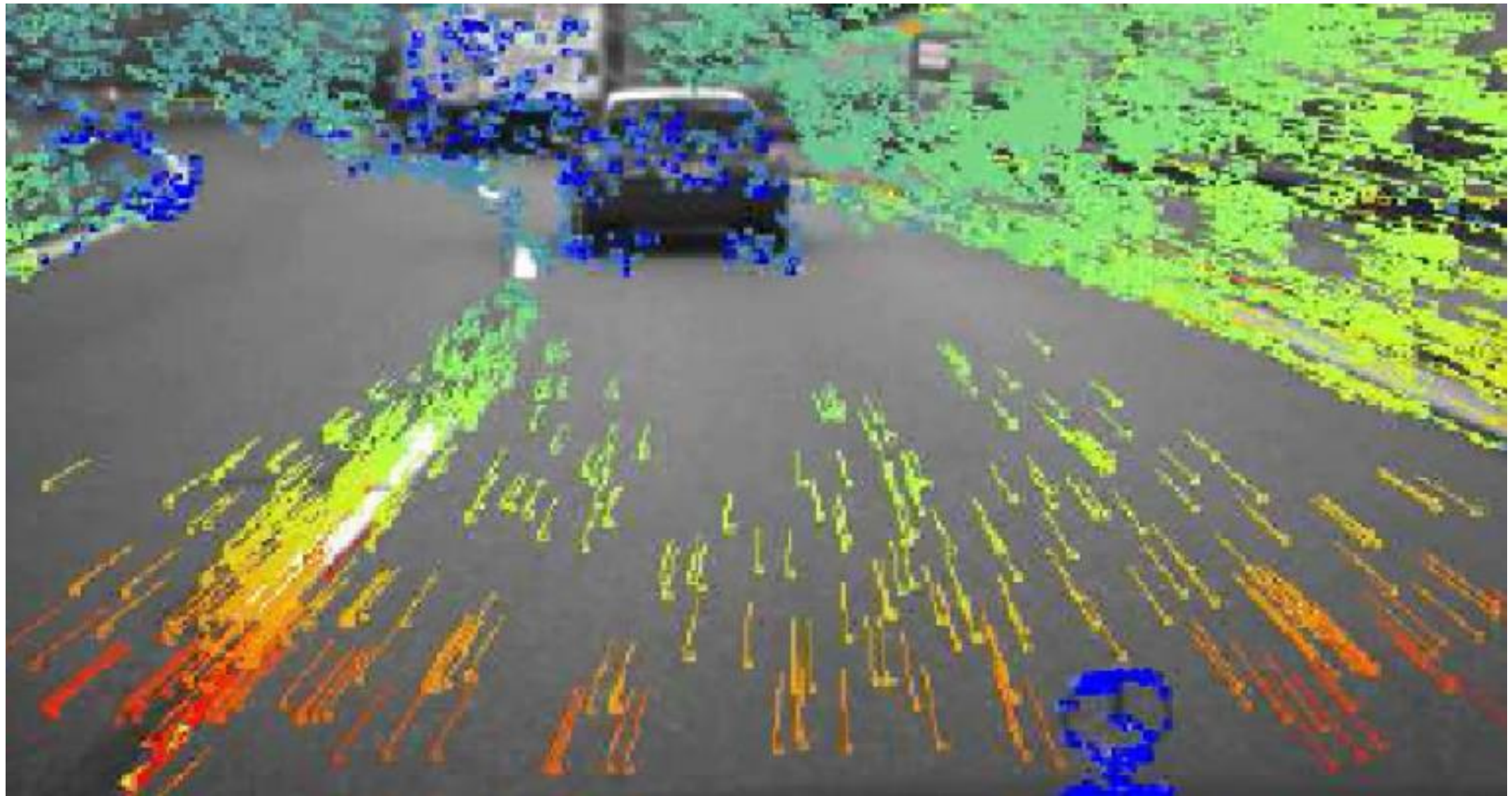


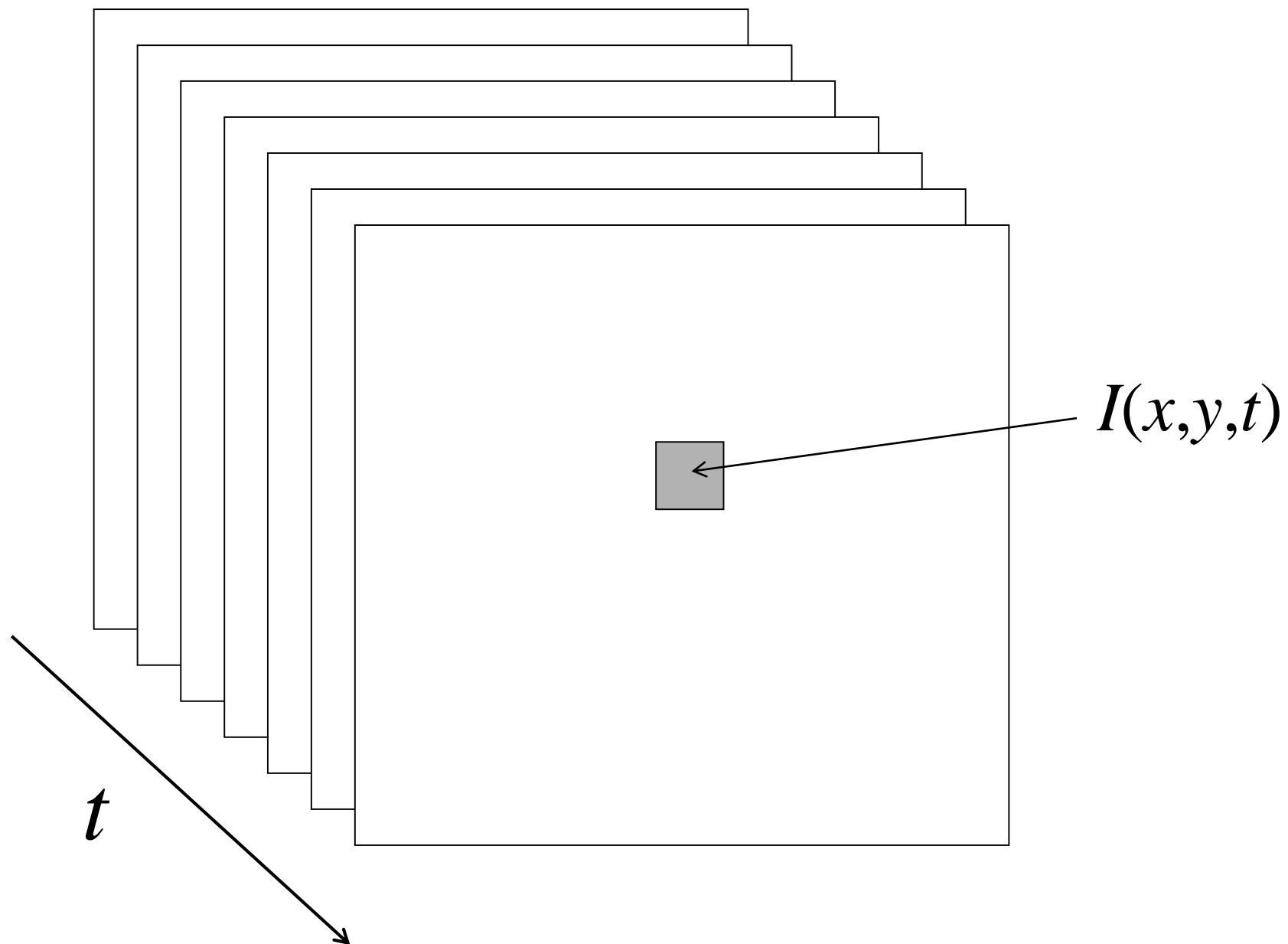
# Optical flow



# Overview of today's lecture

- Quick intro to vision for video.
- Optical flow.
- Constant flow.
- Horn-Schunck flow.

# Computer vision for video



# Optical Flow and Motion

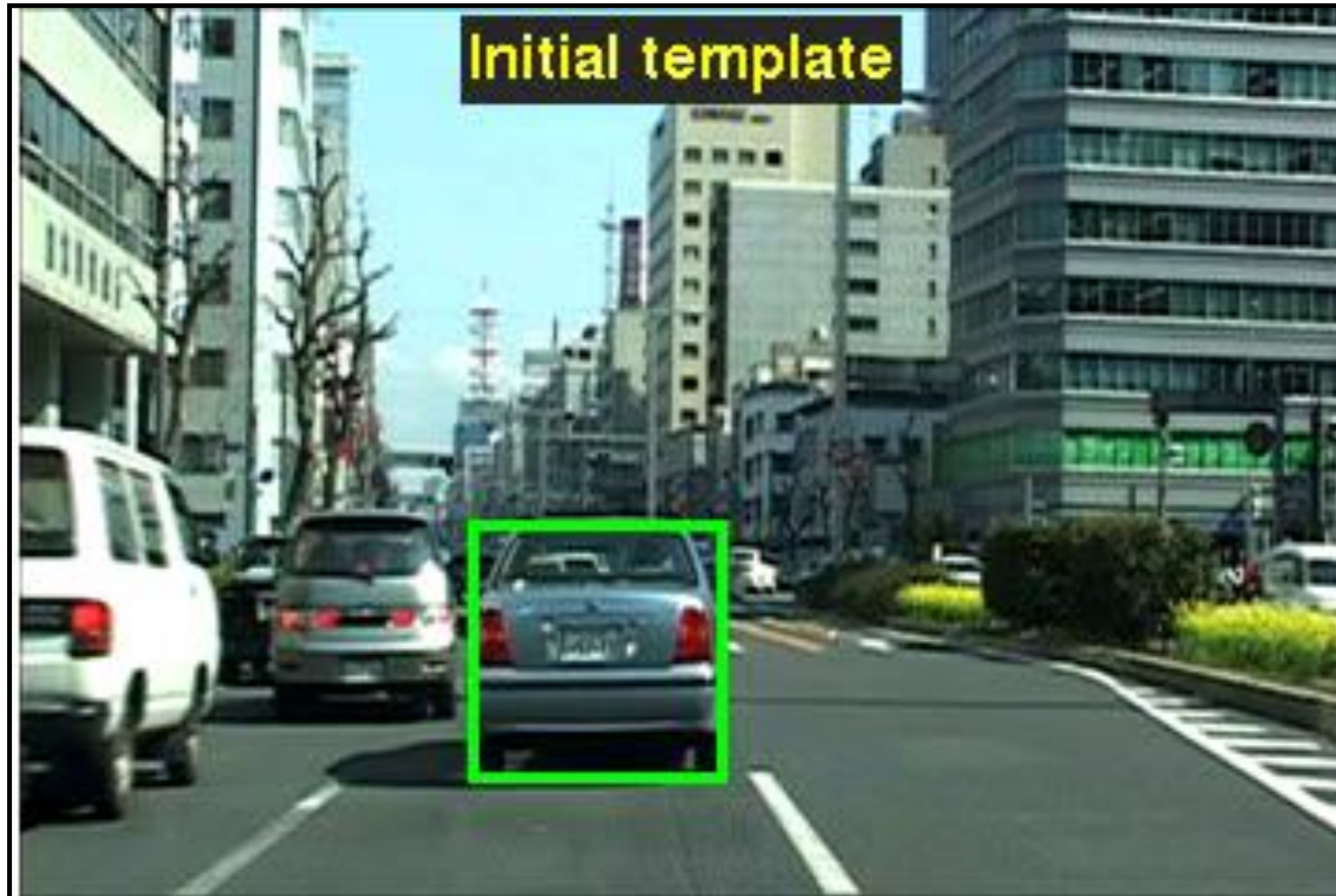
---

- We are interested in finding the movement of scene objects from time-varying images (videos).
- Applications
  - Track object behavior
  - Correct for camera jitter (stabilization)
  - Align images (mosaics)
  - 3D shape reconstruction
  - Special effects



# Tracking – Rigid Objects

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(Simon Baker, CMU)

# Tracking – Non-rigid Objects

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(Comaniciu et al, Siemens)



# Face Tracking

---



(Simon Baker et al, CMU)

# Applications of Face Tracking

---

- User Interfaces:
  - Mouse Replacement: Head Pose and Gaze Estimation
  - Automotive: Windshield Displays, Smart Airbags, Driver Monitoring
- Face Recognition:
  - Pose Normalization
  - Model-Based Face Recognition
- Lipreading/Audio-Visual Speech Recognition
- Expression Recognition and Deception Detection
- Rendering and Animation:
  - Expression Animations and Transfer
  - Low-Bandwidth Video Conferencing
  - Audio-Visual Speech Synthesis

