

# **Data Structures and Algorithms 2**

## **Chapter 2**

# **Algorithm Analysis**

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**2<sup>nd</sup> year / S3**

**September 2024 – January 2025**

# Course Outline

- ❖ Algorithms efficiency
  - Machine-dependent vs Machine-independent
- ❖ Function ordering
  - Order of growth
  - Weak Order;
  - Landau symbols Big-Oh ; Big-omega ; big theta and Little-oh .
- ❖ Algorithm complexity analysis
  - Rules for complexity analysis
  - Analysis of various types of algorithms
  - Master Theorem

# Algorithm Efficiency

## Example : Shortest path problem

- A city has  $n$  view points
- Buses move from one view point to another
- A bus driver wishes to follow the shortest path (travel time wise).
- Every view point is connected to another by a road.
- However, some roads are less congested than others.
- Also, roads are one-way, i.e., the road from view point 1 to 2, is different from that from view point 2 to 1.

# Algorithm Efficiency

Example : Shortest path problem

How to find the shortest path between any two pairs?

## → Naïve approach

- ◆ List all the paths between a given pair of view points
- ◆ Compute the travel time for each.
- ◆ Choose the shortest one.

How many paths are there between any two view points  
(without revisits)?

$$n! \approx (n/e)^n$$

→ It will be impossible to run the algorithm for  $n = 30$

# Algorithm efficiency

- Run time in the computer is Machine dependent

**Example** : Need to multiply two positive integers a and b

**Subroutine 1**: Multiply a and b

**Subroutine 2**:  $V = a, \quad W = b$

While  $W > 1$

$V \rightarrow V + a; W \rightarrow W - 1$

Output V

# Algorithm efficiency

First subroutine has 1 multiplication.

Second has  $b$  additions and subtractions.

For some architectures, 1 multiplication is more expensive than  $b$  additions and subtractions.

Ideally, we would like to program all choices and run all of them in the machine we are going to use and find which is efficient!

# Machine Independent Analysis

We assume that every **basic operation** takes **constant** time

Example **Basic** Operations:

Addition, Subtraction, Multiplication, Memory Access

**Non-basic** Operations:

Sorting, Searching

**Efficiency** of an algorithm is the **number of basic operations** it performs

We do not distinguish between the basic operations.

Subroutine 1 uses **1** basic operation (\*)

Subroutine 2 uses **2b** basic operations (+, -)

Subroutine **1** is **more efficient**.

This measure is good for all large input sizes

In fact, we will not worry about the **exact values**, but will look at “**broad classes**” of values.

Let there be **n inputs**.

If an algorithm needs **n** basic operations and another needs **2n** basic operations, we will consider them to be in the **same efficiency category**.

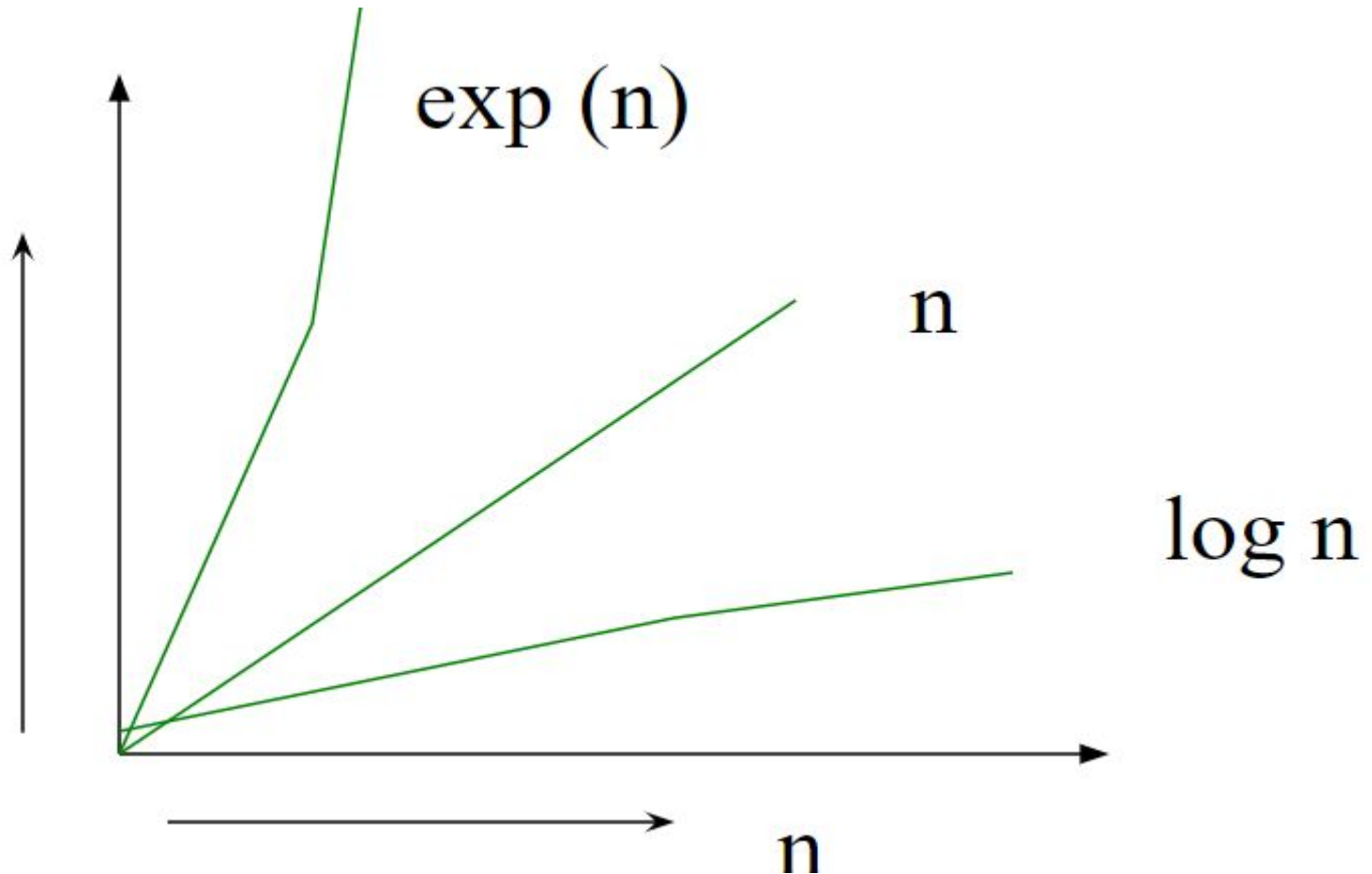
However, we distinguish between **exp(n), n, log(n)**



# Function Ordering

## Order of Increase(order of growth)

We worry about the speed of our algorithms for large input sizes.

