

How does Chat GPT actually Work?

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What is ChatGPT?

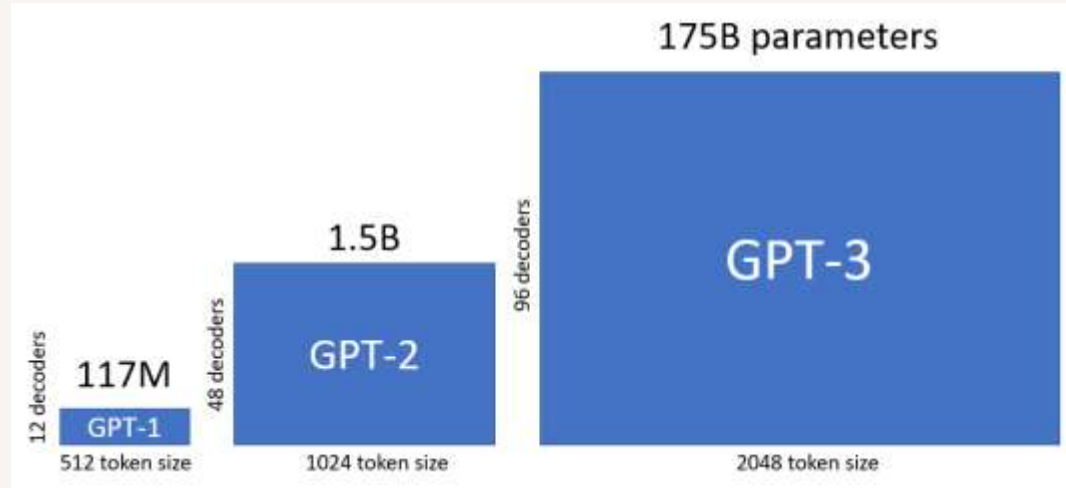


Chat GPT

- ChatGPT is a conversational AI developed by OpenAI.
- Based on the GPT (Generative Pre-trained Transformer) architecture.
- Designed to engage in natural language conversations with users, providing responses that are contextually relevant and coherent.
- ChatGPT has been trained on a vast amount of text data from the internet, allowing it to generate human-like responses to a wide range of prompts and questions

02

History that led to ChatGPT



HOW IT ALL STARTED?

GPT-1 (2018-2019):

- OpenAI introduced GPT-1 [\[1\]](#) in June 2018, marking a significant advancement in natural language processing. It utilized a transformer architecture and was trained on a vast corpus of internet text.

Release of GPT-2 (2019):

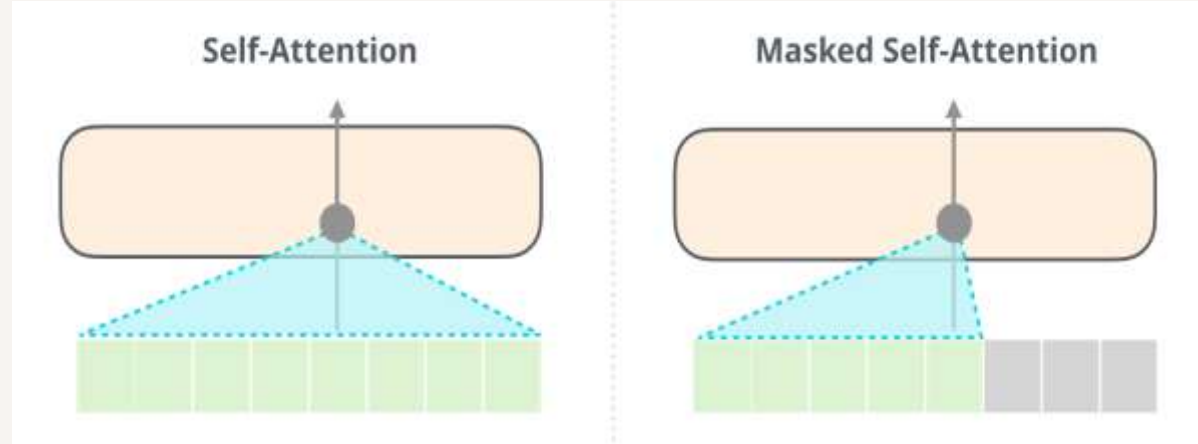
- OpenAI released the full version of GPT-2 [\[2\]](#) in November 2019.
- A staged release plan was implemented to address concerns about potential misuse.
- Researchers and developers began exploring various applications of GPT-2, including text generation, chatbots, content creation, and language translation.

