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Management of aspect ratio in hierarchical coding schemes

Abstract

A method of signalling a signal adjustment when encoding an input signal using a hierarchical coding scheme to manage a display aspect ratio. The hierarchical coding scheme comprises encoding a downsampled version of the input signal using a first encoding method to produce a first encoded signal. When the downsampling operation of the hierarchical coding scheme is a non-square downsampling operation, the method signals an adjustment to cause a pixel aspect ratio of the first encoded signal to be adjusted by a scaling factor, wherein the scaling factor is determined from the non-square downsampling operation. Additionally, correction is applied in an enhancement level of the hierarchical coding scheme to ensure that the signalled change of pixel aspect ratio does not lead to unintended consequences in the enhanced reproduction at a decoder-side.

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Background/Summary

CROSS-REFERENCE TO RELATED APPLICATIONS

(1) This application is a 371 US Nationalization of International Application No. PCT/GB2021/052623, filed Oct. 11, 2021, which claims priority to United Kingdom Patent Application No. 2016115.4, filed Oct. 10, 2020, the disclosures of which are hereby incorporated by reference herein in their entirety.

TECHNICAL FIELD

(2) The present application describes methodologies and apparatuses to manage aspect ratios of output signals when encoded with a hierarchical coding scheme. Particularly, but not exclusively, the application relates to coding with a hierarchical coding scheme using two or more separate and/or independent encoding schemes to encode a base layer and an enhancement layer, such as MPEG-5 Part 2 Low Complexity Enhancement Video Coding (as further described in patent application PCT/GB2020/050695 whose contents are included herein by reference).

BACKGROUND

- (3) In a hierarchical coding scheme, such as MPEG-5 Part 2 LCEVC (as further described in patent application PCT/GB2020/050695 published as WO2020188273 and titled "Low Complexity Enhancement Video Coding"), in which an input video signal is first downscaled using a downscaling/downsampling process, the resulting downscaled video is encoded using a first encoding scheme (via a base encoder) to produce an encoded base layer. The resulting encoded base layer is then decoded using a corresponding decoder (implementing a first decoding scheme corresponding to the first encoding scheme) and upscaled using an upscaling/upsampling process to produce a preliminary reconstructed video signal. The resulting preliminary reconstructed video signal is then subtracted from the source video signal to produce a set of residual data, which are optionally encoded using a second encoding scheme (via an enhancement encoder).
- (4) At the decoder side, the encoded base layer is also decoded using a corresponding decoder (implementing a first decoding scheme compliant with the first encoding scheme) to produce a decoded base layer, which is suitable for rendering on a display, and which is sometimes output for rendering on a display. The decoded base layer is also upscaled using an upscaling/upsampling process to produce a preliminary reconstructed video signal. This is then combined with the decoded residual data, decoded using a decoder (implementing a second decoding scheme corresponding to the second encoding scheme), to produce a final reconstructed video.
- (5) The downsampling can change the ratio of the input video in a "square" manner (i.e., 1:1) or in a non-square manner (e.g., 2:1 for one-dimensional "1D" down-scaling only across the horizontal dimension, or other ratios). Similarly, the upsampling can change the ratio of the input video in a "square" manner (i.e., 1:1) or in a non-square manner (e.g., 2:1 for one-dimensional "1D" upscaling only across the horizontal dimension, or other ratios).
- (6) In the case of a non-square ratio, one or both of the decoded base layer and the final reconstructed video is often displayed wrongly. The present disclosure considers a solution to this problem. In addition, in certain hierarchical coding schema, such as LCEVC, the bitstream of the base layer is different from the bitstream of the enhancement layer, and these two bitstreams are produced according to two separate coding schemes. Accordingly, it may not be possible to easily control how the base layer has been set, and therefore ensuring that the base encoder and enhancement encoder are set appropriately is even more important.

SUMMARY

- (7) There are provided methods, devices and computer programs as outlined in the appended claims.
- (8) An aim of the disclosure is to provide a solution to the problem of changing aspect ratios in an encoding pipeline, especially in the context of hierarchical coding schemes which alter an aspect ratio of a signal during the coding process. Such an example occurs when the hierarchical coding scheme operates on signals of different resolutions and uses a downsampling operation to move

from a first resolution to a second, lower resolution. If the downsampling operation changes the aspect ratio of the signal during the downsampling process, for example by using a non-square downsampling technique, then the final displayed aspect ratio of the signal at the end of the coding pipeline may be undesired and may not match the corresponding aspect ratio of the signal input into the coding pipeline in the first place.

- (9) In a first aspect, this disclosure provides the skilled person with an aspect management signalling technique that enables a pixel aspect ratio of the signal to be modified during a coding process, to account for any resolution aspect ratio changes that occur to the signal during the coding process, for example by a non-square downsampling process.
- (10) In a second aspect, this disclosure provides the skilled person with an aspect management correction or modification technique that allows an enhanced signal in the hierarchical coding scheme to be corrected or modified to account for any pixel aspect ratio modification signalled by the aforesaid signalling technique. Such a correction comprises signalling through the coding pipeline a pixel aspect ratio, or similar information such as a display aspect ratio, of the original input signal to the coding pipeline, so that a decoding system can use that information to override corresponding information contained in the decoded signal at an enhancement level. Alternatively, such a correction comprises determining a scaling factor in the decoding system itself to alter the information of the decoded output signal, the scaling factor being determined from, for example, an upsampling or other signal modification operation and any resulting change to the resolution aspect ratio of the signal by such a process.
- (11) In the first aspect, there is provided a method of signalling a signal adjustment when encoding an input signal using a hierarchical coding scheme to manage a display aspect ratio, wherein the hierarchical coding scheme comprises encoding a downsampled version of the input signal using a first encoding method to produce a first encoded signal. The method comprising, when the downsampling operation of the hierarchical coding scheme is a non-square downsampling operation, signalling an adjustment to cause a pixel aspect ratio of the first encoded signal to be adjusted by a scaling factor, wherein the scaling factor is determined from the non-square downsampling operation. A pixel aspect ratio is the ratio of the width and the height of each pixel in the signal.
- (12) A non-square downsampling operation results in a change to the resolution aspect ratio from the input signal to the downsampled version of the input signal, wherein the resolution aspect ratio is the ratio between the width and the height of each frame in the signal, normally measured in pixels.
- (13) The scaling factor is a typical embodiment is the ratio of the resolution aspect ratio of the input signal to the resolution aspect ratio of the downsampled version of the input signal.
- (14) The pixel aspect ratio of the first encoded signal may be determined from the following equation:

PAR.sub.e=PAR.sub.s×Width.sub.s/Width.sub.e×Height.sub.e/Height.sub.s where PAR.sub.e is the pixel aspect ratio of the first encoded signal, PAR.sub.s is the pixel aspect ratio of the input signal, and the scaling factor is the ratio of input signal width, width.sub.s, to first encoded signal width, width.sub.e, multiplied by the ratio of first encoded signal height, height.sub.e, to input signal height, height.sub.s.

- (15) When the encoding system operates in a 1D mode, in which downsampling occurs only across the horizontal dimension of the signal by a ratio of X:1, the scaling factor increases the pixel aspect ratio of the first encoded signal by scaling the horizontal dimension of each pixel by a factor of X, and by not scaling the height dimension. Typically, the 1D mode operates a ratio of 2:1, but other ratios may be used, such as 3:1, 4:1, etc, and non-integer ratios.
- (16) The method describes a way of signalling of the adjustment to cause the display aspect ratio of the first encoded signal to be substantially the same as the display aspect ratio of the input signal.
- (17) In one particularly common optional embodiment, the step of signalling the adjustment

comprises signalling to set the pixel aspect ratio of the first encoded signal to an encoder or encoding module performing the first encoding method. However, the adjustment may be performed earlier in the signal pipeline, and may be performed before downsampling, after downsampling, at the encoder or encoding module, or after encoding by the encoder or encoding module.

- (18) In a more detailed embodiment the hierarchical coding scheme may further comprise upsampling a decoded version of the first encoded signal to produce an upsampled decoded signal, wherein the first encoded signal is decoded using a first decoding method corresponding to the first encoding method, producing a residual signal based on a comparison between the input signal and the upsampled decoded signal, and outputting the residual signal. The method further comprises outputting metadata for a decoding system, the metadata comprising information relating to a pixel aspect ratio of the input signal.
- (19) The metadata may comprise information relating to a display aspect ratio of the input signal. The metadata may comprise the pixel aspect ratio of the input signal.
- (20) Typically, when in the hierarchical coding scheme the upsampling operation is a non-square upsampling operation, often corresponding to the downsampling operation, the method only outputs the metadata when the upsampling operation of the hierarchical coding scheme is non-square. The method may only output the metadata when the downsampling operation of the hierarchical coding scheme is non-square.
- (21) The method would typically, but not always, encode the residual signal using a second encoding method prior to outputting.
- (22) In some circumstances, it would be useful for the metadata to be transmitted with the residual signal.
- (23) In a second aspect, there is provided a method of adjusting a decoded signal, the decoded signal being decoded using a hierarchical coding scheme, wherein the hierarchical coding scheme comprises upsampling a decoded version of an encoded signal to produce an upsampled version of the signal, said decoded version of the signal decoded using a first decoding method, and combining the upsampled version of the signal with a residual signal to produce an output decoded signal, the encoded signal being derived from an input signal. The method comprises adjusting a pixel aspect ratio of the output decoded signal so that the pixel aspect ratio of the output decoded signal matches that of the input signal, the adjustment using one of a pixel aspect ratio or a desired display aspect ratio received as metadata from an encoding system, wherein a display aspect ratio is the aspect ratio of a signal when rendered on a display, and which is derivable from the pixel aspect ratio and a resolution aspect ratio, and a scaling factor derived from the upsampling operation.
- (24) The metadata may comprise the pixel aspect ratio of the input signal, and the adjustment may match the pixel aspect ratio of the output decoded signal to the pixel aspect ratio of the input signal.
- (25) The scaling factor is typically the ratio of the resolution aspect ratio of the decoded version to the resolution aspect ratio of the upsampled version of the signal.
- (26) The metadata or scaling factor in one embodiment is used to cause the output decoded signal to be adjusted only when the upsampling operation is non-square and causes a change to the resolution aspect ratio of the output decoded signal as it passes through the upsampling operation.
- (27) The residual signal is often a separate decoded component of the signal, said separate decoded component of the signal being decoded using a second decoding method.
- (28) There is provided an encoding module configured to perform the above encoding steps.
- (29) There is provided a decoding module configured to perform the above decoding steps.
- (30) There is provided a computer program comprising instructions which, when the program is executed by a computer, cause the computer to carry out the above methods.
- (31) There is provided a method of adjusting signals in a hierarchical coding scheme, wherein the hierarchical coding scheme comprises, at an encoding system: downsampling an input signal to produce a downsampled version; passing the downsampled version to an encoder so that the

