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Devolder; Eric et al.

# Systems and methods for distributed cryptography as a service key loading

#### Abstract

An application server has a custodian application running thereon. The custodian application instantiates a cryptographic microservice. The custodian application transmits a first request message to a centralized KMS requesting a data encryption key. In response, the centralized KMS returns the data encryption key. The custodian application transmits a second request message to the cryptographic microservice application. The second request message includes a request for a wrapping certificate from the cryptographic microservice application. The custodian application receives the wrapping certificate. The custodian application transmits a third request message to the centralized KMS. The third request message includes the data encryption key encrypted via the master-level tenant key and the wrapping certificate. The custodian application also receives the data encryption key encrypted via the wrapping certificate and transmits it to the cryptographic microservice application. The cryptographic microservice application unwraps the wrapped key using the wrapping certificate.

Inventors: Devolder; Eric (Vedrin, BE), Alger; Eric G. (Louisiana, MO), Robins; Eric Trent

(Wentzville, MO)

**Applicant: Mastercard International Incorporated** (Purchase, NY)

Family ID: 1000008763190

Assignee: Mastercard International Incorporated (Purchase, NY)

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Primary Examiner: Tabor; Amare F

Attorney, Agent or Firm: Hovey Williams LLP

# **Background/Summary**

#### **BACKGROUND**

- (1) The present invention relates generally to cryptographic services, and more particularly, to a decentralized and distributed cryptography as a service system.
- (2) Cryptography as a Service (CaaS) refers to the provision of cryptographic services and capabilities to users or organizations through a centralized service. CaaS typically involves outsourcing cryptographic operations to a service provider who manages and maintains the necessary infrastructure and tools for encryption, decryption, key management, and other cryptographic functions.
- (3) In the financial industry, where the protection of sensitive financial information and secure communication are important, cryptography plays a vital role. Cryptographic techniques are used to ensure the confidentiality, integrity, and authenticity of financial transactions, sensitive data, and communication channels. Traditional approaches involve organizations implementing their own cryptographic systems and infrastructure, which can be complex, costly, and require ongoing maintenance and updates.
- (4) At least some known CaaS systems are extremely large systems. For example, known CaaS systems utilized in the financial industry may include twelve (12) to fourteen (14) nodes. Generally, each node is a large server and may include up to sixty-four (64) processors and a terabyte of memory. Such systems are prohibitively expensive, often topping two million dollars (\$2,000,000) in cost.
- (5) While the Internet has made it easier for consumers to consume software services from remote locations, there are some problems that centralized CaaS systems cannot solve. For example, network latency can often be a limiting factor on a CaaS implementation. Furthermore, with large CaaS deployments, an internal network latency may be too high. Consequently, high throughput applications may not always be the best fit for centralized CaaS systems.

#### BRIEF DESCRIPTION

- (6) This brief description is provided to introduce a selection of concepts in a simplified form that are further described in the detailed description below. This brief description is not intended to identify key features or essential features of the claimed subject matter, nor is it intended to be used to limit the scope of the claimed subject matter. Other aspects and advantages of the present disclosure will be apparent from the following detailed description of the embodiments and the accompanying figures.
- (7) In one aspect, an application server is provided. The application server includes a custodian application running thereon. The application server includes one or more processors and a memory. The memory has computer-executable instructions stored thereon that, when executed by the one or more processors, cause the one or more processors to instantiate, by the custodian application, a cryptographic microservice application on the application server. The one or more processors transmit, by the custodian application, a first request message to a centralized key management system (KMS). The first request message includes a request for a data encryption key. In response to the request message, the one or more processors receive, by the custodian application from the centralized KMS, the data encryption key. The data encryption key is encrypted via a master-level tenant key associated with the custodian application. Furthermore, the one or more processors transmit, by the custodian application, a second request message to the cryptographic microservice application. The second request message includes a request for a wrapping certificate from the cryptographic microservice application. The one or more processors also receive, by the custodian application, the wrapping certificate from the cryptographic microservice application. Additionally, one or more processors transmit, by the custodian application, a third request message to the centralized KMS. The third request message includes the data encryption key encrypted via the master-level tenant key and the wrapping certificate. Moreover, one or more processors receive, by the custodian application, the data encryption key encrypted via the wrapping certificate. The one

or more processors transmit, by the custodian application, the data encryption key encrypted via the wrapping certificate to the cryptographic microservice application. In addition, the one or more processors unwrap, by the cryptographic microservice application, the wrapped key using the wrapping certificate.

- (8) In another aspect, a method performed by an application server is provided. The application server has a custodian application running thereon. The method includes instantiating, by the custodian application, a cryptographic microservice application on the application server. The method also includes transmitting, by the custodian application, a first request message to a centralized key management system (KMS). The first request message includes a request for a data encryption key. Furthermore, the method includes, in response to the first request message, receiving, by the custodian application from the centralized KMS, the data encryption key. The data encryption key is encrypted via a master-level tenant key associated with the custodian application. Additionally, the method includes transmitting, by the custodian application, a second request message to the cryptographic microservice application. The second request message includes a request for a wrapping certificate from the cryptographic microservice application. The method also include receiving, by the custodian application, the wrapping certificate from the cryptographic microservice application. Furthermore, the method includes transmitting, by the custodian application, a third request message to the centralized KMS. The third request message includes the data encryption key encrypted via the master-level tenant key and the wrapping certificate. In addition, the method includes receiving, by the custodian application, the data encryption key encrypted via the wrapping certificate, and transmitting, by the custodian application, the data encryption key encrypted via the wrapping certificate to the cryptographic microservice application. Finally, the method includes unwrapping, by the cryptographic microservice application, the wrapped key using the wrapping certificate.
- (9) In yet another aspect, a non-transitory computer-readable storage medium is provided. The computer-readable storage medium has computer-executable instructions stored thereon. The computer-executable instructions, when executed by one or more processors, cause the one or more processors to instantiate a cryptographic microservice application. The computer-executable instructions cause the one or more processors to transmit a first request message to a centralized key management system (KMS). The first request message includes a request for a data encryption key. Furthermore, in response to the first request message, the computer-executable instructions cause the one or more processors to receive, from the centralized KMS, the data encryption key. The data encryption key is encrypted via a master-level tenant key associated with a custodian application. In addition, the computer-executable instructions cause the one or more processors to transmit a second request message to the cryptographic microservice application. The second request message includes a request for a wrapping certificate from the cryptographic microservice application. The computer-executable instructions also cause the one or more processors to receive the wrapping certificate from the cryptographic microservice application. Furthermore, the computer-executable instructions also cause the one or more processors to transmit a third request message to the centralized KMS. The third request message includes the data encryption key encrypted via the master-level tenant key and the wrapping certificate. Additionally, the computerexecutable instructions also cause the one or more processors to receive the data encryption key encrypted via the wrapping certificate. The computer-executable instructions also cause the one or more processors to transmit the data encryption key encrypted via the wrapping certificate to the cryptographic microservice application. Moreover, the computer-executable instructions also cause the one or more processors to unwrap, by the cryptographic microservice application, the wrapped key using the wrapping certificate.
- (10) A variety of additional aspects will be set forth in the detailed description that follows. These aspects can relate to individual features and to combinations of features. Advantages of these and other aspects will become more apparent to those skilled in the art from the following description

of the exemplary embodiments which have been shown and described by way of illustration. As will be realized, the present aspects described herein may be capable of other and different aspects, and their details are capable of modification in various respects. Accordingly, the figures and description are to be regarded as illustrative in nature and not as restrictive.

## **Description**

#### BRIEF DESCRIPTION OF THE DRAWINGS

- (1) The figures described below depict various aspects of systems and methods disclosed therein. It should be understood that each figure depicts an embodiment of a particular aspect of the disclosed systems and methods, and that each of the figures is intended to accord with a possible embodiment thereof. Further, wherever possible, the following description refers to the reference numerals included in the following figures, in which features depicted in multiple figures are designated with consistent reference numerals.
- (2) FIG. **1** is an exemplary system for providing cryptographic services, and more particularly, distributed cryptography as a service (D-CaaS), to various end user systems;
- (3) FIG. **2** is a simplified block diagram of an example payment network, which may offer cryptographic functions as cryptography as a service (CaaS);
- (4) FIG. **3** is an example configuration of a server system, such as an application server of the system of FIG. **1**;
- (5) FIG. **4** is an example configuration of a server system, such as the server system shown in FIG. **1**;
- (6) FIG. **5** is a sequence flow diagram depicting initialization of the D-CaaS microservice application, in accordance with one aspect of the present invention;
- (7) FIG. **6** is a sequence flow diagram depicting initialization of the D-CaaS microservice application using a CI/CD pipeline, in accordance with another aspect of the present invention;
- (8) FIG. **7** is a sequence flow diagram depicting initialization of a D-CaaS microservice application via a business application (broadly, a custodian application), in accordance with an aspect of the present invention;
- (9) FIG. **8** is a sequence flow diagram depicting a key loading process for a D-CaaS microservice application, in accordance with one aspect of the present invention;
- (10) FIG. **9** is a sequence flow diagram depicting another key loading process for a D-CaaS microservice application, in accordance with another aspect of the present invention;
- (11) FIG. **10** is a sequence flow diagram depicting a key loading process for a D-CaaS microservice application, in accordance with an aspect of the present invention;
- (12) FIG. **11** is a sequence flow diagram depicting another key loading process for the D-CaaS microservice application, in accordance with an aspect of the present invention;
- (13) FIG. **12** is a block diagram of an on-premises deployment architecture of a D-CaaS microservice system, in accordance with one aspect of the present invention; and
- (14) FIG. **13** is a block diagram of an on-premises deployment sidecar architecture of a D-CaaS microservice system, in accordance with one aspect of the present invention.
- (15) Unless otherwise indicated, the figures provided herein are meant to illustrate features of embodiments of this disclosure. These features are believed to be applicable in a wide variety of systems comprising one or more embodiments of this disclosure. As such, the figures are not meant to include all conventional features known by those of ordinary skill in the art to be required for the practice of the embodiments disclosed herein.

#### **DETAILED DESCRIPTION**

(16) The following detailed description of embodiments of the invention references the accompanying figures. The embodiments are intended to describe aspects of the invention in

sufficient detail to enable those with ordinary skill in the art to practice the invention. The embodiments of the invention are illustrated by way of example and not by way of limitation. Other embodiments may be utilized, and changes may be made without departing from the scope of the claims. The following description is, therefore, not limiting. The scope of the present invention is defined only by the appended claims, along with the full scope of equivalents to which such claims are entitled.

