#### **CS150A Database**

#### Lu Sun

School of Information Science and Technology

ShanghaiTech University

Mar. 6, 2024

#### Today:

- DBMS's Architecture
- Disks and Buffers
  - Storage Media: Disk&SSD
  - Disk Space Management

#### Readings:

- Database Management Systems (DBMS), Chapter 9
- Lecture note Disk Files

# BIG PICTURE: ARCHITECTURE OF A DBMS

#### Architecture of a DBMS: SQL Client

- Last few lectures: SQL
- Next:
  - How is a SQL query executed?



#### **DBMS: Parsing & Optimization**

#### Purpose:

Parse, check, and verify the SQL

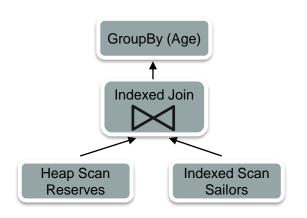
SELECT S.sid, S.sname, R.bid FROM Sailors R, Reserves R WHERE S.sid = R.sid and S.age > 30 GROUP BY age

And translate into an efficient relational query plan



#### **DBMS: Relational Operators**

**Purpose:** Execute a dataflow by operating on **records** and **files** 





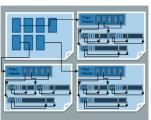
#### DBMS: Files and Index Management

Purpose: Organize tables and Records as groups of pages in

a logical file

SSN	Last Name	First Name	Age	Salary
123	Adams	Elmo	31	\$400
443	Grouc h	Oscar	32	\$300
244	Oz	Bert	55	\$140
134	Sande rs	Ernie	55	\$400



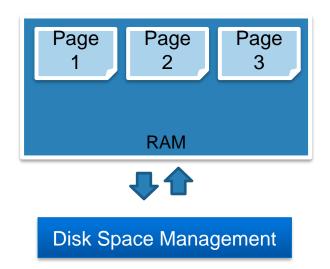


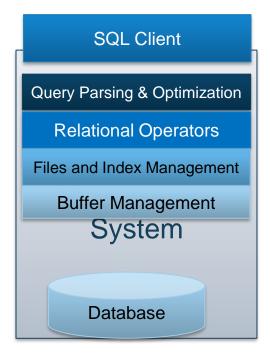


#### DBMS: Buffer Management

#### **Purpose:**

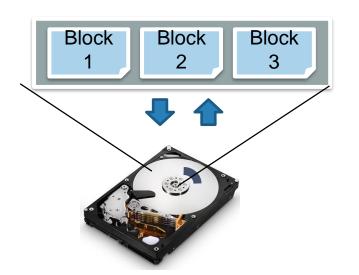
Provide the illusion of operating in memory

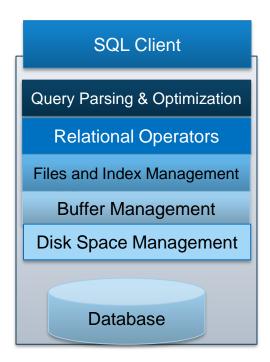




#### DBMS: Disk Space Management

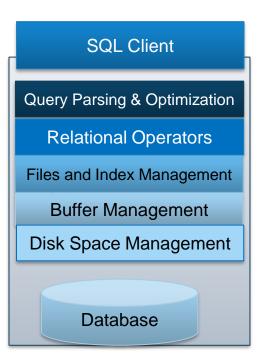
**Purpose:** Translate page requests into physical bytes on one or more device(s)





#### Architecture of a DBMS

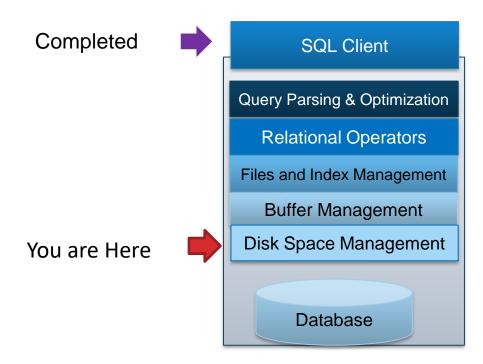
- Organized in layers
- Each layer abstracts the layer below
  - Manage complexity
  - Performance assumptions
- Example of good systems design



