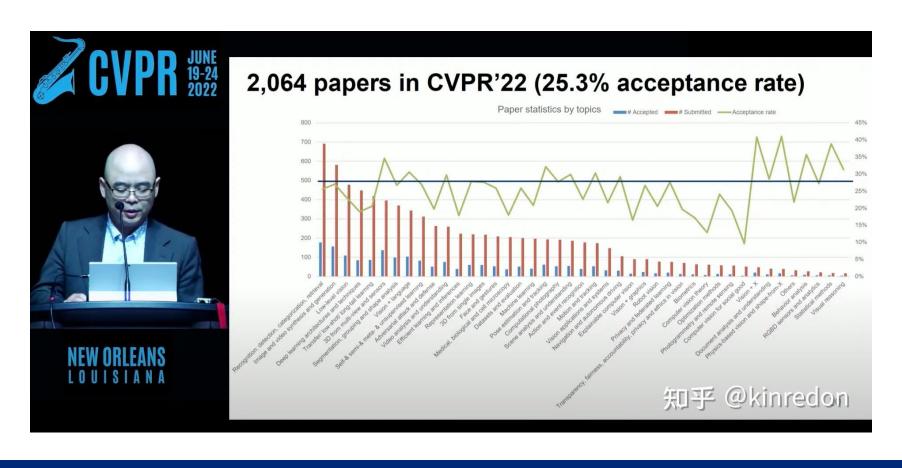
## Domain Adaptation简介



柯水洲

2022.7.21

Domain Adaptation 是迁移学习中的一个重要方向,每年在各大顶会上都有很多文章被接受,以 CVPR 2022 为例,其中 transfer/low-shot/long-tail learning 在众多 Topic 中排第四,有 400+ 投稿,接受的文章近百。



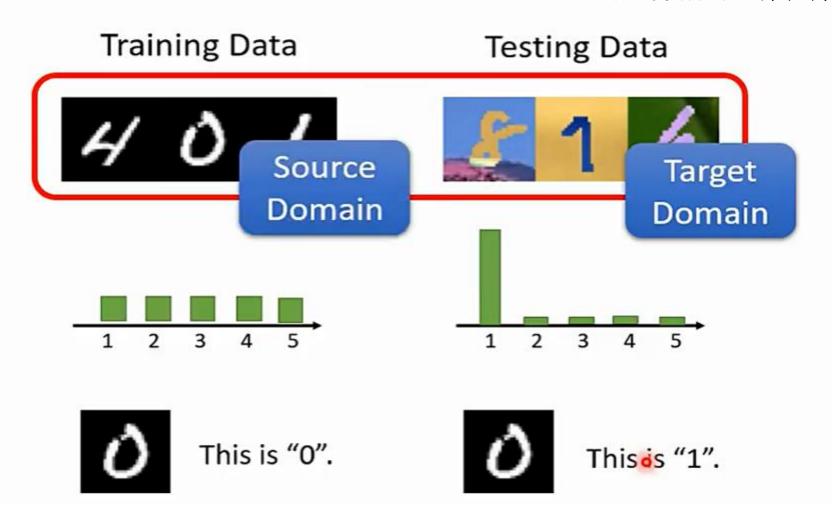


Domain shift: Training and testing data have different distributions.

Domain adaptation

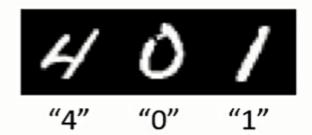
Domain Shift p(x,y)=p(x|y)p(y)=p(y|x)p(x)

输入的边缘概率分布p(x) 输出标签的边缘概率分布p(y) 对应的条件概率分布p(x|y)



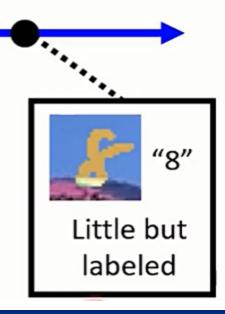
#### Domain Adaptation

Source Domain (with labeled data)



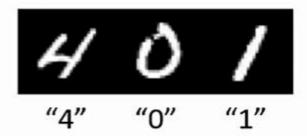
Knowledge of target domain

- Idea: training a model by source data, then fine-tune the model by target data
- Challenge: only limited target data, so be careful about overfitting

