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(54) **BACKWARD-COMPATIBLE INTEGRATION OF HIGH FREQUENCY RECONSTRUCTION TECHNIQUES FOR AUDIO SIGNALS**

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(57) **ABSTRACT**

A method for decoding an encoded audio bitstream is disclosed. The method includes receiving the encoded audio bitstream and decoding the audio data to generate a decoded lowband audio signal. The method further includes extracting high frequency reconstruction metadata and filtering the decoded lowband audio signal with an analysis filterbank to generate a filtered lowband audio signal. The method also includes extracting a flag indicating whether either spectral translation or harmonic transposition is to be performed on the audio data and regenerating a highband portion of the audio signal using the filtered lowband audio signal and the high frequency reconstruction metadata in accordance with the flag.

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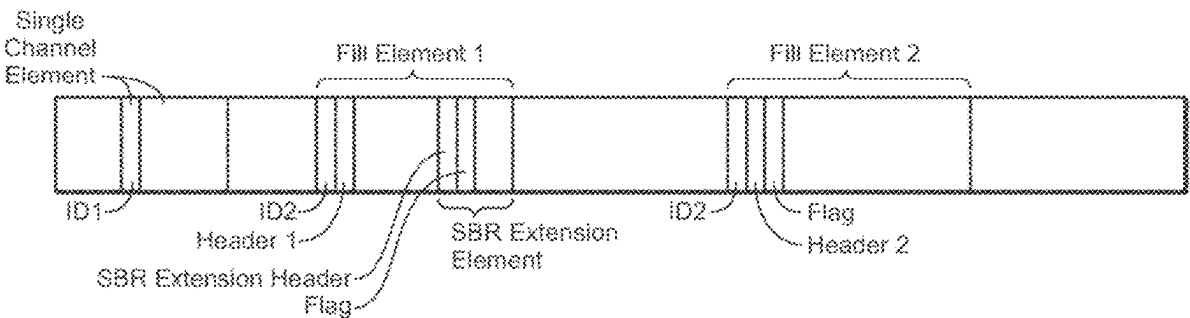
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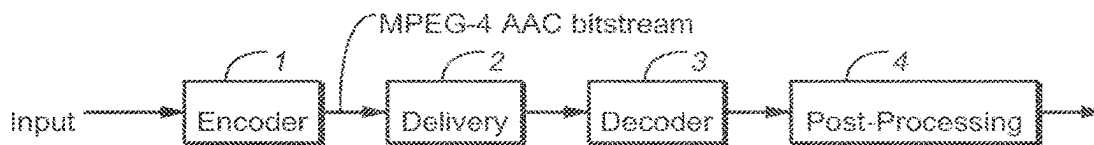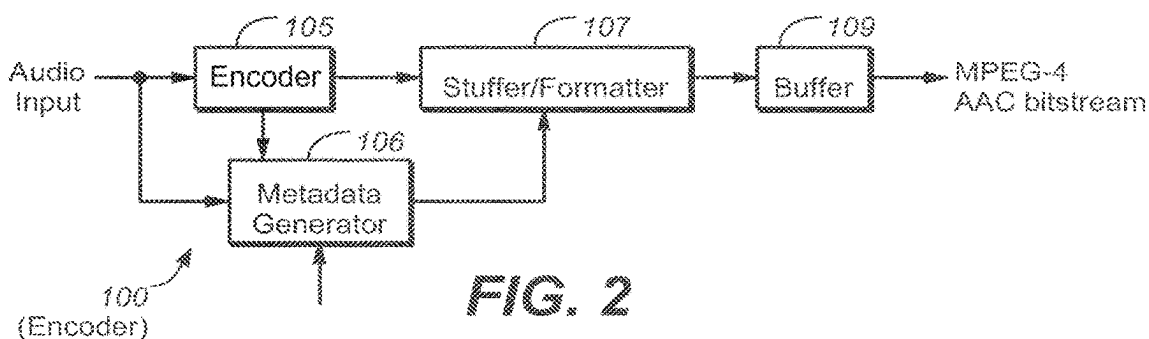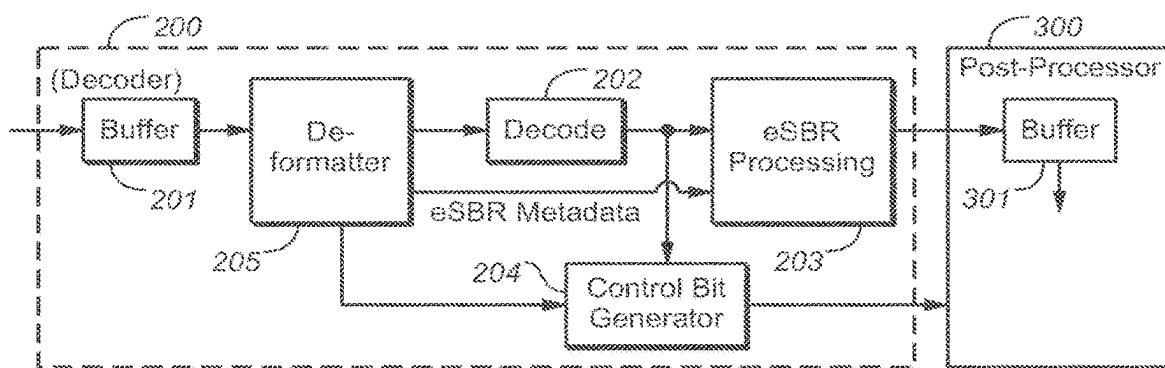
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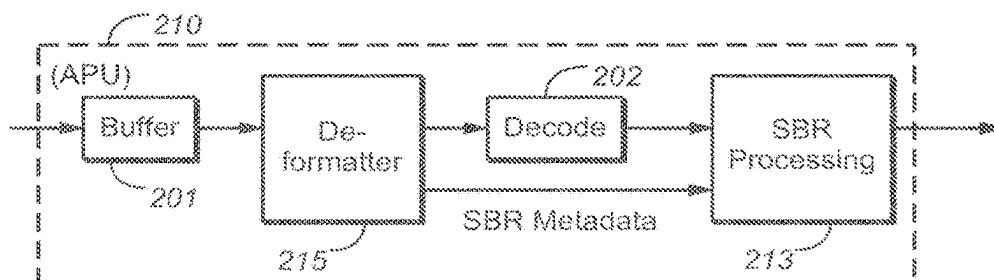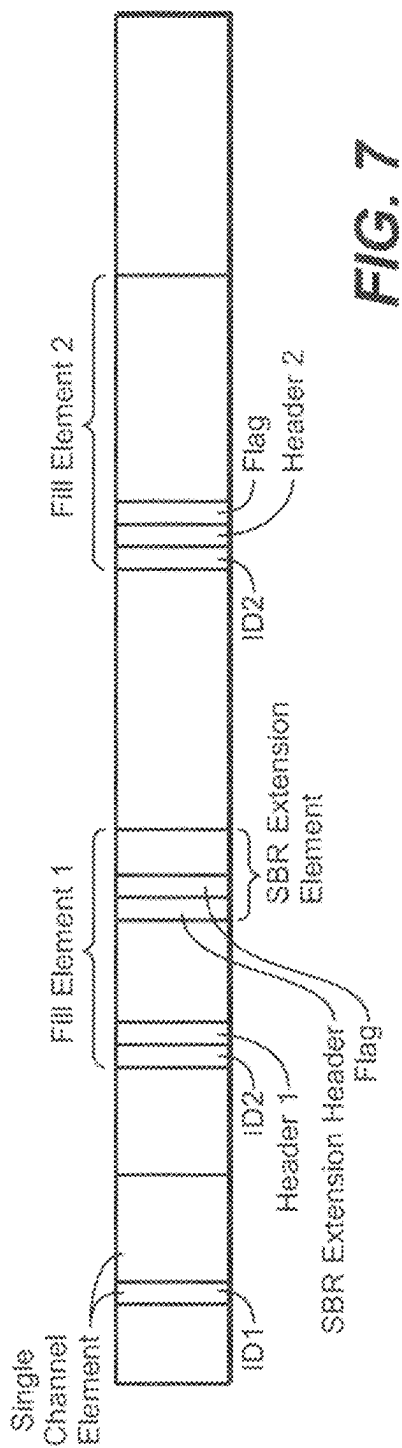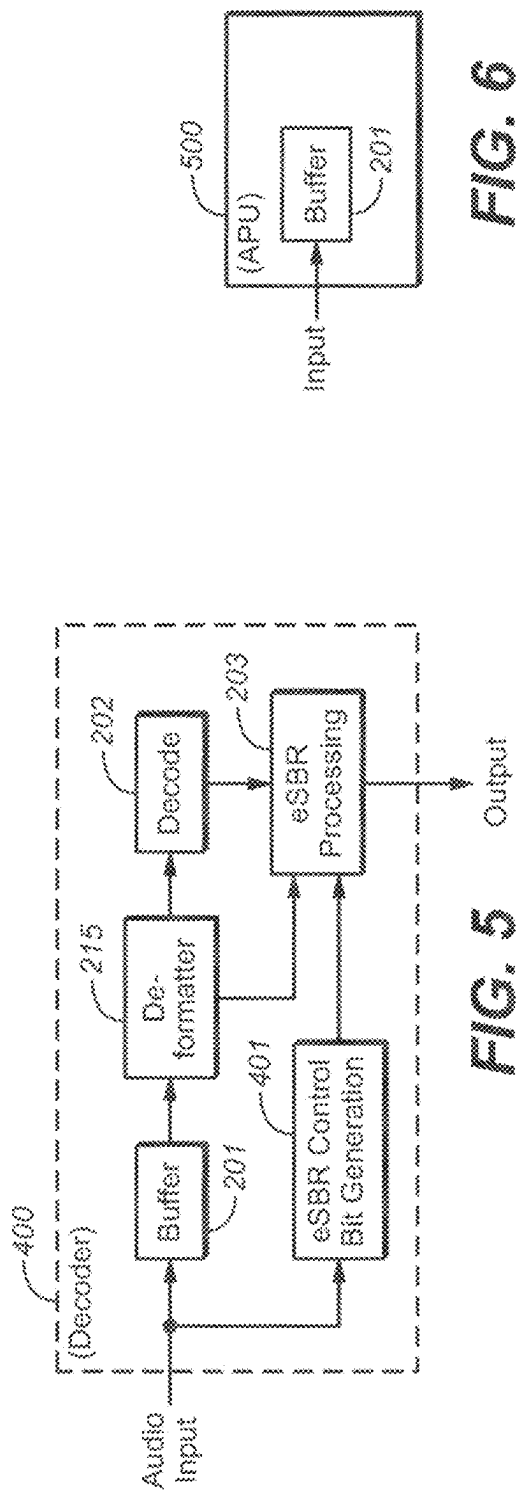
MPEG-4 AAC bitstream

Input → Encoder *1* → Delivery *2* → Decoder *3* → Post-Processing *4* →

FIG. 1

Audio Input → Encoder *105* → Stuffer/Formatter *107* → Buffer *109* → MPEG-4 AAC bitstream

Metadata Generator *106*

100 (Encoder)

FIG. 2

200 (Decoder)

→ Buffer *201* → De-formatter *205* → Decode *202* → eSBR Processing *203* →

eSBR Metadata

Control Bit Generator *204*

300 Post-Processor → Buffer *301* →

FIG. 3

210 (APU)

→ Buffer *201* → De-formatter *215* → Decode *202* → SBR Processing *213* →

SBR Metadata

FIG. 4

FIG. 6

FIG. 5

FIG. 7

BACKWARD-COMPATIBLE INTEGRATION OF HIGH FREQUENCY RECONSTRUCTION TECHNIQUES FOR AUDIO SIGNALS

CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation of U.S. application Ser. No. 18/601,546, filed on Mar. 11, 2024, which is a continuation of U.S. application Ser. No. 18/357,679, filed on Jul. 24, 2023, which is now U.S. Pat. No. 11,961,528, which is a continuation of U.S. application Ser. No. 17/677,608, filed on Feb. 22, 2022, which is now U.S. Pat. No. 11,756,559, which is a continuation of U.S. application Ser. No. 16/965, 291 filed on Jul. 27, 2020, which is now U.S. Pat. No. 11,289,106, issued on Mar. 29, 2022, which is the U.S. National Stage of International Patent Application No. PCT/US2019/015442 filed Jan. 28, 2019, which claims priority of the following the U.S. Provisional Application 62/622,205, filed Jan. 26, 2018, each of which is hereby incorporated by reference in its entirety.

TECHNICAL FIELD

Embodiments pertain to audio signal processing, and more specifically, to encoding, decoding, or transcoding of audio bitstreams with control data specifying that either a base form of high frequency reconstruction ("HFR") or an enhanced form of HFR is to be performed on the audio data.

