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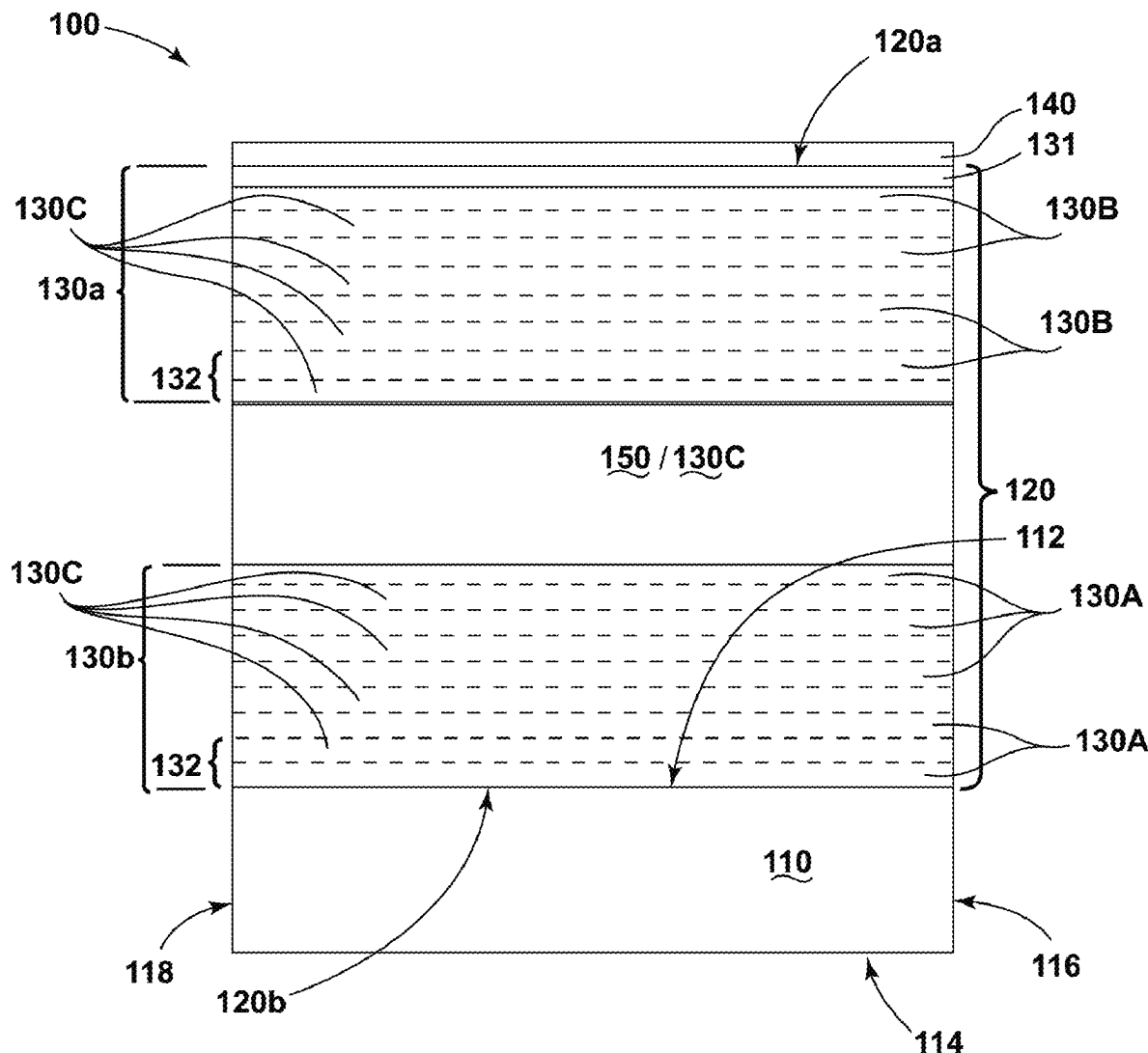
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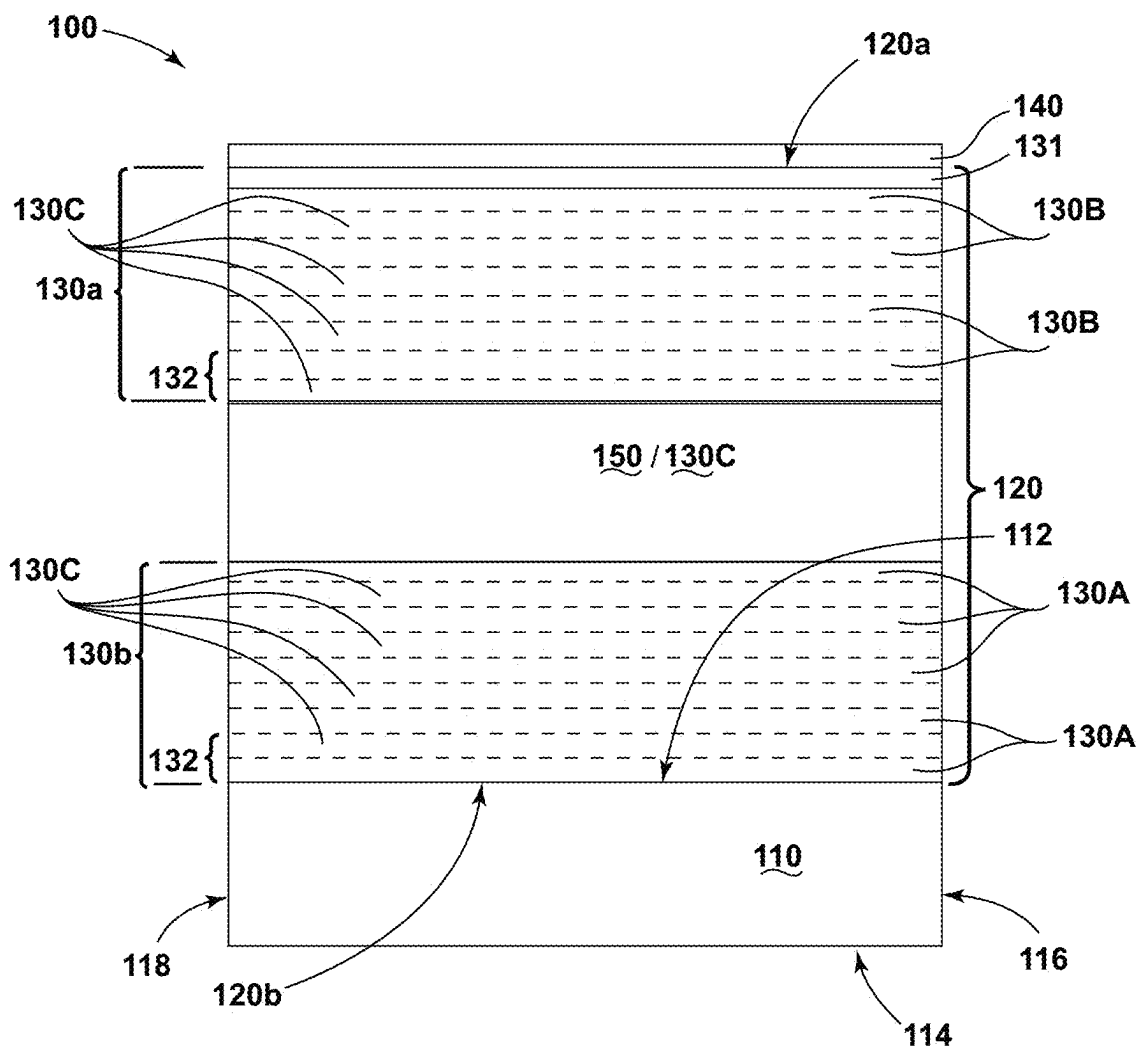
(57) **ABSTRACT**

A transparent article is described herein that includes: a substrate; and an optical film structure on the substrate having a thickness of from about 200 to 5000 nm. The optical film structure comprises a scratch-resistant layer, at least one low refractive index (RI), medium RI, and high RI layer, an inner structure disposed on the substrate, and an outer structure comprising alternating high and medium RI layers. Each medium RI layer comprises a refractive index from 1.55 to 1.9, each high RI layer comprises a refractive index greater than 1.80, each low RI layer comprises a refractive index from 1.35 to 1.7.

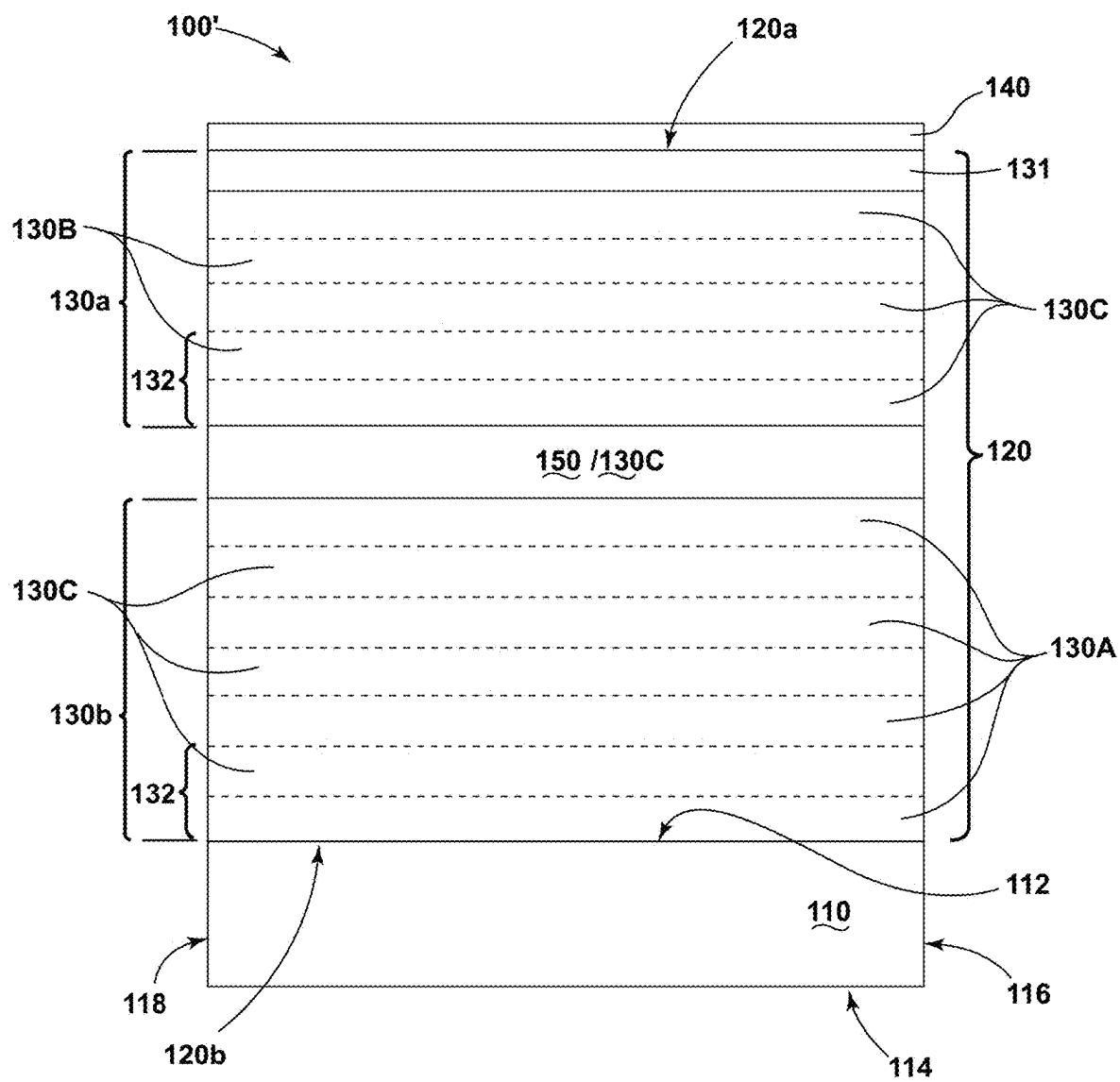
### Related U.S. Application Data

(60) Provisional application No. 63/554,308, filed on Feb. 16, 2024.





**FIG. 1A**



**FIG. 1B (PRIOR ART)**

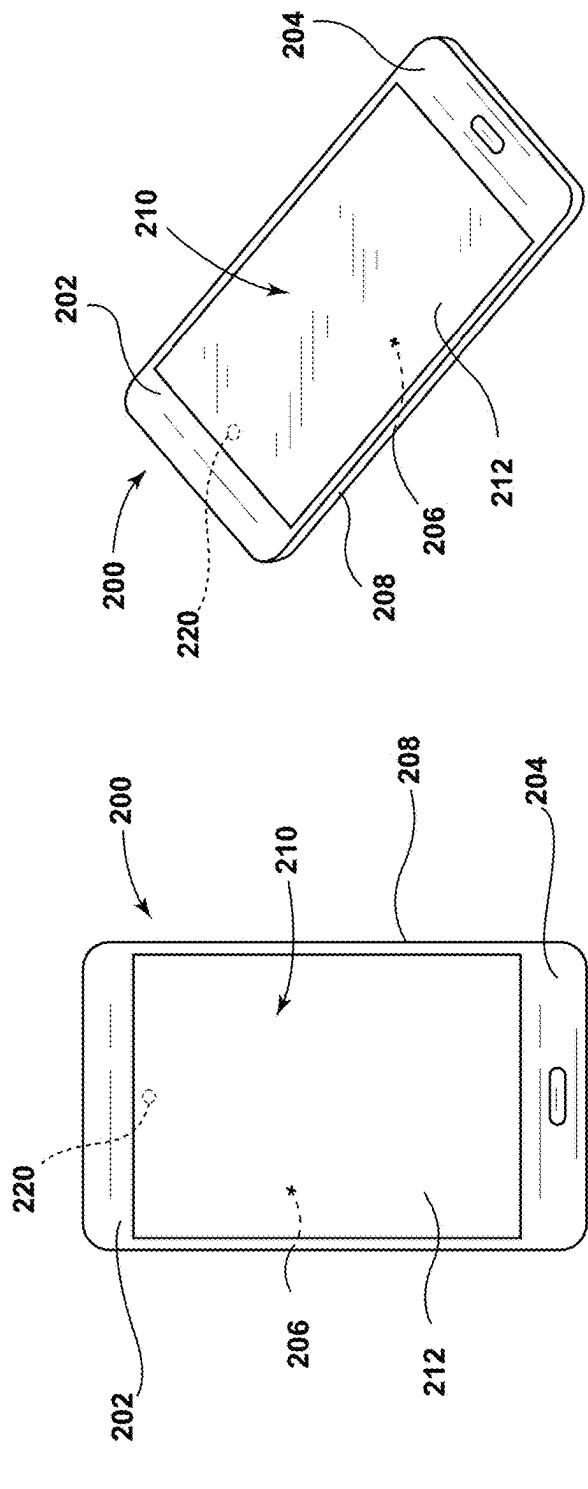


FIG. 2A

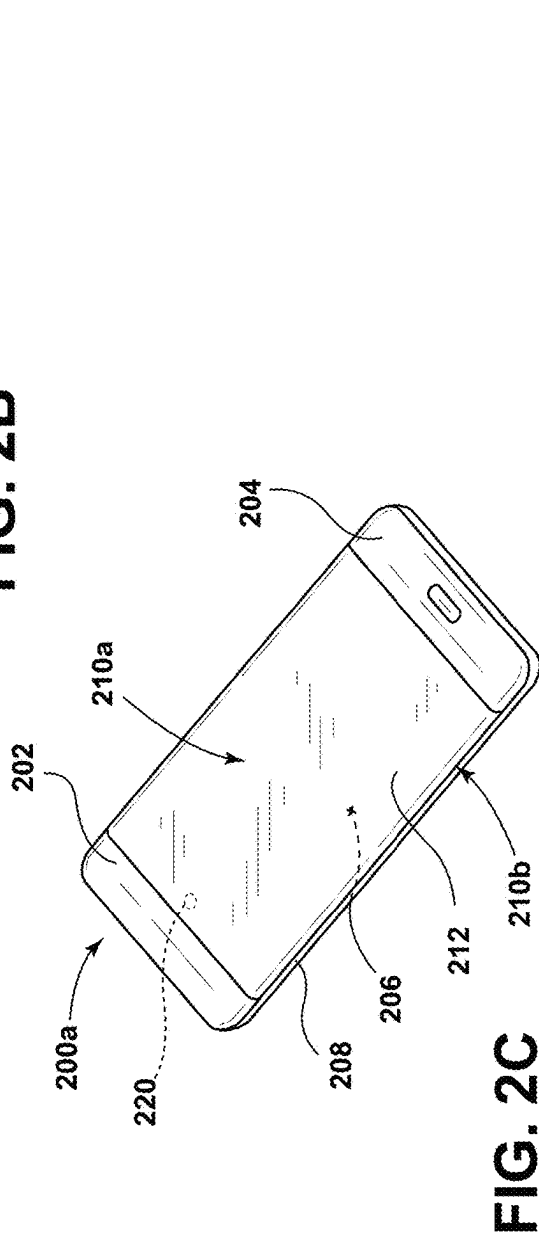


FIG. 2B

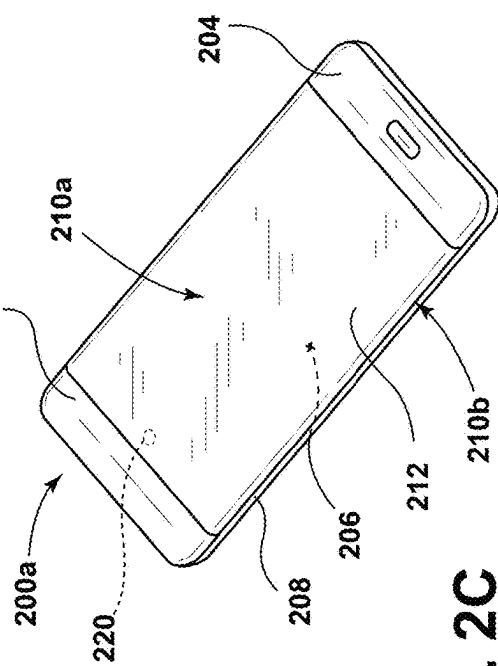
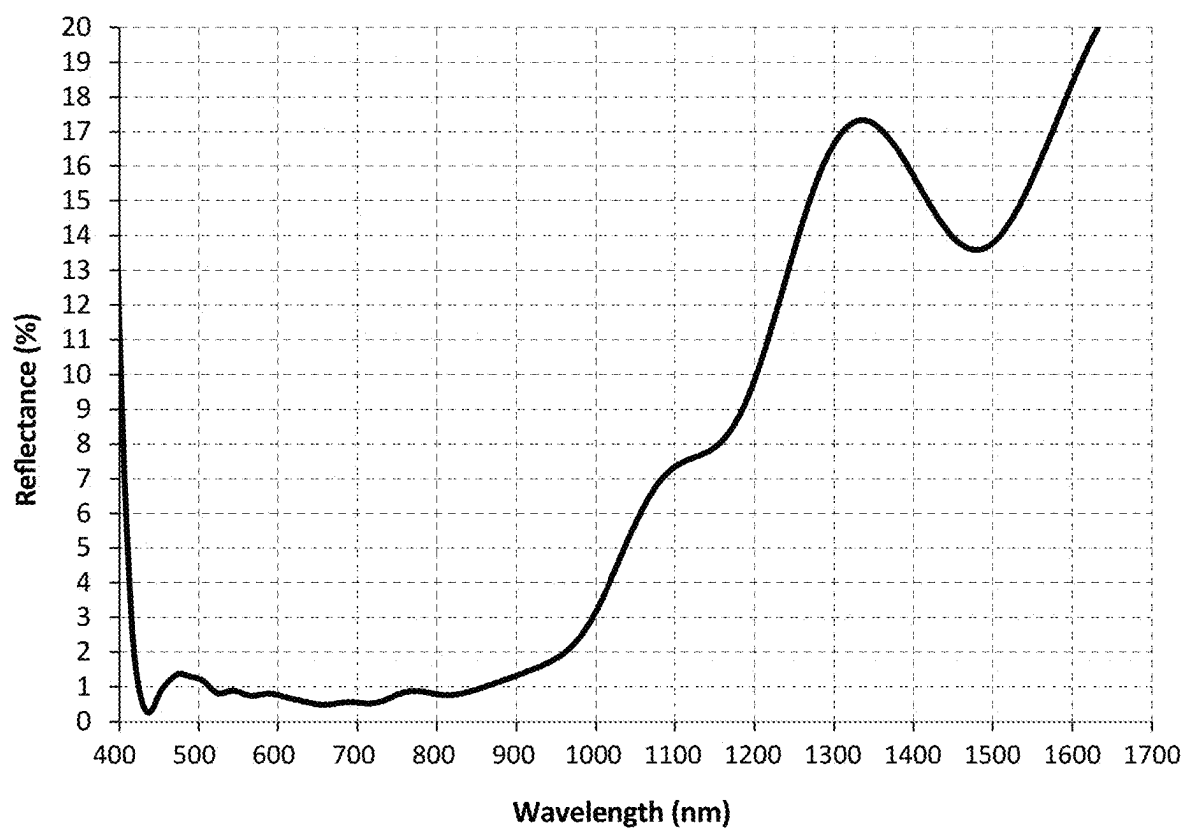
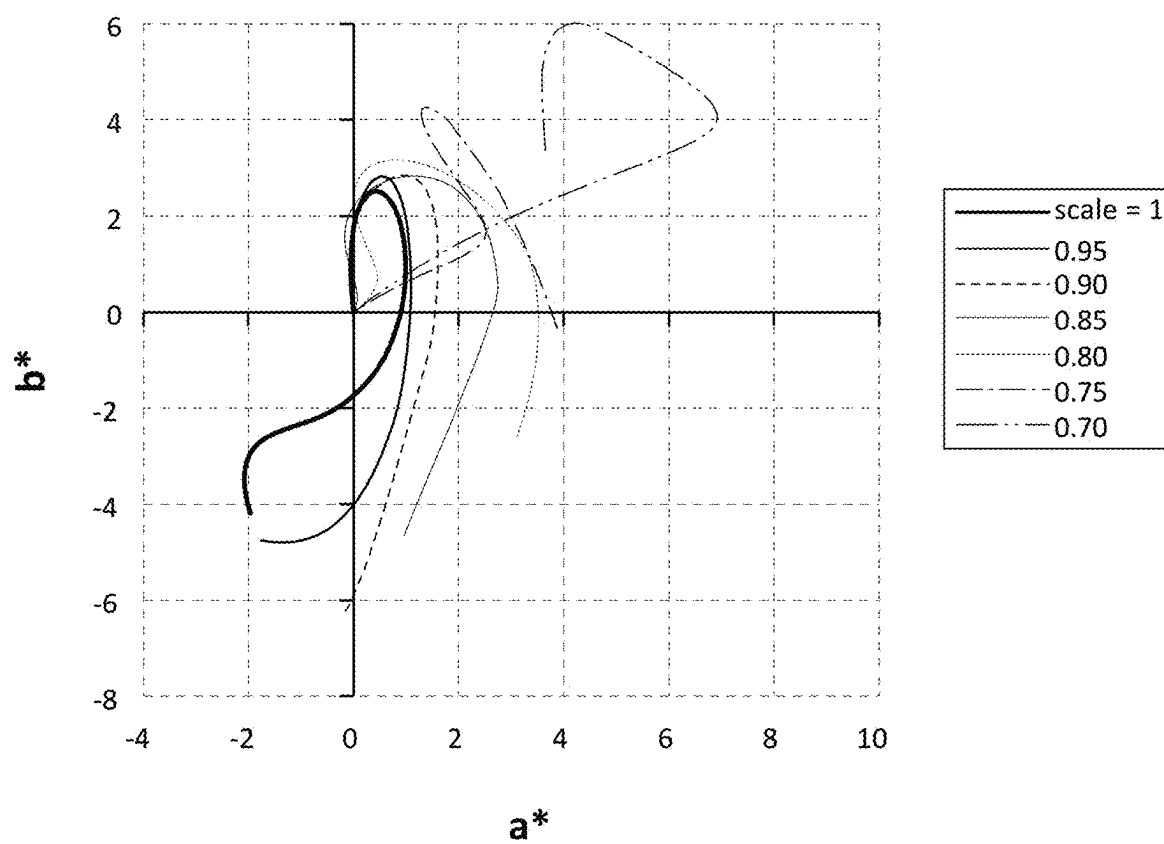
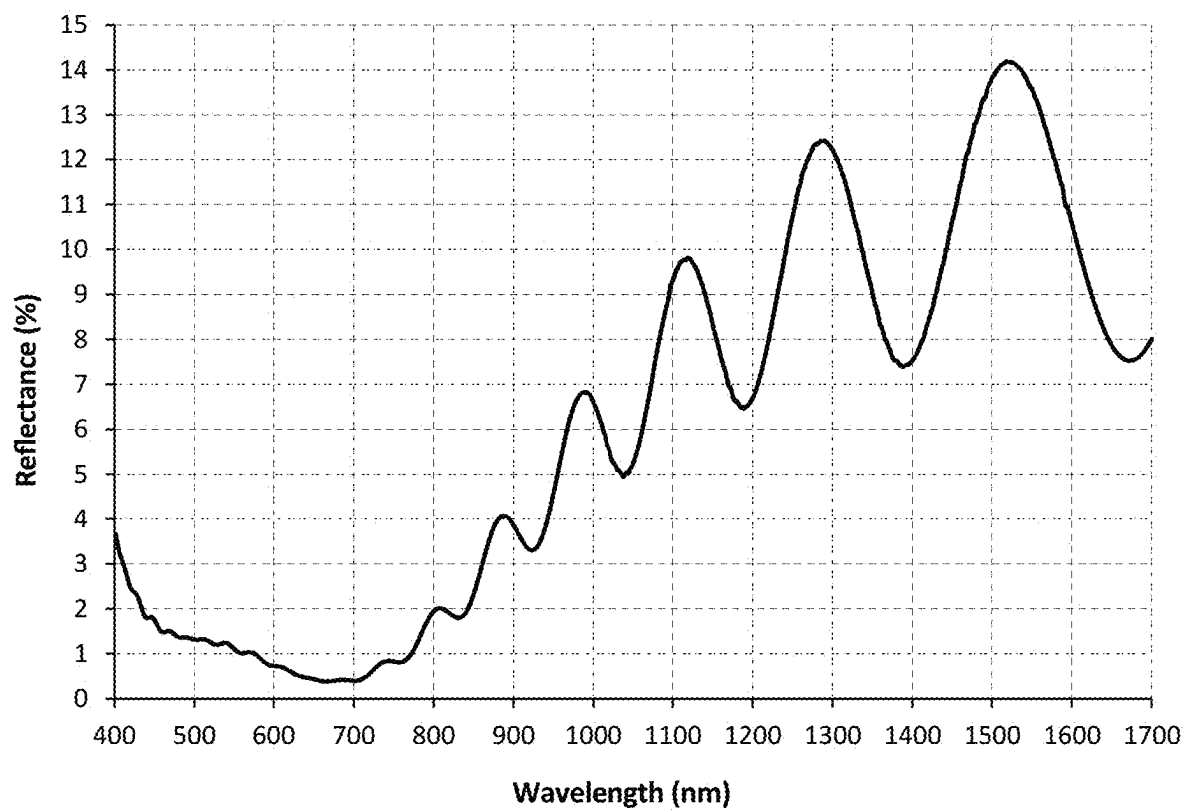
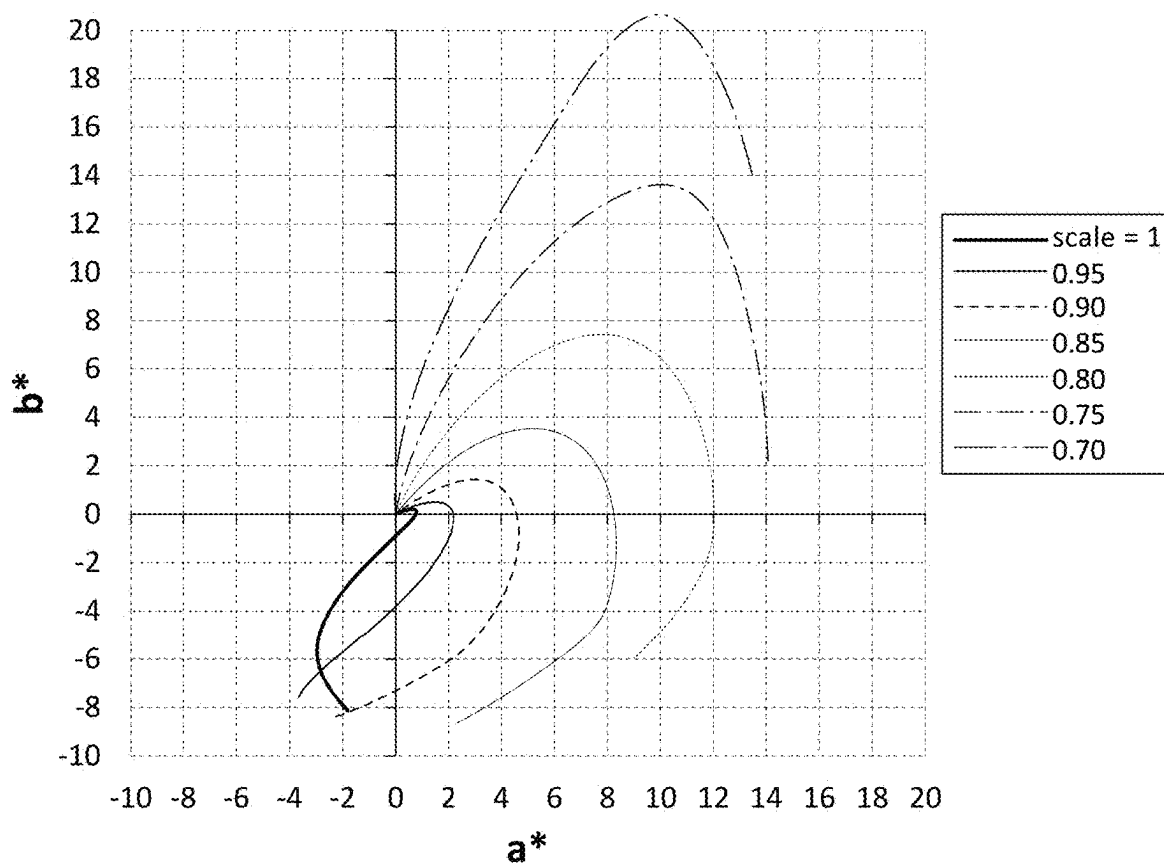


