



ICS141: Discrete Mathematics for Computer Science I

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Lecture 16

Chapter 3. The Fundamentals

3.3 Complexity of Algorithms

3.4 The Integers and Division

3.3 Complexity of Algorithms

- An algorithm must always produce the correct answer, and should be efficient.
- How can the efficiency of an algorithm be analyzed?
- The **algorithmic complexity** of a computation is, most generally, a measure of how *difficult* it is to perform the computation.
- That is, it measures some aspect of the *cost* of computation (in a general sense of “cost”).
 - Amount of resources required to do a computation.
- Some of the most common complexity measures:
 - “Time” complexity: # of operations or steps required
 - “Space” complexity: # of memory bits required

Our focus



Complexity Depends on Input

- Most algorithms have different complexities for inputs of different sizes.
 - *E.g.* searching a long list typically takes more time than searching a short one.
- Therefore, complexity is usually expressed as a *function* of the input size.
 - This function usually gives the complexity for the *worst-case* input of any given length.



Worst-, Average- and Best-Case Complexity



- A **worst-case complexity** measure estimates the time required for the most time consuming input of each size.
- An **average-case complexity** measure estimates the average time required for input of each size.
- An **best-case complexity** measure estimates the least time consuming input of each size.



Example 1: Max algorithm

■ Problem:

Find the *simplest form* of the *exact* order of growth (Θ) of the *worst-case* time complexity of the *max* algorithm, assuming that each line of code takes some constant time every time it is executed (with possibly different times for different lines of code).

Complexity Analysis of *max*

procedure *max*(a_1, a_2, \dots, a_n : integers)

$v := a_1$ t_1

for $i := 2$ **to** n t_2

if $a_i > v$ **then** $v := a_i$ t_3

return v t_4

- First, what is an expression for the *exact* total worst-case time? (Not its order of growth.)
 - t_1 : once
 - t_2 : $n - 1 + 1$ times
 - t_3 (comparison): $n - 1$ times
 - t_4 : once

Complexity Analysis (*cont.*)

- Worst-case time complexity

$$\begin{aligned}t(n) &= t_1 + t_2 + t_3 + t_4 \\&= 1 + (n - 1 + 1) + (n - 1) + 1 \\&= 2n + 1\end{aligned}$$

- In terms of the number of **comparisons** made

$$\begin{aligned}t(n) &= t_2 + t_3 \\&= (n - 1 + 1) + (n - 1) \\&= 2n - 1\end{aligned}$$

of
comparisons

- Now, what is the simplest form of the exact (Θ) order of growth of $t(n)$?

$$t(n) = \Theta(n)$$

Example 2: Linear Search

- In terms of the number of comparisons

procedure *linear_search* (x : integer,
 a_1, a_2, \dots, a_n : distinct integers)

$i := 1$

while ($i \leq n \wedge x \neq a_i$) $t_{11} \ \& \ t_{12}$

$i := i + 1$

if $i \leq n$ **then** $location := i$ t_2

else $location := 0$

return $location$

Linear Search Analysis

- Worst case time complexity:

$$t(n) = t_{11} + t_{12} + t_2$$

of
comparisons

$$= (n + 1) + n + 1 = 2n + 2 = \Theta(n)$$

- Best case: $t(n) = t_{11} + t_{12} + t_2 = 1 + 1 + 1 = \Theta(1)$
- Average case, if item is present:

$$\begin{aligned} t(n) &= \frac{3 + 5 + 7 + \cdots + (2n + 1)}{n} = \frac{2(1 + 2 + \cdots + n) + n}{n} \\ &= \frac{2[n(n + 1) / 2]}{n} + 1 = n + 2 = \Theta(n) \end{aligned}$$



Example 3: Binary Search

procedure *binary_search* (x :integer, a_1, a_2, \dots, a_n :
distinct integers, sorted smallest to largest)

$i := 1$

$j := n$

Key question:

How many loop iterations?

while $i < j$ **begin**

$m := \lfloor (i + j)/2 \rfloor$

if $x > a_m$ **then** $i := m + 1$ **else** $j := m$

end

if $x = a_i$ **then** $location := i$ **else** $location := 0$

return $location$

t_1

t_2

t_3

Binary Search Analysis

- Suppose that n is a power of 2, i.e., $\exists k: n = 2^k$.
- Original range from $i = 1$ to $j = n$ contains n items.
- Each iteration: Size $j - i + 1$ of range is cut in half.
 - Size decreases as $2^k, 2^{k-1}, 2^{k-2}, \dots$
- Loop terminates when size of range is $1 = 2^0$ ($i = j$).
- Therefore, the number of iterations is: $k = \log_2 n$

$$t(n) = t_1 + t_2 + t_3$$

$$= (k + 1) + k + 1 = 2k + 2 = 2\log_2 n + 2 = \Theta(\log_2 n)$$

- Even for $n \neq 2^k$ (not an integral power of 2), time complexity is still the same.



Analysis of Sorting Algorithms

- Check out

Rosen 3.3 Example 5 and Example 6
for worst-case time complexity of bubble sort
and insertion sort algorithms in terms of the
number of comparisons made.



