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### Methods for tracking orthodontic treatment progress

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#### Abstract

Methods for tracking progress of a patient undergoing orthodontic treatment are provided. In some embodiments, a method includes receiving a 3D model of a dental arch. The 3D model can be generated by receiving a plurality of images of the dental arch, at least some of the images including a portion of the dental arch together with a portion of a reference element. A registration can be determined between an image and at least one other image using the portions of the reference element in the respective images to improve registration accuracy. The plurality of images can be stitched together according to the determined registrations to generate the 3D model of the dental arch. The method can further include assessing progress of the orthodontic treatment by comparing the 3D model of the dental arch to a previous 3D model representing an earlier state of the dental arch.

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## Background/Summary

CROSS-REFERENCE TO RELATED APPLICATIONS (1) This application is a continuation application of U.S. patent application Ser. No. 16/136,625, filed Sep. 20, 2018, which is a divisional application of U.S. patent application Ser. No. 13/719,823, filed Dec. 19, 2012, now U.S. Pat. No. 10,098,714, the disclosures of each of which are incorporated herein by reference in their entireties.

### FEDERALLY-SPONSORED RESEARCH OR DEVELOPMENT

(1) Not Applicable

### BACKGROUND

(2) This disclosure relates generally to the field of optical scanners. More specifically, the disclosure relates to a system, method, and apparatus for accurately and repeatably scanning an object with a scanner, such as an optical scanner, wherein an object to be scanned is fixed positionally relative to a reference pattern.

(3) In a specific embodiment, a system, method, and apparatus in accordance with this disclosure relates to intra-oral scanning of a patient's dentition in connection with the prosthodontic and orthodontic treatment of dental conditions such as mal-alignment of dentition and the replacement of missing teeth. In accordance with this embodiment, this disclosure relates to an apparatus, system, and method for intra-oral scanning of a patient's dentition for providing a course of treatment for such conditions, and, in a specific embodiment, for providing a series of appliances that would implement the course of treatment.

(4) Optical scanning of a three-dimensional object typically involves the use of an optical scanner that obtains and renders a digitized 3-D image of the object. The typical optical scanner employs a scanner head that takes a series of individual 3-D images of predetermined segments of the object, segment-by-segment, in either a predetermined or user-directed sequence. The scanner employs software that digitizes the segment images and then “stitches” them together to render a composite 3-D image of the entire object (or at least the portion of the object for which a composite image is desired). Thus, in cases where the object is larger than a single segment image, multiple segment images are required to render the desired composite image.

(5) A problem may arise, however, in cases where the object has one or more “smooth” surfaces, i.e., surfaces having few, if any, distinctive features, or surfaces having gradual (non-abrupt) shape transitions. In such situations, each segment image may fit along a large number of orientations relative to an actual desired placement or position within the object as a whole. In other words, if the entire object is considered to be a completed mosaic made of individual segment images, each segment image may be likened to an individual mosaic piece. Where the completed mosaic is relatively featureless, it may be difficult, if not impossible, to determine exactly where, in the completed mosaic, each individual piece is located and how it is oriented with respect to other mosaic pieces. Therefore, it would be desirable to provide some way to provide a reference pattern that may be scanned along with the object, so that each segment image will include a corresponding reference image that provides a fixed registration for the segment image for determining a location and orientation for the segment image relative to the other segment images that constitute the desired composite image.

(6) One specific application of optical scanning is intra-oral scanning of a patient's dentition. Intra-oral scanning typically involves the use of an optical scanner that obtains a digitized 3-D image of a patient's dentition without the need for making a physical model, such as a casting or “stone” of an impression of a patient's dental arch. While dental arches typically have sufficient surface features to avoid (or at least to minimize to a manageable degree) the above-noted problems with relatively featureless objects, the use of a registration mechanism to provide a segment image that includes a reference image would likely result in faster image-generation speeds with respect to the rendering of a computer-generated composite image of the entire dental arch. Moreover, there are cases, such as scanning an edentulous (toothless) arch (for, e.g., the fitting of dentures), in which the problems of scanning a relatively featureless object may be present to varying degrees.

Furthermore, in creating a treatment plan or course of treatment for a prosthodontic or orthodontic treatment of a patient, it is typically necessary or desirable to take an intra-oral scan of one or both of the patient's dental arches before beginning the treatment plan, and then to take one or more subsequent scans at various stages during the course of treatment, or at least after the course of treatment has been completed. In such cases, it would be desirable to assure that the pre-treatment scan and all subsequent treatment scans are taken with respect to the same fixed reference frame, so that the position of the dentition in the scanned dental arch at a time  $T_{sub.N}$  may quickly and accurately be determined relative to the position of the dentition at an earlier time, for example a pre-treatment time  $T_{sub.0}$ .

