

# Basic Topology

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## 1 Topological Spaces

**Definition 1.1.** A **topology** on a set  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$  having the following properties:

- (1)  $\emptyset$  and  $X$  in  $\mathcal{T}$ .
- (2) The union of the elements of any subcollection of  $\mathcal{T}$  is in  $\mathcal{T}$ .
- (3) The intersection of the elements of any finite subcollection of  $\mathcal{T}$  is in  $\mathcal{T}$ .

**Example1** *Example 1.1.* indiscrete topology, trivial(discrete) topology, finite complement topology

**Definition 1.2.** Suppose  $\mathcal{T}$  and  $\mathcal{T}'$  are 2 topologies on a given set  $X$ .

If  $\mathcal{T}' \supset (\supsetneq) \mathcal{T}$ , we call  $\mathcal{T}'$  is **(strictly)finer** than  $\mathcal{T}$ ; if  $\mathcal{T}' \subset (\subsetneq) \mathcal{T}$ , we call  $\mathcal{T}'$  is **(strictly)coarser** than  $\mathcal{T}$ .

## 2 Basis for a Topology

**Definition 2.1.** If  $X$  is a set, a **basis** for a topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  (called **basis elements**) such that:

- (1) For  $\forall x \in X$ , there is at least one basis element  $B$  containing  $x$ .
- (2) If  $x$  belongs to the intersection of 2 basis elements  $B_1$  and  $B_2$ , then  $\exists$  a basis element  $B_3 \ni x$  s.t.  $B_3 \subset B_1 \cap B_2$ .

*Remark.* One can check the collection  $\mathcal{T}$  generated by the basis  $\mathcal{B}$  is a topology on  $X$  by definition easily.

**Lemma 2.1.**  $\mathcal{T}$  equals the collection of all unions of elements of  $\mathcal{B}$ , that is,  $\mathcal{T} = \{\bigcup_{B \in \mathcal{B}'} B \mid \mathcal{B}' \subset \mathcal{B}\}$

**Lemma 2.2** (the criterion to check whether a collection of open sets is a topological space's basis). Suppose  $\mathcal{C}$  is a collection of open sets of a topological space  $X$  s.t.  $\forall$  open set  $U \subset X$  and  $\forall x \in U, \exists C \in \mathcal{C}$  s.t.  $x \in C \subset U$ . Then  $\mathcal{C}$  is a basis for the topology of  $X$ .

lemma2

**Lemma 2.3** (lemma for check the finer/coarser). *TFAE:*

- (1)  $\mathcal{T}' \supset \mathcal{T}$ ;
- (2) For  $\forall x \in X$  and each basis element  $B \in \mathcal{B} \ni x$ ,  $\exists B' \in \mathcal{B}'$  s.t.  $x \in B' \subset B$ .

*Example 2.1.* Now one can see that the collection of all circular regions in the plane generates the same topology as the collection of all rectangles.

stdtop

*Example 2.2.* Three topologies on the real line  $\mathbb{R}$

- (1) **standard topology** on the real line:

generated by the collection of all open intervals in the real line.

- (2) **lower limit topology** on  $\mathbb{R}$  (denoted by  $\mathbb{R}_l$ ):

generated by the collection of all half-open intervals of the form  $[a, b)$

- (3) **K-topology** on  $\mathbb{R}$  (denoted by  $\mathbb{R}_K$ ):

Let  $K = \{\frac{1}{n} \mid n \in \mathbb{Z}_+\}$ , then  $\mathbb{R}_K$  is generated by all open intervals and all sets of the form  $(a, b) - K$ .

*Remark.*  $\mathbb{R}_l$  and  $\mathbb{R}_K$  are strictly finer than the standard topology on  $\mathbb{R}$ , but are not comparable with one another. (Easy to check)

**Definition 2.2.** A **subbasis**  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  whose union equals  $X$ . The **topology generated by the subbasis**  $\mathcal{S}$  is defined to be the collection  $\mathcal{T}$  of all unions of finite intersections of elements of  $\mathcal{S}$ , that is,  $\mathcal{T} = \{\bigcup_{B \in \mathcal{B}'} B \mid \mathcal{B}' \subset \mathcal{B}\}$ , where  $\mathcal{B} = \{S_1 \cap \dots \cap S_n \mid S_i \in \mathcal{S}, i = 1, \dots, n, n \in \mathbb{Z}_+\}$ .

## 3 The Order Topology

Suppose  $X$  is a set having a simple order relation  $<$  in this section.

$$(a, b) = \{x \mid a < x < b\}, \text{ open interval in } X$$

$$[a, b] = \{x \mid a \leq x \leq b\}, \text{ closed interval in } X$$

$$[a, b) = \{x \mid a \leq x < b\}, \text{ half-open interval in } X$$

$$(a, b] = \{x \mid a < x \leq b\}, \text{ half-open interval in } X$$

**Definition 3.1.** Let  $\mathcal{B}$  be the collection of all sets of the following types:

- (1) All open sets in  $X$ .
- (2) All intervals of the form  $[a_0, b)$ , where  $a_0$  is the smallest element (if any) of  $X$ .
- (3) All intervals of the form  $(a, b_0]$ , where  $b_0$  is the largest element (if any) of  $X$ .

The collection of  $\mathcal{B}$  is a basis, the topology it generated is called the **order topology**.

*Example 3.1.* (1)  $\mathbb{Z}_+$  form an ordered set with a smallest element. The order topology is the discrete topology, for every one-point set is open:

$$\{n\} = \begin{cases} (n-1, n+1) & n > 1 \\ [1, 2) & n = 1 \end{cases}$$

(2) Let  $X = \{1, 2\} \times \mathbb{Z}_+$  in the dictionary order, the topology on  $X$  is not the discrete topology for any basis element containing  $2 \times 1$  contains points like  $1 \times n$ .

**Definition 3.2.** For  $a \in X$ , there are 4 subsets called **rays** determined by  $a$ :  $(a, +\infty)$ ,  $(-\infty, a)$ ,  $[a, +\infty)$ ,  $(-\infty, a]$ . The first two types are open rays, and the other two are closed rays.

*Remark.* The open rays form a subbasis for the order topology on  $X$ , for  $(a, b) = (a, +\infty) \cap (-\infty, b)$ .

*Remark.* If  $X$  has a largest element  $b_0$ ,  $(a, +\infty) = (a, b_0]$ ; otherwise,  $(a, +\infty) = \bigcup_{x > a, x \in X} (a, x)$ .

## 4 The Product Topology on $X \times Y$

**Definition 4.1.** Let  $X, Y$  be topological spaces. The **product topology** on  $X \times Y$  is the topology having as basis the collection  $\mathcal{B}$  of all sets of the form  $U \times V$ , where  $U, V$  are open subset of  $X, Y$  respectively.

*Remark.* We can see from a figure that  $(U_1 \times V_1) \cap (U_2 \times V_2) = (U_1 \cap U_2) \times (V_1 \cap V_2)$ .

**Theorem 4.1.**  $\mathcal{B}, \mathcal{C}$  are bases for the topology of  $X$  and  $Y$  respectively, then the collection

$$\mathcal{D} = \{B \times C \mid B \in \mathcal{B}, C \in \mathcal{C}\}$$

is a basis for the topology  $X \times Y$ .

*Example 4.1.* We have studied a standard topology on  $\mathbb{R}$  <sup>stdtop</sup> (2.2): the order topology. The product of this topology is the standard topology on  $\mathbb{R}^2$ : the order topology in the dictionary order.

We introduce the following fuctions called projections to express the product topology in terms of a subbasis, which is useful to do so sometimes.

**Definition 4.2.** Let  $\pi_1 : X \times Y \rightarrow X$  be defined by  $\pi_1(x, y) = x$ ,  $\pi_2 : X \times Y \rightarrow Y$  be defined by  $\pi_2(x, y) = y$ . The 2 maps are called the **projections** of  $X \times Y$  onto its first and second factors, respectively.

*Remark.* Projections are onto (surjective) unless  $X$  ( or  $Y$  or  $X \times Y$ ) =  $\emptyset$ .

**Theorem 4.2.** The collection  $\mathcal{S} = \{\pi_1^{-1}(U) \mid U \text{ open in } X\} \cup \{\pi_2^{-1}(V) \mid V \text{ open in } Y\}$  is a subbasis for the product topology on  $X \times Y$ .

