

CS101 Algorithms and Data Structures

Minimum Spanning Tree
Textbook Ch 23



Outline

- Definition and applications
- Prim's algorithm
- Kruskal's algorithm

Spanning trees

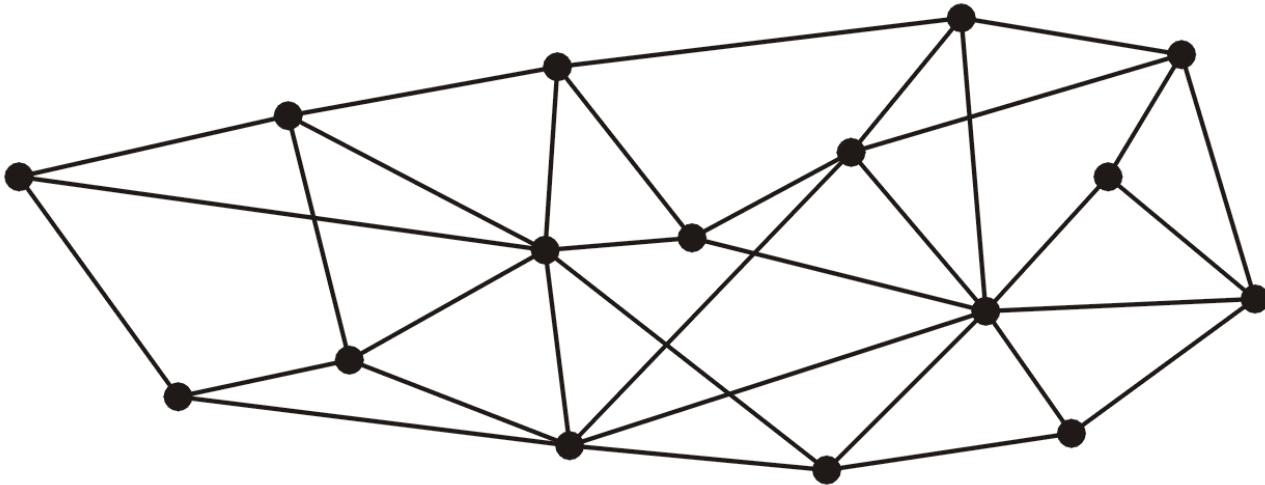
Given a connected graph with n vertices, a spanning tree is defined as a subgraph that is a tree and includes all the n vertices

- It has $n - 1$ edges

A spanning tree is not necessarily unique

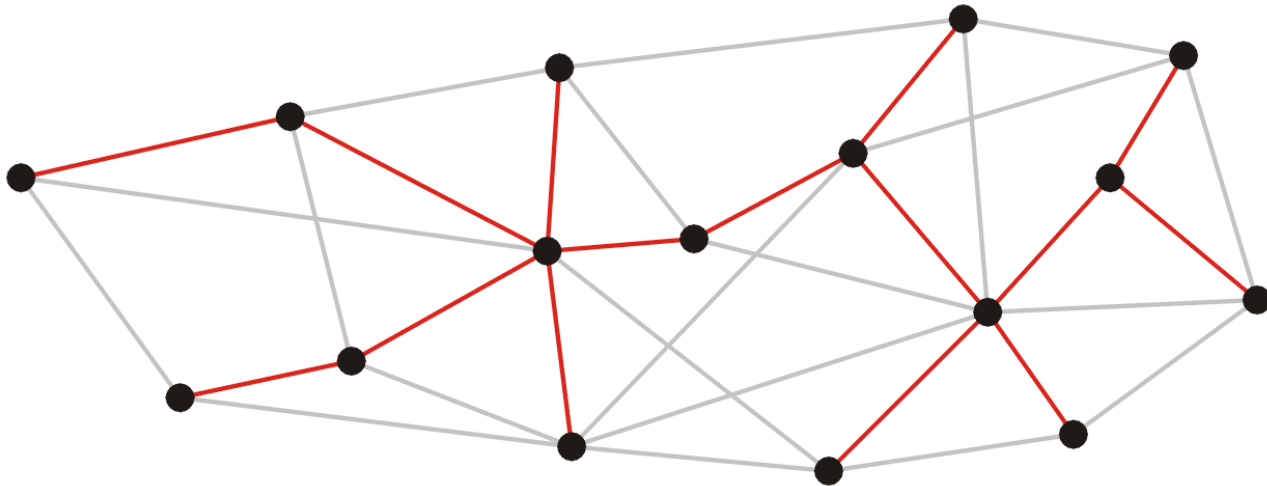
Spanning trees

This graph has 16 vertices and 35 edges



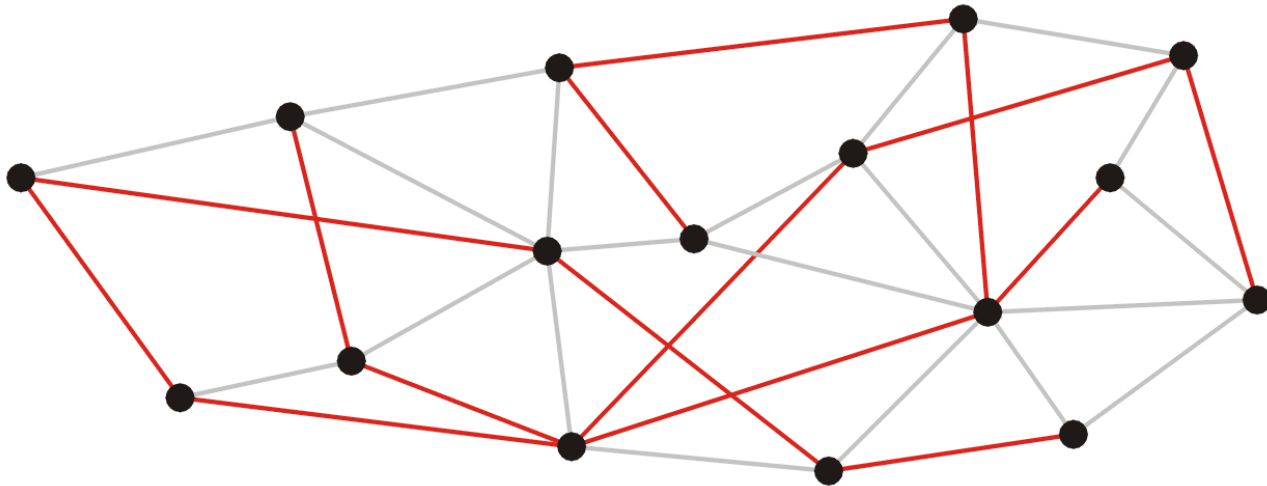
Spanning trees

These 15 edges form a spanning tree

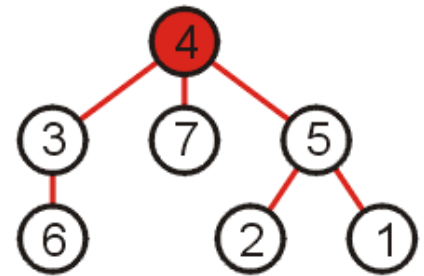
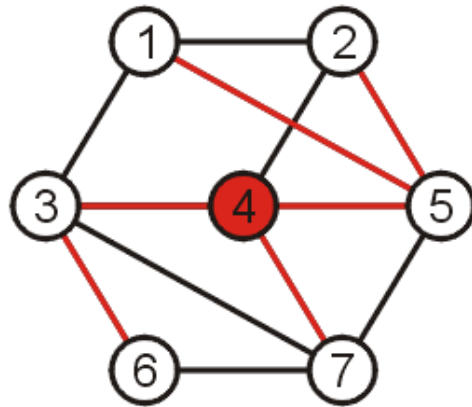
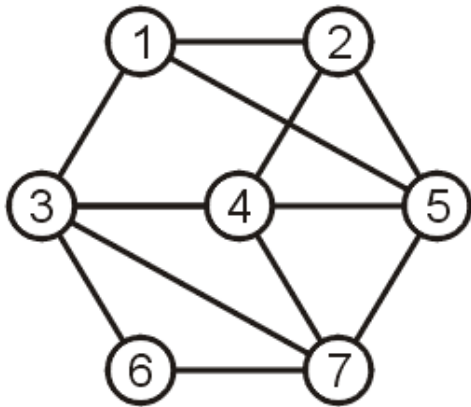


Spanning trees

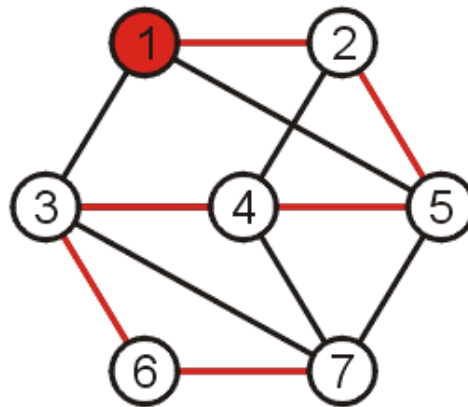
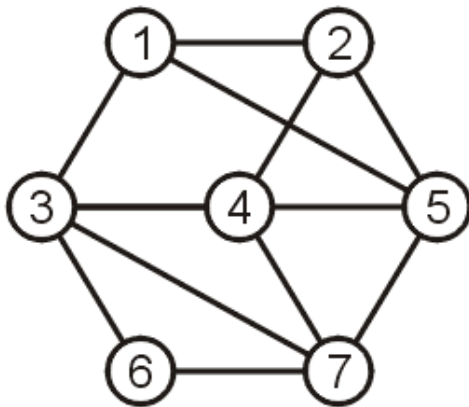
As do these 15 edges:



Spanning trees



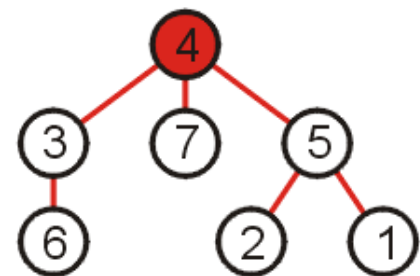
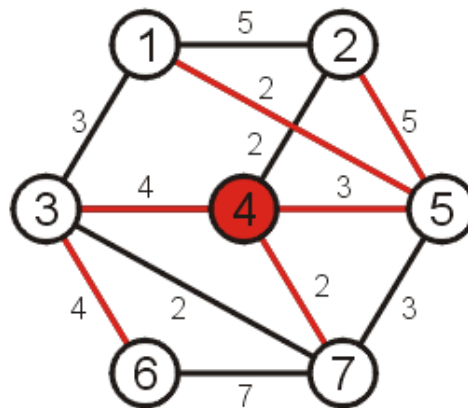
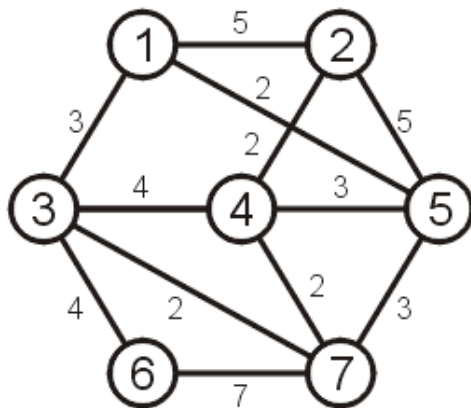
Spanning trees



Spanning trees on weighted graphs

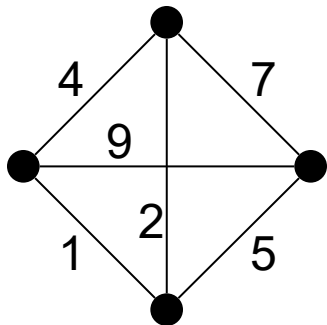
The weight of a spanning tree is the sum of the weights on all the edges which comprise the spanning tree

- The weight of this spanning tree is 20

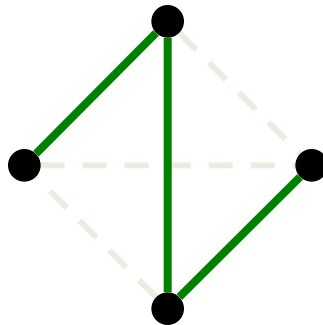


Spanning trees on weighted graphs

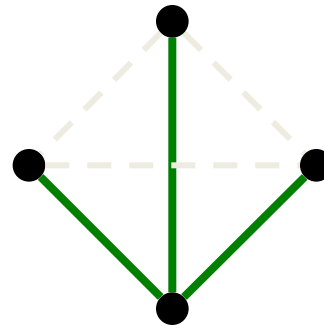
The weight of a spanning tree is the sum of the weights on all the edges which comprise the spanning tree



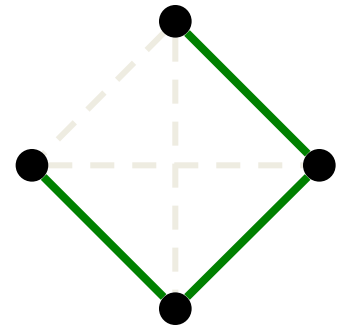
11



8



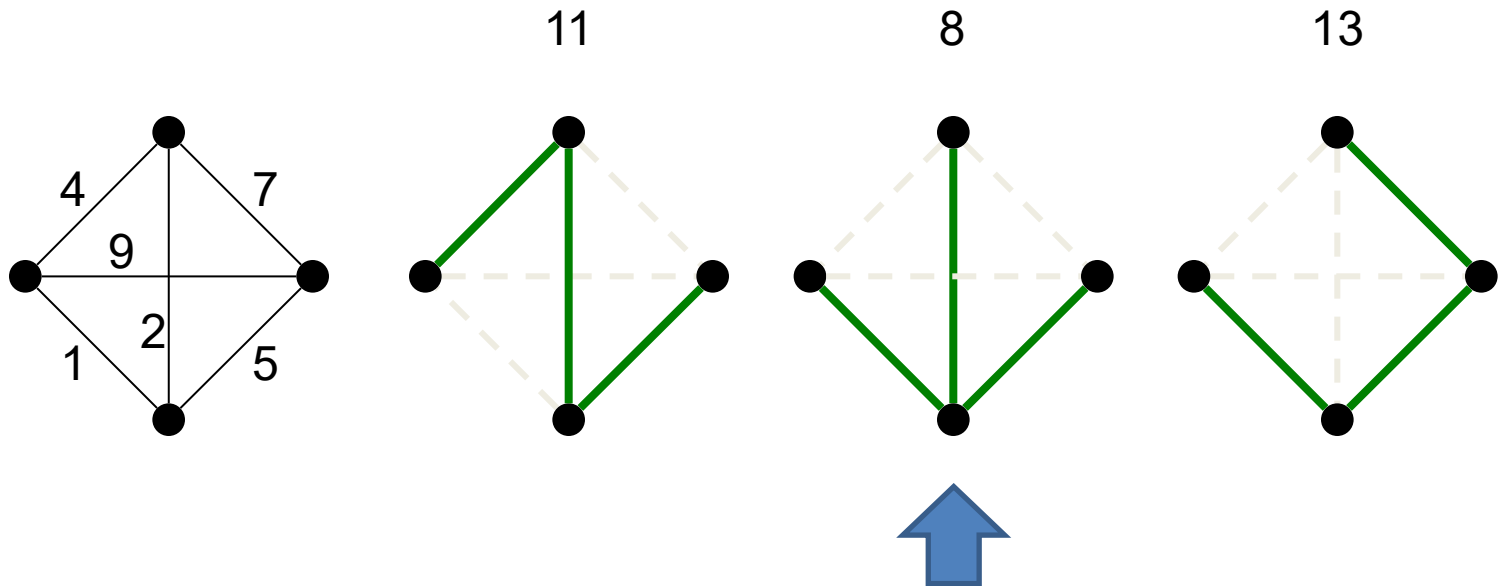
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Minimum Spanning Trees

Which spanning tree minimizes the weight?

- Such a tree is termed a *minimum spanning tree*

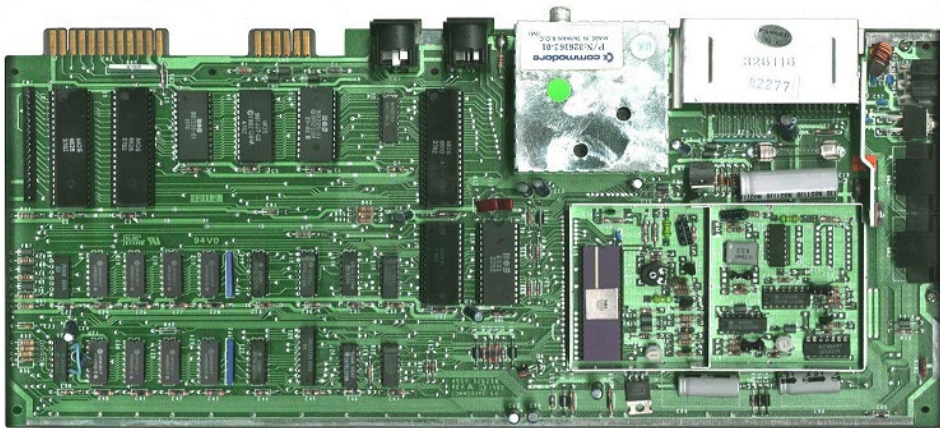


Application

Consider supplying power to

- All circuit elements on a board
- A number of loads within a building

A minimum spanning tree will give the lowest-cost solution



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www.kpmb.com

Application

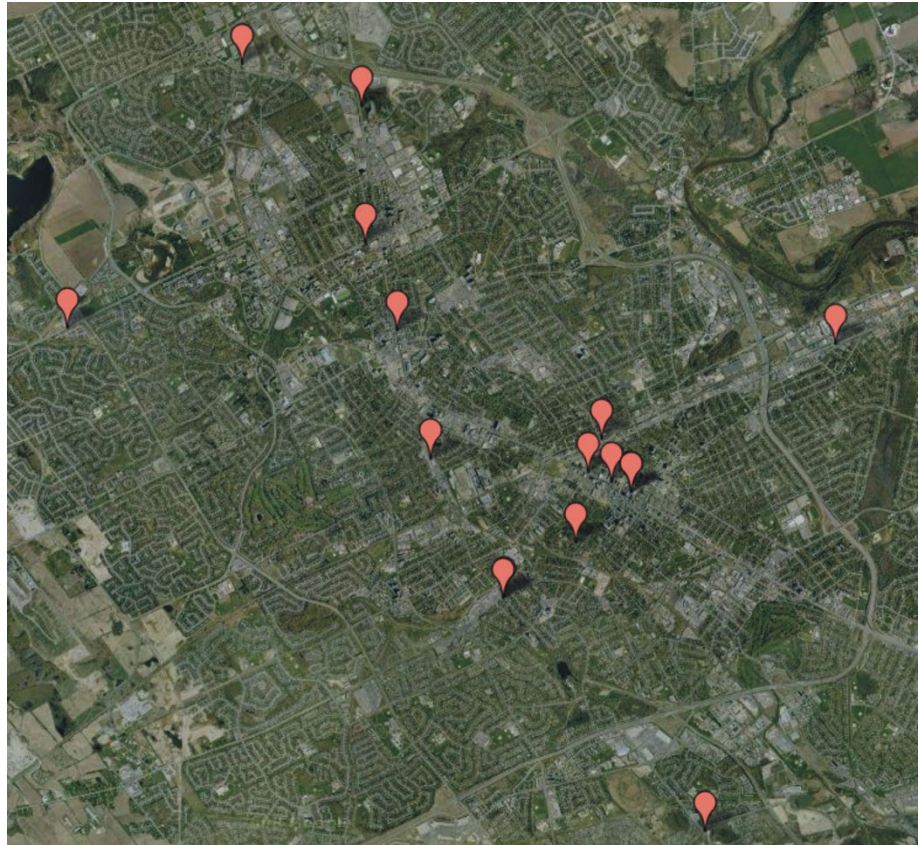
The first application of a minimum spanning tree algorithm was by the Czech mathematician Otakar Borůvka who designed electricity grid in Moravia in 1926