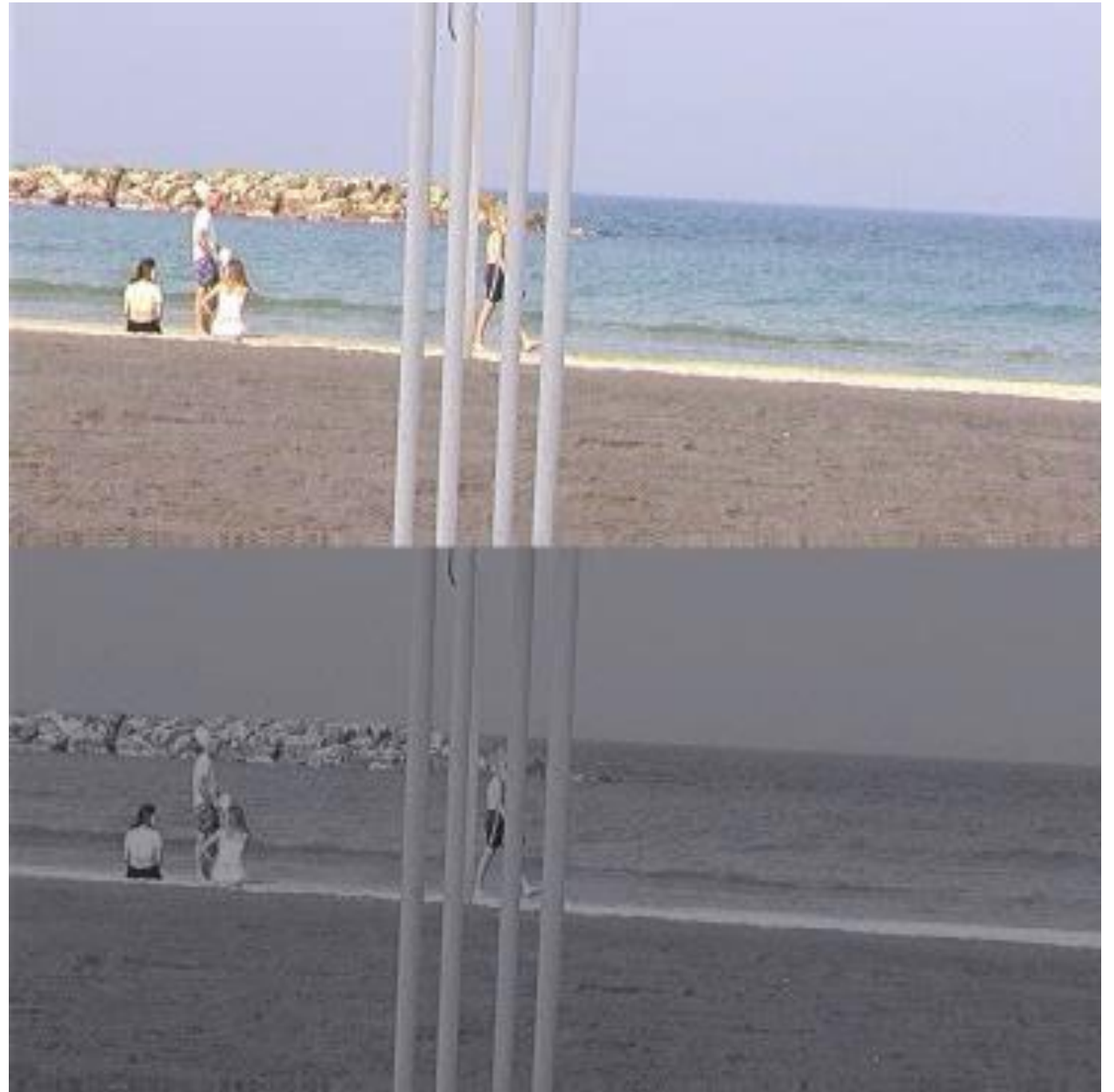


# Behavior Analysis

---



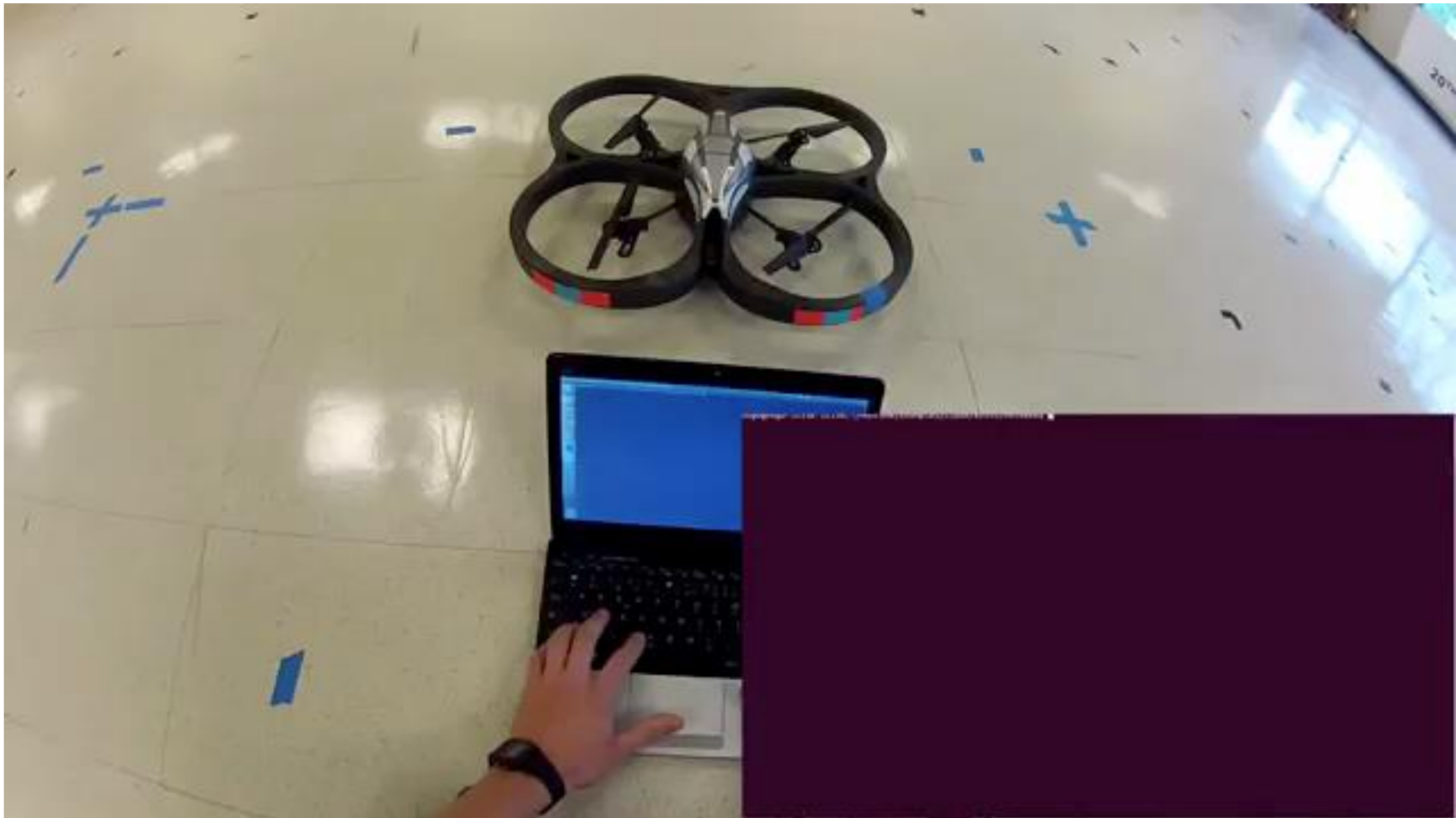
Query



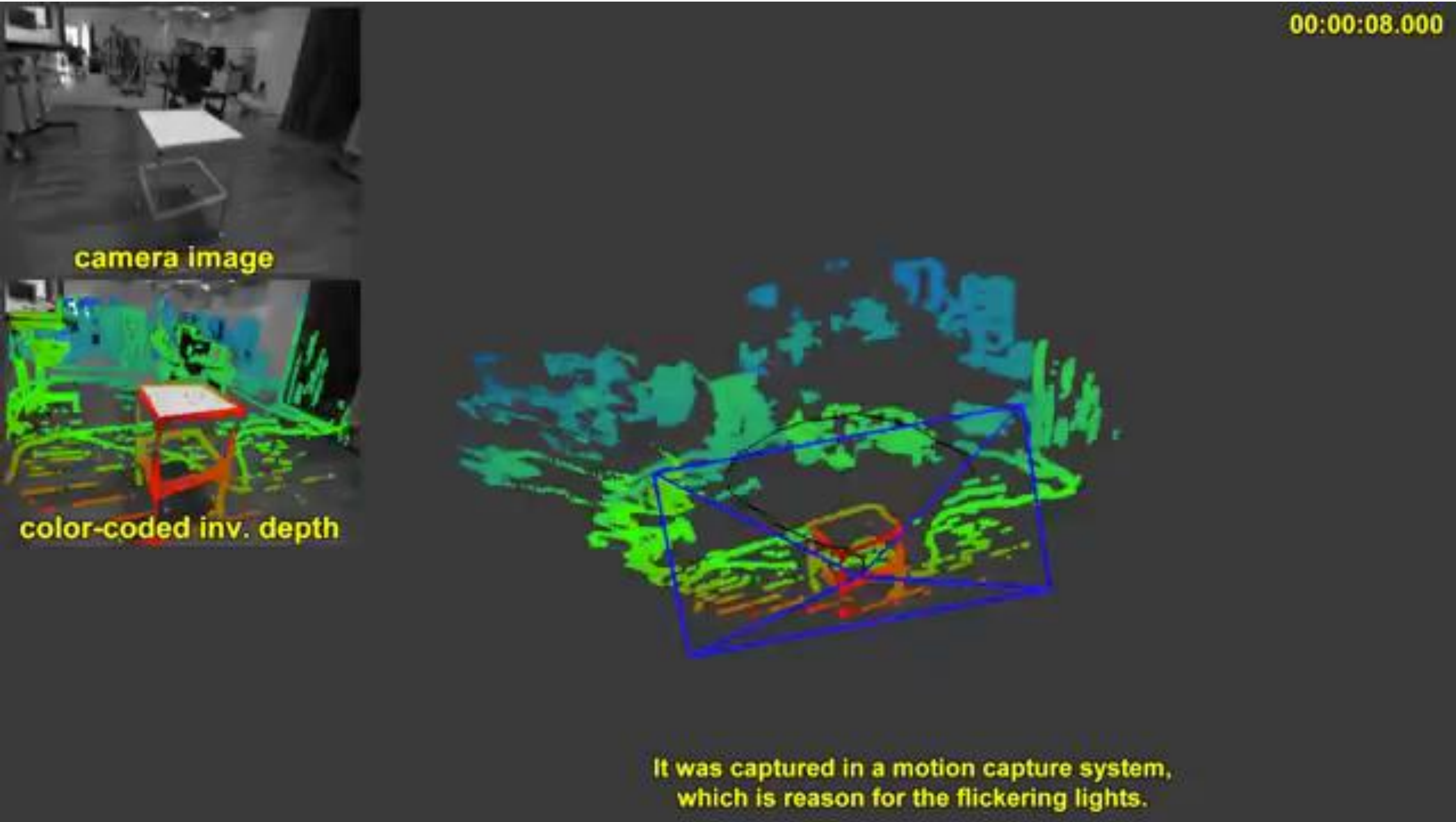
Result

(Michal Irani, Weizmann)

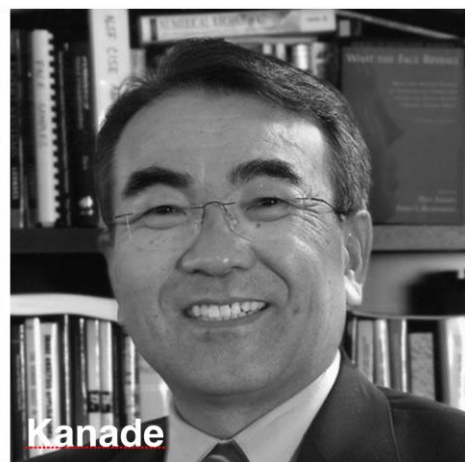
# Optical flow used for feature tracking on a drone



optical flow used for motion estimation in visual odometry







Lucas-Kanade  
(Forward additive)



Baker-Matthews  
(Inverse Compositional)

## Image Alignment



Optical flow

# Optical Flow

## **Problem Definition**

Given two consecutive image frames,  
estimate the motion of each pixel

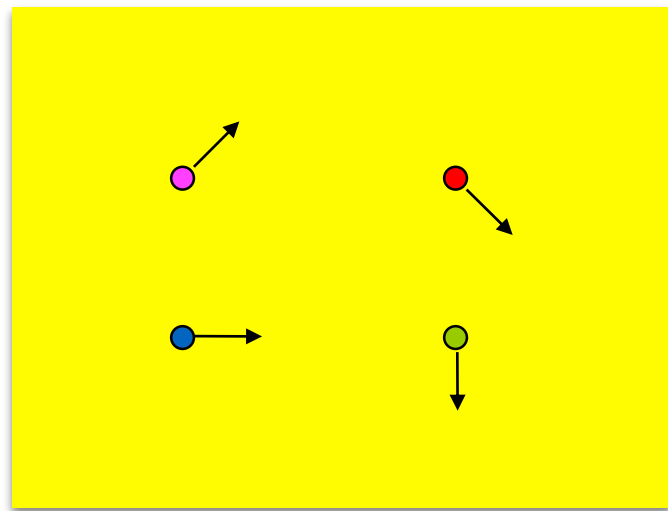
## **Assumptions**

Brightness constancy

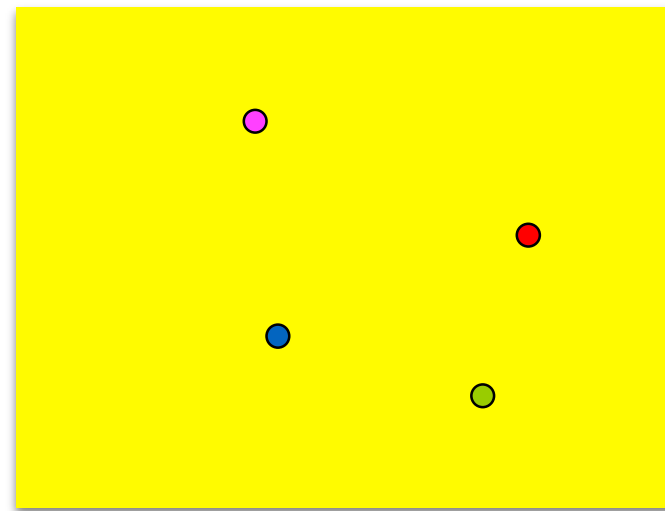
Small motion

# Optical Flow

(Problem definition)



$I(x, y, t)$



$I(x, y, t')$

Estimate the motion  
(flow) between these two  
consecutive images

*How is this different from estimating a 2D transform?*

# Key Assumptions

(unique to optical flow)

## Color Constancy

(Brightness constancy for intensity images)

Implication: allows for pixel to pixel comparison  
(not image features)

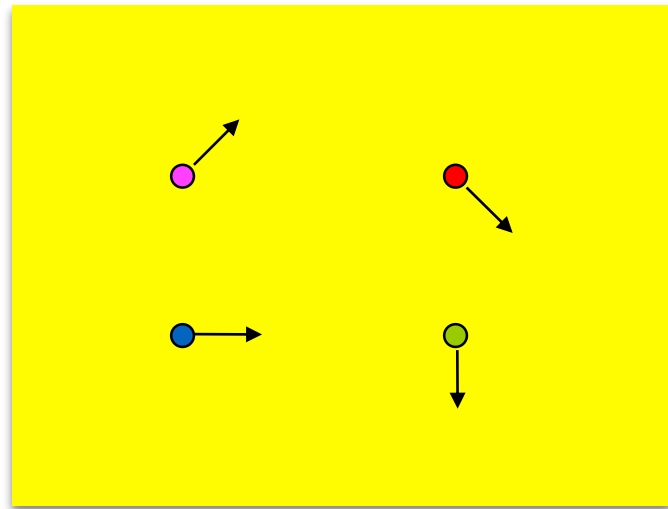
## Small Motion

(pixels only move a little bit)

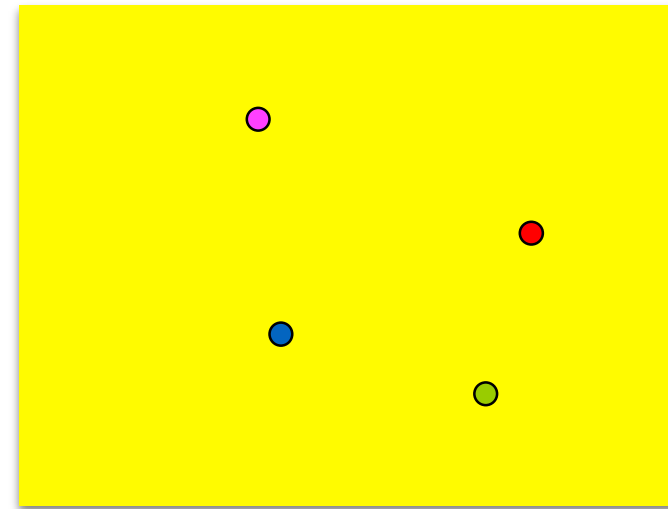
Implication: linearization of the brightness  
constancy constraint



# Approach



$I(x, y, t)$



$I(x, y, t')$

Look for **nearby pixels** with the **same color**

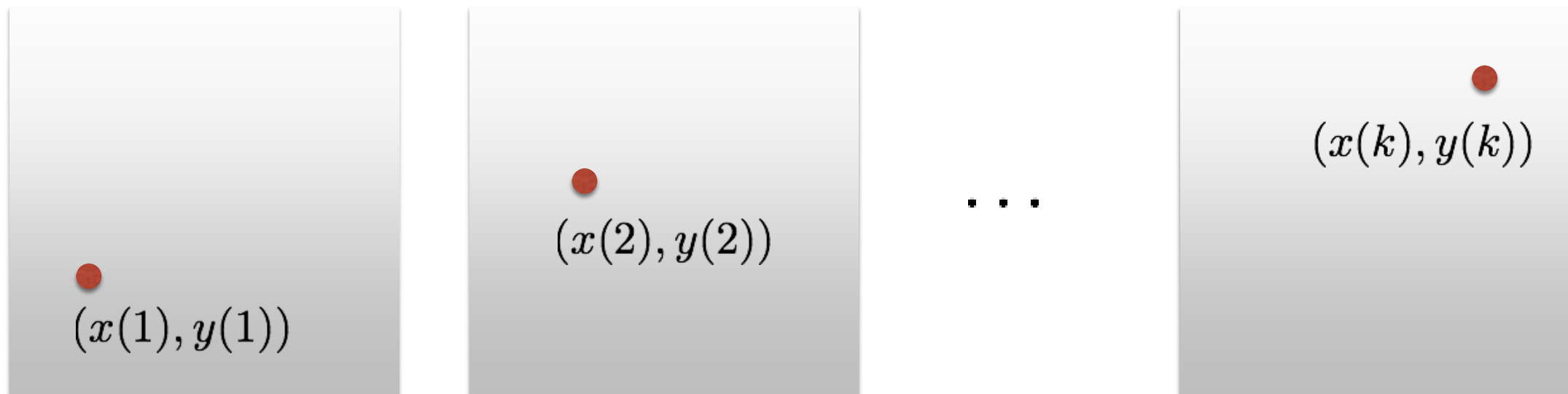
(small motion)

(color constancy)

