

Binary Search Trees

Search of Array
Insert/Delete of
Lists

Search
Array: $O(\log n)$ ← *Search is fast*
List: $O(n)$ ← *Bad*
Insert/Delete
Array: $O(n)$ ← *bad*
List: $O(1)$ ← *good*

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Binary Search Trees

- A **binary search tree (BST)** is a node-based binary tree data structure which has the following properties:
 - The left subtree of a node contains only nodes with keys less than the node's key.
 - The right subtree of a node contains only nodes with keys greater than or equal to the node's key.
 - Both the left and right subtrees must also be binary search trees.

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Binary Search Trees

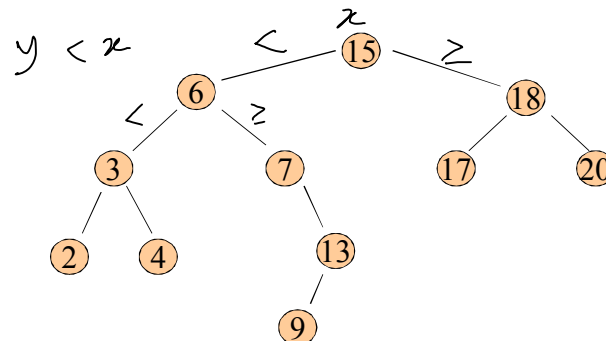
- Firstly, it is a binary tree
- It is represented by a linked data structure
- It combines
 - the advantage of an array -- the ability to do a binary search with
 - the advantage of a linked list -- its dynamic size
- The efficiency of all of the operations is $O(h) = O(\log n)$, only if the tree is reasonably height-balanced
- Each node contains at least the following fields
 - *key*: an identifying field
 - *left*: pointer to a left child (may be NIL)
 - *right*: pointer to a right child (may be NIL)
 - *p*: pointer to the parent node (NIL for root)

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Binary Search Trees

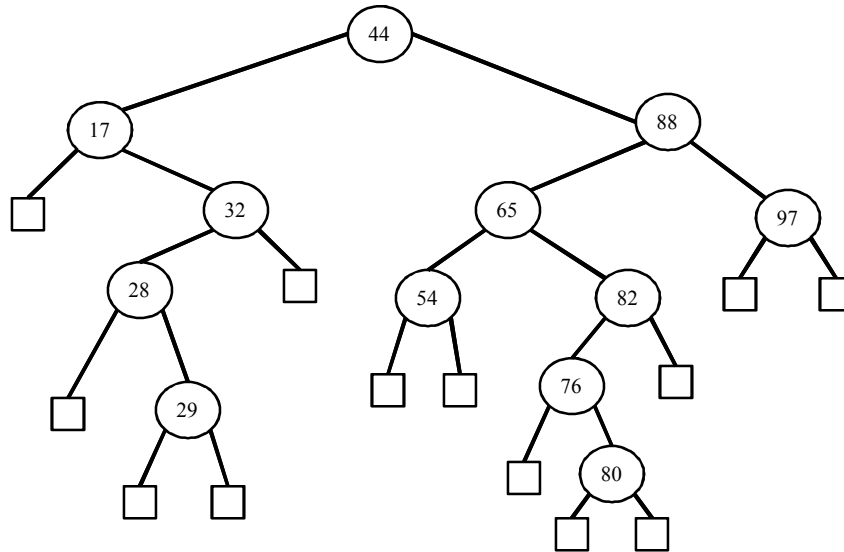
- Secondly, the binary-search-tree property
 - For any node x ,
 - key $[y] < \text{key}[x]$ if y in left subtree of x
 - key $[y] \geq \text{key}[x]$ if y in right subtree of x



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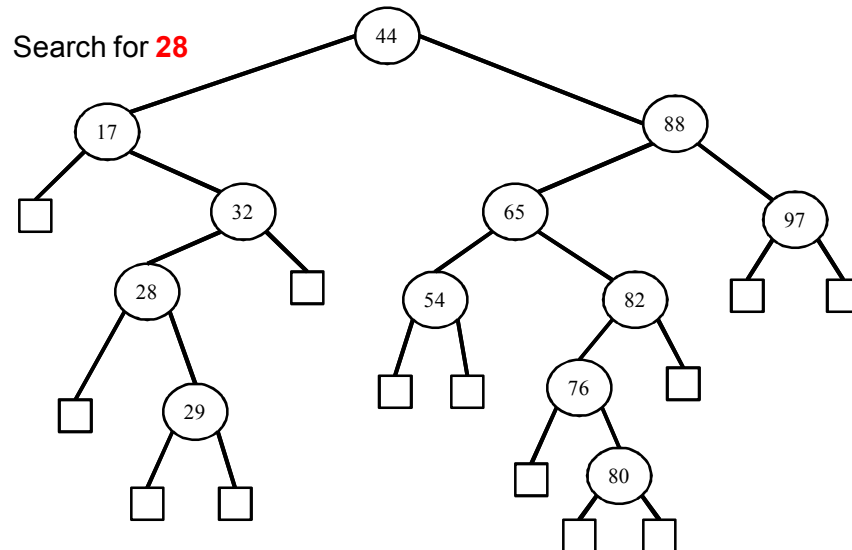
Binary Search Trees: Example



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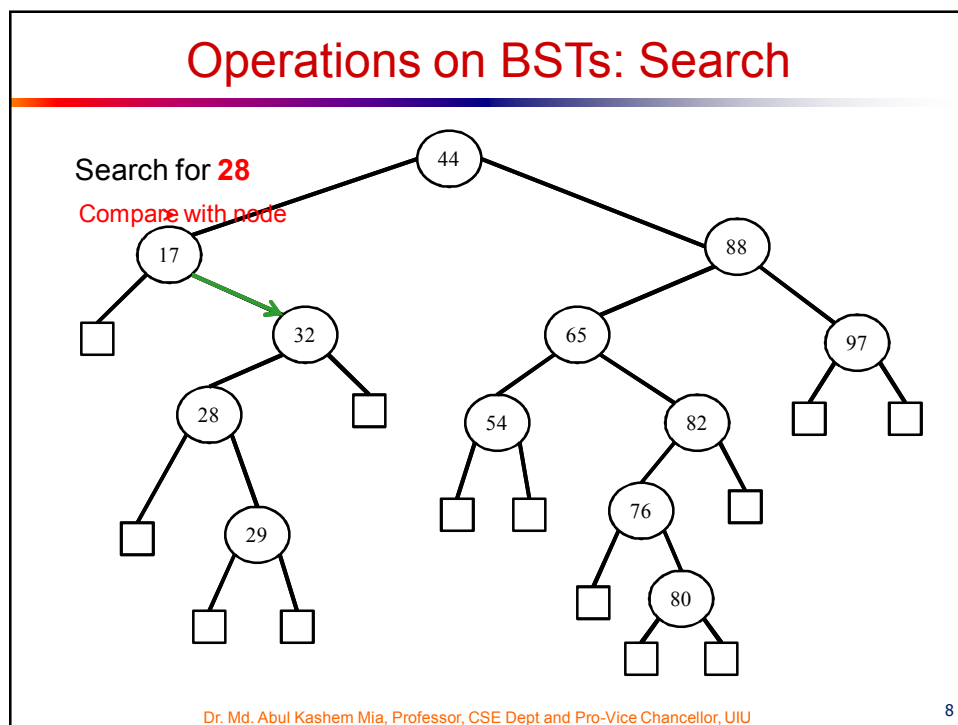
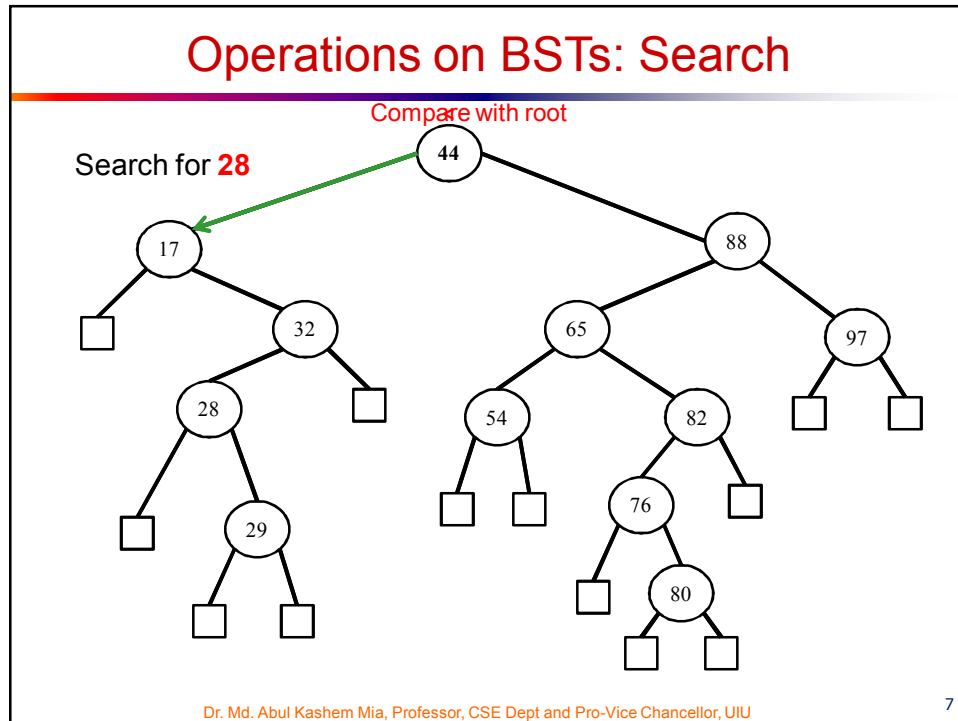
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Operations on BSTs: Search