Bubble Sort Analysis

procedure *bubble_sort* (a_1, a_2, \dots, a_n : real numbers
with $n \geq 2$)

for $i := 1$ **to** $n - 1$

for $j := 1$ **to** $n - i$

if $a_j > a_{j+1}$ **then** interchange a_j and a_{j+1}

$\{a_1, a_2, \dots, a_n$ is in increasing order $\}$

- Worst-case complexity in terms of the number of comparisons: $\Theta(n^2)$



Insertion Sort

```
procedure insertion_sort ( $a_1, a_2, \dots, a_n$ : real numbers;  $n \geq 2$ )  
  for  $j := 2$  to  $n$   
  begin  
     $i := 1$   
    while  $a_j > a_i$   
       $i := i + 1$   
     $m := a_j$   
    for  $k := 0$  to  $j - i - 1$   
       $a_{j-k} := a_{j-k-1}$   
     $a_i := m$   
  end  $\{a_1, a_2, \dots, a_n$  are sorted in increasing order $\}$ 
```

- Worst-case complexity in terms of the number of comparisons: $\Theta(n^2)$

Common Terminology for the Complexity of Algorithms



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TABLE 1 Commonly Used Terminology for the Complexity of Algorithms.

<i>Complexity</i>	<i>Terminology</i>
$\Theta(1)$	Constant complexity
$\Theta(\log n)$	Logarithmic complexity
$\Theta(n)$	Linear complexity
$\Theta(n \log n)$	$n \log n$ complexity
$\Theta(n^b)$	Polynomial complexity
$\Theta(b^n)$, where $b > 1$	Exponential complexity
$\Theta(n!)$	Factorial complexity

Computer Time Examples

- Assume that time = 1 ns (10^{-9} second) per operation, problem size = n bits, and #ops is a function of n .

	(1.25 bytes)	(125 kB)
$\#ops(n)$	$n = 10$	$n = 10^6$
$\log_2 n$	3.3 ns	19.9 ns
n	10 ns	1 ms
$n \log_2 n$	33 ns	19.9 ms
n^2	100 ns	16 m 40 s
2^n	1.024 μ s	$10^{301,004.5}$
$n!$	3.63 ms	Ouch!



Review: Complexity

- Algorithmic complexity = *cost* of computation.
- Focus on *time* complexity for our course.
 - Although space & energy are also important.
- Characterize complexity as a function of input size:
 - Worst-case, best-case, or average-case.
- Use orders-of-growth notation to concisely summarize the growth properties of complexity functions.
- Need to know
 - Names of specific orders of growth of complexity.
 - How to analyze the order of growth of time complexity for simple algorithms.



Tractable vs. Intractable

- A problem that is solvable using an algorithm with at most polynomial time complexity is called ***tractable*** (or *feasible*).
P is the set of all tractable problems.
- A problem that cannot be solved using an algorithm with worst-case polynomial time complexity is called ***intractable*** (or *infeasible*).
- Note that $n^{1,000,000}$ is *technically* tractable, but **really very hard**. $n^{\log \log \log n}$ is *technically* intractable, but **easy**. Such cases are rare though.



P vs. NP

- **NP** is the set of problems for which there exists a **tractable algorithm for *checking a proposed solution*** to tell if it is correct.
- We know that **$P \subseteq NP$** , but the most famous unproven conjecture in computer science is that this inclusion is *proper*.
 - *i.e.*, that **$P \subset NP$** rather than **$P = NP$** .
- Whoever first proves this [^] will be famous!

(or disproves it!)



3.4 The Integers and Division

- Of course, you already know what the integers are, and what division is...
- **But:** There are some specific notations, terminology, and theorems associated with these concepts which you may not know.
- These form the basics of *number theory*.
 - *Number theory* is vital in many today important algorithms (hash functions, cryptography, digital signatures,...).



Divides, Factor, Multiple

- Let $a, b \in \mathbf{Z}$ with $a \neq 0$.
- **Definition:** $a|b \Leftrightarrow$ “ a **divides** b ” $\Leftrightarrow (\exists c \in \mathbf{Z}: b = ac)$
“There is an integer c such that c times a equals b .”
 - Example: $3|-12$ (**True**), but $3|7$ (**False**).
- If a divides b , then we say a is a **factor** or a **divisor** of b , and b is a **multiple** of a .
- E.g.: “ b is even” $\Leftrightarrow 2|b$.



The Divides Relation

- **Theorem:** $\forall a, b, c \in \mathbf{Z}$:

1. $a|0$

2. $(a|b \wedge a|c) \rightarrow a|(b + c)$

3. $a|b \rightarrow a|bc \quad \forall c \in \mathbf{Z}$

4. $(a|b \wedge b|c) \rightarrow a|c$

- **Corollary:** $\forall a, b, c \in \mathbf{Z}$

- $(a|b \wedge a|c) \rightarrow a|(mb + nc), m, n \in \mathbf{Z}$



Proof of (2)

- Show $\forall a, b, c \in \mathbf{Z}: (a|b \wedge a|c) \rightarrow a|(b + c)$.
 - Let a, b, c be any integers such that $a|b$ and $a|c$, and show that $a|(b + c)$.
 - By definition of $|$, we know $\exists s \in \mathbf{Z}: b = as$, and $\exists t \in \mathbf{Z}: c = at$. Let s, t , be such integers.
 - Then $b + c = as + at = a(s + t)$, so $\exists u \in \mathbf{Z}: b + c = au$, namely $u = s + t$.
Thus $a|(b + c)$.

The Division “Algorithm”

- It’s really just a *theorem*, not an algorithm...
 - Only called an “algorithm” for historical reasons.
- **Theorem:** For any integer *dividend* a and *divisor* $d \in \mathbb{Z}^+$, there are unique integers *quotient* q and *remainder* $r \in \mathbb{N}$ such that $a = dq + r$ and $0 \leq r < d$. Formally, the theorem is:

$$\forall a \in \mathbb{Z}, d \in \mathbb{Z}^+: \exists! q, r \in \mathbb{Z}: 0 \leq r < d, a = dq + r$$
- We can find q and r by: $q = \lfloor a/d \rfloor, r = a - dq$