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**Miyata et al.**(10) **Pub. No.: US 2025/0261456 A1**(43) **Pub. Date: Aug. 14, 2025**(54) **IMAGE-CAPTURE ELEMENT AND IMAGE  
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**Mitsumasa Nakajima,** Tokyo (JP);  
**Toshikazu Hashimoto,** Tokyo (JP)(21) Appl. No.: **19/174,057**(22) Filed: **Apr. 9, 2025****Related U.S. Application Data**

(63) Continuation of application No. 18/603,424, filed on Mar. 13, 2024, now Pat. No. 12,294,013, which is a continuation of application No. 18/047,385, filed on Oct. 18, 2022, now Pat. No. 11,967,603, which is a continuation of application No. 17/108,460, filed on Dec. 1, 2020, now Pat. No. 11,515,352, which is a continuation of application No. 16/804,393, filed on Feb. 28, 2020, now Pat. No. 10,886,321, which is a continuation of application No. PCT/JP2019/011138, filed on Mar. 18, 2019.

(30) **Foreign Application Priority Data**

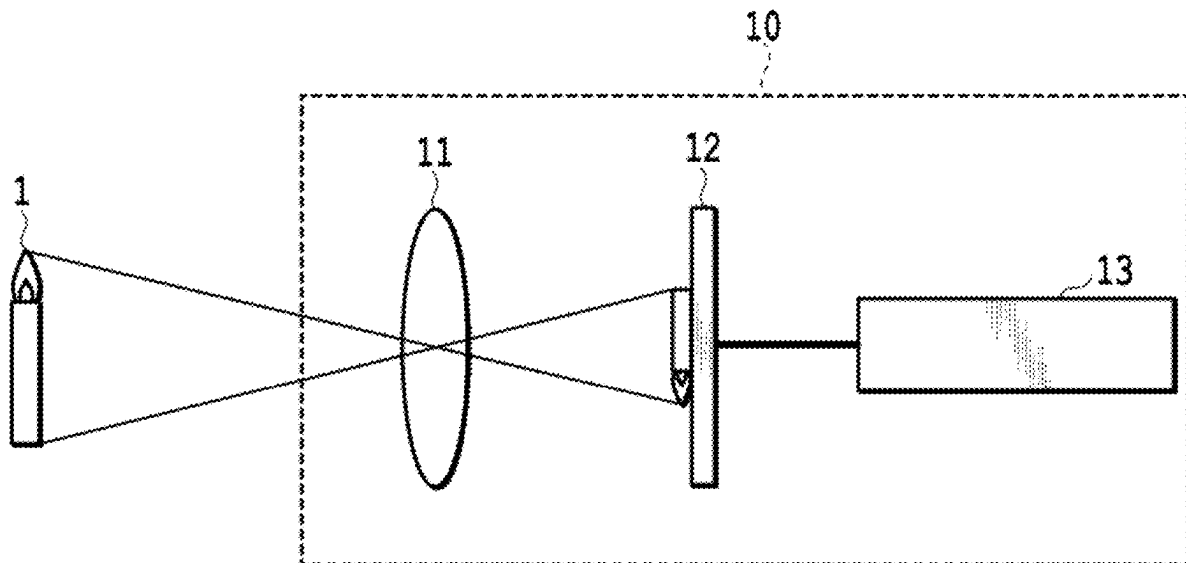
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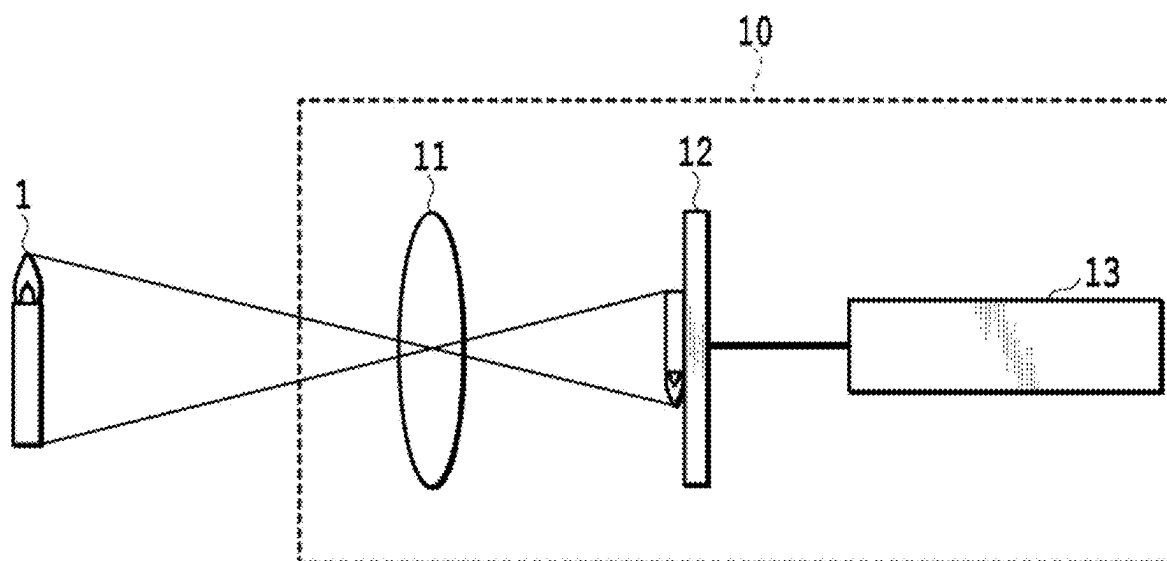
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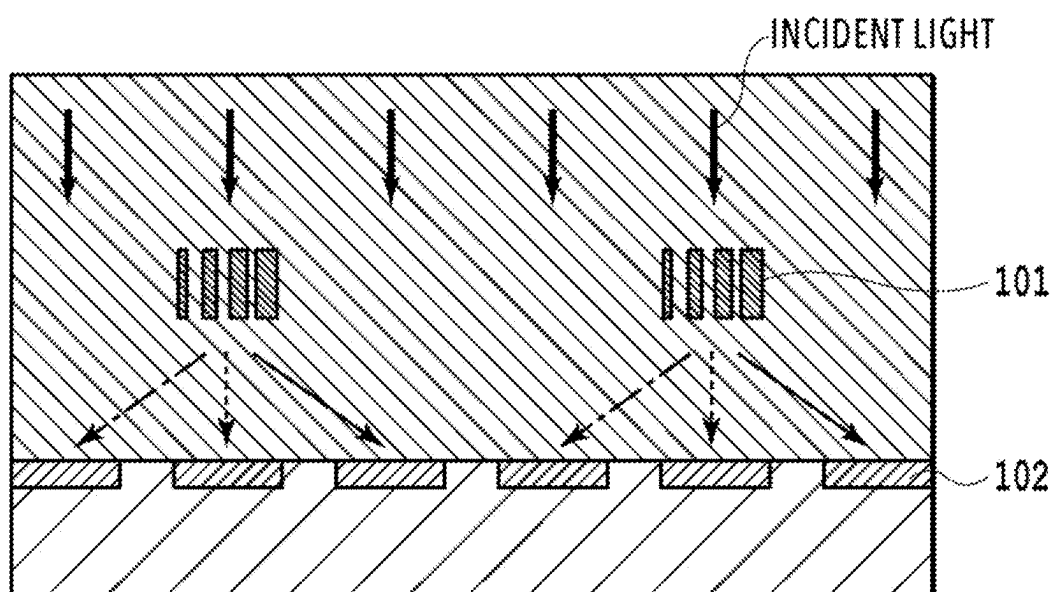
**ABSTRACT**