*Proof.* Let  $\mathcal{T}$  be the product topology,  $\mathcal{S}$  generates  $\mathcal{T}'$ . Note that  $U \times V = \pi_1^{-1}(U) \cap \pi_2^{-1}(V)$ , then it's easy to prove  $\mathcal{T} \subset (\supset) \mathcal{T}'$  respectively.  $\square$

*Remark.* From the above theorem, one can observe that the product topology is the coarsest topology among the topologies on  $X \times Y$  which ensures  $\pi_1, \pi_2$  are continuous.

## 5 The Subspace Topology

**Definition 5.1.** If  $Y \subset X$ , the collection  $\mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}\}$  is a topology on  $Y$ , called the **subspace topology**. With this topology,  $Y$  is called a **subspace** of  $X$ ; its open sets consist of all intersections of open sets of  $X$  with  $Y$ .

**Definition 5.2** (Another definition for subspace topology). Subspace topology is the coarsest topology such that the imbedding(c.f. Definition <sup>defn7.4</sup> 7.4)  $i: A \rightarrow X, i(x) = x, A \subset X$  is continuous.

**Lemma 5.1.** If  $\mathcal{B}$  is a basis for  $X$ , then the collection  $\mathcal{B}_Y = \{B \cap Y \mid B \in \mathcal{B}\}$  is a basis for the subspace topology on  $Y$ .

*Remark.* One needs to be careful when dealing with the case that  $Y$  is a subspace of  $X$ . We say that a set  $U$  is **open in  $Y$ (or open relative to  $Y$ )** if it belongs to the topology of  $Y$ .

**Lemma 5.2.** Let  $Y$  be a subspace of  $X$ , if  $U$  is open in  $Y$  and  $Y$  is open in  $X$ , then  $U$  is open in  $X$ .

Now we will focus on the subspace topology of an order topology. Let  $X$  be an ordered set in the order topology and let  $Y$  be a subset of  $X$ . The order relation on  $X$ , when restricted to  $Y$ , makes  $Y$  into an ordered set. However, the resulting order topology on  $Y$  need not to be the same as the topology that  $Y$  inherits as a subspace of  $X$ .(See the examples below.)

*Example 5.1.*  $Y = [0, 1] \subset \mathbb{R}$ , in the subspace topology. Then the open sets in  $Y$  are of the following types:

$$(a, b) \cap Y = \begin{cases} (a, b) & a, b \in Y \\ [0, b) & b \in Y, a \notin Y \\ (a, 1] & a \in Y, b \notin Y \\ Y \text{ or } \emptyset & a, b \notin Y \end{cases}$$

Note that these sets form a basis for the order topology on  $Y$ . So in this example, the subspace topology and its order topology are the same.

*Example 5.2.* Let  $Y = [0, 1] \cup \{2\} \subset \mathbb{R}$ . In the subspace topology on  $Y$ ,  $\{2\}$  is open( $\because \{2\} = (\frac{3}{2}, \frac{5}{2}) \cap Y$ ), but in the order topology on  $Y$ ,  $\{2\}$  is not open. Any basis element for the order topology on  $Y$  that contains 2 is of the form:  $\{x \mid x \in Y, a < x \leq 2, a \in Y\}$ .

example5.3

*Example 5.3.* Let  $I = [0, 1]$ . The dictionary order topology on  $I \times I$  is not the same as the subspace topology on  $I \times I$  obtained from the dictionary topology on  $\mathbb{R} \times \mathbb{R}$  although the dictionary order on  $I \times I$  is just a restriction of dictionary order on the plane on  $I \times I$ . You can see this because  $\{\frac{1}{2}\} \times (\frac{1}{2}, 1]$  is open in  $I \times I$  in the subspace topology, but is not open in the order topology. See Figure <sup>fig:5.1</sup> 1. The set  $I \times I$  in the dictionary order topology will be called the **ordered square**, denoted by  $I_o^2$ .

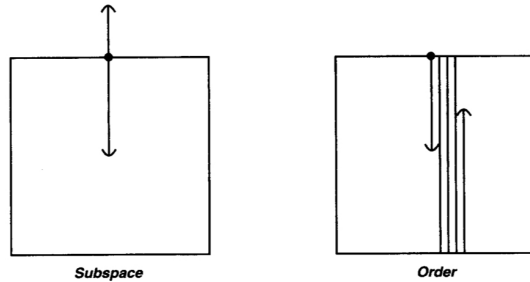


Figure 1: Subspace and Order topology on  $I \times I$

fig:5.1

**Lemma 5.3.** The order topology induced on  $Y \subset$  the subspace topology on  $Y$ .

*Proof.*  $\because$  the order topology on  $Y$  has a subbasis  $\{\{x \in Y \mid x < a\} \mid a \in Y\} \cup \{\{x \in Y \mid x > a\} \mid a \in Y\}$ , and  $\{x \in Y \mid x < a\} = (-\infty, a) \cap Y$ ,  $\therefore$  the open sets in the order topology is also open in the subspace topology.  $\square$

**Definition 5.3.** Given an ordered set  $X$ , we say  $Y \subset X$  is **convex** in  $X$  if for each pair of points  $a < b$  of  $Y$ , the entire interval  $(a, b)$  of points of  $X$  lies in  $Y$ . Note that intervals and rays in  $X$  are convex in  $X$ .

**Theorem 5.1** (A sufficient condition for 'order topology=subspace topology'). *Let  $X$  be an ordered set in the order topology; let  $Y$  be a subset of  $X$  that is convex in  $X$ . Then the order topology on  $Y$  is the same as the topology  $Y$  inherits as a subspace of  $X$ .*

*Proof.* Similar to the above lemma. One should also consider the subbasis. □

*Remark.* To avoid ambiguity, whenever  $X$  is an order set in the order topology and  $Y \subset X$ , we shall assume that  $Y$  is given the subspace topology unless specified otherwise.

## 6 Closed sets and Limit Points

**Definition 6.1.** A subset  $A$  of a topological space  $X$  is said to be **closed** if the set  $X - A$  is open.

**Lemma 6.1.** *Let  $Y \subset X$ , then  $A$  is closed in  $Y \iff$  it equals the intersection of a closed set of  $X$  with  $Y$ .*

**Lemma 6.2.** *Let  $Y \subset X$ , if  $A$  is closed in  $Y$ ,  $Y$  is closed in  $X$ , then  $A$  is closed in  $X$ .*

**Definition 6.2.** Given a subset  $A \subset X$ . The **interior** of  $A$  is defined as the union of all open sets contained in  $A$ , the **closure** of  $A$  is defined as the intersection of all closed sets containing  $A$ .

The interior of  $A$  is denoted by  $IntA$  or  $\overset{\circ}{A}$  and the closure of  $A$  is denoted as  $ClA$  or  $\bar{A}$ .

Obviously,  $IntA$  is the largest open set contained in  $A$ , and  $\bar{A}$  is the smallest closed set containing  $A$ ; furthermore,  $IntA \subset A \subset \bar{A}$ . If  $A$  is open,  $A = IntA$ ; if  $A$  is closed,  $A = \bar{A}$ .

**Lemma 6.3.** *Let  $Y$  be a subspace of  $X$ ,  $A$  be a subset of  $Y$ ; let  $\bar{A}$  denote the closure of  $A$  in  $X$ . Then the closure of  $A$  in  $Y$  equals  $\bar{A} \cap Y$ .*

**Definition 6.3.** We introduce 2 terminology. We shall say that a set  $A$  **intersects** a set  $B$  if  $A \cap B \neq \emptyset$ . They say that  $A$  is a **neighborhood** of  $x$  if  $A$  contains an open set containing  $x$ .

**Theorem 6.1.** *Let  $A$  be a subset of the topological space  $X$ .*

(a) *Then  $x \in \bar{A} \iff$  every neighborhood of  $x$  intersects  $A$ .*

(b) *Supposing the topology of  $X$  is given by a basis, then  $x \in \bar{A} \iff$  every basis element  $B$  containing  $x$  intersects  $A$ .*

*Remark.*  $x \notin \bar{A} \iff \exists U \ni x$  open that does not intersect  $A$ .

**Definition 6.4.** If  $A$  is a subset of the topological space  $X$  and  $x \in X$ , we say that  $x$  is a **limit point** (or "cluster point", or "point of accumulation") of  $A$  if every neighborhood of  $x$  intersects  $A$  in some point other than  $x$  itself. Said differently,  $x$  is a limit point of  $A$  if  $x \in \overline{A - \{x\}}$ . We denote all the limit point of  $A$  as  $A'$ .