FIG. 2C

**Ex. 1****AOI = 8° first-surface reflectance****FIG. 3A**

**Ex. 1****1st-surface reflected D65 color****FIG. 3B**

**Comp. Ex. 1****AOI = 6° first-surface reflectance****FIG. 4A (PRIOR ART)**

**Comp Ex. 1****1st-surface reflected D65 color****FIG. 4B (PRIOR ART)**



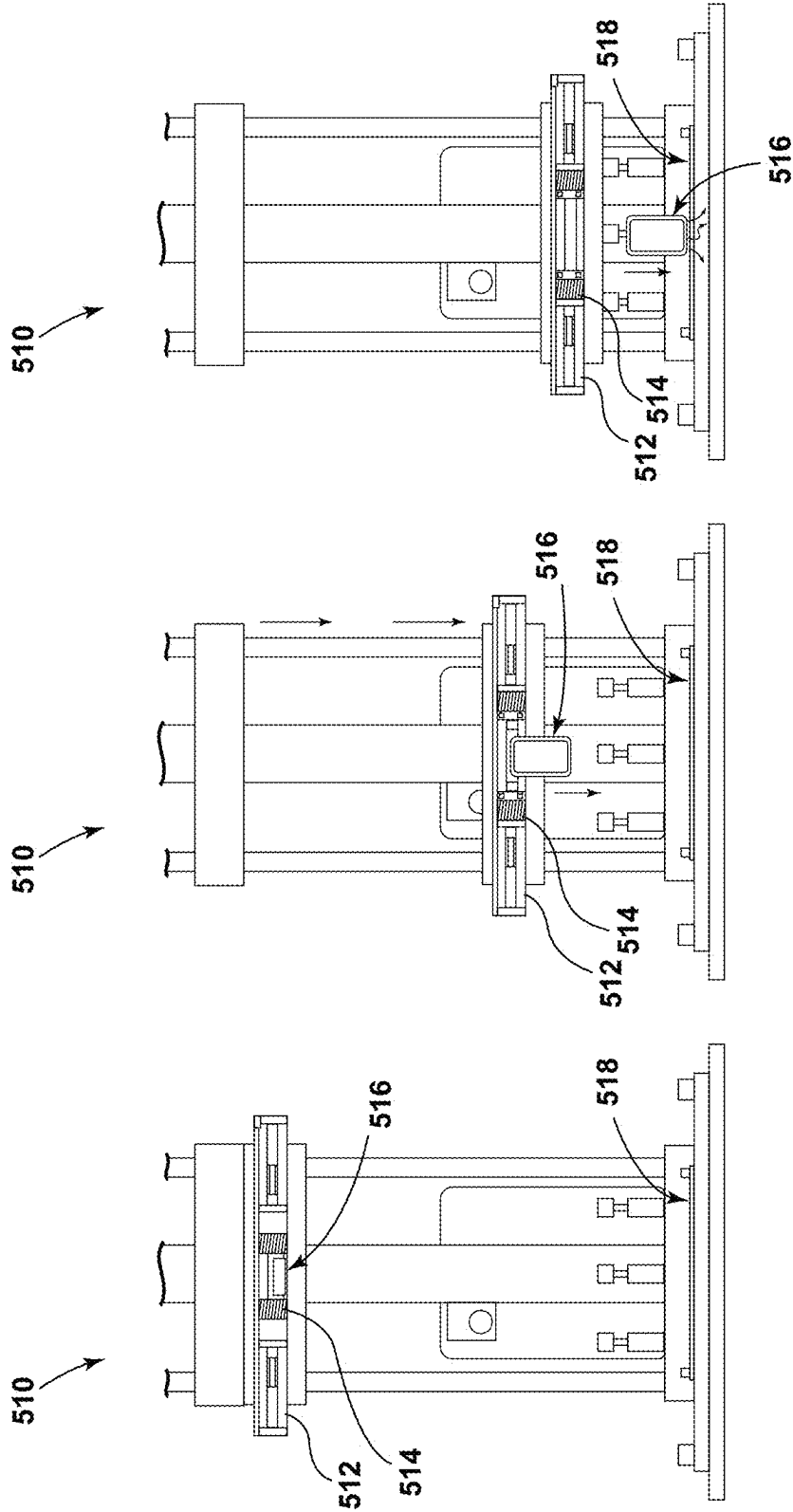
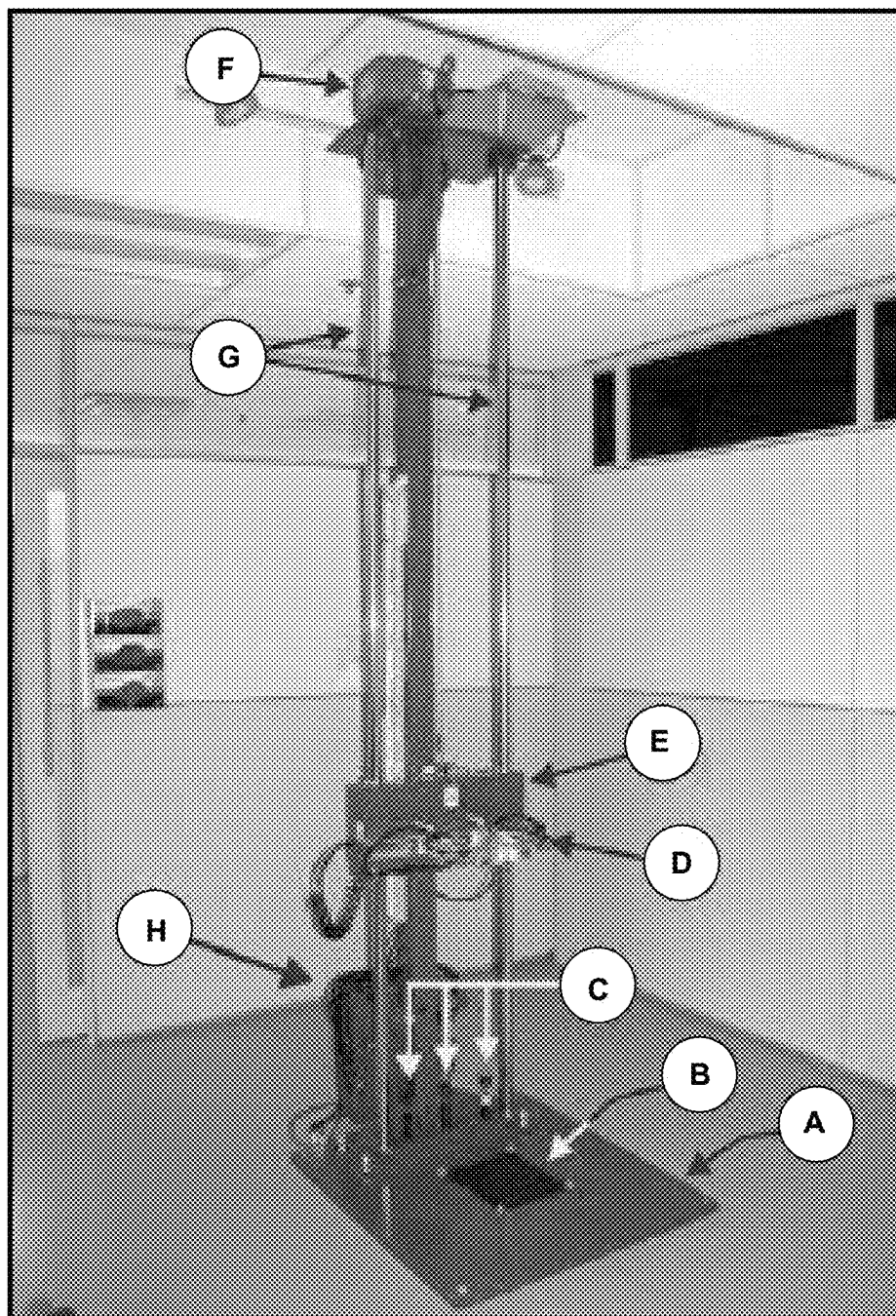


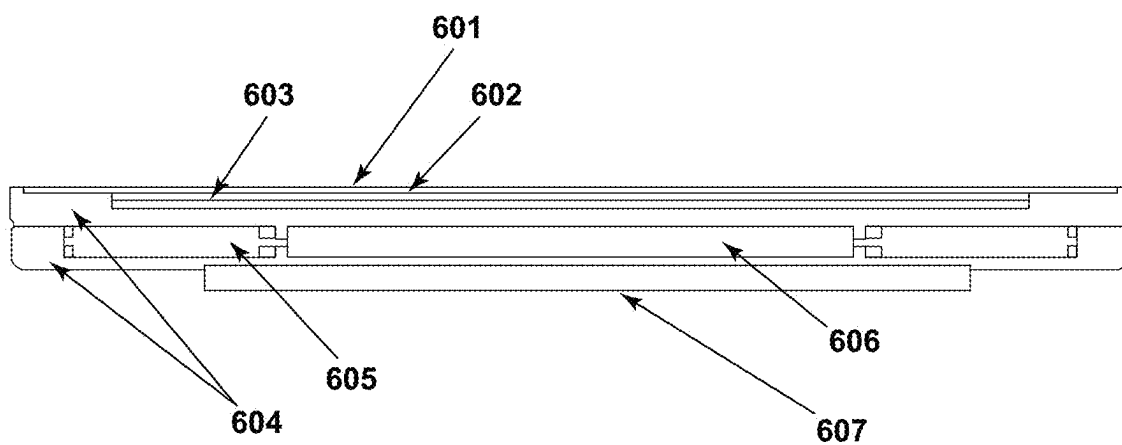
FIG. 5A

FIG. 5B

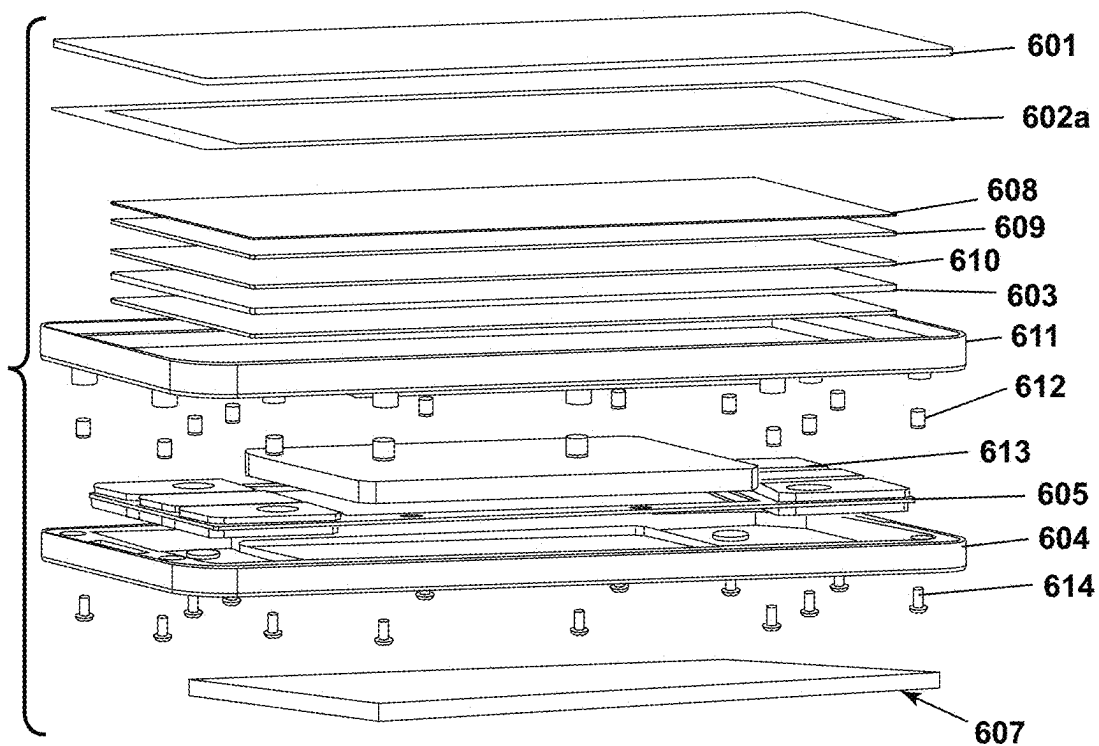
FIG. 5C



**FIG. 6A**

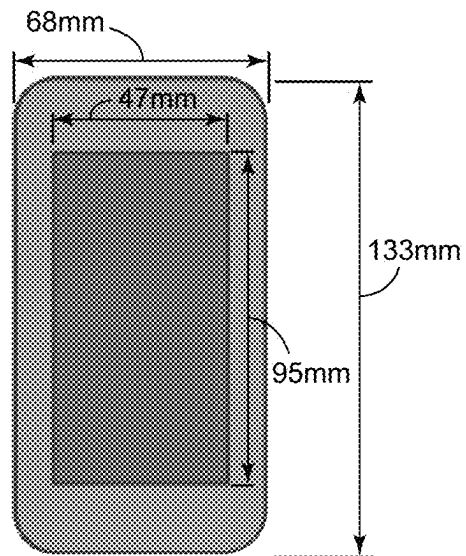


**FIG. 6B**

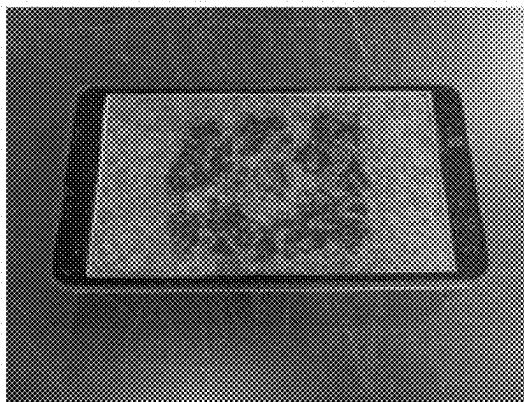


**FIG. 6C**

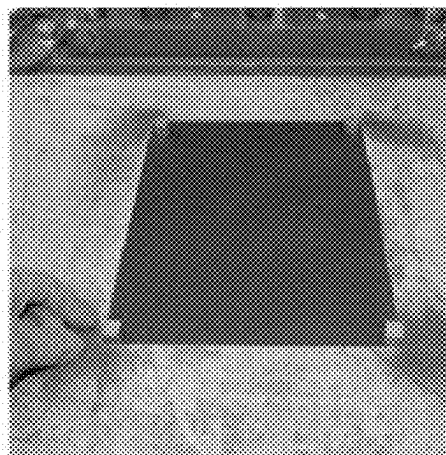
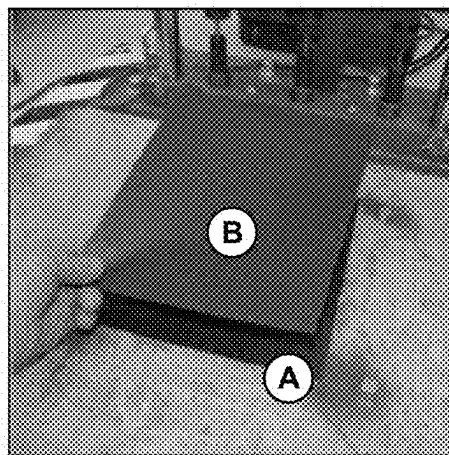
**FIG. 6D**



**FIG. 6E**



**FIG. 6F**



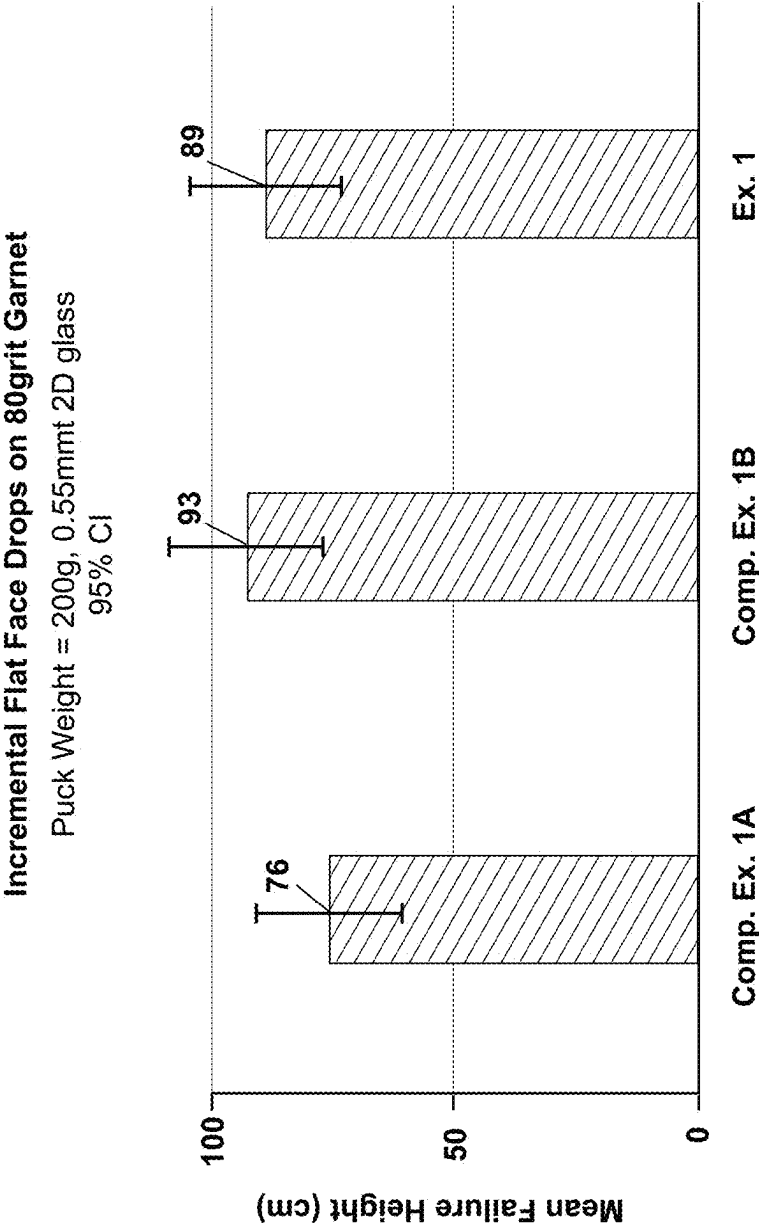


FIG. 7

**TRANSPARENT ARTICLES AND DISPLAY  
ARTICLES WITH MEDIUM INDEX LAYERS  
AND HIGH SHALLOW HARDNESS**

**CLAIM OF PRIORITY**

**[0001]** This application claims the benefit of priority under 35 U.S.C. § 119 of U.S. Provisional Application Ser. No. 63/554,308, filed on Feb. 16, 2024, the content of which is relied upon and incorporated herein by reference in its entirety.

**FIELD**

**[0002]** This disclosure relates to transparent articles for protection of optical articles and display devices, and particularly to transparent articles having a substrate with an optical film structure disposed thereon with an outer structure with one or more medium index layers that exhibit various optical and mechanical performance attributes including, but not limited to, high shallow hardness, low reflectance, low glare, high visible and infrared transmittance, low reflected color, color uniformity, minimized overall thickness, and retained strength and drop performance.

**BACKGROUND**

**[0003]** Cover articles with glass substrates are often used to protect critical devices and components within electronic products and systems, such as mobile devices, smart phones, computer tablets, hand-held devices, vehicular displays and other electronic devices with displays, cameras, light sources and/or sensors. These cover articles can also be employed in architectural articles, transportation articles (e.g., articles used in automotive applications, trains, aircraft, sea craft, etc.), appliance articles, or any article that requires some transparency, scratch resistance, abrasion resistance, or a combination thereof.

**[0004]** These applications that employ cover glass articles often demand a combination of mechanical and environmental durability, breakage resistance, damage resistance, scratch resistance and strong optical performance characteristics. For example, the cover articles may be required to exhibit high light transmittance, low reflectance and/or low transmitted color in the visible spectrum. In some applications, the cover articles are required to cover and protect display devices, cameras, sensors and/or light sources. Further, recent data suggests that high hardness close to the outer surface of the optical structures of cover articles can appreciably improve scratch and abrasion resistance, particularly for scratches that originate from sliding motions with low applied normal forces.

**[0005]** Further, conventional cover articles employing glass or glass-ceramic substrates and optical film structures can suffer from reduced article-level mechanical performance. In particular, the inclusion of optical film structures on these substrates has provided advantages in terms of optical performance and certain mechanical properties (e.g., scratch resistance); however, conventional combinations of these substrates and optical film structures (e.g., as optimized for improved scratch resistance with high modulus and/or hardness) has resulted in inferior strength and/or drop test performance for the resultant article. Notably, it appears that the presence of the optical film structure on the substrate can disadvantageously reduce the strength and/or drop test

performance of the article to a level below that of the substrate in a bare form without the optical film structure.

**[0006]** Accordingly, there is a need for improved cover articles for protection of optical articles and devices, particularly transparent articles that exhibit high shallow hardness (or high hardness more generally), low reflectance, low glare, high visible and infrared transmittance, low reflected color, and color uniformity, along with, in some instances, damage resistance, high modulus and/or high fracture toughness. There is also a need for the foregoing transparent articles which employ optical film structures with minimized overall thickness and as-deposited warp levels, with retained hardness and strength. Further, there is a need for the foregoing transparent articles in which their bare substrate strength and drop performance levels are improved, retained, or substantially retained (e.g., at or above an application-driven threshold), after the inclusion of their optical film structures. These needs, and other needs, are addressed by the present disclosure.

