

# PHYSICS

Size dependent properties :

① Temperature (MP/BP)

Size decrease  $\rightarrow$  MP decreases

② Color

$$E_n \propto \frac{1}{L^2}$$

Size decrease  $\rightarrow$  More energy required to excite  $\rightarrow$  Wavelength decreases

③ Optical changes in Cds

Red  $\rightarrow$  Orange  $\rightarrow$  Yellow  $\rightarrow$  White  
6nm      4nm      3nm      2nm

④ Increase in Catalytic Activity of Gold Nanoparticles

Size decrease  $\rightarrow$  Surface area  $\uparrow$

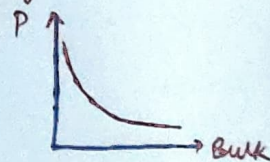
⑤ Size dependence of magnetism

Magnetic Moment  $\propto$  increases

Rhodium (Bulk) Non magnet  $\rightarrow$  Rh (Nano) Magnetic

⑥ Pressure & structural transition

Size  $\downarrow \Rightarrow$  Pressure  $\uparrow$   
(Bulk) CdSe      (Nano) CdSe  
2.0 GPa      3.6 - 5.0 GPa



⑦ Hardness Variation

Bulk : Grain size  $\downarrow$

Hardness  $\uparrow$

Nano : Grain size  $\downarrow$

Hardness  $\downarrow$

⑧ Dielectricity ( $\epsilon$ , K)

K: dielectric constant

Bulk  $\rightarrow$  Nano  
Small K      large K  
1000      35000  
(PZT)      (PZT)

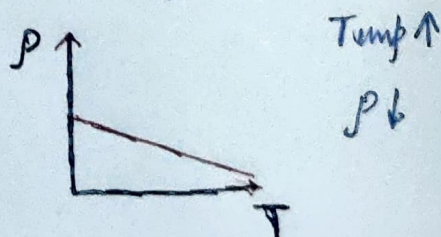
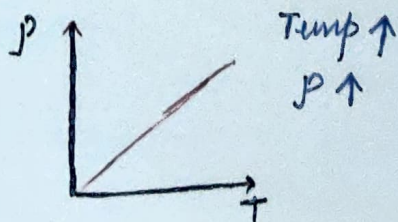
⑨ Electrical Resistivity

Metallic Behaviour

~~pos~~ -ve temp coeff  
(Bulk)

$\rightarrow$  Semi-conductor Behaviour

+ve temp coeff  
(Nano)





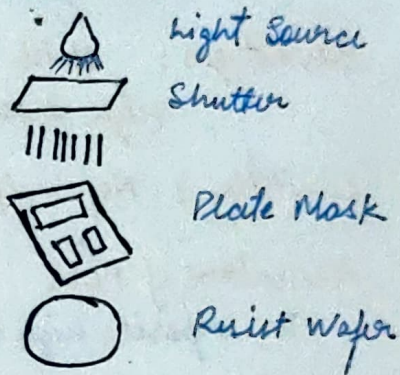
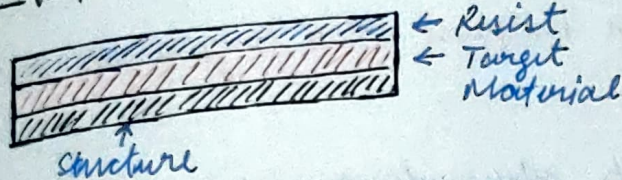
Nanofabrication  
 ② Top-down approach: Bulk Machined to Nano

Lithography: Printing method (chem. process) to create image.

Substrate + Resist (+ or - depending on solvent)

As solubility increases  $\rightarrow$  Positive Resist

Photolithography



Types of Photolithography

① Proximity Method  
 Mask is held close to the resist  
 Limited by: Diffraction

② Contact Method  
 Mask held in contact to resist  
 Limitation: Mask degrades fast

③ Optical Method  
 Light radiation focused using lenses  
 Limitation: Slow & costly

Product out of Silica gel / Sol

- ① Aerogels - Superinsulant (lightweight - least dense, extremely strong 100x)
- ② Xerogel
- ③ Nanocrystalline / wires / wells



# Scanning lithography

## ① Electron Beam

## ② Pulsed laser Deposition

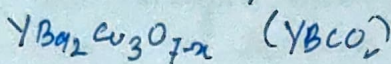
- Bottom up
- Laser generated plasma of required stoichiometry

Advantages: Stoichiometric transfer of material from target  
high deposition rates

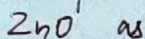
Limitation: Non-uniform thickness when large surface produced.

Applications of PLD:

- To deposit high temp superconductors thin film.



- To deposit optical materials.