# DBMS: Concurrency & Recovery

Two cross-cutting issues related to storage and **SQL Client** memory management: Query Parsing & Optimization Relational Operators Files and Index Management **Concurrency Control Buffer Management** Recovery Disk Space Management Database

#### Context



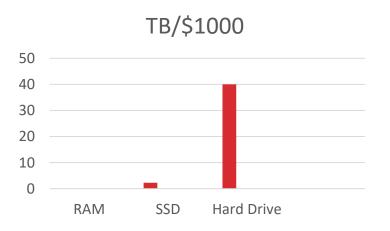
# BEFORE WE BEGIN: STORAGE MEDIA

#### **Disks**

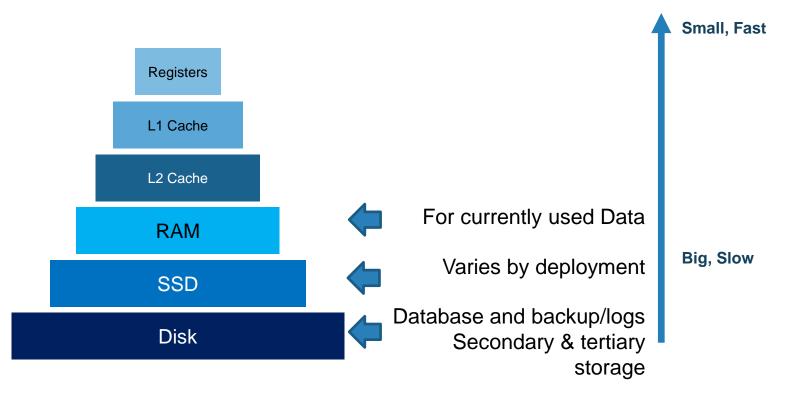
- Most database systems were originally designed for magnetic disks
  - Disk are a mechanical anachronism!
  - Instilled design ideas that apply to using solid state disks as well
- Major implications!
  - No "pointer derefs". Instead, an API:
    - READ: transfer "page" of data from disk to RAM.
    - WRITE: transfer "page" of data from RAM to disk.
  - Both API calls are very, very slow!
    - Plan carefully!
  - An explicit API can be a good thing
    - Minimizes the kind of pointer errors you see in C

#### **Economics**

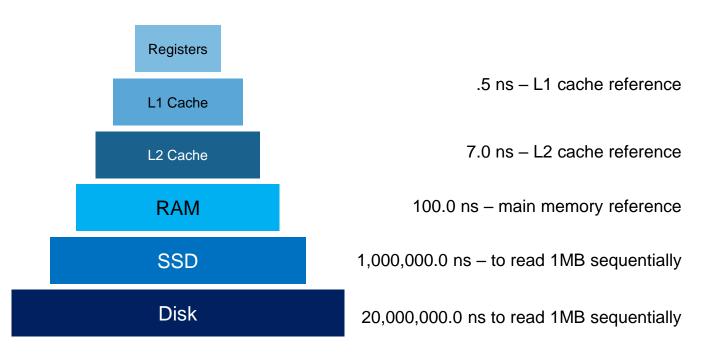
- \$1000 at NewEgg 2018:
  - Mag Disk: ~40TB for \$1000
  - SSD: ~2.3TB for \$1000
  - RAM: 80GB for \$1000