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Operations on BSTs: Search

Search for **28**

Compare with node

```
graph TD; 44((44)) --- 17((17)); 44 --- 88((88)); 17 --- empty1[ ]; 17 --- 32((32)); 32 --- 28((28)); 32 --- empty2[ ]; 28 --- empty3[ ]; 28 --- 29((29)); 29 --- empty4[ ]; 29 --- empty5[ ]; 88 --- 65((65)); 88 --- 97((97)); 65 --- 54((54)); 65 --- 82((82)); 54 --- empty6[ ]; 54 --- empty7[ ]; 82 --- 76((76)); 82 --- empty8[ ]; 76 --- empty9[ ]; 76 --- 80((80)); 80 --- empty10[ ]; 80 --- empty11[ ]; 97 --- empty12[ ]; 97 --- empty13[ ];
```

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Operations on BSTs: Search

- Given a key k and a pointer to the root, Tree-Search returns a pointer to a node with key k or NIL:

```

Tree-Search(x, k)
  if (x == NIL or k == key[x])
    return x;
  if (k < key[x])
    return Tree-Search(left[x], k);
  else
    return Tree-Search(right[x], k);
    
```

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Operations on BSTs: Search

- Here is another function that does the same:

```

Tree-Search(x, k)
  while (x != NIL and k != key[x])
    if (k < key[x])
      x = left[x];
    else
      x = right[x];
  return x;
    
```

- Which of these two functions is more efficient?*

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Operations on BSTs: Min & Max

TREE-MINIMUM(x)

```

1  while  $left[x] \neq \text{NIL}$ 
2      do  $x \leftarrow left[x]$ 
3  return  $x$ 

```

TREE-MAXIMUM(x)

```

1  while  $right[x] \neq \text{NIL}$ 
2      do  $x \leftarrow right[x]$ 
3  return  $x$ 

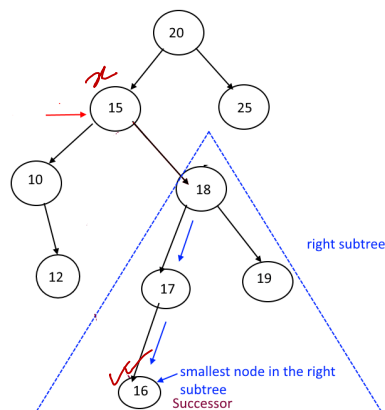
```

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Operations on BSTs: Successor

- Two cases:
 - Case 1: x has a right subtree:
 - successor is minimum node in right subtree



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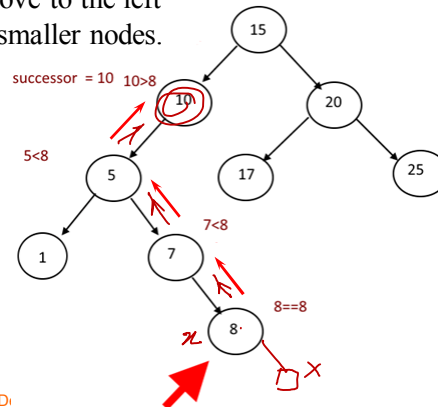
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Operations on BSTs: Successor

- Two cases:

- Case 2: x has no right subtree:

- successor is first ancestor of x whose left child is also ancestor of x
 - Intuition: As long as you move to the left up the tree, you're visiting smaller nodes.



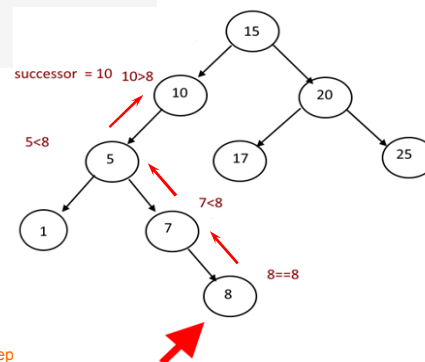
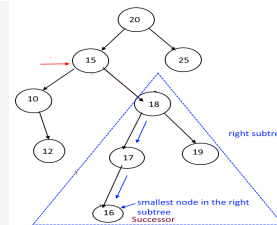
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Operations on BSTs: Successor

TREE-SUCCESSOR(x)

```

1  if  $right[x] \neq NIL$ 
2    then return TREE-MINIMUM( $right[x]$ )
3   $y \leftarrow p[x]$ 
4  while  $y \neq NIL$  and  $x = right[y]$ 
5    do  $x \leftarrow y$ 
6     $y \leftarrow p[y]$ 
7  return  $y$ 
    
```



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Operations on BSTs: Predecessor

- Two cases:
 - similar to Successor algorithm

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Operations of BSTs: Insert

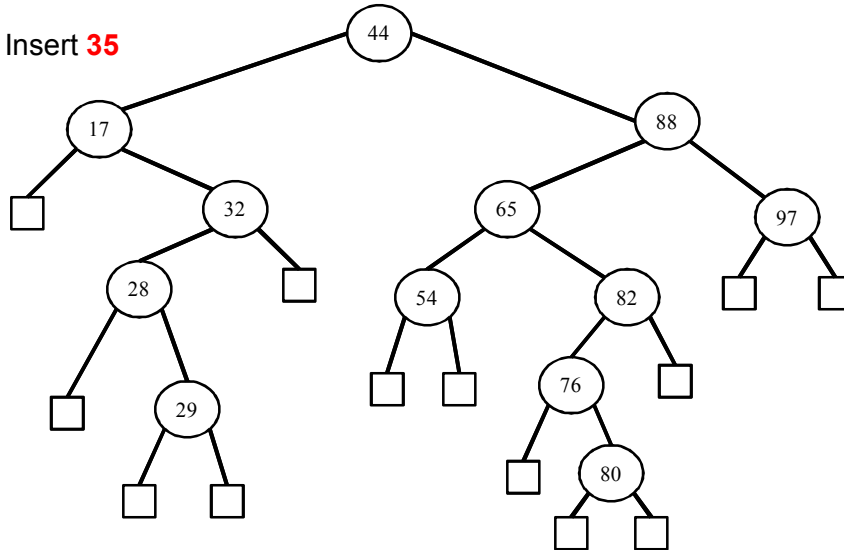
- Inserts an element z to the tree so that the binary search tree property continues to hold
- The basic algorithm
 - Like the search procedure as discussed before
 - Insert z in place of NIL
 - Use a “trailing pointer” to keep track of where you came from (like inserting into singly linked list)

