

CURTIN UNIVERSITY

School of Electrical Engineering, Computing, and Mathematical Sciences

Discipline of Computing

## Test 1 – Semester 1 2019

**SUBJECT:** Design and Analysis of Algorithm

COMP3001

**TIME ALLOWED:**

55 minutes test. The supervisor will indicate when answering may commence.

**AIDS ALLOWED:**

To be supplied by the Candidate: Nil  
To be supplied by the University: Nil  
Calculators are NOT allowed.

**GENERAL INSTRUCTIONS:**

This paper consists of Two (2) questions with a total of 50 marks.

**ATTEMPT ALL QUESTIONS**

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Tutorial Time/Tutor: Kai

**QUESTION ONE (24 marks)**

a) **(Total: 6 marks).** Consider  $T(n) = n^3 - 2n^2 + 3n - 4$ . Prove that  $T(n) = \Theta(n^3)$ .

**Answer:**

Big-O

$$0 \leq f(n) \leq cg(n)$$

$$0 \leq n^3 - 2n^2 + 3n - 4 \leq cn^3$$

$$0 \leq n^3 - 2n^2 + 3n - 4 \leq n^3 + 3n^3 \leq 4n^3$$

$$4n^3 \leq cn^3$$

$$c \geq 4, n \geq 2$$

Big omega

$$0 \leq cg(n) \leq f(n)$$

$$0 \leq cn^3 \leq n^3 - 2n^2 + 3n - 4$$

$$0 \leq c \leq 1 - \frac{2}{n} + \frac{3}{n^2} - \frac{4}{n^3}$$

$$c \leq \frac{1}{4}, n \geq 2$$

$$1 - 1 + \frac{3}{4} - \frac{4}{8} \rightarrow \frac{1}{4} - \frac{1}{2} = -\frac{1}{4}$$

$$\Theta(n^3) \text{ when } c_1 = \frac{1}{4}, c_2 = 4, n \geq 2$$

b) **(6 marks).** Prove by induction that for  $n \geq 1$ ,

$$\sum_{i=1}^n i(i+1) = n(n+1)(n+2)/3$$

**Note:**  $\sum_{i=1}^n i(i+1) = 1 \cdot 2 + 2 \cdot 3 + 3 \cdot 4 + \dots + n(n+1)$

**Answer:**

Base case  $n=1 \rightarrow 1(1+1) = 2 \rightarrow \text{LHS}$

$\text{LHS} = \text{RHS}$

$$\frac{1(1+1)(1+2)}{3} = 2 \text{ RHS}$$

Induction step

If 1 to  $k$  true then 1 to  $k+1$  true

$$\text{LHS} = \frac{k(k+1)(k+2)}{3} + (k+1)(k+2) = \frac{k(k+1)(k+2) + 3(k+1)(k+2)}{3} = \frac{(k+1)(k+2)(k+3)}{3} = \text{RHS}$$

c) **(Total: 6 marks).** Consider the following recursive function.

```

AAA (n)
  if n = 0 then
    return 0
  else
    return 2n + AAA(n - 1) - 1

```

- (i) **(4 marks).** What is the output of the algorithm for  $n = 4$ ? Show your detailed calculation.
- (ii) **(2 marks).** Give the recurrence function of the algorithm in terms of  $n$ . Explain your answer.

**Answer:**

(i)  $n = 4$

iteration 1

$$4(2) + AAA(3) - 1 = AAA(3) + 7$$

iteration 2

$$6 + AAA(2) - 1 + 7 = AAA(2) + 12$$

iteration 3

$$4 + AAA(1) - 1 + 12 = 15 + AAA(1)$$

iteration 4

$$2 + AAA(1) - 1 + 15 = 16 + \cancel{AAA(0)} = 16 \quad \#$$

(ii)

$$T(n) = T(n-1) + 2n - 1$$

recursive

because the function reduce input by 1

and outside it add  $2n - 1$

d) **(Total: 6 marks).** Consider recurrence function  $T(n) = T(n-1) + 1$ .