Provided is a highly-sensitive image-capture element and an image capture device that can be simply manufactured, have little polarization dependency, and have micro-spectroscopic elements capable of separating incident light into three wavelength ranges integrated facing a pixel array. An image capture element has a transparent layer having a low refractive index made of SiO<sub>2</sub> or the like and a plurality of micro-lenses laminated on a pixel array in which pixels each including a photoelectric conversion element are disposed in an array. Inside the transparent layer having the low refractive index, micro-spectroscopic elements composed of a plurality of microstructures having constant thickness (length in a direction perpendicular to the pixel array) formed of a material such as SiN having a higher refractive index than that of the transparent layer is embedded.





**FIG.1**



**FIG.2**

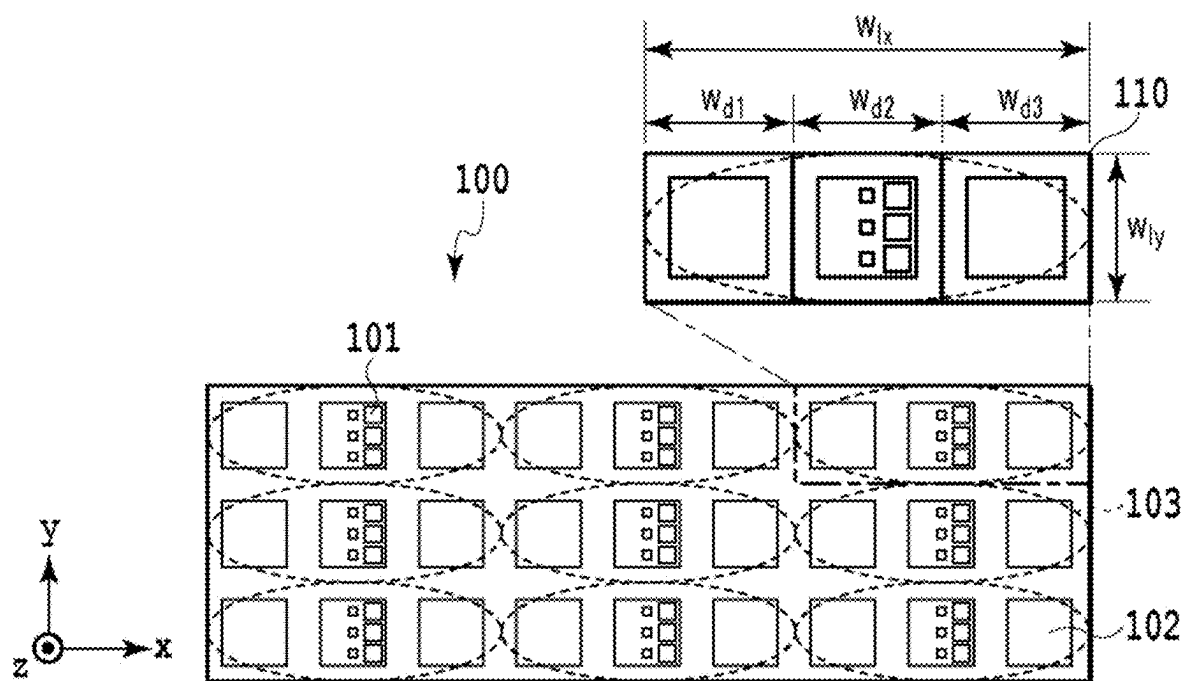


FIG.3A

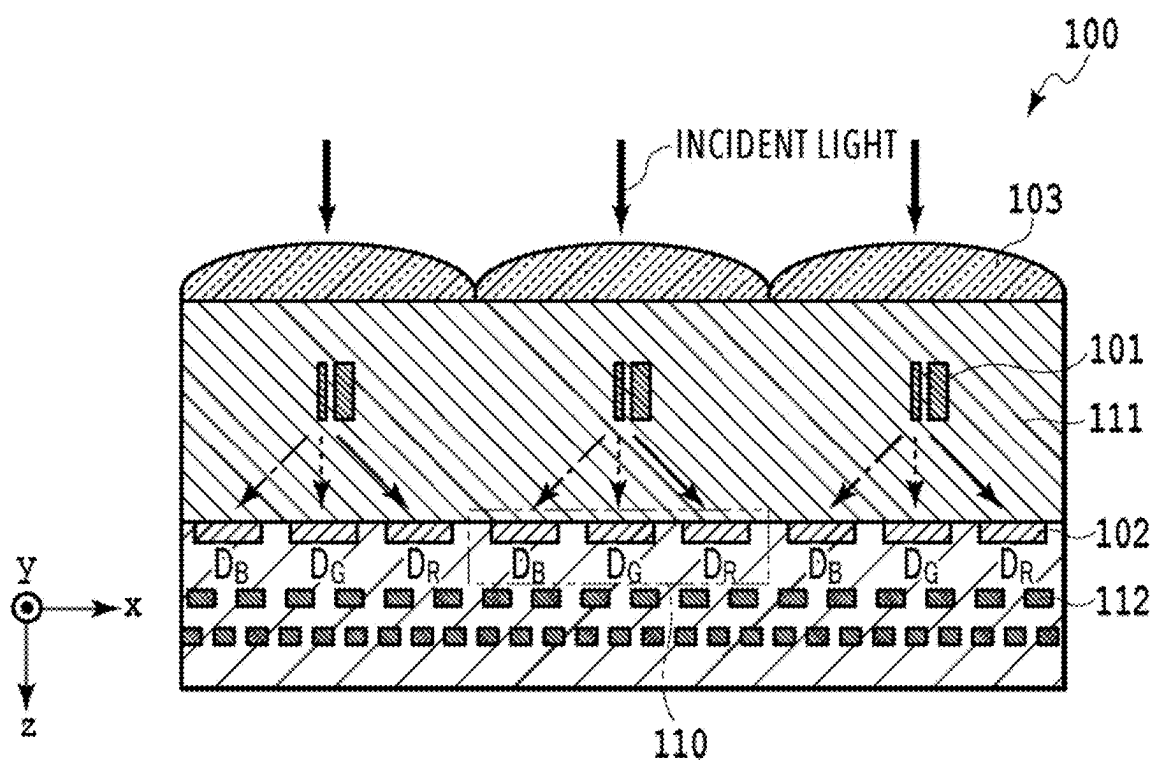


FIG.3B

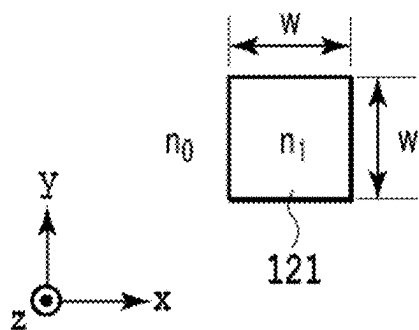


FIG. 4A

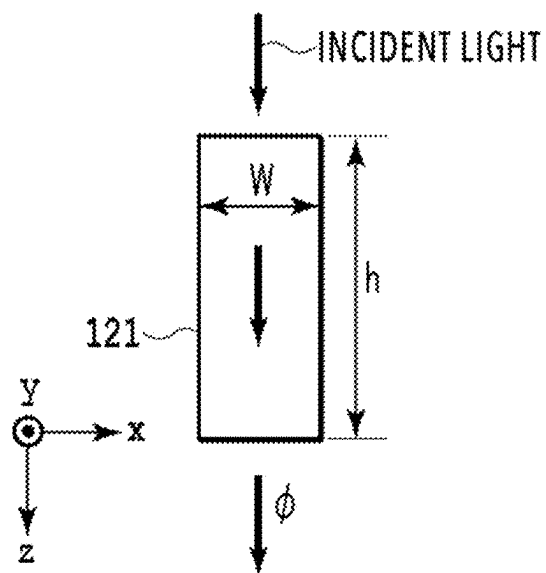
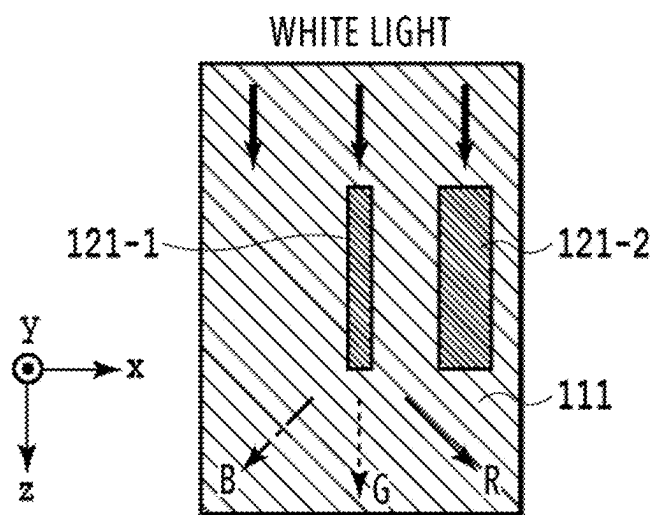
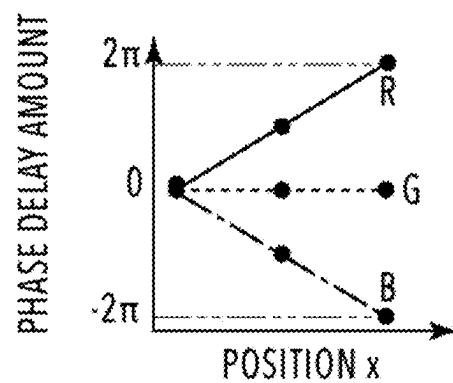


