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### TECHNIQUES FOR GHOSTING MITIGATION IN COHERENT LIDAR SYSTEMS USING MULTIPLE CHIRP RATES

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#### Abstract

A light detection and ranging (LIDAR) system transmits, towards a target, a set of chirp signals. The LIDAR system receives from the target, a set of adjusted chirp signals. The LIDAR system then determines, based on the set of adjusted chirp signals, a degree of ghosting mitigation to compensate for a ghost target appearing in a point cloud at a location where no real target exists.

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## Background/Summary

CROSS-REFERENCE TO RELATED APPLICATIONS [0001] This application is a continuation of U.S. patent application Ser. No. 18/111,481, filed on Feb. 17, 2023, entitled “TECHNIQUES FOR GHOSTING MITIGATION IN COHERENT LIDAR SYSTEMS USING MULTIPLE CHIRP RATES,” which is a continuation of U.S. patent application Ser. No. 17/702,601, filed on Mar. 23, 2022, entitled “TECHNIQUES FOR GHOSTING MITIGATION IN COHERENT LIDAR SYSTEMS USING MULTIPLE CHIRP RATES,” now issued as U.S. Pat. No. 11,585,926, on Feb. 21, 2023, which claims priority from and the benefit of U.S. Provisional Patent Application No. 63/165,628, filed on Mar. 24, 2021, entitled “GHOSTING MITIGATION USING MULTIPLE TUNING RATES,” the contents of which are herein incorporated by reference in their entirety.

### FIELD

[0002] The present disclosure is related to LIDAR (light detection and ranging) systems in general, and more particularly to ghosting mitigation in coherent LIDAR systems.

### BACKGROUND

[0003] LIDAR systems, such as frequency-modulated continuous-wave (FMCW) LIDAR systems use tunable, infrared lasers for frequency-chirped illumination of targets, and coherent receivers for detection of backscattered or reflected light from the targets that are combined with a local copy of the transmitted signal. Mixing the local copy with the return signal (e.g., a returned signal), delayed by the round-trip time to the target and back, generates signals at the receiver with frequencies that are proportional to the distance to each target in the field of view of the system. An up sweep of frequency and a down sweep of frequency may be used to detect a range and velocity of a detected target. However, when one or more of the LIDAR system and a target (or multiple targets) are moving, the issue of associating the peaks corresponding to each target arises.

### SUMMARY

[0004] The present disclosure describes examples of systems and methods for ghost mitigation in coherent LIDAR systems using multiple frequencies.

[0005] According to one aspect, the present disclosure relates to a method. The method includes transmitting, toward a target in a field of view of a light detection and ranging (LIDAR) system, one or more optical beams comprising at least two up-chirp signals and at least two down-chirp signals. The method also includes receiving, from the target, a set of returned signals based on the one or more optical beams, wherein the set of returned signals includes at least two adjusted up-chirp signals shifted from the at least two up-chirp signals caused by a relative motion of at least one of the target and the LIDAR system, and at least two down-chirp signals shifted from the at least two down-chirp signals caused by the relative motion of at least one of the target and the LIDAR system. The at least two adjusted up-chirp signals and the at least two adjusted down-chirp signals producing a first set of peaks associated with the at least two up-chirp signals corresponding to a target location of the target and a second set of peaks associated with the at least two down-chirp signals corresponding to the target location. The method further includes determining whether to select a subset of peaks in the first set of peaks and the second set of peaks or each peak in the first set of peaks and the second set of peaks to calculate one or more of a location, a velocity, and a reflectivity related to the target. Provided each peak in first set of peaks and the second set of peaks include an SNR value exceeding a threshold value, the method further includes calculating the one or more of the location, the velocity, and the reflectivity based on each peak in first set of peaks and

the second set of peaks. Provided at least one peak in the first set of peaks and the second set of peaks include an SNR value that is less than the threshold value, the method further includes calculating the one or more of the location, the velocity, and the reflectivity based on the subset of peaks.

[0006] In one embodiment, the one or more optical beams are transmitted by a single optical source.

[0007] In one embodiment, the one or more optical beams are transmitted by at least two optical sources.

[0008] In one embodiment, the one or more optical beams are transmitted over one or more of multiple sweeps, multiple lines, and multiple frames.

[0009] In one embodiment, the first set of peaks includes a first peak and a second peak, wherein the first and second peak include SNR values exceeding the threshold value and the second set of peaks includes a third peak and a fourth peak, wherein the third and fourth peak include SNR values exceeding the threshold value. Calculating one or more of the location, the velocity, and the reflectivity based on each peak in first set of peaks and the second set of peaks includes determining one or more of the target location, the target velocity, and the target reflectivity based on the first peak, the second peak, the third peak, and the fourth peak, and calculating one or more of the target location, the target velocity, and the target reflectivity based on the subset of the peaks s includes: determining one or more of the target location, the target velocity, and the target reflectivity based on the first peak and the third peak.

[0010] In one embodiment, the first set of peaks includes a first peak and a second peak, wherein the first and second peak include SNR values exceeding the threshold value and the second set of peaks includes a third peak and a fourth peak, wherein the third and fourth peak include SNR values exceeding the threshold value. Calculating the one or more of the target location, a target velocity, and a target reflectivity using the first set of peaks and the second set of peaks includes calculating the one or more of the target location, a target velocity, and a target reflectivity based on the first set of peaks, and confirming the one or more of the target location, a target velocity, and a target reflectivity based on the second set of peaks.

[0011] In one embodiment, the first set of peaks includes a first peak and a second peak, the second set of peaks includes a third peak and a group of peaks, and the group of peaks includes a fourth peak.

[0012] In one embodiment, the first set of peaks includes a first peak and a second peak, and the second set of peaks includes a third peak. The method further includes determining a fourth peak based on the first peak, the second peak, and the third peak.

[0013] In one embodiment, determining the target location includes selecting the fourth peak from the group of peaks and determining the target location based on the first peak, the second peak, the third peak, and the fourth peak.

[0014] In one embodiment, selecting the fourth peak from the group of peaks includes determining an estimated peak based on the first peak and the third peak and selecting the fourth peak based on the estimated peak.

[0015] In one embodiment, selecting the fourth peak from the group of peaks includes determining a first range based on the first peak and the second peak, determining a set of ranges to the target based on the first peak, the second peak, the third peak, and the group of peaks, and selecting the fourth peak from the group of peaks based on a minimum difference between the first range and the set of ranges.

[0016] In one embodiment, selecting the fourth peak from the group of peaks includes determining a first of Doppler shift to the target based on the first peak and the second peak, determining a set of Doppler shifts based on the third peak, and the group of peaks, and selecting the fourth peak from the group of peaks based on a minimum difference between the first Doppler shift and the set of Doppler shifts.

[0017] In one embodiment, the at least two adjusted up-chirp signals and the at least two adjusted down-chirp signals further produce a third set of peaks associated with the at least two up-chirp signals corresponding to a second target location of a second target and a fourth set of peaks associated with the at least two down-chirp signals corresponding to the second target location. The method further includes determining the second target location using the third set of peaks and the fourth set of peaks.

[0018] According one aspect, the present disclosure relates to a light detection and ranging (LIDAR) system. The LIDAR system includes a processor and a memory to store instructions that, when executed by the processor, cause the LIDAR system to: transmit, toward a target in a field of view of the LIDAR system, one or more optical beams comprising at least two up-chirp signals and at least two down-chirp signals; receive, from the target, a set of returned signals based on the one or more optical beams, wherein the a set of returned signals includes at least two adjusted up-chirp signals shifted from the at least two up-chirp signals caused by a relative motion of at least one of the target and the LIDAR system, and at least two down-chirp signals shifted from the at least two down-chirp signals caused by the relative motion of at least one of the target and the LIDAR system, the at least two adjusted up-chirp signals and the at least two adjusted down-chirp signals producing a first set of peaks associated with the at least two up-chirp signals corresponding to a target location of the target and a second set of peaks associated with the at least two down-chirp signals corresponding to the target location; determine whether to select a subset of peaks in the first set of peaks and the second set of peaks or each peak in the first set of peaks and the second set of peaks to calculate one or more of a location, a velocity, and a reflectivity related to the target; provided each peak in first set of peaks and the second set of peaks include an SNR value exceeding a threshold value, calculate the one or more of the location, the velocity, and the reflectivity based on each peak in first set of peaks and the second set of peaks; and provided at least one peak in the first set of peaks and the second set of peaks include an SNR value that is less than the threshold value, calculate the one or more of the location, the velocity, and the reflectivity based on the subset of peaks.

[0019] In one embodiment, the first set of peaks includes a first peak and a second peak, the second set of peaks includes a third peak and a group of peaks, and the group of peaks includes a fourth peak.

[0020] In one embodiment, to determine the target location the processor is further to select the fourth peak from the group of peaks determine the target location based on the first peak, the second peak, the third peak, and the fourth peak.

[0021] In one embodiment, to select the fourth peak from the group of peaks the processor is further to determine an estimated peak based on the first peak and the third peak and select the fourth peak based on the estimated peak.