(i) **(2 marks).** Use a recurrence tree to guess the asymptotic upper bound time complexity of the algorithm.

(ii) **(4 marks).** Use induction to show that your guess is correct.

**Answer:**

(i) Recurrence tree

$$T(n) = T(n-1) + 1$$



so it is  $O(n)$  ✓

(ii) Induction proof.

$$T(x) \leq c^x \quad \text{when } x = n-1$$

$$T(n) \leq c(n-1) + 1$$

$$T(n) \leq cn - c + 1$$

$$\therefore c \geq 1 \text{ and } n \geq 2$$

base case

$$\text{when } n=1$$

$$T(1) = T(0) + 1 = 1 \leq c(1) \quad \text{True}$$

$$c \geq 1 \quad \checkmark$$

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**END OF QUESTION ONE**

**QUESTION TWO (Total: 26 marks).**

- a) **(Total: 9 marks).** Consider the following recursive **MERGESORT** algorithm and **MERGE** algorithm to merge two sorted sub-arrays.

**MERGESORT**( $A, l, r$ )

**Input :** an array  $A$  in the range 1 to  $n$ .

**Output:** Sorted array  $A$ .

```
if  $l < r$ 
  then  $q \leftarrow \lfloor (l+r)/2 \rfloor$ 
      MERGESORT( $A, l, q$ )
      MERGESORT( $A, q+1, r$ )
      MERGE ( $A, l, q, r$ )
```

**MERGE**( $A, l, m, r$ )

**Inputs:** Two sorted sub-arrays  $A(l, m)$  and  $A(m+1, r)$

**Output:** Merged and sorted array  $A(l, r)$

```
 $i = 1$ 
 $j = m+1$ 
 $k = 1$ 
while ( $i \leq m$ ) and ( $j \leq r$ ) do    // check if not at end of each sub-array
  if  $A[i] \leq A[j]$  then            // check for smaller element
    TEMP[ $k++$ ] = A[ $i++$ ]
  else                            // copy smaller element
    TEMP[ $k++$ ] = A[ $j++$ ]          // into temp array
while ( $i \leq m$ ) do
  TEMP[ $k++$ ] = A[ $i++$ ]            // copy all other elements
while ( $j \leq r$ ) do               // to temp array
  TEMP[ $k++$ ] = A[ $j++$ ]
```

Suppose you are asked to modify the MERGESORT algorithm. In the modified algorithm, you divide the number of elements into **three parts**, instead of **two** in the **original** MERGESORT. Then, you merge the three sorted parts.

- (i) **(4 marks).** Write the pseudocode of the modified MERGESORT.  
**Hint.** How to merge three sorted parts?
- (ii) **(2 marks).** Give the recurrence function for the time complexity of the modified MERGESORT. Explain your answer.
- (iii) **(3 marks).** Does the modified MERGESORT has better time complexity than the original MERGESORT? Justify your answer by computing the time complexity of the modified MERGESORT.

**Answer:****(i) Modified MERGESORT**

MERGESORT( $A, l, r$ )

if  $l < r$

then  $q \leftarrow \lfloor (l+r)/3 \rfloor$

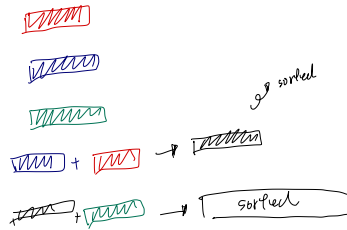
MERGESORT( $A, l, q$ )

MERGESORT( $A, q+1, 2q$ )

MERGESORT( $A, 2q+1, r$ )

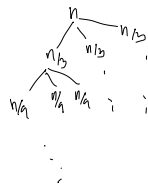
MERGE( $A, l, q, 2q$ )

MERGE( $A, l, 2q, r$ )

**(ii) Recurrence**

$$T(n) = 3T(n/3) + O(n)$$

We divide to 3 part and the method use to merge is  $O(n)$

**(iii)**

$$a = 3 \quad b = 3$$

$$n = \log_3 n = n \quad \text{base 2}$$

$$O(n \log n)$$

$\therefore$  the modify merge sort is not better (equal to original merge sort)

b) **(Total: 8 marks).** Consider the following PARTITION algorithm.

