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Pseudo-Random Sequences and Arrays

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Abstract—Binary sequences of length $n=2^m-1$ whose autocorrelation function is either 1 or -1/n have been known for a long time, and are called pseudo-random (or PN) sequences, or maximal-length shift-register sequences. Two-dimensional arrays of area $n=2^{lm}-1$ with the same property have recently been found by several authors. This paper gives a simple description of such sequences and arrays and their many nice properties.

I. Introduction

SEUDO-RANDOM SEQUENCES (which are also called pseudo-noise (PN) sequences, maximal-length shift-register sequences, or m-sequences) are certain binary sequences of length $n = 2^m - 1$ (the construction is given in Section II). They have many useful properties, one of which is that their periodic autocorrelation function is given by

$$\rho(0) = 1$$
 $\rho(i) = -\frac{1}{n}$, for $1 \le i \le n - 1$ (1)

(see Section II-D and especially Fig. 9). These sequences have been known for a long time, are used in range-finding, scrambling, fault detection, modulation, synchronizing, etc., and a considerable body of literature exists. See for example [2], [13], [16], [19], [20], [24], [27], [31], [36], [43b], [55], [58], [67a], [68], [72], [74], [75], and especially Golomb

[28], [29], Kautz [42], Selmer [60], Zierler [76], [77], and [44, ch. 14].

Nevertheless they are not as widely known as they should be, especially outside of the area of communication theory—see [61], [62], [66]—and there does not seem to exist a simple, comprehensive account of their properties. The reader will find such an account in Section II of this paper.

Recently, several applications ([37], [38], [43], [59]) have called for two-dimensional arrays whose two-dimensional auto-correlation function should satisfy $\rho(0, 0) = 1$, $\rho(i, j)$ small for $(i, j) \neq (0, 0)$. We shall see in Section III that it is very easy to use pseudo-random sequences to obtain $n_1 \times n_2$ arrays with

$$\rho(0, 0) = 1 \qquad \rho(i, j) = -\frac{1}{n}, \quad \text{for } 0 \le i < n_1,$$

$$0 \le j < n_2, \quad (i, j) \ne (0, 0) \quad (2)$$

where $n = 2^m - 1 = n_1 n_2$, provided n_1 and n_2 are relatively prime. The construction is a standard one in studying product codes (see [26], [10]). For earlier work on two-dimensional arrays see Reed and Stewart [54], Spann [63], Gordon [30], Calabro and Wolf [12], Nomura et al. [48]-[51], Ikai and Kojima [40], and Imai [41]. The construction given in Section III does not seem to be mentioned in these papers, although it can be shown ([44, ch. 18]) to be equivalent to a special case of the constructions given by Nomura et al.

One of the problems considered in these papers is the construction of arrays with the window property. This means that if a window of prescribed size, say $k_1 \times k_2$, is slid over the array, each of the $2^{k_1k_2}$ - 1 possible nonzero $k_1 \times k_2$ arrays is seen through the window exactly once. (To avoid trouble at the edges, either several copies of the array are placed side by side, or alternatively the array is written on a torus.) We shall see in Section III-B and the Appendix that our arrays have the window property.

It is straightforward to generalize the construction given in Section III to obtain three and higher dimensional arrays with flat autocorrelation function; we leave the details to the reader.

To find sequences (and arrays) whose aperiodic autocorrelation function is flat is a different problem altogether—see Turyn [69] and Lindner [43c].

The outline of this paper is as follows. Section II describes pseudo-random arrays and their many nice properties and connections with other parts of mathematics. A particularly useful property is Property XIII, in Section II-K, which says that the pseudo-random sequences of length $2^m - 1$, together with the zero sequence, are isomorphic to a field with 2^m elements. Section III describes pseudo-random arrays and properties. So far everything has been binary, but in Section IV we describe pseudo-random sequences and arrays with elements taken from an alphabet of q symbols, where q is a prime power. A kind of generalized autocorrelation function of pseudo-random arrays, called the transmission function, is studied in Section V. A short summary appears in Section VI.

II. PSEUDO-RANDOM SEQUENCES

A. The Shift Register

To construct a pseudo-random sequence of length $n = 2^m - 1$, one needs a primitive polynomial h(x) of degree m. This term is defined below; for the moment we take

$$h(x) = x^4 + x + 1 \tag{3}$$

as an example of degree m=4. This polynomial specifies a feedback shift register as shown in Fig. 1. In general this is a shift register consisting of m little boxes, representing memory elements or flip-flops, each containing a 0 or 1. At each time unit the contents of the boxes are shifted one place to the right, and the boxes corresponding to the terms in h(x) are added and fed into the left-hand box. The sum is calculated mod 2, so $\rightarrow \oplus \rightarrow$ in the figures represents a mod-2 adder or EXCLUSIVE-OR gate, defined by 0+0=1+1=0, 0+1=1+0=1.

In the above example, if the register contains a_{i+3} , a_{i+2} , a_{i+1} , a_i at time i, then at time i+1 it contains

$$a_{i+4} = a_{i+1} + a_i, \quad a_{i+3}, a_{i+2}, a_{i+1}$$

as shown in Fig. 2. In other words, this feedback shift register generates an infinite sequence $a_0a_1a_2\cdots a_i\cdots$ which satisfies the recurrence

$$a_{i+4} = a_{i+1} + a_i, \quad i = 0, 1, \cdots,$$
 (4)

where + denotes addition mod 2. The shift register needs to be started up, so we must specify the initial values a_0, a_1, \dots, a_{m-1} .

Here is a more complicated example. When m = 8, we take

$$h(x) = x^8 + x^6 + x^5 + x + 1 \tag{5}$$

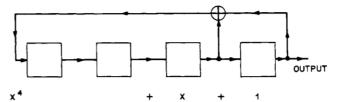


Fig. 1. Feedback shift register corresponding to $x^4 + x + 1$.

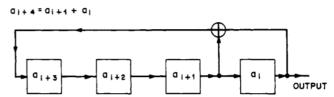


Fig. 2. The shift register specifies a recurrence relation.

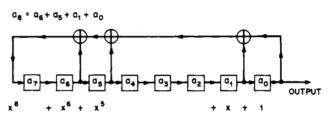


Fig. 3. Feedback shift register corresponding to $x^8 + x^6 + x^5 + x + 1$.

the shift register is shown in Fig. 3, and the output sequence satisfies the recurrence

$$a_{i+8} = a_{i+6} + a_{i+5} + a_{i+1} + a_i, \quad i = 0, 1, \dots,$$
 (6)

with initial values a_0, a_1, \dots, a_7 .

B. Pseudo-Random Sequences

Since each of the m boxes contains a 0 or 1, there are 2^m possible states for the shift register. Thus the sequence $a_0a_1a_2\cdots$ must be periodic. But the zero state $00\cdots0$ can't occur unless the sequence is all zeros. So the maximum possible period is $2^m - 1$.

We can now define a primitive polynomial h(x). This is one for which $a_0a_1a_2\cdots$ has period 2^m-1 (for some starting state). For example, Fig. 4 shows the successive states and output sequence of Fig. 1 if the initial state is 1000. (Note that the output sequence is the same as the right-hand column of the list of states. The output is equal to the parity of the binary number corresponding to the state.) Since the output sequence has period $15 = 2^4 - 1$, $x^4 + x + 1$ is a primitive polynomial. Similarly the output of Fig. 3 has period $255 = 2^8 - 1$.

We ask the reader to accept this fact: there exist primitive polynomials of degree m for every m. (The rather complicated proof can be found for example in [8] or [44, ch. 4].) Fig. 5 gives a table for $m \le 40$, sufficient to generate sequences of period up to $2^{40} - 1 \approx 10^{12}$, enough for most purposes. Fig. 5 is taken from Stahnke [64], who gives a table for $m \le 168$. Primitive polynomials of much higher degree have been found by Zierler and Brillhart [78].