[0022] In one embodiment, to select the fourth peak from the group of peaks the processor is further to determine a first range based on the first peak and the second peak, determine a set of ranges to the target based on the first peak, the second peak, the third peak, and the group of peaks, and select the fourth peak from the group of peaks based on a minimum difference between the first range and the set of ranges.

[0023] In one embodiment, to select the fourth peak from the group of peaks the processor is further to determine a first of Doppler shift to the target based on the first peak and the second peak, determine a set of Doppler shifts based on the third peak, and the group of peaks, select the fourth peak from the group of peaks based on a minimum difference between the first Doppler shift and the set of Doppler shifts.

[0024] According one aspect, the present disclosure relates to a light detection and ranging (LIDAR) system. The LIDAR system includes a an optical scanner to transmit one or more optical beams comprising at least two up-chirp signals and at least two down-chirp signals toward a target in a field of view of the LIDAR system and receive a set of returned signals based on the one or

more optical beams wherein the a set of returned signals comprises at least two adjusted up-chirp signals shifted from the at least two up-chirp signals caused by a relative motion of at least one of the target and the LIDAR system, and at least two down-chirp signals shifted from the at least two down-chirp signals caused by the relative motion of at least one of the target and the LIDAR system, the at least two adjusted up-chirp signals and the at least two adjusted down-chirp signals producing a first set of peaks associated with the at least two up-chirp signals corresponding to a target location of the target and a second set of peaks associated with the at least two down-chirp signals corresponding to the target location. The optical processing system is coupled to the optical scanner to generate a baseband signal in a time domain from the return signal, the baseband signal comprising frequencies corresponding to LIDAR target ranges. A signal processing system coupled to the optical processing system, comprising a processing device and a memory to store instructions that, when executed by the processing device, cause the LIDAR system to: determine whether to select a subset of peaks in the first set of peaks and the second set of peaks or each peak in the first set of peaks and the second set of peaks to calculate one or more of a location, a velocity, and a reflectivity related to the target; provided each peak in first set of peaks and the second set of peaks comprise an SNR value exceeding a threshold value, calculate the one or more of the location, the velocity, and the reflectivity based on each peak in first set of peaks and the second set of peaks; and provided at least one peak in the first set of peaks and the second set of peaks comprise an SNR value that is less than the threshold value, calculate the one or more of the location, the velocity, and the reflectivity based on the subset of peaks.

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## Description

### BRIEF DESCRIPTION OF THE DRAWINGS

[0025] For a more complete understanding of various examples, reference is now made to the following detailed description taken in connection with the accompanying drawings in which like identifiers correspond to like elements.

[0026] FIG. 1 is a block diagram illustrating an example LIDAR system according to the present disclosure.

[0027] FIG. 2 is a time-frequency diagram illustrating one example of LIDAR waveforms according to the present disclosure.

[0028] FIG. 3A is a block diagram illustrating an example LIDAR system according to the present disclosure.

[0029] FIG. 3B is a block diagram illustrating an electro-optical optical system according to the present disclosure.

[0030] FIG. 4 is a block diagram of an example signal processing system according to the present disclosure.

[0031] FIG. 5A is illustrates different time-frequency diagrams of scanning signals according to the present disclosure.

[0032] FIG. 5B illustrates different frequency diagrams of scanning signals according to the present disclosure.

[0033] FIG. 6 is a time-frequency diagram illustrating one example of LIDAR waveforms according to the present disclosure.

[0034] FIG. 7 is a signal magnitude-frequency diagram illustrating signal peaks for a target according to the present disclosure.

[0035] FIG. 8 is a signal magnitude-frequency diagram illustrating signal peaks for a target according to the present disclosure.

[0036] FIG. 9 is a signal magnitude-frequency diagram illustrating frequency ranges according to the present disclosure.

[0037] FIG. **10** is a signal magnitude-frequency diagram illustrating frequency ranges according to the present disclosure.

[0038] FIG. **11** is a signal magnitude-frequency diagram illustrating frequency ranges according to the present disclosure.

[0039] FIG. **12** is a flowchart illustrating a method for selecting peaks according to the present disclosure.

#### DETAILED DESCRIPTION

[0040] The present disclosure describes various examples of LIDAR systems and methods for automatically mitigating ghosting that may occur due to Doppler shifts. According to some embodiments, the described LIDAR system may be implemented in any sensing market, such as, but not limited to, transportation, manufacturing, metrology, medical, virtual reality, augmented reality, and security systems. According to some embodiments, the described LIDAR system is implemented as part of a front-end of frequency modulated continuous-wave (FMCW) device that assists with spatial awareness for automated driver assist systems, or self-driving vehicles.

[0041] LIDAR systems described by the embodiments herein include coherent scan technology to detect a signal returned from a target to generate a coherent heterodyne signal, from which range and velocity information of the target may be extracted. A signal, or multiple signals, may include an up-sweep of frequency (up-chirp) and a down-sweep of frequency (down-chirp), either from a single optical source or from separate optical source (i.e., one source with an up-sweep and one source with a down-sweep). Accordingly, two different frequency peaks, one for the up-chirp and one for the down-chirp, may be associated with a target and can be used to determine target range and velocity. However, peak images may also occur when the LIDAR system processes the signals. Peak images may include data (e.g., graphical data) that includes signal attributes (e.g., SNR value) that suggests a weak correspondence between a detected peak and the location and/or speed of a target. Hence, if these peak images are used to detect a target, this may cause the LIDAR system will use faulty data to process location, speed, velocity related to the target. Use of peak images in this fashion may be referred to as ghosting. Using the techniques described herein, embodiments of the present invention can, among other things, address the issues described above by introducing phase modulations into the sweeps/chirps. This allows the LIDAR system to match the peaks and/or peak images with an expected peak shape to differentiate between the peaks (e.g., true peaks) and peak images. In contrast to image peaks, true peaks include data (e.g., graphical data) that includes signal attributes (e.g., a SNR value) that strongly corresponds to the location and/or speed of a target. Hence, such peaks enable LIDAR systems to reliably identify locations, speeds, velocities of a target. It should be noted that peak image may also be referred to as an image peak.

[0042] FIG. **1** illustrates a LIDAR system **100** according to example implementations of the present disclosure. The LIDAR system **100** includes one or more of each of a number of components, but may include fewer or additional components than shown in FIG. **1**. As shown, the LIDAR system **100** includes optical circuits **101** implemented on a photonics chip. The optical circuits **101** may include a combination of active optical components and passive optical components. Active optical components may generate, amplify, and/or detect optical signals and the like. In some examples, the active optical components include optical beams at different wavelengths, and include one or more optical amplifiers, one or more optical detectors, or the like.

[0043] Free space optics **115** may include one or more optical waveguides to carry optical signals, and route and manipulate optical signals to appropriate input/output ports of the active optical components. The free space optics **115** may also include one or more optical components such as taps, wavelength division multiplexers (WDM), splitters/combiners, polarization beam splitters (PBS), collimators, couplers or the like. In some examples, the free space optics **115** may include components to transform the polarization state and direct received polarized light to optical detectors using a PBS, for example. The free space optics **115** may further include a diffractive element to deflect optical beams having different frequencies at different angles along an axis, e.g.,

a fast-axis. Although a PBS may be referred to in some embodiments, embodiments of the present disclosure are not limited as such, and may include a circulator, directional coupler, MMI, bistatic receiver, or similar component.

[0044] In some examples, the LIDAR system **100** includes an optical scanner **102** that includes one or more scanning mirrors that are rotatable along an axis (e.g., a slow-axis) that is orthogonal or substantially orthogonal to the fast-axis of the diffractive element to steer optical signals to scan an environment according to a scanning pattern. For instance, the scanning mirrors may be rotatable by one or more galvanometers. The optical scanner **102** also collects light incident upon any objects in the environment into a return optical beam that is returned to the passive optical circuit component of the optical circuits **101**. For example, the return optical beam may be directed to an optical detector by a polarization beam splitter. In addition to the mirrors and galvanometers, the optical scanner **102** may include components such as a polarization wave plate, lens, anti-reflective coated window or the like.

[0045] To control and support the optical circuits **101** and optical scanner **102**, the LIDAR system **100** includes LIDAR control systems **110**. The LIDAR control systems **110** may include a processing device for the LIDAR system **100**. In some examples, the processing device may be one or more general-purpose processing devices such as a microprocessor, central processing unit, or the like. More particularly, the processing device may be complex instruction set computing (CISC) microprocessor, reduced instruction set computer (RISC) microprocessor, very long instruction word (VLIW) microprocessor, or processor implementing other instruction sets, or processors implementing a combination of instruction sets. The processing device may also be one or more special-purpose processing devices such as an application specific integrated circuit (ASIC), a field programmable gate array (FPGA), a digital signal processor (DSP), network processor, or the like. In some examples, the LIDAR control systems **110** may include memory to store data, and instructions to be executed by the processing device. The memory may be, for example, read-only memory (ROM), random-access memory (RAM, programmable read-only memory (PROM), erasable programmable read-only memory (EPROM), electrically erasable programmable read-only memory (EEPROM), flash memory, magnetic disk memory such as hard disk drives (HDD), optical disk memory such as compact-disk read-only (CD-ROM) and compact disk read-write memory (CD-RW), or any other type of non-transitory memory.