ChatGPT-3, 3.5, 4:

- Following the release of GPT-3 [\[3\]](#), OpenAI and other research teams continued to advance and refine transformer-based models for natural language processing.
- These advancements also extended to incorporating capabilities for image and voice inputs.

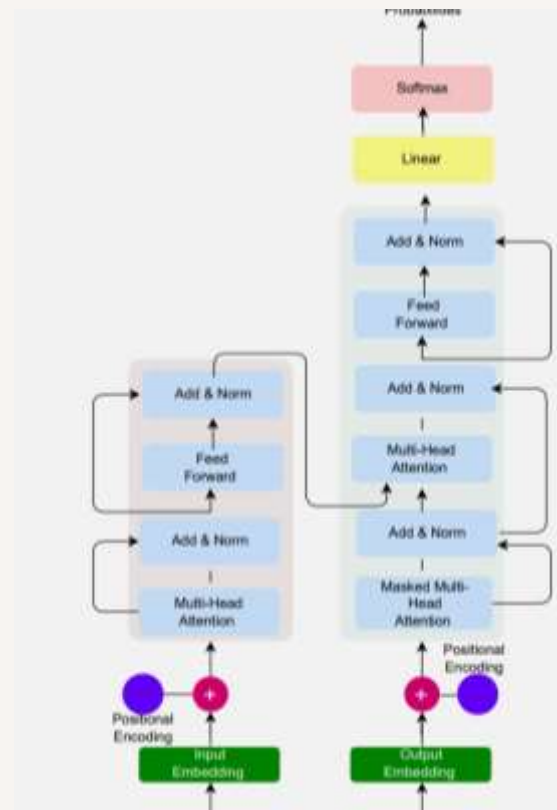
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Working of GPT



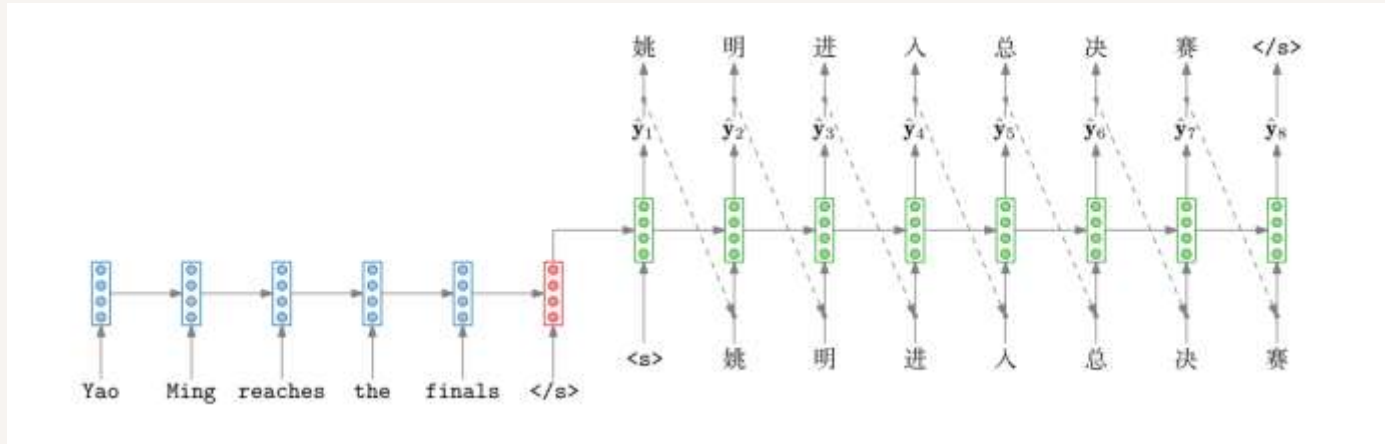
Transformer Architecture

- Even though we don't know exact source code for the ChatGPT., we do know how it works.
- Most of the heavy things are done through the Transformer model.
- This is first proposed in the paper "Attention is all you need" [4].
- Let us dive deep into this monstrosity and peel block by block on how it works.



