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### **SELF-AMPLIFYING mRNA VACCINE BASED ON Z7 GENOME**

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#### **Abstract**

The present invention relates to a self-amplifying mRNA vaccine, including: a self-amplifying backbone comprising a live attenuated virus having a hairpin loop insert, and wherein at least one or more structural genes of the live attenuated virus have been replaced with at least one target antigen-encoding nucleic acid sequence.

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## Background/Summary

CROSS REFERENCE TO RELATED APPLICATIONS [0001] This application claims the benefit of U.S. provisional application No. 63/552,625, filed on Feb. 12, 2024, the disclosure of which is hereby incorporated by reference in its entirety as if fully set forth herein.

### REFERENCE TO XML SEQUENCE LISTING

[0002] This application includes a sequence listing submitted herewith as an XML file named "USM-1019USPSequenceListingXML" created on Feb. 9, 2024, and containing 41,000 bytes. The material contained in this XML file is incorporated herein by reference.

### BACKGROUND OF THE INVENTION

[0003] Chikungunya virus (CHIKV) is a mosquito-transmitted, single-strand, positive-sense RNA alphavirus (AV) belonging to the Togaviridae family. CHIKV was first discovered in 1953 in Africa, and from 2004 to 2011, 1.4 to 6.5 million CHIKV cases were confirmed from nearly 40 countries in Africa, Asia, and Europe[244]. In 2014, CHIKV disease cases were reported among U.S. travelers, and local transmission was also identified in Florida, Texas, and Puerto Rico. Approximately 580,000 suspected and laboratory-confirmed cases were reported in the Americas in 2014 [245]. The recent re-emergence of CHIKV was correlated with the rapid spread of one of its mosquito vectors *Aedes albopictus* and the increased fitness of CHIKV in this mosquito species[246]. Although native to warm, tropical regions of Asia, *A. albopictus* has successfully adapted to cooler climates, including North America. According to the CDC, *A. albopictus* now circulates in 866 counties of 26 states in the U.S., and there are many travel-related cases [247], indicating a potential epidemic risk of CHIKV in the U.S. The typical clinical symptoms include high fever, headache, maculopapular rashes, myalgia, edema of the extremities, and gastrointestinal complaints[248]. CHIKV often replicates in joints and results in painful inflammation and swelling, which may develop into persistent chronic arthritis[249]. Besides arthritis, CHIKV infections also cause life-threatening clinical manifestations, including neurological and cardiovascular complications[250]. However, vaccines or specific drugs against CHIKV are urgently needed.

[0004] Compared with other types of vaccines, mRNA-based vaccines are relatively safe and easy to prepare and can be manufactured on a large scale. The success of mRNA vaccines in the COVID-19 pandemic demonstrated mRNA as a new and effective vaccine platform with great potential for developing vaccines, other infectious agents, and possibly even some cancers. mRNA-based vaccination can be achieved using different approaches. For example, mRNA-based vaccination can be achieved by: [0005] 1) synthetic non-amplifying mRNA (NAM, e.g., Moderna's mRNA-1273 and the BioNTech, Pfizer vaccines for COVID-19), and [0006] 2) non-transmissible self-amplifying mRNA (SAM) based on the RNA replicon of a single-stranded (ss) RNA virus whose structural genes are replaced with the target antigen sequence. In the case of SAM, the target antigen containing the RNA replicon can efficiently replicate without producing infectious viral

particles.

[0007] Both types of RNA molecules need to be formulated with delivery systems such as polymers and polymer/lipid nanoparticles (NP) for effective protection of the RNA and transfection of the RNA into cells. SAM vaccines can elicit stronger humoral and cellular responses at much lower doses than NAM vaccines, while significantly reducing potentially harmful innate immune responses mediated by cellular pattern recognition receptors upon transfection of exogenous mRNA. Therefore, SAM replicon based approaches are more robust than NAM approaches for the development of potent and safe vaccines.

[0008] Different types of self-amplifying mRNA (SAM) systems have already been developed, mainly based on the alphavirus (AV) family, including the Sindbis virus, the Semliki Forest virus, and the Venezuelan equine encephalitis virus (VEE). Flavivirus (FV) family-based RNA replicons have also been developed, including systems based on the Kunjin virus (KUNV), the classical swine fever virus (CSFV), the dengue virus (DENV), the West Nile virus (WNV), and the yellow fever virus (YFV). In addition, a Zika virus (ZIKV) replicon has been recently constructed to express luciferase.

[0009] The major advantages of FV replicons over AV replicons are that FV replicons possess high fidelity of RNA amplification and have a low recombination tendency. Moreover, FV-based systems may improve vaccine efficacy by upregulating MHC I.

[0010] Type I interferon (IFN) and other innate immune responses have been documented to be detrimental to either NAM or SAM vaccines[53, 54]. Type I IFN has been shown to significantly inhibit antibody production in the vaccination of VEEV-based SAM in mice[55]. Therefore, uridines have been replaced with pseudouridines ( $\psi$ ) in the FDA-approved mRNA vaccines for COVID-19 to mitigate the harmful innate immune responses[54]. However, this strategy may not work in SAM vaccines since W replacement may inhibit SAM replication, or uridines will be replaced during replication, if at all.

[0011] Thus, inhibiting unwanted type I IFN production is challenging for SAM vaccines. It is documented that the ZIKV NS5 protein binds to and degrades human signal transducer and activator of transcription (STAT) 2 proteins downstream of type I IFN receptor signaling, inhibiting the expression of IFN-stimulated genes[56, 57]. In addition, it has also been suggested that the NS5 protein may inhibit type I IFN signaling through IRF3 and TBK1 pathways[58].

[0012] Consequently, ZIKV evades host defense mechanisms and can efficiently replicate in human cells, but not mouse cells. The characteristics of Z7, i.e. its high efficiency of replication, its low level of safety concerns, and its capability of type I IFN inhibition in humans, make it an ideal candidate for the SAM vaccine development.

#### SUMMARY OF THE INVENTION

[0013] The present invention relates to the development of a self-amplifying mRNA vaccine using a self-amplifying backbone of a live-attenuated ZIKV virus (Z7) by inserting a GC-rich sequence (a 50 RNA-nucleotides (nt) hairpin) into the 5' untranslated region (UTR) of a pre-epidemic virus. This same 50 RNA nt hairpin can be employed to attenuate other types of live viruses than just the ZIKV virus.

[0014] The present invention is characterized by being the first Zika virus-based self-amplifying mRNA (SAM) platform. The invention includes an attenuated virus. Since Z7 has been shown as a potent anti-Zika vaccine with little pathogenicity, it is also expected that this Z7-based SAM platform will be effective without raising any significant safety concerns. Further, incorporating a novel CMV-T7 dual promoter to generate the same SAM RNA by both in vitro T7 transcription and in vivo host RNA polymerase is a novel feature of the invention.

[0015] Also, incorporating T2A into the SAM platform to separate the antigen from the viral protein without the need for a protease is another advantageous feature of the present invention. Further, incorporating a signal peptide (SP) into the SAM platform to allow antigen secretion from the host cell provides additional advantages for the present invention.

[0016] The modular design of the platform, as shown in FIG. 12 and Example 1, allows for numerous different combinations among T2A, SP, antigens, and fluorescent/luciferase, enabling broad application and flexibility of the present invention.

[0017] In one embodiment, the ZIKV Cambodian strain, FSS13025 was employed as the virus. The resultant viral strain is attenuated in neurovirulence, immune antagonism, and mosquito infectivity compared with American Zika virus epidemic isolates.

[0018] The present invention demonstrates that Z7 replicates efficiently produce high titers, apparently without causing cytopathic effects (CPE) in Vero cells or losing the insert sequence, even after ten passages. Significantly, in one aspect, Z7 induces robust humoral and cellular immune responses that completely prevent viremia after a challenge with a high dose of the American epidemic ZIKV strain PRVABC59 infection in type I interferon (IFN) receptor A deficient (*Ifnar1*<sup>-/-</sup>) mice. Moreover, adoptive transfer of plasma collected from Z7 immunized mice protected *Ifnar1*<sup>-/-</sup> mice from ZIKV (strain PRVABC59) infection. These results demonstrate that Z7 can be used as a live-attenuated vaccine for viruses such as Zika viruses. In addition, the data presented herein also indicate that modifying the 5' UTR is a strategy that can be used to develop other live-attenuated viruses such as, for example, flaviviruses and potentially develop vaccine candidates for other viruses and flaviviruses.

[0019] Z7, disclosed herein, possesses the following advantages for the development of safe and effective SAM mRNA vaccines: [0020] (1) Z7 can infect target cells but doesn't cause, or causes very little, cell death, indicating that it is a safe SAM replicon; and [0021] (2) Z7 can replicate very well and produce large amounts of viral RNA, suggesting that it will be effective in mRNA vaccine amplification.

[0022] In another aspect, the present invention relates to the novel use of flavivirus (FV) rather than commonly used alphavirus (AV)-based SAM to improve vaccine efficacy.

[0023] 1. In one aspect, the invention relates to a self-amplifying mRNA vaccine, comprising:

[0024] a self-amplifying backbone of a live attenuated virus, Z7, having a hairpin loop insert, and wherein at least one or more structural genes of the live attenuated virus have been replaced with at least one target antigen-encoding nucleic acid sequence.

[0025] 2. The vaccine of sentence 1, wherein the self-amplifying backbone comprises the first 50 amino acids of a capsid (C) protein and the last 30 amino acids of an envelope glycoprotein (E).

[0026] 3. The vaccine of any one of sentences 1-2, wherein the hairpin loop insert comprises a nucleic acid sequence comprising 50 nucleotides.

[0027] 4. The vaccine of any one of sentences 1-3, wherein the hairpin loop insert is an RNA sequence CGUUCCAACCACUGACUCGAAAGAGUCAGUGGUUGGAACGCGCAGGUGCC (SEQ ID NO: 10), in the 5' untranslated region of the virus.

[0028] 5. The vaccine of any one of sentences 1-4, wherein the hairpin loop insert comprises a paired stem region and an unpaired middle loop, wherein the stem region comprises greater than 50% cytosine-guanine nucleotide pairs, based on the total combined number of adenine-uracil nucleotide pairs and cytosine-guanine nucleotide pairs.

[0029] 6. The vaccine of any one of sentences 1-5, further comprising an encapsulating component for delivery, selected from the group consisting of a lipid-nanoparticle and a polymer.

[0030] 7. The vaccine of any one of sentences 1-6, wherein target antigen is derived from an RNA virus selected from the group consisting of an alphavirus, flavivirus, picornaviruses, coronaviruses, retroviruses, paramyxoviruses, rhabdoviruses, orthomyxoviruses, filoviruses, rotaviruses, orthopneumovirus, and arteriviruses.

[0031] 8. The vaccine of sentence 7, wherein the RNA virus is an alphavirus selected from the group consisting of Chikungunya virus, Ross River Virus, Sindbis virus, Mayaro virus, Semliki Forest virus, Eastern equine encephalitis virus, Western equine encephalitis virus, Venezuelan equine encephalitis virus, and Aura virus.

[0032] 9. The vaccine of sentence 7, wherein the RNA virus is a flavivirus, is selected from the

group consisting of West Nile Virus, Dengue Virus, Tick-borne encephalitis virus, Yellow Fever Virus, and Zika Virus.

[0033] 10. The vaccine of any one of sentences 1-9, wherein the target antigen is derived from a Chikungunya virus.

[0034] 11. The vaccine of any one of sentences 1-10, wherein the live attenuated virus is Zika virus.

[0035] 12. The vaccine of sentence 11, wherein the Zika virus is the Cambodian strain FSS13025.

[0036] 13. The vaccine of any one of sentences 1-6, wherein the target antigen is a viral structural protein on an outer surface of a viral particle.

[0037] 14. The vaccine of sentence 13, wherein the viral structural protein is selected from the group consisting of spike proteins and envelope proteins.

[0038] 15. The vaccine of sentence 13, wherein the viral structural protein is a protein that interacts with host cell receptors to facilitate the viral particle gaining entry into the host cell.

[0039] 16. The vaccine of any one of sentences 1-6, wherein the target antigen is a cancer specific antigen or a tumor specific antigen.

[0040] 17. The vaccine of sentence 16, wherein the cancer or tumor specific antigen is located on an outer surface of a cancer or tumor cell.