[0046] In some examples, the LIDAR control systems **110** may include a signal processing unit **112** such as a DSP. The LIDAR control systems **110** are configured to output digital control signals to control optical drivers **103**. In some examples, the digital control signals may be converted to analog signals through signal conversion unit **106**. For example, the signal conversion unit **106** may include a digital-to-analog converter. The optical drivers **103** may then provide drive signals to active optical components of optical circuits **101** to drive optical sources such as lasers and amplifiers. In some examples, several optical drivers **103** and signal conversion units **106** may be provided to drive multiple optical sources.

[0047] The LIDAR control systems **110** are also configured to output digital control signals for the optical scanner **102**. A motion control system **105** may control the galvanometers of the optical scanner **102** based on control signals received from the LIDAR control systems **110**. For example, a digital-to-analog converter may convert coordinate routing information from the LIDAR control systems **110** to signals interpretable by the galvanometers in the optical scanner **102**. In some examples, a motion control system **105** may also return information to the LIDAR control systems **110** about the position or operation of components of the optical scanner **102**. For example, an analog-to-digital converter may in turn convert information about the galvanometers' position to a signal interpretable by the LIDAR control systems **110**.

[0048] The LIDAR control systems **110** are further configured to analyze incoming digital signals. In this regard, the LIDAR system **100** includes optical receivers **104** to measure one or more beams received by optical circuits **101**. For example, a reference beam receiver may measure the

amplitude of a reference beam from the active optical component, and an analog-to-digital converter converts signals from the reference receiver to signals interpretable by the LIDAR control systems **110**. Target receivers measure the optical signal that carries information about the range and velocity of a target in the form of a beat frequency, modulated optical signal. The reflected beam may be mixed with a second signal from a local oscillator. The optical receivers **104** may include a high-speed analog-to-digital converter to convert signals from the target receiver to signals interpretable by the LIDAR control systems **110**. In some examples, the signals from the optical receivers **104** may be subject to signal conditioning by signal conditioning unit **107** prior to receipt by the LIDAR control systems **110**. For example, the signals from the optical receivers **104** may be provided to an operational amplifier for amplification of the received signals and the amplified signals may be provided to the LIDAR control systems **110**.

[0049] In some applications, the LIDAR system **100** may additionally include one or more imaging devices **108** configured to capture images of the environment, a global positioning system **109** configured to provide a geographic location of the system, or other sensor inputs. The LIDAR system **100** may also include an image processing system **114**. The image processing system **114** can be configured to receive the images and geographic location, and send the images and location or information related thereto to the LIDAR control systems **110** or other systems connected to the LIDAR system **100**.

[0050] In operation according to some examples, the LIDAR system **100** is configured to use nondegenerate optical sources to simultaneously measure range and velocity across two dimensions. This capability allows for real-time, long-range measurements of range, velocity, azimuth, and elevation of the surrounding environment.

[0051] In some examples, the scanning process begins with the optical drivers **103** and LIDAR control systems **110**. The LIDAR control systems **110** instruct the optical drivers **103** to independently modulate one or more optical beams, and these modulated signals propagate through the passive optical circuit to the collimator. The collimator directs the light at the optical scanning system that scans the environment over a preprogrammed pattern defined by the motion control system **105**. The optical circuits **101** may also include a polarization wave plate (PWP) to transform the polarization of the light as it leaves the optical circuits **101**. In some examples, the polarization wave plate may be a quarter-wave plate or a half-wave plate. A portion of the polarized light may also be reflected back to the optical circuits **101**. For example, lensing or collimating systems used in LIDAR system **100** may have natural reflective properties or a reflective coating to reflect a portion of the light back to the optical circuits **101**.

[0052] Optical signals reflected back from the environment pass through the optical circuits **101** to the receivers. Because the polarization of the light has been transformed, it may be reflected by a polarization beam splitter along with the portion of polarized light that was reflected back to the optical circuits **101**. Accordingly, rather than returning to the same fiber or waveguide as an optical source, the reflected light is reflected to separate optical receivers. These signals interfere with one another and generate a combined signal. Each beam signal that returns from the target produces a time-shifted waveform. The temporal phase difference between the two waveforms generates a beat frequency measured on the optical receivers (photodetectors). The combined signal can then be reflected to the optical receivers **104**.

[0053] The analog signals from the optical receivers **104** are converted to digital signals using ADCs. The digital signals are then sent to the LIDAR control systems **110**. A signal processing unit **112** may then receive the digital signals and interpret them. In some embodiments, the signal processing unit **112** also receives position data from the motion control system **105** and galvanometers (not shown) as well as image data from the image processing system **114**. The signal processing unit **112** can then generate a 3D point cloud with information about range and velocity of points in the environment as the optical scanner **102** scans additional points. The signal processing unit **112** can also overlay a 3D point cloud data with the image data to determine



velocity and distance of objects in the surrounding area. The system also processes the satellite-based navigation location data to provide a precise global location.

[0054] FIG. 2 is a time-frequency diagram **200** of a scanning signal **201** that can be used by a LIDAR system, such as system **100**, to scan a target environment according to some embodiments. In one example, the scanning waveform **201**, labeled as  $f_{\text{sub.FM}}(t)$ , is a sawtooth waveform (sawtooth “chirp”) with a chirp bandwidth  $\Delta f_{\text{sub.C}}$  and a chirp period  $T_{\text{sub.C}}$ . The slope of the sawtooth is given as  $k=(\Delta f_{\text{sub.C}}/T_{\text{sub.C}})$ . FIG. 2 also depicts target return signal **202** (e.g., a returned signal) according to some embodiments. Target return signal **202**, labeled as  $f_{\text{sub.FM}}(t-\Delta t)$ , is a time-delayed version of the scanning signal **201**, where  $\Delta t$  is the round-trip time to and from a target illuminated by scanning signal **201**. The round-trip time is given as  $\Delta t=2R/v$ , where  $R$  is the target range and  $v$  is the velocity of the optical beam, which is the speed of light  $c$ . The target range,  $R$ , can therefore be calculated as  $R=c (\Delta t/2)$ . When the return signal **202** is optically mixed with the scanning signal, a range dependent difference frequency (“beat frequency”)  $\Delta f_{\text{sub.R}}(t)$  is generated. The beat frequency  $\Delta f_{\text{sub.R}}(t)$  is linearly related to the time delay  $\Delta t$  by the slope of the sawtooth  $k$ . That is,  $\Delta f_{\text{sub.R}}(t)=k\Delta t$ . Since the target range  $R$  is proportional to  $\Delta t$ , the target range  $R$  can be calculated as  $R=(c/2) (\Delta f_{\text{sub.R}}(t)/k)$ . That is, the range  $R$  is linearly related to the beat frequency  $\Delta f_{\text{sub.R}}(t)$ . The beat frequency  $\Delta f_{\text{sub.R}}(t)$  can be generated, for example, as an analog signal in optical receivers **104** of system **100**. The beat frequency can then be digitized by an analog-to-digital converter (ADC), for example, in a signal conditioning unit such as signal conditioning unit **107** in LIDAR system **100**. The digitized beat frequency signal can then be digitally processed, for example, in a signal processing unit, such as signal processing unit **112** in system **100**. It should be noted that the target return signal **202** will, in general, also include a frequency offset (Doppler shift) if the target has a velocity relative to the LIDAR system **100**. The Doppler shift can be determined separately, and used to correct the frequency of the return signal, so the Doppler shift is not shown in FIG. 2 for simplicity and ease of explanation. It should also be noted that the sampling frequency of the ADC will determine the highest beat frequency that can be processed by the system without aliasing. In general, the highest frequency that can be processed is one-half of the sampling frequency (i.e., the “Nyquist limit”). In one example, and without limitation, if the sampling frequency of the ADC is 1 gigahertz, then the highest beat frequency that can be processed without aliasing ( $\Delta f_{\text{sub.Rmax}}$ ) is 500 megahertz. This limit in turn determines the maximum range of the system as  $R_{\text{sub.max}}=(c/2) (\Delta f_{\text{sub.Rmax}}/k)$  which can be adjusted by changing the chirp slope  $k$ . In one example, while the data samples from the ADC may be continuous, the subsequent digital processing described below may be partitioned into “time segments” that can be associated with some periodicity in the LIDAR system **100**. In one example, and without limitation, a time segment might correspond to a predetermined number of chirp periods  $T$ , or a number of full rotations in azimuth by the optical scanner.

[0055] FIG. 3A is a block diagram illustrating an example LIDAR system **300** according to the present disclosure. Example system **300** includes an optical scanner **301** to transmit a frequency-modulated continuous wave infrared (IR) optical beam **304** and to receive a return signal **313** from reflections of the optical beam **304** from targets such as target **312** in the field of view (FOV) of the optical scanner **301**. System **300** also includes an optical processing system **302** to generate a baseband signal **314** in the time domain from the return signal **313**, where the baseband signal **314** contains frequencies corresponding to LIDAR target ranges. Optical processing system **302** may include elements of free space optics **115**, optical circuits **101**, optical drivers **103** and optical receivers **104** in LIDAR system **100**. System **300** also includes a signal processing system **303** to measure energy of the baseband signal **314** in the frequency domain, to compare the energy to an estimate of LIDAR system noise, and to determine a likelihood that a signal peak in the frequency domain indicates a detected target. Signal processing system **303** may include elements of signal conversion unit **106**, signal conditioning unit **107**, LIDAR control systems **110** and signal

processing unit **112** in LIDAR system **100**.