**SUMMARY**

**[0007]** According to an aspect of the disclosure, a transparent article is provided that includes: a substrate comprising a first primary surface and a second primary surface, the primary surfaces opposing one another; and an optical film structure having an outer surface and a physical thickness of from about 200 nm to 5000 nm, the optical film structure disposed on the first primary surface. The optical film structure comprises a scratch-resistant layer, at least one low refractive index (RI) layer, at least one medium RI layer, and at least one high RI layer. The optical film structure further comprises an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface, and the outer structure comprising a plurality of alternating high and medium RI layers. The outer structure comprises at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers. The at least one medium RI layer comprises a refractive index from 1.55 to 1.9, each of the high RI layers comprises a refractive index of greater than 1.80, each of the low RI layers comprises a refractive index from 1.35 to 1.7, each medium RI layer has a higher refractive index than each low RI layer, and each high RI layer has a higher refractive index than each medium RI layer. The article exhibits a first-surface average photopic reflectance of less than 1%, as measured from 0° to 10° incidence. Further, the article exhibits a color shift of less than 15 for all thickness scaling factors from 70-100% for the optical film structure, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of 0° to 90° or (ii) between two angles of incidence, where the first angle is selected from the range of 0-20 degrees and the second angle is selected from the range of 45-90 degrees, and as given by  $\sqrt{(a^*^2 + b^*^2)}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

**[0008]** According to an aspect of the disclosure, a transparent article is provided that includes: a substrate comprising a first primary surface and a second primary surface, the primary surfaces opposing one another; and an optical film structure having an outer surface and a physical thickness of from about 500 nm to 2000 nm, the optical film structure disposed on the first primary surface. The optical film structure comprises a scratch-resistant layer, low refractive

index (RI) layers, medium RI layers, and high RI layers. The optical film structure further comprises an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface and comprising a plurality of alternating high and low RI layers, and the outer structure comprising a plurality of alternating high and medium RI layers. The outer structure comprises at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers. Further, each medium RI layer of the outer structure comprises a refractive index from 1.55 to 1.65, each of the high RI layers comprises a refractive index of greater than 1.80, and each of the low RI layers comprises a refractive index from 1.35 to 1.55, and wherein the article exhibits a first-surface average photopic reflectance of less than 1%, as measured from 0° to 10° incidence.

**[0009]** According to an aspect of the disclosure, a transparent article is provided that includes: a substrate comprising a first primary surface and a second primary surface, the primary surfaces opposing one another; and an optical film structure having an outer surface and a physical thickness of from about 200 nm to 5000 nm, the optical film structure disposed on the first primary surface. The optical film structure comprises a scratch-resistant layer, low refractive index (RI) layers, medium RI layers, and high RI layers. The optical film structure further comprises an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface and comprising a plurality of alternating high and low RI layers, and the outer structure comprising a plurality of alternating high and medium RI layers. The outer structure has a physical thickness of from 400 nm to 800 nm and comprises at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers. The at least one medium RI layer comprises a refractive index from 1.55 to 1.9, each of the high RI layers comprises a refractive index of greater than 1.80, each of the low RI layers comprises a refractive index from 1.35 to 1.7, each medium RI layer has a higher refractive index than each low RI layer, and each high RI layer has a higher refractive index than each medium RI layer. Further, the article exhibits a mean failure height of at least 75 cm, as measured according to a Drop Test Method with 80 grit garnet sandpaper.

**[0010]** According to other aspects of the disclosure, a display device is provided that includes one or more of the foregoing transparent articles, with each article serving as a protective cover for the display device.

**[0011]** Additional features and advantages will be set forth in the detailed description which follows, and in part will be readily apparent to those skilled in the art from that description or recognized by practicing the embodiments as described herein, including the detailed description which follows, the claims, as well as the appended drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0012]** It is to be understood that both the foregoing general description and the following detailed description are merely exemplary, and are intended to provide an overview or framework to understanding the nature and character of the claims. The accompanying drawings are included to provide a further understanding, and are incorporated in and constitute a part of this specification. The

drawings illustrate one or more embodiments, and together with the description serve to explain principles and operation of the various embodiments, wherein:

**[0013]** FIG. 1A is a cross-sectional side view of a transparent article (e.g., for a display device), according to one or more embodiments of the disclosure;

**[0014]** FIG. 1B (PRIOR ART) is a cross-sectional side view of a comparative transparent article;

**[0015]** FIG. 2A is a plan view of an exemplary electronic device incorporating any of the transparent articles disclosed herein;

**[0016]** FIG. 2B is a perspective view of the exemplary electronic device of FIG. 2A;

**[0017]** FIG. 2C is a perspective view of an alternative embodiment of the electronic device of FIG. 2A with a non-planar substrate having curved or faceted edges, according to one or more embodiments of the disclosure;

**[0018]** FIGS. 3A and 4A (PRIOR ART) are plots of first-surface reflectance vs. wavelength, as measured at a near-normal incident angle of 8°, for the transparent articles of FIGS. 1A and 1B, respectively;

**[0019]** FIGS. 3B and 4B (PRIOR ART) are plots of single-sided, reflected color, as measured at incident angles from 0° to 90° with various optical film structure thickness scaling factors, for the transparent articles of FIGS. 1A and 1B, respectively;

**[0020]** FIG. 5A is a plan view of an exemplary device-drop machine that may be used to conduct the Drop Test Method, according to one or more embodiments of the disclosure;

**[0021]** FIG. 5B is a plan view of the machine of FIG. 5A, wherein a check of the device-drop machine is release, chuck jaws open, and a puck is released;

**[0022]** FIG. 5C is a plan view of the machine of FIG. 5A, wherein the falling puck strikes a drop surface;

**[0023]** FIG. 6A is an image of an exemplary device-drop machine that may be used to conduct the Drop Test Method, according to one or more embodiments of the disclosure;

**[0024]** FIG. 6B is a cross-section of a simulated mobile handheld device that may be used to conduct the Drop Test Method, according to one or more embodiments of the disclosure;

**[0025]** FIG. 6C is another view of a simulated mobile handheld device that may be used to conduct the Drop Test Method, according to one or more embodiments of the disclosure;

**[0026]** FIG. 6D is a schematic view of one face of a simulate mobile handheld device that may be used to conduct the Drop Test Method, according to one or more embodiments of the disclosure;

**[0027]** FIG. 6E is an image of an exemplary simulated mobile handheld device that may be used to conduct the Drop Test Method, according to one or more embodiments of the disclosure;

**[0028]** FIG. 6F is an image of an exemplary drop surface that may be used to conduct the DROP Test Method, according to one or more embodiments of the disclosure; and

**[0029]** FIG. 7 is a bar chart of mean failure height data for a transparent article of the disclosure and two comparative articles, as measured according to the Drop Test.

## DETAILED DESCRIPTION

**[0030]** In the following detailed description, for purposes of explanation and not limitation, example embodiments disclosing specific details are set forth to provide a thorough understanding of various principles of the present disclosure. However, it will be apparent to one having ordinary skill in the art, having had the benefit of the present disclosure, that the present disclosure may be practiced in other embodiments that depart from the specific details disclosed herein. Moreover, descriptions of well-known devices, methods and materials may be omitted so as not to obscure the description of various principles of the present disclosure. Finally, wherever applicable, like reference numerals refer to like elements.

**[0031]** Ranges can be expressed herein as from “about” one particular value, and/or to “about” another particular value. When such a range is expressed, another embodiment includes from the one particular value and/or to the other particular value. Similarly, when values are expressed as approximations, by use of the antecedent “about,” it will be understood that the particular value forms another embodiment. It will be further understood that the endpoints of each of the ranges are significant both in relation to the other endpoint, and independently of the other endpoint.

**[0032]** Directional terms as used herein—for example “up,” “down,” “right,” “left,” “front,” “back,” “top,” “bottom”—are made only with reference to the figures as drawn and are not intended to imply absolute orientation.

**[0033]** Unless otherwise expressly stated, it is in no way intended that any method set forth herein be construed as requiring that its steps be performed in a specific order. Accordingly, where a method claim does not actually recite an order to be followed by its steps, or it is not otherwise specifically stated in the claims or descriptions that the steps are to be limited to a specific order, it is in no way intended that an order be inferred, in any respect. This holds for any possible non-express basis for interpretation, including: matters of logic with respect to arrangement of steps or operational flow; plain meaning derived from grammatical organization or punctuation; and the number or type of embodiments described in the specification.

**[0034]** As used herein, the singular forms “a,” “an” and “the” include plural referents unless the context clearly dictates otherwise. Thus, for example, reference to a “component” includes aspects having two or more such components, unless the context clearly indicates otherwise.

**[0035]** As used herein, the term “dispose” includes coating, depositing, and/or forming a material onto a surface using any known or to be developed method in the art. The disposed material may constitute a layer, as defined herein. As used herein, the phrase “disposed on” includes forming a material onto a surface such that the material is in direct contact with the surface and embodiments where the material is formed on a surface with one or more intervening material(s) disposed between material and the surface. The intervening material(s) may constitute a layer, as defined herein.

**[0036]** As used herein, the terms “low RI layer,” “medium RI layer” and “high RI layer” refer to the relative values of the refractive index (“RI”) of layers of an optical film structure of a transparent article according to the disclosure. Hence, the RI of the low RI layer < the RI of the medium RI layer < the RI of the high RI layer, unless otherwise expressly noted in this disclosure. Accordingly, low RI layers have

refractive index values that are less than the refractive index values of medium and high RI layers. Further, as used herein, “low RI layer” and “low index layer” are interchangeable with the same meaning. Likewise, “medium RI layer” and “medium index layer” are interchangeable with the same meaning. Similarly, “high RI layer” and “high index layer” are interchangeable with the same meaning.

**[0037]** As used herein the term “glass-ceramic substrate” is not limited to glass-ceramic substrates. Rather, the term “glass-ceramic substrate” refers to a group of substrates that are inclusive of glass-ceramic substrates, ceramic substrates, glass substrates, sapphire substrates, strengthened glass substrates, and strengthened glass-ceramic substrates.

**[0038]** As used herein, the term “strengthened substrate” refers to a substrate employed in a transparent article of the disclosure that has been chemically strengthened, for example through ion-exchange of larger ions for smaller ions in the surface of the substrate. However, other strengthening methods known in the art, such as thermal tempering, or utilizing a mismatch of the coefficient of thermal expansion between portions of the substrate to create compressive stress and central tension regions, may be utilized to form strengthened substrates.

**[0039]** As used herein, the “Berkovich Indenter Hardness Test” and “Berkovich Hardness Test” are used interchangeably to refer to a test for measuring the hardness of a material on a surface thereof by indenting the surface with a diamond Berkovich indenter. The Berkovich Indenter Hardness Test includes indenting the outermost surface (e.g., an exposed surface) of a single optical film structure or the outer optical film structure of a transparent article of the disclosure with the diamond Berkovich indenter to form an indent to an indentation depth in the range from about 50 nm to about 1000 nm (or the entire thickness of the outer or inner optical film structure, whichever is less) and measuring the maximum hardness from this indentation along the entire indentation depth range or a segment of this indentation depth (e.g., in the range from about 100 nm to about 600 nm), generally using the methods set forth in Oliver, W.C.; Pharr, G. M. An improved technique for determining hardness and elastic modulus using load and displacement sensing indentation experiments. *J. Mater. Res.*, Vol. 7, No. 6, 1992, 1564-1583; and Oliver, W.C.; Pharr, G. M. Measurement of Hardness and Elastic Modulus by Instrument Indentation: Advances in Understanding and Refinements to Methodology. *J. Mater. Res.*, Vol. 19, No. 1, 2004, 3-20. As used herein, each of “hardness” and “maximum hardness” interchangeably refers to a maximum hardness as measured along a range of indentation depths, and not an average hardness.

**[0040]** As used herein, the term “transmittance” is defined as the percentage of incident optical power within a given wavelength range transmitted through a material (e.g., the article, the substrate or the optical film or portions thereof). The term “reflectance” is similarly defined as the percentage of incident optical power within a given wavelength range that is reflected from a material (e.g., the article, the substrate, or the optical film or portions thereof). Transmittance and reflectance are measured using a specific linewidth. As used herein, an “average transmittance” refers to the average amount of incident optical power transmitted through a material over a defined wavelength regime. As used herein, an “average reflectance” refers to the average amount of incident optical power reflected by the material.



[0041] As used herein, “photopic reflectance” mimics the response of the human eye by weighting the reflectance or transmittance, respectively, versus wavelength spectrum according to the human eye’s sensitivity. Photopic reflectance may also be defined as the luminance, or tristimulus Y value of reflected light, according to known conventions such as CIE color space conventions. The “average photopic reflectance”, as used herein, for a wavelength range from 380 nm to 720 nm is defined in the below equation as the spectral reflectance,  $R(\lambda)$  multiplied by the illuminant spectrum,  $I(\lambda)$  and the CIE’s color matching function  $\bar{y}(\lambda)$ , related to the eye’s spectral response:

$$\langle R_p \rangle = \int_{380\text{ nm}}^{720\text{ nm}} R(\lambda) \times I(\lambda) \times \bar{y}(\lambda) d\lambda$$

In addition, “average reflectance” can be determined over the visible spectrum, or over other wavelength ranges, according to measurement principles understood by those skilled in the field of the disclosure, e.g., in the infrared spectrum from 840 nm to 950 nm, etc. Unless otherwise noted, all reflectance values reported or otherwise referenced in this disclosure are associated with testing through both primary surfaces of the substrate and optical film structure(s) of the transparent articles of the disclosure, e.g., a “two-surface” average photopic reflectance. In cases where “one-surface” or “first-surface” reflectance is specified, the reflectance from the rear surface of the article is eliminated through optical bonding to a light absorber, allowing the reflectance of only the first surface to be measured.

[0042] The usability of a transparent article in an electronic device (e.g., as a protective cover) can be related to the total amount of reflectance in the article. Photopic reflectance is particularly important for display devices that employ visible light. Lower reflectance in a cover transparent article over a lens and/or a display associated with the device can reduce multiple-bounce reflections in the device that can generate ‘ghost images’. Thus, reflectance has an important relationship to image quality associated with the device, particularly its display and any of its other optical components (e.g., a lens of a camera). Low-reflectance displays also enable better display readability, reduced eye strain, and faster user response time (e.g., in an automotive display, where display readability can also correlate to driver safety). Low-reflectance displays can also allow for reduced display energy consumption and increased device battery life, since the display brightness can be reduced for low-reflectance displays compared to standard displays, while still maintaining the targeted level of display readability in bright ambient environments.

[0043] As used herein, “photopic transmittance” is defined in the below equation as the spectral transmittance,  $T(\lambda)$  multiplied by the illuminant spectrum,  $I(\lambda)$  and the CIE’s color matching function  $\bar{y}(\lambda)$ , related to the eye’s spectral response:

$$\langle T_p \rangle = \int_{380\text{ nm}}^{720\text{ nm}} T(\lambda) \times I(\lambda) \times \bar{y}(\lambda) d\lambda$$

In addition, “average transmittance” or “average photopic transmittance” can be determined over the visible spectrum or other wavelength ranges, according to measurement principles understood by those skilled in the field of the disclosure, e.g., in the infrared spectrum from 840 nm to 950 nm, etc. Unless otherwise noted, all transmittance values reported or otherwise referenced in this disclosure and claims are associated with testing through both primary surfaces of the substrate and the optical film structure (e.g., the substrate **110**, primary surfaces **112**, **114**, and optical film structure **120** as shown in FIGS. **1A-1D** and described below) of the transparent articles, e.g., a “two-surface” average photopic transmittance.

[0044] As used herein, “transmitted color” and “reflected color” refer to the color transmitted or reflected through the transparent articles of the disclosure with regard to color in the CIE  $L^*, a^*, b^*$  colorimetry system under a D65 illuminant. More specifically, the “color shift” (i.e., as measured in transmission or reflectance) is given by  $\sqrt{(a^{*2} + b^{*2})}$ , as these color coordinates are measured through transmission or reflectance of a D65 illuminant through the primary surfaces of the substrate of the transparent article (e.g., the substrate **110**, primary surfaces **112**, **114**, and optical film structure **120** as shown in FIGS. **1A-1D** and described below) over an incident angle range, e.g., from 0 degrees to 10 degrees.

[0045] As also used herein, an “optical film structure thickness scaling factor” and “thickness scaling factor” are interchangeable and generally refer to expected differences in the thickness of the optical film structures of the disclosure that can occur from vapor deposition of the optical film structure on a non-planar substrate or non-planar portions of a substrate. These optical film structure thickness differences as a function of methods employed to deposit these structures on substrates are detailed in following co-assigned: (1) U.S. Pat. No. 10,802,179 B2; (2) U.S. Pat. No. 11,500,130 B2; and (3) U.S. Patent Publication No. 2023/0273345, the salient portions of which are related to thickness scaling factors and similar concepts are hereby incorporated by reference in this disclosure. In turn, these variances in the thickness of the optical film structure may result in non-uniformity of transmitted and/or reflected color exhibited by the transparent articles of the disclosure possessing such optical film structures. As such, transmitted and reflected color values are reported in this disclosure for various thickness scaling factors such that “100%” corresponds to color measurements on an optical film structure on a planar surface of the substrate or at the maximum thickness of the optical film structure on a surface of the substrate, “90%” corresponds to the color measurements on an optical film structure on a non-planar surface having 90% of the thickness of the portion of the optical film structure on an adjacent planar surface or the portion of the optical film structure on a surface of the substrate having a maximum thickness, and so on.

[0046] As used herein, the “Drop Test Method” involves performing face-drop testing on a puck with a transparent article attached thereto, as set forth in U.S. Non-Provisional patent application Ser. No. 18/527,526, filed on Dec. 4, 2023, entitled “Coated Glass Articles”, the salient portions of which are incorporated herein by reference in their entirety.

[0047] Generally, the disclosure is directed to transparent articles that employ optical film structures over substrates, including strengthened substrates. Further, these transparent

articles can include a high toughness, high modulus glass-ceramic substrate that is optically transparent, with a high-hardness optical coating having controlled transmittance and color. In view of this combination of substrate and optical film structure, the transparent article can exhibit a high shallow hardness, while also exhibiting transparency, low reflectance, high visible and IR transmittance, and low color. In addition, transparent articles of the disclosure can advantageously exhibit failure strength levels (e.g., according to the Drop Test Method) that are the same as, or substantially close to, the failure strength levels of a bare glass-ceramic substrate.

**[0048]** Further, the transparent articles of the disclosure exhibit an advantageous combination of mechanical and optical properties over known transparent articles, including one or more of the following: an average photopic reflectance of <1%, an average infrared (940 nm) reflectance of <2%, a color shift of less than 15 for all thickness scaling factors from 70-100%, a mean failure height of at least 75 cm according to the Drop Test Method, an optical film structure with a total physical thickness of  $\leq 2000$  nm, and an optical film structure with outer structure having a total physical thickness from 400-800 nm and/or at least one medium RI layer with a refractive index from 1.55-1.65.

**[0049]** In aspects of these transparent articles, the optical film structures are configured such that the articles that employ them exhibit a hardness of at least about 10 GPa, at least about 11 GPa, or even at least about 12 GPa, at a Berkovich nanoindentation depth of about 125 nm from the outer surface of the optical film structure. The optical film structure may comprise a multilayer optical interference film composed of  $\text{SiO}_2$ ,  $\text{SiO}_x$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{SiN}_y$ , and/or  $\text{Si}_3\text{N}_4$  layers, which comprises a scratch-resistant layer (e.g., as embedded within the structure). According to some implementations, an outer structure of the optical film structure above the scratch-resistant layer can be configured with at least one medium RI layer (e.g.,  $\text{SiO}_x\text{N}_y$ ) in contact with one of the high RI layers and the scratch-resistant layer (e.g.,  $\text{SiO}_x\text{N}_y$  or  $\text{SiN}_y$ ) and/or a sum of the physical thicknesses of all of the low RI layers (e.g.,  $\text{SiO}_2$  or  $\text{SiO}_x\text{N}_y$ ) in the outer structure limited to about 75 nm or more. Some or all of these structural characteristics can enable or otherwise significantly influence the achievement of these shallow high hardness levels.

**[0050]** The transparent articles of the disclosure can be employed for protection and/or covers of displays, camera lenses, sensors and/or light source components within or otherwise part of electronic devices, along with protection of other components (e.g., buttons, speakers, microphones, etc.). These transparent articles with a protective function employ an optical film structure disposed on a substrate such that the article exhibits a combination of high shallow hardness and desirable optical properties. Advantageously, these shallow high hardness levels are exhibited by the transparent articles of the disclosure without an appreciable loss in optical properties, e.g., low reflectance in the visible and IR spectra and low reflected color.

**[0051]** As also outlined in the disclosure, the foregoing, advantageous article-level high shallow hardness levels can be achieved through the control of the composition and/or arrangement of the optical film structures employed in the transparent articles. Notably, these hardness levels can be achieved by the articles of the disclosure while maintaining desired optical properties. In terms of optical properties, the

transparent articles of the disclosure can exhibit an average first-surface photopic reflectance of less than 2%, 1.5%, or even 1%, and a first-surface reflectance at a wavelength of 940 nm of less than 2.5%, 2%, or even 1.7%, all as measured at a near-normal angle of incidence) (0-10°).

**[0052]** The transparent articles with a protective function can also employ an optical film structure disposed on a glass-ceramic substrate such that the article exhibits a combination of high hardness, high damage resistance and desirable optical properties, including high photopic transmittance and low transmitted color. The optical film structure can include a scratch-resistant layer, at any of various locations within the structure. Further, the outer structure of the optical film structures of these articles can include a plurality of alternating high and low refractive index layers, with each high index layer and a scratch resistant layer comprising nitride or an oxynitride and each low index layer comprising an oxide.

**[0053]** With regard to mechanical properties, embodiments of the transparent articles of the disclosure can exhibit a maximum hardness of 12 GPa or greater or 13 GPa or greater (or even greater than 14 GPa in some instances), as measured by a Berkovich Hardness Test over an indentation depth range from 100 nm to about 500 nm in the optical film structure. The glass-ceramic substrates employed in these articles can have an elastic modulus of greater than 85 GPa, or greater than 95 GPa in some instances. These glass-ceramic substrates also can exhibit a fracture toughness of greater than 0.8  $\text{MPa}\cdot\sqrt{\text{m}}$ , or greater than 1  $\text{MPa}\cdot\sqrt{\text{m}}$  in some instances.

**[0054]** According to some embodiments of the transparent articles of the disclosure, advantageous article-level failure stress levels can be achieved through the control of the composition, arrangement and/or processing of the optical film structures employed in the transparent articles. Notably, the composition, arrangement and/or processing of the optical film structures can be adjusted to obtain residual compressive stress levels of at least 700 MPa (e.g., from 700 to 1100 MPa) and an elastic modulus of at least 140 GPa (e.g., from 140 to 170 GPa, from 140 to 180 GPa, from 140 to 190 GPa, or from 140 to 200 GPa). These optical film structure mechanical properties correlate to average failure stress levels of 500 MPa or greater, 600 MPa or greater, or even 700 MPa or greater, in the transparent articles employing these optical film structures, as measured in an ROR test with the outer surface of the optical film structure of the article placed in tension.

**[0055]** Referring to FIG. 1A, a transparent article 100 according to one or more embodiments may include a substrate 110, and an optical film structure 120 defining an outer surface 120a and an inner surface 120b disposed on the substrate 110. The substrate 110 includes opposing primary surfaces 112, 114 and opposing secondary surfaces 116, 118. The optical film structure 120 is shown in FIG. 1A, with its inner surface 120b disposed on a first opposing primary surface 112 and no optical film structures are shown as being disposed on the second opposing primary surface 114. In some embodiments, however, one or more of the optical film structures 120 can be disposed on the second opposing primary surface 114 and/or on one or both of the opposing secondary surfaces 116, 118.

**[0056]** The optical film structure 120 includes at least one layer of material. As used herein, the term “layer” may include a single layer or may include one or more sub-layers.

Such sub-layers may be in direct contact with one another. The sub-layers may be formed from the same material or two or more different materials. In one or more alternative embodiments, such sub-layers may have intervening layers of different materials disposed therebetween. In one or more embodiments, a layer may include one or more contiguous and uninterrupted layers and/or one or more discontinuous and interrupted layers (i.e., a layer having different materials formed adjacent to one another). A layer or sub-layer may be formed by any known method in the art, including discrete deposition or continuous deposition processes. In one or more embodiments, the layer may be formed using only continuous deposition processes, or, alternatively, only discrete deposition processes.

**[0057]** In one or more embodiments, a single layer or multiple layers of the optical film structure **120** may be deposited onto a glass or glass-ceramic substrate **110** by a vacuum deposition technique such as, for example, chemical vapor deposition (e.g., plasma enhanced chemical vapor deposition (PECVD), low-pressure chemical vapor deposition, atmospheric pressure chemical vapor deposition, and plasma-enhanced atmospheric pressure chemical vapor deposition), physical vapor deposition (e.g., reactive or nonreactive sputtering or laser ablation), thermal or e-beam evaporation and/or atomic layer deposition. Liquid-based methods may also be used such as spraying, dipping, spin coating, or slot coating (e.g., using sol-gel materials). Generally, vapor deposition techniques may include a variety of vacuum deposition methods which can be used to produce thin films. For example, physical vapor deposition uses a physical process (such as heating or sputtering) to produce a vapor of material, which is then deposited on the object which is coated. Preferred methods of fabricating the optical film structure **120** can include reactive sputtering, metal-mode reactive sputtering and PECVD processes.

**[0058]** The optical film structure **120** may have a physical thickness of from about 100 nm to about 5 microns. For example, the optical film structure **120** may have a thickness greater than or equal to about 200 nm, 300 nm, 325 nm, 350 nm, 375 nm, 400 nm, 500 nm, 600 nm, 700 nm, 800 nm, 900 nm, 1 micron, 2 microns, 3 microns, 4 microns, and less than or equal to about 5 microns. In some implementations of the transparent articles **100** depicted in FIGS. 1A-1D, the optical film structure **120** has a physical thickness from 200 nm to 5000 nm, 500 nm to 2000 nm, or 1500 nm to 2000 nm, and all sub-ranges and thickness values between the foregoing ranges.