Application

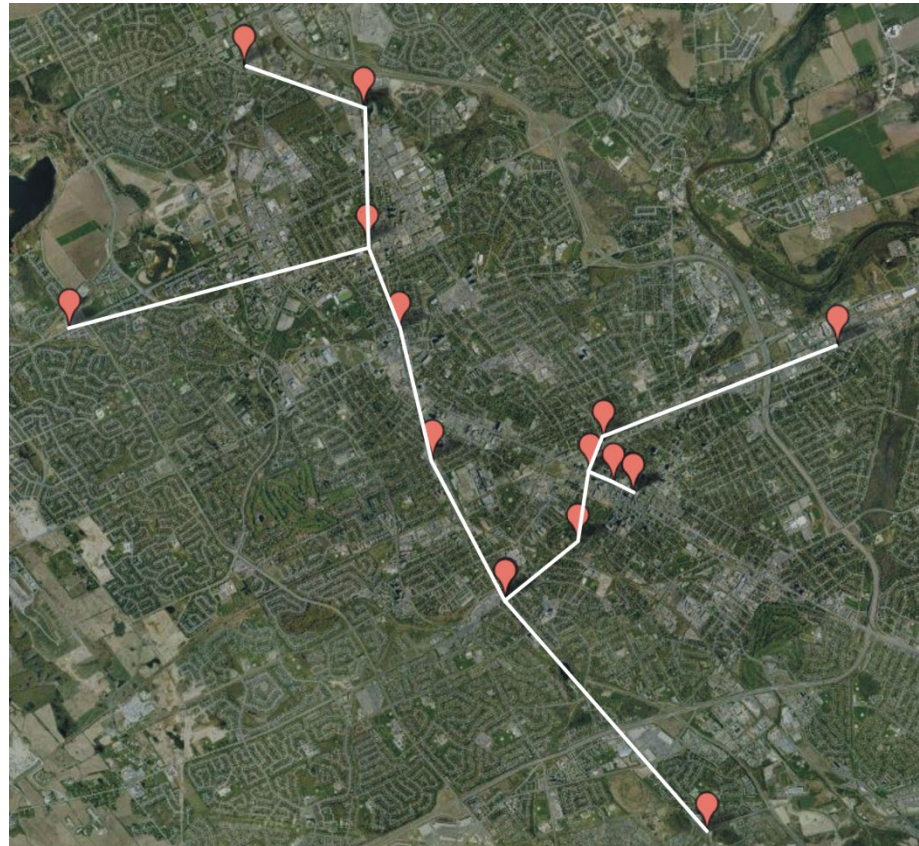
Consider attempting to find the best means of connecting a number of houses

- Minimize the length of transmission lines



Application

A minimum spanning tree will provide the optimal solution



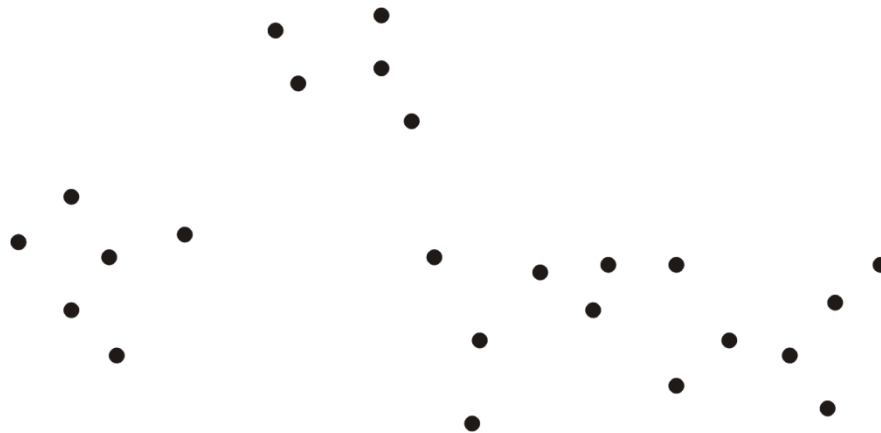
Application

Consider an *ad hoc* wireless network

- Any two terminals can connect with any others

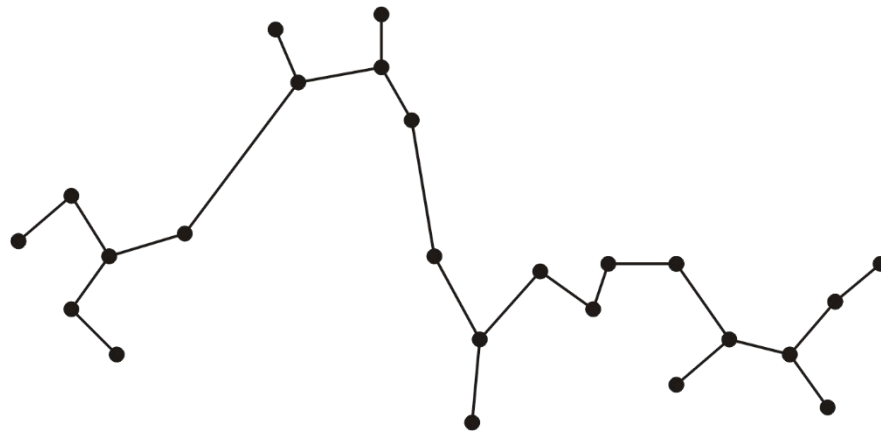
Problem:

- Errors in transmission increase with transmission length
- Can we find clusters of terminals which can communicate safely?



Application

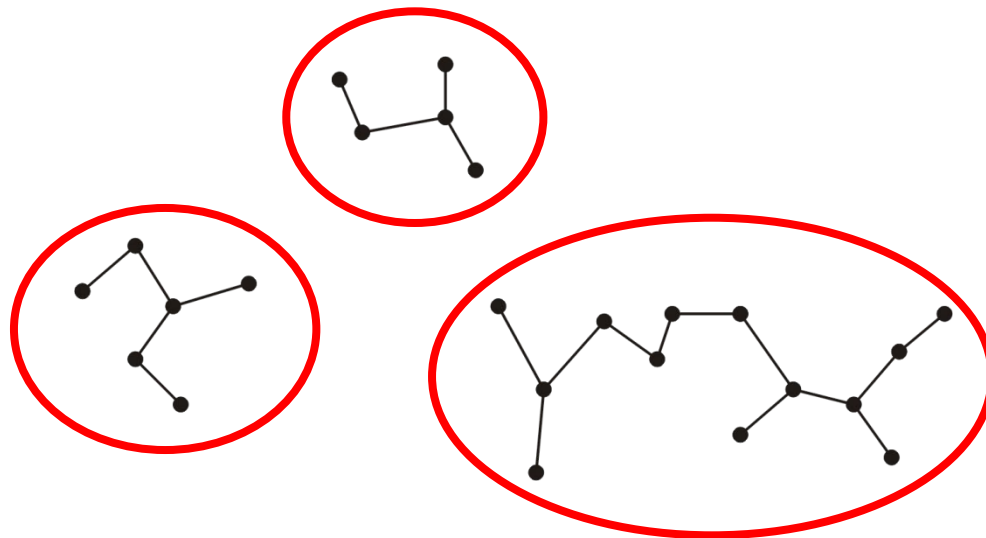
Find a minimum spanning tree



Application

Remove connections which are too long

This *clusters* terminals into smaller and more manageable sub-networks



Minimum Spanning Trees

Simplifying assumption:

- All edge weights are distinct

This guarantees that given a graph, there is a unique minimum spanning tree.

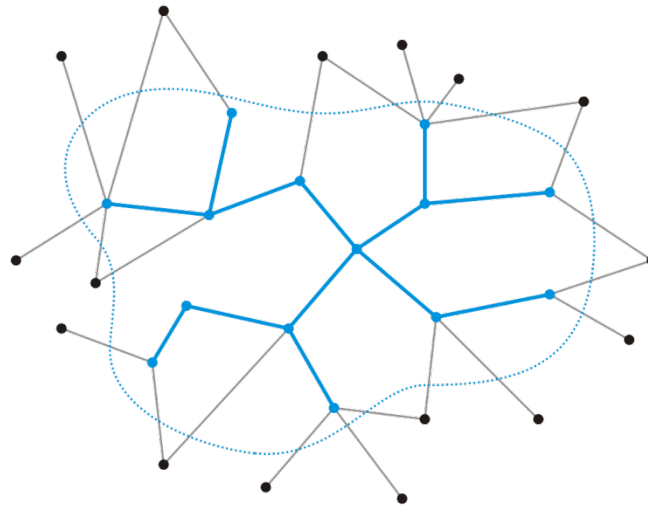
Outline

- Definition and applications
- Prim's algorithm
- Kruskal's algorithm

Strategy

Strategy:

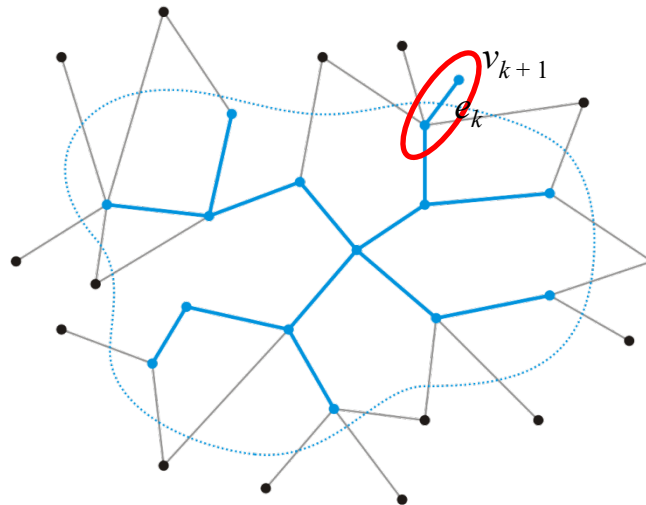
- Suppose we have a known minimum spanning tree on $k < n$ vertices
- How could we extend this minimum spanning tree?



Strategy

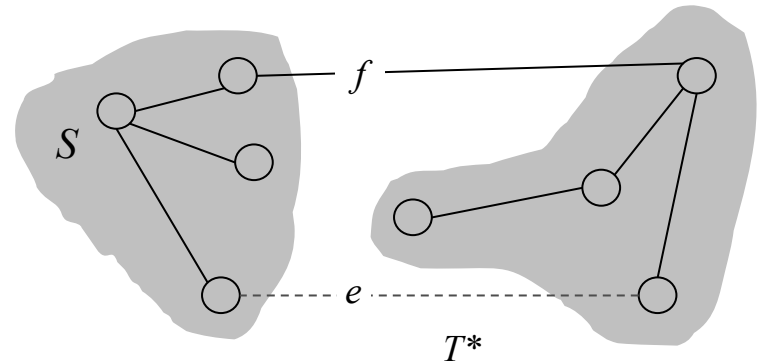
Add the edge e_k with least weight that connects this minimum spanning tree to a new vertex v_{k+1}

- This does create a minimum spanning tree on the $k+1$ nodes—there is no other edge that extends the tree with less weight
- Does the new edge belong to the minimum spanning tree on all n vertices?
 - Yes! The cut property.



Cut property

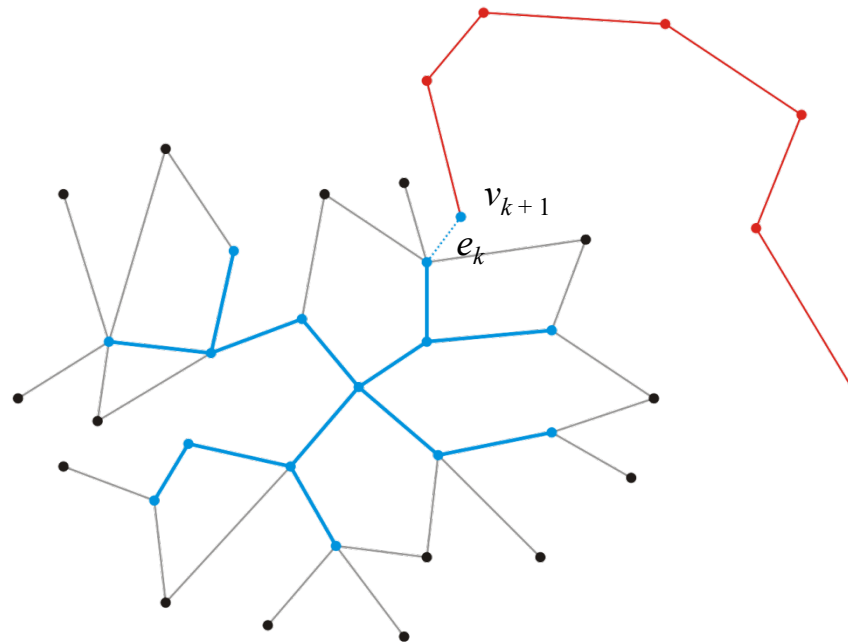
- Let S be any subset of nodes, and let e be the least weight edge with exactly one endpoint in S . Then the MST T^* contains e .
- Proof
 - Suppose e does not belong to T^* .
 - Adding e to T^* creates a cycle C in T^* .
 - e is in a cycle C with exactly one endpoint in $S \Rightarrow$ there exists another edge f in C with exactly one endpoint in S .
 - $T' = T^* \cup \{e\} - \{f\}$ is also a spanning tree.
 - Since $w_e < w_f$, the weight of T' is smaller than that of T^* .
 - This is a contradiction



Proof

Suppose it does not

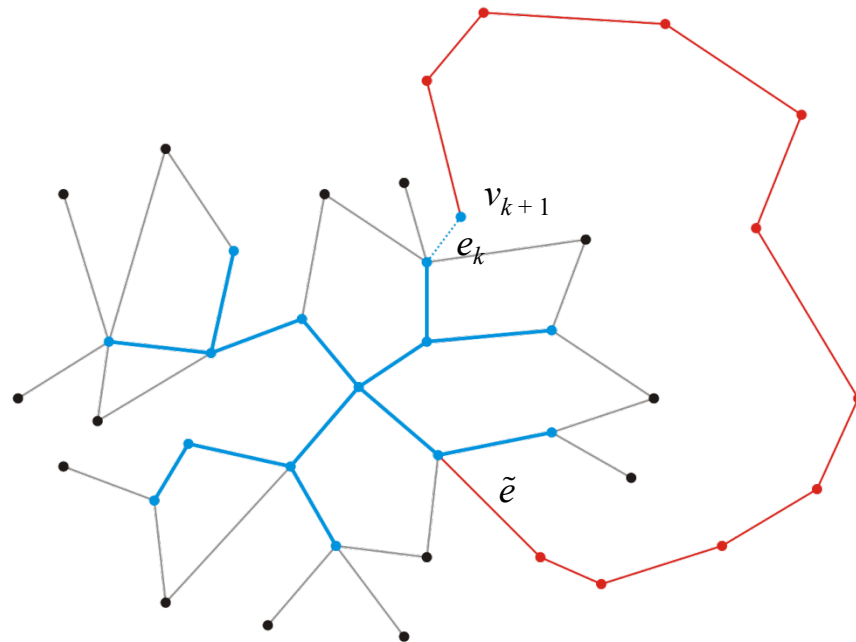
Thus, vertex v_{k+1} is connected to the minimum spanning tree via another sequence of edges



Proof

Because a minimum spanning tree is connected, there must be a path from vertex v_{k+1} back to our existing minimum spanning tree

- Let the last edge in this path be \tilde{e}

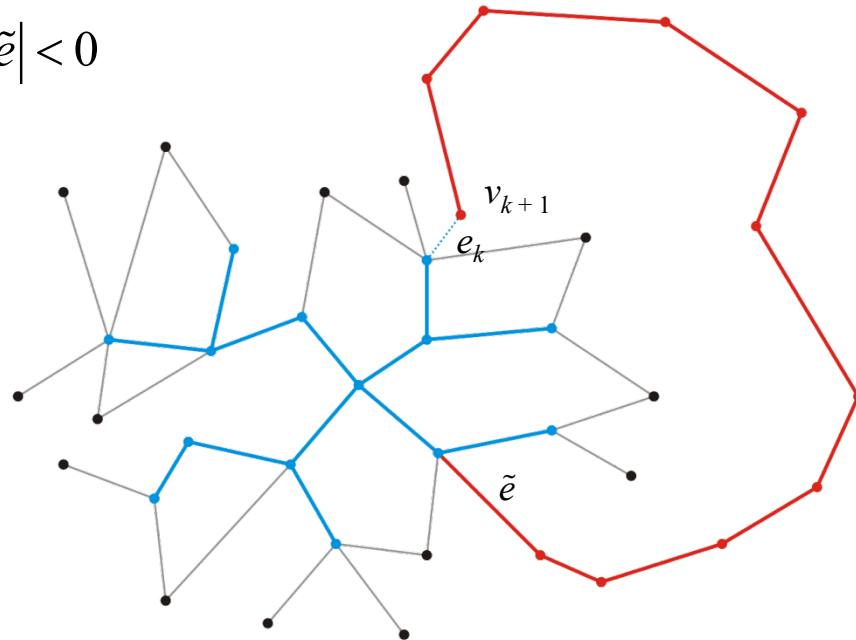


Proof

Let w be the weight of this minimum spanning tree

- Recall, however, that when we chose to add v_{k+1} , it was because e_k was the edge connecting an adjacent vertex with **least** weight
- Therefore $|\tilde{e}| > |e_k|$ where $|e|$ represents the weight of the edge e