encoder produces a first encoded signal; receiving a first decoded version of the first encoded signal from a decoder in the encoding system; upsampling the decoded version to produce an encoder-side reconstruction of the input signal; comparing the encoder-side reconstruction with the input signal to produce a residual signal; and outputting the residual signal for a decoding system to use with the first encoded signal. And wherein the hierarchical coding scheme comprises, at a decoding system: receiving a second decoded version of the first encoded signal from a decoder in the decoding system; upsampling the second decoded version to produce a decoder-side reconstruction of the input signal; and receiving the residual signal and adding the residual signal to the decoder-side reconstruction to produce a decoded output signal. The method comprises, at the encoding system: signalling an adjustment in the encoding system to cause a pixel aspect ratio of the first encoded signal to be adjusted by a scaling factor, wherein the scaling factor is determined from a resolution aspect ratio change caused by the downsampling operation. A pixel aspect ratio is the ratio between the width and the height of each pixel in the signal, and a resolution aspect ratio is the ratio between the width and the height of each picture in the signal. The method further comprises outputting metadata for a decoding system to use when using the residual signal, the metadata comprising the pixel aspect ratio of the input signal or information that allows a decoding system to derive the pixel aspect ratio. The method comprises, at the decoding system: adjusting a pixel aspect ratio of the output decoded signal using the metadata so that the corresponding display aspect ratio for the output decoded signal when rendered on a display matches that of the input signal, wherein the display aspect ratio is the aspect ratio of a signal when rendered on a display, and is derivable from the pixel aspect ratio multiplied by the resolution aspect ratio of a signal. (32) In one particularly common optional embodiment, the step of signalling the adjustment comprises signalling to set the pixel aspect ratio of the first encoded signal to the encoder. However, the adjustment may be performed earlier in the signal pipeline, and may be performed before downsampling, after downsampling, at the encoder or encoding module, or after encoding by the encoder or encoding module.

(33) There is provided a coding system configured to perform the above method.

Description

BRIEF DESCRIPTION OF THE DRAWINGS

- (1) The invention shall now be described, by way of example only, with reference to the accompanying drawings in which:
- (2) FIG. **1** is a block diagram showing an example hierarchical signal encoding system by way of background information.
- (3) FIG. **2** is a block diagram showing an example hierarchical signal decoding system corresponding to the encoding system of FIG. **1**.
- (4) FIG. **3** is a schematic diagram showing generation of an example video bitstream that would be generated by the encoding system of FIG. **1**.
- (5) FIG. **4** is a schematic diagram showing different portions of data that may be generated as part of an example video encoding.
- (6) FIG. **5** is a block diagram showing a side-by-side example of an encoding system and a decoding system to illustrate background information suitable for understanding the present disclosure, and a problem that this disclosure aims to solve.
- (7) FIG. **6** is a block diagram showing the encoding system and decoding system of FIG. **5**, modified according to a first aspect of the invention to control an aspect ratio of a base encoded signal.
- (8) FIG. **7** is a block diagram showing the encoding system and decoding system of FIG. **6** and illustrating a further problem introduced by the modification of FIG. **6**.

- (9) FIG. **8** is a block diagram showing the encoding system and decoding system of FIG. **6**, modified according to a second aspect of the invention to control an aspect ratio of an enhanced decoded signal.
- (10) FIG. **9** is a flow chart of a method at an encoder for adjusting an aspect ratio of a base encoded signal according to the first aspect of the invention.
- (11) FIG. **10** is a flow chart of a method at an encoder for controlling an aspect ratio of an enhanced level output at a decoder, according to the second aspect of the invention.
- (12) FIG. **11** is a flow chart of a method at a decoder to control an aspect ratio of an enhanced level output, according to the second aspect of the invention.
- (13) FIG. **12** is a block diagram of the encoding system of FIG. **1**, modified according to the first aspect of the invention and the second aspect of the invention.
- (14) FIG. **13** is a block diagram of the decoding system of FIG. **2** modified according to the second aspect of the invention.

DETAILED DESCRIPTION

- (15) By way of background introduction, there is described example hierarchical coding systems with reference to FIGS. **1** to **5**.
- (16) Examples are presented herein with reference to a signal as a sequence of samples (i.e., two-dimensional images, video frames, video fields, sound frames, etc.). For simplicity, non-limiting embodiments illustrated herein often refer to signals that are displayed as 2D planes of settings (e.g., 2D images in a suitable colour space), such as for instance a video signal. In a preferred case, the signal comprises a video signal. An example video signal is described in more detail with reference to FIG. **4**.
- (17) The terms "picture", "frame" or "field" will be used interchangeably with the term "image", so as to indicate a sample in time of the video signal: any concepts and methods illustrated for video signals made of frames (progressive video signals) can be easily applicable also to video signals made of fields (interlaced video signals), and vice versa. Despite the focus of embodiments illustrated herein on image and video signals, people skilled in the art can easily understand that the same concepts and methods are also applicable to any other types of multidimensional signal (e.g., audio signals, volumetric signals, stereoscopic video signals, 3DoF/6DoF video signals, plenoptic signals, point clouds, etc.). Although image or video coding examples are provided, the same approaches may be applied to signals with dimensions fewer than two (e.g., audio or sensor streams) or greater than two (e.g., volumetric signals).
- (18) In the description the terms "image", "picture" or "plane" (intended with the broadest meaning of "hyperplane", i.e., array of elements with any number of dimensions and a given sampling grid) will be often used to identify the digital rendition of a sample of the signal along the sequence of samples, wherein each plane has a given resolution for each of its dimensions (e.g., X and Y), and comprises a set of plane elements (or "element", or "pel", or display element for two-dimensional images often called "pixel", for volumetric images often called "voxel", etc.) characterized by one or more "values" or "settings" (e.g., by ways of non-limiting examples, colour settings in a suitable colour space, settings indicating density levels, settings indicating temperature levels, settings indicating audio pitch, settings indicating amplitude, settings indicating depth, settings indicating alpha channel transparency level, etc.). Each plane element is identified by a suitable set of coordinates, indicating the integer positions of said element in the sampling grid of the image. Signal dimensions can include only spatial dimensions (e.g., in the case of an image) or also a time dimension (e.g., in the case of a signal evolving over time, such as a video signal). In one case, a frame of a video signal may be seen to comprise a two-dimensional array with three colour component channels or a three-dimensional array with two spatial dimensions (e.g., of an indicated resolution—with lengths equal to the respective height and width of the frame) and one colour component dimension (e.g., having a length of 3). In certain cases, the processing described herein is performed individually to each plane of colour component values that make up the frame. For

- example, planes of pixel values representing each of Y, U, and V colour components may be processed in parallel using the methods described herein.
- (19) Example Coding Systems
- (20) FIG. **1** to FIG. **4** show a coding scheme that uses a down-sampled source signal encoded with a base codec, adds a first level of correction or enhancement data to the decoded output of the base codec to generate a corrected picture, and then adds a further level of correction or enhancement data to an up-sampled version of the corrected picture. Thus, the coding scheme may generate an enhancement stream with two spatial resolutions (higher and lower), which may be combined with a base stream at the lower spatial resolution.
- (21) In the coding scheme, the methods and apparatuses may be based on an overall algorithm which is built over an existing encoding and/or decoding algorithm (e.g. MPEG standards such as AVC/H.264, HEVC/H.265, etc. as well as non-standard algorithms such as VP9, AV1, and others) which works as a baseline for an enhancement layer. The enhancement layer works accordingly to a different encoding and/or decoding algorithm. The idea behind the overall algorithm is to encode/decode hierarchically the video frame as opposed to using block-based approaches as done in the MPEG family of algorithms. Hierarchically encoding a frame includes generating residuals for the full frame, and then a reduced or decimated frame and so on.
- (22) FIG. **1** shows a system configuration for an example encoder **100**. The encoding process is split into two halves as shown by the dashed line. Below the dashed line is a base level and above the dashed line is the enhancement level, which may usefully be implemented in software. The encoder **100** may comprise only the enhancement level processes, or a combination of the base level processes and enhancement level processes as needed. The encoder **100** topology at a general level is as follows. The encoder **100** comprises an input I for receiving an input signal **10**. The input I is connected to a down-sampler **105**D. The down-sampler **105**D outputs to a base encoder **120**E at the base level of the encoder **100**. The down-sampler **105**D also outputs to a residual generator **110**-S. An encoded base stream is created directly by the base encoder **120**E, and may be quantised and entropy encoded as necessary according to the base encoding scheme. The encoded base stream may be referred to as the base layer or base level.
- (23) To generate an encoded sub-layer 1 enhancement stream, the encoded base stream is decoded via a decoding operation that is applied at a base decoder 120D. In preferred examples, the base decoder 120D may be a decoding component that complements an encoding component in the form of the base encoder 120E within a base codec. In other examples, the base decoding block 120D may instead be part of the enhancement level. Via the residual generator 110-S, a difference between the decoded base stream output from the base decoder 120D and the down-sampled input video is created (i.e. a subtraction operation 110-S is applied to a frame of the down-sampled input video and a frame of the decoded base stream to generate a first set of residuals). Here, residuals represent the error or differences between a reference signal or frame and a desired signal or frame. The residuals used in the first enhancement level can be considered as a correction signal as they are able to 'correct' a frame of a future decoded base stream. This is useful as this can correct for quirks or other peculiarities of the base codec. These include, amongst others, motion compensation algorithms applied by the base codec, quantisation and entropy encoding applied by the base codec, and block adjustments applied by the base codec.
- (24) In FIG. **1**, the first set of residuals are transformed, quantized and entropy encoded to produce the encoded sub-layer **1** stream. In FIG. **1**, a transform operation **110-1** is applied to the first set of residuals; a quantization operation **120-1** is applied to the transformed set of residuals to generate a set of quantized residuals; and, an entropy encoding operation **130-1** is applied to the quantized set of residuals to generate the encoded sub-layer **1** stream at the first level of enhancement. However, it should be noted that in other examples only the quantisation step **120-1** may be performed, or only the transform step **110-1**. Entropy encoding may not be used, or may optionally be used in addition to one or both of the transform step **110-1** and quantisation step **120-1**. The entropy

encoding operation can be any suitable type of entropy encoding, such as a Huffmann encoding operation or a run-length encoding (RLE) operation, or a combination of both a Huffmann encoding operation and a RLE operation (e.g., RLE then Huffmann or prefix encoding). (25) To generate the encoded sub-layer 2 stream, a further level of enhancement information is created by producing and encoding a further set of residuals via residual generator 100-S. The further set of residuals are the difference between an up-sampled version (via up-sampler 105U) of a corrected version of the decoded base stream (the reference signal or frame), and the input signal 10 (the desired signal or frame).