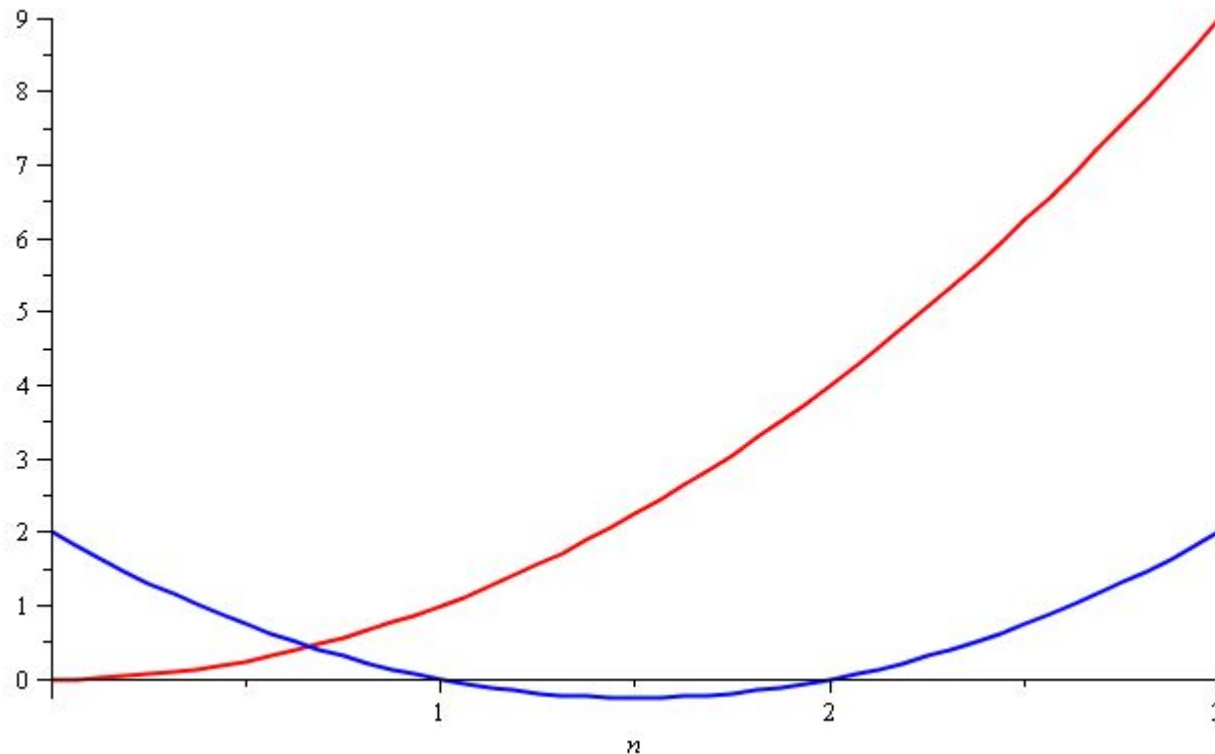


# Quadratic Growth

Consider the two functions

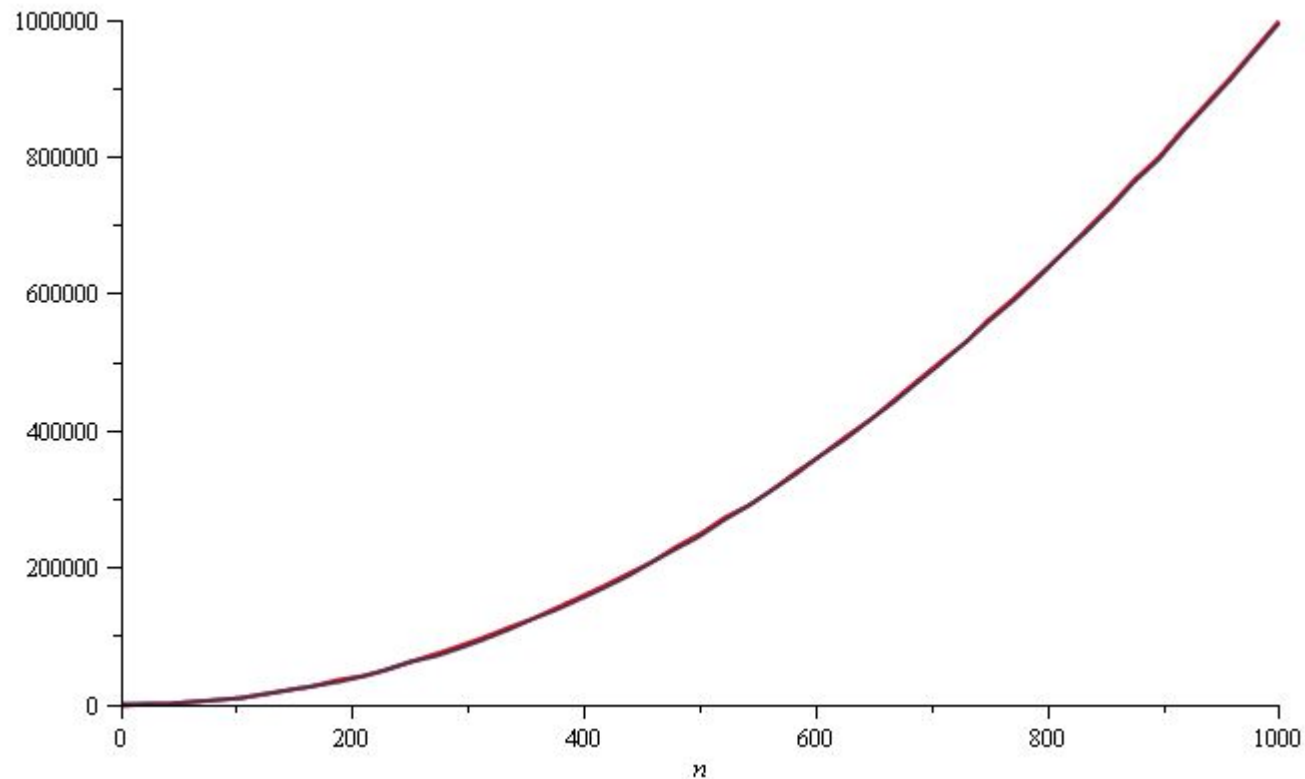
$$f(n) = n^2 \text{ and } g(n) = n^2 - 3n + 2$$

Around  $n = 0$ , they look very different



# Quadratic Growth

Yet on the range  $n = [0, 1000]$ , they are (relatively) indistinguishable:



## Quadratic Growth

The absolute difference is large, for example,

$$f(1000) = 1\,000\,000$$

$$g(1000) = 997\,002$$

but the relative difference is very small

$$\left| \frac{f(1000) - g(1000)}{f(1000)} \right| = 0.002998 < 0.3\%$$

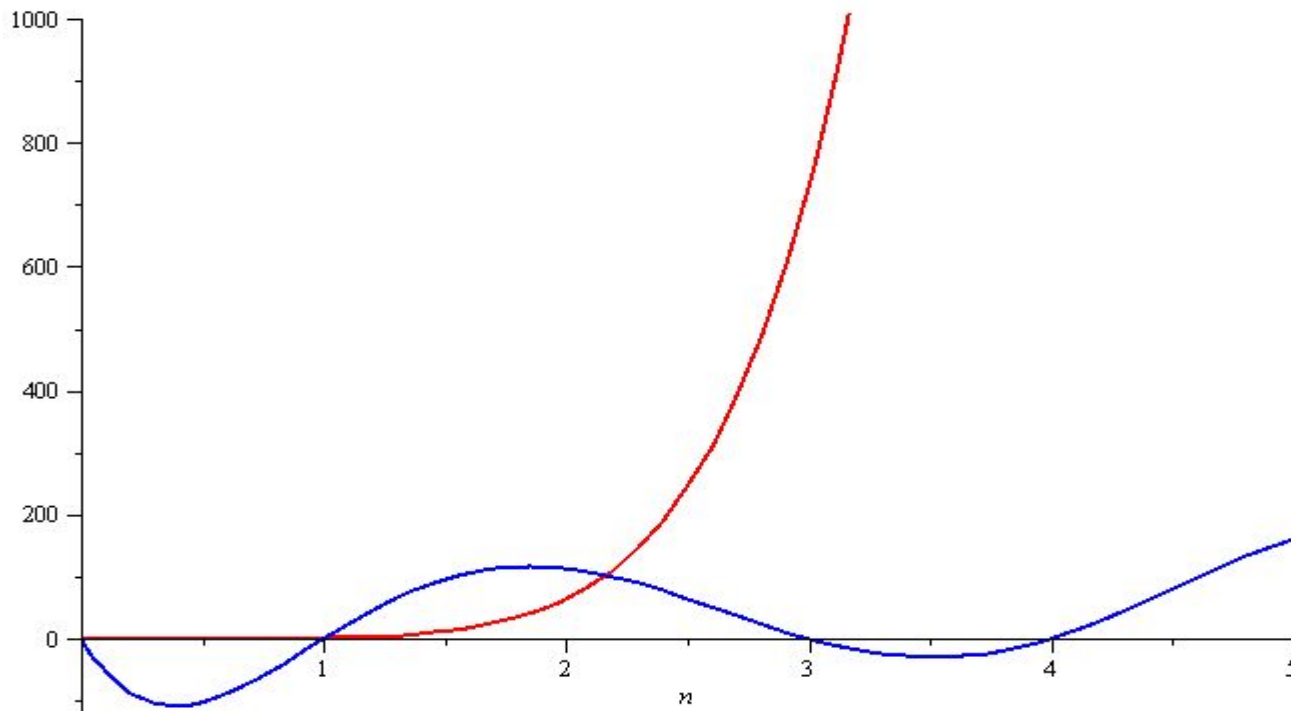
and this difference goes to zero as  $n \rightarrow \infty$

# Polynomial Growth

To demonstrate with another example,

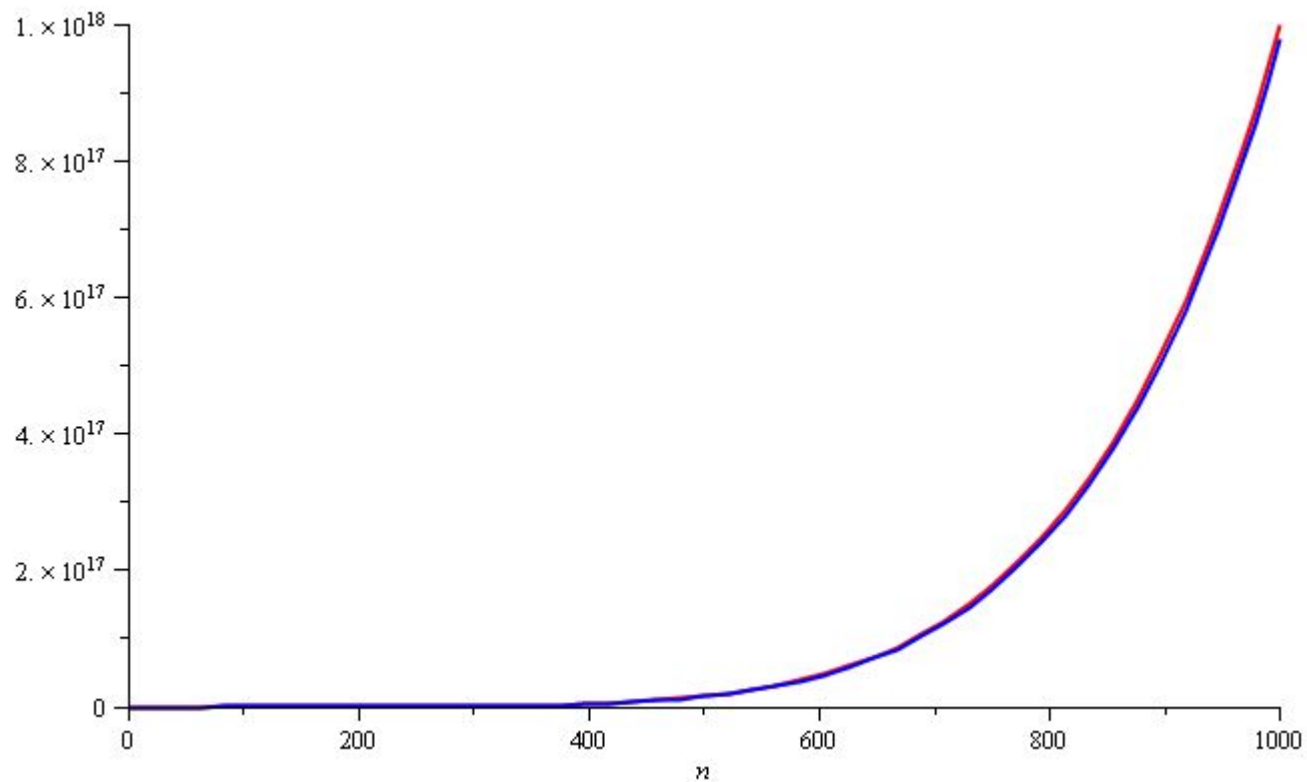
$$f(n) = n^6 \quad \text{and} \quad g(n) = n^6 - 23n^5 + 193n^4 - 729n^3 + 1206n^2 - 648n$$

Around  $n = 0$ , they are very different



# Polynomial Growth

Still, around  $n = 1000$ , the relative difference is less than 3%



# Polynomial Growth

The justification for both pairs of polynomials being similar is that, in both cases, they each had the **same leading term**:

$n^2$  in the first case,  $n^6$  in the second

Suppose however, that the coefficients of the leading terms were different

- In this case, both functions would exhibit the same rate of growth, however, one would always be proportionally larger

# Weak ordering

Consider the following definitions:

- We will consider two functions to be equivalent,  $f \sim g$ , if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c \quad \text{where} \quad 0 < c < \infty$$

- We will state that  $f < g$  if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

For functions we are interested in, these define a *weak ordering*



# Weak ordering

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$f$  and  $g$  are functions from the set of natural numbers to itself.