- (17) As used herein, the term "database" includes either a body of data, a relational database management system (RDBMS), or both. As used herein, a database includes, for example, and without limitation, a collection of data including hierarchical databases, relational databases, flat file databases, object-relational databases, object-oriented databases, and any other structured collection of records or data that is stored in a computer system. Examples of RDBMS's include, for example, and without limitation, Oracle® Database (Oracle is a registered trademark of Oracle Corporation, Redwood Shores, Calif.), MySQL, IBM® DB2 (IBM is a registered trademark of International Business Machines Corporation, Armonk, N.Y.), Microsoft® SQL Server (Microsoft is a registered trademark of Microsoft Corporation, Redmond, Wash.), Sybase® (Sybase is a registered trademark of Sybase, Dublin, Calif.), and PostgreSQL® (PostgreSQL is a registered trademark of PostgreSQL Community Association of Canada, Toronto, Canada). However, any database may be used that enables the systems and methods to operate as described herein. (18) Exemplary System
- (19) FIG. 1 depicts an exemplary system 10 for providing cryptographic services, and more particularly, distributed cryptography as a service (D-CaaS), to various end user systems. The system may include a communication network 12 and a plurality of application servers 14. Each application server 14 may include a desktop computer, a laptop or tablet computer, an application server, a database server, a file server, or the like, and/or combinations thereof, configured to execute or run one or more business applications that utilize cryptographic services. The server system 16 may include and/or work in conjunction with application servers, database servers, file servers, gaming servers, mail servers, print servers, or the like, or combinations thereof. Furthermore, the server system 16 may include a plurality of servers, virtual servers, or combinations thereof.
- (20) The communication network **12** may provide wired and/or wireless communication between the application servers **14** and the server system **16**. Each of the server system **16** and application servers **14** may be configured to send data to and/or receive data from the communication network **12** using one or more suitable communication protocols, which may be the same communication protocols or different communication protocols as one another.
- (21) The communication network **12** generally allows communication between the application servers **14** and the server system **16**. For example, the application servers **14** may periodically request various cryptographic services from the server system **16** over the communication network **12**.
- (22) The communication network **12** may include one or more telecommunication networks, nodes, and/or links used to facilitate data exchanges between one or more devices and may facilitate a connection to the Internet for devices configured to communicate with communication network **12**. The communication network **12** may include local area networks, metro area networks, wide area networks, cloud networks, the Internet, cellular networks, plain old telephone service (POTS) networks, and the like, or combinations thereof. The communication network **12** may be wired, wireless, or combinations thereof and may include components such as modems, gateways, switches, routers, hubs, access points, repeaters, towers, and the like.
- (23) The application servers **14** and the server system **16** may connect to the communication network **12** either through wires, such as electrical cables or fiber optic cables, or wirelessly, such as radio frequency (RF) communication using wireless standards such as cellular 3G, 4G, 5G, and the like, Institute of Electrical and Electronics Engineers (IEEE) 802.11 standards such as WiFi,

IEEE 802.16 standards such as WiMAX, Bluetooth<sup>TM</sup>, or combinations thereof. In aspects in which the communication network **12** facilitates a connection to the Internet, data communications may take place over the communication network **12** via one or more suitable Internet communication protocols. For example, the communication network **12** may be implemented as a wireless telephony network (e.g., GSM, CDMA, LTE, etc.), a Wi-Fi network (e.g., via one or more IEEE 802.11 Standards), a WiMAX network, a Bluetooth network, etc.

- (24) The application servers **14** are configured to execute or run one or more business applications (not shown in FIG. 1). In some embodiments, one or more of the business applications may be installed on various client devices (not shown). The application servers 14 may store the respective business applications and provision instances of the business applications to end-users on their respective user devices/client devices. For example, in an embodiment, an end-user may request an application server **14** to provision access to a business application over the communication network **12**. In response, an instance of the business application associated with the application server **14** may be downloaded on the client device of the respective end-user. Alternatively or additionally, in an embodiment, a business application may be installed on a client device associated with an enduser. In such an instance, accessing the business application may cause the client device to establish a secure connection/session with a respective application server **14** for data communication. (25) In the example embodiment, one or more of the application servers **14** may be associated with a financial institution, such as an "acquirer bank" or "acquiring bank" or simply "acquirer," in which an end-user operating the client device may have an acquirer account. Furthermore, one or more of the application servers **14** may be associated with another financial institution, such as an "issuer," "issuing bank," or "issuer bank," in which an end-user operating the client device may have an issuer account. Examples of the application servers 14 include a digital wallet server, a cryptographic server, a payment server managed by payment card issuing authorities, and/or a payment server associated with a payment interchange network (not shown).
- (26) In an example, the business applications hosted and/or run by the application servers **14** may utilize a variety of cryptographic functions to ensure security and integrity of an end-user's data and transactions. These cryptographic functions may include encryption, decryption, hashing, digital signature, data integrity, and key management. An end-user's data and transactions need to be protected using such cryptographic functions, for example, during transmission from one entity to another to prevent the data being intercepted and potential fraudulently used.
- (27) As discussed above, current technology provides cryptography as a service (CaaS) via a remote server, such as the server system **16**. Such a CaaS system is a centralized service and requires a very large, expensive system. As such, it is impracticable to deploy such a CaaS system in many locations. A single CaaS system location is limited in the number of application servers, such as application servers **14**, that can be serviced. Furthermore, a centralized CaaS system has problems that cannot be solved. For example, network latency can often be a limiting factor in implementation. So high throughput business applications may not function properly in such centralized CaaS systems.
- (28) To remove these limitations and solve the known problems with current CaaS systems, the system 10 of a preferred embodiment of the present invention includes a plurality of distributed cryptography as a service (D-CaaS) microservice applications 18. Each D-CaaS microservice application 18 (also referred to herein as a D-CaaS microservice) is associated with, or bound to, a respective business application (not shown in FIG. 1) executed or run on a respective application server 14. The D-CaaS microservices 18 are in communication with the server system 16 over the communication network 12. The application servers 14 and the server system 16 communicate with one another via the communication network 12.
- (29) In the example embodiment, the D-CaaS microservice application **18** implements the same interface (such as a RESTful API) as current technology CaaS systems, but rather than being a centralized service, the D-CaaS microservice application **18** operates as a distributed service. The

D-CaaS microservice application 18 runs as a containerized application locally next to its respective business application on the application server 14 and interfaces with CaaS on the server system 16, for example, for key management operations. Alternatively, the D-CaaS microservice application 18 may interface with any centralized key management system (KMS) for key management operations that allows the D-CaaS microservice application 18 to function as described herein. The cryptographic functions described above may then be performed locally by the D-CaaS microservice application 18, on the same infrastructure as the business application. Because the cryptographic functions are performed by the D-CaaS microservice application 18 and the cryptographic keys also can be maintained by the D-CaaS microservice application 18, the overall efficiency, security, and performance of the application servers 14 may be increased. Further, this solution allows an end-user to consume cryptographic services without the need to continuously access the server system 16, thereby eliminating the problem of network latency. (30) Exemplary Computer Systems

- (31) FIG. **2** is a simplified block diagram of an example payment network **100** having a transaction processing system **102**, which may offer cryptographic functions as cryptography as a service (CaaS). In an embodiment, the payment network **100** is similar to the system **10** (shown in FIG. **1**). (32) In the example embodiment, the payment network **100** includes a plurality of computing devices connected in accordance with the present disclosure. The payment network **100** includes a server system **40** of the processing system **102**. The server system **40** is in communication with one or more application servers, such as the application servers **14**. The application servers **14** may be located, for example, at an issuer, acquirer, and/or any other customer of the payment network **100** utilizing CaaS.
- (33) In the example embodiment, the processing system **102** includes one or more application servers **14**, each of which may be connected to the server system **40**. The application servers **14** may be interconnected to the Internet (or any other network that allows the application servers **14** to communicate as described herein) through many interfaces including a network, such as a local area network (LAN) or a wide area network (WAN), dial-in-connections, cable modems, wireless modems, and special high-speed ISDN lines. The application servers **14** are any device capable of interconnecting to the Internet. In some embodiments, an application server **14** may be a user's personal computing device, such as when conducting an online transaction through the Internet. (34) A database server **42** is connected to a database **44**, which is configured to store information on a variety of matters. In one embodiment, the database **44** is a centralized database stored on the server system **40**. The database **44** may be accessed by potential users at one of the application servers **14** by logging onto the server system **40** through one of the application servers **14**. In an alternative embodiment, the database **44** is stored remotely from the server system **40** and may be a distributed or non-centralized database.
- (35) In one example embodiment, the database **44** may include a single database having separated sections or partitions or may include multiple databases, each being separate from each other. The database **44** may store and maintain cryptographic keys and key identifiers (IDs) or indexes, policy data for policy-based access control of the one or more business applications, business application and application server identifiers, etc., for authenticating and providing cryptographic services to the one or more business applications. Cryptographic key indexes include an identifier key associated with a particular business application. The identifier key may be used to fetch the corresponding cryptographic keys of that particular business application from the database **44**. (36) In the example embodiment, the server system **40** may be associated with a financial transaction processing network, such as an interchange network (not shown), and may be referred to as an interchange computer system. The server system **40** may be used for providing cryptographic services for processing transaction data. In addition, as noted above, the application servers **14** may include computer systems associated with at least one of a merchant, an online bank, a bill payment outsourcer, an acquirer bank, an acquirer processor, an issuer bank associated

- with a transaction card, an issuer processor, a remote payment processing system, and/or a biller. (37) In the example embodiment, the processing system **102** may be in communication with the hardware security module (HSM) **20**. The HSM **20** may be configured to perform one or more cryptographic functions requested, for example, in cryptographic operation commands sent by the server system **40**. The HSM **20** may utilize the cryptographic keys to perform the cryptographic functions.
- (38) It is noted that the payment network **100** may include more, fewer, or alternative components and/or perform more, fewer, or alternative actions, including those discussed elsewhere herein. (39) FIG. **3** is an example configuration of a server system **300**, such as the application server **14** (shown in FIG. **1**). In the exemplary embodiment, the server system **300** may be a computing device configured to connect to the server system **16** (shown in FIG. **1**) or any other computing devices, for example, via the communication network **12**.
- (40) In the exemplary embodiment, the server system **300** may generally include one or more processors **302**, a memory device **306**, a secure enclave **310**, an input device **312**, an output device **314**, a communication interface **316**, an integrated Wi-Fi component **318** (e.g., implementing the Institute of Electrical and Electronics/IEEE 802.11 family of standards), each of which may communicate with each other component over an interconnect **324** (e.g., a bus). Optionally, the server system may include an internal power supply **320** (e.g., a battery or other self-contained power source) to receive power. Alternatively, in some embodiments, the server system **300** may include an external power source **322**.
- (41) The one or more processors **302** may include one or more processing units (e.g., in a multicore configuration) specially programmed for executing computer readable instructions, such as instruction **304**. The instructions **304** may be executed within a variety of different operating systems (OS) on the server system **300**, such as UNIX, LINUX, Microsoft Windows®, etc. More specifically, the instructions may cause various data manipulations on data stored in the memory device **306** (e.g., create, read, write, update, and delete procedures). It should also be appreciated that upon initiation of a computer-based method, various instructions **304** may be executed during initialization. Some operations may be required to perform one or more processes described herein, while other operations may be more general and/or specific to a programming language (e.g., C, C #, C++, Java, or other suitable programming languages, etc.). The memory device **306** may be any device allowing information such as cryptographic keys, executable instructions **308**, and/or other data to be stored and retrieved. The memory device **306** may include one or more computer readable media.
- (42) In the example embodiment, the processor **302** may be implemented as one or more cryptographic processors. A cryptographic processor may include, for example, dedicated circuitry and hardware such as one or more cryptographic arithmetic logic units (not shown) that are optimized to perform computationally intensive cryptographic functions. A cryptographic processor may be a dedicated microprocessor for carrying out cryptographic functions, embedded in a packaging with multiple physical security measures, which facilitate providing a degree of tamper resistance. A cryptographic processor facilitates providing a tamper-proof boot and/or operating environment, and persistent and volatile storage encryption to facilitate secure, encrypted transactions.
- (43) Because the server system **300** may be widely deployed, it may be impractical to manually update software for each server system **300**. Therefore, the system **10** may provide a mechanism for automatically updating the software on the server system **300**. For example, an updating mechanism may be used to automatically update any number of components and their drivers, both network and non-network components, including system level (OS) software components. In some embodiments, the server system **300** components may be dynamically loadable and unloadable; thus, they may be replaced in operation without having to reboot the OS.
- (44) The memory device 306 may be any type of memory device that enables the server system