#### SUMMARY

(7) In accordance with a first aspect of this disclosure, there is provided a method for intra-orally scanning at least a portion of a dental arch of a patient, the method comprising providing a reference element having a reference pattern thereon; installing the reference element inside the oral cavity of a patient in a fixed position adjacent to and spaced from the dental arch; holding the reference element in the fixed position without contacting an occlusal surface of the dental arch; and intra-orally scanning at least a portion of the dental arch and an adjacent portion of the reference element to obtain a scanned image comprising the scanned portion of the dental arch and the scanned adjacent portion of the reference pattern.

(8) In accordance with a second aspect of this disclosure, there is provided a system for intra-orally scanning at least a portion of a dental arch of a patient, the system comprising a reference element having a reference pattern thereon; a reference element holding mechanism configured to hold the reference element in a fixed position inside the oral cavity of the patient adjacent to and spaced from the dental arch, wherein the reference element holding mechanism is configured to be installed on the patient without contacting an occlusal surface of the dental arch; and an intra-oral scanner configured to scan at least a portion of the dental arch and an adjacent portion of the reference element to obtain a scanned image comprising the scanned portion of the dental arch and the scanned adjacent portion of the reference pattern.

(9) In accordance with a third aspect of this disclosure, there is provided a system for scanning an object, comprising: an object holding device including a reference pattern thereon, the object holding device being configured to hold an object adjacent to and spaced from the reference pattern; and a scanner configured to scan the object and the reference pattern simultaneously so as to obtain a scanned image of the object with the reference pattern.

(10) In accordance with a fourth aspect of this disclosure, there is provided a method of scanning an object, comprising: holding the object in a fixed location adjacent to and spaced from a reference pattern; and scanning the object and the reference pattern simultaneously so as to obtain a scanned image of at least a first portion of the object with at least a first portion of the reference pattern.

(11) In accordance with a fifth aspect of this disclosure, a system for ascertaining the progress of a course of orthodontic and/or prosthodontic treatment of the dentition of a patient, the system comprising a reference element having a reference pattern thereon; a holding device configured to hold the reference element at a fixed position adjacent to and spaced from a portion of the dentition of the patient designated for the treatment without contacting an occlusal surface of the dentition, the fixed position corresponding to a set of position settings for the holding device; an input device configured to receive input representing the set of position settings; an intra-oral scanner configured to scan the portion of the patient's dentition simultaneously with an adjacent portion of the reference element to obtain a scanned image comprising the scanned portion of the dentition and a scanned portion of the reference pattern on the adjacent portion of the reference element; a converter configured to create a digitized representation of the scanned image; a processor, operatively associated with the input device, configured to create an input data set that represents the position settings and to match the input data set with the digitized representation of the scanned image to produce a combined data file that includes the digitized representation of the scanned image and the data representing the position settings.

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## Description

### BRIEF DESCRIPTION OF THE DRAWINGS

(1) FIG. 1 is a perspective view of an apparatus for scanning a patient's dental arch in registration with a reference pattern, in accordance with an aspect of the disclosure;

(2) FIG. 2 is a detailed perspective view of a portion of the apparatus of FIG. 1, showing the reference element employed in an embodiment of the disclosure;

(3) FIG. 3 is a side elevational view of the reference element shown in FIG. 2;

(4) FIG. 4 is a cross-sectional view taken along line 4-4 of FIG. 1;

(5) FIG. 5 is cross-sectional view taken along line 5-5 of FIG. 1;

(6) FIG. 6 is a detailed elevational view of an incremental position adjustment element of the apparatus of FIG. 1;

(7) FIG. 7 is a partial cross-sectional view of the incremental position adjustment element shown in FIG. 6, showing the element in a selected incremental position;

(8) FIG. 8 is a view similar to that of FIG. 7, but showing the incremental position adjustment element in the process of being adjusted to another incremental position;

(9) FIG. 9 is a detailed view of a first portion of the position adjustment element shown in FIGS. 6-8;

(10) FIG. 10 is a cross-sectional view taken along line 10-10 of FIG. 9;

(11) FIG. 11 is a detailed perspective view of a second portion of the position adjustment element shown in FIGS. 6-10;

(12) FIG. 12 is a diagrammatic representation of a scanning system in accordance with an embodiment of the disclosure; and

(13) FIG. 13 is a semi-schematic representation of a scanning system in accordance with another embodiment of the disclosure.