It follows that if h(x) is a primitive polynomial of degree m, the shift register goes through all $2^m - 1$ distinct nonzero states before repeating, and produces an output sequence

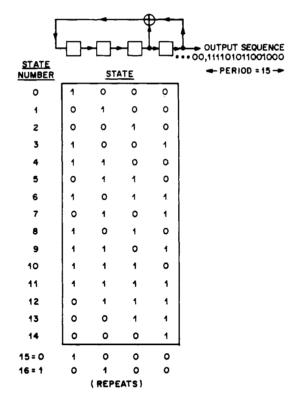


Fig. 4. Successive states and output sequence from shift register.

deg m	h(x)	deg m	h(x)
1	x + 1	21	$x^{21} + x^2 + 1$
2	$x^2 + x + 1$	22	$x^{22} + x + 1$
3	$x^3 + x + 1$	23	$x^{23} + x^5 + 1$
4	$x^4 + x + 1$	24	$x^{24} + x^4 + x^3 + x + 1$
5	$x^5 + x^2 + 1$	25	$x^{25} + x^3 + 1$
6	$x^6 + x + 1$	26	$x^{26} + x^8 + x^7 + x + 1$
7	$x^7 + x + 1$	27	$x^{27} + x^8 + x^7 + x + 1$
8	$x^8 + x^6 + x^5 + x + 1$	28	$x^{28} + x^3 + 1$
9	$x^9 + x^4 + 1$	29	$x^{29} + x^2 + 1$
10	$x^{10} + x^3 + 1$	30	$x^{30} + x^{16} + x^{15} + x +$
11	$x^{11} + x^2 + 1$	31	$x^{31} + x^3 + 1$
12	$x^{12} + x^7 + x^4 + x^3 + 1$	32	$x^{32} + x^{28} + x^{27} + x +$
13	$x^{13} + x^4 + x^3 + x + 1$	33	$x^{33} + x^{13} + 1$
14	$x^{14} + x^{12} + x^{11} + x + 1$	34	$x^{34} + x^{15} + x^{14} + x +$
15	$x^{15} + x + 1$	35	$x^{35} + x^2 + 1$ $x^{36} + x^{11} + 1$
16	$x^{16} + x^5 + x^3 + x^2 + 1$	36	$x^{36} + x^{11} + 1$
17	$x^{17} + x^3 + 1$	37	$x^{37} + x^{12} + x^{10} + x^2 +$
18	$x^{18} + x^7 + 1$	38	x38 + x6 + x5 + x + 1
19	$x^{19} + x^6 + x^5 + x + 1$	39	$x^{39} + x^4 + 1$
20	$x^{20} + x^3 + 1$	40	$x^{40} + x^{21} + x^{19} + x^2 +$

Fig. 5. Primitive polynomials.

of period $2^m - 1$. We call any segment

$$a_i a_{i+1} \cdots a_{i+2} m_{-2}$$
 (8)

of length $2^m - 1$ a pseudo-random sequence. There are $2^m - 1$ different pseudo-random sequences [taking $i = 0, 1, \dots, 2^m - 2$ in (8)]; those corresponding to Fig. 4 are shown in Fig. 6.

Note that if a different nonzero initial state is used, this is still one of the states the shift register goes through, and the new output sequence is just a shift of (7), namely $a_r a_{r+1} a_{r+2} \cdots$ for some r. Therefore the same set of pseudo-random sequences is obtained from any nonzero starting state. (Of course

Fig. 6. The 15 pseudo-random sequences obtained from Fig. 4.

```
LENGTH = 3

011

LENGTH = 7

0010111

LENGTH = 15

000100110101111

LENGTH = 31

000010010110101111110001101110101
```

Fig. 7. Examples of pseudo-random sequences.

changing h(x) will give a different set of sequences. For example using $x^4 + x^3 + 1$ instead of $x^4 + x + 1$ reverses the sequences in Fig. 6.)

Fig. 7 gives one pseudo-random sequence of length $2^m - 1$ for each m between 2 and 8, obtained from the primitive polynomials of Fig. 5 with initial state $100 \cdots 0$.

Remark

It is possible to attain period 2^m (rather than $2^m - 1$) using a nonlinear shift register. The corresponding output sequence is called a *de Bruijn cycle* ([11a], [19a], [19b], [29], [43a]).

C. Properties of Pseudo-Random Sequences

Let h(x) be a fixed primitive polynomial of degree m, and let δ_m be the set consisting of the pseudo-random sequences obtained from h(x), together with the sequence of $2^m - 1$ zeros (denoted by 0). These pseudo-random sequences are the $2^m - 1$ different segments

$$a_i a_{i+1} \cdots a_{i+2m-2}, \quad i = 0, 1, \cdots, 2^m - 2$$

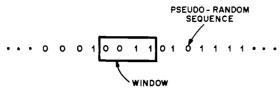


Fig. 8. The window property: Every nonzero 4-tuple is seen once.

of length $2^m - 1$ from the output of the shift register specified by h(x). For example, δ_4 consists of 0 and the rows of Fig. 6. We proceed to give the properties of these sequences. Properties I and II follow from the preceding discussion.

Property I-The Shift Property: If $b = b_0 b_1 \cdots b_{2m-2}$ is any pseudo-random sequence in δ_m , then any cyclic shift of b, say

$$b_j b_{j+1} \cdots b_{2m-2} b_0 \cdots b_{j-1}$$

is also in δ_m .

Property II—The Recurrence: Suppose $h(x) = \sum_{i=0}^{m} h_i x^i$, with $h_0 = h_m = 1$, $h_i = 0$ or 1 for 0 < i < m. Any pseudo-random sequence $b \in \delta_m$ satisfies the recurrence

$$b_{i+m} = h_{m-1}b_{i+m-1} + h_{m-2}b_{i+m-2} + \cdots + h_1b_{i+1} + b_i \quad (9)$$

for $i = 0, 1, \cdots$. (This generalizes (4) and (6).) Conversely any solution of (9) is in δ_m . Using all $2^m - 1$ distinct nonzero initial values b_0, \cdots, b_{m-1} in (9) we obtain the $2^m - 1$ pseudo-random sequences. There are m linearly independent solutions to (9), hence m linearly independent sequences in δ_m .

Property III—The Window Property: If a window of width m is slid along a pseudo-random sequence in δ_m , each of the $2^m - 1$ nonzero binary m-tuples is seen exactly once—see Fig. 8 for the case m = 4. (This follows from the fact that h(x) is a primitive polynomial.)

To avoid difficulties at the ends, either imagine three copies of the sequence are placed next to each other, or alternatively that the sequence is written in a circle.

Property IV-Half 0's and Half 1's: Any pseudo-random sequence in δ_m contains 2^{m-1} 1's and $2^{m-1}-1$ 0's. (This is because there are 2^{m-1} odd numbers between 1 and 2^m-1 , with binary representation ending in 1, and $2^{m-1}-1$ even numbers in the same range, with binary representation ending in 0. The state of the shift register runs through these numbers, and the output is equal to the parity of the state—see Fig. 4.)

Property V-The Addition Property: The sum of two sequences in δ_m (formed componentwise, modulo 2, without carries) is another sequence in δ_m . (For the sum of two solutions to (9) is another solution.) E.g. the sum of the first two sequences in Fig. 6 is the fifth sequence.

Property VI—The Shift-and-Add Property: The sum of a pseudo-random sequence and a cyclic shift of itself is another pseudo-random sequence. (From Properties I and V.)

D. Autocorrelation Function

We come now to the most important property, the autocorrelation function. The autocorrelation function $\rho(i)$ of a real (or complex) sequence $s_0s_1 \cdots s_{n-1}$ of length n is defined by

$$\rho(i) = \frac{1}{n} \sum_{j=0}^{n-1} s_j \overline{s}_{i+j}, \quad i = 0, \pm 1, \pm 2, \cdots$$
 (10)

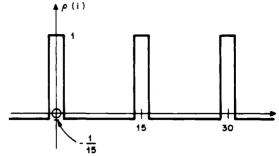


Fig. 9. Autocorrelation function of a pseudo-random sequence.

where subscripts are reduced mod n if they exceed n-1, and the bar denotes complex conjugation. This is a periodic function: $\rho(i) = \rho(i+n)$. The autocorrelation function of a binary sequence $a_0a_1 \cdots a_{n-1}$ is then defined to be equal to the autocorrelation function of the real sequence $(-1)^{a_0}$, $(-1)^{a_1}$, \cdots , $(-1)^{a_{n-1}}$ obtained by replacing 1's by -1's and 0's by +1's. Thus

$$\rho(i) = \frac{1}{n} \sum_{i=0}^{n-1} (-1)^{a_i + a_{i+1}}.$$

Alternatively, let A be the number of places where $a_0 \cdots a_{n-1}$ and the cyclic shift $a_i a_{i+1} \cdots a_{i-1}$ agree, and D the number of places where they disagree (so A + D = n). Then

$$\rho(i) = \frac{A - D}{n} \,. \tag{11}$$

For example, the pseudo-random sequence given in the first row of Fig. 6 has autocorrelation function $\rho(0) = 1$, $\rho(i) = -\frac{1}{15}$ for $1 \le i \le 14$, as shown in Fig. 9.

Property VII—Autocorrelation Function: The autocorrelation function of a pseudo-random sequence of length $n = 2^m - 1$ is given by

$$\rho(0) = 1$$

$$\rho(i) = -1/n$$
, for $1 \le i \le 2^m - 2$.

(For $a + a^{(i)} = a^{(j)}$ for some j by the shift-and-add property. Then D = number of 1's in $a^{(j)} = 2^{m-1}$, by Property IV, $A = n - D = 2^{m-1} - 1$, and the result follows from (11).)

It can be shown ([28, p. 48]) that this is the best possible autocorrelation function of any binary sequence of length $2^m - 1$, in the sense of minimizing $\max_{0 \le i \le n} \rho(i)$.

E. Runs

Define a run to be a maximal string of consecutive identical symbols. For example, the first row of Fig. 6 contains runs of four 1's, three 0's, two 1's, two 0's, two runs of a single 1, and two runs of a single 0, for a total of 8 runs.