**300** to function as described herein. For example, the memory device **306** may be random access memory (RAM) in accordance with a Joint Electron Devices Engineering Council (JEDEC) design such as the DDR or mobile DDR standards (e.g., LPDDR, LPDDR2, LPDDR3, or LPDDR4). In some embodiments, the memory device **306** may include two or more memory devices and may be of any number of different package types such as single die package (SDP), dual die package (DDP) or quad die package (Q17P). The memory device **306**, in some examples, may be directly soldered onto a motherboard (not shown) and/or may be configured as one or more memory modules that couple to the motherboard via a connector. Any number of other memory implementations may be used, such as other types of memory modules, including, but not limited to, read-only memory (ROM), erasable programmable read-only memory (EPROM), electrically erasable programmable read-only memory (EEPROM), and non-volatile RAM (NVRAM). The above memory types are exemplary only and are thus not limiting as to the types of memory usable for storage of a computer program.

- (45) The secure enclave **310** is configured to separate and protect sensitive code and data from other processes running on the server system **300**. In the example embodiment, the secure enclave **310** operates as a trusted execution environment (TEE). The TEE is a secure area of a main processor, such as the one or more processors **302**. which guarantees confidentiality and integrity of code and data loaded inside. The TEE, as an isolated execution environment, provides security features such as isolated execution, integrity of applications executing with the TEE, along with confidentiality of their assets. The TEE (or secure enclave **310**) may be a hardware, software, or firmware component (e.g., Trusted Computing Group (TCG) Trusted Platform Module (TPM), Trusted Execution Environment (TEE), Virtual TPM, Intel® Software Guard Extension (SGX), Intel® Enhanced Privacy ID (EPID), Arm TrustZone, SIM card based on Java Card technology, etc.). The secure enclave **310** may provide a set of trusted functions that execute in the TEE on the server system **300**. The trusted functions may include, for example, device identification, key generation, encrypt, decrypt, sign and verify operations, etc.
- (46) The secure enclave **310** may ensure that sensitive data is stored, processed, and protected in a trusted environment. In some embodiments, the secure enclave **310** may be tamper-proof. For example, the secure enclave **310** may include tampering evidence capability (for tamper-proofing), which is a desired security function for storing encryptions keys, authentication credentials, and/or payment credentials. The ability of the secure enclave **310** to offer safe execution of cryptographic functions for authorized security software, which are sometimes referred to as "trusted applications," enables the secure enclave **310** to provide end-to-end security by enforcing protection, confidentiality, integrity, and data access rights.
- (47) In an embodiment, Intel Software Guard Extensions (SGX) include a set of security-related instruction codes that are built into some modern Intel processors. SGX allows user-level and operating system code to define enclaves-private regions of memory whose contents are protected and unable to be either read or saved by any outside process. SGX involves encryption by the processor, such as the one or more processors **302**, of a portion of memory and protects data via application isolation technology. In cryptography, SGX can be used to conceal proprietary algorithms and encryption keys.
- (48) An SGX operates as a black-box where no other application running on the same device, such as the server system **300**, can see inside regardless of privilege. From a security standpoint, this means that even if a malicious actor were to gain complete control of the system, including root privileges, that actor would not be able to access data inside the SGX. An Intel enclave is a form of user-level TEE that can provide both storage and execution-users can store sensitive information, as well as move sensitive portions of a program or an entire application inside.
- (49) Stored in the memory device **306** are, for example, computer readable instructions **308** for providing a user interface to a user via the output device **314** and, optionally, receiving and processing input from the input device **312**. A user interface may include, among other possibilities,

- a web browser and a business application. Web browsers enable users to view and interact with media and other information typically embedded on a web page or a website. A client or business application allows the user to interact with a server application, for example, associated with the server system **16**.
- (50) The input device **312** may include, for example, a touch sensitive panel, a touch pad, a touch screen, a stylus, a gyroscope, an accelerometer, a position detector, a keyboard, a pointing device, a mouse, or an audio input device. A single component such as a touch screen may function as both the output device **314** and the input device **312**. The server system **300** may also include a communication interface **316**, which is communicatively connectable to a remote device such as the server system **16** (shown in FIG. **1**). The communication interface **316** may provide, for example, a wired communication to the communication network **12** or to other devices, such as the server system **16**. The wired communication may provide an Ethernet connection or may be based on other types of networks, such as Controller Area Network (CAN), Local Interconnect Network (LIN), DeviceNet, ControlNet, etc.
- (51) In the example embodiment, the output device **314** may include, for example, and without limitation, a liquid crystal display (LCD), an organic light emitting diode (OLED) display, or an "electronic ink" display. In some embodiments, a single component such as a touch screen may function as both an output device (e.g., the output device **314**) and the input device **312**. As such, the output device **314** may optionally include a touch controller for support of touch capability. In such embodiments, the server system **300** may detect a user's presence by detecting that the user has touched the output device **314** of the server system **300**.
- (52) The Wi-Fi component **318** (broadly, a communication interface) may be communicatively connectable to a remote device such as the network **12** (shown in FIG. **1**), the server system **16** (shown in FIG. **1**), and/or the server system **40** (shown in FIG. **2**). The Wi-Fi component **318** may include, for example, a wireless or wired network adapter or a wireless data transceiver for use with Wi-Fi (e.g., implementing the Institute of Electrical and Electronics/IEEE 802.11 family of standards), Bluetooth communication, radio frequency (RF) communication, near field communication (NFC), and/or with a mobile phone network, Global System for Mobile communications (GSM), 3G, or other mobile data network, and/or Worldwide Interoperability for Microwave Access (WiMax) and the like.
- (53) The processor **302** may be operatively coupled to a storage device **326**. The storage device **326** may be any computer-operated hardware suitable for storing and/or retrieving data, such as data encryption keys described herein. In some embodiments, the storage device **326** may be integrated into the server system **300**. In other embodiments, the storage device **326** may be external to the server system **300** and is similar to the database **44** (shown in FIG. **2**). For example, the server system **300** may include one or more hard disk drives that function as the storage device **326**. In other embodiments, where the storage device **326** may be external to the server system **300**, the storage device **326** may be accessed by a plurality of server systems **300**. For example, the storage device **326** may include multiple storage units such as hard disks or solid-state disks in a redundant array of inexpensive disks (RAID) configuration. The storage device **326** may include a storage area network (SAN) and/or a network attached storage (NAS) system.
- (54) In some embodiments, the processor **302** may be operatively coupled to the storage device **326** via a storage interface **328**. The storage interface **328** may be any component capable of providing the processor **302** with access to the storage device **326**. The storage interface **328** may include, for example, an Advanced Technology Attachment (ATA) adapter, a Serial ATA (SATA) adapter, a Small Computer System Interface (SCSI) adapter, a RAID controller, a SAN adapter, a network adapter, and/or any component providing the processor **302** with access to the storage device **326**. (55) In some embodiments, the server system **300** may be connected to one or more peripheral devices (not shown). That is, the server system **300** may communicate various data with one or more peripheral devices. For example, the server system **300** may communicate with one or more

peripheral devices through the Wi-Fi component **318**, the communication interface **316**, or other suitable means.

- (56) FIG. **4** is an example configuration of a server system **400**, such as the server system **16** (shown in FIG. **1**). The server system **400** includes, but is not limited to, the HSM **20** (shown in FIG. **2**). In the example embodiment, the server system **400** includes a processor **402** for executing instructions. The instructions may be stored in a memory **404**, for example. The processor **402** includes one or more processing units (e.g., in a multi-core configuration) for executing the instructions. The instructions may be executed within a variety of different operating systems on the server system **400**, such as UNIX, LINUX, Microsoft Windows®, etc. More specifically, the instructions may cause various data manipulations on data stored in a storage device **410** (e.g., create, read, update, and delete procedures). It should also be appreciated that upon initiation of a computer-based method, various instructions may be executed during initialization. Some operations may be required to perform one or more processes described herein, while other operations may be more general and/or specific to a programming language (e.g., C, C #, C++, Java, or other suitable programming languages, etc.).
- (57) The processor **402** is operatively coupled to a communication interface **406** such that the server system **400** can communicate with a remote device such as a user computing system **300** (shown in FIG. **3**), a computing system **400** (shown in FIG. **4**), and/or another server system **400**. For example, the communication interface **406** may receive communications from one or more application servers **14** via the Internet, as illustrated in FIG. **2**.
- (58) The processor **402** is operatively coupled to the storage device **410**. The storage device **410** is any computer-operated hardware suitable for storing and/or retrieving data. In some embodiments, the storage device **410** is integrated into the server system **400**. In other embodiments, the storage device **410** is external to the server system **400** and is similar to the database **44** (shown in FIG. **2**). For example, the server system **400** may include one or more hard disk drives that function as the storage device **410**. In other embodiments, the storage device **410** may be external to the server system **400** and may be accessed by a plurality of server systems **400**. For example, the storage device **410** may include multiple storage units such as hard disks or solid-state disks in a redundant array of inexpensive disks (RAID) configuration. The storage device **410** may include a storage area network (SAN) and/or a network attached storage (NAS) system.
- (59) In some embodiments, the processor **402** is operatively coupled to the storage device **410** via a storage interface **408**. The storage interface **408** is any component capable of providing the processor **402** with access to the storage device **410**. The storage interface **408** may include, for example, an Advanced Technology Attachment (ATA) adapter, a Serial ATA (SATA) adapter, a Small Computer System Interface (SCSI) adapter, a RAID controller, a SAN adapter, a network adapter, and/or any component providing the processor **402** with access to the storage device **410**. (60) The memory **404** includes, but is not limited to, random access memory (RAM) such as dynamic RAM (DRAM) or static RAM (SRAM), read-only memory (ROM), erasable programmable read-only memory (EPROM), all electrically erasable programmable read-only memory (EPROM), and non-volatile RAM (NVRAM). The above memory types are exemplary only and are thus not limiting as to the types of memory usable for storage of a computer program. (61) In an embodiment, the memory **404** includes a centralized Cryptography as a Service (CaaS) system **412**, stored thereon. The CaaS system **412**, when executed by the processor **402**, enables the server system **400** to perform various cryptographic functions requested, for example, by remote computing devices, such as the application servers **14** using the HSM **20**.
- (62) Initialization of the D-CaaS Microservice Application
- (63) FIG. **5** is a sequence flow diagram **500** depicting initialization of the D-CaaS microservice application **18**, in accordance with one aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **500** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together

and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence.