Assumption 1

# Brightness constancy

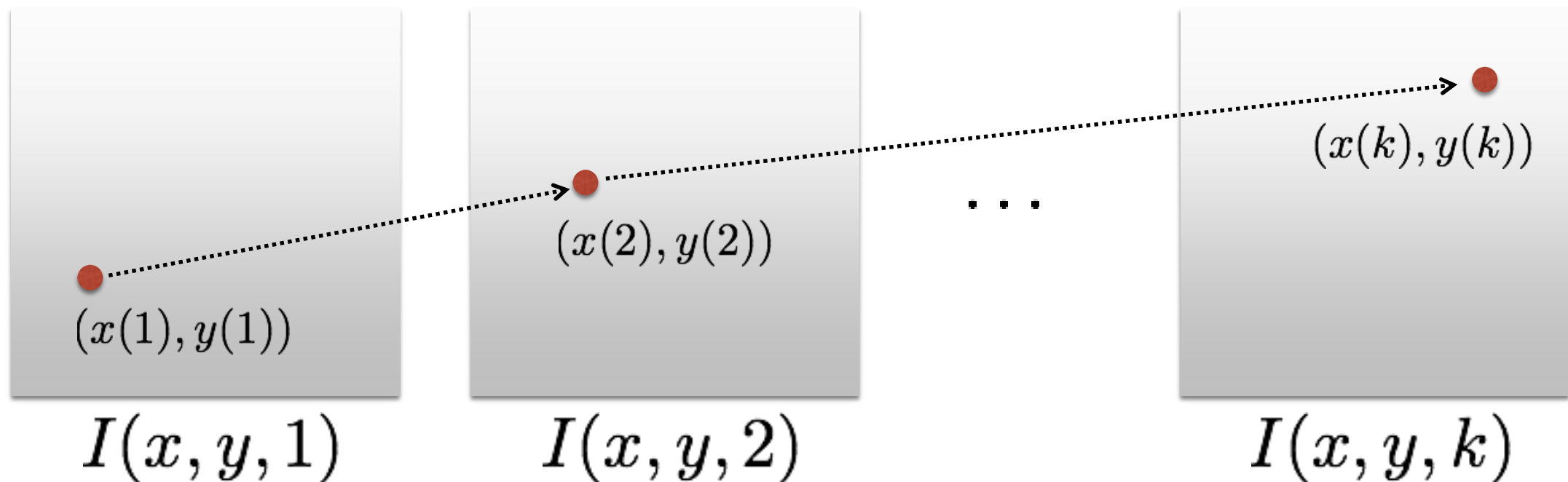
Scene point moving through image sequence



Assumption 1

# Brightness constancy

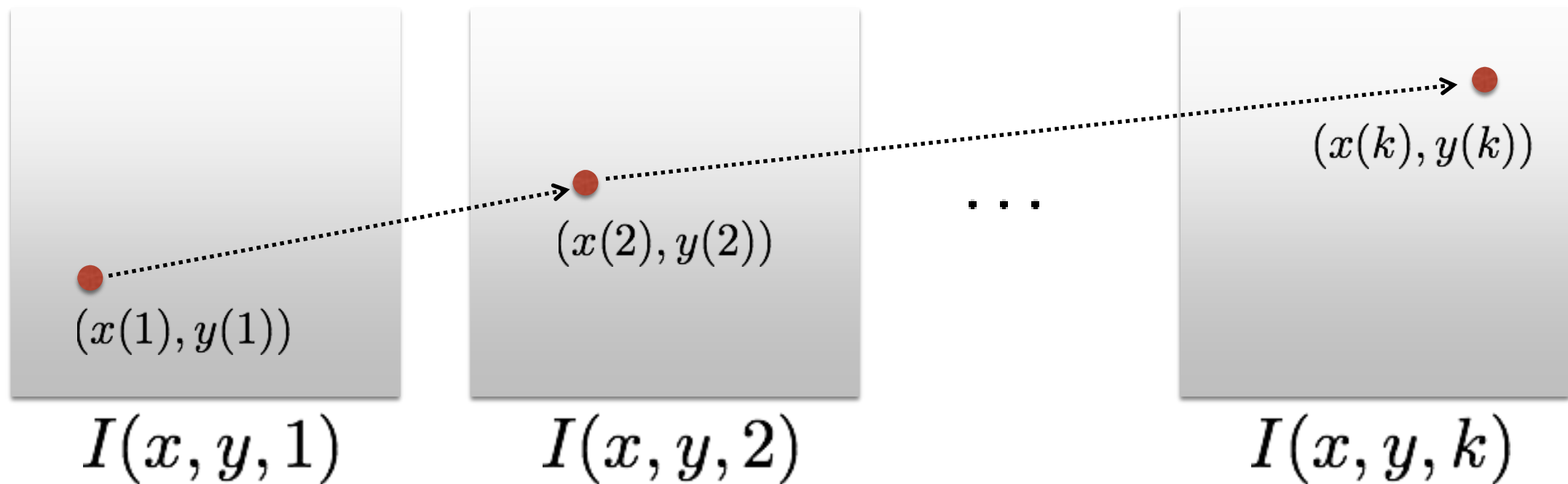
Scene point moving through image sequence



Assumption 1

# Brightness constancy

Scene point moving through image sequence



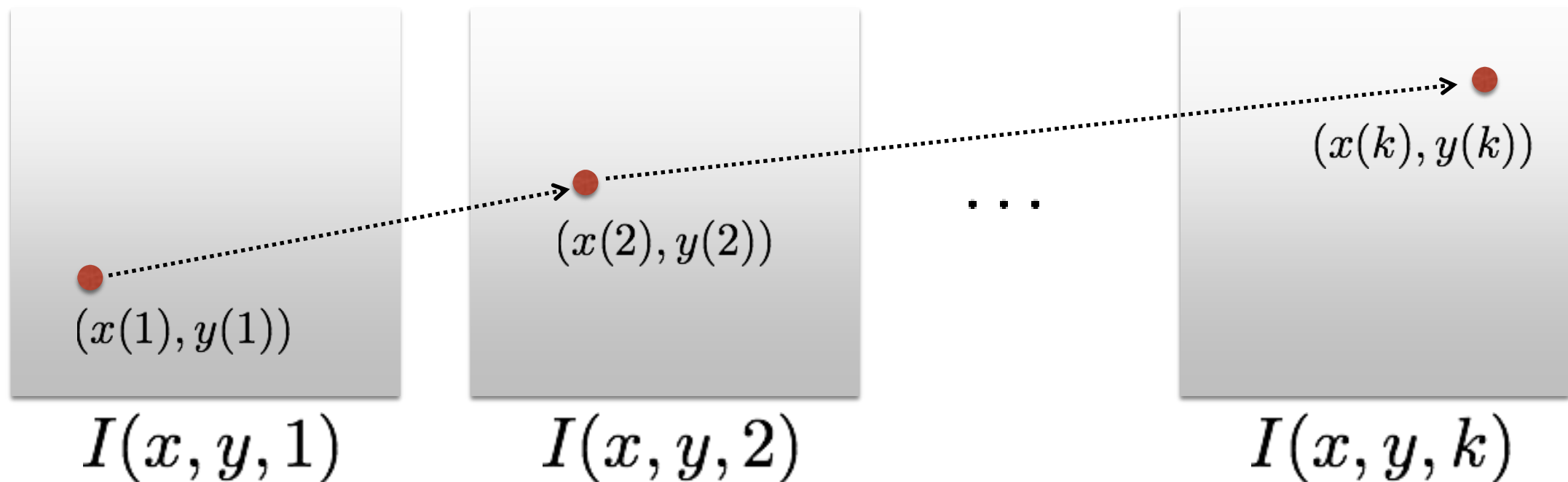
**Assumption: Brightness of the point will remain the same**



Assumption 1

# Brightness constancy

Scene point moving through image sequence



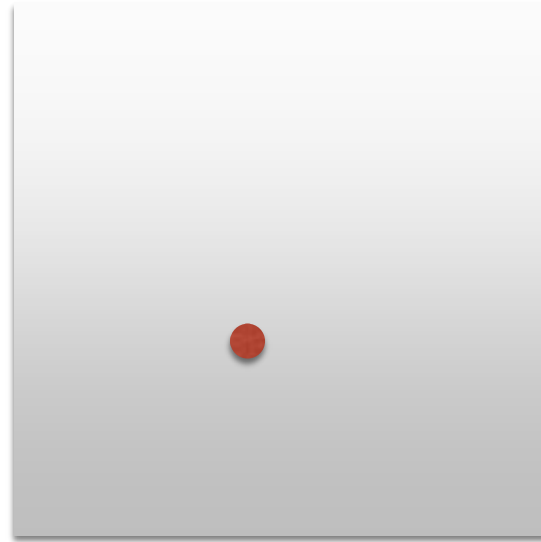
**Assumption: Brightness of the point will remain the same**