$$|e_k| - |\tilde{e}| < 0$$

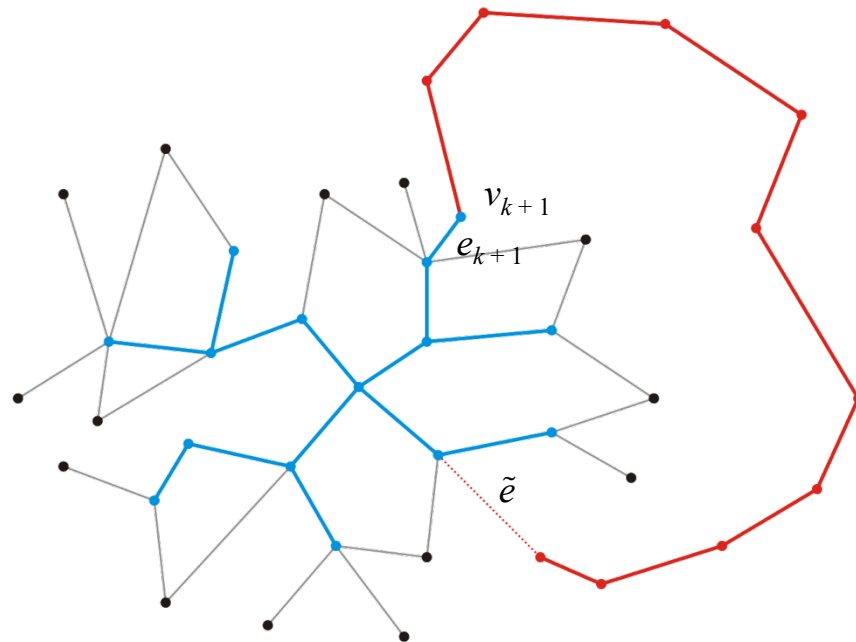


Proof

Suppose we swap edges and choose to include e_k and exclude \tilde{e}

- The result is still a spanning tree, but the weight is now

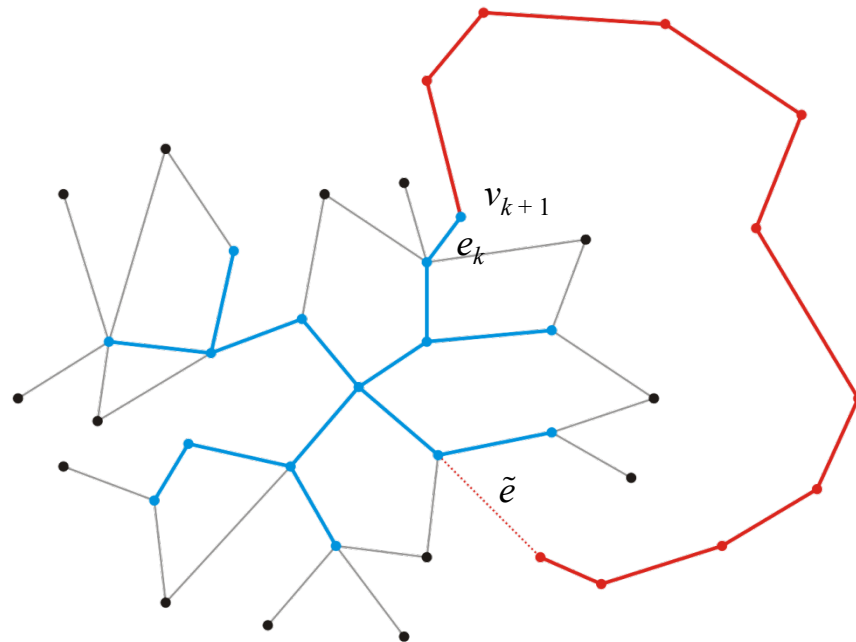
$$w + |e_{k+1}| - |\tilde{e}| \leq w$$



Proof

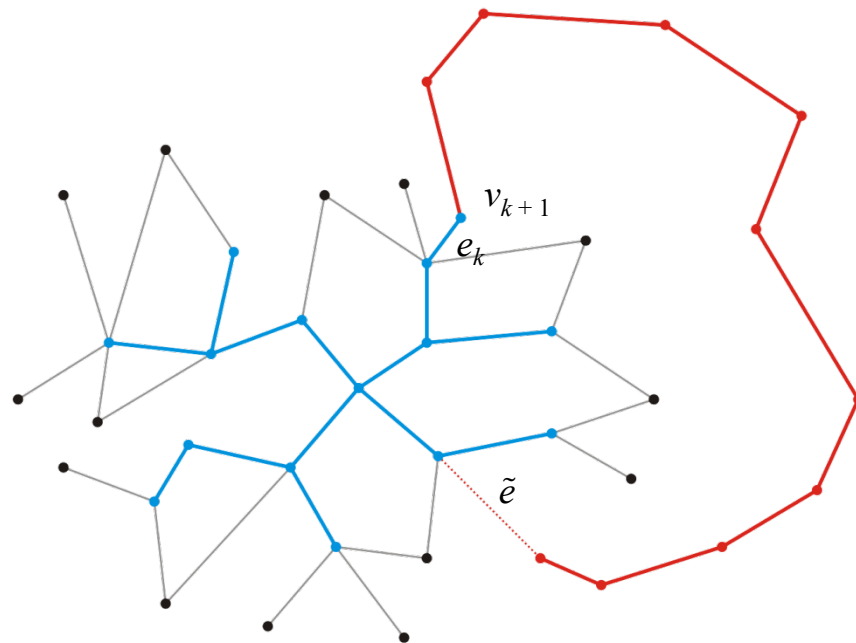
Thus, by swapping e_k for \tilde{e} , we have a spanning tree that has less weight than the so-called minimum spanning tree containing \tilde{e}

- This contradicts our assumption that the spanning tree containing \tilde{e} was minimal
- Therefore, we have proved that our minimum spanning tree must contain e_k



Strategy

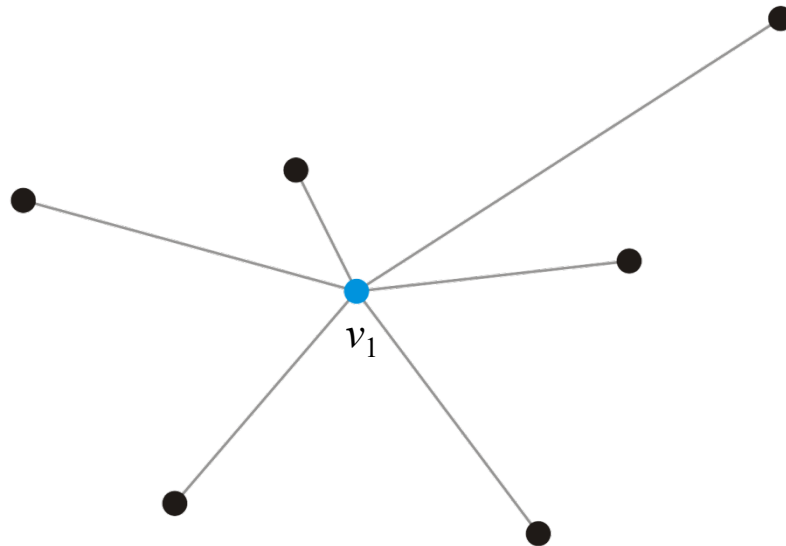
Recall that we did not prescribe the value of k , and thus, k could be any value, including $k = 1$



Strategy

Recall that we did not prescribe the value of k , and thus, k could be any value, including $k = 1$

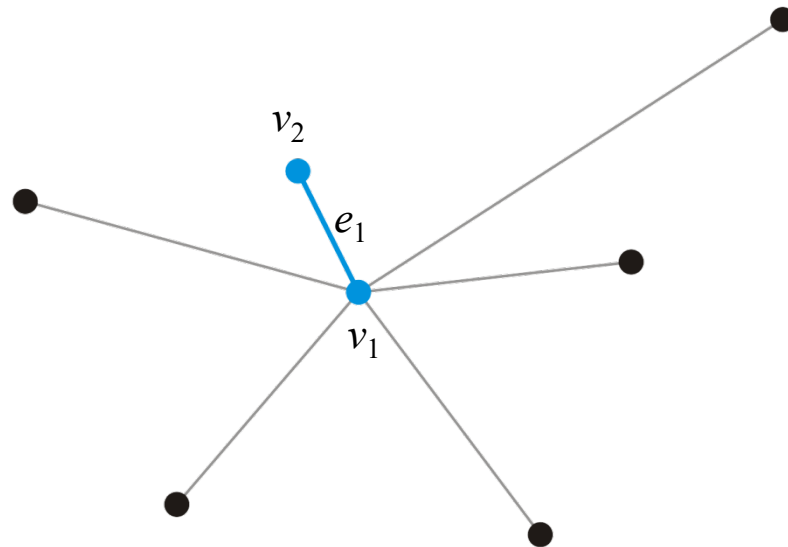
- Given a single vertex e_1 , it forms a minimum spanning tree on one vertex



Strategy

Add that adjacent vertex v_2 that has a connecting edge e_1 of minimum weight

- This forms a minimum spanning tree on our two vertices and e_1 must be in any minimum spanning tree containing the vertices v_1 and v_2

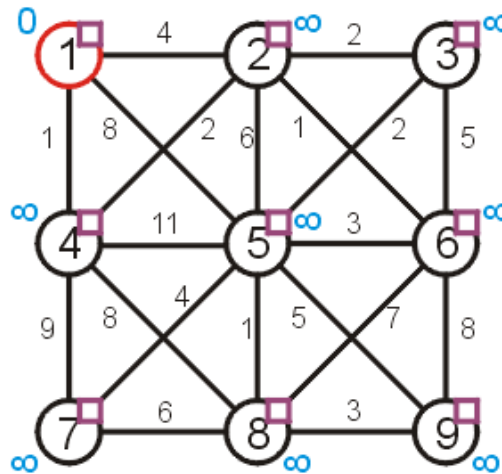


Prim's Algorithm

Prim's algorithm for finding the minimum spanning tree states:

- Start with an arbitrary vertex to form a minimum spanning tree on one vertex
- At each step, add the edge with least weight that connects the current minimum spanning tree to a new vertex
- Continue until we have $n - 1$ edges and n vertices

Prim's Algorithm



Visited or not

| | | Distance | Parent |
|---|--|----------|--------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| 7 | | | |
| 8 | | | |
| 9 | | | |

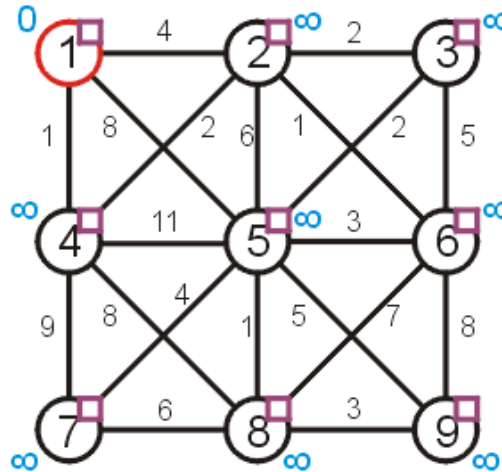
Prim's Algorithm

Initialization:

- Select a root node and set its distance as 0
- Set the distance to all other vertices as ∞
- Set all vertices to being unvisited
- Set the parent pointer of all vertices to 0

Prim's Algorithm

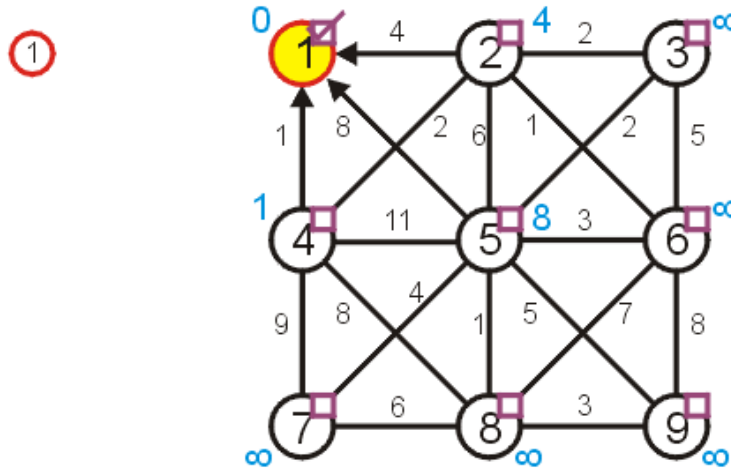
First we initialize the table



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | F | 0 | 0 |
| 2 | F | ∞ | 0 |
| 3 | F | ∞ | 0 |
| 4 | F | ∞ | 0 |
| 5 | F | ∞ | 0 |
| 6 | F | ∞ | 0 |
| 7 | F | ∞ | 0 |
| 8 | F | ∞ | 0 |
| 9 | F | ∞ | 0 |

Prim's Algorithm

Visiting vertex 1, we update vertices 2, 4, and 5

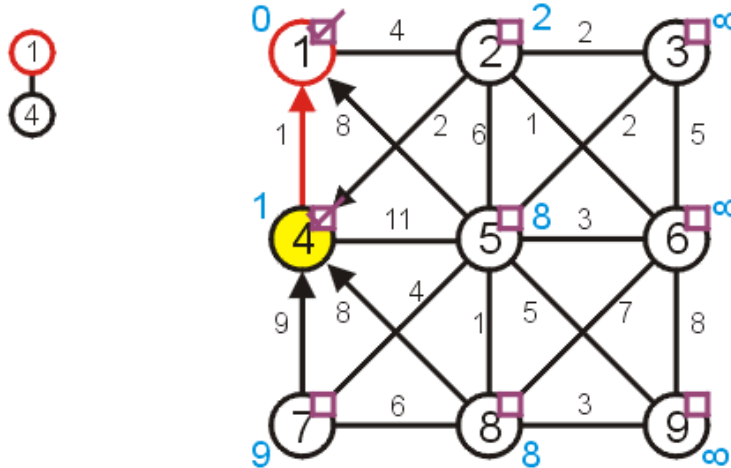


| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | F | 4 | 1 |
| 3 | F | ∞ | 0 |
| 4 | F | 1 | 1 |
| 5 | F | 8 | 1 |
| 6 | F | ∞ | 0 |
| 7 | F | ∞ | 0 |
| 8 | F | ∞ | 0 |
| 9 | F | ∞ | 0 |

Prim's Algorithm

The next unvisited vertex with minimum distance is vertex 4

- Update vertices 2, 7, 8
- Don't update vertex 5

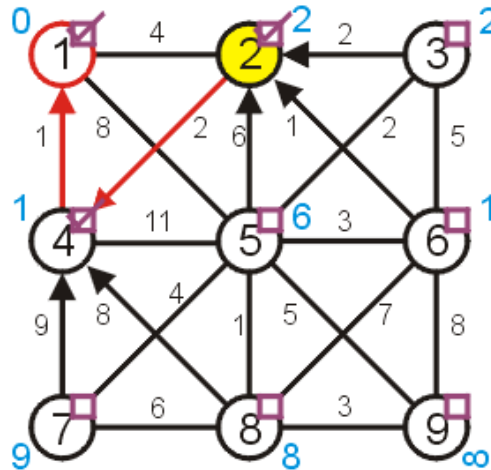


| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | F | 2 | 4 |
| 3 | F | ∞ | 0 |
| 4 | T | 1 | 1 |
| 5 | F | 8 | 1 |
| 6 | F | ∞ | 0 |
| 7 | F | 9 | 4 |
| 8 | F | 8 | 4 |
| 9 | F | ∞ | 0 |

Prim's Algorithm

Next visit vertex 2

- Update 3, 5, and 6

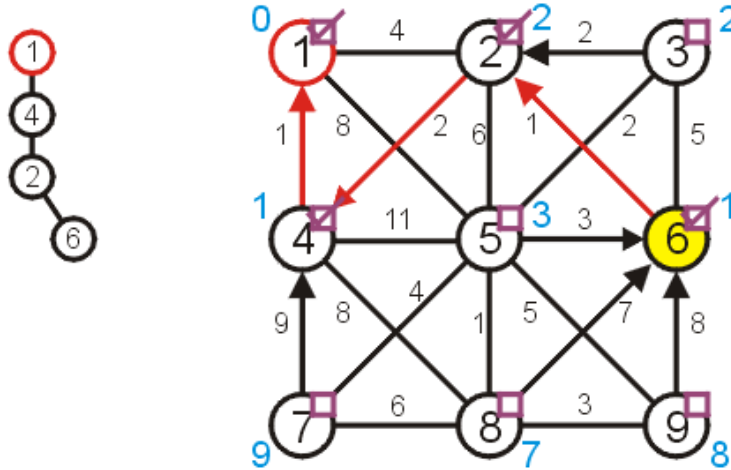


| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | F | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | F | 6 | 2 |
| 6 | F | 1 | 2 |
| 7 | F | 9 | 4 |
| 8 | F | 8 | 4 |
| 9 | F | ∞ | 0 |

Prim's Algorithm

Next, we visit vertex 6:

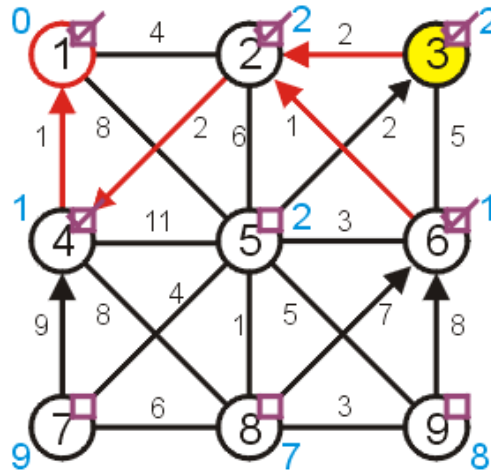
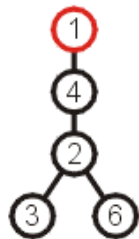
- update vertices 5, 8, and 9



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | F | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | F | 3 | 6 |
| 6 | T | 1 | 2 |
| 7 | F | 9 | 4 |
| 8 | F | 7 | 6 |
| 9 | F | 8 | 6 |

Prim's Algorithm

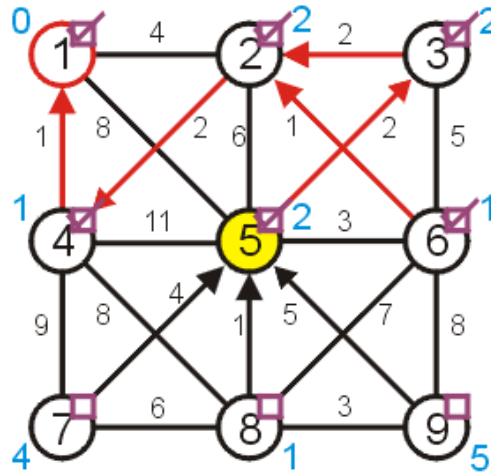
Next, we visit vertex 3 and update 5



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | T | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | F | 2 | 3 |
| 6 | T | 1 | 2 |
| 7 | F | 9 | 4 |
| 8 | F | 7 | 6 |
| 9 | F | 8 | 6 |

Prim's Algorithm

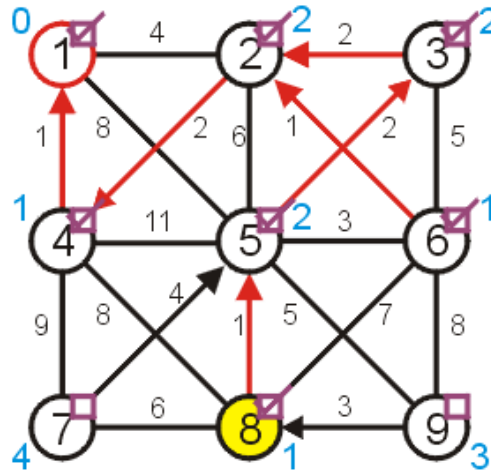
Visiting vertex 5, we update 7, 8, 9



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | T | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | T | 2 | 3 |
| 6 | T | 1 | 2 |
| 7 | F | 4 | 5 |
| 8 | F | 1 | 5 |
| 9 | F | 5 | 5 |

Prim's Algorithm

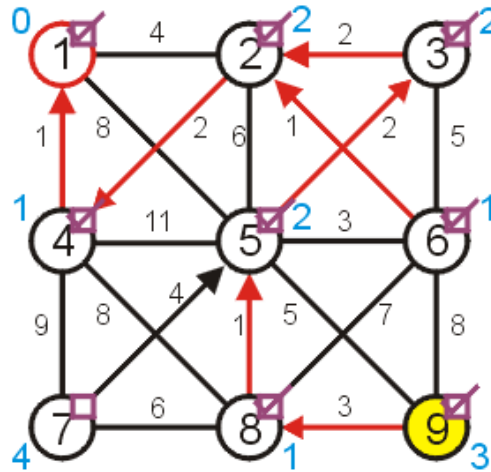
Visiting vertex 8, we only update vertex 9