- (64) In the example embodiment, the D-CaaS microservice application 18 is initialized in an environment where secure processing exists. In this instance where the D-CaaS microservice application 18 will run on trusted computing with a trusted execution environment (TEE) or a secure enclave (SE), the D-CaaS microservice application 18 may rely on a cryptographic key previously assigned to the system, such as the application server 14, as its means for asserting its identity to an authentication and authorization service 522 (broadly, a trust anchor or authentication and authorization computing system). This may be done by the presentation of a signed credential, a certificate signing request or any other cryptographic function where proof of access to the secret/private key is asserted.
- (65) In the example embodiment, the server system **16** (shown in FIG. **1**) may include a continuous integration and continuous delivery (CI/CD) system, which may comprise any necessary tools for operation of a CI/CD pipeline **520** within the system **10** (shown in FIG. **1**). At operation **502**, the CI/CD pipeline 520 may instantiate an instance of the D-CaaS microservice application 18, for example, on a respective application server **14** (shown in FIG. **1**). That is, the respective application server **14** may receive a command from the CI/CD pipeline **520** to launch the instance of the D-CaaS microservice application **18**. More particularly, the CI/CD pipeline **520** may instruct the application server **14** to launch the D-CaaS microservice application **18** in an environment where a secure enclave can be established; that is, an area where the D-CaaS microservice application 18 can run in a heightened level of security. In such an environment, the D-CaaS microservice application **18** may function like a hardware security module (HSM). In one embodiment, such an environment implements Intel SGX (or Software Guard Extensions). Thus, after the application server **14** launches the D-CaaS microservice application **18** in response to the received command (i.e., after the D-CaaS microservice application **18** is started), it is aware that it is running on an SGX enabled system. The D-CaaS microservice application 18 may build, via a processor of the application server (such as the processor **302**), a secure enclave, such as the secure enclave **310** (shown in FIG. 3).
- (66) At operation **504**, the D-CaaS microservice application **18** generates a public/private key pair inside the secure enclave; a certificate signing request (CSR), signed with the private key; and a cryptographically strong proof of the validity of the secure enclave. In this embodiment, the D-CaaS microservice application **18** may attest itself by a combination of something it has and something it is. Using the Intel SGX architecture, for example, the D-CaaS microservice application **18** may build a cryptogram inside the secure enclave that represents a virtual fingerprint of itself that can be verified by an external attesting party **524** (or attesting party computing system) that proves that the D-CaaS microservice application **18** had access to sensitive keys on the processor itself, such as the cryptographic key previously assigned to the system. The cryptogram may be digitally signed or encrypted with the cryptographic key previously assigned to the system, for example, when building the secure enclave.
- (67) In the example embodiment, the key pair may consist of a private key and a corresponding public key. The private key remains securely stored within the secure enclave, while the public key may be shared. The D-CaaS microservice application **18** generates the CSR, which contains information about the secure enclave, such as its public key and identifying details. The D-CaaS microservice application **18** signs the CSR using the private key, ensuring that the CSR's authenticity can be verified using the public key. To provide the cryptographic proof of the validity of the secure enclave, the D-CaaS microservice application **18** can generate a cryptographic attestation or cryptogram. This cryptogram is a strong cryptographic statement about the integrity and authenticity of the secure enclave. The cryptogram typically involves creating a digital signature over the measurement of the secure enclave or other relevant data. The measurement ensures that the secure enclave code and data have not been modified, while the digital signature

proves the authenticity of the attestation.

- (68) At operation **506**, the D-CaaS microservice application **18** transmits the CSR and the cryptographically strong proof of validity (e.g., the cryptogram) to the authentication and authorization service **522**. In an example embodiment, the authentication and authorization service **522** may be the CaaS system **412**. Alternatively, in some embodiments, the authentication and authorization service **522** may include a centralized key management system (KMS). Examples of a centralized KMS include, without limitation, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and the like.
- (69) At operation **508**, the authentication and authorization service **522** transmits the CSR and the cryptographically strong proof of the validity to the attesting party **524**. Upon receipt of the CSR and the cryptogram (i.e., the cryptographically strong proof of validity), at operation **510**, the attesting party **524**, acting as the attestation verifier, verifies the integrity and authenticity of the cryptogram by checking the digital signature using the D-CaaS microservice application **18** public key. The attesting party **524** may also verify the secure enclave measurements to ensure the secure enclave has not been tampered with.
- (70) Upon verifying that the D-CaaS microservice application 18 is an authorized instance on a verified secure enclave, at operation 512, the attesting party 524 transmits an approval message to the authentication and authorization service 522. At operation 514, the authentication and authorization service 522 registers the instance of the D-CaaS microservice application 18, for example, in the database 44. That is, the authorized and authenticated instance of the D-CaaS microservice application 18 is included in a database list and includes the Distinguished Name (DN) of the business application (broadly, a custodian application) authorized to call the services it provides. The calling business application would need to have issued to it a properly signed and configured certificate. Therefore, in order for the business application to leverage the services of the D-CaaS microservice application 18, the business application will first need to be a registered tenant on the authentication and authorization service 522 (e.g., the CaaS system 412) with at least one master-level tenant key.
- (71) At operation **516**, the authentication and authorization service **522** may issue a certificate to the D-CaaS microservice application **18**. The certificate is a digitally signed document that binds the D-CaaS microservice application **18** public key to its identity or unique identifier. This serves as a trusted proof of the validity of the D-CaaS microservice application **18** and can be used to establish secure communication or other interactions with the D-CaaS microservice application **18** and/or secure enclave.
- (72) By generating a key pair, creating a CSR, and providing a cryptographic proof of validity, the enclave-based D-CaaS microservice application **18** may demonstrate its integrity and authenticity to the authentication and authorization service **522** and attesting party **524**. This process helps establish trust between the D-CaaS microservice application **18** and the authentication and authorization service **522** and/or attesting party **524**, enabling secure interactions and the establishment of secure channels.
- (73) FIG. **6** is a sequence flow diagram **600** depicting initialization of the D-CaaS microservice application **18** using a CI/CD pipeline **620**, in accordance with another aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **600** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence.
- (74) In the example embodiment, the D-CaaS microservice application **18** is initialized in an environment where secure processing does not exist. In the instance where the D-CaaS

microservice application **18** will run in an environment without a TPM, TEE, or SE, the application **18** may build a "white-box" cryptographic enclave, or a mechanism for protecting secret material that is entirely dependent on software. In some embodiments, the "white-box" cryptographic enclave is generated in a virtual machine running on the application server **14**.

- (75) A "white-box" enclave, also known as a white-box cryptographic implementation or a white-box attack-resistant enclave, refers to a secure execution environment that is designed to protect cryptographic operations even when an attacker has complete access to the internal workings of the enclave. In traditional security models, cryptographic algorithms are assumed to be executed within a black box, where the internal state and computations are hidden from an attacker, as described in the sequence flow diagram **500** depicted in FIG. **5**. However, in this embodiment, an attacker may gain full access to the underlying software or hardware of the system. A "white-box" enclave addresses this vulnerability by implementing additional countermeasures to protect the cryptographic operations. The white-box cryptographic implementation employs techniques such as code obfuscation, encryption, and randomization to make it extremely difficult for an attacker to reverse-engineer the cryptographic algorithms or extract sensitive information from the enclave. These techniques facilitate hiding the internal state and computations of the enclave, making it resistant to various attacks.
- (76) In the example embodiment, because a prior mechanism for securing a private or secret key does not exist, the D-CaaS microservice application **18** may rely on a trusted orchestrator, such as the CI/CD pipeline **620**, to provide a short-lived and one-time use credential. As used herein, the term "short-lived" includes a lifetime of no more than a threshold period of time (e.g., one minute, one hour, one day, or another time interval) before expiring or becoming invalid. The D-CaaS microservice application **18** may present the short-lived and one-time use credential to an authentication and authorization service 622 during initialization to assert its identity. In one embodiment, the authentication and authorization service **622** may be the CaaS system **412** (shown in FIG. 4). Alternatively, in some embodiments, the authentication and authorization service 622 may include a centralized key management system (KMS). Examples of a centralized KMS include, without limitation, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and the like. (77) In the example embodiment, the server system **16** (shown in FIG. **1**) may include a continuous integration and continuous delivery (CI/CD) system, which may comprise any necessary tools for operation of a CI/CD pipeline **620** within the system **10** (shown in FIG. **1**). At operation **602**, the CI/CD pipeline **620** may communicate with the authentication and authorization service **622** and may request a "secret zero" credential for registration of the D-CaaS microservice application 18. At operation **604**, the authentication and authorization service **622** generates the "secret zero" credential for registration of the D-CaaS microservice application **18**. Furthermore, at operation **606**, the authentication and authorization service **622** transmits the "secret zero" credential to the CI/CD pipeline **620**.
- (78) At operation **608**, the CI/CD pipeline **620** may instantiate an instance of the D-CaaS microservice application **18** with the "secret zero" credential on a respective application server **14** (shown in FIG. **1**). More particularly, the CI/CD pipeline **620** may launch the D-CaaS microservice application **18**, with the "secret zero" credential, in an environment where a secure enclave cannot be established. The D-CaaS microservice application **18** may build a "white-box" cryptographic enclave.
- (79) At operation **610**, the D-CaaS microservice application **18** generates a public/private key pair and a certificate signing request (CSR). In this example embodiment, the key pair may consist of a private key and a corresponding public key. The private key remains securely stored within the "white-box" cryptographic enclave, while the public key may be shared. The D-CaaS microservice