Property VIII—Runs: In any pseudo-random sequence, one-half of the runs have length 1, one-quarter have length 2, one-eighth have length 3, and so on, as long as these fractions give integral numbers of runs. In each case the number of runs of 0's is equal to the number of runs of 1's. (This follows easily from the window property—see [29, ch. 3].)

F. Random Sequences

Properties III, IV, VII, VIII justify the name pseudo-random sequences, for these are the properties that one would expect from a sequence obtained by tossing a fair coin $2^m - 1$ times. Such properties make pseudo-random sequences very useful in

a number of applications, such as range-finding [17a], [28, ch. 6], [52a], synchronizing [28, ch. 8], [65], modulation [28, ch. 5], scrambling [18], [39], [46], [57], etc.

Of course these sequences are not random, and one way this shows up is that the properties we have mentioned hold for every pseudo-random sequence, whereas in a coin-tossing experiment there would be some variation from sequence to sequence. For this reason these sequences are unsuitable for serious encryption ([21], [22]).

Property IX-A Test to Distinguish a Pseudo-Random Sequence from a Coin-Tossing Sequence (E. N. Gilbert [23]): Let c_0, \dots, c_{N-1} be N consecutive binary digits from a pseudo-random sequence of length $2^m - 1$, where $N < 2^m - 1$, where $N < 2^m - 1$, and form the matrix

$$M = \begin{bmatrix} c_0 & c_1 & \cdots & c_{N-b} \\ c_1 & c_2 & \cdots & c_{N-b+1} \\ & \ddots & & \ddots \\ c_{b-1} & c_b & \cdots & c_{N-1} \end{bmatrix}$$

where $m < b < \frac{1}{2}N$. Then the rank of M over GF(2) is less than b. (From Property II, since there are only m linearly independent sequences in δ_m .) On the other hand, if c_0, \dots, c_{N-1} is a segment of a coin-tossing sequence, where each c_i is 0 or 1 with probability $\frac{1}{2}$, then the probability that rank (M) < b can be shown to be at most 2^{2b-N-1} . This is very small if $b < < \frac{1}{2}N$.

Thus the question, "is rank (M) = b?" is a test on a small number of digits from a pseudo-random sequence which shows a departure from true randomness. For example, if m = 11, $2^m - 1 = 2047$, b = 15, the test will fail if applied to any N = 50 consecutive digits of a pseudo-random sequence, whereas the probability that a coin-tossing sequence fails is at most 2^{-21} .

G. Hadamard Matrices

Recall that a *Hadamard matrix* is a real $n \times n$ matrix H_n of +1's and -1's which satisfies

$$H_n H_n^T = nI \tag{12}$$

where the T denotes transpose and I is an $n \times n$ unit matrix. (See for example Hall [35], Wallis et al. [71].)

Property X-Construction of Hadamard Matrices: Take the array whose rows are the sequences in δ_m , change 1's to -1's, and 0's to +1's, and add an initial column of +1's. The resulting $2^m \times 2^m$ array is a Hadamard matrix. (This follows from Property VII and equation (12).)

For example, Fig. 10 shows the 8 X 8 Hadamard matrix obtained in this way from the pseudo-random sequence 0010111.

Note that this Hadamard matrix can be constructed so that, except for the first row and column, it is a circulant matrix. For other Hadamard matrices with this property see [5], [67] and [28, Appendix 2].

H. Error-Correcting Codes

Definition: A binary linear code of length n, dimension k, and minimum distance d consists of 2^k binary vectors $u_1 \cdots u_n$, $u_i = 0$ or 1, called codewords, which: i) form a linear space (i.e. the modulo 2 sum of two codewords is a codeword), and ii) are such that any two codewords differ in at least d places. Such a code can correct $\left[\frac{1}{2}(d-1)\right]$ errors, where [x]

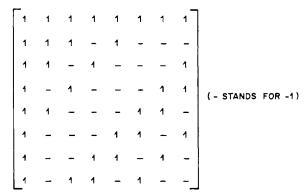


Fig. 10. An 8 X 8 Hadamard matrix.

denotes the greatest integer not exceeding x. See for example [8], [44], or [52].

For example $C_1 = [000, 011, 101, 110]$ is a code of length 3, dimension 2, and minimum distance 2.

Property XI-Pseudo-Random Sequences form a Simplex Code: The sequences in δ_m form a linear code of length $2^m - 1$, dimension m, and minimum distance 2^{m-1} . All the nonzero codewords are cyclic shifts of any one of them. (From Properties, I, IV, V, and VI.)

For example the rows of Fig. 6 together with 0 form the code δ_4 of length 15, dimension 4, and minimum distance 8. Also $\mathcal{C}_1 = \delta_2$.

Let the weight of a vector $v = v_1 \cdots v_n$, denoted by wt(v), be the number of nonzero v_i 's, and let the Hamming distance between vectors $u = u_1 \cdots u_n$, $v = v_1 \cdots v_n$, denoted by dist (u, v), be the number of i's such that $u_i \neq v_i$. Clearly dist (u, v) = wt(u - v). For example, dist (1100, 1010) = wt(0110) = 2. Exactly the same definitions apply to non-binary vectors. Condition ii) of the definition of a code requires that the codewords have Hamming distance at least d apart.

From Properties VII and IV any two codewords in δ_m have Hamming distance exactly 2^{m-1} apart, and for this reason δ_m is called a *simplex* code. (The codewords are at the vertices of a regular simplex.)

Let G_m be the $(2^m - 1) \times m$ matrix consisting of a list of the states of the shift register defined by h(x), assuming that the initial state is $100 \cdots 0$. For example, G_4 is shown in Fig. 4. The columns of G_m are linearly independent. The set of all 2^m mod-2 linear combinations of these columns are exactly the sequences in δ_m . For this reason G_m is called a generator matrix for δ_m .

Definition: The dual code to δ_m , denoted by δ_m^{\perp} , consists of all binary vectors u such that $uG_m = 0 \pmod{2}$.

Then δ_m^{\perp} is a code of length 2^m-1 and dimension 2^m-1-m . Since the rows of G_m are distinct, u is either zero or has at least three nonzero components. Hence δ_m^{\perp} has minimum distance 3. In fact δ_m^{\perp} is a Hamming single-error-correcting code (see [44, ch. 1]).

It is worth mentioning that coding theory provides the answers to the following questions, which sometimes arise in applications (see for example [9], [53], [66]):

i) Given a binary vector v of length $2^m - 1$, which pseudorandom sequence in δ_m is closest to it in Hamming distance? A fast way to find the answer uses a discrete version of the fast Fourier transform—see [33], [34], [44, ch. 14], [53], [73].

ii) How far away can a vector be from the closest pseudorandom sequence in δ_m ? In other words, what is

$$f(m) = \max_{v} \min_{u \in \delta_m} \operatorname{dist}(u, v)?$$

The vectors furthest away from δ_m are called bent—see [44, ch. 14], [17], [45], [56].

I. Representation of Pseudo-Random Sequences by Polynomials

The pseudo-random sequences in δ_m have a concise description by polynomials. We may represent any binary sequence $a = a_0 a_1 \cdots a_{n-1}$ of length n by the polynomial

$$a(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1}. \tag{13}$$

For example 000100110101111 is represented by

$$a(x) = x^3 + x^6 + x^7 + x^9 + x^{11} + x^{12} + x^{13} + x^{14}$$
. (14)

A cyclic shift of a by one place to the right, $a_{n-1}a_0a_1 \cdots a_{n-2}$, is represented by $a_{n-1} + a_0x + \cdots + a_{n-2}x^{n-1}$. If we agree that $x^n = 1$, then this is just xa(x). For

$$xa(x) = a_0x + a_1x^2 + \dots + a_{n-2}x^{n-1} + a_{n-1}x^n$$

= $a_{n-1} + a_0x + \dots + a_{n-2}x^{n-1}$.

Multiplying by x corresponds to a cyclic shift to the right.

A primitive polynomial h(x) of degree m always divides $x^n + 1$, where $n = 2^m - 1$ and the division is carried out modulo 2 ([44, ch. 4]). Let

$$\widetilde{h}(x) = \sum_{k=0}^{m} h_k x^{m-k} = x^m h\left(\frac{1}{x}\right). \tag{15}$$

This is the reciprocal polynomial of h(x), obtained by reversing the coefficients. Define

$$g(x) = \frac{x^n + 1}{\widetilde{h}(x)}. (16)$$

E.g. if $h(x) = x^4 + x + 1$, $\tilde{h}(x) = x^4 + x^3 + 1$, and

$$g(x) = \frac{x^{15} + 1}{x^4 + x^3 + 1} = 1 + x^3 + x^4 + x^6 + x^8 + x^9 + x^{10} + x^{11}.$$

(17)

Notice that (14) is x^3 times (17). This is a special case of

Property XII-Polynomial Representation: The pseudorandom sequences in δ_m consist of the polynomials $x^ib(x)$, $i=0,\dots,2^m-2$, where b(x) is any polynomial in δ_m (from Properties I or XI). Alternatively, they are the polynomials t(x)g(x) where t(x) is any polynomial of degree less than m. (For let $b(x)=t(x)g(x)=\sum_{j=0}^{n-1}b_jx^j$. Then $b(x)\widetilde{h}(x)=t(x)(x^m+1)$. Equating coefficients of x^{m+i} in this identity gives

$$\sum_{k=0}^{m} b_{i+k} h_k = 0, \quad i = 0, \dots, n-m-1.$$

But this is the recurrence of Property II, so $b(x) \in \delta_m$. Since $g(x), xg(x), \dots, x^{m-1}g(x)$ represent m linearly independent sequences in δ_m , everything in δ_m can be represented by t(x)g(x) where $\deg t(x) < m$.)

