

# Theory of Computing:

## ***1. Introduction***



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# Outline :

- Problems
- Computation and Complexity
- Sets, Functions and Relations
- Alphabets, Strings and Languages
- Proof Techniques



# Problems

- Given some binary string of 0s and 1s ( Example : 01010111100111 ), How to ensure that the binary string contains only a **single 00 substring** ?
  - Pseudo-code ?
  - Programming Language ?



# Problems

- Given some binary string of 0s and 1s ( Example : 01010111100111 ), How to ensure that the binary string contains only a **single 00 substring** ?
  - Pseudo-code ?
  - Programming Language ?
  - **Running/Execution Environment ? PC ? Vending Machine ? Automated Door ? Washing Machine ? Hardware with limited memory ?**



# Problems

- Given a large text, find all valid emails within the text. Provided the email address:
  - Must contain @
  - Should contain any printable characters
  - Should have a valid domain extension (TLD)
  - ....
- Shall we write a C++/Python/Java/,,, code to do it ?

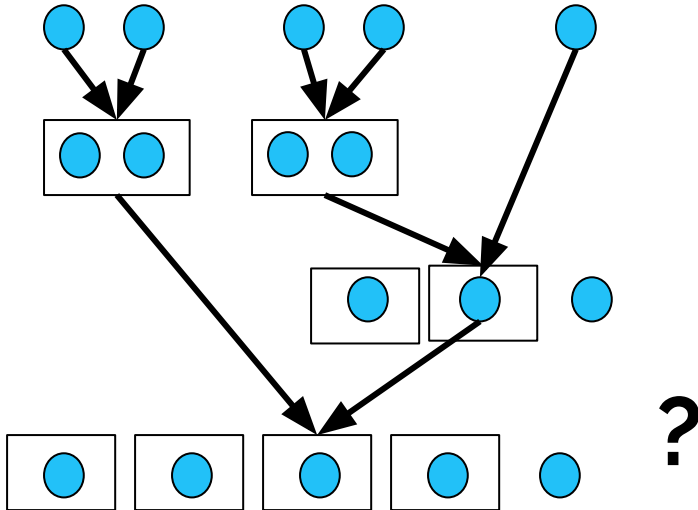
# Problems

- Given five items, can we sort them ascendingly using a two-pan scale ?
- How many operations we need to perform ?  
Any guess ?



# Problems

- Applying merge sort :



# Problems

- Given five items, can we sort them ascendingly using a two-pan scale ?
  - The condition is that we need to conduct only **7 operations MAX.**
- Which Algorithm to apply ? Merge ? Shell ? Bubble ? Insertion ?





# Problems

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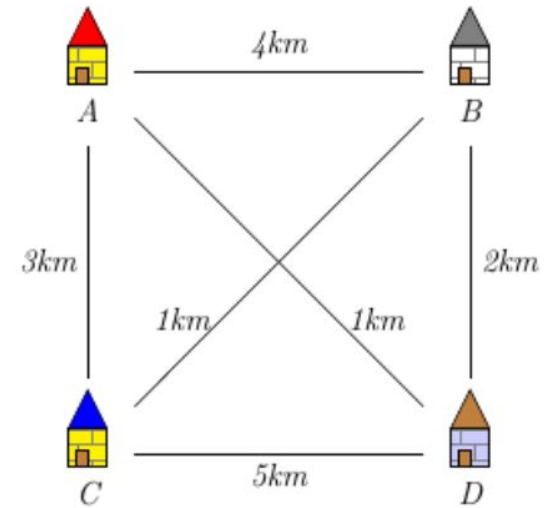
Optional Exercise  
Given as a challenge in 2022/2023