[0041] 18. The vaccine of sentence 16, wherein the tumor specific antigen is selected from the group consisting of CA-125, CA15-3, CA19-9, hCG, beta-hCG, PSA, Calcitonin, SCC, URLC10AFP, CD19, TRAC, TCRB, BCMA, CLL-1, CS1, CD38, CD19, TSHR, CD123, CD22, CD30, CD171, CD33, EGFRvIII, GD2, GD3, Tn Ag, PSMA, ROR1, ROR2, GPC1, GPC2, FLT3, FAP, TAG72, CD44v6, CEA, EPCAM, B7H3, KIT, IL-13Ra2, epithelial tumor antigen, mesothelin, IL-11Ra, PSCA, PRSS21, VEGFR1, VEGFR2, LewisY, CD24, PDGFR-beta, SSEA-4, CD20, folate receptor alpha, ERBB2 (Her2/neu), MUC-1, MUC-2, MUC16, EGFR, NCAM, prostase, PAP, ELF2M, Ephrin B2, IGF-I receptor, CAIX, LMP2, gp100, bcr-abl, tyrosinase, EphA2, fucosyl GM1, sLe, GM3, TGS5, HMWMAA, o-acetyl-GD2, folate receptor beta, TEM1/CD248, TEM7R, CLDN6, GPRC5D, CXORF61, CD97, CD179a, ALK, Polysialic acid, PLAC1, GloboH, NY-BR-1, UPK2, HAVCR1, ADRB3, PANX3, GPR20, LY6K, OR51E2, TARP, WT1, NY-ESO-1, LAGE-Ia, MAGE-A1, legumain, WT1HPV16 E7, HPV E6, E7, MAGE A1, ETV6-AML, sperm protein 17, XAGE1, Tie 2, MAD-CT-1, MAD-CT-2, Fos-related antigen 1, p53, p53 mutant, protein, surviving, telomerase, PCTA-1/Galectin 8, MelanA/MART1, Ras mutant, hTERT, sarcoma translocation breakpoints, gp100, ML-IAP, ERG (TMPRSS2 ETS fusion gene), NA17, PAX3, androgen receptor, cyclin B1, MYCN, RhoC, TRP-2, CYP1B1, BORIS, SART3, PAX5, OY-TES1, LCK, AKAP-4, SSX2, RAGE-1, MART-1, human telomerase reverse transcriptase, RU1, RU2, intestinal carboxyl esterase, mut hsp70-2, CD79a, CD79b, CD72, LAIR1, FCAR, LILRA2, CD300LF, CLEC12A, BST2, EMR2, LY75, GPC3, FCRL5, IGLL1, CD2, CD3c, CD4, CD5, and CD7.

[0042] An example of the complete Z7-based SAM sequence for producing CHKV E2-linker-E1 antigen (the DNA sequence can be used directly for cellular delivery to launch SAM vaccine) is provided in SEQ ID NO: 19. The CHKV E2-linker-E1 antigen the DNA sequence can also be used as a template to prepare mRNA in vitro by T7 RNA polymerase, or it can inserted into suitable plasmid systems with low copy numbers and antibiotic markers:

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## Description

### BRIEF DESCRIPTION OF THE DRAWINGS

[0043] FIGS. 1A-1B show the generation of mutant ZIKV by modifying the 5' UTR. FIG. 1A shows the insertion site of the GC rich nucleotides in the 5' UTR of the ZIKV genome 73. FIG. 1B shows the predicted secondary structure of Z1 (wildtype (WT), no insert), Z3 (18-nt insert), Z5 (38-

nt insert), and Z7 (50-nt insert), as predicted by RNAFold.

[0044] FIGS. 2A-2E show the characterization of Z7 in vitro. The data in FIGS. 2A-2D are presented as mean $\pm$ s.e.m.

[0045] FIG. 2A shows quantification of ZIKV copies of 5' UTR modified (Z3, Z5, Z7) or unmodified (Z1) plasmids by qRT-PCR.

[0046] FIG. 2B shows quantification of ZIKV E in Z7 for several generations (G4 to G10) by qRT-PCR. Data were presented as the ratio of ZIKV E to Vero  $\beta$ -actin.

[0047] FIG. 2C shows the in vitro growth curves of Vero cell-generated Z1 and Z7 (G10). Vero cells were infected with 0.1 multiplicity of infection (MOI) of Z1 or Z7 (G10), and the virus titers in the supernatants were determined by focus formation assay (FFA).

[0048] FIG. 2D shows the in vitro growth curves of HEK-293 cell-generated Z1 and Z7 (G11). HEK-293 cells were infected with 0.01 MOI of Z1 or Z7 (G11), and the virus titers in the supernatants were determined by FFA.

[0049] FIG. 2E shows transmission electron microscopy (TEM) images of Z1 and Z7 (G9). The data for Figs.

[0050] FIGS. 3A-3E show that Z7 exhibited attenuated infectivity in vitro. FIG. 3A shows the cytopathic effect (CPE) of Z1 and Z7 on Vero cells. Vero cells were inoculated with Z1, Z7 (G11), or phosphate-buffered saline (PBS) as a control and incubated for 3 days. The cells were stained with LIVE/DEAD Cell Imaging Kit, which stained the live cells with fluorescein (FITC) as green and the dead cell nuclei with Texas-red as red. The images were taken at 10 $\times$  magnification (scale bar=100  $\mu$ m).

[0051] FIG. 3B shows an immunofluorescence analysis (IFA) for ZIKV E protein expression. Vero cells were infected with 0.1 MOI of Z1, Z7 (G11), or PBS as control and incubated for 3 days. The ZIKV E protein was probed with 4G2 antibody, followed by goat anti-mouse IgG conjugated with Alexa Fluor 488 (green). The cell nuclei were stained with 4',6-diamidino-2-phenylindole (DAPI, blue). The images were taken at 20 $\times$  magnification (scale bar=100  $\mu$ m).

[0052] FIG. 3C shows immunostaining foci of Z1 and Z7. Vero cells were infected with 10 or 100 fluorescent focus units (FFU) of Z1, Z7 (G11), or PBS as control and incubated for 3 days (Z1) or 4 days (Z7). The cells were probed with 4G2 antibody and then goat anti-mouse IgG-HRP as a secondary antibody. The immuno-positive foci were developed with TrueBlue peroxidase substrate.

[0053] FIG. 3D shows plaque morphology of ZIKV (strain PRVABC59), Z1, and Z7. The Vero cell monolayer was infected with 100  $\mu$ l of serially diluted ZIKV (strain PRVABC59), Z1 or Z7 (G11) and incubated for 5 days.

[0054] FIG. 3E shows plaque sizes of ZIKV (strain PRVABC59), Z1, and Z7 on day 5 post-immunization data were compared with a two-tailed Student's t-test and presented as mean  $\pm$  s.e.m. with \*\*\*\*p<0.0001.

[0055] FIGS. 4A-4E show that Z7 exhibited attenuated infectivity in *Ifnar1*.sup.-/- mice. Four-week-old *Ifnar1*.sup.-/- mice were inoculated with 1 $\times$ 10<sup>sup.5</sup> FFU of Z1, or Z7 (G10) through their footpads.

[0056] FIG. 4A shows the relative changes in body weight.

[0057] FIG. 4B shows survival curves. Mice were monitored daily for 21 days to determine survival.

[0058] FIG. 4C shows viremia of Z1 and Z7 infected mice. Mice were bled every alternate day from day 1 to day 11 post-immunization, and the viremia was determined by measuring the ZIKV genome copies (ZIKV E) by qRT-PCR.

[0059] FIG. 4D shows viral load in the liver and spleen tissues. Z1 or Z7 (G10)-infected mice were sacrificed on day 3 post-immunization to harvest the livers and spleens. The viral burden was measured by qRT-PCR and expressed as the ratio of the copy numbers of ZIKV E to mouse  $\beta$ -actin.

[0060] FIG. 4E shows viral RNA extracted from the viral stocks containing 1 $\times$ 10<sup>sup.5</sup> FFU of Z1 or Z7 (G10). The ZIKV E genome copies were quantified by qRT-PCR. Data were compared with a

log-rank test (FIG. 4B), Mann-Whitney U tests (FIGS. 4C and 4E), a two-tailed Student's t-test (FIG. 4D) and presented as mean $\pm$ s.e.m. with \* $p$ <0.05, \*\* $p$ <0.01, \*\*\* $p$ <0.001, and \*\*\*\* $p$ <0.0001. [0061] FIGS. 5A-5D show Z7 induced robust IgG and T-cell responses in mice.

[0062] FIG. 5A shows the anti-ZIKV E IgG response of Z7 immunization. Four-week-old *Ifnar1*.sup.-/- mice were immunized with  $1 \times 10^{5.5}$  FFU of Z7 (G10) via footpad. Anti-ZIKV E IgG was measured in plasma samples from D0 (pre-immunization) and day 24 (post-immunization) by ELISA.

[0063] FIG. 5B shows the results of plaque reduction neutralization tests (PRNT) of six 4-week-old *Ifnar1*-/- mice immunized with  $2 \times 10^{4.4}$  FFU of Z7 (G11) via footpad. On day 24 post-immunization, plasma samples were collected. The ZIKV neutralizing capacity of the plasma from each mouse was determined by PRNT.

[0064] FIGS. 5C and 5D show cellular immune responses of Z7 immunization. Seven-week-old *Ifnar1*.sup.-/- mice were immunized with  $1 \times 10^{5.5}$  FFU of Z7 (G10) or PBS (control) via footpad. On day 8 post-immunization, splenocytes were collected and re-stimulated with Z1 ex vivo for 24 hours. The interferon gamma (IFN- $\gamma$ ) producing (FIG. 5C) CD4+ and (FIG. 5D) CD8+ T cells were measured by flow cytometry. Data were compared with a two-tailed Student's t-test (FIG. 5A), Mann-Whitney U tests (FIGS. 5C and 5D) and presented as mean $\pm$ s.e.m. with \* $p$ <0.05, and \*\*\*\* $p$ <0.0001.

[0065] FIGS. 6A, 6B, and 6C show Z7 induced sterilizing immunity in *Ifnar1*-/- mice against an epidemic ZIKV strain infection. Four-week-old *Ifnar1*-/- mice were inoculated with  $1 \times 10^{5.5}$  FFU of Z7 (G10) or PBS as control via footpad. On day 42 post-immunization, the mice were challenged with  $1 \times 10^{5.5}$  PFU of ZIKV (strain PRVABC59) via footpad. (FIGS. 6A and 6B) Mice were bled on day 1 to day 3 post-challenge (p.c.), and viremia was measured by qRT-PCR on D1 to D3 p.c. (FIG. 6A) and plaque assay on day 3 p.c. samples (FIG. 6B).

[0066] FIG. 6C shows viral load in the liver and spleen tissues. Z1 or Z7-infected mice were sacrificed on day 3 post-immunization to harvest the liver and spleen. The viral load in the tissues was measured by qRT-PCR and expressed as the ratio of ZIKV E to mouse p-actin. Data were compared with Mann-Whitney U tests (FIGS. 6A and 6B), a two-tailed Student's t-test (FIG. 6C) and presented as mean $\pm$ s.e.m. with \* $p$ <0.05, and \*\* $p$ <0.01.

[0067] FIGS. 7A-7D show Z7 that immunized plasma protects *Ifnar1*-/- mice from ZIKV infection. Five-week-old *Ifnar1* mice were infused with 100  $\mu$ l of plasma collected from Z7 (G10) immunized or control mice via retro-orbital injection. After 24 h, the mice were challenged with  $1 \times 10^{5.5}$  PFU of ZIKV (strain PRVAVC59) via footpad inoculation.

[0068] FIG. 7A shows relative changes in body weight.

[0069] FIG. 7B shows survival curves.

[0070] FIG. 7C shows viremia measured by qRT-PCR.

[0071] FIG. 7D shows a schematic diagram of an adoptive transfer study.

[0072] FIGS. 8A-8C show schematic illustrations of SAM replicons.

[0073] FIG. 8A shows a schematic of Z7- or VEEV based SAM replicons and Chikungunya Virus (CHIKV) E2-E1 protein-superfold (sf)GFP.

[0074] FIG. 8B shows Z7-based—E2-E1 replicon plasmid.