**PARTITION**( $A, l, r$ )

**Input:** Array  $A(l \dots r)$

**Output:**  $A$  and  $m$  such that  $A[i] \leq A[m]$  for all  $i \leq m$  and  $A[j] > A[m]$  for all  $j > m$

$x = A[r]$

$i = l - 1$

**for**  $j = l$  **to**  $r - 1$  **do**

**if**  $A[j] \leq x$  **then**

$i = i + 1$

        exchange  $A[i] \leftrightarrow A[j]$

exchange  $A[i] \leftrightarrow A[r]$

**return**  $i$

(i) **(4 marks).** Illustrate the operation of the algorithm on an array  $A = \langle 13, 19, 9, 5, 12, 21, 7, 4, 11, 2, 6, 8 \rangle$ .

(ii) **(2 marks).** What is the best-case lower bound time complexity of the algorithm? Why?

**You are NOT asked to formally prove your answer. A short argument is sufficient.**

(iii) **(2 marks).** What is the worst-case upper bound time complexity of the algorithm? Why?

**You are NOT asked to formally prove your answer. A short argument is sufficient.**

**Answer:**

(i)  $A = \langle 13, 19, 9, 5, 12, 21, 7, 4, 11, 2, 6, 8 \rangle$

$\underbrace{5, 19, 9, 13, 12, 21, 7, 4, 11, 2, 6, 8}$

$\underbrace{5, 7, 9, 13, 12, 21, 19, 4, 11, 2, 6, 8}$

$\underbrace{5, 7, 4, 13, 12, 21, 19, 9, 11, 2, 6, 8}$

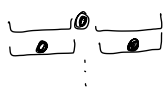
$\underbrace{5, 7, 4, 2, 12, 21, 19, 9, 11, 13, 6, 8}$

$\underbrace{5, 7, 4, 2, 6, 21, 19, 9, 11, 13, 12, 8}$


$5, 7, 4, 2, 6, 21, 19, 9, 11, 13, 12, 8$

~~8~~

(ii)

$O(n \lg n)$  when all pivot be the mid of array  
  
 where  $\bullet$  = pivot  
 $T(n) = 2T(n/2) + n$

(iii)

$O(n^2)$  when pivot always the highest value  
  
 $T(n) = T(n-1) + n$  #

- c) **(Total: 9 marks).** Let  $A$  and  $B$  be two  $n \times n$  matrices, where  $n$  is a power of 2, and  $C = A \times B$ . Using the divide and conquer method, we divide  $A$  and  $B$ , and their product  $C$  each into four  $n/2 \times n/2$  matrices, and thus  $C = A \times B$  becomes

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \times \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$$

- (i) **(3 marks).** Using the conventional matrix multiplication method, we have:

$$\begin{aligned} C_{11} &= A_{11} \times B_{11} + A_{12} \times B_{21} & C_{12} &= A_{11} \times B_{12} + A_{12} \times B_{22} \\ C_{21} &= A_{21} \times B_{11} + A_{22} \times B_{21} & C_{22} &= A_{21} \times B_{12} + A_{22} \times B_{22} \end{aligned}$$

Write the recurrence function for the time complexity of the conventional matrix multiplication method. Explain your answer.