Hence g(x) is distinguished by being the polynomial of lowest degree in δ_m , and is called the generator polynomial of δ_m .

J. Finite Fields

A field is a set of elements in which it is possible to add, subtract, multiply, and divide. For any α , β , γ in the field we must have

$$\alpha + \beta = \beta + \alpha, \qquad \alpha\beta = \beta\alpha$$

$$\alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma, \qquad \alpha(\beta\gamma) = (\alpha\beta)\gamma$$

$$\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$$

and, furthermore, elements 0, 1, $-\alpha$, and (for $\alpha \neq 0$) α^{-1} must exist such that

$$0 + \alpha = \alpha$$
, $(-\alpha) + \alpha = 0$, $0\alpha = 0$
 $1\alpha = \alpha$. $(\alpha^{-1})\alpha = 1$.

A finite field contains a finite number of elements. A field with q elements is called a Galois field and is denoted by GF(q).

The simplest fields are the following: Let p be a prime number. Then the integers modulo p form the field GF(p). The elements of GF(p) are $\{0, 1, 2, \dots, p-1\}$, and $+, -, \times, \div$ are carried out mod p. For example, GF(2) is the binary field $\{0, 1\}$. GF(3) is the ternary field $\{0, 1, 2\}$, with $1 + 2 = 3 = 0 \pmod{3}$, $2 \cdot 2 = 4 = 1 \pmod{3}$, $1 - 2 = -1 = 2 \pmod{3}$, etc.

A field with p^m elements, when p is a prime and m is any positive integer, can be constructed as follows. The elements of the field are all polynomials in x of degree $\leq m-1$ with coefficients from GF(p). Addition is done in the ordinary way, modulo p.

A polynomial with coefficients from GF(p) which is not the product of two polynomials of lower degree is called *irreducible*. A primitive polynomial is automatically irreducible. Choose a fixed irreducible polynomial h(x) of degree m.

Then the product of two field elements is obtained by multiplying them in the usual way (modulo p), and taking the remainder when divided by h(x). The field obtained in this way contains p^m elements and is denoted by $GF(p^m)$.

As in illustration we take p = 2, m = 4, $h(x) = x^4 + x + 1$ and construct $GF(2^m)$. The 16 elements are

$$0, 1, x, x + 1, x^2 + 1, \cdots, x^4 + x^3 + x^2 + x + 1.$$

Addition is like this

$$(x^2 + 1) + (x + 1) = x^2 + x$$

and multiplication like this: The ordinary product of $x^3 + 1$ and $x^3 + x + 1$ is $x^6 + x^4 + x + 1$. But

$$x^6 + x^4 + x + 1 = (x^2 + 1)h(x) + x^3 + x^2$$

so in the field

$$(x^3 + 1)(x^3 + x + 1) = x^3 + x^2$$
.

It is easy to check that this set has all the properties of a field; the inverses $a(x)^{-1}$ exist because h(x) is irreducible (see [44, chs. 3, 4], [4], [6], [8] or [15] for details).

Just as complex numbers can be written either in rectangular coordinates, as z = x + iy, or in polar coordinates, as $z = re^{i\theta}$, so elements of a finite field have two representations. The first is the polynomial form given above, and the second is as a power of a certain fixed field element called a *primitive* element, denoted by ξ . (If h(x) is a primitive polynomial we can take ξ to be a zero of h(x).)

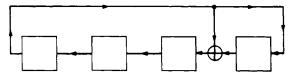
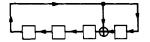


Fig. 11. A shift register generating the elements of $GF(2^4)$.



AS	A POL	MONY	AS A POWER OF E	
0	0	0	1	1
0	0	1	0	ξ
0	1	0	0	ξ²
1	0	0	0	ξ ³
0	0	1	1	ξ⁴
0	1	1	0	ξ⁵
1	1	0	0	ξ 6
1	0	1	1	ξ ⁷
0	1	0	1	ε•
1	0	1	0	ξ*
0	1	1	1	ξ ¹⁰
1	1	1	0	€ ¹¹
1	1	1	1	ξ ¹²
1	1	0	1	ξ ¹³
1	0	0	1	ξ ¹⁴
0	0	0	1	ξ ¹⁵ = 1
	•	• •	• • •	

Fig. 12. The elements of the field $GF(2^4)$.

Assuming that h(x) is a primitive polynomial, the correspondence between the two forms can be obtained from a shift register. This shift register is the reverse of that in Fig. 2. E.g. if $h(x) = x^4 + x + 1$, the shift register is shown in Fig. 11. The states of this shift register provide a list of the elements of $GF(2^4)$, both as successive powers of ξ and as polynomials in x of degree at most three (see Fig. 12, where only the coefficients of the polynomials are given).

A finite field of order p^m exists for all primes p and positive integers m. Furthermore these are the only finite fields (see [44, ch. 4]).

If $\beta \in GF(p^m)$, the sum

$$T_m(\beta) = \beta + \beta^p + \beta^{p^2} + \cdots + \beta^{p^{m-1}}$$

is called the *trace* of β . The trace has the following properties:

- i) $T_m(\beta) \in GF(p)$.
- ii) $T_m(\beta + \gamma) = T_m(\beta) + T_m(\gamma), \ \beta, \gamma \in GF(p^m).$
- iii) $T_m(\beta)$ takes on each value in GF(p) equally often, i.e., p^{m-1} times, as β ranges over $GF(p^m)$.
- iv) $T_m(\beta^p) = T_m(\beta)^p = T_m(\beta)$.
- v) $T_m(1) \equiv m \pmod{p}$.

K. Pseudo-Random Sequences Form a Field

As before, let δ_m be the set of pseudo-random sequences of length $n=2^m-1$, obtained from a primitive polynomial h(x) of degree m. It is clear how to add two elements of δ_m .

Multiplication is carried out using the polynomial representation given in Section II-I. That is, two polynomials are multiplied in the usual way (mod 2), and then x^n is replaced by 1, x^{n+1} by x, and so on. The result is another element of δ_m .

Property XIII – δ_m is a Field: With this definition of addition and multiplication, δ_m is isomorphic to the field $GF(2^m)$.

Let ξ be a primitive elemen^{*} of $GF(2^m)$ which is a zero of h(x), as in the previous section. Then ξ^{-1} is a zero of $\widetilde{h}(x)$, and $g(\xi^{-1}) \neq 0$. From Property XII, $b(\xi^{-1}) \neq 0$ for all non-zero $b(x) \in \delta_m$.

The isomorphism between δ_m and $GF(2^m)$ is given by

$$b(x) \in \delta_m \xrightarrow{\varphi} b(\xi^{-1}) \in GF(2^m)$$

and

$$\gamma \in GF(2^m) \xrightarrow{\psi} (b_0 b_1 \cdots b_{n-1}) \in \mathcal{S}_m$$

where $b_i = T_m(\gamma \xi^i)$. For the proof of all this see [44, ch. 8].

The element $E(x) = \sum_{i=0}^{n-1} E_i x^i$ of δ_m which maps onto $1 \in GF(2^m)$ must satisfy $E(x)^2 = E(x)$. This element is called the *idempotent* of δ_m and has the property that $E_i = E_{2i}$ (subscripts modulo n) [27]. It is found as follows: Since n is odd, $x^n + 1$ has distinct factors over GF(2). Therefore g(x) and $\tilde{h}(x)$ are relatively prime and so there exist polynomials u(x), v(x) such that

$$u(x)g(x) + v(x)\widetilde{h}(x) \equiv 1 \pmod{2} \tag{18}$$

and deg u(x) < m ([47], [70]). Setting $x^n = 1$ gives

$$g(x)\widetilde{h}(x) = 0$$

and, multiplying (18) by u(x)g(x),

$$(u(x)g(x))^2 = u(x)g(x).$$

Therefore E(x) = u(x)g(x) is the idempotent of δ_m . Then

$$E(x) \xrightarrow{\varphi} 1$$

$$xE(x) \xrightarrow{\varphi} \xi^1$$
.

For any $b(x) \in \delta_m$ there is a $c(x) \in \delta_m$ such that b(x)c(x) = E(x). In fact if $b(x) = x^i E(x)$ (for every nonzero sequence in δ_m is a cyclic shift of E(x)) then $c(x) = x^{n-i} E(x)$.

Example: With $h(x) = x^4 + x + 1$, $\tilde{h}(x) = x^4 + x^3 + 1$, and g(x) given by (17), we find

$$x^3 g(x) + (1 + x^4 + x^6 + x^8 + x^{10}) \tilde{h}(x) = 1$$

so that $E(x) = x^3 g(x)$, given by (14), is the idempotent.