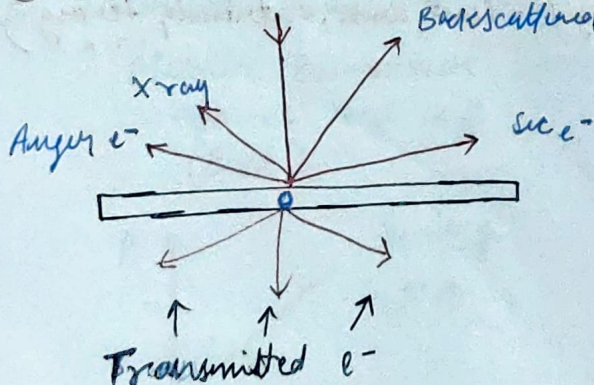
~

## Electron Microscope

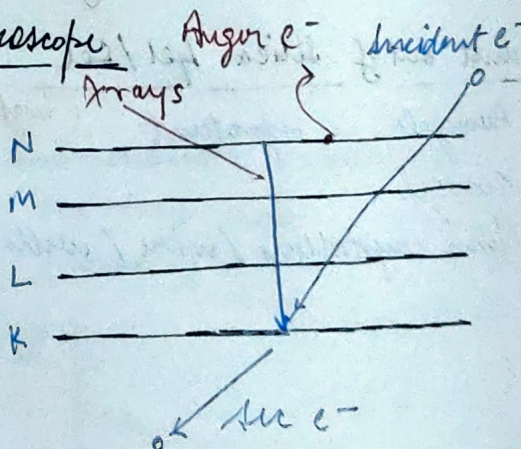
• Max usable magnification =  $\frac{\text{Res. Power of eye}}{\text{Res power of instrument}}$

• Light microscope = 1000 electron microscope =  $10^9$   
Poor depth of field good dof

- ① Resolving Power  $R \propto \frac{\lambda}{NA}$  NA: Numerical Aperture
- ② Mag
- ③ Dof
- ④ Dofoc
- ⑤ NA



## Electron Microscope





Backscattering - Atomic Number  
X-rays - Crystal Structure

Thin Sample - TEM

Thick sample - SEM

Transmitted  $e^-$  → gives info about thickness

Sc  $e^-$  → Surface info

SEM shows -

Topography [few nm]

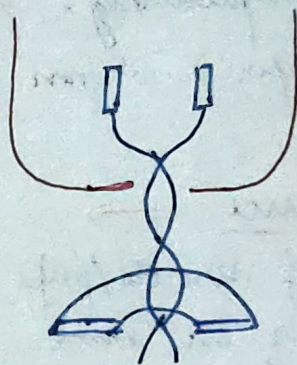
Morphology

Composition -  $\mu m$

Crystallographic info  $> 20 \mu m$

SEM Sample Prep

- Cleaning surface of specimen
- Stabilizing specimen
- Rinsing spec.
- Dehydrating spec.
- Mount & coat (coating layer  $\sim 20-30 nm$ )



Setup of SEM  $5 \times 10^5$

- $e^-$  focused into a beam of  $5 nm$
- SEM has lower energy  $e^-$
- Various transmission takes place

Electron guns

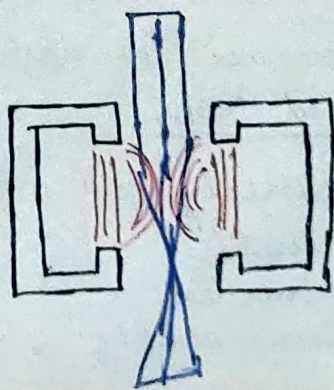
- Thermionic gun - Tungsten filament, La Hex Bo ( $LaB_6$ ) using heat energy
- Field emission gun - uses the principle of Quantum Tunneling

	W	TCr	LaB <sub>6</sub>	(FEG)
Brightness	$10^4 - 10^5$	$10^5 - 10^6$	$10^7 - 10^8$	$10^8 - 10^9$
Vacuum	$10^{-4} - 10^{-5}$	$10^{-6} - 10^{-7}$	$10^{-7} - 10^{-8}$	$10^{-8} - 10^{-9}$
Probe diameter (spot)	20 nm	$10^{-7} - 10^{-9}$	10 nm	$10^{-7} - 10^{-9}$

Electromagnetic lenses

The image is inverted and rotated  
F leads to helical trajectory of  $e^-$  and  
to magnetic rotation.

For different samples, setup can be modified  
by changing focal length.





# TEM $5 \times 10^6$

- Ultra thin specimen
- High resolution 2D magnification
- $e^-$  accelerated 100 keV to 1 MeV
- Penetrate the sample (scattered/unscattered)
- Elastic scattering gives rise to diffraction
- Inelastic scattering leads to spatial variation.