Let  $f(n)$  and  $g(n)$  describe the run-time of two algorithms

- If  $f(n) \sim g(n)$ , then it is always possible to improve the performance of one function over the other by purchasing a **faster** computer
- If  $f(n) < g(n)$ , then you can **never** purchase a computer **fast enough** so that the second function always runs in less time than the first

Note that for **small values** of  $n$ , it may be reasonable to use an algorithm that is asymptotically more expensive, but we will consider these on a **one-by-one** basis

# Function orders “Landau Symbols”

we will make some assumptions:

- Our functions will describe the time or memory required to solve a problem of size  $n$
- We are restricting to certain functions :
  - They are defined for  $n \geq 0$
  - They are strictly positive for all  $n$ 
    - In fact,  $f(n) > c$  for some value  $c > 0$
    - That is, any problem requires at least one instruction and byte
  - They are increasing (monotonic increasing)

# Function orders “Landau Symbols”

## Big Oh Notation

A function  **$f(n)$**  is  **$O(g(n))$**  if the **rate of growth** of  $f(n)$  is not greater (not faster) than that of  $g(n)$ .

### Definition 1

**$f(n) = O(g(n))$**  if there are a number  **$n_0$**  and a nonnegative  **$c$**  such that

for all  $n \geq n_0$ ,  $0 \leq f(n) \leq cg(n)$ .

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  **exists** and is **finite**, then  $f(n)$  is  **$O(g(n))$** .

Intuitively, (not exactly)  $f(n)$  is  $O(g(n))$  means  $f(n) \leq g(n)$  for all  $n$  beyond some value  $n_0$ ; i.e.  $g(n)$  is an **upper bound** for  $f(n)$ .

# Function orders “Landau Symbols”

## Example Functions

$\text{sqrt}(n)$  ,  $n$ ,  $2n$ ,  $\ln n$ ,  $\exp(n)$ ,  $n + \text{sqrt}(n)$  ,  $n + n^2$

$$\lim_{n \rightarrow \infty} \text{sqrt}(n) / n = 0, \quad \text{sqrt}(n) \text{ is } O(n)$$

$$\lim_{n \rightarrow \infty} n / \text{sqrt}(n) = \text{infinity}, \quad n \text{ is not } O(\text{sqrt}(n))$$

$$\lim_{n \rightarrow \infty} n / 2n = 1/2, \quad n \text{ is } O(2n)$$

$$\lim_{n \rightarrow \infty} 2n / n = 2, \quad 2n \text{ is } O(n)$$

$$\lim_{n \rightarrow \infty} \ln(n) / n = 0,$$

$\ln(n)$  is  $O(n)$

$$\lim_{n \rightarrow \infty} n / \ln(n) = \text{infinity},$$

$n$  is not  $O(\ln(n))$

$$\lim_{n \rightarrow \infty} \exp(n) / n = \text{infinity},$$

$\exp(n)$  is not  $O(n)$

$$\lim_{n \rightarrow \infty} n / \exp(n) = 0,$$

$n$  is  $O(\exp(n))$

$$\lim_{n \rightarrow \infty} (n + \sqrt{n}) / n = 1,$$

$n + \sqrt{n}$  is  $O(n)$

$$\lim_{n \rightarrow \infty} n / (\sqrt{n} + n) = 1,$$

$n$  is  $O(n + \sqrt{n})$

$$\lim_{n \rightarrow \infty} (n + n^2) / n = \text{infinity},$$

$n + n^2$  is not  $O(n)$

$$\lim_{n \rightarrow \infty} n / (n + n^2) = 0,$$

$n$  is  $O(n + n^2)$

# Implication of big-Oh notation

Suppose we know that our algorithm uses at most  $O(f(n))$  basic steps for any  $n$  inputs, and  $n$  is sufficiently large,

- then we know that our algorithm will terminate after executing at most  $f(n)$  basic steps.
- We know that a basic step takes a constant time in a machine.

Hence, our algorithm will terminate in a constant time  $f(n)$  units of time, for all large  $n$ .

# Function orders “Landau Symbols”

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## $\Omega$ “Omega” Notation

Now a lower bound notation,  $\Omega$

### Definition 2

$f(n) = \Omega(g(n))$  if there are a number  $n_0$  and a nonnegative  $c$  such that

for all  $n \geq n_0$ ,  $f(n) \geq cg(n)$ .

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0$  if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  exists

We say  $g(n)$  is a **lower bound** on  $f(n)$ ,  
i.e. no matter what specific inputs we have, the algorithm  
will not run faster than this lower bound.

Suppose, an algorithm has complexity  $\Omega(f(n))$ . This means that there exists a **positive constant  $c$**  such that for **all sufficiently large  $n$** , there exists **at least one input** for which the algorithm consumes **at least  $c \cdot f(n)$**  steps.

# Function orders “Landau Symbols”

## $\Theta$ “theta ” Notation

### Definition 3

**$f(n) = \Theta(g(n))$**  if and only if  $f(n)$  is  $O(g(n))$  and  $\Omega(g(n))$

**$f(n) = \Theta(g(n))$**  if there exist positive  $n_o$ ,  $c_1$ , and  $c_2$  such that

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \text{whenever } n \geq n_o$$

- $\Theta(g(n))$  is “asymptotic equality”

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$  is a **finite, positive constant**, if it exists

A function  $f(n)$  is  $\Theta(g(n))$  if The function  $f(n)$  has a **rate of growth equal** to that of  $g(n)$  .  $\Theta$  represents a **tight bound** in asymptotic analysis, which means it captures both the **upper** and **lower** bounds of a function's growth.



# Function orders “Landau Symbols”

## Little-oh Notation

### Definition 4

**$f(n) = o(g(n))$**  if for all positive constant  $c$ , there exists an  $n_0$  such that :

$$f(n) < cg(n) \text{ when } n > n_0$$

Less formally,  **$f(n) = o(g(n))$**  if  $f(n) = O(g(n))$  and  $f(n) \neq \theta(g(n))$ .

“asymptotic strict inequality”

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \quad \text{if} \quad \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \text{ exists}$$

# Function orders “Landau Symbols”

Suppose that  $f(n)$  and  $g(n)$  satisfy  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$  where  $0 < c < \infty$ , it follows that  $f(n) = \Theta(g(n))$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$  where  $0 \leq c < \infty$ , it follows that  $f(n) = \mathbf{O}(g(n))$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , it follows that  $f(n) = o(g(n))$

## Function orders “Landau Symbols”

$$f(n) = \mathbf{o}(g(n))$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

$$f(n) = \mathbf{O}(g(n))$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty$$

$$f(n) = \mathbf{\Theta}(g(n))$$

$$0 < \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty$$

$$f(n) = \mathbf{\Omega}(g(n))$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0$$

# Function orders “Landau Symbols”

## Terminology

Asymptotically less than or equal to  $\mathbf{O}$

Asymptotically greater than or equal to  $\mathbf{\Omega}$

Asymptotically equal to  $\mathbf{\theta}$

Asymptotically strictly less  $\mathbf{o}$

# Little-o as a Weak Ordering

We can show that, for example

$$\ln(n) = o(n^p) \quad \text{for any } p > 0$$

Proof: Using l'Hôpital's rule.