III. PSEUDO-RANDOM ARRAYS

A. Two-Dimensional Arrays with Flat Autocorrelation Functions

These can be constructed by folding pseudo-random sequences. Take a number of the form $n = 2^{k_1 k_2} - 1$ such that $n_1 = 2^{k_1} - 1$ and $n_2 = n/n_1$ are relatively prime and greater than 1. Then $n = n_1 n_2$. Examples are

$$n = 15 = 2^4 - 1$$
 with $k_1 = k_2 = 2$, $n_1 = 3$, $n_2 = 5$

$$n = 63 = 2^6 - 1$$
 with $k_1 = 3$, $k_2 = 2$, $n_1 = 7$, $n_2 = 9$

$$n = 511 = 2^9 - 1$$
 with $k_1 = 3$, $k_2 = 3$, $n_1 = 7$, $n_2 = 73$.

The starting point is a pseudo-random sequence a =

$${}^{1}2^{k_{1}} - 1$$
 always divides $2^{k_{1}k_{2}} - 1$.

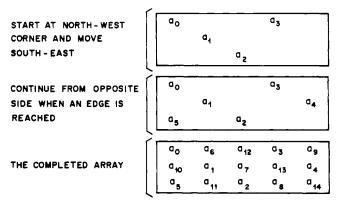


Fig. 13. Constructing a pseudo-random array.

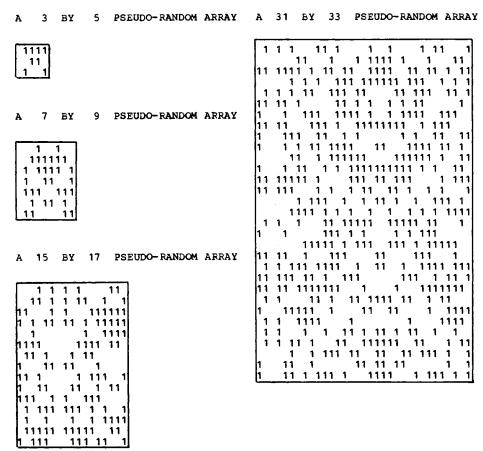


Fig. 14. Examples of pseudo-random arrays.

 $a_0a_1 \cdots a_{n-1}$ in $\delta_{k_1k_2}$, obtained from a primitive polynomial h(x) of degree $m=k_1k_2$. We use a to fill up an $n_1 \times n_2$ array, by writing a down the main diagonal and continuing from the opposite side whenever an edge is reached. Fig. 13 shows the construction when n=15, $n_1=3$, $n_2=5$. Thus the pseudorandom sequence

produces the array

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \end{bmatrix}. \tag{20}$$

This is the top array in Fig. 14.

An $n_1 \times n_2$ array formed in this way will be called a pseudo-random array. There are n different arrays, one from each pseudo-random sequence in $\delta_{k_1k_2}$. Fig. 14 shows examples of 3×5 , 7×9 , 15×17 , and 31×33 pseudo-random arrays, the first three constructed from pseudo-random sequences in Fig. 7 and the fourth from the sequence defined by $h(x) = x^{10} + x^3 + 1$ (from Fig. 5). In Fig. 14 zeros have been replaced by blanks; in the first two arrays the left-hand column is completely blank.

The pseudo-random sequences in $\delta_{k_1k_2}$ at least look random to the eye, whereas the corresponding arrays have a conspicuous nonrandom feature, being symmetric about a column of zeros. Nevertheless we call them pseudo-random arrays because they share Properties III*, IV* and VII* below with an array formed by tossing a coin.

To state these properties some further notation is required. If the pseudo-random array is denoted by

$$b = \begin{bmatrix} b_{00} & b_{01} & \cdots & b_{0,n_2-1} \\ b_{10} & b_{11} & \cdots & b_{1,n_2-1} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n_1-1,0} & \cdots & b_{n_1-1,n_2-1} \end{bmatrix}$$
(21)

then

$$a_0 = b_{00}$$
 $a_1 = b_{11}$
 $a_2 = b_{22}$

and

$$a_i = b_{i_1 i_2} \tag{22}$$

where

$$i \equiv i_1 \pmod{n_1}, \quad 0 \le i_1 \le n_1$$

 $i \equiv i_2 \pmod{n_2}, \quad 0 \le i_2 \le n_2.$ (23)

Conversely, given i_1 and i_2 with $0 \le i_1 < n_1$ and $0 \le i_2 < n_2$, there is a unique value of i in the range $0 \le i < n = n_1 n_2$ such that (23) holds, by the Chinese Remainder Theorem (since n_1 and n_2 are relatively prime)—see [47, p. 33] or [70, pp. 189-191].

These arrays are best described by polynomials. The array (21) is represented by

$$b(x,y) = \sum_{i=0}^{n_1-1} \sum_{i=0}^{n_2-1} b_{ij} x^i y^j.$$
 (24)

E.g. (20) is

$$b(x, y) = (y + y^2 + y^3 + y^4) + x(y^2 + y^3) + x^2(y + y^4).$$
 (25)

If we agree that $x^{n_1} = 1$ and $y^{n_2} = 1$, then multiplying b(x, y) by x corresponds to a cyclic shift of the array downwards, and multiplying by y corresponds to a cyclic shift to the right.

B. Properties of Pseudo-Random Arrays

With n, n_1 , n_2 , k_1 , k_2 as defined above, let h(z) be a fixed primitive polynomial of degree $m = k_1 k_2$. Each of the pseudo-random sequences in δ_m folds into a distinct $n_1 \times n_2$ pseudo-random array. Let \mathfrak{A}_m be the set of 2^m arrays so formed, together with the zero array. We briefly state the properties of these arrays.

Property XII*-Polynomial Representation: Suppose the pseudo-random sequence a folds up to produce the array b. The polynomial b(x, y) describing b is obtained from $a(z) = \sum_{i=0}^{n-1} a_i z^i$ by replacing z by xy:

$$b(x, y) = a(xy). (26)$$

(For this replaces each term $a_i z^i$ in a(z) by $a_i x^{i_1} y^{i_2}$ where $i \equiv i_1 \pmod{n_1}$, $0 \le i_1 < n_1$ and $i \equiv i_2 \pmod{n_2}$, $0 \le i_2 < n_2$. Thus a_i goes into the square (i_1, i_2) of the array: $b_{i_1 i_2} = a_i$, in agreement with (22).)

E.g. (19) is described by

$$a(z) = z^3 + z^6 + z^7 + z^9 + z^{11} + z^{12} + z^{13} + z^{14}$$

and replacing z by xy with $x^3 = y^5 = 1$ we obtain

$$h(x, y) = a(xy) = y^3 + y + xy^2 + y^4 + x^2y + y^2 + xy^3 + x^2y^4$$

in agreement with (25).

Property I^* -The Shift Property: If b (equation (21)) is a pseudo-random array in \mathfrak{A}_m , so is any cyclic shift of b downwards or to the right,

$$\begin{bmatrix} b_{i0} & \cdots & b_{i,n_2-1} \\ \cdots & \cdots & \cdots \\ b_{i-1,0} & \cdots & b_{i-1,n_2-1} \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} b_{0j} & \cdots & b_{0,j-1} \\ \cdots & \cdots & \cdots \\ b_{n_1-1,j} & \cdots & b_{n_1-1,j-1} \end{bmatrix}$$
(27)

or any combination of these shifts.

(Since n_1 and n_2 are relatively prime there are integers μ and ν such that

$$\mu n_1 + \nu n_2 = 1. \tag{28}$$

E.g. if
$$n_1 = 3$$
, $n_2 = 5$, $2 \cdot 3 - 5 = 1$, and $\mu = 2$, $\nu = -1$. Then
$$z^{\nu n_2} = x^{\nu n_2} v^{\nu n_2} = x^{\nu n_2} = x^{\nu n_2} x^{\mu n_1} = x$$

and similarly $z^{\mu n_1} = y$. Therefore the arrays (27) are $x^i b(x, y) = z^{i\nu n_2} a(z)$ and $y^j b(x, y) = z^{j\mu n_1} a(z)$, both in $alpha_m$.)