[0075] FIG. 8C shows VEEV-based—E2-E1 replicon plasmid.

[0076] FIG. 9 shows that HEK-293 cells transfected with Z7-based—E2-E1—replicon plasmids express more intensive GFP signals than those transfected with VEEV-based—E2-E1—replicons, as viewed under a confocal microscope.

[0077] FIGS. 10A-10D show that GFP-expressing HEK-293 cells transfected with Z7-based—E2-E1—replicon plasmids express more intensive GFP signals than those transfected with VEEV-based—E2-E1—replicons, as quantified by flow cytometry.

[0078] FIG. 10A shows the flow cytometry results for the transfection control.

[0079] FIG. 10B shows the flow cytometry results for the VEEV plasmid.

[0080] FIG. **10C** shows the flow cytometry results for the Z7 plasmid.

[0081] FIG. **10D** shows the GFP-expressing HEK-293 cells transfected with the Z7 plasmid, VEEV plasmid, and the transfection control.

[0082] FIG. **11** shows that HEK-293 cells transfected with Z7-based—E2-E1—replicon plasmids expressed approximately 10 times more CHIKV E1 mRNA than those transfected with VEEV-based—E2-E1—replicons.

[0083] FIG. **12** shows a schematic of the self-amplifying mRNA System for generating anticancer and antiviral vaccines in accordance with the present invention.

## DETAILED DESCRIPTION

### Definitions

[0084] As used herein, the phrases, “hairpin RNA structure,” “hairpin loop,” “hairpin insertion,” “hairpin insert,” and other variants, refer to a segment of a single-stranded RNA molecule, or mRNA, folding back on itself and creating a double-stranded region due to a complementary base pairing within the same RNA sequence. The structure resembles a hairpin, with a stem formed by base pairs and an unpaired loop at the end.

[0085] As used herein, the phrases, “CG rich hairpin,” “C-G rich hairpin,” and “cytosine-guanine rich hairpin” refer to the stem region of a hairpin. In a strand of RNA, there are four types of nucleotides, i.e. adenine (A), uracil (U), cytosine (C), and guanine (G). A-U and C-G can form pairs in the stem region of a hairpin. Thus, a CG rich hairpin means the stem region of the hairpin includes greater than 50% C-G pairs based on the total number of C-G pairs and A-U pairs. A higher concentration of C-G pairs is advantageous since these pairs have a stronger binding strength than A-U pairs, and thus, corresponds to enhanced stability of the hairpin.

[0086] As used herein, the terms “treatment,” “treat,” and “treating” refer to partially or completely alleviating, inhibiting, delaying onset of, preventing, ameliorating and/or relieving a disorder or condition, or one or more symptoms of the disorder or condition, as described herein. In some embodiments, treatment may be administered after one or more symptoms have developed. In some embodiments, the term “treating” includes preventing or halting the progression of a disease or disorder. In other embodiments, treatment may be administered in the absence of symptoms. For example, treatment may be administered to a susceptible individual prior to the onset of symptoms (e.g. in light of history of symptoms and/or in light of genetic or other susceptibility factors). Treatment may also be continued after symptoms have resolved, for example to prevent or delay their recurrence. Thus, in some embodiments, the term “treating” includes preventing relapse or recurrence of a disease or disorder.

[0087] The present invention relates to a self-amplifying (SAM) mRNA based on an attenuated virus such as a flavivirus, e.g. Zika virus, which is superior to the commonly used alphavirus-based SAM vaccine platforms. The present invention relates to using established cloning techniques and a 50 nt hairpin insert to construct novel virus-based SAM replicons.

[0088] ZIKV has demonstrated high replication efficiency in humans, both in vitro and in vivo, and thus, preparing a vaccine system based on the ZIKV genome is advantageous.

[0089] For example, the attenuated flavivirus may be based on a Zika virus (Z7) developed using Zika Cambodian strain (Z1). The attenuated Zika virus, Z7, was generated by inserting a GC rich 50 nucleotide DNA sequence at the 5' UTR of Z1.

[0090] The flavivirus genome has a 5' AG sequence that may be important for viral genome replication, potentially leading to a lower replication efficiency with a modified 5' end. While an additional G has to be added to construct flavivirus replicons for in vitro RNA preparation [48], previously developed in vitro transcription (IVR) systems that initiate RNA with AG exist, thereby producing a genuine 5' end of the viral replicon, which leads to high mRNA amplification efficiency and potent immune responses.

[0091] The live attenuated Zika virus comprises structural genes (the capsid protein, membrane protein, and envelope glycoprotein) and non-structural genes (NS1-NS5). To construct the self-



amplifying mRNA vaccine of the present invention, at least one or more of the structural genes are replaced with at least one target antigen-encoding nucleic acid sequence. Preferably, the backbone live attenuated Zika virus comprises at least the first 50 amino acids of a capsid (C) protein and at least the last 30 amino acids of an envelope glycoprotein (E), and is optionally, devoid of the membrane protein (prM).

[0092] Although viral SAM replicons do not form viral particles, pathogenic ZIKV genome-based replicons may still cause safety concerns when used in humans, and a live-attenuated ZIKV is preferable for this purpose. For example, the live-attenuated ZIKV strain employed by the present invention, Z7, was prepared by inserting 50 RNA nucleotides (nt) into the 5' untranslated region (UTR) of a pre-epidemic ZIKV Cambodian strain, FSS13025. This strain was used to develop live-attenuated ZIKV because it is attenuated in neurovirulence, immune antagonism, and mosquito infectivity compared to American epidemic isolates[49]. The examples set forth herein demonstrate that Z7 replicates efficiently and produces high titers without causing apparent cytopathic effects (CPE) in Vero cells or losing the insert sequence, even after ten passages. In addition, Z7 injection did not cause any sign of sickness in *Ifnar1*<sup>-/-</sup> mice, although low levels of viral load in blood and the peripheral tissues can be detected in Z7-infected mice.

[0093] These results confirmed that Z7 has an attenuated infectivity compared to its wild-type (WT) parental strain. Moreover, two types of mutations in the 1417 position, S1417A (majority) or S1417T (minority), were identified in the NS2B protein of Z7. The NS2B protein pairs with NS3 protease to cleave viral proteins and facilitate NS3 proteolytic activity, contributing to the host cell apoptosis and neuropathogenesis [50, 51]. It has been reported that mutations in NS2B significantly alter the interaction between NS2B and NS3, followed by a decrease in the NS3 protease activity and ZIKV replication [52]. These results confirm that Z7 possesses desirable characteristics of SAM replicons in high efficiency of replication in vitro and in vivo and low safety concerns.

[0094] Flaviviruses have a linear, positive-sense, single-stranded RNA genome, which is comprised of a 5' untranslated region (UTR), an open reading frame that translates into a polyprotein, and a 3' UTR.sup.21-23. The polyprotein is cleaved by the cellular and viral proteases into 3 structural proteins (capsid [C], pre-membrane [PrM/M], and envelope [E]) and 7 non-structural proteins (NS1, NS2A, NS2B, NS3, NS4A, NS4B, and NS5).sup.21,24.

[0095] In ZIKV, the 5' UTR is 106 nucleotides in length, and the 3' UTR is 428 nucleotides in length.sup.5. The ZIKV 5' UTR consists of an m7GpppAmpN1 cap structure and the conserved stem loops A and B (SLA and SLB, FIG. 1A).sup.26,27.

[0096] The cap structure in flavivirus genomes is important for cap-dependent translation and protection from cellular 5'-3' exonucleases.sup.26,28. While SLA serves as a promoter for the viral RNA-dependent RNA polymerase (RdRp) NS5, SLB facilitates replication by cyclizing the RNA genome via the formation of a 5'-3' complementary structure with the 3' UTR.sup.26,29,30. A recent study also found that the ZIKV 5' UTR pairs with the E protein coding region to form a 5'-E complementary structure, which may play an important role in viral replication or translation regulation. Other conserved RNA structural elements, such as the C coding region hairpin (cHP) and the downstream of 5' cyclization sequence pseudoknot (DCS-PK), were also identified at the 5' end of the ZIKV genome.sup.26.

[0097] Although the 5' UTR sequences are variable among flaviviruses, the RNA structure is mostly conserved and is essential for genome cyclization, viral RNA synthesis, translation, and viral fitness. The 3' UTR of ZIKV folds into three domains which are highly structured with regions conserved among flaviviruses (FIG. 1A).sup.25,26. Interacting with the 5' UTR, the 3' UTR plays a critical role for viral replication.sup.26.

[0098] In eukaryotic translation, ribosomes scan, beginning from the methylated cap, for an AUG start codon and stall at areas with stable secondary structures, such as stem-loops since the ribosomes have to "melt" these structures to continue down the RNA strand.sup.31. A previous report showed that by adding various lengths of stem loops in eukaryotic cells, translation was

slowed due to the increased length of the GC-rich structures.sup.32.

[0099] While the 5' UTR insertion method has been used to attenuate translation in different eukaryotic systems.sup.33,34, it has apparently not been applied to viral research. Without being bound by theory, the present inventors believe that modification of the 5' UTR of the ZIKV genome by inserting a GC-rich sequence should introduce an additional RNA structure that might attenuate circularization and slow down the rate of viral RNA replication and protein translation, thus creating an attenuated virus.

[0100] The Z7 replicon platform may be modified for the development of vaccines against other viral pathogens and cancers. For example, the self-amplifying backbone comprises a live attenuated virus having a hairpin loop insert, wherein at least one or more structural genes of the live attenuated virus are replaced with at least one target antigen-encoding nucleic acid sequence. The target antigen may be derived from a viral pathogen or a cancer specific antigen.

[0101] For example, the Z7 replicon may be used for the development of SAM vaccines against viral pathogens, including but not limited to the viruses in the family of Togaviridae, Coronaviridae, Flaviviridae, Piconaviridae, Paramyxoviridae, Rhabdoviridae, Filoviridae, Bunyaviridae, Orthomyxoviridae, Papillomaviridae, Herpesviridae, Poxviridae, and Retroviridae.

[0102] The Z7 replicon may also be used for the development of SAM vaccines against cancers, including but not limited to breast cancer, prostate cancer, and pancreatic cancer.

[0103] Suitable target antigens may be derived from an RNA virus selected from alphavirus, flavivirus, picornaviruses, coronaviruses, retroviruses, paramyxoviruses, rhabdoviruses, orthomyxoviruses, filoviruses, rotaviruses, orthopneumovirus, togaviruses, and arteriviruses.

[0104] Target antigens derived from an alphaviruses may include Chikungunya virus, Ross River Virus, Sindbis virus, Mayaro virus, Semliki Forest virus, Eastern equine encephalitis virus, Western equine encephalitis virus, Venezuelan equine encephalitis virus, Aura virus, and any other type of flavivirus.

[0105] Target antigens derived from a flavivirus may include West Nile Virus, Dengue Virus, Tick-borne encephalitis virus, Yellow Fever Virus, Zika Virus, and any other type of flavivirus.

[0106] Target antigens derived from piconaviruses may include Enterovirus, foot-and-mouth disease virus, Hepatitis A virus, Parechovirus, Aichivirus A, Tremovirus, and any other type of piconavirus.

[0107] Target antigens derived from coronaviruses may include human coronavirus OC43 (HCoV-OC43), human coronavirus HKU1 (HCoV-HKU1), human coronavirus 229E (HCoV-229E), human coronavirus NL63 (HCoV-NL63), Severe acute respiratory syndrome coronavirus (SARS-CoV), Middle East Respiratory syndrome-related coronavirus (MERS-CoV), Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2).

[0108] Target antigens derived from Retroviruses may include viruses from each of the subfamilies Oncoviruses and Spumaviruses. Oncoviruses may include Alpharetrovirus, Betaretrovirus, Gammaretrovirus, Deltaretrovirus, Epsilonretrovirus, Lentivirus, and Spumaviruses may include Bovispumavirus, Equispumavirus, Felispumavirus, Prosimiispumavirus, and Simiispumavirus.

[0109] Target antigens derived from paramyxoviruses may include viruses from the subfamilies Avulavirinae, Metaparamyxovirinae, Orthoparamyxovirinae, and Rubulavirinae.

[0110] Target antigens derived from Rhabdoviruses may include Lyssavirus, Vesiculovirus, Ephemerovirus, Novirhabdovirus, and Tibovirus.