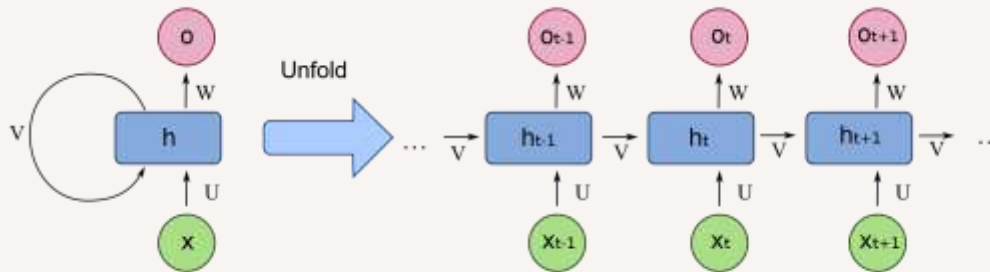
Encoder & Decoder Model

- To understand from the start let's refresh our minds on the previous ways to generate text, predictions and machine translation.
- We will not talk about the vanilla RNN encoder decoder model in detail. but let us view this in a higher picture.



E&D model

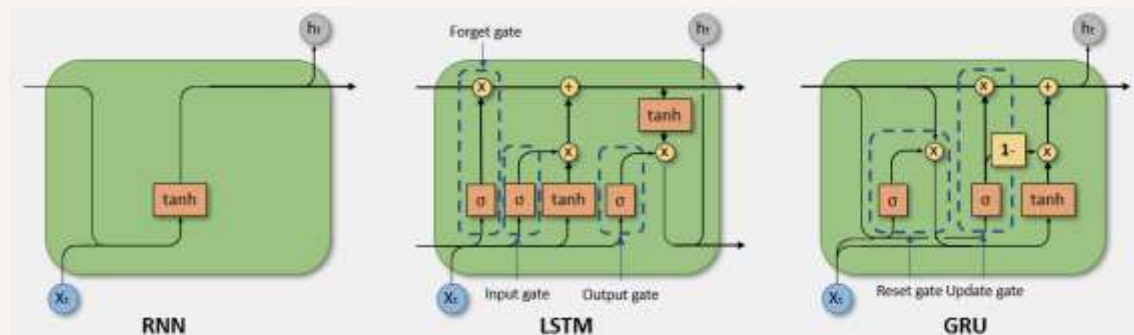
- Encoder contains RNN network that takes the **input in a sequence** and tokenizes the sentence
- It only contains one block and connects to itself.
- But the time steps can be unfolded to get a better visualization.



- All we are trying to do is to minimize the negative log likelihood to get better predictions

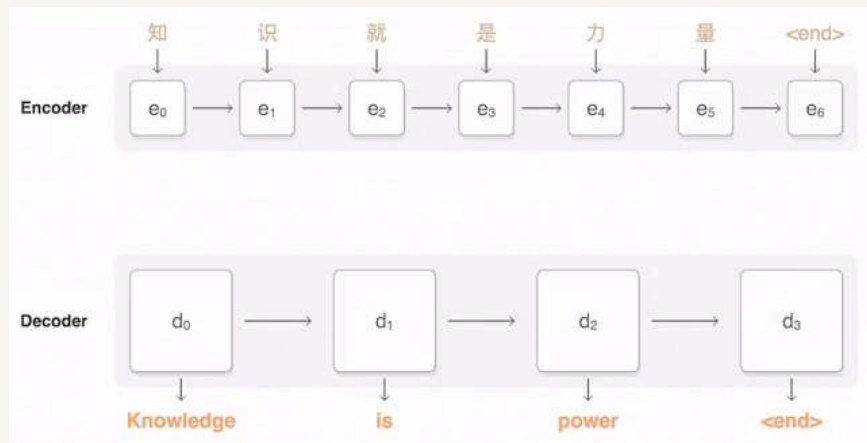
Issues

- The issue arises at the **context vector** (latent space), where all input sequence information is condensed into one large vector space and sent to the decoder.
- The expectation is for the model to retain this information until the decoding stage, but it *often fails* to do so.
- Various versions of RNN, such as LSTM and GRU, have been developed to address this issue and enable the model to preserve information until the very end.