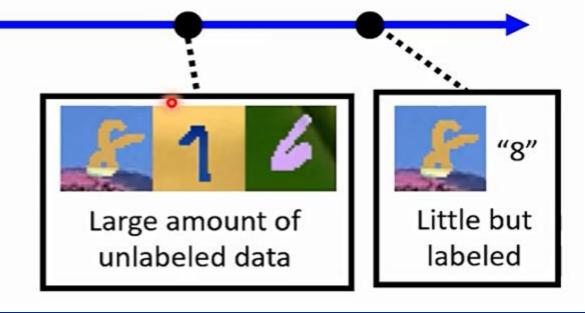


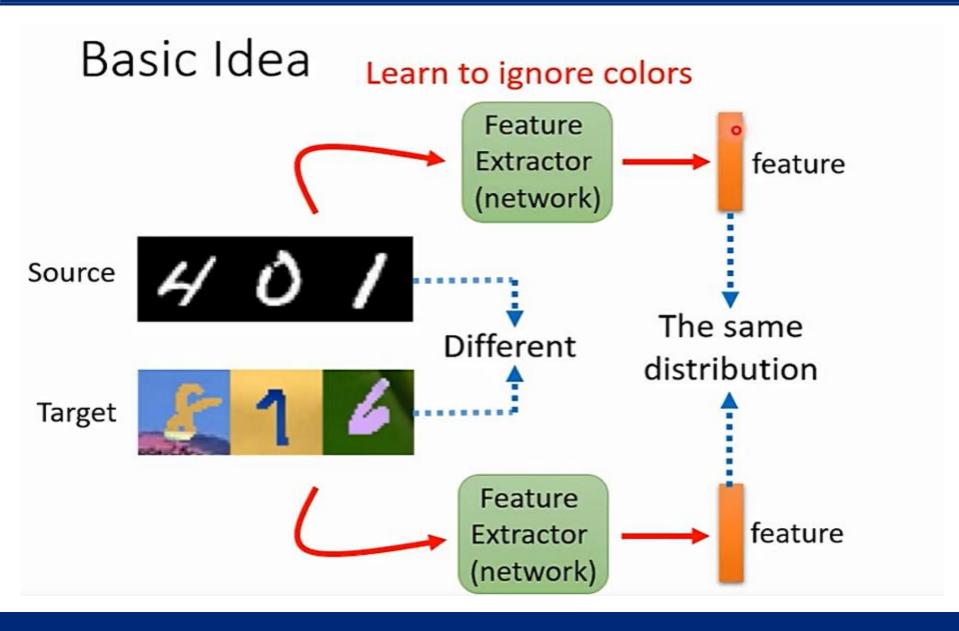
Domain Adaptation

Source Domain (with labeled data)