**Lemma 6.4.**  $\bar{A} = A \cup A'$ .

**Corollary 6.1.**  $A$  is closed  $\iff A' \subset A$

**Definition 6.5.** In an arbitrary topological space, one says that a sequence  $x_1, x_2, \dots$  of points of the space  $X$  **converges** to the point  $x \in X$  provided that, corresponding to each neighborhood  $U$  of  $x$ ,  $\exists N$  such that  $x_n \in U, \forall n \geq N$ .

*Remark.* In an arbitrary topological space, a sequence may converge to more than one point. So we need to impose an additional condition that rule out this situation.

**Definition 6.6.** (1) A topological space  $X$  is called a **Hausdorff Space** ( $T_2$  space) if for  $\forall x_1 \neq x_2 \in X, \exists$  neighborhood  $U_1, U_2$  of  $x_1, x_2$ , respectively, such that  $U_1 \cap U_2 = \emptyset$ .

(2) The condition that finite point sets be closed is called the  $T_1$  **axiom**, which is weaker than the Hausdorff condition (c.f. Example 6.1). This means that a Hausdorff space satisfies  $T_1$  axiom. (See the lemma below)

**Lemma 6.5.** Every finite point set in a Hausdorff space  $X$  is closed. ( $T_2 \Rightarrow T_1$ )

*Proof.* It suffices to show that every one-point set  $\{x_0\}$  is closed. □

egT1

*Example 6.1.* The real line  $\mathbb{R}$  in the finite complement topology is not a Hausdorff space, but it is a space in which finite point sets are closed.

*Remark.* Most of the spaces that are important to mathematicians are Hausdorff spaces.

**Theorem 6.2.** If  $X$  is a Hausdorff space, then a sequence of points of  $X$  converges to at most one point of  $X$ .

*Remark.* If the sequence  $\{x_n\}$  of a Hausdorff space  $X$  converges to the point  $x$  of  $X$ , we often write  $x_n \rightarrow x$ , we say that  $x$  is the **limit** of the sequence  $\{x_n\}$ .

**Theorem 6.3.** Let  $X$  be a  $T_1$  space; let  $A$  be a subset of  $X$ . Then  $x$  is a limit point of  $A \iff$  every neighborhood of  $x$  contains infinitely many points of  $A$ .

**Proposition 6.1.** We have following properties:

- (1) Every simply ordered set is a Hausdorff space in the order topology.
- (2) The product of two  $T_i$  space is a  $T_i$  space.
- (3) A subspace of a  $T_i$  space is a  $T_i$  space. ( $i = 1, 2$ )

**Proposition 6.2.** This property is about metric topology:

The Hausdorff axiom is satisfied by every metric topology.

**Lemma 6.6** (The sequence lemma (c.f. Lemma 9.2)). Lemma 9 Let  $A \subset X$ . If  $\exists \{x_i\}_{i=1}^{+\infty} \subset A$ , s.t.  $x_i$  converge to  $x$ , then  $x \in \bar{A}$ ; the converse holds if  $X$  is metrizable. Furthermore, if  $X$  is metrizable, then  $x \in A' \iff \exists \{x_i\}_{i=1}^{+\infty} \subset A (x_i \neq x)$  s.t.  $x_i \rightarrow x$ .

**Definition 6.7.** If  $A \subset X$ , we define the **boundary** of  $A$  by the equation

$$BdA = \bar{A} \cap \overline{(X - A)}.$$

## 7 Continuous Functions

**Definition 7.1.** A function  $f : X \rightarrow Y$  is said to be **continuous** if for each open subset  $V$  of  $Y$ ,  $f^{-1}(V)$  is open in  $X$ .

**Proposition 7.1.** Let  $\mathcal{S}$  ( or  $\mathcal{B}$  ) is a subbasis ( or basis ) of  $Y$ , then  $f$  is continuous  $\iff \forall V \in \mathcal{S}$  ( or  $\mathcal{B}$  ),  $f^{-1}(V)$  is open in  $X$ .

*Example 7.1.* Let  $\mathbb{R}$  denote the set of real numbers in its usual topology, and let  $\mathbb{R}_l$  denote the same set in the lower limit topology. Let  $f : \mathbb{R} \rightarrow \mathbb{R}_l$  be the identity function,  $f(x) = x$ . Then  $f$  is not a continuous function:  $f^{-1}[a, b) = [a, b)$  is not open in  $\mathbb{R}$ .

**Theorem 7.1.** Let  $f : X \rightarrow Y$ , TFAE:

- (1)  $f$  is continuous.
- (2) For every subset  $A$  of  $X$ ,  $f(\bar{A}) \subset \bar{f(A)}$ .
- (3) For every closed subset  $B$  of  $Y$ , the set  $f^{-1}(B)$  is closed in  $X$ .
- (4) For  $\forall x \in X, \forall$  neighborhood  $V$  of  $f(x), \exists$  a neighborhood  $U$  of  $x$  s.t.  $f(U) \subset V$ .

*Proof.* We only need to show that  $(1) \Rightarrow (2) \Rightarrow (3) \Rightarrow (1)$  and that  $(1) \Rightarrow (4) \Rightarrow (1)$ . □

**Definition 7.2.** Let  $f : X \rightarrow Y$  be a bijection, if both  $f$  and its inverse  $f^{-1} : Y \rightarrow X$  are continuous, then  $f$  is called a **homeomorphism**.

**Proposition 7.2.** Let  $f : X \rightarrow Y$  be a bijection, TFAE:

- (1)  $f$  is a homeomorphism.
- (2)  $f(U)$  is open in  $Y \iff U$  is open in  $X$ .

**Definition 7.3.** The above theorem shows that a homeomorphism gives us a bijective correspondence not only between 2 sets  $(X, Y)$  but also between the collections of open sets of  $X$  and  $Y$ . A property that also holds under homeomorphism  $(X, f(X))$  both holds,  $f$  homeomorphism) is called a **topological property**.

defn7.4

**Definition 7.4.** Now suppose  $f$  is injective. Let  $Z$  be the image set  $f(X)$  as a subspace of  $Y$ , then the restriction  $\tilde{f} : X \rightarrow Z$  is bijective. If it happens to be a homeomorphism, we say that the map  $f : X \rightarrow Y$  is a **(topological) imbedding** of  $X$  in  $Y$ .

*Example 7.2.* A bijective function can be continuous without being a homeomorphism.

One such function is the identity map  $g : \mathbb{R}_l \rightarrow \mathbb{R}$ . Another is the following: Let  $S^1$  be the unit circle as a subspace of the plane  $\mathbb{R}^2$ , and let  $f : [0, 1) \rightarrow S^1, f(t) = (\cos 2\pi t, \sin 2\pi t)$ .  $f[0, \frac{1}{4})$  is not open, so  $f$  is not a homeomorphism.

As a result, consider  $h : [0, 1) \rightarrow \mathbb{R}^2$  obtained from  $f$  by expanding the range.  $h$  is an example of a continuous injective map that is not an imbedding.

**Proposition 7.3** (Rules for constructing continuous functions). Let  $X, Y, Z$  be topological spaces,  $A \subset X$  as subspace,

- (1)(Constant functions) Given  $y_0 \in Y, f(x) = y_0, \forall x \in X$  is continuous.
- (2)(Inclusion) The inclusion function  $j : A \rightarrow X$  is continuous.
- (3)(Composites) If  $f : X \rightarrow Y, g : Y \rightarrow Z$  are continuous, so as  $g \circ f : X \rightarrow Z$ .
- (4)(Restricting the domain) If  $f : X \rightarrow Y$  is continuous, then  $f|_A : A \rightarrow Y$  is continuous.
- (5)(Restricting or expanding the range) Let  $f : X \rightarrow Y$  is continuous,  $f(X) \subset Z \subset Y \subset W$  are both subspaces, then  $X \rightarrow Z$  and  $X \rightarrow W$  obtained by restricting or expanding the range are continuous.
- (6)(Local formulation of continuity) If  $f : X \rightarrow Y$  is continuous,  $X = \bigcup_{\alpha} U_{\alpha}, U_{\alpha}$  open, then  $f|_{U_{\alpha}}$  is continuous for each  $\alpha$ .

**Theorem 7.2** (The pasting lemma). Let  $X = A \cup B$ , where  $A, B$  are closed in  $X$ . Let  $f : A \rightarrow Y, g : B \rightarrow Y$  be continuous. If  $f(x) = g(x), \forall x \in A \cap B$ , then the function  $h : X \rightarrow Y$  defined below

$$h(x) = \begin{cases} f(x) & x \in A \\ g(x) & x \in B \end{cases}$$

is a continuous.

*Remark.* This theorem also holds if  $A, B$  are open sets in  $X$ , this is just a special case of the "local formulation of continuity" rule in preceding proposition.