**[0059]** In some embodiments, as depicted for example in FIG. 1A, the optical film structure **120** is divided into an outer structure **130a** and an inner structure **130b**, with a scratch-resistant layer **150** (as detailed further below) disposed between the structures **130a** and **130b**. In these embodiments, the outer and inner optical film structures **130a** and **130b** may have the same thicknesses or different thicknesses, and each comprises one or more layers.

**[0060]** Referring again to the transparent article **100** depicted in FIG. 1A, the optical film structure **120** includes one or more scratch-resistant layer(s) **150**. For example, the transparent article **100** depicted in FIG. 1A includes an optical film structure **120** with a scratch-resistant layer **150** disposed over a primary surface **112** of the substrate **110**. According to one embodiment, the scratch-resistant layer **150** may comprise one or more materials chosen from  $\text{Si}_x\text{Al}_y\text{O}_z\text{N}_w$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{AlN}$ ,  $\text{AlN}_x$ ,  $\text{SiAl}_x\text{N}_y$ ,  $\text{AlN}_x$ /

$\text{SiAl}_x\text{N}_y$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{AlO}_x\text{N}_y$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{SiN}_y$ ,  $\text{SiN}_x\text{:H}_y$ ,  $\text{HfO}_2$ ,  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{MoO}_3$ , diamond-like carbon, or combinations thereof. Exemplary materials used in the scratch-resistant layer **150** may include an inorganic carbide, nitride, oxide, diamond-like material, or combinations thereof. Examples of suitable materials for the scratch-resistant layer **150** include metal oxides, metal nitrides, metal oxynitride, metal carbides, metal oxycarbides, and/or combinations thereof. Exemplary metals include B, Al, Si, Ti, V, Cr, Y, Zr, Nb, Mo, Sn, Hf, Ta and W. Specific examples of materials that may be utilized in the scratch-resistant layer **150** may include  $\text{Al}_2\text{O}_3$ ,  $\text{AlN}$ ,  $\text{AlO}_x\text{N}_y$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{Si}_x\text{Al}_y\text{O}_z\text{N}_w$ , diamond, diamond-like carbon,  $\text{Si}_x\text{C}_y$ ,  $\text{Si}_x\text{O}_y\text{C}_z$ ,  $\text{ZrO}_2$ ,  $\text{TiO}_x\text{N}_y$ , and combinations thereof. In some implementations, the scratch-resistant layer **150** may include  $\text{Si}_3\text{N}_4$ ,  $\text{SiN}_y$ ,  $\text{SiO}_x\text{N}_y$ , and combinations thereof. In some embodiments, each of the scratch-resistant layers **150** employed in the transparent article **100** may exhibit an effective fracture toughness value greater than about 1 MPa $\sqrt{\text{m}}$  and simultaneously exhibits a hardness value greater than about 10 GPa, as measured by a Berkovich Hardness Test.

**[0061]** Each of the scratch-resistant layers **150**, as shown in exemplary form in the transparent article **100** depicted in FIG. 1A, can be comprised of any of the foregoing materials such that it exhibits a refractive index (RI) of greater than 1.80. In some implementations, the RI of the scratch-resistant layer **150** is greater than 1.55, 1.60, 1.65, 1.80, 1.85, or greater than 1.90. For example, the RI of the scratch-resistant layer **150** can be 1.55, 1.60, 1.65, 1.70, 1.75, 1.80, 1.85, 1.9, 1.95, 2.0, 2.05, 2.10, 2.15, 2.20, 2.25, 2.3, 2.35, 2.4, 2.45, 2.5, and all RI values between the foregoing values.

**[0062]** Each of the scratch-resistant layers **150**, as shown in exemplary form in the transparent article **100** depicted in FIG. 1A, may be relatively thick as compared with other layers (e.g., low RI layers **130A**, high RI layers **130B**, medium RI layers **130C**, capping layer **131**, etc.) such as greater than or equal to about 50 nm, 75 nm, 100 nm, 150 nm, 200 nm, 250 nm, 300 nm, 325 nm, 350 nm, 375 nm, 400 nm, 425 nm, 450 nm, 475 nm, 500 nm, 525 nm, 550 nm, 575 nm, 600 nm, 700 nm, 800 nm, 900 nm, 1 micron, 1.5 microns, or even 2 microns. For example, a scratch-resistant layer **150** may have a thickness from about 50 nm to about 3 microns, from about 100 nm to about 2.5 microns, from about 150 nm to about 2 microns, from about 500 nm to 2500 nm, from about 500 nm to about 2000 nm, from about 500 nm to about 1500 nm, and all thickness levels and ranges between the foregoing ranges. In other implementations, the scratch-resistant layer **150** may have a thickness from about 100 nm to about 2,000 nm, from about 500 nm to about 1500 nm, or from about 750 nm to about 1250 nm.

**[0063]** As shown in FIG. 1A, and outlined above, the transparent articles **100** of the disclosure include an optical film structure **120** with one or more of an outer structure **130a** and inner structure **130b**. The optical film structure **120** includes a scratch-resistant layer **150**, at least one low RI layer **130A**, at least one medium RI layer **130C**, and at least one high RI layer **130B**. In embodiments, the optical film structure **120** includes a plurality of alternating low RI and high RI layers, **130A** and **130B**, respectively. The outer structure **130a** of the optical film structure **120** includes a plurality of alternating medium RI and high RI layers, **130C** and **130B**. In some embodiments, the inner structure **130b**

includes a plurality of alternating low RI and high RI layers, **130A** and **130B**, respectively. In other embodiments, the inner structure **130b** includes one or more layers having a graduated or gradient in refractive index, e.g., with refractive index values that span between the refractive index ranges of low RI and high RI layers **130A**, **130B**, respectively, or between the refractive index values of the substrate **110** and the scratch resistant layer **150**. In some preferred implementations, the outer structure **130a** includes at least one medium RI layer **130C** in contact with one of the high RI layers **130B** and the scratch-resistant layer **150**. In some preferred implementations, the outer structure **130a** is inclusive of at least one outermost capping layer **131** (e.g., with a refractive index within the range of those specified for low RI layers **130A**), as depicted in exemplary form in FIG. 1A.

[0064] According to embodiments, each of the outer and inner structures **130a** and **130b** includes a period **132** of two or more layers, such as the low RI layer **130A** and high RI layer **130B**; or a low RI layer **130A**, high RI layer **130B** and a low RI layer **130A**; or a high RI layer **130B** and a medium RI layer **130C**. Further, each of the outer and inner structures **130a** and **130b** of the optical film structure **120** may include a plurality of periods **132**, such as 1 to 30 periods, 1 to 25 periods, 1 to 20 periods, and all periods within the foregoing ranges. In addition, the number of periods **132**, the number of layers of the outer and inner structures **130a** and **130b**, and/or the number of layers within a given period **132** can differ or they may be the same. Further, in some implementations, the total amount of the plurality of alternating low RI and high RI layers **130A** and **130B** and/or medium RI layers **130C** and high RI layers **130B**, along with the scratch-resistant layer **150**, may range from 6 to 50 layers, 6 to 40 layers, 6 to 30 layers, 6 to 28 layers, 6 to 26 layers, 6 to 24 layers, 6 to 22 layers, 6 to 20 layers, 6 to 18 layers, 6 to 16 layers, and 6 to 14 layers, and all ranges of layers and amounts of layers between the foregoing values.

[0065] As an example, in FIG. 1A, each of the periods **132** of the inner and outer structures **130a**, **130b**, respectively, includes a low RI layer **130A** and a high RI layer **130B** or a medium RI layer **130C** and a high RI layer **130B**. When a plurality of periods is included in either or both of the outer and inner structures **130a** and **130b**, the low RI layers **130A** (designated as “L”), the medium RI layers **130C** (designated “M”), and the high RI layers **130B** (designated as “H”) can alternate in the following sequence of layers: L/H/L/H . . . , H/L/H/L . . . , M/H/M/H . . . , H/M/H/M . . . , such that the low RI layers **130A** and the high RI layers **130B**, or the medium RI layers **130C** and the high RI layers **130B**, alternate along the physical thickness of the outer and inner structures **130a**, **130b** of the optical film structure **120**. In preferred implementations, as shown in FIG. 1A, the periods **132** in the outer structures **130a** are configured as M/H/M/H . . . above the scratch-resistant layer **150**; and the periods **132** in the inner structures **130b** are configured as L/H/L/H . . . above the substrate **110** and beneath the scratch-resistant layer **150**.

[0066] In an implementation of the transparent article **100**, as shown in FIG. 1A, the number of periods **132** of the outer and inner structures **130a** and **130b** can be configured such that the outer structure **130a** includes a total of eight (8) alternating layers (e.g., alternating medium and high RI layers **130C** and **130B**); and the inner structure **130b** includes at least nine (9) layers (e.g., alternating low RI and high RI layers **130A**, **130B**, respectively). Further, in this

implementation, the outer structure **130a** of the optical film structure **120** includes a capping layer **131** (similar in structure and thickness to a low RI layer **130A**) over the outer structure **130a**; and the optical film structure **120** includes a scratch-resistant layer **150** disposed between the outer and inner structures **130a** and **130b**. Accordingly, in the implementation depicted in exemplary form in FIG. 1A, the optical structure **120** includes a total of 19 layers.

[0067] According to some implementations of the transparent articles **100** of the disclosure, each of the outer and inner structures **130a** and **130b** of the optical film structure **120** has a total of at least 7, 8, 9, 10, 11, 12, 13, 14, or even 15 layers. According to some embodiments of the transparent articles **100** of the disclosure, the optical film structure **120** has a total of at least 15, 16, 17, 18, 19, 20, 25, or even 30 layers. In one preferred implementation of the transparent article **100**, as depicted in FIG. 1A, the outer structure **130a** includes at least four (4) medium RI layers **130C**, at least four (4) high RI layers **130B**, and one of the high RI layers **130B** is in contact with an outermost capping layer **131** (e.g., a low RI layer **130A**).

[0068] According to some embodiments of the transparent article **100** depicted in FIG. 1A, the outermost capping layer **131** of the optical film structure **120** and outer structure **130a** may not be exposed but instead have a top coating **140** disposed thereon. In some implementations of the transparent article **100**, each high RI layer **130B** of the optical film structure **120**, along with the outer and inner structures **130a**, **130b**, comprises a nitride, a silicon-containing nitride (e.g.,  $\text{SiN}_x$ ,  $\text{Si}_3\text{N}_4$ ), an oxynitride, or a silicon-containing oxynitride (e.g.,  $\text{SiAl}_x\text{O}_y\text{N}_z$  or  $\text{SiO}_x\text{N}_y$ ). Further, according to some embodiments, each low RI layer **130A** of the optical film structure **120**, along with the outer and inner structures **130a**, **130b**, comprises an oxide, a silicon-containing oxide (e.g.,  $\text{SiO}_2$ ,  $\text{SiO}_x$  or  $\text{SiO}_2$  as doped with Al, N or F), or a silicon-containing oxynitride (e.g.,  $\text{SiO}_x\text{N}_y$ ). In addition, according to some embodiments, the scratch-resistant layer **150** and each medium RI layer **130C** of the optical film structure **120** comprises an oxynitride or a silicon-containing oxynitride (e.g.,  $\text{SiAl}_x\text{O}_y\text{N}_z$  or  $\text{SiO}_x\text{N}_y$ ). In a preferred implementation of the transparent article **100** depicted in FIG. 1A, the outer structure **130a** comprises a plurality of alternating high RI layers **130B** of  $\text{SiN}_x$  and medium RI layers **130C** of  $\text{SiO}_x\text{N}_y$  (along with an optional capping layer **131** of  $\text{SiO}_2$  or  $\text{SiO}_x\text{N}_y$ ); and the inner structure **130b** comprises a plurality of alternating high RI layers **130B** of  $\text{SiO}_x\text{N}_y$  and low RI layers **130A** of  $\text{SiO}_2$ .

[0069] In one or more embodiments of the transparent article **100** depicted in FIG. 1A, the term “low RI”, when used with the low RI layers **130A** and/or capping layer **131**, includes a refractive index range of less than 1.7, from about 1.3 to about 1.55, from about 1.35 to about 1.55, from about 1.35 to about 1.7, and all indices within these ranges. In one or more embodiments, the term “medium RI”, when used with the medium RI layers **130C**, includes a refractive index range from 1.55 to 1.9, 1.55 to 1.80, 1.55 to 1.65, 1.56 to 1.80, 1.6 to 1.75, and all indices within these ranges. In one or more embodiments, the term “high RI”, when used with the high RI layers **130B** and/or scratch-resistant layer **150**, includes a refractive index range of greater than 1.80, greater than 1.90, from about 1.8 to about 2.5, from about 1.8 to about 2.3, or from about 1.90 to about 2.5, and all indices between these ranges. Further, in a specific implementation, the medium RI layer(s) of the transparent articles **100** of the

disclosure (see, e.g., FIG. 1A), may include a refractive index range from 1.55 to 1.90, 1.55 to 1.85, 1.55 to 1.75, 1.55 to 1.65, and all values between these ranges. In one or more embodiments, the difference in the refractive index of each of the low RI layers 130A (and/or capping layer 131), the medium RI layers 130C, and/or the high RI layers 130B (and/or scratch-resistant layer 150) may be about 0.01 or greater, about 0.05 or greater, about 0.1 or greater, or even about 0.2 or greater. In general, for a given embodiment, the definition of which layers in the optical film structure 120 are high RI, medium RI, and low RI will be defined by their relative values, that is, the RI value of the high RI layers 130B is greater than the RI value of the medium RI layers 130C, and the RI value of the medium RI layers 130C is greater than the RI value of the low RI layers 130A.

[0070] Example materials suitable for use in the outer and inner structures 130a and 130b of the optical film structure 120 of the transparent article 100 depicted in FIG. 1A include, without limitation,  $\text{SiO}_2$ ,  $\text{SiO}_x$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{SiAl}_x\text{O}_y$ ,  $\text{GeO}_2$ ,  $\text{SiO}$ ,  $\text{AlO}_x\text{N}_y$ ,  $\text{AlN}$ ,  $\text{AlN}_x$ ,  $\text{SiAl}_x\text{N}_y$ ,  $\text{SiN}_y$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{SiAl}_x\text{O}_y\text{N}_z$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{TiN}$ ,  $\text{MgO}$ ,  $\text{MgF}_2$ ,  $\text{BaF}_2$ ,  $\text{CaF}_2$ ,  $\text{SnO}_2$ ,  $\text{HfO}_2$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{MoO}_3$ ,  $\text{DyF}_3$ ,  $\text{YbF}_3$ ,  $\text{YF}_3$ ,  $\text{CeF}_3$ , diamond-like carbon and combinations thereof. Some examples of suitable materials for use in a low RI layer 130A and the outermost capping layer 131 include, without limitation,  $\text{SiO}_2$ ,  $\text{SiO}_x$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{SiAl}_x\text{O}_y$ ,  $\text{GeO}_2$ ,  $\text{SiO}$ ,  $\text{AlO}_x\text{N}_y$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{SiAl}_x\text{O}_y\text{N}_z$ ,  $\text{MgO}$ ,  $\text{MgAl}_x\text{O}_y$ ,  $\text{MgF}_2$ ,  $\text{BaF}_2$ ,  $\text{CaF}_2$ ,  $\text{DyF}_3$ ,  $\text{YbF}_3$ ,  $\text{YF}_3$ , and  $\text{CeF}_3$ . In some implementations of the transparent article 100, each of its low RI layers 130A includes a silicon-containing oxide (e.g.,  $\text{SiO}_2$  or  $\text{SiO}_x$ ) or a silicon-containing oxynitride (e.g.,  $\text{SiO}_x\text{N}_y$ ). The nitrogen content of the materials for use in a low RI layer 130A may be minimized (e.g., in materials such as  $\text{SiO}_x\text{N}_y$ ,  $\text{Al}_2\text{O}_3$  and  $\text{MgAl}_x\text{O}_y$ ). Some examples of suitable materials for use in a high RI layer 130B include, without limitation,  $\text{SiAl}-\text{O}_x\text{N}_z$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{AlN}$ ,  $\text{AlN}_x$ ,  $\text{SiAl}_x\text{N}_y$ ,  $\text{AlN}_x/\text{SiAl}_x\text{N}_y$ ,  $\text{Si}_3\text{N}_4$ ,  $\text{AlO}_x\text{N}_y$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{SiN}_y$ ,  $\text{SiN}_x\text{H}_z$ ,  $\text{HfO}_2$ ,  $\text{TiO}_2$ ,  $\text{ZrO}_2$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{MoO}_3$ , and diamond-like carbon. Some examples of suitable materials for use in a medium RI layer 130C include, without limitation,  $\text{SiAl}_x\text{O}_y\text{N}_z$ ,  $\text{AlO}_x\text{N}_y$ ,  $\text{SiO}_x\text{N}_y$ ,  $\text{HfO}_2$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{Al}_2\text{O}_3$ . According to some implementations, each high RI layer 130B of the outer and inner structures 130a, 130b includes a silicon-containing nitride or a silicon-containing oxynitride (e.g.,  $\text{Si}_3\text{N}_4$ ,  $\text{SiN}_y$ , or  $\text{SiO}_x\text{N}_y$ ). In one or more embodiments, each of the high RI layers 130B may have high hardness (e.g., hardness of greater than 8 GPa), and the high RI materials listed above may comprise high hardness and/or scratch resistance.

[0071] The oxygen content of the materials for the high RI layer 130B may be minimized, especially in  $\text{SiN}_y$  materials. Further, exemplary  $\text{SiO}_x\text{N}_y$  high RI materials may comprise from about 0 atom % to about 20 atom % oxygen, or from about 5 atom % to about 15 atom % oxygen, while including 30 atom % to about 50 atom % nitrogen. The foregoing materials may be hydrogenated up to about 30% by weight. Where a material having a medium refractive index is desired as a medium RI layer 130C, some embodiments may utilize  $\text{SiO}_x\text{N}_y$ , e.g., with a relatively low level of nitrogen (e.g., less than 10%, less than 5%, or less than 3%). It should be understood that a scratch-resistant layer 150 of the transparent articles 100 may comprise any of the materials disclosed as suitable for use in a high RI layer 130B or a medium RI layer 130C.

[0072] In one or more embodiments of the transparent article 100, the optical film structure 120 includes a scratch-resistant layer 150 that can be integrated as a medium RI layer 130C, and one or more low RI layers 130A, high RI layers 130B, medium RI layers 130C, and/or a capping layer 131 may be positioned over the scratch-resistant layer 150. Also, with regard to the scratch-resistant layer 150, as shown in FIG. 1A, an optional top coating 140 may also be positioned over the layer 150. The scratch-resistant layer 150 may be alternately defined as the thickest medium RI layer 130C in the overall optical film structure 120 and/or in the outer and the inner structures 130a, 130b.

[0073] Without being bound by theory, it is believed that the transparent article 100 depicted in FIG. 1A may exhibit increased hardness at low indentation depths (e.g., 100-125 nm) when one or more medium RI layers 130C (e.g., as comprising  $\text{SiO}_x\text{N}_y$ ) is placed in direct contact with one or more high RI layers 130B (e.g.,  $\text{SiO}_x\text{N}_y$ ,  $\text{SiN}_y$ ) in the outer structure 130a; the outer structure 130a is comprised of alternating layers of high RI layers 130B and medium RI layers 130C (which replaces alternating high RI layers 130B and low RI layers 130A in known optical film structures); the sum of the physical thicknesses of the low RI layers 130A and/or the capping layer 131 in the outer structure 130a is minimized; and the total thickness of the layers in the outer structure 130a is minimized. In some implementations, an additional, repeating medium RI layer 130C can be deployed in the outer structure 130a in contact with another medium RI layer 130C or scratch-resistant layer 150 to also increase hardness at shallow depths within the optical film structure 120. According to some implementations, the sum of the physical thicknesses of the low RI layers 130A and/or the capping layer 131 in the outer structure 130a is configured to be less than about 275 nm, less than about 250 nm, less than about 225 nm, less than about 200 nm, less than about 175 nm, less than about 150 nm, less than about 125 nm, less than 110 nm, less than 100 nm, less than 90 nm, less than 75 nm, or even less than 65 nm, which can also increase hardness at shallow depths within the optical film structure 120. For example, the sum of the physical thicknesses of the low RI layers 130A and/or the capping layer 131 in the outer structure 130a can be 250 nm, 225 nm, 200 nm, 175 nm, 150 nm, 125 nm, 120 nm, 110 nm, 100 nm, 90 nm, 80 nm, 70 nm, and all total thickness values between the foregoing values. Further, in some implementations, the total physical thickness of the layers in the outer structure 130a of the transparent articles 100 depicted in FIG. 1A can be configured to be less than 1000 nm, less than 900 nm, or less than 800 nm, and greater than 400 nm, 450 nm, or even 500 nm, and all total thickness values in the foregoing ranges.

[0074] In one or more embodiments, the transparent article 100 depicted in FIG. 1A may include one or more additional top coatings 140 disposed on the outer structure 130a of the optical film structure 120. In one or more embodiments, the additional top coating 140 may include a surface modifying layer such as a fingerprint hiding coating, anti-fingerprint hiding layer or an easy-to-clean coating. Examples of a suitable anti-fingerprint hiding layer and easy-to-clean coatings are described in the following U.S. patent applications: U.S. Patent Application Publication No. 2014/0113083, published on Apr. 24, 2014, entitled "Process for Making of Glass Articles with Optical and Easy-to-Clean Coatings"; U.S. Provisional Patent Application No. 63/603,

156, filed on Nov. 28, 2023, entitled “Coated Articles with a Surface-Modifying Layer and Methods of Making the Same”; U.S. Provisional Patent Application No. 63/546,775, filed on Nov. 1, 2023, entitled “Coated Articles with a Planarization Layer and a Surface-Modifying Layer and Methods of Making the Same”; and U.S. Non-Provisional patent application Ser. No. 18/528,916, filed on Dec. 5, 2023, entitled “Coated Articles with an Anti-Fingerprint Coating or Surface-Modifying Layer and Methods of Making the Same”, all of which are incorporated herein by reference in their entirety. The easy-to-clean coating can be a fluorine-containing material. Alternatively, the easy-to-clean coating (anti-fingerprint coating) can include a partial silica-like network having a ratio of Si—O—Si bonds to Si atoms in the coating from about 2 to about 3, the coating is fluorine-free, and the coating further comprises an alkyl silane at the exterior surface and bonded to Si—O groups in the anti-fingerprint coating. The easy-to-clean coating may have a thickness in the range from about 5 nm to about 50 nm and may include known materials such as fluorinated or non-fluorinated silanes. The easy-to-clean coating may alternatively or additionally comprise a low-friction coating or surface treatment. Exemplary low-friction coating materials may include diamond-like carbon, silanes (e.g., fluorosilanes), phosphonates, alkenes, and alkynes. In some embodiments, the easy-to-clean coating of the top coating **140** may have a thickness in the range from about 1 nm to about 40 nm, from about 1 nm to about 30 nm, from about 1 nm to about 25 nm, from about 1 nm to about 20 nm, from about 1 nm to about 15 nm, from about 1 nm to about 10 nm, from about 5 nm to about 50 nm, from about 10 nm to about 50 nm, from about 15 nm to about 50 nm, from about 7 nm to about 20 nm, from about 7 nm to about 15 nm, from about 7 nm to about 12 nm, from about 7 nm to about 10 nm, from about 1 nm to about 90 nm, from about 5 nm to about 90 nm, from about 10 nm to about 90 nm, or from about 5 nm to about 100 nm, and all ranges and sub-ranges therebetween.

**[0075]** The top coating **140** may include a scratch-resistant layer or layers which comprise any of the materials disclosed as being suitable for use in the scratch-resistant layer **150**. In some embodiments, the additional top coating **140** includes a combination of easy-to-clean material and scratch-resistant material. In one example, the combination includes an easy-to-clean material and diamond-like carbon. Such an additional top coating **140** may have a thickness in the range from about 5 nm to about 20 nm. The constituents of the additional coating **140** may be provided in separate layers. For example, the diamond-like carbon may be disposed as a first layer and the easy-to clean material can be disposed as a second layer on the first layer of diamond-like carbon. The thicknesses of the first layer and the second layer may be in the ranges provided above for the additional coating. For example, the first layer of diamond-like carbon may have a thickness of about 1 nm to about 20 nm or from about 4 nm to about 15 nm (or more specifically about 10 nm) and the second layer of easy-to-clean material may have a thickness of about 1 nm to about 10 nm (or more specifically about 6 nm). The diamond-like coating may include tetrahedral amorphous carbon (Ta—C), Ta—C:H, and/or a-C—H.

**[0076]** According to embodiments of the transparent article **100** depicted in FIG. 1A, each of the low RI layers **130A** and high RI layers **130B** of the outer and inner structures **130a**, **130b** of the optical film structure **120** can

have a physical thickness that ranges from about 5 nm to 1000 nm, 5 nm to 500 nm, about 5 nm to 250 nm, about 5 nm to 200 nm, and all thicknesses and ranges of thickness between these values. For example, each of these low RI layers **130A** and high RI layers **130B** can have a physical thickness of 5 nm, 10 nm, 20 nm, 30 nm, 40 nm, 50 nm, 60 nm, 70 nm, 80 nm, 90 nm, 100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, and all thickness values between these levels. Further, according to embodiments of the transparent article **100** depicted in FIG. 1A, each of the scratch-resistant layer **150** and medium RI layers **130C** of the outer and inner structures **130a**, **130b** of the optical film structure **120** can have a physical thickness that ranges from about 5 nm to 2500 nm, 5 nm to 2000 nm, about 5 nm to 1500 nm, about 5 nm to 1000 nm, and all thicknesses and ranges of thickness between these values. For example, each of the medium RI layers **130C** (as not employed as a scratch-resistant layer **150**) can have a physical thickness of 5 nm, 10 nm, 20 nm, 30 nm, 40 nm, 50 nm, 60 nm, 70 nm, 80 nm, 90 nm, 100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, and all thickness values between these levels. Further, according to some implementations, each of the low RI layers **130A** (e.g., a capping layer **131**), medium RI layers **130C** and high RI layers **130B** of the outer structure **130a** can have a physical thickness that ranges from about 5 nm to 250 nm, about 5 nm to 200 nm, about 5 nm to 175 nm, and all thicknesses and ranges of thickness between these values. As an example, each of these layers **130A-130C** can have a physical thickness of 5 nm, 10 nm, 20 nm, 30 nm, 40 nm, 50 nm, 60 nm, 70 nm, 80 nm, 90 nm, 100 nm, 125 nm, 150 nm, 175 nm, 200 nm, 225 nm, 250 nm, and all thickness values between these levels.