$$I(x(t), y(t), t) = C$$

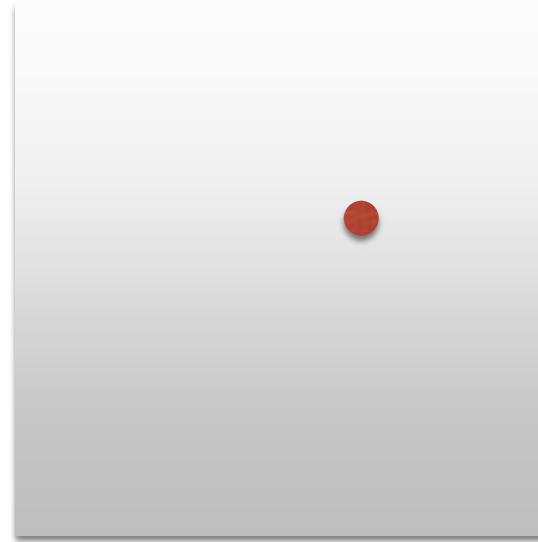
constant

Assumption 2

# Small motion



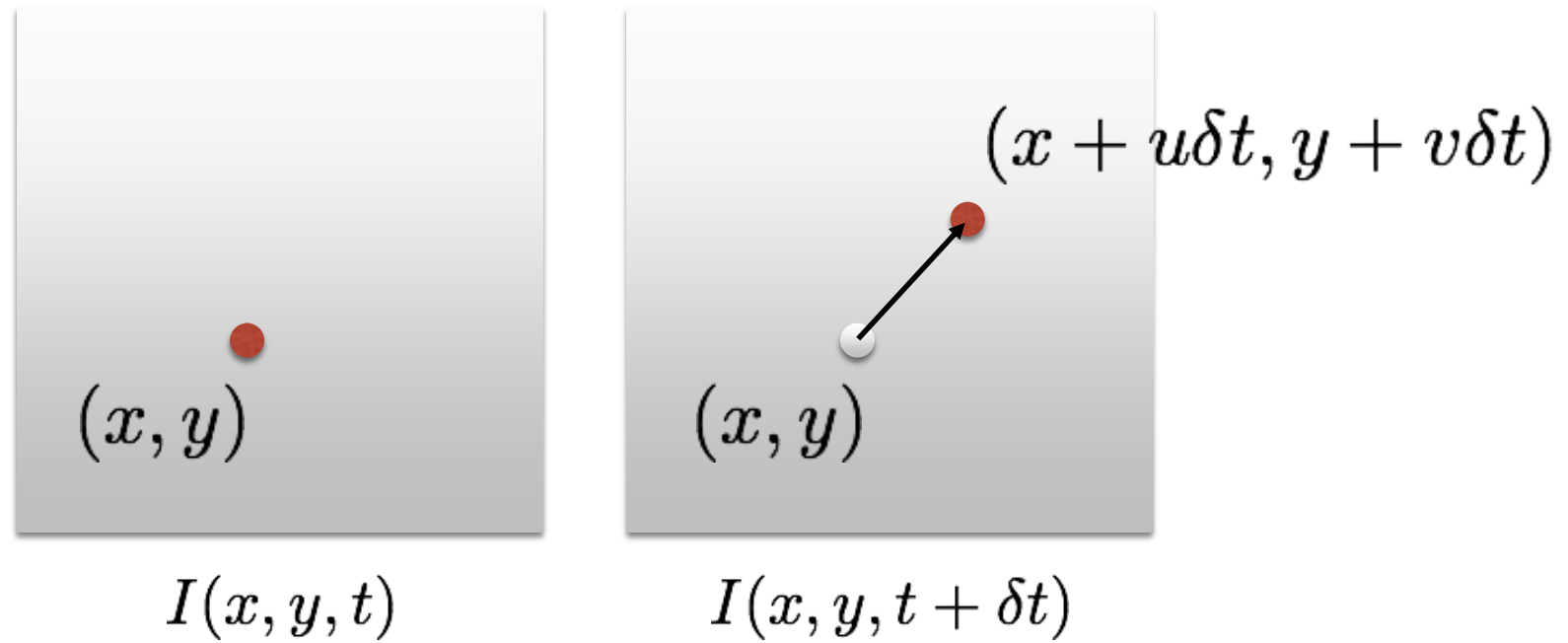
$I(x, y, t)$



$I(x, y, t + \delta t)$

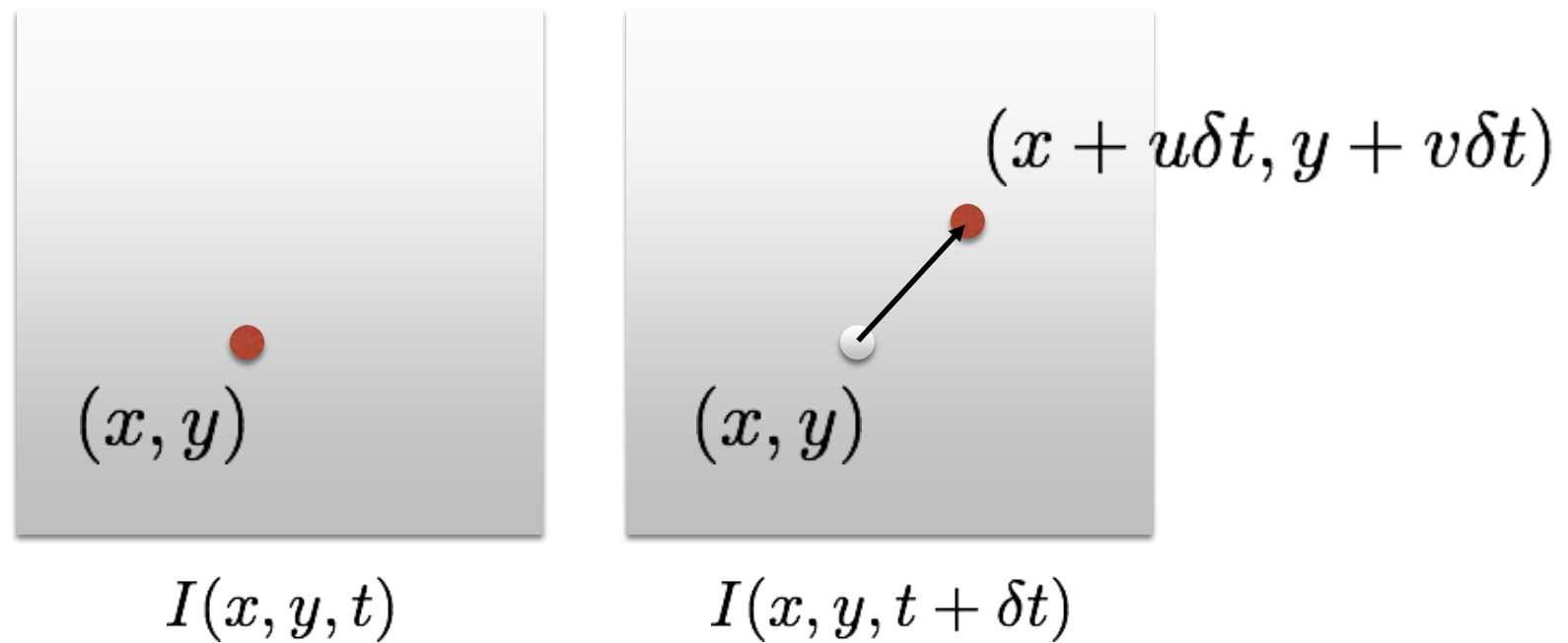
Assumption 2

# Small motion



## Assumption 2

# Small motion

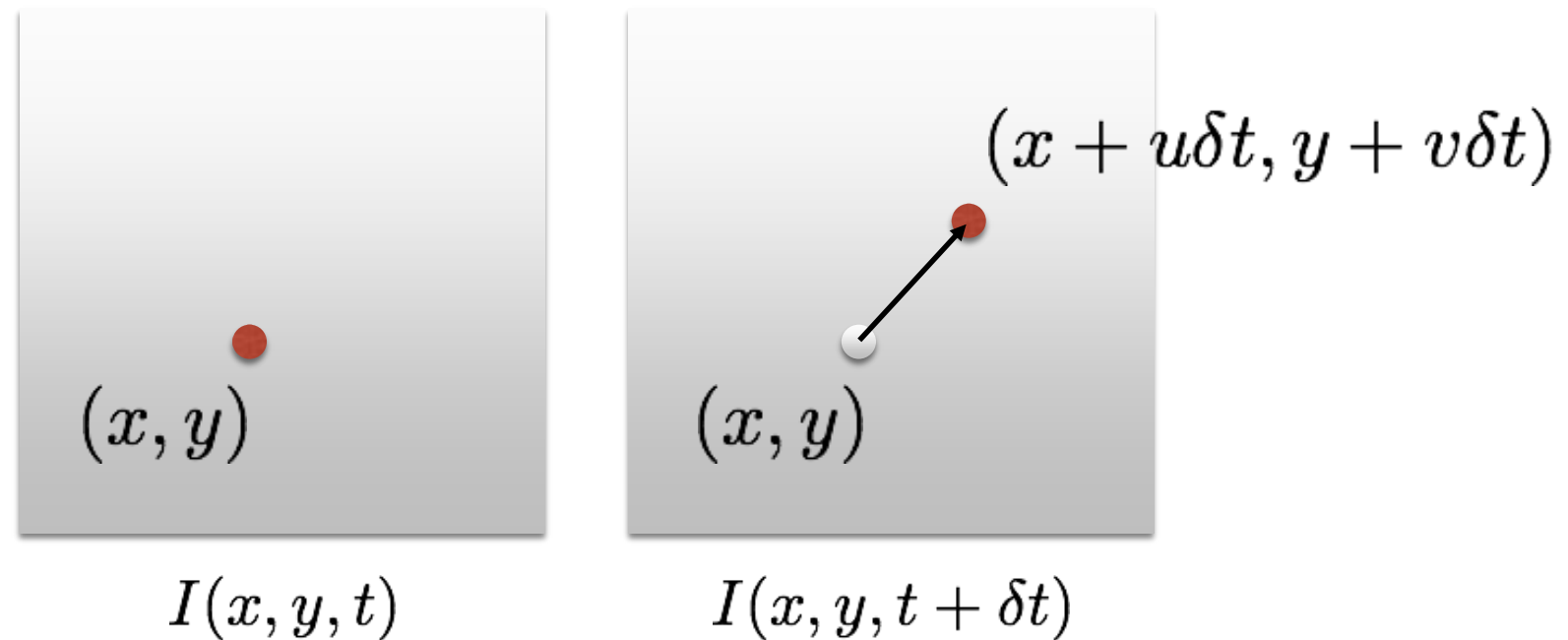


Optical flow (velocities):  $(u, v)$       Displacement:  $(\delta x, \delta y) = (u\delta t, v\delta t)$



## Assumption 2

# Small motion



Optical flow (velocities):  $(u, v)$       Displacement:  $(\delta x, \delta y) = (u\delta t, v\delta t)$

For a really small space-time step...

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

... the brightness between two consecutive image frames  
is the same

These assumptions yield the ...

## Brightness Constancy Equation

$$\frac{dI}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

total derivative

partial derivative

*Equation is not obvious. Where does this come from?*

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small space-time step, brightness of a point is the same

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small space-time step, brightness of a point is the same

**Insight:**

If the time step is really small,  
we can *linearize* the intensity function

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

### Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

### Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

$$I(x, y, t) + \frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = I(x, y, t) \quad \text{assuming small motion}$$



$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

partial derivative

$$I(x, y, t) + \frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = I(x, y, t)$$

fixed point      assuming small motion

cancel terms

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

### Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

$$I(x, y, t) + \frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = I(x, y, t) \quad \text{assuming small motion}$$

$$\frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = 0 \quad \text{cancel terms}$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

$$I(x, y, t) + \frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = I(x, y, t) \quad \text{assuming small motion}$$

$$\frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = 0$$

divide by  $\delta t$   
take limit  $\delta t \rightarrow 0$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

$$I(x, y, t) + \frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = I(x, y, t) \quad \text{assuming small motion}$$

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divide by  $\delta t$   
take limit  $\delta t \rightarrow 0$

$$\frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

$$I(x, y, t) + \frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = I(x, y, t) \quad \text{assuming small motion}$$

$$\frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = 0$$

divide by  $\delta t$   
take limit  $\delta t \rightarrow 0$

$$\frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

**Brightness Constancy Equation**

$$\frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

**Brightness  
Constancy Equation**

$$I_x u + I_y v + I_t = 0$$

(x-flow)          (y-flow)

shorthand notation

$$\nabla I^\top \mathbf{v} + I_t = 0$$

(1 × 2)      (2 × 1)

vector form

(putting the math aside for a second...)

What do the term of the  
brightness constancy equation represent?

$$I_x u + I_y v + I_t = 0$$



(putting the math aside for a second...)

What do the term of the  
brightness constancy equation represent?

$$I_x u + I_y v + I_t = 0$$

Image gradients  
(at a point p)



(putting the math aside for a second...)

What do the term of the  
brightness constancy equation represent?

flow velocities

$$I_x u + I_y v + I_t = 0$$

Image gradients  
(at a point p)

The diagram illustrates the brightness constancy equation  $I_x u + I_y v + I_t = 0$ . Two blue arrows point from the text 'flow velocities' to the terms  $u$  and  $v$  in the equation. Two green arrows point from the text 'Image gradients (at a point p)' to the terms  $I_x$  and  $I_y$  in the equation.

(putting the math aside for a second...)

What do the term of the  
brightness constancy equation represent?

flow velocities

$$I_x u + I_y v + I_t = 0$$

Image gradients  
(at a point p)

temporal gradient

The diagram shows the equation  $I_x u + I_y v + I_t = 0$ . Above the equation, the text 'flow velocities' is written in blue. Two blue arrows point from this text to the variables  $u$  and  $v$  in the equation. Below the equation, the text 'Image gradients (at a point p)' is written in green. Two green arrows point from this text to the terms  $I_x$  and  $I_y$ . To the right of the equation, the text 'temporal gradient' is written in purple. A purple arrow points from this text to the term  $I_t$ .

*How do you compute these terms?*

$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

Forward difference

Sobel filter

Scharr filter

...

$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

$$I_t = \frac{\partial I}{\partial t}$$

**temporal derivative**

Forward difference

Sobel filter

Scharr filter

...



$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

Forward difference

Sobel filter

Scharr filter

...

$$I_t = \frac{\partial I}{\partial t}$$

**temporal derivative**

frame differencing

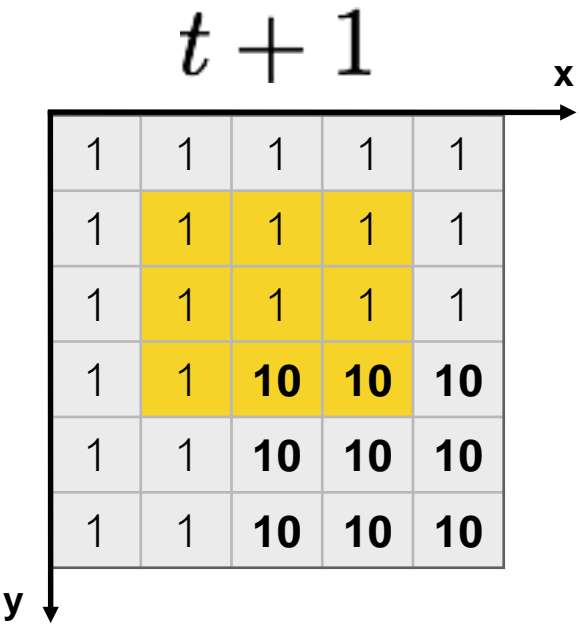
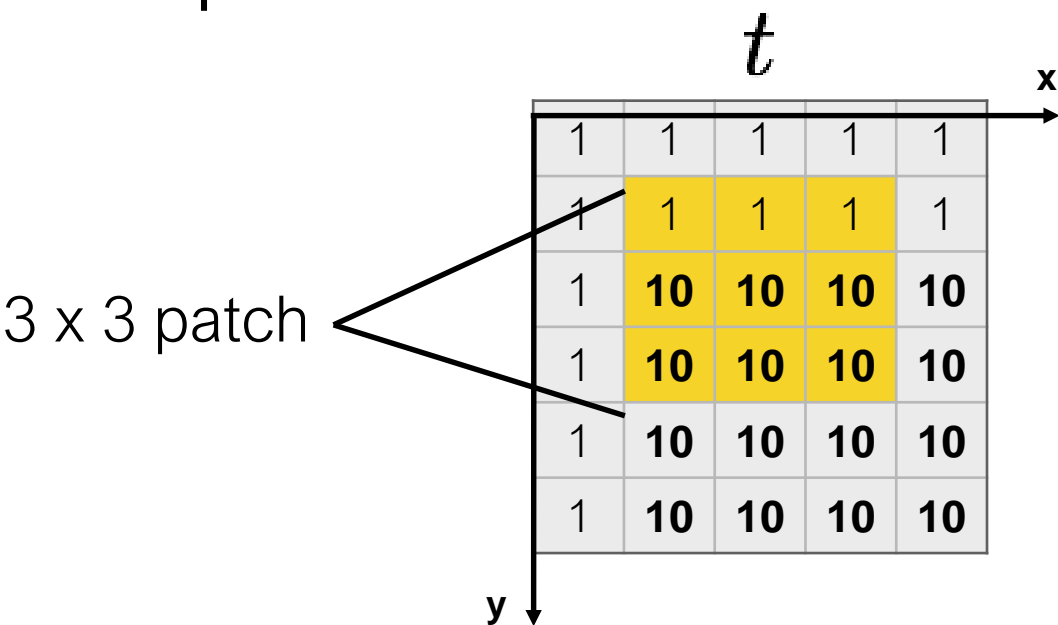
# Frame differencing

$$I_t = \frac{\partial I}{\partial t}$$

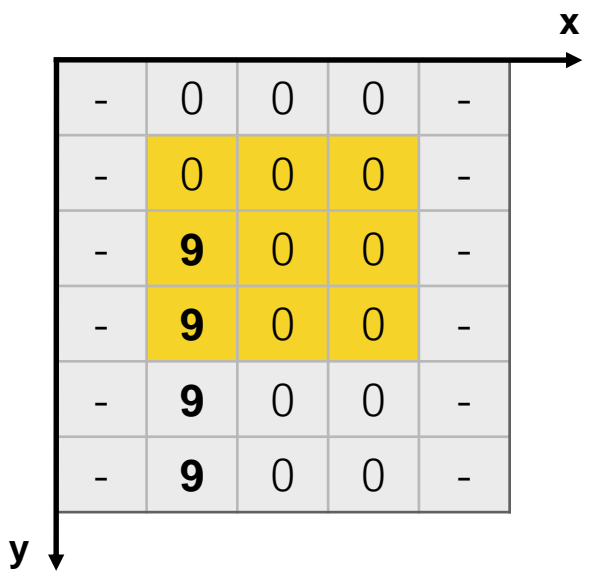
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<table border="1"><tr><td>1</td><td>1</td><td>1</td><td>1</td><td>1</td></tr><tr><td>1</td><td>1</td><td>1</td><td>1</td><td>1</td></tr><tr><td>1</td><td>10</td><td>10</td><td>10</td><td>10</td></tr><tr><td>1</td><td>10</td><td>10</td><td>10</td><td>10</td></tr><tr><td>1</td><td>10</td><td>10</td><td>10</td><td>10</td></tr><tr><td>1</td><td>10</td><td>10</td><td>10</td><td>10</td></tr></table>	1	1	1	1	1	1	1	1	1	1	1	10	10	10	10	1	10	10	10	10	1	10	10	10	10	1	10	10	10	10	-	<table border="1"><tr><td>1</td><td>1</td><td>1</td><td>1</td><td>1</td></tr><tr><td>1</td><td>1</td><td>1</td><td>1</td><td>1</td></tr><tr><td>1</td><td>1</td><td>1</td><td>1</td><td>1</td></tr><tr><td>1</td><td>1</td><td>10</td><td>10</td><td>10</td></tr><tr><td>1</td><td>1</td><td>10</td><td>10</td><td>10</td></tr><tr><td>1</td><td>1</td><td>10</td><td>10</td><td>10</td></tr></table>	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	10	10	10	1	1	10	10	10	1	1	10	10	10	=	<table border="1"><tr><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>0</td><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>9</td><td>9</td><td>9</td><td>9</td></tr><tr><td>0</td><td>9</td><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>9</td><td>0</td><td>0</td><td>0</td></tr><tr><td>0</td><td>9</td><td>0</td><td>0</td><td>0</td></tr></table>	0	0	0	0	0	0	0	0	0	0	0	9	9	9	9	0	9	0	0	0	0	9	0	0	0	0	9	0	0	0
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(example of a forward difference)

Example:

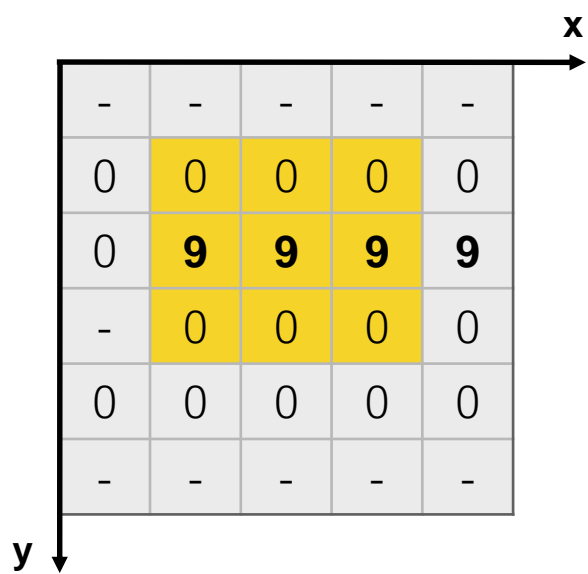


$$I_x = \frac{\partial I}{\partial x}$$



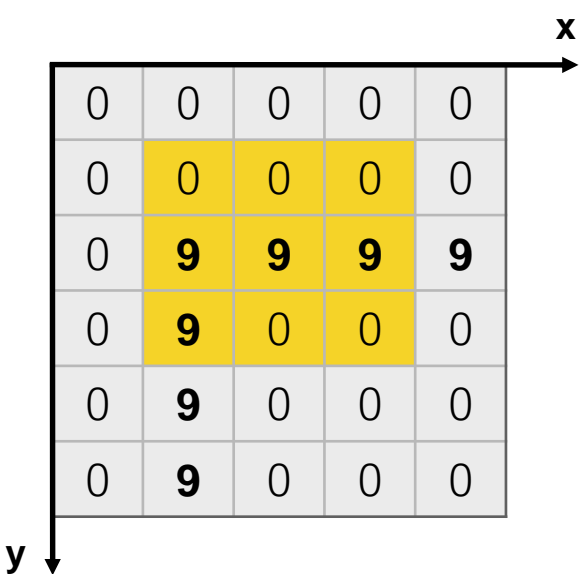
-1 0 1

$$I_y = \frac{\partial I}{\partial y}$$



-1  
0  
1

$$I_t = \frac{\partial I}{\partial t}$$



$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

Forward difference  
Sobel filter  
Scharr filter  
...

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$

**optical flow**

How do you compute this?

$$I_t = \frac{\partial I}{\partial t}$$

**temporal derivative**

frame differencing

$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

Forward difference  
Sobel filter  
Scharr filter  
...

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$

**optical flow**

**We need to solve for this!**  
(this is the unknown in the optical  
flow problem)

$$I_t = \frac{\partial I}{\partial t}$$

**temporal derivative**

frame differencing

$$I_x u + I_y v + I_t = 0$$

How do you compute ...

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

Forward difference  
Sobel filter  
Scharr filter  
...