- application **18** may generate the CSR, which contains information about the "white-box" cryptographic enclave, such as the public key. The D-CaaS microservice application **18** signs the CSR using the private key, ensuring that the CSR's authenticity can be verified using the public key.
- (80) At operation **612**, the D-CaaS microservice application **18** transmits the CSR and the "secret zero" credential to the authentication and authorization service **622**. In the example, the "secret zero" credential includes, for example, a token or other credential that the D-CaaS microservice application **18** may provide to the authentication and authorization service **622** after the D-CaaS microservice application **18** launches.
- (81) At operation **614**, the authentication and authorization service **622** validates the instance of the D-CaaS microservice application **18**. More particularly, the authentication and authorization service **622** validates the "secret zero" credential, for example, by comparing the "secret zero" credential received by the authentication and authorization service **622** from the D-CaaS microservice application **18** to the "secret zero" credential generated by the authentication and authorization service **622** and transmitted to the CI/CD pipeline **620**. A match of the two (2) credentials may result in a successful authorization and authentication of the D-CaaS microservice application 18. Furthermore, the authentication and authorization service **622** registers the instance of the D-CaaS microservice application **18**, for example, in the database **44**. That is, the authorized and authenticated instance of the D-CaaS microservice application **18** is included in a database list and includes the Distinguished Name (DN) of the business application (broadly, a custodian application) authorized to call the services it provides. The calling business application would need to have issued to it a properly signed and configured certificate. Therefore, in order for the business application to leverage the services of the D-CaaS microservice application 18, the business application will first need to be a registered tenant on the authentication and authorization service **622** (e.g., the CaaS system **412**) with at least one master-level tenant key.
- (82) At operation **616**, the authentication and authorization service **622** may issue a certificate to the D-CaaS microservice application **18**. The certificate is a digitally signed document that binds the D-CaaS microservice application **18** public key to its identity. This serves as a trusted proof of the validity of the D-CaaS microservice application **18** and can be used to establish secure communication or other interactions with the D-CaaS microservice application **18** and/or the "white-box" cryptographic enclave.
- (83) By generating a key pair and creating a CSR, the D-CaaS microservice application **18** may demonstrate its integrity and authenticity to the authentication and authorization service **622**. This process helps establish trust between the D-CaaS microservice application **18** and the authentication and authorization service **622**, enabling secure interactions and the establishment of secure channels.
- (84) FIG. 7 is a sequence flow diagram **700** depicting initialization of the D-CaaS microservice application **18** via a business application **724** (broadly, a custodian application), in accordance with an aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **700** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence. (85) In the example embodiment, the D-CaaS microservice application **18** is initialized by the business application **724** in an environment where secure processing does not exist. As discussed above with respect to FIG. **6**, in the instance where the D-CaaS microservice application **18** will run in an environment without a TPM, TEE, or SE, the application **18** may build a "white-box" cryptographic enclave, or a mechanism for protecting secret material that is entirely dependent on software. In some embodiments, the "white-box" cryptographic enclave is generated in a virtual machine running on the application server **14**. In this example embodiment, because a prior mechanism for securing a private or secret key does not exist, the D-CaaS microservice application

- 18 may rely on the business application 724 to provide a short-lived and one-time use credential. (86) After initialization, the D-CaaS microservice application 18 may present the short-lived and one-time use credential to an authentication and authorization service 722 to assert its identity after initialization. In one embodiment, the authentication and authorization service 722 may be the CaaS system 412 (shown in FIG. 4). Alternatively, in some embodiments, the authentication and authorization service 722 may include a centralized key management system (KMS). Examples of a centralized KMS include, without limitation, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and the like.
- (87) In the example embodiment, at operation **702**, the business application **724** may deploy or instantiate an instance of the D-CaaS microservice application **18**. Upon deployment, the D-CaaS microservice application **18** may build the "white-box" cryptographic enclave in the memory of the application server **14**, and in some embodiments, within a virtual machine running in memory of the application server **14**.
- (88) At operation **704**, the business application **724** may communicate with the authentication and authorization service **722** and may transmit a request message requesting an authorization grant for registration of the D-CaaS microservice application **18**. The authentication and authorization service **722** may generate a short-lived authorization grant that may be used by the D-CaaS microservice application **18** to register with the authentication and authorization service **722**. At operation **706**, the authentication and authorization service **722** may transmit the authorization grant to the business application **724** in response to the request message.
- (89) At operation **708**, the business application **724** transmits the authorization grant to the D-CaaS microservice application **18**. Upon receiving the authorization grant, the D-CaaS microservice application **18** generates a public/private key pair and a certificate signing request (CSR), as depicted at operation **710**. The key pair may consist of a private key and a corresponding public key. The private key remains securely stored within the "white-box" cryptographic enclave, while the public key may be shared. The D-CaaS microservice application **18** may generate the CSR, which contains information about the "white-box" cryptographic enclave, such as the public key. The D-CaaS microservice application **18** signs the CSR using the private key, ensuring that the CSR's authenticity can be verified using the public key.
- (90) At operation **712**, the D-CaaS microservice application **18** transmits the CSR and the authorization grant to the authentication and authorization service **722**. In the example, the authorization grant may include, for example, a token or other credential that the D-CaaS microservice application **18** may provide to the authentication and authorization service **722** after the D-CaaS microservice application **18** launches.
- (91) At operation **714**, the authentication and authorization service **722** validates the instance of the D-CaaS microservice application **18**. More particularly, the authentication and authorization service **722** validates the authorization grant in substantially the same manner as described above with respect to the "secret zero" credential discussed in FIG. **6**. Furthermore, the authentication and authorization service **722** registers the instance of the D-CaaS microservice application **18**, for example, in the database **44**. That is, the authorized and authenticated instance of the D-CaaS microservice application **18** is included in a database list and includes the Distinguished Name (DN) of the business application **724** that instantiated the D-CaaS microservice application **18**. The calling business application **724** would need to have issued to it a properly signed and configured certificate. Therefore, in order for the business application **724** to leverage the services of the D-CaaS microservice application **18**, the business application **724** will first need to be a registered tenant on the authentication and authorization service **722** (e.g., CaaS system **412**) with at least one master-level tenant key.

- (92) At operation **716**, the authentication and authorization service **722** may issue a certificate to the D-CaaS microservice application **18**. The certificate is a digitally signed document that binds the D-CaaS microservice application **18** public key to its identity. This serves as a trusted proof of the validity of the D-CaaS microservice application **18** and can be used to establish secure communication or other interactions with the D-CaaS microservice application **18** and/or the "white-box" cryptographic enclave.
- (93) By generating a key pair and creating a CSR, the D-CaaS microservice application **18** may demonstrate its integrity and authenticity to the authentication and authorization service **722**. This process helps establish trust between the D-CaaS microservice application **18** and the authentication and authorization service **722**, enabling secure interactions and the establishment of secure channels.
- (94) Key Loading for the D-CaaS Microservice Application
- (95) As described herein, the D-CaaS microservice application 18 may function as a secure cryptography engine. For many operations, the D-CaaS microservice application 18 may assume that the associated business application (broadly, a custodian application) handles key management, including key creation, lifespan, and renewal. While the custodian application may own key management for its own keys, the custodian application may not be provided access to the keys in clear text; rather, the custodian application may only archive the keys in an encrypted format and/or grant access to the keys to the D-CaaS microservice application 18. The D-CaaS microservice application 18 may be the only authorized application to recover the clear text keys.
- (96) After an instance of the D-CaaS microservice application **18** has been instantiated and validated, the D-CaaS microservice application **18** may contact a trust anchor, such as a centralized key management system (KMS) or the CaaS system **412** (shown in FIG. **4**) to load all keys to which the D-CaaS microservice application **18** may be entitled to access. The various ways that the D-CaaS microservice application **18** may do so are described in detail below.
- (97) FIG. **8** is a sequence flow diagram **800** depicting a key loading process for the D-CaaS microservice application **18**, in accordance with one aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **800** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence.
- (98) In the example embodiment, the D-CaaS microservice application 18 has been instantiated, as described above, and is bound to the custodian application 824 (i.e., the business application). The key loading process includes, for example, securely retrieving one or more cryptographic keys required for performing cryptographic services from a centralized key management system (KMS), such as the CaaS system 412 (shown in FIG. 4). As described herein, the centralized KMS may include, without limitation, the CaaS system 412, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and the like.
- (99) As noted above, the custodian application **824** would need to have issued to it a properly signed and configured certificate. Therefore, in order for the custodian application **824** to leverage the services of the D-CaaS microservice application **18**, the custodian application **824** will first need to be a registered tenant on the centralized KMS **822** with at least one master-level tenant key. (100) At operation **802**, the custodian application **824**, which needs one or more cryptographic data encryption keys to perform cryptographic operations, may initiate or transmit a request to a centralized key management system (KMS), such as the centralized KMS **822**, requesting one or more data encryption keys for use by the D-CaaS microservice application **18**. The request may include identification information to authenticate and authorize the custodian application **824**.

(101) At operation **804**, the centralized KMS **822** may generate and/or retrieve the one or more data encryption keys requested by the custodian application **824**, may encrypt the data encryption key(s), and may transmit the encrypted data encryption key(s) to the requesting custodian application **824**. More particularly, upon receipt of the request from the custodian application **824**, the centralized KMS **822** may authenticate and authorize the custodian application **824**, for example, to ensure that the custodian application **824** is a registered tenant on the centralized KMS **822**. After generating and or retrieving the requested data encryption key(s), the centralized KMS **822** may encrypt the data encryption key(s) with the master-level tenant key associated with the custodian application **824**.

(102) After receiving the data encryption key(s), the custodian application **824** may be responsible for storing the encrypted data encryption key(s), until needed. The custodian application **824** may store the encrypted data encryption key(s) in a memory, an archive, etc., such as the storage device **326** (shown in FIG. 3). In some embodiments, the data encryption key(s) may contain metadata including, for example, a key identifier (ID), a key version, key access controls, key policy data, and/or other key metadata. A key ID may be a unique identifier or name assigned to the data encryption key for identification purposes. The key ID may facilitate in distinguishing, retrieving, and/or calling the correct data encryption key. In embodiments where key rotation and/or key updates may be implemented, a key version number and/or timestamp may be included to track different iterations of the data encryption key(s). As such, the key version may facilitate proper management and handling of data encryption key updates. Access control information may include data specifying who has permission to access and/or use the data encryption key(s). This data may include user roles, groups, and/or specific individuals who are authorized to use the key for encryption and/or decryption operations. Policy data may include security policies that are associated with the data encryption key(s), such as permitted cryptographic algorithms, key length, and/or other security parameters that govern usage of the data encryption key(s) and ensure compliance with predetermined security standards or protocols. Furthermore, other key metadata may include additional information about the data encryption key(s), such as creation date, expiration date, owner, purpose, and/or any other attributes that provide context and/or specific requirements for usage of the data encryption key(s).

(103) In the example embodiment, at operation **806**, the custodian application **824** may initiate or transmit an authorization request to the centralized KMS **822** requesting an authorization grant for the D-CaaS microservice application **18** bound to the custodian application **824**. The authorization request may include, for example, the encrypted data encryption key(s) and an identifier of the D-CaaS microservice application **18** bound to the custodian application **824**. The authorization grant may be short-lived (e.g., valid for one minute, one hour, one day, or another time interval) and bound to both the encrypted data encryption key(s) and the D-CaaS microservice application **18** bound to the custodian application **824**. The authorization grant includes data that may bind the grant to the D-CaaS microservice application **18** to load the associated data encryption key(s). In some embodiments, the authorization grant may include a token or credential that proves the D-CaaS microservice application's **18** identity and permissions.

(104) At operation **808**, the centralized KMS **822** may transmit the authorization grant to the custodian application **824** and may store a copy of the authorization grant, for example, in a database, such as the database **44** (shown in FIG. **2**). The stored copy may be used by the centralized KMS **822** to validate a subsequent request from a requesting party, where the request includes the authorization grant. At operation **810**, the custodian application **824** may transmit the authorization grant and the encrypted data encryption key(s) to the D-CaaS microservice application **18**.