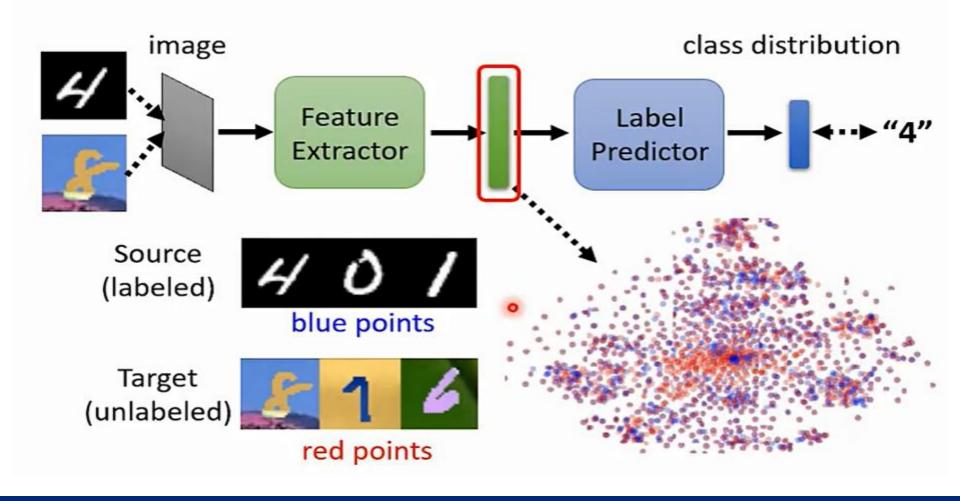


Knowledge of target domain

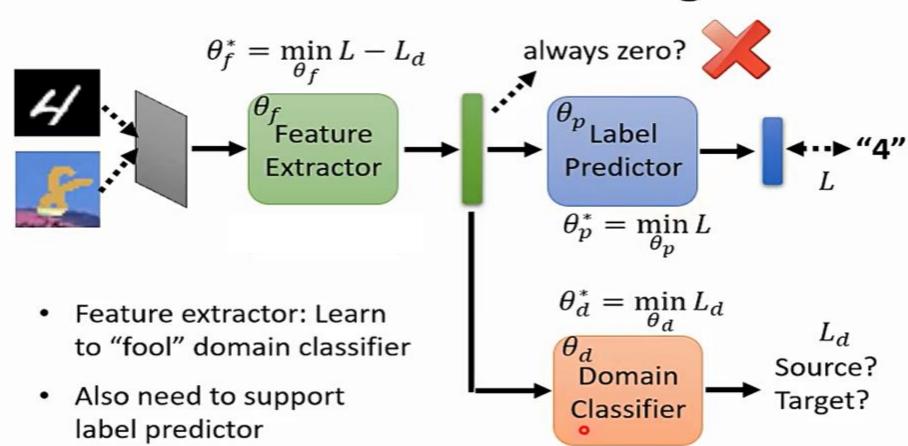




#### Domain Adversarial Training



#### Domain Adversarial Training



#### Domain Adversarial Training

Yaroslav Ganin, Victor Lempitsky, Unsupervised Domain Adaptation by Backpropagation, ICML, 2015

Hana Ajakan, Pascal Germain, Hugo Larochelle, François Laviolette, Mario Marchand, Domain-Adversarial Training of Neural Networks, JMLR, 2016

SOURCE

TARGET



MNIST-M



SVHN



MNIST



**GTSRB** 

Метнор	SOURCE	MNIST	SYN NUMBERS	SVHN	SYN SIGNS	
METHOD	TARGET	MNIST-M	SVHN	MNIST	GTSRB	
SOURCE ONLY		.5749	.8665	.5919	.7400	
PROPOSED APPROACH		.8149 (57.9%)	.9048 (66.1%)	. <b>7107</b> (29.3%)	.8868 (56.7%)	
TRAIN ON TARGET		.9891	.9244	.9951	.9987	

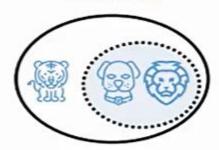
## 技术现状

#### Outlook



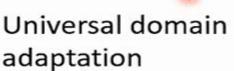


Partial DA

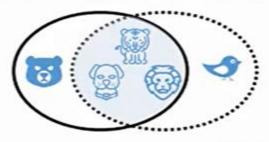


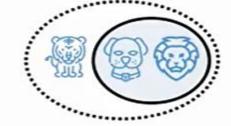
Open Set DA (Busto et al. 2017)

Open Set DA (Saito et al. 2018)



https://openaccess.thecvf.com /content\_CVPR\_2019/html/Yo u\_Universal\_Domain\_Adaptati on\_CVPR\_2019\_paper.html





Universal DA







## 技术现状

Domain Adaptation

Source Domain (with labeled data)



Knowledge of target domain

**Testing Time** Training (TTT)

https://arxiv.org/ abs/1909.13231



unlabeled



Large amount of unlabeled data



labeled

MICCAI 2018手术器械分割:达芬奇,肾切除术,9种objects (8种器械+组织),11种语义关系(切割,烧灼,扎环等)

TORS数据集: 达芬奇, 口咽癌手术, 5种objects, (4种器械+ 组织),5种语义关系(操作,抓握,烧灼等)

数据由自己标注: 按照(object1, predicate, object2)的模式, 如A monopolar curved scissor is cutting tissue.



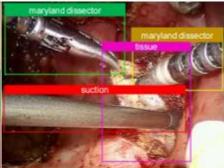
GT: A monopolar curved scissor GT: A prograsp forcep retracting cutting tissue



tissue



GT: A clip applier is clipping a clip



GT: A suction is suctioning blood and a spatulated monopolar cautery is cauterizing tissue