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$

**optical flow**

$(u, v)$   
Solution lies on a line

Cannot be found uniquely  
with a single constraint

$$I_t = \frac{\partial I}{\partial t}$$

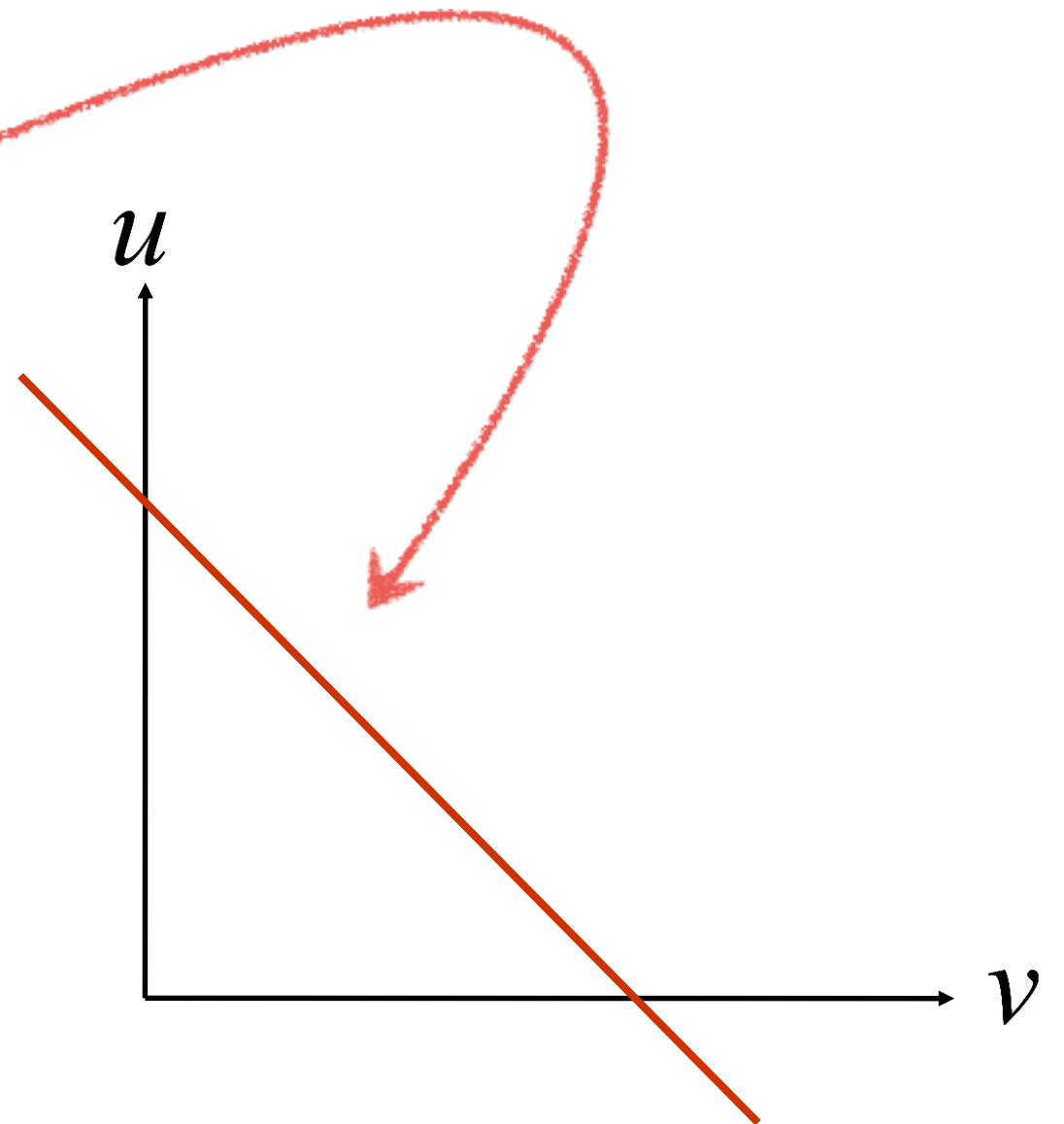
**temporal derivative**

frame differencing

Solution lies on a straight line

$$I_x u + I_y v + I_t = 0$$

many combinations of  $u$  and  $v$  will satisfy the equality



The solution cannot be determined uniquely with a single constraint (a single pixel)



$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

**spatial derivative**

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$

**optical flow**

$$I_t = \frac{\partial I}{\partial t}$$

**temporal derivative**

*How can we use the brightness constancy equation to estimate the optical flow?*

unknown

$$I_x u + I_y v + I_t = 0$$

known

*We need at least \_\_\_\_\_ equations to solve for 2 unknowns.*

unknown

$$I_x u + I_y v + I_t = 0$$

known

*Where do we get more equations (constraints)?*

## **Horn-Schunck Optical Flow (1981)**

brightness constancy

small motion

**‘smooth’ flow**

(flow can vary from pixel to pixel)

global method  
(dense)

## **Lucas-Kanade Optical Flow (1981)**

method of differences

**‘constant’ flow**

(flow is constant for all pixels)

local method  
(sparse)

Constant flow

*Where do we get more equations (constraints)?*

$$I_x u + I_y v + I_t = 0$$

Assume that the surrounding patch (say 5x5) has  
**'constant flow'**

# Assumptions:

Flow is locally smooth

Neighboring pixels have same displacement

Using a  $5 \times 5$  image patch, gives us  equations

## Assumptions:

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$I_x(\mathbf{p}_1)u + I_y(\mathbf{p}_1)v = -I_t(\mathbf{p}_1)$$

$$I_x(\mathbf{p}_2)u + I_y(\mathbf{p}_2)v = -I_t(\mathbf{p}_2)$$

$$\vdots$$

$$I_x(\mathbf{p}_{25})u + I_y(\mathbf{p}_{25})v = -I_t(\mathbf{p}_{25})$$



## Assumptions:

Flow is locally smooth

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Using a 5 x 5 image patch, gives us 25 equations

$$\begin{bmatrix} I_x(\mathbf{p}_1) & I_y(\mathbf{p}_1) \\ I_x(\mathbf{p}_2) & I_y(\mathbf{p}_2) \\ \vdots & \vdots \\ I_x(\mathbf{p}_{25}) & I_y(\mathbf{p}_{25}) \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} I_t(\mathbf{p}_1) \\ I_t(\mathbf{p}_2) \\ \vdots \\ I_t(\mathbf{p}_{25}) \end{bmatrix}$$

Matrix form

# Assumptions:

Flow is locally smooth

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Using a 5 x 5 image patch, gives us 25 equations

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$$\mathbf{A}$$
$$25 \times 2$$
$$\mathbf{x}$$
$$2 \times 1$$
$$\mathbf{b}$$
$$25 \times 1$$

*How many equations? How many unknowns? How do we solve this?*

## Least squares approximation

$\hat{x} = \arg \min_x ||Ax - b||^2$  is equivalent to solving  $A^\top A \hat{x} = A^\top b$

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To obtain the least squares solution solve:

$$A^\top A \quad \hat{x} \quad A^\top b$$
$$\begin{bmatrix} \sum_{p \in P} I_x I_x & \sum_{p \in P} I_x I_y \\ \sum_{p \in P} I_y I_x & \sum_{p \in P} I_y I_y \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum_{p \in P} I_x I_t \\ \sum_{p \in P} I_y I_t \end{bmatrix}$$

where the summation is over each pixel  $\mathbf{p}$  in patch  $\mathbf{P}$

$$x = (A^\top A)^{-1} A^\top b$$

## Least squares approximation

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where the summation is over each pixel  $\mathbf{p}$  in patch  $\mathbf{P}$

Sometimes called ‘Lucas-Kanade Optical Flow’

(can be interpreted to be a special case of the LK method with a translational warp model)

When is this solvable?

$$A^T A \hat{x} = A^T b$$

$A^T A$  should be invertible

$A^T A$  should not be too small

$\lambda_1$  and  $\lambda_2$  should not be too small

$A^T A$  should be well conditioned

$\lambda_1/\lambda_2$  should not be too large ( $\lambda_1$ =larger eigenvalue)

*Where have you seen this before?*

$$A^{\top} A = \begin{bmatrix} \sum_{p \in P} I_x I_x & \sum_{p \in P} I_x I_y \\ \sum_{p \in P} I_y I_x & \sum_{p \in P} I_y I_y \end{bmatrix}$$

*Where have you seen this before?*

$$\begin{bmatrix} \sum_{p \in P} I_x I_x & \sum_{p \in P} I_x I_y \\ \sum_{p \in P} I_y I_x & \sum_{p \in P} I_y I_y \end{bmatrix}$$

Harris Corner Detector!



# Implications

- Corners are when  $\lambda_1, \lambda_2$  are big; this is also when Lucas-Kanade optical flow works best
- Corners are regions with two different directions of gradient (at least)
- Corners are good places to compute flow!

*What happens when you have no 'corners'?*

*You want to compute optical flow.  
What happens if the image patch contains only a line?*

