# Quantum Monte Carlo Simulation

#### Aiichiro Nakano

Collaboratory for Advanced Computing & Simulations
Department of Computer Science
Department of Physics & Astronomy
Department of Chemical Engineering & Materials Science
Department of Quantitative & Computational Biology
University of Southern California

Email: anakano@usc.edu



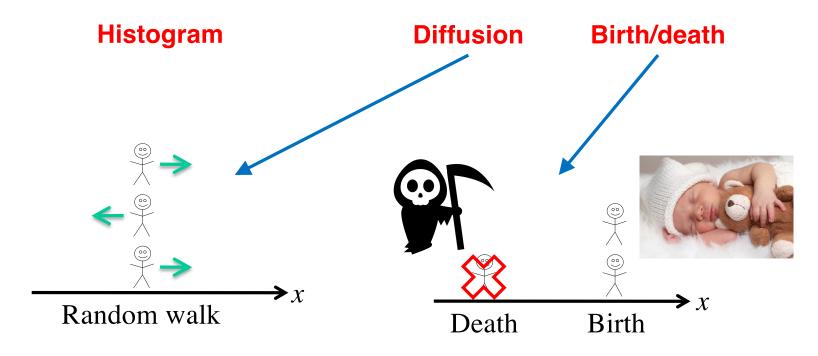
Random walk to solve quantum eigenvalue problem



## Imaginary-time Schrödinger Equation

• 
$$\tau = it$$

$$\frac{\partial}{\partial \tau}\psi(x,\tau) = -\frac{H}{\hbar}\psi(x,\tau) = \frac{\hbar}{2m}\frac{\partial^2}{\partial x^2}\psi(x,\tau) - \frac{V(x)}{\hbar}\psi(x,\tau)$$



$$D_{\text{QMC}} = \frac{\hbar}{2m} = 58 \text{ m}^2/\text{s} \gg D_{\text{water}} = 10^{-9} \text{ m}^2/\text{s}$$

#### **Ground-State Filter**

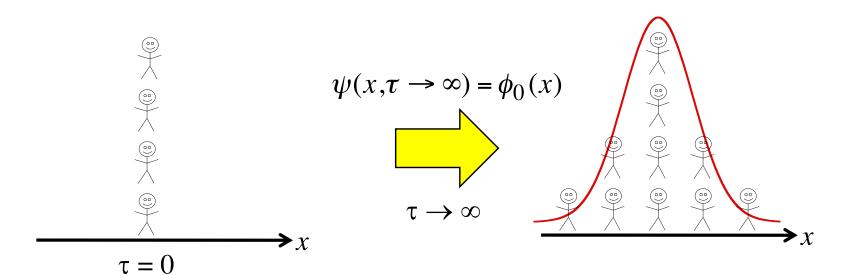
$$\psi(x,\tau) = \exp(-H\tau/\hbar)\psi(x,0) = \sum_{n} c_{n}\phi_{n}(x)\exp(-E_{n}\tau/\hbar)$$
$$c_{n} = \int dx\phi_{n}(x)\psi(x,0)$$

$$\lim_{\tau \to \infty} \psi(x, \tau) = c_0 \phi_0(x) \exp(-E_0 \tau/\hbar)$$

• Energy estimate

It's not 
$$\psi^*(x,\tau) \left( -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right) \psi(x,\tau)$$

$$E_0 = \langle V \rangle = \frac{\int_{-\infty}^{\infty} V(x) \psi(x,\tau) dx}{\int_{-\infty}^{\infty} \psi(x,\tau) dx} \cong \frac{1}{N} \sum_{i=1}^{N} V(x_i)$$