If you are attempting to determine  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$

but both  $\lim_{n \rightarrow \infty} f(n) = \lim_{n \rightarrow \infty} g(n) = \infty$ , it follows

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f^{(1)}(n)}{g^{(1)}(n)}$$

Repeat as necessary...

Note: the  $k^{\text{th}}$  derivative will always be shown as  $f^{(k)}(n)$

$$\lim_{n \rightarrow \infty} \frac{\ln(n)}{n^p} = \lim_{n \rightarrow \infty} \frac{1/n}{pn^{p-1}} = \lim_{n \rightarrow \infty} \frac{1}{pn^p} = \frac{1}{p} \lim_{n \rightarrow \infty} n^{-p} = 0$$

# Big- $\Theta$ as an Equivalence Relation

If we look at the first relationship, we notice that  $f(n) = \Theta(g(n))$  seems to describe an equivalence relation:

1.  $f(n) = \Theta(g(n))$  if and only if  $g(n) = \Theta(f(n))$
2.  $f(n) = \Theta(f(n))$
3. If  $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$ , it follows that  $f(n) = \Theta(h(n))$

Consequently, we can group all functions into **equivalence classes**, where all functions within one class are big-theta  $\Theta$  of each other

# Big- $\Theta$ as an Equivalence Relation

For example, all of

$$\begin{array}{lll} n^2 & 100000 n^2 - 4 n + 19 & n^2 + 1000000 \\ 323 n^2 - 4 n \ln(n) + 43 n + 10 & & 42n^2 + 32 \\ & n^2 + 61 n \ln^2(n) + 7n + 14 \ln^3(n) + \ln(n) & \end{array}$$

are big- $\Theta$  of each other

*E.g.*,  $42n^2 + 32 = \Theta( 323 n^2 - 4 n \ln(n) + 43 n + 10 )$

We will select just one element to represent the entire class of these functions:  **$n^2$**

- We could choose any function, but this is the simplest

# Function orders “Landau Symbols”

## Terminology

The most common classes are given names:

$\Theta(1)$	constant
$\Theta(\ln(n))$ or $\Theta(\log(n))$	logarithmic
$\Theta(n)$	linear
$\Theta(n \ln(n))$	“ $n \log n$ ”
$\Theta(n^2)$	quadratic
$\Theta(n^3)$	cubic
$2^n, e^n, 4^n, \dots$	exponential

Recall that all logarithms are scalar multiples of each other

Therefore  $\log_b(n) = \Theta(\ln(n))$  for any base  $b$



# Function orders “Landau Symbols”

## Example Functions

$\text{sqrt}(n)$  ,  $n$ ,  $2n$ ,  $\ln n$ ,  $\exp(n)$ ,  $n + \text{sqrt}(n)$  ,  $n + n^2$

$$\lim_{n \rightarrow \infty} \text{sqrt}(n) / n = 0,$$

$\text{sqrt}(n)$  is  $o(n)$  and  $O(n)$

$$\lim_{n \rightarrow \infty} n / \text{sqrt}(n) = \text{infinity},$$

$n$  is  $\Omega(\text{sqrt}(n))$

$$\lim_{n \rightarrow \infty} n / 2n = 1/2,$$

$n$  is  $\theta(2n)$

$$\lim_{n \rightarrow \infty} 2n / n = 2,$$

$2n$  is  $\theta(n)$

$$\lim_{n \rightarrow \infty} \ln(n) / n = 0,$$

$\ln(n)$  is  $o(n)$

$$\lim_{n \rightarrow \infty} n / \ln(n) = \text{infinity},$$

$n$  is  $\Omega(\ln(n))$

$$\lim_{n \rightarrow \infty} \exp(n) / n = \text{infinity},$$

$\exp(n)$  is  $\Omega(n)$

$$\lim_{n \rightarrow \infty} n / \exp(n) = 0,$$

$n$  is  $o(\exp(n))$

$$\lim_{n \rightarrow \infty} (n + \sqrt{n}) / n = 1,$$

$n + \sqrt{n}$  is  $\theta(n)$

$$\lim_{n \rightarrow \infty} n / (\sqrt{n} + n) = 1,$$

$n$  is  $\theta(n + \sqrt{n})$ ,

$$\lim_{n \rightarrow \infty} (n + n^2) / n = \text{infinity},$$

$n + n^2$  is  $\Omega(n)$

$$\lim_{n \rightarrow \infty} n / (n + n^2) = 0,$$

$n$  is  $o(n + n^2)$

# Algorithms Analysis

An algorithm is said to have **polynomial** *time complexity* if its run-time may be described by  **$O(n^d)$**  for some fixed  $d \geq 0$

- We will consider such algorithms to be **efficient**

**Problems** that have no known polynomial-time algorithms are said to be **intractable**

- *Traveling salesman problem*: find the shortest path that visits  $n$  cities
- Best run time:  $\Theta(n^2 2^n)$

## Complexity of a Problem Vs Algorithm

A **problem** is  $O(f(n))$  means there is some  $O(f(n))$  algorithm to solve the problem.

A **problem** is  $\Omega(f(n))$  means every algorithm that can solve the problem is  $\Omega(f(n))$

# Rules for arithmetic with big-O symbols

## Rule 1

If  $T_1(n) = O(f(n))$  and  $T_2(n) = O(g(n))$ , then

**(a)**  $T_1(n) + T_2(n) = O(f(n) + g(n))$  (intuitively and less formally it is  $O(\max(f(n), g(n)))$ ),

**(b)**  $T_1(n) * T_2(n) = O(f(n) * g(n))$ .

## Rule 2

If  $T(n)$  is a polynomial of degree  $k$ , then  $T(n) = \theta(n^k)$ .

## Rule 3

- $\log^k n = O(n)$  for any constant  $k$ .

This tells us that logarithms grow very slowly.

# Rules for arithmetic with big-O symbols

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## Rule 4

If  $f(n) = \mathbf{O}(g(n))$ , then

$$c * f(n) = \mathbf{O}(g(n)) \text{ for any constant } c.$$

## Rule 5

If  $f_1(n) = \mathbf{O}(g(n))$  but  $f_2(n) = \mathbf{o}(g(n))$ , then

$$f_1(n) + f_2(n) = \mathbf{O}(g(n)).$$

## Rule 6

If  $f(n) = \mathbf{O}(g(n))$ , and  $g(n) = \mathbf{o}(h(n))$ , then

$$f(n) = \mathbf{o}(h(n)). \quad (\text{complexity of } f \circ g)$$

These are not all of the rules, but they're enough for most purposes.

# Algorithm Complexity Analysis

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- Three cases for which the efficiency of algorithms has to be determined :
  - *worst case* : is when an algorithm requires a maximum number of steps,
  - the *best case* : is when the number of steps is the smallest, and
  - the *average case* falls between these extremes.
- We define  $T_{avg}(N)$  and  $T_{worst}(N)$ , as the average and worst-case running time, resp., used by an algorithm on input of size  $N$ . Clearly,  $T_{avg}(N) \leq T_{worst}(N)$ .
- Average-case performance often reflects *typical behavior*
- Worst-case performance represents a *guarantee for performance* on *any possible* input.
- The best-case performance of an algorithm is of little interest: does not represent the typical behavior. It is occasionally analyzed.