The pseudo-random arrays in \mathfrak{A}_m are represented by the polynomials $x^{i_1}y^{i_2}b(x,y)$, $0 \le i_1 < n_1$, $0 \le i_2 < n_2$, where b(x,y) is any array in \mathfrak{A}_m . Alternatively they are the polynomials $t(x,y)\gamma(x,y)$, where $\deg_x t(x,y) < n_1$, $\deg_y t(x,y) < n_2$, $\gamma(x,y) = g(xy)$, and g(z) is the generator polynomial of δ_m . E.g. in \mathfrak{A}_4 from (17) we obtain

$$\gamma(x,y) = (1+y+y^3+y^4) + x(1+y^4) + x^2(y+y^3)$$

corresponding to

$$\begin{bmatrix} 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 \end{bmatrix}.$$

Property II^* -Recurrences: Equation (9) implies that a pseudo-random array in \mathfrak{A}_m satisfies a recurrence along the diagonals. E.g. from (4), (20) satisfies

$$b_{i+4,i+4} = b_{i+1,i+1} + b_{i,i}$$

It is also possible to find a pair of recurrences which generate the array, one for moving vertically and one horizontally. We illustrate this by two examples, but omit the general proof. For (20) the recurrences are

$$b_{i+2,j} = b_{i+1,j} + b_{i,j}$$
 (vertically)
 $b_{i,j+2} = b_{i+2,j+1} + b_{i,j}$ (horizontally). (29)

For the 15 X 17 array in Fig. 14 they are

$$b_{i+4,j} = b_{i+1,j} + b_{i,j}$$
 (vertically)
 $b_{i,j+2} = b_{i+1,j+1} + b_{i,j+1} + b_{i,j}$ (horizontally). (30)

From these recurrences the $k_1 \times k_2$ subarray in the North-West corner determines the entire array.

Property III*—The Window Property: If a $k_1 \times k_2$ window is slid over a pseudo-random array in \mathfrak{A}_m , each of the $2^{k_1k_2}-1$ nonzero binary $k_1 \times k_2$ arrays is seen exactly once—see Fig. 15 for the case n=15, $k_1=k_2=2$. (The proof is given in the Appendix.)

Property IV^* -Half 0's and Half 1's: Any array in \mathfrak{A}_m contains 2^{m-1} 1's and 2^{m-1} - 1 0's.

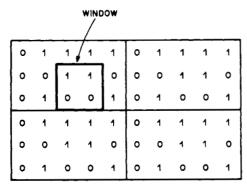


Fig. 15. The window property: Every nonzero 2 X 2 array is seen once.

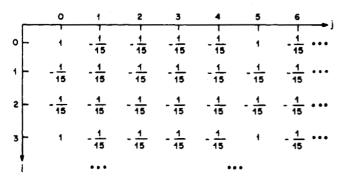


Fig. 16. Autocorrelation function $\rho(i,j)$ of a pseudo-random array.

Property V^* —The Addition Property: The sum of two arrays in \mathfrak{C}_m is again in \mathfrak{C}_m .

Property VI*-The Shift-and-Add Property: The sum of $b(x,y) \in \mathfrak{A}_m$ and any shift $x^i y^j b(x,y)$ is another array in \mathfrak{A}_m . (These follow from Properties IV, V, VI.)

C. Autocorrelation Function

Let b (equation (21)) be any $n_1 \times n_2$ array of area $n = n_1 \times n_2$. The (two-dimensional) autocorrelation function of b, $\rho(i, j)$, is defined as follows. If A is the number of positions in which b and b shifted i places down and j to the right agree, and D is the number in which they disagree, then

$$\rho(i,j) = \frac{A-D}{n}, \quad i,j=0, \quad \pm 1, \pm 2, \cdots$$
(31)

Again A + D = n. This is a doubly periodic function with $\rho(i, j) = \rho(i + n_1, j) = \rho(i, j + n_2)$, $\rho(0, 0) = \rho(n_1, 0) = \cdots = 1$. For example, Fig. 16 shows the autocorrelation function of (20).

Property VII*-Autocorrelation Function: The two-dimensional autocorrelation function of a pseudo-random array in \mathfrak{A}_m is given by

$$\rho(0,0) = 1$$

$$\rho(i,j) = -\frac{1}{n}, \quad 0 \le i < n_1, \quad 0 \le j < n_2,$$

$$(i,j) \ne (0,0). \quad (32)$$

(From Properties VI*, IV*). Again this is best possible.

D. Other Constructions of Pseudo-Random Arrays

i) A pseudo-random sequence of length $n = 2^m - 1$ can be folded into an $n_1 \times n_2$ array as shown in Fig. 13 whenever

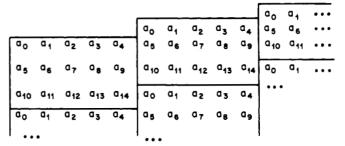


Fig. 17. Another construction of a pseudo-random array.

deg	q = 3	q = 4	q = 8
1	x+1	x + ω	x + a
2	$x^2 + x + 2$	$x^2 + x + \omega$	$x^2 + \alpha x + \alpha$
3	$x^2 + x + 2$ $x^3 + 2x + 1$	$x^3 + x^2 + x + \omega$	$x^3 + x + \alpha$
4	$x^4 + x + 2$	$x^{3} + x^{2} + x + \omega$ $x^{4} + x^{2} + \omega x + \omega^{2}$	$x^{4} + x + \alpha^{3}$
5	$x^4 + x + 2$ $x^5 + 2x + 1$	$r^5 + r + \omega$	$x^{4} + x + \alpha^{3}$ $x^{5} + x^{2} + x + \alpha^{3}$ $x^{6} + x + \alpha$
6	$x^6 + x + 2$	$x^6 + x^2 + x + \omega$	$x^6 + x + \alpha$
7	$x^{7} + x^{6} + x^{4} + 1$ $x^{8} + x^{5} + 2$ $x^{9} + x^{7} + x^{5} + 1$	$x^{6} + x^{2} + x + \omega$ $x^{7} + x^{2} + \omega x + \omega^{2}$	~ ⁷ + ~ ² + ~ ~ + ~ ³
8	$x^8 + x^5 + 2$	$x^{8} + x^{3} + x + \omega$ $x^{9} + x^{2} + x + \omega$ $x^{10} + x^{3} + \omega (x^{2} + x + 1)$	
9	$x^9 + x^7 + x^5 + 1$	$x^9 + x^2 + x + \omega$	
10	$x^{10} + x^9 + x^7 + 2$	$x^{10} + x^3 + \omega (x^2 + x + 1)$	

Fig. 18. Primitive polynomials over GF(q).

 $n = n_1 n_2$ and n_1, n_2 are relatively prime. The resulting array still has Properties XII*, I*, IV*, V*, and VI*.

ii) Another way of making pseudo-random sequences into arrays has been suggested by Spann [63]. This applies when the whole plane is to be filled with copies of the array. Let $a_0 \cdots a_{n-1}$ be a pseudo-random sequence of length $n=2^m-1=n_1n_2$, where n_1 and n_2 may have a common factor. The infinite array $\{b_{ij}\colon i,j=0,\pm 1,\cdots\}$ is formed by setting $b_{ij}=a_k$, where $k\equiv in_1+j\pmod{n_2}$ and $0\leqslant k\leqslant n-1$. Fig. 17 illustrates the construction in the case $n=15,\,n_1=3,\,n_2=5$. The autocorrelation function of the $n_1\times n_2$ rectangle $\{b_{ij}\colon 0\leqslant i\leqslant n_1,\,0\leqslant j\leqslant n_2\}$ is not in general given by (32). However if we define the periodic autocorrelation function of the infinite array to be

$$\overline{\rho}(i,j) = \frac{1}{n} \sum_{r=0}^{n_1-1} \sum_{s=0}^{n_2-1} (-1)^{b_{rs}+b_{r+i,s+j}}$$
 (33)

then $\overline{\rho}(i, j)$ is given by (32).

IV. Nonbinary Pseudo-Random Sequences and Displays

A. Arrays with Entries from an Alphabet of q Symbols

The same constructions can be used to obtain pseudorandom sequences and arrays with entries which, instead of being 0's and 1's, are taken from an alphabet of q symbols, for any q which is a prime or a power of a prime.

Let q be any prime power and let GF(q) be the Galois field with q elements (see Section II-J). We need a polynomial h(x) of degree m with coefficients from GF(q) which i) is not the product of two such polynomials of lower degree, and ii) has as a zero a primitive element of $GF(q^m)$, say ξ . We call such a polynomial primitive over GF(q). The primitive polynomials used in Section II are primitive over GF(2). Fig. 18 gives a small table of primitive polynomials, using $GF(4) = \{0, 1, \omega, \omega^2\}$, with $\omega^2 + \omega + 1 = 0$, $\omega^3 = 1$, and

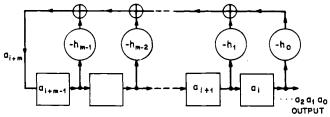


Fig. 19. Shift register specified by h(x).

length 8 over GF(3)

01220211

length 26 over GF(3)

00101211201110020212210222

length 80 over GF(3)

00010021011120022010221101012122120122220002001202 221001102011220202121121021111

length 242 over GF(3)

 $\begin{array}{c} 00001000120011101002120221120201121101202111220010\\ 10121211212012111120001100102012211102002210202221\\ 200211022022012011111000022002010022202001210112210\\ 102212202102122110020202012121221221222210002200201\\ 0221222021001120101112100122011011021022222 \end{array}$

length 15 over 👫 (4)

011310221203323

length 63 over 6F(4)

001103122232210202131002201233313320303212003302311121130101323

length 255 over 🕶 (4)

 $\begin{array}{c} 00010122022311110013113123232223010320312020332213\\ 30133112131030012101321003332011031000303110112333\\ 30032332312121112030210231010221132203223313230200\\ 3130321300222103302300020233033122220021221231313\\ 31020130123030113321102112232120100232021320011130\\ 22012 \end{array}$

Fig. 20. Pseudo-random sequences over GF(3) and GF(4).