[0111] Target antigens derived from Orthomyxoviruses may include Alphainfluenzavirus, Betainfluenzavirus, Gammainfluenzavirus, Deltainfluenzavirus, Isavirus, Quaranjavirus, and Thogotovirus.

[0112] Target antigens derived from Filoviruses may include Cuevavirus, Dianlovirus, Ebolavirus, Marburgvirus, Striavirus, and Thamnovirus.

[0113] Target antigens derived from Rotaviruses may include Rotaviruses A, Rotaviruses B, Rotaviruses C, Rotaviruses D, Rotaviruses E, Rotaviruses F, Rotaviruses G, Rotaviruses H,

Rotaviruses I, and Rotaviruses J.

[0114] Target antigens derived from Orthopneumovirus may include human respiratory syncytial virus (hRSV) and human orthopneumovirus.

[0115] Suitable target antigens may be cancer specific antigen or tumor specific antigen. For example, the target antigen may include cancer specific antigens or tumor specific antigens for treating breast cancer, bladder cancer, cervical cancer, colorectal cancer, leukemia, melanoma, non-small lung cell cancer, ovarian cancer, pancreatic cancer, prostate cancer, testicular cancer, thyroid cancer, Hodgkin lymphoma, and other cancers.

[0116] For example, the cancer specific antigen or tumor specific antigens may include an antigen selected from CA-125, CA15-3, CA19-9, hCG, beta-hCG, PSA, Calcitonin, SCC, URLC10AFP, CD19, TRAC, TCRB, BCMA, CLL-1, CS1, CD38, CD19, TSHR, CD123, CD22, CD30, CD171, CD33, EGFRvIII, GD2, GD3, Tn Ag, PSMA, ROR1, ROR2, GPC1, GPC2, FLT3, FAP, TAG72, CD44v6, CEA, EPCAM, B7H3, KIT, IL-13Ra2, epithelial tumor antigen, mesothelin, IL-11Ra, PSCA, PRSS21, VEGFR1, VEGFR2, LewisY, CD24, PDGFR-beta, SSEA-4, CD20, folate receptor alpha, ERBB2 (Her2/neu), MUC-1, MUC-2, MUC16, EGFR, NCAM, prostase, PAP, ELF2M, Ephrin B2, IGF-I receptor, CAIX, LMP2, gp100, bcr-abl, tyrosinase, EphA2, fucosyl GM1, sLe, GM3, TGS5, HNWMAA, o-acetyl-GD2, folate receptor beta, TEM1/CD248, TEM7R, CLDN6, GPRC5D, CXORF61, CD97, CD179a, ALK, Polysialic acid, PLAC1, GloboH, NY-BR-1, UPK2, HAVCR1, ADRB3, PANX3, GPR20, LY6K, OR51E2, TARP, WT1, NY-ESO-1, LAGE-la, MAGE-A1, legumain, WTIHPV16 E7, HPV E6, E7, MAGE A1, ETV6-AML, sperm protein 17, XAGE1, Tie 2, MAD-CT-1, MAD-CT-2, Fos-related antigen 1, p53, p53 mutant, protein, surviving, telomerase, PCTA-1/Galectin 8, MelanA/MART1, Ras mutant, hTERT, sarcoma translocation breakpoints, gp100, ML-IAP, ERG (TMPRSS2 ETS fusion gene), NA17, PAX3, androgen receptor, cyclin B1, MYCN, RhoC, TRP-2, CYP1B1, BORIS, SART3, PAX5, OY-TES1, LCK, AKAP-4, SSX2, RAGE-1, MART-1, human telomerase reverse transcriptase, RU1, RU2, intestinal carboxyl esterase, mut hsp70-2, CD79a, CD79b, CD72, LAIR1, FCAR, LILRA2, CD300LF, CLEC12A, BST2, EMR2, LY75, GPC3, FCRL5, IGLL1, CD2, CD3c, CD4, CD5, and CD7.

Generation of the Live-Attenuated ZIKV Strain (Z7)

[0117] To test if a modification of the 5' UTR affects ZIKV infectivity, 18, 38, or 50 nucleotide GC-rich DNA sequences were inserted (Table 1) at the end of the stem loop B (SLB) region and before the start codon (ATG), or between the 5' UTR and the capsid gene in the plasmids containing the ZIKV genome (Cambodian strain FSS13025) (FIG. 1A), respectively, by known cloning methods.sup.35. The ZIKV Cambodian strain was selected as the cloning backbone because it is attenuated in neurovirulence, immune antagonism, and mosquito infectivity compared with the American epidemic isolates.sup.1,2,36. The predicted 5' UTR ZIKV RNA structures showed that the 38 and 50-nucleotide inserts resulted in an additional hairpin structure in the SLB, while the SLA, cHP, and DCS-PK structures remained intact (FIG. 1B, Z5 and Z7 respectively).

[0118] In contrast, instead of forming a new hairpin structure, the insertion of an 18-nucleotide insert resulted in a large loop in the SLB hairpin structure (FIG. 1B, Z3). These modified and unmodified control plasmids were transfected into Vero cells. The rescued ZIKVs (Z1=WT [no insert]; Z3=18 nucleotide insert; Z5=38 nucleotide insert; and Z7=50 nucleotide insert) in the cell culture media were collected on day 3 post-transfection. The media containing the first generation (G1) of ZIKVs were used to infect fresh Vero cells to evaluate the viral genome replication using the quantitative reverse transcription polymerase chain reaction (qRT-PCR). The qRT-PCR results indicated that insertion of 18-nucleotides (Z3) could not generate live viruses (FIG. 2A). The 38-nucleotide insertion (Z5) resulted in low but detectable ZIKVE copies, while the 50-nucleotide insertion (Z7) produced a much higher level of ZIKVE copies than Z5 (FIG. 2A).

TABLE-US-00001 TABLE 1 The Inserted RNA Sequences Z1 Name (WT) Z3 Z5 Z7  
Inserted None CGUACGAG CGACCACGG SEQ ID NO: 10 RNA CGCAGGUGC

[0119] Z7 was continuously passed in Vero cells (an approved cell line for vaccine production<sup>sup.37</sup>) for 11 generations (5 days/generation). Titers of Z7 gradually increased through the continuous passaging in Vero cells, indicating that Z7 may have adapted fitness (FIG. 2B). Next, the growth kinetics of Z7 and Z1 were determined by a focus forming assay (FFA) in both Vero and Human Embryonic Kidney (HEK) 293 cells. Compared to Z1 (WT, Cambodian strain FSS13025), Z7 was able to grow efficiently in both cell lines, albeit with slightly lower growth rates (FIGS. 2C and 2D). To visualize these plasmid-generated ZIKV particles, Z1 and Z7 viral stocks were examined under a Transmission Electron Microscope (TEM) with negative staining. The TEM images confirmed that Z1 and Z7 exhibited the typical “golf ball” appearance with a size of approximately 40 nm of ZIKV 38 (FIG. 2E).

[0120] To determine if the 50-nucleotide insert remained stable during passaging, the whole viral genomes of Z7 (G8 to 10) and Z1 were sequenced by next generation sequencing, which confirmed the intact insert without mutation, indicating that the insert was stable in the 5' UTR region. Interestingly, two mutations, S1417A (primary) and S1417T (secondary), in NS2B protein in Z7 (G8 to G10, data not shown) were discovered indicating Z7 might adopt a fitness mutation through passaging. Collectively, these results demonstrate that Z1 and Z7 were successfully generated with a reverse genetic approach, and that the 50-nucleotide insert in Z7 was stable for at least 10 generations.

#### Z7 Exhibited Attenuated Infectivity In Vitro and in *Ifnar1*<sup>-/-</sup> Mice

[0121] To determine if Z7 has attenuated pathogenicity compared to Z1, a cytopathic effect (CPE) assay, an immunofluorescence assay (IFA), focus forming assay (FFA), and a plaque-forming assay were performed. For the CPE assay, Vero cells were inoculated with 0.1 MOI of Z1, Z7 (G11), or PBS as control and the CPE on the cells was examined under a Leica M165 FC microscope. The results showed that Z1 caused apparent CPE effects in Vero cells starting on day 3 post infection (post-immunization) by killing more cells than Z7 (FIG. 3A), while Z7 did not cause noticeable CPE for 4 days compared to the control (day 4 post-immunization, data not shown).

[0122] To determine if Z7 is less infective in vitro, the expression of ZIKV E protein in Vero cells was measured by IFA, in which Vero cells were infected with 0.1 MOI of Z1, Z7 (11G), or PBS as control and incubated for 3 days. The confocal images showed that ZIKV E protein was detected in Z1-infected cells starting from day 2 post-immunization, while Z7-infected cells started to produce a lower level of the protein from day 3 compared with Z1-infected cells (FIG. 3B). Consistently, the FFA results also showed that Z7 took a longer time (4 days) than Z1 (3 days) to develop the ZIKV E positive immunofoci (FIG. 3C). In addition, in the plaque-forming assay, Z7 took a prolonged time (5 days) compared to Z1 and ZIKV (strain PRVABC59, 4 days) to develop visible plaques. Moreover, Z7 developed smaller sized plaques than Z1 and ZIKV (epidemic strain PRVABC59) on day 5 post-immunization (FIGS. 3D and 3E). These results collectively demonstrate that Z7 exhibited attenuated pathogenicity in vitro compared to Z1.

Z7 caused asymptomatic infection in *ifnar1*<sup>-/-</sup> mice To evaluate the pathogenicity of Z7 in a mouse model, a 4-week-old, sex-matched type I interferon (IFN) receptor A deficient (*Ifnar1*<sup>-/-</sup>) mice (in C57BL/6J background) were infected with 1×10<sup>sup.5</sup> focus-forming units (FFU) of Z7 (G10) or Z1 via footpad inoculation, which partially mimics mosquito transmission<sup>sup.39-43</sup>. After infection, Z1-infected control mice began to lose body weight from day 4 post-immunization (FIG. 4A), death occurred starting from day 8 post-immunization, and 68% of the mice died by the end of the experiment (day 21 post-immunization, FIG. 4B). On the contrary, Z7 infected mice did not show any signs of sickness but instead continuously gained body weight, and 100% of the Z7 infected mice survived until the end of the experiment (FIGS. 4A and 4B).

[0123] To further evaluate the replication of Z7 in mice, separate groups of *Ifnar1*<sup>-/-</sup> mice were infected with Z1 or Z7 as above and the ZIKVE gene replication was measured in the blood,

spleen, and liver by qRT-PCR. Consistent with the body weight and the survival results, Z7-infected mice generated a significantly lower viremia than Z1-infected animals from days 3 to 9 post-immunization, and the ZIKVE gene in Z7-infected blood samples became undetectable or below the pre-set qRT-PCR detection limit ( $C_q=38$ ) after day 7 post-immunization (FIG. 4C). Similarly, Z7-infected mice also had reduced viral loads in the liver and spleen tissues compared to Z1-infected mice (FIG. 4D). Also, significantly more ZIKV genome copies were detected in the blood of the Z7-infected mice than in the blood of the Z1-infected mice on day 1 post-immunization (FIG. 4C). This may be an indication that there might be more non-infectious Z7 viral particles than Z1 in the inoculums. Thus, both in vitro and in vivo results strongly suggest that Z7 is a safe live-attenuated candidate for SAM vaccine development. To test if there might be more non-infectious Z7 viral particles than Z1 in the inoculums,  $1 \times 10^{5.5}$  FFU of Z1 and Z7 viral stocks were pretreated with RNase to remove any cellular and viral RNA that is free of the viral particles, then viral RNA was extracted from the viral nucleocapsids. ZIKV genome copies were quantified by measuring ZIKVE with qRT-PCR. The results showed 15-fold more ZIKV genome RNA copies in Z7 samples than Z1 samples within  $1 \times 10^{5.5}$  FFU of the viral stock samples (FIG. 4E). These results further indicated that Z7 possessed weakened infectivity and may produce a large amount of non-infectious viral particles, which could serve as a source of immunogens to induce anti-ZIKV immunity without causing disease. In summary, both in vitro and in vivo results strongly supported the conclusion that Z7 exhibited attenuated pathogenicity compared to its parental WT strain Z1.