# Problems

- **Travelling Salesman :**

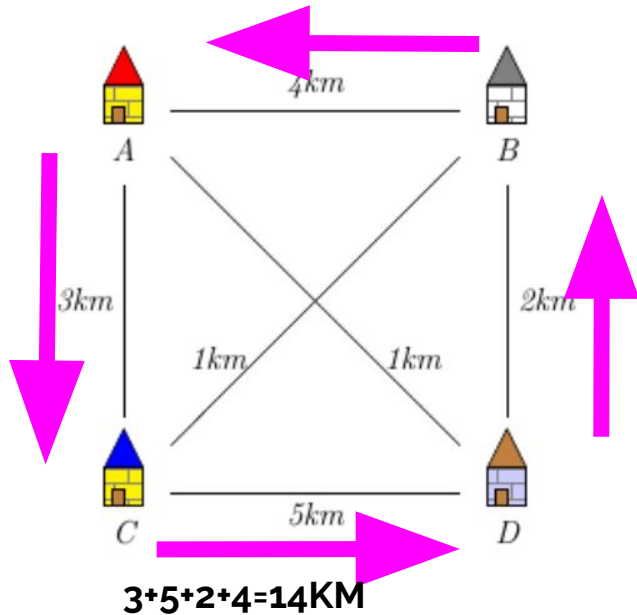
Given a list of cities and the distances between each pair of cities, what is the shortest possible route that visits each city and returns to the origin city?

Assume we start from **A**



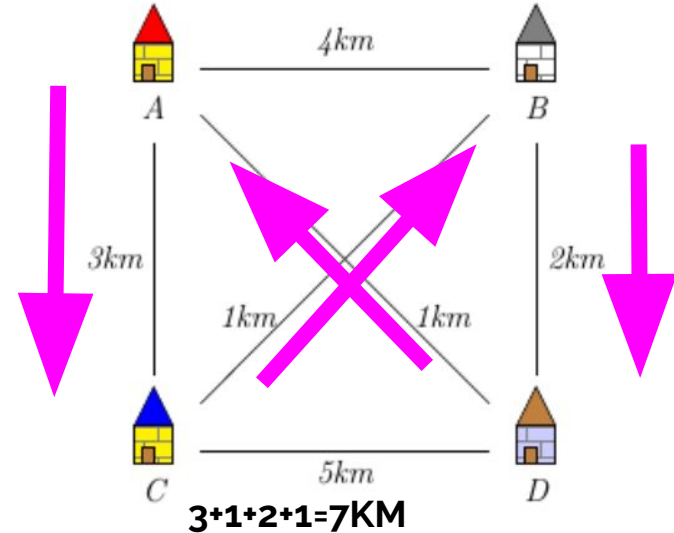
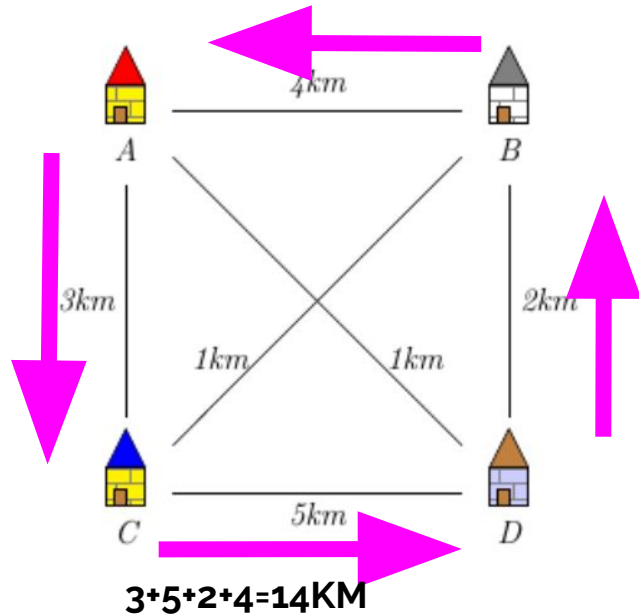
# Problems

- **Travelling Salesman** : Let's start from A :



# Problems

- **Travelling Salesman** : Let's start from A :





# Problems

- **Travelling Salesman :**

- How to solve the problem ? 4 cities ? Data structure ? Programming Language ?
- Problem instance involving 4 cities → Generalize it to N cities ?