[0056] FIG. **3B** is a block diagram illustrating an example electro-optical system **350**. Electro-optical system **350** includes the optical scanner **301**, similar to the optical scanner **102** illustrated and described in relation to FIG. **1**. Electro-optical system **350** also includes the optical processing system **302**, which as noted above, may include elements of free space optics **115**, optical circuits **101**, optical drivers **103**, and optical receivers **104** in LIDAR system **100**.

[0057] Electro-optical processing system **302** includes an optical source **305** to generate the optical beam **304** (e.g., a FMCW optical beam). The optical beam **304** may be directed to an optical coupler **306** that is configured to couple the optical beam **304** to a polarization beam splitter (PBS) **307** and a sample **308** of the optical beam **304** to a photodetector (PD) **309**. The PBS **307** is configured to direct the optical beam **304**, because of its polarization, toward the optical scanner **301**. Optical scanner **301** is configured to scan a target environment with the optical beam **304**, through a range of azimuth and elevation angles covering the field of view (FOV) **310** of a LIDAR window **311** in an enclosure **320** of the optical system **350**. In FIG. **3B**, for ease of illustration, only the azimuth scan is illustrated.

[0058] As shown in FIG. **3B**, at one azimuth angle (or range of angles), the optical beam **304** passes through the LIDAR window **311** and illuminates a target **312**. A return signal **313** from the target **312** passes through LIDAR window **311** and is directed by optical scanner **301** back to the PBS **307**.

[0059] The return signal **313**, which will have a different polarization than the optical beam **304** due to reflection from the target **312**, is directed by the PBS **307** to the photodetector (PD) **309**. In PD **309**, the return signal **313** is optically mixed with the local sample **308** of the optical beam **304** to generate a range-dependent baseband signal **314** in the time domain. The range-dependent baseband signal **314** is the frequency difference between the local sample **308** of the optical beam **304** and the return signal **313** versus time (i.e.,  $\Delta f_{\text{sub.R}}(t)$ ). The range-dependent baseband signal **314** may be in a frequency domain and may be generated by mixing at least one up-chirp signal and at least one down-chirp signal with the return signal **313**. The at least one down-chirp signal may be delayed in time proportional to the relative motion of at least one of the target and the LIDAR system.

[0060] FIG. **4** is a detailed block diagram illustrating an example of the signal processing system **303**, which processes the baseband signal **314** according to some embodiments. As noted above, signal processing unit **303** may include elements of signal conversion unit **106**, signal conditioning unit **107**, LIDAR control systems **110** and signal processing unit **112** in LIDAR system **100**.

[0061] Signal processing system **303** includes an analog-to-digital converter (ADC) **401**, a time domain signal processor **402**, a block sampler **403**, a discrete Fourier transform processor **404**, a frequency domain signal processor **405**, and a peak search processor **406**. The component blocks of signal processing system **303** may be implemented in hardware, firmware, software, or some combination of hardware, firmware and software.

[0062] In FIG. **4**, the baseband signal **314**, which is a continuous analog signal in the time domain, is sampled by ADC **401** to generate a series of time domain samples **315**. The time domain samples **315** are processed by the time domain processor **402**, which conditions the time domain samples **315** for further processing. For example, time domain processor **402** may apply weighting or filtering to remove unwanted signal artifacts or to render the signal more tractable for subsequent processing. The output **316** of time domain processor **402** is provided to block sampler **403**. Block sampler **403** groups the time domain samples **316** into groups of  $N$  samples **317** (where  $N$  is an integer greater than 1), which are provided to DFT processor **404**. DFT processor **404** transforms the groups of  $N$  time domain samples **317** into  $N$  frequency bins or sub-bands **318** in the frequency domain, covering the bandwidth of the baseband signal **314**. The  $N$  sub-bands **319** are provided to frequency domain processor **405**, which conditions the sub-bands for further processing. For example, frequency domain processor **405** may resample and/or average the sub-bands **319** for

noise reduction. Frequency domain processor **405** may also calculate signal statistics and system noise statistics. The processed sub-bands **319** are then provided to a peak search processor **406** that searches for signal peaks representing detected targets in the FOV of the LIDAR system **300**. [0063] FIG. 5A illustrates different time-frequency diagrams of scanning signals that can be used by a LIDAR system, such as system **100**, to scan a target environment according to some embodiments.

[0064] Time-frequency diagram **500** includes signals **501**, **502**, **503**, and **504**. Scanning signals **501** and **504** may be referred to as down-chirps, down-sweeps, etc., because the frequency of the scanning signals **501** and **504** decrease over time. Scanning signals **503** and **502** may be referred to as up-chirps, up-sweeps, etc., because the frequency of the scanning signals **503** and **502** increase over time. As illustrated in time-frequency diagram 5A, a down-chirp (e.g., scanning signal **501**) is transmitted at the same time as an up-chirp (e.g., scanning signal **503**). The chirp rate for signals **511**, **512**, **513**, and **514** may be the same.

[0065] Multiple optical sources may be used to transmit the scanning signals (e.g., various types of scanning signals including, but not limited to, frequency modulated continuous wave (FMCW) and the like). For example, a first optical source may transmit the signal **501** and **502** and a second optical source may transmit the signal **503** and **504**.

[0066] Time-frequency diagram **510** includes signals **511**, **512**, **513**, and **514**. Scanning signals **512** and **514** may be referred to as down-chirps, down-sweeps, etc., because the frequency of the scanning signals **512** and **514** decrease over time. Scanning signals **513** and **511** may be referred to as up-chirps, up-sweeps, etc., because the frequency of the scanning signals **513** and **511** increase over time. The chirp rate for signals **511** and **512** may be different than the chirp rate for signals **513** and **514**.

[0067] One or more optical sources may be used to transmit the scanning signals. For example, a first optical source may transmit the scanning signals **511** and **512** and a second optical source may transmit the scanning signals **513** and **514**. In another example, the same optical source may transmit the scanning signals **511** through **514**.

[0068] FIG. 5B illustrates different frequency diagrams **520** and **522** of scanning signals that can be used by a LIDAR system, such as system **100**, to scan a target environment according to some embodiments.

[0069] The frequency diagram **520** includes two frames **521** and **522**. A frame may be a complete scan of the field of view of the LIDAR system. For example, a frame may be a square/rectangular area that represents the field of view of the LIDAR system. As illustrated in FIG. 5B, the frame **521** includes eight scan lines and the frame **522** includes eight scan lines. The scan lines in frame **521** may use an optical beam with a chirp-rate (as indicated by the solid lines). The scan lines in frame **522** may use an optical beam with a second (e.g., different) chirp-rate (as indicated by the dashed lines). Multiple optical sources may be used to transmit the scan lines of frames **521** and **522**. For example, one optical source may transmit an optical beam with the first chirp-rate and another optical source may transmit an optical beam with the second chirp-rate. A single optical source may also be used to transmit the scan lines of frames **521** and **522**. For example, the optical source may transmit an optical beam with a first chirp-rate for the frame **521** and the optical source may transmit an optical beam with a second chirp-rate for the frame **522**.

[0070] The frequency diagram **530** includes two frames **531** and **532**. As discussed above, a frame may be a complete scan of the field of view of the LIDAR system. As illustrated in FIG. 5B, the frame **531** includes eight scan lines and the frame **532** includes eight scan lines. The scan lines in frame **531** may alternate between using an optical beam with a first chirp-rate (as indicated by the solid lines) and an optical beam with a second (e.g., different) chirp-rate (as indicated by the dashed lines). Multiple optical sources may be used to transmit the scan lines of frames **531** and **532**. For example, one optical source may transmit an optical beam with the first chirp-rate and another optical source may transmit an optical beam with the second chirp-rate. A single optical

source may also be used to transmit the scan lines of frames **531** and **532**. For example, the optical source may alternate between transmitting an optical beam with a first chirp-rate and an optical beam with a second chirp-rate.

[0071] Although the present disclosure may refer to two chirp-rates (e.g., a first chirp-rate and a second chirp-rate), other embodiments may use a different number of chirp rates. For example, four chirp-rates, ten chirp-rates, hundreds of chirp-rates, or some other appropriate number of chirp-rates may be used in other embodiments.

[0072] FIG. **6** illustrates a time-frequency diagram of scanning signals that can be used by a LIDAR system, such as system **100**, to scan a target environment according to some embodiments. Time-frequency diagram **600** includes scanning signals **611** and **612**. Scanning signal **612** may be referred to as a down-chirp, down-sweep, etc., because the frequency of the scanning signal **612** decreases over time. Scanning signal **611** may be referred to as an up-chirp, up-sweep, etc., because the frequency of the scanning signals **613** and **611** increase over time. As illustrated in FIG. **6**, scanning signal **611** may be divided into two portions **611A** and **611B**. The chirp rate for portion **611A** is different for portion **611B**. Scanning signal **611** may also be divided into two portions **612A** and **612B**. The chirp rate for portion **612A** is different for portion **612B**.