#### Z7 Induced Robust Humoral and Cellular Immune Responses in *Ifnar1*<sup>-/-</sup> Mice

[0124] Since Z7 was generated by modifying the 5' UTR of a non-epidemic ZIKV strain (FSS13025), and all of the structural and non-structural genes remained unchanged, it was hypothesized that Z7 could induce robust humoral and cellular immune responses. To test this, 4-week-old, sex-matched *Ifnar1*<sup>-/-</sup> mice were inoculated with  $1 \times 10^{5.5}$  FFU of Z7 via footpad. The blood samples were collected on day 0 (pre-immunization) and day 24 post-immunization. The levels of anti-ZIKV E IgG in the plasma were measured by enzyme-linked immunoassay (ELISA). The ELISA results indicated that Z7 induced a high titer of anti-ZIKV E IgG (mean value=311.7 U/ml) on day 24 post-immunization (FIG. 5A).

[0125] To measure if Z7-induced antibodies could efficiently neutralize the epidemic ZIKV (strain PRVABC59) in vitro, a plaque reduction neutralization test (PRNT) was performed. The range of the PRNT<sub>50</sub> value of Z7-induced neutralizing antibody was between 104.9 to 106.2 (FIG. 5B). These results further support the conclusion that Z7 immunization induces robust anti-ZIKV neutralizing antibody responses. To measure the cellular immune responses, 7-week-old *Ifnar1*<sup>-/-</sup> mice were immunized with  $1 \times 10^{5.5}$  FFU of Z7 or PBS as control via footpad and the spleens were collected on day 8 post-immunization. The splenocytes were re-stimulated with 0.1 MOI of Z1 ex vivo for 24 hours (h) to induce ZIKV-specific T cell responses. IFN- $\gamma$ -producing CD4<sup>+</sup> and CD8<sup>+</sup> T cells were measured using flow cytometry. The results showed that the Z7-immunized mice induced an approximately 3-fold higher IFN- $\gamma$  response in CD4<sup>+</sup> T cells than the control group (FIG. 5C). However, there was no difference in IFN- $\gamma$  producing CD8<sup>+</sup> T cells between the Z7-immunized group and the control group (FIG. 5D). Thus, these results indicated that Z7 immunization can induce strong humoral and cellular immune responses in *Ifnar1*<sup>-/-</sup> mice.

[0126] Z7 induced sterilizing immunity against ZIKV infection in *Ifnar1*<sup>-/-</sup> mice To evaluate if Z7-induced immunity can protect mice from epidemic ZIKV infection, 4-week-old *Ifnar1*<sup>-/-</sup> mice were immunized with either  $1 \times 10^{5.5}$  FFU of Z7 (G10) or PBS as control via footpad inoculation. On day 42 post-immunization, these mice were challenged through a footpad with  $1 \times 10^{5.5}$  plaque forming units (PFU) of ZIKV (strain PRVABC59). The blood samples were collected on days 1 to 3 post-challenge (p.c.) to measure the viremia by quantifying ZIKVE gene copies by qRT-PCR. There were no detectable ZIKVE genes in the blood samples of Z7-immunized mice at any time point, whereas ZIKVE genes were detected in the samples of the

control group on days 2 and 3 p.c. (FIG. 6A). To confirm the qRT-PCR results, the infectious viral particles in the blood samples collected on day 3 p.c. were measured by plaque-forming assay. Similar to qRT-PCR, no plaque was developed in the blood samples of the Z7-immunized mice (FIG. 6B). To measure the viral load in the peripheral tissue after the challenge with the epidemic ZIKV infection, some mice were sacrificed on day 3 p.c. and the livers and spleens were collected to measure the viral load by qRT-PCR. Consistent with the viremia, the ZIKVE genes in the tissue samples of Z7-immunized mice were either not detectable or were below the set qRT-PCR detection limit ( $C_q=38$ ). The control mice had significantly more ZIKVE genes in both their liver and spleen samples (FIG. 6C). Thus, these results suggest that a single dose of Z7 immunization can induce sterilizing immunity to protect mice against the epidemic ZIKV strain infection in the *Ifnar1*<sup>-/-</sup> mouse model.

**Adoptive Transfer of Z7-Immunized Plasma Protected *Ifnar1*<sup>-/-</sup> Mice from ZIKV Infection**  
[0127] To evaluate if the plasma collected from the Z7-immunized mice protects against ZIKV infection, 100  $\mu$ l of plasma collected from either Z7-immunized mice or mock-infected mice as control were adoptively transferred via retro-orbital injection in 5-week-old *Ifnar1*<sup>-/-</sup> mice. The next day, both groups were challenged with  $1 \times 10^{5.5}$  PFU of ZIKV (strain PRVABC59) via footpad and the body weight changes up to day 15 post-immunization were monitored. The control group started to lose weight from day 5 post-immunization until day 7 post-immunization followed by gaining weight from day 8 post-immunization until the end of the experiment (FIG. 7A). On the contrary, the mice which received the Z7-immunized plasma did not show any signs of sickness and gained body weight continuously.

[0128] To measure survival, 100  $\mu$ l of Z7-immunized plasma or PBS as control were adoptively transferred via retro-orbital injection in 4-week-old *Ifnar1*<sup>-/-</sup> mice and these mice were challenged with  $1 \times 10^{5.5}$  PFU of ZIKV (strain PRVABC59) via footpad. The control group started to die from day 7 post-immunization and 75% of the mice died before the end of the experiment (day 21 post-immunization). In contrast, all of the Z7 plasma-infused animals survived (FIG. 7B).

[0129] To characterize the protective effects of Z7-immunized plasma, a separate adoptive transfer study was performed in a group of 5-week-old *Ifnar1*<sup>-/-</sup> mice and blood was collected from day 1 to day 9 post-immunization on every other day to measure the ZIKVE gene by qRT-PCR. The results showed that the control mice generated greater levels of viral RNA than the mice who received the plasma infusion at all time points except day 1 post-immunization (FIG. 7C). Thus, the adoptive transfer study indicated that a single dose of plasma collected from Z7-immunized mice is sufficient to significantly inhibit ZIKV replication to achieve 100% survival in *Ifnar1*<sup>-/-</sup> mice.

**Z7-Based SAM Replicons are More Efficient than VEEV-Based SAM Replicons in Driving GFP Expression In Vitro**

[0130] Z7-based SAM replicons were constructed by removing the structural genes C, prM, and E while maintaining the first 150 bp of C (50 aa) and the last 90 bp of E (30 aa) to facilitate optimal RNA replication and polypeptide cleavage to generate a mature NS1-5 (FIGS. 8A and 8B). VEEV-TC83-based SAM replicons were also constructed as illustrated in FIGS. 8A and C. Both Z7 and VEEV-based replicons contain CHIKV E2-E1 proteins and sfGFP as an antigen expression marker. The plasmid DNA sequences of the constructed replicons were verified by next-generation sequencing.

[0131] To determine the efficiency of SAM replicons in replication and translation in host cells, an equivalent molar amount of Z7- and VEEV-based SAM were transfected into HEK293 cells (a human cell line that is commonly used for in vitro gene expression analysis) with Lipofectamine TM 3000 reagent (Thermo Fisher Scientific). The transfection reagent alone was used as a control. The GFP expression was analyzed under a fluorescence microscope and flow cytometry at 48 h post-transfection. FIG. 9 shows HEK-293 cells transfected with Z7-based—E2-E1—replicon plasmids expressed more intensive GFP signals than those transfected with VEEV-based—E2-E1—replicons, as viewed under a confocal microscope. FIG. 10 shows approximately 8-fold more

GFP-expressing HEK-293 cells transfected with Z7-based—E2-E1-replicon plasmids than those transfected with VEEV-based—E2-E1—replicons, as quantified by flow cytometry.

[0132] FIG. 11 shows that HEK-293 cells transfected with Z7-based—E2-E1—replicon plasmids expressed approximately 10 times more CHIKV E1 mRNA than those transfected with VEEV-based—E2-E1—replicons, as determined by quantitative real-time PCR (QPCR). These confocal, flow cytometry, and QPCR results demonstrated that Z7-based SAM replicons are more efficient than VEEV-based SAM replicons in driving antigen expression in vitro.

## Discussion

[0133] The present invention generates mutant ZIKVs by inserting GC-rich sequences after the SLB region of the 5' UTR of the ZIKV genome of the pre-pandemic Cambodian strain, FSS13025. The inserts of 38 nucleotides (Z5) and 50 nucleotides (Z7) resulted in the formation of new hairpin structures in the region of 5' UTR. These new hairpin structures may interfere with the functions of 5' UTR and inhibit viral genome replication and protein translation because the cellular translation enzymes depend on stem-loop structures to begin replication, and ribosomes might stall when engaged with additional stem loop and hairpin structures.<sup>sup.31,32.</sup>

[0134] The enlarged loop in the SLB hairpin structure resulting from the 18-nucleotide insertion (Z3) might significantly interrupt the ZIKV genome processing and translation of the viral proteins, and thus could become lethal to ZIKV. Based on the viability of the mutants, Z7 was selected to further characterize and evaluate its potential as a live-attenuated vaccine candidate. Compared to Z1, the WT control, the replication rate of Z7 was lower; however, through continuous passaging in Vero cells, its titer gradually increased, indicating that Z7 has adapted fitness mutations by continuous replication.

[0135] The whole genome sequencing of the mutants confirmed that the insert remained stable at the exact location even after 10 generations. Additionally, a mutation, S1417A was identified in NS2B protein. The NS2B protein couples with NS3 protease to cleave viral proteins and facilitate NS3 proteolytic activity, which contributes to the host cell apoptosis and neuropathogenesis.<sup>sup.21,59.</sup> It has been reported that mutations in NS2B significantly alter the interaction between NS2B and NS3, followed by a decrease in the NS3 protease activity and ZIKV replication.<sup>sup.60.</sup> Z7 acquired the S1417A mutation in NS2B, which might also affect NS3 protease.

[0136] It has been well-documented that ZIKV NS5 protein binds to and degrades human signal transducer and activator of transcription (STAT) 2 proteins downstream of type I INF receptor signaling, inhibiting the expression of IFN-stimulated genes and induction of innate antiviral responses. Consequently, ZIKV evades host defense mechanisms and can efficiently replicate in human cells. ZIKV NS5 protein cannot target murine STAT2 to evade IFN signaling, which hinders the use of WT mice in studying ZIKV-induced diseases.<sup>sup.61-63.</sup> Therefore, *Ifnar1*<sup>-/-</sup> mice have been commonly used to study the pathogenesis of ZIKV and to test antiviral vaccines in vivo. To characterize the infectivity profile of Z7 in vivo, *Ifnar1*<sup>-/-</sup> mice were infected with Z7 (G10 or 11) or Z1 as a control. The relative change in body weight and the survival experiments indicated that Z7 did not cause any sign of sickness in *Ifnar1*<sup>-/-</sup> mice. Also, lower levels of viral load in blood and the peripheral tissues could be detected in Z7-infected mice.

[0137] These results thus confirmed that Z7 has an attenuated infectivity compared to its parental strain, Z1, making it a safe candidate for developing ZIKV-based replicons for SAM. FV replicons may be superior to AV replicons when constructing SAM vaccines to elicit both humoral and cellular immune responses. In the present application, it has been demonstrated that Z7-based SAM replicons are more efficient than VEEV-based SAM replicons in driving antigen expression in vitro.

## EXAMPLES

### Example 1

[0138] FIG. 12 shows a schematic structure of the bacterial plasmid used for coning Z7-based

SAM vaccine of the present invention. The components of the Z7-based SAM vaccine shown in FIG. 12 are as follows.

[0139] Si is a cloning vector backbone derived from a bacterial artificial chromosome (BAC) pCC1BAC. This backbone permits the construction of a variety of plasmids (large or small) and the production of stable DNA sequences with a low probability of mutation due to plasmid-induced toxicity to host *E. coli* cells. An exemplary cloning vector is SEQ ID NO: 23.

[0140] S2 is a novel dual CMV/T7 promoter fusion for the initiation of replicon RNA by RNA polymerases either in vivo (host cell enzymes) or in vitro by T7 RNA polymerase. This feature allows the same plasmid to be used directly in the host cell to launch the self-amplifying mRNA (SAM) vaccine (similar to DNA vaccine but with RNA-amplifying capability) or to be used as a template to make RNA in vitro by RNA polymerase (similar to mRNA vaccine but with RNA-amplifying capability). An example of the CMV/T7 promoter is SEQ ID NO: 20. Further examples of the promoter that include the first two nucleotides, AG and GG, of SAM-RNA transcripts are SEQ ID NO: 11 and SEQ ID NO: 12.