- (26) To achieve a reconstruction of the corrected version of the decoded base stream as would be generated at a decoder (e.g., as shown in FIG. 2), at least some of the sub-layer 1 encoding operations are reversed to mimic the processes of the decoder, and to account for at least some losses and quirks of the transform and quantisation processes. To this end, the first set of residuals are processed by a decoding pipeline comprising an inverse quantisation block 120-1i and an inverse transform block 110-1i. The quantized first set of residuals are inversely quantized at inverse quantisation block 120-1i and are inversely transformed at inverse transform block 110-1i in the encoder 100 to regenerate a decoder-side version of the first set of residuals. The decoded base stream from decoder 120D is then combined with the decoder-side version of the first set of residuals (i.e., a summing operation 110-C is performed on the decoded base stream and the decoder-side version of the first set of residuals). Summing operation 110-C generates a reconstruction of the down-sampled version of the input video as would be generated in all likelihood at the decoder—i.e. a reconstructed base codec video). The reconstructed base codec video is then up-sampled by up-sampler 105U. Processing in this example is typically performed on a frame-by-frame basis.
- (27) The up-sampled signal (i.e., reference signal or frame) is then compared to the input signal 10 (i.e., desired signal or frame) to create the further set of residuals (i.e., a difference operation is applied by the residual generator **100**-S to the up-sampled re-created frame to generate a further set of residuals). The further set of residuals are then processed via an encoding pipeline that mirrors that used for the first set of residuals to become an encoded sub-layer **2** stream (i.e. an encoding operation is then applied to the further set of residuals to generate the encoded further enhancement stream). In particular, the further set of residuals are transformed (i.e. a transform operation 110-0 is performed on the further set of residuals to generate a further transformed set of residuals). The transformed residuals are then quantized and entropy encoded in the manner described above in relation to the first set of residuals (i.e. a quantization operation **120-0** is applied to the transformed set of residuals to generate a further set of quantized residuals; and, an entropy encoding operation **120-0** is applied to the quantized further set of residuals to generate the encoded sub-layer **2** stream containing the further level of enhancement information). In certain cases, the operations may be controlled, e.g. such that, only the quantisation step **120-1** may be performed, or only the transform and quantization step. Entropy encoding may optionally be used in addition. Preferably, the entropy encoding operation may be a Huffmann encoding operation or a run-length encoding (RLE) operation, or both (e.g., RLE then Huffmann encoding). The transformation applied at both blocks **110-1** and **110-0** may be a Hadamard transformation that is applied to 2×2 or 4×4 blocks of residuals.
- (28) The encoding operation in FIG. **1** does not result in dependencies between local blocks of the input signal (e.g., in comparison with many known coding schemes that apply inter or intra prediction to macroblocks and thus introduce macroblock dependencies). Hence, the operations shown in FIG. **1** may be performed in parallel on 4×4 or 2×2 blocks, which greatly increases encoding efficiency on multicore central processing units (CPUs) or graphical processing units (GPUs).
- (29) As illustrated in FIG. **1**, the output of the encoding process is one or more enhancement streams at an enhancement level which preferably comprises a first level of enhancement and a

further level of enhancement. This is then combinable (e.g., via multiplexing or otherwise) with a base stream at a base level. The first level of enhancement (sub-layer 1) may be considered to enable a corrected video at a base level, that is, for example to correct for encoder quirks. The second level of enhancement (sub layer 2) may be considered to be a further level of enhancement that is usable to convert the corrected video to the original input video or a close approximation thereto. For example, the second level of enhancement may add fine detail that is lost during the downsampling and/or help correct from errors that are introduced by one or more of the transform operation 110-1 and the quantization operation 120-1.

- (30) FIG. 2 shows a corresponding example decoder 200 for the coding scheme. The encoded base stream is decoded at base decoder **220** in order to produce a base reconstruction of the input signal **10.** This base reconstruction may be used in practice to provide a viewable rendition of the signal **10** at the lower quality level. However, the primary purpose of this base reconstruction signal is to provide a base for a higher quality rendition of the input signal 10. To this end, the decoded base stream is provided for sub-layer **1** processing (i.e., sub-layer **1** decoding). Sub-layer **1** processing in FIG. 2 comprises an entropy decoding process 230-1, an inverse quantization process 220-1, and an inverse transform process **210-1**. Optionally, only one or more of these steps may be performed depending on the operations carried out at corresponding block **100-1** at the encoder. By performing these corresponding steps, a decoded sub-layer 1 stream comprising the first set of residuals is made available at the decoder 200. The first set of residuals is combined with the decoded base stream from base decoder 220 (i.e., a summing operation 210-C is performed on a frame of the decoded base stream and a frame of the decoded first set of residuals to generate a reconstruction of the down-sampled version of the input video—i.e. the reconstructed base codec video). A frame of the reconstructed base codec video is then up-sampled by up-sampler **205**U. (31) Additionally, and optionally in parallel, the encoded sub-layer **2** stream is processed to produce a decoded further set of residuals. Similar to sub-layer 1 processing, sub-layer 2 processing comprises an entropy decoding process **230-0**, an inverse quantization process **220-0** and an inverse transform process **210-0**. Of course, these operations will correspond to those performed at block **100-0** in encoder **100**, and one or more of these steps may be omitted as necessary. Block **200-0** produces a decoded sub-layer **2** stream comprising the further set of residuals and these are summed at operation **200**-C with the output from the up-sampler **205**U in order to create a sublayer **2** reconstruction of the input signal **10**, which may be provided as the output of the decoder. Thus, as illustrated in FIGS. 1 and 2, the output of the decoding process may comprise up to three outputs: a base reconstruction, a corrected lower resolution signal and an original signal reconstruction at a higher resolution.
- (32) FIG. 3 shows an alternative representation of a scheme in the form of example signal coding system **300**. The signal coding system **300** is a multi-layer or tier-based coding system, in that a signal is encoded via a plurality of bitstreams that each represent different encodings of the signal at different levels of quality (e.g., different spatial resolutions). In the example of FIG. 3, there is a base layer **301** and an enhancement layer **302**. The enhancement layer **302** (and the enhancement layer of FIG. 1 and FIG. 2) may implement an enhancement coding scheme such as LCEVC. LCEVC is described in PCT/GB2020/050695, and the associated standard specification documents including the Draft Text of ISO/IEC DIS 23094-2 Low Complexity Enhancement Video Coding published at MPEG **129** meeting in Brussels, held Monday, 13 Jan. 2020 to Friday, 17 Jan. 2020. Both of these documents are incorporated herein by reference. As per the example of FIG. **1** and FIG. 2, in FIG. 3, the enhancement layer 301 comprises two sub-layers: a first sub-layer 303 and a second sub-layer **304**. Each layer and sub-layer may be associated with a specific level of quality. Level of quality as used herein may refer to one or more of: sampling rate, spatial resolution, and bit depth, amongst others. In LCEVC, the base layer **301** is at a base level of quality, the first sublayer **303** is at a first level of quality and the second sub-layer **304** is at a second level of quality. The base level of quality and the first level of quality may comprise a common (i.e., shared or

- same) level of quality or different levels of quality. In a case where the levels of quality correspond to different spatial resolutions, such as in LCEVC, inputs for each level of quality may be obtained by downsampling and/or upsampling from another level of quality. For example, the first level of quality may be at a first spatial resolution and the second level of quality may be at a second, higher spatial resolution, where signals may be converted between the levels of quality by downsampling from the second level of quality to the first level of quality and by upsampling from the first level of quality to the second level of quality.
- (33) In FIG. **3**, corresponding encoder **305** and decoder **306** portions of the signal coding system **300** are illustrated. It will be noted that the encoder **305** and the decoder **306** may be implemented as separate products and that these need not originate from the same manufacturer or be provided as a single combined unit. The encoder **305** and decoder **306** are typically implemented in different geographic locations, such that an encoded data stream is generated in order to communicate an input signal between said two locations. Each of the encoder **305** and the decoder **306** may be implemented as part of one or more codecs—hardware and/or software entities able to encode and decode signals. Reference to communication of signals as described herein also covers encoding and decoding of files, wherein the communication may be within time on a common machine (e.g., by generating an encoded file and accessing it at a later point in time) or via physical transportation on a medium between two devices.
- (34) In certain preferred implementations, the components of the base layer **301** may be supplied separately to the components of the enhancement layer **302**; for example, the base layer **301** may be implemented by hardware-accelerated codecs whereas the enhancement layer **302** may comprise a software-implemented enhancement codec. The base layer **301** comprises a base encoder **310**. The base encoder **310** receives a version of an input signal to be encoded **306**, for example a signal following one or two rounds of downsampling and generates a base bitstream **312**. The base bitstream **312** is communicated between the encoder **305** and decoder **306**. At the decoder **306**, a base decoder **314** decodes the base bitstream **312** to generate a reconstruction of the input signal at the base level of quality **316**.
- (35) Both enhancement sub-layers **303** and **304** comprise a common set of encoding and decoding components. The first sub-layer **303** comprises a first sub-layer transformation and quantisation component **320** that outputs a set of first sub-layer transformed coefficients **322**. The first sub-layer transformation and quantisation component **320** receives data **318** derived from the input signal at the first level of quality and applies a transform operation. This data may comprise the first set of residuals as described above. The first sub-layer transformation and quantisation component 320 may also apply a variable level of quantisation to an output of the transform operation (including being configured to apply no quantisation). Quality scalability may be applied by varying the quantisation that is applied in one or more of the enhancement sub-layers. The set of first sub-layer transformed coefficients 322 are encoded by a first sub-layer bitstream encoding component 324 to generate a first sub-layer bitstream **326**. This first sub-layer bitstream **326** is communicated from the encoder **305** to the decoder **306**. At the decoder **306**, the first sub-layer bitstream **326** is received and decoded by a first sub-layer bitstream decoder 328 to obtain a decoded set of first sublayer transformed coefficients **330**. The decoded set of first sub-layer transformed coefficients **330**. are passed to a first sub-layer inverse transformation and inverse quantisation component **332**. The first sub-layer inverse transformation and inverse quantisation component 332 applies further decoding operations including applying at least an inverse transform operation to the decoded set of first sub-layer transformed coefficients **330**. If quantisation has been applied by the encoder **305**, the first sub-layer inverse transformation and inverse quantisation component **332** may apply an inverse quantisation operation prior to the inverse transformation. The further decoding is used to generate a reconstruction of the input signal. In one case, the output of the first sub-layer inverse transformation and inverse quantisation component **332** is the reconstructed first set of residuals **334** that may be combined with the reconstructed base stream **316** as described above.