[0073] FIG. **7** is an example of a signal magnitude-frequency diagram **700** illustrating signal peaks according to some embodiments. A LIDAR system (e.g., a FMCW or other type of LIDAR system) may generate at least two up-chirp and at least 2 down-chirp signal modulations (also referred to herein as up-sweeps and down-sweeps) to scan an environment and to determine one or more of the range, reflectivity, and velocity of targets within that environment. In one example, a single optical source may generate both the up-chirps and the down-chirps. In another example, the system may include an optical source to generate a signal that includes the up-chirps and another optical source to generate a signal that includes the down-chirps. In a further example, the system may include one optical source for each of the up-chirp signals and each of the down-chirp signals.

[0074] Using the returned signal and corresponding generated beat frequencies (i.e., peak frequencies) from the up-chirps and down-chirps, a signal processing system can determine one or more of a range (e.g., a location, a distance between a target and the LIDAR system), a velocity of a target (e.g., a target velocity), and/or a reflectivity of the target (e.g., a target reflectivity). For instance, according to some embodiments, the signal processing unit **112** can be configured to determine the range of the target by calculating a distance from the LIDAR system **700** using multiple frequencies corresponding to respective peaks. As discussed above, the signal processing unit **112** may generate a baseband signal in a frequency domain by mixing at least two up-chirp signals and at least two down-chirp signals with the one or more returned signals. The at least two down-chirp signals may be delayed in time proportional to the relative motion of at least one of the target and the LIDAR system. The baseband signal may include the peaks **705A**, **705B**, **710A**, **710B**, **710C**, and **710D**, and may include additional peaks (not illustrated in FIG. **7**). Peaks **705A** and **710A** may be up-chirps and the peaks **705B** and **710B** may be down-chirps. Peaks **705A** and **705B** may have (or may be associated with) a first chirp-rate and peaks **710A** and **710B** may have (or may be associated with) with a second (e.g., different) chirp-rate.

[0075] According to some embodiments, the signal processing unit **112** can be configured to determine the velocity, range, and/or reflectivity of the target using differences between the multiple frequencies corresponding to the peaks. However, as depicted in FIG. **7**, there may arise situations in which false peaks are also present in the baseband signal. For example, false peaks may be present in the baseband signal due to various reasons, causes, and/or factors. This may cause the LIDAR system to detect false (or “fake”) targets rather than desirable “true” targets or peaks. In one embodiment, a false peak may be a peak providing a signal-to-noise (SNR) value that weakly corresponds to the location and/or speed of a target. For example, a false peak may be a peak that has a SNR value below a threshold value. In another example, a false peak may include data (e.g., graphical data) that includes signal attributes (e.g., a SNR value) that suggests a weak

correspondence between a detected peak and the location and/or speed of a target.

[0076] As discussed above, the signal magnitude-frequency diagram **700** includes peak **705A**, peak **705B**, peak **710A**, peak **710B**, peak **710C**, and peak **710D**. Peaks **705A**, **705B**, **710A**, **710B**, **710C**, and **710D** may be present in the baseband signal that is processed and/or analyzed by a signal processing unit of the LIDAR system (e.g., signal processing unit **112** illustrated in FIG. **1**), as discussed in more detail below. The LIDAR system may determine that peaks **705A**, **705B**, and **710A** are true peaks. For example, the LIDAR system may determine that peaks **705A**, **705B**, and **710A** are true peaks based on a threshold height/magnitude of the peaks. In another example, the LIDAR system may use a confidence metric/level to determine that peaks **705A**, **705B**, and **710A** are true peaks. In one embodiment, a true peak may be a peak providing an SNR value that strongly corresponds to the location and/or speed of a target. For example, a true peak may be a peak that has a SNR value greater than or equal to a threshold value. In another example, a true peak may include data (e.g., graphical data) that includes signal attributes (e.g., a SNR value) that strongly corresponds to the location and/or speed of a target. The LIDAR system may determine a first range (e.g., a first location, a first distance between the LIDAR system and the target) using peaks **705A** and **705B**. For example, the LIDAR system may determine that the range or distance to a target is proportional to the sum of the frequencies  $F_{\text{sub.up}}$  and  $F_{\text{sub.dn}}$ . For example, the frequency proportional to the range of the target may be determined as follows:

$(F_{\text{sub.up}} + F_{\text{sub.dn}})/2$ , where  $F_{\text{sub.up}}$  is the frequency of peak **705A** and  $F_{\text{sub.dn}}$  is the frequency of peak **705B**.

[0077] In some scenarios, peak **705A** is shifted (e.g., moved) upwards in frequency from the location of the target. Peak **705A** may be referred to as an upshifted peak, as a Doppler shifted peak, or as  $F_{\text{sub.1,up}}$ . Peak **705B** is shifted downwards in frequency from the location of the target (as indicated by the solid vertical line in the signal magnitude-frequency diagram **700**). Peak **705B** may be referred to as a downshifted peak, as a Doppler shifted peak, or as  $F_{\text{sub.1,dn}}$ . Peak **710A** is shifted (e.g., moved) upwards in frequency from the location of the target. Peak **710A** may be referred to as an upshifted peak, as a Doppler shifted peak, or as  $F_{\text{sub.2,up}}$ .

[0078] The shift in the peaks may be due to the movement of one or more of the target and/or sensors from a LIDAR system (e.g., a FMCW or other type of LIDAR system). For example, the target may be moving, the device (e.g., a vehicle, a smartphone, etc.) that includes the LIDAR sensors (e.g., optical scanner **102** and/or optical circuits **101** illustrated in FIG. **1**, etc.) may be moving, or both the target and the device may be moving relative to a particular point.

[0079] In some embodiments, the LIDAR system (e.g., signal processing unit **112** of LIDAR system **100** illustrated in FIG. **1**) may select peak **705A** as a true peak. For instance, when the target is at a closer range (e.g., within a first threshold range of the LIDAR), the peak with the highest frequency (e.g., peak **705A**) may be determined to be a true peak corresponding to a target, rather than a peak image, and hence selected by the LIDAR system (e.g., signal processing unit **112** illustrated in FIG. **1**). In this fashion, the signal processing unit **112** is configured to select peak **705A** based on the type of ghosting that is occurring (e.g., close-range ghosting or far-range ghosting). Thus, the LIDAR (e.g., signal processing unit **112** illustrated in FIG. **1**) may be able to determine that the peak **705A** should be used when determining the range or distance to the target.

[0080] As discussed above, there may arise situations in which false peaks are present in the baseband signal. For example, due to hardware and computational resources, the beat signal may undergo real sampling and frequency peaks may be assumed to be positive. However, if the target is at a closer range (e.g., the peaks are within a lower frequency range or near lower frequencies), a Doppler shift can cause a beat frequency peak to become negative. In another example, there may be noise present in the baseband signal and the noise may cause a peak in the baseband signal. In a further example, there may be an image peak, as discussed in more detail below. As illustrated in FIG. **7**, there are multiple peaks that may be candidates, possibilities, etc., for the downshifted peak that corresponds to peak **710A**. For example, one of peaks **710B**, **710C**, and **710D** may be the

corresponding downshifted peak (e.g., a Doppler shifted peak, F.sub.2,dn, etc.) for peak **710**. Thus, the LIDAR system may determine which one of peaks **710B**, **710C**, and **710D** is a true peak.

[0081] In one embodiment, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine one or more of a range (e.g., location, distance between the LIDAR system and the target, etc.), velocity, and reflectivity using peak **710A** and each of the peaks **710B**, **710C**, and **710D**. For example, the LIDAR system may determine three ranges (e.g., a set of ranges) using 1) peaks **710A** and **710B**; 2) peaks **710A** and **710C**; and 3) peaks **710A** and **710D**. Each of the three ranges may be determined (e.g., calculated, obtained, generated, etc.) as follows:

$$(F_{\text{sub.up}} + F_{\text{sub.dn}}) / 2$$
, where  $F_{\text{sub.up}}$  is the frequency of peak **710A** and  $F_{\text{sub.dn}}$  is the frequency of one of the peaks **710B**, **710C**, and **710D**. The LIDAR system may select the peak that has the smallest difference in range when compared with the first range (determined using peaks **705A** and **705B**). For example, the LIDAR system may minimize the difference in ranges (e.g., range differences) as follows:

$$[00001] \min . \text{Math.} \frac{f_{1,\text{up}} + f_{1,\text{dn}}}{2_1} - \frac{f_{2,\text{up}} + f_{2,\text{dn}}}{2_2} . \text{Math.} ,$$

[0082] where  $\alpha_{\text{sub.1}}$  is the chirp rate associated with a first peak (e.g., peaks **705A** and/or **705B**) and  $\alpha_{\text{sub.2}}$  is the chirp rate associated with the second peak (e.g., peaks **710A**, **710B**, and/or **710C**). The LIDAR system may determine that using peak **710B** minimizes the difference in ranges when compared with the first range and may determine that peak **710B** is a true peak.