# Algorithm Complexity Analysis

## Example

1. <code>diff = sum = 0;</code>	• Line 1 takes 2 basic steps
2. <code>for (k=0: k &lt; N; k++)</code>	• in every iteration of first loop
3. <code>sum → sum + 1;</code>	Line 3 takes 2 basic steps.
4. <code>diff → diff - 1;</code>	Line 4 takes 2 basic steps
	First loop runs N times
5. <code>for (k=0: k &lt; 3N; k++)</code>	• in every iteration of second
6. <code>sum → sum - 1;</code>	Line 6 loop takes 2 basic step
	• Second loop runs for 3N times

**Overall,  $2 + 4N + 6N$  steps** ( without counting the test and increment operations for each iteration in the two loops)

**This is  $O(N)$**



# Algorithm Complexity Analysis

## General Rules

### Rule 1- Consecutive Statements:

This just add , which means that the maximum is that counts .

### Rule 2- Complexity of a loop:

The running time of a loop is at most the running time of the statements inside the loop (including tests) times the number of iterations.

**$O(\text{Number of iterations in a loop} * \text{maximum complexity of each iteration})$**

### Rule 3- Nested Loops:

The running time of a group of nested loops is the running time inside a group of nested loops multiplied by the product of the sizes of all the loops .

**$\text{Complexity of an outer loop} = \text{number of iterations in this loop} * \text{complexity of inner loop, etc.}$**

# Algorithm Complexity Analysis

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## Example

<pre>1. sum = 0; 2. for (i=0; i &lt; N; i++) 3.     for (j=0; j &lt; N; j++) 4.         sum → sum + 1;</pre>	<p>Outer loop: <b>N</b> iterations</p> <p>Inner loop: <b>O(N)</b></p> <p><b>Overall: O(N<sup>2</sup>)</b></p>
<pre>1. for (i=0; i &lt; N; i++) 2.     a[i] = 0; 3. for (i=0; i &lt; N; i++) 4.     for (j=0; j &lt; N; j++) 5.         a[i] = a[j] + i+j ;</pre>	<p>First loop O(N)</p> <p>Inner loop: <b>O(N)</b></p> <p>Outer loop: <b>N</b> iterations</p> <p><b>Overall: O(N) + O(N<sup>2</sup>) So</b></p> <p><b>O(N<sup>2</sup>)</b></p>

# Algorithm Complexity Analysis

## General Rules

### Rule 3- If else

For the fragment

If (Condition)

S1

Else

Maximum of the two complexities

S2

The running time of an if/else statement is never more than the running time of **the test plus the larger** of the running times of S1 and S2

If (yes)

print(1,2,...**1000N**)

else print(1,2,...**N<sup>2</sup>**)

overall **O(N<sup>2</sup>)**

the basic strategy is analyzing from the inside (or deepest part) out. If there are function calls, these must be analyzed first.

# Algorithm Complexity Analysis

## Analysis of recursion

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- If the recursion is really just a for loop , the analysis is usually trivial .

```
Long factorial (int n) {
```

```
    if (n <= 1)
```

**$O(N)$**

```
        return 1;
```

```
    else
```

```
        return n*factorial(n - 1);
```

```
}
```

- However, if the recursion is properly used . The analysis will involve a recurrence relation.

# Algorithm Complexity Analysis

## Analysis of recursion

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- Suppose we have the following code :

```
Long fib (int n) {
```

```
1.  if (n <= 1)
```

```
2.      return 1;
```

```
    else
```

```
3.      return fib(n - 1) + fib(n - 2);
```

```
}
```

Let  $T(N)$  be the running time for the function call  $\text{fib}(n)$

if  $N = 0$  or  $N = 1$      $T(0) = T(1) = O(1)$

if  $n \geq 2$

$T(n) = \text{cost of constant op at line 1} + \text{cost of line 3 work}$

$T(n) = 1 \text{ op} + (\text{addition} + 2 \text{ function calls})$

# Algorithm Complexity Analysis

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## Analysis of recursion

$$T(n) = 1 \text{ op} + (\text{addition} + \text{cost of fib}(n-1) + \text{cost fib}(n-2))$$

Thus ,

$$T(n) = T(n-1) + T(n-2) + 2$$

$$\text{Since fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$$

it is easy to show by induction that :

$$T(n) \geq \text{fib}(n)$$

- we have showed (in chapter 1) that  $\text{fib}(n) < (5/3)^n$
- a similar proof shows for  $n > 4$ ,  $\text{fib}(n) \geq (3/2)^n$

thus  $T(n) \geq (3/2)^n$  and so

the running time of the programme grows **exponentially**.  
This program is slow because there is a huge amount of redundant work being performed.

By using an array and a for loop,  
the programme running time can be reduced substantially.

# Algorithm Complexity Analysis

## Maximum Subsequence Problem

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Given an array of N elements  $A_1, A_2, A_3, \dots, A_N$ , (possibly negative)

find the maximum value of  $\sum_{k=i}^j A_k$

Need to find i, j such that the sum of all elements between the  $i^{\text{th}}$  and  $j^{\text{th}}$  positions is maximum for all such sums

(for convenience, the maximum subsequence sums is 0 if all integers are negative)

### Example

for the input -2, 11, -4, 13, -5, -2 the answer is 20

We will discuss four algorithms to solve it, their performance varies :  
 $O(N)$ ,  $O(N \log N)$ ,  $O(N^2)$ ,  $O(N^3)$

# Running time of 4 algorithms for max subsequence sum

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Input Size	Algorithm Time ( seconds)			
	1	2	3	4
	$O(N^3)$	$O(N^2)$	$O(N \log N)$	$O(N)$
$N = 100$	0.000159	0.000006	0.000005	0.000002
$N = 1,000$	0.095857	0.000371	0.000060	0.000022
$N = 10,000$	86.67	0.033322	0.000619	0.000222
$N = 100,000$	NA	3.33	0.006700	0.002205
$N = 1,000,000$	NA	NA	0.074870	0.022711

Figure [textbook Weiss, Figure 2.2]



# Maximum Subsequence Problem

## Algorithm 1

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```
/**
 * Cubic maximum contiguous subsequence sum algorithm. */
int maxSubSum1( const vector<int> & a )
{
    int maxSum = 0;

    for( int i = 0; i < a.size( ); ++i )

        for( int j = i; j < a.size( ); ++j )
        {
            int thisSum = 0;
            for( int k = i; k <= j; ++k )
                thisSum += a[ k ];
            if( thisSum > maxSum )
                maxSum = thisSum;
        }
    return maxSum;
}
```

# Complexity of Algorithm 1

Because constants do not matter, the runtime is obtained from the sum :

We have 
$$\sum_{k=0}^{N-1} \sum_{k=j}^{N-1} \sum_{k=i}^j 1$$

inner loop 
$$\sum_{k=i}^j 1 = j - i + 1$$

Outer Loop 
$$\sum_{j=i}^{N-1} (j - i + 1) = \frac{(N-i+1)(N-i)}{2}$$

$$\sum_{i=0}^{N-1} \frac{(N-i+1)(N-i)}{2} = \frac{N^3 + 3N^2 + 2N}{6}$$

# Analysis of Algorithm 1

in Algorithm 1 can be made more efficient leading to  $O(N^2)$ .  
Thus, the cubic running time can be avoided by removing the innermost for loop, because :

$$\sum_{K=i}^j A_k = A_j + \sum_{K=i}^{j-1} A_k$$

# Maximum Subsequence Problem

## Algorithm 2