- (36) In a similar manner, the second sub-layer **304** also comprises a second sub-layer transformation and quantisation component **340** that outputs a set of second sub-layer transformed coefficients **342**. The second sub-layer transformation and quantisation component **340** receives data derived from the input signal at the second level of quality and applies a transform operation. This data may also comprise residual data **338** in certain embodiments, although this may be different residual data from that received by the first sub-layer 303, e.g. it may comprise the further set of residuals as described above. The transform operation may be the same transform operation that is applied at the first sub-layer **303**. The second sub-layer transformation and quantisation component **340** may also apply a variable level of quantisation before the transform operation (including being configured to apply no quantisation). The set of second sub-layer transformed coefficients **342** are encoded by a second sub-layer bitstream encoding component **344** to generate a second sub-layer bitstream **346**. This second sub-layer bitstream **346** is communicated from the encoder **305** to the decoder **306**. In one case, at least the first and second sub-layer bitstreams **326** and **346** may be multiplexed into a single encoded data stream. In one case, all three bitstreams **312**, **326** and **346** may be multiplexed into a single encoded data stream. The single encoded data stream may be received at the decoder **306** and de-multiplexed to obtain each individual bitstream. (37) At the decoder **306**, the second sub-layer bitstream **346** is received and decoded by a second sub-layer bitstream decoder 348 to obtain a decoded set of second sub-layer transformed coefficients **350**. As above, the decoding here relates to a bitstream decoding and may form part of a decoding pipeline (i.e. the decoded set of transformed coefficients 330 and 350 may represent a partially decoded set of values that are further decoded by further operations). The decoded set of second sub-layer transformed coefficients **350** are passed to a second sub-layer inverse transformation and inverse quantisation component **352**. The second sub-layer inverse transformation and inverse quantisation component **352** applies further decoding operations including applying at least an inverse transform operation to the decoded set of second sub-layer transformed coefficients **350**. If quantisation has been applied by the encoder **305** at the second sub-layer, the inverse second sub-layer transformation and inverse quantisation component 352 may apply an inverse quantisation operation prior to the inverse transformation. The further decoding is used to generate a reconstruction of the input signal. This may comprise outputting a reconstruction of the further set of residuals **354** for combination with an upsampled combination of the reconstruction of the first set of residuals 334 and the base stream 316 (e.g., as described above).
- (38) The bitstream encoding components **324** and **344** may implement a configurable combination of one or more of entropy encoding and run-length encoding. Likewise, the bitstream decoding components **328** and **348** may implement a configurable combination of one or more of entropy encoding and run-length decoding.
- (39) Further details and examples of a two sub-layer enhancement encoding and decoding system may be obtained from published LCEVC documentation.
- (40) In general, examples described herein operate within encoding and decoding pipelines that comprises at least a transform operation. The transform operation may comprise the DCT or a variation of the DCT, a Fast Fourier Transform (FFT), or a Hadamard transform as implemented by LCEVC. The transform operation may be applied on a block-by-block basis. For example, an input signal may be segmented into a number of different consecutive signal portions or blocks and the transform operation may comprise a matrix multiplication (i.e., linear transformation) that is applied to data from each of these blocks (e.g., as represented by a 1D vector). In this description and in the art, a transform operation may be said to result in a set of values for a predefined number of data elements, e.g. representing positions in a resultant vector following the transformation. These data elements are known as transformed coefficients (or sometimes simply "coefficients"). (41) As described herein, where the signal data comprises residual data, a reconstructed set of coefficient bits may comprise transformed residual data, and a decoding method may further

comprise instructing a combination of residual data obtained from the further decoding of the reconstructed set of coefficient bits with a reconstruction of the input signal generated from a representation of the input signal at a lower level of quality to generate a reconstruction of the input signal at a first level of quality. The representation of the input signal at a lower level of quality may be a decoded base signal (e.g. from base decoder 314) and the decoded base signal may be optionally upscaled before being combined with residual data obtained from the further decoding of the reconstructed set of coefficient bits, the residual data being at a first level of quality (e.g., a first resolution). Decoding may further comprise receiving and decoding residual data associated with a second sub-layer 304, e.g. obtaining an output of the inverse transformation and inverse quantisation component 352, and combining it with data derived from the aforementioned reconstruction of the input signal at the first level of quality. This data may comprise data derived from an upscaled version of the reconstruction of the input signal at the first level of quality, i.e. an upscaling to the second level of quality.

- (42) Although examples have been described with reference to a tier-based hierarchical coding scheme in the form of LCEVC, the methods described herein may also be applied to other tier-based hierarchical coding scheme, such as VC-6: SMPTE VC-6 ST-2117 as described in PCT/GB2018/053552 and/or the associated published standard document, which are both incorporated by reference herein.
- (43) FIG. **4** shows how a video signal may be decomposed into different components and then encoded. In the example of FIG. **4**, a video signal **402** is encoded. The video signal **402** comprises a plurality of frames or pictures **404**, e.g. where the plurality of frames represent action over time. In this example, each frame **404** is made up of three colour components. The colour components may be in any known colour space. In FIG. **4**, the three colour components are Y (luma), U (a first chroma opponent colour) and V (a second chroma opponent colour). Each colour component may be considered a plane **408** of values. The plane **408** may be decomposed into a set of n by n blocks of signal data **410**. For example, in LCEVC, n may be 2 or 4; in other video coding technologies n may be 8 to 32.
- (44) In LCEVC and certain other coding technologies, a video signal fed into a base layer such as **301** is a downscaled version of the input video signal **302**. In this case, the signal that is fed into both sub-layers comprises a residual signal comprising residual data. A plane of residual data may also be organised in sets of n by n blocks of signal data **410**. The residual data may be generated by comparing data derived from the input signal being encoded, e.g. the video signal 402, and data derived from a reconstruction of the input signal, the reconstruction of the input signal being generated from a representation of the input signal at a lower level of quality. In the example of FIG. 3, the reconstruction of the input signal may comprise a decoding of the encoded base bitstream **312** that is available at the encoder **305**. This decoding of the encoded base bitstream **312** may comprise a lower resolution video signal that is then compared with a video signal downsampled from the input video signal 402. The comparison may comprise subtracting the reconstruction from the downsampled version. The comparison may be performed on a frame-byframe (and/or block-by-block) basis. The comparison may be performed at the first level of quality; if the base level of quality is below the first level of quality, a reconstruction from the base level of quality may be upscaled prior to the comparison. In a similar manner, the input signal to the second sub-layer, e.g. the input for the second sub-layer transformation and quantisation component **340**, may comprise residual data that results from a comparison of the input video signal **402** at the second level of quality (which may comprise a full-quality original version of the video signal) with a reconstruction of the video signal at the second level of quality. As before, the comparison may be performed on a frame-by-frame (and/or block-by-block) basis and may comprise subtraction. The reconstruction of the video signal may comprise a reconstruction generated from the decoded decoding of the encoded base bitstream **312** and a decoded version of the first sublayer residual data stream. The reconstruction may be generated at the first level of quality and may

be upsampled to the second level of quality.

(45) Hence, a plane of data **408** for the first sub-layer **303** may comprise residual data that is arranged in n by n signal blocks **410**. One such 2 by 2 signal block is shown in more detail in FIG. **4** (n is selected as 2 for ease of explanation) where for a colour plane the block may have values **412** with a set bit length (e.g. 8 or 16-bit). Each n by n signal block may be represented as a flattened vector **414** of length n.sup.2 representing the blocks of signal data. To perform the transform operation, the flattened vector **414** may be multiplied by a transform matrix **416** (i.e. the dot product taken). This then generates another vector **418** of length n.sup.2 representing different transformed coefficients for a given signal block **410**. FIG. **4** shows an example similar to LCEVC where the transform matrix **416** is a Hadamard matrix of size 4 by 4, resulting in a transformed coefficient vector **418** having four elements with respective values. These elements are sometimes referred to by the letters A, H, V and D as they may represent an average, horizontal difference, vertical difference and diagonal difference. Such a transform operation may also be referred to as a directional decomposition. When n=4, the transform operation may use a 16 by 16 matrix and be referred to as a directional decomposition squared.

(46) As shown in FIG. **4**, the set of values for each data element across the complete set of signal blocks **410** for the plane **408** may themselves be represented as a plane or surface of coefficient values **420**. For example, values for the "H" data elements for the set of signal blocks may be combined into a single plane, where the original plane **408** is then represented as four separate coefficient planes **422**. For example, the illustrated coefficient plane **422** contains all the "H" values. These values are stored with a predefined bit length, e.g. a bit length B, which may be 8, 16, 32 or 64 depending on the bit depth. A 16-bit example is considered below but this is not limiting. As such, the coefficient plane **422** may be represented as a sequence (e.g. in memory) of 16-bit or 2-byte values **424** representing the values of one data element from the transformed coefficients. These may be referred to as coefficient bits.