#### DETAILED DESCRIPTION

(14) Referring to the drawings, an exemplary apparatus for optically scanning an object in registration with a reference pattern, in accordance with an embodiment of the disclosure, may now be described. Referring to FIG. 1, the apparatus includes an optical scanner **10**, advantageously an intra-oral scanner of a type well-known in the art. The scanner **10** is represented schematically only, and the drawing is in no way limiting with respect to this feature. The apparatus in accordance with this embodiment also includes a reference element fixation device **12** to which a reference element **14** is attached in a position-adjustable manner, as will be described below. In accordance with an exemplary embodiment, the fixation device **12** may be in the form of a head brace, similar to commercially available head braces used with intra-oral scanning systems. One such head brace is the “Panamount Face Bow,” marketed by Panadent Corporation, of Grand Terrace, CA, USA. As will be described below, the fixation device **12**, if embodied as a head brace, includes significant modifications that differentiate it from commercially available head braces, such as the “Panamount Face Bow.” For example, as described below, the head brace used in the present disclosure is adjustable only in discrete measured increments, and not continuously adjustable, as is a conventional head brace of the “Face Bow” type. Furthermore, the head brace-type fixation device **12** of the present disclosure lacks a “bite plate” configured to be inserted between the dental arches of the patient and to be “clamped” between the upper and lower dental arches. In fact, preferably, no part of the fixation device contacts the teeth of the patient, or at least the occlusal surfaces of the teeth.

(15) In a broader aspect, however, the fixation device **12** may be any device that holds the reference element **14** and allows it to be installed in the oral cavity of a patient in a reproducible fixed position relative to a dental arch of the patient, wherein the reproducible fixed position is obtained by adjusting the fixation device **12** in discrete measured increments until the reference element **14** is in the desired reproducible fixed position with respect to the dental arch to be scanned in registration with the reference element.

(16) In accordance with the illustrated exemplary embodiment, the fixation device **12** includes a generally-U-shaped lateral positioning element or nasion relator **16**, comprising first and second bracing arms **18**, **20**. The first arm **18** extends from a proximal end portion **21** configured to be inserted into the outer ear canal of one of the ears **22** of a patient **24**, to a distal portion **26** that bends in front of the face of the patient **24**. Similarly, the second arm **20** extends from a proximal end portion (not shown) that is configured for insertion into the outer ear canal of the other ear (not shown) of the patient **24**, to a distal portion **28** that overlaps the distal portion **26** of the first arm **18**. The distal portion **28** of the second arm **20** is provided with a slot **30** extending laterally with respect to the patient's face, with a plurality of notches **32** extending from one or both sides of the slot **30** at discrete measured intervals. Advantageously, the distal portion **28** of the second arm **20** is provided with numerical markings adjacent each notch **32** on at least one side of the slot **30**. Each notch **32** (or opposed pair of notches **32**) provides a position detent for a nasion relator adjustment mechanism as described below.

(17) The distal portions **26**, **28** of the arms **18**, **20**, respectively, are adjustably connected to each other by a nasion relator adjustment mechanism that is shown in FIGS. 1 and 5. As will be appreciated from the ensuing description, the nasion relator adjustment mechanism of the exemplary embodiment, along with the other position adjustment mechanisms of the exemplary embodiment (as will be described below), provides for positional adjustment of the head brace in all aspects relative to the dental arch to be scanned in discrete, measured increments, rather than the continuous adjustment provided by the commercially available devices. The significance of this improvement will be made clear below.

(18) Referring again to FIGS. 1 and 5, the nasion relator adjustment mechanism includes the

overlapping distal portions **26**, **28** of the arms **18**, **20**, respectively, and a nasion relator position locking device **34**. The nasion relator position locking device **34**, in one embodiment, includes a pin **36** (FIG. 5) extending upward from the distal portion **26** of the first arm **18** through the slot **30** in the distal portion **28** of the second arm **20** and terminating in a flat head **38**, and a hollow adjustment knob **40** defining a cavity **42** that receives the pin **36**, with the head **38** of the pin **36** engaging the top of the cavity **42**. The lower end of the knob **40** has a protrusion or tooth **44** that is configured to seat within the notches **32**. Advantageously, the knob **40** may also be provided with a marker or indicator **46** (FIG. 1) that provides a visual indication of the specific numbered detent notch **32** in which the knob tooth **44** is seated. The knob cavity **42** also houses a coil spring **48** concentrically surrounding the pin **36** and captured between the head **38** of the pin **36** and a concentric spring seat **50**, so as to bias the knob **40** toward the distal portion **28** of the second arm **20**. When the knob tooth **44** is engaged with a selected detent notch **32**, the distal portions **26**, **28** of the arms **18**, **20**, respectively, are fixed or locked relative to each other in a position indicated by the number of the selected notch, as visually indicated by the knob marker **46**. When the knob **40** is lifted vertically against the bias of the spring **48**, the knob tooth **44** is disengaged from the previously-selected notch **32**, and the distal portions **26**, **28** of the arms **18**, **20**, respectively can be moved to a new relative position associated with a newly-selected notch **32**.