BACKGROUND OF THE INVENTION

A typical audio bitstream includes both audio data (e.g., encoded audio data) indicative of one or more channels of audio content, and metadata indicative of at least one characteristic of the audio data or audio content. One well known format for generating an encoded audio bitstream is the MPEG-4 Advanced Audio Coding (AAC) format, described in the MPEG standard ISO/IEC 14496-3:2009. In the MPEG-4 standard, AAC denotes "advanced audio coding" and HE-AAC denotes "high-efficiency advanced audio coding."

The MPEG-4 AAC standard defines several audio profiles, which determine which objects and coding tools are present in a compliant encoder or decoder. Three of these audio profiles are (1) the AAC profile, (2) the HE-AAC profile, and (3) the HE-AAC v2 profile. The AAC profile includes the AAC low complexity (or "AAC-LC") object type. The AAC-LC object is the counterpart to the MPEG-2 AAC low complexity profile, with some adjustments, and includes neither the spectral band replication ("SBR") object type nor the parametric stereo ("PS") object type. The HE-AAC profile is a superset of the AAC profile and additionally includes the SBR object type. The HE-AAC v2 profile is a superset of the HE-AAC profile and additionally includes the PS object type.

The SBR object type contains the spectral band replication tool, which is an important high frequency reconstruction ("HFR") coding tool that significantly improves compression efficiency of perceptual audio codecs. SBR reconstructs the high frequency components of an audio signal on the receiver side (e.g., in the decoder). Thus, the encoder needs to only encode and transmit low frequency components, allowing for a much higher audio quality at low data rates. SBR is based on replication of the sequences of harmonics, previously truncated in order to reduce data rate, from the available bandwidth limited signal and control data

obtained from the encoder. The ratio between tonal and noise-like components is maintained by adaptive inverse filtering as well as the optional addition of noise and sinusoidals. In the MPEG-4 AAC standard, the SBR tool performs spectral patching (also called linear translation or spectral translation), in which a number of consecutive Quadrature Mirror Filter (QMF) subbands are copied (or "patched") from a transmitted lowband portion of an audio signal to a highband portion of the audio signal, which is generated in the decoder.

Spectral patching or linear translation may not be ideal for certain audio types, such as musical content with relatively low cross over frequencies. Therefore, techniques for improving spectral band replication are needed.

BRIEF DESCRIPTION OF EMBODIMENTS OF THE INVENTION

A first class of embodiments relates to a method for decoding an encoded audio bitstream is disclosed. The method includes receiving the encoded audio bitstream and decoding the audio data to generate a decoded lowband audio signal. The method further includes extracting high frequency reconstruction metadata and filtering the decoded lowband audio signal with an analysis filterbank to generate a filtered lowband audio signal. The method further includes extracting a flag indicating whether either spectral translation or harmonic transposition is to be performed on the audio data and regenerating a highband portion of the audio signal using the filtered lowband audio signal and the high frequency reconstruction metadata in accordance with the flag. Finally, the method includes combining the filtered lowband audio signal and the regenerated highband portion to form a wideband audio signal.

A second class of embodiments relates to an audio decoder for decoding an encoded audio bitstream. The decoder includes an input interface for receiving the encoded audio bitstream where the encoded audio bitstream includes audio data representing a lowband portion of an audio signal and a core decoder for decoding the audio data to generate a decoded lowband audio signal. The decoder also includes a demultiplexer for extracting from the encoded audio bitstream high frequency reconstruction metadata where the high frequency reconstruction metadata includes operating parameters for a high frequency reconstruction process that linearly translates a consecutive number of subbands from a lowband portion of the audio signal to a highband portion of the audio signal and an analysis filterbank for filtering the decoded lowband audio signal to generate a filtered lowband audio signal. The decoder further includes a demultiplexer for extracting from the encoded audio bitstream a flag indicating whether either linear translation or harmonic transposition is to be performed on the audio data and a high frequency regenerator for regenerating a highband portion of the audio signal using the filtered lowband audio signal and the high frequency reconstruction metadata in accordance with the flag. Finally, the decoder includes a synthesis filterbank for combining the filtered lowband audio signal and the regenerated highband portion to form a wideband audio signal.

Other classes of embodiments relate to encoding and transcoding audio bitstreams containing metadata identifying whether enhanced spectral band replication (eSBR) processing is to be performed.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of an embodiment of a system which may be configured to perform an embodiment of the inventive method.

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FIG. **2** is a block diagram of an encoder which is an embodiment of the inventive audio processing unit.

FIG. **3** is a block diagram of a system including a decoder which is an embodiment of the inventive audio processing unit, and optionally also a post-processor coupled thereto.

FIG. **4** is a block diagram of a decoder which is an embodiment of the inventive audio processing unit.

FIG. **5** is a block diagram of a decoder which is another embodiment of the inventive audio processing unit.

FIG. **6** is a block diagram of another embodiment of the inventive audio processing unit.

FIG. **7** is a diagram of a block of an MPEG-4 AAC bitstream, including segments into which it is divided.

NOTATION AND NOMENCLATURE

Throughout this disclosure, including in the claims, the expression performing an operation "on" a signal or data (e.g., filtering, scaling, transforming, or applying gain to, the signal or data) is used in a broad sense to denote performing the operation directly on the signal or data, or on a processed version of the signal or data (e.g., on a version of the signal that has undergone preliminary filtering or pre-processing prior to performance of the operation thereon).

Throughout this disclosure, including in the claims, the expression "audio processing unit" or "audio processor" is used in a broad sense, to denote a system, device, or apparatus, configured to process audio data. Examples of audio processing units include, but are not limited to encoders, transcoders, decoders, codecs, pre-processing systems, post-processing systems, and bitstream processing systems (sometimes referred to as bitstream processing tools). Virtually all consumer electronics, such as mobile phones, televisions, laptops, and tablet computers, contain an audio processing unit or audio processor.

Throughout this disclosure, including in the claims, the term "couples" or "coupled" is used in a broad sense to mean either a direct or indirect connection. Thus, if a first device couples to a second device, that connection may be through a direct connection, or through an indirect connection via other devices and connections. Moreover, components that are integrated into or with other components are also coupled to each other.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

The MPEG-4 AAC standard contemplates that an encoded MPEG-4 AAC bitstream includes metadata indicative of each type of high frequency reconstruction ("HFR") processing to be applied (if any is to be applied) by a decoder to decode audio content of the bitstream, and/or which controls such HFR processing, and/or is indicative of at least one characteristic or parameter of at least one HFR tool to be employed to decode audio content of the bitstream. Herein, we use the expression "SBR metadata" to denote metadata of this type which is described or mentioned in the MPEG-4 AAC standard for use with spectral band replication ("SBR"). As appreciated by one skilled in the art, SBR is a form of HFR.

SBR is preferably used as a dual-rate system, with the underlying codec operating at half the original sampling-rate, while SBR operates at the original sampling rate. The SBR encoder works in parallel with the underlying core codec, albeit at a higher sampling-rate. Although SBR is mainly a post process in the decoder, important parameters are extracted in the encoder in order to ensure the most

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accurate high frequency reconstruction in the decoder. The encoder estimates the spectral envelope of the SBR range for a time and frequency range/resolution suitable for the current input signal segments characteristics. The spectral envelope is estimated by a complex QMF analysis and subsequent energy calculation. The time and frequency resolutions of the spectral envelopes can be chosen with a high level of freedom, in order to ensure the best suited time frequency resolution for the given input segment. The envelope estimation needs to consider that a transient in the original, mainly situated in the high frequency region (for instance a high-hat), will be present to a minor extent in the SBR generated highband prior to envelope adjustment, since the highband in the decoder is based on the low band where the transient is much less pronounced compared to the highband. This aspect imposes different requirements for the time frequency resolution of the spectral envelope data, compared to ordinary spectral envelope estimation as used in other audio coding algorithms.

Apart from the spectral envelope, several additional parameters are extracted representing spectral characteristics of the input signal for different time and frequency regions. Since the encoder naturally has access to the original signal as well as information on how the SBR unit in the decoder will create the high-band, given the specific set of control parameters, it is possible for the system to handle situations where the lowband constitutes a strong harmonic series and the highband, to be recreated, mainly constitutes random signal components, as well as situations where strong tonal components are present in the original highband without counterparts in the lowband, upon which the highband region is based. Furthermore, the SBR encoder works in close relation to the underlying core codec to assess which frequency range should be covered by SBR at a given time. The SBR data is efficiently coded prior to transmission by exploiting entropy coding as well as channel dependencies of the control data, in the case of stereo signals.

The control parameter extraction algorithms typically need to be carefully tuned to the underlying codec at a given bitrate and a given sampling rate. This is due to the fact that a lower bitrate, usually implies a larger SBR range compared to a high bitrate, and different sampling rates correspond to different time resolutions of the SBR frames.

An SBR decoder typically includes several different parts. It comprises a bitstream decoding module, a high frequency reconstruction (HFR) module, an additional high frequency components module, and an envelope adjuster module. The system is based around a complex valued QMF filterbank (for high-quality SBR) or a real-valued QMF filterbank (for low-power SBR). Embodiments of the invention are applicable to both high-quality SBR and low-power SBR. In the bitstream extraction module, the control data is read from the bitstream and decoded. The time frequency grid is obtained for the current frame, prior to reading the envelope data from the bitstream. The underlying core decoder decodes the audio signal of the current frame (albeit at the lower sampling rate) to produce time-domain audio samples. The resulting frame of audio data is used for high frequency reconstruction by the HFR module. The decoded lowband signal is then analyzed using a QMF filterbank. The high frequency reconstruction and envelope adjustment is subsequently performed on the subband samples of the QMF filterbank. The high frequencies are reconstructed from the low-band in a flexible way, based on the given control parameters. Furthermore, the reconstructed highband is adaptively filtered on a subband channel basis according to

the control data to ensure the appropriate spectral characteristics of the given time/frequency region.

The top level of an MPEG-4 AAC bitstream is a sequence of data blocks ("raw_data_block" elements), each of which is a segment of data (herein referred to as a "block") that contains audio data (typically for a time period of 1024 or 960 samples) and related information and/or other data. Herein, we use the term "block" to denote a segment of an MPEG-4 AAC bitstream comprising audio data (and corresponding metadata and optionally also other related data) which determines or is indicative of one (but not more than one) "raw_data_block" element.

Each block of an MPEG-4 AAC bitstream can include a number of syntactic elements (each of which is also materialized in the bitstream as a segment of data). Seven types of such syntactic elements are defined in the MPEG-4 AAC standard. Each syntactic element is identified by a different value of the data element "id_syn_ele." Examples of syntactic elements include a "single_channel_element( )," a "channel_pair_element( )," and a "fill_element( )." A single channel element is a container including audio data of a single audio channel (a monophonic audio signal). A channel pair element includes audio data of two audio channels (that is, a stereo audio signal).

A fill element is a container of information including an identifier (e.g., the value of the above-noted element "id_syn_ele") followed by data, which is referred to as "fill data." Fill elements have historically been used to adjust the instantaneous bit rate of bitstreams that are to be transmitted over a constant rate channel. By adding the appropriate amount of fill data to each block, a constant data rate may be achieved.

In accordance with embodiments on the invention, the fill data may include one or more extension payloads that extend the type of data (e.g., metadata) capable of being transmitted in a bitstream. A decoder that receives bitstreams with fill data containing a new type of data may optionally be used by a device receiving the bitstream (e.g., a decoder) to extend the functionality of the device. Thus, as can be appreciated by one skilled in the art, fill elements are a special type of data structure and are different from the data structures typically used to transmit audio data (e.g., audio payloads containing channel data).

In some embodiments of the invention, the identifier used to identify a fill element may consist of a three bit unsigned integer transmitted most significant bit first ("uimsbf") having a value of 0x6. In one block, several instances of the same type of syntactic element (e.g., several fill elements) may occur.

Another standard for encoding audio bitstreams is the MPEG Unified Speech and Audio Coding (USAC) standard (ISO/IEC 23003-3:2012). The MPEG USAC standard describes encoding and decoding of audio content using spectral band replication processing (including SBR processing as described in the MPEG-4 AAC standard, and also including other enhanced forms of spectral band replication processing). This processing applies spectral band replication tools (sometimes referred to herein as "enhanced SBR tools" or "eSBR tools") of an expanded and enhanced version of the set of SBR tools described in the MPEG-4 AAC standard. Thus, eSBR (as defined in USAC standard) is an improvement to SBR (as defined in MPEG-4 AAC standard).

