



# ICS141: Discrete Mathematics for Computer Science I

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# Lecture 23

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## Chapter 4. Induction and Recursion

### 4.5 Program Correctness

## Chapter 5. Counting

### 5.1 The Basics of Counting



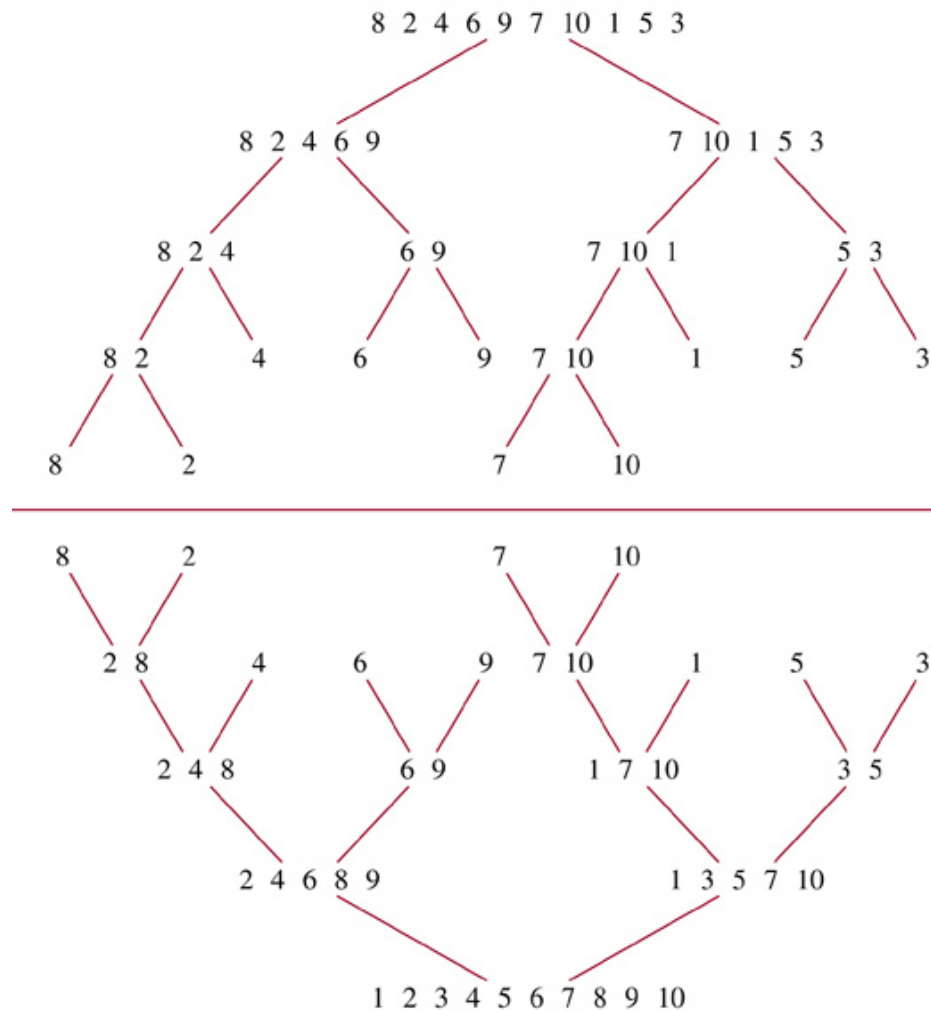
# Quiz

- Develop a recursive procedure for computing the minimum item in a list of integer numbers.
- Give is the recursive definition:
  - $f(0) = f(1) = 2$
  - $f(n+1) = f(n) * f(n-1)$
  - Develop a recursive procedure for this definition
  - What is your most time-efficient way to compute  $f(n)$ ?
  - What are the complexities of the recursive method and of yours?



# Recursive Merge Sort Example

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**Split**

**Merge**



# Recursive Merge Sort

```
procedure mergesort( $L = \ell_1, \dots, \ell_n$ )  
  if  $n > 1$  then  
     $m := \lfloor n/2 \rfloor$  {this is rough  $\frac{1}{2}$ -way point}  
     $L_1 := \ell_1, \dots, \ell_m$   
     $L_2 := \ell_{m+1}, \dots, \ell_n$   
     $L := \text{merge}(\text{mergesort}(L_1), \text{mergesort}(L_2))$   
  return  $L$ 
```

- The merge takes  $\Theta(n)$  steps, and merge-sort takes  $\Theta(n \log n)$ .



# Merging Two Sorted Lists

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**TABLE 1** Merging the Two Sorted Lists 2, 3, 5, 6 and 1, 4.