*Example 7.3.* The pasting lemma only holds for finite number of closed sets. Let

$$A_i = \begin{cases} \{\frac{1}{i}\}, & i \in \mathbb{Z}^+ \\ \{0\}, & i = 0 \end{cases}, f_i : A_i \rightarrow \mathbb{R}, f_i(\frac{1}{i}) = \begin{cases} 1, & i \in \mathbb{Z}^+ \\ 0, & i = 0 \end{cases}$$

then  $A_i$  are all disjoint, if pasting all functions on  $\bigcup_{i \in \mathbb{Z}^+} A_i$  to get a function  $g, g$  is not continuous.

thm7.3

**Theorem 7.3** (Maps into products). *Let  $f : A \rightarrow X \times Y, f(a) = (f_1(a), f_2(a))$ , then  $f$  is continuous  $\iff f_1, f_2$  are continuous.*

*The maps  $f_1, f_2$  are called the **coordinate functions** of  $f$ .*

*Proof.* “ $\Rightarrow$ ”  $\because f_i(a) = \pi_i(f(a)), i = 1, 2; \forall a \in A$  and  $\pi_i$  is the projection, which is continuous.

“ $\Leftarrow$ ”  $\because f^{-1}(U \times V) = f_1^{-1}(U) \cap f_2^{-1}(V)$ . □

*Remark.*  $f : A \times B \rightarrow Y$  may not be continuous if it is continuous in each variable separately.  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  be defined below is continuous in each variable separately but  $f$  is not continuous (Consider  $g(x) = f(x \times x)$ ).

$$f(x \times y) = \begin{cases} \frac{xy}{x^2+y^2} & x \times y \neq 0 \times 0 \\ 0 & x \times y = 0 \times 0 \end{cases}$$

## 8 The Product Topology

**Definition 8.1.** Let us take the collection of all sets of the form  $\Pi_{\alpha \in J} U_\alpha$  as a basis for a topology on the product space  $\Pi_{\alpha \in J} X_\alpha$ , where  $U_\alpha$  is open in  $X_\alpha$  for  $\forall \alpha \in J$ . The topology generated by the basis is called the **box topology**.

**Definition 8.2.** Let  $\mathcal{S}_\beta = \{\pi_\beta^{-1}(U_\beta) \mid U_\beta \text{ open in } X_\beta\}$ , and let  $\mathcal{S} = \bigcup_{\beta \in J} \mathcal{S}_\beta$ . The topology generated by the subbasis  $\mathcal{S}$  is called the **product topology**. In this space,  $\Pi_{\alpha \in J} X_\alpha$  is called the **product space**.

**Proposition 8.1** (Basis of this 2 topologies and their comparison). *The box or product topology on  $\Pi X_\alpha$  has as basis all sets of the form  $\Pi U_\alpha$ . For each element of a basis of the product topology,  $U_\alpha \neq X_\alpha$  holds for only finitely  $\alpha$ .*

*From above, we know that product topology  $\subset$  box topology. (If  $J$  is finite, then these two are equal; otherwise, it is a strictly finer relation.)*

*Remark.* We can get a stronger proposition that product topology  $\subset$  uniform topology  $\subset$  box topology (c.f. Theorem 9.1). thm9

*Remark.* Whenever we consider  $\Pi X_\alpha$ , we shall assume it is given the product topology unless specified otherwise.

**Proposition 8.2.** *Let  $A_\alpha$  be a subspace of  $X_\alpha$  for  $\forall \alpha$ , then  $\Pi A_\alpha$  is a subspace of  $\Pi X_\alpha$ , either box or product topology is given.*

**Proposition 8.3.** *If  $X_\alpha$  is hausdorff for each  $\alpha$ , then  $X_\alpha$  is also Hausdorff in both the box and product topologies.*

*Proof.* We only need to prove for the product topology case. ( $\because$  product topology  $\subset$  box topology,  $\therefore$  the proposition that product topology is Hausdorff indicates that the other.) □

**Theorem 8.1.** *If  $A_\alpha \subset X_\alpha, \forall \alpha$ ; then  $\Pi \bar{A}_\alpha = \overline{\Pi A_\alpha}$  in both box and product topologies.*

**Theorem 8.2** (A generalization of theorem 7.3).  *$f : Y \rightarrow (\Pi X_\alpha, \text{product topology})$  is continuous  $\iff \pi_\alpha \circ f$  is continuous for each  $\alpha$ .* thm7.3

The above theorem is false for box topology, see the example below.

*Example 8.1.* Let  $\mathbb{R}^\omega := \Pi_{n=1}^{\infty} \mathbb{R}$ , define  $f : \mathbb{R} \rightarrow \mathbb{R}^\omega, f(t) = (t, t, \dots, t)$ .

$\because f^{-1}\left((t-1, t+1) \times (t-\frac{1}{2}, t+\frac{1}{2}) \times \dots \times (t-\frac{1}{n}, t+\frac{1}{n}) \times \dots\right) = \bigcap_{n=1}^{\infty} (t-\frac{1}{n}, t+\frac{1}{n}) = \{t\}$  is not open when given box topology,

$\therefore$  the above theorem is false for box topology.



## 9 The Metric Topology

**Definition 9.1.** A **metric** on a set  $X$  is a function  $d : X \times X \rightarrow \mathbb{R}$  satisfies:

- (1)  $d(x, y) \geq 0$ ,  $\forall x, y \in X$ ; equality holds  $\iff x = y$ .
- (2)  $d(x, y) = d(y, x)$ ,  $\forall x, y \in X$ .
- (3)  $d(x, y) + d(y, z) \geq d(x, z)$ ,  $\forall x, y, z \in X$ .

**Definition 9.2.** If  $d$  is a metric on the set  $X$ , then the collection of all  $\epsilon$ -balls  $B_d(x, \epsilon)$ ,  $x \in X, \epsilon > 0$  is a basis for a topology on  $X$ , called the **metric topology** induced by  $d$ .

**Definition 9.3** (Another definition for metric topology). A set  $U$  is open in the metric topology induced by  $d \iff \forall y \in U, \exists \delta > 0$ , s.t.  $B_d(y, \delta) \subset U$ .

*Example 9.1.* Given a set  $X$ , define

$$d(x, y) = \begin{cases} 1 & x \neq y \\ 0 & x = y \end{cases}$$

The topology it induces is the discrete topology (Example 1.1). **Example 1**

**Definition 9.4.** If  $X$  is a topological space,  $X$  and  $(Y, d)$  is homeomorphic, then  $X$  is called **metrizable**. There may exist different metrics that give different topologies on a metrizable space.

**Definition 9.5.**  $(X, d)$  is called a **bounded metric space** if  $\exists M > 0$ , s.t.  $\forall x, y \in X, d(x, y) \leq M$ .

**prop9**

**Proposition 9.1.** Let  $(X, d)$  be a metric space. Define  $\bar{d} : X \times X \rightarrow \mathbb{R}$  as  $\bar{d}(x, y) = \min\{d(x, y), 1\}$ . Then  $\bar{d}$  is a metric induces the same topology as  $d$  (i.e.  $\text{id} : (X, d) \rightarrow (X, \bar{d})$  is a homeomorphism). The metric  $\bar{d}$  is called the **standard bounded metric** corresponding to  $d$ .

**Lemma 9.1** (Metric space case for Lemma 2.3). **Lemma 2** Consider  $(X, d)$ ,  $(X, d')$ , then  $\mathcal{T}'$  is finer than  $\mathcal{T} \iff \forall x \in X, \forall \epsilon > 0, \exists \delta > 0$ , s.t.  $B_{d'}(x, \delta) \subset B_d(x, \epsilon)$ .

**Corollary 9.1.**  $(X, d)$  and  $(X, \bar{d})$  is homeomorphic.

**Proposition 9.2.** Let  $(X, \rho_1), (Y, \rho_2)$  be metric spaces, then the following three are metric functions on  $X_1 \times \dots \times Y$ :

$$d_1(x, y) = \rho_1(x_1, y_1) + \rho_2(x_2, y_2);$$

$$d_2(x, y) = \sqrt{\rho_1(x_1, y_1)^2 + \rho_2(x_2, y_2)^2};$$

$d_\infty(x, y) = \max\{\rho_1(x_1, y_1), \rho_2(x_2, y_2)\}$ ; and the induced topology of these three metrics are all the product topology on  $X \times Y$ .