(a) Source domain

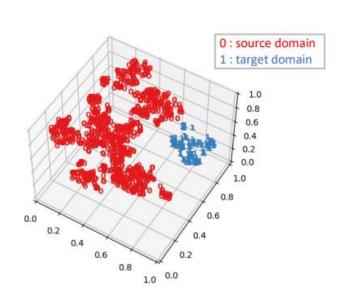
(b) Target domain

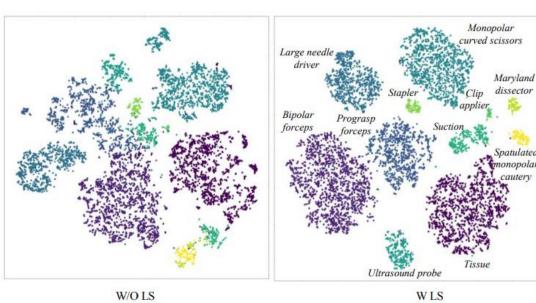


Label Smoothing: 分类中防止过拟合的方法,用于模型校准

Domain Adaptation: 源域和目标域往往属于同一类任务, 但是分

布不同。下图可视化展示不同域数据之间的分布情况

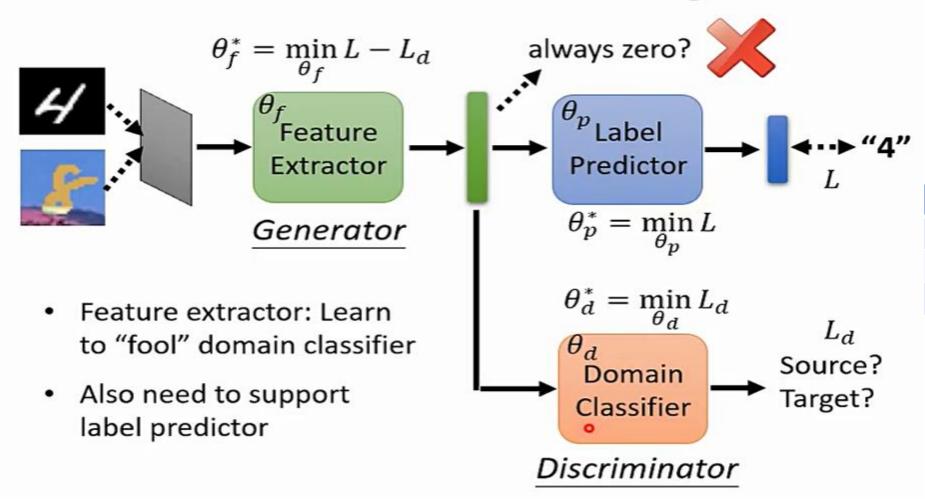


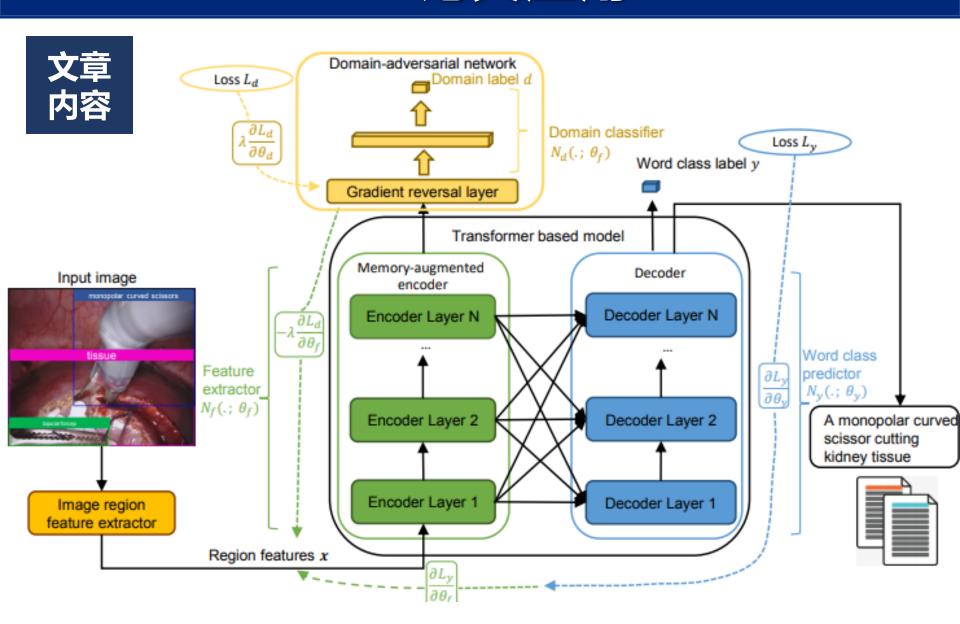


Domain Shift: 背景、器械、组织

提取特征的类别分布

#### Domain Adversarial Training





#### 实验 结果

实验方面:分别在SD和TD使用常用的无监督和半监督设置: Unsupervised DA、zero-shot、one-shot、few-shot 进行实验验证。 验证集不变,区别只在于训练集上: UDA, 在SD训练模型 的直接用于TD评估; zero-shot, 使用TD中的覆盖了85%词汇的 caption进行训练; One-shot, 包括所有词语类别的最少图像样本