# Problems

- Travelling Salesman :

Number of cities $n$	Number of paths $(n - 1)!/2$
3	1
4	3
5	12
6	60
7	360
8	2,520
9	20,160
10	181,440
15	43,589,145,600
20	$6.082 \times 10^{16}$
71	$5.989 \times 10^{99}$

# Problems

- Travelling Salesman :

Problem to be Solved in  
Logistics

Number of cities $n$	Number of paths $(n - 1)!/2$
3	1
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# Problems

- **Other problems :**

- **Partition Problem :** Given  $S$  a set of positive integers can be partitioned into two subsets  $S_1$  and  $S_2$  such that the sum of the numbers in  $S_1$  equals the sum of the numbers in  $S_2$

Example :

$\{1, 3, 4, 2, 5, 7, 8, 10\} \rightarrow \{1, 2, 7, 10\}$  and  $\{3, 4, 5, 8\}$  ( sum of each subset is 20 )





# Problems

- **Other problems :**

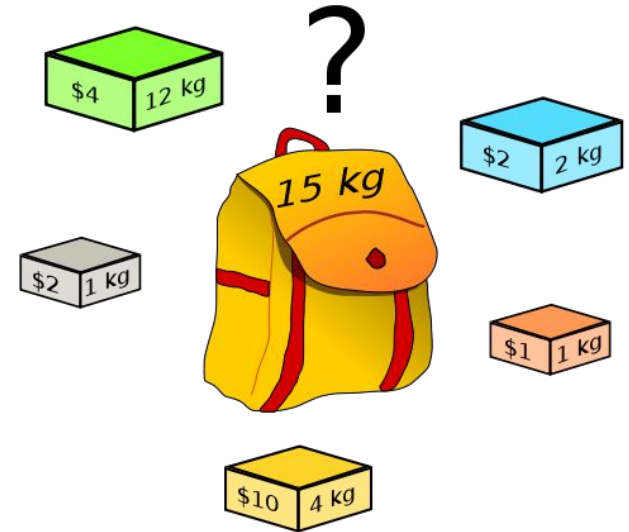
- **3-Partition Problem :** Given  $S$  as a set of integers can be partitioned into triplets that all have the same sum.
- Example :

The set  $S = \{20, 23, 25, 30, 49, 45, 27, 30, 30, 40, 22, 19\}$  can be partitioned into the four sets  $\{20, 25, 45\}, \{23, 27, 40\}, \{49, 22, 19\}, \{30, 30, 30\}$ , each of which sums to  $T = 90$ .

# Problems

- Other problems :

- **Knapsack problem** : Given a set of items, each with a **weight (kg)** and a **value (\$)**,  
→ Determine the number of each item to include in a collection so that the **total weight is less than or equal to a given limit** (For example : 15kg) and the total value is **as large as possible**.



# Problems

- **Other problems :**

- **Halting Problem :** does the following program terminate/halt:

```
input n;  
assume n>1;  
while (n !=1) {  
    if (n is even)  
        n := n/2;  
    else  
        n := 3*n+1;  
}
```

17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1.



# Problems

- **Other problems :**

- **A Diophantine quadratic equation :** Given three positive integers  $a, b, c$ , decide if the equation  $ax^2 + by = c$  has a solution in positive integers ( Unknown variables are  $x$  and  $y$ ) .
  - **Example :**  $x^2 + 2xy - y^3 = 13$ , the solution is :  $x=3$  and  $y=2$
  - What about :  $x^2 - y^2 = 2$  ?
  - Can we write a program to brute force all integers or even real numbers ?
  - Or even prove that such equation has no solution at all ?

# Computation and Complexity



- The previous problems :
  - Can we solve them on a computer ? are they computable ?
  - If they are computable : how efficient ? few seconds ? few years ?