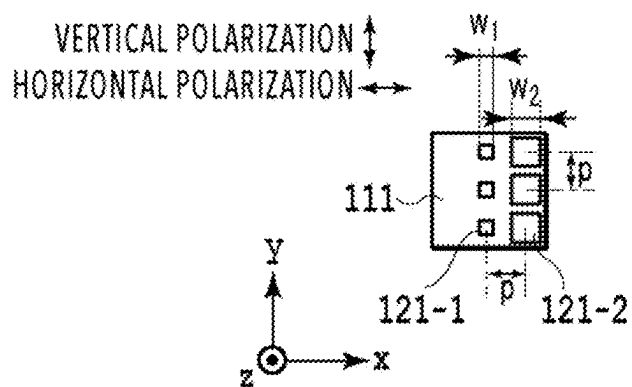
FIG. 4B



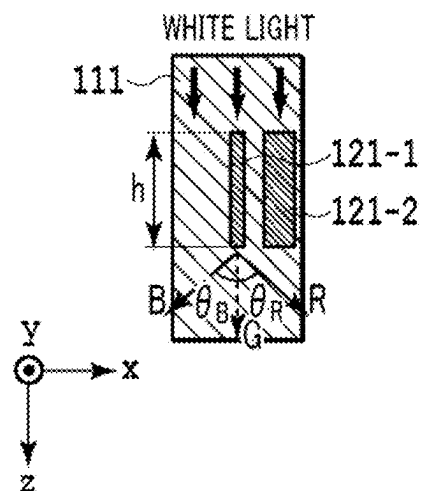
**FIG.5A**



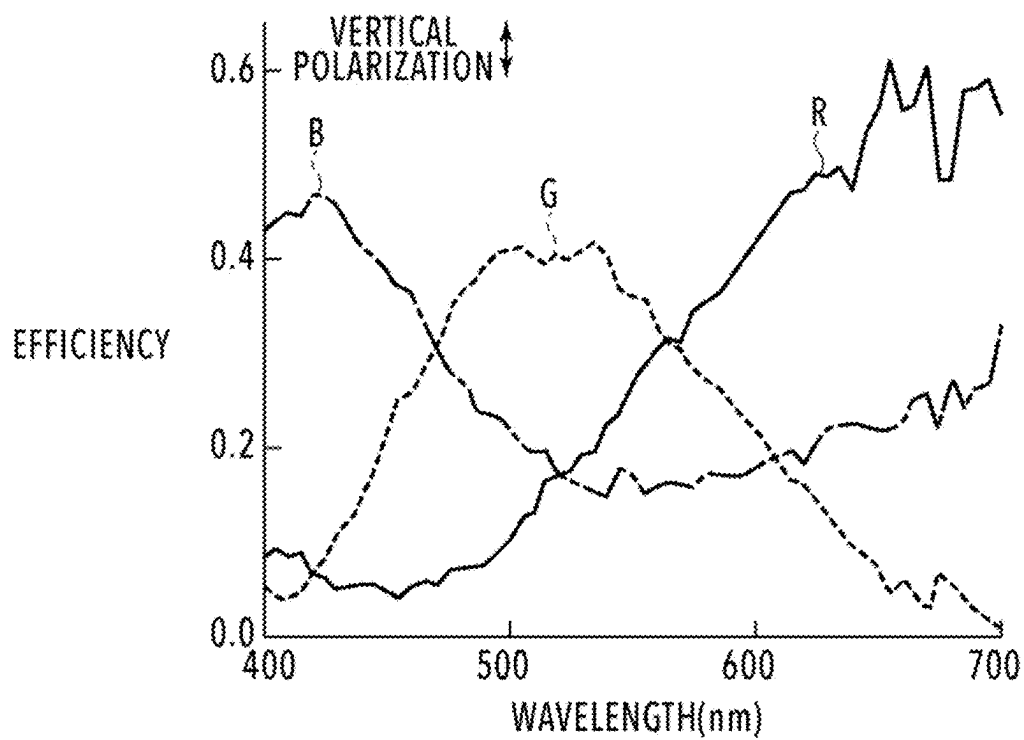
**FIG.5B**



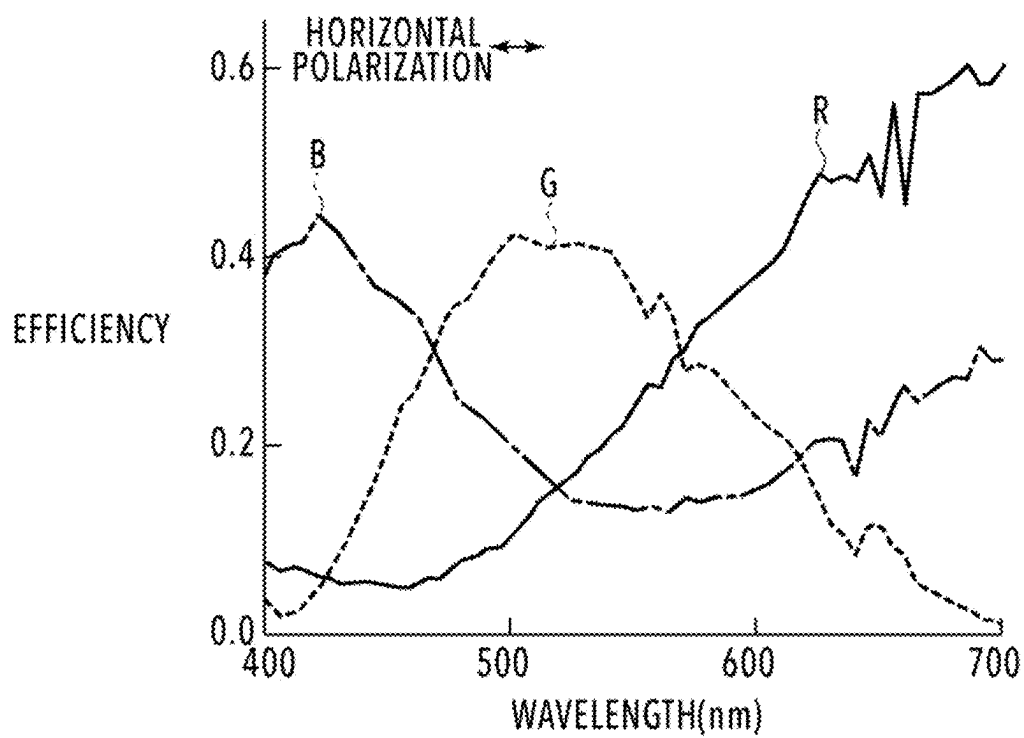
**FIG. 6A**



**FIG. 6B**



**FIG. 7A**



**FIG. 7B**



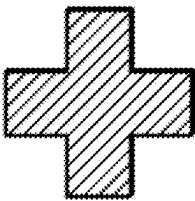


FIG. 8A

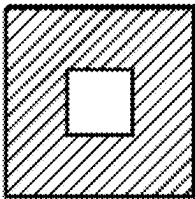


FIG. 8B