#### Reference Energy

Energy measured relative to reference energy to achieve stationary population

$$\frac{\partial}{\partial \tau} \psi(x,\tau) = \frac{\hbar}{2m} \frac{\partial^2}{\partial x^2} \psi(x,\tau) - \frac{V(x) - V_{\text{ref}}}{\hbar} \psi(x,\tau)$$

$$\int_{-\infty}^{\infty} \frac{\partial}{\partial \tau} \psi(x,\tau) dx = \left[ \frac{\hbar}{2m} \frac{\partial}{\partial x} \psi(x,\tau) \right]_{-\infty}^{\infty} - \int \frac{V(x)}{\hbar} \psi(x,\tau) dx + \frac{V_{\text{ref}}}{\hbar} \int \psi(x,\tau) dx$$

$$= -\int \frac{V(x)}{\hbar} \psi(x,\tau) dx + \frac{V_{\text{ref}}}{\hbar} \int \psi(x,\tau) dx$$

$$\int_{-\infty}^{\infty} \frac{\partial^2}{\partial x^2} \psi(x) = \left[ \frac{\partial}{\partial x} \psi(x) \right]_{-\infty}^{\infty}$$

• For  $\tau \rightarrow \infty$ ,

$$\psi(x,\tau) \approx c_0 \phi_0(x) \exp\left(-(E_0 - V_{\text{ref}}) \tau/\hbar\right)$$

$$\therefore \frac{\partial}{\partial \tau} \psi(x,\tau) \approx \frac{V_{\text{ref}} - E_0}{\hbar} \psi(x,\tau)$$

$$\int \frac{V_{\text{ref}} - E_0}{\hbar} \psi(x,\tau) dx = -\int \frac{V(x)}{\hbar} \psi(x,\tau) dx + \frac{V_{\text{ref}}}{\hbar} \int \psi(x,\tau) dx$$

$$\therefore \frac{E_0}{\hbar} \int \psi(x,\tau) dx = \int \frac{V(x)}{\hbar} \psi(x,\tau) dx \qquad \Longrightarrow \qquad E_0 = \frac{\int V(x) \psi(x,\tau) dx}{\int \psi(x,\tau) dx} = \langle V \rangle$$

#### **Choice of Reference Energy**

• Number of random walkers:  $N(\tau) = \int_{-\infty}^{\infty} \psi(x,\tau) dx$ 

$$\frac{d}{d\tau}N = \frac{V_{\text{ref}} - \langle V \rangle}{\hbar} N(\tau)$$

$$\therefore \int_{-\infty}^{\infty} \frac{\partial}{\partial \tau} \psi(x, \tau) dx = -\int \frac{V(x)}{\hbar} \psi(x, \tau) dx + \frac{V_{\text{ref}}}{\hbar} \int_{-\infty}^{\infty} \psi(x, \tau) dx$$

• Choose new  $V_{\rm ref}$  to counter-balance the current population growth

$$-\frac{d}{d\tau}N|_{\text{current}} = \frac{V_{\text{ref}}^{\text{new}} - \langle V \rangle}{\hbar}N(\tau)$$

$$\frac{V_{\text{ref}}^{\text{new}}}{\hbar} = \frac{\langle V \rangle}{\hbar} - \frac{\text{dN}/d\tau}{N(\tau)}$$

$$\therefore V_{\text{ref}} = \langle V \rangle - \frac{N(\tau + \Delta \tau) - N(\tau)}{N(\tau)\Delta \tau}$$

# Quantum Monte Carlo Algorithm

- 1. Place  $N_0$  walkers at the initial set of positions  $x_i$ .
- 2. Compute the reference energy,  $V_{\text{ref}} = \sum_{i} V(x_i)/N_0$ .
- 3. For each walker,