*Proof.*  $\because d_\infty(x, y) \leq d_1(x, y) \leq \sqrt{2}d_2(x, y) \leq 2d_\infty(x, y)$ , and then apply the above lemma. □

**Proposition 9.3.** For  $\mathbb{R}^n$ ,

$$d_2(x, y) = \|x - y\| = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2} \text{ is called the } \mathbf{euclidean} \text{ metric on } \mathbb{R}^n;$$

$$d_\infty(x, y) = \max\{|x_1 - y_1|, \dots, |x_n - y_n|\} \text{ is called the } \mathbf{square} \text{ metric on } \mathbb{R}^n.$$

The induced topology of  $d_2(x, y), d_\infty(x, y)$  is the product topology on  $\mathbb{R}^n$ .

*Proof.*  $\because d_\infty(x, y) \leq d_2(x, y) \leq \sqrt{n}d_\infty(x, y)$  □

*Remark.* It is not natural to generalize the metric  $d_2(x, y), d_\infty(x, y)$  to  $\mathbb{R}^\omega$ , for the series need not converge and the supremum does not always make sense.

**defn9**

**Definition 9.6.** Given an index set  $J$  and a collection of metric space  $(X_\alpha, d_\alpha)_{\alpha \in J}$ , define a metric  $\bar{\rho}$  on  $\prod_{\alpha \in J} X_\alpha$  as  $\bar{\rho}(x, y) = \sup\{\bar{d}_\alpha(x_\alpha, y_\alpha) \mid \alpha \in J\}$ , the induced topology is called the **uniform topology**, where  $\bar{d}_\alpha$  is the standard bounded topology (c.f. Proposition 9.1) in  $X_\alpha$ . **prop9**

thm9

**Theorem 9.1.** On  $\Pi_{\alpha \in J} X_{\alpha}$ , product topology  $\subset$  uniform topology  $\subset$  box topology. If  $J$  is an infinite set, these three topologies are distinct with each other.

*Proof.* The proof is a simple application of the original definition. The last statement can be shown by given examples as the following: Given  $x \in \Pi X_i$ , then  $\Pi\{y_i | d(x_i, y_i) < \frac{1}{i}\}$  is open in box topology but not in uniform topology;  $\Pi\{y_i | d(x_i, y_i) < \frac{1}{2}\}$  is open in uniform topology but not in prouduct topology  $\square$

**Theorem 9.2** (When  $|J|$  is countable, product topology is metrizable). *Given a collection of metric space  $(X_i, d_i)_{i \in \mathbb{N}^*}$ , define a metric  $D(x, y)$  on  $\Pi_{i=1}^{+\infty} X_i$  as  $D(x, y) = \sup\{\frac{\bar{d}_i(x_i, y_i)}{i}\}$ , then the topology induced by the metric  $D$  is the product topology on  $\Pi_{i=1}^{+\infty} X_i$ .*

*Proof.* (1)  $\because y \in B_D(x, r) \iff D(x, y) < r \iff \sup\{\frac{\bar{d}_i(x_i, y_i)}{i}\} < r, \therefore$  take  $N$  s.t.  $\frac{1}{N} < r$ , then  $y \in \Pi_{i=1}^{N-1} B_{d_i}(x_i, r) \times \Pi_{i=N}^{+\infty} X_i \subset B_D(x, r)$ . (2) Conversely, for the basis element of product topology  $\Pi_{i=1}^{N-1} U_i \times \Pi_{i=N}^{+\infty} X_i \ni y$ , take  $\epsilon > 0$  s.t.  $y_i \in B_{d_i}(x_i, r) \subset U_i, i = 1, 2, \dots, N-1$ , then  $y \in B_D(x, \epsilon/N) \subset \Pi_{i=1}^{N-1} U_i \times \Pi_{i=N}^{+\infty} X_i$ .  $\square$

**Definition 9.7.** A space  $X$  is said to have a **countable basis at the point**  $x$  if there exists a countable collection  $\{U_n\}_{n \in \mathbb{Z}_+}$  of neighborhoods of  $x$  s.t.  $\forall$  neighborhood  $U$  of  $x$ ,  $\exists n$ , s.t.  $U_n \subset U$ . A space  $X$  that has a countable basis at each of its points is said to satisfy the **first countability axiom**.

**Proposition 9.4.** A metrizable space satisfy the first countability axiom.

Lemma9

**Lemma 9.2** (The sequence lemma). *Let  $A \subset X$ . If there is a sequence of points of  $A$  converging to  $x$ , then  $x \in \bar{A}$ ; the converse holds if  $X$  has a countable basis at  $x$ .*

*Proof.*  $\Leftarrow$ : take  $x_n \in U_1 \cap \dots \cap U_n \cap A$ , then  $\{x_n\}$  converges to  $x$ .  $\square$

**Theorem 9.3.** *Let  $f : X \rightarrow Y$  and assume that  $X$  has a countable basis at  $x$ , then  $f$  is continuous at  $x \iff$  for every sequence  $x_n$  converging to  $x$ , the sequence  $f(x_n)$  converges to  $f(x)$ .*

*Proof.*  $\Rightarrow$ : Let  $V \ni f(x)$  open in  $Y$ ,  $x_n$  converges to  $x$ , then  $x_n \in f^{-1}(V), \forall n > N$ , then  $f(x_n) \in V, \forall n > N$ .

$\Leftarrow$ : Let  $A \ni f(x)$  closed in  $Y$ , if  $f^{-1}(A)$  is not closed, take  $x \in \overline{f^{-1}(A)} \setminus f^{-1}(A)$ , then apply the sequence lemma, there exists a sequence  $\{x_n\} \subset f^{-1}(A)$  converges to  $x$ , by the assumption,  $f(x_n)$  converges to  $f(x)$ , then  $f(x) \in \bar{A} = A$ , this is contradict to  $x \in \overline{f^{-1}(A)} \setminus f^{-1}(A)$ , so  $f^{-1}(A)$  is closed.  $\square$

lemma9.3

**Lemma 9.3.** *Let  $(X, d_1), (Y, d_2)$  metriable, then  $f : X \rightarrow Y$  is continuous at  $x \iff \forall \epsilon > 0, \exists \delta > 0$ , s.t. if  $d_1(x, y) < \delta$ , then  $d_2(f(x), f(y)) < \epsilon$ .*

**Proposition 9.5.** *Let  $X$  be a topological space. If  $f, g : X \rightarrow \mathbb{R}$  are continuous function, then  $f + g, f - g, f \dot{g}$  are continuous. If  $g(x) \neq 0, \forall x$ , then  $f/g$  is continuous.*

*Proof.*  $f + g : X \xrightarrow{(f, g)} \mathbb{R}^2 \xrightarrow{+} \mathbb{R}$ , the first map is continuous by applying Theorem <sup>thm7.3</sup> 7.3, the second map is continuous by applying Lemma <sup>lemma9.3</sup> 9.3. Thus  $f + g$  is continuous.  $\square$

**Definition 9.8.** Let  $f_n : X \rightarrow (Y, d)$  be a sequence of functions, then we say  $f_n$  **converges uniformly** to  $f : X \rightarrow Y$  if  $\forall \epsilon > 0, \exists N$ , s.t.  $\forall n > N, \forall x \in X$   $d(f_n(x), f(x)) < \epsilon$ .

**Theorem 9.4** (Uniform limit theorem). *Let  $f_n : X \rightarrow (Y, d)$  be a sequence of continuous functions, if  $f_n$  converges uniformly to  $f$ , then  $f$  is continuous.*

*Remark.* The notion of uniform convergence is related to the Definition <sup>defn9</sup> 9.6 of the uniform metric. Consider the space  $\mathbb{R}^X$  of all functions  $f : X \rightarrow \mathbb{R}$  in the uniform metric  $\bar{\rho}$ . One can check that  $f_n : X \rightarrow \mathbb{R}$  converges uniformly to  $f \iff f_n$  converges to  $f$  when they are considered as elements of  $(\mathbb{R}^X, \bar{\rho})$ .

At last, we give some examples of spaces that are not metrizable.

*Example 9.2* ( $\mathbb{R}^\omega$  in the box topology is not metrizable). We shall show that the sequence lemma does not hold for  $\mathbb{R}^\omega$  with box topology. (So it does not satisfy the first countability axiom) Let  $A = \{(x_1, x_2, \dots) \mid x_i > 0, \forall i \in \mathbb{Z}_+\}$ , then  $0 \in \bar{A}$ ; however, for any sequence  $\{a_n\}$ ,  $a_n = (x_{1n}, x_{2n}, \dots, x_{in}, \dots)$ , we can construct a basis element  $B$  for the box topology by  $B = (-x_{11}, x_{11}) \times (-x_{22}, x_{22}) \times \dots$ . Then  $0 \in B$ , but  $\{a_n \mid n \in \mathbb{Z}_+\} \cap B = \emptyset$ , thus  $a_n$  does not converges to 0.