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Operations of BSTs: Insert

Insert **35**



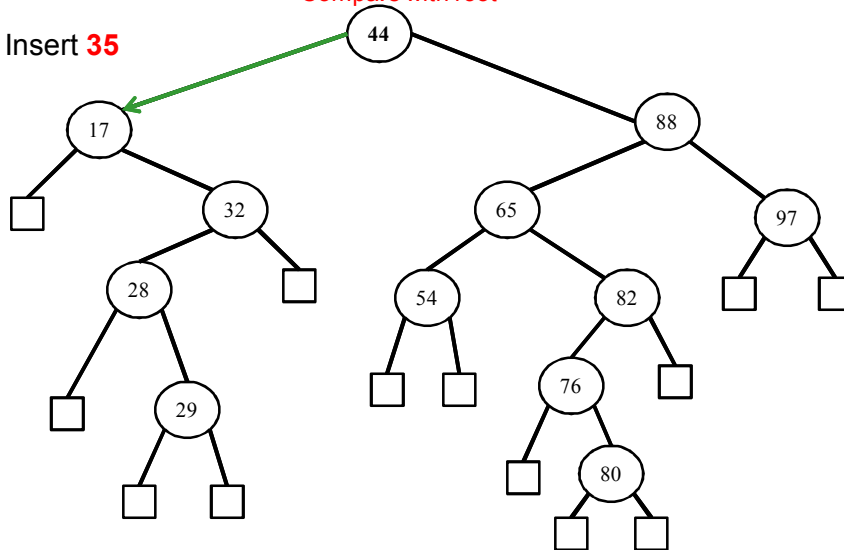
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Operations of BSTs: Insert

Compare with root

Insert **35**



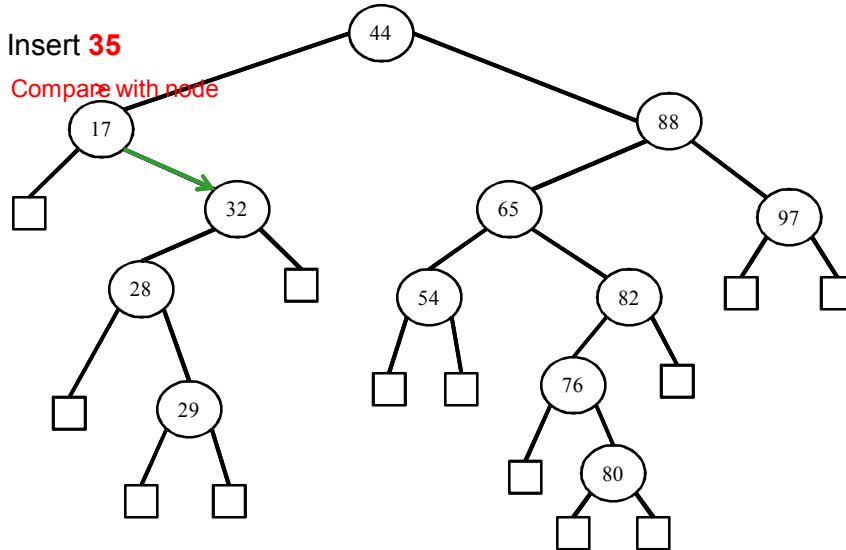
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Operations of BSTs: Insert

Insert **35**

Compare with node



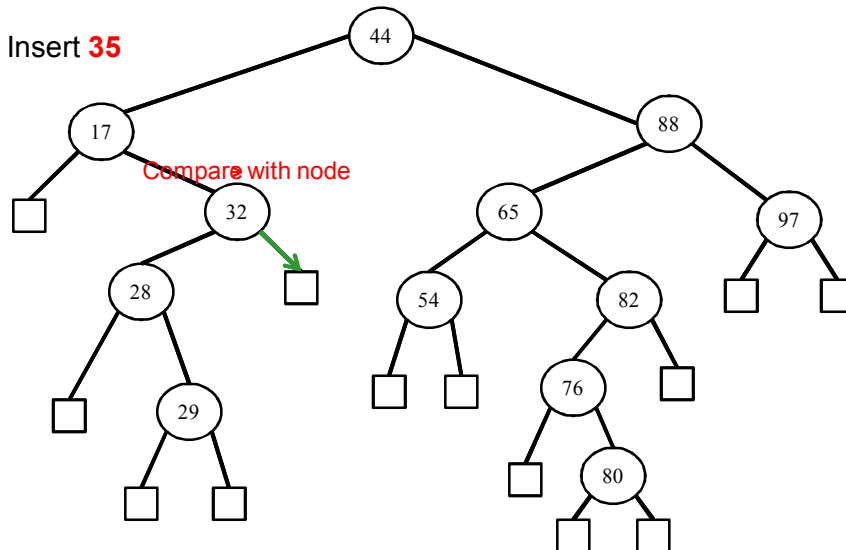
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Operations of BSTs: Insert