# Computation and Complexity



- Theory of Computation :
  - Is a branch of Computer Science/Mathematics dealing with how **efficiently** problems can be **computed**/solved on a **model of computation** using an algorithm
  - The field covers three major axes :
    - Automata theory
    - Computability Theory
    - Complexity Theory

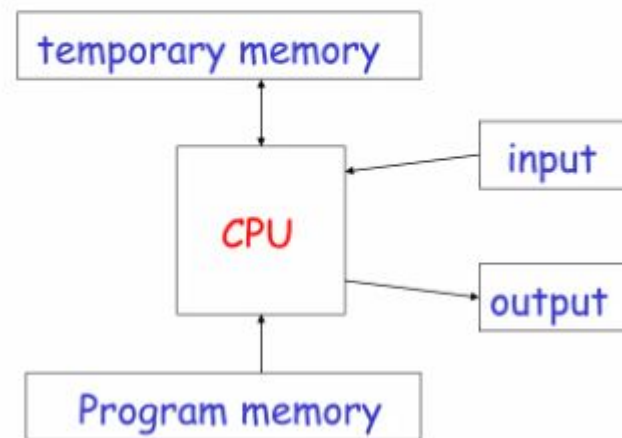
# Computation and Complexity



- Computational Model :
  - Is a set of allowed rules for information processing :
    - Taking some input
    - Processing a set of instruction complying with certain rules
    - Giving an output after completing the processing
      - For decision problems, the output can be :
        - Accept/Yes/True...
        - Reject/No/False...

# Computation and Complexity

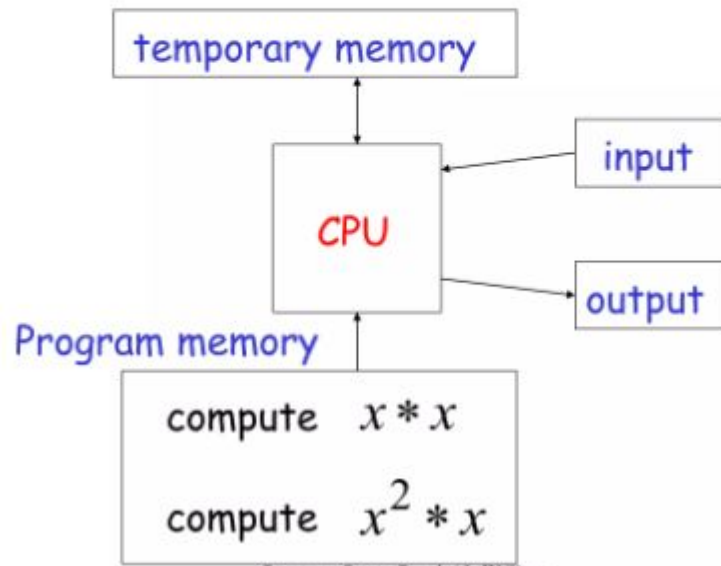
- The computer is considered as a **physical** instance for a computational model.





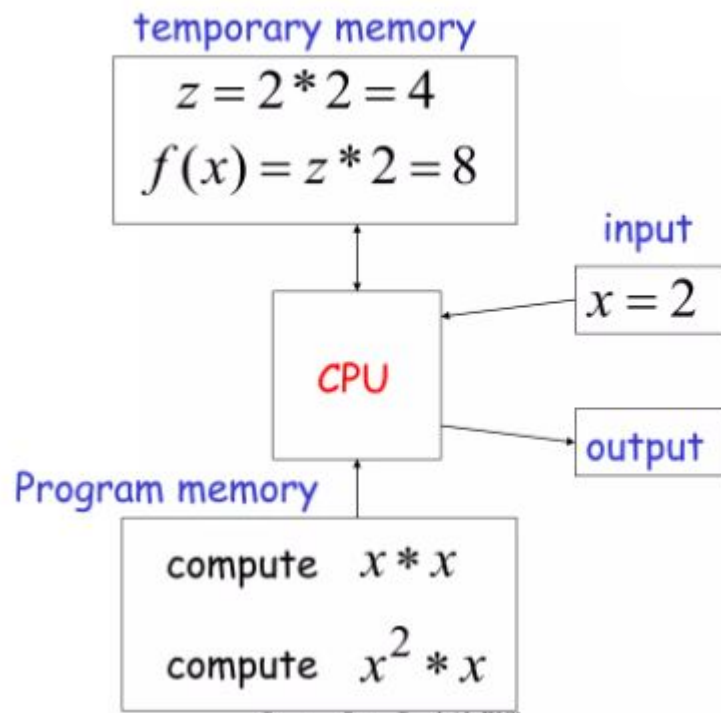
# Computation and Complexity

- Given the following problem:
  - Compute the  $x^3$  for a given number.
- The sequence of instruction is stored into the program memory section