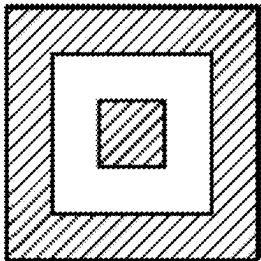


FIG. 8C

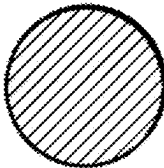


FIG. 8D

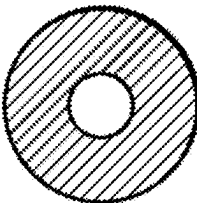


FIG. 8E

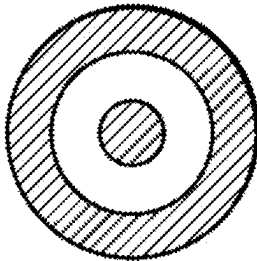


FIG. 8F

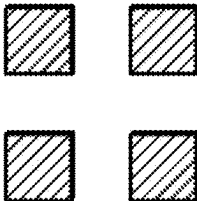


FIG. 8G

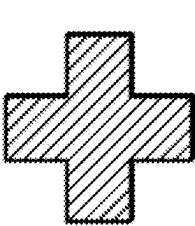


FIG. 8H

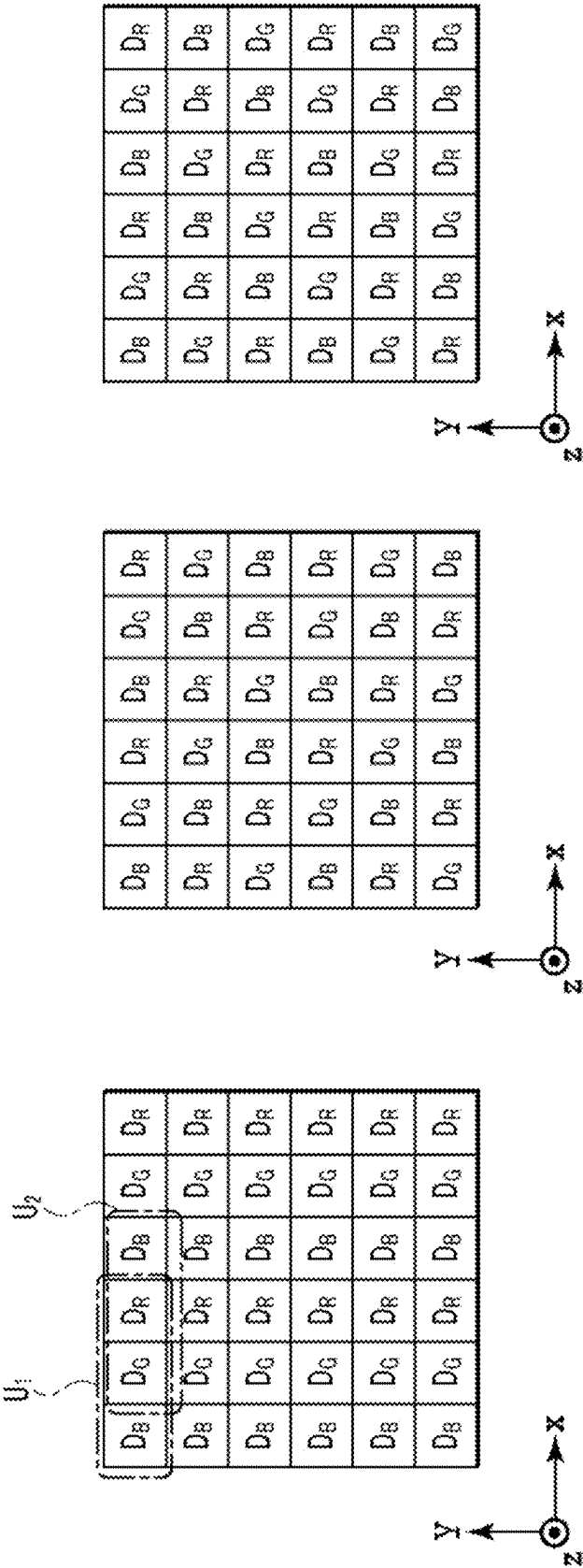


FIG.9C