- (47) Management of Aspect Ratio in a Hierarchical Coding Scheme
- (48) The following terms are used in the following description:
- (49) Pixel means an elementary tile, of rectangular shape, of solid colour (also known as a "sample").
- (50) Pixel Aspect Ratio (PAR or sometimes referred to as a sample aspect ratio—SAR) means the ratio of the width (w) and the height (h) of a pixel or sample, often expressed as a fraction w/h or w:h.
- (51) Picture means a rectangular grid of pixels.
- (52) Resolution means a pair of positive integer numbers for respectively the number of pixels in width and height of the picture.
- (53) Resolution Aspect Ratio (RAR) means the ratio between the number of pixels in width (w) and height (h) directions of a picture, often expressed as a fraction w/h or w:h.
- (54) Display Aspect Ratio (DAR) means the ratio between the picture width (w) and height (h) in their linear dimension as would be displayed by a display.
- (55) The triplet PAR, RAR and DAR has two degrees of freedom, meaning given two of them the third parameter is also given.

DAR=PAR×RAR (Equation 1)

(56) By way of example, consider a video source with the following parameters (the subscript s indicates it refers to the "source"):

PAR.sub.s=1:1

Resolution.sub.s=[Width.sub.s,Height.sub.s]=[4,3]

this results in a display aspect ratio:

(57)
$$DAR_s = PAR_s \times \frac{\text{width}_s}{Height_s} = 4:3$$

[Base Layer Non-Square Downsampling Modification Problem]

(58) FIG. **5** is a block diagram showing a side-by-side example of an encoding system and a

- decoding system to illustrate background information suitable for understanding the present disclosure, and a problem that this disclosure aims to solve.
- (59) FIG. **5** is similar to but is a more general form of the hierarchical encoding system of FIG. **1** and the corresponding decoding system of FIG. **2**, and aims to show a problem that exists at a general level with aspect ratio control in hierarchical coding schemes. FIG. **5** shows an example base level of encoding and decoding, and an example enhancement level of encoding and decoding, together forming a hierarchical coding structure.
- (60) While FIG. **5** demonstrates signalling and information transfer between an encoding system **510** and a decoding system **550** in a simplified way, the skilled person would realise that networks and/or storage systems would be used between the encoding system **510** and decoding system **550**, as is known in the art.
- (61) The encoding system **510** comprises a downsampler **512**, a base encoder **514**-E, a base decoder **514**-D, an upsampler **516**, and a comparator **518**.
- (62) The encoding system **510** operates within a hierarchical coding scheme, and is configured to receive an input signal **510**-In and pass the input signal to the downsampler **512** to produce a downsampled version of the input signal **510**-DS. The downsampled version of the input signal **510**-DS is then passed to base encoder **514**-E to produce a first encoded signal **510**-En. The first encoded signal **510**-En is sometimes referred to as a base layer signal in a hierarchical coding scheme, and this base layer signal forms the basis for the encoded signal that is output from the encoding system **510**. Further additional encoding techniques may be applied to this signal as described with reference to FIG. 1 or as known in the prior art. As part of the hierarchical coding scheme, the first encoded signal **510**-En is also decoded using base decoder **514**-D to produce a decoded version **510**-De. The decoded version **510**-De is passed to upsampler **516** to produce an upsampled signal **510**-US. The upsampled signal **510**-US is compared to the input signal **510**-In at comparator **518** to produce residual signal R. The residual signal R is in this example a difference between the input signal **510**-In and the reconstructed version of the input signal produced by base decoder **514**-D and upsampler **516** and is produced on a frame-by-frame basis. The residual signal R may be further processed or encoded, as would be known to those skilled in the art, or output in a raw format. This type of hierarchical encoding scheme advantageously allows for the parallel processing of frames within the input signal, and for flexibility in the processing of data. The base layer signal and the residual signal, in raw or processed/encoded form, together form the hierarchically-encoded signal output from the encoding system **510**. A decoding system, such as decoding system **550**, is able to decode the hierarchically-encoded signal, either in part by decoding the base layer signal, or in full by using the residual signal in addition to the base layer signal. (63) The example decoding system **550** of FIG. **5** comprises a base decoder **552**-D, an upsampler **554** and a combine module **556**.
- (64) The decoding system **550** is configured to receive the hierarchically-encoded signal output from the encoding system **510**, either directly or indirectly via networks or other storage or transmission means. The decoding system **550** is configured to operated according to the hierarchical coding scheme to decode the first encoded signal **510**-En using base decoder **552**-D to produce a decoded version of the first encoded signal. The base decoder **552**-D is configured to output a decoded version of the base signal **550**-De for passing to upsampler **554** to produce an upsampled version of the decoded base signal **550**-US. At combine module **556**, the upsampled version **550**-US is combined with residual signal R obtained from the received hierarchically-encoded signal to produce output decoded signal **550**-OE which is at an enhanced level of quality that is higher than the base level of quality. The residual signal R may be received in raw form or encoded form, and if received in encoded form the decoding system **550** is configured to decode the residual signal R, typically using a decoding scheme that differs from the decoding scheme employed by base decoder **552**-D.
- (65) Additionally, decoding system 550 is arranged to output base output signal 550-OB, which is a

- decoded version of the first encoded signal **510**-En using base decoder **552**-D, at a base level of quality for optionally rendering on a display, should it be inappropriate to use the enhanced level of quality signal **550**-OE, for example because a particular decoding system does not have the enhancement level capability, or because bandwidth limitations on the signal being received by the decoding system mean that the enhancement level information cannot be transmitted to or received by the decoding system.
- (66) As can be observed in FIG. **5** from the representations of the various signals **510**-xx and **550**-xx, the aspect ratio of the base output signal **550**-OB is different to the aspect ratio of the input signal **510**-In. In this example, it is the display aspect ratio that differs because of a non-square downsampling operation performed at downsampler **512**. Therefore, when the base output signal **550**-OB is rendered on a display, the rendered signal would very likely display incorrectly (that is, at the wrong aspect ratio) giving a poor viewing experience.
- (67) In general, a downsampling operation can change the resolution aspect ratio of an input signal in a "square" manner by preserving the original aspect ratio of the signal, (i.e., 1:1) or in a non-square manner in which one dimension is downsampled disproportionately to the other dimension (e.g., 2:1 for one-dimensional "1D" down-scaling in which the samples, or pixels, are reduced in number by half across the horizontal dimension but are preserved in whole across the height dimension). Other ratios of non-square downsampling exist, and the 2:1) ratio is an example only. Similarly, an upsampling operation can change the ratio of the input video in a "square" manner (i.e., 1:1) or in a non-square manner (e.g., 2:1 for one-dimensional "1D" up-scaling only across the horizontal dimension, or other ratios).
- (68) In the specific example of FIG. **5**, the representations of the various signals **510**-xx and **550**-xx denote each pixel by a single box within a matrix of boxes. In this example, the input signal **510**-In has 12 pixels arranged in a resolution of 4×3, and therefore having an aspect ratio (RAR) of 4:3. The pixel aspect ratio (PAR) of the input signal is 1:1. Together, the RAR and PAR can be used to determine the display aspect ratio (DAR) for the signal upon rendering on a display, which is 4:3 from equation 1. Ideally, output signals **550**-OB and **550**-OE from **550** should each have a DAR of 4:3 so that they will be rendered by a display to match the intended DAR of the input signal to the encoding system **510**. In the example of FIG. **5**, the base output signal **550**-OB has a DAR of 2:3 because of the non-square downsampling operation at downsampler **512**, and so the DAR of the base output signal **550**-OB is not the same as the input signal **510**-In. This is clearly problematic when rendering the base output signal **550**-OB.
- (69) As mentioned, the mismatched DAR of the output decoded signal **550**-OB is due to the downsampler **512** which, in this example, downsamples in a non-square manner. One practical example of non-square downsampling is when the encoding system and decoding system operate in a so-called 1D mode, in which downsampling occurs only across the horizontal dimension of the signal by a ratio of 2:1. As such, the downsampled signal **510**-DS has a RAR of 2:3 (different from the RAR of the input signal **510**-In, which is 4:3). This changed RAR cascades through the encoding and decoding pipeline, causing a problem of mismatched aspect ratios between the base output signal **550**-OB and the input signal **510**-In, which is only corrected by the upsampling operations at upsampler **516** and upsampler **554**, assuming that these upsamplers are working in the corresponding mode, for example in the 1-D mode. Occasionally, a particular upsampler **554** in a decoding system **550** may not operate in the correct mode, and in that case the output signal **550**-OE may also have a rendered DAR which does not match the input signal **510**-In.
- (70) A first aspect of the invention focuses on ensuring that the rendered aspect ratio of the base output signal **550**-OB matches the corresponding aspect ratio of the input signal **510**-In. A second aspect of the invention focuses on ensuring that the rendered aspect ratio of the enhanced output signal **550**-OE matches the corresponding aspect ratio of the input signal **510**-In.
- (71) FIG. **6** is a block diagram showing the encoding system and decoding system of FIG. **5**, modified according to a first aspect of the invention to control an aspect ratio of a base encoded

signal. Only the differences between FIG. **5** and FIG. **6** are described, and FIG. **6** uses the same reference signs for like components and signals. FIG. **6** illustrates how to match an aspect ratio of the base encoded signal **550**-OB at the decoding system **550** and the input signal **510**-In at the encoding system **510**.