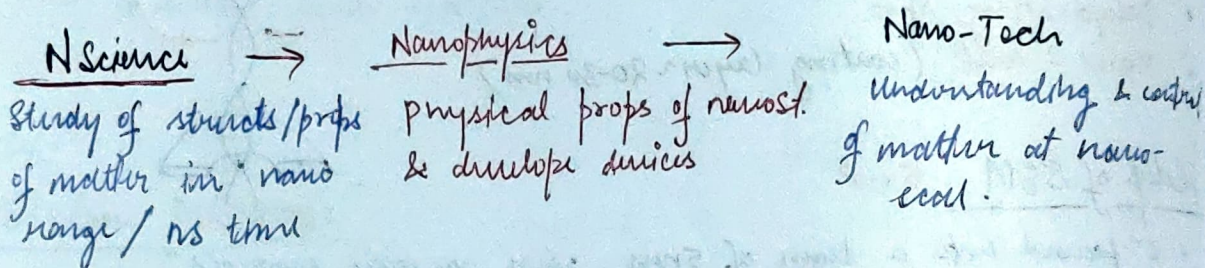
## Nanophysics (from slides) $10^{-9}m$

Nanoscale: 1-100 nm scale

Nanotechnology: Technique for making & characterizing nanostructures and putting them in applications.

Nanomanufacturing: commercial ways of producing nanostructs.

Newspaper:  $10^5$  nm Fingernails grow @ 1mm ps



<u>Restriction</u>	<u>Assembly</u>	<u>Structure</u>
3-D	0D	Quantum Dot
2-D	1D	Quantum Wire - Nano wire (thin)
1-D	2D	Nano-film
	3D	Diamond crystal

Non-intentionally: Naturally occurring

Intentionally: Fabricated

- electrical conductivity, color, strength & weight change
- Fabricated atom by atom
- Increase in ratio of surface to volume

### Affected props.

Density

Elastic

Modulus

Conductivity

Ductility

Strength

Hardness

Toughness

Diffusivity

Coeff. of Thermal Expansion



Prop of Ni Crystal

- fractional coeff
- corrosion resist.
- magnetic props
- H<sub>2</sub> Diffusion
- Surface Catalysis

Half  
Reduced  
lower reactivity  
higher  
improved

Sol-gel Property changes:

- ① Viscosity ↑
- ② Heat loss ↓
- ③ Stress ↓
- ④ Master-Slave Idea
- ⑤ Anisotropic (direction-dependent)

Sol-gel Synthesis

- ① Synthesis  $MOx + H_2O \rightarrow MOH + ROH$  (hydrolysis)
- ②  $MOH + ROM \rightarrow M-O-M + ROH$  (condensation)
- ③ Gelation (Acid Catalyzed / Base Catalyzed)  
 untangling of branched strands  
 formation of agglomerated clusters  
 condense to 3D network
- ④ Synthesis - Aging of gels - upto 7 days
- ⑤ Calcination - 800°C volatile liquids removed - aerogel

Pulsed Laser Decomposition

- High Powered laser focused on target in vacuum.
- Plasma proceeds to deposit on substrate, forming a thin film.
- UHV  $10^{-9}$  mBar  $\approx 10^{-12}$  Bar
- Target to substrate : 2 to 10 cm

Drawbacks

- Uniform coating
- large scale prod not possible



OM

VS

EM

- light source
- Thin mounted specimen
- lens
- mag. image is seen

Max mag 1000  
4x - 1000

Small DOF

- electron source
- special, high high vacuum chamber
- coil shaped electromagnets
- Image formed as a photograph

Max mag  $10^9$   
10x -  $5 \times 10^5$   
large DOF

### Emission in SEM

① Cathodoluminescence:  $0 < h\nu < 10 \text{ eV}$

X-Ray:  $0 < h\nu < E_0$

Auger:  $E < 10 \text{ eV}$   $\sim 10 \text{ nm}$

Backscattered:  $E_0 \approx E$   $\sim 500 \text{ nm}$

Secondary:  $E \leq 20 \approx 50 \text{ eV}$   $> 50 \text{ nm}$

}  $\sim 5 \mu\text{m}$

### SEM

- Images a sample by scanning it with high energy beam of  $e^-$
- Detailed 3D image, res of few nm

### Characteristics

- Topography - surface texture
- Morphology - shape size arrangement
- composition - elts & compounds
- Crystallographic info - degree of order, crystal arrangement

### Info:

- Sec  $e^-$ : Surface struct
- Backscattered  $e^-$ : surface struct + elemental info (atomic number)
- X-Ray / Auger- $e^-$ : elemental comp + thickness sensitivity

### Sample Prep:

- ① cleaning
- ② stabilizing
- ③ rinsing
- ④ dehydrating
- ⑤ drying
- ⑥ mounting  $\rightarrow 20 \text{ to } 30 \text{ nm}$
- ⑦ coating