*Example 9.3* ( $\mathbb{R}^J$  in the product topology is not metrizable when  $J$  is an uncountable index set). We also show that the sequence lemma does not hold for this. (So it does not satisfy the first countability axiom.) Let  $A = \{(x_\alpha) \mid \text{only finitely many } \alpha \text{ satisfies that } x_\alpha \neq 1\}$ , then  $0 \in \bar{A}$ ; however, for any sequence  $\{a_n\} \subset A$ , let  $J_n$  denote those indices  $\alpha$  for which the  $\alpha$ -th coordinate of  $a_n$  is different from 1. Then  $|J_n| < +\infty$ , so  $I = \bigcup J_n$  is countable, thus  $\exists$  an index  $\beta \in J \setminus I$ . So for each  $a_n$ , its  $\beta$ -th coordinate is 1. We can conclude that  $\pi_\beta^{-1}((-1, 1))$  is a neighborhood of 0 that contains no points of  $a_n$ , so the sequence does not converge to 0, which contradicts the sequence lemma.

## 10 The Quotient Topology

**Definition 10.1.** Let  $X$  be a topological space,  $\sim$  is a equivalence relation on  $X$ . Define a surjective map  $p : X \rightarrow X/\sim$  as  $p(a) = [a] := \{b \in X \mid b \sim a\}$ . Then  $\mathcal{F} = \{U \in X/\sim \mid p^{-1}(U) \text{ is open in } X\}$  is a topology on  $X/\sim$ . We call this topology as the **quotient topology** on  $X/\sim$ ,  $(X/\sim, \text{quotient topology})$  is called a **quotient space** of  $X$ .

**Definition 10.2.** We say a subset  $C$  of  $X$  is a **saturated set** with respect to  $\sim$ , if it contains every set  $p^{-1}(y)$  it intersects. Thus  $C$  is saturated  $\iff C = p^{-1}(B)$  for some  $B \subset X/\sim \iff C = p^{-1}(p(C))$ .

*Remark.* The open set  $V$  in  $X/\sim$  is the image of a saturated open set  $p^{-1}(V)$ .

*Example 10.1.* Define  $\sim$  on  $[0, 1]$ :  $x \sim y \iff x, y \in \{0, 1\} \text{ or } x = y$ . Then  $[0, 1]/\sim \xrightarrow{f} S^1, f(x) = e^{2\pi i x}$  is homeomorphism.

*Example 10.2.* Define  $\sim$  on  $[0, 1] \times [0, 1]$ :  $(0, y) \sim (1, y), (x, 0) \sim (1 - x, 1)$ , then  $[0, 1] \times [0, 1]/\sim$  is called **Klein bottle**.

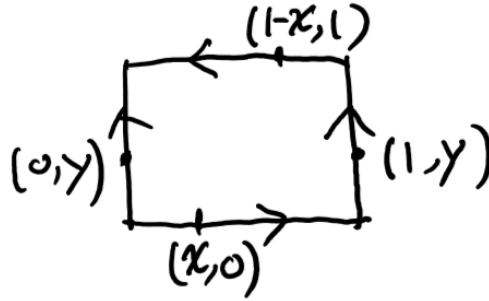


Figure 2: Klein bottle

**Definition 10.3.** Let  $X, Y$  be topological spaces, let  $p : X \rightarrow Y$  be a surjective map. The map  $p$  is called a **quotient map** provided that  $U$  is open in  $Y \iff p^{-1}(U)$  is open in  $X$ .

*Remark.* (1)  $X \rightarrow X/\sim$  is a quotient map as the definition above.

(2) For a quotient map  $p : X \rightarrow Y$ , we can define  $\sim$  as:  $x_1 \sim x_2 \iff p(x_1) = p(x_2)$ , then  $X/\sim = \{p^{-1}(y) \mid y \in Y\}$  is homeomorphic to  $Y$ .

**Proposition 10.1.** The composites of two quotient maps is a quotient map.

**Theorem 10.1.** Let  $p : X \rightarrow Y$  be a quotient map, and the map  $g : X \rightarrow Z$  satisfies that  $\forall y \in Y, g|_{p^{-1}(y)}$  is a constant map, then (1)  $\exists! f : Y \rightarrow Z$ , s.t.  $f \circ p = g$ . (2) And  $f$  is continuous  $\iff g$  is continuous; (3)  $f$  is a quotient map  $\iff g$  is quotient map.

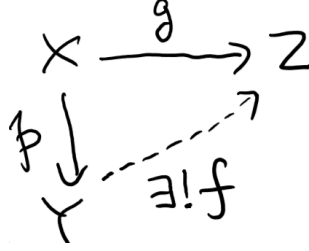


Figure 3:

*Proof.* (3) “ $\Leftarrow$ ”:  $\because g$  is continuous,  $\therefore f$  is continuous. Let  $f^{-1}(U)$  is open, then  $p^{-1}(f^{-1}(U)) = g^{-1}(U)$  is open,  $\therefore U$  is open.  $\square$

*Example 10.3* (Not a quotient map). Let  $X = \bigcup_{n=1}^{+\infty} [0, 1] \times \{n\}$ ,  $Z = \{x \times \frac{x}{n} \mid x \in [0, 1]\}$  both with the subspace topology of  $\mathbb{R}^2$ .  $g : X \rightarrow Z$  be defined as  $g(x \times n) = x \times \frac{x}{n}$ , thus the quotient space  $X^* = \bigcup_{x \times y \in Z \setminus \{0 \times 0\}} \{x \times \frac{x}{y}\} \cup \{0 \times n \mid n \in \mathbb{N}^*\}$ . However,  $g$  is not a quotient map because  $A = \{\frac{1}{n} \times n \mid n \in \mathbb{N}^*\}$  is a saturated closed set in  $X$ , but  $g(A) = \{\frac{1}{n} \times \frac{1}{n^2} \mid n \in \mathbb{N}^*\}$  is not a closed set in  $Z$ . This phenomenon is because the quotient space  $X^*$  identify the subset  $\{0\} \times \mathbb{N}^*$  to a point.

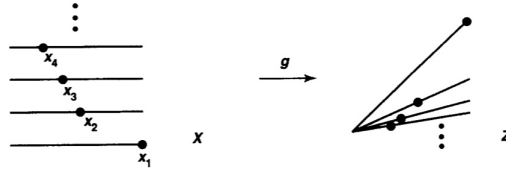


Figure 4:  $g$  is not a quotient map

*Example 10.4* (The product of two quotient maps need not be a quotient map). Let  $X = \mathbb{R}$ ,  $A = \mathbb{Z}_+$ , and  $p : X \rightarrow X/A$  be the quotient map ( $X/A$  means that the space is obtained from  $X$  by identifying the subset  $A$  to a point  $b$ ),  $id : \mathbb{Q} \rightarrow \mathbb{Q}$  be a quotient map, then  $p \times id : X \times \mathbb{Q} \rightarrow X/A \times \mathbb{Q}$  is not a quotient map.

Let  $c_n = \frac{\sqrt{2}}{n}$ , consider all the  $U_n$  like the shadowed area below for each  $n$  ( $U_n$  is lying above or beneath both of the straight lines with slopes 1 and -1, respectively, and between the vertical lines  $x = n - \frac{1}{4}$ ,  $x = n + \frac{1}{4}$ ) (See Figure 5).

Let  $U = \bigcup U_n$ , then  $U$  is a saturated open set in  $X \times \mathbb{Q}$ .

Assume  $(p \times id)(U)$  is also open, then  $([1] \times 0) \in X/A \times \mathbb{Q}$ , hence  $(p \times id)(U)$  contains an open set of the form  $W \times \{y \in (-q, q) \mid y \in \mathbb{Q}\}$  where  $p^{-1}(W)$  is open in  $X$  and  $\mathbb{Z}_+ \subset p^{-1}(W)$ . Thus  $\exists \epsilon_n > 0, q > 0$ , s.t.  $\bigcup_{n=1}^{+\infty} (n - \epsilon_n, n + \epsilon_n) \times ((-q, q) \cap \mathbb{Q})$ . However,  $\exists N, \exists q > c_N$ ,  $(N - \epsilon_N, N + \epsilon_N) \times ((-q, q) \cap \mathbb{Q}) \not\subset U$ , which leads to a contradiction!

eg10.4



*Remark.* The above result is true for finite cartesian product by induction. However, it is not true for infinite cartesian product, see the following examples.