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | T | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | T | 2 | 3 |
| 6 | T | 1 | 2 |
| 7 | F | 4 | 5 |
| 8 | T | 1 | 5 |
| 9 | F | 3 | 8 |

Prim's Algorithm

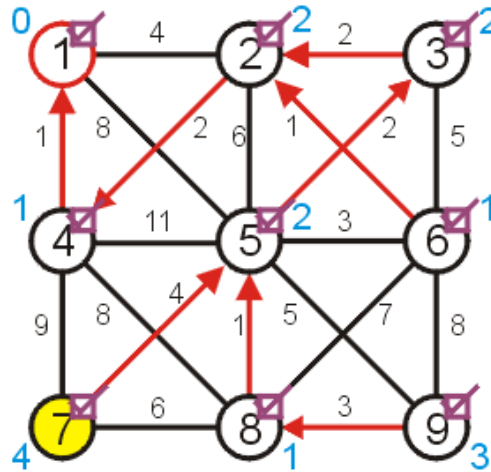
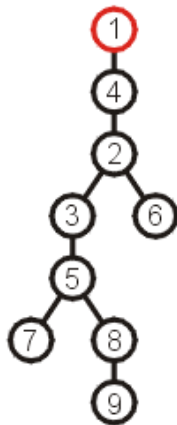
There are no other vertices to update while visiting vertex 9



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | T | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | T | 2 | 3 |
| 6 | T | 1 | 2 |
| 7 | F | 4 | 5 |
| 8 | T | 1 | 5 |
| 9 | T | 3 | 8 |

Prim's Algorithm

And neither are there any vertices to update when visiting vertex 7



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | T | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | T | 2 | 3 |
| 6 | T | 1 | 2 |
| 7 | T | 4 | 5 |
| 8 | T | 1 | 5 |
| 9 | T | 3 | 8 |

Prim's Algorithm

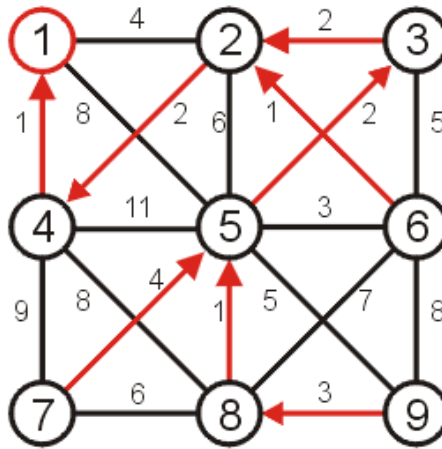
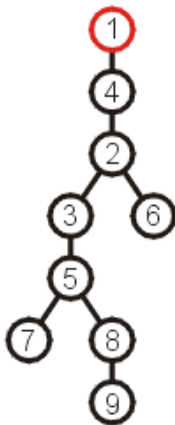
At this point, there are no more unvisited vertices, and therefore we are done

If at any point, all remaining vertices had a distance of ∞ , this would indicate that the graph is not connected

- in this case, the minimum spanning tree would only span one connected sub-graph

Prim's Algorithm

Using the parent pointers, we can now construct the minimum spanning tree



| | | Distance | Parent |
|---|---|----------|--------|
| 1 | T | 0 | 0 |
| 2 | T | 2 | 4 |
| 3 | T | 2 | 2 |
| 4 | T | 1 | 1 |
| 5 | T | 2 | 3 |
| 6 | T | 1 | 2 |
| 7 | T | 4 | 5 |
| 8 | T | 1 | 5 |
| 9 | T | 3 | 8 |

Prim's Algorithm

To summarize:

- we begin with a vertex which represents the root
- starting with this trivial tree and iteration, we find the shortest edge which we can add to this already existing tree to expand it

Implementation and analysis

The initialization requires $\Theta(|V|)$ memory and run time

We iterate $|V| - 1$ times, each time finding the *closest* vertex

- Iterating through the table requires is $\Theta(|V|)$ time
- Each time we find a vertex, we must check all of its neighbors

With an adjacency list, the run time is $\Theta(|V|^2 + |E|) = \Theta(|V|^2)$ as $|E| = O(|V|^2)$

Can we do better?

- At each iteration, we need to find the shortest edge
- How about a priority queue?
 - Assume we are using a binary heap

Implementation and analysis

The initialization still requires $\Theta(|V|)$ memory and run time

- The priority queue will also requires $O(|V|)$ memory

We iterate $|V| - 1$ times, each time finding the *closest* vertex

- The size of the priority queue is $O(|V|)$
- Pop the closest vertex from the priority queue is $O(\ln(|V|))$
- For each of its neighbors, we may update the distance, which is $O(\ln(|V|))$ (“decrease key” can be done with $O(\ln(|V|))$ in a heap).

With an adjacency list, the total run time is $O(|V| \ln(|V|) + |E| \ln(|V|)) = O(|E| \ln(|V|))$

Implementation and analysis

We could use a different heap structure:

- A Fibonacci heap is a node-based heap
- Pop is still $O(\ln(|V|))$, but inserting and moving a key is $\Theta(1)$
- Thus, the overall run-time is $O(|E| + |V| \ln(|V|))$

Implementation and analysis

Thus, we have two run times when using

- A binary heap: $O(|E| \ln(|V|))$
- A Fibonacci heap: $O(|E| + |V| \ln(|V|))$

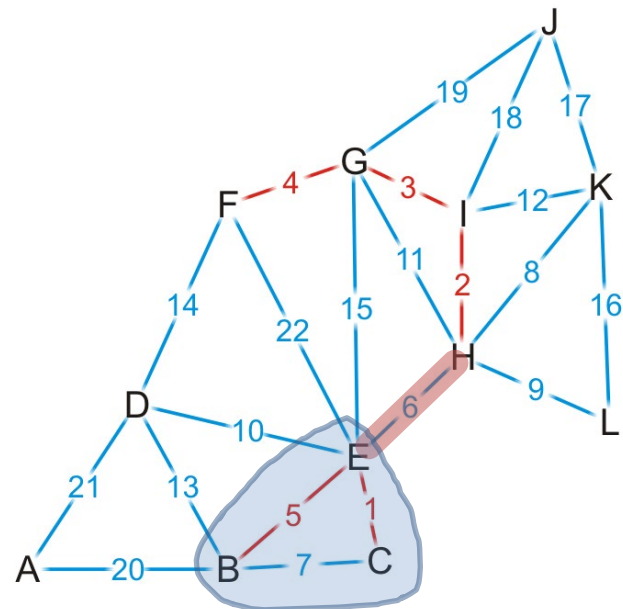
Questions: Which is faster if $|E| = \Theta(|V|)$? How about if $|E| = \Theta(|V|^2)$?

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- Kruskal's algorithm

Kruskal's Algorithm

- Sort the edges by weight
- Go through the edges from least weight to greatest weight
 - add the edges to the spanning tree so long as the addition does not create a cycle
 - Does this edge belong to the minimum spanning tree?
 - Yes! The cut property (consider the subtree connected to one end of the edge as the set S).

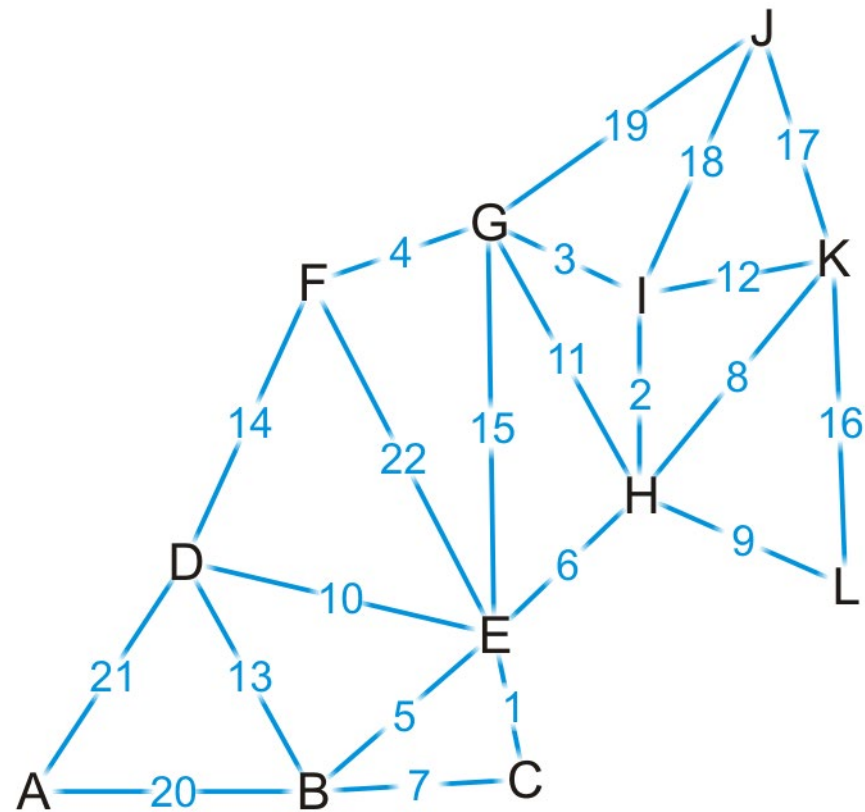


Kruskal's Algorithm

- Sort the edges by weight
- Go through the edges from least weight to greatest weight
 - add the edges to the spanning tree so long as the addition does not create a cycle
 - Does this edge belong to the minimum spanning tree?
 - Yes! The cut property (consider the subtree connected to one end of the edge as the set S).
- Repeatedly add more edges until:
 - $|V| - 1$ edges have been added, then we have a minimum spanning tree
 - Otherwise, if we have gone through all the edges, then we have a forest of minimum spanning trees on all connected sub-graphs

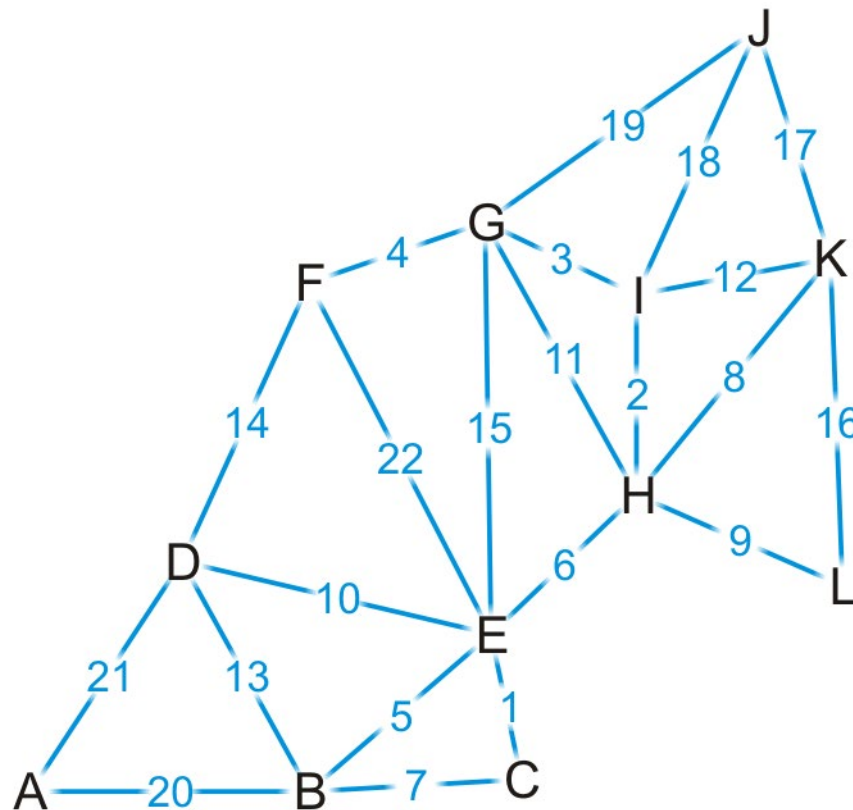
Example

Here is an example graph



Example

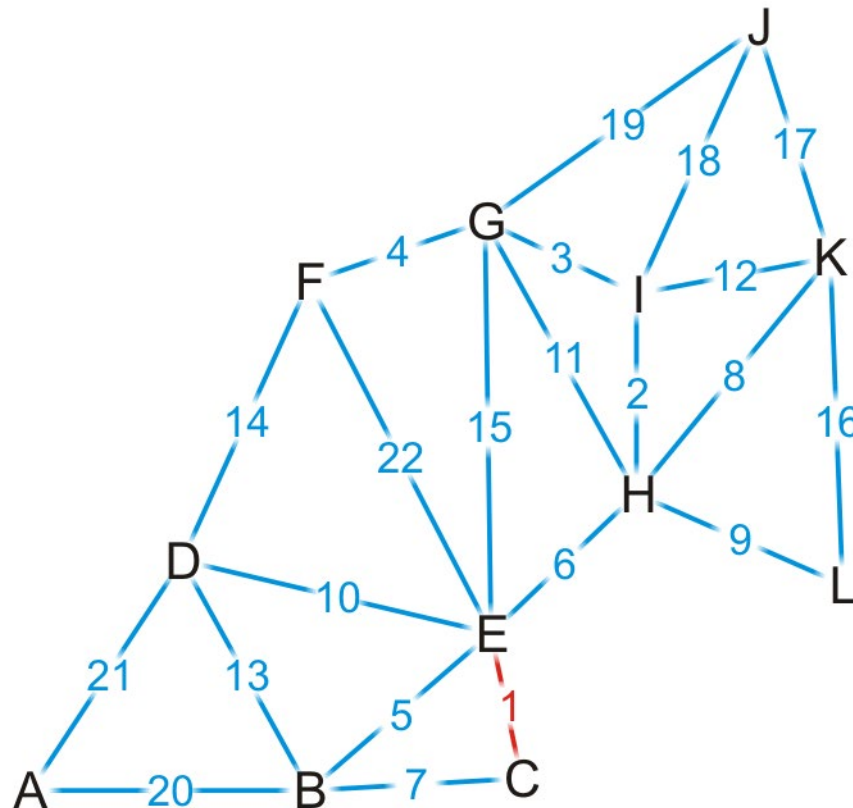
First, we sort the edges based on weight



{C, E}
{H, I}
{G, I}
{F, G}
{B, E}
{E, H}
{B, C}
{H, K}
{H, L}
{D, E}
{G, H}
{I, K}
{B, D}
{D, F}
{E, G}
{K, L}
{J, K}
{J, I}
{J, G}
{A, B}
{A, D}
{E, F}

Example

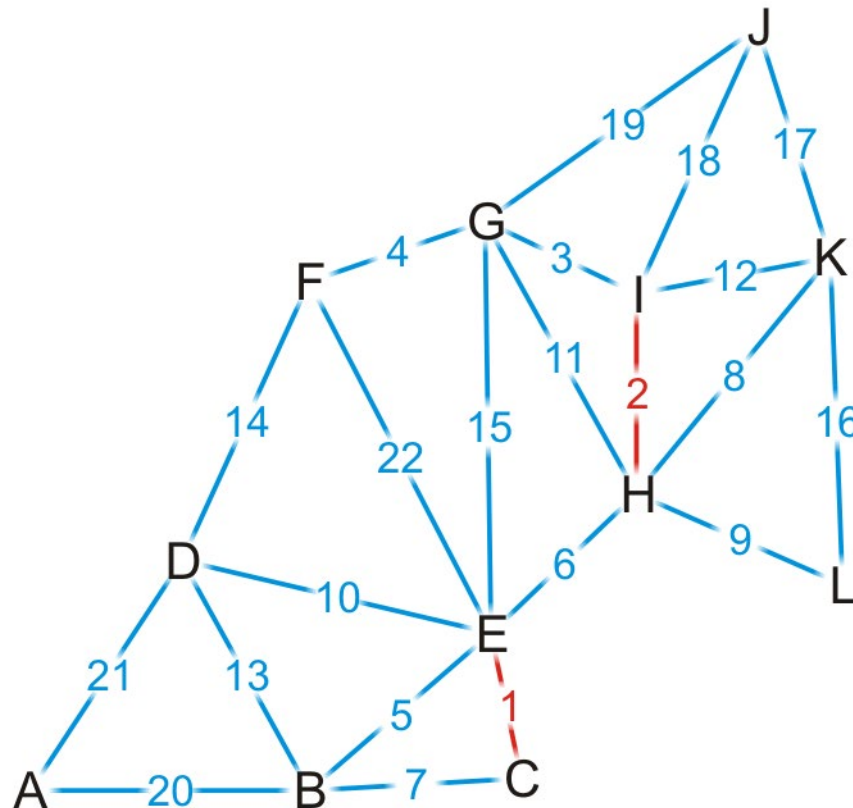
We start by adding edge {C, E}



- {C, E}
- {H, I}
 - {G, I}
 - {F, G}
 - {B, E}
 - {E, H}
 - {B, C}
 - {H, K}
 - {H, L}
 - {D, E}
 - {G, H}
 - {I, K}
 - {B, D}
 - {D, F}
 - {E, G}
 - {K, L}
 - {J, K}
 - {J, I}
 - {J, G}
 - {A, B}
 - {A, D}
 - {E, F}