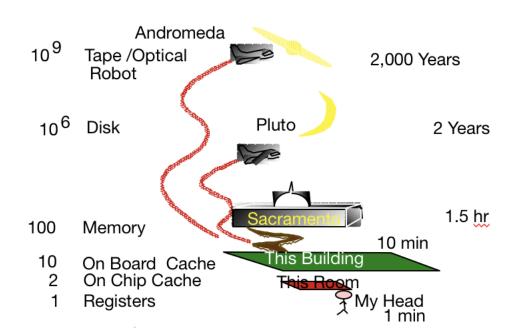
#### Storage Hierarchy



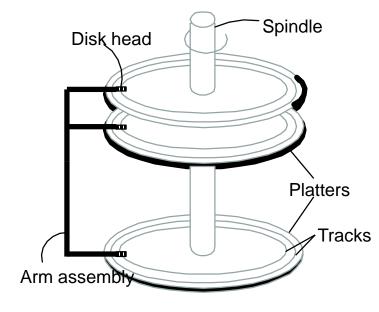
# Hierarchy - Storage Latencies



#### How Far Away is the Data?

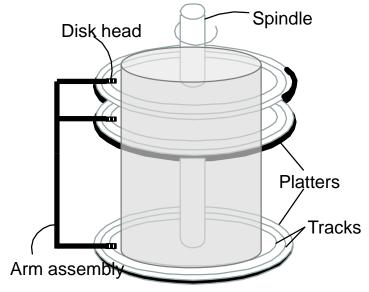


- Platters spin (say 15000 rpm)
- Arm assembly moved in or out to position a head on a desired track
  - Tracks under heads make a "cylinder"

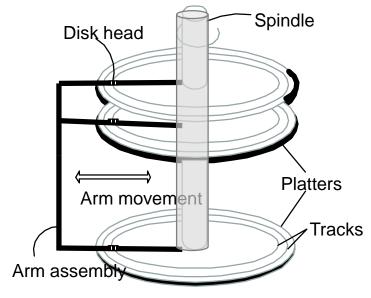




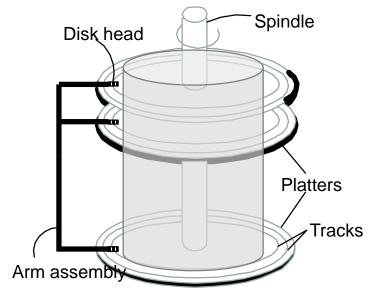
- Platters spin (say 15000 rpm)
- Arm assembly moved in or out to position a head on a desired track
  - Tracks under heads make a "cylinder"



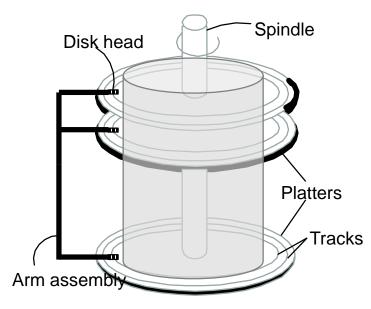
- Platters spin (say 15000 rpm)
- Arm assembly moved in or out to position a head on a desired track
  - Tracks under heads make a "cylinder"



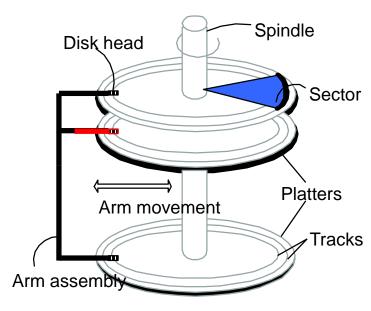
- Platters spin (say 15000 rpm)
- Arm assembly moved in or out to position a head on a desired track
  - Tracks under heads make a "cylinder"



- Platters spin (say 15000 rpm)
- Arm assembly moved in or out to position a head on a desired track
  - Tracks under heads make a "cylinder"
- Only one head reads/writes at any one time



- Platters spin (say 15000 rpm)
- Arm assembly moved in or out to position a head on a desired track
  - Tracks under heads make a "cylinder"
- Only one head reads/writes at any one time
- Block/page size is a multiple of (fixed) sector size

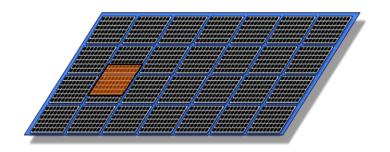


#### Accessing a Disk page

- Time to access (read/write) a disk block:
  - seek time (moving arms to position disk head on track)
    - ~2-3 ms on average
  - rotational delay (waiting for block to rotate under head)
    - ~0-4 ms (15000 RPM)
  - transfer time (actually moving data to/from disk surface)
    - ~0.25 ms per 64KB page
- Key to lower I/O cost: reduce seek/rotational delays