[0083] In one embodiment, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine Doppler shift using peaks **705A**, **705B**, and using peak **710A** and each of the peaks **710B**, **710C**, and **710D**. For example, the LIDAR system may determine a first Doppler shift using peaks **705A** and **705B**. The LIDAR system may determine three additional Doppler shifts (e.g., a set of Doppler shifts) using 1) peaks **710A** and **710B**; 2) peaks **710A** and **710C**; and 3) peaks **710A** and **710D**. Each of the Doppler shifts is proportional to the difference between the upshift and the downshift. **710A** is the upshifted peak and one of the peaks **710B**, **710C**, and **710D** is the downshifted peak. The LIDAR system may select the peak that has the smallest difference in Doppler shifts when compared with the first Doppler shift (determined using peaks **705A** and **705B**). For example, the LIDAR system may minimize the difference in Doppler shifts as follows:

$$[00002] \min . \text{Math.} \frac{f_{1,\text{up}} - f_{1,\text{dn}}}{2_1} - \frac{f_{2,\text{up}} - f_{2,\text{dn}}}{2_2} . \text{Math.} ,$$

where  $\lambda_{\text{sub.1}}$  is the frequency of the optical beam associated with the first peak and  $\lambda_{\text{sub.2}}$  is the frequency of the optical beam associated with the second peak. The LIDAR system may determine that using peak **710B** minimizes the difference in Doppler shifts when compared with the first Doppler shift and may determine that peak **710B** is a true peak.

[0084] In one embodiment, one or more of the distance/range, velocity, and reflectivity of the target may be determined using one set of peaks and confirmed or verified using another set of peaks. For example, the distance/range, velocity, and/or reflectivity of the target may be determined (e.g., calculated) using peaks **705A** and **705B**, and may be confirmed/verified using peaks **710A** and **710B**. In another example, the distance/range, velocity, and/or reflectivity of the target may be determined (e.g., calculated) using peaks **710A** and **710B**, and may be confirmed/verified using peaks **705A** and **705B**.

[0085] In one embodiment, the LIDAR system (e.g., LIDAR system **100** illustrated in FIG. **1**) may perform Doppler matching based on the peaks **705A**, **705B**, and **710A**. For example, the LIDAR system may be able to determine a Doppler shift based on peaks **705A** and **705B**. Based on the Doppler shift, the LIDAR system may be able to determine, calculate, identify, infer, etc., peak **710B**. For example, Doppler shift between the up-chirps and down-chirps may not change for a target. Thus, the LIDAR system may be able to identify/determine peak **710B** by matching the resulting Doppler shift with the first Doppler shift calculated using peaks **705A** and **705B**.

[0086] FIG. **8** is an example of a signal magnitude-frequency diagram **800** illustrating signal peaks for multiple targets according to some embodiments. As discussed above, a LIDAR system (e.g.,

LIDAR system **100** illustrated in FIG. **1**) may generate at least two up-chirp and at least two down-chirp signal modulations to scan an environment and to determine range and velocity of targets within that environment. One or more optical sources may generate the up-chirps and the down-chirps. As described above, using the returned signal and corresponding generated beat frequencies (i.e., peak frequencies) from the up-chirps and down-chirps, a signal processing system (e.g., signal processing unit **112** illustrated in FIG. **1**) can determine one or more of a range (e.g., a location, a distance between a target and the LIDAR system), a velocity of a target (e.g., a target velocity), and/or a reflectivity of the target (e.g., a target reflectivity).

[0087] As discussed above, the signal processing unit **112** may generate a baseband signal in a frequency domain by mixing at least two up-chirp signals and at least two down-chirp signals with the one or more returned signals. The at least two down-chirp signals may be delayed in time proportional to the relative motion of at least one of the target and the LIDAR system. The baseband signal may include the peaks **805A**, **805B**, **810A**, **810B**, and **810C**, and may include additional peaks (not illustrated in FIG. **8**). The peaks **805A** and **810A** may be up-chirps and the peaks **805B** and **810B** may be down-chirps. Peaks **805A** and **805B** may have (or may be associated with) a first chirp-rate and peaks **810A** and **810B** may have (or may be associated with) with a second (e.g., different) chirp-rate. The LIDAR system may determine that peaks **805A**, **805B**, and **810A** are true peaks.

[0088] There may arise situations in which false peaks are present in the baseband signal, as discussed above. In particular, FIG. **8** may illustrate a situation where noise is present in the baseband signal and the noise may cause peak **810C** in the baseband signal. The LIDAR system (e.g., may determine that peaks **805A**, **805B**, and **810A** are true peaks based on a threshold height/magnitude of the peaks or by selecting the peaks with the largest heights/magnitude. Thus, peak **810C** (e.g., a false peak) may be selected even though peak **810B** is a true peak.

[0089] In one embodiment, the LIDAR system may determine that peak **810C** is a false peak as follows:

$$[00003] \text{.Math. } \left( \frac{(f_{1,\text{up}} + f_{1,\text{dn}})}{2} - \frac{(f_{2,\text{up}} + f_{2,\text{dn,FA}})}{2} \right) \text{.Math.} > R_{\text{MAXERR}},$$

where  $f_{1,\text{up}}$  is the frequency of peak **805A**,  $f_{1,\text{dn}}$  is the frequency of peak **805B**

$f_{2,\text{up}}$  is the frequency of peak **810A**, and  $f_{2,\text{dn,FA}}$  is the frequency of peak **810C**.

$R_{\text{MAXERR}}$  may be a maximum allowed range error (e.g., a threshold error). Because the result of the above-equation is greater than  $R_{\text{MAXERR}}$  when the frequency of peak **810C** is used, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine that peak **810C** is a false peak and may use peak **810B** (e.g., the true peak) instead.

[0090] In another embodiment, the LIDAR system may determine, generate, calculate, etc., an estimate of the true peak associated with peak **810A** (e.g., peak **810B**). For example, based on peak **805A** and **810A** the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may generate an estimated peak **820**. The estimated peak **820** may be determined as follows:  $f_{2,\text{circumflex over (d)}} = 2 * \alpha_{\text{sub.2}} + f_{1,\text{up}} + (-\alpha_{\text{sub.1}} - \alpha_{\text{sub.2}}) * f_{2,\text{up}} / (\alpha_{\text{sub.1}} - \alpha_{\text{sub.2}})$ , where  $f_{1,\text{up}}$  is the frequency of peak **805A**,  $f_{2,\text{up}}$  is the frequency of peak **810A**,  $\alpha_{\text{sub.1}}$  is the chirp rate associated with peak **805A** and  $\alpha_{\text{sub.2}}$  is the chirp rate associated with peak **810A**. The LIDAR system may determine that peak **810C** is a false peak as follows:  $|f_{2,\text{circumflex over (d)}} - f_{2,\text{dn,FA}}| > f_{\text{sub.MAXERR}}$ , where  $f_{\text{sub.MAXERR}}$  is a maximum allowed frequency error,  $f_{2,\text{circumflex over (d)}}$  is frequency of the estimated peak and  $f_{2,\text{dn,FA}}$  is the frequency of the peak **810C** or the peak **810B**. Because the result of the above-equation is greater than  $f_{\text{sub.MAXERR}}$  when the frequency of peak **810C** is used, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine that peak **810C** is a false peak and may use peak **810B** (e.g., the true peak) instead.

[0091] In one embodiment, the estimated peak **820** may be generated based on peak **805A**, peak **805B**, and peak **810A**. The LIDAR system may use the estimated peak **820** as a true peak if peak

**810B** is not detected within the baseband signal. For example, peak **810B** may be too weak (e.g., the magnitude/height of peak **810B** may be too low). The LIDAR system may generate, calculate, etc., peak **820** based on peak **805A**, peak **805B**, and peak **810A** and may use peak **820** to determine one or more of the target velocity, target range, and target reflectivity.

[0092] In one embodiment, the LIDAR system may also determine a range (e.g., a set, a bin, a group of frequencies) where the true peak associated with peak **810A** (e.g., peak **810B**) should be located. For example, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine, calculate, generate, etc., the range **825** (e.g., a range of frequencies). The LIDAR system may select the peak that is located within the range **825** (e.g., peak **810B**) as the true peak associated with peak **810A**.

[0093] As discussed herein, various criteria, parameters, functions, and/or equations may be used to select a peak (e.g., select or identify a true peak) or determine (e.g., calculate, infer, etc.) a peak. For example, a peak may be selected or determined by minimizing a difference in Doppler shift. In another example, a peak may be selected or determined by minimizing a difference in range. In a further example, a peak may be selected by selecting peaks within particular frequency bands/ranges and/or avoiding peaks that are not within the particular frequency bands/ranges. In yet another example, a peak may be selected based on minimizing the difference between the selected peak and an estimated peak.