Example

We add edge {H, I}



{C, E}

→ {H, I}

{G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

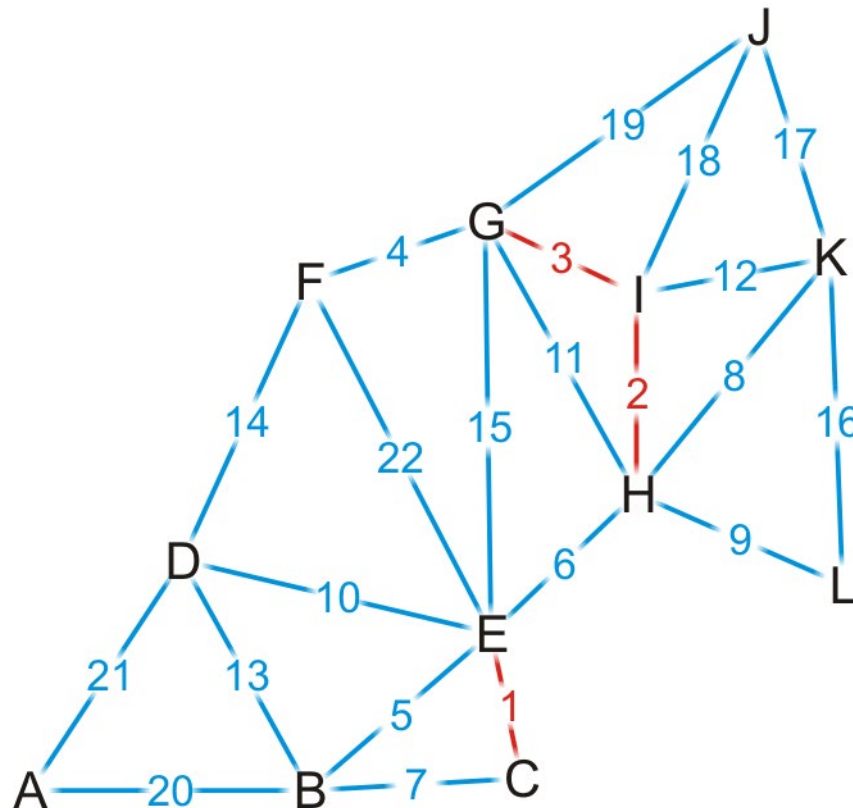
{A, B}

{A, D}

{E, F}

Example

We add edge {G, I}



{C, E}

{H, I}

→ {G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

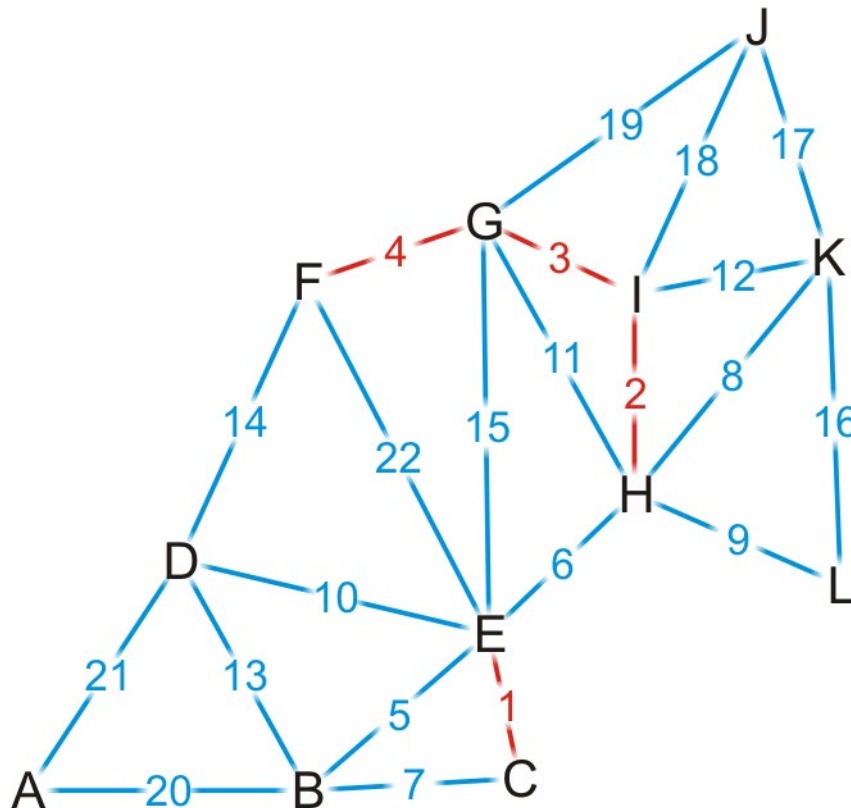
{A, B}

{A, D}

{E, F}

Example

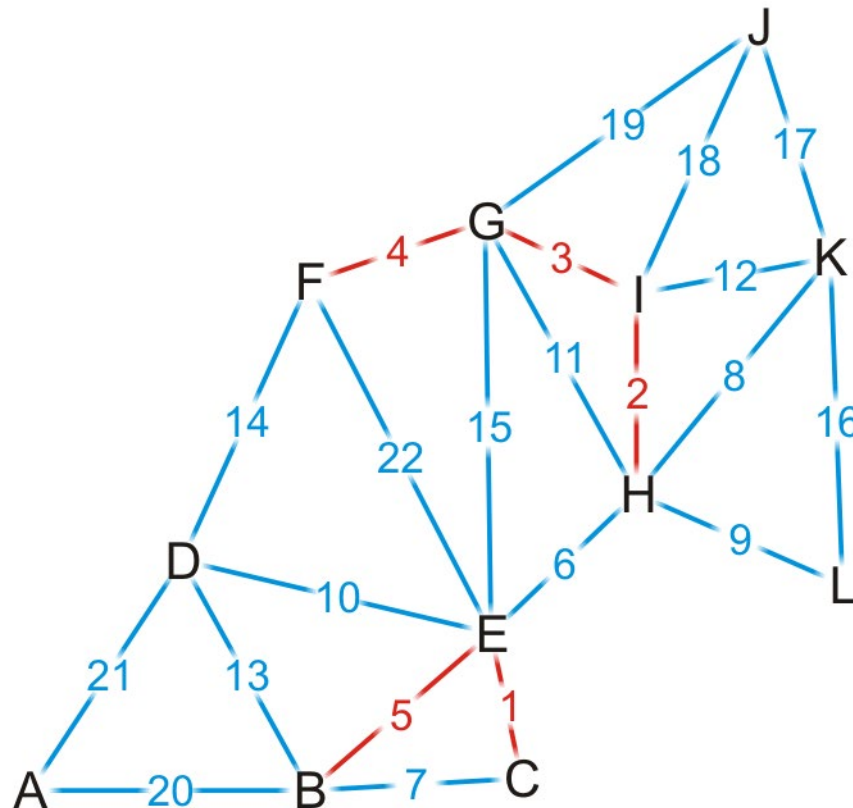
We add edge {F, G}



- {C, E}
- {H, I}
- {G, I}
- {F, G}
- {B, E}
- {E, H}
- {B, C}
- {H, K}
- {H, L}
- {D, E}
- {G, H}
- {I, K}
- {B, D}
- {D, F}
- {E, G}
- {K, L}
- {J, K}
- {J, I}
- {J, G}
- {A, B}
- {A, D}
- {E, F}

Example

We add edge {B, E}



{C, E}

{H, I}

{G, I}

{F, G}

→ {B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

{A, B}

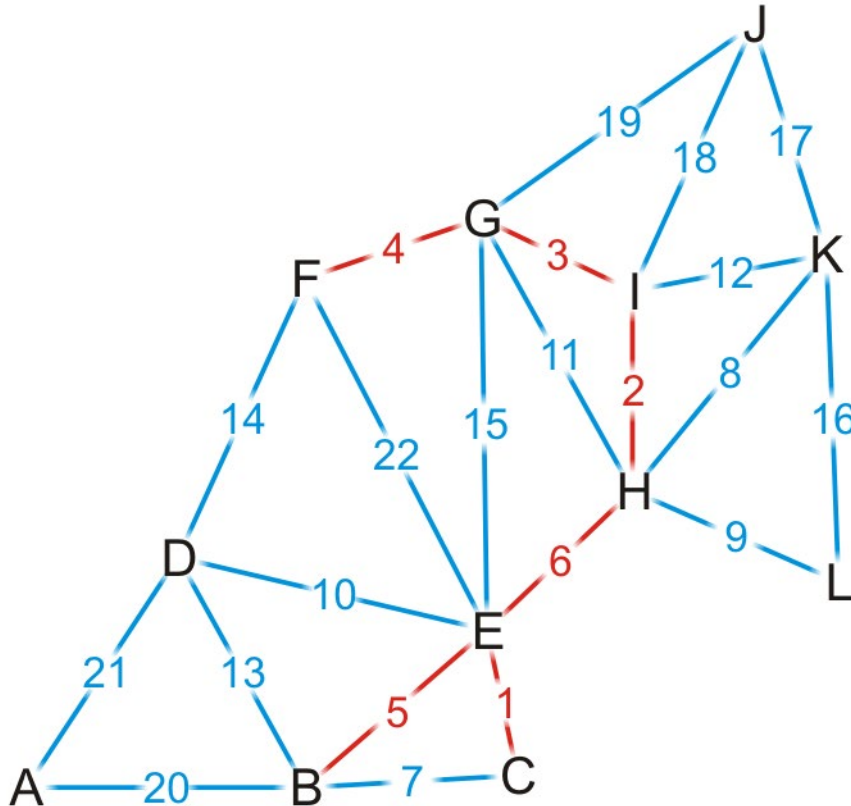
{A, D}

{E, F}

Example

We add edge $\{E, H\}$

- This coalesces the two spanning sub-trees into one

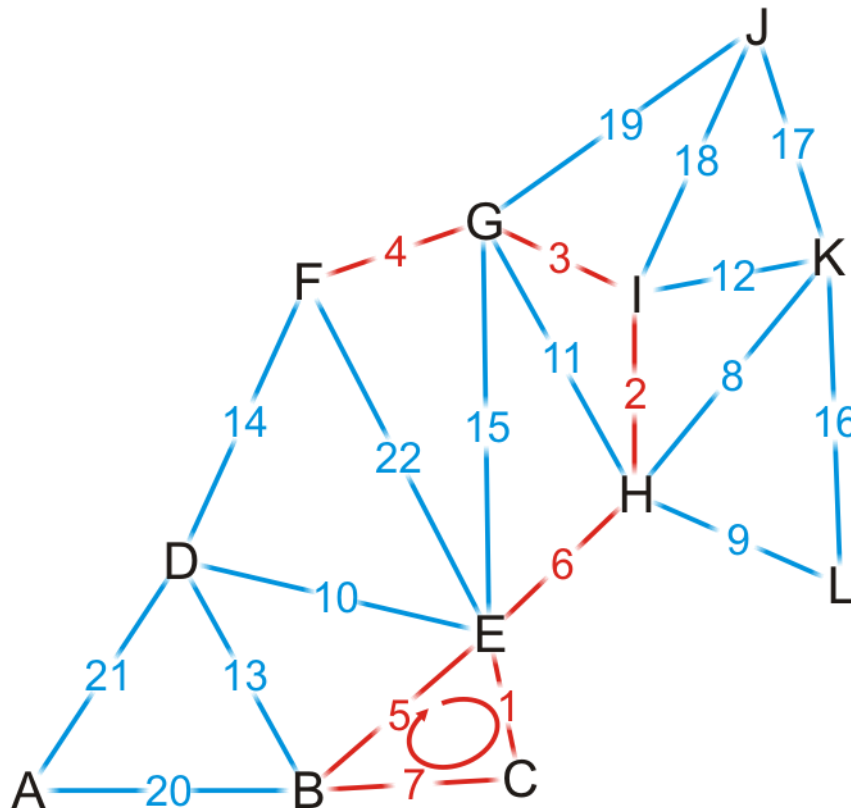
 $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$

→ {E, H}

 $\{B, C\}$ $\{H, K\}$ $\{H, L\}$ $\{D, E\}$ $\{G, H\}$ $\{I, K\}$ $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$
$$\{A \quad B\}$$
 $\{A, D\}$
$$\{F, F\}$$

Example

We try adding {B, C}, but it creates a cycle



{C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

→ {B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

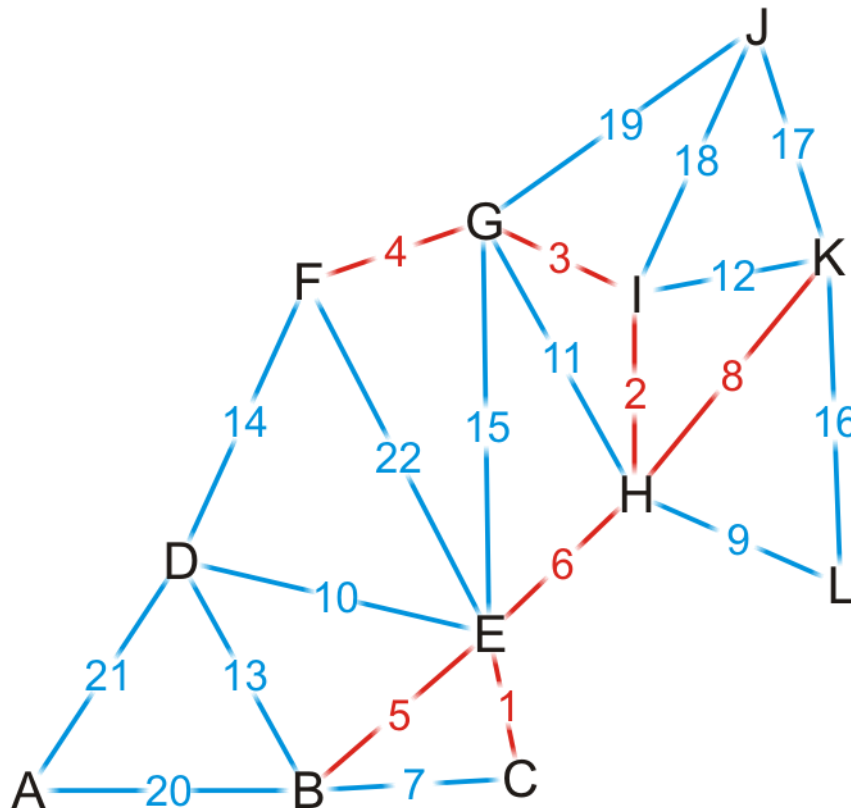
{A, B}

{A, D}

{E, F}

Example

We add edge $\{H, K\}$

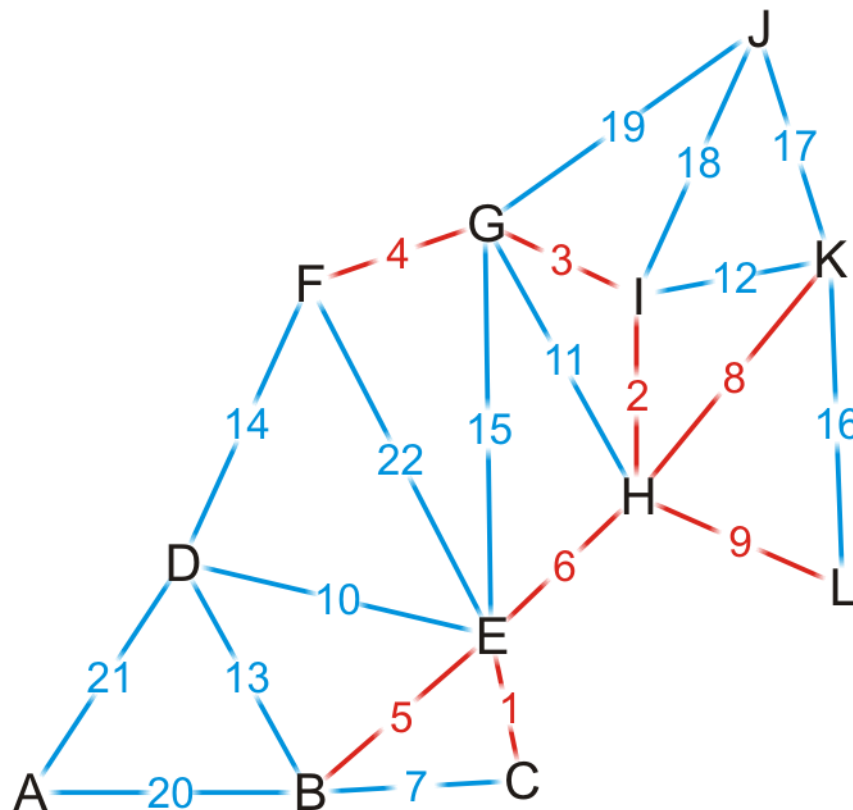
 $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$ $\{E, H\}$ $\{B, C\}$

→ {H, K}

 $\{H, L\}$
$$\{D, E\}$$
 $\{G, H\}$
$$\{I, K\}$$
 $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$
$$\{A, B\}$$
 $\{A, D\}$
$$\{E, F\}$$

Example

We add edge $\{H, L\}$

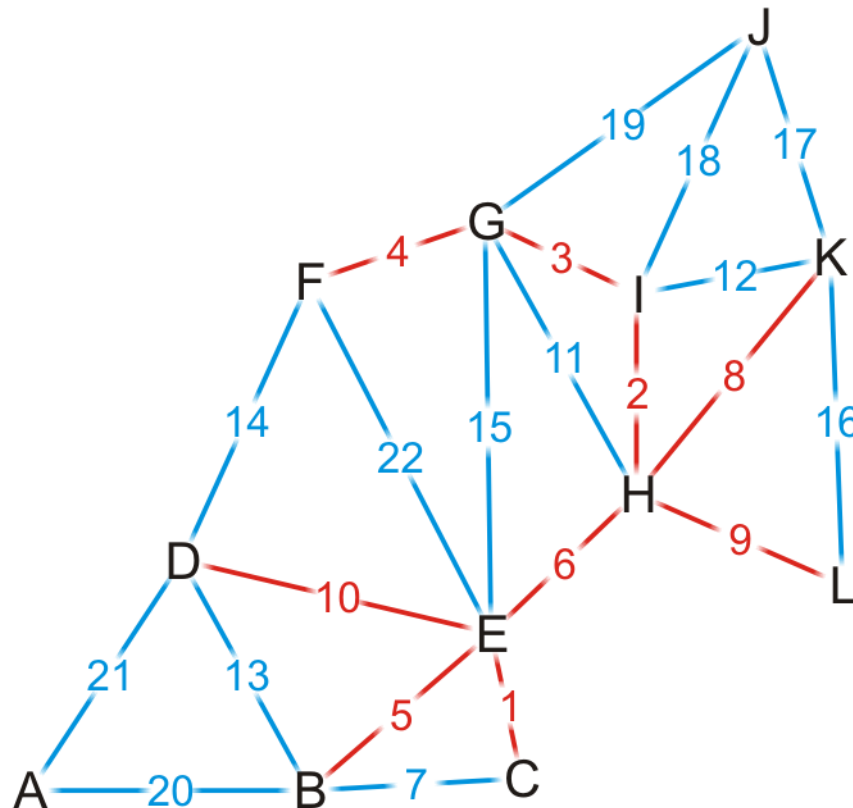
 $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$ $\{E, H\}$ $\{B, C\}$ $\{H, K\}$

→ {H, L}

 $\{D, E\}$ $\{G, H\}$ $\{I, K\}$ $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$ $\{A, B\}$ $\{A, D\}$ $\{E, F\}$

Example

We add edge $\{D, E\}$

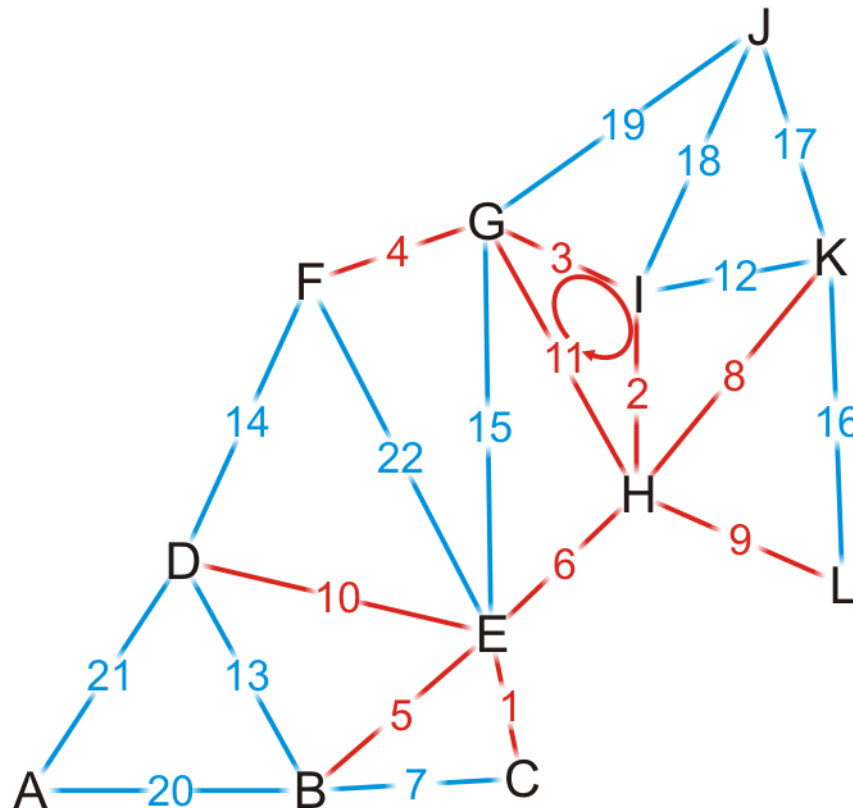
 $\{C, E\}$
$$\{H, I\}$$
 $\{G, I\}$ $\{F, G\}$ $\{B, E\}$ $\{E, H\}$ $\{B, C\}$ $\{H, K\}$ $\{H, L\}$