```
/**  
* Quadratic maximum contiguous subsequence sum algorithm.  
*/  
int maxSubSum2( const vector<int> & a )  
{  
    int maxSum = 0;  
    for( int i = 0; i < a.size( ); ++i )  
    {  
        int thisSum = 0;  
        for( int j = i; j < a.size( ); ++j )  
        {  
            thisSum += a[ j ];  
  
            if( thisSum > maxSum )  
                maxSum = thisSum;  
        }  
    }  
    return maxSum;  
}
```

## Complexity of Algorithm 2

the runtime of algorithm2 is obtained from the two for loops :

$$\sum_{i=0}^{N-1} \sum_{j=i}^{N-1} 1 = \sum_{i=0}^{N-1} (N - i)$$

$$\sum_{i=0}^{N-1} (N - i) = N^2 - \frac{(N - 1)N}{2} = \frac{N(N + 1)}{2} = \frac{N^2 + N}{2}$$

$$O(N^2)$$

# Maximum Subsequence Problem

## Algorithm 3

### Divide and Conquer

#### **Divide-and- conquer strategy :**

- ❖ Split the big problem into “two” small sub-problems,
- ❖ Solve each of them efficiently,
- ❖ Combine the “two” solutions.

# Maximum Subsequence Problem

## Algorithm 3

### Divide and Conquer

- ❖ Divide the array into two parts: **left part**, **right part** each to be solved **recursively**
- ❖ The maximum subsequence can be in one of three places :
  - completely in the left half ,
  - or completely in right half
  - or it crosses the middle and is both halves.
- The first two cases can be solved **recursively**
- The last case, can be obtained by finding the max subsequence in the left **ending at the last element** and the max subsequence in the **right starting from the center** (i.e. the first element in the second half )

# Maximum Subsequence Problem

## Algorithm 3

### Example

First half	Second half
4   -3   5   -2	-1   2   6   -2

Max subsequence sum for first half = 6 (elements  $A_1 - A_3$ )  
 second half = 8 (elements  $A_5 - A_7$ )

Max subsequence sum for first half **ending at the last**  
 element (4<sup>th</sup> elements included) is 4 (elements  $A_1 - A_4$ )

Max subsequence sum for second half starting at the first  
 element (5<sup>th</sup> element included) is 7 (elements  $A_5 - A_7$ )

Max subsequence sum spanning the middle is 4 + 7 = 11

**Max subsequence spans the middle**



# Maximum Subsequence Problem

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## Algorithm 3 : divide and conquer

```
6  int maxSumRec( const vector<int> & a, int left, int right )
7  {
8      if( left == right ) // Base case
9          if( a[ left ] > 0 )
10             return a[ left ];
11         else
12             return 0;
13
14     int center = ( left + right ) / 2;
15     int maxLeftSum = maxSumRec( a, left, center );
16     int maxRightSum = maxSumRec( a, center + 1, right );
17
18     int maxLeftBorderSum = 0, leftBorderSum = 0;
19     for( int i = center; i >= left; --i )
20     {
21         leftBorderSum += a[ i ];
22         if( leftBorderSum > maxLeftBorderSum )
23             maxLeftBorderSum = leftBorderSum;
24     }
```

```
26     int maxRightBorderSum = 0, rightBorderSum = 0;
27     for( int j = center + 1; j <= right; ++j )
28     {
29         rightBorderSum += a[ j ];
30         if( rightBorderSum > maxRightBorderSum )
31             maxRightBorderSum = rightBorderSum;
32     }
33
34     return max3( maxLeftSum, maxRightSum,
35                 maxLeftBorderSum + maxRightBorderSum );
36 }
37
38 /**
39  * Driver for divide-and-conquer maximum contiguous
40  * subsequence sum algorithm.
41  */
42 int maxSubSum3( const vector<int> & a )
43 {
44     return maxSumRec( a, 0, a.size( ) - 1 );
45 }
```

# Complexity analysis

## Algorithm 3

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Let  $T(N)$  be the time it takes to solve a maximum subsequence sum problem of size  $N$ .

- If  $N=1$ ; lines 8 to 12 executed; taken to be one unit :  $T(1) = 1$
- $N > 1$ : 2 recursive calls, 2 for loops, some bookkeeping ops (e.g. lines 14, 34)
  - The 2 for loops (lines 19 to 32): clearly  $O(N)$
  - Lines 8, 14, 18, 26, 34: constant time; ignored compared to  $O(N)$
  - Recursive calls made on half the array size each :

$$2 * T(N/2)$$

SO: programme time is :

$$2 * T(N/2) + O(N) \text{ with } T(1) = 1$$

# Complexity analysis for Algorithm 3

$$T(1)=1$$

$$T(n) = 2T(n/2) + cn$$

$$= 2.(cn/2 + 2T(n/4) )+ cn$$

$$= 4T(n/4) + 2cn$$

$$= 8T(n/8) + 3cn$$

$$= \dots\dots\dots$$

$$= 2^i T(n/2^i) + icn$$

$$= \dots\dots\dots \text{ (reach a point when } n = 2^i \text{ } i=\log n$$

$$= n.T(1) + c n \log n$$

$$= n + c n \log n = \mathbf{O(n \log n)}$$

# Complexity analysis

## Algorithm 4

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```
1  /**
2   * Linear-time maximum contiguous subsequence sum algorithm.
3   */
4  int maxSubSum4( const vector<int> & a )
5  {
6      int maxSum = 0, thisSum = 0;
7
8      for( int j = 0; j < a.size( ); ++j )
9      {
10         thisSum += a[ j ];
11
12         if( thisSum > maxSum )
13             maxSum = thisSum;
14         else if( thisSum < 0 )
15             thisSum = 0;
16     }
17
18     return maxSum;
19 }
```

$T(N) = O(N)$  Obvious!

but the logic of the algorithm.  
is not obvious ???

# Complexity analysis

## Binary Search

- Given an integer  $X$  and integers  $A_0, A_1, A_2, \dots, A_{n-1}$  which are presorted.
- find  $i$  such that  $A_i = X$ , or
- return  $i = -1$  if  $X$  is not in the input.

### Solution 1

- Scanning through the list from left to right. Runs in linear time .
- this algorithm does not take advantage of the fact that the list is sorted .

### Solution 2 (better)

- Check if  $X$  is the middle. If so, the answer is found .
- If  $X <$  the middle , we can apply the same strategy to the sorted subarray to the left;
- likewise, if  $X >$  middle, we look to the right half.