(105) At operation **812**, the D-CaaS microservice application **18** may initiate or transmit an authorization grant request to the centralized KMS **822**. The authorization grant request may

include the identity of the D-CaaS microservice application **18** and any necessary authentication information, such as a certificate issued to the D-CaaS microservice application **18** during initialization (as discussed above at operations, **516**, **616**, and/or **716**), API keys, and/or access tokens. Furthermore, the authorization grant request may include the encrypted data encryption key(s) along with a request that the centralized KMS **822** decrypt the data encryption key(s) and return them to the D-CaaS microservice application **18**. The centralized KMS **822** may validate the authorization grant by checking its authenticity against the stored authorization grant, verifying the identity of the D-CaaS microservice application **18** (via the certificate, for example), and permissions.

- (106) After validating the authorization grant, in an embodiment, the D-CaaS microservice application 18 and the centralized KMS 822 may negotiate a secure communication channel for the transport of the decrypted data encryption key(s). For example, and without limitation, the D-CaaS microservice application 18 and the centralized KMS 822 may negotiate an ephemeral Elliptic Curve Diffie-Hellman (ECDH) key agreement over Transport Layer Security (TLS) for the secure channel. With an ephemeral ECDH key agreement over TLS, the D-CaaS microservice application 18 and the centralized KMS 822 may create temporary public/private key pairs for the TLS or SSL (Secure Socket Layer) session. The D-CaaS microservice application 18 and the centralized KMS 822 may exchange these keys during the TLS handshake. Using their own private key and the other party's public key, the D-CaaS microservice application 18 and the centralized KMS 822 compute a shared secret. The shared secret is used to generate the communication encryption keys for secure communication.
- (107) At operation **814**, the centralized KMS **822** may decrypt the data encryption key(s) and securely transmit them to the D-CaaS microservice application **18** via the negotiated secure channel. For example, the instead of directly sending the decrypted data encryption key(s) to the D-CaaS microservice application **18**, the centralized KMS **822** may encrypt the data encryption key(s) using the communication encryption keys, creating a wrapped key(s). The centralized KMS **822** may send the wrapped key(s) back to the D-CaaS microservice application **18**. Because the wrapped key(s) is encrypted using the communication encryption keys, only the D-CaaS microservice application **18** possessing the corresponding communication encryption keys can decrypt and unwrap the wrapped key(s).
- (108) At operation **816**, after receiving the wrapped key(s), the D-CaaS microservice application **18** may use the corresponding communication encryption keys to decrypt and unwrap the wrapped key(s). The D-CaaS microservice application **18** then has the unwrapped data encryption key(s) and may securely store and use the data encryption key(s) for performing various cryptographic operations requested by the custodian application **824**.
- (109) FIG. **9** is a sequence flow diagram **900** depicting another key loading process for the D-CaaS microservice application **18**, in accordance with another aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **900** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence.
- (110) In the example embodiment, the D-CaaS microservice application 18 has been instantiated, as described above, and is bound to the custodian application 924 (i.e., the business application). The key loading process includes, for example, securely retrieving one or more cryptographic keys required for performing cryptographic services from a centralized key management system (KMS), such as CaaS 412 (shown in FIG. 4). As described herein, the centralized KMS may include, without limitation, the CaaS system 412, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and

the like.

(111) As noted above, the custodian application **924** would need to have issued to it a properly signed and configured certificate. Therefore, in order for the custodian application **924** to leverage the services of the D-CaaS microservice application 18, the custodian application 924 will first need to be a registered tenant on the centralized KMS 922 with at least one master-level tenant key. (112) At operation **902**, the custodian application **924** may initiate or transmit a request to a centralized key management system (KMS), such as the centralized KMS 922, requesting one or more data encryption keys for use by the D-CaaS microservice application **18**. The request may include identification information to authenticate and authorize the custodian application **924**. (113) At operation **904**, the centralized KMS **922** may generate and/or retrieve the one or more data encryption keys requested by the custodian application **924**, may encrypt the data encryption key(s), and may transmit the encrypted data encryption key(s) to the requesting custodian application **924**. More particularly, upon receipt of the request from the custodian application **924**, the centralized KMS **922** may authenticate and authorize the custodian application **924**, for example, to ensure that the custodian application **924** is a registered tenant on the centralized KMS **922**. After generating and or retrieving the requested data encryption key(s), the centralized KMS **922** may encrypt the data encryption key(s) with the master-level tenant key associated with the custodian application **924**.

- (114) After receiving the data encryption key(s), the custodian application **924** may be responsible for storing the encrypted data encryption key(s), until needed. The custodian application **924** may store the encrypted data encryption key(s) in a memory, an archive, etc., such as the storage device **326** (shown in FIG. **3**). In some embodiments, the data encryption key(s) may contain metadata including, for example, a key identifier (ID), a key version, key access controls, key policy data, and/or other key metadata, as discussed above with respect to sequence flow diagram **900**. (115) In the example embodiment, at operation **906**, the custodian application **924** may initiate or transmit a wrapping certificate request to the D-CaaS microservice application **18** requesting a wrapping certificate from the D-CaaS microservice application **18**. At operation **908**, the D-CaaS microservice application **18** may transmit its wrapping certificate to the custodian application **924**. The wrapping certificate may include, for example, the certificate issued to the D-CaaS microservice application **18** during initialization (as discussed above at operations, **516**, **616**, and/or **716**).
- (116) At operation **910**, the custodian application **924** may initiate or transmit a rewrap request to the centralized KMS **922** requesting a rewrap of the encrypted data encryption key(s). The rewrap request may include the encrypted data encryption key(s) and the wrapping certificate of the D-CaaS microservice application **18**. It is noted that the wrapping certificate may identify the D-CaaS microservice application **18** that is bound to the custodian application **924**.
- (117) At operation **912**, the centralized KMS **922** may authenticate and authorize the custodian application **924** and the D-CaaS microservice application **18** to receive and use the data encryption key(s). Furthermore, at operation **914**, the centralized KMS **922** may decrypt the data encryption key(s) using the master-level tenant key associated with the custodian application **924**, and rewrap the data encryption key(s) using the wrapping certificate of the D-CaaS microservice application **18**. At operation **916**, the centralized KMS **922** may transmit the rewrapped data encryption key(s) to the custodian application **924** may transmit the rewrapped data encryption key(s) to the D-CaaS microservice application **18**. Because the rewrapped data encryption key(s) is encrypted using the wrapping certificate of the D-CaaS microservice application **18**, the D-CaaS microservice application **18** can decrypt and unwrap the rewrapped data encryption key(s) using its private key associated with its wrapping certificate. (118) At operation **920**, after receiving the rewrapped data encryption key(s), the D-CaaS microservice application **18** may use the wrapping certificate to decrypt and unwrap the rewrapped data encryption key(s). The D-CaaS microservice application **18** then has the unwrapped data

encryption key(s) and may securely store and use the data encryption key(s) for performing various cryptographic operations requested by the custodian application **924**.

- (119) FIG. **10** is a sequence flow diagram **1000** depicting a key loading process for the D-CaaS microservice application **18**, in accordance with an aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **1000** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence.
- (120) In the example embodiment, the D-CaaS microservice application 18 has been instantiated, as described above, and is bound to the custodian application 1024 (i.e., the business application). The key loading process includes, for example, securely retrieving one or more cryptographic keys required for performing cryptographic services from a centralized key management system (KMS), such as CaaS 412 (shown in FIG. 4), using a seed value. As described herein, the centralized KMS may include, without limitation, the CaaS system 412, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and the like.
- (121) At operation **1002**, the custodian application **1024** may register with a centralized KMS **1022** to become a registered tenant on the centralized KMS **1022**. During the registration process, the custodian application **1024** may request a hash-based message authentication code (HMAC) key. At operation **1004**, the centralized KMS **1022** may generate an HMAC key for the custodian application 1024. An HMAC key refers to a key used for generating HMAC values. HMAC is a cryptographic technique that combines a secret key with a hash function to produce a digital signature or message authentication code for data integrity and authenticity verification. (122) At operation **1006**, the custodian application **1024** may initiate or transmit a request to the centralized KMS **1022** requesting one or more data encryption keys for use by the D-CaaS microservice application **18**. The request may include identification information to authenticate and authorize the custodian application **1024** and the D-CaaS microservice application **18** bound to the custodian application **1024**. Furthermore, the request may include a seed file, seed data elements, and/or a seed value. In the example, the custodian application **1024** may be responsible for the generation and storage of the seed file, seed data elements, and/or a seed value. (123) In the example embodiment, at operation **1008**, the centralized KMS **1022** may transmit an authorization grant to the custodian application **1024** and may store a copy of the authorization grant, for example, in a database, such as the database **44** (shown in FIG. **2**). The stored copy may be used by the centralized KMS **1022** to validate a subsequent request from a requesting party, where the request includes the authorization grant. The authorization grant may be short-lived (e.g., valid for one minute, one hour, one day, or another time interval) and bound to the D-CaaS microservice application **18** bound to the custodian application **1024**. The authorization grant may include data that may bind the grant to the D-CaaS microservice application **18** and the custodian application **1024**. In some embodiments, the authorization grant may include a token or credential that proves the D-CaaS microservice application's **18** identity and permissions. At operation **1010**, the custodian application **1024** may transmit the authorization grant and the seed file, seed data elements, and/or the seed value to the D-CaaS microservice application **18**. (124) At operation **1012**, the D-CaaS microservice application **18** may initiate or transmit an
- authorization grant request to the centralized KMS **1022**. The authorization grant request may include the identity of the D-CaaS microservice application **18** and any necessary authentication information, such as a certificate issued to the D-CaaS microservice application **18** during initialization (as discussed above at operations, **516**, **616**, and/or **716**), API keys, and/or access tokens. Furthermore, the authorization grant request may include the seed file, seed data elements,

and/or the seed value. The centralized KMS **1022** may validate the authorization grant by checking its authenticity against the stored authorization grant, verifying the identity of the D-CaaS microservice application **18** (via the certificate, for example), and permissions.