Insert **35**

Compare with node

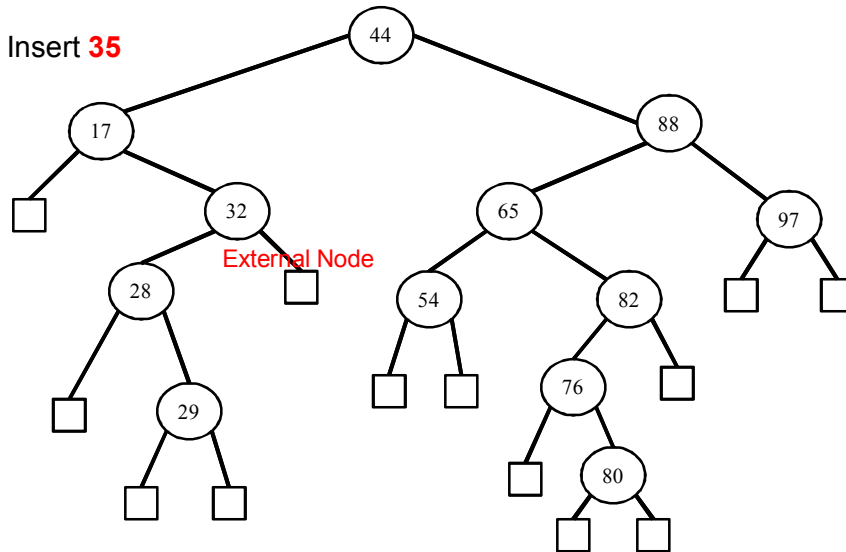


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Operations of BSTs: Insert

Insert **35**

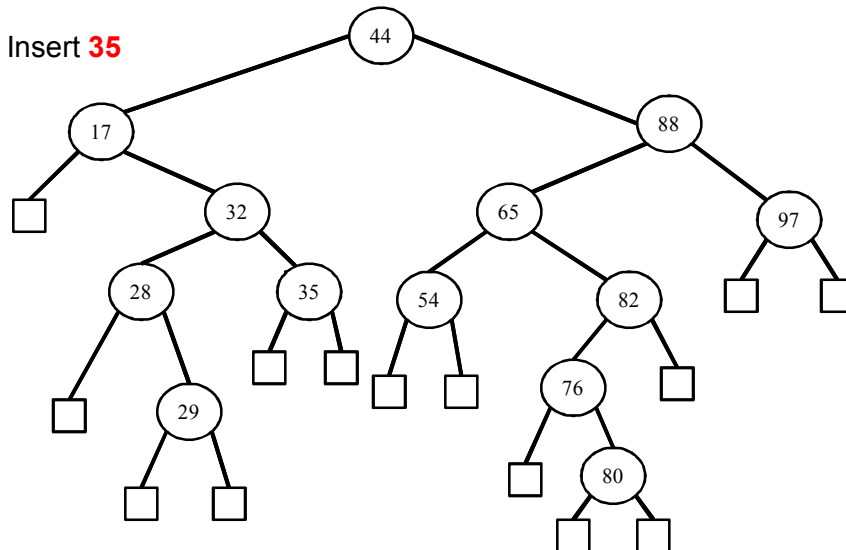


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Operations of BSTs: Insert

Insert **35**

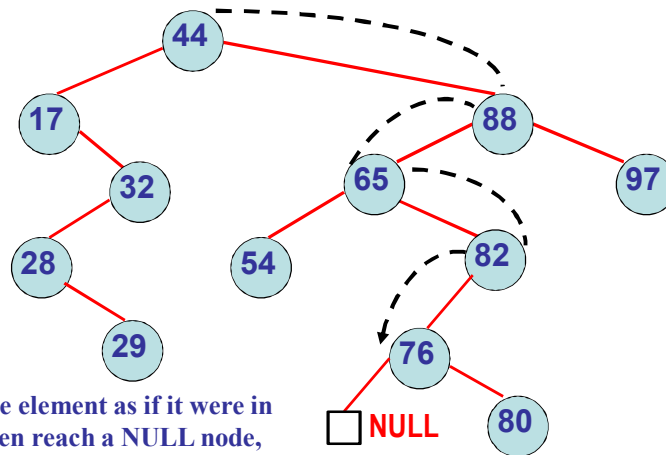


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Operations of BSTs: Insert

- Example: Insert 74



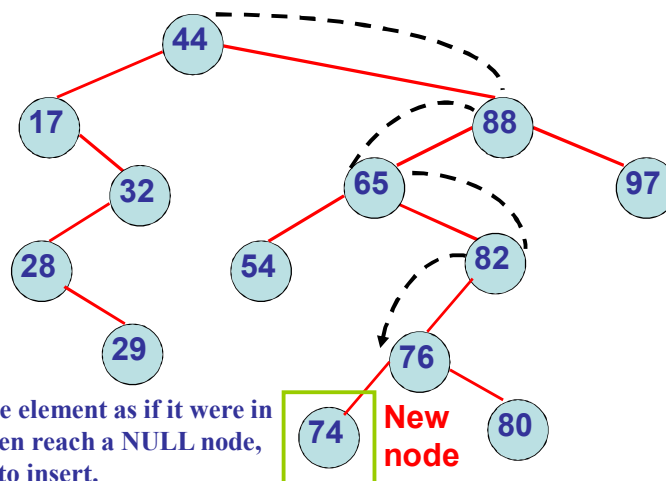
Search for the element as if it were in the tree. When reach a NULL node, that's where to insert.

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Operations of BSTs: Insert

- Example: Insert 74



Search for the element as if it were in the tree. When reach a NULL node, that's where to insert.

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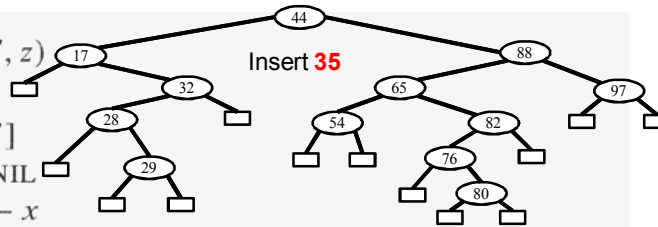
Operations of BSTs: Insert

TREE-INSERT(T, z)

```

1   $y \leftarrow \text{NIL}$ 
2   $x \leftarrow \text{root}[T]$ 
3  while  $x \neq \text{NIL}$ 
4      do  $y \leftarrow x$ 
5          if  $\text{key}[z] < \text{key}[x]$ 
6              then  $x \leftarrow \text{left}[x]$ 
7              else  $x \leftarrow \text{right}[x]$ 
8   $p[z] \leftarrow y$ 
9  if  $y = \text{NIL}$ 
10     then  $\text{root}[T] \leftarrow z$ 
11     else if  $\text{key}[z] < \text{key}[y]$ 
12         then  $\text{left}[y] \leftarrow z$ 
13         else  $\text{right}[y] \leftarrow z$ 
    
```

Insert **35**



▷ Tree T was empty

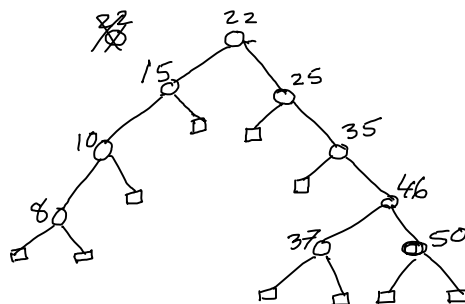
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Operations of BSTs: Insert

Draw a binary search tree (show each step) after inserting the following keys.

22, 15, 25, 10, 35, 46, 37, 8, 50



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Operations of BSTs: Delete

- Delete node x

- 3 cases: ✓

- x has no children: ✓

- ◆ Remove x

- x has one child:

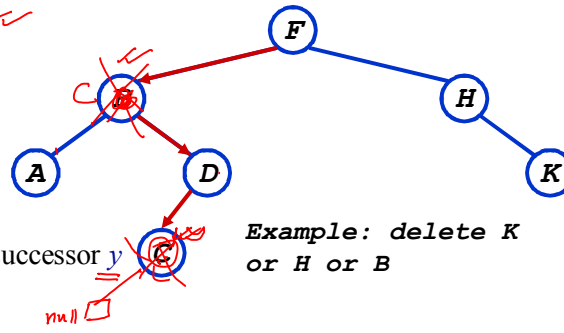
- ◆ Splice out x

- x has two children:

- ◆ Find its inorder successor y

- ◆ Replace x with y

- ◆ Delete y



Example: delete K
or H or B

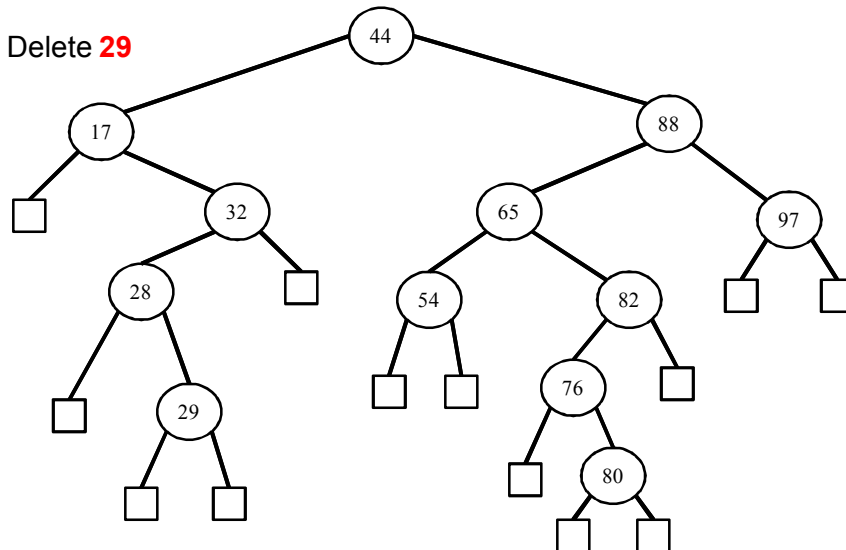
cannot have left child !

