

3D Transformations

Lecture 5

3D Translation

- ▶ Point in 3D given by $(X_1 \ Y_1 \ Z_1)$
- ▶ Translated by $(dx \ dy \ dz)$

$$X_2 = X_1 + dx$$

$$Y_2 = Y_1 + dy$$

$$Z_2 = Z_1 + dz$$



Translation

- In matrix form

$$\begin{bmatrix} X_2 \\ Y_2 \\ Z_2 \\ 1 \end{bmatrix} = \underbrace{\begin{bmatrix} 1 & 0 & 0 & dx \\ 0 & 1 & 0 & dy \\ 0 & 0 & 1 & dz \\ 0 & 0 & 0 & 1 \end{bmatrix}}_{\mathbf{T}} \begin{bmatrix} X_1 \\ Y_1 \\ Z_1 \\ 1 \end{bmatrix}$$



Inverse Transformation

$$\mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & dx \\ 0 & 1 & 0 & dy \\ 0 & 0 & 1 & dz \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \mathbf{T}^{-1} = \begin{bmatrix} 1 & 0 & 0 & -dx \\ 0 & 1 & 0 & -dy \\ 0 & 0 & 1 & -dz \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{T} \mathbf{T}^{-1} = \mathbf{I}$$



Scaling

- ▶ Point in 3D given by $(X_1 \ Y_1 \ Z_1)$
- ▶ Scaled by $(S_x \ S_y \ S_z)$

$$X_2 = X_1 * S_x$$

$$Y_2 = Y_1 * S_y$$

$$Z_2 = Z_1 * S_z$$



Scaling

- ▶ In matrix form

$$\begin{bmatrix} X_2 \\ Y_2 \\ Z_2 \\ 1 \end{bmatrix} = \underbrace{\begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}}_{\mathbf{S}} \begin{bmatrix} X_1 \\ Y_1 \\ Z_1 \\ 1 \end{bmatrix}$$



Inverse Transformation

$$\mathbf{S} = \begin{bmatrix} S_x & 0 & 0 & 0 \\ 0 & S_y & 0 & 0 \\ 0 & 0 & S_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \mathbf{S}^{-1} = \begin{bmatrix} \frac{1}{S_x} & 0 & 0 & 0 \\ 0 & \frac{1}{S_y} & 0 & 0 \\ 0 & 0 & \frac{1}{S_z} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{S} \mathbf{S}^{-1} = \mathbf{I}$$



Shearing

- What will these do?

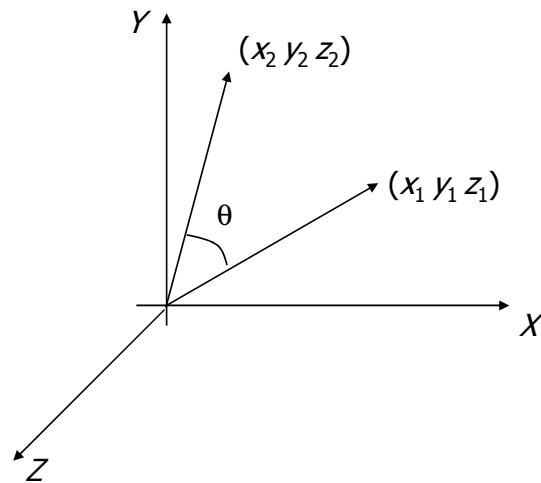
$$\begin{bmatrix} 1 & e & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & e & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & d & e & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & d & 0 & 0 \\ e & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



3D Rotation

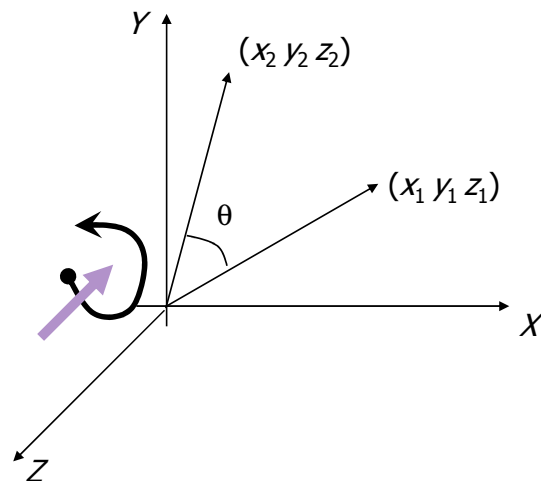
- ▶ Rotation about Z-axis
- ▶ Z-coordinate will not change
- ▶ $Z' = Z$
- ▶ If we ignore the Z-coordinate, it is 2-D transformation in XY plane

$$\begin{bmatrix} X' \\ Y' \\ Z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix}$$