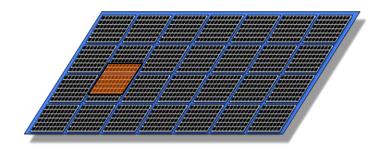
# Notes on Flash (SSD)

- Issues in current generation (NAND)
  - Fine-grain reads (4-8K reads), coarsegrain writes (1-2 MB writes)
  - Only 2k-3k erasures before failure, so keep moving hot write units around ("wear leveling")
  - Write amplification: big units, need to reorg for wear & garbage collection



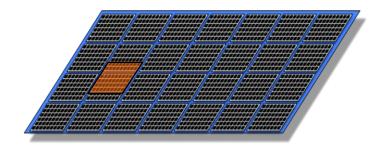
# Notes on Flash (SSD), Pt. 2

- So... read is fast and predictable
  - Single read access time: 0.03 ms
  - 4KB random reads: ~500MB/sec
  - Sequential reads: ~525MB/sec
  - 64K: 0.48 ms



# Notes on Flash (SSD), cont

- But... write is not! Slower for random
  - Single write access time: 0.03 ms
  - 4KB random writes: ~120 MB/sec
  - Sequential writes: ~480 MB/sec



#### Is Flash Faster than Disk?

Created by Dima Sho

- Why of course it is...it's called "flash"!
  - Can be 1-10x the bandwidth (bytes/sec) of ideal HDD #s
    - Note: Ideal HDD #s hard to achieve.
    - Expect 10-100x bandwidth for non-sequential read.

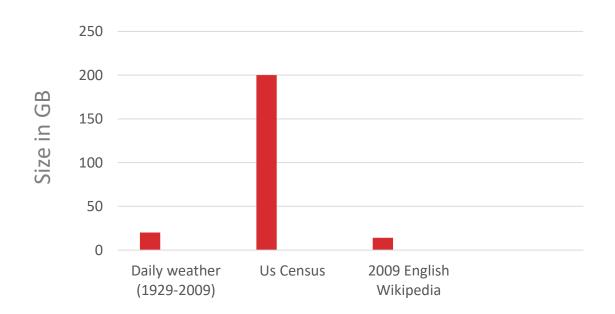


#### Is Flash Faster Than Disk Pt 2.

- Locality" matters for both
  - Reading/writing to "far away" blocks on disk requires slow seek/rotation delay
  - Writing 2 "far away" blocks on SSD can require writing multiple much larger units
  - High-end flash drives are getting much better at this
- And don't forget:
  - Disk offers about 10x the capacity per \$

# Storage Pragmatics & Trends

Many significant DBs are not big.



# Storage Trends Pt. 2

- But data sizes grow faster than Moore's Law
  - "Big Data" is real
    - Boeing 787 generates ½ TB of data per flight



- Walmart handles 1M transactions/hour,
  - maintains 2.5 PetaByte data warehouse



- So...what is the role of disk, flash, RAM
  - The subject of some debate!

#### Bottom Line (last few years)

- Very large DBs: relatively traditional
  - Disk still the best cost/MB by a lot
  - SSDs improve performance and performance variance
- Smaller DB story is changing quickly
  - Entry cost for disk is not cheap, so flash wins at the low end
  - Many interesting databases fit in RAM

#### Bottom Line Pt. 2

- Change brewing on the Hardware storage tech side
- Mixed answers on the Software/usage side
  - Big Data: Can generate and archive data cheaply and easily
  - Small Data: Many rich data sets have (small) fixed size
- People will continue to worry about magnetic disk for some time yet, typically at large scale

#### **DISK SPACE MANAGEMENT**

#### Disks and Files

- Recall, most DBMSs stores information on **Disks** and **SSDs**.
  - Disk are a mechanical anachronism (slow!)
  - SSDs faster, slow relative to memory, costly writes