- (ii) (3 marks). The following is the Strassen's algorithm. The recurrence of the time complexity of the Strassen's algorithm is  $T(n) = 7T(n/2) + 18(n/2)^2$ . Show that Strassen's algorithm has a time complexity of  $\Theta(n^{2.807})$

**Strassen ( $A, B$ )**

```

1   $n = \text{rows}[A]$ 
2  Let  $C$  be a new  $n \times n$  matrix
3  if  $n = 1$ 
4       $c_{11} = a_{11} \times b_{11}$ 
5  else
6       $P_1 = \text{Strassen}(A_{11}, B_{12} - B_{22})$ 
7       $P_2 = \text{Strassen}(A_{11} + A_{12}, B_{22})$ 
8       $P_3 = \text{Strassen}(A_{21} + A_{22}, B_{11})$ 
9       $P_4 = \text{Strassen}(A_{22}, B_{21} - B_{11})$ 
10      $P_5 = \text{Strassen}(A_{11} + A_{22}, B_{11} + B_{22})$ 
11      $P_6 = \text{Strassen}(A_{12} - A_{22}, B_{21} + B_{22})$ 
12      $P_7 = \text{Strassen}(A_{11} - A_{21}, B_{11} + B_{21})$ 
13      $C_{11} = P_5 + P_4 - P_2 + P_6$ 
14      $C_{12} = P_1 + P_2$ 
15      $C_{21} = P_3 + P_4$ 
16      $C_{22} = P_5 + P_1 - P_3 - P_7$ 
17 return  $C$ 

```

$$S_1 = B_{12} - B_{22} \quad S_2 = A_{11} + A_{12} \quad S_3 = A_{21} + A_{22} \quad S_4 = B_{21} - B_{11} \quad S_5 = A_{11} + A_{22} \\ S_6 = B_{11} + B_{22} \quad S_7 = A_{12} - A_{22} \quad S_8 = B_{21} + B_{22} \quad S_9 = A_{11} - A_{21} \quad S_{10} = B_{11} + B_{12}$$

$$P_1 = A_{11} \times S_1 \quad P_2 = S_2 \times B_{22} \quad P_3 = S_3 \times B_{11} \quad P_4 = A_{22} \times S_4 \\ P_5 = S_5 \times S_6 \quad P_6 = S_7 \times S_8 \quad P_7 = S_9 \times S_{10}$$

$$C_{11} = P_5 + P_4 - P_2 + P_6 \quad C_{12} = P_1 + P_2 \quad C_{21} = P_3 + P_4 \quad C_{22} = P_5 + P_1 - P_3 - P_7$$

- (iii) (3 marks). According to Strassen,  $C_{11} = P_5 + P_4 - P_2 + P_6$ . Prove its correctness.

**Answer:**

(i)

$$T(n) = 7T(n/2) + n^2/4$$

There are 8 multiply and each matrix divided by 2  
and each method we do  $(\frac{n}{2})^2$

(ii)  $T(n) = 7T(n/2) + 16(n/2)^2 \rightarrow \Theta(n^2)$

Master method

$$a = 7$$

$$b = 2$$

$$f(n) = \frac{16n^2}{4} = n^2$$

$$n^{\log_2 7} \approx n^{2.8} > n^2$$

$$f(n) < n^{\log_2 a} \rightarrow \text{case 1}$$

check polynomial

$$\frac{n^2}{n^2} \approx n^{0.8}$$

(iii)

$$E_{12} = S_5 S_6 + A_{22} S_4 - S_2 B_{22} + S_7 S_6$$

$$= (A_{11} + A_{22})(B_{11} + B_{22}) + A_{22}B_{21} - A_{22}B_{11} - B_{22}A_{11} - B_{22}A_{12} + (A_{12} - A_{22})(B_{21} + B_{22})$$

$$= \cancel{A_{11}B_{11}} + \cancel{A_{11}B_{22}} + \cancel{A_{22}B_{11}} + \cancel{A_{22}B_{22}} + \cancel{A_{22}B_{21}} - \cancel{A_{22}B_{11}} - \cancel{B_{22}A_{11}} - \cancel{B_{22}A_{12}} + \cancel{A_{12}B_{21}} + \cancel{A_{12}B_{22}} - \cancel{A_{22}B_{21}} - \cancel{A_{22}B_{22}}$$

$$E_{11} = A_{11}B_{11} + A_{12}B_{21} \quad \text{true}$$

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END OF QUESTION TWO

## Attachment

**Assume the following:**

$\lg 3 \approx 1.5$ ,  $\lg 5 \approx 2.3$ ,  $\lg 6 \approx 2.5$ ,  $\lg 7 \approx 2.8$ ,  $\lg 9 \approx 3.1$ ,  $\lg 10 \approx 3.3$ .