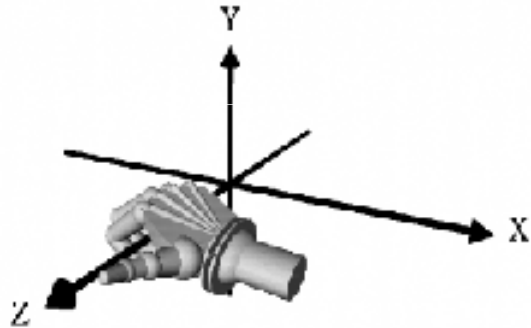
Rotation

- ▶ Positive rotation is counterclockwise when **looking down** the axis of rotation towards origin

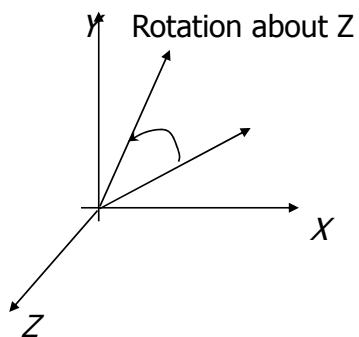


Rotation about Principal Axes

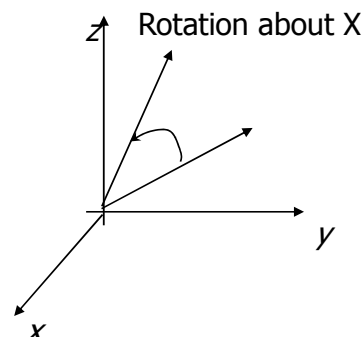
- ▶ Right hand rule
- ▶ XYZ is a right handed system if:
 - ▶ Place your right wrist at the origin, curl your finger from X to Y, thumb should point along +ve Z



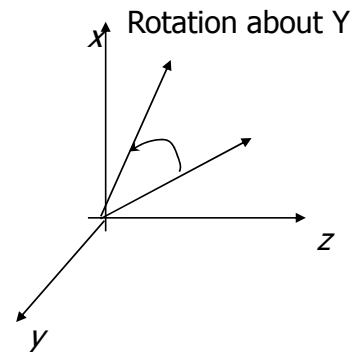
Rotation about Principal Axes



$$\begin{aligned} X' &= X \cos \theta - Y \sin \theta \\ Y' &= X \sin \theta + Y \cos \theta \\ Z' &= Z \end{aligned}$$



$$\begin{aligned} Y' &= Y \cos \theta - Z \sin \theta \\ Z' &= Y \sin \theta + Z \cos \theta \\ X' &= X \end{aligned}$$



$$\begin{aligned} Z' &= Z \cos \theta - X \sin \theta \\ X' &= Z \sin \theta + X \cos \theta \\ Y' &= Y \end{aligned}$$



Rotation about Principal Axes

$X' = X \cos \theta - Y \sin \theta$	$Y' = Y \cos \theta - Z \sin \theta$	$Z' = Z \cos \theta - X \sin \theta$
$Y' = X \sin \theta + Y \cos \theta$	$Z' = Y \sin \theta + Z \cos \theta$	$X' = Z \sin \theta + X \cos \theta$
$Z' = Z$	$X' = X$	$Y' = Y$

Rotation about Z

$$\begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Rotation about X

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \gamma & -\sin \gamma & 0 \\ 0 & \sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Rotation about Y

$$\begin{bmatrix} \cos \beta & 0 & \sin \beta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \beta & 0 & \cos \beta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



Concatenation of Rotations

- Rotation around X by γ followed by rotation around Y by β followed by rotation around Z by α

$$R = R_{\alpha}^Z R_{\beta}^Y R_{\gamma}^X$$

$$R = \begin{bmatrix} \cos \alpha \cos \beta & \cos \alpha \sin \beta \sin \gamma - \sin \alpha \cos \gamma & \cos \alpha \sin \beta \cos \gamma + \sin \alpha \sin \gamma \\ \sin \alpha \cos \beta & \sin \alpha \sin \beta \sin \gamma + \cos \alpha \cos \gamma & \sin \alpha \sin \beta \cos \gamma - \cos \alpha \sin \gamma \\ -\sin \beta & \cos \beta \sin \gamma & \cos \beta \cos \gamma \end{bmatrix}$$