# Complexity analysis

## Binary Search

### Algorithm 1

```
1  /**
2   * Performs the standard binary search using two comparisons per level.
3   * Returns index where item is found or -1 if not found.
4   */
5  template <typename Comparable>
6  int binarySearch( const vector<Comparable> & a, const Comparable & x )
7  {
8      int low = 0, high = a.size( ) - 1;
9
10     while( low <= high )
11     {
12         int mid = ( low + high ) / 2;
13
14         if( a[ mid ] < x )
15             low = mid + 1;
16         else if( a[ mid ] > x )
17             high = mid - 1;
18         else
19             return mid;    // Found
20     }
21     return NOT_FOUND;    // NOT_FOUND is defined as -1
22 }
```

## Algorithm 2

Search(num, A[], left, right)

```
{
    if (left = right)
    {
        if (A[left] = num)    return(left) and exit;
        else conclude NOT PRESENT and exit;
    }
    center = ⌊ (left + right)/2 ⌋;
    If (A[center] < num)
        Search(num, A[], center + 1, right);
    If (A[center] > num)
        Search(num, A[], left, center );
    If (A[center] = num) return(center) and exit;
}
```



# Complexity analysis

## Binary Search

### Algorithm 1

work done inside the loop takes  $O(1)$  per iteration

number of iterations ?

The number of iterations continues until the search space is reduced to 1 (or the target is found). The relationship can be described by:

$n, n/2, n/4, \dots, 1$

The number of iterations needed to reduce  $n$  to 1 is  $\log_2 n$ .

thus, the running time of Algo 1 is  $O(\log n)$

### Algorithm 2

$$T(n) = T(n/2) + C$$

the running time of Algorithm 2 is  $O(\log n)$

# Complexity analysis

## divide and conquer

# Master Theorem

Used to calculate time complexity of divide-and-conquer algorithms.

It applies to recurrence relations of the form:

$$T(n) = aT(n/b) + f(n)$$

where

- $n$  is the size of the input;
- $a$  is the number of subproblems in the recursion;
- $n/b$  is the size of each subproblem (all assumed to have the same size);
- $f(n)$ : cost of work done outside recursive calls.

$n/b$  might not be an integer, but replacing  $T(n/b)$  with  $\lceil T(n/b) \rceil$  or  $\lfloor T(n/b) \rfloor$  does not affect the asymptotic behavior of the recurrence.

# Complexity analysis

## divide and conquer

## Master Theorem “Basic Form”

The master theorem **compares** the function  $n^{\log_b a}$  to the function  **$f(n)$** .

- Intuitively, if  $n^{\log_b a}$  is **larger** (by a polynomial factor), then the solution is  $T(n) = \Theta(n^{\log_b a})$
- if  **$f(n)$**  is larger (by a polynomial factor), then the solution is  $T(n) = \Theta(f(n))$
- If they are the same size, then we multiply by a logarithmic factor.  $T(n) = \Theta(n^{\log_b a} \log n)$

# Complexity analysis

## divide and conquer

### Master Theorem “Basic Form”

Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  has the following asymptotic bounds:

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ . ■

# Master Theorem

These cases are not exhaustive—

- it is possible for  $f(n)$  to be asymptotically larger than  $n^{\log_b a}$ , but **not larger by a polynomial factor** (no matter how small the exponent in the polynomial is).

For example, this is true when :

$$f(n) = n^{\log_b a} \log n$$

- In this situation, the basic master theorem **would not apply**. If you need to solve this recurrence, you'd either have to use an the **advanced version of the Master Theorem**, or apply another method such as the **recursion tree** or **substitution method**

# Complexity analysis

## divide and conquer

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# Basic Form of Master Theorem

## Examples

$$T(n) = 9 T\left(\frac{n}{3}\right) + n$$

$$T(n) = T\left(\frac{2n}{3}\right) + 1$$

$$T(n) = 3 T\left(\frac{n}{4}\right) + n \log n$$

$$T(n) = 2 T\left(\frac{n}{2}\right) + n \log n$$

# Complexity analysis

## divide and conquer

# Master Theorem

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### Example 1

$$T(n) = 9 T\left(\frac{n}{3}\right) + n.$$

Here  $a = 9$ ,  $b = 3$ ,  $f(n) = n$ , and

$$n^{\log_b a} = n^{\log_3 9} = \theta(n^2)$$

Since  $f(n) = O(n^{\log_3 9 - \varepsilon})$  for  $\varepsilon = 1$ ,

case 1 of the Master Theorem applies, so  $T(n) = \theta(n^2)$

# Complexity analysis

## divide and conquer

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# Basic Form of the Master Theorem

## Example 2

$$T(n) = T\left(\frac{2n}{3}\right) + 1$$

Here  $a = 1$ ,  $b = 3/2$ ,  $f(n) = 1$ ,

$$n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$$

Since  $f(n) = \theta(n^{\log_b a})$ ,

case 2 of the master theorem applies, so  $T(n) = \theta(\log n)$ .



# Complexity analysis

## divide and conquer

# Master Theorem

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### Example 3

$$T(n) = 3 T\left(\frac{n}{4}\right) + n \log n$$

Here  $a = 3$ ,  $b = 4$ ,  $f(n) = n \log n$ ,

$$n^{\log_b a} = n^{\log_4 3} = n^{0.793}$$

For  $\varepsilon = 0.2$ , we have  $f(n) = \Omega(n^{\log_4 3 + \varepsilon})$ .

So case 3 applies if we can show that

$$a \cdot f\left(\frac{n}{b}\right) \leq c f(n) \quad \text{for some } c < 1 \text{ and all sufficiently large } n.$$

3.  $\frac{n}{4} \log \frac{n}{4} \leq c n \log n$  . Setting  $c = \frac{3}{4}$  would cause this condition to be satisfied.

so  $T(n) = \theta(n \log n)$ .

# Complexity analysis

## divide and conquer

# Basic Form of Master Theorem

## Example 4

$$T(n) = 2T\left(\frac{n}{2}\right) + n \log n$$

Here  $a = 2$ ,  $b = 2$ ,  $f(n) = n \log n$ ,

$$n^{\log_b a} = n^{\log_2 2} = n$$

Case 3 does not apply because even though  $n \log n$  is asymptotically larger than  $n$ , it is not polynomially larger. That is, the ratio  $\frac{f(n)}{n^{\log_b a}} = \log n$  is asymptotically less than  $n^\epsilon$  for all positive constants  $\epsilon$ .

# Complexity analysis

## Recursion

There are three methods for solving recurrences—that is, for obtaining asymptotic “ $\Theta$ ” or “ $O$ ” bounds on the solution:

- In the **substitution** method, we guess a bound and then use mathematical induction to prove our guess correct.
- The **recursion-tree** method converts the recurrence into a tree whose nodes represent the costs incurred at various levels of the recursion. We use techniques for bounding summations to solve the recurrence.
- The basic master theorem used to solve three cases of recurrences. In addition, the **advanced master theorem** which extends the basic version to handle more complex recurrences that may involve multiple terms or non-polynomial functions. This version allows for more flexibility in analyzing algorithms that do not fit neatly into the basic cases.