Herein, we use the expression "enhanced SBR processing" (or "eSBR processing") to denote spectral band replication processing using at least one eSBR tool (e.g., at least one eSBR tool which is described or mentioned in the

MPEG USAC standard) which is not described or mentioned in the MPEG-4 AAC standard. Examples of such eSBR tools are harmonic transposition and QMF-patching additional pre-processing or "pre-flattening."

A harmonic transposer of integer order T maps a sinusoid with frequency ω into a sinusoid with frequency $T\omega$, while preserving signal duration. Three orders, T=2, 3, 4, are typically used in sequence to produce each part of the desired output frequency range using the smallest possible transposition order. If output above the fourth order transposition range is required, it may be generated by frequency shifts. When possible, near critically sampled baseband time domains are created for the processing to minimize computational complexity.

The harmonic transposer may either be QMF or DFT based. When using the QMF based harmonic transposer, the bandwidth extension of the core coder time-domain signal is carried out entirely in the QMF domain, using a modified phase-vocoder structure, performing decimation followed by time stretching for every QMF subband. Transposition using several transpositions factors (e.g., T=2, 3, 4) is carried out in a common QMF analysis/synthesis transform stage. Since the QMF based harmonic transposer does not feature signal adaptive frequency domain oversampling, the corresponding flag in the bitstream (sbrOversamplingFlag [ch]) may be ignored.

When using the DFT based harmonic transposer, the factor 3 and 4 transposers (3rd and 4th order transposers) are preferably integrated into the factor 2 transposer (2nd order transposer) by means of interpolation to reduce complexity. For each frame (corresponding to coreCoderFrameLength core coder samples), the nominal "full size" transform size of the transposer is first determined by the signal adaptive frequency domain oversampling flag (sbrOversamplingFlag [ch]) in the bitstream.

When sbrPatchingMode==1, indicating that linear transposition is to be used to generate the highband, an additional step may be introduced to avoid discontinuities in the shape of the spectral envelope of the high frequency signal being input to the subsequent envelope adjuster. This improves the operation of the subsequent envelope adjustment stage, resulting in a highband signal that is perceived to be more stable. The operation of the additional preprocessing is beneficial for signal types where the coarse spectral envelope of the low band signal being used for high frequency reconstruction displays large variations in level. However, the value of the bitstream element may be determined in the encoder by applying any kind of signal dependent classification. The additional pre-processing is preferably activated through a one bit bitstream element, bs_sbr_preprocessing. When bs_sbr_preprocessing is set to one, the additional processing is enabled. When bs_sbr_preprocessing is set to zero, the additional pre-processing is disabled. The additional processing preferable utilizes a preGain curve that is used by the high frequency generator to scale the lowband, XLow, for each patch. For example, the preGain curve may be calculated according to:

$$preGain(k)=10^{(meanNrg-lowEnvSlope(k))/20}, 0 \le k < k_0$$

where k_0 is the first QMF subband in the master frequency band table and lowEnvSlope is calculated using a function that computes coefficients of a best fitting polynomial (in a least-squares sense), such as polyfit( ). For example,

$$polyfit(3,k_0,x\_lowband,lowEnv,lowEnvSlope);$$

may be employed (using a third degree polynomial) and where

$$lowEnv(k) = 10\log_{10} \frac{\varphi_k(0, 0)}{numTimeSlots \cdot RATE + 6}, \ 0 \le k < k_0$$

where x_lowband(k)=[0 . . . k_0−1], numTimeSlot is the number of SBR envelope time slots that exist within a frame, RATE is a constant indicating the number of QMF subband samples per timeslot (e.g., 2), φ_k is a linear prediction filter coefficient (potentially obtained from the covariance method) and where

$$meanNrg = \frac{\sum_{k=0}^{k_0-1} lowEnv(k)}{k_0}.$$

A bitstream generated in accordance with the MPEG USAC standard (sometimes referred to herein as a "USAC bitstream") includes encoded audio content and typically includes metadata indicative of each type of spectral band replication processing to be applied by a decoder to decode audio content of the USAC bitstream, and/or metadata which controls such spectral band replication processing and/or is indicative of at least one characteristic or parameter of at least one SBR tool and/or eSBR tool to be employed to decode audio content of the USAC bitstream.

Herein, we use the expression "enhanced SBR metadata" (or "eSBR metadata") to denote metadata indicative of each type of spectral band replication processing to be applied by a decoder to decode audio content of an encoded audio bitstream (e.g., a USAC bitstream) and/or which controls such spectral band replication processing, and/or is indicative of at least one characteristic or parameter of at least one SBR tool and/or eSBR tool to be employed to decode such audio content, but which is not described or mentioned in the MPEG-4 AAC standard. An example of eSBR metadata is the metadata (indicative of, or for controlling, spectral band replication processing) which is described or mentioned in the MPEG USAC standard but not in the MPEG-4 AAC standard. Thus, eSBR metadata herein denotes metadata which is not SBR metadata, and SBR metadata herein denotes metadata which is not eSBR metadata.

A USAC bitstream may include both SBR metadata and eSBR metadata. More specifically, a USAC bitstream may include eSBR metadata which controls the performance of eSBR processing by a decoder, and SBR metadata which controls the performance of SBR processing by the decoder. In accordance with typical embodiments of the present invention, eSBR metadata (e.g., eSBR-specific configuration data) is included (in accordance with the present invention) in an MPEG-4 AAC bitstream (e.g., in the sbr_extension( ) container at the end of an SBR payload).

Performance of eSBR processing, during decoding of an encoded bitstream using an eSBR tool set (comprising at least one eSBR tool), by a decoder regenerates the high frequency band of the audio signal, based on replication of sequences of harmonics which were truncated during encoding. Such eSBR processing typically adjusts the spectral envelope of the generated high frequency band and applies inverse filtering, and adds noise and sinusoidal components in order to recreate the spectral characteristics of the original audio signal.

In accordance with typical embodiments of the invention, eSBR metadata is included (e.g., a small number of control

bits which are eSBR metadata are included) in one or more of metadata segments of an encoded audio bitstream (e.g., an MPEG-4 AAC bitstream) which also includes encoded audio data in other segments (audio data segments). Typically, at least one such metadata segment of each block of the bitstream is (or includes) a fill element (including an identifier indicating the start of the fill element), and the eSBR metadata is included in the fill element after the identifier. FIG. 1 is a block diagram of an exemplary audio processing chain (an audio data processing system), in which one or more of the elements of the system may be configured in accordance with an embodiment of the present invention. The system includes the following elements, coupled together as shown: encoder 1, delivery subsystem 2, decoder 3, and post-processing unit 4. In variations on the system shown, one or more of the elements are omitted, or additional audio data processing units are included.

In some implementations, encoder 1 (which optionally includes a pre-processing unit) is configured to accept PCM (time-domain) samples comprising audio content as input, and to output an encoded audio bitstream (having format which is compliant with the MPEG-4 AAC standard) which is indicative of the audio content. The data of the bitstream that are indicative of the audio content are sometimes referred to herein as "audio data" or "encoded audio data." If the encoder is configured in accordance with a typical embodiment of the present invention, the audio bitstream output from the encoder includes eSBR metadata (and typically also other metadata) as well as audio data.

One or more encoded audio bitstreams output from encoder 1 may be asserted to encoded audio delivery subsystem 2. Subsystem 2 is configured to store and/or deliver each encoded bitstream output from encoder 1. An encoded audio bitstream output from encoder 1 may be stored by subsystem 2 (e.g., in the form of a DVD or Blu ray disc), or transmitted by subsystem 2 (which may implement a transmission link or network), or may be both stored and transmitted by subsystem 2.

Decoder 3 is configured to decode an encoded MPEG-4 AAC audio bitstream (generated by encoder 1) which it receives via subsystem 2. In some embodiments, decoder 3 is configured to extract eSBR metadata from each block of the bitstream, and to decode the bitstream (including by performing eSBR processing using the extracted eSBR metadata) to generate decoded audio data (e.g., streams of decoded PCM audio samples). In some embodiments, decoder 3 is configured to extract SBR metadata from the bitstream (but to ignore eSBR metadata included in the bitstream), and to decode the bitstream (including by performing SBR processing using the extracted SBR metadata) to generate decoded audio data (e.g., streams of decoded PCM audio samples). Typically, decoder 3 includes a buffer which stores (e.g., in a non-transitory manner) segments of the encoded audio bitstream received from subsystem 2.

Post-processing unit 4 of FIG. 1 is configured to accept a stream of decoded audio data from decoder 3 (e.g., decoded PCM audio samples), and to perform post processing thereon. Post-processing unit may also be configured to render the post-processed audio content (or the decoded audio received from decoder 3) for playback by one or more speakers.

FIG. 2 is a block diagram of an encoder (100) which is an embodiment of the inventive audio processing unit. Any of the components or elements of encoder 100 may be implemented as one or more processes and/or one or more circuits (e.g., ASICs, FPGAs, or other integrated circuits), in hardware, software, or a combination of hardware and software.

Encoder **100** includes encoder **105**, stuffer/formatter stage **107**, metadata generation stage **106**, and buffer memory **109**, connected as shown. Typically also, encoder **100** includes other processing elements (not shown). Encoder **100** is configured to convert an input audio bitstream to an encoded output MPEG-4 AAC bitstream.

Metadata generator **106** is coupled and configured to generate (and/or pass through to stage **107**) metadata (including eSBR metadata and SBR metadata) to be included by stage **107** in the encoded bitstream to be output from encoder **100**.

Encoder **105** is coupled and configured to encode (e.g., by performing compression thereon) the input audio data, and to assert the resulting encoded audio to stage **107** for inclusion in the encoded bitstream to be output from stage **107**.

Stage **107** is configured to multiplex the encoded audio from encoder **105** and the metadata (including eSBR metadata and SBR metadata) from generator **106** to generate the encoded bitstream to be output from stage **107**, preferably so that the encoded bitstream has format as specified by one of the embodiments of the present invention.

Buffer memory **109** is configured to store (e.g., in a non-transitory manner) at least one block of the encoded audio bitstream output from stage **107**, and a sequence of the blocks of the encoded audio bitstream is then asserted from buffer memory **109** as output from encoder **100** to a delivery system.

FIG. **3** is a block diagram of a system including decoder (**200**) which is an embodiment of the inventive audio processing unit, and optionally also a post-processor (**300**) coupled thereto. Any of the components or elements of decoder **200** and post-processor **300** may be implemented as one or more processes and/or one or more circuits (e.g., ASICs, FPGAs, or other integrated circuits), in hardware, software, or a combination of hardware and software. Decoder **200** comprises buffer memory **201**, bitstream payload deformatter (parser) **205**, audio decoding subsystem **202** (sometimes referred to as a "core" decoding stage or "core" decoding subsystem), eSBR processing stage **203**, and control bit generation stage **204**, connected as shown. Typically also, decoder **200** includes other processing elements (not shown).

Buffer memory (buffer) **201** stores (e.g., in a non-transitory manner) at least one block of an encoded MPEG-4 AAC audio bitstream received by decoder **200**. In operation of decoder **200**, a sequence of the blocks of the bitstream is asserted from buffer **201** to deformatter **205**.

In variations on the FIG. **3** embodiment (or the FIG. **4** embodiment to be described), an APU which is not a decoder (e.g., APU **500** of FIG. **6**) includes a buffer memory (e.g., a buffer memory identical to buffer **201**) which stores (e.g., in a non-transitory manner) at least one block of an encoded audio bitstream (e.g., an MPEG-4 AAC audio bitstream) of the same type received by buffer **201** of FIG. **3** or FIG. **4** (i.e., an encoded audio bitstream which includes eSBR metadata).

With reference again to FIG. **3**, deformatter **205** is coupled and configured to demultiplex each block of the bitstream to extract SBR metadata (including quantized envelope data) and eSBR metadata (and typically also other metadata) therefrom, to assert at least the eSBR metadata and the SBR metadata to eSBR processing stage **203**, and typically also to assert other extracted metadata to decoding subsystem **202** (and optionally also to control bit generator **204**). Deformatter **205** is also coupled and configured to

extract audio data from each block of the bitstream, and to assert the extracted audio data to decoding subsystem (decoding stage) **202**.

The system of FIG. **3** optionally also includes post-processor **300**. Post-processor **300** includes buffer memory (buffer) **301** and other processing elements (not shown) including at least one processing element coupled to buffer **301**. Buffer **301** stores (e.g., in a non-transitory manner) at least one block (or frame) of the decoded audio data received by post-processor **300** from decoder **200**. Processing elements of post-processor **300** are coupled and configured to receive and adaptively process a sequence of the blocks (or frames) of the decoded audio output from buffer **301**, using metadata output from decoding subsystem **202** (and/or deformatter **205**) and/or control bits output from stage **204** of decoder **200**.