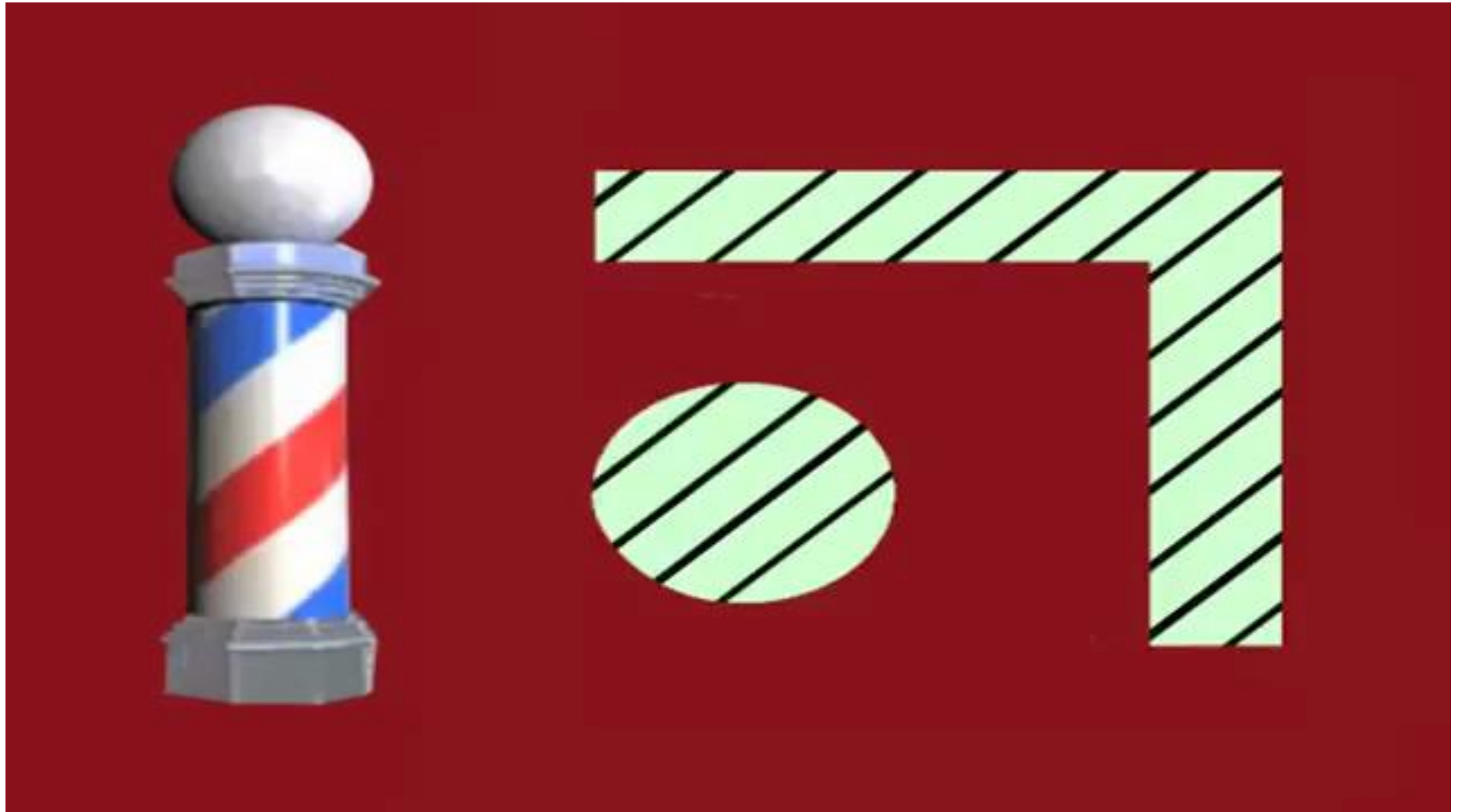
# Barber's pole illusion



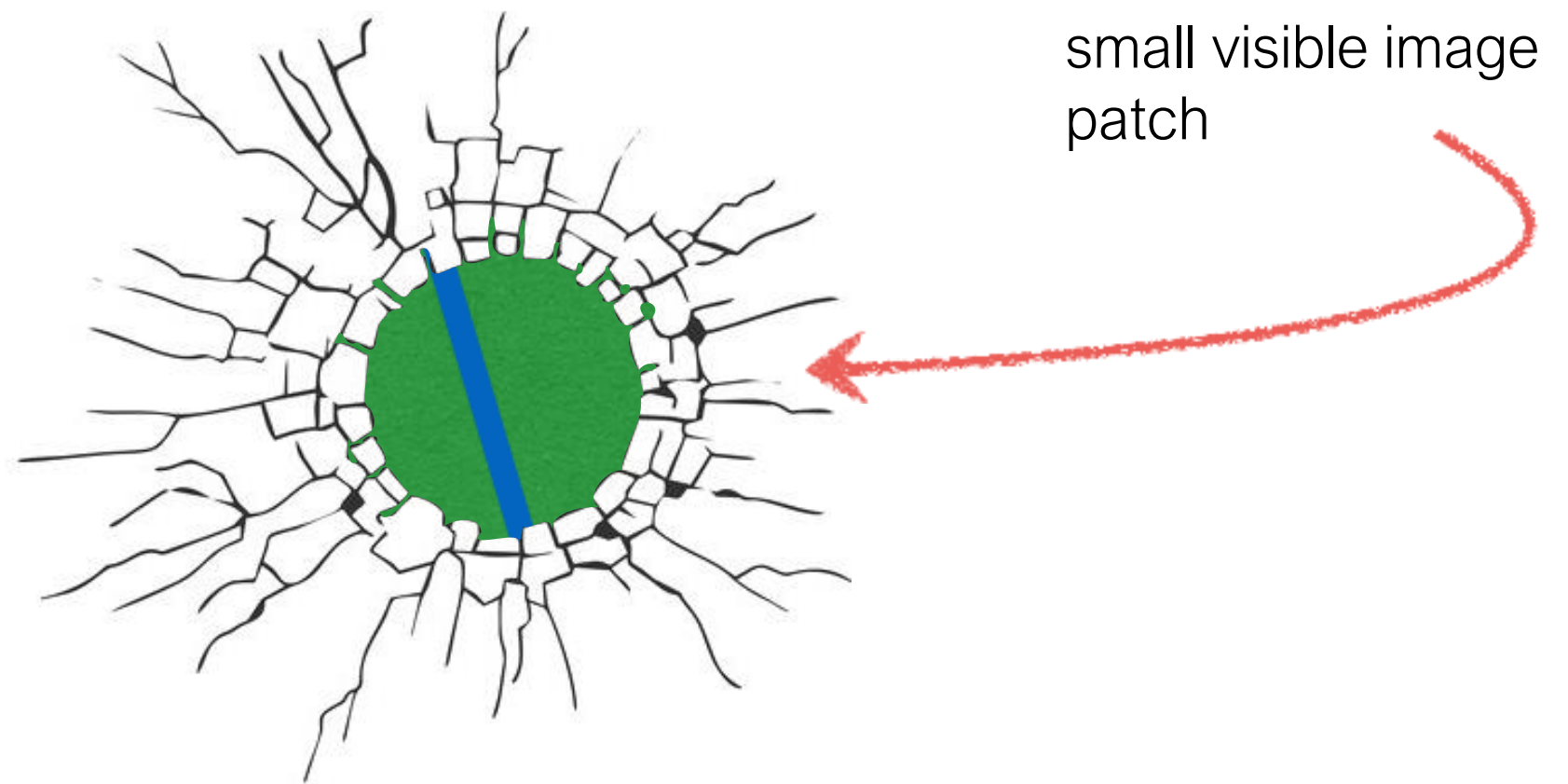
# Barber's pole illusion



# Barber's pole illusion

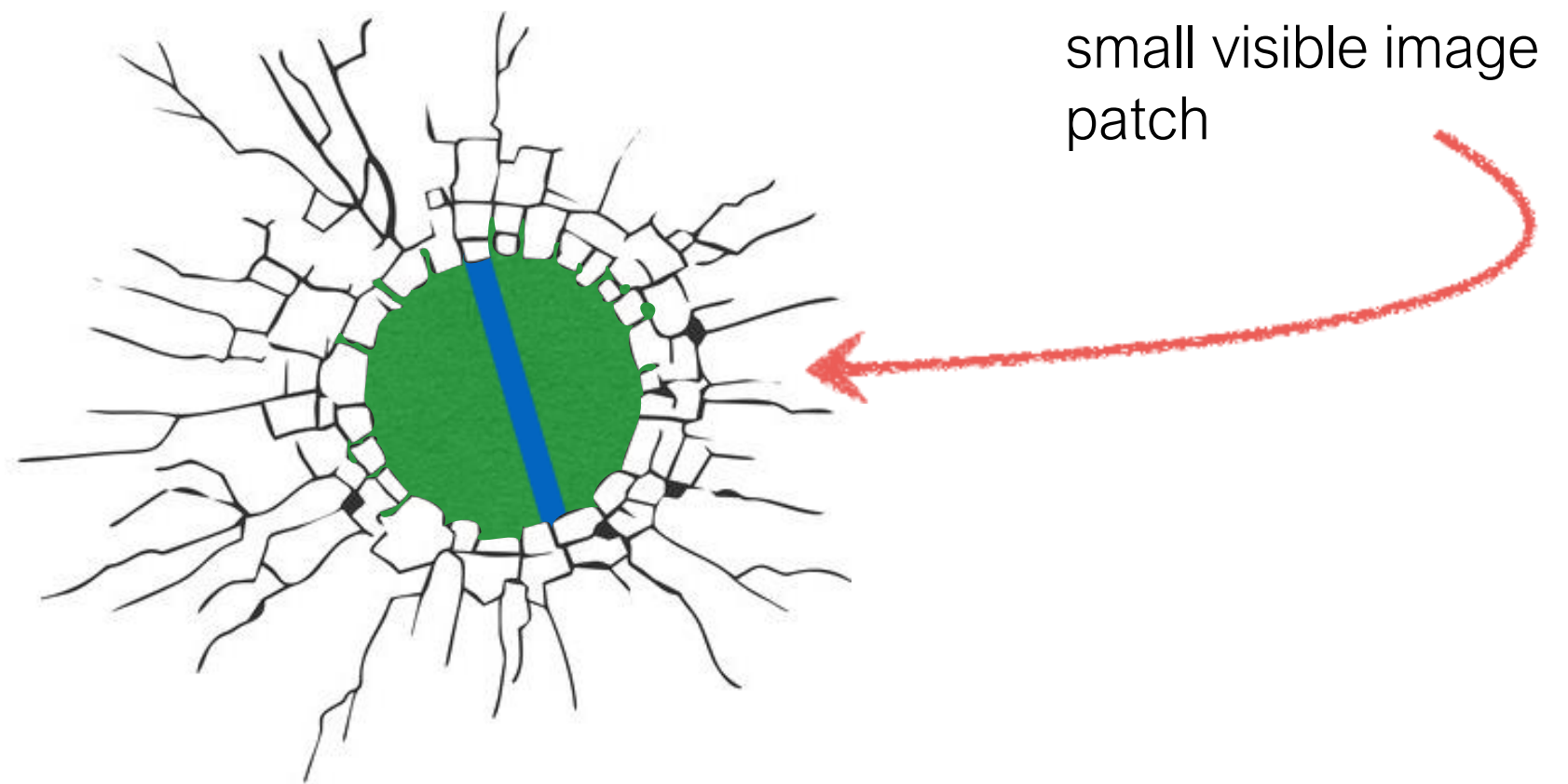


# Aperture Problem



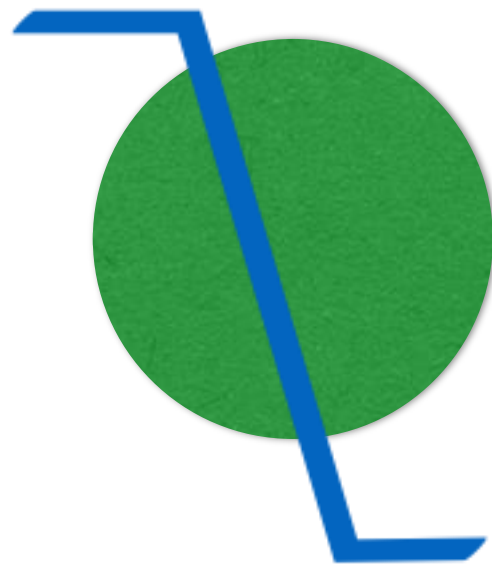
*In which direction is the line moving?*

# Aperture Problem



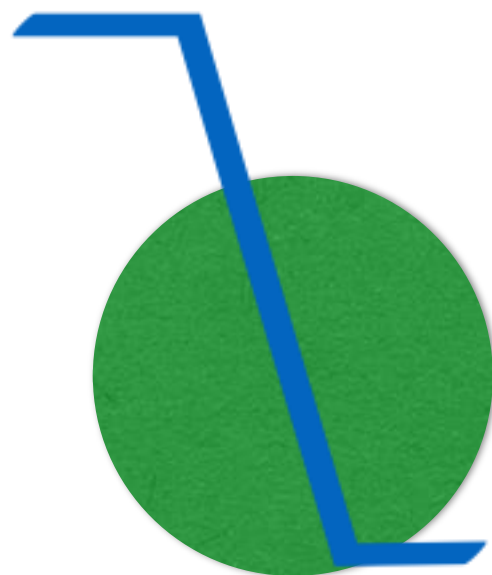
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# Aperture Problem

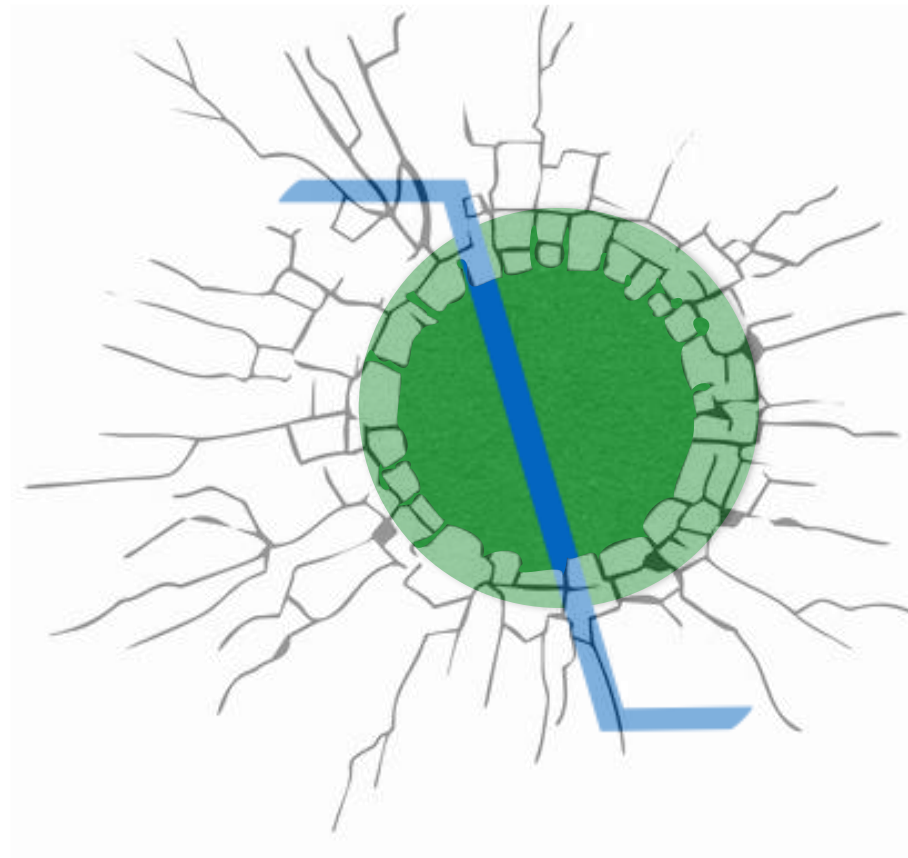




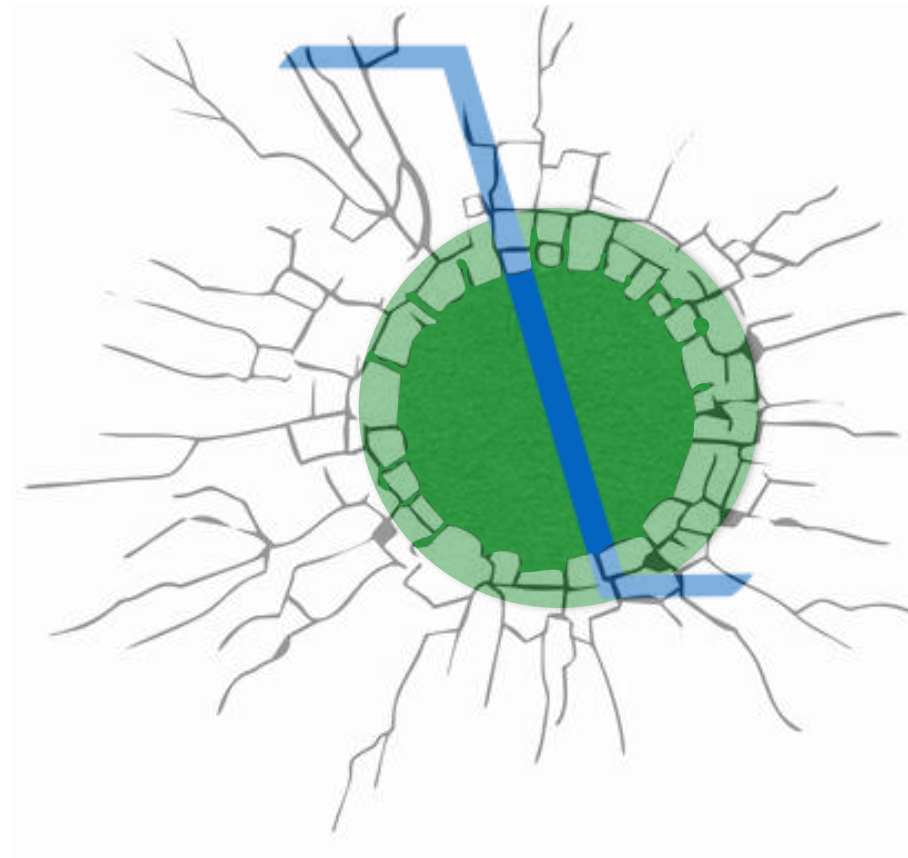
# Aperture Problem

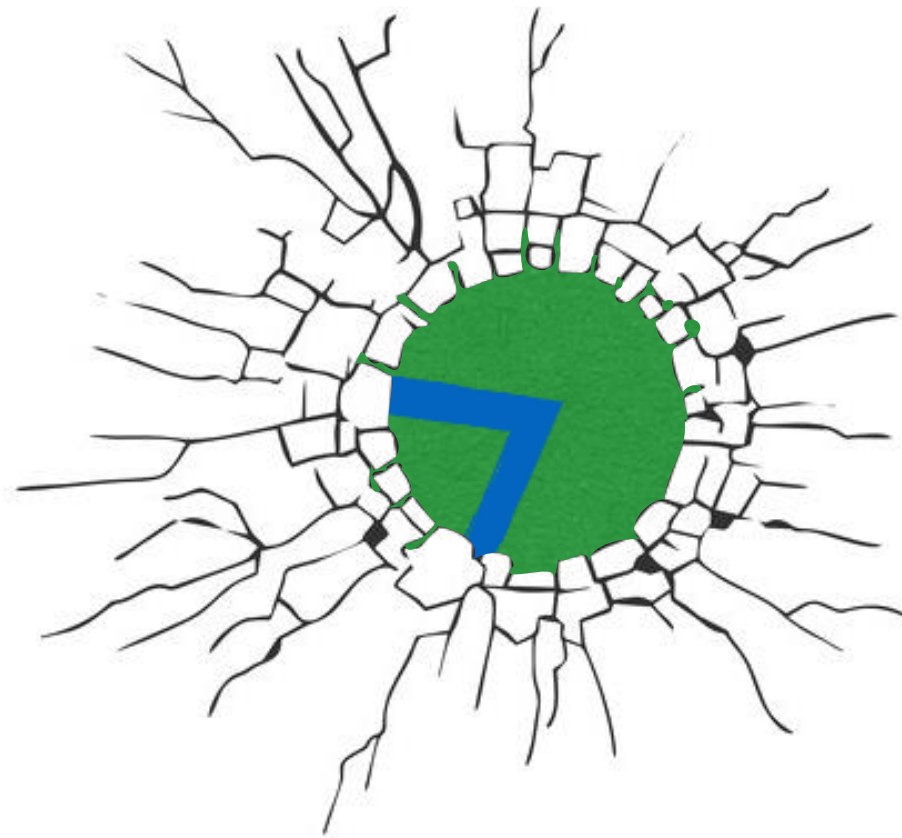


# Aperture Problem

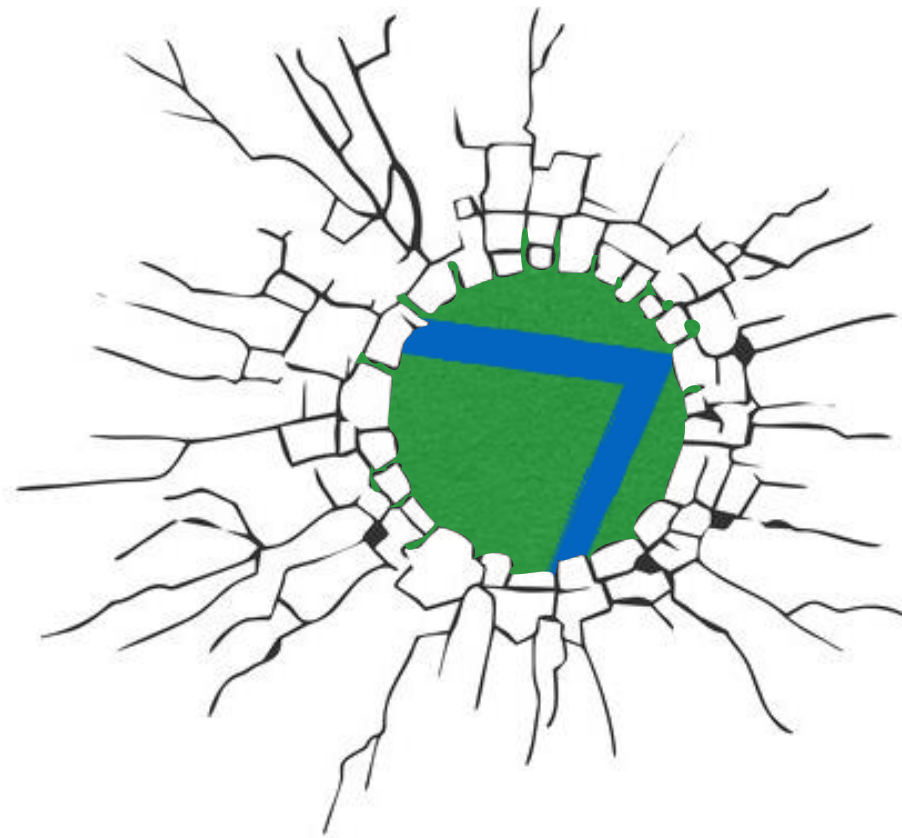


# Aperture Problem

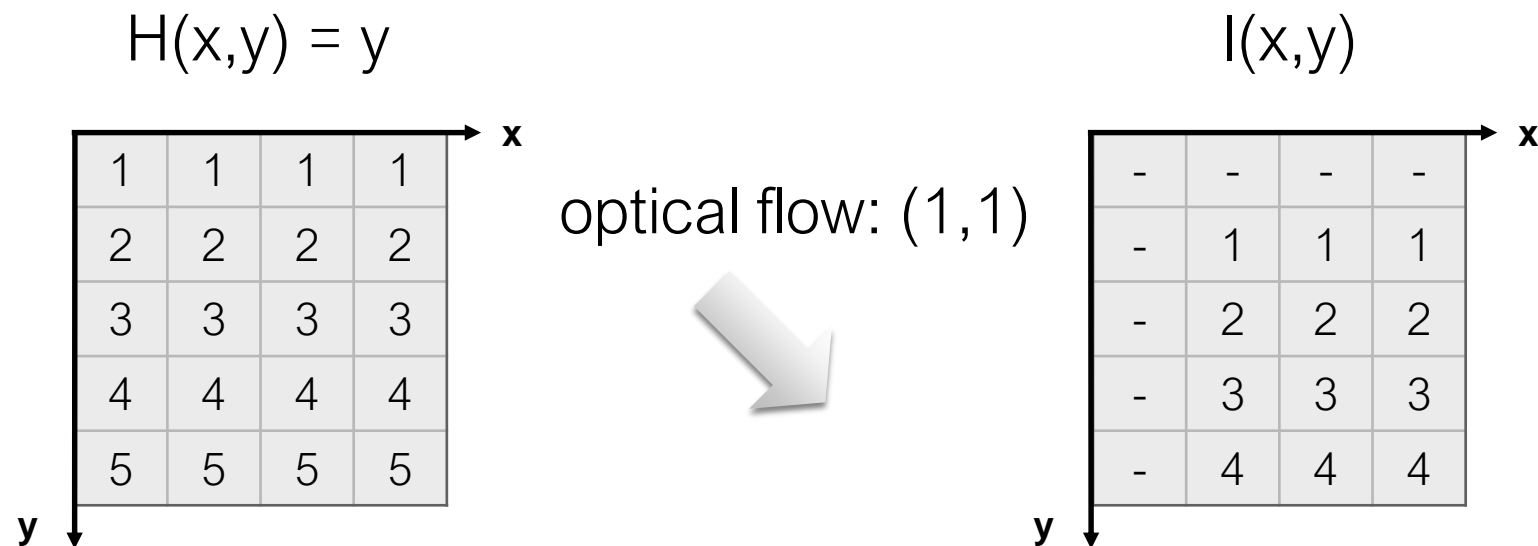




Want patches with different gradients to  
the avoid aperture problem



Want patches with different gradients to  
the avoid aperture problem



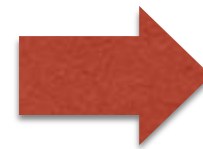
**Compute gradients**

$$I_x(3,3) = 0$$

$$I_y(3,3) = 1$$

$$I_t(3,3) = I(3,3) - H(3,3) = -1$$

**Solution:**



$$v = 1$$

We recover the  $v$  of the optical flow but not the  $u$ .

