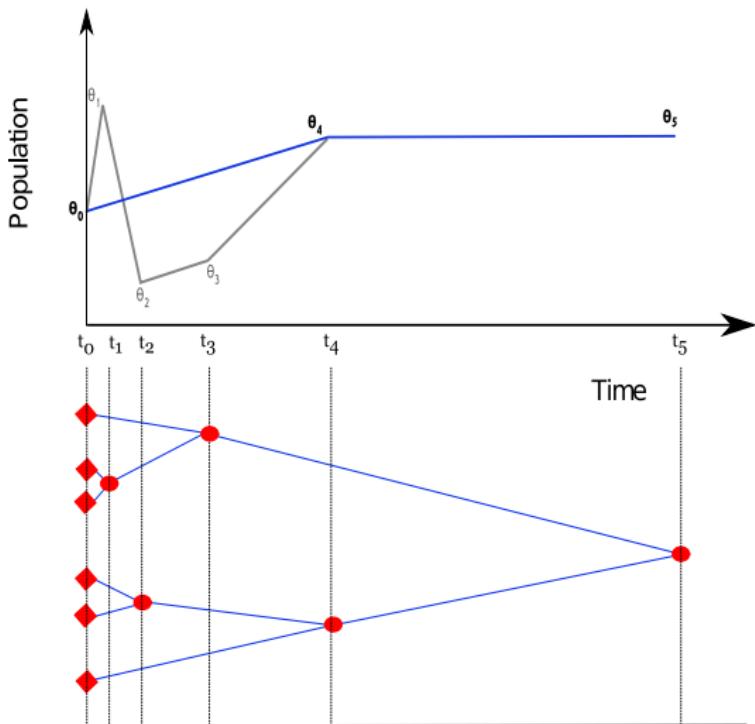
Extended BSP: Stochastic Variable Selection

Heled and Drummond (2008)

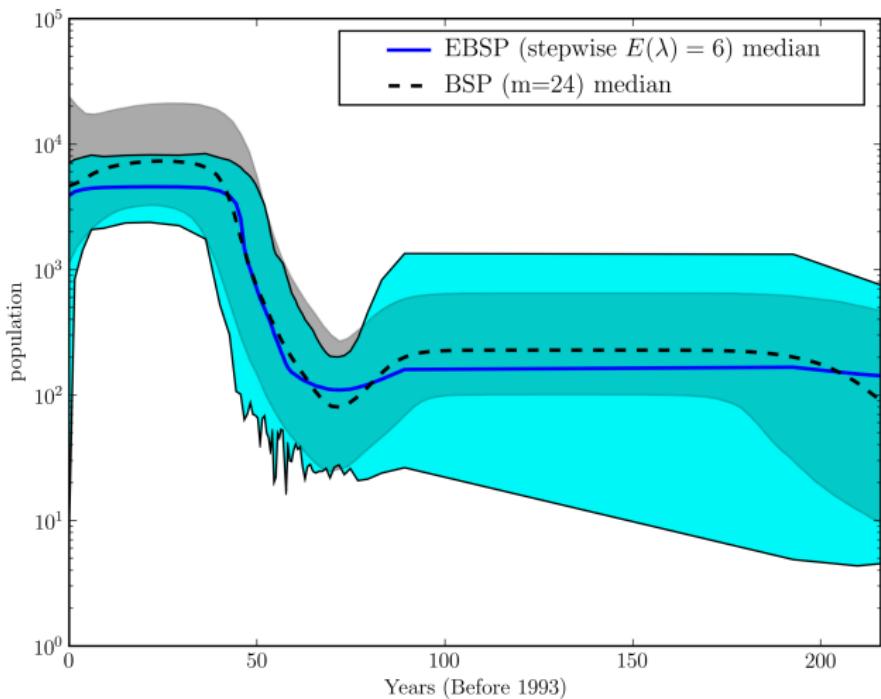
Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot



Comparison of EBSP with BSP on Egypt HCV



Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

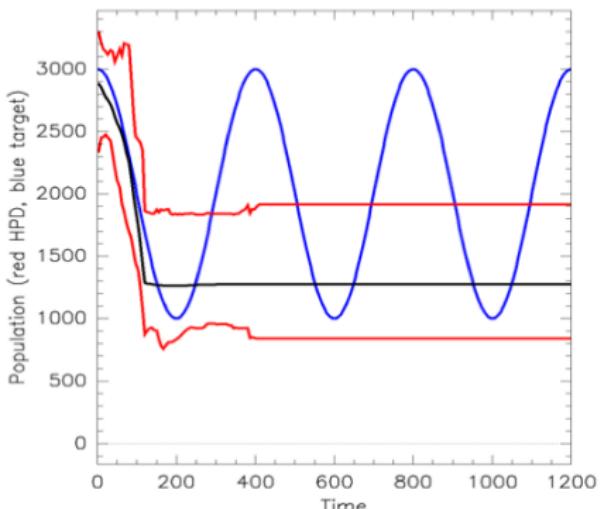
Detecting evolutionary bottlenecks using EBSP

Coalescent theory

Hepatitis C in Egypt

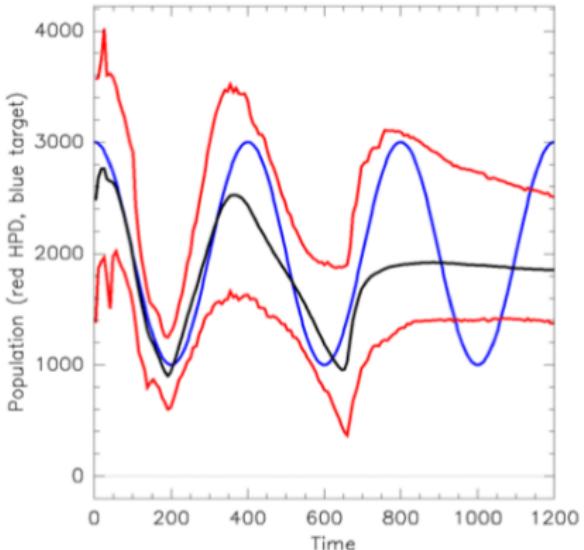
Bayesian skyline plot

480 contemporaneous samples from a single locus



Detecting evolutionary bottlenecks using EBSP

16 contemporaneous samples from each of 32 loci



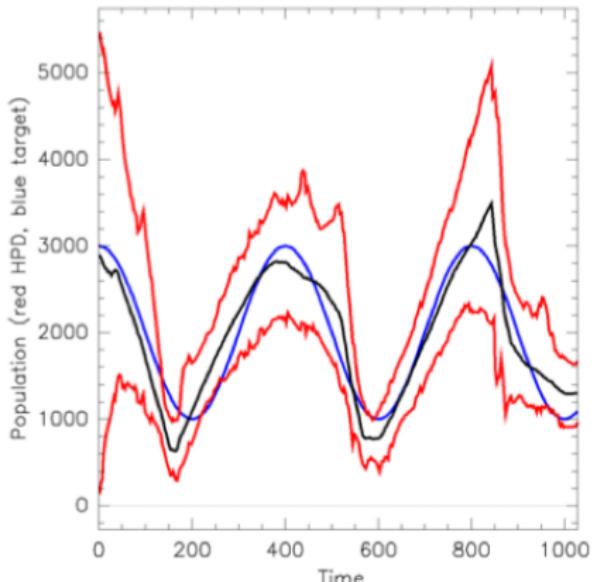
Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