 $GF(8) = \{0, 1, \alpha, \alpha^2, \dots, \alpha^6\}$ with $\alpha^3 + \alpha + 1 = 0, \alpha^7 = 1$. For more extensive tables see [1], [7], [15], [32], [46], [52], [64].

Suppose

$$h(x) = x^{m} + h_{m-1}x^{m-1} + \dots + h_{1}x + h_{0}$$
 (34)

with $h_i \in GF(q)$, $h_0 \neq 0$. The shift register specified by h(x) is shown in Fig. 19. In this figure the boxes contain elements of GF(q), say a_{i+m-1}, \dots, a_i . The feedback path then forms

$$a_{i+m} = -h_{m-1}a_{i+m-1} - h_{m-2}a_{i+m-2} - \cdots - h_1a_{i+1} - h_0a_{i}$$
 (35)

Fig. 21. A 15 X 17 pseudo-random array with entries from the field of 4 elements.

Equation (35) is the recurrence describing the output sequence. This is an infinite sequence of period $q^m - 1$ (if the starting state is not zero), and each nonzero state appears once in a period. A segment of the output sequence of length $q^m - 1$ is called a *pseudo-random sequence over GF(q)*. Let $\delta_m(q)$ be the set of such sequences, together with 0. (See [3], [11], [14], [24], [25], [60], [76].)

For example, if q = 4, m = 2, and $h(x) = x^2 + x + \omega$ we obtain the pseudo-random sequence

$$0 \ 1 \ 1 \ \omega^2 \ 1 \ 0 \ \omega \ \omega \ 1 \ \omega \ 0 \ \omega^2 \ \omega^2 \ \omega \ \omega^2$$
 (36)

of length 15. Then $\delta_2(4)$ consists of **0** and the 15 cyclic shifts of (36). Fig. 20 shows some pseudo-random sequences over GF(3) and GF(4), where in GF(4) ω is replaced by 2 and ω^2 by 3.

Pseudo-random arrays can be obtained as in Section III. For example Fig. 21 shows a 15×17 array over GF(4) obtained by folding the length 255 sequence in Fig. 20.

B. Some Properties.

Properties I, II, III, V, VI hold with obvious changes, while Property IV is replaced by:

Property IV^{**} : In any pseudo-random sequence in $\delta_m(q)$ 0 occurs q^{m-1} - 1 times and every nonzero element of GF(q) occurs q^{m-1} times.

Instead of Property VII we have the following.

Property VIIa: A pseudo-random sequence in $\delta_m(q)$ has the form

$$a = b, \gamma b, \gamma^2 b, \cdots, \gamma^{q-2} b, \tag{37}$$

where **b** is a sequence of length $(q^m - 1)/(q - 1)$ and γ is a primitive element of GF(q). (This is because the states of the shift register can be made to correspond to a logarithm table of GF(q). The details are omitted).

For example in (36), $b = 011\omega^2 1$ and $\gamma = \omega$. For some applications b is a more useful sequence than a.

Property VIIb: Let $a=(a_0\cdots a_{n-1})$ be a pseudo-random sequence in $\delta_m(q)$, and let $b=(a_sa_{s+1}\cdots a_{s-1})=(b_0\cdots b_{n-1})$ be a shift of a by s places. i) If s is not a multiple of q-1, then among the q^m-1 pairs (a_i, b_i) , (0, 0) occurs $q^{m-2}-1$ times and every other pair of elements of GF(q) occurs q^{m-2} times; ii) If s=j(q-1), then (0,0) occurs $q^{m-1}-1$ times and the pairs $(\alpha, \gamma^j \alpha)$, for all nonzero α in GF(q), occur q^{m-1} times.

In particular, if s = 0 (no shift) each (α, α) , $\alpha \neq 0$, occurs q^{m-1} times. For example, if (36) is shifted once, thus

$$0 \ 1 \ 1 \ \omega^2 \ 1 \ 0 \ \omega \ \omega \ 1 \ \omega \ 0 \ \omega^2 \ \omega^2 \ \omega \ \omega^2$$
 $1 \ 1 \ \omega^2 \ 1 \ 0 \ \omega \ \omega \ 1 \ \omega \ 0 \ \omega^2 \ \omega^2 \ \omega \ \omega^2$

then (0,0) does not occur and every other pair (0,1), $(0,\omega)$, \cdots , (ω^2,ω^2) occurs once.

There are many ways of obtaining a real- or complex-valued sequence from a sequence in $\delta_m(q)$. We shall just consider the case q = prime and the complex-valued sequence \hat{a} obtained from $a \in \delta_m(q)$ by replacing $r \in GF(q)$ by $e^{2\pi i r/q}$.

Property VIIc-Autocorrelation function: The autocorrelation function of \hat{a} is given by

$$\rho(0) = 1$$
, $p(i) = -\frac{1}{a^m - 1}$, $1 \le i \le q^m - 2$.

(Immediate from Property VIIb.) For other autocorrelation functions, all of which can be calculated from Property VIIb, see [3], [11], [14], [25], [76].

V. Transmission Functions

The following question has arisen in certain optical applications (Knowlton [43]). For a given value q, say $q = 2, 3, 4, \cdots$, find a set of q $n_1 \times n_2$ arrays of 0's and 1's whose transmission function $\tau(r, s)$ is zero at the origin and approximately constant elsewhere. The *transmission function* τ is defined as follows. If the q arrays are $(a_{ij}), (b_{ij}), (c_{ij}), \cdots$ then

$$\tau(r,s) = \frac{1}{n_1 n_2} \sum_{i=0}^{n_1-1} \sum_{j=0}^{n_2-1}$$

$$\cdot (1 - a_{ij}) (1 - b_{i+r,j+s}) (1 - c_{i+2r,j+2s}) \cdot \cdot \cdot (38)$$

where the first subscript is taken modulo n_1 , and the second modulo n_2 , and the sum is evaluated as a real number. This is doubly periodic: $\tau(r, s) = \tau(r + n_1, s) = \tau(r, s + n_2)$.

Arrays with these properties can be obtained from pseudorandom sequences, as will be shown.

Case q = 2: Let $(a_{ij}) \in a_m$ be an $n_1 \times n_2$ pseudo-random array as constructed in Section III, where $n = n_1 n_2 = 2^m - 1$, and let (b_{ij}) be its complement: $b_{ij} = 1 - a_{ij}$. Then $\tau(0, 0) = 0$ and

$$\tau(r,s) = \frac{1}{n} \text{ (number of times } a_{ij} = 0 \text{ and } a_{i+r,j+s} = 1)$$

$$= \frac{2^{m-2}}{2^m - 1} \text{ (from Properties I, IV, V)}$$
(39)

for all $0 \le r \le n_1 - 1$, $0 \le s \le n_2 - 1$, $(r, s) \ne (0, 0)$.

Case $q = Prime\ Power$: For ease of notation we take q = 4; the general case is similar. Let (A_{ij}) be an $n_1 \times n_2$ pseudorandom array over GF(4) as constructed in Section IV, where $n = n_1 n_2 = 4^m - 1$, $m \ge 4$. The A_{ij} 's are $0, 1, \omega$ or ω^2 . The desired binary arrays are given by

$$a_{ij} = 1$$
 if and only if $A_{ij} = 0$
 $b_{ij} = 1$ if and only if $A_{ij} = 1$
 $c_{ij} = 1$ if and only if $A_{ij} = \omega$
 $d_{ij} = 1$ if and only if $A_{ij} = \omega^2$. (40)

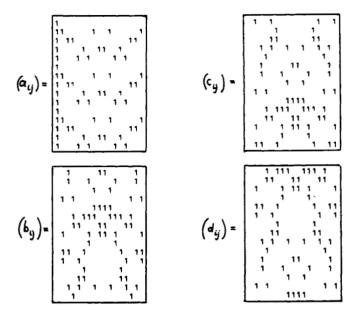


Fig. 22. Four 15 X 17 arrays obtained from Fig. 21.

Fig. 22 shows the four 15 X 17 arrays obtained in this way from Fig. 21.