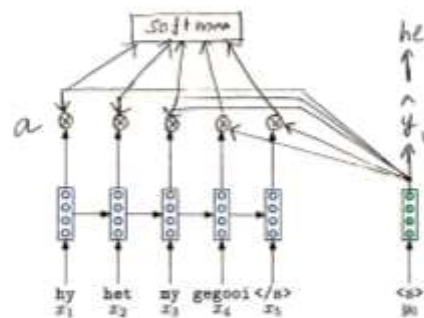
Attention (Encoding)

- Attention is proposed in 2015 (Bengio et. al.)
- Attention focuses on looking all the inputs at each time step.
- In this way we can see the ground truth and make a higher prediction probability.



Forward pass:

The output of $y_0 = (\hat{y}_1)$ can be high for first word, as it has more weight age.



here x_n, y_n are Tensors, $\alpha_n = (x_n \otimes y_n) \exists \begin{matrix} x = 1 \dots n \\ y = 1 \dots n \end{matrix}$ [The dot product o/p can be $[-\alpha \text{ to } \alpha]$]

The output of softmax be $\alpha_1, \alpha_2 \dots \alpha_n$
If α is high, the present decoder Time step is really looking at that time point.

- Encoder hidden states:

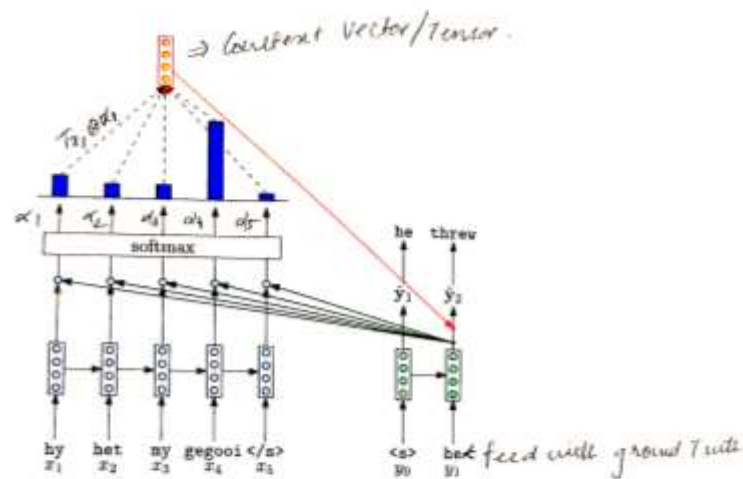
$$h_1, h_2, \dots, h_N \in \mathbb{R}^D$$

- Decoder hidden state at time step t :

$$s_t \in \mathbb{R}^D$$

- Attention score for encoder hidden state h_n :

$$a(s_t, h_n) = s_t^\top h_n \in \mathbb{R}$$



• Take the First hidden Representation, scale it to alpha $T_{x_1} \otimes \alpha_1$, then other $T_{x_2} \otimes \alpha_2 \dots T_{x_n} \otimes \alpha_n$

• Sum it $\sum_{n=1}^K T_{x_n} \otimes \alpha_n$

The O/p of this is a One Giant Tensor ' \underline{c} '. This ' \underline{c} ' is "Context vector" (Like bottle neck) vector average of all time steps for current decoder Time step.