Audio decoding subsystem **202** of decoder **200** is configured to decode the audio data extracted by parser **205** (such decoding may be referred to as a "core" decoding operation) to generate decoded audio data, and to assert the decoded audio data to eSBR processing stage **203**. The decoding is performed in the frequency domain and typically includes inverse quantization followed by spectral processing. Typically, a final stage of processing in subsystem **202** applies a frequency domain-to-time domain transform to the decoded frequency domain audio data, so that the output of subsystem is time domain, decoded audio data. Stage **203** is configured to apply SBR tools and eSBR tools indicated by the eSBR metadata and the eSBR (extracted by parser **205**) to the decoded audio data (i.e., to perform SBR and eSBR processing on the output of decoding subsystem **202** using the SBR and eSBR metadata) to generate the fully decoded audio data which is output (e.g., to post-processor **300**) from decoder **200**. Typically, decoder **200** includes a memory (accessible by subsystem **202** and stage **203**) which stores the deformatted audio data and metadata output from deformatter **205**, and stage **203** is configured to access the audio data and metadata (including SBR metadata and eSBR metadata) as needed during SBR and eSBR processing. The SBR processing and eSBR processing in stage **203** may be considered to be post-processing on the output of core decoding subsystem **202**. Optionally, decoder **200** also includes a final upmixing subsystem (which may apply parametric stereo ("PS") tools defined in the MPEG-4 AAC standard, using PS metadata extracted by deformatter **205** and/or control bits generated in subsystem **204**) which is coupled and configured to perform upmixing on the output of stage **203** to generated fully decoded, upmixed audio which is output from decoder **200**. Alternatively, post-processor **300** is configured to perform upmixing on the output of decoder **200** (e.g., using PS metadata extracted by deformatter **205** and/or control bits generated in subsystem **204**).

In response to metadata extracted by deformatter **205**, control bit generator **204** may generate control data, and the control data may be used within decoder **200** (e.g., in a final upmixing subsystem) and/or asserted as output of decoder **200** (e.g., to post-processor **300** for use in post-processing). In response to metadata extracted from the input bitstream (and optionally also in response to control data), stage **204** may generate (and assert to post-processor **300**) control bits indicating that decoded audio data output from eSBR processing stage **203** should undergo a specific type of post-processing. In some implementations, decoder **200** is configured to assert metadata extracted by deformatter **205** from the input bitstream to post-processor **300**, and post-proces-

sor **300** is configured to perform post-processing on the decoded audio data output from decoder **200** using the metadata.

FIG. **4** is a block diagram of an audio processing unit ("APU") (**210**) which is another embodiment of the inventive audio processing unit. APU **210** is a legacy decoder which is not configured to perform eSBR processing. Any of the components or elements of APU **210** may be implemented as one or more processes and/or one or more circuits (e.g., ASICs, FPGAs, or other integrated circuits), in hardware, software, or a combination of hardware and software. APU **210** comprises buffer memory **201**, bitstream payload deformatter (parser) **215**, audio decoding subsystem **202** (sometimes referred to as a "core" decoding stage or "core" decoding subsystem), and SBR processing stage **213**, connected as shown. Typically also, APU **210** includes other processing elements (not shown). APU **210** may represent, for example, an audio encoder, decoder or transcoder.

Elements **201** and **202** of APU **210** are identical to the identically numbered elements of decoder **200** (of FIG. **3**) and the above description of them will not be repeated. In operation of APU **210**, a sequence of blocks of an encoded audio bitstream (an MPEG-4 AAC bitstream) received by APU **210** is asserted from buffer **201** to deformatter **215**.

Deformatter **215** is coupled and configured to demultiplex each block of the bitstream to extract SBR metadata (including quantized envelope data) and typically also other metadata therefrom, but to ignore eSBR metadata that may be included in the bitstream in accordance with any embodiment of the present invention. Deformatter **215** is configured to assert at least the SBR metadata to SBR processing stage **213**. Deformatter **215** is also coupled and configured to extract audio data from each block of the bitstream, and to assert the extracted audio data to decoding subsystem (decoding stage) **202**.

Audio decoding subsystem **202** of decoder **200** is configured to decode the audio data extracted by deformatter **215** (such decoding may be referred to as a "core" decoding operation) to generate decoded audio data, and to assert the decoded audio data to SBR processing stage **213**. The decoding is performed in the frequency domain. Typically, a final stage of processing in subsystem **202** applies a frequency domain-to-time domain transform to the decoded frequency domain audio data, so that the output of subsystem is time domain, decoded audio data. Stage **213** is configured to apply SBR tools (but not eSBR tools) indicated by the SBR metadata (extracted by deformatter **215**) to the decoded audio data (i.e., to perform SBR processing on the output of decoding subsystem **202** using the SBR metadata) to generate the fully decoded audio data which is output (e.g., to post-processor **300**) from APU **210**. Typically, APU **210** includes a memory (accessible by subsystem **202** and stage **213**) which stores the deformatted audio data and metadata output from deformatter **215**, and stage **213** is configured to access the audio data and metadata (including SBR metadata) as needed during SBR processing. The SBR processing in stage **213** may be considered to be post-processing on the output of core decoding subsystem **202**. Optionally, APU **210** also includes a final upmixing subsystem (which may apply parametric stereo ("PS") tools defined in the MPEG-4 AAC standard, using PS metadata extracted by deformatter **215**) which is coupled and configured to perform upmixing on the output of stage **213** to generated fully decoded, upmixed audio which is output from APU **210**. Alternatively, a post-processor is configured to perform upmixing on the output of APU **210** (e.g., using PS metadata extracted by deformatter **215** and/or control bits generated in APU **210**).

Various implementations of encoder **100**, decoder **200**, and APU **210** are configured to perform different embodiments of the inventive method.

In accordance with some embodiments, eSBR metadata is included (e.g., a small number of control bits which are eSBR metadata are included) in an encoded audio bitstream (e.g., an MPEG-4 AAC bitstream), such that legacy decoders (which are not configured to parse the eSBR metadata, or to use any eSBR tool to which the eSBR metadata pertains) can ignore the eSBR metadata but nevertheless decode the bitstream to the extent possible without use of the eSBR metadata or any eSBR tool to which the eSBR metadata pertains, typically without any significant penalty in decoded audio quality. However, eSBR decoders configured to parse the bitstream to identify the eSBR metadata and to use at least one eSBR tool in response to the eSBR metadata, will enjoy the benefits of using at least one such eSBR tool. Therefore, embodiments of the invention provide a means for efficiently transmitting enhanced spectral band replication (eSBR) control data or metadata in a backward-compatible fashion.

Typically, the eSBR metadata in the bitstream is indicative of (e.g., is indicative of at least one characteristic or parameter of) one or more of the following eSBR tools (which are described in the MPEG USAC standard, and which may or may not have been applied by an encoder during generation of the bitstream):

Harmonic transposition; and

QMF-patching additional pre-processing (pre-flattening).

For example, the eSBR metadata included in the bitstream may be indicative of values of the parameters (described in the MPEG USAC standard and in the present disclosure): sbrPatchingMode[ch], sbrOversamplingFlag[ch], sbrPitchInBins[ch], sbrPitchInBins[ch], and bs_sbr_preprocessing.

Herein, the notation X[ch], where X is some parameter, denotes that the parameter pertains to channel ("ch") of audio content of an encoded bitstream to be decoded. For simplicity, we sometimes omit the expression [ch], and assume the relevant parameter pertains to a channel of audio content.

Herein, the notation X[ch][env], where X is some parameter, denotes that the parameter pertains to SBR envelope ("env") of channel ("ch") of audio content of an encoded bitstream to be decoded. For simplicity, we sometimes omit the expressions [env] and [ch], and assume the relevant parameter pertains to an SBR envelope of a channel of audio content.

During decoding of an encoded bitstream, performance of harmonic transposition during an eSBR processing stage of the decoding (for each channel, "ch", of audio content indicated by the bitstream) is controlled by the following eSBR metadata parameters: sbrPatchingMode[ch]: sbrOversamplingFlag[ch]; sbrPitchInBinsFlag[ch]; and sbrPitchInBins[ch].

The value "sbrPatchingMode[ch]" indicates the transposer type used in eSBR: sbrPatchingMode[ch]=1 indicates linear transposition patching as described in Section 4.6.18 of the MPEG-4 AAC standard (as used with either high-quality SBR or low-power SBR); sbrPatchingMode[ch]=0 indicates harmonic SBR patching as described in Section 7.5.3 or 7.5.4 of the MPEG USAC standard.

The value "sbrOversamplingFlag[ch]" indicates the use of signal adaptive frequency domain oversampling in eSBR

in combination with the DFT based harmonic SBR patching as described in Section 7.5.3 of the MPEG USAC standard. This flag controls the size of the DFTs that are utilized in the transposer: 1 indicates signal adaptive frequency domain oversampling enabled as described in Section 7.5.3.1 of the MPEG USAC standard; 0 indicates signal adaptive frequency domain oversampling disabled as described in Section 7.5.3.1 of the MPEG USAC standard.

The value "sbrPitchInBinsFlag[ch]" controls the interpretation of the sbrPitchInBins[ch] parameter: 1 indicates that the value in sbrPitchInBins[ch] is valid and greater than zero; 0 indicates that the value of sbrPitchInBins[ch] is set to zero.

The value "sbrPitchInBins[ch]" controls the addition of cross product terms in the SBR harmonic transposer. The value sbrPitchinBins[ch] is an integer value in the range [0,127] and represents the distance measured in frequency bins for a 1536-line DFT acting on the sampling frequency of the core coder.

In the case that an MPEG-4 AAC bitstream is indicative of an SBR channel pair whose channels are not coupled (rather than a single SBR channel), the bitstream is indicative of two instances of the above syntax (for harmonic or non-harmonic transposition), one for each channel of the sbr_channel_pair_element( ).

The harmonic transposition of the eSBR tool typically improves the quality of decoded musical signals at relatively low cross over frequencies. Non-harmonic transposition (that is, legacy spectral patching) typically improves speech signals. Hence, a starting point in the decision as to which type of transposition is preferable for encoding specific audio content is to select the transposition method depending on speech/music detection with harmonic transposition be employed on the musical content and spectral patching on the speech content.

Performance of pre-flattening during eSBR processing is controlled by the value of a one-bit eSBR metadata parameter known as "bs_sbr_preprocessing", in the sense that pre-flattening is either performed or not performed depending on the value of this single bit. When the SBR QMF-patching algorithm, as described in Section 4.6.18.6.3 of the MPEG-4 AAC standard, is used, the step of pre-flattening may be performed (when indicated by the "bs_sbr_preprocessing" parameter) in an effort to avoid discontinuities in the shape of the spectral envelope of a high frequency signal being input to a subsequent envelope adjuster (the envelope adjuster performs another stage of the eSBR processing). The pre-flattening typically improves the operation of the subsequent envelope adjustment stage, resulting in a high-band signal that is perceived to be more stable.

The overall bitrate requirement for including in an MPEG-4 AAC bitstream eSBR metadata indicative of the above-mentioned eSBR tools (harmonic transposition and pre-flattening) is expected to be on the order of a few hundreds of bits per second because only the differential control data needed to perform eSBR processing is transmitted in accordance with some embodiments of the invention. Legacy decoders can ignore this information because it is included in a backward compatible manner (as will be explained later). Therefore, the detrimental effect on bitrate associated with of inclusion of eSBR metadata is negligible, for a number of reasons, including the following:

The bitrate penalty (due to including the eSBR metadata) is a very small fraction of the total bitrate because only the differential control data needed to perform eSBR processing is transmitted (and not a simulcast of the SBR control data); and

The tuning of SBR related control information does not typically depend of the details of the transposition. Examples of when the control data does depend on the operation of the transposer are discussed later in this application.

Thus, embodiments of the invention provide a means for efficiently transmitting enhanced spectral band replication (eSBR) control data or metadata in a backward-compatible fashion. This efficient transmission of the eSBR control data reduces memory requirements in decoders, encoders, and transcoders employing aspects of the invention, while having no tangible adverse effect on bitrate. Moreover, the complexity and processing requirements associated with performing eSBR in accordance with embodiments of the invention are also reduced because the SBR data needs to be processed only once and not simulcast, which would be the case if eSBR was treated as a completely separate object type in MPEG-4 AAC instead of being integrated into the MPEG-4 AAC codec in a backward-compatible manner.

Next, with reference to FIG. 7, we describe elements of a block ("raw_data_block") of an MPEG-4 AAC bitstream in which eSBR metadata is included in accordance with some embodiments of the present invention. FIG. 7 is a diagram of a block (a "raw_data_block") of the MPEG-4 AAC bitstream, showing some of the segments thereof.