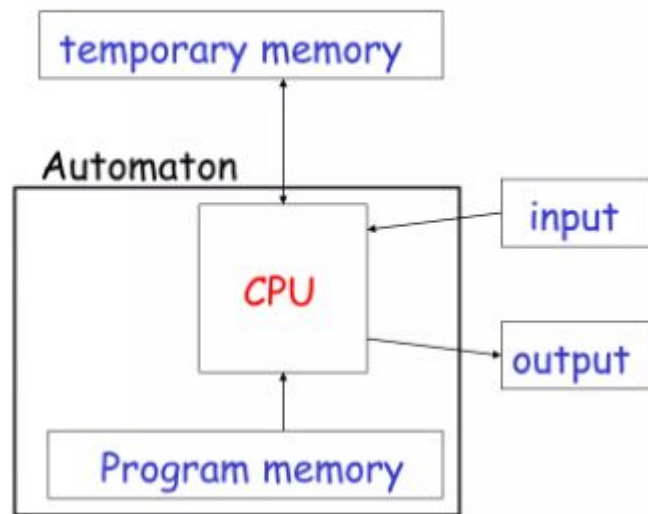
# Computation and Complexity

- Given the following problem:
  - Compute the  $x^3$  for a given number.
- The sequence of instruction is stored into the program memory section
- 2 is given as input
- Temporary memory is utilized for **processing information**



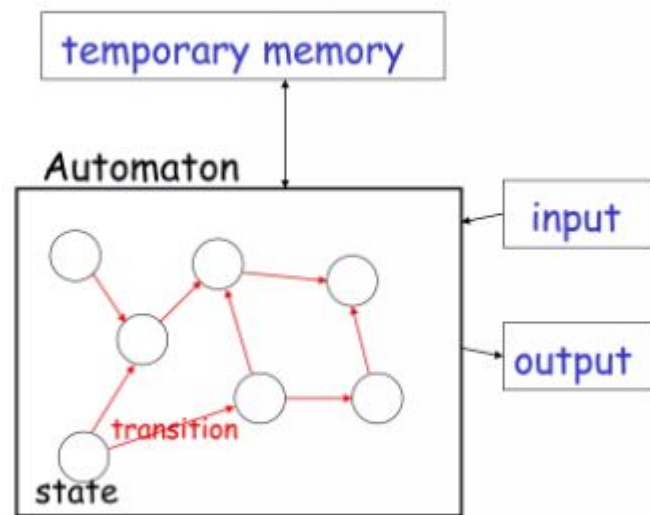
# Computation and Complexity

- The part for processing the information or solving a given problem is the **Automaton**



# Computation and Complexity

- The part for processing the information or solving a given problem is the **Automaton**
- In this case : CPU + Program memory for a physical device is replaced by:
  - States
  - Transitions



# Computation and Complexity



- Automaton :
  - Literal Meaning : a device that can do “things” on its own or a self-operating machine
    - Plural ( Automata )
  - In this course :
    - **An abstract device** for processing information to solve a given problem. ( no labs, only pen and paper)

# Computation and Complexity



- Automaton :
  - *are abstract models of machines that perform computations on an input by moving through a series of states or configurations.*
  - *At each state of the computation, a transition function determines the next configuration on the basis of a finite portion of the present configuration.*
  - *As a result, once the computation reaches an accepting configuration, it accepts that input. The most general and powerful automata is the Turing machine*

# Computation and Complexity



- There are different types of automata including :
  - *Finite Automata ( no temporary memory)*
  - *Pushdown Automata (has a stack )*
  - *Turing Machines ( Random access memory )*