#### **Block Level Storage**

- Read and Write large chunks of sequential bytes
- Sequentially: "Next" disk block is fastest
- Maximize usage of data per Read/Write
  - "Amortize" seek delays (HDDs) and writes (SSDs):
    if you're going all the way to Pluto, pack the spaceship full!
- Predict future behavior
  - Cache popular blocks
  - Pre-fetch likely-to-be-accessed blocks
  - Buffer writes to sequential blocks
  - More on these as we go

#### A Note on Terminology

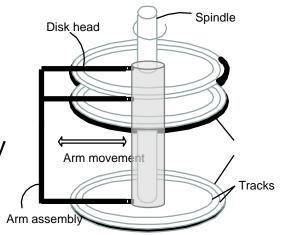
- Block = Unit of transfer for disk read/write
  - 64KB 128KB is a good number today
  - Book says 4KB
- Page: a common synonym for "block"
  - In some texts, "page" = a block-sized chunk of RAM
- We'll treat "block" and "page" as synonyms

# Arranging Blocks on Disk

- 'Next' block concept:
  - sequential blocks on same track, followed by
  - blocks on same cylinder, followed by
  - blocks on adjacent cylinder



- minimize seek and rotational delay.
- For a sequential scan, pre-fetch
  - several blocks at a time!
- Read large consecutive blocks



#### Disk Space Management, cont

- Lowest layer of DBMS, manages space on disk
- Purpose:
  - Map pages to locations on disk
  - Load pages from disk to memory
  - Save pages back to disk & ensuring writes
- Higher levels call upon this layer to:
  - Read/write a page
  - Allocate/de-allocate logical pages



#### Disk Space Management: Requesting Pages

- Request for a sequence of pages best satisfied by pages stored sequentially on disk
  - Physical details hidden from higher levels of system
  - Higher levels may "safely" assume Next Page is fast, so they will simply expect sequential runs of pages to be quick to scan.

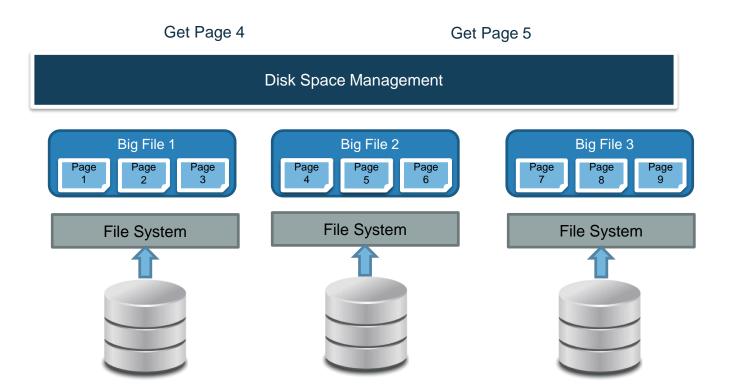
# Disk Space Management: Implementation

- Proposal 1: Talk to the storage device directly
  - Could be very fast if you knew the device well
  - What happens when devices change?

# Disk Space Management: Implementation 2

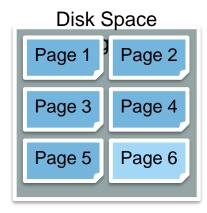
- Proposal 2: Run over filesystem (FS)
  - Allocate single large "contiguous" file on a nice empty disk, and assume sequential/nearby byte access are fast
  - Most FS optimize disk layout for sequential access
    - Gives us more or less what we want if we start with an empty disk
  - DBMS "file" may span multiple FS files on multiple disks/machines

# Using Local Filesystem



# Summary: Disk Space Management

- Provide API to read and write pages to device
- Pages: block level organization of bytes on disk
- Provides "next" locality and abstracts FS/device details



# Disks and Files: Summary

- Magnetic (hard) disks and SSDs
  - Basic HDD mechanics
  - SSD write amplification
  - Concept of "near" pages and how it relates to cost of access
  - Relative cost of
    - Random vs. sequential disk access (10x)
    - Disk (pluto) vs RAM (sacramento) vs. registers (your head)
      - Big, big differences!

#### Files: Summary Pt 2

- DB File storage
  - Typically over FS file(s)
- Disk space manager loads and stores pages
  - Block level reasoning
  - Abstracts device and file system; provides fast "next"