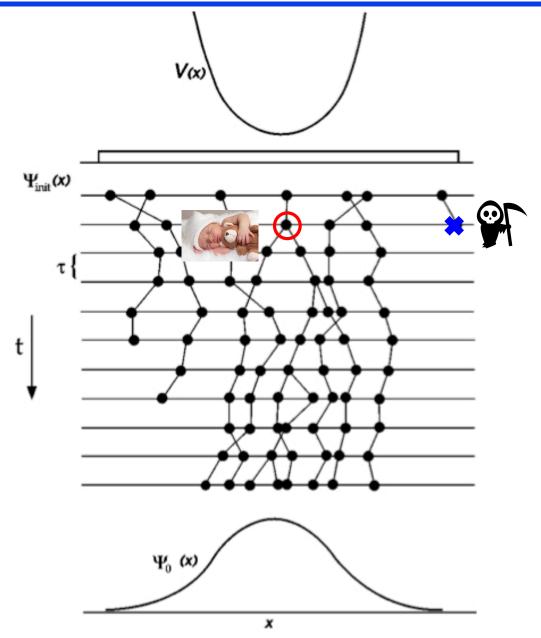
- $D = \frac{\hbar}{2m} = \frac{1}{2} (a. u.) = \frac{(\Delta s)^2}{2\Delta \tau}$
- a. Randomly move the walker to the right or left by a fixed step length  $\Delta s$ .
- b. Compute  $\Delta V = V(x) V_{\text{ref}}$  & a random number  $r \in [0, 1]$ . If  $\Delta V > 0$  &  $r < \Delta V \Delta \tau$  ( $\Delta \tau = \Delta s^2$ ), then remove the walker. If  $\Delta V < 0$  &  $r < -\Delta V \Delta \tau$ , then add another walker at x. Otherwise, just leave the walker at x.
- 4. Compute the mean potential energy  $\langle V \rangle = \sum_i V(x_i)/N$  & the actual number of random walkers N. The new reference potential is given by

$$V_{\text{ref}} = \langle V \rangle - \frac{N(\tau + \Delta \tau) - N(\tau)}{N(\tau)\Delta \tau} N_0$$

The average  $\langle V \rangle$  is an estimate of the ground state energy.

5. Repeat steps 3–4 until the estimates of the ground state energy  $\langle V \rangle$  have reached a steady state value with only random fluctuations. Average  $\langle V \rangle$  over many Monte Carlo steps to compute the ground state energy.

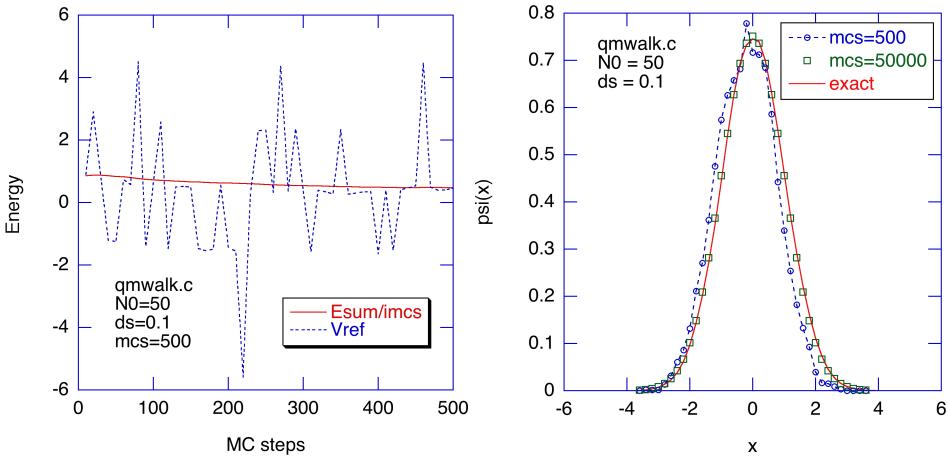
#### Random Walk + Birth/Death



W. M. C. Foulkes et al., Rev. Mod. Phys. 73, 33 ('01)

## **Numerical Example**

•  $V(x) = x^2/2$  (in atomic unit)



(after  $0.4 \times 500 = 200$  MC steps of thermalization)

$$D = \frac{\hbar}{2m} = \frac{1}{2} (a. u.) = \frac{(\Delta s)^2}{2\Delta \tau}$$