**[0077]** The substrate **110** of the transparent article **100** depicted in FIG. 1A may include an inorganic material with amorphous and crystalline portions. The substrate **110** may be formed from man-made materials and/or naturally occurring materials (e.g., quartz). In some specific embodiments, the substrate **110** may specifically exclude polymeric, plastic and/or metal substrates. The substrate **110** may be characterized as an alkali-including substrate (i.e., the substrate includes one or more alkalis). In one or more embodiments, the substrate **110** exhibits a refractive index in the range from about 1.5 to about 1.6. In specific embodiments, the substrate **110** (e.g., a strengthened glass or glass-ceramic substrate) may exhibit an average strain-to-failure at a surface on one or more opposing primary surfaces **112**, **114** that is 0.5% or greater, 0.6% or greater, 0.7% or greater, 0.8% or greater, 0.9% or greater, 1% or greater, 1.1% or greater, 1.2% or greater, 1.3% or greater, 1.4% or greater, 1.5% or greater or even 2% or greater, as measured using an ROR Test using at least 5, at least 10, at least 15, or at least 20 samples to determine the average strain-to-failure value. In specific embodiments, the substrate **110** may exhibit an average strain-to-failure at its surface on one or more opposing primary surfaces **112**, **114** of about 1.2%, about 1.4%, about 1.6%, about 1.8%, about 2.2%, about 2.4%, about 2.6%, about 2.8%, or about 3% or greater.

**[0078]** The term “strain-to-failure” refers to the strain at which cracks propagate in the outer or inner structures **130a**, **130b** of the optical film structure **120**, substrate **110**, or both simultaneously without application of additional load, typically leading to catastrophic failure in a given material, layer or film and perhaps even bridge to another material, layer, or film, as defined herein. That is, breakage of the optical film

structure **120** (i.e., as including outer and/or inner structures **130a**, **130b**) without breakage of the substrate **110** constitutes failure, and breakage of the substrate **110** also constitutes failure. The term “average” when used in connection with average strain-to-failure or any other property is based on the mathematical average of measurements of such property on 5 samples. Typically, crack onset strain measurements are repeatable under normal laboratory conditions, and the standard deviation of crack onset strain measured in multiple samples may be as little as 0.01% of observed strain. Average strain-to-failure as used herein was measured using an ROR Test. However, unless stated otherwise, strain-to-failure measurements described herein refer to measurements from the ring-on-ring testing, as described in International Publication No. WO2018/125676, published on Jul. 5, 2018, entitled “Coated Articles with Optical Coatings Having Residual Compressive Stress,” and incorporated herein by reference in its entirety.

**[0079]** Suitable substrates **110** (e.g., a glass or glass-ceramic substrate) may exhibit an elastic modulus (or Young’s modulus) in the range from about 60 GPa to about 130 GPa. In some instances, the elastic modulus of the substrate **110** may be in the range from about 70 GPa to about 120 GPa, from about 80 GPa to about 110 GPa, from about 80 GPa to about 100 GPa, from about 80 GPa to about 90 GPa, from about 85 GPa to about 110 GPa, from about 85 GPa to about 105 GPa, from about 85 GPa to about 100 GPa, from about 85 GPa to about 95 GPa, and all ranges and sub-ranges therebetween (e.g., ~103 GPa). In some implementations, the elastic modulus of the substrate **110** may be greater than 85 GPa, greater than 90 GPa, greater than 95 GPa, or even greater than 100 GPa. In some examples, Young’s modulus may be measured by sonic resonance (ASTM E1875), resonant ultrasound spectroscopy, or nanoindentation using Berkovich indenters. Further, suitable substrates **110** (e.g., glass-ceramic substrates) may exhibit a shear modulus in the range from about 20 GPa to about 60 GPa, from about 25 GPa to about 55 GPa, from about 30 GPa to about 50 GPa, from about 35 GPa to about 50 GPa, and shear modulus ranges and sub-ranges therebetween (e.g., ~43 GPa). In some implementations, the substrate **110** may have a shear modulus of greater than 35 GPa, or even greater than 40 GPa. Further, the substrates **110** can exhibit a fracture toughness of greater than 0.8 MPa $\sqrt{m}$ , greater than 0.9 MPa $\sqrt{m}$ , greater than 1 MPa $\sqrt{m}$ , or even greater than 1.1 MPa $\sqrt{m}$  in some instances (e.g., ~1.15 MPa $\sqrt{m}$ ).

**[0080]** In one or more embodiments, an amorphous substrate **110** may include glass, which may be strengthened or non-strengthened. Examples of suitable glass include soda lime glass, alkali aluminosilicate glass, alkali containing borosilicate glass and alkali aluminoborosilicate glass. In some variants, the glass may be free of lithia. According to some embodiments, the substrate **110** can have the following composition: 50-70 mol % SiO<sub>2</sub>; 10-20 mol % Al<sub>2</sub>O<sub>3</sub>; 0-2 mol % P<sub>2</sub>O<sub>5</sub>; 1-6 mol % B<sub>2</sub>O<sub>3</sub>; 5-10 mol % Li<sub>2</sub>O; 1-10 mol % Na<sub>2</sub>O; and 0.01-1.0 mol % K<sub>2</sub>O. According to an embodiment, the substrate **110** can have the following composition: 61-67 mol % SiO<sub>2</sub>; 12-18 mol % Al<sub>2</sub>O<sub>3</sub>; 0.25-1.25 mol % P<sub>2</sub>O<sub>5</sub>; 2-4 mol % B<sub>2</sub>O<sub>3</sub>; 6-9 mol % Li<sub>2</sub>O; 3-6 mol % Na<sub>2</sub>O; and 0.1-0.5 mol % K<sub>2</sub>O. In one implementation, the substrate **110** has the following composition: 64.9 mol % SiO<sub>2</sub>; 15.53 mol % Al<sub>2</sub>O<sub>3</sub>; 0.86 mol % P<sub>2</sub>O<sub>5</sub>; 3.21 mol % B<sub>2</sub>O<sub>3</sub>; 7.2 mol % Li<sub>2</sub>O; 4.78 mol % Na<sub>2</sub>O; 0.21 mol % K<sub>2</sub>O; 0.54 mol % MgO; 0.18 mol % TiO<sub>2</sub>; 1.47 mol % CaO; 0.02 mol

% Fe<sub>2</sub>O<sub>3</sub>; 0.01 mol % ZrO<sub>2</sub>; 0.04 mol % SnO<sub>2</sub>; and 1.07 mol % SrO. In one or more alternative embodiments, the substrate **110** may include crystalline substrates such as glass ceramic substrates (which may be strengthened or non-strengthened) or may include a single crystal structure, such as sapphire. In one or more specific embodiments, the substrate **110** includes an amorphous base (e.g., glass) and a crystalline cladding (e.g., sapphire layer, a polycrystalline alumina layer and/or or a spinel (MgAl<sub>2</sub>O<sub>4</sub>) layer).

**[0081]** In one or more embodiments, the substrate **110** includes one or more glass-ceramic materials and may be strengthened or non-strengthened. In one or more embodiments, the substrates **110** as a glass-ceramic material may comprise one or more crystalline phases such as lithium disilicate, lithium metasilicate, petalite, beta quartz, and/or beta spodumene, as potentially combined with residual glass in the structure. In an embodiment, the substrate **110** comprises a disilicate phase. In another implementation, the substrate **110** comprises a disilicate phase and a petalite phase. According to an embodiment, the substrate **110** has a crystallinity of at least 40% by weight. In some implementations, the substrate **110** has a crystallinity of at least about 40%, 45%, 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, or greater (by weight), with the residual as a glass phase. Further, according to some embodiments, each of the crystalline phases of the substrate **110** has an average crystallite size of less than 100 nm, less than 75 nm, less than 50 nm, less than 40 nm, less than 30 nm, and all crystallite sizes within or less than these levels. According to one exemplary embodiment, the substrate **110** comprises lithium disilicate and petalite phases with 40 wt. % lithium disilicate, 45 wt. % petalite, and the remainder as residual glass (i.e., ~85% crystalline, and ~15% residual amorphous/glass); each crystalline phase having a majority of crystals with an average crystallite size in the range of 10 nm to 50 nm.

**[0082]** Embodiments of the substrate **110** employed in the transparent article **100** of the disclosure (see, e.g., FIG. 1A) can exhibit a refractive index that is higher than refractive indices of conventional glass substrates or strengthened glass substrates. For example, the refractive index of the substrates **110** can range from about 1.52 to 1.65, from about 1.52 to 1.64, from about 1.52 to 1.62, or from about 1.52 to 1.60, and all refractive indices within the foregoing ranges (e.g., as measured at a visible wavelength of 589 nm). As such, conventional optical coatings, which are typically optimized for glass substrates and their refractive index ranges, are not necessarily suitable for use with substrates **110** as comprising glass-ceramic material of the transparent articles **100** of the disclosure. In particular, the layers of the optical film structure **120** between the substrate **110** and the scratch-resistant layer **150** can be modified to achieve low reflectance and low color generated by the transition zone between the glass-ceramic substrate **110** and the scratch-resistant layer **150**. This layer re-design requirement can also be described as optical impedance matching between the substrate **110** and the scratch-resistant layer **150**.

**[0083]** According to implementations, the substrate **110** is substantially optically clear, transparent and free from light scattering. In such embodiments, the substrate **110** may exhibit an average light transmittance over the optical wavelength regime of about 80% or greater, about 81% or greater, about 82% or greater, about 83% or greater, about 84% or greater, about 85% or greater, about 86% or greater, about 87% or greater, about 88% or greater, about 89% or greater,

about 90% or greater, about 91% or greater, about 92% or greater, about 93% or greater, or even about 94% or greater. In some embodiments, these light reflectance and transmittance values may be a total reflectance or total transmittance (taking into account reflectance or transmittance on both primary surfaces **112**, **114** of the substrate **110**) or may be observed on a single-side of the substrate **110** (i.e., on the primary surface **112** only, without taking into account the opposite surface **114**). Unless otherwise specified, the average reflectance or transmittance of the substrate **110** alone is measured at an incident illumination angle of 0 degrees relative to the primary surface **112** (however, such measurements may be provided at incident illumination angles of 45 degrees or 60 degrees).

**[0084]** Additionally, or alternatively, the physical thickness of the substrate **110** may vary along one or more of its dimensions for aesthetic and/or functional reasons. For example, the edges of the substrate **110** may be thicker as compared to more central regions of the substrate **110**. In other implementations, the edges of the substrate **110** may be thinner as compared to more central regions of the substrate **110**. Further, in some embodiments, portions of the substrate **110** (e.g., edge portions) may be non-planar (e.g., beveled, chamfered, curved, etc.). The length, width and physical thickness dimensions of the substrate **110** may also vary according to the application or use of the article **100**.

**[0085]** The substrate **110** may be provided using a variety of different processes. For instance, where the substrate **110** includes an amorphous portion or phase such as glass, various forming methods can include float glass processes and down-draw processes such as fusion draw and slot draw.

**[0086]** Once formed, a substrate **110** may be strengthened to form a strengthened substrate, e.g., through chemical strengthening by an ion exchange process, thermal tempering, and/or utilizing a mismatch of the coefficient of thermal expansion between portions of the substrate to create compressive stress and central tension regions.

**[0087]** Where the substrate **110** is chemically strengthened by an ion exchange process, the ions in the surface layer of the substrate **110** are replaced by—or exchanged with—larger ions having the same valence or oxidation state. Ion exchange processes are typically carried out by immersing a substrate in a molten salt bath containing the larger ions to be exchanged with the smaller ions in the substrate. It will be appreciated by those skilled in the art that parameters for the ion exchange process, including, but not limited to, bath composition and temperature, immersion time, the number of immersions of the substrate **110** in a salt bath (or baths), use of multiple salt baths, additional steps such as annealing, washing, and the like, are generally determined by the composition of the substrate **110** and the desired compressive stress (CS), depth of compressive stress layer (or depth of layer) of the substrate **110** that result from the strengthening operation. By way of example, ion exchange of alkali metal-containing substrates may be achieved by immersion in at least one molten bath containing a salt such as, but not limited to, nitrates, sulfates, and chlorides of the larger alkali metal ion. The temperature of the molten salt bath typically is in a range from about 380° C. up to about 530° C., while immersion times range from about 15 minutes up to about 40 hours. However, temperatures and immersion times different from those described above may also be used. In some embodiments, the substrate **110** may be subjected to more than one ion-exchange process. For example, a first ion

exchange process can be carried out in a sodium-containing bath, exchanging sodium in the bath for lithium in the glass or glass-ceramic substrate **110** to establish a depth of compression (DOC), while subsequently a second ion-exchange process is carried out on the same glass or glass-ceramic substrate in a potassium-containing bath to establish a depth of layer of potassium ions (DOL) and further increase the compressive stress in the substrate **110** near the surface.

**[0088]** The degree of chemical strengthening achieved by ion exchange may be quantified based on the parameters of central tension (CT), surface CS, depth of compression (DOC) (i.e., the point in the substrate in which the stress state changes from compression to tension), and depth of layer of potassium ions (DOL). Compressive stress (including surface CS) is measured by a surface stress meter (FSM) using commercially available instruments such as the FSM-6000, manufactured by Orihara Industrial Co., Ltd. (Japan). Surface stress measurements rely upon the accurate measurement of the stress optical coefficient (SOC), which is related to the birefringence of the glass-ceramic material. SOC in turn is measured according to Procedure C (Glass Disc Method) described in ASTM standard C770-16, entitled “Standard Test Method for Measurement of Glass Stress-Optical Coefficient,” the contents of which are incorporated herein by reference in their entirety. Refracted near-field (RNF) method or a scattered light polariscope (SCALP) technique may be used to measure the stress profile. When the RNF method is utilized to measure the stress profile, the maximum CT value provided by SCALP is utilized in the RNF method. In particular, the stress profile measured by RNF is force balanced and calibrated to the maximum CT value provided by a SCALP measurement. The RNF method is described in U.S. Pat. No. 8,854,623, issued Oct. 7, 2014, entitled “Systems and Methods for Measuring a Profile Characteristic of a Glass Sample”, which is incorporated herein by reference in its entirety. In particular, the RNF method includes placing the glass-ceramic article adjacent to a reference block, generating a polarization-switched light beam that is switched between orthogonal polarizations at a rate of between 1 Hz and 50 Hz, measuring an amount of power in the polarization-switched light beam and generating a polarization-switched reference signal, wherein the measured amounts of power in each of the orthogonal polarizations are within 50% of each other. The method further includes transmitting the polarization-switched light beam through the glass sample and reference block for different depths into the glass sample, then relaying the transmitted polarization-switched light beam to a signal photodetector using a relay optical system, with the signal photodetector generating a polarization-switched detector signal. The method also includes dividing the detector signal by the reference signal to form a normalized detector signal and determining the profile characteristic of the glass-ceramic sample from the normalized detector signal. The maximum CT values are measured using a scattered light polariscope (SCALP) technique known in the art.

**[0089]** In one embodiment of the transparent article **100** (see FIG. 1A), a strengthened substrate **110** can have a surface CS of 200 MPa or greater, 250 MPa or greater, 300 MPa or greater, or 350 MPa or greater. In another implementation, a strengthened substrate can exhibit a residual surface compressive stress (CS) of from about 200 MPa to about 1200 MPa, from about 200 MPa to about 1000 MPa,



from about 200 MPa to about 800 MPa, from about 200 MPa to about 600 MPa, from about 200 MPa to about 500 MPa, from about 200 MPa to about 400 MPa, from about 225 MPa to about 400 MPa, from 250 MPa to about 400 MPa, and all CS sub-ranges and values in the foregoing ranges. The strengthened substrate **110** may have a DOL of from 1  $\mu\text{m}$  to 5  $\mu\text{m}$ , from 1  $\mu\text{m}$  to 10  $\mu\text{m}$ , or from 1  $\mu\text{m}$  to 15  $\mu\text{m}$  and/or a central tension (CT) of 50 MPa or greater, 75 MPa or greater, 100 MPa or greater, 125 MPa or greater (e.g., 80 MPa, 90 MPa, or 100 MPa or greater) but less than 250 MPa (e.g., 200 MPa or less, 175 MPa or less, 150 MPa or less, etc.). In such implementations of the transparent articles **100** with substrates **110** having a CT from about 50 MPa to about 200 MPa or 80 MPa to about 200 MPa, the thickness of the substrate **110** should be limited to about 0.6 mm or less to ensure that the substrate is not frangible. For implementations employing thicker substrates, e.g., with a thickness up to 0.8 mm, 0.9 mm, 1.0 mm, 1.1 mm, 1.2 mm, 1.3 mm, 1.4 mm, or even up to 1.5 mm, the upper limit of CT should be held to levels below 200 MPa to ensure that the substrate is not frangible (e.g., 150 MPa for a thickness of 0.8 mm).

**[0090]** The depth of compression (DOC) of the substrate **110** may be from 0.1. (thickness (t) of the substrate) to about 0.25.t, for example from about 0.15.t to about 0.25.t, or from about 0.15.t to about 0.20.t, and all DOC values between the foregoing ranges. For example, the substrate **110** can have a DOC of 20% of the thickness of the substrate, as compared to 15% or less for ion-exchanged glass substrates. In some implementations, the DOC of the substrate **110** can be from about 5  $\mu\text{m}$  to about 150  $\mu\text{m}$ , from about 5  $\mu\text{m}$  to about 125  $\mu\text{m}$ , from about 5  $\mu\text{m}$  to about 100  $\mu\text{m}$ , and all DOC values between the foregoing ranges. In some embodiments, the depths of compression for the substrate materials can range from ~8% to ~20% of the thickness of the substrate **110**. Note that the foregoing DOC values are as measured from one of the primary surfaces **112** or **114** of the substrate **110**. As such, for a substrate **110** with a thickness of 600  $\mu\text{m}$ , the DOC may be 20% of the thickness of the substrate, ~120  $\mu\text{m}$  from each of the primary surfaces **112**, **114** of the substrate **110**, or 240  $\mu\text{m}$  in total for the entire substrate **110**. In one or more specific embodiments, the strengthened substrate **110** can exhibit one or more of the following mechanical properties: a surface CS of from about 200 MPa to about 400 MPa, a DOL of greater than 30  $\mu\text{m}$ , a DOC of from about 0.08.t to about 0.25.t, and a CT from about 80 MPa to about 200 MPa.

**[0091]** According to embodiments of the disclosure, the substrate **110** (without the optical film structure **120** disposed thereon for measurement purposes) can exhibit a maximum hardness of 8.5 GPa or greater, 9 GPa or greater, or 9.5 GPa or greater (or even greater than 10 GPa in some instances), as measured by a Berkovich Hardness Test over an indentation depth range from 100 nm to about 500 nm in the substrate **110**. For example, the substrate **110** can exhibit a maximum hardness of 8.5 GPa, 8.75 GPa, 9 GPa, 9.25 GPa, 9.5 GPa, 9.75 GPa, 10 GPa, and higher hardness levels, as measured by a Berkovich Hardness Test over an indentation depth range from 100 nm to about 500 nm in the substrate **110**. Further, substrates **110** of the disclosure can exhibit a Vicker's hardness of greater than 700, or even greater than 800, as measured using a 200 g load. In addition, substrates **110** of the disclosure can exhibit a Mohs hardness of greater than 6.5, or even greater than 7.

**[0092]** As noted earlier, the substrate **110** may be non-strengthened or strengthened, and with a suitable composition to support strengthening. Examples of suitable glass ceramics for the substrate **110** may include a  $\text{Li}_2\text{O}$ — $\text{Al}_2\text{O}_3$ — $\text{SiO}_2$  system (i.e., an LAS system) glass ceramics,  $\text{MgO}$ — $\text{Al}_2\text{O}_3$ — $\text{SiO}_2$  system (i.e., an MAS System) glass ceramics, and/or glass ceramics that include a predominant crystal phase including  $\beta$ -quartz solid solution,  $\beta$ -spodumene ss, cordierite, and lithium disilicate. Such glass-ceramic substrates as substrate **110** may be strengthened using the chemical strengthening processes disclosed herein. In one or more embodiments, MAS-System glass-ceramic substrates may be strengthened in  $\text{Li}_2\text{SO}_4$  molten salt, whereby an exchange of  $2\text{Li}^+$  for  $\text{Mg}^{2+}$  can occur.

**[0093]** According to some embodiments of the transparent article **100** of the disclosure, the substrate **110** may be a glass-ceramic material of an LAS system with the following composition: 69-80%  $\text{SiO}_2$ , 5-10%  $\text{Al}_2\text{O}_3$ , 10-15%  $\text{Li}_2\text{O}$ , 0.01-1%  $\text{Na}_2\text{O}$ , 0.01-1%  $\text{K}_2\text{O}$ , 0.1-5%  $\text{P}_2\text{O}_5$  and 0.1-9%  $\text{ZrO}_2$  (in wt. %, oxide basis). In some implementations of the transparent article **100** of the disclosure, the substrate **110** may be an LAS system with the following composition: 69-80%  $\text{SiO}_2$ , 5-10%  $\text{Al}_2\text{O}_3$ , 10-15%  $\text{Li}_2\text{O}$ , 0.01-1%  $\text{Na}_2\text{O}$ , 0.01-1%  $\text{K}_2\text{O}$ , 0.1-5%  $\text{P}_2\text{O}_5$  and 0.1-9%  $\text{ZrO}_2$  (in wt. %, oxide basis). According to another embodiment, the substrate **110** may be an LAS system with the following composition: 69-75%  $\text{SiO}_2$ , 5-10%  $\text{Al}_2\text{O}_3$ , 10-15%  $\text{Li}_2\text{O}$ , 0.05-1%  $\text{Na}_2\text{O}$ , 0.1-1%  $\text{K}_2\text{O}$ , 1-5%  $\text{P}_2\text{O}_5$ , 2-9%  $\text{ZrO}_2$  and 0.1-2%  $\text{CaO}$  (in wt. %, oxide basis). According to a further embodiment, the substrate **110** can have the following composition: 69-72%  $\text{SiO}_2$ , 5-8%  $\text{Al}_2\text{O}_3$ , 10-13%  $\text{Li}_2\text{O}$ , 0.05-0.5%  $\text{Na}_2\text{O}$ , 0.1-0.5%  $\text{K}_2\text{O}$ , 1.5-4%  $\text{P}_2\text{O}_5$ , 4-9%  $\text{ZrO}_2$  and 0.5-1.5%  $\text{CaO}$  (in wt. %, oxide basis). More generally, these compositions of the substrate **110** are advantageous for the transparent articles **100** of the disclosure because they exhibit low haze levels, high transparency, high fracture toughness, and high elastic modulus, and are ion-exchangeable.

**[0094]** According to embodiments of the transparent article **100**, the substrates **110** as glass-ceramic materials are selected with any of the compositions of the disclosure and further processed to the crystallinity levels of the disclosure to exhibit a combination of high fracture toughness (e.g., greater than 1  $\text{MPa}\cdot\sqrt{\text{m}}$ ) and high elastic modulus (e.g., greater than 100 GPa). These mechanical properties can be derived from the presence of the crystalline phase (e.g., the lithium disilicate phase), which exhibits a relatively high modulus; and the microstructure of the final substrate **110**, which includes some residual glass phase. Notably, the residual glass phase (and its alkali-containing composition) ensures that the substrate **110** can be ion-exchange strengthened to a high level of central tension (CT) (e.g., greater than 80 MPa) and compressive stress (CS) (e.g., greater than 200 MPa). Further, the ceramming (i.e., the post-melt processing, heat treatment conditions) can be chosen to minimize the grain size of the substrate **110** such that the grain size is smaller than the wavelength of visible light, thereby ensuring that the substrate **110** and article **100** is transparent or substantially transparent. Ultimately, the composition and processing of the substrate **110** as comprising a glass-ceramic material is advantageously selected to achieve a balance of high fracture toughness, high elastic modulus and optical transparency to ensure that the transparent article **100**, as employing these substrates **110** and an optical film

structure **120**, exhibits this balance of mechanical and optical properties, along with a surprising level of damage resistance.

**[0095]** The substrate **110** according to one or more embodiments can have a physical thickness ranging from about 100  $\mu\text{m}$  to about 5 mm in various portions of the substrate **110**. Example substrate **110** physical thicknesses range from about 100  $\mu\text{m}$  to about 500  $\mu\text{m}$  (e.g., 100, 200, 300, 400 or 500  $\mu\text{m}$ ), from about 500  $\mu\text{m}$  to about 1000  $\mu\text{m}$  (e.g., 500, 600, 700, 800, 900 or 1000  $\mu\text{m}$ ), and from about 500  $\mu\text{m}$  to about 1500  $\mu\text{m}$  (e.g., 500, 750, 1000, 1250, or 1500  $\mu\text{m}$ ), for example. In some implementations, the substrate **110** may have a physical thickness greater than about 1 mm (e.g., about 2, 3, 4, or 5 mm). In one or more specific embodiments, the substrate **110** may have a physical thickness of 2 mm or less, or less than 1 mm. The substrate **110** may be acid polished or otherwise treated to remove or reduce the effect of surface flaws.

**[0096]** With regard to the hardness of the transparent articles **100** depicted in FIG. 1A, typically, in nanoindentation measurement methods (such as by using a Berkovich indenter) where the coating is harder than the underlying substrate, the measured hardness may appear to increase initially due to development of the plastic zone at shallow indentation depths (e.g., less than 25 nm or less than 50 nm) and then increases and reaches a maximum value or plateau at deeper indentation depths (e.g., from 50 nm to about 500 nm or 1000 nm). Thereafter, hardness begins to decrease at even deeper indentation depths due to the effect of the underlying substrate. Where a substrate **110** having a greater hardness compared to the optical film structure **120** is utilized, the same effect can be seen; however, the hardness increases at deeper indentation depths due to the effect of the underlying substrate.