[0141] S31 and S32 are two pieces of DNA sequences of the newly developed Z7 genome (PMID: 37005424), which was modified from a natural Zika virus genome (a pre-epidemic ZIKV Cambodian strain, FSS13025, Genbank: KU955593.1) and adapted during multiple passages.

[0142] S31 contains the 5' UTR, the 50 nt insert just before the transcription start site (TSS) and the first 50 amino acids (AAs) of the capsid protein (C50). An example of S31 is SEQ ID NO: 21.

[0143] S32 includes the last 30 AAs of the envelope protein (E30) and all the non-structural genes NS1-5 (which includes a S1417A in NS2B protein from viral adaptation during the multiple passages), 3' UTR, and a HDV ribozyme sequence (HDVr). An example of S32 is SEQ ID NO: 22.

[0144] Between S31 and S32 a 2142 nucleotide (nt) sequence encoding the majority of the viral capsid protein (C) and envelope protein (E) of Z7 is removed, thereby eliminating the possibility of forming infectious viral particles.

[0145] S4 is a DNA sequence encoding "protein-cleaving" peptide sequence (T2A) derived from mouth and foot disease virus. The sequence allows the ribosome to pause, start and re-initiate translation at the site, effectively "cleaving" the long peptide into 2 separate peptide chains. This feature permits the separation of antigen from the rest of Z7-encoded protein sequences. An example of the T2A peptide sequence is SEQ ID NO: 13.

[0146] S5 is a DNA sequence encoding signal peptide that allows the secretion of the expressed antigen from a host cell. Haemagglutinin signal peptide (HA-SP) from the influenza virus (SEQ ID NO: 14) is an example, but many other known signal peptide sequences may be used.

[0147] S6 is a DNA sequence (SEQ ID NO: 18) encoding the E1 and E2 proteins of Chikungunya virus (strain LR2006\_OPY1, GenBank: DQ443544.2) connected by a peptide linker (SGGGSGGGSGGGSGGG (SEQ ID NO: 17)). The 2 proteins form a dimer then form a trimer (spike) which interacts with the host receptor to gain cell entry. An example of the E1 protein of Chikungunya virus is SEQ ID NO: 15. An example of the E2 protein of Chikungunya virus is SEQ ID NO: 16. An example of S6 including the E2 protein (SEQ ID NO: 16) linked via the peptide linker (SEQ ID NO: 17) to E1 protein (SEQ ID NO: 15) is SEQ ID NO: 18.

[0148] The S6 component can be replaced by other DNA sequences encoding proteins of other viral strains or cancer antigens.

[0149] S7 is a DNA sequence encoding a GFP protein to allow easy detection and analysis of antigen expression. An example of this DNA sequence encoding a GFP protein is SEQ ID NO: 24. Other fluorescent proteins, luciferase proteins, and commonly used antigen epitopes may be used for the purpose of detecting and analyzing SAM RNA expression.

[0150] S6 contains one or more application specific antigens (antiviral or anticancer). Some of S4-S7 may be omitted, and S4-S7 can be arranged in different ways depending on specific applications.

Example 2



## Cells and Viruses

[0151] The in vitro experiments were performed on Vero (ATCC CCL-81) or HEK-293 cells (ATCC CRL-1573) maintained in Dulbecco's Modified Eagle's Medium (DMEM, Life Technologies) supplemented by 1% Penicillin/Streptomycin (P/S, Gibco), and 10% Fetal Bovine Serum (FBS, Atlanta Biologicals). The cells were kept in an incubator at 37° C. with 5% CO<sub>2</sub> and a relative humidity of about 95%. The ZIKV Puerto Rico strain (PRVABC59, GenBank number KU501215) was obtained from B. Johnson (CDC Arbovirus Branch), was propagated in Vero cells, and was quantified by plaque assay. The ZIKV plasmids of the Cambodian strain (GenBank number KU955593.1) were generously provided by Dr. Pei-Yong Shi at the University of Texas Medical Branch (UTMB) and were used for generating Z1.

## Plasmid Construction, Transmission, and Virus Collection

[0152] A pFLZIKV plasmid containing the Cambodian wild type ZIKV sequence FSS13025 (GenBank number KU955593.1) with the pACYC177 backbone and a pCC1BAC-PRV plasmid<sup>sup.65,66</sup> was cloned. The cloning strategy involved adding a cytomegalovirus (CMV) promoter to the 5' UTR of WT Z1 sequence in order to generate the FSS13025 viral RNA and changing the backbone from pACYC177 to pCC1BAC to facilitate plasmid propagation in *E. coli*. Three overlapping DNA fragments (F1-pCC1BAC backbone, F2-CMV-5'UTR-C-prM-E, and F3-E-NS1-NS5-3'UTR-HDVr-SV40 poly(A) signal) were generated by PCR using the DNA polymerase Q5 (NEB), and were then assembled into the Z1 plasmid by NEBuilder HiFi DNA Assembly (NEB) into a full size 19,482 bp plasmid containing the Z1. The major portion of the viral sequence (E-NS1-NS5-3'UTR-HDVr) was first cloned into a plasmid Fb to add SV40 poly(A) signal by in vivo cloning<sup>sup.35</sup>. Fb was then used as the template to generate F3. Detailed information is shown in Table 2.

TABLE-US-00002

| TABLE   | 2                           | DNA Primer Name             | Template Name                | Primer             | Sequence       |
|---------|-----------------------------|-----------------------------|------------------------------|--------------------|----------------|
| F1      | pCC1BAC-                    | P1f                         | AGCTTGGCGTAATCATGGTCATAGCTG  | PRV (SEQ ID NO: 1) | P1r            |
| F2      | GATCGGCACGTAAGAGGGGACTTCCAT | TGTTTCATTCCACG              | (SEQ ID NO: 2)               | F2                 |                |
| F21/F22 | P2f                         | AATGAACAATGGAAGTCCCCTCTTACG | TGCCGATCAAGTC                | (SEQ ID NO: 3)     | P2r            |
| F21     | pcDNA3                      | P2f                         | AATGAACAATGGAAGTCCCCTCTTACG  | Kan                | TGCCGATCAAGTC  |
| F22     | pFLZIKV                     | P22f                        | GCAGAGCTCGTTTAGTGAACCGAGTTG  | TTGATCTGTGTGA      | (SEQ ID NO: 7) |
| F3      | Fb                          | P3f                         | GGACCTTGCAAGGTTCCAGCTCAGA    | (SEQ ID NO: 8)     | P3r            |
|         |                             |                             | GTGTGAAATACCCCGAACCCATGATCCT | (SEQ ID NO: 9)     |                |

[0153] Vero cells were plated at 2.5×10<sup>5</sup> cells/well in 6-well plates and incubated for 24 h at 37° C. with 5% CO<sub>2</sub>. Z1, Z3, Z5, and Z7 plasmids (500 ng) were transfected with Lipofectamine 3000 reagent (ThermoFisher Scientific) according to the user manual. The Vero cells were allowed to incubate at 37° C. with 5% CO<sub>2</sub> for 5 days to collect the cell culture supernatant containing the WT (Z1) and mutant (Z3, Z5, and Z7) viruses. To continuously pass the Z7 on Vero cells, 150 µl of the virus-containing medium from the previous passage was inoculated into 2.5×10<sup>5</sup> Vero cells in 6-well plates for 5 days. The culture supernatant from each generation was collected and stored in a -80° C. freezer. The viral titers of Z1, Z5, and Z7 (G10 and 11) were determined by FFA.

## Mice and Animal Study

[0154] Breeding pairs of Ifnar1<sup>-/-</sup> mice in C57BL/6J background (Stock #028288) were purchased from the Jackson Laboratory. The breeding pairs and pups were kept in a clean room, and the infection experiments were performed in an animal BSL-3 lab at the University of Southern Mississippi. For ZIKV infection, four-week-old Ifnar1<sup>-/-</sup> mice were subcutaneously injected on the ventral side of the left hind footpad with 1×10<sup>5</sup> FFU of Z1 or Z7 (G10) in phosphate

buffered saline (PBS) on day 0. The body weight of the infected mice was measured daily for 8 days post-immunization. Blood samples were collected on alternate days from day 1 to day 9 post-immunization in 0.5M ethylenediaminetetraacetic acid (EDTA) from the retro-orbital sinus under isoflurane anesthesia, and the levels of ZIKVE gene were measured by qRT-PCR. To measure the level of anti-ZIKV E IgG by ELISA (Alpha Diagnostic International), blood samples were collected on day 0 and day 24 post-immunization to prepare plasma. On day 42 post-immunization, the mice were challenged with  $1 \times 10^{5.5}$  PFU of ZIKV (strain PRVABC59), and blood was collected from day 1 to day 3 p.c. to measure the viremia by qRT-PCR and plaque assay. On day 3 p.c., the mice were euthanized, and the livers and spleens were collected to measure the viral load by qRT-PCR. Data were normalized by mouse  $\beta$ -actin as a housekeeping gene.

#### Quantitative Reverse Transcription Polymerase Chain Reaction (qRT-PCR)

[0155] The total RNA was extracted from cell culture and tissue samples using TRI Reagent (Molecular Research Center, Inc). The RNA was converted into the first-strand complementary DNA (cDNA) using an iSCRIPT<sup>TM</sup> cDNA synthesis kit (Bio-Rad). The qRT-PCR assays were performed in a CFX Connect Real-Time System (Bio-Rad) using iTaq<sup>TM</sup> Universal Probes Supermix (Bio-Rad) for the detection of ZIKVE<sup>sup.67,68</sup>, and cellular  $\beta$ -actin<sup>sup.69</sup>. ZIKV genome copies were calculated by measuring ZIKVE with qRT-PCR following the previous protocol<sup>sup.39</sup>.

#### Viral Growth Kinetics

[0156] Vero or HEK-293 cells were plated at  $5 \times 10^5$  or  $2.5 \times 10^5$  cells/well, respectively, in 12-well plates and incubated overnight at 37° C. with 5% CO<sub>2</sub>. The cells were then infected with 0.1 MOI (Vero cell) or 0.01 MOI (HEK-293 cell) of Z1 or Z7 (G11) and incubated for 1 h. The medium was replaced with 1 ml of DMEM supplemented with 10% FBS, and 1% P/S, and incubated for 6 days. The culture supernatants containing either Z1 or Z7 were collected daily from day 1 to day 6. The viral titers of Z1 and Z7 were determined by FFA.

#### Cytopathic Effect Assay (CPE)

[0157] Vero cells were plated at  $5 \times 10^4$  cells/well in 12-well plates and incubated overnight at 37° C. with 5% CO<sub>2</sub>, then infected with 0.1 MOI of Z1 and Z7 (G11) and incubated for 1 h at 37° C. The medium was then replaced with 1 ml of DMEM supplemented with 10% fetal bovine serum (FBS) and 1% P/S and incubated for 3 days. On day 3 post-immunization, the cells were stained using LIVE/DEAD Cell Imaging Kit (488/570, ThermoFisher Scientific) according to the user manual. The images were taken using a Leica M165 FC microscope (Leica Microsystems).

#### Immune Fluorescence Assay (IFA)

[0158] Vero cells were plated at  $1.25 \times 10^4$  cells/well in 24-well glass-bottom plates and incubated overnight at 37° C. with 5% CO<sub>2</sub>. The cells were then infected with 0.1 MOI of Z1, Z7 (G11), or PBS as a control and incubated for 1 h at 37° C. After incubation, the cell medium was replaced with 500  $\mu$ l of DMEM, and the cells were incubated at 37° C. for 3 days. The cells were then fixed with 250  $\mu$ l of 4% para-formaldehyde solution (PFA) in PBS for 15 min at room temperature (RT), followed by 2 $\times$  wash with PBS. The cells were permeabilized with 250  $\mu$ l of 0.1% Triton X for 20 min at room temperature (RT) followed by 2 $\times$  wash with PBS, then blocked with 500  $\mu$ l of 5% skim milk in 0.1% PBST (PBS with Tween®-20) for 1 h at 4° C. The cells were then probed with the mouse anti-flavivirus glycoprotein E IgG antibody (4G2, in-house produced from the hybridoma D1-4G2-4-15 HB-112, ATCC), diluted with 5% skim milk in 1:50 ratio, at 200  $\mu$ l/well, incubated overnight at 4° C. followed by two 5-minute washes with 0.1% PBST. The cells were then probed with goat anti-mouse IgG conjugated with Alexa Fluor 488 (Abcam), diluted with 5% skim milk in 1:100 ratio, at 200  $\mu$ l/well, at 4° C. in the dark for 2 h, followed by two 5-minute washes with 0.1% PBST. The nuclei of the cells were stained with 600 nM DAPI solution at RT for 10 min. The images were taken using a Stellaris STED confocal microscope (Leica Microsystems).