- (125) After validating the authorization grant, in an embodiment, the D-CaaS microservice application 18 and the centralized KMS 1022 may negotiate a secure communication channel for the transport of the decrypted data encryption key(s). For example, and without limitation, the D-CaaS microservice application 18 and the centralized KMS 1022 may negotiate an ephemeral ECDH key agreement over TLS as discussed above with respect to the sequence flow diagram 800. (126) At operation 1014, the centralized KMS 1022 may generate a data encryption key(s) using the seed file via HMAC and the PBKDF2 (Password-Based Key Derivation Function 2) algorithm. For example, in an embodiment, using the HMAC algorithm (e.g., HMAC-SHA256), the centralized KMS 1022 may apply the HMAC key to the seed value. The seed value is a unique input used to derive the data encryption key(s). The seed value may be hashed with the HMAC key to produce an HMAC value. The HMAC key serves as the secret key for the HMAC operation. The HMAC value may be used as a "salt" input for the PBKDF2 algorithm. The PBKDF2 algorithm may be applied using the HMAC value as the salt to create a derived key based on the HMAC value and the seed value. The derived key is the data encryption key(s).
- (127) At operation **1016**, the centralized KMS **1022** may securely transmit the data encryption key(s) to the D-CaaS microservice application **18** via the negotiated secure channel. For example, instead of directly sending the data encryption key(s) to the D-CaaS microservice application **18**, the centralized KMS **1022** may encrypt the data encryption key(s) using the communication encryption keys, creating a wrapped key(s). The centralized KMS **1022** may send the wrapped key(s) back to the D-CaaS microservice application **18**. Because the wrapped key(s) is encrypted using the communication encryption keys, only the D-CaaS microservice application **18** possessing the corresponding communication encryption keys can decrypt and unwrap the wrapped key(s). (128) At operation **1018**, after receiving the wrapped key(s), the D-CaaS microservice application **18** may use the corresponding communication encryption keys to decrypt and unwrap the wrapped key(s). The D-CaaS microservice application **18** then has the unwrapped data encryption key(s) and may securely store and use the data encryption key(s) for performing various cryptographic operations requested by the custodian application **1024**.
- (129) FIG. **11** is a sequence flow diagram **1100** depicting a key loading process for the D-CaaS microservice application **18**, in accordance with an aspect of the present invention. According to some aspects of the present invention, the sequence of operations of the flow diagram **1100** may not necessarily be executed in the same order as depicted. Further, one or more operations may be grouped together and performed as a single step, or one operation may have several sub-steps that may be performed in parallel or in sequence.
- (130) In the example embodiment, the D-CaaS microservice application 18 has been instantiated, as described above, and is bound to the custodian application 1124 (i.e., the business application). The key loading process includes, for example, securely retrieving one or more cryptographic keys required for performing cryptographic services from a centralized key management system (KMS), such as the CaaS system 412 (shown in FIG. 4). As described herein, the centralized KMS may include, without limitation, the CaaS system 412, Amazon Web Services KMS, Google Cloud KMS, Microsoft Azure Key Vault, and any other KMS based on or using, for example, Public-Key Cryptography Standards (PKCS), REST embedding technology, Key Management Interoperability Protocol (KMIP), Java Cryptography Extension (JCE), Cryptography Next Generation (CNG), and the like.
- (131) As noted above, the custodian application **1130** would need to have issued to it a properly signed and configured certificate. Therefore, in order for the custodian application **1130** to leverage the services of the D-CaaS microservice application **18**, the custodian application **1130** will first need to be a registered tenant on the centralized KMS **1132** with at least one master-level tenant

key.

- (132) In this embodiment, the initial operations (i.e., operations **1102**, **1104**, **1106**, **1108**, **1110**, **1112**, and **1114**) are substantially the same as the equivalent operations described above in sequence flow diagram **800** (i.e., operations **802**, **804**, **806**, **808**, **810**, **812**, and **814**). As such, the specific operations will not again be described here.
- (133) In the example depicted in FIG. **11**, at operation **1116**, after the data encryption key(s) are received by the D-CaaS microservice application **18**, the D-CaaS microservice application **18** may generate a local master key and re-encrypt the data encryption key(s) using the local master key. At operation **1118**, the D-CaaS microservice application **18** may transmit the re-encrypted data encryption key(s) to the custodian application **1130**. The D-CaaS microservice application **18** then securely deletes the re-encrypted data encryption key(s) from memory. In this manner, the D-CaaS microservice application **18** may not load the data encryption key(s) for persistence in memory and therefore may function similar to an HSM.
- (134) At operation **1120**, the D-CaaS microservice application **18** may receive a cryptographic service request from the custodian application **1130**. The cryptographic service request may include a request to perform a cryptographic operation, the re-encrypted data encryption key(s), and data for use in the requested cryptographic operation. At operation **1122**, the D-CaaS microservice application **18** decrypts the re-encrypted data encryption key(s) using the local master key, performs the requested cryptographic operation on the data, and deletes the decrypted data encryption key(s). At operation **1124**, the D-CaaS microservice application **18** returns the processed data to the custodian application **1130**.
- (135) Policy-Based Access Control
- (136) In the example discussed above, the D-CaaS microservice application **18** may implement a policy-based access control model to restrict access to the re-encrypted data encryption key(s) that it protects. Policies will identify authorized clients or applications, such as the custodian applications **824**, **924**, **1024**, and **1130**, by unique attributes such as Distinguished Names (DN), and associate those attributes with selected actions the authorized clients or applications can perform using the data encryption key(s) and/or on selected resources. In an embodiment, one or more policies may be defined on the data encryption key(s) itself.
- (137) In some embodiments, as an alternative to transmitting the encrypted data encryption key(s) to the custodian applications, the centralized KMS (such as the centralized KMSs 822, 922, 1022, and 1132) may retain the data encryption key(s) rather than letting custodian applications manage their keys in an encrypted form. In such embodiments, when the D-CaaS microservice application 18 communicates with the centralized KMS to load a key, the D-CaaS microservice application 18 may not be provided with any grant or other authorization credential. The policies may limit which instance of the D-CaaS microservice application 18 may load the data encryption key(s) and what operations may be performed with the data encryption key(s).
- (138) Deployment of the D-CaaS Microservice Application
- (139) As discussed herein, the D-CaaS microservice application(s) may be "owned" or bound to an application (i.e., a custodian application). That is, a respective D-CaaS microservice application may be bound to only a single application on start-up and may be inaccessible to any other application. The D-CaaS microservice application runs in a secure enclave and securely loads one or more data encryption key(s) that may be needed by the owning application. After loading the data encryption key(s), the D-CaaS microservice application runs independently of any centralized Cryptography as a Service (CaaS) system. The D-CaaS microservice application may be implemented or consumed by a custodian application as an independent service or as a dependency built directly into the custodian application.
- (140) FIG. **12** is a block diagram of an on-premises deployment architecture of a D-CaaS microservice system **1200**, in accordance with one aspect of the present invention. In the example embodiment, the D-CaaS microservice system **1200** may include an F5 computing device **1202**

coupled in communication with a cryptography as a service (CaaS) system **1204**. The F5 computing device **1202** may include, for example, internal load balancing computers that employ different routing algorithms and policies to address Internet protocol based requests (e.g., TCP, HTTP, etc.). The D-CaaS microservice system **1200** also may include a cloud-native application platform (PCF) **1206**. A plurality of stand-alone applications **1208***a***-1208***n* may be running (or be executable) on the PCF **1206**. As discussed herein, each application **1208***a***-1208***n* has a respective D-CaaS microservice application **1210***a***-1210***n* associated therewith, wherein the D-CaaS microservice application may be consumed as a service. For example, the calling application **1208***a***-1208***n* may consume the D-CaaS services through an exposed interface. The exposed interface may include, for example, an HTTP interface, Unix sockets, etc. In an embodiment, the D-CaaS microservice application may be exposed as a RESTful service.

- (141) In this embodiment, the applications **1208***a***-1208***n* needing a D-CaaS microservice application **1210***a***-1210***n* would not share its D-CaaS microservice application instance with any other application. An advantage of the D-CaaS microservice system **1200** is that such an architectural arrangement may limit the "blast-radius" from an availability or confidentiality exploit. That is, if an application or an instance of a D-CaaS microservice application is compromised, only that application and/or D-CaaS microservice application may be affected. The remaining applications and D-CaaS microservice applications may persist unaffected. (142) In example embodiments, certificate-based authentication or a Synapse/ISTIO pattern may be used to authenticate the applications **1208***a***-1208***n* and respective D-CaaS microservice applications **2110***a***-1210***n* associated therewith. In either embodiment, an instance or set of instances dedicated to a particular application may restrict access to only that application via policy enforcement or Distinguished Name (DN) validation.
- (143) In a certificate-based authentication system, the identity of the application **1208***a***-1208***n* or the D-CaaS microservice application **1210***a***-1210***n* may be verified using digital certificates. In such an authentication scheme, each entity, such as the application or the D-CaaS microservice, possesses a unique digital certificate that serves as a form of identification. Typically, a certificate authority (CA) generates a digital certificate for both the application and the D-CaaS microservice. The CA is a trusted entity responsible for verifying and issuing certificates, such as the CaaS service **1204**. Each application and D-CaaS microservice application have their own certificate, which consists of a public key and identifying information such as an application identifier and digital signature. In some embodiments, mutual authentication may be implemented, where both the application and the D-CaaS microservice application verify each other's certificates. Such mutual authentication adds an extra layer of security by ensuring that both the application and the D-CaaS microservice application can authenticate each other.
- (144) In a Synapse/ISTIO pattern authentication system, mutual TLS (mTLS), which enables secure communication between services using certificates, may be used. With mTLS, the Synapse/ISTIO pattern can verify the identity of both the application and the D-CaaS microservice application. This ensures that only authenticated and authorized applications and services can communicate with each other. Furthermore, such an authentication system facilitates the use of access control policies using a policy framework. Access control rules based on attributes like request headers, request paths, and/or application identities may be defined. Policy enforcement ensures that only authorized requests are allowed to reach the destination applications and/or D-CaaS microservice applications.
- (145) FIG. **13** is a block diagram of an on-premises deployment sidecar architecture of a D-CaaS microservice system **1300**, in accordance with one aspect of the present invention. In the example embodiment, the D-CaaS microservice system **1300** may include an F5 computing device **1302** coupled in communication with a cryptography as a service (CaaS) system **1304**. The F5 computing device **1302** may include, for example, internal load balancing computers that employ different routing algorithms and policies to address Internet protocol based requests (e.g., TCP,

HTTP, etc.). The D-CaaS microservice system **1300** also may include a cloud-native application platform (PCF) **1306**. A stand-alone application **1308** may be running (or be executable) on the PCF **1306**. The application **1308** may be implemented via a secured container and/or on a virtual machine (VM). A D-CaaS microservice application **2110** may be connected to the application **1308** using a sidecar **1312**.

- (146) The sidecar **1312** and D-CaaS microservice application **1210** may be implemented via the secured container/VM. The application **1308** may also be contained within the secured container/VM. In some embodiments, the D-CaaS microservice application **1310** may be implemented as a dependency. In an embodiment, the sidecar **1312** may provide a mechanism for managing secure access to the secured container/VM, such as the secure enclave **310** (shown in FIG. **3**), associated with the D-CaaS microservice application **1310**. The sidecar **1312** may provide a trusted execution environment for supporting security functions such as cryptographic key generation, storage and usage, and other features, as described herein.
- (147) It is noted that in both deployment architectures (i.e., systems **1200** and **1300**), access control to the data encryption key(s) and their operations may be defined by policy-based access control, as described on the POLICY-BASED ACCESS CONTROL section above.