**[0097]** With further regard to the transparent articles **100** depicted in FIG. 1A, the indentation depth range and the hardness values at certain indentation depth ranges can be selected to identify a particular hardness response of the optical film structure **120** and the layers of the outer and inner structures **130a**, **130b** thereof, described herein, without the effect of the underlying substrate **110**. When measuring hardness of the optical film structure **120** (when disposed on a substrate **110**) with a Berkovich indenter, the region of permanent deformation (plastic zone) of a material is associated with the hardness of the material. During indentation, an elastic stress field extends well beyond this region of permanent deformation. As indentation depth increases, the apparent hardness and modulus are influenced by stress field interactions with the underlying substrate **110**. The influence of the substrate **110** on hardness occurs at deeper indentation depths (i.e., typically at depths greater than about 10% of the total thickness of the optical film structure **120**). Moreover, a further complication is that the hardness response requires a certain minimum load to develop full plasticity during the indentation process. Prior to that certain minimum load, the hardness shows a generally increasing trend.

**[0098]** At small indentation depths (which also may be characterized as small loads) (e.g., up to about 50 nm) in the optical film structure **120**, the apparent hardness of a material appears to increase dramatically versus indentation depth. This small indentation depth regime does not represent a true metric of hardness but, instead, reflects the development of the aforementioned plastic zone, which is

related to the finite radius of curvature of the indenter. At intermediate indentation depths, the apparent hardness approaches maximum levels. At deeper indentation depths, the influence of the substrate **110** becomes more pronounced as the indentation depths increase. Hardness may begin to drop dramatically once the indentation depth exceeds about 30% of the optical coating thickness.

**[0099]** In one or more embodiments, the transparent article **100**, as depicted in FIG. 1A, may exhibit a maximum hardness that is greater than about 8 GPa, 10 GPa, 12 GPa, or greater than 14 GPa (e.g., 14.5 GPa), as measured from the outer surface **120a** of the optical film structure **120** by a Berkovich Indenter Hardness Test, which is indicative of high shallow hardness. In one or more embodiments, the transparent article **100**, as depicted in FIG. 1A, may exhibit a hardness that is greater than about 6 GPa, 6.5 GPa, 7 GPa, 8 GPa, or greater than 9 GPa, at an indentation depth of from 20 nm to 40 nm (e.g., 7.2 GPa at 20 nm, and 8.8 GPa at 40 nm), as measured from the outer surface **120a** of the optical film structure **120** by a Berkovich Indenter Hardness Test, which is indicative of high shallow hardness. In one or more embodiments, the transparent article **100**, as depicted in FIG. 1A, may exhibit a hardness that is greater than about 8 GPa, 9 GPa, 10 GPa, 11 GPa, or greater than 11.5 GPa (e.g., 11.9 GPa), at an indentation depth of 100 nm, as measured from the outer surface **120a** of the optical film structure **120** by a Berkovich Indenter Hardness Test, which is indicative of high shallow hardness. In one or more embodiments, the transparent article **100**, as depicted in FIG. 1A, may exhibit a hardness that is greater than about 8 GPa, 9 GPa, 10 GPa, 11 GPa, 12 GPa, or greater than 12.5 GPa (e.g., 12.7 GPa), at an indentation depth of 125 nm, as measured from the outer surface **120a** of the optical film structure **120** by a Berkovich Indenter Hardness Test, which is indicative of high shallow hardness.

**[0100]** Referring again to the transparent article **100**, as depicted in FIG. 1A, the design strategy for these articles of using a combination of high RI layers **130B** and medium RI layers **130C** in the outer structure **130a** of the optical film structure **120**, as well as minimizing the amount of low RI material in the outer structure **130a**, is correlated to achieving these high shallow hardness values. While a certain amount of low RI material may be required to achieve certain low reflectance levels, the amount of low RI material can be reduced by replacing some of the low RI material with medium RI material, which increases the shallow hardness and maximum hardness of the optical film structure **120** as well as the overall transparent article **100** with its optical film structure **120**. The use of medium RI layers **130C** in the outer structure **130a** also contributes to increasing the maximum hardness of the optical film structure **120** while allowing for a lower total thickness of the optical film structure **120**. In embodiments, the combination of maximum hardness of greater than 14 GPa, photopic average reflectance of less than 1%, and 940 nm reflectance of less than 3% is achieved using a total optical film structure thickness of less than 2000 nm, which is correlated to the design strategy of using high and medium refractive index layers **130B** and **130C**, respectively, in the outer structure **130a** of the optical film structure **120**.

**[0101]** In one or more embodiments of the disclosure, the transparent article **100**, as depicted in FIG. 1A and with a substrate **110** comprising a glass-ceramic material, exhibits an average failure stress level of 500 MPa or greater, 600

MPa or greater, 700 MPa or greater, 750 MPa or greater, 800 MPa or greater, or even 850 MPa or greater, as measured in an ROR Test with the outer surface **120a** of the optical film structure **120** of these articles placed in tension. Essentially, these article-level average failure stress levels are indicative of transparent articles **100** with optical film structures **120** that have not experienced any loss, or have not experienced any substantial loss, in failure strength relative to the strength of their bare glass-ceramic substrates. In some embodiments, the transparent article **100** exhibits an average failure stress level of 500 MPa, 550 MPa, 600 MPa, 650 MPa, 700 MPa, 725 MPa, 750 MPa, 775 MPa, 800 MPa, 825 MPa, 850 MPa, 875 MPa, 900 MPa, 925 MPa, 950 MPa, 975 MPa, 1000 MPa, 1025 MPa, 1050 MPa, 1075 MPa, 1100 MPa, and all average failure stress levels between the foregoing values, as measured in an ROR Test with the outer surface **120a** of the optical film structure **120** of the article placed in tension.

**[0102]** Referring again to the transparent articles **100** (see FIG. 1A) with average ROR failure stress levels of 700 MPa or greater, it should be understood that these failure stress levels can be achieved through the control of the composition, arrangement and/or processing of the optical film structures **120** employed in the transparent articles **100**. Notably, the composition, arrangement and/or processing of the optical film structures **120** can be adjusted to obtain residual compressive stress levels of at least 700 MPa (e.g., from 700 to 1100 MPa) and a maximum elastic modulus of at least 120 GPa, as well as a maximum elastic modulus of less than 200 GPa (e.g., from 120 to 200 GPa, from 140 to 200 GPa, from 140 to 170 GPa, or from 140 to 180 GPa). In some cases, it is useful to quantify the elastic modulus of the optical film structure **120** at a depth equal to 15% of the total thickness of the optical film structure **120** to more accurately compare the modulus of the optical film structure **120** for different thicknesses. Using this metric, the preferred range of elastic modulus at a depth equal to 15% of the total thickness of the optical film structure **120** can be adjusted to the range of 120 to 200 GPa, 120 to 180 GPa or 120 to 160 GPa. These mechanical properties of the optical film structures **120** correlate to average failure stress levels of 500 MPa or greater, 600 MPa or greater, or 700 MPa or greater in the transparent articles **100** employing these optical film structures, as measured in an ROR Test with the outer surface **120a** of the optical film structure of the article placed in tension.

**[0103]** With further regard to the residual compressive stress and elastic modulus levels (along with hardness levels) of the optical film structure **120**, these properties can be controlled through adjustments to the stoichiometry and/or thicknesses of the low RI layers **130A**, high RI layers **130B**, medium RI layers **130C**, capping layer **131** and scratch-resistant layer **150**. In embodiments, the residual compressive stress and elastic modulus levels (and hardness levels) exhibited by the optical film structure **120** can be controlled through adjustments to the processing conditions for sputtering the layers of the optical film structure **120**, particularly its high RI layers **130B**, medium RI layers **130C** and scratch-resistant layer **150**. In some implementations, for example, a reactive sputtering process can be employed to deposit high RI layers **130B** and/or medium RI layers **130C** comprising a silicon-containing nitride or a silicon-containing oxynitride. Further, these high RI layers **130B** and/or medium RI layers **130C** can be deposited by applying

power to a silicon sputter target in a reactive gaseous environment containing argon gas (e.g., at flow rates from 50 to 150 sccm), nitrogen gas (e.g., at flow rates from 200 to 250 sccm) and oxygen gas, with residual compressive stress and elastic modulus levels largely dictated by the selected oxygen gas flow rate. For example, a relatively low oxygen gas flow rate (e.g., 45 sccm) can be employed according to the foregoing argon and nitrogen gas flow conditions to produce high RI layers **130B** and/or medium RI layers **130C** with a  $\text{SiO}_x\text{N}_y$  stoichiometry such that its optical film structure **120** exhibits a residual compressive stress of about 942 MPa, hardness of 17.8 GPa and an elastic modulus of 162.6 GPa. As another example, a relatively high oxygen gas flow rate (e.g., 65 sccm) can be employed according to the foregoing argon and nitrogen gas flow conditions to produce high RI layers **130B** and/or medium RI layers **130C** with a  $\text{SiO}_x\text{N}_y$  stoichiometry such that the optical film structure **120** exhibits a residual compressive stress of about 913 MPa, hardness of 16.4 GPa and an elastic modulus of 148.4 GPa. Accordingly, the stoichiometry of the optical film structure **120**, particularly its high RI layers **130B**, medium RI layers **130C** and scratch resistant layer **150**, can be controlled to achieve targeted residual compressive stress and elastic modulus levels, which correlate to the advantageously high average failure stress levels in the transparent articles **100** (e.g., greater than or equal to 700 MPa).

**[0104]** According to embodiments, the transparent articles **100** depicted in FIG. 1A may exhibit an average two-sided or two-surface (i.e., through both primary surfaces **112**, **114** of the substrate **110**) photopic average transmittance, or average visible transmittance, over an optical wavelength regime from 400 to 700 nm, of about 85% or greater, about 88% or greater, about 90% or greater, about 91% or greater, about 92% or greater, about 93% or greater, or even about 94% or greater (e.g., 94.1%) at normal incidence, from 0 to 10 degrees, from 0 to 20 degrees, or even from 0 to 25 degrees. In some embodiments, the transparent articles **100** can exhibit an average two-sided transmittance in the infrared spectrum (e.g., at 940 nm) of about 85% or greater, about 88% or greater, about 90% or greater, about 91% or greater, about 92% or greater, about 93% or greater, or even about 94% (e.g., 94.35% at 940 nm) or greater at normal incidence, from 0 to 10 degrees, from 0 to 20 degrees, or even from 0 to 25 degrees.

**[0105]** According to embodiments, the transparent articles **100** depicted in FIG. 1A may exhibit an average single-sided or first-surface (i.e., through one of the primary surfaces **112**, **114** of the substrate **110**) photopic reflectance, or average reflectance over an optical wavelength regime from 400 to 700 nm through one or both primary surfaces of the substrate **110** (i.e., first-surface or a two-surface reflectance), of less than about 2%, less than about 1.5%, less than 1%, less than 0.95%, less than 0.9%, or even less than 0.85% (e.g., 0.84%), at normal incidence, near-normal incidence) ( $\sim 8^\circ$ , or from 0 to 10 degrees. The transparent articles **100** may exhibit an average single-sided or first-surface (i.e., through one of the primary surfaces **112**, **114** of the substrate **110**) photopic reflectance, or average reflectance over an optical wavelength regime from 400 to 700 nm through one or both primary surfaces of the substrate **110** (i.e., first-surface or a two-surface reflectance), of less than 1%, less than 0.95%, or

even less than 0.9%, as measured from 0 to 10 degrees, 0 to 20 degrees, 0 to 30 degrees, or even 0 to 35 degrees angle of incidence.

[0106] According to embodiments, the transparent articles **100** depicted in FIG. 1A may exhibit an average single-sided or first-surface (i.e., through one of the primary surfaces **112**, **114** of the substrate **110**) reflectance, or average reflectance at an infrared wavelength (e.g., at 940 nm) or infrared wavelength range (e.g., 900-950 nm)), of less than about 3%, less than about 2.5%, less than about 2%, less than about 1.9%, less than 1.8%, or even less than 1.7% (e.g., 1.66%), at normal incidence, near-normal incidence) ( $\sim 8^\circ$ , or from 0 to 10 degrees.

[0107] According to some implementations, the transparent articles **100** depicted in FIG. 1A may exhibit a first-surface reflected color with a D65 illuminant from  $-6$  to  $+6$ ,  $-4$  to  $+4$ ,  $-4$  to  $+2$ , or  $-3$  to  $+2$  in  $a^*$  (e.g.,  $-2.1$  to  $+1.0$ ), and  $-12$  to  $+6$ ,  $-10$  to  $+4$ ,  $-8$  to  $+4$ ,  $-6$  to  $+4$ , or  $-5$  to  $+3$ , in  $b^*$  (e.g.,  $-4.2$  to  $+2.6$ ), as measured over all incidence angles from 0 to 90 degrees. For example, the transparent articles **100** can exhibit a first-surface reflected color of  $-4$ ,  $-3.5$ ,  $-3.0$ ,  $-2.5$ ,  $-2.0$ ,  $-1.5$ ,  $-1.0$ ,  $-0.5$ ,  $0$ ,  $+0.5$ ,  $+1.0$ ,  $+1.5$ ,  $+2.0$ ,  $+2.5$ ,  $+3.0$ ,  $+3.5$ ,  $+4.0$ , and all values therebetween, in  $a^*$ , and  $-10$ ,  $-9$ ,  $-8$ ,  $-7$ ,  $-6$ ,  $-5$ ,  $-4$ ,  $-3$ ,  $-2$ ,  $-1$ ,  $0$ ,  $+1$ ,  $+2$ ,  $+3$ ,  $+4$ , and all values therebetween, in  $b^*$ .

[0108] According to some implementations, the transparent articles **100** depicted in FIG. 1A may exhibit a first-surface (i.e., through one of the primary surfaces **112**, **114** of the substrate **110**), reflected color with a D65 illuminant, as given by  $\sqrt{a^{*2}+b^{*2}}$ , of less than 15, less than 12.5, less than 12, less than 10, less than 8.5, less than 8, less than 7, or even less than 6.5, as measured at normal incidence, from 0 to 10 degrees, or over all incidence angles from 0 to 90 degrees. For example, the transparent articles **100** can exhibit a reflected color of less than 15, 14, 13, 12, 11, 10, 9, 8.5, 8, 7, 6.5, 6, 5, 4, 3.75, 3.5, 3.25, 3, 2.75, 2.5, 2.25, 2, 1.9, 1.8, 1.7, 1.75, 1.6, 1.5, 1.4, 1.3, 1.25, 1.2, 1.1, 1, or even lower, as measured at normal incidence, from 0 to 10 degrees, or over all incidence angles from 0 to 90 degrees.

[0109] According to some implementations, the transparent articles **100** depicted in FIG. 1A may exhibit color and reflectance uniformity associated with variations in thickness of the optical film structure **120** that results from line-of-sight layer, film and optical structure deposition methods together with non-planar portions of the substrate **110**, e.g., as associated with substrates **110** having flat, angled, or curved regions. In particular, these transparent articles **100** can exhibit a color shift in first-surface reflectance and/or two-surface transmittance, as given by  $\sqrt{a^{*2}+b^{*2}}$ , of less than 15, less than 12.5, less than 10, less than 8, less than 7, or even less than 6.5, as measured for optical film structure thickness scaling factors that range from 70 to 100%, 75 to 100%, 80 to 100%, 85 to 100%, 90 to 100%, or 95 to 100%. Further, according to some embodiments, the transparent articles **100** can exhibit a color shift in first-surface reflectance and/or two-surface transmittance, as given by  $\sqrt{a^{*2}+b^{*2}}$ , of less than 15, less than 12.5, less than 10, less than 8, less than 7, or even less than 6.5, as measured for optical film structure thickness scaling factors that range from 70 to 100%, 75 to 100%, 80 to 100%, 85 to 100%, 90 to 100%, or 95 to 100%, for all incident angles from 0 to 90 degrees or between two angles of incidence, e.g., where the first angle is selected from the range of 0-20 degrees and the second angle is selected from the range of 45-90 degrees.

[0110] According to embodiments, the transparent articles **100** depicted in FIG. 1A may exhibit an average single-sided or first-surface (i.e., through one of the primary surfaces **112**, **114** of the substrate **110**) photopic reflectance, or average reflectance over an optical wavelength regime from 400 to 700 nm through one or both primary surfaces of the substrate **110** (i.e., first-surface or a two-surface reflectance), of less than about 2%, less than about 1.5%, less than 1%, less than 0.95%, or even less than 0.9%, as measured for optical film structure thickness scaling factors that range from 70 to 100%, 75 to 100%, 80 to 100%, 85 to 100%, 90 to 100%, or 95 to 100%.

[0111] Embodiments of the disclosure also include transparent articles **100** having a range of part surface angles (part surface curvature) that are combined with an optical film structure **120** in which the structure **120** is designed to be robust to thinning of the film structure that occurs from various coating deposition processes (see FIG. 2C, described below). The net result is a transparent article **100** having a range of part surface curvature angles with an optical film structure **120** having controlled hardness, reflectance, color, and color shift with viewing angle over the entire surface of the article **100**, including a portion or all of the curved or faceted regions. In addition to absolute levels of hardness, reflectance, and color that meet certain targets, the transparent articles **100** can also exhibit small changes in these values, particularly small changes in visible reflectance and color, when the thickness of the optical film structure **120** is reduced by a scaling factor corresponding to the actual reduction in coating thickness that occurs in an industrially-scalable reactive sputtering process on a manufactured part with surface curvature angles from 0 to 90 degrees. In embodiments, optical film structure thickness scaling factors that range from 70 to 100%, 75 to 100%, 80 to 100%, 85 to 100%, 90 to 100%, or 95 to 100% may correlate to different optical film structure thicknesses created in different regions of a transparent article **100** having a curved or faceted shape with a range of part surface angles from 0 to 10 degrees, 0 to 20 degrees, 0 to 30 degrees, 0 to 40 degrees, 0 to 50 degrees, 0 to 60 degrees, 0 to 70 degrees, 0 to 80 degrees, or 0 to 90 degrees (see FIG. 2C).

[0112] An important piece of understanding to create optimal optical film structure designs for a transparent article **100** (see FIG. 1A) with surface curvature, is an understanding of the particular coating process used to form the layers of the optical film structure **120**, and the level of line-of-sight coating effects that occur in that process. Some coating deposition processes have no line of sight behavior at all, such as atomic layer deposition, where one monolayer of molecules or atoms is deposited at a time. However, this process can be slow (at least as limited by current processing technology) and is typically too expensive for applications involving large substrates or industries that are cost sensitive, such as the consumer electronics and automotive industries. A more cost-effective process for forming the optical film structure **120**, reactive sputtering, is readily scalable to large areas and can be relatively low cost. However, the nature of industrial reactive sputtering processes generally includes a deposition that has at least some line-of-sight character, meaning that the surfaces of the article directly facing the sputtering targets will receive more deposited material (resulting in a thicker coating), while surfaces of the article tilted at some angle relative to the sputtering targets

(e.g., its curved surfaces) will generally receive less material, resulting in a thinner coating.

[0113] Accordingly, embodiments of the disclosure include transparent articles **100** (see FIG. 1A) in which the optical film structure **120** has been optimized with regard to the tradeoffs between hardness, reflectance, color, and number of coating layers. Adding an arbitrary number of layers to achieve an optical target (e.g., without consideration to hardness or other mechanical properties) in the optical coating will tend to reduce the hardness of the coating to levels below the required range for applications targeting scratch-resistant chemically strengthened glass for consumer electronics, automotive, and touch screen applications (e.g., to a hardness  $\ll 8$  GPa, as measured by Berkovich Indenter Hardness Test at an indentation depth of about 100 nm or greater). In the case of transparent articles **100** having curved surfaces, it can be important to assess how part surface curvature relates to the amount, or scale factor, by which the layers of the optical film structure **120** will be reduced or thinned from their target design thicknesses. The target design thickness (or the thickness at 100% scale factor or 1.0 scale factor) is generally the thickness that is coated on the “flat” areas of the article **100**, those portions of the article **100** that are closest to directly facing the sputtering targets, or those portions of the article **100** that receive the most material from the sputtering targets. Any part of the article **100** that is curved away from this maximum thickness deposition direction will generally receive less material, resulting in a thinner coating on these curved areas as each of the layers of the optical film structure **120** is formed. For optimal optical coating design for the optical film structure **120** of embodiments of the articles **100** (see FIG. 1A), it can be beneficial to understand the design window in terms of target part curvature, as well as how part curvature corresponds to coating thinning in the deposition process. This can enable optical design of the optical film structure **120** in such a way that optimizes, for example, reflectance and color over the target range of part angles and coating thickness variation, without sacrificing too much in terms of the hardness of the coating, number of layers in the coating, or other metrics. Said another way, without an understanding of the relevant window of part angles and coating thickness scale factors, one can over-design the coating to include too many layers to achieve a desired set of optical properties, thus sacrificing hardness and scratch resistance.

[0114] Referring generally to the transparent article **100** depicted in FIG. 1A, the optical film structures **120** of these articles exhibit high hardness at shallow depths. Further, these optical film structures **120** employ one or more medium RI layers **130C** (e.g.,  $n=1.5$  to  $1.9$ ,  $\text{SiO}_2/\text{N}_2$  material), sometimes in combination with one or more high RI layers **130B** (e.g.,  $n=1.9$  or greater,  $\text{SiN}_x$ ), in the outer structure **130a**. This strategy tends to enable a minimized use of lower-index materials (e.g., low RI layers **130A**) in the optical film structure **120** and the outer structure **130a**, which is an important factor in boosting the maximum hardness of the overall optical film structure **120**, as well as the hardness measured at shallow indentation depths (as measured from the air-side surface **120a**) such as 20 nm, 40 nm, 100 nm, and 125 nm.

[0115] Further, in some embodiments of the transparent article **100** depicted in FIG. 1A, the inner structure **130b** can comprise a refractive index gradient (not shown in FIG. 1A)

rather than, for example, a plurality of low and high RI layers **130A**, **130B**. Thus, in these embodiments, the transparent article **100** may comprise in order: 1) substrate **110**; 2) a refractive index gradient structure as the inner structure **130b**; 3) a scratch resistant layer **150**; and 4) an outer structure **130a** enabling high shallow hardness. The refractive index gradient may be formed by a compositional gradient. In such embodiments, the composition at or adjacent to the substrate primary surface **112** may be tuned to have a refractive index within about 0.05 refractive index units of the refractive index of the substrate **110** itself (e.g., from about 1.45 to 1.55), while the composition at or adjacent to the scratch resistant layer **150** may be tuned to have a refractive index within about 0.1 refractive index units of the refractive index of the scratch resistant layer **150** (e.g., from about 1.65 to 1.95). The thickness of the refractive index gradient region (i.e., as the inner structure **130b**) may preferably be in the range from about 100 nm to 500 nm.

[0116] In some embodiments of the transparent article **100** depicted in FIG. 1G with an inner structure **130b** comprising a refractive index gradient, the gradient is derived from a compositional gradient formed from materials such as Si, Al, N, O, C, and/or combinations thereof. In one or more specific embodiments, the composition gradient is formed from Si, N and/or O. In one example, the refractive index gradient may include an oxygen-content gradient in which the oxygen content decreases or remains constant along the thickness of the refractive index gradient in the direction from the substrate surface to the scratch resistant layer. In yet another example, the refractive index gradient may include a nitrogen-content gradient in which the nitrogen content increases or remains constant along the thickness of the refractive index gradient in the direction from the substrate surface to the scratch resistant layer. In one or more alternative embodiments, the optical film structure **120** may include a density gradient and/or an elastic modulus gradient, in addition to or instead of the refractive index gradient otherwise described herein. In embodiments, an elastic modulus gradient can be utilized to further improve certain mechanical performance aspects of the transparent article **100**, such as maintaining or improving retained strength, reducing warp, or reducing delamination.

[0117] Referring generally to the transparent articles **100** detailed above and depicted in exemplary form in FIG. 1A, embodiments of these articles employ optical film structures **120** that can possess a significant amount of compressive stress, as deposited in the substrate **110**, which can aid in the overall retained strength of the article. On the other hand, the residual compressive stress in the optical film structure **120** can also lead to undesirable warpage to the article **100**, necessitating additional processing of the substrate **110** (e.g., asymmetric polishing and material removal) prior to the deposition of the layers that make up the optical film structure **120**. That is, some embodiments of the optical film structure **120** are such that some asymmetric removal of material of the substrate **110** (which may be a substrate having surface compressive stress) is required before deposition of the optical film structure **120** to effectively counteract the residual compressive stress of the optical film structure to ensure that the resulting article **100** does not exhibit substantial warpage. In embodiments, the substrate **110** may have a first compressive stress on a first surface and a second compressive stress on a second surface, where the

first and second compressive stresses are unequal, or where the integrated compressive stresses over a range of depths adjacent to the first surface and the second surface are unequal. These unequal surface compressive stresses in the substrate **110** can lead to substrate warpage, which can then be balanced (flattened) by deposition of a coating (i.e., an optical film structure **120**) with compressive stress on the surface of the substrate **110** having the lower compressive stress. In embodiments where the first surface of the substrate **110** has a lower compressive stress or a lower integrated compressive stress than the second surface, the coating having a compressive stress would preferably be deposited on the first surface of the substrate **110** to reduce or flatten the warpage of the transparent article **100**.