#### Focus Forming Assay (FFA)

[0159] The FFA assay was developed by modifying a previously described protocol.<sup>sup.70</sup> Vero cells were plated at  $5 \times 10^5$  cells/well in 12-well plates and incubated overnight at 37° C. with 5% CO<sub>2</sub>. The cells were inoculated with serially diluted viruses Z1 or Z7 (G10 or G11) and incubated for 2 h at 37° C. Then the medium was replaced with 1 ml/well of 1×Opti MEM GlutaMAX (Gibco) medium supplemented with 1% methylcellulose (Sigma), 10% FBS, and 1% P/S, and incubated for 3 days (Z1) or 4 days (Z7). After the incubation, the overlay medium was removed and washed gently with PBS. The plates were fixed with 4% PFA for 15 min, permeabilized with 0.1% Triton X for 20 min at RT and blocked with 5% skim milk for 1 h. The cells were then probed with 4G2 antibody diluted with 5% skim milk in 1:50 ratio, incubated at 4° C. overnight in the dark followed by two 5-minute washes with 0.1% PBST. The cells were then probed with goat anti-mouse IgG conjugated with horseradish peroxidase (HRP) (Abcam), diluted with 5% skim milk in 1:500 ratio, incubated at 4° C. in the dark for 2 h, followed by two 5-minute washes with 0.1% PBST, and air dried for 20 min at RT. The immune-positive foci were developed with TrueBlue peroxidase substrate (KPL, Sera care).

#### Plaque Assay

[0160] Vero cells were plated at  $6 \times 10^5$  cells/well in 6-well plates and incubated overnight at 37° C. with 5% CO<sub>2</sub>. One ml of serially diluted ZIKV (strain PRVABC59), Z1, or Z7 were incubated with the Vero cells monolayer for 1 h at 37° C. Then the virus-containing medium was replaced with DMEM overlay medium containing 1% SeaPlaque Agarose (SPA, Lonza), 10% FBS, and 1% P/S and incubated for 5 days. The plaques were stained with 0.1% Neutral red solution (Sigma) and counted.<sup>sup.68</sup> The plaque size on day 5 post-immunization was measured by ImageJ software (National Institute of Health) with the ViralPlaque add-in 71 and expressed as area in pixels.

#### Plaque Reduction Neutralization Test (PRNT)

[0161] Vero cells were plated at  $6 \times 10^5$  cells/well in 6-well plates and incubated overnight. The plasma samples were incubated at 56° C. for 30 min to inactivate the complements, serially diluted from 101 to 107 fold with pre-warm, serum-free DMEM. The diluted samples were mixed with 100 PFUs of ZIKV (strain PRVABC59) and incubated at 37° C. for 1 h. The virus-plasma mixtures were applied onto Vero cells and incubated for 1 h. Then the virus-containing medium was replaced with DMEM overlay medium containing 1% SeaPlaque Agarose (SPA, Lonza), 10% FBS, and 1% P/S and incubated for 4 days. The plaques were stained with 0.1% Neutral red solution (Sigma) and counted.<sup>sup.68</sup> Then the PRNT50 values were calculated with GraphPad Prism software (version 7.0).<sup>sup.72</sup>

#### Flow Cytometry

[0162] Seven-week-old Ifnar1.<sup>sup.-/-</sup> mice were infected with  $1 \times 10^5$  FFU of Z7 (G10) or PBS (control). Mice were euthanized on day 8 post-immunization to collect splenocytes. The splenocytes ( $3 \times 10^6$ ) were plated in 6-well plates and restimulated with 0.1 MOI of Z1 for 24 h at 37° C. During the final 8 h of the stimulation, Brefeldin A solution (BD Bioscience) was added at 1:1000 to block cytokine secretion. Cells were then stained with antibodies against mouse CD3 (FITC-conjugated, BD Bioscience), CD4 (PerCp Cy 5.5-conjugated, BD Bioscience), and CD8 (APC-conjugated, BD Bioscience). Cells were then fixed with 2% PFA overnight and permeabilized with 1× Permeabilization buffer. Cells were then intracellularly stained with PE-Cy7 conjugated anti-IFN $\gamma$  (BD Bioscience).

[0163] HEK-293 cells (80-90% confluent cells) grown in 6-well plates were transfected with 2.5  $\mu$ g of plasmids with 7.5  $\mu$ L/well of Lipofectamine TM 3000 reagent. The cells were incubated at 37° C. with 5% CO<sub>2</sub> for 2 days, then for GFP (FITC positive cells) detection by flow cytometry. Data were acquired using BD LSR Fortessa and analyzed with FlowJo (v10.8.0) software.

#### Transmission Electron Microscopy

[0164] Z1 and Z7 (G9) virus samples were centrifuged at 5,000 rpm for 5 min at 4° C. to remove

cell debris. Viral samples were initially added to the top of a 20% sucrose cushion in Polyallomer ultracentrifuge tubes (Beckman Coulter Life Sciences) and were centrifuged by an Optima XPN-80 Ultracentrifuge (REVCO) at 28,000 rpm for 2 h at 4° C. The pellets were then resuspended and fixed with 4% glutaraldehyde solution (Sigma). The virus samples were negatively stained with 2% Uranyl acetate solution and visualized under a Transmission Emission Microscope (TEM).sup.38. The TEM images of Z1 and Z7 were taken by JEOL JEM-1400 120 kV TEM machine in the Shared Instrumental Facility of Louisiana State University (Baton Rouge, LA).

#### Next Generation Sequencing

[0165] Vero cells were plated at  $2 \times 10^5$  cells/well in 6-well plates, incubated overnight at 37° C. with 5% CO<sub>2</sub> and infected with 0.5 MOI of Z7 (G8-10) or Z1 for 48 h. Total RNA was extracted using an RNeasy Mini Kit (Qiagen) for RNA sequencing using the Illumina platform (Psomagen). The paired reads were processed to generate de novo sequences which were aligned to the ZIKV sequence (Cambodian strain, FSS13025, GenBank number KU955593.1).

#### Statistical Analysis

[0166] Data were compared using Mann Whitney U test, log-rank test, or unpaired Student's t-test with GraphPad Prism software (version 7.0), whichever was applicable.

[0167] These examples show a comparison of AV- and FV-SAM replicons in vitro and in vivo and characterize their immunogenic potency and safety profiles based on previously established cell culture and mouse models.

#### Example 3

##### Development of Z7-Based SAM Replicons for CHIKV Antigens

[0168] Both male and female mice have demonstrated similar susceptibility to CHIKV infection, therefore, approximately equal numbers of male and female mice were tested in Example 2.

Aim 1. Construct Z7-Based RNA Replicons Targeting CHIKV E2-E1 and Evaluate their Efficiency at the Transcriptional and Translational Levels in Vitro.

[0169] The single-stranded CHIKV RNA genome consists of two open-reading frames (ORF) that encode four nonstructural proteins (nsP1-4) required for virus replication and five structural proteins (C, E3, E2, 6K, and E1), respectively [275]. Of these structural proteins, heterodimer E2-E1 proteins, the main component of the viral surface glycoproteins, mediate the cellular receptor attachment and the membrane fusion during the viral entry [220]. Pre-clinical vaccines targeting CHIKV E2-E1 have been documented to elicit high levels of neutralizing antibodies, as well as specific Interferon-gamma (IFN- $\gamma$ )-producing cells and polyfunctional CD4<sup>+</sup> and CD8<sup>+</sup> T cells [276, 277]. Herein, a Z7-based SAM vaccine targeting CHIKV E2-E1 glycoproteins was developed. Flavivirus replicons might be superior to Alphavirus (AV) replicons when constructing SAM vaccines to elicit both humoral and cellular immune responses. However, these two SAM replicons have never been directly evaluated side-by-side. Thus, we demonstrated herein a comparison of CHIKV E2-E1 SAM replicons with VEEV-TC83 nonstructural genes and Z7-based SAM.

Aim 1a. Construct Z7- and VEEV-TC83-Based SAM Replicons Expressing CHIKV E2-E1-sfGFP.

[0170] Z7-based SAM replicons were constructed by removing its structural genes Capsid (C), Pre-membrane (prM), and Envelope (E) while maintaining the first 150 base pairs (bp) of Capsid (50 amino acids (aa)) and the last 90 bp of the Envelope (30 aa) to facilitate optimal RNA replication and polypeptide cleavage to generate mature NS1-5 [48, 63] (FIGS. 8A and 8B). VEEV-TC83-based SAM replicons will be constructed as illustrated in FIGS. 8A and 8B. The antigenic DNA sequences of the constructed replicons were verified by next-generation sequencing. The resulting DNA was used as the template to prepare 5' capped RNA replicons by in vitro transcription in the presence of T7 RNA polymerase and m<sup>7</sup>GpppA as done in Example 1, according to our established protocol [59]. After the in vitro assessment of the superfolder Green Fluorescent Protein (sfGFP)-containing SAM replicons (Aim 1b), we generated a set of new SAM replicons targeting CHIKV E2-E1 proteins without sfGFP, which are discussed in Aim 2.

**Aim 1b. In Vitro Assessment of the Replication and Translation of the SAM Replicons.**

[0171] To determine the efficiency of SAM replicons in replication and translation in host cells, an equivalent molar amount of Z7- and VEEV-based SAM were transfected into HEK293 and NIH3T3 cells (human and mouse cell lines that are commonly used for in vitro gene expression analysis) with EndoFectin Max (Genecopoeia). The empty replicons (without CHIKV antigens) and the transfection reagent alone were used as controls. The sfGFP expression was analyzed under a fluorescence microscope at 24 h or 48 h after transfection. The replication and translation of the SAM replicons were measured by qRT-PCR and immunocytochemistry, respectively. To analyze replicon transcription efficiency, qRT-PCR was designed to determine the levels of negative-strand and positive-strand RNA E2 and NS5 or nsP4, the RNA-dependent RNA polymerase of Z7 and VEEV, respectively [64]. The monoclonal antibodies (mAbs) against CHIKV E2 and E1 proteins (Thermo Fisher) were used to analyze cellular expressed viral antigens by different approaches of immunocytochemistry, for example, Western blot, immunostaining, or flow cytometry. In addition, type I IFNs ( $\alpha$  and  $\beta$ ) in the cell culture media will be measured by ELISA. All methods for these assays are routinely used in our labs [274, 280-281].

[0172] The in vivo cloning method is simple, fast, and accurate. The sfGFP reporter SAM replicons included E2-EJ since the sfGFP itself may be too short to reflect the efficiency of the replication and translation of large antigens, i.e., E2-E1. While synthetic mRNA or plasmids can be effectively transfected with chemical reagents (such as with EndoFectin, the transfection efficiency of SAM may be lower due to their large sizes. In this case, viral mRNA replicons were delivered to the host cells by electroporation [263]. The transfection of synthetic mRNA is highly effective, but it may result in the potential cytotoxicity caused by the foreign mRNA-induced innate immune responses [283]. Because Z7 NS5 protein significantly inhibits the production of type I IFNs in human cells, Z7-based SAMs induced lower levels of cytotoxicity than VEEV-based SAMs, albeit non-structural (NS) proteins of FV may also have some inhibitory roles on type I IFNs [284]. In addition to using m.sup.7GpppA as the 5' cap to increase translation efficiency [274], the concentration of SAM-replicons that give maximal translation efficiency with minimal cytotoxicity was determined. Transfected cells were monitored for cell morphology and viability at both early (2-4 h) and later time points (12h, 24h, and 48 h) with our established methods [274, 275].