*Example 11.1* ( $(\mathbb{R}^\omega, \text{box topology})$  is not connected.).

$A = \{(x_i) \mid x_i \text{ is bounded}, \forall i\}$  is open and closed. ( $\because x \in A \Rightarrow \Pi(x_i - 1, x_i + 1) \subset A; x \notin A \Rightarrow \Pi(x_i - 1, x_i + 1) \subset A^c$ .)

*Example 11.2.* Suppose  $X_i$  is connected, then  $(\Pi_{i=1}^{+\infty} X_i, \text{product topology})$  is connected.

Fix  $a = (a_i) \in \Pi_{i=1}^{+\infty} X_i$ . Let  $Y_n = \{x \in \Pi_{i=1}^{+\infty} X_i \mid x_m = a_m, \forall m > n\}$ , then  $Y_n$  is homeomorphic to  $\Pi_{i=1}^n X_i$ , which is connected; thus  $Y_n$  is connected.  $\because a \in \bigcap_{i=1}^{+\infty} Y_n, \therefore Y = \bigcup_{i=1}^{+\infty} Y_n$  is connected. (Applying Proposition [11.1](#))  
Observe that  $\bar{Y} = \Pi_{i=1}^{+\infty} X_i$ , so it is connected by Proposition [11.2](#).

**Proposition 11.4** (Intermediate value theorem). *Let  $f : X \rightarrow Y$  be a continuous map, where  $X$  is a connected space and  $Y$  is an ordered set in order topology. Then  $\forall a \neq b \in X, \forall r \in Y$  satisfying  $f(a) < r < f(b), \exists c \in X$ , s.t.  $f(c) = r$ .*

*Proof.* Suppose such  $c$  does not exist, then  $f(X) \cap (-\infty, r)$  and  $f(X) \cap (r, +\infty)$  form a separation of  $f(X)$ , which is connected.  $\square$

The preceding theorems show us how to construct new connected spaces out of given ones, and tell us how to prove a space is not connected. But we need to find some connected spaces to start with. We shall prove  $\mathbb{R}$  is connected. The connectedness of  $\mathbb{R}$  only rely on its order properties, not on its algebraic property.

**Definition 11.2.** A simply ordered set  $L$  having more than one element is called a **linear continuum** if the following hold:

- (1)  $L$  has the least upper bound property.
- (2) If  $x < y$ , then  $\exists z$ , s.t.  $x < z < y$ .

**Theorem 11.2.** *If  $L$  is a linear continuum in the order topology, then  $L$  is connected and the intervals and rays in  $L$  are also connected.*

*Proof.* We prove that if  $Y$  is a convex subspace of  $L$ , then  $Y$  is connected. Suppose that  $Y$  has a separation  $Y = A \cup B$ , then take  $a \in A, b \in B \Rightarrow [a, b] \subset Y$ . Let  $A_0 = A \cap [a, b], B_0 = B \cap [a, b], c = \sup A_0$ , then show that  $c \notin A_0, c \notin B_0$  respectively, which leads to contradiction!  $\square$

*Example 11.3.*  $\mathbb{R}$  is connected.

*Example 11.4.* The ordered square(c.f. Example [5.3](#)) is a linear continuum.

For  $\emptyset \neq A \subset I_\sigma^2$ , let  $c = \sup \pi_1(A)$ , then

$$\sup A = \begin{cases} c \times 0 & c \notin \pi_1(A) \\ c \times \sup((c \times I) \cap A) & c \in \pi_1(A) \end{cases}$$

**Definition 11.3.** Given points  $x, y$  of the space  $X$ , a **path** in  $X$  from  $x$  to  $y$  is a continuous map  $\gamma : [0, 1] \rightarrow X$  such that  $\gamma(0) = x, \gamma(1) = y$ . A space  $X$  is **path connected** if every pair of points of  $X$  can be joined by a path in  $X$ .

**Proposition 11.5.** *It is easy to see that a path-connected space is connected.*

**Proposition 11.6** (Path-connected version for Proposition [11.1](#)). *Let  $p \in A_\alpha (\forall \alpha \in J)$ ,  $A_\alpha$  is path-connected, then  $\bigcup A_\alpha$  is path-connected.*

**Proposition 11.7** (Path-connected version for Proposition [11.3](#)). *The continuous image of a path-connected space is path-connected.*

**Proposition 11.8** (Path-connected version for Theorem <sup>thm11.1</sup>11.1). *If  $X, Y$  are path-connected, then  $X \times Y$  is path-connected.*

*Example 11.5* (The unit ball  $B^n = \{x \mid \|x\| \leq 1\}$  in  $\mathbb{R}^n$  is path connected.). Define  $\gamma(t) = (1-t)x + ty$  for any given points  $x, y$ .

*Example 11.6* (The punctured euclidean space  $\mathbb{R}^n \setminus \{0\}$  is path connected.). For  $x, y$ , find  $z$  s.t. the path between  $x, z$  or  $y, z$  do not go through the origin.

*Example 11.7* (The unit sphere  $S^{n-1} = \{x \mid \|x\| = 1\}$ ). is path connected if  $n > 1$ .  $g(x) = \frac{x}{\|x\|}$  maps the unit ball to the unit sphere continuously.

*Example 11.8.* The ordered square  $I_o^2$  is connected but not path connected.

*Proof.* Let  $p = 0 \times 0, q = 1 \times 1$ , suppose there exist a path  $\gamma$  s.t.  $\gamma(0) = p, \gamma(1) = q$ , then by the intermediate value theorem,  $I_o^2 \subset \gamma([0, 1])$ , thus  $\forall x \in I, U_x = \gamma^{-1}(\{x\} \times (0, 1))$  is open in  $[0, 1]$ . These  $U_x$  are all disjoint and open, however, this contradicts the fact that there are only countable disjoint open subsets of  $[0, 1]$ .  $\square$

eg11

*Example 11.9* (No path-connected version for Proposition <sup>prop11.2</sup>11.2). Let  $S = \{x \times \sin(\frac{1}{x}) \mid 0 < x \leq 1\}$ .

$\therefore S$  is the image of the connected set  $(0, 1]$  under a continuous map (by Theorem <sup>thm7.3</sup>7.3),  $\therefore S$  is connected.  $\therefore \bar{S}$  is connected (by Proposition <sup>prop11.2</sup>11.2).

We call  $\bar{S}$  **topologist's sine curve** (illustrated below). It equals the union of  $S$  and the vertical interval  $\{0\} \times [-1, 1]$ . We show that  $\bar{S}$  is not path connected.

*Proof.* Suppose  $\gamma : [0, 1] \rightarrow \bar{S}$  is a path joining  $(0, 0)$  and  $(1, \sin 1)$ . Then  $\gamma^{-1}(\{0\} \times [-1, 1])$  is closed in  $[0, 1]$ , denote its supremum by  $b$ , then  $b < 1$ . Thus  $\forall t \in (b, 1], \gamma(t) \in S$ .

Now we would like to take some  $t_n$ , s.t.  $t_n \rightarrow b, \gamma(t_n) = (*, (-1)^n)$ .

Denote  $z_n = \frac{1}{2n\pi + (-1)^n \frac{\pi}{2}}$ ; Now we shall show that  $\exists t_n$ , s.t.  $t_n \rightarrow b, x(t_n) = z_n$ .

$\therefore x(b) = 0, x(1) = 1$ , by the intermediate value theorem,  $\exists t_1 \in (b, 1]$ , s.t.  $x(t_1) = z_1$ ; then by the intermediate value theorem,  $\exists t_2 < t_1$ , s.t.  $x(t_2) = z_2$ ; we do by induction, then we obtain a decreasing sequence  $t_n$ , so it is convergence, however,  $\gamma(t_n)$  is not convergent, so this contradicts to  $\gamma$  is continuous.  $\square$

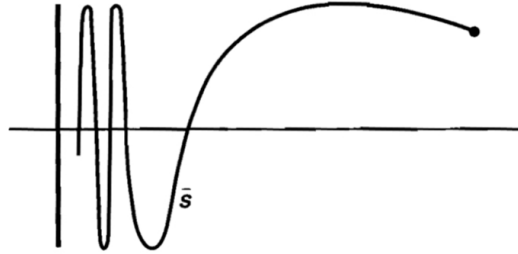


Figure 6: topologist's sine curve

## 12 Components and Local Connectedness

**Definition 12.1.** Let  $X$  be a topological space,  $x \in X$ , then:

(1) The biggest connected subspace containing  $x$  is called the components (or the connected components) containing  $x$ .