[0094] FIG. **9** is an example of a signal magnitude-frequency diagram **900** illustrating signal peaks for multiple targets according to some embodiments. As discussed above, a LIDAR system (e.g., LIDAR system **100** illustrated in FIG. **1**) may generate at least two up-chirp and at least two down-chirp signal modulations to scan an environment and to determine range and velocity of targets within that environment. One or more optical sources may generate the up-chirps and the down-chirps. As described above, using the returned signal and corresponding generated beat frequencies (i.e., peak frequencies) from the up-chirps and down-chirps, a signal processing system (e.g., signal processing unit **112** illustrated in FIG. **1**) can determine one or more of a range (e.g., a location, a distance between a target and the LIDAR system), a velocity of a target (e.g., a target velocity), and/or a reflectivity of the target (e.g., a target reflectivity).

[0095] As discussed above, the signal processing unit **112** may generate a baseband signal in a frequency domain by mixing at least two up-chirp signals and at least two down-chirp signals with the one or more returned signals. The at least two down-chirp signals may be delayed in time proportional to the relative motion of at least one of the target and the LIDAR system. The baseband signal may include the peaks **905A**, **905B**, **910A**, **910B**, and **910C**, and may include additional peaks (not illustrated in FIG. **9**). The peaks **905A** and **910A** may be up-chirps and the peaks **905B** and **910B** may be down-chirps. Peaks **905A** and **905B** may have (or may be associated with) a first chirp-rate and peaks **910A** and **910B** may have (or may be associated with) with a second (e.g., different) chirp-rate. The LIDAR system may determine that peaks **905A**, **905B**, and **910A** are true peaks.

[0096] There may arise situations in which false peaks are present in the baseband signal, as discussed above. In particular, FIG. **9** may illustrate a situation where mirror images of peaks are in the baseband signal. Peak **905C** may be a mirror image of peak **905B**. For example, peak **905C** is mirrored across the frequency 0 and shares the same properties of peak **905B**. Peak **905C** may be referred to as a false peak, a peak image, or an image peak. Peak **910C** may be a mirror image of peak **910B**. For example, peak **910C** is mirrored across the frequency 0 and shares the same properties of peak **910B** (e.g., same curvature or shape). Peak **910C** may be referred to as a false peak, a peak image, or an image peak. The LIDAR system (e.g., may determine that peaks **905A** and **910A** are true peaks. Thus, the LIDAR system should determine which of peaks **905B**, **905C**, **910B**, and **910C** should be used.

[0097] In one embodiment, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine which of the peaks **905B**, **905C**, **910B**, and **910C** are true peaks as follows:



$$[00004] \min .\text{Math.} \frac{f_{1,\text{up}} \pm f_{1,\text{dn}}}{2} - \frac{f_{2,\text{up}} \pm f_{2,\text{dn}}}{2} .\text{Math.} ,$$

where f.sub.1,up is the frequency of peak **905A**, f.sub.2,up is the frequency of peak **910A**, f.sub.1,dn is the frequency of peak **905B** or **905C**, f.sub.2,dn is the frequency of peak **910B** or **910C**,  $\lambda$ .sub.1 is the frequency of the optical beam for peak **905A**, and  $\lambda$ .sub.2 is the frequency of the optical beam for peak **910A**. The LIDAR system may determine the true peaks for the down-chirps are the peaks which result in the minimum value for the above-equation.

[0098] In another embodiment, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may determine, generate, calculate, etc., an estimate of the true peak associated with peak **905A** (e.g., an estimate of peak **905B**). For example, based on peak **905A** and **910A** the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. **1**) may generate an estimated peak **905D**, as discussed above. The LIDAR system may determine that peak **905C** is a false peak as follows:  $\min|f_{\text{sub.2,dn}} - f_{\text{sub.2,dn,H.sub.N}}|$  where f.sub.2,dn is frequency of the estimated peak **905D** and f.sub.2,dn,HN is the frequency of the peak **905B** or the peak **905C**. Because peak **905B** will result in a minimum value for the above-equation, the LIDAR system may determine that peak **905B** is a true peak.

[0099] FIG. **10** is an example of a signal magnitude-frequency diagram **1000** illustrating signal peaks for multiple targets according to some embodiments. As discussed above, a LIDAR system (e.g., LIDAR system **100** illustrated in FIG. **1**) may generate at least two up-chirp and at least two down-chirp signal modulations to scan an environment and to determine range and velocity of targets within that environment. One or more optical sources may generate the up-chirps and the down-chirps. As described above, using the returned signal and corresponding generated beat frequencies (i.e., peak frequencies) from the up-chirps and down-chirps, a signal processing system (e.g., signal processing unit **112** illustrated in FIG. **1**) can determine one or more of a range (e.g., a location, a distance between a target and the LIDAR system), a velocity of a target (e.g., a target velocity), and/or a reflectivity of the target (e.g., a target reflectivity).

[0100] As discussed above, the signal processing unit **112** may generate a baseband signal in a frequency domain by mixing at least two up-chirp signals and at least two down-chirp signals with the one or more returned signals. The at least two down-chirp signals may be delayed in time proportional to the relative motion of at least one of the target and the LIDAR system. The baseband signal may include the peaks **1005A** and **1010A**. The peaks **1005A** and **1010A** may be up-chirps. Peaks **1005A** and **1010A** may have different chirp-rates.

[0101] In one embodiment, the LIDAR system (e.g., signal processing unit **112**) may calculate, generate, determine, etc., an estimated peak **1005C** (that corresponds to peak **1005A**) as follows:  $f_{\text{sub.2,dn}} = 2 * \alpha_{\text{sub.2}} + f_{\text{sub.1,up}} + (-\alpha_{\text{sub.1}} - \alpha_{\text{sub.2}}) * f_{\text{sub.2,up}} / (\alpha_{\text{sub.1}} - \alpha_{\text{sub.2}})$ , where f.sub.1,up is the frequency of peak **1010A**, f.sub.2,up is the frequency of peak **1005A**,  $\alpha_{\text{sub.1}}$  is the chirp rate associated with peak **1010A** and  $\alpha_{\text{sub.2}}$  is the chirp rate associated with peak **1005A**. The above equation may be derived by combining the following equations:

$$[00005] f_{1,\text{up}} = \alpha_{1} * R + f_D, f_{2,\text{up}} = \alpha_{2} * R + f_D, R = (f_{1,\text{up}} - f_{2,\text{up}}) / (\alpha_{1} - \alpha_{2}), f_D = f_{1} - \alpha_{1} * R,$$

where f.sub.1,up is the frequency of peak **1005A**, f.sub.2,up is the frequency of peak **1010A**,  $\alpha_{\text{sub.1}}$  is the chirp rate associated with peak **1005A** and  $\alpha_{\text{sub.2}}$  is the chirp rate associated with peak **1010A**, R is the range to the target, and f.sub.D is the Doppler frequency.

[0102] FIG. **11** is an example of a signal magnitude-frequency diagram **1000** illustrating signal peaks for multiple targets according to some embodiments. As discussed above, a LIDAR system (e.g., LIDAR system **100** illustrated in FIG. **1**) may generate at least two up-chirp and at least two down-chirp signal modulations to scan an environment and to determine range and velocity of targets within that environment. One or more optical sources may generate the up-chirps and the down-chirps. As described above, using the returned signal and corresponding generated beat frequencies (i.e., peak frequencies) from the up-chirps and down-chirps, a signal processing system (e.g., signal processing unit **112** illustrated in FIG. **1**) can determine one or more of a range (e.g., a

location, a distance between a target and the LIDAR system), a velocity of a target (e.g., a target velocity), and/or a reflectivity of the target (e.g., a target reflectivity).

[0103] As discussed above, the signal processing unit **112** may generate a baseband signal in a frequency domain by mixing at least two up-chirp signals and at least two down-chirp signals with the one or more returned signals. The at least two down-chirp signals may be delayed in time proportional to the relative motion of at least one of the target and the LIDAR system. The baseband signal may include the peaks **1105A**, **1110A**, **1106A**, **1111A**, **1111B**, **1106B**, **1110B**, and **1105B**. The peaks **1105A**, **1110A**, **1106A**, and **1111A** may be up-chirps. The peaks **1111B**, **1106B**, **1110B**, and **1105B** may be down-chirps. Peaks **1105A** and **1105B** may have (or may be associated with) a first chirp-rate and peaks **1110A** and **1110B** may have (or may be associated with) with a second (e.g., different) chirp-rate.

[0104] In one embodiment, there may be multiple targets within range of the LIDAR system. Thus, each of the peaks **1105A**, **1110A**, **1106A**, **1111A**, **1111B**, **1106B**, **1110B**, and **1105B** may be true peaks. To determine which down-chirp peaks correspond to which up-chirp peaks, the LIDAR system (e.g., the signal processing unit **112** illustrated in FIG. 1) may generate, determine calculate, etc., estimated peaks based on the up-chirp peaks **1105A**, **1110A**, **1106A**, and **1111A**.

[0105] FIG. 12 is a flowchart illustrating a method **1200** in a LIDAR system, such as LIDAR system **100** or LIDAR system **300**, for selecting peaks according to the present disclosure. Method **1200** may be performed by processing logic that may comprise hardware (e.g., circuitry, dedicated logic, programmable logic, a processor, a processing device, a central processing unit (CPU), a system-on-chip (SoC), etc.), software (e.g., instructions running/executing on a processing device), firmware (e.g., microcode), or a combination thereof. In some embodiments, the method **1200** may be performed by a signal processing system of a LIDAR system (e.g., the signal processing system **303** of the LIDAR system **300**, as illustrated in FIG. 3A and FIG. 4).