A block of an MPEG-4 AAC bitstream may include at least one "single_channel_element( )" (e.g., the single channel element shown in FIG. 7), and/or at least one "channel_pair_element( )" (not specifically shown in FIG. 7 although it may be present), including audio data for an audio program. The block may also include a number of "fill_elements" (e.g., fill element 1 and/or fill element 2 of FIG. 7) including data (e.g., metadata) related to the program. Each "single_channel_element( )" includes an identifier (e.g., "ID1" of FIG. 7) indicating the start of a single channel element, and can include audio data indicative of a different channel of a multi-channel audio program. Each "channel_pair_element( )" includes an identifier (not shown in FIG. 7) indicating the start of a channel pair element, and can include audio data indicative of two channels of the program.

A fill_element (referred to herein as a fill element) of an MPEG-4 AAC bitstream includes an identifier ("ID2" of FIG. 7) indicating the start of a fill element, and fill data after the identifier. The identifier ID2 may consist of a three bit unsigned integer transmitted most significant bit first ("uimsbf") having a value of 0x6. The fill data can include an extension_payload( ) element (sometimes referred to herein as an extension payload) whose syntax is shown in Table 4.57 of the MPEG-4 AAC standard. Several types of extension payloads exist and are identified through the "extension type" parameter, which is a four bit unsigned integer transmitted most significant bit first ("uimsbf").

The fill data (e.g., an extension payload thereof) can include a header or identifier (e.g., "header1" of FIG. 7) which indicates a segment of fill data which is indicative of an SBR object (i.e., the header initializes an "SBR object" type, referred to as sbr_extension_data( ) in the MPEG-4 AAC standard). For example, a spectral band replication (SBR) extension payload is identified with the value of '1101' or '1110' for the extension_type field in the header, with the identifier '1101' identifying an extension payload with SBR data and '1110' identifying an extension payload with SBR data with a Cyclic Redundancy Check (CRC) to verify the correctness of the SBR data.

When the header (e.g., the extension_type field) initializes an SBR object type, SBR metadata (sometimes referred to

herein as "spectral band replication data," and referred to as sbr_data( ) in the MPEG-4 AAC standard) follows the header, and at least one spectral band replication extension element (e.g., the "SBR extension element" of fill element **1** of FIG. **7**) can follow the SBR metadata. Such a spectral band replication extension element (a segment of the bitstream) is referred to as a "sbr_extension( )" container in the MPEG-4 AAC standard. A spectral band replication extension element optionally includes a header (e.g., "SBR extension header" of fill element **1** of FIG. **7**).

The MPEG-4 AAC standard contemplates that a spectral band replication extension element can include PS (parametric stereo) data for audio data of a program. The MPEG-4 AAC standard contemplates that when the header of a fill element (e.g., of an extension payload thereof) initializes an SBR object type (as does "header1" of FIG. **7**) and a spectral band replication extension element of the fill element includes PS data, the fill element (e.g., the extension payload thereof) includes spectral band replication data, and a "bs_extension_id" parameter whose value (i.e., bs_extension_id=2) indicates that PS data is included in a spectral band replication extension element of the fill element.

In accordance with some embodiments of the present invention, eSBR metadata (e.g., a flag indicative of whether enhanced spectral band replication (eSBR) processing is to be performed on audio content of the block) is included in a spectral band replication extension element of a fill element. For example, such a flag is indicated in fill element **1** of FIG. **7**, where the flag occurs after the header (the "SBR extension header" of fill element **1**) of "SBR extension element" of fill element **1**. Optionally, such a flag and additional eSBR metadata are included in a spectral band replication extension element after the spectral band replication extension element's header (e.g., in the SBR extension element of fill element **1** in FIG. **7**, after the SBR extension header). In accordance with some embodiments of the present invention, a fill element which includes eSBR metadata also includes a "bs_extension_id" parameter whose value (e.g., bs_extension_id=3) indicates that eSBR metadata is included in the fill element and that eSBR processing is to be performed on audio content of the relevant block.

In accordance with some embodiments of the invention, eSBR metadata is included in a fill element (e.g., fill element **2** of FIG. **7**) of an MPEG-4 AAC bitstream other than in a spectral band replication extension element (SBR extension element) of the fill element. This is because fill elements containing an extension_payload( ) with SBR data or SBR data with a CRC do not contain any other extension payload of any other extension type. Therefore, in embodiments where eSBR metadata is stored its own extension payload, a separate fill element is used to store the eSBR metadata. Such a fill element includes an identifier (e.g., "ID2" of FIG. **7**) indicating the start of a fill element, and fill data after the identifier. The fill data can include an extension_payload( ) element (sometimes referred to herein as an extension payload) whose syntax is shown in Table 4.57 of the MPEG-4 AAC standard. The fill data (e.g., an extension payload thereof) includes a header (e.g., "header2" of fill element **2** of FIG. **7**) which is indicative of an eSBR object (i.e., the header initializes an enhanced spectral band replication (eSBR) object type), and the fill data (e.g., an extension payload thereof) includes eSBR metadata after the header. For example, fill element **2** of FIG. **7** includes such a header ("header2") and also includes, after the header, eSBR metadata (i.e., the "flag" in fill element **2**, which is indicative of whether enhanced spectral band replication

(eSBR) processing is to be performed on audio content of the block). Optionally, additional eSBR metadata is also included in the fill data of fill element **2** of FIG. **7**, after header2. In the embodiments being described in the present paragraph, the header (e.g., header2 of FIG. **7**) has an identification value which is not one of the conventional values specified in Table 4.57 of the MPEG-4 AAC standard, and is instead indicative of an eSBR extension payload (so that the header's extension type field indicates that the fill data includes eSBR metadata).

In a first class of embodiments, the invention is an audio processing unit (e.g., a decoder), comprising:

a memory (e.g., buffer **201** of FIG. **3** or **4**) configured to store at least one block of an encoded audio bitstream (e.g., at least one block of an MPEG-4 AAC bitstream);

a bitstream payload deformatter (e.g., element **205** of FIG. **3** or element **215** of FIG. **4**) coupled to the memory and configured to demultiplex at least one portion of said block of the bitstream; and

a decoding subsystem (e.g., elements **202** and **203** of FIG. **3**, or elements **202** and **213** of FIG. **4**), coupled and configured to decode at least one portion of audio content of said block of the bitstream, wherein the block includes:

a fill element, including an identifier indicating a start of the fill element (e.g., the "id_syn_ele" identifier having value 0x6, of Table 4.85 of the MPEG-4 AAC standard), and fill data after the identifier, wherein the fill data includes:

at least one flag identifying whether enhanced spectral band replication (eSBR) processing is to be performed on audio content of the block (e.g., using spectral band replication data and eSBR metadata included in the block).

The flag is eSBR metadata, and an example of the flag is the sbrPatchingMode flag. Another example of the flag is the harmonicSBR flag. Both of these flags indicate whether a base form of spectral band replication or an enhanced form of spectral replication is to be performed on the audio data of the block. The base form of spectral replication is spectral patching, and the enhanced form of spectral band replication is harmonic transposition.

In some embodiments, the fill data also includes additional eSBR metadata (i.e., eSBR metadata other than the flag).

The memory may be a buffer memory (e.g., an implementation of buffer **201** of FIG. **4**) which stores (e.g., in a non-transitory manner) the at least one block of the encoded audio bitstream.

It is estimated that the complexity of performance of eSBR processing (using the eSBR harmonic transposition and pre-flattening) by an eSBR decoder during decoding of an MPEG-4 AAC bitstream which includes eSBR metadata (indicative of these eSBR tools) would be as follows (for typical decoding with the indicated parameters):

Harmonic transposition (16 kbps, 14400/28800 Hz)

DFT based: 3.68 WMOPS (weighted million operations per second);

QMF based: 0.98 WMOPS;

QMF-patching pre-processing (pre-flattening): 0.1 WMOPS.

It is known that DFT based transposition typically performs better than the QMF based transposition for transients.

In accordance with some embodiments of the present invention, a fill element (of an encoded audio bitstream)

which includes eSBR metadata also includes a parameter (e.g., a "bs_extension_id" parameter) whose value (e.g., bs_extension_id=3) signals that eSBR metadata is included in the fill element and that eSBR processing is to be performed on audio content of the relevant block, and/or or a parameter (e.g., the same "bs_extension_id" parameter) whose value (e.g., bs_extension_id=2) signals that an sbr_extension( ) container of the fill element includes PS data. For example, as indicated in Table 1 below, such a parameter having the value bs_extension_id=2 may signal that a sbr_extension( ) container of the fill element includes PS data, and such a parameter having the value bs_extension_id=3 may signal that a sbr_extension( ) container of the fill element includes eSBR metadata:

TABLE 1

bs_extension_id	Meaning
0	Reserved
1	Reserved
2	EXTENSION_ID_PS
3	EXTENSION_ID_ESBR

In accordance with some embodiments of the invention, the syntax of each spectral band replication extension element which includes eSBR metadata and/or PS data is as indicated in Table 2 below (in which "sbr_extension( )" denotes a container which is the spectral band replication extension element, "bs_extension_id" is as described in Table 1 above, "ps_data" denotes PS data, and "esbr_data" denotes eSBR metadata):

TABLE 2

```
sbr_extension(bs_extension_id, num_bits_left)
{
    switch (bs_extension_id) {
    case EXTENSION_ID_PS:
        num_bits_left -= ps_data( );        Note 1
        break;
    case EXTENSION_ID_ESBR:
        num_bits_left -= esbr_data( );      Note 2
        break;
    default:
        bs_fill_bits;
        num_bits_left = 0;
        break;
    }
}
```

Note 1:
ps_data( ) returns the number of bits read.
Note 2:
esbr_data( ) returns the number of bits read.

In an exemplary embodiment, the esbr_data( ) referred to in Table 2 above is indicative of values of the following metadata parameters:

1. the one-bit metadata parameter, "bs_sbr_preprocessing"; and

2. for each channel ("ch") of audio content of the encoded bitstream to be decoded, each of the above-described parameters: "sbrPatchingMode[ch]"; "sbrOversamplingFlag[ch]"; "sbrPitchInflinsFlag[ch]"; and "sbrPitchInBins[ch]".

For example, in some embodiments, the esbr_data( ) may have the syntax indicated in Table 3, to indicate these metadata parameters:

TABLE 3

Syntax	No. of bits
esbr_data(id_aac, bs_coupling)	
{	
bs_sbr_preprocessing;	1
if (id_aac == ID_SCE) {	
if (sbrPatchingMode[0] == 0) {	1
sbrOversamplingFlag[0];	1
if (sbrPitchInBinsFlag[0])	1
sbrPitchInBins[0];	7
else	
sbrPitchInBins[0] = 0;	
} else {	
sbrOversamplingFlag[0] = 0;	
sbrPitchInBins[0] = 0;	
}	
} else if (id_aac == ID_CPE) {	
If (bs_coupling) {	
if (sbrPatchingMode[0,1] == 0) {	1
sbrOversamplingFlag[0,1];	1
if (sbrPitchInBinsFlag[0,1])	1
sbrPitchInBins[0,1];	7
else	
sbrPitchInBins[0,1] = 0;	
} else {	
sbrOversamplingFlag[0,1] = 0;	
sbrPitchInBins[0,1] = 0;	
}	
} else { /* bs_coupling == 0 */	
if (sbrPatchingMode[0] == 0) {	1
sbrOversamplingFlag[0];	1
if (sbrPitchInBinsFlag[0])	1
sbrPitchInBins[0];	7
else	
sbrPitchInBins[0] = 0;	
} else {	
sbrOversamplingFlag[0] = 0;	
sbrPitchInBins[0] = 0;	
}	
if (sbrPatchingMode[1] == 0) {	1
sbrOversamplingFlag[1];	1
if (sbrPitchInBinsFlag[1])	1
sbrPitch InBins[1];	7
else	
sbrPitchInBins[1] = 0;	
} else {	
sbrOversamplingFlag[1] = 0;	
sbrPitchInBins[1] = 0;	
}	
}	
}	
}	

Note:
bs_sbr_preprocessing is defined as described in section 6.2.12 of ISO/IEC 23003-3:2012. sbrPatchingMode[ch], sbrOversamplingFlag[ch], sbrPitchInBinsFlag[ch] and sbrPitchInBins[ch] are defined as described in section 7.5 of ISO/IEC 23003-3:2012.

The above syntax enables an efficient implementation of an enhanced form of spectral band replication, such as harmonic transposition, as an extension to a legacy decoder. Specifically, the eSBR data of Table 3 includes only those parameters needed to perform the enhanced form of spectral band replication that are not either already supported in the bitstream or directly derivable from parameters already supported in the bitstream. All other parameters and processing data needed to perform the enhanced form of spectral band replication are extracted from pre-existing parameters in already-defined locations in the bitstream.