[0118] Without being bound by theory, it is generally understood that reducing the thickness of the optical film structure **120** can reduce the degree of warpage caused by the optical film structure **120**, as deposited on the substrate **110**. While some conventional optical film structure designs, such as shown in FIG. 1B (as described in detail below), employ a relatively thick scratch resistant layer (e.g., 2000 nm,  $\text{SiO}_x\text{N}_y$ ), merely reducing the thickness of these scratch resistant layers with the goal of reduced warpage can significantly and undesirably reduce the hardness of the article. Nevertheless, embodiments of the transparent articles **100** of the disclosure (see, e.g., FIG. 1A) employ optical film structures **120** with outer structures **130a** having multiple high RI layers **130B** (e.g.,  $\text{SiN}_x$ ) and medium RI layers **130C** (e.g.,  $\text{SiO}_x\text{N}_y$ ) in which the outer structure **130a** itself provides a significant hardness response. That is, without being bound by theory, these embodiments are configured with less low RI material in the outer structure **130a** and the net result is that the outer structure **130a** itself has more influence on the hardness response of the transparent article **100**. Accordingly, the scratch resistant layer **150** in these transparent articles **100** plays a less substantial role and, therefore, its thickness is advantageously less influential on the hardness response of the article. Hence, embodiments of these articles **100** (e.g., as shown in FIG. 1A) can advantageously employ thinner scratch resistant layers to reduce warpage, while not sacrificing hardness levels and retained strength. In particular, embodiments of the transparent articles **100** of the disclosure (e.g., as shown in FIG. 1A) advantageously can be configured to reduce warpage, while retaining an advantageous combination of strength and hardness, through reductions in the thickness of the scratch resistant layer **150** (e.g., to thicknesses from about 100 nm to less than 2000 nm, from about 500 nm to 1500 nm, from about 750 nm to 1250 nm, etc.) employed in the optical film structure **120**. That is, any of the transparent articles **100** of the disclosure can benefit from these concepts with a reduction in the stated thickness of its scratch resistant layer **150**.

[0119] One benefit of these embodiments is that the reductions to the thickness of the scratch resistant layer **150** means that a lesser amount of material is used in the optical film structure **120**, leading to shorter sputter times and associated costs savings and throughput increases. Another benefit is that decreasing the thickness of the scratch resistant layer **150** can maintain or even slightly improve the retained strength of the article **100**. Another benefit is that decreasing the thickness of the scratch resistant layer **150** can provide an improvement on the degree of warp observed in the substrate **110** after deposition of the optical film structure

**120**; consequently, the lower degrees of warp necessitate much less processing (e.g., asymmetric polishing) prior to deposition of the optical film structure **120**.

[0120] The transparent articles **100** of the disclosure, as depicted in exemplary form in FIG. 1A, are resistant to failure when experiencing drop-related impacts, particularly no measurable reductions, or even an improvement in drop resistance as compared to bare substrates without an optical film structure, as well as no measurable reductions in drop resistance compared to coated articles with thicker optical film structures. As noted earlier, the Drop Test Method involves performing face-drop testing on a puck with a transparent article **100** attached thereto. The transparent article **100** is attached to the puck with tesa® 61385 double sided adhesive tape to hold the article to the puck during the drop test described herein below. The article to be tested has a thickness similar or equal to the thickness that will be used in a given hand-held consumer electronic device, such as 0.5 mm or 0.6 mm. A puck refers to a structure meant to mimic the size, shape, and weight distribution of a given device, such as a cell phone. Hereinafter, the term “puck,” refers to a structure that has a weight of 200 grams, a length of 133 mm, a width of 68 mm, and a height of 9.4 mm. In embodiments, the puck has the dimensions and weight similar to a handheld electronic device.

[0121] An exemplary device-drop machine that may be used to conduct the Drop Test Method is shown as reference number **510** in FIG. 5A. The device-drop machine **510** includes a chuck **512** having chuck jaws **514**. The puck **516** is staged in the chuck jaws **514** with the transparent article **100** (see FIG. 1A) attached thereto and facing downward. The chuck **512** is ready to fall from, for example, an electro-magnetic chuck lifter. Referring now to FIG. 5B, the chuck **512** is released and during its fall, the chuck jaws **514** are triggered to open by, for example, a proximity sensor. As the chuck jaws **514** open, the puck **516** is released. Referring now to FIG. 5C, the falling puck **516** strikes a drop surface **518**. The drop surface **518** may be sandpaper, such as 80 grit sandpaper, positioned on a steel plate. If the transparent article **100** attached to the puck survives the fall (i.e., does not crack), the chuck **512** is set at an increased height and the test is repeated. The failure height is then the lowest height from which the puck including the article **100** is dropped and the glass composition fails. A single transparent article **100** is tested at multiple heights, such as at 22 cm, 30 cm, 40 cm, 50 cm, 60 cm, and increments of 10 centimeters until the article **100** fails by showing damage. The sandpaper is replaced upon failure of the glass. Unless otherwise indicated 80 grit sandpaper is used herein.

[0122] In one or more embodiments the mean failure height of the transparent article **100** of FIG. 1A may be greater than or equal to 50 cm, such as greater than or equal to 75 cm, greater than or equal to 100 cm, greater than or equal to 125 cm, greater than or equal to 150 cm, greater than or equal to 175 cm, or even greater than or equal to 200 cm. In some embodiments, the mean failure height of the transparent articles **100** is from about 50 cm to about 220 cm, such as from about 50 cm to about 200 cm, from about 50 cm to about 150 cm, from about 50 cm to about 100 cm, from about 100 cm to about 220 cm, from about 100 cm to about 200 cm, from about 100 cm to about 150 cm, from about 150 cm to about 220 cm, from about 150 cm to about 200 cm, from about 200 cm to about 220 cm, or any combination of these ranges. According to an embodiment,

the transparent article **100** exhibits a mean failure height of at least 75 cm, 80 cm, or even 85 cm, as measured according to the Drop Test Method with 80 grit garnet sandpaper.

[0123] Referring now to FIG. 1B (PRIOR ART), a comparative transparent article **100'** is depicted. As shown in FIG. 1B, the comparative transparent article **100'** has some similarities to the transparent articles **100** of the disclosure (see FIG. 1A and earlier description). For example, the comparative article **100'** includes an optical film structure **120** with an outer structure **130a** and inner structure **130b**. The optical film structure **120** includes a scratch-resistant layer **150**, a plurality of alternating low RI and high RI layers, **130A** and **130B**, respectively, and a plurality of alternating medium RI and high RI layers, **130C** and **130B**, respectively. In some embodiments, the inner structure **130a** includes a plurality of alternating low RI and high RI layers, **130A** and **130B**, respectively. The outer structure **130a** of the comparative article **100'** is also inclusive of an outermost capping layer **131** (e.g., with a refractive index within the range of those specified for low RI layers **130A**).

[0124] More specifically, and in contrast to the transparent article **100** shown in FIG. 1A, the comparative transparent article **100'** is configured such that the outer structure **130a** includes a total of five (5) alternating layers (i.e., alternating medium and high RI layers **130C** and **130B**); and the inner structure **130b** includes a total of seven (7) layers (i.e., alternating low RI and high RI layers **130A**, **130B**, respectively). Further, the outer structure **130a** of the optical film structure **120** includes a capping layer **131**; and the optical film structure **120** includes a scratch-resistant layer **150** disposed between the outer and inner structures **130a** and **130b**. Accordingly, in the comparative transparent article **100'** depicted in exemplary form in FIG. 1B, the optical structure **120** includes a total of 14 layers.

[0125] In general, comparative transparent article **100'** of FIG. 1B exhibits properties and attributes that are suitable for various applications, including those described below in connection with FIGS. 2A-2C, e.g., consumer electronic devices **200**. Nevertheless, the transparent article **100**, as depicted in exemplary form in FIG. 1A, exhibits an advantageous combination of distinctive structural features, along with mechanical and optical properties, as compared to comparative article **100'**, including one or more of the following: an average photopic reflectance of <1%, an average infrared (940 nm) reflectance of <2%, a color shift of less than 15 for all thickness scaling factors from 70-100%, a mean failure height of at least 75 cm according to the Drop Test Method, an optical film structure **120** with a total physical thickness of  $\leq 2000$  nm, and an optical film structure **120** with outer structure **130a** having a total physical thickness from 400-800 nm and/or at least one medium RI layer **130C** with a refractive index from 1.55-1.65.

[0126] The transparent articles **100** disclosed herein (e.g., as shown in FIG. 1A) may be incorporated into a device article, for example, a device article with a display (or display device articles) (e.g., consumer electronics, including mobile phones, tablets, computers, navigation systems, wearable devices (e.g., watches) and the like), augmented-reality displays, heads-up displays, glasses-based displays, architectural device articles, transportation device articles (e.g., automotive, trains, aircraft, sea craft, etc.), appliance device articles, or any device that benefits from transparency, scratch resistance, abrasion resistance, damage resis-

tance, or a combination thereof. An exemplary device article incorporating any of the articles disclosed herein (e.g., as consistent with the transparent articles **100** depicted in FIG. 1A) is shown in FIGS. 2A and 2B. Specifically, FIGS. 2A-2C show a consumer electronic device **200** including a housing **202** having a front **204**, a back **206**, and side surfaces **208**; electrical components (not shown) that are at least partially inside or entirely within the housing and including at least a controller, a memory, and a display **210** at or adjacent to the front surface of the housing; and cover substrate **212** at or over the front surface of the housing such that it is over the display. In some embodiments, the cover substrate **212** may include any of the transparent articles **100** disclosed herein.

[0127] Referring now to FIG. 2C, a perspective view of an alternative embodiment of the consumer electronic device **200** of FIG. 2A is depicted, namely, a consumer electronic device **200a** with a display **210a** having a non-planar substrate with curved or faceted edges **210b**, according to one or more embodiments of the disclosure. In this embodiment, the transparent articles **100** of the disclosure (see FIG. 1A) are used in the display **210a**, and modified such that they have a range of part surface angles (part surface curvature) that are combined with an optical film structure **120** in which the structure **120** is designed to be robust to thinning of the film structure that occurs from various coating deposition processes.

## EXAMPLES

[0128] The following examples describe various features and advantages provided by the disclosure, and are in no way intended to limit the invention and appended claims.

[0129] In these examples (Ex. 1) and comparative examples (e.g., Comp. Ex. 1, Comp. Ex. 1B), transparent articles were formed according to the methods of the disclosure and as delineated in each of the Tables 1 and 2. More specifically, the optical film structures of these examples, unless otherwise noted, were formed using a metal-mode, reactive sputtering process in a rotary drum coater, with independent control of sputtering power in the metal deposition and the inductively coupled plasma (ICP) (gas reaction) zones. Reactive gases (e.g.,  $N_2$  gas and  $O_2$  gas) are isolated from the metal target in the ICP (gas reaction) zone. Further, the metal sputtering zone employs only inert gas flow (i.e., Ar gas).

[0130] Optical transmission and reflectance properties were measured on experimental samples prepared according to these examples using an Agilent Cary 5000 UV-Vis-NIR spectrophotometer. Hardness values for the transparent articles reported in the following examples were obtained using the Berkovich Hardness Test method outlined earlier in the disclosure.

[0131] More specifically, the inventive examples (Ex. 1), as combined with the strengthened glass substrate, exhibit very high shallow hardness and low reflectance in the visible, IR and near-IR spectra, among other mechanical and optical properties, and as exemplary of the transparent articles **100** of the disclosure (see FIG. 1A and corresponding description). Further, the inventive examples (Ex. 1), as comprising glass or glass-ceramic substrates, exhibit, or are otherwise expected to exhibit, high shallow hardness, low reflectance in the visible, IR and near-IR spectra, minimized optical film structure thickness, and low color shift in

reflectance, among other optical and mechanical, e.g., retained strength and drop resistance.

#### Example 1

**[0132]** A transparent article including a strengthened glass substrate was prepared for this example with the structure delineated below in Table 1 (e.g., as exemplary of the transparent article **100** of FIG. 1A, as described above). The glass substrate is an ion-exchanged, alkali-aluminosilicate glass having a thickness of 550  $\mu\text{m}$  and a refractive index of 1.506. Further, the glass substrate has the following composition: 64.9 mol %  $\text{SiO}_2$ ; 15.53 mol %  $\text{Al}_2\text{O}_3$ ; 0.86 mol %  $\text{P}_2\text{O}_5$ ; 3.21 mol %  $\text{B}_2\text{O}_3$ ; 7.2 mol %  $\text{Li}_2\text{O}$ ; 4.78 mol %  $\text{Na}_2\text{O}$ ; 0.21 mol %  $\text{K}_2\text{O}$ ; 0.54 mol %  $\text{MgO}$ ; 0.18 mol %  $\text{TiO}_2$ ; 1.47 mol %  $\text{CaO}$ ; 0.02 mol %  $\text{Fe}_2\text{O}_3$ ; 0.01 mol %  $\text{ZrO}_2$ ; 0.04 mol %  $\text{SnO}_2$ ; and 1.07 mol %  $\text{SrO}$ . After forming, the glass substrate was ion-exchange strengthened using a 2-step ion-exchange process. The first ion-exchange step used a molten salt bath of 50%  $\text{KNO}_3$ /50%  $\text{NaNO}_3$  (wt. %) at 400° C. for 4 hours and 30 minutes. The second ion-exchange step used a molten salt bath of 99%  $\text{KNO}_3$ /1%  $\text{NaNO}_3$  (wt. %) at 390° C. for 15 minutes. Further, the layers of the optical film structure were deposited according to vapor deposition conditions set forth in U.S. Patent Application Publication No. 2020/0158916, the salient portions of which are incorporated herein by reference.

**[0133]** Referring again to the transparent article of this example, the layers (e.g., layers **11-19** in Table 1) of the optical film structure above the scratch resistant layer (e.g., layer **10** in Table 1) are configured to achieve high shallow hardness while not negatively affecting the optical properties of the article, including reflectance in the visible, IR, and near-IR spectra. As is evident from the optical film structure design of Table 1, medium index layers ( $\text{SiO}_x\text{N}_y$ , layers **11**, **13**, **15**, and **17**) are disposed adjacent to high index layers ( $\text{SiN}_x$  layers **12**, **14**, **16** and **18**), which drive shallow high hardness levels in the article. Similarly, as is evident in Table 1, the total thickness of the low refractive index layers (e.g.,  $\text{SiO}_2$  layer **19**) in the outer structure of the optical film structure above the scratch-resistant layer is minimized to a level that is less than 125 nm, which also helps drive shallow high hardness levels in the article.

TABLE 1

Ex. 1 transparent article design with strengthened glass substrate			
Layer	material	thickness (nm)	index (550 nm)
	Glass	Substrate	1.506
1	$\text{SiO}_2$	20	1.467
2	$\text{SiO}_x\text{N}_y$	8	1.964
3	$\text{SiO}_2$	64	1.467
4	$\text{SiO}_x\text{N}_y$	20	1.964
5	$\text{SiO}_2$	49.2	1.467
6	$\text{SiO}_x\text{N}_y$	35.9	1.964
7	$\text{SiO}_2$	26.4	1.467
8	$\text{SiO}_x\text{N}_y$	50.6	1.964
9	$\text{SiO}_2$	8	1.467
10	$\text{SiO}_x\text{N}_y$	1000	1.957
11	$\text{SiO}_x\text{N}_y$	8.3	1.601
12	$\text{SiN}_x$	36.8	2.029
13	$\text{SiO}_x\text{N}_y$	39.5	1.601
14	$\text{SiN}_x$	24.4	2.029
15	$\text{SiO}_x\text{N}_y$	102.6	1.601
16	$\text{SiN}_x$	16.8	2.029
17	$\text{SiO}_x\text{N}_y$	52.7	1.601

TABLE 1-continued

Ex. 1 transparent article design with strengthened glass substrate			
Layer	material	thickness (nm)	index (550 nm)
18	$\text{SiN}_x$	150.4	2.029
19	$\text{SiO}_2$	100.7	1.475
Medium	Air		1

Total thickness (nm): 1814.3

AR layers (outer structure) thickness (nm): 532.2

Low-RI in AR thickness (nm): 101

**[0134]** Referring to FIG. 3A, a plot is provided of first-surface reflectance vs. wavelength for this inventive example, as measured at a near-normal incident angle of 6°. Notably, the reflectance observed in the visible wavelength range (400-700 nm) is particularly low, e.g., less than 1.5%.

**[0135]** Referring to FIG. 3B, a plot is provided of single-sided, reflected color for this inventive example, as measured at incident angles from 0° to 90° with optical film structure thickness scaling factors from 70 to 100%. Each curve represents the full range of illumination angles of incidence from 0° to 90°. The different scaling factors represent different optical film structure thickness scaling that may be found on the curved or angled regions of a transparent article with an optical film structure due to vacuum deposition line of sight effects. For this design, the coating color excursions remain less than  $\sqrt{(a^2+b^2)}=6.5$  for all coating thickness scaling factors from 75-100%. For Ex. 1, the maximum 0°-90° color excursion is less than 6.5 for all optical film structure thickness scaling factors from 75-100%, and less than 8.5 for all optical film structure thickness scaling factors from 70-100%.

#### Comparative Example 1

**[0136]** A comparative transparent article including a strengthened glass-ceramic substrate was prepared for this example with the structure delineated below in Table 2 (e.g., as exemplary of the comparative transparent article **100'** of FIG. 1B, as described above). The glass-ceramic substrate is an ion-exchanged, LAS glass-ceramic substrate having a thickness of 600  $\mu\text{m}$  and a refractive index of 1.533. Further, the glass-ceramic substrate has the following composition: 74.5%  $\text{SiO}_2$ ; 7.53%  $\text{Al}_2\text{O}_3$ ; 2.1%  $\text{P}_2\text{O}_5$ ; 11.3%  $\text{Li}_2\text{O}$ ; 0.06%  $\text{Na}_2\text{O}$ ; 0.12%  $\text{K}_2\text{O}$ ; 4.31%  $\text{ZrO}_2$ ; 0.06%  $\text{Fe}_2\text{O}_3$ ; and 0.02%  $\text{SnO}_2$  (wt %, on an oxide basis). In addition, the glass-ceramic substrate was cerammed according to the following schedule: (a) ramp from room temperature to 580° C. at 5° C./min; (b) hold at 580° C. for 2.75 hours; (c) ramp to 755° C. at 2.5° C./min; (d) hold at 755° C. for 0.75 hours; and (e) cool at a furnace rate to room temperature. After ceramming, the glass-ceramic substrate was ion-exchange strengthened in a molten salt bath of 60%  $\text{KNO}_3$ /40%  $\text{NaNO}_3$ +0.12%  $\text{LiNO}_3$  (wt. %) at 500° C. for 6 hours. Further, the layers of the optical film structure were deposited according to vapor deposition conditions set forth in U.S. Patent Application Publication No. 2020/0158916, the salient portions of which are incorporated herein by reference.

**[0137]** Referring again to the comparative transparent article of this example, the layers (e.g., layers 9-14 in Table 2) of the optical film structure above the scratch resistant layer (e.g., layer 8 in Table 2) are configured to achieve high shallow hardness while not negatively affecting the optical properties of the article, including reflectance in the visible,



IR, and near-IR spectra. As is evident from the optical film structure design of Table 2, medium index layers (SiO<sub>x</sub>N<sub>y</sub> layers 9, 11, and 13) are disposed adjacent to high index layers (SiN<sub>y</sub> layers 10 and 12), which drive shallow high hardness levels in the article. Similarly, as is evident in Table 13, the total thickness of the low refractive index layers (e.g., SiO<sub>2</sub> layer 14) in the outer structure of the optical film structure above the scratch-resistant layer is minimized to a level that is less than 75 nm, which also helps drive shallow high hardness levels in the article.

TABLE 2

Comp. Ex. 1 transparent article with strengthened glass-ceramic substrate			
Layer	material	thickness (nm)	index (550 nm)
	Glass-Ceramic	Substrate	1.533
1	SiO <sub>2</sub>	25.0	1.476
2	SiO <sub>x</sub> N <sub>y</sub>	14.0	1.829
3	SiO <sub>2</sub>	51.2	1.476
4	SiO <sub>x</sub> N <sub>y</sub>	30.7	1.829
5	SiO <sub>2</sub>	30.1	1.476
6	SiO <sub>x</sub> N <sub>y</sub>	49.2	1.829
7	SiO <sub>2</sub>	8.9	1.476
8	SiO <sub>x</sub> N <sub>y</sub>	2000	1.829
9	SiO <sub>x</sub> N <sub>y</sub>	14.6	1.744
10	SiN <sub>y</sub>	15.1	2.058
11	SiO <sub>x</sub> N <sub>y</sub>	25.9	1.744
12	SiN <sub>y</sub>	125.7	2.058
13	SiO <sub>x</sub> N <sub>y</sub>	42.6	1.589
14	SiO <sub>2</sub>	60.0	1.476
	Medium	Air	1

Total thickness (nm): 2492.9

AR layers (outer structure) thickness (nm): 283.9

Low-RI in AR thickness (nm): 60.0

**[0138]** Referring to FIG. 4A, a plot is provided of first-surface reflectance vs. wavelength for this comparative example (Comp. Ex. 1), as measured at a near-normal incident angle of 6°. Notably, this comparative example exhibits a substantially higher reflectance in the visible (400-700 nm) and near IR (700-1000 nm) wavelength regimes as compared to the inventive example, Ex. 1.

**[0139]** Referring to FIG. 4B, a plot is provided of single-sided, reflected color for this comparative example (Comp. Ex. 1), as measured at incident angles from 0° to 90° with optical film structure thickness scaling factors from 70 to 100%. Each curve represents the full range of illumination angles of incidence from 0-90°. The different scaling factors represent different optical film structure thickness scaling that may be found on the curved or angled regions of a transparent article with an optical film structure due to vacuum deposition line of sight effects. For this comparative design, the optical film structure color excursions are greater than  $\sqrt{(a^2+b^2)}=6.5$  for all coating thickness scaling factors from 70-100%. Therefore, there is not any practical optical film structure thickness scaling for this design that enables a 0-90° color range less than 6.5, which stands in contrast with the prior inventive example (Ex. 1). For Comp. Ex. 1, the maximum 0-90° color excursion is approximately: greater than 8 for thickness scaling factors from 90-100%; greater than 11 for thickness scaling factors from 80-100%; greater than 16 for thickness scaling factors from 75-100%, and greater than 22 for thickness scaling factors from 70-100%.

**[0140]** In addition, as detailed below, Table 3 below provides a summary of selected optical and mechanical properties of Ex. 1 and Comp. Ex. 1. Of particular note is that Ex. 1 has a lower 1<sup>st</sup> surface photopic average reflectance than Comp. Ex. 1, 0.84% for Ex. 1 vs. 1.06% for Comp. Ex. 1. In addition, Ex. 1 has a 1<sup>st</sup> surface reflectance at 940 nm of 1.66% vs. 3.84% for Comp. Ex. 1. This lower infrared reflectance is correlated to less change in reflectance and color over a wider range of coating thickness scaling factors. Ex. 1 also has a lower total thickness, 1814 nm vs. 2493 nm for Comp. Ex. 1.

TABLE 3

Summary of Optical and Mechanical Properties of Ex. 1 and Comp. Ex. 1		
	Comp. Ex. 1	Ex. 1
1 <sup>st</sup> -surface average photopic reflectance (%) (6° AOI)	1.06	0.84
% R (@940 nm)	3.84	1.66
% R (@1500 nm)	13.81	13.5
% R (@1200-1500 nm)	10.07	14.60
% R (@1000-1700 nm)	9.45	13.30
Number of layers in optical film structure	14	19
Total thickness of optical film structure (nm)	2493	1814
Scratch Resistant layer thickness (nm)	2000	1000
Scratch resistant layer index (@550 nm)	1.83	1.957
Outer structure thickness: (nm)	283.9	532.2
% SiN <sub>x</sub> in outer structure layers	49.6%	42.9%
Low-n material in outer structure (not capping)	1.74/1.59	1.60
Total low-RI material (e.g., SiO <sub>2</sub> ) in outer structure (nm)	60	101
Capping layer thickness (SiO <sub>2</sub> )	60	101
SiO <sub>2</sub> index (550 nm)	1.476	1.475
Thickness scaling range (0-90° AOI) 1 <sup>st</sup> surface reflected color shift $\sqrt{(a^2+b^2)} < 6.5$	None	75-100%
Modeled Hardness 20 nm (GPa)	7.8	7.2
Modeled Hardness 40 nm (GPa)	10	8.8
Modeled Hardness 100 nm (GPa)	14.6	11.9
Modeled Hardness 125 nm (GPa)	15.6	12.7
Modeled Hardness 500 nm (GPa)	17.2	14.3
Modeled Maximum Hardness (GPa)	17.6	14.5

#### Drop Test Performance

**[0141]** In this example, the Drop Test Method was used to test to evaluate transparent articles of the present disclosure (Ex. 1) in view of a bare, uncoated glass substrate control (Comp. Ex. 1A, i.e., same substrate as in Ex. 1) and a comparative transparent article using the same glass substrate with a substantially thicker optical film structure (Comp. Ex. 1B, see Table 4 below).

TABLE 4

Comp. Ex. 1B transparent article design with strengthened glass substrate			
Layer	Material	thickness (nm)	Index (550 nm)
	Glass	Substrate	1.51
1	SiO <sub>2</sub>	20	1.476
2	SiO <sub>x</sub> N <sub>y</sub>	8.14	1.943
3	SiO <sub>2</sub>	67.12	1.476
4	SiO <sub>x</sub> N <sub>y</sub>	21.57	1.943
5	SiO <sub>2</sub>	50.82	1.476
6	SiO <sub>x</sub> N <sub>y</sub>	39.32	1.943
7	SiO <sub>2</sub>	26.68	1.476
8	SiO <sub>x</sub> N <sub>y</sub>	56.09	1.943

TABLE 4-continued

Comp. Ex. 1B transparent article design with strengthened glass substrate			
Layer	Material	thickness (nm)	Index (550 nm)
9	SiO <sub>2</sub>	8	1.476
10	SiOxNy	1500	1.943
11	SiO <sub>2</sub>	14.56	1.476
12	SiNy	38.39	2.014
13	SiO <sub>2</sub>	46.3	1.476
14	SiNy	25.19	2.014
15	SiO <sub>2</sub>	81.14	1.476
16	SiNy	24.93	2.014
17	SiO <sub>2</sub>	44.65	1.476
18	SiNy	152.62	2.014
19	SiO <sub>2</sub>	102.28	1.476
	Medium	Air	1

Total thickness (nm): 2327.8

AR layers (outer structure) thickness (nm): 530.1

Low-RI in AR thickness (nm): 288.9

[0142] The drop testing of this example was carried out using a “puck” designed to simulate a mobile handheld device from specific heights (from 22 cm to 220 cm) and specific angles (0 deg, 30 deg, etc.) on desired drop surfaces (specific grit sandpapers (30 grit, 80 grit, 180 grit), sandpaper grits with various materials (e.g., Al<sub>2</sub>O<sub>3</sub>, garnet), rough granite, asphalt, etc.)). The drop testing was done using a commercial drop tower manufactured by Shinyei Corporation, (however one may use a machine from a different manufacturer with similar capabilities). An example drop tower is shown in FIG. 6A. The drop tower has a drop platform (A), a drop surface (B), stop buffers (C), a chuck assembly (D), and electro-magnetic chuck lifter I, a chuck raise/lower winch (F), guide rods (G), and a main control panel (H).