- (72) In FIG. **6**, an aspect ratio of the base level signal **610**-En leaving the encoding system **510** has been adjusted by a scaling factor. In this way, the aspect ratio of the base level signal can be controlled through the coding pipeline to final rendition at a display via decoding system **550** in a reliable and predictable way. In this example embodiment, the aspect ratio that is adjusted is the pixel aspect ratio.
- (73) As can be seen from the signal representation **610**-En of FIG. **6**, the encoded signal **610**-En produced at the base encoder **514**-E has a different PAR to the first encoded signal **510**-En produced in FIG. **5**. The encoded signal **510**-En of FIG. **5** has a PAR of 1:1, whereas the adjusted base encoded signal **610**-En of FIG. **6** has a PAR of 2:1 (i.e. 2 units width to 1 unit height). The modification of the PAR produces an encoded base level signal **610**-En that has a correspondingly modified DAR. This modification in turn feeds through the coding pipeline to the decoding system **550** and the decoded base level signal **650**-OB which shares the modified PAR with signal **610**-En. Signal **650**-OB prompts a display which is to render the signal **650**-OB to render such a signal at a DAR substantially matching that of the input signal **510**-In, even though the RAR of the two signals is different (4:3 versus 2:3). This is due to the modified PAR, which results in a display being asked to render each pixel with dimensions of 2 units width to 1 unit height, compensating for the difference in the RARs.
- (74) In more detail, in a 1D LCEVC encoding of the input signal **510**-In, the base encoding will have a RAR that has half the horizontal width and same vertical height of the source. In order to maintain the same DAR this results in a change in the PAR by a factor of 2.
- (75) This is translated in the following parameters for the base encoded signal (the subscript "e" stands for "encoded"):

$$DAR_e = DAR_s = 4:3$$

(76) Resolution_e = [Width_e, Height_e] = [2, 3] $PAR_e = DAR_e / \frac{Width_e}{Height_e} = \frac{4}{3} \times \frac{3}{2} = 2:1$

(77) As more general relationship between source PAR and encoded PAR:

(78)
$$DAR_e = DAR_s$$
 $PAR_e \times \frac{\text{Width}_e}{\text{Height}_e} = PAR_s \times \text{Width}_s$ / Height_s (Equation 2)

Where

Width.sub.e=Width.sub.s/*N* (Equation 3)

Height.sub.e=Height.sub.s/*M* (Equation 4)

Therefore

PAR.sub.e=PAR.sub.s×Width.sub.s/Width.sub.e×Height.sub.e/Height.sub.s=PAR.sub.s×*N/M* (Equation 5)

- (79) As such, when a non-square downsampling/upsampling ratio is used, the base encoder shall adjust the PAR of the base stream by a scaling factor (e.g. N/M) with respect to value of PAR of the source signal. In particular, it shall set it to be N/M times the value of the PAR of the source signal. For example, in the example above (i.e., in the case of a 2:1 down-sampling/up-scaling), it should be twice the value of PAR of the source video. If there is a double downsampling process (i.e., in the case of a 2:1 down-sampling/up-scaling twice), that factor should be four.
- (80) FIG. **7** is a block diagram showing the encoding system and decoding system of FIG. **6** and illustrating a further problem introduced by the modification of FIG. **6**. Only the differences are described, and like reference signs are used. FIG. **7** follows on from FIG. **6** to show the consequences of modifying an aspect ratio of the base encoded signal **610**-En in the enhancement level.

- (81) In particular, at the encoding system **510**, due to the fact that the PAR of the base encoded signal **610**-En has been modified or scaled, the decoded version of the base encoded signal **710**-De is produced by base decoder **514**-D also having the modified PAR value. After upsampling at upsampler **516**, an upsampled modified decoded signal **710**-US is produced having a DAR different to that of the input signal **510**-In, owing to the modified PAR. However, since comparator **518** compares only the pixel values of the upsampled modified decoded signal **710**-US with the input signal **510**-In on a pixel-by-pixel basis, and ignores any PAR value, the fact that the two signals have a different PAR, and hence DAR, is not an issue, and residual R is produced unaffected.
- (82) At the decoding system **550**, the base decoder **552**-D decodes the modified base encoded signal **610**-En to produce a modified decoded base signal **750**-De having the modified PAR value set for example at the encoder base level. The decoded version of the modified base signal **750**-De is then upsampled at upsampler **554** to produce an upsampled version of the modified decoded base signal **750**-US. The upsampled signal may also retain the modified PAR value. At combine module **556**, the upsampled version of the modified decoded base signal **750**-US is combined with residual R to produce a modified decoded enhanced output signal **750**-OE. The enhanced output signal **750**-OE may retain the modified PAR value from signal **750**-De and signal **750**-US, and if so, will have a different DAR to the input signal **510**-In at the encoding system **510** due to the different PAR values of the two signals.
- (83) Therefore, as the DAR of the base level output **650**-OB of decoding system **550** is altered by modifying the PAR of the base encoded signal to match an aspect ratio with the input signal **510**-In, the DAR of the enhanced level output signal **750**-OE of decoding system **550** does not match the input signal **510**-In.
- (84) An objective in accordance with the second aspect of the invention is, at the enhancement level output at the decoding system **550**, to have the output DAR, PAR and RAR to be the same as the source signal or input signal **510**-In. In one example, this means that the following relationship on the PAR applies (the subscript "o" stands for output):
- PAR.sub.o=PAR.sub.s=PAR.sub.e/(N/M)=PAR.sub.e×M/N (Equation 6)
- (85) Accordingly, in this example, when a non-square downsampling/upsampling ratio is used, the decoding system shall adjust the PAR of the final reconstructed video by a scaling factor (e.g., N/M) with respect to the PAR of the encoded base. In particular, it shall set it to be M/N times of the value of the PAR of the encoded base. For example, in the example above (i.e., in the case of a 2:1 down-sampling/up-scaling), it should divide the PAR of the final reconstructed video by 2 the PAR that is signalled on the base stream and use the resulting value in the final render stage to produce the final reconstructed video.
- (86) FIG. **8** is a block diagram showing the encoding system and decoding system of FIG. **6**, or FIG. **7**, modified according to the second aspect of the invention to control an aspect ratio of an enhanced decoded signal. Only the differences are described, and like reference signs are used. (87) In FIG. **8**, the encoding system **510** enables the correction of an aspect ratio of the enhanced level output signal by sending the residual signal R and some metadata to enable the correction of the enhanced output signal **850**-OE. The metadata comprises data that enables a decoding system to produce an enhanced reconstruction of the input signal that matches an aspect ratio of the input signal. The metadata may be sent with residual signal R as a package or separately. In this example, the metadata comprises the pixel aspect ratio of the input signal **510**-In, however the metadata could comprise a scaling factor, such as the scaling factor mentioned in the paragraphs above, or could comprises the DAR of the input signal **510**-In. The residual signal R and the metadata are received at decoding system **550**. The metadata is used to produce a corrected output decoded signal **850**-OE that has the same DAR, PAR and RAR as the input signal **510**-In.
- (88) In this way, the input signal can be reconstructed at the enhancement level output in the decoding system at a same or substantially the same aspect ratio.

- (89) FIG. **9** is a flow chart of a method at an encoding system for adjusting an aspect ratio of a base encoded signal according to the first aspect of the invention. In a particular example, the encoding system is the encoding system **510** described with reference to any of FIGS. **6** to **8**.
- (90) At step **910**, the method comprises receiving an input signal, such as input signal **510**-In. The input signal has a first resolution aspect ratio, and a first pixel aspect ratio, both as defined earlier in this description, which together define a display aspect ratio of the input signal. At step **920**, the method comprises downsampling the input signal to produce a downsampled version of the input signal. At step **930**, the method comprises sending the downsampled version to an encoder in the encoding system for encoding the downsampled version of the input signal to produce a first encoded signal. At step **940**, the method comprises signalling an adjustment to the pixel aspect ratio of the first encoded signal. The signalling comprises a scaling factor for an adjustment to the pixel aspect ratio of the first encoded signal.
- (91) The scaling factor is derived from the resolution aspect ratio of the input signal and the resolution aspect ratio of the downsampled version. In this example method, the scaling factor is the ratio of the resolution aspect ratio of the input signal to the resolution aspect ratio of the downsampled version of the input signal. More particularly, the pixel aspect ratio of the first encoded signal is determined from the following calculation:
- PAR.sub.e=PAR.sub.s×Width.sub.s/Width.sub.e×Height.sub.e/Height.sub.s (Equation 7) where PAR.sub.e is the pixel aspect ratio of the first encoded signal, PAR.sub.s is the pixel aspect ratio of the input signal, and the scaling factor is the ratio of input signal width to first encoded signal width multiplied by the ratio of first encoded signal height to input signal height, where the height.sub.s and width.sub.s in relation to the resolution aspect ratio are measured and given in pixels.
- (92) In this example method, any adjustment, or in some cases the signalling of the adjustment of the pixel aspect ratio of the first encoded signal occurs only when the downsampled version of the input signal has a different resolution aspect ratio than the input signal. This occurs when a non-square downsampling operation is used, such as in a typical example a horizontal 1D mode downsampling operation, which is described earlier in this document. When the encoding system operates in a 1D mode, in which downsampling occurs only across the horizontal dimension of the signal by a ratio of 2:1, the scaling factor increases the pixel aspect ratio of the first encoded signal by scaling the horizontal dimension by a factor of 2, and by not scaling the height dimension. (93) In this example method, the pixel aspect ratio is adjusted. In this way, a convenient and easy-to-signal aspect ratio is used. Alternatively, a display aspect ratio may be signalled and adjusted. Indeed, the signalling of the adjustment causes the display aspect ratio of the first encoded signal to be substantially the same as the display aspect ratio of the input signal.
- (94) The step of signalling the adjustment comprises signalling to set the pixel aspect ratio of the first encoded signal to an encoding module performing the first encoding method. Alternatively, the method may adjust the downsampled version prior to sending the downsampled version to the encoder to create the first encoded signal.
- (95) The scaling factor in this example is the ratio of the resolution aspect ratio of the input signal to the resolution aspect ratio of the downsampled version of the input signal, see Equation 7 for example. In this way, the display aspect ratio of the input signal can be maintained through the coding pipeline even after downsampling and encoding.
- (96) In this example, the method may also comprise upsampling a decoded version of the first encoded signal to produce an upsampled decoded signal. The first encoded signal may be received from and decoded using a first decoding method corresponding to the first encoding method to produce the decoded version. The method also comprises producing a residual signal based on a comparison between the input signal and the upsampled decoded signal and the method may comprise outputting the residual signal and the pixel aspect ratio of the input signal, or information that would allow the same to be derived, for use by a decoding decoding system.