***This is the aperture problem.***

Horn-Schunck optical flow

## **Horn-Schunck Optical Flow (1981)**

brightness constancy

small motion

**‘smooth’ flow**

(flow can vary from pixel to pixel)

global method  
(dense)

## **Lucas-Kanade Optical Flow (1981)**

method of differences

**‘constant’ flow**

(flow is constant for all pixels)

local method  
(sparse)



# Smoothness



**most objects in the world are rigid or  
deform elastically  
moving together coherently**

**we expect optical flow fields to be smooth**

# Key idea

(of Horn-Schunck optical flow)

Enforce  
**brightness constancy**

Enforce  
**smooth flow field**

to compute optical flow

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# Enforce **brightness constancy**

$$I_x u + I_y v + I_t = 0$$

For every pixel,

$$\min_{u,v} \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2$$

# Enforce **brightness constancy**

$$I_x u + I_y v + I_t = 0$$

For every pixel,

$$\min_{u,v} \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2$$

lazy notation for  $I_x(i, j)$

# Key idea

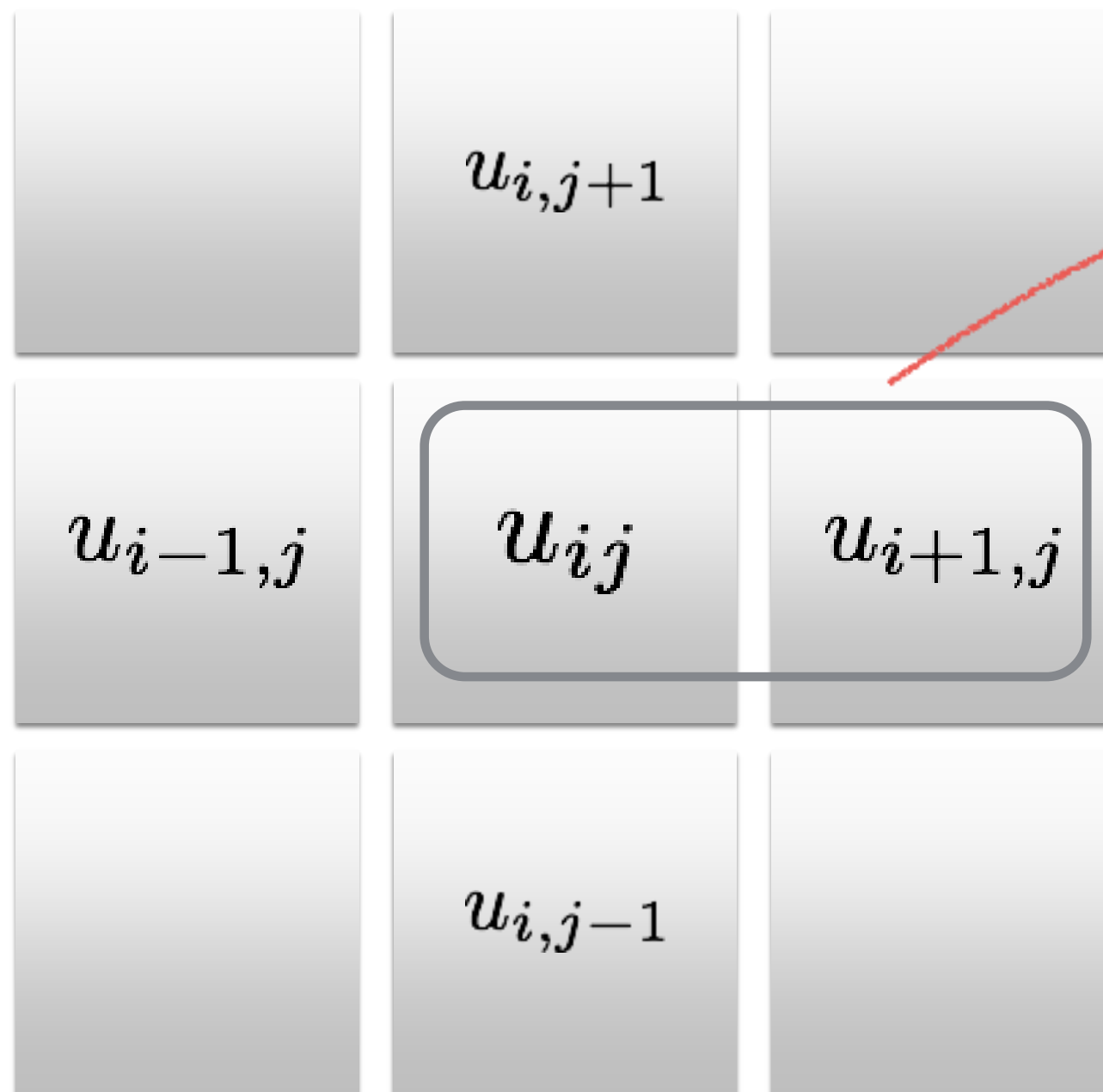
(of Horn-Schunck optical flow)

Enforce  
**brightness constancy**

Enforce  
**smooth flow field**

to compute optical flow

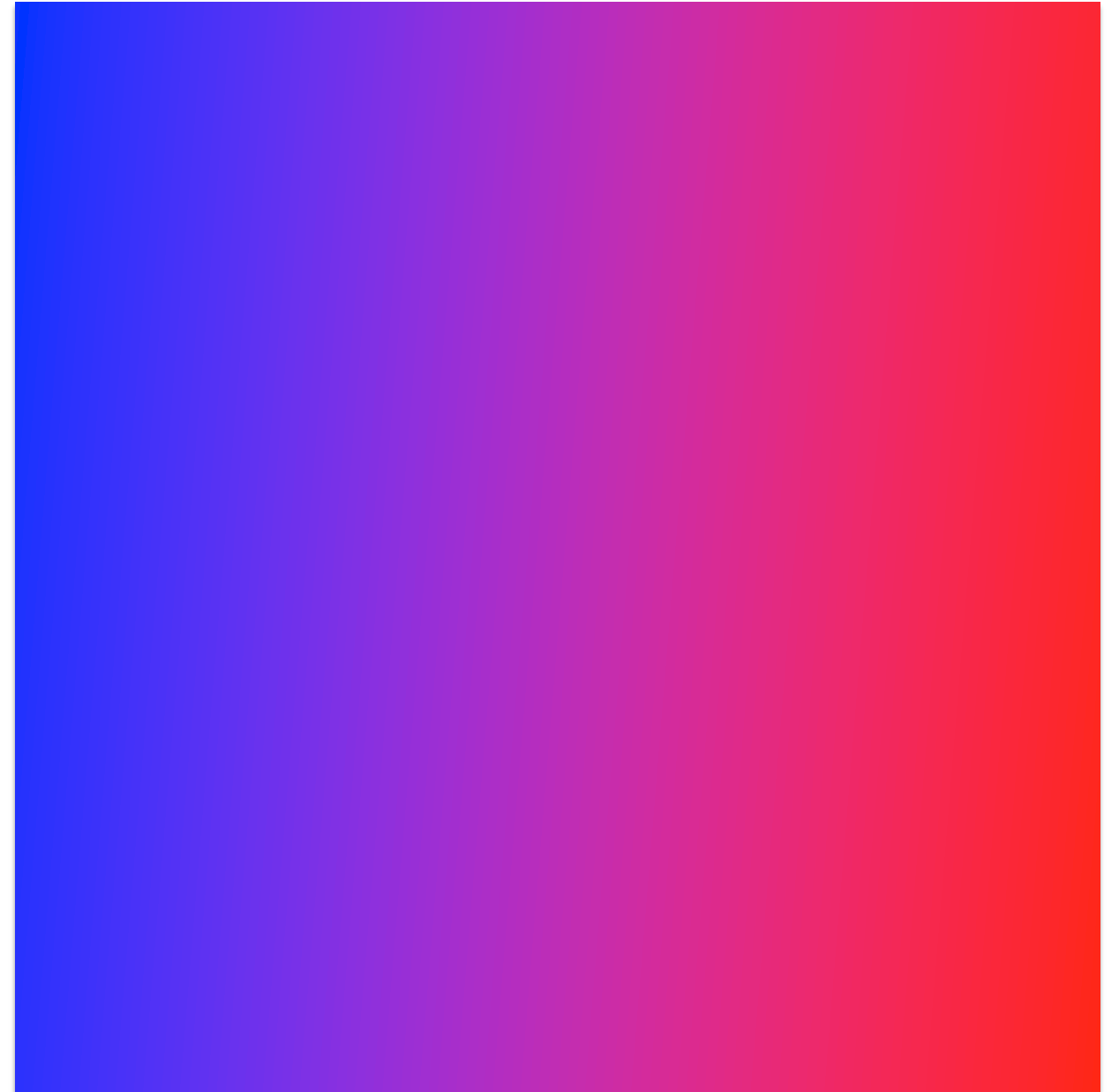
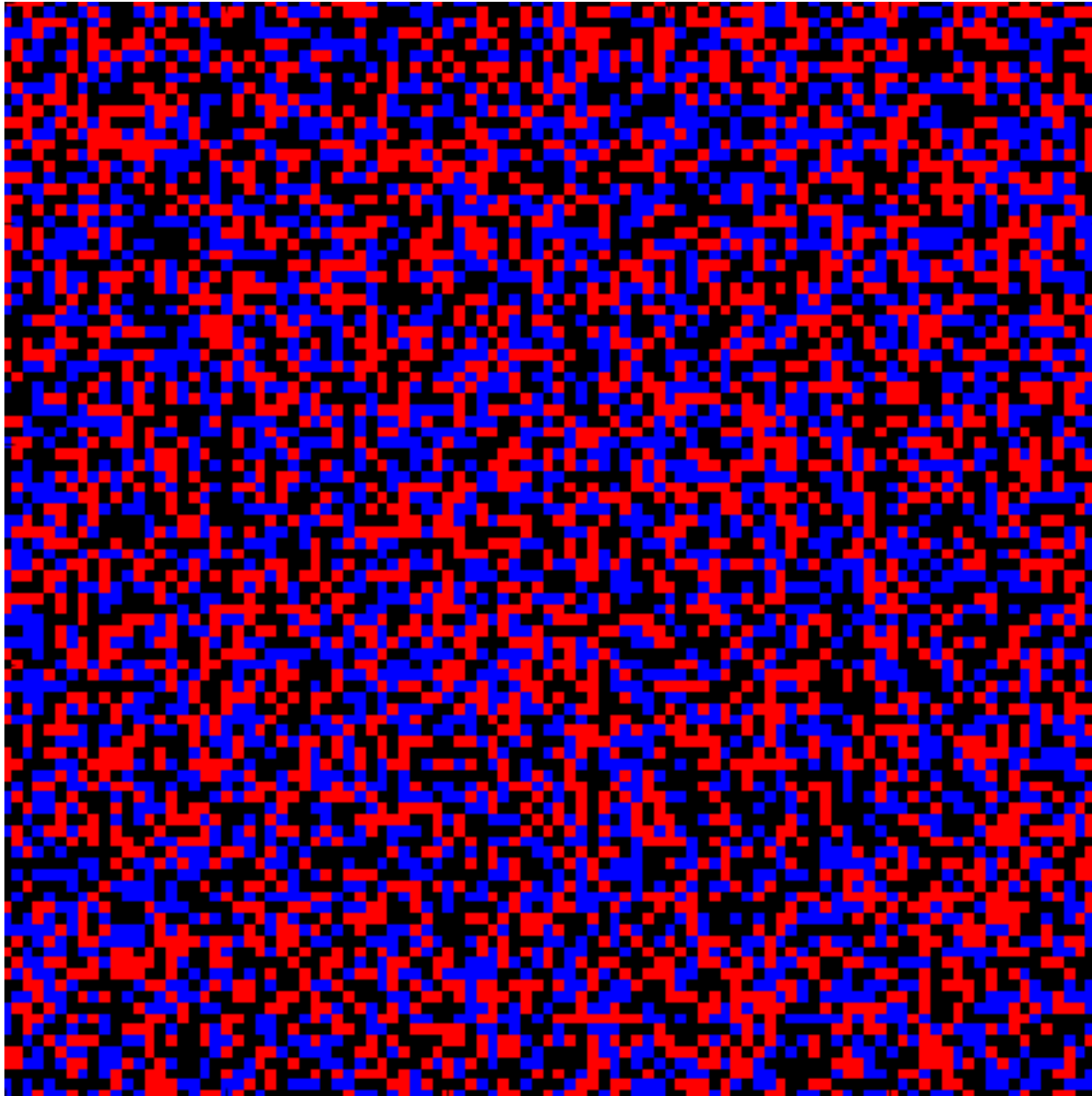
# Enforce **smooth flow field**



$$\min_{\mathbf{u}} (u_{i,j} - u_{i+1,j})^2$$

u-component of flow

Which flow field optimizes the objective?  $\min_{\mathbf{u}} (u_{i,j} - u_{i+1,j})^2$