FIG.9B

FIG.9A

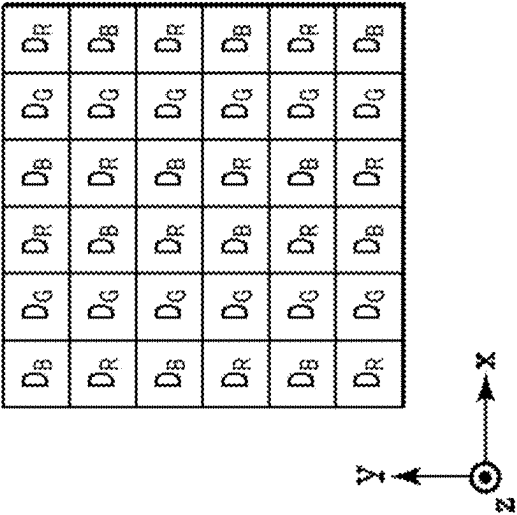


FIG. 10A

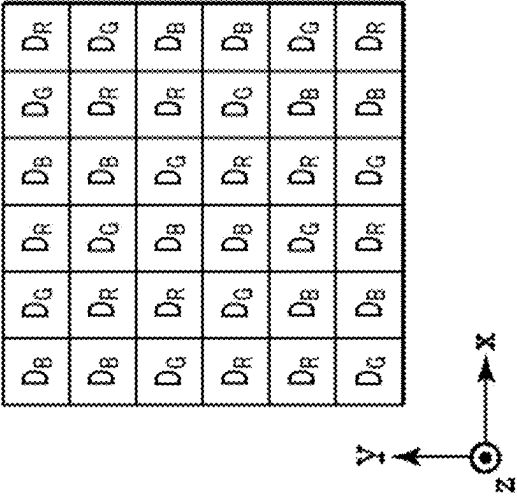


FIG. 10B

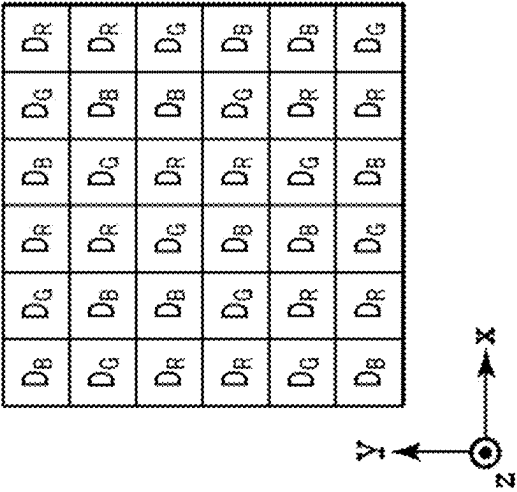


FIG. 10C

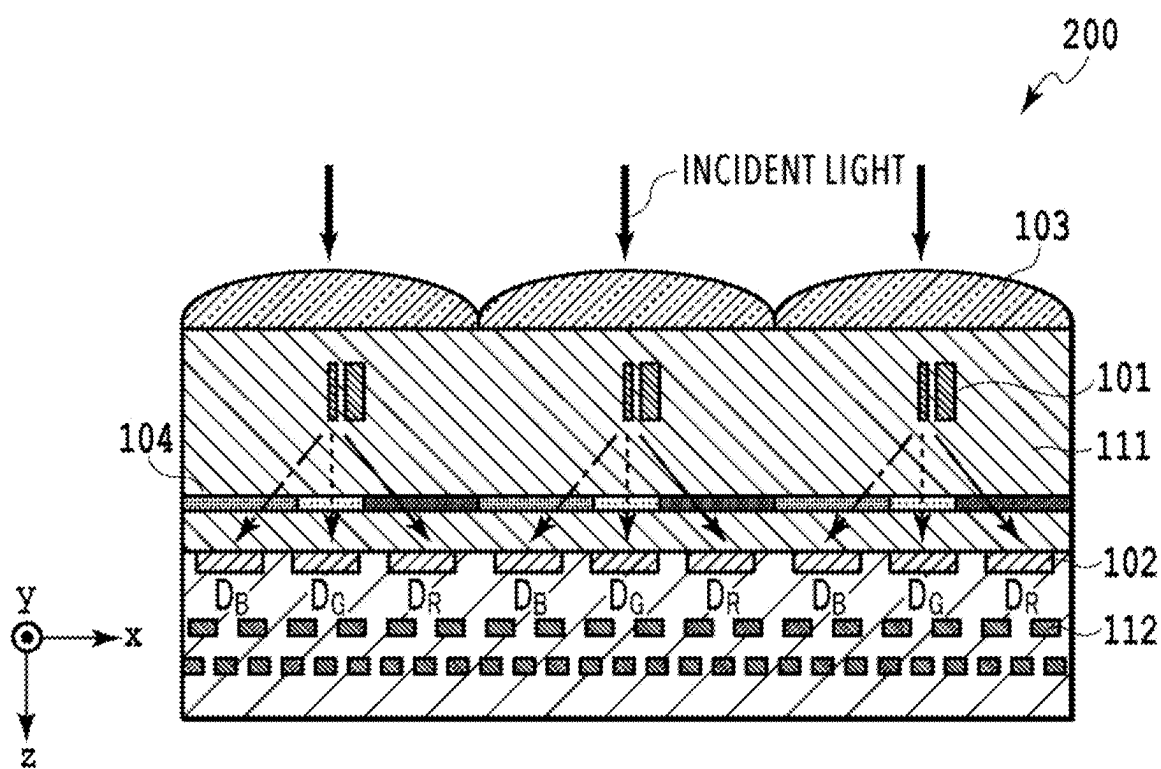


FIG.11

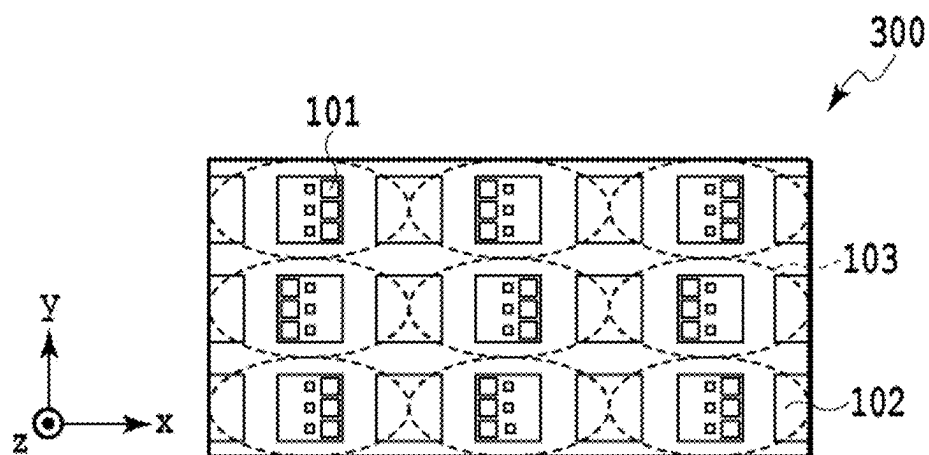


FIG. 12A

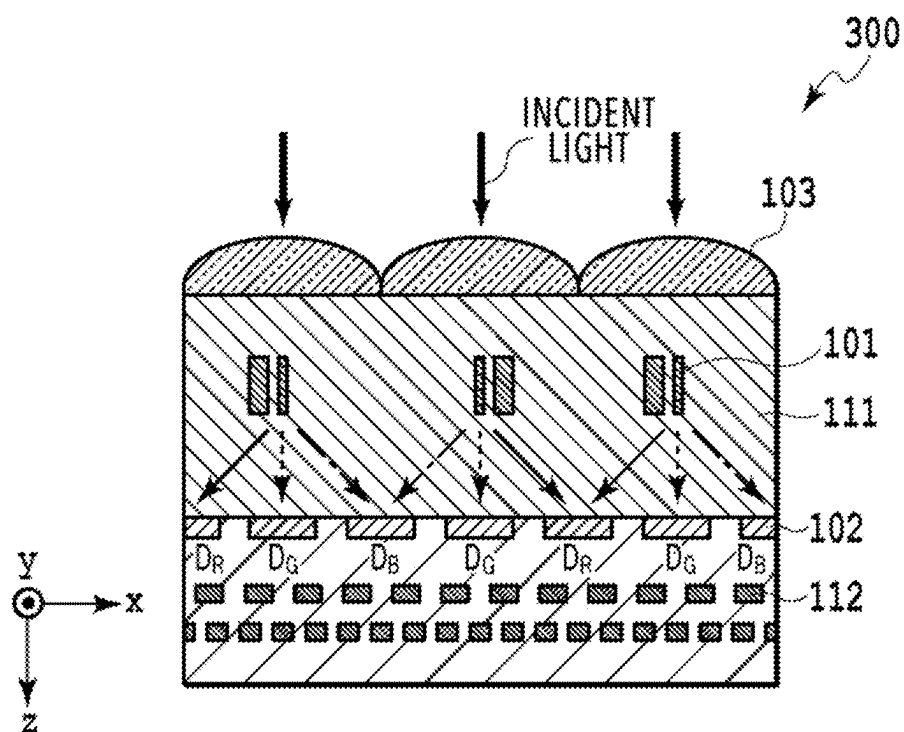
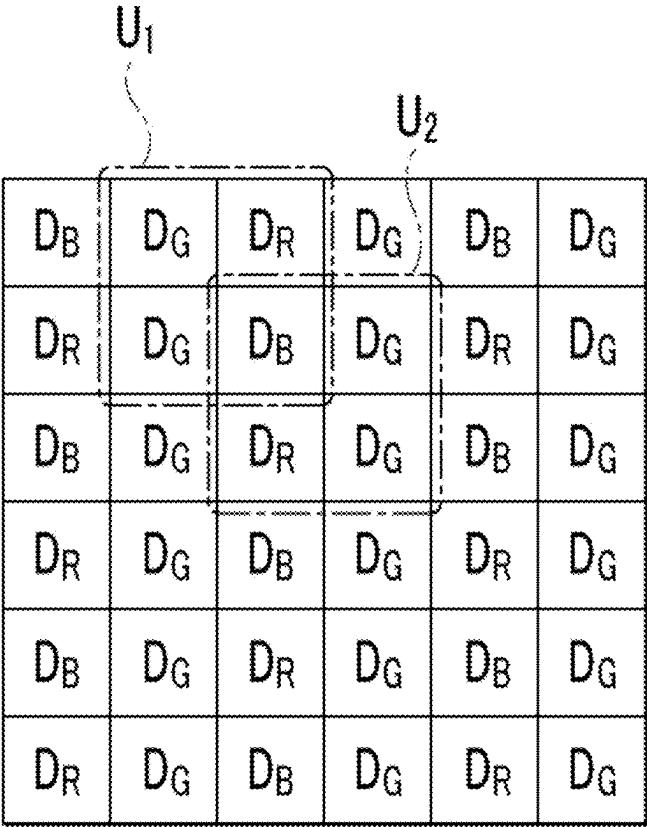