- (97) In this way, the residual signal can add an enhancement to the first encoded signal at a decoding system, such as the decoding system **550** described with reference to FIGS. **6** to **8**. Also, the information comprising the pixel aspect ratio of the input signal can be used at the decoding system to manage or correct any issues that the decoding system may encounter owing to non-square downsampling or upsampling operations, or other aspect ratio management signalling and adjustments that may have occurred at an encoding system or at the decoding system.
- (98) The information, or metadata, that would allow the pixel aspect ratio of the input signal to be known may be the display aspect ratio of the input signal, as it is very likely that the resolution aspect ratio of an upsampled decoded signal in a properly functioning decoding system would match the input signal.
- (99) In the hierarchical coding scheme, the upsampling operation of the hierarchical coding scheme is a non-square upsampling operation corresponding to the downsampling operation. The method only outputs the metadata when one of the downsampling operation or the upsampling operation of the hierarchical coding scheme is a non-square upsampling operation. However, a scaling factor or ratio of 1:1 may be applied for square downsampling/upsampling set ups.
- (100) In a typical variation of the above method, the method may comprise encoding the residual signal using a second encoding method prior to outputting the same.
- (101) The metadata is typically transmitted with the residual signal but may be transmitted independently of the residual signal or the first encoded signal, or in any other way. The Signalling section at the end of the description discusses suitable signalling of aspect ratios.
- (102) FIG. **10** is a flow chart of a method at an encoding system for managing an aspect ratio of an enhanced level output at a decoding system, according to the second aspect of the invention. In a particular example, the encoding system is the encoding system **510** described with reference to FIG. **8**. The method of FIG. **10** generally follows on from the method of FIG. **9** as indicated by connector label A, however this is not necessary.
- (103) At step **1010**, the method comprises receiving a decoded version of a signal. At step **1020**, the method comprises upsampling the decoded version of the signal to produce an upsampled decoded signal. At step **1030**, the method comprises producing a residual signal based on a comparison between an input signal and the upsampled decoded signal. At step **1140**, the method comprises outputting the residual signal and an aspect ratio of the input signal.
- (104) In the example method described, the aspect ratio of the input signal is the pixel aspect ratio. However, other data may be signalled to the decoding system to allow the decoding system to reproduce the input signal aspect ratio more faithfully, such as a display aspect ratio of the input signal.
- (105) FIG. **11** is a flow chart of a method at a decoding system to control an aspect ratio of an enhanced level output, according to the second aspect of the invention. In a particular example, the decoding system is the decoding system **550** described with reference to FIG. **8**.
- (106) At step **1110**, the method comprises upsampling a decoded version of a signal to produce an upsampled version of the signal. At step **1120**, the method comprises combining the upsampled version of the signal with a residual signal to produce an output decoded signal.
- (107) At step **1130**, the method comprises adjusting the aspect ratio of the output decoded signal by a scaling factor. In particular, the adjustment is made so that the output decoded signal matches, as far as possible, the overall shape and aspect ratio of the originally encoded signal at the encoding system side of the coding pipeline.
- (108) The aspect ratio of the output decoded signal is typically a pixel aspect ratio. In this way, the pixel aspect ratio of the output decoded signal can be modified so that the output decoded signal has a similar display aspect ratio to the input signal. However, other data may be signalled to the decoding system to allow the decoding system to reproduce the input signal aspect ratio more faithfully, such as a display aspect ratio of the input signal.
- (109) Typically, the adjustment uses a pixel aspect ratio, or a desired display aspect ratio received

as metadata from an encoding system. The display aspect ratio is the aspect ratio of a signal when rendered on a display, and which is derivable from the pixel aspect ratio and a resolution aspect ratio as described earlier in this disclosure with reference to Equation 1. The adjustment causes the pixel aspect ratio or display aspect ratio of the output decoded signal to match the received information. However, the adjustment may instead use a scaling factor derived from the upsampling operation.

- (110) The scaling factor is the ratio of the resolution aspect ratio of the decoded version to the resolution aspect ratio of the upsampled version of the signal and may be derived using the scaling factor described earlier in the disclosure.
- (111) In some variations, the metadata or scaling factor is used to cause the output decoded signal to be adjusted only when the upsampling operation is non-square and causes a change to the resolution aspect ratio of the upsampled signal.
- (112) In this example method, the residual signal is a decoded component of the signal, said decoded component of the signal decoded using a second decoding method.
- (113) FIG. 12 is a block diagram of the encoding system of FIG. 1, modified according to the first aspect of the invention and the second aspect of the invention. Only the differences are described. (114) In FIG. 12, the base encoded signal is modified by signalling the scaling an aspect ratio of the base encoded signal by a scaling factor N/M according to the first aspect of the invention. As demonstrated by the three arrows originating from the scaling factor N/M, the scaling factor may be signalled and then applied at one of three locations in the encoding pipeline as follows: 1) by adjusting the pixel aspect ratio of the output of the downsampler 105D by the scaling factor before said output is sent for encoding at the base encoder 120E, 2) by signalling the adjustment and the scaling factor to the base encoder 120E such that the pixel aspect ratio produced base encoded signal is scaled by the scaling factor at the base encoder 120E, or 3) by scaling an aspect ratio of the base encoded signal after its production at the base encoder 120E. As already mentioned, the modified base encoded signal is then sent to a decoding system, together with the enhancement level information as needed.
- (115) In addition, metadata is also sent from the encoding system **100** according to the second aspect of the invention to compensate for the modified base encoded signal. The metadata comprises data that enables a decoding system to produce an enhanced reconstruction of the input signal that matches an aspect ratio of the input signal, despite the scaling factor that has been applied at the base level. The metadata may be sent with the encoded signal data, (i.e. sub layer **2**, sub layer **1** and base) as a package. The metadata may be signalled with sub layer **2** in one example. In another example, the metadata is signalled separately from the encoded signal data, and for example can be sent on an independent communications channel or stored on a storage media separately from the encoded signal data. The metadata may comprise the pixel aspect ratio of the input signal or in some circumstances the display aspect ratio of the input signal. (116) FIG. **13** is a block diagram of the decoding system of FIG. **2** modified according to the
- (116) FIG. **13** is a block diagram of the decoding system of FIG. **2** modified according to the second aspect of the invention. Only the differences are described.
- (117) Decoding system **200** receives the modified base encoded signal and base decoder decodes it to produce a base reconstruction that when displayed has a display aspect ratio that matches or substantially matches the display aspect ratio of the input signal to the encoding system.
- (118) In addition, the decoding system **200** receives the metadata sent from the encoding system, as described with reference to FIG. **12**, to produce a sub layer **2** reconstruction that has a display aspect ratio that matches or substantially matches the display aspect ratio of the input signal. Alternatively, the decoding system **200** derives a scaling factor as discussed in relation to FIG. **11**.
- (119) Signalling
- (120) In the above examples, when a non-square downsampling/upsampling ratio is used, the base encoder sets in the sequence parameters set (SPS) video usability information (VUI) of the base to an aspect ratio that is N/M times that of the source video.

- (121) In the above examples, when a non-square downsampling/upsampling ratio is used, the decoding system sets the final reconstructed video (i.e. the resulting output picture) to have a PAR that is M/N of the value of the PAR that is signalled in the base stream.
- (122) In an embodiment relative to MPEG-5 LCEVC as described in F. Maurer, S. Battista, L. Ciccarelli, G. Meardi, S. Ferrara "Overview of MPEG-5 Part 2—Low Complexity Enhancement Video Coding (LCEVC)", ITU Journal: ICT Discoveries, Vol. 3(1), 8 Jun. 2020 and "MPEG-5 part 2: Low Complexity Enhancement Video Coding (LCEVC): Overview and performance evaluation", Proc. SPIE 11510, Applications of Digital Image Processing XLIII, 115101C (21 Aug. 2020); https://doi.org/10.1117/12.2569246 and further in the International Standard ISO/IEC 23094-2 (whose specification "Draft Text of ISO/IEC DIS 23094-2 Low Complexity Enhancement Video Coding", ISO/IEC WG11, w18986, Brussels, January 2020. is included herein by reference), in case of a encoding with either scaling_mode_level1 or scaling_mode_level2 equal to 1, for one-dimensional 2:1 scaling only across the horizontal dimension, in order to maintain the source display aspect ratio, it is recommended that the bitstream signals the sample aspect ratio in the Video Usability Information (VUI) and that the base encoder doubles the horizontal value of the sample aspect ratio, as signalled in the Video Usability Information (VUI), for each scaling_mode_level equal to 1.
- (123) In an embodiment relative to MPEG-5 LCEVC as described in F. Maurer, S. Battista, L. Ciccarelli, G. Meardi, S. Ferrara "Overview of MPEG-5 Part 2—Low Complexity Enhancement Video Coding (LCEVC)", ITU Journal: ICT Discoveries, Vol. 3(1), 8 Jun. 2020 and "MPEG-5 part 2: Low Complexity Enhancement Video Coding (LCEVC): Overview and performance evaluation", Proc. SPIE 11510, Applications of Digital Image Processing XLIII, 115101C (21 Aug. 2020); https://doi.org/10.1117/12.2569246 and further in the International Standard ISO/IEC 23094-2 (whose specification "Draft Text of ISO/IEC DIS 23094-2 Low Complexity Enhancement Video Coding", ISO/IEC WG11, w18986, Brussels, January 2020. is included herein by reference), the Sample Aspect Ratio that is applicable to the enhanced picture output of the decoding system, is the one signalled in the bitstream VUI, as specified in Annex E of "Draft Text of ISO/IEC DIS 23094-2 Low Complexity Enhancement Video Coding", ISO/IEC WG11, w18986, Brussels, January 2020, and carried in payload type equal to 5 (Table 7 section 7.3.3), additional type equal to 1 for VUI parameters (section 7.3.10 and 7.4.3.8). Should additional info or vui parameters or aspect ratio information not be present, the decoding system shall assume an aspect_ratio_idc value of 1, for a 1:1 sample aspect ratio ("square" sample).
- (124) In addition, in ISO Base Media File Format (aka MP4), the aspect ratio may be signalled in the atom named "pasp", in the form of unsigned integers numerator and denominator. As such, an encoding system may signal the aspect ratio in the atom named "pasp", in the form of unsigned integers numerator and denominator.
- (125) In addition, in MPEG-TS, the aspect ratio may be signalled in the "Target background grid descriptor" where it is defined as an enumeration from the MPEG-2 Video specification. As such, an encoding system may signal the aspect ratio may be signalled in the "Target background grid descriptor" where it is defined as an enumeration from the MPEG-2 Video specification.
- (126) In an embodiment, if a decoding system receives an aspect ratio at container level (e.g., MPEG-TS or ISO BMFF) which is different from that indicated in the base bitstream, the decoder may choose to use the one of the base bitstream.
- (127) Computer programs, and computer readable storage media, are also disclosed which can perform any of the aforesaid methods when implemented on a general purpose computer system performing the function of an encoding system or encoder, or a decoding system or a decoder, and which can provide functionality described as enhancement level functionality, or both enhancement level and base level functionality, in this disclosure.
- (128) The specific examples, generally, are described with reference to example video signals in which there are pixels and frames as would be understood by the skilled person. Of course, the

signals may relate to non-video signals where a displayed, or otherwise, aspect ratio is important to signal reproduction. In this case, the skilled person is taught that a sample aspect ratio, or other equivalent aspect ratio instead of a pixel aspect ratio, may be managed and scaled throughout a coding pipeline in the same way as disclosed in this document.