→ {D, E}

 $\{G, H\}$ $\{I, K\}$ $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$ $\{A, B\}$ $\{A, D\}$ $\{E, F\}$

Example

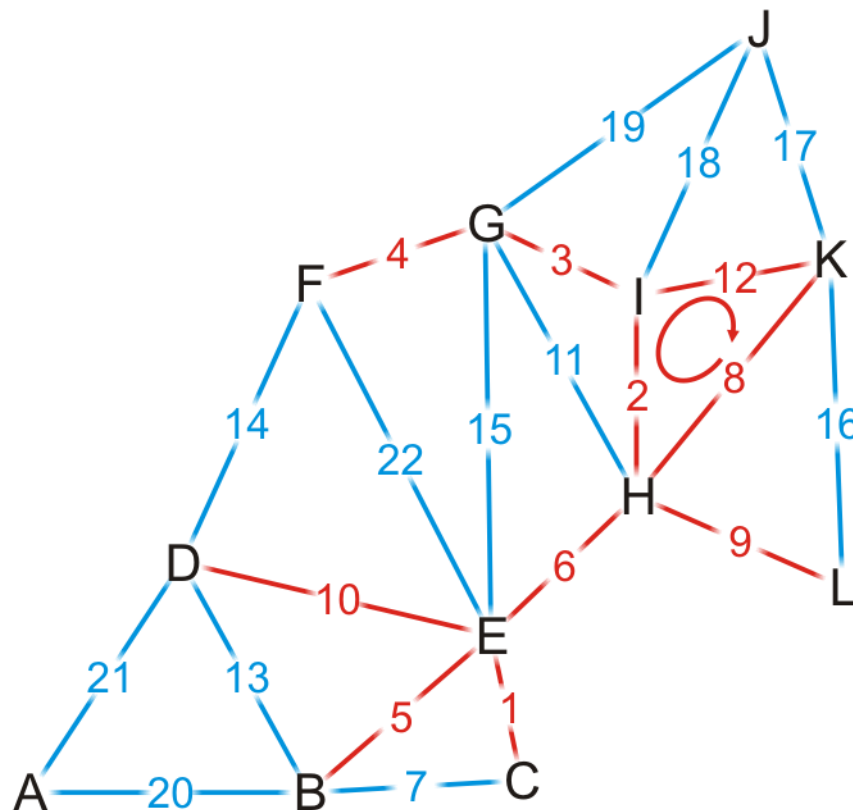
We try adding {G, H}, but it creates a cycle



{C, E}
{H, I}
{G, I}
{F, G}
{B, E}
{E, H}
{B, C}
{H, K}
{H, L}
{D, E}
→ {G, H}
{I, K}
{B, D}
{D, F}
{E, G}
{K, L}
{J, K}
{J, I}
{J, G}
{A, B}
{A, D}
{E, F}

Example

We try adding {I, K}, but it creates a cycle



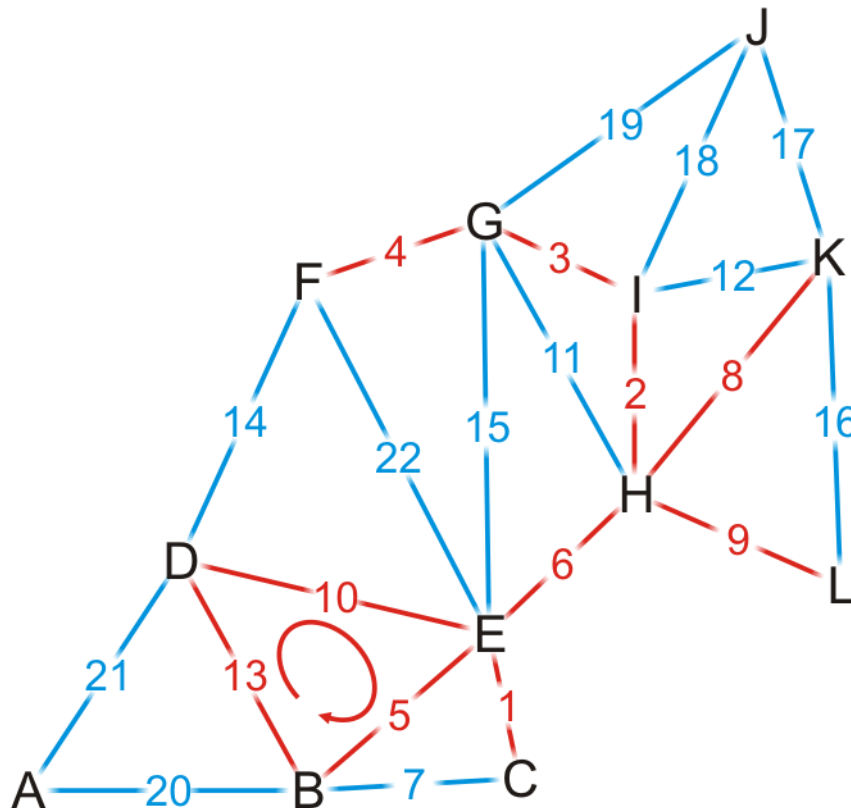
{C, E}
{H, I}
{G, I}
{F, G}
{B, E}
{E, H}
{B, C}
{H, K}
{H, L}
{D, E}
{G, H}



{I, K}
{B, D}
{D, F}
{E, G}
{K, L}
{J, K}
{J, I}
{J, G}
{A, B}
{A, D}
{E, F}

Example

We try adding {B, D}, but it creates a cycle



{C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

→ {B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

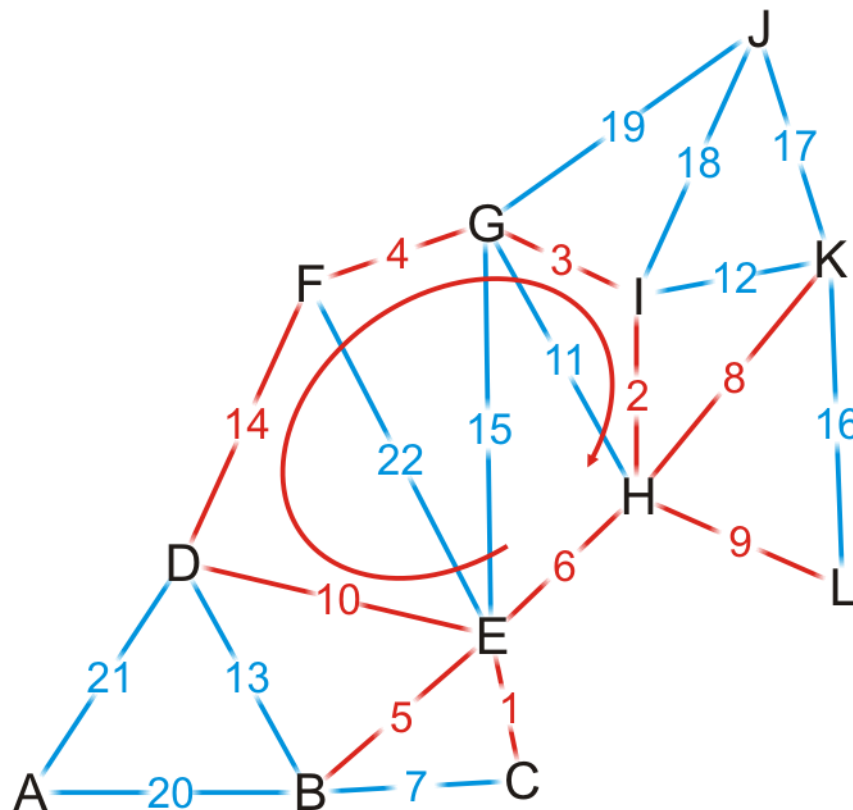
{A, B}

{A, D}

{E, F}

Example

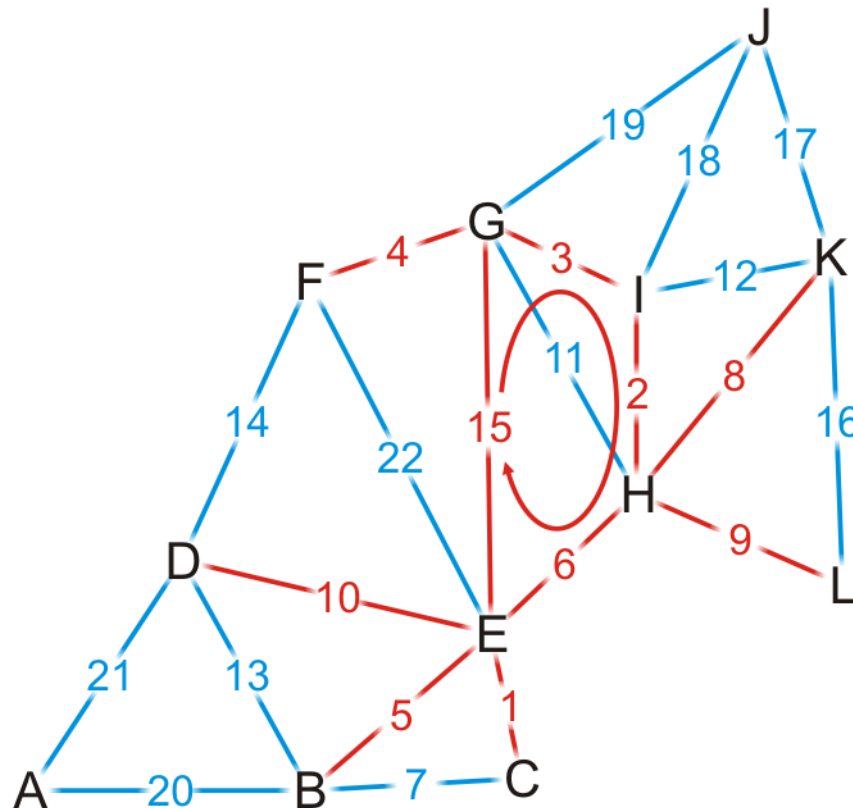
We try adding {D, F}, but it creates a cycle



{C, E}
{H, I}
{G, I}
{F, G}
{B, E}
{E, H}
{B, C}
{H, K}
{H, L}
{D, E}
{G, H}
{I, K}
{B, D}
→ {D, F}
{E, G}
{K, L}
{J, K}
{J, I}
{J, G}
{A, B}
{A, D}
{E, F}

Example

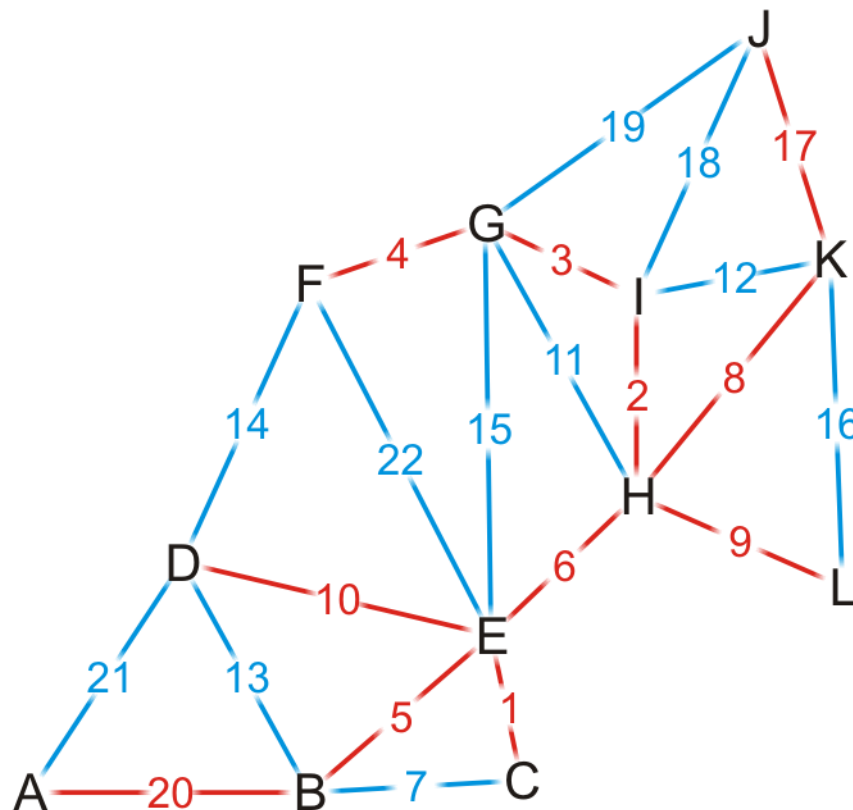
We try adding {E, G}, but it creates a cycle



{C, E}
{H, I}
{G, I}
{F, G}
{B, E}
{E, H}
{B, C}
{H, K}
{H, L}
{D, E}
{G, H}
{I, K}
{B, D}
{D, F}
→ {E, G}
{K, L}
{J, K}
{J, I}
{J, G}
{A, B}
{A, D}
{E, F}

Example

By observation, we can still add edges {J, K} and {A, B}

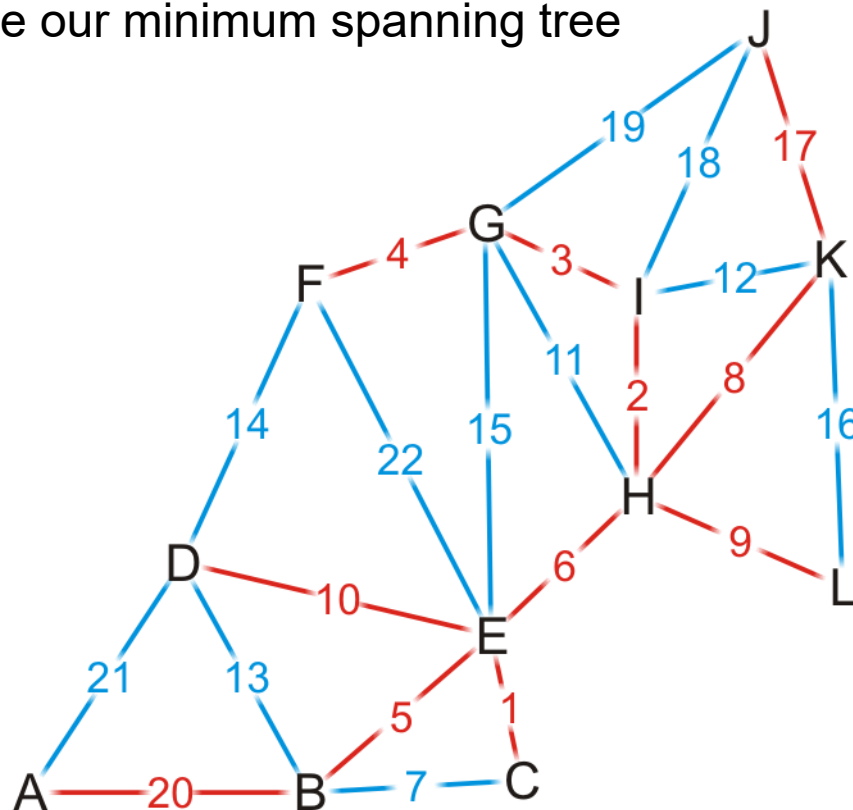


- {C, E}
- {H, I}
- {G, I}
- {F, G}
- {B, E}
- {E, H}
- {B, C}
- {H, K}
- {H, L}
- {D, E}
- {G, H}
- {I, K}
- {B, D}
- {D, F}
- {E, G}
- {K, L}
- {J, K}
- {J, I}
- {J, G}
- {A, B}
- {A, D}
- {E, F}

Example

Having added $\{A, B\}$, we now have 11 edges

- We terminate the loop
- We have our minimum spanning tree

 $\{C, E\}$
$$\{H, I\}$$
 $\{G, I\}$ $\{F, G\}$ $\{B, E\}$ $\{E, H\}$ $\{B, C\}$ $\{H, K\}$ $\{H, L\}$ $\{D, E\}$ $\{G, H\}$ $\{I, K\}$ $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$

$\{J, G\}$

$\{A, B\}$

 $\{A, D\}$
$$\{E, F\}$$

Analysis

Implementation

- We would store the edges and their weights in an array
- We would sort the edges using some sorting algorithm: $O(|E| \ln(|E|))$
- For each edge, add it if no cycle is created.
 - How do we determine if a cycle would be created?
 - Check if the two vertices of the edge are already connected by the added edges.

The critical operation is determining if two vertices are connected

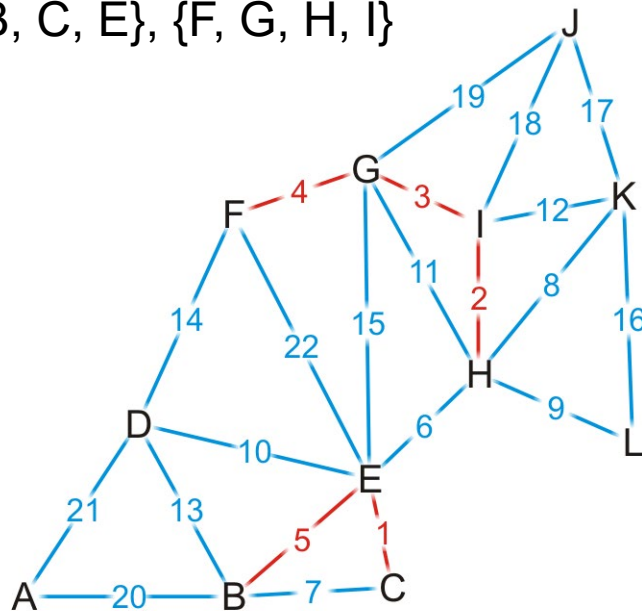
- If we perform a traversal on the added edges, it is $O(|V|)$. Consequently, the total run-time would be $O(|E| \ln(|E|) + |E| \cdot |V|) = O(|E| \cdot |V|)$
- Better solution?

Analysis

Instead, we could use **disjoint sets**

- Consider edges in the same connected sub-graph as forming a set

$\{B, C, E\}, \{F, G, H, I\}$

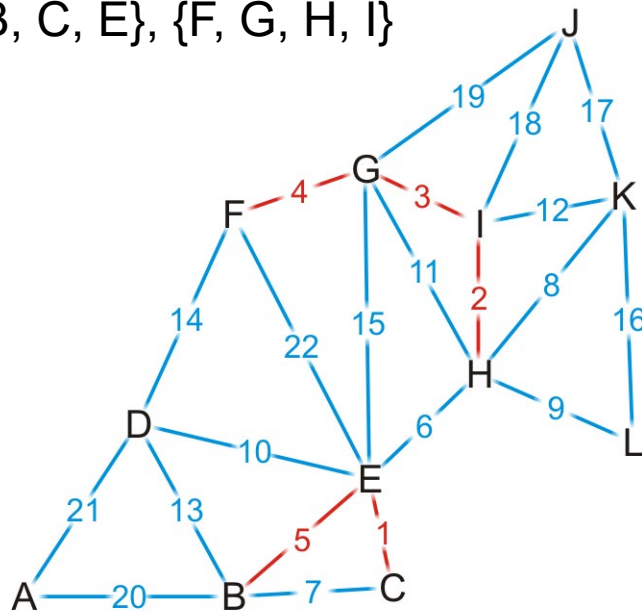


Analysis

Instead, we could use disjoint sets

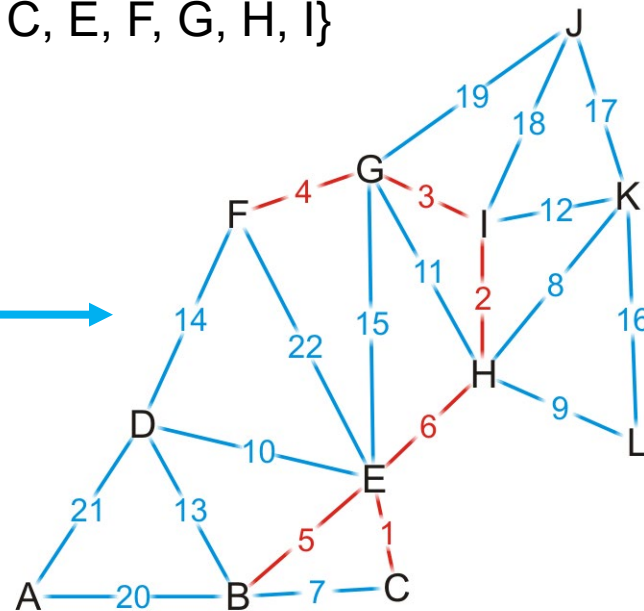
- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets, take the union of the two sets

$\{B, C, E\}, \{F, G, H, I\}$



Add edge (E, H)?