[0106] Method **1200** begins at operation **1205** where the processing logic transmits one or more optical beams comprising at least two up-chirp signal modulations and at least two down-chirp signal modulations toward a target in a field of view of a light detection and ranging (LIDAR) system. At operation **1210**, the processing logic receives one or more returned signals of the up-chirps and the down-chirps as reflected from the target. The processing logic may also generate a baseband signal in a frequency domain of the one or more returned signals of the up-chirps and the down-chirps, the baseband signal comprising a set of peaks associated with the target as detected by the up-chirps and the down-chirps, where the first set of peaks includes a first true peak and a second true peak, and the second set of peaks includes a third true peak and a fourth true peak.

[0107] At operation **1215**, the processing logic determines whether all true peaks from the first set of peaks and the second set of peaks should be used. For example, the first set of peaks includes a first true peak (for a first up-chirp signal modulation) and a second true peak (for a second up-chirp signal modulation), and the second set of peaks includes a third true peak (for a first down-chirp signal modulation) and a fourth true peak (for a second down-chirp signal modulation). The processing logic may determine whether the location, velocity, reflectivity, etc., of a target can be determined using a subset of the true peaks from the first set of peaks and the second set of peaks. For example, the processing logic may determine whether the magnitude of the first and third true peaks are greater than a threshold. In another example, the processing logic may determine whether a confidence level/metric for the first and third peaks are greater than a threshold confidence.

[0108] If all of the true peaks from the first set of peaks and the second set of peaks should not be used, the processing logic determines one or more of a location, a distance to a target, a velocity of the target, and a reflectivity of the target based on a subset of the true peaks in the first set of peaks and the second set of peaks. For example, the processing logic may determine one or more of a location, a distance to a target, a velocity of the target, and a reflectivity of the target based on the first true peak and the true third peak at block **1220**. If all of the true peaks from the first set of peaks and the second set of peaks should be used, the processing logic determines one or more of

the location, a distance to a target, a velocity of the target, and a reflectivity of the target based on the first true peak, the second true peak, the third true peak, and the fourth true peak at block **1225**. Optionally, at block **1226**, the processing logic may select, determine, calculate, generate, etc., the fourth true peak from the second set of peaks at block **1226** when determining one or more of the location, a distance to a target, a velocity of the target, and a reflectivity of the target.

[0109] The preceding description sets forth numerous specific details such as examples of specific systems, components, methods, and so forth, in order to provide a thorough understanding of several examples in the present disclosure. It will be apparent to one skilled in the art, however, that at least some examples of the present disclosure may be practiced without these specific details. In other instances, well-known components or methods are not described in detail or are presented in simple block diagram form in order to avoid unnecessarily obscuring the present disclosure. Thus, the specific details set forth are merely exemplary. Particular examples may vary from these exemplary details and still be contemplated to be within the scope of the present disclosure.

[0110] Any reference throughout this specification to “one example” or “an example” means that a particular feature, structure, or characteristic described in connection with the examples are included in at least one example. Therefore, the appearances of the phrase “in one example” or “in an example” in various places throughout this specification are not necessarily all referring to the same example.

[0111] Although the operations of the methods herein are shown and described in a particular order, the order of the operations of each method may be altered so that certain operations may be performed in an inverse order or so that certain operations may be performed, at least in part, concurrently with other operations. Instructions or sub-operations of distinct operations may be performed in an intermittent or alternating manner.

[0112] The above description of illustrated implementations of the invention, including what is described in the Abstract, is not intended to be exhaustive or to limit the invention to the precise forms disclosed. While specific implementations of, and examples for, the invention are described herein for illustrative purposes, various equivalent modifications are possible within the scope of the invention, as those skilled in the relevant art will recognize. The words “example” or “exemplary” are used herein to mean serving as an example, instance, or illustration. Any aspect or design described herein as “example” or “exemplary” is not necessarily to be construed as preferred or advantageous over other aspects or designs. Rather, use of the words “example” or “exemplary” is intended to present concepts in a concrete fashion. As used in this application, the term “or” is intended to mean an inclusive “or” rather than an exclusive “or”. That is, unless specified otherwise, or clear from context, “X includes A or B” is intended to mean any of the natural inclusive permutations. That is, if X includes A; X includes B; or X includes both A and B, then “X includes A or B” is satisfied under any of the foregoing instances. In addition, the articles “a” and “an” as used in this application and the appended claims should generally be construed to mean “one or more” unless specified otherwise or clear from context to be directed to a singular form. Furthermore, the terms “first,” “second,” “third,” “fourth,” etc. as used herein are meant as labels to distinguish among different elements and may not necessarily have an ordinal meaning according to their numerical designation.

## Claims

1. A method comprising: transmitting, towards a target, a set of chirp signals; receiving, from the target, a set of adjusted chirp signals; and determining, based on the set of adjusted chirp signals, a degree of ghosting mitigation to compensate for a ghost target appearing in a point cloud at a location where no real target exists.

2. The method of claim 1, wherein the set of chirp signals comprises: at least two up-chirp signals;

and at least two down-chirp signals.

**3.** The method of claim 1, wherein the set of adjusted chirp signals comprises: at least two adjusted up-chirp signals; and at least two adjusted down-chirp signals.

**4.** The method of claim 1, wherein the ghost target appears as a result of close-range ghosting.

**5.** The method of claim 1, wherein the ghost target appears as a result of far-range ghosting.

**6.** The method of claim 1, wherein the set of adjusted chirp signals produce a set of peaks comprising: a first peak and a second peak associated with adjusted up-chirp signals; and a third peak and a fourth peak associated with adjusted down-chirp signals, wherein the first peak, second peak, third peak, and fourth peak comprise signal-to-noise ratio (SNR) values exceeding a threshold value.

**7.** The method of claim 6, wherein as a result of determining the required degree of ghosting mitigation, using the first peak, second peak, third peak, and fourth peak, calculating at least one of: a location of the target; a velocity of the target; or a reflectivity of the target.

**8.** A light detection and ranging (LIDAR) system, comprising: a processing device; and a memory storing instructions that, when executed by the processing device, cause the LIDAR system to: transmit, towards a target, a set of chirp signals; receive, from the target, a set of adjusted chirp signals; and determine, based on the set of adjusted chirp signals, a degree of ghosting mitigation to compensate for a ghost target appearing in a point cloud at a location where no real target exists.

**9.** The LIDAR system of claim 8, wherein the set of adjusted chirp signals comprises: at least two adjusted up-chirp signals; and at least two adjusted down-chirp signals.

**10.** The LIDAR system of claim 9, wherein: the at least two adjusted up-chirp signals are positive; and the at least two adjusted down-chirp signals are positive.

**11.** The LIDAR system of claim 10, wherein: the at least two adjusted up-chirp signals produce a first set of peaks, the first set of peaks corresponding to a location of the target; and the at least two adjusted down-chirp signals produce a second set of peaks, the second set of peaks corresponding to the location of the target.

**12.** The LIDAR system of claim 11, wherein the peaks of the first set of peaks comprise a signal-to-noise ratio (SNR) value exceeding a threshold value.

**13.** The LIDAR system of claim 11, wherein the peaks of the second set of peaks comprise a signal-to-noise ratio (SNR) value exceeding a threshold value.

**14.** The LIDAR system of claim 11, wherein at least one of the peaks of the first set of peaks is determined by minimizing a difference in Doppler shift between a peak of the first set of peaks and an estimated peak determined from the first set of peaks.

**15.** A light detection and ranging (LIDAR) system, comprising: a processing device; and a memory storing instructions that, when executed by the processing device, cause the LIDAR system to: transmit, towards a target, one or more optical beams comprising a set of chirp signals; receive, from the target, a set of adjusted chirp signals; and determine, based on the set of adjusted chirp signals, a degree of ghosting mitigation to compensate for a ghost target appearing in a point cloud at a location where no real target exists.

**16.** The LIDAR system of claim 15, wherein the set of adjusted chirp signals results from a relative motion of at least one of the target or the LIDAR system.

**17.** The LIDAR system of claim 15, wherein the one or more optical beams are transmitted by a single optical source.

**18.** The LIDAR system of claim 15, wherein the one or more optical beams are transmitted by at least two optical sources.

**19.** The LIDAR system of claim 15, wherein the one or more optical beams are transmitted over at least one of multiple sweeps, multiple lines, or multiple frames.

**20.** The LIDAR system of claim 15, wherein: the set of adjusted chirp signals comprises at least two adjusted up-chirp signals and at least two adjusted down-chirp signals; the at least two adjusted up-chirp signals produce a first set of peaks; the at least two adjusted down-chirp signals produce a

second set of peaks; the first set of peaks and the second set of peaks comprise signal-to-noise ratio (SNR) values exceeding a threshold value; and the first set of peaks and the second set of peaks correspond to at least one of: a location of the target; a velocity of the target; or a reflectivity of the target.

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