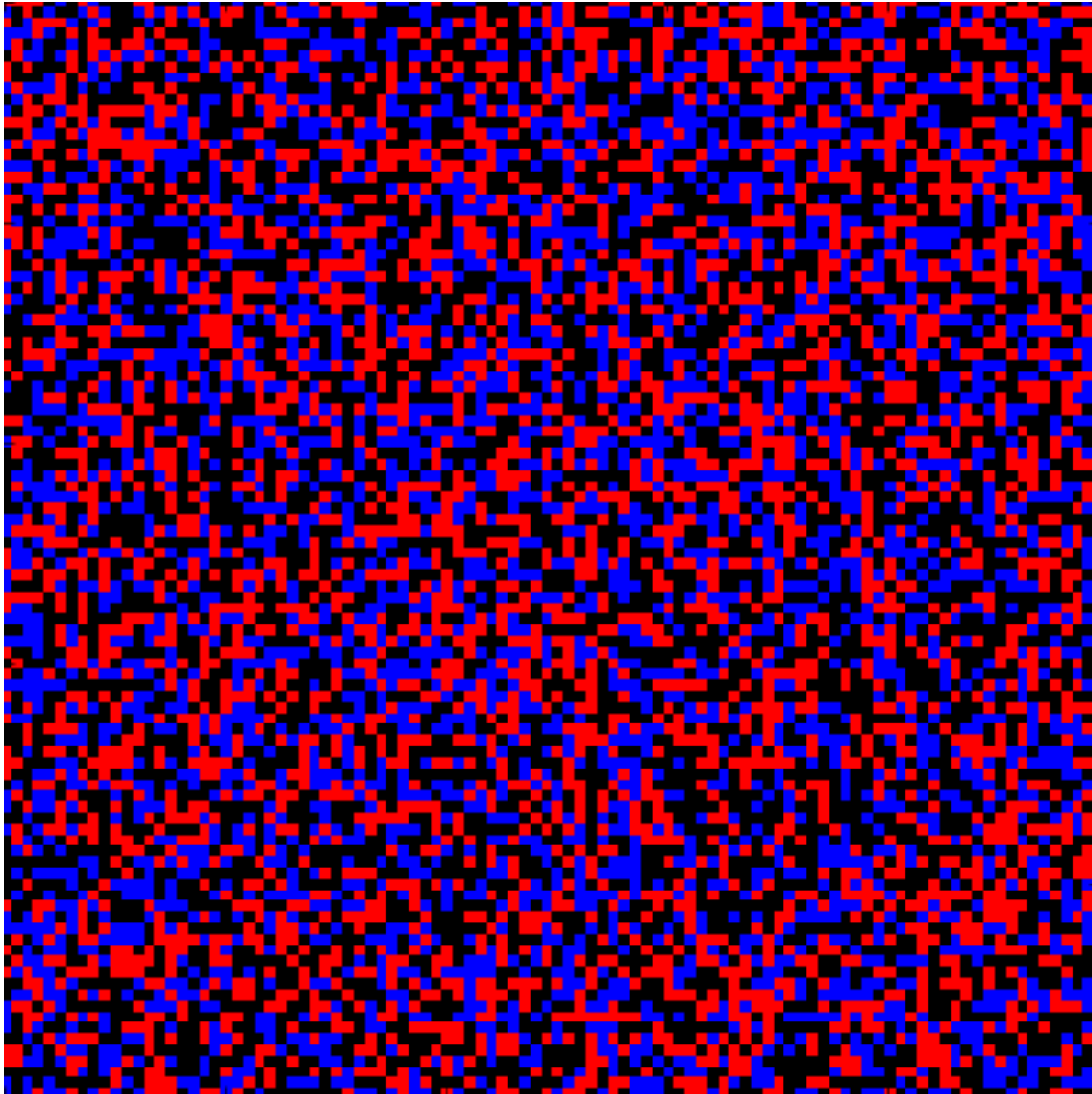
$$\sum_{ij} (u_{ij} - u_{i+1,j})^2$$

?

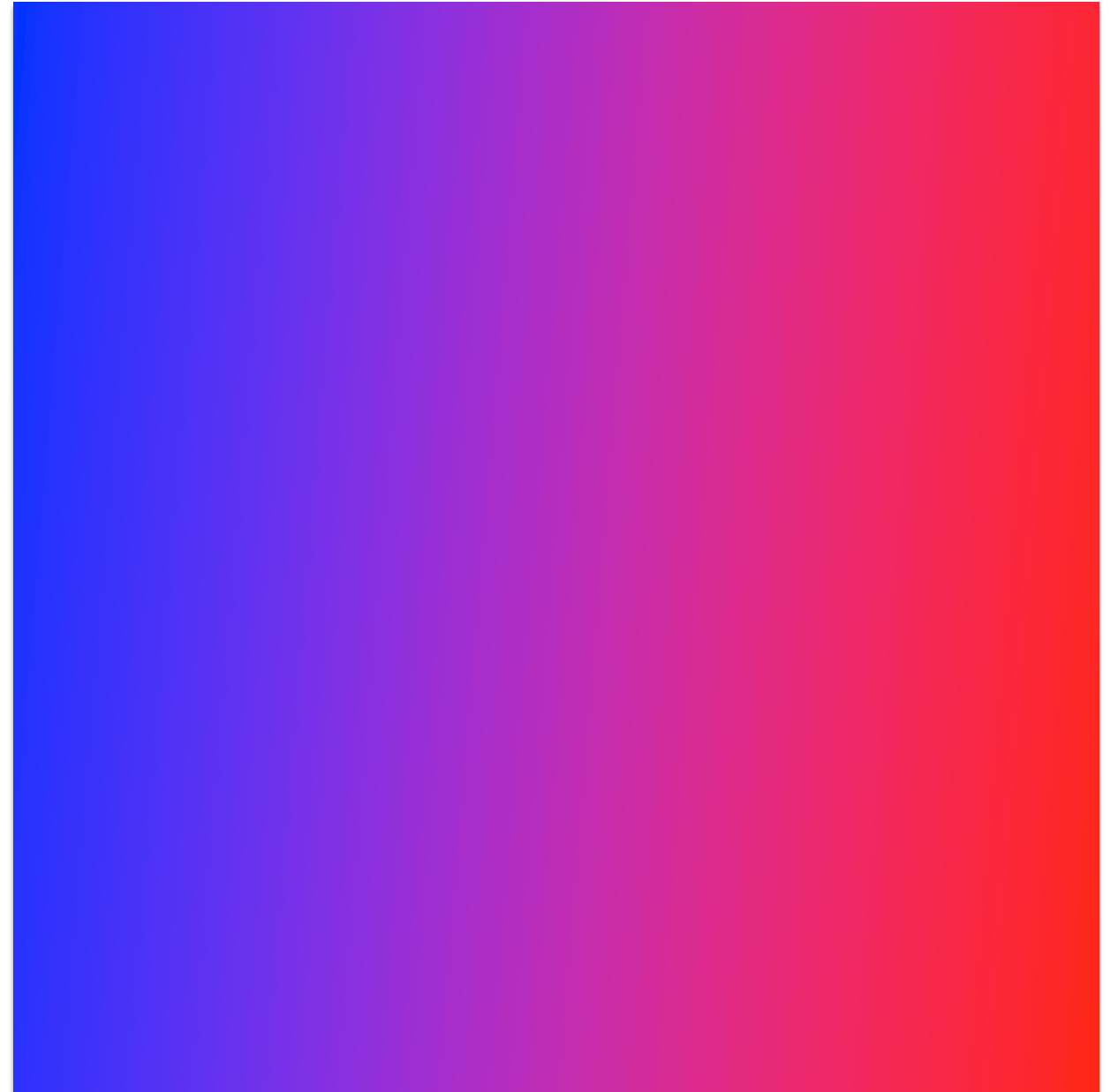
$$\sum_{ij} (u_{ij} - u_{i+1,j})^2$$



*Which flow field optimizes the objective?*  $\min_{\mathbf{u}} (u_{i,j} - u_{i+1,j})^2$



big



small

# Key idea

(of Horn-Schunck optical flow)

Enforce  
**brightness constancy**

Enforce  
**smooth flow field**

to compute optical flow

bringing it all together...

# Horn-Schunck optical flow

$$\min_{\mathbf{u}, \mathbf{v}} \sum_{i,j} \left\{ \overset{\text{smoothness}}{E_s(i,j)} + \overset{\text{brightness constancy}}{\lambda E_d(i,j)} \right\}$$

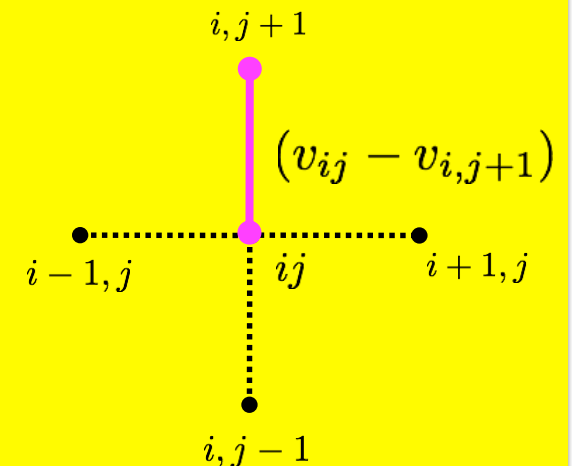
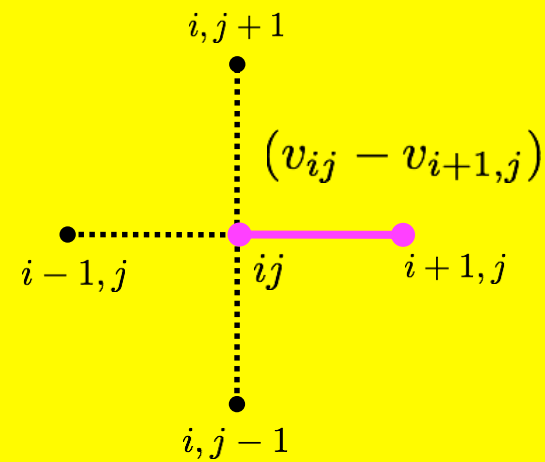
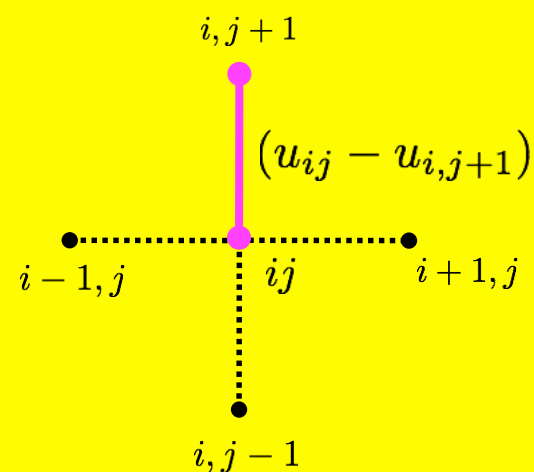
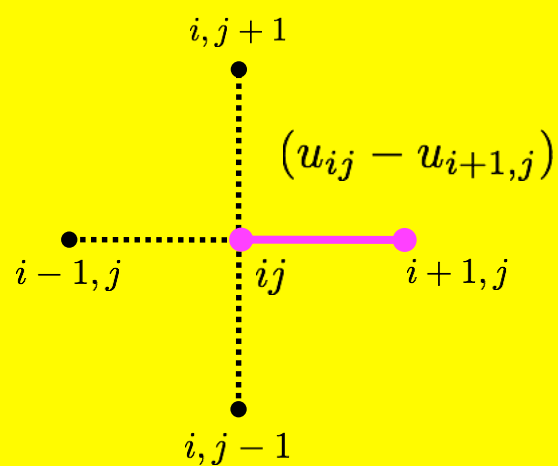
↖  
weight

# HS optical flow objective function

**Brightness constancy**  $E_d(i, j) = \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2$

## Smoothness

$$E_s(i, j) = \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right]$$



How do we solve this minimization problem?

$$\min_{\mathbf{u}, \mathbf{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

How do we solve this minimization problem?

$$\min_{\mathbf{u}, \mathbf{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

Compute partial derivative, derive update equations  
(gradient decent!)

Compute the partial derivatives of this huge sum!

$$\sum_{ij} \left\{ \underbrace{\frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right]}_{\text{smoothness term}} + \underbrace{\lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2}_{\text{brightness constancy}} \right\}$$

Compute the partial derivatives of this huge sum!

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} =$$

*how many u terms depend on k and l?*



Compute the partial derivatives of this huge sum!

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} =$$

*how many u terms depend on k and l?*

**FOUR** from smoothness

**ONE** from brightness constancy

Compute the partial derivatives of this huge sum!

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

*how many u terms depend on k and l?*

**FOUR** from smoothness

**ONE** from brightness constancy

Compute the partial derivatives of this huge sum!

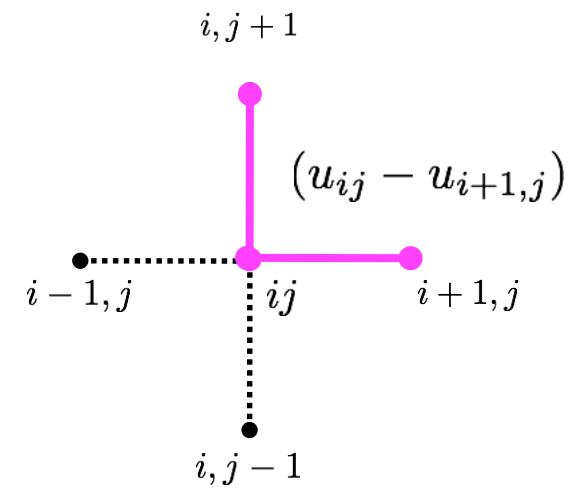
$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$



$$(u_{ij}^2 - 2u_{ij}u_{i+1,j} + u_{i+1,j}^2)$$

$$(u_{ij}^2 - 2u_{ij}u_{i,j+1} + u_{i,j+1}^2)$$

(variable will appear four times in sum)



Compute the partial derivatives of this huge sum!

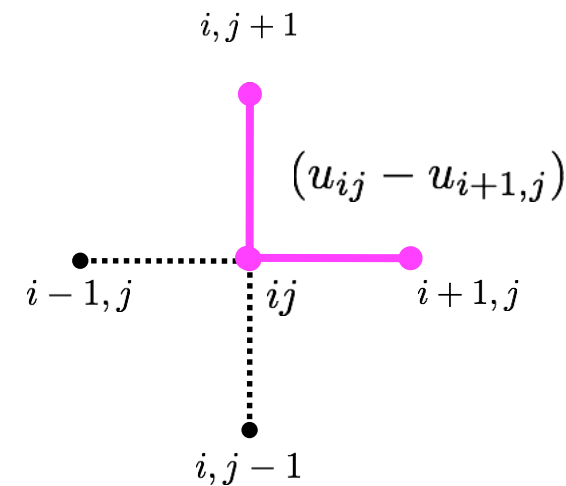
$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$



$$(u_{ij}^2 - 2u_{ij}u_{i+1,j} + u_{i+1,j}^2)$$

$$(u_{ij}^2 - 2u_{ij}u_{i,j+1} + u_{i,j+1}^2)$$

(variable will appear four times in sum)



$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

short hand for  
local average

$$\bar{u}_{ij} = \frac{1}{4} \left\{ u_{i+1,j} + u_{i-1,j} + u_{i,j+1} + u_{i,j-1} \right\}$$

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

*Where are the extrema of E?*

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

*Where are the extrema of E?*

(set derivatives to zero and solve for unknowns u and v)

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2)v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

$$\{1 + \lambda(I_x^2 + I_y^2)\}u_{kl} = (1 + \lambda I_x^2)\bar{u}_{kl} - \lambda I_x I_y \bar{v}_{kl} - \lambda I_x I_t$$

$$\{1 + \lambda(I_x^2 + I_y^2)\}v_{kl} = (1 + \lambda I_y^2)\bar{v}_{kl} - \lambda I_x I_y \bar{u}_{kl} - \lambda I_y I_t$$

Rearrange to get update equations:

$$\begin{aligned} \underset{\text{new value}}{\hat{u}_{kl}} &= \underset{\text{old average}}{\bar{u}_{kl}} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \\ \hat{v}_{kl} &= \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y \end{aligned}$$

**Recall:**  $\min_{\mathbf{u}, \mathbf{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$

When lambda is small (lambda inverse is big)...

$$\hat{u}_{kl} = \bar{u}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x$$

new value
old average

$$\hat{v}_{kl} = \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$



**Recall:**  $\min_{\mathbf{u}, \mathbf{v}} \sum_{i,j} \left\{ E_s(i, j) + \lambda E_d(i, j) \right\}$

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new value
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$$\hat{v}_{kl} = \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

goes to zero

goes to zero

**Recall:**  $\min_{\mathbf{u}, \mathbf{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$

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$$\begin{aligned} \hat{u}_{kl} &= \bar{u}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \\ \hat{v}_{kl} &= \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y \end{aligned}$$

new value      old average

goes to zero

goes to zero

...we only care about smoothness.

ok, take a step back, why did we do all this math?

We are solving for the optical flow (u,v) given two constraints

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

smoothness

brightness constancy

We needed the math to minimize this  
(now to the algorithm)

# Horn-Schunck Optical Flow Algorithm

1. Precompute image gradients  $I_y$   $I_x$
2. Precompute temporal gradients  $I_t$
3. Initialize flow field  $\mathbf{u} = \mathbf{0}$   
 $\mathbf{v} = \mathbf{0}$
4. While not converged

Compute flow field updates for each pixel:

$$\hat{u}_{kl} = \bar{u}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \quad \hat{v}_{kl} = \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

**Just 8 lines of code!**

# References

Basic reading:

- Szeliski, Section 8.4.