FIG. 12B



**FIG.13**

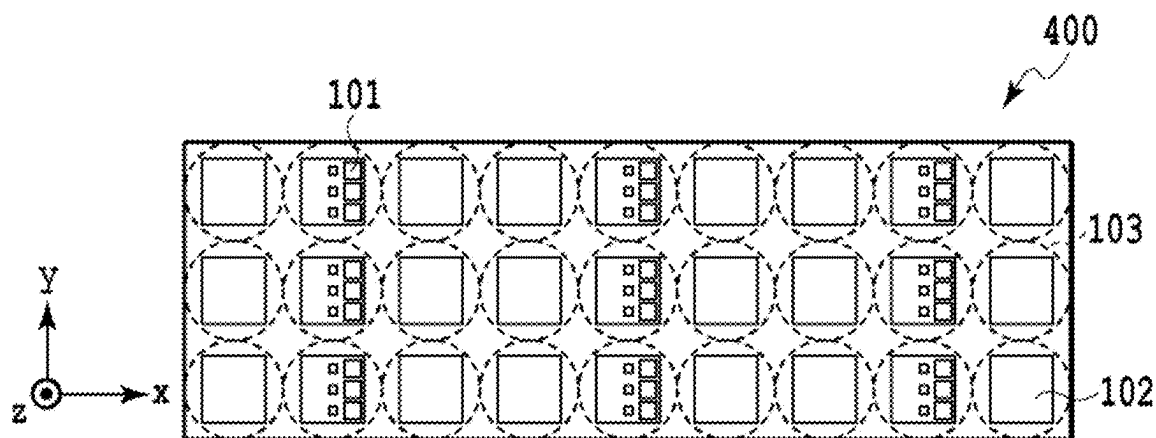


FIG. 14A

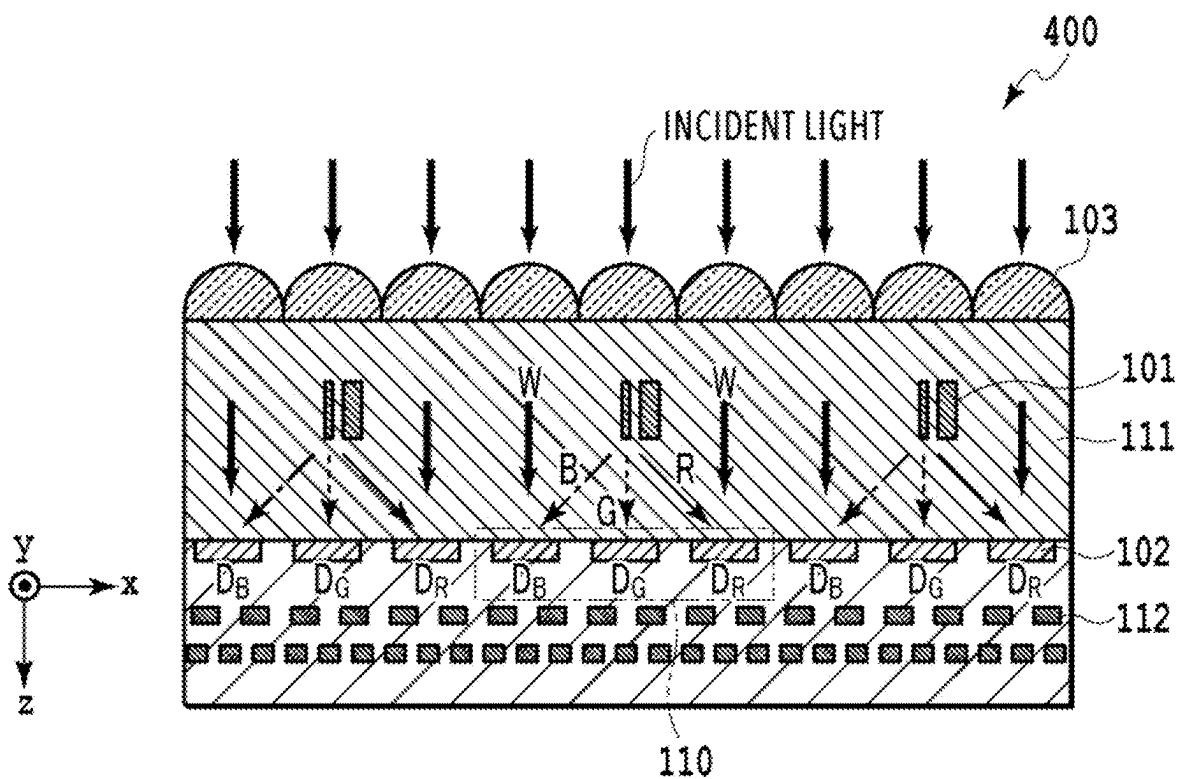
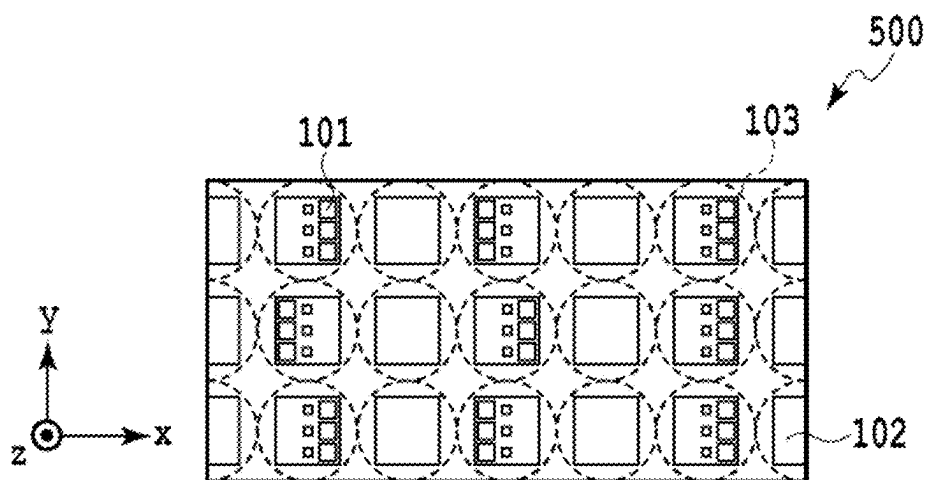
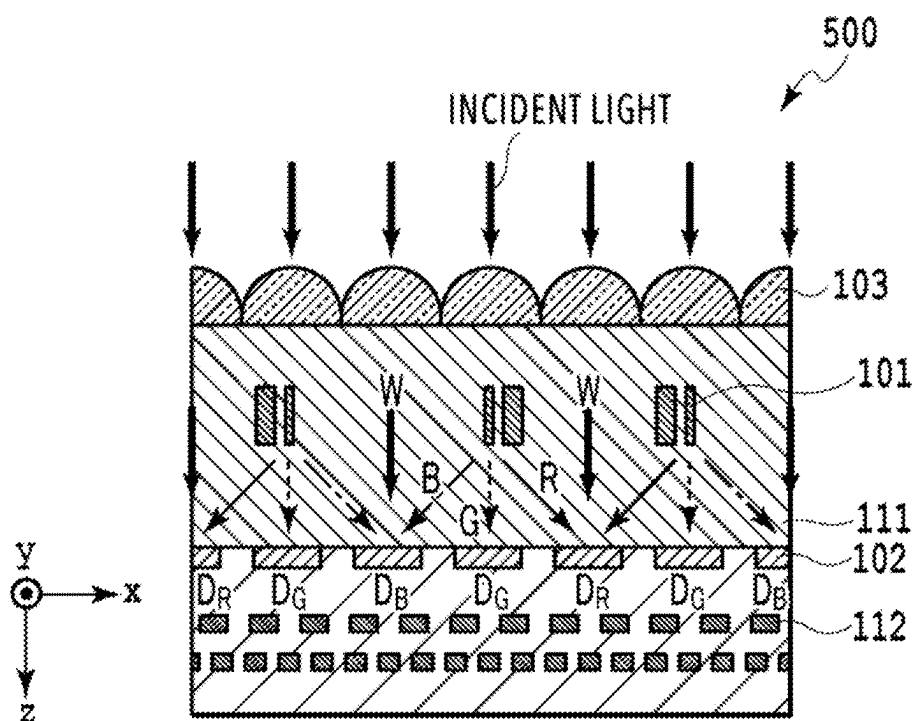