**Master Theorem:**

if  $T(n) = aT(n/b) + f(n)$  then

$$T(n) = \begin{cases} \Theta\left(n^{\log_b a}\right) & f(n) = O\left(n^{\log_b a - \epsilon}\right) \rightarrow f(n) < n^{\log_b a} \\ \Theta\left(n^{\log_b a} \lg n\right) & f(n) = \Theta\left(n^{\log_b a}\right) \rightarrow f(n) = n^{\log_b a} \\ \Theta(f(n)) & \begin{aligned} &f(n) = \Omega\left(n^{\log_b a + \epsilon}\right) \rightarrow f(n) > n^{\log_b a} \\ &\text{if } af(n/b) \leq cf(n) \text{ for } c < 1 \text{ and large } n \end{aligned} \end{cases}$$

**Quicksort( $A, l, r$ )**

**Input :** Unsorted Array ( $A, l, r$ ); **Output :** Sorted subarray  $A(0..r)$

**if**  $l < r$

**then**  $q \leftarrow \text{PARTITION}(A, l, r)$   
            $\text{QUICKSORT}(A, l, q-1)$   
            $\text{QUICKSORT}(A, q+1, r)$

**PARTITION( $A, l, r$ )**

**Input:** Array  $A(l \dots r)$

**Output:**  $A$  and  $m$  such that  $A[i] \leq A[m]$  for all  $i \leq m$  and  $A[j] > A[m]$  for all  $j > m$

$x = A[r]$

$i = l - 1$

**for**  $j = l$  **to**  $r - 1$  **do**

**if**  $A[j] \leq x$  **then**

$i = i + 1$

exchange  $A[i] \leftrightarrow A[j]$

exchange  $A[i] \leftrightarrow A[r]$

**return**  $i$

**SELECTION\_SORT ( $A[1, \dots, n]$ )****Input:** unsorted array  $A$       **Output:** sorted array  $A$ 

```
1. for  $i \leftarrow 1$  to  $n-1$ 
2.    $small \leftarrow i$ 
3.   for  $j \leftarrow i+1$  to  $n$  // Set small as the pointer to the smallest element in  $A[i+1..n]$ 
4.     if  $A[j] < A[small]$  then
5.        $small \leftarrow j$ 
6.    $temp \leftarrow A[small]$  // Swap  $A[i]$  and smallest
7.    $A[small] \leftarrow A[i]$ 
8.    $A[i] \leftarrow temp$ 
```

**INSERTION-SORT ( $A$ )**

```
for  $j=2$  to  $length(A)$  do
   $key = A[j]$ 
  // insert  $A[j]$  into the sorted sequence  $A[1 \dots j-1]$ 
   $i = j-1$ 
  while  $i > 0$  and  $A[i] > key$  do
     $A[i+1] = A[i]$ 
     $i = i-1$ 
   $A[i+1] = key$ 
```

**Counting-Sort ( $A, B, k$ )**

```
1  let  $C[0..k]$  be a new array // note that the index of array C starts from 0
2  for  $i = 0$  to  $k$ 
3     $C[i] = 0$ 
4  for  $j = 1$  to  $A.length$ 
5     $C[A[j]] = C[A[j]] + 1$ 
6  //  $C[i]$  now contains the number of elements equal to  $i$ 
7  for  $i = 1$  to  $k$ 
8     $C[i] = C[i] + C[i-1]$ 
9  //  $C[i]$  now contains the number of elements less than or equal to  $i$ 
10 for  $j = A.length$  downto 1
11    $B[C[A[j]]] = A[j]$ 
12    $C[A[j]] = C[A[j]] - 1$ 
```

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**END OF PAPER**