(19) As shown in FIG. 1, the nasion relator arms **18**, **20** are pivotally attached to a transverse structure **52**. The transverse structure **52** has first and second opposed horizontal support arms **54** extending from a vertical support member **56**. Each of the support arms **54** terminates in a hinge **58** that pivotally connects one of the nasion relator arms **18**, **20** to the transverse structure **52**, thereby allowing the relative positions of the distal portions **26**, **28** of the arms **18**, **20**, respectively, to be adjusted as described above. An adjustable orbitale pointer **60** may optionally be provided on one of the nasion relator arms (e.g., the first arm **18**), as shown in FIG. 1, to provide a greater degree of planar accuracy, as is known in the art. If included, the orbitale pointer **60** may advantageously be positionally adjustable in discrete, measured increments by a detented position adjustment mechanism similar to that described above with reference to FIG. 5.

(20) Referring to FIGS. 1 and 4, the fixation device **12** includes a face engagement mechanism configured to engage a portion of the face above the patient's oral cavity. In the illustrated exemplary embodiment, the face engagement mechanism, which includes a nasion pad stabilizer arm **62** terminating in a nasion pad **64**, is supported in the vertical support member **56** of the transverse structure **52**. The stabilizer arm **62** is positionally adjustable, forward and backward with respect to the patient's face, within the vertical support member, to assure that the nasion pad **64** engages the nasion region **66** (i.e., the bridge of the nose) of the patient when the fixation device **12** is installed, to provide greater stability for the fixation device **12** relative to the dental arch to be scanned. The stabilizer arm **62** is journaled in a bore **68** through the upper portion of the vertical support member **56**. The stabilizer arm **62** is advantageously provided with a series of notches or depressions **70** along the length of its upward-facing surface, which notches or depressions provide detents demarking discrete positions that are spaced apart at measured intervals. The detent-forming notches **70** may advantageously be marked by numerals on one side of the arm **62**. The upper end of the vertical support member **56** has a cavity **72** defined by a top wall with a first aperture **74** configured to receive a rod or pin **76**. The lower end of the rod or pin **76** terminates in protrusion or tooth **78**, just above which is an annular spring seat **80**. The upper end of the rod or pin **76**, which extends to the exterior of the vertical support member **56** through the aperture **74**, terminates in an enlarged diameter gripping head **82**. A coil spring **84** is installed concentrically around the rod or pin **76**, and is seated between the spring seat **80** and the top wall of the cavity **72**. The spring **84** biases the rod or pin **76** downward toward the stabilizer arm **62**. Thus, the rod or pin **76** may be lifted vertically against the force of the spring **84** by the gripping head **82**, to disengage the tooth **78** from a first notch or depression **70** on the stabilizer arm **62**, allowing the stabilizer arm **62** to be moved forward or backward relative to the vertical support member **56**, thus ensuring that



the pad is firmly seated against the patient's nasion region **66**. When a suitable position for the stabilizer rod **62** is found, the gripping head **82** is released, and the spring **84** forces the tooth **78** into engagement with the newly-selected notch or depression **70**. In this manner, the position of the stabilizer arm **62** may be adjusted in discrete measure increments. To assist in observing which numbered notch or depression **70** has been selected, a window **86** may be provided in the side of the vertical support member **56** adjacent the bore **68**, so as to allow the number associated with the selected notch or depression **70** to be seen.

(21) FIGS. **2**, **3**, and **6-11** show the reference element **14** and the arrangement for mounting it to the fixation device **12**. Referring first to FIG. **2**, the reference element **14** is shown as having a reference pattern **88** on its surface. The reference pattern **88**, the purpose of which will be described below, is preferably three-dimensional; that is, it will have aspects that are defined by an X-Y-Z coordinate system relative to the surface of the reference element **14**. In some embodiments, the reference pattern **88** may be only two-dimensional; that is, no aspects of it will extend out of the plane defined by a surface of the reference element **14**. In embodiments in which the object to be scanned is a dental arch, the reference element **14** is advantageously in the configuration of a dental arch. The reference element **14** in such embodiments may be selected for a size and shape appropriate to a particular patient.

(22) The reference element **14** is attached to a proximal end of a paddle **90**, the distal portion of which is formed as a first adjustment rod **92** having a series of detent-forming notches or depressions **94** along the length of its upward-facing surface, which notches or depressions **94** define detents that are spaced apart at measured intervals. The notches or depressions **94** may advantageously be marked by numerals on one side of the first adjustment rod **92**, as shown, for example, in FIG. **6**. The first adjustment rod **92**, which forms a part of a first reference element adjustment mechanism **100** (FIGS. **6-11**), is journaled through a first bore **102** in a first adjustment mechanism housing **104** having a first window **105** through which the number of a selected notch or depression **94** can be observed. The position of the first adjustment rod **92** can be incrementally adjusted forward and backward relative to the patient the first reference element adjustment mechanism **100**, which allows the selective engagement of any of the notches or depressions **94** as the rod **92** is displaced relative to the housing **104**.