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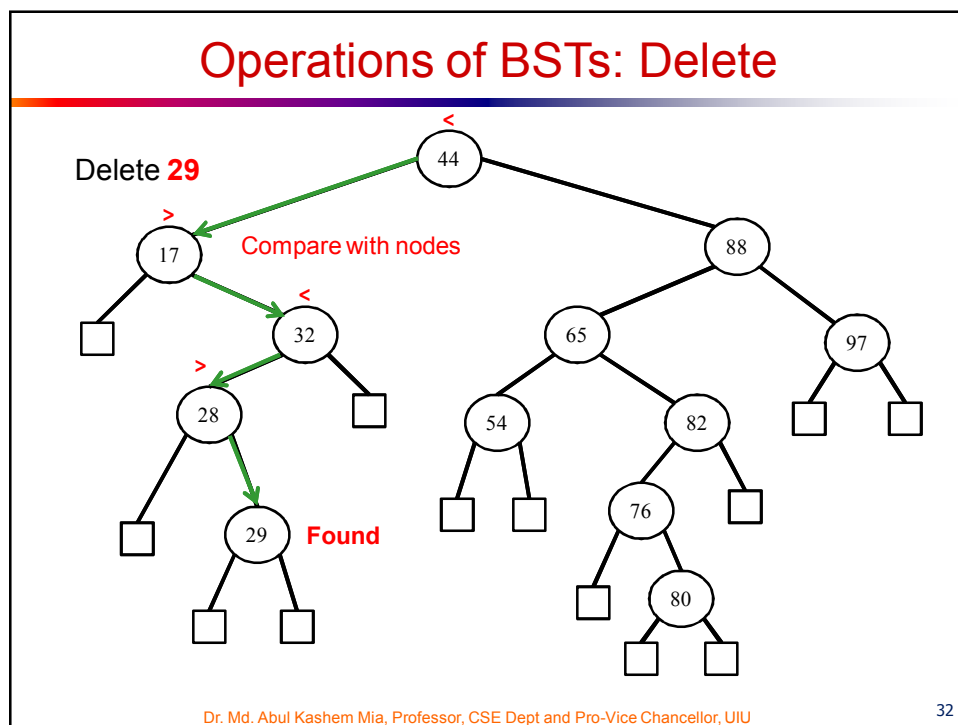
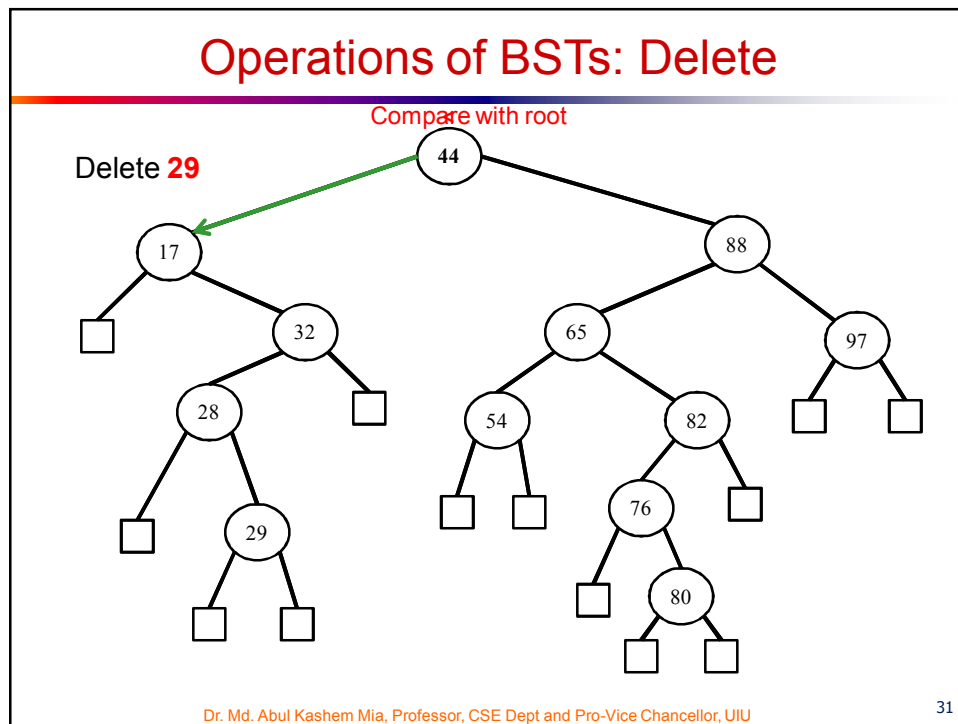
Operations of BSTs: Delete

Delete 29



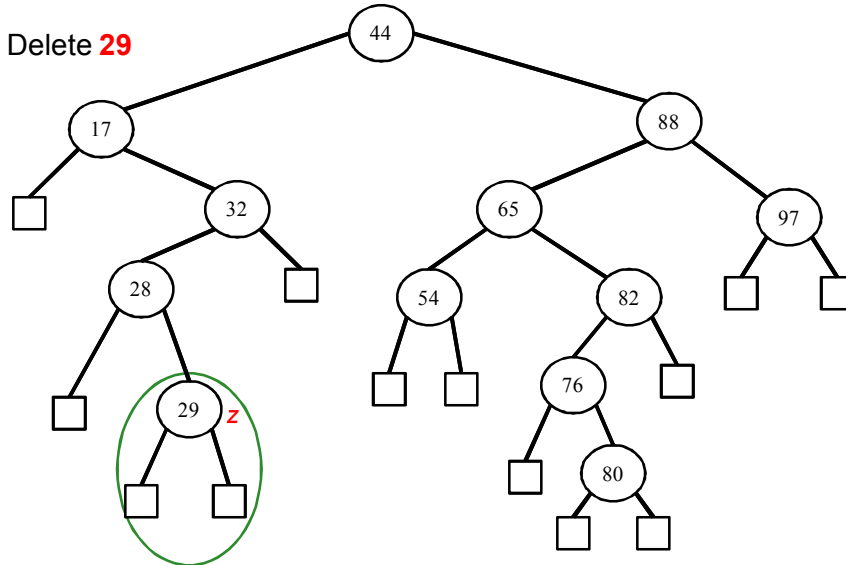
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Operations of BSTs: Delete