(129) The above embodiments are to be understood as illustrative examples. Further embodiments are envisaged. It is to be understood that any feature described in relation to any one embodiment may be used alone or in combination with other features described, and may also be used in combination with one or more features of any other of the embodiments, or any combination of any other of the embodiments. Furthermore, equivalents and modifications not described above may also be employed without departing from the scope of the invention, which is defined in the accompanying claims.

Additional Statements

- (130) There is provided a method of encoding an input signal using a hierarchical coding scheme, wherein the scheme comprises encoding a downsampled version of the input signal using a first encoding method to produce a first encoded signal, the input signal having a first aspect ratio, the method comprising: adjusting the aspect ratio of the first encoded signal by a scaling factor with respect to value of aspect ratio of the input signal.
- (131) Optionally, wherein the adjustment is performed when the downsampled version of the input signal has a different aspect ratio than the input signal.
- (132) Optionally, wherein the step of adjusting comprises setting the aspect ratio of the first encoded signal by scaling the aspect ratio of the input signal by the scaling factor.
- (133) There is provided an encoding module configured to perform the steps of any one of the above encoding steps.
- (134) There is provided a method of decoding a signal using a hierarchical coding scheme, wherein the scheme comprises upsampling a decoded version of the signal to produce an upsampled version of the signal, said decoded version of the signal decoded using a first decoding method, and combining the upsampled version of the signal with a decoded component of the signal to produce an output decoded signal, said decoded component of the signal decoded using a second decoding method, the signal having a first aspect ratio and decoded version of the signal having a second aspect ratio, the method comprising: adjusting the aspect ratio of the output decoded signal by a scaling factor with respect to value of the second aspect ratio.
- (135) Optionally, wherein the adjustment is performed when the first aspect ratio is different from the second aspect ratio.
- (136) Optionally, wherein the step of adjusting comprises setting the aspect ratio of the output decoded signal by scaling the aspect ratio of the second aspect ratio by the scaling factor. (137) There is provided a decoding module configured to perform the steps of any one of the above decoding steps.

Claims

1. A method of signalling a signal adjustment when encoding an input signal using a hierarchical coding scheme to manage a display aspect ratio, wherein the hierarchical coding scheme comprises encoding a downsampled version of the input signal using a first encoding method to produce a first encoded signal, the method comprising: when the downsampling operation of the hierarchical coding scheme is a non-square downsampling operation, signalling an adjustment to cause a pixel aspect ratio of the first encoded signal to be adjusted by a scaling factor, wherein the scaling factor is determined from the non-square downsampling operation, and a pixel aspect ratio is the ratio of the width and the height of each pixel in the signal, wherein the pixel aspect ratio of the first encoded signal is determined from the following equation:

PAR.sub.e=PAR.sub.s×Width.sub.s/Width.sub.e×Height.sub.e/Height.sub.s where PAR.sub.e is the

pixel aspect ratio of the first encoded signal, PAR.sub.s is the pixel aspect ratio of the input signal, and the scaling factor is the ratio of input signal width, Width.sub.s, to first encoded signal width, Width.sub.e, multiplied by the ratio of first encoded signal height, Height.sub.e, to input signal height, Height.sub.s.

- 2. The method of claim 1, wherein the non-square downsampling operation results in a change to the resolution aspect ratio from the input signal to the downsampled version of the input signal, wherein the resolution aspect ratio is the ratio between the width in pixels and the height in pixels of each frame in the signal.
- 3. The method of claim 1, wherein the scaling factor is the ratio of the resolution aspect ratio of the input signal to the resolution aspect ratio of the downsampled version of the input signal.
- 4. The method of claim 1, wherein, when an encoding system operates in a 1D mode, in which downsampling occurs only across the horizontal dimension of the signal by a ratio of X:1, the scaling factor increases the pixel aspect ratio of the first encoded signal by scaling the horizontal dimension of each pixel by a factor of X, and by not scaling the height dimension.
- 5. The method of claim 1, wherein the signalling of the adjustment causes the display aspect ratio of the first encoded signal to be substantially the same as the display aspect ratio of the input signal.
- 6. The method of claim 1, wherein the step of signalling the adjustment comprises signalling to set the pixel aspect ratio of the first encoded signal to an encoding module performing the first encoding method.
- 7. The method of claim 1, wherein the hierarchical coding scheme further comprises: upsampling a decoded version of the first encoded signal to produce an upsampled decoded signal, wherein the first encoded signal is decoded using a first decoding method corresponding to the first encoding method; producing a residual signal based on a comparison between the input signal and the upsampled decoded signal; and outputting the residual signal; wherein the method further comprises outputting metadata for a decoding system, the metadata comprising information relating to a pixel aspect ratio of the input signal.
- 8. The method of claim 7, wherein the metadata comprises information relating to a display aspect ratio of the input signal.
- 9. The method of claim 7 wherein the metadata comprises the pixel aspect ratio of the input signal.
- 10. The method of claim 7, wherein, in the hierarchical coding scheme, the upsampling operation of the hierarchical coding scheme is a non-square upsampling operation corresponding to the downsampling operation.
- 11. The method of claim 7, wherein the method only outputs the metadata when one of the downsampling operation and the upsampling operation of the hierarchical coding scheme is non-square.
- 12. The method of claim 7, wherein the method comprises encoding the residual signal using a second encoding method prior to outputting.
- 13. The method of claim 7, wherein the metadata is transmitted with the residual signal.
- 14. A method of adjusting a decoded signal, the decoded signal being decoded using a hierarchical coding scheme, wherein the hierarchical coding scheme comprises upsampling a decoded version of an encoded signal to produce an upsampled version of the signal, said decoded version of the signal decoded using a first decoding method, and combining the upsampled version of the signal with a residual signal to produce an output decoded signal, the encoded signal being derived from an input signal, the method comprising: adjusting a pixel aspect ratio of the output decoded signal so that the pixel aspect ratio of the output decoded signal matches that of the input signal, the adjustment using one of: a pixel aspect ratio or a desired display aspect ratio received as metadata from an encoding system, wherein a display aspect ratio is the aspect ratio of a signal when rendered on a display, and which is derivable from the pixel aspect ratio and a resolution aspect ratio; and a scaling factor derived from the upsampling operation, wherein the pixel aspect ratio of

the decoded signal is determined from the following equation:

PAR.sub.e=PAR.sub.s×Width.sub.s/Width.sub.e×Height.sub.e/Height.sub.s where PAR.sub.e is the pixel aspect ratio of the decoded signal, PAR.sub.s is the pixel aspect ratio of the input signal, and the scaling factor is the ratio of input signal width, Width.sub.s, to decoded signal width, Width.sub.e, multiplied by the ratio of decoded signal height, Height.sub.e, to input signal height, Height.sub.s.

- 15. The method of claim 14, wherein the metadata comprises the pixel aspect ratio of the input signal, and the adjustment matches the pixel aspect ratio of the output decoded signal to the pixel aspect ratio of the input signal.
- 16. The method of claim 14, wherein the scaling factor is the ratio of the resolution aspect ratio of the decoded version to the resolution aspect ratio of the upsampled version of the signal.
- 17. The method of claim 14, wherein the metadata or scaling factor is used to cause the output decoded signal to be adjusted only when the upsampling operation is non-square and causes a change to the resolution aspect ratio of the output decoded signal as it passes through the upsampling operation.
- 18. The method of claim 14, wherein the residual signal is a separate decoded component of the signal, said separate decoded component of the signal decoded using a second decoding method. 19. A method of adjusting signals in a hierarchical coding scheme, wherein the hierarchical coding scheme comprises: at an encoding system: downsampling an input signal to produce a downsampled version; passing the downsampled version to an encoder so that the encoder produces a first encoded signal; receiving a first decoded version of the first encoded signal from a decoder in the encoding system; upsampling the decoded version to produce an encoder-side reconstruction of the input signal; comparing the encoder-side reconstruction with the input signal to produce a residual signal; outputting the residual signal for a decoding system to use with the first encoded signal; at a decoding system: receiving a second decoded version of the first encoded signal from a decoder in the decoding system; upsampling the second decoded version to produce a decoder-side reconstruction of the input signal; receiving the residual signal and adding the residual signal to the decoder-side reconstruction to produce a decoded output signal; wherein the method comprises, at the encoding system: signalling an adjustment in the encoding system to cause a pixel aspect ratio of the first encoded signal to be adjusted by a scaling factor, wherein the scaling factor is determined from a resolution aspect ratio change caused by the downsampling operation, wherein the pixel aspect ratio is the ratio between the width and the height of each pixel in the signal, and the resolution aspect ratio is the ratio between the width and the height of each picture in the signal; outputting metadata for a decoding system to use when using the residual signal, the metadata comprising the pixel aspect ratio of the input signal or information that allows a decoding system to derive the pixel aspect ratio; wherein the method comprises, at the decoding system: adjusting a pixel aspect ratio of the output decoded signal using the metadata so that the corresponding display aspect ratio for the output decoded signal when rendered on a display matches that of the input signal, wherein the display aspect ratio is the aspect ratio of a signal when rendered on a display, and is derivable from the pixel aspect ratio multiplied by the resolution aspect ratio of a signal, wherein the pixel aspect ratio of the first encoded signal is determined from the following equation:

PAR.sub.e=PAR.sub.s×Width.sub.s/Width.sub.e×Height.sub.s/Height.sub.e where PAR.sub.e is the pixel aspect ratio of the first encoded signal, PAR.sub.s is the pixel aspect ratio of the input signal, and the scaling factor is the ratio of input signal width, Width.sub.s, to first encoded signal width, Width.sub.e, multiplied by the ratio of first encoded signal height, Height.sub.e, to input signal height, Height.sub.s.