(23) More specifically, as shown in FIGS. **6-11**, the first reference element adjustment mechanism **100** includes a first position selection pin **106** situated in a cavity **108** defined in the housing **104** so as to communicate with the bore **102**. The upper part of the selection pin **106** extends through an aperture **110** in the housing **104** orthogonal to the bore **102**. The upper part of the pin **106** terminates in an enlarged-diameter gripping head **112**. The lower part of the pin **106** includes an annular spring seat **114**, from the center of which extends a ridge or tooth **116** that is positioned for engagement with the rod **92**, and, more specifically, for seating in the notches **94**. A coil spring **118**, installed concentrically around the pin **106**, is seated between the spring seat **114** and an upper housing wall **120** defining the cavity **108**. The spring **118** biases the pin **106** inward in the housing **104**, toward the rod **92**. As shown in FIG. **10**, the pin **106** may advantageously include a flat **122** that seats against a corresponding flat **124** in the aperture **110**, to prevent the pin **106** from being rotated from its desired orientation.

(24) As shown in FIGS. **7-9**, the first reference element adjustment mechanism **100** allows the position of the first adjustment rod **92** to be selected by manipulating the position selection pin **106**, via the gripping head **112**, to disengage the tooth or ridge **116** of the pin **106** from a first notch **94** in the rod **92**, thereby allowing the rod **92** to be moved relative to the housing **104**, and to fix the rod **92** positionally relative to the housing **104** at a selected incremental position defined by another of the notches **94**. In the illustrated embodiment, the first reference element adjustment mechanism **100** may be employed to adjust the position of the reference element **14** in discrete measured increments forward and backward relative to the dental arch to be scanned.

(25) The lateral position of the reference element **14** relative to the dental arch to be scanned may

be adjusted in discrete measured increments by a second reference element adjustment mechanism **130** (FIG. 2) that is similar in all material aspects to the first reference element adjustment mechanism **100**. More specifically, in the illustrated exemplary embodiment, the second reference element adjustment mechanism **130** includes a second adjustment rod **132** journaled in a second bore **134** in the housing **104**, the second bore preferably being orthogonal to the first bore **102**. The second adjustment rod **132** is similar to the first adjustment rod **92**. Thus, like the first adjustment rod **92**, the second adjustment rod **132** has a series of notches or depressions **136** along the length of a downward-facing surface, which notches or depressions define detents that are spaced apart at measured intervals. The notches or depressions **136** may advantageously be marked by numerals on one side of the second adjustment rod **132**. The housing **104** has a second window **138** through which the number of a selected notch or depression **136** can be observed. The position of the second adjustment rod **132** can be changed and selected relative to the housing **104** by a second spring-loaded position selection pin **140**, structurally and functionally similar to the first position selection pin **106**, as described above and as illustrated in FIGS. 6-11. Thus, manipulation of the second position selection pin **140** allows the selective engagement of any of the notches or depressions **136** on the second adjustment rod **132** as the latter is moved relative to the housing **104**. Similarly to the forward and backward positional adjustment provided by the above-described first reference element adjustment mechanism **100**, the lateral position of the reference element **14** relative to the dental arch to be scanned may be selected by manipulating the second position selection pin **140** to disengage it from a first notch **136** on the second adjustment rod **132** to allow the second adjustment rod **132** to be moved laterally relative to the first housing **104**, and to fix the rod **132** positionally relative to the housing **104** at a selected incremental position. In the illustrated embodiment, the second reference element adjustment mechanism **130** may be employed to adjust the position of the reference element **14** laterally in discrete measured increments relative to the dental arch to be scanned.

(26) The second adjustment rod **132** has one end that may advantageously be attached to a third position adjustment mechanism **150** (FIG. 2) that may be used to adjust the position of the reference element **14** vertically, in discrete, measured, incremental positions, with respect to the dental arch to be scanned. The third position adjustment mechanism **150** includes a second housing **152** having a vertical bore **154** therethrough, through which is journaled a third adjustment rod **156** that has an upper end fixed to the bottom of the transverse member **52** (see FIG. 1). Like the above-described first and second adjustment rods, the third adjustment rod **156** has a series of notches or depressions **158** along its length, which notches or depressions define detents that are spaced apart at measured intervals. The notches or depressions **158** may advantageously be marked by numerals on one side of the third adjustment rod **156**. The second housing **152** may advantageously have a window (not shown) through which the number associated with a selected notch or depression **158** can be observed.