Delete **29**

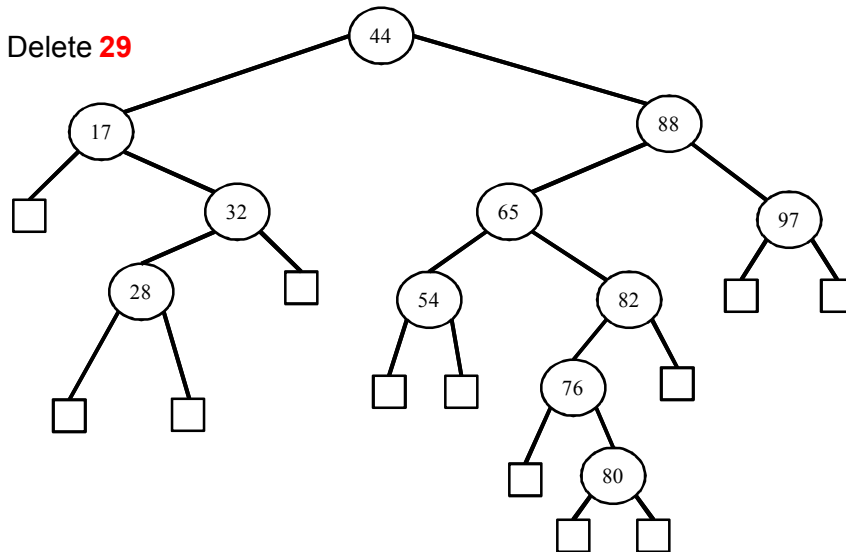


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Operations of BSTs: Delete

Delete **29**

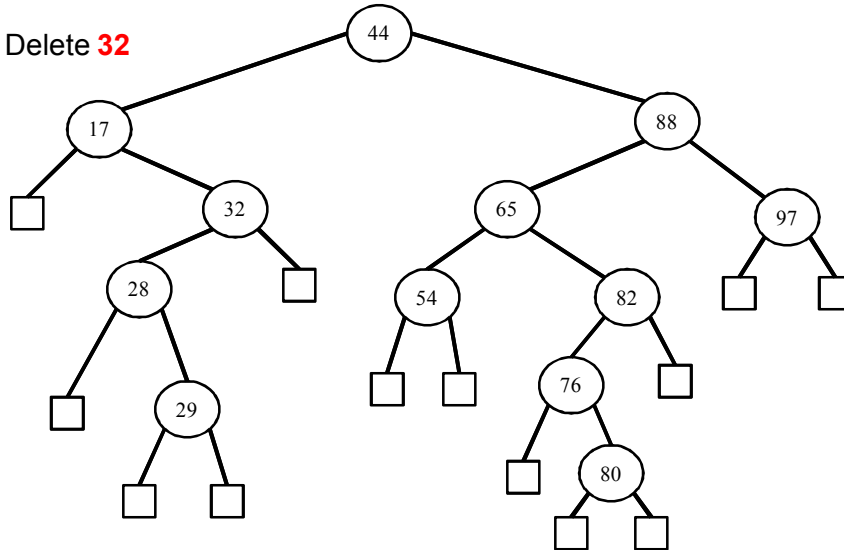


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Operations of BSTs: Delete

Delete **32**



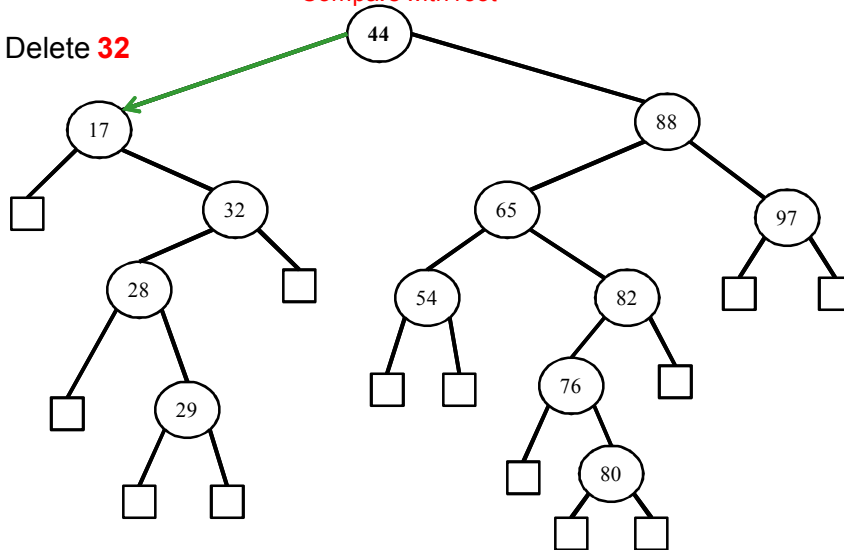
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Operations of BSTs: Delete

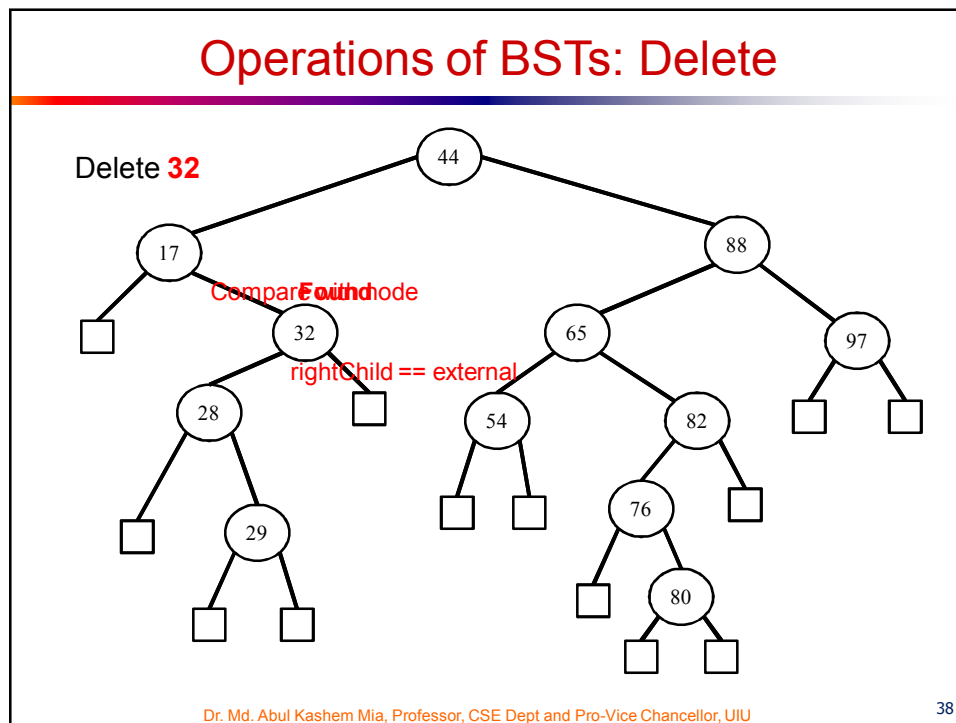
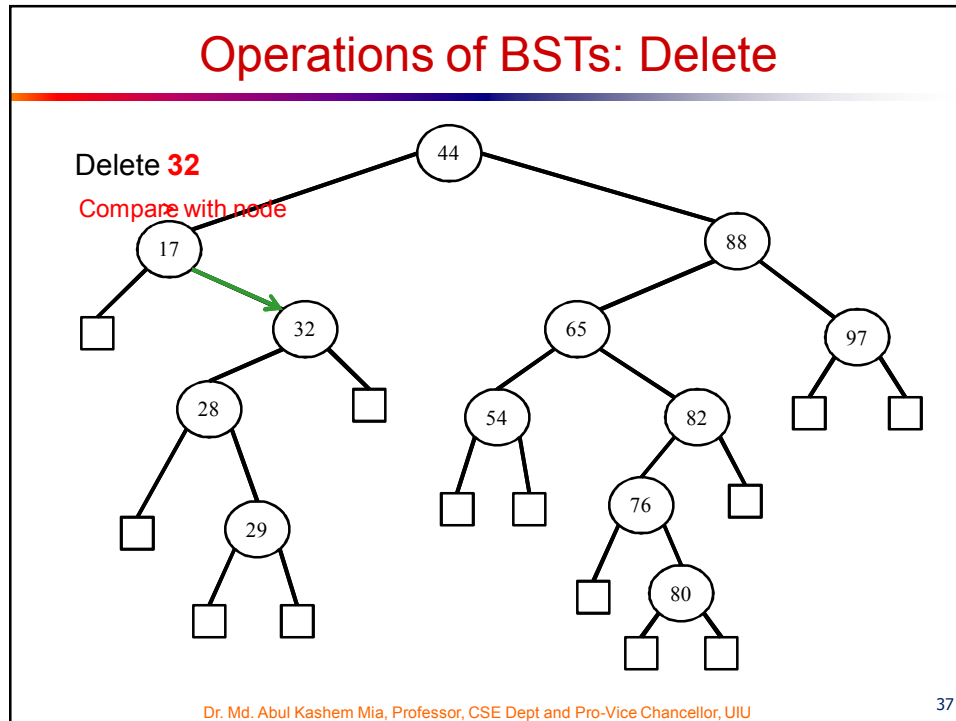
Compare with root