**Aim 2. Evaluate the Immunogenicity and Safety of Z7- and VEEV-Based SAM Replicons in Mice**  
[0173] Immunocompetent adult mouse models were determined to be valuable systems both for studying the pathogenesis of CHIKV-induced arthritis and for testing CHIKV vaccines and therapies. Subcutaneous CHIKV infection in the footpad of C57BL/6 mice resulted in a biphasic swelling response in the inoculated foot, with peaks occurring approximately 3 and 6 days after infection [285, 286]. The inoculated foot developed severe arthritis, tendonitis, and fasciitis [285-287]. Type I IFN signaling has been shown to play an essential role in controlling infections of alphaviruses, including CHIKV [288]. Mice lacking a functional type I IFN receptor or other key components of the type I IFN pathway (e.g., IRF3/IRF7) are highly susceptible to CHIKV infection [287, 288]. Therefore, both wild-type C57BL6 and *Ifnar1*.sup.-/- mice were used to test the efficacy of CHIKV SAM vaccines. One concern regarding ZIKV vaccine, is that it may prime cross-reactive antibodies to enhance natural infections of the antigenically related flaviviruses, such as dengue virus (DENV), via antibody-dependent enhancement (ADE). It has been documented that cross-reactive antibodies targeting the conserved fusion loop epitope in the E protein domain II are the primary source of the ADE [289]. Although the fusion loop region gene has been removed from the Z7 SAM replicon (FIG. 8A), and no infectious ZIKV will be produced by SAM replicons, immune responses to ZIKV NS proteins are also expected in mice and humans. In addition, an adenovirus-based CHIKV vaccine has been shown to enhance infection of mayaro virus (MAYV), a closely related alphavirus co-circulating with CHIKV in the Americas, indicating a potential ADE concern for the CHIKV vaccine development [290].

**Aim 2a. Measure Humoral and Cellular Responses of CHIKV SAM Replicons in Mice**

[0174] 7-week-old C57BL/6 and Ifnar1.sup.-/- mice (6 males and 6 females/group) were immunized with 1 µg or 5 µg of Z7- or molar equivalent VEEV-based CHIKV SAM lipid nanoparticle complexes (Packgene) via intramuscular injection (i.m.) injection in the thigh muscles of the hind limb. The control groups received the same amount of empty replicons (absence of CHIKV E2-E1). The mice were monitored and weighed daily. Blood samples were collected on days 0, 7, 14, 21, 28, and 50 post-immunization for anti-CHIKV IgG productions in the plasma by Enzyme-Linked Immunosorbent Assay (ELISA). In addition, a plaque reduction neutralization test (PRNT) was performed to assess if the antisera neutralize CHIKV in Vero cells and to determine the EC.sub.50. In addition to the humoral responses, T cell responses against CHIKV antigens were evaluated. Half the number of the mice (3 males and 3 females/group) were euthanized on day 14, post-immunization, to collect the spleens to make single-cell suspensions. The splenocytes were stimulated for 20 h with pools of 15-mer peptides (Genscript) spanning the CHIKV E2-E1 proteins overlapping by 11 amino acids for IFN-γ, IL-4 and IL-17A ELISpot and flow cytometric analysis of CD3.sup.+CD4.sup.+IL4.sup.+, CD3.sup.+CD4.sup.+IL12.sup.+, CD3.sup.+CD4.sup.+IFN-γ.sup.+, CD3.sup.+CD8.sup.+TNF-α.sup.+, and CD3.sup.+CD8.sup.+IFN-γ.sup.+ populations. The rest of the animals were euthanized on day 50, post-immunization, to evaluate the memory CD8.sup.+ T cell responses.

Aim 2b. Evaluate Whether CHIKV SAM-Induced Immunity is Sufficient to Protect Mice from CHIKV Infection.

[0175] 7-week-old Ifnar1.sup.-/- mice (6 males and 6 females/group) were immunized with 1 µg or 5 µg of Z7- or molar equivalent VEEV-based CHIKV SAM replicons lipid nanoparticle complexes (Packgene) according to the results of Aim 2a or the empty replicons via i.m. injection. Similarly, the blood samples were collected on day 0, 7, 14, and 21 post-immunization for anti-CHIKV IgG productions in the plasma by ELISA. On D21 post-immunization, the mice were infected with CHIKV (strain LR2006 OPY1, 10.sup.5 PFUs in 50 µl PBS) via footpad (subcutaneous) inoculation. The body weight and thickness of the inoculated footpad were measured daily for 10 days [285, 286]. The blood samples were collected on days 1, 2, and 3 post-infection (p.i.) for the viral load measurement by qRT-PCR and plaque assay, and cytokines and chemokines (IFN-α, IFN-β, IFN-γ, TNF-α, CXCL1, CXCL2, CXCL10, IL-10, IL-2, IL-4, IL-10, IL-12, and IL-17a) in the plasma, as measured by a Luminex assay. The infected mice were euthanized on D1 (3 male and 3 female mice) and D3 (3 male and 3 female mice) post-infection to collect infected footpad, spleen, and brain samples to measure the viral burden by qRT-PCR and plaque assay and perform pathological analysis of the footpad tissues. To evaluate if the plasma collected CHIKV SAM replicon-immunized mice protects against CHIKV infection, 100 µl of plasma collected from either the Z7- or VEEV-based SAM-immunized mice or control mice were transferred via retro-orbital injection in 5-week-old Ifnar1.sup.-/- mice (4 males and 4 females/group). The next day, both groups were challenged with 1×10.sup.5 PFU of CHIKV via footpad. The body weight and thickness of the inoculated footpad was measured as above. The blood samples were collected on days 1, 2, and 3 p.i. for the viral load measurement by qRT-PCR and plaque assay. The mice were monitored daily for survival for 21 days.

Aim 2c. Determine if the SAM Replicons Induce ADE Responses In Vitro.

[0176] IgG purification kits (GE Healthcare, PA) were used to purify total IgG from the pooled sera of the Z7- and VEEV-SAM replicon immunized mice (Aim 2a and 2b). DENV (serotypes 1 to 4) and MAYV were incubated with each of eight 3-fold serial dilutions of IgG for 1 h at 37° C. and then added to FcγR expressing cells THP-1 and K562 at an MOI of 1 [291, 292]. The cells and cell media were collected at 48h for analysis of the viral replication by qRT-PCR and plaque assay.

[293, 294]

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## Claims

1. A self-amplifying mRNA vaccine, comprising: a self-amplifying backbone of a live attenuated virus, Z7, having a hairpin loop insert, and wherein at least one or more structural genes of the live attenuated virus have been replaced with at least one target antigen-encoding nucleic acid sequence.
2. The vaccine of claim 1, wherein the live attenuated virus comprises the first 50 amino acids of a capsid (C) protein and the last 30 amino acids of an envelope glycoprotein (E).
3. The vaccine of claim 1, wherein the hairpin loop insert comprises a nucleic acid sequence comprising 50 nucleotides.
4. The vaccine of claim 1, wherein the hairpin loop insert is an RNA sequence CGUCCAACCACUGACUCGAAAGAGUCAGUGGUUGGAACGCGCAGGUGCC (SEQ ID NO: 10), in the 5' untranslated region of the virus.
5. The vaccine of claim 1, wherein the hairpin loop insert comprises a paired stem region and an unpaired middle loop, wherein the stem region comprises greater than 50% cytosine-guanine nucleotide pairs, based on the total combined number of adenine-uracil nucleotide pairs and cytosine-guanine nucleotide pairs.
6. The vaccine of claim 1, further comprising an encapsulating component for delivery, selected from the group consisting of a lipid-nanoparticle and a polymer.

7. The vaccine of claim 1, wherein the target antigen is derived from a viral pathogen or a cancer specific antigen.
8. The vaccine of claim 1, wherein the target antigen is selected from antigens of viral pathogens of the families of Togaviridae, Coronaviridae, Flaviviridae, Piconaviridae, Paramyxoviridae, Rhabdoviridae, Filoviridae, Bunyaviridae, Orthomyxoviridae, Papillomaviridae, Herpesviridae, Poxviridae, and Retroviridae.
9. The vaccine of claim 1, wherein target antigen is derived from an RNA virus selected from the group consisting of an alphavirus, a flavivirus, a picornavirus, a coronavirus, a retrovirus, a paramyxovirus, a rhabdovirus, an orthomyxovirus, a filovirus, a rotavirus, an orthopneumovirus, a togavirus, and an arterivirus.
10. The vaccine of claim 9, wherein the RNA virus is an alphavirus selected from the group consisting of Chikungunya virus, Ross River Virus, Sindbis virus, Mayaro virus, Semliki Forest virus, Eastern equine encephalitis virus, Western equine encephalitis virus, Venezuelan equine encephalitis virus, and Aura virus.
11. The vaccine of claim 9, wherein the RNA virus is a flavivirus is selected from the group consisting of West Nile Virus, Dengue Virus, Tick-borne encephalitis virus, Yellow Fever Virus, and Zika Virus.
12. The vaccine of claim 1, wherein the target antigen is derived from a Chikungunya virus.
13. The vaccine of claim 1, wherein the live attenuated virus is Zika virus.
14. The vaccine of claim 13, wherein the Zika virus is the Cambodian strain FSS13025.
15. The vaccine of claim 1, wherein the target antigen is a viral structural protein on an outer surface of a viral particle.
16. The vaccine of claim 15, wherein the viral structural protein is selected from the group consisting of spike proteins and envelope proteins.
17. The vaccine of claim 16, wherein the viral structural protein is a protein that interacts with host cell receptors to facilitate the viral particle gaining entry into the host cell.
18. The vaccine of claim 1, wherein the target antigen is a cancer specific antigen or a tumor specific antigen.
19. The vaccine of claim 18, wherein the cancer or tumor specific antigen is located on an outer surface of a cancer or tumor cell.
20. The vaccine of claim 18, wherein the tumor specific antigen is selected from the group consisting of CA-125, CA15-3, CA19-9, hCG, beta-hCG, PSA, Calcitonin, SCC, URLC10AFP, CD19, TRAC, TCRB, BCMA, CLL-1, CS1, CD38, CD19, TSHR, CD123, CD22, CD30, CD171, CD33, EGFRvIII, GD2, GD3, Tn Ag, PSMA, ROR1, ROR2, GPC1, GPC2, FLT3, FAP, TAG72, CD44v6, CEA, EPCAM, B7H3, KIT, IL-13Ra2, epithelial tumor antigen, mesothelin, IL-11Ra, PSCA, PRSS21, VEGFR1, VEGFR2, LewisY, CD24, PDGFR-beta, SSEA-4, CD20, folate receptor alpha, ERBB2 (Her2/neu), MUC-1, MUC-2, MUC16, EGFR, NCAM, prostase, PAP, ELF2M, Ephrin B2, IGF-I receptor, CAIX, LMP2, gp 100, bcr-abl, tyrosinase, EphA2, fucosyl GM1, sLe, GM3, TGS5, HMWMAA, o-acetyl-GD2, folate receptor beta, TEM1/CD248, TEM7R, CLDN6, GPRC5D, CXORF61, CD97, CD179a, ALK, Polysialic acid, PLAC1, GloboH, NY-BR-1, UPK2, HAVCR1, ADRB3, PANX3, GPR20, LY6K, OR51E2, TARP, WT1, NY-ESO-1, LAGE-la, MAGE-A1, legumain, WT1HPV16 E7, HPV E6, E7, MAGE A1, ETV6-AML, sperm protein 17, XAGE1, Tie 2, MAD-CT-1, MAD-CT-2, Fos-related antigen 1, p53, p53 mutant, protein, surviving, telomerase, PCTA-1/Galectin 8, MelanA/MART1, Ras mutant, hTERT, sarcoma translocation breakpoints, gp100, ML-IAP, ERG (TMPRSS2 ETS fusion gene), NA17, PAX3, androgen receptor, cyclin B1, MYCN, RhoC, TRP-2, CYP1B1, BORIS, SART3, PAX5, OY-TES1, LCK, AKAP-4, SSX2, RAGE-1, MART-1, human telomerase reverse transcriptase, RU1, RU2, intestinal carboxyl esterase, mut hsp70-2, CD79a, CD79b, CD72, LAIR1, FCAR, LILRA2, CD300LF, CLEC12A, BST2, EMR2, LY75, GPC3, FCRL5, IGLL1, CD2, CD3c, CD4, CD5, and CD7.