(2) The biggest path connected subspace containing  $x$  is called the path components containing  $x$ .

**Proposition 12.1.** (1) The components of  $X$  are connected disjoint subspaces of  $X$  whose union is  $X$ , such that each nonempty connected subspace of  $X$  intersects only one of them.

(2) Each component of  $X$  is closed.

(3) If  $X$  only has finitely many components, then each component is also open in  $X$ .

*Proof.* (2) Note that the closure of a connected subspace of  $X$  is connected.

(3) Note that its complement is a finite union of closed sets. □

**Proposition 12.2.** (1) The path components of  $X$  are connected disjoint subspaces of  $X$  whose union is  $X$ , such that each nonempty path connected subspace of  $X$  intersects only one of them.

(2) Each component is the union of some path connected components.

*Example 12.1.* Each component of  $\mathbb{Q}$  consists of a single point.

*Example 12.2.* Let  $S$  be defined as in Example <sup>eg11</sup>11.9. Then  $\bar{S}$  has 2 path components, one is  $S$  and the other is the vertical interval  $\{0\} \times [-1, 1]$ .

*Proof.* First, we know from Example <sup>eg11</sup>11.9 that  $\bar{S}$  is not path connected. So, it has at least 2 path components. Now, we construct a partition that  $\bar{S} = S \cup \{0\} \times [-1, 1]$ , where  $S$  and  $\{0\} \times [-1, 1]$  are both path connected. So they are the only 2 path components of  $\bar{S}$ . □

*Example 12.3.*  $X = \bar{S} \setminus \{0 \times q \mid q \in \mathbb{Q}\}$  is connected, for  $S \subset X \subset \bar{S}$ .

The path components of  $\bar{S}$  are  $S, \{(0 \times r)\}_{r \in \mathbb{Q}}$ , so  $\bar{S}$  has only one component but uncountably many path components.

**Definition 12.2.** A space  $X$  is said to be **locally connected at  $x$**  if for every neighborhood  $U$  of  $x$ ,  $\exists$  a connected neighborhood  $V$  of  $x$  contained in  $U$ . If  $X$  is locally connected at each points of  $X$ ,  $X$  is said to be **locally connected**. **Locally path connected** can be defined as above similarly.

*Remark.* For some purposes, it is more important that the space satisfy a connectedness condition locally. Roughly speaking, local connectedness means that each point has “arbitrary small” neighborhoods that are connected.

eg12(3)

*Example 12.4.* (1)  $[-1, 0) \cap (0, 1]$  is not connected but it is locally connected.

(2)  $\mathbb{Q}$  are neither connected nor locally connected.

(3)  $\bar{S}$  is connected but not locally connected. (Consider  $0 \times 0$ , for every neighborhood  $U$  of  $0 \times 0$ , and for any  $V$  open s.t.  $x \in V \subset U$ ,  $V \cap S$  must have at least 2 disjoint open segment, so there exists a partition. (c.f. Figure <sup>figure12</sup>7))

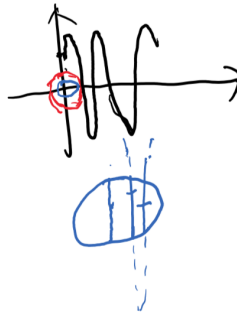


Figure 7: For Example <sup>eg12(3)</sup>12.4(3)

figure12

**Proposition 12.3.** A space  $X$  is locally connected  $\iff$  for  $\forall$  open set  $U$ , each component of  $U$  is open in  $X$ .

prop12

**Proposition 12.4.** A space  $X$  is locally path connected  $\iff$  for  $\forall$  open set  $U$ , each path component of  $U$  is open in  $X$ .



**Theorem 12.1.** *If  $X$  is locally path connected, then the collection of all components is the same as that of all the path components.*

*Proof.* Let  $C$  be a component, one only need to prove  $C$  is path connected.

Let  $C = \bigcup_{\alpha \in J} P_\alpha$ , by Proposition <sup>prop12</sup> 12.4,  $P_\alpha$  is open ( $\forall \alpha \in J$ ), suppose  $\#J \neq 1$ , then  $P_{\alpha_0}$  and  $\bigcup_{\alpha \in J \setminus \{\alpha_0\}} P_\alpha$  form a partition, which leads to contradiction.  $\square$

## 13 Compact Spaces

**Definition 13.1.** A collection  $\mathcal{A}$  of subsets of a space  $X$  is said to **cover**  $X$  or to be a **covering** of  $X$ , if  $\bigcup_{A \in \mathcal{A}} A = X$ . It is called an **open covering** of  $X$  if its elements are open subsets of  $X$ .

**Definition 13.2.** A space  $X$  is said to be **compact** if every open covering of  $X$  contains a finite subcollection that also covers  $X$ .

*Example 13.1.* The real line  $\mathbb{R}$  is not compact, for  $\mathcal{A} = \{(n, n+2) \mid n \in \mathbb{Z}\}$  contains no finite subcollection that covers  $\mathbb{R}$ .

*Example 13.2.*  $X = \{0\} \cup \{\frac{1}{n} \mid n \in \mathbb{Z}_+\}$  is compact.

Given an open covering, there is a set  $U$  covers 0, then there left finitely many points to be covered.

**Lemma 13.1.** *Let  $Y$  be a subspace of  $X$ . Then  $Y$  is compact  $\iff$  every covering of  $Y$  by sets open in  $X$  contains a finite subcollection covering  $Y$ .*

**thm13.1** **Theorem 13.1.** *Every closed subspace  $Y$  of a compact space  $X$  is compact.*

*Proof.* Let  $\mathcal{A}$  be a covering of  $Y$  by sets open in  $X$ , then consider  $\mathcal{B} = \mathcal{A} \cup \{X \setminus Y\}$   $\square$

**thm13** **Theorem 13.2.** *Every compact subspace  $Y$  of a Hausdorff space  $X$  is closed.*

*Proof.* Let  $x_0 \in X \setminus Y$ , then for each  $y \in Y$ , we can choose disjoint open neighborhoods  $U_y, V_y$  of  $x_0, y$  respectively. Then  $V_y$  forms an open covering of  $Y$ , therefore, we can choose  $V_{y_1}, V_{y_2}, \dots, V_{y_n}$  cover  $Y$ . The open set  $V = \bigcup_{i=1}^n V_{y_i}$  is disjoint from the open set  $U = \bigcap_{i=1}^n U_{y_i}$ ,  $U$  is a neighborhood of  $x_0$  disjoint from  $Y$ .  $\square$

**Definition 13.3.** If a space  $X$  satisfies:

- (1) One-point sets are closed in  $X$ ,
- (2) For  $\forall x \in X, \forall$  closed set  $C \ni x, \exists$  open sets  $U, V$  satisfying that  $x \in U, C \subset V, U \cap V = \emptyset$ , then  $X$  is said to be **regular**.

**Definition 13.4.** If a space  $X$  satisfies:

- (1) One-point sets are closed in  $X$ ,
- (2) For  $\forall C_1, C_2$  disjoint closed sets in  $X, \exists$  open sets  $U, V$  satisfying that  $C_1 \subset U, C_2 \subset V, U \cap V = \emptyset$ , then  $X$  is said to be **normal**.

**Corollary 13.1.** *If  $X$  is a compact Hausdorff space, then  $X$  is normal.*

*Proof.* The statement can be proved by applying the result obtained in the course of the preceding proof of Theorem <sup>thm13</sup> 13.2.  $\square$

**Theorem 13.3.** *The image of a compact space under a continuous map is compact.*

**Theorem 13.4.** *Let  $f : X \rightarrow Y$  be a bijective continuous function. If  $X$  is compact and  $Y$  is Hausdorff, then  $f$  is a homeomorphism.*

*Proof.* We shall prove the images of closed sets of  $X$  under  $f$  are closed in  $Y$  by applying Theorem <sup>thm13</sup> 13.2, <sup>thm13.1</sup> 13.1  $\square$

**Theorem 13.5.** *Let  $X_1, X_2$  be compact spaces, then  $X_1 \times X_2$  is compact.*

**Lemma 13.2** (The tube lemma). *Consider the product space  $X_1 \times X_2$ , where  $X_2$  is compact. If  $N \subset X_1 \times X_2$  is an open set containing  $\{x\} \times X_2$ , ( $x \in X_1$ ), then  $\exists$  open neighborhood  $W \ni x$ , s.t.  $W \times X_2 \subset N$ .*