(27) The position of the third adjustment rod **156** can be changed and selected relative to the second housing **152** by a third spring-loaded position selection pin **160**, structurally and functionally similar to the first position selection pin **106**, as described above and as illustrated in FIGS. 6-11.

(28) Thus, manipulation of the third position selection pin **160** allows the selective engagement of any of the notches or depressions **158** on the third adjustment rod **156** as the latter is moved relative to the second housing **152**. As in the above-described first reference element adjustment mechanism **100**, the vertical position of the reference element **14** relative to the dental arch to be scanned may be selected by manipulating the third position selection pin **160** to disengage it from a first notch **158** on the third adjustment rod **156** to allow the third adjustment rod **156** to be moved vertically relative to the second housing **152**, and to fix the third rod **156** positionally relative to the second housing **152** at a selected incremental position. As shown, in the illustrated embodiment, the third reference element adjustment mechanism **150** may be employed to adjust the position of the

reference element **14** vertically in discrete measured increments relative to the dental arch to be scanned.

(29) Referring to FIG. 3, the reference element **14** is shown as being located relative to a patient's upper dental arch **120** in a position that is appropriate for scanning the dental arch **120** together with at least an adjacent portion of the reference element **14**. Note that, unlike conventional head brace devices, such as a "Face Bow," which employ a bite plate designed to be in contact with the teeth in the dental arch, the reference element **14** is separated from the dental arch **120** to be scanned by a known separation distance  $D$ . The value of  $D$  may be determined on a case-by case basis, or it may be predetermined for a preset range of dental arch sizes and configurations. Although the reference element **14** is shown positioned with respect to an upper dental arch, the same positional considerations would apply when the reference element is used for scanning a lower dental arch.

(30) Because the reference element **14** does not need to contact the teeth, and is instead maintained at a predetermined distance from the teeth, all of the position settings of the position adjustment mechanisms described above are fixed for any given patient, and are thus easily reproducible for subsequent scans. Furthermore, depending on which arch is being scanned, and the angle from which the scan is taken, the reference element **14** can be configured for placement above the teeth of the upper arch, below the teeth of the lower arch, or directly in front of the teeth. In all cases, because the fixation device **12** holds the reference element **14** in a fixed position relative to the dental arch without contacting any occlusal surfaces of the patient's teeth, no part of the occlusal surfaces is obscured, thereby allowing all surfaces of the teeth to be scanned.

(31) In practice, the reference pattern **88** provides known reference points for spatial registration with images of adjacent portions of the scanned dental arch **120**. Thus, referring to FIG. 12, when an optical scanner **10** is employed to scan the dental arch **120**, it simultaneously scans at least an adjacent portion of the reference pattern **88**, thereby capturing an image of the reference pattern with the image of the dental arch (or the portion thereof) that has been scanned. Thus, at least a portion of the tooth geometry in the dental arch **120** is captured in the scan image along with at least a portion of the reference pattern **88**. The combined dental arch/reference pattern image is digitized by, for example, an A/D converter **170** (which may be a component of the scanner **10** or a separate component) and input into a processor **172**. The processor **172** also receives input from an input device **174** (keyboard, mouse, touch screen, etc.) through which the position settings (as determined, for example from the numbered detents in the first, second, and third adjustment rods, as described above) of the first, second and third reference pattern adjustment mechanisms are entered manually by an operator. Also entered are values for the positions of the nasion relator adjustment mechanism and the nasion pad stabilizer arm **62**, which are determined from the numbered detents associated with these components, as described above. The operator may also enter, via the input device **174**, other data, such as patient identification data, a scanning date and time, and a treatment stage number.

(32) The processor is programmed to create a digital input data set from the operator-entered inputs, and then to match the digital input data set with an image data set that includes the digitized images of the scanned dental arch **120** and the reference pattern **88** in registration with each other. The result is a combined data file that may be stored in a memory device **176** operatively associated with the processor **172**. The combined data file thus includes data representing a scanned dental arch image in registration with the reference pattern **88**, so that any image of a part of the dental arch may be located and oriented properly, via registration with the reference pattern **88**, with respect to an image of any other part of the dental arch. The combined data file also includes all of the position settings used to obtain each scanned image. The processor **172** may output the data in the combined data file to an output device **178**, such as a monitor or a printer that presents the scanned image and the other data in a useful visual format.

(33) Because the position settings are all discrete values representing the detented positions of the

various adjustment mechanisms, they are easily entered, and they are easily reproduced at a later time for taking a subsequent scan of the dental arch of the same patient. For example, once the position settings for a patient are known, the same settings will be used for all subsequent scans of that patient. This facilitates the comparison of any scan of that patient with any previous scan to ascertain, for example, the progress of a course of orthodontic or prosthodontic treatment. Such a comparison may be made visually, for example, by outputting the scanned images to the output device **178** for visual inspection, or the comparison may be made computationally by the processor **172**, with the computed comparison results being represented graphically or numerically by the output device **178**.