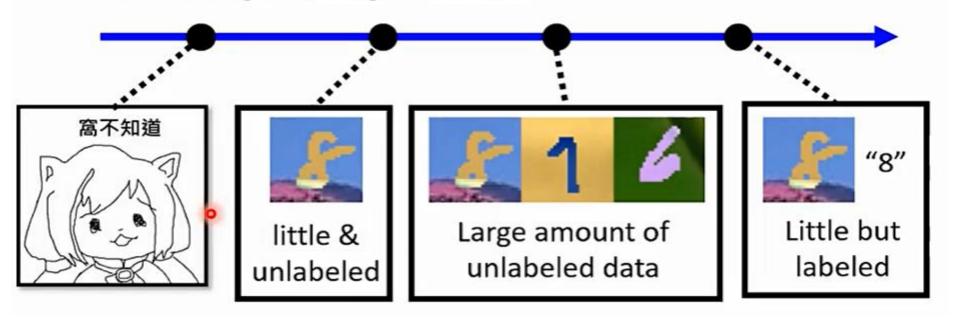
			BLEU-1↑	BLEU-2↑	BLEU-3↑	BLEU-4↑	METEOR↑	ROUGE↑	CIDEr↑
SD		M <sup>2</sup> Transformer [18]	0.5054	0.4543	0.4055	0.3646	0.4441	0.6355	1.7878
		Ours	0.5228	0.4730	0.4262	0.3861	0.4567	0.6495	2.2598
TD	UDA	M <sup>2</sup> Transformer [18]	0.2302	0.1059	0.0469	0.0267	0.1286	0.2956	0.1305
		Ours	0.2493	0.1150	0.0517	0.0289	0.1390	0.3129	0.1517
	Zero-shot	M <sup>2</sup> Transformer [18]	0.3204	0.2463	0.1923	0.1502	0.2371	0.4413	0.2874
		Ours	0.3118	0.2406	0.185	0.1409	0.2401	0.4336	0.3395
	One-shot	M <sup>2</sup> Transformer [18]	0.3746	0.3285	0.2939	0.2646	0.3449	0.5101	0.6367
		Ours	0.4042	0.372	0.3433	0.3161	0.4066	0.5385	0.8615
	Few-shot	M <sup>2</sup> Transformer [18]	0.4096	0.3803	0.3532	0.3265	0.4203	0.5489	0.9770
		Ours	0.4141	0.3888	0.3637	0.3375	0.4357	0.5538	0.9828

Domain Adaptation

Source Domain (with labeled data)

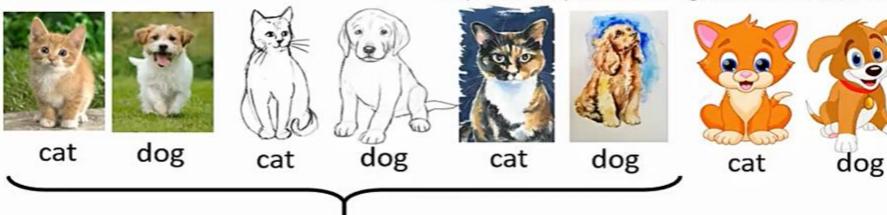


Knowledge of target domain



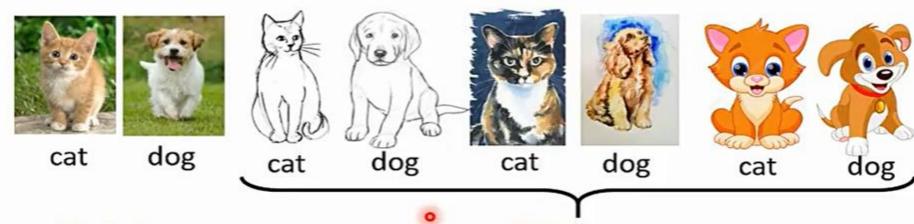
#### **Domain Generalization**

https://ieeexplore.ieee.org/document/8578664



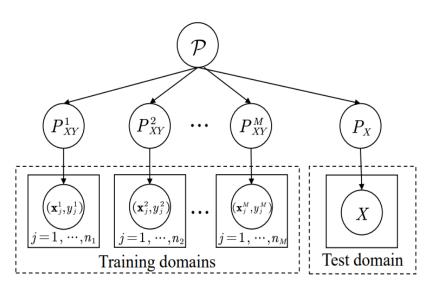
**Training** 

**Testing** 



**Training** 

Testing



定义1: X、Y是输入和输出变量, P<sub>xy</sub>是数据分布。

定义2: S表示training domains。每个domain之间的数据分布P不同。DG的目的就是从多个training domains中学习一函数h,再test domain中达到精度最高。