- Attention weight for encoder hidden state \mathbf{h}_n :

$$\alpha(\mathbf{s}_t, \mathbf{h}_n) = \text{softmax}_n(a(\mathbf{s}_t, \mathbf{h}_n)) = \frac{\exp\{a(\mathbf{s}_t, \mathbf{h}_n)\}}{\sum_{j=1}^N \exp\{a(\mathbf{s}_t, \mathbf{h}_j)\}} \in [0, 1]$$

- Context vector at decoder time step t :

$$\mathbf{c}_t = \sum_{n=1}^N \alpha(\mathbf{s}_t, \mathbf{h}_n) \mathbf{h}_n \in \mathbb{R}^D$$

- Concatenate:

$$[\mathbf{c}_t; \mathbf{s}_t] \in \mathbb{R}^{2D}$$

and continue as in the non-attention decoding case, e.g.

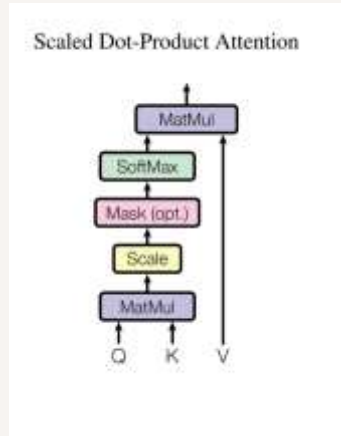
$$\hat{\mathbf{y}}_t = \text{softmax}(\mathbf{W}_{ho} [\mathbf{c}_t; \mathbf{s}_t] + \mathbf{b}_o)$$

Cont.

- Attention is calculated at each hidden representation and projected onto each input layer, resulting in **soft probabilities**.
- These probabilities provide high and low weights during training, aiding the machine in understanding what to predict.
- All context vectors at each time stamp are **concatenated** into the hidden representation at that time frame to generate predictions or translations.
- This approach leads to significant improvements, as it enables GPU performance by **parallelizing data calculations** similar to feedforward networks, without relying on output data.
- Consequently, large datasets can be efficiently represented in vector forms, facilitating parallelized calculations.

Attention with keys, Queries, Values

- In the paper Attention is all you need., Vaswani et.al has proposed self-attention.



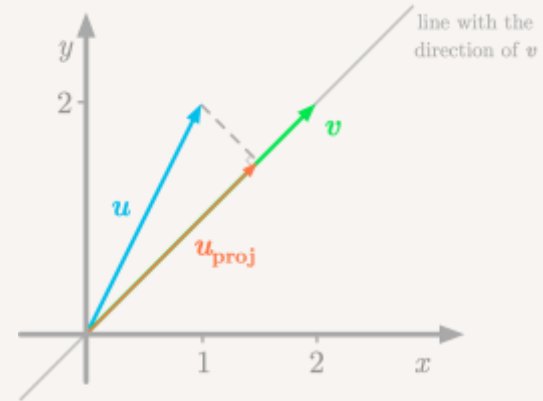
- Queries – Vector from which attention is looking
- Keys – vector at which query looks to compute weights
- Values – Their weighted sum is attention output

Contd.

- Mathematically, represented as :

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

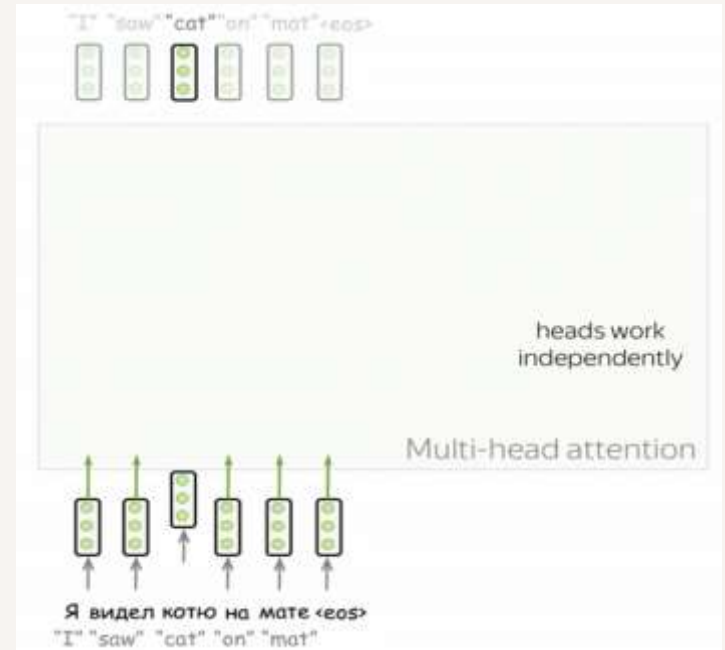
- We do scale dot product for keeping all the vector space/span in same dimension.
- This way we get similarity matrix to high accuracy.