<i>First List</i>	<i>Second List</i>	<i>Merged List</i>	<i>Comparison</i>
2 3 5 6	1 4		$1 < 2$
2 3 5 6	4	1	$2 < 4$
3 5 6	4	1 2	$3 < 4$
5 6	4	1 2 3	$4 < 5$
5 6		1 2 3 4	
		1 2 3 4 5 6	

# Recursive Merge Method

**procedure** *merge*( $A, B$ : sorted lists)

{Given two sorted lists  $A = (a_1, \dots, a_{|A|})$ ,  
 $B = (b_1, \dots, b_{|B|})$ , return a sorted list of all.}

**if**  $A = \text{empty}$  **return**  $B$  {If  $A$  is empty, it's  $B$ .}

**if**  $B = \text{empty}$  **return**  $A$  {If  $B$  is empty, it's  $A$ .}

**if**  $a_1 < b_1$  **then**

$L := (a_1, \text{merge}((a_2, \dots, a_{|A|}), B))$

**else**

$L := (b_1, \text{merge}(A, (b_2, \dots, b_{|B|})))$

**return**  $L$



# Merge Routine

**procedure** *merge*( $A, B$ : sorted lists)

$L$  = empty list

$i:=0, j:=0, k:=0$

**while**  $i < |A| \wedge j < |B|$   $\{|A|$  is length of  $A\}$

**if**  $i=|A|$  **then**  $L_k := B_j; j := j + 1$

**else if**  $j=|B|$  **then**  $L_k := A_i; i := i + 1$

**else if**  $A_i < B_j$  **then**  $L_k := A_i; i := i + 1$

**else**  $L_k := B_j; j := j + 1$

$k := k+1$

**return**  $L$

Takes  $\Theta(|A|+|B|)$  time





# Program Correctness

- We want to be able to **prove** that a given program meets the intended specifications.
  - This can often be done manually, or even by *automated program verification* tools.
- A program is **correct** if it produces the correct output for every possible input.
- A program is **partially correct** if it produces the correct output for every input for which the program eventually halts.

# Initial & Final Assertions

- A program's I/O specification can be given using *initial* and *final* assertions.
  - The *initial assertion*  $p$  is the condition that the program's input (its initial state) is guaranteed to satisfy (by its user).
  - The *final assertion*  $q$  is the condition that the output produced by the program (in its final state) is required to satisfy.
- *Hoare triple* notation:
  - The notation  $p\{S\}q$  means that, for all inputs  $I$  such that  $p(I)$  is true, if program  $S$  (given input  $I$ ) halts and produces output  $O = S(I)$ , then  $q(O)$  is true.
    - That is,  $S$  is partially correct with respect to specification  $p, q$ .



# A Trivial Example

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- Let  $S$  be the program fragment “ $y := 2; z := x + y$ ”
- Let  $p$  be the initial assertion “ $x = 1$ ”.
  - The variable  $x$  will hold 1 in all initial states.
- Let  $q$  be the final assertion “ $z = 3$ ”.
  - The variable  $z$  must hold 3 in all final states.
- Prove  $p\{S\}q$ .
  - **Proof:** If  $x = 1$  in the program’s input state, then after running  $y := 2$  and  $z := x + y$ ,  $z$  will be  $1 + 2 = 3$ .

# Hoare Triple Inference Rules

- Deduction rules for Hoare Triple statements.
- A simple example: the ***composition rule***:

$$\frac{p\{S_1\}q \quad q\{S_2\}r}{\therefore p\{S_1; S_2\}r}$$

- **It says:** If program  $S_1$  given condition  $p$  produces condition  $q$ , and  $S_2$  given  $q$  produces  $r$ , then the program “ $S_1$  followed by  $S_2$ ”, if given  $p$ , yields  $r$ .

# Inference Rule for *if* Statements

- Program segment that is the conditional statement

**if** *condition* **then**

*S*

- Rule of inference

$$\frac{(p \wedge \text{condition})\{S\}q \quad (p \wedge \neg \text{condition}) \rightarrow q}{\therefore p\{\mathbf{if\ condition\ then\ } S\}q}$$

Initial assertion

Final assertion

- Example: Show that  $\mathbf{T}\{\mathbf{if\ } x > y \mathbf{\ then\ } y := x\} y \geq x$ .

- **Proof:** When the initial assertion is true and if  $x > y$ , then the **if** body is executed, which sets  $y = x$ , and so afterwards  $y \geq x$  is true. Otherwise,  $x \leq y$  and so  $y \geq x$ . In either case  $y \geq x$  is true. So the fragment meets the specification.

# *if-then-else* Rule

- Program segment that is the conditional statement

**if** *condition* **then**

$S_1$

**else**

$S_2$

- Rule of inference

$(p \wedge \text{condition})\{S_1\}q$

$(p \wedge \neg \text{condition})\{S_2\}q$

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$\therefore p\{\mathbf{if\ condition\ then\ } S_1 \mathbf{\ else\ } S_2\}q$

- Example: Show that

**T** {**if**  $x < 0$  **then**  $abs := -x$  **else**  $abs := x$ }  $abs = |x|$

- If  $x < 0$  then after the **if** body,  $abs = -x = |x|$ .

If  $\neg(x < 0)$ , i.e.,  $x \geq 0$ , then after the **else** body,  $abs = x = |x|$ .

So the rule applies and the program segment is correct.