Delete **32**



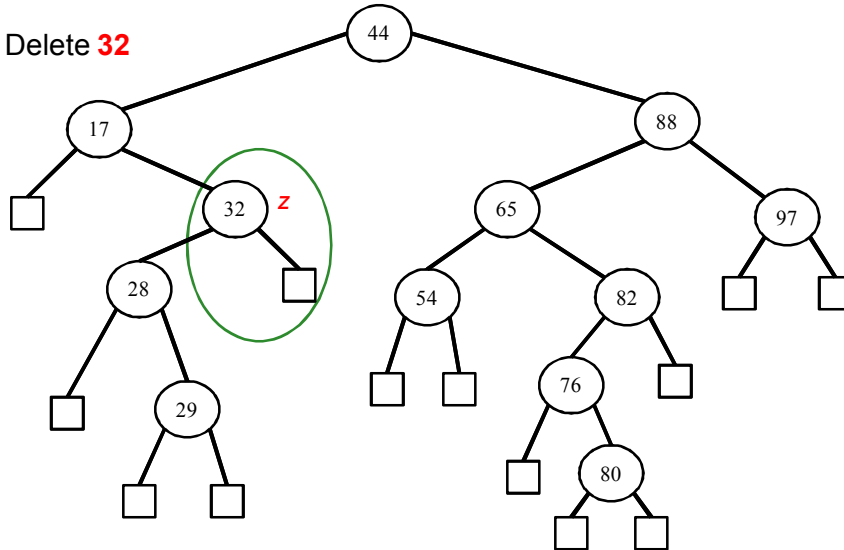
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Operations of BSTs: Delete

Delete **32**

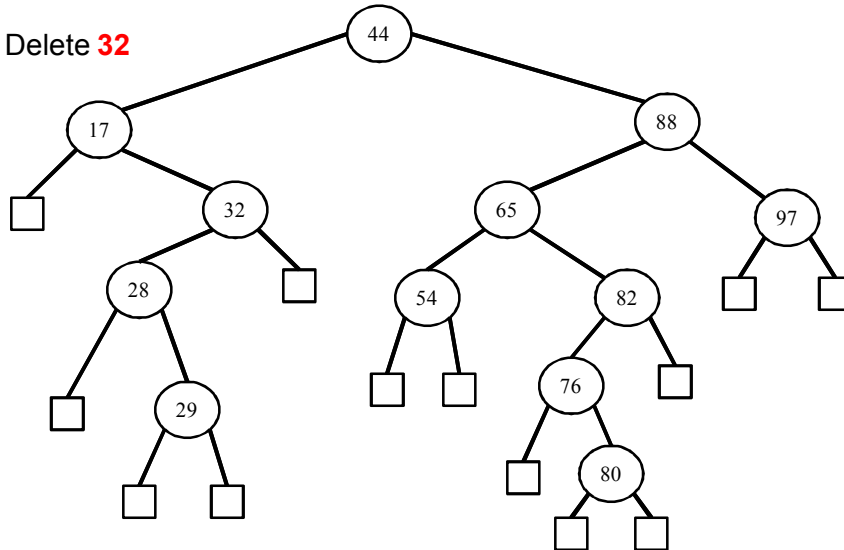


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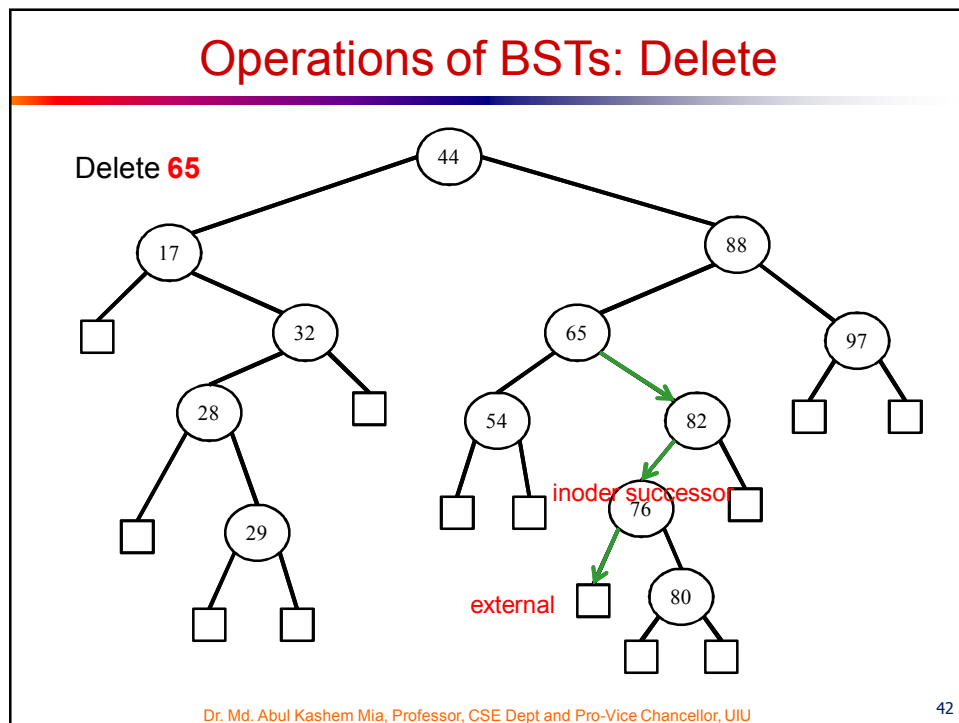
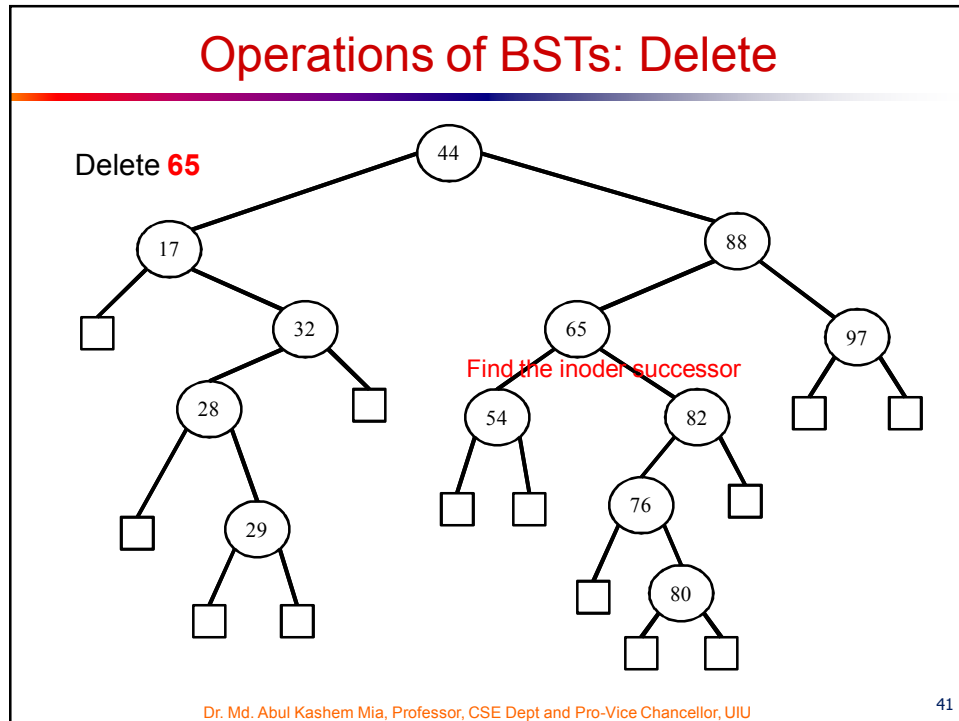
Operations of BSTs: Delete