$$u \cdot v = \|u_{\text{proj}}\|_2 \cdot \|v\|_2$$

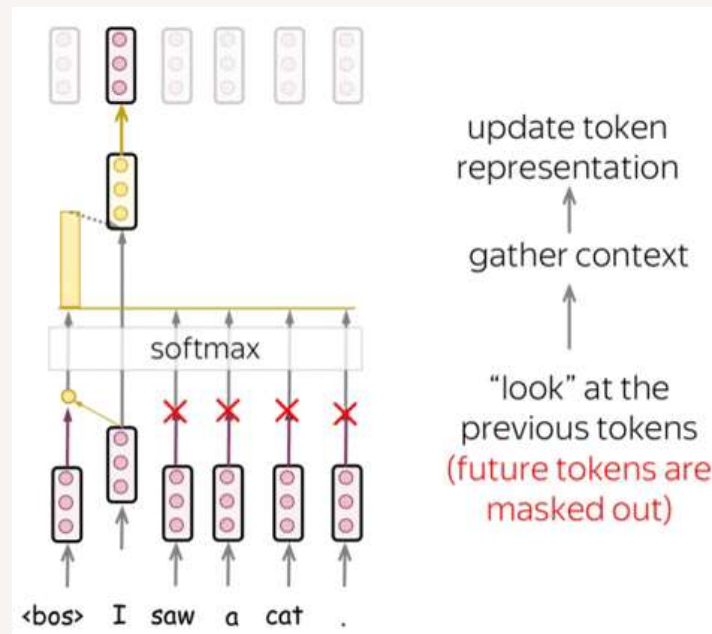
Multi-head & masked attention

- We have been seeing single Attention layer till now. But, in a sentence, we might be giving weights regarding only on certain semantic info or Syntactic info.
- The selection process in these layers is like a black box.
- To understand what the machine picks up, additional layers are added, similar to how CNN adds filters.
- This helps in capturing various features in different filters, bridging the black box gap.
- This is called multi-head attention.



Masked Attn.

- Decoder's self-attention allows tokens to "look at previous tokens" during generation.
- Unlike the encoder, the decoder generates one token at a time.
- Masked self-attention prevents the decoder from **looking ahead** during generation.
- During **training**, reference translations are used to feed the whole target sentence to the decoder **without masks**.
- The Transformer's computational efficiency, without recurrence, enables processing all tokens at once, making it *faster for training* compared to recurrent models.



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$$\mathbf{a}_i = \text{softmax} \left(\frac{\mathbf{Q}_i \mathbf{K}_i^T}{\sqrt{d}} + \text{mask}_i \right) \mathbf{V}_i$$

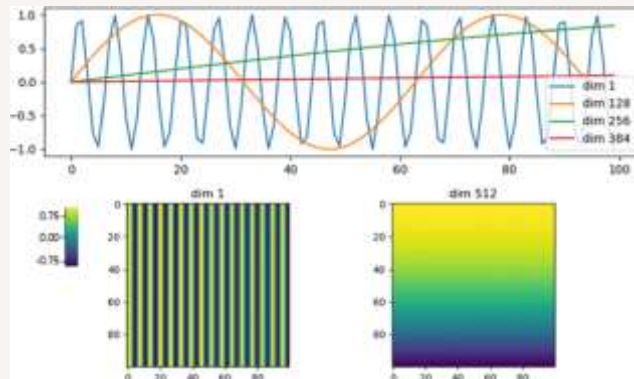
$$\text{mask}_i = \begin{cases} 0 & \text{if } j \leq i \\ -\infty & \text{otherwise} \end{cases}$$