[0143] The transparent articles to be tested were assembled in a puck designed to simulate a mobile handheld device. A cross-section of the puck is shown in FIG. 6B. FIG. 6C shows a detailed view of the puck. The particular features of the puck depicted in FIGS. 6B and 6C are detailed below in Table 5A.

TABLE 5A

Elements of Puck Used for Drop Test	
Element	Element No.
cover glass	601
optically clear adhesive (OCA) film, cover glass	601a
LCM glass	602
polycarbonate	603
front back cover	604
circuit board	605
magnetic sheet	606
steel plate	607
OCA thick film, display stack	608
display simulator	609
OCA thick film, display stack (2X)	610
bezel	611
threaded insert	612
battery	613
Phillips drive screws	614

[0144] FIG. 6D shows a schematic view of the back of the puck, which has an appearance similar to a mobile handheld

device. FIG. 6E shows an example of a cover glass in an assembled puck. Properties of the puck are shown below in Table 5B.

TABLE 5B

Puck for Drop Test	
Property	Puck
Mass (g)	200
Glass size X/Y (mm)	130.2 × 65.2
Mass/Unit area (g/mm <sup>2</sup> )	0.02355979
Bezel proud (mm)	0.05

[0145] For controlled and repeatable drop testing in this example, the drops were done at a specific angle and on a specific sandpaper that mimics real life surface. The puck was dropped flat (Zero degree) on 3M 80 grit sandpaper. For Flat face drop on 3M 80 grit Garnet sandpaper, first the drop surface is prepared as shown in FIG. 6F. Two layers of sandpaper were used, the bottom sandpaper “A” is 180 grit Al<sub>2</sub>O<sub>3</sub> paper which acts as the base and the desired test surface “B”, here 80 Grit 3M Garnet sandpaper “B” was laid on top of “A”. The bottom sheet (A) remains in-place, drop after drop, and served simply as a means of preventing the top sheet from moving from its staged location. The top sheet (B) was changed every time a new device was loaded (i.e.: for each device tested one sandpaper sheet was used). Magnets were placed at the corners of the sandpaper for additional stability. This held the sandpaper in-place during the drop procedure and there was no displacement. It was made sure that the sandpaper was flat, and had no visible damage in the target center area where the Puck device was to be dropped. For flat face drop testing the assembled puck with cover glass is aligned in the jaws of the drop tower to be flat using dual axis inclinometer and made sure the angles are aligned to zero degrees.

[0146] The results from the Drop Test Method conducted according to this example are shown in FIG. 7. In particular, this figure is a bar chart of mean failure height data for a transparent article of the disclosure (Ex. 1), the bare control substrate (Comp. Ex. 1A) and a comparative transparent article (Comp. Ex. 1B). As is evident from FIG. 7, mean failure height of the transparent article of this disclosure (Ex. 1) is statistically equivalent to or higher than the mean failure heights of the bare control substrate (Comp. Ex. 1A) and the comparative transparent article (Comp. Ex. 1B). Accordingly, the transparent article of the disclosure demonstrates retained or improved drop resistance relative to the uncoated control glass (Comp. Ex. 1A, and retained drop resistance compared to a comparative transparent article with a thicker coating (Comp. Ex. 1B), while also exhibiting the foregoing advantageous optical (e.g., low photopic reflectance, low IR reflectance, and low color shift with various optical film structure thickness scaling factors) and mechanical properties (e.g., high shallow hardness and reduced total coating thickness).

[0147] Aspect 1. A transparent article includes: a substrate including a first primary surface and a second primary surface, the primary surfaces opposing one another; and an optical film structure having an outer surface and a physical thickness of from about 200 nm to 5000 nm, the optical film structure disposed on the first primary surface. The optical film structure includes a scratch-resistant layer, at least one low refractive index (RI) layer, at least one medium RI layer,

and at least one high RI layer. The optical film structure further includes an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface, and the outer structure including a plurality of alternating high and medium RI layers. The outer structure includes at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers. The at least one medium RI layer includes a refractive index from 1.55 to 1.9, each of the high RI layers includes a refractive index of greater than 1.80, each of the low RI layers includes a refractive index from 1.35 to 1.7, each medium RI layer has a higher refractive index than each low RI layer, and each high RI layer has a higher refractive index than each medium RI layer. The article exhibits a first-surface average photopic reflectance of less than 1%, as measured from 0° to 10° incidence. Further, the article exhibits a color shift of less than 15 for all thickness scaling factors from 70-100% for the optical film structure, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of 0° to 90° or (ii) between two angles of incidence, where the first angle is selected from the range of 0-20 degrees and the second angle is selected from the range of 45-90 degrees, and as given by  $\sqrt{(a^{*2}+b^{*2})}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

**[0148]** Aspect 2. The transparent article of Aspect 1 is provided, wherein the article exhibits a color shift for the optical film structure of less than 6.5 for all thickness scaling factors from 90-100%, less than 8.5 for all thickness scaling factors from 80-100%, or less than 12 for all thickness scaling factors from 75-100%, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of 0° to 90° or (ii) between two angles of incidence, where the first angle is selected from the range of 0-20 degrees and the second angle is selected from the range of 45-90 degrees, and as given by  $\sqrt{(a^{*2}+b^{*2})}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

**[0149]** Aspect 3. The transparent article of Aspect 1 or Aspect 2 is provided, wherein the inner structure includes a plurality of alternating high RI layers of  $\text{SiO}_x\text{N}_y$  and low RI layers of  $\text{SiO}_2$  and the outer structure includes a plurality of alternating high RI layers of  $\text{SiN}_x$  and medium RI layers of  $\text{SiO}_x\text{N}_y$ .

**[0150]** Aspect 4. The transparent article of any one of Aspects 1-3 is provided, wherein the article exhibits a first-surface reflectance of less than 3%, as measured at an infrared wavelength of 940 nm.

**[0151]** Aspect 5. The transparent article of any one of Aspects 1-4 is provided, wherein the optical film structure has a physical thickness of from about 500 nm to 2000 nm.

**[0152]** Aspect 6. The transparent article of any one of Aspects 1-5 is provided, wherein the article exhibits one or more of: (i) a hardness of greater than 7 GPa at an indentation depth of about 20 nm or 40 nm; (ii) a hardness of greater than 11 GPa at an indentation depth of 100 nm; (iii) a hardness of greater than 12 GPa at an indentation depth of 125 nm; and (iv) a maximum hardness over all indentation depths from 50-1000 nm of greater than 14 GPa, as measured by a Berkovich Hardness Test at the outer surface of the optical film structure, and further wherein the article

exhibits a mean failure height of at least 75 cm, as measured according to a Drop Test Method with 80 grit garnet sandpaper.

**[0153]** Aspect 7. The transparent article of any one of Aspects 1-6 is provided, wherein each medium RI layer of the outer structure includes a refractive index from 1.55 to 1.65.

**[0154]** Aspect 8. The transparent article of any one of Aspects 1-7 is provided, wherein substrate includes the following composition: 50-70 mol %  $\text{SiO}_2$ ; 10-20 mol %  $\text{Al}_2\text{O}_3$ ; 0-2 mol %  $\text{P}_2\text{O}_5$ ; 1-6 mol %  $\text{B}_2\text{O}_3$ ; 5-10 mol %  $\text{Li}_2\text{O}$ ; 1-10 mol %  $\text{Na}_2\text{O}$ ; and 0.01-1.0 mol %  $\text{K}_2\text{O}$ .

**[0155]** Aspect 9. The transparent article of any one of Aspects 1-8 is provided, wherein the substrate is a glass-ceramic material that includes an elastic modulus of greater than 85 GPa and a fracture toughness of greater than 0.8  $\text{MPa}\sqrt{\text{m}}$ .

**[0156]** Aspect 10. The transparent article of any one of Aspects 1-9 is provided, wherein the optical film structure exhibits a residual compressive stress of from 700 MPa to 1100 MPa and an elastic modulus of from 140 GPa to 200 GPa.

**[0157]** Aspect 11. A display device including the transparent article of any one of Aspects 1-10 is provided, wherein the transparent article serves as a protective cover for the display device.

**[0158]** Aspect 12. A transparent article includes: a substrate including a first primary surface and a second primary surface, the primary surfaces opposing one another; and an optical film structure having an outer surface and a physical thickness of from about 500 nm to 2000 nm, the optical film structure disposed on the first primary surface. The optical film structure includes a scratch-resistant layer, low refractive index (RI) layers, medium RI layers, and high RI layers. The optical film structure further includes an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface and including a plurality of alternating high and low RI layers, and the outer structure including a plurality of alternating high and medium RI layers. The outer structure includes at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers. Each medium RI layer of the outer structure includes a refractive index from 1.55 to 1.65, each of the high RI layers includes a refractive index of greater than 1.80, and each of the low RI layers includes a refractive index from 1.35 to 1.55. Further, the article exhibits a first-surface average photopic reflectance of less than 1%, as measured from 0° to 10° incidence.

**[0159]** Aspect 13. The transparent article of Aspect 12 is provided, wherein the scratch-resistant layer has a physical thickness of from about 500 nm to less than 1500 nm.

**[0160]** Aspect 14. The transparent article of Aspect 12 or Aspect 13 is provided, wherein each of the outer structure and the inner structure has a total of at least eight (8) layers.

**[0161]** Aspect 15. The transparent article of any one of Aspects 12-14 is provided, wherein the optical film structure has a total of at least 15 layers.

**[0162]** Aspect 16. The transparent article of any one of Aspects 12-15 is provided, wherein the outer structure includes at least four (4) medium RI layers, and further wherein the outer structure further includes at least four (4)

high RI layers, and further wherein one of the high RI layers in the outer structure is in contact with an outermost low RI layer.

**[0163]** Aspect 17. The transparent article of any one of Aspects 12-16 is provided, wherein the inner structure includes a plurality of alternating high RI layers of  $\text{SiO}_x\text{N}_y$ , and low RI layers of  $\text{SiO}_2$  and the outer structure includes a plurality of alternating high RI layers of  $\text{SiN}_x$  and medium RI layers of  $\text{SiO}_x\text{N}_y$ .

**[0164]** Aspect 18. The transparent article of any one of Aspects 12-17 is provided, wherein the physical thickness of the optical film structure is from about 1500 nm to 2000 nm.

**[0165]** Aspect 19. The transparent article of any one of Aspects 12-18 is provided, wherein the article exhibits a color shift of less than 15 for all thickness scaling factors from 70-100% for the optical film structure, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of  $0^\circ$  to  $90^\circ$  or (ii) between two angles of incidence, where the first angle is selected from the range of  $0$ - $20$  degrees and the second angle is selected from the range of  $45$ - $90$  degrees, and as given by  $\sqrt{(a^{*2}+b^{*2})}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

**[0166]** Aspect 20. The transparent article of any one of Aspects 12-19 is provided, wherein the article exhibits one or more of: (i) a hardness of greater than 7 GPa at an indentation depth of about 20 nm or 40 nm; (ii) a hardness of greater than 11 GPa at an indentation depth of 100 nm; (iii) a hardness of greater than 12 GPa at an indentation depth of 125 nm; and (iv) a maximum hardness over all indentation depths from 50-1000 nm of greater than 14 GPa, as measured by a Berkovich Hardness Test at the outer surface of the optical film structure, and further wherein the article exhibits a mean failure height of at least 75  $\mu\text{m}$ , as measured according to a Drop Test Method with 80 grit garnet sandpaper.

**[0167]** Aspect 21. The transparent article of any one of Aspects 12-20 is provided, wherein substrate includes the following composition: 50-70 mol %  $\text{SiO}_2$ ; 10-20 mol %  $\text{Al}_2\text{O}_3$ ; 0-2 mol %  $\text{P}_2\text{O}_5$ ; 1-6 mol %  $\text{B}_2\text{O}_3$ ; 5-10 mol %  $\text{Li}_2\text{O}$ ; 1-10 mol %  $\text{Na}_2\text{O}$ ; and 0.01-1.0 mol %  $\text{K}_2\text{O}$ .

**[0168]** Aspect 22. The transparent article of any one of Aspects 12-21 is provided, wherein the substrate is a glass-ceramic material that includes an elastic modulus of greater than 85 GPa and a fracture toughness of greater than 0.8  $\text{MPa}\sqrt{\text{m}}$ .

**[0169]** Aspect 23. The transparent article of any one of Aspects 12-22 is provided, wherein the optical film structure exhibits a residual compressive stress of from 700 MPa to 1100 MPa and an elastic modulus of from 140 GPa to 200 GPa.

**[0170]** Aspect 24. A display device including the transparent article of any one of Aspects 12-23 is provided, wherein the transparent article serves as a protective cover for the display device.

**[0171]** Aspect 25. A transparent article includes: a substrate including a first primary surface and a second primary surface, the primary surfaces opposing one another; and an optical film structure having an outer surface and a physical thickness of from about 200 nm to 5000 nm, the optical film structure disposed on the first primary surface. The optical film structure includes a scratch-resistant layer, low refractive index (RI) layers, medium RI layers, and high RI layers. The optical film structure further includes an outer structure

and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface and including a plurality of alternating high and low RI layers, and the outer structure including a plurality of alternating high and medium RI layers. The outer structure has a physical thickness of from 400 nm to 800 nm and includes at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers. The at least one medium RI layer includes a refractive index from 1.55 to 1.9, each of the high RI layers includes a refractive index of greater than 1.80, each of the low RI layers includes a refractive index from 1.35 to 1.7, each medium RI layer has a higher refractive index than each low RI layer, and each high RI layer has a higher refractive index than each medium RI layer. Further, the article exhibits a mean failure height of at least 75  $\mu\text{m}$ , as measured according to a Drop Test Method with 80 grit garnet sandpaper.

**[0172]** Aspect 26. The transparent article of Aspect 25 is provided, wherein the article exhibits a mean failure height of at least 85  $\mu\text{m}$ , as measured according to a Drop Test Method with 80 grit garnet sandpaper.

**[0173]** Aspect 27. The transparent article of Aspect 25 or Aspect 26 is provided, wherein the physical thickness of the optical film structure is from about 1500 nm to 2000 nm.

**[0174]** Aspect 28. The transparent article of any one of Aspects 25-28 is provided, wherein the substrate is a glass-ceramic material that includes an elastic modulus of greater than 85 GPa and a fracture toughness of greater than 0.8  $\text{MPa}\sqrt{\text{m}}$ .

**[0175]** Aspect 29. The transparent article of any one of Aspects 25-28 is provided, wherein the optical film structure exhibits a residual compressive stress of from 700 MPa to 1100 MPa and an elastic modulus of from 140 GPa to 200 GPa.

**[0176]** Aspect 30. The transparent article of any one of Aspects 25-29 is provided, wherein the article exhibits a first-surface average photopic reflectance of less than 1%, as measured from  $0^\circ$  to  $10^\circ$  incidence, and further wherein the article exhibits a color shift of less than 15 for all thickness scaling factors from 70-100% for the optical film structure, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of  $0^\circ$  to  $90^\circ$  or (ii) between two angles of incidence, where the first angle is selected from the range of  $0$ - $20$  degrees and the second angle is selected from the range of  $45$ - $90$  degrees, and as given by  $\sqrt{(a^{*2}+b^{*2})}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

**[0177]** Aspect 31. The transparent article of any one of Aspects 25-30 is provided, wherein each medium RI layer of the outer structure includes a refractive index from 1.55 to 1.65.

**[0178]** Aspect 32. The transparent article of any one of Aspects 25-31 is provided, wherein substrate includes the following composition: 50-70 mol %  $\text{SiO}_2$ ; 10-20 mol %  $\text{Al}_2\text{O}_3$ ; 0-2 mol %  $\text{P}_2\text{O}_5$ ; 1-6 mol %  $\text{B}_2\text{O}_3$ ; 5-10 mol %  $\text{Li}_2\text{O}$ ; 1-10 mol %  $\text{Na}_2\text{O}$ ; and 0.01-1.0 mol %  $\text{K}_2\text{O}$ .

**[0179]** Aspect 33. The transparent article of any one of Aspects 25-32 is provided, wherein the inner structure includes a plurality of alternating high RI layers of  $\text{SiO}_x\text{N}_y$ , and low RI layers of  $\text{SiO}_2$  and the outer structure includes a plurality of alternating high RI layers of  $\text{SiN}_x$  and medium RI layers of  $\text{SiO}_x\text{N}_y$ .

[0180] Aspect 34. A display device including the transparent article of any one of Aspects 25-33 is provided, wherein the transparent article serves as a protective cover for the display device.

[0181] Aspect 35. The transparent article of any one of Aspects 1-11 is provided, wherein the substrate is a non-planar substrate.

[0182] Aspect 36. The transparent article of any one of Aspects 12-24 is provided, wherein the substrate is a non-planar substrate.

[0183] Aspect 37. The transparent article of any one of Aspects 25-34 is provided, wherein the substrate is a non-planar substrate.

[0184] Although multiple embodiments of the present disclosure have been illustrated in the accompanying Drawings and described in the foregoing Detailed Description, it should be understood that the invention is not limited to the disclosed embodiments, but instead is also capable of numerous rearrangements, modifications and substitutions without departing from the present disclosure that has been set forth and defined within the following claims. What is claimed is:

1. A transparent article, comprising:

a substrate comprising a first primary surface and a second primary surface, the primary surfaces opposing one another; and

an optical film structure having an outer surface and a physical thickness of from about 200 nm to 5000 nm, the optical film structure disposed on the first primary surface,

wherein the optical film structure comprises a scratch-resistant layer, at least one low refractive index (RI) layer, at least one medium RI layer, and at least one high RI layer,

wherein the optical film structure further comprises an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface, and the outer structure comprising a plurality of alternating high and medium RI layers,

wherein the outer structure comprises at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers,

wherein the at least one medium RI layer comprises a refractive index from 1.55 to 1.9, each of the high RI layers comprises a refractive index of greater than 1.80, each of the low RI layers comprises a refractive index from 1.35 to 1.7, each medium RI layer has a higher refractive index than each low RI layer, and each high RI layer has a higher refractive index than each medium RI layer,

wherein the article exhibits a first-surface average photopic reflectance of less than 1%, as measured from 0° to 10° incidence, and

further wherein the article exhibits a color shift of less than 15 for all thickness scaling factors from 70-100% for the optical film structure, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of 0° to 90° or (ii) between two angles of incidence, where the first angle is selected from the range of 0-20 degrees and the second angle is selected from the range of 45-90 degrees, and as given by

$\sqrt{(a^*^2 + b^*^2)}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

2. The transparent article of claim 1, wherein the article exhibits a color shift for the optical film structure of less than 6.5 for all thickness scaling factors from 90-100%, less than 8.5 for all thickness scaling factors from 80-100%, or less than 12 for all thickness scaling factors from 75-100%, as measured in first-surface reflectance per one or both of: (i) all incident angles in a range of 0° to 90° or (ii) between two angles of incidence, where the first angle is selected from the range of 0-20 degrees and the second angle is selected from the range of 45-90 degrees, and as given by  $\sqrt{(a^*^2 + b^*^2)}$ , where  $a^*$  and  $b^*$  are color coordinates in the CIE  $L^*$ ,  $a^*$ ,  $b^*$  colorimetry system under a D65 illuminant.

3. The transparent article of claim 1, wherein the inner structure comprises a plurality of alternating high RI layers of  $\text{SiO}_x\text{N}_y$  and low RI layers of  $\text{SiO}_2$  and the outer structure comprises a plurality of alternating high RI layers of  $\text{SiN}_x$  and medium RI layers of  $\text{SiO}_x\text{N}_y$ .

4. The transparent article of claim 1, wherein the article exhibits one or more of: (i) a hardness of greater than 7 GPa at an indentation depth of about 20 nm or 40 nm; (ii) a hardness of greater than 11 GPa at an indentation depth of 100 nm; (iii) a hardness of greater than 12 GPa at an indentation depth of 125 nm; and (iv) a maximum hardness over all indentation depths from 50-1000 nm of greater than 14 GPa, as measured by a Berkovich Hardness Test at the outer surface of the optical film structure, and further wherein the article exhibits a mean failure height of at least 75 nm, as measured according to a Drop Test Method with 80 grit garnet sandpaper.

5. The transparent article of claim 1, wherein substrate comprises the following composition:

50-70 mol %  $\text{SiO}_2$ ;  
10-20 mol %  $\text{Al}_2\text{O}_3$ ;  
0-2 mol %  $\text{P}_2\text{O}_5$ ;  
1-6 mol %  $\text{B}_2\text{O}_3$ ;  
5-10 mol %  $\text{Li}_2\text{O}$ ;  
1-10 mol %  $\text{Na}_2\text{O}$ ; and  
0.01-1.0 mol %  $\text{K}_2\text{O}$ .

6. The transparent article of claim 1, wherein:

the substrate is a glass-ceramic material that comprises an elastic modulus of greater than 85 GPa and a fracture toughness of greater than 0.8  $\text{MPa}\sqrt{\text{m}}$ ; and

the optical film structure exhibits a residual compressive stress of from 700 MPa to 1100 MPa and an elastic modulus of from 140 GPa to 200 GPa.

7. The transparent article of claim 1, wherein the substrate is a non-planar substrate.

8. A transparent article, comprising:

a substrate comprising a first primary surface and a second primary surface, the primary surfaces opposing one another; and

an optical film structure having an outer surface and a physical thickness of from about 500 nm to 2000 nm, the optical film structure disposed on the first primary surface,

wherein the optical film structure comprises a scratch-resistant layer, low refractive index (RI) layers, medium RI layers, and high RI layers,

wherein the optical film structure further comprises an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner

structures, the inner structure disposed on the first primary surface and comprising a plurality of alternating high and low RI layers, and the outer structure comprising a plurality of alternating high and medium RI layers,

wherein the outer structure comprises at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers, and wherein each medium RI layer of the outer structure comprises a refractive index from 1.55 to 1.65, each of the high RI layers comprises a refractive index of greater than 1.80, and each of the low RI layers comprises a refractive index from 1.35 to 1.55, and wherein the article exhibits a first-surface average photopic reflectance of less than 1%, as measured from 0° to 10° incidence.

9. The transparent article of claim 8, wherein the scratch-resistant layer has a physical thickness of from about 500 nm to less than 1500 nm.

10. The transparent article of claim 8, wherein each of the outer structure and the inner structure has a total of at least eight (8) layers.

11. The transparent article of claim 8, wherein the optical film structure has a total of at least 15 layers.

12. The transparent article of claim 8, wherein the outer structure comprises at least four (4) medium RI layers, and further wherein the outer structure further comprises at least four (4) high RI layers, and further wherein one of the high RI layers in the outer structure is in contact with an outermost low RI layer.

13. The transparent article of claim 8, wherein the inner structure comprises a plurality of alternating high RI layers of  $\text{SiO}_x\text{N}_y$  and low RI layers of  $\text{SiO}_2$  and the outer structure comprises a plurality of alternating high RI layers of  $\text{SiN}_x$  and medium RI layers of  $\text{SiO}_x\text{N}_y$ .

14. The transparent article of claim 8, wherein:

the substrate is a glass-ceramic material that comprises an elastic modulus of greater than 85 GPa and a fracture toughness of greater than 0.8  $\text{MPa}\cdot\sqrt{\text{m}}$ ; and,

the optical film structure exhibits a residual compressive stress of from 700 MPa to 1100 MPa and an elastic modulus of from 140 GPa to 200 GPa.

15. The transparent article of claim 8, wherein the substrate is a non-planar substrate.

16. A transparent article, comprising:

a substrate comprising a first primary surface and a second primary surface, the primary surfaces opposing one another; and

an optical film structure having an outer surface and a physical thickness of from about 200 nm to 5000 nm, the optical film structure disposed on the first primary surface,

wherein the optical film structure comprises a scratch-resistant layer, low refractive index (RI) layers, medium RI layers, and high RI layers,

wherein the optical film structure further comprises an outer structure and an inner structure, the scratch-resistant layer disposed between the outer and inner structures, the inner structure disposed on the first primary surface and comprising a plurality of alternating high and low RI layers, and the outer structure comprising a plurality of alternating high and medium RI layers,

wherein the outer structure has a physical thickness of from 400 nm to 800 nm and comprises at least one medium RI layer in contact with one or both of: (a) the scratch-resistant layer and (b) one of the high RI layers, wherein the at least one medium RI layer comprises a refractive index from 1.55 to 1.9, each of the high RI layers comprises a refractive index of greater than 1.80, each of the low RI layers comprises a refractive index from 1.35 to 1.7, each medium RI layer has a higher refractive index than each low RI layer, and each high RI layer has a higher refractive index than each medium RI layer, and

further wherein the article exhibits a mean failure height of at least 75 cm, as measured according to a Drop Test Method with 80 grit garnet sandpaper.

17. The transparent article of claim 16, wherein the article exhibits a mean failure height of at least 85 cm, as measured according to a Drop Test Method with 80 grit garnet sandpaper.

18. The transparent article of claim 16, wherein:

the substrate is a glass-ceramic material that comprises an elastic modulus of greater than 85 GPa and a fracture toughness of greater than 0.8  $\text{MPa}\cdot\sqrt{\text{m}}$ ; and

the optical film structure exhibits a residual compressive stress of from 700 MPa to 1100 MPa and an elastic modulus of from 140 GPa to 200 GPa.

19. The transparent article of claim 16, wherein the inner structure comprises a plurality of alternating high RI layers of  $\text{SiO}_x\text{N}_y$  and low RI layers of  $\text{SiO}_2$  and the outer structure comprises a plurality of alternating high RI layers of  $\text{SiN}_x$  and medium RI layers of  $\text{SiO}_x\text{N}_y$ .

20. The transparent article of claim 16, wherein the substrate is a non-planar substrate.

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