Delete **32**



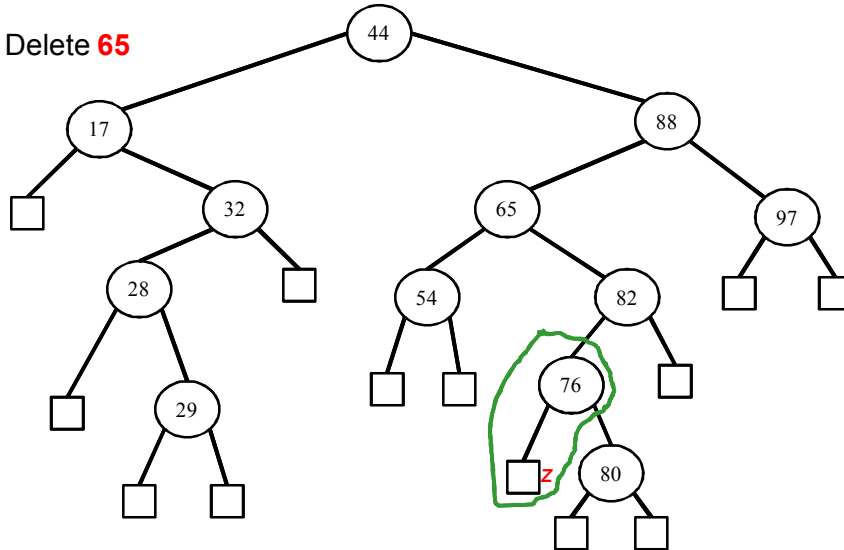
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Operations of BSTs: Delete

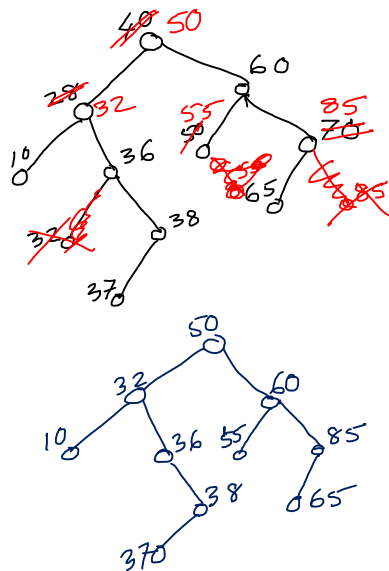
Delete **65**



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Operations of BSTs: Delete



- Write each step of
Draw the BST after doing the following operations
- insert 85 ✓
 - delete 28 ✓
 - delete 70 ✓
 - insert 55 ✓
 - delete 40 ✓

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Operations of BSTs: Delete

- Given two nodes u and v , Transplant replaces the subtree rooted at u with the subtree rooted at v : node u 's parent becomes node v 's parent.

```

Transplant(T, u, v)
  if (p[u] == NIL)
    root[T] = v
  elseif (u == left[ p[u] ])
    left[ p[u] ] = v;
  else right[ p[u] ] = v;
  if v != NIL
    p[v] = p[u];
    
```

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Operations of BSTs:

- Deletes node z from binary search tree T

```

Tree-Delete(T, z)
  if (left[z] == NIL)
    Transplant(T, z, right[z])
  elseif (right[z] == NIL)
    Transplant(T, z, left[z])
  else y = Tree-Minimum(right[z])
    if p[y] != z
      Transplant(T, y, right[y])
      right[y] = right[z]
      p[ right[y] ] = y
    Transplant(T, z, y)    // p[y] == z
    left[y] = left[z]
    p[ left[y] ] = y
    
```

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Sorting with BSTs

- Informal code for sorting array A of length n :

```
BSTSort (A)
```

```
    for  $i=1$  to  $n$ 
```

```
        TreeInsert (A[ $i$ ]) ;
```

```
    InorderTreeWalk (root) ;
```

- *Running time is $\Omega(n \lg n)$*
- *What will be the running time in the*
 - *Worst case?*
 - *Average case?*

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BST: Remarks

- All complexity depends on height h
- $h = \Omega(\lg n)$
- To guarantee performance:
 - Balanced tree !
- Randomly build tree
 - **Theorem:** The expected height of a randomly built binary search tree on n distinct keys is $O(\lg n)$

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Balanced Binary Search Trees

- Keep $O(\lg n)$ height under dynamic operations
- General framework:
 - First a binary search tree
 - Maintain properties that ensure height guarantee
- AVL tree, red-black tree, ...

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Codes for Binary Search Trees

```
#include<stdio.h>
#include<stdlib.h>

typedef struct BSTnode{
    int key;
    struct BSTnode *left;
    struct BSTnode *right;
} BSTnode;

BSTnode *create(){
    BSTnode *temp;
    printf("\nEnter key: ");
    temp = (BSTnode*)malloc(sizeof(BSTnode));
    scanf("%d", &temp->key);
    temp->left = temp->right = NULL;
    return temp;
}
```

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Codes for Binary Search Trees

```
void insert(BSTnode *root, BSTnode *temp){
    if(temp->key < root->key){
        if(root->left != NULL)
            insert(root->left, temp);
        else
            root->left = temp;
    }
    if(temp->key > root->key){
        if(root->right != NULL)
            insert(root->right, temp);
        else
            root->right = temp;
    }
}
```

```
BSTnode *minValueNode(BSTnode *node){
    BSTnode *current = node;
    while (current->left != NULL)
        current = current->left;
    return current;
}
```

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Codes for Binary Search Trees

```
BSTnode* deleteNode(BSTnode *root, int key){
    if (root == NULL) return root;
    // If the key to be deleted is smaller than the root's key, then it lies in left subtree
    if (key < root->key)
        root->left = deleteNode(root->left, key);

    // If the key to be deleted is greater than the root's key, then it lies in right subtree
    else if (key > root->key)
        root->right = deleteNode(root->right, key);

    // if key is same as root's key, then This is the node to be deleted
    else { // node with only one child or no child
        if (root->left == NULL) {
            BSTnode *temp = root->right;
            free(root);
            return temp; }
        else if (root->right == NULL) {
            BSTnode *temp = root->left;
            free(root);
            return temp; }
    }
```

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Codes for Binary Search Trees

```
// node with two children: Get the inorder successor (smallest in the right subtree)
BSTnode *temp = minValueNode(root->right);

// Copy the inorder successor's content to this node
root->key = temp->key;

// Delete the inorder successor
root->right = deleteNode(root->right, temp->key);
}
return root;
}

void inorder(BSTnode *root){
    if(root != NULL) {
        inorder(root->left);
        printf("%d ", root->key);
        inorder(root->right);
    }
}
```

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Codes for Binary Search Trees

```
int main() {
    char ch;    int item;    BSTnode *root = NULL, *temp;
    do {
        temp = create();
        if(root == NULL)
            root = temp;
        else
            insert(root, temp);
        printf("\n Do you want to enter more(y/n)? ");    getchar();    scanf("%c", &ch);
    } while(ch=='y'|ch=='Y');
    printf("\n Inorder traversal of the tree:\t");    inorder(root);

    do {
        if(root == NULL){
            printf("\n No key to delete!"); return 0;    }
        else {
            printf("\n Delete what? ");    scanf("%d", &item);
            root = deleteNode(root, item);
            printf("\n Inorder traversal of the modified tree \t"); inorder(root);
        }
        printf("\n Do you want to delete more(y/n)? ");    getchar();    scanf("%c", &ch);
    } while(ch=='y'|ch=='Y');
    return 0;    }
}
```

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