**Additional Considerations** 

- (148) In this description, references to "one embodiment," "an embodiment," or "embodiments" mean that the feature or features being referred to are included in at least one embodiment of the technology. Separate references to "one embodiment," "an embodiment," or "embodiments" in this description do not necessarily refer to the same embodiment and are also not mutually exclusive unless so stated and/or except as will be readily apparent to those skilled in the art from the description. For example, a feature, structure, act, etc. described in one embodiment may also be included in other embodiments but is not necessarily included. Thus, the current technology can include a variety of combinations and/or integrations of the embodiments described herein. (149) The detailed description is to be construed as exemplary only and does not describe every possible embodiment because describing every possible embodiment would be impractical. Numerous alternative embodiments may be implemented, using either current technology or technology developed after the filing date of this patent, which would still fall within the scope of the invention.
- (150) Throughout this specification, plural instances may implement components, operations, or structures described as a single instance. Although individual operations of one or more methods are illustrated and described as separate operations, one or more of the individual operations may be performed concurrently, and nothing requires that the operations be performed in the order recited or illustrated. Structures and functionality presented as separate components in example configurations may be implemented as a combined structure or component. Similarly, structures and functionality presented as a single component may be implemented as separate components. These and other variations, modifications, additions, and improvements fall within the scope of the subject matter herein. The foregoing statements in this paragraph shall apply unless so stated in the description and/or except as will be readily apparent to those skilled in the art from the description. (151) Certain embodiments are described herein as including logic or a number of routines, subroutines, applications, or instructions. These may constitute either software (e.g., code embodied on a machine-readable medium or in a transmission signal) or hardware. In hardware, the routines, etc., are tangible units capable of performing certain operations and may be configured or arranged in a certain manner. In example embodiments, one or more computer systems (e.g., a standalone, client or server computer system) or one or more hardware modules of a computer system (e.g., a processor or a group of processors) may be configured by software (e.g., an application or application portion) as computer hardware that operates to perform certain operations as described herein.
- (152) In various embodiments, computer hardware, such as a processor, may be implemented as

special purpose or as general purpose. For example, the processor may comprise dedicated circuitry or logic that is permanently configured, such as an application-specific integrated circuit (ASIC), or indefinitely configured, such as a field-programmable gate array (FPGA), to perform certain operations. The processor may also comprise programmable logic or circuitry (e.g., as encompassed within a general-purpose processor or other programmable processor) that is temporarily configured by software to perform certain operations. It will be appreciated that the decision to implement the processor as special purpose, in dedicated and permanently configured circuitry, or as general purpose (e.g., configured by software) may be driven by cost and time considerations.

(153) Accordingly, the term "processor" or equivalents should be understood to encompass a tangible entity, be that an entity that is physically constructed, permanently configured (e.g., hardwired), or temporarily configured (e.g., programmed) to operate in a certain manner or to perform certain operations described herein. Considering embodiments in which the processor is temporarily configured (e.g., programmed), each of the processors need not be configured or instantiated at any one instance in time. For example, where the processor comprises a general-purpose processor configured using software, the general-purpose processor may be configured as respective different processors at different times. Software may accordingly configure the processor to constitute a particular hardware configuration at one instance of time and to constitute a different hardware configuration at a different instance of time.

(154) Computer hardware components, such as transceiver elements, memory elements, processors, and the like, may provide information to, and receive information from, other computer hardware components. Accordingly, the described computer hardware components may be regarded as being communicatively coupled. Where multiple of such computer hardware components exist contemporaneously, communications may be achieved through signal transmission (e.g., over appropriate circuits and buses) that connect the computer hardware components. In embodiments in which multiple computer hardware components are configured or instantiated at different times, communications between such computer hardware components may be achieved, for example, through the storage and retrieval of information in memory structures to which the multiple computer hardware components have access. For example, one computer hardware component may perform an operation and store the output of that operation in a memory device to which it is communicatively coupled. A further computer hardware component may then, at a later time, access the memory device to retrieve and process the stored output. Computer hardware components may also initiate communications with input or output devices, and may operate on a resource (e.g., a collection of information).

(155) The various operations of example methods described herein may be performed, at least partially, by one or more processors that are temporarily configured (e.g., by software) or permanently configured to perform the relevant operations. Whether temporarily or permanently configured, such processors may constitute processor-implemented modules that operate to perform one or more operations or functions. The modules referred to herein may, in some example embodiments, comprise processor-implemented modules.

(156) Similarly, the methods or routines described herein may be at least partially processor implemented. For example, at least some of the operations of a method may be performed by one or more processors or processor-implemented hardware modules. The performance of certain of the operations may be distributed among the one or more processors, not only residing within a single machine, but deployed across a number of machines. In some example embodiments, the processors may be located in a single location (e.g., within a home environment, an office environment or as a server farm), while in other embodiments the processors may be distributed across a number of locations.

(157) Unless specifically stated otherwise, discussions herein using words such as "processing," "computing," "calculating," "determining," "presenting," "displaying," or the like may refer to

actions or processes of a machine (e.g., a computer with a processor and other computer hardware components) that manipulates or transforms data represented as physical (e.g., electronic, magnetic, or optical) quantities within one or more memories (e.g., volatile memory, non-volatile memory, or a combination thereof), registers, or other machine components that receive, store, transmit, or display information.

- (158) As used herein, the terms "comprises," "comprising," "includes," "including," "has," "having" or any other variation thereof, are intended to cover a non-exclusive inclusion. For example, a process, method, article, or apparatus that comprises a list of elements is not necessarily limited to only those elements but may include other elements not expressly listed or inherent to such process, method, article, or apparatus.
- (159) Although the disclosure has been described with reference to the embodiments illustrated in the attached figures, it is noted that equivalents may be employed, and substitutions made herein, without departing from the scope of the disclosure as recited in the claims.
- (160) Having thus described various embodiments of the disclosure, what is claimed as new and desired to be protected by Letters Patent includes the following:

#### **Claims**

- 1. An application server having a custodian application running thereon, the application server comprising: one or more processors; and a memory, the memory storing computer-executable instructions that when executed by the one or more processors, cause the one or more processors to: instantiate, by the custodian application, a cryptographic microservice application on the application server; transmit, by the custodian application, a first request message to a centralized key management system (KMS), the first request message including a request for a data encryption key; in response to the first request message, receive, by the custodian application from the centralized KMS, the data encryption key, the data encryption key being encrypted via a masterlevel tenant key associated with the custodian application; transmit, by the custodian application, a second request message to the cryptographic microservice application, the second request message including a request for a wrapping certificate from the cryptographic microservice application; receive, by the custodian application, the wrapping certificate from the cryptographic microservice application; transmit, by the custodian application, a third request message to the centralized KMS, the third request message including the data encryption key encrypted via the master-level tenant key and the wrapping certificate; receive, by the custodian application, a wrapped key including the data encryption key encrypted via the wrapping certificate; transmit, by the custodian application, the wrapped key to the cryptographic microservice application; and unwrap, by the cryptographic microservice application, the wrapped key using the wrapping certificate.
- 2. The application server in accordance with claim 1, the computer-executable instructions causing the one or more processors to store, by the custodian application, the encrypted data encryption key in the memory.
- 3. The application server in accordance with claim 2, wherein the encrypted data encryption key includes metadata, the metadata including key policy data, the key policy data including data that identifies one or more authorized applications by unique attributes and associates the unique attributes with one or more selected actions the one or more authorized applications are permitted to perform using the data encryption key.
- 4. The application server in accordance with claim 1, wherein the cryptographic microservice application is associated with a respective sidecar.
- 5. The application server in accordance with claim 4, wherein the custodian application, the cryptographic microservice application, and the sidecar are implemented on a virtual machine.
- 6. The application server in accordance with claim 1, wherein the custodian application is implemented on a virtual machine.

- 7. The application server in accordance with claim 1, wherein the cryptographic microservice application is consumed as a dependency by the custodian application.
- 8. A method performed by an application server, the application server having a memory and a custodian application running thereon, the method comprising: instantiating, by the custodian application, a cryptographic microservice application on the application server; transmitting, by the custodian application, a first request message to a centralized key management system (KMS), the first request message including a request for a data encryption key; in response to the first request message, receiving, by the custodian application from the centralized KMS, the data encryption key, the data encryption key being encrypted via a master-level tenant key associated with the custodian application; transmitting, by the custodian application, a second request message to the cryptographic microservice application, the second request message including a request for a wrapping certificate from the cryptographic microservice application; receiving, by the custodian application, the wrapping certificate from the cryptographic microservice application; transmitting, by the custodian application, a third request message to the centralized KMS, the third request message including the data encryption key encrypted via the master-level tenant key and the wrapping certificate; receiving, by the custodian application, a wrapped key including the data encryption key encrypted via the wrapping certificate; transmitting, by the custodian application, the wrapped key to the cryptographic microservice application; and unwrapping, by the cryptographic microservice application, the wrapped key using the wrapping certificate. 9. The method in accordance with claim 8, further comprising storing, by the custodian application,
- 9. The method in accordance with claim 8, further comprising storing, by the custodian application, the encrypted data encryption key in the memory.
- 10. The method in accordance with claim 9, wherein the encrypted data encryption key includes metadata, the metadata including key policy data, the key policy data including data that identifies one or more authorized applications by unique attributes and associates the unique attributes with one or more selected actions the one or more authorized applications are permitted to perform using the data encryption key.
- 11. The method in accordance with claim 8, wherein the cryptographic microservice application is associated with a respective sidecar.
- 12. The method in accordance with claim 11, wherein the custodian application, the cryptographic microservice application, and the sidecar are implemented on a virtual machine.
- 13. The method in accordance with claim 8, wherein the custodian application is implemented on a virtual machine.
- 14. The method in accordance with claim 8, wherein the cryptographic microservice application is consumed as a dependency by the custodian application.
- 15. A non-transitory computer-readable storage medium having computer-executable instructions stored thereon, the computer-executable instructions, when executed by one or more processors, causing the one or more processors to: instantiate a cryptographic microservice application; transmit a request message to a centralized key management system (KMS), the request message including a request for a data encryption key; in response to the request message, receive, from the centralized KMS, the data encryption key, the data encryption key being encrypted via a master-level tenant key associated with a custodian application; transmit a second request message to the cryptographic microservice application, the second request message including a request for a wrapping certificate from the cryptographic microservice application; transmit a third request message to the centralized KMS, the third request message including the data encryption key encrypted via the master-level tenant key and the wrapping certificate; receive a wrapped key including the data encryption key encrypted via the wrapping certificate; transmit the wrapped key to the cryptographic microservice application; and unwrap, by the cryptographic microservice application, the wrapped key using the wrapping certificate.
- 16. The non-transitory computer-readable storage medium in accordance with claim 15, the

computer-executable instructions causing the one or more processors to store the encrypted data encryption key in a memory.

- 17. The non-transitory computer-readable storage medium in accordance with claim 16, wherein the encrypted data encryption key includes metadata, the metadata including key policy data, the key policy data including data that identifies one or more authorized applications by unique attributes and associates the unique attributes with one or more selected actions the one or more authorized applications are permitted to perform using the data encryption key.
- 18. The non-transitory computer-readable storage medium in accordance with claim 15, wherein the cryptographic microservice application is associated with a respective sidecar.
- 19. The non-transitory computer-readable storage medium in accordance with claim 18, wherein the custodian application, the cryptographic microservice application, and the sidecar are implemented on a virtual machine.
- 20. The non-transitory computer-readable storage medium in accordance with claim 15, wherein the cryptographic microservice application is consumed as a dependency by the custodian application.