Positional Encoding

- Positional encodings are essential in Transformers to convey the order of input tokens.
- Two sets of embeddings are used: token embeddings and positional embeddings.
- The input representation of a token is the sum of its token embedding and positional embedding.
- Fixed positional encodings are employed in Transformers, utilizing sinusoidal functions.
- The formula for fixed positional encodings is:

$$\text{positional_encoding}(p, 2i) = \sin\left(\frac{p}{10000^{2i/d_{\text{model}}}}\right)$$

$$\text{positional_encoding}(p, 2i + 1) = \cos\left(\frac{p}{10000^{2i/d_{\text{model}}}}\right)$$



Residual Connections:

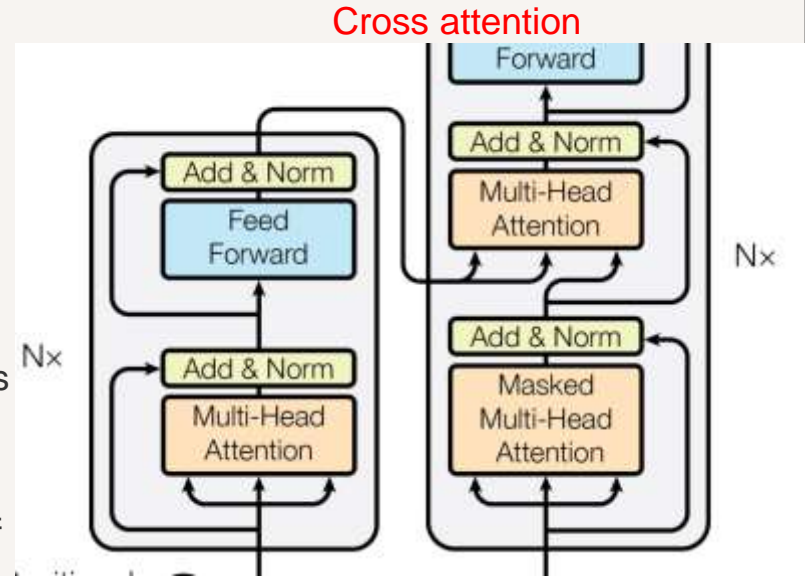
- Gradient Flow: Residual connections aid gradient flow by providing shortcut paths, easing training.
- Deep Stacking: They enable the effective stacking of layers, facilitating deeper architectures.
- Training Enhancement: Residual connections mitigate gradient degradation, enhancing training stability.

Layer Normalization:

- Batch-wise Regulation: Layer normalization independently normalizes each example in a batch, regulating information flow.
- Stability and Quality: Improves convergence stability and prediction quality by reducing internal covariate shift.
- Trainable Parameters: Includes trainable parameters γ and β for rescaling, enhancing model adaptability.

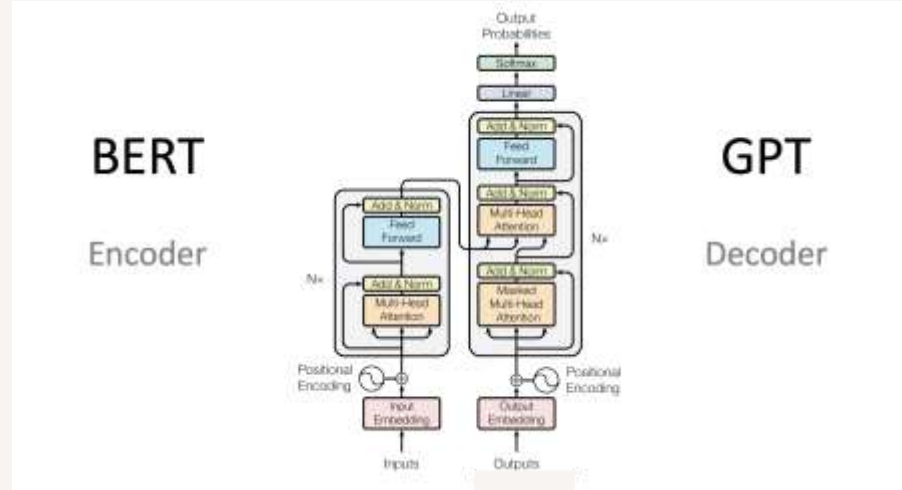
Cross Attention(Decoding)

- **Keys Extraction:** Extract keys from the encoder outputs for each decoding step.
- **Dot Product:** Perform dot product between the decoder's query and each key.
- **Attention Scores:** Compute attention scores based on the dot products.
- **Weighted Sum:** Calculate a weighted sum of encoder outputs using attention scores.
- **Prediction Output:** Generate prediction output by passing the weighted sum through a linear transformation.