# Loop Invariants

- For a while loop “**while** *condition* *S*”, we say that *p* is a **loop invariant** of this loop if  $(p \wedge \text{condition})\{S\}p$ .
  - If *p* (and the continuation condition *condition*) is true before executing the body, then *p* remains true afterwards.
    - And so *p* stays true through *all* subsequent iterations.
- This leads to the inference rule:

$p$  is a loop invariant

$(p \wedge \text{condition})\{S\}p$   
←

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$\therefore p\{\mathbf{while} \text{ condition } S\}(\neg \text{condition} \wedge p)$

# Loop Invariant Example

$S$  {

```
i := 1
fact := 1
while i < n
    i := i + 1;
    fact := fact · i
end while
```

- Prove that the following Hoare triple holds when  $n$  is a positive integer:  $\mathbf{T} \{S\} (fact = n!)$

- **Proof.** Note that  $p: "fact = i! \wedge i \leq n"$  is a loop invariant, and is true before the loop. Thus, after the loop we have  $(\neg condition \wedge p) \Leftrightarrow \neg(i < n) \wedge (fact = i! \wedge i \leq n) \Leftrightarrow i = n \wedge fact = i! \Leftrightarrow fact = n!$ . ■

Read textbook for the detailed proof including the proof for loop invariant.



# Big Example

- $S = S_1; S_2; S_3; S_4$  (compute the product of two integers  $m, n$ )

**procedure** *multiply*( $m, n$ : integers)

$m, n \in \mathbb{Z}$

$S_1$     **if**  $n < 0$  **then**  $a := -n$  **else**  $a := n$

$q \quad p \wedge (a = |n|)$

$S_2$      $k := 0; x := 0$

$r \quad q \wedge (k = 0) \wedge (x = 0)$

Loop invariant     $x = mk \wedge k \leq a$

Maintains loop invariant:

$S_3$     **while**  $k < a$  {  
            $x = x + m; k = k + 1$   
           }

$x = mk \wedge k \leq a$

$x = mk \wedge k = a \therefore x = ma = m|n|$   $s$

$\therefore (n < 0 \wedge x = -mn) \vee (n \geq 0 \wedge x = mn)$

$S_4$     **if**  $n < 0$  **then**  $prod := -x$  **else**  $prod := x$

$t \quad prod = mn$



# Chapter 5: Counting

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- Combinatorics
  - The study of the number of ways to put things together into various combinations.
  - *E.g.* In a contest entered by 100 people,
    - how many different top-10 outcomes could occur?
  - *E.g.* If a password is 6~8 letters and/or digits,
    - how many passwords can there be?

# Sum and Product Rules

- Let  $m$  be the number of ways to do task 1 and  $n$  the number of ways to do task 2,
  - with each number independent of how the other task is done,
  - and also assume that no way to do task 1 simultaneously also accomplishes task 2.
- Then, we have the following rules:
  - The **sum rule**: The task “do either task 1 or task 2, but not both” can be done in  $m + n$  ways.
  - The **product rule**: The task “do both task 1 and task 2” can be done in  $mn$  ways.



# The Sum Rule

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- If a task can be done in one of  $n_1$  ways, or in one of  $n_2$  ways, ..., or in one of  $n_m$  ways, where none of the set of  $n_i$  ways of doing the task is the same as any of the set of  $n_j$  ways, for all pairs  $i$  and  $j$  with  $1 \leq i < j \leq m$ .
- Then the number of ways to do the task is

$$n_1 + n_2 + \cdots + n_m.$$



# The Sum Rule: Example 1

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- A student can choose a computer project from one of three lists A, B, and C:
  - List A: 23 possible projects
  - List B: 15 possible projects
  - List C: 19 possible projects
  - No project is on more than one list
- How many possible projects are there to choose from?

$$23 + 15 + 19 = 57$$

# The Sum Rule: Example 2

- What is the value of  $k$  after the following code has been executed?

$k := 0$

**for**  $i_1 := 1$  **to**  $n_1$

$k := k + 1$

**for**  $i_2 := 1$  **to**  $n_2$

$k := k + 1$

...

**for**  $i_m := 1$  **to**  $n_m$

$k := k + 1$

$$n_1 + n_2 + \cdots + n_m$$



# The Product Rule

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- Suppose that a procedure can be broken down into a sequence of  $m$  successive tasks.

If the task  $T_1$  can be done in  $n_1$  ways;

the task  $T_2$  can then be done in  $n_2$  ways; ...;

and the task  $T_m$  can be done in  $n_m$  ways, then

there are  $n_1 \cdot n_2 \cdots n_m$  ways to do the procedure.



# The Product Rule: Example

- Show that a set  $\{x_1, \dots, x_n\}$  containing  $n$  elements has  $2^n$  subsets.
  - A subset can be constructed in  $n$  successive steps:
    - Pick or do not pick  $x_1$ , pick or do not pick  $x_2$ , ..., pick or do not pick  $x_n$ .
  - Each step can be done in two ways.
  - Thus the number of possible subsets is
$$\underbrace{2 \cdot 2 \cdots 2}_{n \text{ factors}} = 2^n.$$