For example, an MPEG-4 HE-AAC or HE-AAC v2 compliant decoder may be extended to include an enhanced form of spectral band replication, such as harmonic transposition. This enhanced form of spectral band replication is in addition to the base form of spectral band replication already supported by the decoder. In the context of an MPEG-4 HE-AAC or HE-AAC v2 compliant decoder, this

base form of spectral band replication is the QMF spectral patching SBR tool as defined in Section 4.6.18 of the MPEG-4 AAC Standard.

When performing the enhanced form of spectral band replication, an extended HE-AAC decoder may reuse many of the bitstream parameters already included in the SBR extension payload of the bitstream. The specific parameters that may be reused include, for example, the various parameters that determine the master frequency band table. These parameters include bs_start_freq (parameter that determines the start of master frequency table parameter), bs_stop_freq (parameter that determines the stop of master frequency table), bs_freq_scale (parameter that determines the number of frequency bands per octave), and bs_alter_scale (parameter that alters the scale of the frequency bands). The parameters that may be reused also include parameters that determine the noise band table (bs_noise_bands) and the limiter band table parameters (bs_limiter_bands). Accordingly, in various embodiments, at least some of the equivalent parameters specified in the USAC standard are omitted from the bitstream, thereby reducing control overhead in the bitstream. Typically, where a parameter specified in the AAC standard has an equivalent parameter specified in the USAC standard, the equivalent parameter specified in the USAC standard has the same name as the parameter specified in the AAC standard, e.g. the envelope scalefactor EOrigMapped. However, the equivalent parameter specified in the USAC standard typically has a different value, which is "tuned" for the enhanced SBR processing defined in the USAC standard rather than for the SBR processing defined in the AAC standard.

In order to improve the subjective quality for audio content with harmonic frequency structure and strong tonal characteristics, in particular at low bitrates, activation of enhanced SBR is recommended. The values of the corresponding bitstream element (i.e. esbr_data( )), controlling these tools, may be determined in the encoder by applying a signal dependent classification mechanism. Generally, the usage of the harmonic patching method (sbrPatchingMode==1) is preferable for coding music signals at very low bitrates, where the core codec may be considerably limited in audio bandwidth. This is especially true if these signals include a pronounced harmonic structure. Contrarily, the usage of the regular SBR patching method is preferred for speech and mixed signals, since it provides a better preservation of the temporal structure in speech.

In order to improve the performance of the harmonic transposer, a pre-processing step can be activated (bs_sbr_preprocessing==1) that strives to avoid the introduction of spectral discontinuities of the signal going in to the subsequent envelope adjuster. The operation of the tool is beneficial for signal types where the coarse spectral envelope of the low band signal being used for high frequency reconstruction displays large variations in level.

In order to improve the transient response of the harmonic SBR patching, signal adaptive frequency domain oversampling can be applied (sbrOversamplingFlag==1). Since signal adaptive frequency domain oversampling increases the computational complexity of the transposer, but only brings benefits for frames which contain transients, the use of this tool is controlled by the bitstream element, which is transmitted once per frame and per independent SBR channel.

A decoder operating in the proposed enhanced SBR mode typically needs to be able to switch between legacy and enhanced SBR patching. Therefore, delay may be introduced which can be as long as the duration of one core audio

frame, depending on decoder setup. Typically, the delay for both legacy and enhanced SBR patching will be similar.

In addition to the numerous parameters, other data elements may also be reused by an extended HE-AAC decoder when performing an enhanced form of spectral band replication in accordance with embodiments of the invention. For example, the envelope data and noise floor data may also be extracted from the bs_data_env (envelope scalefactors) and bs_noise_env (noise floor scalefactors) data and used during the enhanced form of spectral band replication.

In essence, these embodiments exploit the configuration parameters and envelope data already supported by a legacy HE-AAC or HE-AAC v2 decoder in the SBR extension payload to enable an enhanced form of spectral band replication requiring as little extra transmitted data as possible. The metadata was originally tuned for a base form of HFR (e.g., the spectral translation operation of SBR), but in accordance with embodiments, is used for an enhanced form of HFR (e.g., the harmonic transposition of eSBR). As previously discussed, the metadata generally represents operating parameters (e.g., envelope scale factors, noise floor scale factors, time/frequency grid parameters, sinusoid addition information, variable cross over frequency/band, inverse filtering mode, envelope resolution, smoothing mode, frequency interpolation mode) tuned and intended to be used with the base form of HFR (e.g., linear spectral translation). However, this metadata, combined with additional metadata parameters specific to the enhanced form of HFR (e.g., harmonic transposition), may be used to efficiently and effectively process the audio data using the enhanced form of HFR.

Accordingly, extended decoders that support an enhanced form of spectral band replication may be created in a very efficient manner by relying on already defined bitstream elements (for example, those in the SBR extension payload) and adding only those parameters needed to support the enhanced form of spectral band replication (in a fill element extension payload). This data reduction feature combined with the placement of the newly added parameters in a reserved data field, such as an extension container, substantially reduces the barriers to creating a decoder that supports an enhanced form of spectral band replication by ensuring that the bitstream is backwards-compatible with legacy decoder not supporting the enhanced form of spectral band replication. It will be appreciated that the reserved data field is a backward-compatible data field, which is to say that it is a data field which is already supported by earlier decoders, such as legacy HE-AAC or HE-AAC v2 decoders. Similarly, the extension container is backward-compatible, which is to say that it is an extension container which is already supported by earlier decoders, such as legacy HE-AAC or HE-AAC v2 decoders.

In Table 3, the number in the right column indicates the number of bits of the corresponding parameter in the left column.

In some embodiments, the SBR object type defined in MPEG-4 AAC is updated to contain the SBR-Tool and aspects of the enhanced SBR (eSBR) Tool as signaled in the SBR extension element (bs_extension_id== EXTENSION_ID_ESBR). If a decoder detects this SBR extension element, the decoder employs the signaled aspects of the enhanced SBR Tool.

In some embodiments, the invention is a method including a step of encoding audio data to generate an encoded bitstream (e.g., an MPEG-4 AAC bitstream), including by including eSBR metadata in at least one segment of at least one block of the encoded bitstream and audio data in at least

one other segment of the block. In typical embodiments, the method includes a step of multiplexing the audio data with the eSBR metadata in each block of the encoded bitstream. In typical decoding of the encoded bitstream in an eSBR decoder, the decoder extracts the eSBR metadata from the bitstream (including by parsing and demultiplexing the eSBR metadata and the audio data) and uses the eSBR metadata to process the audio data to generate a stream of decoded audio data.

Another aspect of the invention is an eSBR decoder configured to perform eSBR processing (e.g., using at least one of the eSBR tools known as harmonic transposition or pre-flattening) during decoding of an encoded audio bitstream (e.g., an MPEG-4 AAC bitstream) which does not include eSBR metadata. An example of such a decoder will be described with reference to FIG. **5**.

The eSBR decoder (**400**) of FIG. **5** includes buffer memory **201** (which is identical to memory **201** of FIGS. **3** and **4**), bitstream payload deformatter **215** (which is identical to deformatter **215** of FIG. **4**), audio decoding subsystem **202** (sometimes referred to as a "core" decoding stage or "core" decoding subsystem, and which is identical to core decoding subsystem **202** of FIG. **3**), eSBR control data generation subsystem **401**, and eSBR processing stage **203** (which is identical to stage **203** of FIG. **3**), connected as shown. Typically also, decoder **400** includes other processing elements (not shown).

In operation of decoder **400**, a sequence of blocks of an encoded audio bitstream (an MPEG-4 AAC bitstream) received by decoder **400** is asserted from buffer **201** to deformatter **215**.

Deformatter **215** is coupled and configured to demultiplex each block of the bitstream to extract SBR metadata (including quantized envelope data) and typically also other metadata therefrom. Deformatter **215** is configured to assert at least the SBR metadata to eSBR processing stage **203**. Deformatter **215** is also coupled and configured to extract audio data from each block of the bitstream, and to assert the extracted audio data to decoding subsystem (decoding stage) **202**.

Audio decoding subsystem **202** of decoder **400** is configured to decode the audio data extracted by deformatter **215** (such decoding may be referred to as a "core" decoding operation) to generate decoded audio data, and to assert the decoded audio data to eSBR processing stage **203**. The decoding is performed in the frequency domain. Typically, a final stage of processing in subsystem **202** applies a frequency domain-to-time domain transform to the decoded frequency domain audio data, so that the output of subsystem is time domain, decoded audio data. Stage **203** is configured to apply SBR tools (and eSBR tools) indicated by the SBR metadata (extracted by deformatter **215**) and by eSBR metadata generated in subsystem **401**, to the decoded audio data (i.e., to perform SBR and eSBR processing on the output of decoding subsystem **202** using the SBR and eSBR metadata) to generate the fully decoded audio data which is output from decoder **400**. Typically, decoder **400** includes a memory (accessible by subsystem **202** and stage **203**) which stores the deformatted audio data and metadata output from deformatter **215** (and optionally also subsystem **401**), and stage **203** is configured to access the audio data and metadata as needed during SBR and eSBR processing. The SBR processing in stage **203** may be considered to be post-processing on the output of core decoding subsystem **202**. Optionally, decoder **400** also includes a final upmixing subsystem (which may apply parametric stereo ("PS") tools defined in the MPEG-4 AAC standard, using PS metadata

extracted by deformatter **215**) which is coupled and configured to perform upmixing on the output of stage **203** to generated fully decoded, upmixed audio which is output from APU **210**.

Parametric stereo is a coding tool that represents a stereo signal using a linear downmix of the left and right channels of the stereo signal and sets of spatial parameters describing the stereo image. Parametric stereo typically employs three types of spatial parameters: (1) inter-channel intensity differences (IID) describing the intensity differences between the channels; (2) inter-channel phase differences (IPD) describing the phase differences between the channels; and (3) inter-channel coherence (ICC) describing the coherence (or similarity) between the channels. The coherence may be measured as the maximum of the cross-correlation as a function of time or phase. These three parameters generally enable a high quality reconstruction of the stereo image. However, the IPD parameters only specify the relative phase differences between the channels of the stereo input signal and do not indicate the distribution of these phase differences over the left and right channels. Therefore, a fourth type of parameter describing an overall phase offset or overall phase difference (OPD) may additionally be used. In the stereo reconstruction process, consecutive windowed segments of both the received downmix signal, s[n], and a decorrelated version of the received downmix, d[n], are processed together with the spatial parameters to generate the left (lk(n)) and right (rk(n)) reconstructed signals according to:

$$l_k(n) = H_{11}(k,n)s_k(n) + H_{21}(k,n)d_k(n)$$

$$r_k(n) = H_{12}(k,n)s_k(n) + H_{22}(k,n)d_k(n)$$

where H_{11}, H_{12}, H_{21} and H_{22} are defined by the stereo parameters. The signals $l_k(n)$ and $r_k(n)$ are finally transformed back to the time domain by means of a frequency-to-time transform.

Control data generation subsystem **401** of FIG. **5** is coupled and configured to detect at least one property of the encoded audio bitstream to be decoded, and to generate eSBR control data (which may be or include eSBR metadata of any of the types included in encoded audio bitstreams in accordance with other embodiments of the invention) in response to at least one result of the detection step. The eSBR control data is asserted to stage **203** to trigger application of individual eSBR tools or combinations of eSBR tools upon detecting a specific property (or combination of properties) of the bitstream, and/or to control the application of such eSBR tools. For example, in order to control performance of eSBR processing using harmonic transposition, some embodiments of control data generation subsystem **401** would include: a music detector (e.g., a simplified version of a conventional music detector) for setting the sbrPatchingMode[ch] parameter (and asserting the set parameter to stage **203**) in response to detecting that the bitstream is or is not indicative of music; a transient detector for setting the sbrOversamplingFlag[ch] parameter (and asserting the set parameter to stage **203**) in response to detecting the presence or absence of transients in the audio content indicated by the bitstream; and/or a pitch detector for setting the sbrPitchInBinsFlag[ch] and sbrPitchInBins [ch] parameters (and asserting the set parameters to stage **203**) in response to detecting the pitch of audio content indicated by the bitstream. Other aspects of the invention are audio bitstream decoding methods performed by any embodiment of the inventive decoder described in this paragraph and the preceding paragraph.

The coefficients of the prototype filter, $p_0(n)$, may be defined with a length, L, of 640, as shown in Table 4 below.