**Definition 1** (Domain). Let  $\mathcal{X}$  denote a nonempty input space and  $\mathcal{Y}$  an output space. A domain is composed of data that are sampled from a distribution. We denote it as  $\mathcal{S} = \{(\mathbf{x}_i, y_i)\}_{i=1}^n \sim P_{XY}$ , where  $\mathbf{x} \in \mathcal{X} \subset \mathbb{R}^d$ ,  $y \in \mathcal{Y} \subset \mathbb{R}$  denotes the label, and  $P_{XY}$  denotes the joint distribution of the input sample and output label. X and Y denote the corresponding random variables.

**Definition 2** (Domain generalization). As shown in Fig. 2, in domain generalization, we are given M training (source) domains  $S_{train} = \{S^i \mid i = 1, \cdots, M\}$  where  $S^i = \{(\mathbf{x}^i_j, y^i_j)\}_{j=1}^{n_i}$  denotes the i-th domain. The joint distributions between each pair of domains are different:  $P^i_{XY} \neq P^j_{XY}, 1 \leq i \neq j \leq M$ . The goal of domain generalization is to learn a robust and generalizable predictive function  $h: \mathcal{X} \to \mathcal{Y}$  from the M training domains to achieve a minimum prediction error on an unseen test domain  $S_{test}$  (i.e.,  $S_{test}$  cannot be accessed in training and  $P^{test}_{XY} \neq P^i_{XY}$  for  $i \in \{1, \cdots, M\}$ ):

$$\min_{h} \mathbb{E}_{(\mathbf{x},y)\in\mathcal{S}_{test}}[\ell(h(\mathbf{x}),y)], \tag{1}$$

where  $\mathbb{E}$  is the expectation and  $\ell(\cdot, \cdot)$  is the loss function.

多任务学习:针对一批数据,一次性学习多个任务(不针对新的domain)

迁移学习:再source任务训练的模型,用来增强target任务结果,更多使用Pretrain

Finetune的策略 (target数据不可见,且source和target任务一样的,分布不同)

领域适应:可以访问测试数据,实现测试任务上的精度最高 (target不可见)

元学习:根据已有的数据和任务,学习一个函数用于新的数据(是DG中常用的学习 策略)

终身学习:不断地接受新的数据、任务的同时,不遗忘以前学习的任务(对以前数据可见)

zero-shot学习:根据已有学习对未见过的数据进行分类 (DG的target同类不同分布)

Learning paradigm	Training data	Test data	Condition	Test access
Multi-task learning	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{Y}^i \neq \mathcal{Y}^j, 1 \leq i \neq j \leq n$	$\checkmark$
Transfer learning	$\mathcal{S}^{src}$ , $\mathcal{S}^{tar}$	$\mathcal{S}^{tar}$	$\mathcal{Y}^{src}  eq \mathcal{Y}^{tar}$	$\checkmark$
Domain adaptation	$\mathcal{S}^{src}, \mathcal{S}^{tar}$	$\mathcal{S}^{tar}$	$P(\mathcal{X}^{src}) \neq P(\mathcal{X}^{tar})$	$\checkmark$
Meta-learning	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{S}^{n+1}$	$\mathcal{Y}^i \neq \mathcal{Y}^j, 1 \leq i \neq j \leq n+1$	$\checkmark$
Lifelong learning	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{S}^i$ arrives sequentially	$\checkmark$
Zero-shot learning	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{S}^{n+1}$	$\mathcal{Y}^{n+1} \neq \mathcal{Y}^i, 1 \leq i \leq n$	×
Domain generalization	$\mathcal{S}^1,\cdots,\mathcal{S}^n$	$\mathcal{S}^{n+1}$	$P(\mathcal{S}^i) \neq P(\mathcal{S}^j), 1 \le i \ne j \le n+1$	×

# Q&A