# Computation and Complexity

- That's enough as an introduction, for general knowledge , read the biographies of the following scientists: (There will be some quiz questions about them)



Alan



Kurt Gödel



Alonzo Church



Stephen Kleene



# Sets and Languages

- A set is a collection of elements :
  - $A = \{ 1, 2, 3 \}$
  - $B = \{ \text{car}, \text{train}, \text{bus}, \text{plane} \}$
- Conditions:
  - There should be no **duplicate elements** in a set.
  - The order of elements is not important for sets:
    - $A = \{ 1, 2, 3 \} = \{ 2, 1, 3 \}$
- Operations of elements on a set:
  - $1 \in A$  ( 1 in A or 1 is a member of A)
  - $1 \notin B$  ( 1 is not in B )

# Sets and Languages

- Finite set :
  - Limited or countable number of elements
  - Example :
    - $C = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$
    - $C = \{1, 2, 3, \dots, 10\}$  ( the use of  $\dots$  for a finite set.)
- Infinite Set:
  - Example :
    - $S = \{1, 2, 3, 4, \dots\}$
    - $Z = \{\dots, -2, -1, 0, 1, 2, \dots\}$

# Sets and Languages

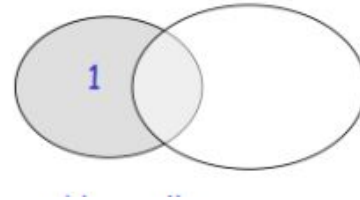
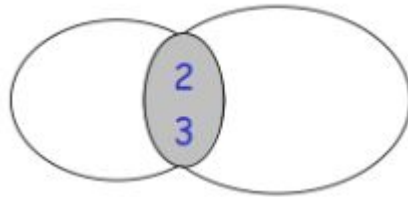
- Empty set :
  - Contains zero element : nothing
  - We use the symbol :  $\emptyset$
  - Examples :
    - $C = \{ \}$
    - $C = \emptyset$
    - $C = \{ \emptyset \}$  ?
    - $C = \{ \{ \} \}$  ?

# Sets and Languages

- Formal Description of a set:
  - $C = \{j \mid j > 0 \text{ and } j < 10\}$
  - $E = \{x \mid x \text{ is positive and even}\}$
- Explicit Listing of a Set :
  - $C = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$
  - $E = \{2, 4, 6, 8, \dots\}$
- Informal Description of a Set :
  - Given as in plain language to describe the elements of a set.  
"The set of even numbers"

# Sets and Languages

- Venn Diagram :
  - Is a graphical representation of sets



# Sets and Languages

- **Operations on Sets**

Given the following sets :  $A = \{ 1, 2, 3 \}$  and  $B = \{ 2, 3, 4, 5 \}$

- **Union :**

- $A \cup B = \{ 1, 2, 3, 4, 5 \}$

- **Intersection**

- $A \cap B = \{ 2, 3 \}$

- **Difference**

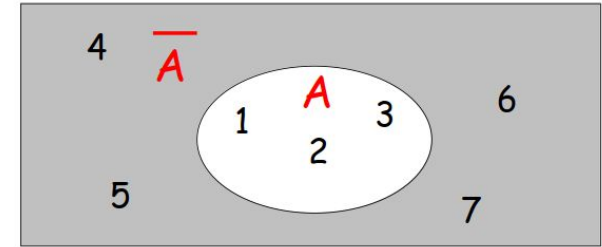
- $A - B = \{ 1 \}$

- vs

- $B - A = \{ 4, 5 \}$

# Sets and Languages

- Universal Set :
  - The big set containing particular elements
    - Example :  $U = \{1, 2, 3, 4, 5, 6, 7\}$
  - Smaller sets can be created from the Universal set
- Complement of Set :
  - Is written as :  $\overline{E}$
  - Contains the elements from the Universal set and **not contained** in E