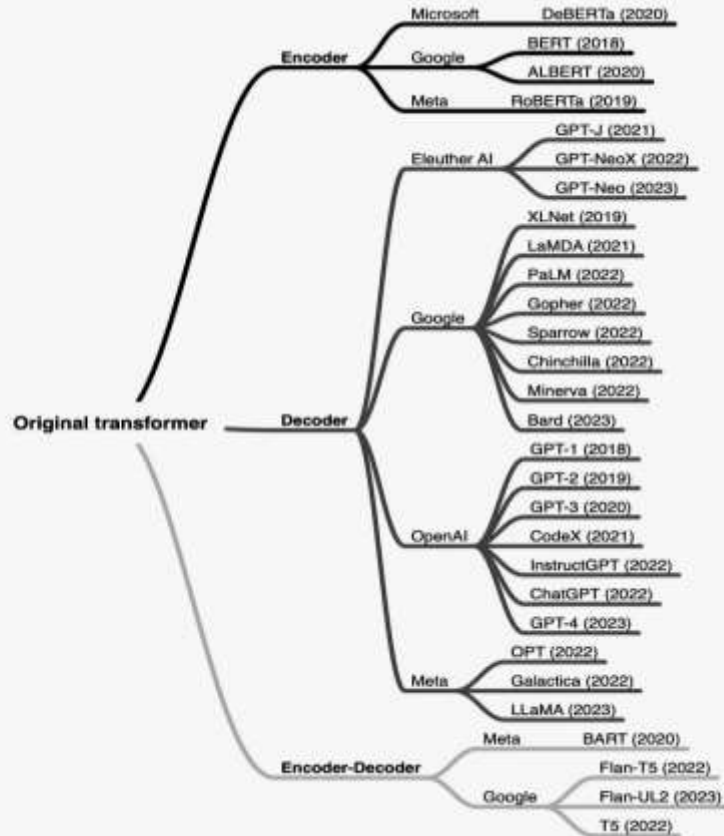


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Who Uses GPT?



Encoder only / Decoder only?



ChatGPT

When to use What?

- ChatGPT uses **BPE**(byte pair encoding) and transformer decoding.
- Encoder-only models focus on processing input sequences, suitable for tasks like classification.
- Decoder-only models generate output sequences based on encoded representations, ideal for text generation tasks.
- ChatGPT and similar models use decoder-only architectures for generating contextually relevant responses in conversations.
- Encoder-decoder architecture is utilized in ChatGPT, where input prompts are first encoded, then decoded to generate responses.

05

Limitations of ChatGPT

Limitations

- **Common Sense & Background Knowledge:** Limited understanding of common sense and lacks extensive background knowledge.
- **Emotional Intelligence:** Unable to detect subtle emotional cues or respond appropriately to complex emotions.
- **Performs best with single tasks:** struggles with handling multiple tasks simultaneously.
- **Biases & Limited Knowledge :** Responses may reflect unintentional biases from training data and lack access to recent developments or specialized information, leading to potential accuracy issues.

Conclusion

- GPT operates on transformer architecture with self-attention for text generation.
- ChatGPT, a specialized variant, utilizes a decoder-only model for generating contextually relevant responses in conversations.
- Understanding these models enhances their effectiveness in natural language understanding and generation tasks, improving AI-driven conversational experiences.

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3. <https://arxiv.org/pdf/2005.14165.pdf>
4. <https://arxiv.org/abs/1706.03762>

Intuitions are heavily relied on :

- I. <https://lena-voita.github.io/posts.html>
- II. <https://www.kamperh.com/nlp817/>