Small Angle Approximation

$$R = R_Z^\alpha R_Y^\beta R_X^\gamma = \begin{bmatrix} \cos\alpha\cos\beta & \cos\alpha\sin\beta\sin\gamma - \sin\alpha\cos\gamma & \cos\alpha\sin\beta\cos\gamma + \sin\alpha\sin\gamma \\ \sin\alpha\cos\beta & \sin\alpha\sin\beta\sin\gamma + \cos\alpha\cos\gamma & \sin\alpha\sin\beta\cos\gamma - \cos\alpha\sin\gamma \\ -\sin\beta & \cos\beta\sin\gamma & \cos\beta\cos\gamma \end{bmatrix}$$

Small angle approximation

$$R = \begin{bmatrix} 1 & -\alpha & \beta \\ \alpha & 1 & -\gamma \\ -\beta & \gamma & 1 \end{bmatrix}$$



Properties of Rotation Matrix

- ▶ Rotation Matrices are **orthonormal** and have a determinant of +1
i.e. $RR^T = R^TR = I$
- ▶ The inverse of a rotation matrix is its transpose
- ▶ 3D rigid motion can be described by a rotation and translation

$$\begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_x \\ T_y \\ T_z \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} X' \\ Y' \\ Z' \\ 1 \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} & T_x \\ r_{21} & r_{22} & r_{23} & T_y \\ r_{31} & r_{32} & r_{33} & T_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \\ 1 \end{bmatrix}$$



Properties of Rotation Matrix

$$R = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix}$$

- ▶ R has 9 unknowns, but orthonormality provides 6 constraints

$$\sum_{j=1}^3 r_{ij} r_{kj} = \sum_{j=1}^3 r_{ji} r_{jk} = \begin{cases} 0 & i \neq k \\ 1 & i = k \end{cases}$$

- ▶ Hence the number of degrees of freedom of a 3D rotation are $9 - 6 = 3$
-



Properties of Rotation Matrices

- ▶ Any concatenation of rotation matrices also forms a rotation matrix i.e. the matrix remains orthonormal [Proof?]
-



Properties of Rotation Matrices

- ▶ A rotation matrix transforms its own rows onto the principal axes

$$\begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \begin{bmatrix} r_{11} \\ r_{12} \\ r_{13} \end{bmatrix} = ? \quad \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \begin{bmatrix} r_{21} \\ r_{22} \\ r_{23} \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \begin{bmatrix} r_{31} \\ r_{32} \\ r_{33} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$



Rotation about Arbitrary Axis

- ▶ Any complex rotation can be described by a single rotation around an axis \mathbf{n} by an angle θ
- ▶ Therefore, any complex rotation can be described by $[n_1, n_2, n_3]^T$ and θ
- ▶ Still 3 degrees of freedom as \mathbf{n} can be taken to be a unit vector without any loss of generality

$$\sqrt{n_1^2 + n_2^2 + n_3^2} = 1$$



Rotation about Arbitrary Axis

- ▶ To rotate about an axis \mathbf{n} by an angle θ
 1. Set up rotations such that \mathbf{n} rotates onto one of the principal axis [How?]
 2. Rotate about that axis by θ
 3. Undo the transformations in step 1



Rotation about Arbitrary Axis

- ▶ Question: Given an arbitrary 3D rotation matrix, how can we find out the axis \mathbf{n} and the angle ϑ that represents this rotation?

$$\begin{bmatrix} -0.8256 & 0.40388 & -0.39404 \\ -0.20084 & -0.86294 & -0.46367 \\ -0.5273 & -0.30367 & 0.79356 \end{bmatrix}$$

Given R on the left, how can we tell n and θ ?



Eigenvectors and Values of a Rotation Matrix

- ▶ 3D rotation matrix has eigenvalues of 1 , $\cos\vartheta + i\sin\vartheta$ and $\cos\vartheta - i\sin\vartheta$ [Proof?]
- ▶ The eigenvector associated with the real eigenvalue represents the axis of rotation [proof?]