TABLE 4

n	$p_0(n)$
0	0.0000000000
1	−0.0005525286
2	−0.0005617692
3	−0.0004947518
4	−0.0004875227
5	−0.0004893791
6	−0.0005040714
7	−0.0005226564
8	−0.0005466565
9	−0.0005677802
10	−0.0005870930
11	−0.0006132747
12	−0.0006312493
13	−0.0006540333
14	−0.0006777690
15	−0.0006941614
16	−0.0007157736
17	−0.0007255043
18	−0.0007440941
19	−0.0007490598
20	−0.0007681371
21	−0.0007724848
22	−0.0007834332
23	−0.0007779869
24	−0.0007803664
25	−0.0007801449
26	−0.0007757977
27	−0.0007630793
28	−0.0007530001
29	−0.0007319357
30	0.0007215391
31	−0.0006917937
32	−0.0006650415
33	−0.0006341594
34	−0.0005946118
35	−0.0005564576
36	−0.0005145572
37	−0.0004606325
38	−0.0004095121
39	−0.0003501175
40	−0.0002896981
41	−0.0002098337
42	−0.0001446380
43	−0.0000617334
44	0.0000134949
45	0.0001094383
46	0.0002043017
47	0.0002949531
48	0.0004026540
49	0.0005107388
50	0.0006239376
51	0.0007458025
52	0.0008608443
53	0.0009885988
54	0.0011250155
55	0.0012577884
56	0.0013902494
57	0.0015443219
58	0.0016868083
59	0.0018348265
60	0.0019841140
61	0.0021461583
62	0.0023017254
63	0.0024625616
64	0.0026201758
65	0.0027870464
66	0.0029469447
67	0.0031125420
68	0.0032739613
69	0.0034418874
70	0.0036008268
71	0.0037603922
72	0.0039207432
73	0.0040819753

TABLE 4-continued

n	$p_0(n)$
74	0.0042264269
75	0.0043730719
76	0.0045209852
77	0.0046606460
78	0.0047932560
79	0.0049137603
80	0.0050393022
81	0.0051407353
82	0.0052461166
83	0.0053471681
84	0.0054196775
85	0.0054876040
86	0.0055475714
87	0.0055938023
88	0.0056220643
89	0.0056455196
90	0.0056389199
91	0.0056266114
92	0.0055917128
93	0.0055404363
94	0.0054753783
95	0.0053838975
96	0.0052715758
97	0.0051382275
98	0.0049839687
99	0.0048109469
100	0.0046039530
101	0.0043801861
102	0.0041251642
103	0.0038456408
104	0.0035401246
105	0.0032091885
106	0.0028446757
107	0.0024508540
108	0.0020274176
109	0.0015784682
110	0.0010902329
111	0.0005832264
112	0.0000276045
113	−0.0005464280
114	−0.0011568135
115	−0.0018039472
116	−0.0024826723
117	−0.0031933778
118	−0.0039401124
119	−0.0047222596
120	−0.0055337211
121	−0.0063792293
122	−0.0072615816
123	−0.0081798233
124	−0.0091325329
125	−0.0101150215
126	−0.0111315548
127	−0.0121849995
128	0.0132718220
129	0.0143904666
130	0.0155405553
131	0.0167324712
132	0.0179433381
133	0.0191872431
134	0.0204531793
135	0.0217467550
136	0.0230680169
137	0.0244160992
138	0.0257875847
139	0.0271859429
140	0.0286072173
141	0.0300502657
142	0.0315017608
143	0.0329754081
144	0.0344620948
145	0.0359697560
146	0.0374812850
147	0.0390053679
148	0.0405349170
149	0.0420649094
150	0.0436097542
151	0.0451488405

TABLE 4-continued

n	$p_0(n)$
152	0.0466843027
153	0.0482165720
154	0.0497385755
155	0.0512556155
156	0.0527630746
157	0.0542452768
158	0.0557173648
159	0.0571616450
160	0.0585915683
161	0.0599837480
162	0.0613455171
163	0.0626857808
164	0.0639715898
165	0.0652247106
166	0.0664367512
167	0.0676075985
168	0.0687043828
169	0.0697630244
170	0.0707628710
171	0.0717002673
172	0.0725682583
173	0.0733620255
174	0.0741003642
175	0.0747452558
176	0.0753137336
177	0.0758008358
178	0.0761992479
179	0.0764992170
180	0.0767093490
181	0.0768173975
182	0.0768230011
183	0.0767204924
184	0.0765050718
185	0.0761748321
186	0.0757305756
187	0.0751576255
188	0.0744664394
189	0.0736406005
190	0.0726774642
191	0.0715826364
192	0.0703533073
193	0.0689664013
194	0.0674525021
195	0.0657690668
196	0.0639444805
197	0.0619602779
198	0.0598166570
199	0.0575152691
200	0.0550460034
201	0.0524093821
202	0.0495978676
203	0.0466303305
204	0.0434768782
205	0.0401458278
206	0.0366418116
207	0.0329583930
208	0.0290824006
209	0.0250307561
210	0.0207997072
211	0.0163701258
212	0.0117623832
213	0.0069636862
214	0.0019765601
215	−0.0032086896
216	−0.0085711749
217	−0.0141288827
218	−0.0198834129
219	−0.0258227288
220	−0.0319531274
221	−0.0382776572
222	−0.0447806821
223	−0.0514804176
224	−0.0583705326
225	−0.0654409853
226	−0.0726943300
227	−0.0801372934
228	−0.0877547536
229	−0.0955533352

TABLE 4-continued

n	$p_0(n)$
230	−0.1035329531
231	−0.1116826931
232	−0.1200077984
233	−0.1285002850
234	−0.1371551761
235	−0.1459766491
236	−0.1549607071
237	−0.1640958855
238	−0.1733808172
239	−0.1828172548
240	−0.1923966745
241	−0.2021250176
242	−0.2119735853
243	−0.2219652696
244	−0.2320690870
245	−0.2423016884
246	−0.2526480309
247	−0.2631053299
248	−0.2736634040
249	−0.2843214189
250	−0.2950716717
251	−0.3059098575
252	−0.3168278913
253	−0.3278113727
254	−0.3388722693
255	−0.3499914122
256	0.3611589903
257	0.3723795546
258	0.3836350013
259	0.3949211761
260	0.4062317676
261	0.4175696896
262	0.4289119920
263	0.4402553754
264	0.4515996535
265	0.4629308085
266	0.4742453214
267	0.4855253091
268	0.4967708254
269	0.5079817500
270	0.5191234970
271	0.5302240895
272	0.5412553448
273	0.5522051258
274	0.5630789140
275	0.5738524131
276	0.5845403235
277	0.5951123086
278	0.6055783538
279	0.6159109932
280	0.6261242695
281	0.6361980107
282	0.6461269695
283	0.6559016302
284	0.6655139880
285	0.6749663190
286	0.6842353293
287	0.6933282376
288	0.7022388719
289	0.7109410426
290	0.7194462634
291	0.7277448900
292	0.7358211758
293	0.7436827863
294	0.7513137456
295	0.7587080760
296	0.7658674865
297	0.7727780881
298	0.7794287519
299	0.7858353120
300	0.7919735841
301	0.7978466413
302	0.8034485751
303	0.8087695004
304	0.8138191270
305	0.8185776004
306	0.8230419890
307	0.8272275347

TABLE 4-continued

n	$p_0(n)$
308	0.8311038457
309	0.8346937361
310	0.8379717337
311	0.8409541392
312	0.8436238281
313	0.8459818469
314	0.8480315777
315	0.8497805198
316	0.8511971524
317	0.8523047035
318	0.8531020949
319	0.8535720573
320	0.8537385600
321	0.8535720573
322	0.8531020949
323	0.8523047035
324	0.8511971524
325	0.8497805198
326	0.8480315777
327	0.8459818469
328	0.8436238281
329	0.8409541392
330	0.8379717337
331	0.8346937361
332	0.8311038457
333	0.8272275347
334	0.8230419890
335	0.8185776004
336	0.8138191270
337	0.8087695004
338	0.8034485751
339	0.7978466413
340	0.7919735841
341	0.7858353120
342	0.7794287519
343	0.7727780881
344	0.7658674865
345	0.7587080760
346	0.7513137456
347	0.7436827863
348	0.7358211758
349	0.7277448900
350	0.7194462634
351	0.7109410426
352	0.7022388719
353	0.6933282376
354	0.6842353293
355	0.6749663190
356	0.6655139880
357	0.6559016302
358	0.6461269695
359	0.6361980107
360	0.6261242695
361	0.6159109932
362	0.6055783538
363	0.5951123086
364	0.5845403235
365	0.5738524131
366	0.5630789140
367	0.5522051258
368	0.5412553448
369	0.5302240895
370	0.5191234970
371	0.5079817500
372	0.4967708254
373	0.4855253091
374	0.4742453214
375	0.4629308085
376	0.4515996535
377	0.4402553754
378	0.4289119920
379	0.4175696896
380	0.4062317676
381	0.3949211761
382	0.3836350013
383	0.3723795546
384	−0.3611589903
385	−0.3499914122

TABLE 4-continued

n	$p_0(n)$
386	−0.3388722693
387	−0.3278113727
388	−0.3168278913
389	−0.3059098575
390	−0.2950716717
391	−0.2843214189
392	−0.2736634040
393	−0.2631053299
394	−0.2526480309
395	−0.2423016884
396	−0.2320690870
397	−0.2219652696
398	−0.2119735853
399	−0.2021250176
400	−0.1923966745
401	−0.1828172548
402	−0.1733808172
403	−0.1640958855
404	−0.1549607071
405	−0.1459766491
406	−0.1371551761
407	−0.1285002850
408	−0.1200077984
409	−0.1116826931
410	−0.1035329531
411	−0.0955533352
412	−0.0877547536
413	−0.0801372934
414	−0.0726943300
415	−0.0654409853
416	−0.0583705326
417	−0.0514804176
418	−0.0447806821
419	−0.0382776572
420	−0.0319531274
421	−0.0258227288
422	−0.0198834129
423	−0.0141288827
424	−0.0085711749
425	−0.0032086896
426	0.0019765601
427	0.0069636862
428	0.0117623832
429	0.0163701258
430	0.0207997072
431	0.0250307561
432	0.0290824006
433	0.0329583930
434	0.0366418116
435	0.0401458278
436	0.0434768782
437	0.0466303305
438	0.0495978676
439	0.0524093821
440	0.0550460034
441	0.0575152691
442	0.0598166570
443	0.0619602779
444	0.0639444805
445	0.0657690668
446	0.0674525021
447	0.0689664013
448	0.0703533073
449	0.0715826364
450	0.0726774642
451	0.0736406005
452	0.0744664394
453	0.0751576255
454	0.0757305756
455	0.0761748321
456	0.0765050718
457	0.0767204924
458	0.0768230011
459	0.0768173975
460	0.0767093490
461	0.0764992170
462	0.0761992479
463	0.0758008358

Line numbers (center column): 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, 60, 65

31

TABLE 4-continued

n	$p_0(n)$
464	0.0753137336
465	0.0747452558
466	0.0741003642
467	0.0733620255
468	0.0725682583
469	0.0717002673
470	0.0707628710
471	0.0697630244
472	0.0687043828
473	0.0676075985
474	0.0664367512
475	0.0652247106
476	0.0639715898
477	0.0626857808
478	0.0613455171
479	0.0599837480
480	0.0585915683
481	0.0571616450
482	0.0557173648
483	0.0542452768
484	0.0527630746
485	0.0512556155
486	0.0497385755
487	0.0482165720
488	0.0466843027
489	0.0451488405
490	0.0436097542
491	0.0420649094
492	0.0405349170
493	0.0390053679
494	0.0374812850
495	0.0359697560
496	0.0344620948
497	0.0329754081
498	0.0315017608
499	0.0300502657
500	0.0286072173
501	0.0271859429
502	0.0257875847
503	0.0244160992
504	0.0230680169
505	0.0217467550
506	0.0204531793
507	0.0191872431
508	0.0179433381
509	0.0167324712
510	0.0155405553
511	0.0143904666
512	−0.0132718220
513	−0.0121849995
514	−0.0111315548
515	−0.0101150215
516	−0.0091325329
517	−0.0081798233
518	−0.0072615816
519	−0.0063792293
520	−0.0055337211
521	−0.0047222596
522	−0.0039401124
523	−0.0031933778
524	−0.0024826723
525	−0.0018039472
526	−0.0011568135
527	−0.0005464280
528	0.0000276045
529	0.0005832264
530	0.0010902329
531	0.0015784682
532	0.0020274176
533	0.0024508540
534	0.0028446757
535	0.0032091885
536	0.0035401246
537	0.0038456408
538	0.0041251642
539	0.0043801861
540	0.0046039530
541	0.0048109469