(34) For example, a scan of a patient's dental arch at **T1** is taken with the dentition in registration with the reference pattern, and with a set of reproducible position settings for the position adjustment mechanisms of the head brace. A scan of the same dental arch at a later time **T2**, taken with the dentition in registration with the same reference pattern and with the same position settings, enables any changes in the dentition geometry to be easily observable and quantifiable, thereby allowing the progress of the patient's treatment to be readily determined, so that the need for changes in the course of treatment may be quickly ascertained.

(35) Thus, the ability to make easy and accurate comparisons of the dental arch of a patient at two different times, representing, for example, two stages of treatment, provides accuracy in registering, in three dimensions, the changes that have occurred in the patient's dentition geometry between a scan taken at first time **T1** and a scan taken at a subsequent time **T2**. As a result, the dentition geometry of a patient can be registered at **T1** and then at **T2** to observe changes that have occurred in the interval between **T1** and **T2**, without the need to use X-rays or radiographs for each measurement, with a "stable" landmark superimposed in the X-rays.

(36) The concept of this disclosure may be applied in other applications, such as, for example, the scanning of objects that have relatively large areas without distinctive surface figures. An embodiment of a scanning system **200** in accordance with this disclosure that may be employed in such applications is illustrated in FIG. **13**. In this embodiment, an object **202** to be scanned by a scanner **210** (e.g., an optical scanner) is held in a fixed location relative to a reference element **212** having a reference pattern **214** thereon. Preferably, the reference pattern **214** is three-dimensional, but a two-dimensional reference pattern may be adequate or suitable in certain applications. The object **202** and the reference element **212** are scanned simultaneously to obtain a scanned image of a first portion of the object **202** in registration with the reference pattern **214**. The position of the object **202** and the reference element **212** relative to the scanner is then adjusted, and object **202** and the reference element **212** are again scanned to obtain a scanned image of a second portion of the object **202** in registration with the reference pattern **214**. These steps are repeated until a set of images of the entire object **202** in registration with the reference pattern **214** is obtained. This set of images may be digitized and input to a processor (such as the processor **172** of FIG. **12**), which is programmed to "stitch" the images together to produce a unified image of the entire object **202**, either with or without the reference pattern **214** shown in registration.

(37) The relative position of the object **202** and the reference element **212** to the scanner may be adjusted either by moving the object and the reference element sequentially from a first position to a second position to a third position, etc., with the scanner remaining stationary, or by fixing the object and reference element in one location and moving the scanner sequentially through the several positions. One exemplary mechanism for carrying out the former method may include, as a fixation device, a movable platform **216** (such as, for example, a turntable) on which the object **202** and the reference element **212** are fixed. The platform **216** may be rotatable through a sequence of rotational positions relative to the scanner **210**, and, optionally, it may be vertically adjustable relative to the scanner. The movement of the platform **216** may be under the control of a processor (such as the processor **172** of FIG. **12**) to be moved through a sequence of discrete rotational (and, optionally, vertical) positions, at each of which the motion of the platform **216** is stopped so that

the object **202** and the reference element **212** may be scanned. An exemplary mechanism for moving the scanner **210**, while maintaining the object **202** and the reference element **212** in a fixed position, may include a scanner fixture **218** to which the scanner **210** (or, more properly, the scanning head of the scanner) is mounted. The fixture **218** is operable to allow the scanning head to be moved vertically, horizontally, and/or rotationally through a sequence of discrete scanning positions (again, for example, under the control of the processor **172**) with respect to the object **202** and the reference element **212**. At each of the scanning positions, the motion of the fixture **218** is halted so that the object and the reference element can be scanned.

(38) The description of several embodiments in this disclosure is in no way limiting. The described embodiments are exemplary only, and it is understood that several modifications and variations may suggest themselves to those of ordinary skill in the art, and should be considered to be within the spirit and scope of this disclosure.