$\{B, C, E, F, G, H, I\}$



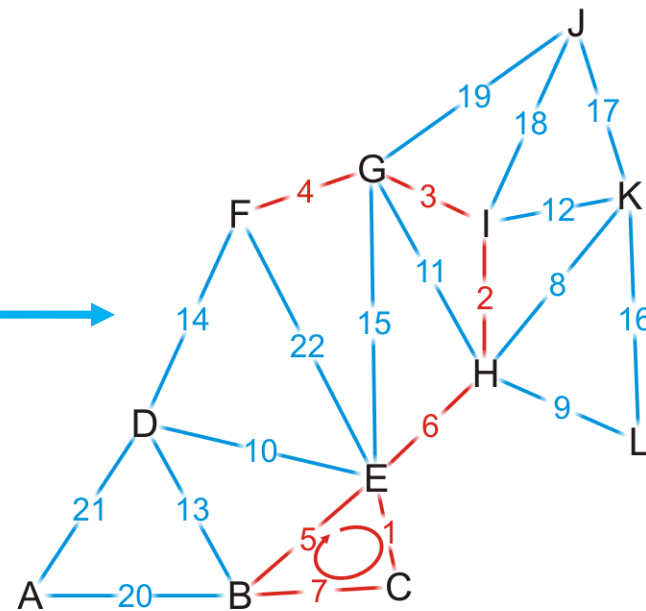
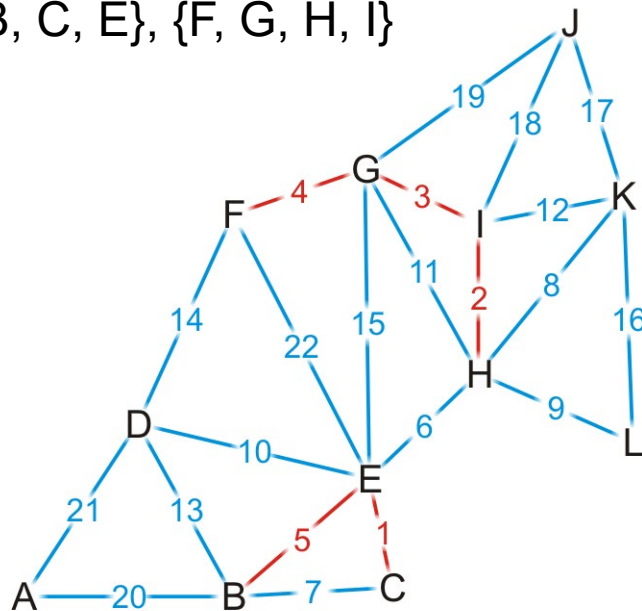
Analysis

Instead, we could use disjoint sets

- Consider edges in the same connected sub-graph as forming a set
- If the vertices of the next edge are in different sets, take the union of the two sets
- Do not add an edge if both vertices are in the same set

Add edge (B, C)?

$\{B, C, E\}, \{F, G, H, I\}$



Analysis

The disjoint set data structure has run-time $\mathbf{O}(\alpha(n))$, which is effectively a near-constant

Thus, checking and building the minimum spanning tree is now $\mathbf{O}(|E|)$

The dominant time is now the time required to sort the edges, which is $\mathbf{O}(|E| \ln(|E|)) = \mathbf{O}(|E| \ln(|V|))$

- If there is an efficient $\Theta(|E|)$ sorting algorithm, the run-time is then $\Theta(|E|)$

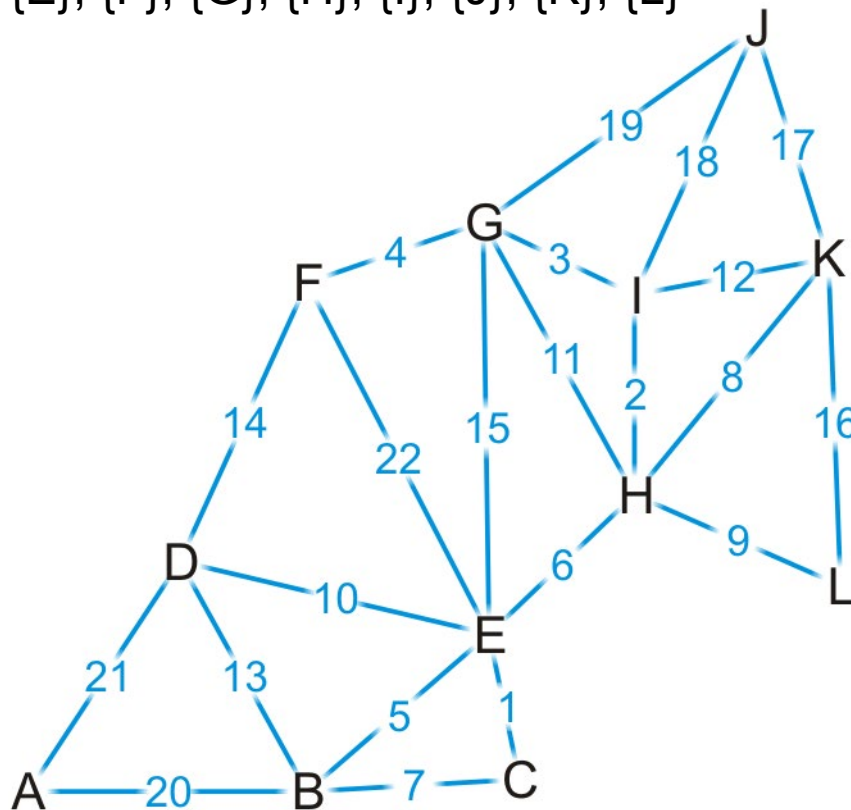
Example

Going through the example again with disjoint sets

Example

We start with twelve singletons

{A}, {B}, {C}, {D}, {E}, {F}, {G}, {H}, {I}, {J}, {K}, {L}

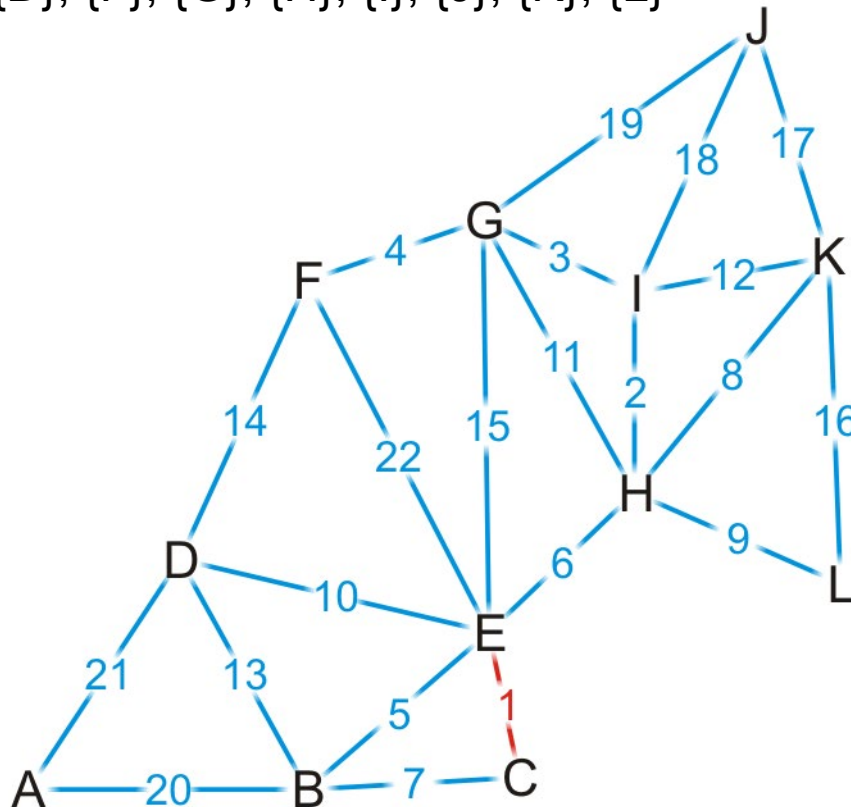


{C, E}
{H, I}
{G, I}
{F, G}
{B, E}
{E, H}
{B, C}
{H, K}
{H, L}
{D, E}
{G, H}
{I, K}
{B, D}
{D, F}
{E, G}
{K, L}
{J, K}
{J, I}
{J, G}
{A, B}
{A, D}
{E, F}

Example

We start by adding edge {C, E}

{A}, {B}, {C, E}, {D}, {F}, {G}, {H}, {I}, {J}, {K}, {L}



→ {C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

{A, B}

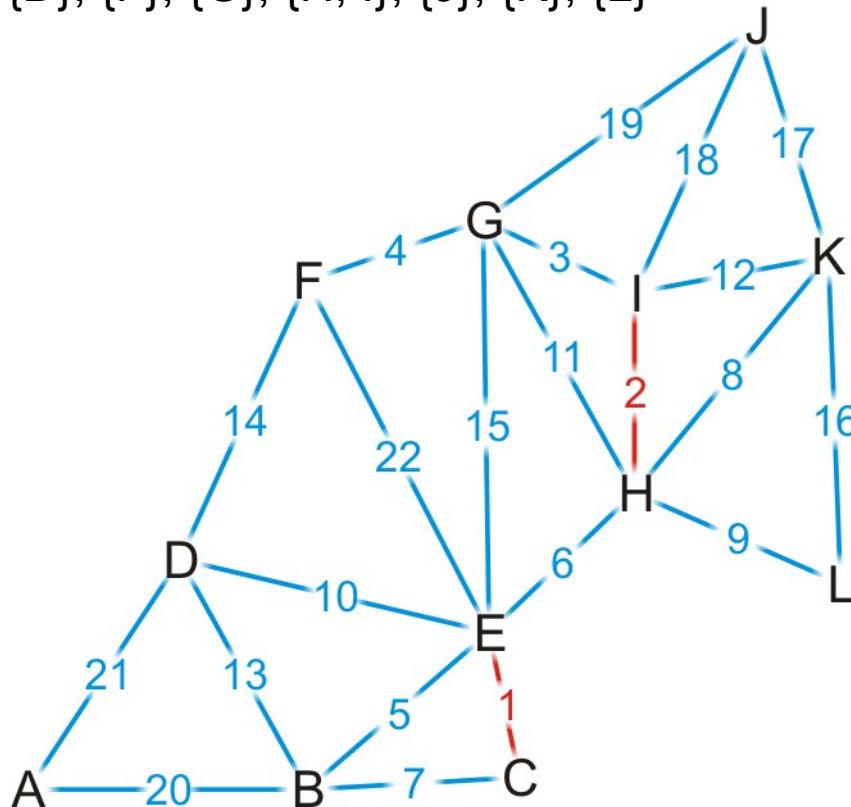
{A, D}

{E, F}

Example

We add edge {H, I}

{A}, {B}, {C, E}, {D}, {F}, {G}, {H, I}, {J}, {K}, {L}

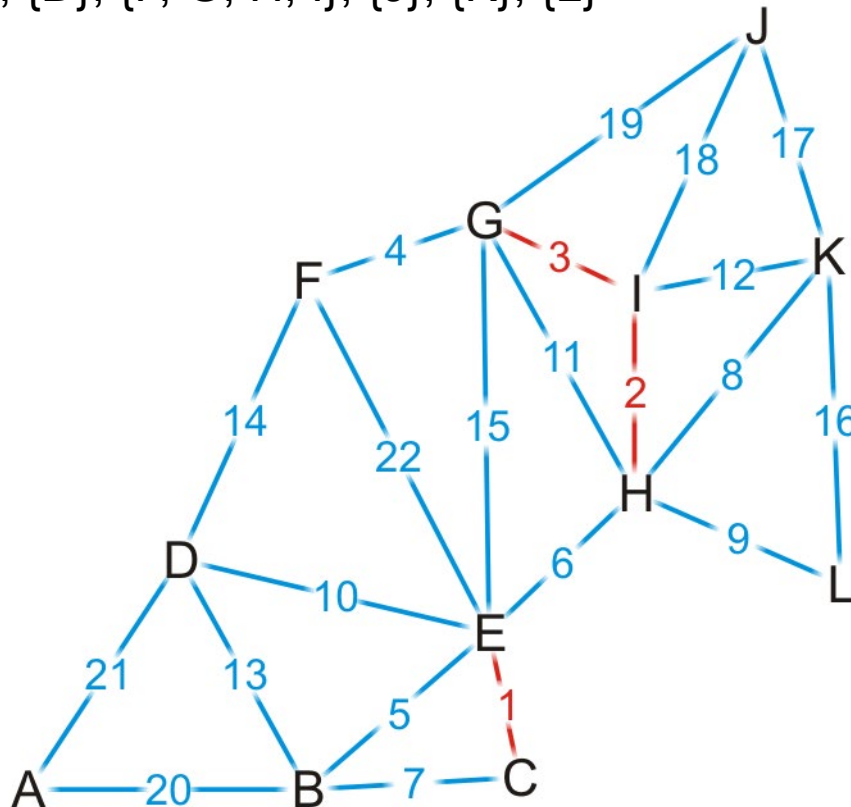


- {C, E}
- {H, I}
- {G, I}
- {F, G}
- {B, E}
- {E, H}
- {B, C}
- {H, K}
- {H, L}
- {D, E}
- {G, H}
- {I, K}
- {B, D}
- {D, F}
- {E, G}
- {K, L}
- {J, K}
- {J, I}
- {J, G}
- {A, B}
- {A, D}
- {E, F}

Example

Similarly, we add {G, I}, {F, G}, {B, E}

{A}, {B, C, E}, {D}, {F, G, H, I}, {J}, {K}, {L}

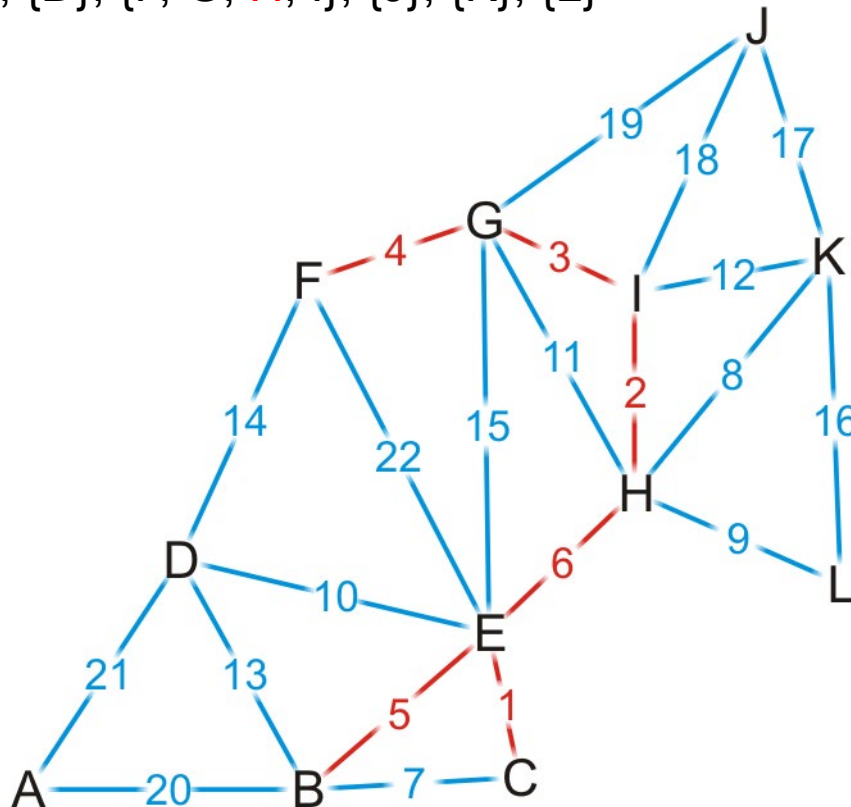


- {C, E}
- {H, I}
- {G, I}
- {F, G}
- {B, E}
- {E, H}
- {B, C}
- {H, K}
- {H, L}
- {D, E}
- {G, H}
- {I, K}
- {B, D}
- {D, F}
- {E, G}
- {K, L}
- {J, K}
- {J, I}
- {J, G}
- {A, B}
- {A, D}
- {E, F}

Example

The vertices of $\{E, H\}$ are in different sets

{A}, {B, C, E}, {D}, {F, G, H, I}, {J}, {K}, {L}

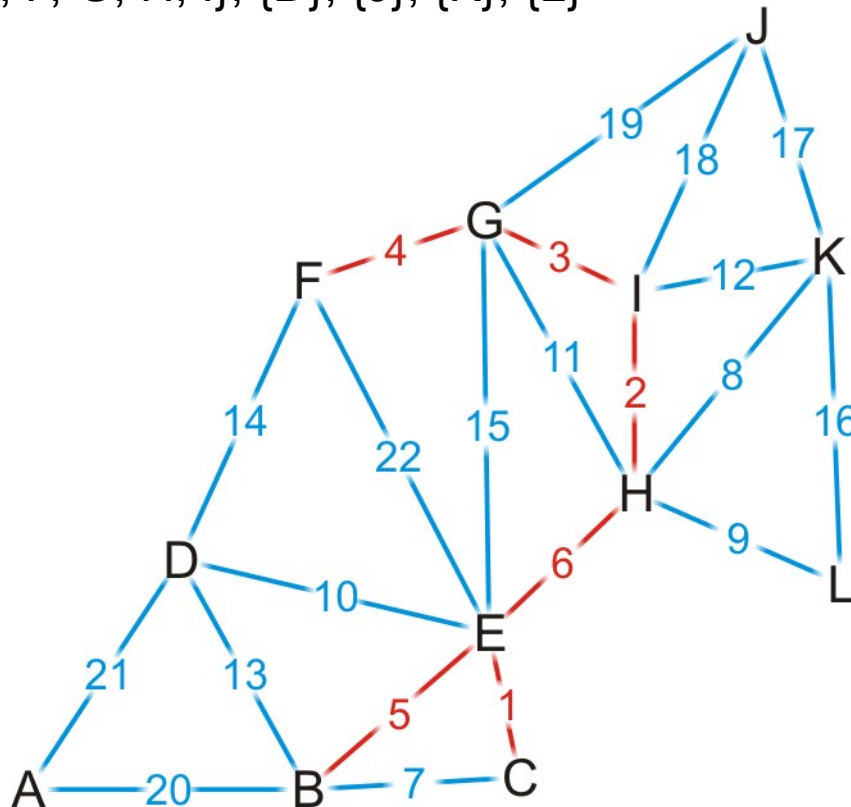
 $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$