# Sets and Languages

- DeMorgan's Law

$$\overline{A \cup B} = \bar{A} \cap \bar{B}$$

$$\overline{A \cap B} = \bar{A} \cup \bar{B}$$



# Sets and Languages

- **Subset :**

- A set A is **a subset of** a set B if and only if everything in A is also in B.  
In other words : all elements of A, are included also in the set B.

$$A \subseteq B$$

- **Proper Subset :**

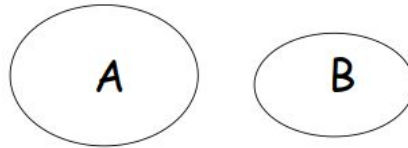
- If A is a subset B and  $A \neq B$ , then A is a proper subset of B denoted as :

$$A \subset B$$

# Sets and Languages

- **Disjoint Sets :**

- A and B are disjoint sets if they have no common elements.
- $A \cap B = \emptyset$



# Sets and Languages

- Set Cardinality :
  - Refers to the number of the elements within a set
    - $A = \{ 2, 6, 8 \}$
    - $|A| = 3$

# Sets and Languages

- **Power set :**

- The set of **all subsets** of a set  $A$  is called the power set of  $A$  and denoted either by
  - $2^A$
  - $\mathcal{P}(A)$
- Example :  $A = \{a, b, c\}$
- $2^A = \{ \emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\} \}$
- Cardinality of the power set is :  $2^{|A|} = 2^3 = 8$

# Sets and Languages

- **Sequence :**

- Is a list of elements with a **specific order**
- Denoted as :
  - $A = (1, 2, 3, 4)$
  - $B = (2, 1, 3, 4)$

A is not equal to be B
- Can be infinite or finite.

- **Tuple :**

- A finite sequence can be called Tuple.
- Tuple with K elements can be called : ***K-tuple***
- ***2-Tuple*** can be called : **ordered pair**.

# Sets and Languages

- Cartesian Products:

- The set of all ordered pairs  $(a, b)$ , where  $a$  is an element of  $A$  and  $b$  is an element of  $B$ ,
- Denoted as :  $A \times B$
- Example:
  - For two sets :  $A = \{ 2, 4 \}$  and  $B = \{ 2, 3, 5 \}$
  - $A \times B = \{ (2, 2), (2, 3), (2, 5), (4, 2), (4, 3), (4, 5) \}$
- Cardinality :
  - $|A \times B| = |A| \times |B|$
- Same for more than two sets (Example  $A \times B \times C \times D \Rightarrow$  will produce 4-tuples of type  $(a, b, c, d)$ )

# Sets and Languages

- **Functions :**

- It is a relationship which takes an input and produces an output.
- It is sometimes called a **mapping** *L*
  - $f(a)=b : f \text{ maps } a \text{ to } b : f$
  - $a \mapsto b$
- The possible values that the function can take as input is called : **domain**
- The output values come from the set called : **range**
- The notation of the function with respect to its domain and range :
  - $f : D \rightarrow R$  ( *for the case of a function that takes a single input/argument at a time*).

# Sets and Languages

- **Predicate or Property :**

- It is a special function whose range ( outputs' set ) is { True, False }
- Example : function  $\text{even}(x)$

- **Relation :**

- A **property** whose domain is a ***k-tuple*** is called a **relation**
- Examples:
  - “Less\_than” :  $\mathbb{N} \times \mathbb{N} \rightarrow \{\text{True}, \text{False}\}$



# Sets and Languages

- **Relation :**

- Relation can be written in the form of a set considering only the **true** tuples
- Example :  $R = \{ (x_1, y_1), (x_2, y_2), (x_3, y_3), \dots \}$ 
  - If :  $x_i \in A$  and  $y_i \in B$
  - Then :  $R \subseteq A \times B$
- The following notation can be also used :
  - $x_i R y_i$  to denote  $(x, y) \in R$

# Sets and Languages

- **Equivalence Relations:**

- Reflexive :

- $x R x$

- Symmetric :

- $x R y \rightarrow y R x$

- Example :

- Transitive:

- $x R y$  **and**  $y R z \rightarrow x R z$

# Languages

- **Symbol :**
  - Atomic unit such as : a , 1, #, True, False ( We cannot split into other units)
- **Alphabet :**
  - **Finite** set of symbols
  - Should not be empty
  - Usually denoted by Sigma :  $\Sigma$
  - Examples :
    - Binary Alphabet :  $\Sigma = \{ 0, 1 \}$
    - English Alphabet :  $\Sigma = \{ a...z, A ...Z \}$
    - Binary Alphabet :  $\Sigma = \{ a, b \}$
    - Unary Alphabet :  $\Sigma = \{ z \}$

# Languages

- **String :**

- is a word with a **finite** set of symbols taken from the defined set of Alphabet.
- The empty string is denoted by  $\varepsilon$
- $|x|$  = the length of the string  $x$
- Examples:
  - $x = \text{abababab}$  from :  $\Sigma = \{ a, b \}$
  - $x = 1111011$  from  $\Sigma = \{ 0, 1 \}$
  - $x = \text{ENSIA}$   $\Sigma = \{ a, \dots, z, A, \dots, Z, \text{SPACE} \}$

# Languages

- **Powers of An Alphabet : Sets of Strings :**

- $\Sigma$  is defined as the alphabet
- $\Sigma^k$  = is the set of all strings composed from the alphabet  $\Sigma$  and **have a length of k**
- $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \Sigma^4 \cup \dots$  = the set of all strings from the alphabet  $\Sigma$ 
  - $\Sigma^*$  Called the universal set of all strings
- $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \Sigma^4 \cup \dots$  : All strings excluding the empty string
- Examples for  $\Sigma = \{ 1, 0 \}$ 
  - $\Sigma^2 = \{ 00, 01, 11, 10 \}$
  - $\Sigma^4 = \{ 0011, 1011, 1111, 1110, \dots \}$
  - $\Sigma^* = \{ \epsilon, 0, 1, 00, 01, 11, 000, 001, \dots \}$

# Languages

- **Powers of An Alphabet : Sets of Strings :**

- If the alphabet  $\Sigma=\{0,1\}$
- $\Sigma^*$  can be also written as :  $\{0,1\}^*$

# Languages

- **Language :**

- Not just words : but rules also
- Is a subset of strings of  $\Sigma^*$  complying with the language rules over the alphabet  $\Sigma$
- Examples :
  - Set of words which begin with the letter b
  - Language is Algebraic expressions :  $(1+3)x2$  (Must comply with the rules!)
  - $\{x \in \{0, 1\}^* \mid |x| > 2 \text{ and } x \text{ begins and ends with } 1\}$
  - The language of Balanced Parentheses :  $\{\epsilon, (), (()), ((())), \dots\}$  over  $\Sigma = \{ (, ) \}$



# Proving Techniques

- Mathematical theories are constructed starting with some fundamental assumptions, called **axioms**
- A **proof** is a **convincing** logical argument that a statement is true. It must involve a proof technique with clear steps showing how to arrive logically into the final statement.
- A **theorem** is a mathematical statement proved true.



# Proving Techniques



- By Contradiction:
  - For a given statement,
    - We assume that the theorem is false
    - Then show that this assumption leads to an obviously false or contradiction
    - This leads to infer that the original statement is true.

# Proving Techniques

- By Contrapositive:
  - For a given implication :  $A \rightarrow B$ 
    - Example : if  $x$  is even , it implies that  $x^2$  is even too.
  - It is equivalent to prove its contrapositive to :  $\sim B \rightarrow \sim A$ 
    - *If  $x^2$  is not even, then it implies that  $x$  is not even*
    - *We assume that  $x^2$  is not even, therefore,  $x^2=2n+1$*
    - *Assume that  $x$  is even,,,  $(2m)^2=2n+1$  ,,  $2^2(m)^2=2n+1$  ? Contradiction as an even can never be odd at the same time, so  $x$  is odd.*
    - *By Contraposition,...*

# Proving Techniques

- By Induction
- By Construction
- By Counter-Example