The transmission function is given by

$$\tau(r,s) = \frac{1}{n_1 n_2} \text{ (number of times } A_{ij} \neq 0, A_{i+r,j+s} \neq 1$$
$$A_{i+2r,i+2s} \neq \omega \text{ and } A_{i+3r,i+3s} \neq \omega^2 \text{). (41)}$$

Define t by $0 \le t \le n_1 n_2$, $t \equiv r \pmod{n_1}$ and $t \equiv s \pmod{n_2}$. Let f(z) be the pseudo-random sequence of $\delta_m(4)$ corresponding to (A_{tt}) —see Section IV.

i) Suppose t is such that the sequences f(z), $z^t f(z)$, $z^{2t} f(z)$, $z^{3t} f(z)$ are linearly independent. If m = 4, these are a set of generators for the code $\delta_4(4)$. Therefore the columns of the array

$$f(z)$$

$$z^{t}f(z)$$

$$z^{2t}f(z)$$

$$z^{3t}f(z)$$
(42)

contain each of the 255 nonzero 4-tuples from the set $\{0, 1, \omega, \omega^2\}$ exactly once. Then

$$\tau(r,s) = \frac{1}{255} \text{ (number of times } f_t \text{ is } 1, \omega \text{ or } \omega^2; f_{t+t}$$

$$\text{is } 0, \omega \text{ or } \omega^2; f_{t+2t} \text{ is } 0, 1 \text{ or } \omega^2; \text{and}$$

$$f_{t+3t} \text{ is } 0, 1 \text{ or } \omega)$$

$$= \frac{3^4}{255} = \frac{81}{255}.$$

If m > 4 then

$$\tau(r,s) = \frac{81 \cdot 4^{m-4}}{4^m - 1}.$$
 (43)

To test whether these sequences are linearly independent we

map them into $GF(4^m)$ using the mapping given in Section II-K. Now

$$f(\xi^{-1}), \quad \xi^{-t}f(\xi^{-1}), \quad \xi^{-2t}f(\xi^{-1}), \quad \xi^{-3t}f(\xi^{-1})$$

are linearly independent over GF(4) if the equation

$$a_0 + a_1 \delta + a_2 \delta^2 + a_3 \delta^3 = 0$$

where $\delta = \xi^{-t}$, $a_i \in GF(4)$, implies all $a_i = 0$. This means that the equation of least degree satisfied by δ over GF(4) must have degree ≥ 4 . Most elements of $GF(4^m)$ satisfy a minimal equation of degree m (which is the reason for the condition $m \geq 4$). However, the elements 1, $\xi^{(4^m-1)/3}$ and $\xi^{2(4^m-1)/3}$ satisfy an equation of degree 1. If m is divisible by 2 or 3 there are also elements which satisfy equations of degree 2 or 3.

ii) Suppose $t = (4^m - 1)/3$. Then $z^t f(z) = \omega^{\pm 1} f(z)$, $z^{2t} f(z) = \omega^{\pm 2} f(z)$, and $z^{3t} f(z) = f(z)$. The columns of (42) are of one of the types

and $\tau(r,s) = 4^{m-1}/(4^m - 1)$ or 0, respectively. If m is prime, i) and ii) exhaust all the values of t. (If m is divisible by 2 or 3 other values of $\tau(r,s)$ can occur and can be found in the same way.) When m=4, the transmission function $\tau(r,s)$ of Fig. 21 is given by

$$\tau(0, 0) = \tau(10, 0) = 0$$
, $\tau(5, 0) = 0.502$
 $\tau(r, 0) = 0.314$ for $1 \le r \le 14$, $r \ne 5$, 10
 $\tau(r, s) = 0.318$ for all other pairs (r, s) in
the range $0 \le r \le 14$, $0 \le s \le 14$. (44)

VI. SUMMARY

Pseudo-random sequences of 0's and 1's are constructed in Sections II-A and II-B; examples are shown in Fig. 7. They exist for all lengths of the form $n = 2^m - 1$, and their properties are discussed in Sections II-C through II-K. Properties I-IV, VI, and VII, are the most important.

Pseudo-random arrays of 0's and 1's of size $n_1 \times n_2$ exist whenever n_1 and n_2 are relatively prime, $n = n_1 n_2 = 2^{k_1 k_2} - 1$, and $n_1 = 2^{k_1} - 1$; examples are shown in Fig. 14. The construction is given in Section III-A and their properties in Sections III-B and III-C. Arrays with more general values of n_1 and n_2 are mentioned in Section III-D.

Pseudo-random sequences with entries from an alphabet of q symbols and length $q^m - 1$ are constructed in Seciton IV-A, examples are given in Fig. 20. These can also be formed into arrays, as illustrated in Fig. 21.

Section V discusses the transmission function (a kind of generalized autocorrelation function) of a set of binary arrays obtained from the pseudo-random sequences given in Section IV-A.

APPENDIX

Proof of the Window Property III*: Let α be a primitive element of $GF(2^{k_1k_2})$. Let G be an $n \times k_1k_2$ generator matrix for the simplex code $\delta_{k_1k_2}$ of length $n = 2^{k_1k_2} - 1$, dimension

 k_1k_2 and minimum distance $2^{k_1k_2-1}$. The rows of G may be taken to be the binary k_1k_2 -tuples which are rectangular coordinates for the elements $1, \alpha, \alpha^2, \dots, \alpha^{n-1}$ of $GF(2^{k_1k_2})$. Of course $\alpha^n = 1$. Let I(i, j) be the unique integer satisfying

$$I(i,j) \equiv i \pmod{n_1}$$
$$I(i,j) \equiv i \pmod{n_2}$$

and

$$0 \le I(i,j) \le n-1$$
.

The window property will follow from the following result. Theorem: The rows of G which represent $\alpha^{I(i,j)}$ for $0 \le i \le k_1 - 1$ and $0 \le j \le k_2 - 1$ are linearly independent over GF(2).

Proof: Suppose

$$\sum_{i=0}^{k_1-1} \sum_{i=0}^{k_2-1} c_{ij} \alpha^{I(i,j)} = 0, \quad c_{ij} \in GF(2).$$
 (45)

We must show that every $c_{ij} = 0$. Let Q denote the left-hand side of (45). With μ , ν as in (28) set $\beta = \alpha^{\nu n_2}$, $\gamma = \alpha^{\mu n_1}$. Then $\alpha = \beta \gamma$, $\alpha^{I(i,j)} = \beta^i \gamma^j$, and

$$Q = \sum_{i=0}^{k_2-1} \gamma^j \sum_{i=0}^{k_1-1} c_{ij} \beta^i.$$

Now n_1 and n_2 are the smallest positive integers such that $\beta^{n_1}=1, \, \gamma^{n_2}=1$. Since $n_1=2^{k_1}-1, \, \beta\in GF(2^{k_1})$. Furthermore, β is a zero of an irreducible polynomial of degree k_1 over GF(2), and of no polynomial of lower degree. The coefficient of γ^j in Q is an element of $GF(2^{k_1})$; hence it is necessary to find the lowest degree polynomial over $GF(2^{k_1})$ satisfied by γ . Let t be the least integer such that $\gamma^{2^{k_1}}=1$. Then $\alpha^{\mu n_1(2^{k_1}-1)}=1$, so $\mu n_1(2^{t}-1)$ is divisible by n_1n_2 , and $2^{t}-1$ is divisible by n_2 . Now $n_2=(2^{k_1k_2}-1)/(2^{k_1}-1)$ has binary representation

$$1 \underbrace{0 \ 0 \cdots 0}_{1} 1 \underbrace{0 \ 0 \cdots 0}_{1} \cdots 1 \underbrace{0 \ 0 \cdots 0}_{1} \cdots 1 \cdots 1 \underbrace{0 \ 0 \cdots 0}_{1} 1$$

with k_2 1's. The binary representation of $2^t - 1$ is $11 \cdots 1$ with t 1's. Comparing these we see that the least t for which n_2 is divisible by $2^t - 1$ is $t = k_1 k_2$. Thus $t = k_1 k_2$ is the least positive integer such that $\gamma^{2^t} = \gamma$, and the k_2 elements

$$\gamma, \gamma^{2^{k_1}}, \gamma^{2^{2k_1}}, \cdots, \gamma^{2^{(k_2-1)k_1}}$$

are distinct. The polynomial of least degree satisfied by γ over $GF(2^{k_1})$ is

$$\prod_{i=0}^{k_2-1} (x - \gamma^2^{ik_1})$$

of degree k_2 . Now Q is a polynomial of degree k_2 - 1 in γ with coefficients from $GF(2^{k_1})$. Thus Q=0 implies

$$\sum_{i=0}^{k_1-1} c_{ij}\beta^i = 0, \quad 0 \le j \le k_2 - 1.$$

But this is a polynomial of degree $k_1 - 1$, and β satisfies no equation of degree less than k_1 . Therefore, $c_{ij} = 0$ for all i, j. Q.E.D.

Proof of the Window Property III*: Suppose the codewords a(z) of δ_{k_1,k_2} are folded into $n_1 \times n_2$ arrays b(x,y) as in

Section III-A. From the theorem, the $k_1 \times k_2$ array in the North-West corner of b(x, y) can be chosen arbitrarily, and determines the rest of the codeword. If W is a $k_1 \times k_2$ window placed in the North-West corner of b(x, y), as a(z) runs through the nonzero codewords of $\delta_{k_1 k_2} W$ sees each nonzero $k_1 \times k_2$ array once. If $b(x, y) \neq 0$, the arrays consist of all $x^i y^j b(x, y)$. Since the view of $x^i y^j b(x, y)$ seen by W is the same as the view of b(x, y) seen by $x^{-i}y^{-j}W$, this proves the window property. O.E.D.

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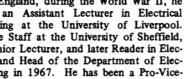
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