32

TABLE 4-continued

n	$p_0(n)$
542	0.0049839687
543	0.0051382275
544	0.0052715758
545	0.0053838975
546	0.0054753783
547	0.0055404363
548	0.0055917128
549	0.0056266114
550	0.0056389199
551	0.0056455196
552	0.0056220643
553	0.0055938023
554	0.0055475714
555	0.0054876040
556	0.0054196775
557	0.0053471681
558	0.0052461166
559	0.0051407353
560	0.0050393022
561	0.0049137603
562	0.0047932560
563	0.0046606460
564	0.0045209852
565	0.0043730719
566	0.0042264269
567	0.0040819753
568	0.0039207432
569	0.0037603922
570	0.0036008268
571	0.0034418874
572	0.0032739613
573	0.0031125420
574	0.0029469447
575	0.0027870464
576	0.0026201758
577	0.0024625616
578	0.0023017254
579	0.0021461583
580	0.0019841140
581	0.0018348265
582	0.0016868083
583	0.0015443219
584	0.0013902494
585	0.0012577884
586	0.0011250155
587	0.0009885988
588	0.0008608443
589	0.0007458025
590	0.0006239376
591	0.0005107388
592	0.0004026540
593	0.0002949531
594	0.0002043017
595	0.0001094383
596	0.0000134949
597	−0.0000617334
598	−0.0001446380
599	−0.0002098337
600	−0.0002896981
601	−0.0003501175
602	−0.0004095121
603	−0.0004606325
604	−0.0005145572
605	−0.0005564576
606	−0.0005946118
607	−0.0006341594
608	−0.0006650415
609	−0.0006917937
610	−0.0007215391
611	0.0007319357
612	−0.0007530001
613	−0.0007630793
614	−0.0007757977
615	−0.0007801449
616	−0.0007803664
617	−0.0007779869
618	−0.0007834332
619	−0.0007724848

5

10

15

20

25

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55

60

65

TABLE 4-continued

n	p₀(n)
620	-0.0007681371
621	-0.0007490598
622	-0.0007440941
623	-0.0007255043
624	-0.0007157736
625	-0.0006941614
626	-0.0006777690
627	-0.0006540333
628	-0.0006312493
629	-0.0006132747
630	-0.0005870930
631	-0.0005677802
632	-0.0005466565
633	-0.0005226564
634	-0.0005040714
635	-0.0004893791
636	-0.0004875227
637	-0.0004947518
638	-0.0005617692
639	-0.0005525280

The prototype filter, $p_0(n)$, may also be derived from Table 4 by one or more mathematical operations such as rounding, subsampling, interpolation, and decimation.

Although the tuning of SBR related control information does not typically depend of the details of the transposition (as previously discussed), in some embodiments certain elements of the control data may be simulcasted in the eSBR extension container (bs_extension_id==EXTENSION_ID_ESBR) to improve the quality of the regenerated signal. Some of the simulcasted elements may include the noise floor data (for example, noise floor scale factors and a parameter indicating the direction, either in the frequency or time direction, of delta coding for each noise floor), the inverse filtering data (for example, a parameter indicating the inverse filtering mode selected from no inverse filtering, a low level of inverse filtering, an intermediate level of inverse filtering, and a strong level of inverse filtering), and the missing harmonics data (for example, a parameter indicating whether a sinusoid should be added to a specific frequency band of the regenerated highband). All of these elements rely on a synthesized emulation of the decoder's transposer performed in the encoder and therefore if properly tuned for the selected transposer may increase the quality of the regenerated signal.

Specifically, in some embodiments, the missing harmonics and inverse filtering control data is transmitted in the eSBR extension container (along with the other bitstream parameters of Table 3) and tuned for the harmonic transposer of eSBR. The additional bitrate required to transmit these two classes of metadata for the harmonic transposer of eSBR is relatively low. Therefore, sending tuned missing harmonic and/or inverse filtering control data in the eSBR extension container will increase the quality of audio produced by the transposer while only minimally affecting bitrate. To ensure backward-compatibility with legacy decoders, the parameters tuned for the spectral translation operation of SBR may also be sent in the bitstream as part of the SBR control data using either implicit or explicit signaling.

It is to be understood that within the scope of the appended claims, the invention may be practiced otherwise than as specifically described herein. Any reference numerals contained in the following claims are for illustrative purposes only and should not be used to construe or limit the claims in any manner whatsoever. Various aspects of the

present disclosure will be appreciated from the following enumerated example embodiments (EEEs):

EEE1. A method for performing high frequency reconstruction of an audio signal, the method comprising:

receiving an encoded audio bitstream, the encoded audio bitstream including audio data representing a lowband portion of the audio signal and high frequency reconstruction metadata;

decoding the audio data to generate a decoded lowband audio signal;

extracting from the encoded audio bitstream the high frequency reconstruction metadata, the high frequency reconstruction metadata including operating parameters for a high frequency reconstruction process, the operating parameters including a patching mode parameter located in an extension container of the encoded audio bitstream, wherein a first value of the patching mode parameter indicates spectral translation and a second value of the patching mode parameter indicates harmonic transposition by phase-vocoder frequency spreading;

filtering the decoded lowband audio signal to generate a filtered lowband audio signal;

regenerating a highband portion of the audio signal using the filtered lowband audio signal and the high frequency reconstruction metadata, wherein the regenerating includes spectral translation if the patching mode parameter is the first value and the regenerating includes harmonic transposition by phase-vocoder frequency spreading if the patching mode parameter is the second value; and

combining the filtered lowband audio signal with the regenerated highband portion to form a wideband audio signal.

EEE2. The method of EEE 1 wherein the extension container includes inverse filtering control data to be used when the patching mode parameter equals the second value.

EEE3. The method of any one of EEEs 1-2 wherein the extension container further includes missing harmonic control data to be used when the patching mode parameter equals the second value.

EEE4. The method of any preceding EEE wherein the encoded audio bitstream further includes a fill element with an identifier indicating a start of the fill element and fill data after the identifier, wherein the fill data includes the extension container.

EEE5. The method of EEE 4 wherein the identifier is a three bit unsigned integer transmitted most significant bit first and having a value of 0x6.

EEE6. The method of EEE 4 or EEE 5, wherein the fill data includes an extension payload, the extension payload includes spectral band replication extension data, and the extension payload is identified with a four bit unsigned integer transmitted most significant bit first and having a value of '1101' or '1110', and, optionally,

wherein the spectral band replication extension data includes:

an optional spectral band replication header,

spectral band replication data after the header, and

a spectral band replication extension element after the spectral band replication data, and

wherein the flag is included in the spectral band replication extension element.

EEE7. The method of any one of EEEs 1-6 wherein the high frequency reconstruction metadata includes envelope

scale factors, noise floor scale factors, time/frequency grid information, or a parameter indicating a crossover frequency.

EEE8. The method of any one of EEEs 1-7 wherein the filtering is performed by an analysis filterbank that includes analysis filters, hk(n), that are modulated versions of a prototype filter, $p_0(n)$, according to:

$$h_k(n) = p_0(n)\exp\left\{i\frac{\pi}{M}\left(k + \frac{1}{2}\right)\left(n - \frac{N}{2}\right)\right\}, 0 \le n \le N; 0 \le k < M$$

where $p_0(n)$ is a real-valued symmetric or asymmetric prototype filter, M is a number of channels in the analysis filterbank and N is an order of the prototype filter.

EEE9. The method of EEE 8 wherein the prototype filter, $p_0(n)$, is derived from coefficients of Table 4 herein.

EEE10. The method of EEE 8 wherein the prototype filter, $p_0(n)$, is derived from coefficients of Table 4 herein by one or more mathematical operations selected from the group consisting of rounding, subsampling, interpolation, or decimation.

EEE11. The method of any one of EEEs 1-10 wherein a phase shift is added to the filtered lowband audio signal after the filtering and compensated for before the combining to reduce a complexity of the method.

EEE12. The method of any preceding EEE wherein the extension container further includes a flag indicating whether additional preprocessing is used to avoid discontinuities in a shape of a spectral envelope of the highband portion when the patching mode parameter equals the first value, wherein a first value of the flag enables the additional preprocessing and a second value of the flag disables the additional preprocessing.

EEE13. The method of EEE 12 wherein the additional preprocessing includes calculating a pre-gain curve using a linear prediction filter coefficient.

EEE14. The method of any one of EEEs 1-13 wherein the extension container is a backward-compatible extension container.

EEE15. The method of any one of EEEs 1-14 wherein the encoded audio stream is encoded according to a format, and wherein the extension container is an extension container which is defined in at least one legacy version of said format.

EEE16. A non-transitory computer readable medium containing instructions that when executed by a processor perform the method of any one of EEEs 1 to 15.

EEE17. An audio processing unit for performing high frequency reconstruction of an audio signal, the audio processing unit being configured to perform the method of any one of EEEs 1-15.

The invention claimed is:

1. A method for performing high frequency reconstruction of an audio signal, the method comprising:

receiving an encoded audio bitstream, the encoded audio bitstream including audio data representing a lowband portion of the audio signal and high frequency reconstruction metadata;

decoding the audio data to generate a decoded lowband audio signal;

extracting from the encoded audio bitstream the high frequency reconstruction metadata, the high frequency reconstruction metadata including operating parameters for a high frequency reconstruction process, the operating parameters including a patching mode parameter located in a backward-

compatible extension container of the encoded audio bitstream, wherein a first value of the patching mode parameter indicates spectral translation and a second value of the patching mode parameter indicates harmonic transposition by phase-vocoder frequency spreading, wherein the encoded audio bitstream further includes a fill element with an identifier indicating a start of the fill element and fill data after the identifier, wherein the fill data includes the backward-compatible extension container, and wherein the identifier is a three bit unsigned integer transmitted most significant bit first and having a value of 0x6;

filtering the decoded lowband audio signal to generate a filtered lowband audio signal, wherein the filtering is performed by an analysis filterbank that includes analysis filters, $h_k(n)$, that are modulated versions of a prototype filter, $p_0(n)$, according to:

$$h_k(n) = p_0(n)\exp\left\{i\frac{\pi}{M}\left(k + \frac{1}{2}\right)\left(n - \frac{N}{2}\right)\right\}, 0 \le n \le N; 0 \le k < M$$

where $p_0(n)$ is a real-valued symmetric or asymmetric prototype filter, M is a number of channels in the analysis filterbank and N is an order of the prototype filter;

regenerating a highband portion of the audio signal using the filtered lowband audio signal and the high frequency reconstruction metadata, wherein the regenerating includes spectral translation if the patching mode parameter is the first value and the regenerating includes harmonic transposition by phase-vocoder frequency spreading if the patching mode parameter is the second value; and

combining the filtered lowband audio signal with the regenerated highband portion to form a wideband audio signal.

2. A non-transitory computer readable medium containing instructions that when executed by a processor perform the method of claim 1.

3. An audio processing unit for performing high frequency reconstruction of an audio signal, the audio processing unit comprising:

an input interface for receiving an encoded audio bitstream, the encoded audio bitstream including audio data representing a lowband portion of the audio signal and high frequency reconstruction metadata;

a core audio decoder for decoding the audio data to generate a decoded lowband audio signal;

a deformatter for extracting from the encoded audio bitstream the high frequency reconstruction metadata, the high frequency reconstruction metadata including operating parameters for a high frequency reconstruction process, the operating parameters including a fill element with an identifier indicating a start of the fill element and fill data after the identifier, wherein the fill data includes a backward-compatible extension container including a patching mode parameter, wherein a first value of the patching mode parameter indicates spectral translation and a second value of the patching mode parameter indicates harmonic transposition by phase-vocoder frequency spreading, and wherein the identifier is a three bit unsigned integer transmitted most significant bit first and having a value of 0x6;

an analysis filterbank for filtering the decoded lowband audio signal to generate a filtered lowband audio signal, wherein the filtering is performed by an analysis fil-

terbank that includes analysis filters, $h_k(n)$, that are modulated versions of a prototype filter, $p_0(n)$, according to:

$$h_k(n) = p_0(n)\exp\left\{i\frac{\pi}{M}\left(k + \frac{1}{2}\right)\left(n - \frac{N}{2}\right)\right\}, 0 \le n \le N; 0 \le k < M$$

where $p_0(n)$ is a real-valued symmetric or asymmetric prototype filter, M is a number of channels in the analysis filterbank and N is an order of the prototype filter;

a high frequency regenerator for reconstructing a high-band portion of the audio signal using the filtered lowband audio signal and the high frequency reconstruction metadata, wherein the reconstructing includes a spectral translation if the patching mode parameter is the first value and the reconstructing includes harmonic transposition by phase-vocoder frequency spreading if the patching mode parameter is the second value; and

a synthesis filterbank for combining the filtered lowband audio signal with the regenerated highband portion to form a wideband audio signal.

* * * * *