## Claims

1. A method for tracking progress of a patient undergoing orthodontic treatment, the method comprising: providing a physical reference device for positioning proximate to a dental arch of the patient; generating, via one or more processors, a three-dimensional (3D) model of the dental arch of the patient while the patient is undergoing the orthodontic treatment, wherein the 3D model is generated by: receiving a plurality of images of the dental arch that are obtained while the physical reference device is positioned proximate to the dental arch, wherein at least some images of the plurality of images include a portion of the dental arch together with a portion of the physical reference device, for each image of the at least some images including the portion of the dental arch together with the portion of the physical reference device, determining a registration location and a registration orientation between the each image and at least one other image of the at least some images using the portions of the physical reference device in the respective images to improve registration accuracy, and stitching the at least some images together according to the determined registration locations and registration orientations to generate at least a portion of the 3D model of the dental arch; and outputting, via the one or more processors, data associated with a comparison of the 3D model of the dental arch to a previous 3D model representing an earlier state of the dental arch for assessing progress of the orthodontic treatment, wherein the previous 3D model is generated using the physical reference device.
2. The method of claim 1, wherein the orthodontic treatment comprises providing a series of appliances to the patient.
3. The method of claim 1, wherein assessing the progress of the orthodontic treatment comprises comparing positions of teeth in the 3D model to positions of the teeth in the previous 3D model.
4. The method of claim 1, wherein the previous 3D model represents the dental arch at an earlier stage of the orthodontic treatment.
5. The method of claim 1, wherein the previous 3D model represents the dental arch before the patient started the orthodontic treatment.
6. The method of claim 1, wherein the data comprises a graphical representation of the comparison of the 3D model to the previous 3D model.
7. The method of claim 1, wherein the data comprises a numerical representation of the comparison of the 3D model to the previous 3D model.
8. The method of claim 1, wherein determining the registration location and the registration orientation between the each image and the at least one other image comprises using the portions of the physical reference device in the respective images to determine a location and an orientation of the each image with respect to the at least one other image.
9. The method of claim 1, wherein determining the registration location and the registration orientation between the each image and the at least one other image comprises: identifying one or

more reference points on the portions of the physical reference device in the respective images; and using the one or more reference points to register the each image to the at least one other image.

10. The method of claim 1, wherein the physical reference device includes a reference pattern on a surface thereof, and wherein the each image and the at least one other image are registered to each other based on the reference pattern.

11. The method of claim 10, wherein the reference pattern is a two-dimensional (2D) pattern.

12. The method of claim 10, wherein the reference pattern is a 3D pattern.

13. The method of claim 1, wherein the plurality of images are generated by an optical scanner.

14. The method of claim 13, wherein the optical scanner is an intraoral scanner.

15. The method of claim 1, wherein the 3D model depicts the entirety of the dental arch.

16. The method of claim 1, wherein the dental arch is a lower dental arch of the patient, the 3D model is a first 3D model, and the method further comprises: generating a second 3D model of an upper dental arch of the patient while the patient is undergoing the orthodontic treatment, wherein the second 3D model is generated by: receiving a plurality of second images of the upper dental arch, at least some second images of the plurality of second images including a portion of the upper dental arch together with a portion of the physical reference device, for each second image of the at least some second images including the portion of the upper dental arch together with the portion of the physical reference device, determining a registration location and registration orientation between the each second image and at least one other second image using the portions of the physical reference device in the respective second images to improve registration accuracy, and stitching the at least some second images together according to the determined registrations locations and registration orientations to generate at least a portion of the second 3D model of the upper dental arch; and outputting, via the one or more processors, data associated with a comparison of the second 3D model of the upper dental arch to a second previous 3D model representing an earlier state of the upper dental arch for assessing the progress of the orthodontic treatment, wherein the second previous 3D model is generated using the physical reference device.

17. The method of claim 1, wherein the physical reference device is in a fixed position relative to the dental arch.

18. The method of claim 17, wherein the physical reference device is coupled to the patient in the fixed position by a fixation device.

19. The method of claim 1, wherein the physical reference device is spaced apart from an occlusal surface of the dental arch.

20. The method of claim 1, further comprising: receiving an input data set including one or more of the following: patient identification data, scan date, scan time, or treatment stage; and associating the input data set with the 3D model.

21. A method for tracking progress of a patient undergoing orthodontic treatment, the method comprising: providing a physical reference device for positioning proximate to a dental arch of the patient; generating, via one or more processors, a three-dimensional (3D) model of a dental arch of the patient while the patient is undergoing the orthodontic treatment, wherein the 3D model is generated by: positioning the physical reference device proximate to the dental arch, obtaining a plurality of images of the dental arch while the physical reference device is positioned proximate to the dental arch, wherein at least some images of the plurality of images include a portion of the dental arch together with a portion of the physical reference device, for each image of the at least some images including the portion of the dental arch together with the portion of the physical reference device, determining a registration location and a registration orientation between the each image and at least one other image of the at least some images using the portions of the physical reference device in the respective images to improve registration accuracy, and stitching the at least some images together according to the determined registrations locations and registration orientations to generate at least a portion of the 3D model of the dental arch; comparing, via the one or more processors, the 3D model of the dental arch to a previous 3D model representing an

earlier state of the dental arch, wherein the previous 3D model is generated using the physical reference device; and outputting, via the one or more processors, data indicative of the comparison of the 3D model of the dental arch to the previous 3D model.

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