→ {E, H}

 $\{B, C\}$ $\{H, K\}$ $\{H, L\}$ $\{D, E\}$ $\{G, H\}$
$$\{I, K\}$$
 $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$ $\{A, B\}$ $\{A, D\}$ $\{E, F\}$

Example

Adding edge $\{E, H\}$ creates a larger union

 $\{A\}, \{B, C, E, F, G, H, I\}, \{D\}, \{J\}, \{K\}, \{L\}$  $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$

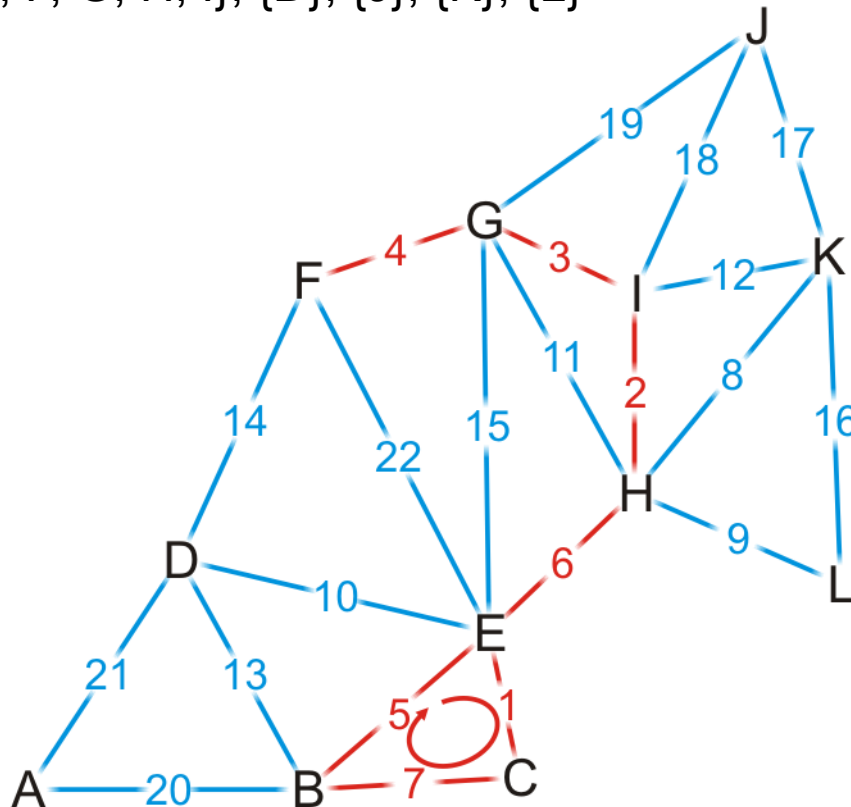
→ {E, H}

 $\{B, C\}$ $\{H, K\}$ $\{H, L\}$ $\{D, E\}$ $\{G, H\}$ $\{I, K\}$ $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$ $\{A, B\}$ $\{A, D\}$
$$\{E, F\}$$

Example

We try adding {B, C}, but it creates a cycle

{A}, {B, C, E, F, G, H, I}, {D}, {J}, {K}, {L}



{C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

→ {B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

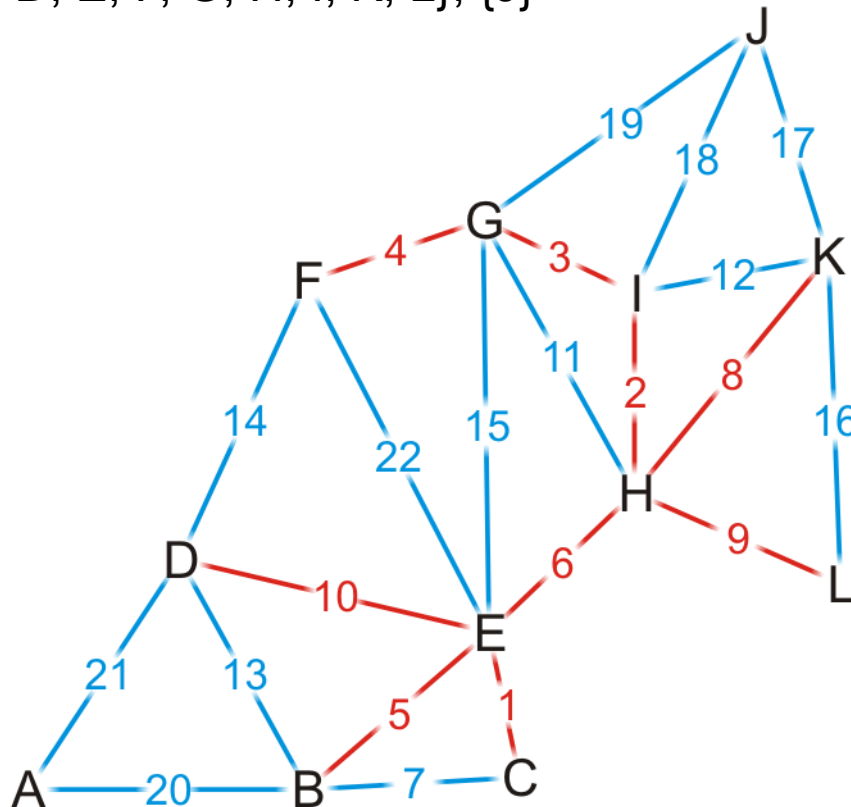
{A, B}

{A, D}

{E, F}

Example

We add edge $\{H, K\}$, $\{H, L\}$ and $\{D, E\}$

$$\{A\}, \{B, C, D, E, F, G, H, I, K, L\}, \{J\}$$
 $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$ $\{E, H\}$ $\{B, C\}$

→ {H, K}

→ {H, L}

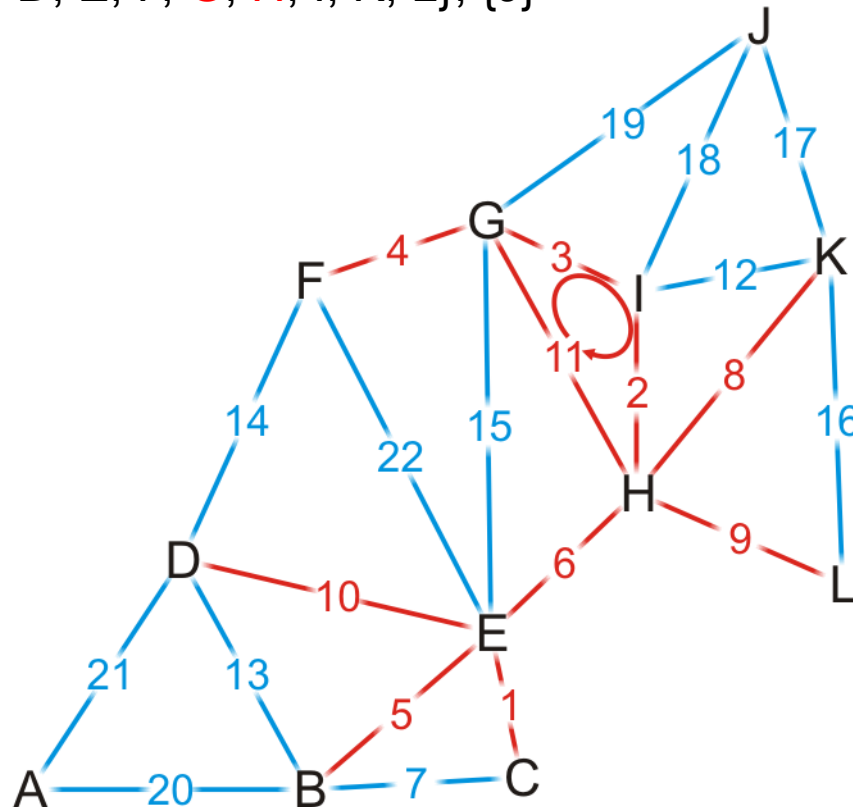
→ {D, E}

 $\{G, H\}$ $\{I, K\}$ $\{B, D\}$ $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$ $\{A, B\}$ $\{A, D\}$ $\{F, F\}$

Example

Both G and H are in the same set

{A}, {B, C, D, E, F, **G**, **H**, I, K, L}, {J}



{C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

→ {G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

{A, B}

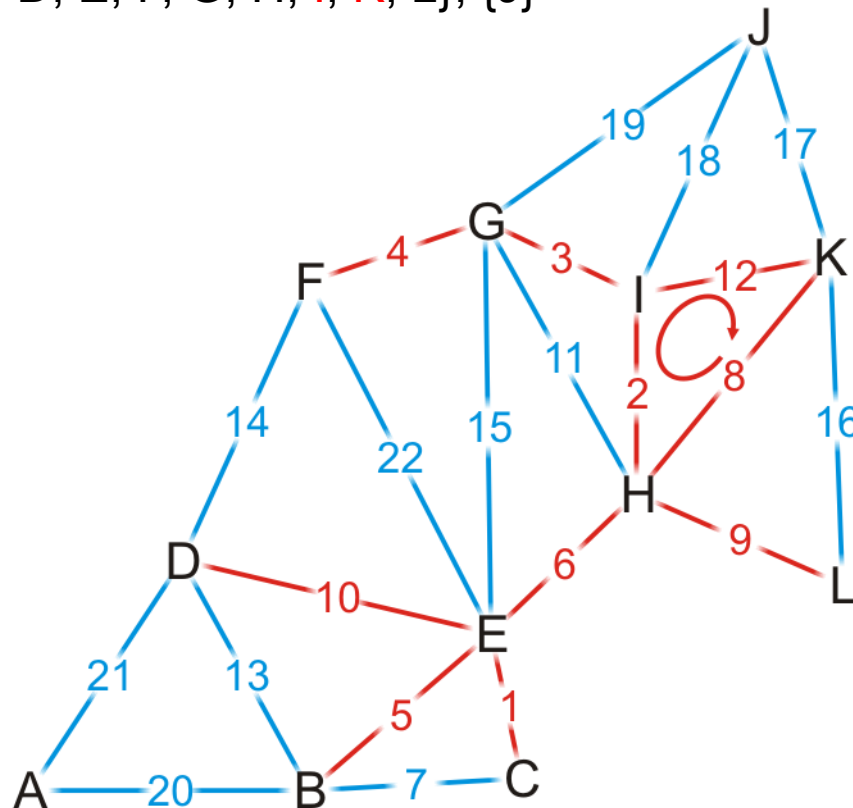
{A, D}

{E, F}

Example

Both {I, K} are in the same set

{A}, {B, C, D, E, F, G, H, I, K, L}, {J}



{C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}



{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

{A, B}

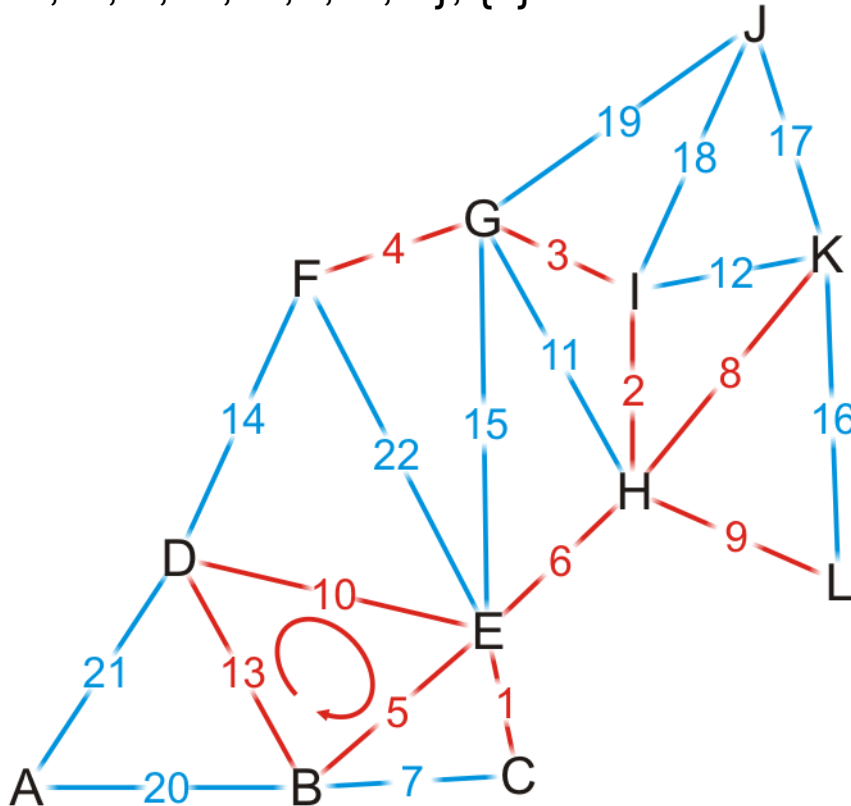
{A, D}

{E, F}

Example

Both $\{B, D\}$ are in the same set

$\{A\}, \{B, C, D, E, F, G, H, I, K, L\}, \{J\}$

 $\{C, E\}$ $\{H, I\}$ $\{G, I\}$ $\{F, G\}$ $\{B, E\}$ $\{E, H\}$ $\{B, C\}$ $\{H, K\}$ $\{H, L\}$ $\{D, E\}$ $\{G, H\}$ $\{I, K\}$

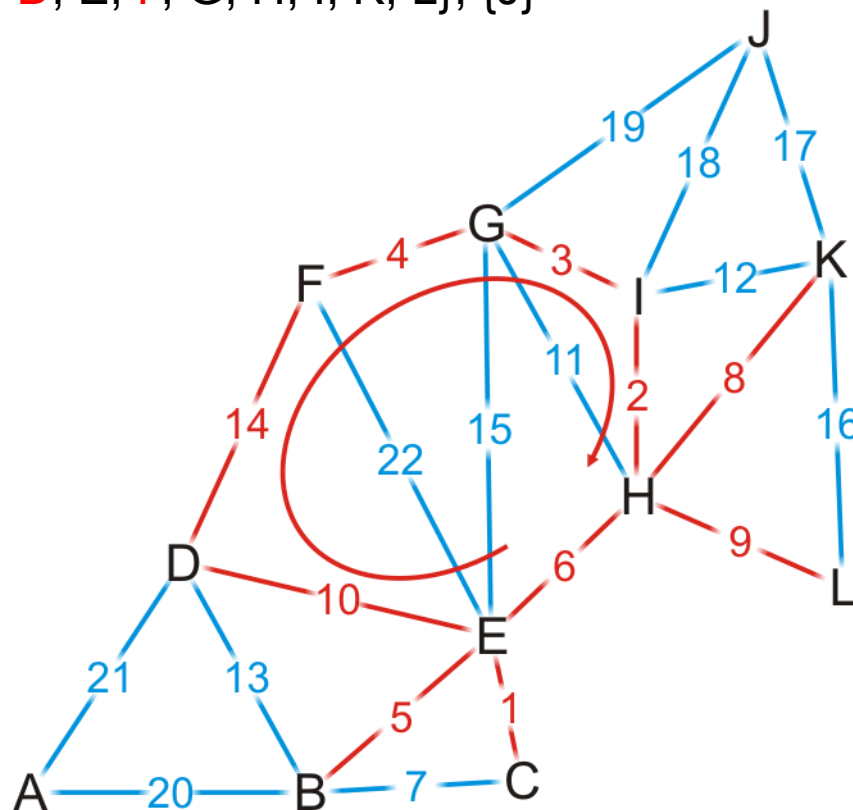
→ {B, D}

 $\{D, F\}$ $\{E, G\}$ $\{K, L\}$ $\{J, K\}$ $\{J, I\}$ $\{J, G\}$ $\{A, B\}$ $\{A, D\}$ $\{E, F\}$

Example

Both {D, F} are in the same set

{A}, {B, C, **D**, E, **F**, G, H, I, K, L}, {J}

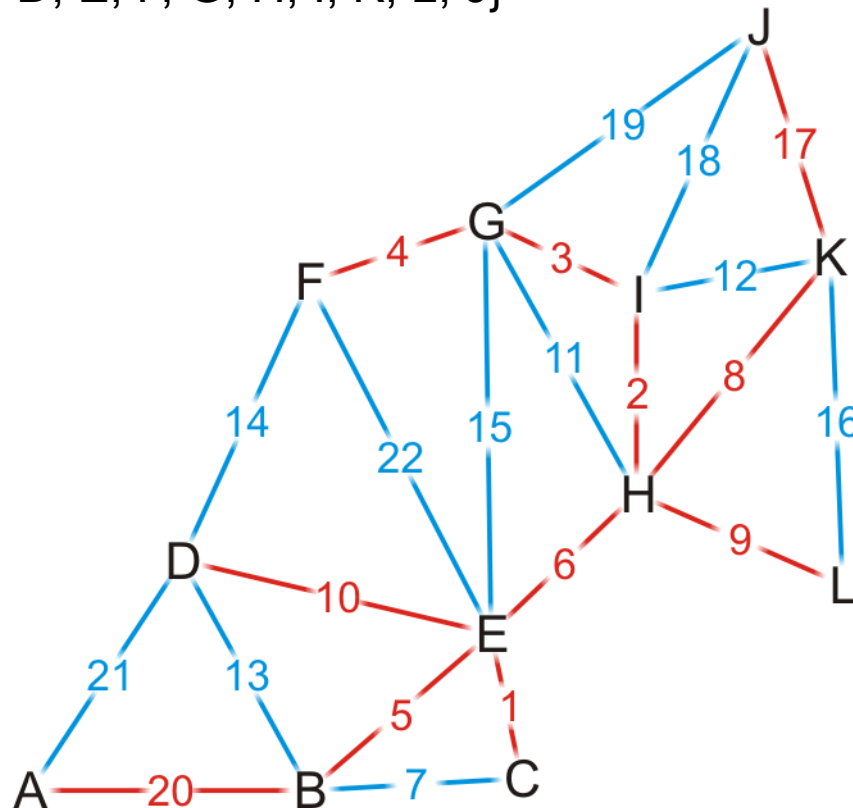


{C, E}
 {H, I}
 {G, I}
 {F, G}
 {B, E}
 {E, H}
 {B, C}
 {H, K}
 {H, L}
 {D, E}
 {G, H}
 {I, K}
 {B, D}
 → {D, F}
 {E, G}
 {K, L}
 {J, K}
 {J, I}
 {J, G}
 {A, B}
 {A, D}
 {E, F}

Example

We end when there is only one set, having added (A, B)

{A, B, C, D, E, F, G, H, I, K, L, J}



{C, E}

{H, I}

{G, I}

{F, G}

{B, E}

{E, H}

{B, C}

{H, K}

{H, L}

{D, E}

{G, H}

{I, K}

{B, D}

{D, F}

{E, G}

{K, L}

{J, K}

{J, I}

{J, G}

→ {A, B}

{A, D}

{E, F}

Summary

This topic has covered Kruskal's algorithm

- Sort the edges by weight
- Create a disjoint set of the vertices
- Begin adding the edges one-by-one checking to ensure no cycles are introduced
- The result is a minimum spanning tree
- The run time is $O(|E| \ln(|V|))$

Summary

- Definition and applications
- Prim's algorithm
 - Start with a trivial minimum spanning tree and grow it by adding edges with least weight
- Kruskal's algorithm
 - Go through the edges from least weight to greatest weight, adding an edge if it does not create a cycle