Detecting evolutionary bottlenecks using EBSP

480 samples sampled through time from a single locus



Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

The population genetic dynamics of Influenza A

Rambaut et al (2008) *Nature* 453:615-620

Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

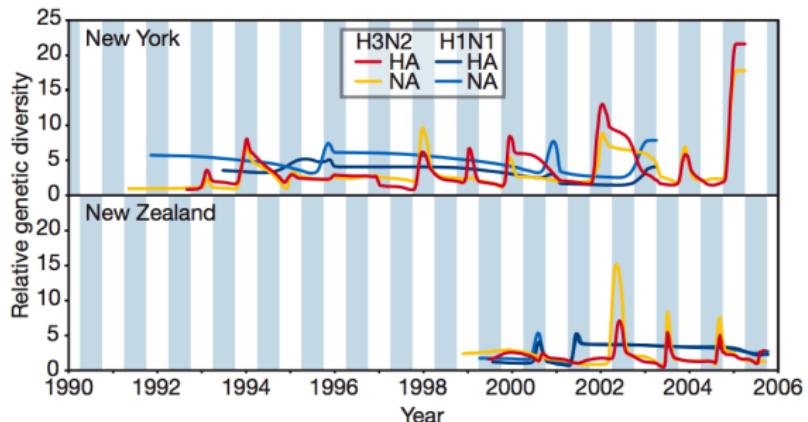


Figure 1 | Population dynamics of genetic diversity in influenza A virus.

Bayesian skyline plots of the HA and NA segments for the A/H3N2 and A/H1N1 subtypes in New York state (top) and New Zealand (bottom). The horizontal shaded blocks represent the winter seasons. The y-axes represent a measure of relative genetic diversity (see Methods for details). The shorter timescale of New Zealand skyline plot is due to the shorter sampling period.

Conclusions

- ▶ Coalescent theory provides a mathematical framework for accurately modelling small genetic samples and the stochastic outcomes of genetic drift due to random mating.
- ▶ Coalescent theory provides backward probabilities for population parameters.
- ▶ In most implementations the coalescent does not directly model small populations or stochastically fluctuating populations.
- ▶ Coalescent theory can be extended to:
 - ▶ non-parametric fluctuating populations
 - ▶ structured populations
 - ▶ gene conversion

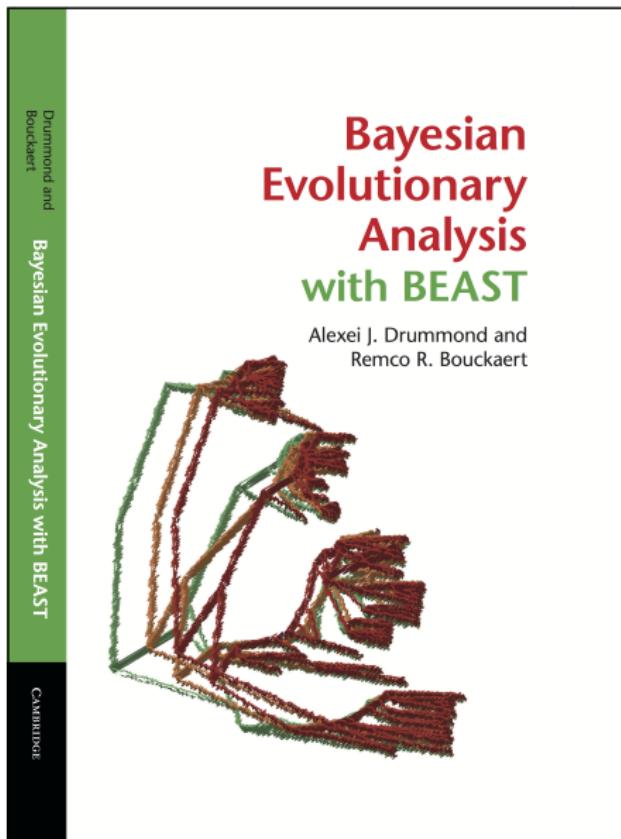
Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot

BEAST book

theory / practice / programming



Coalescent theory

Hepatitis C in Egypt

Bayesian skyline plot