FIG. 14B



**FIG. 15A**



**FIG. 15B**



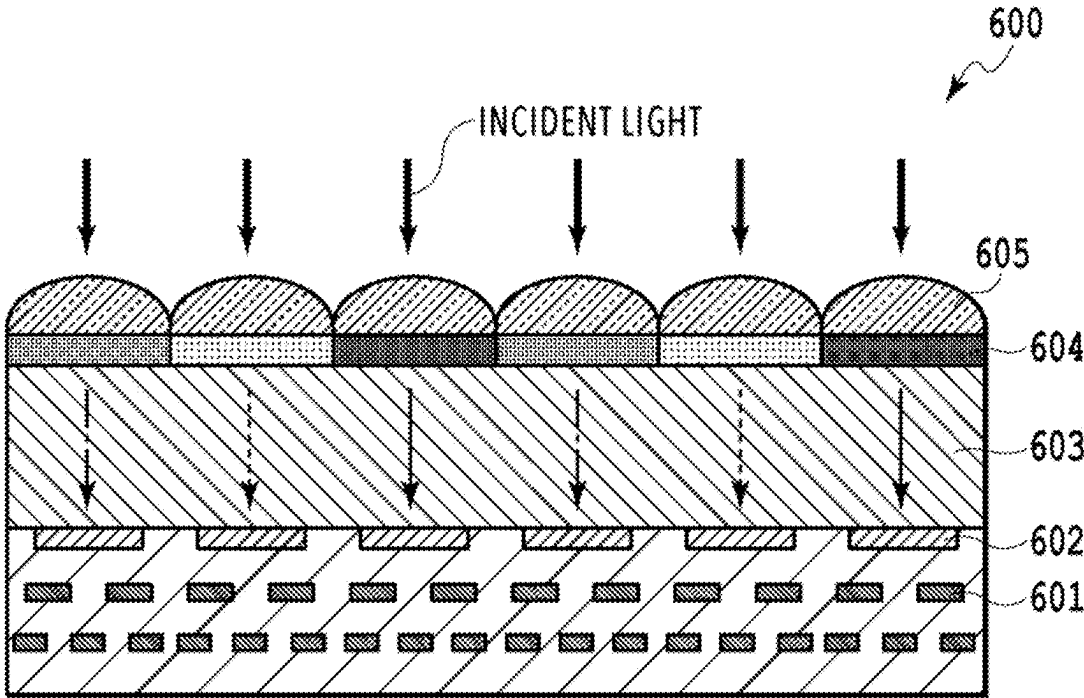
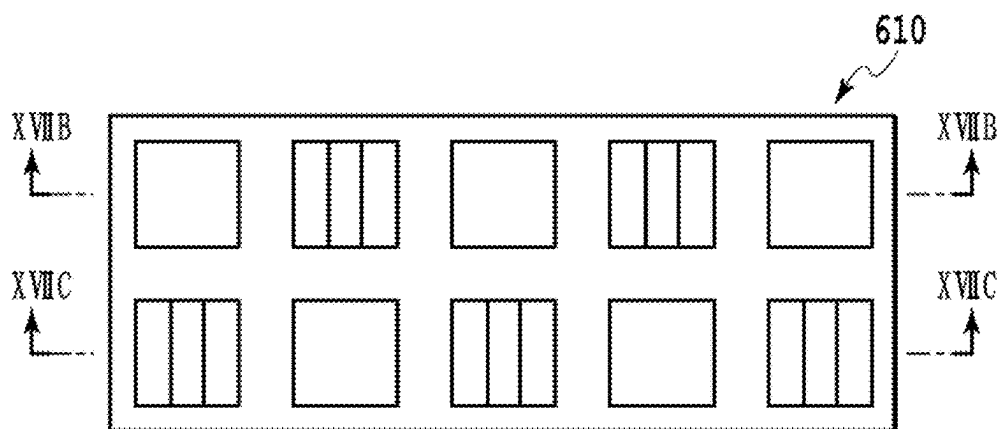
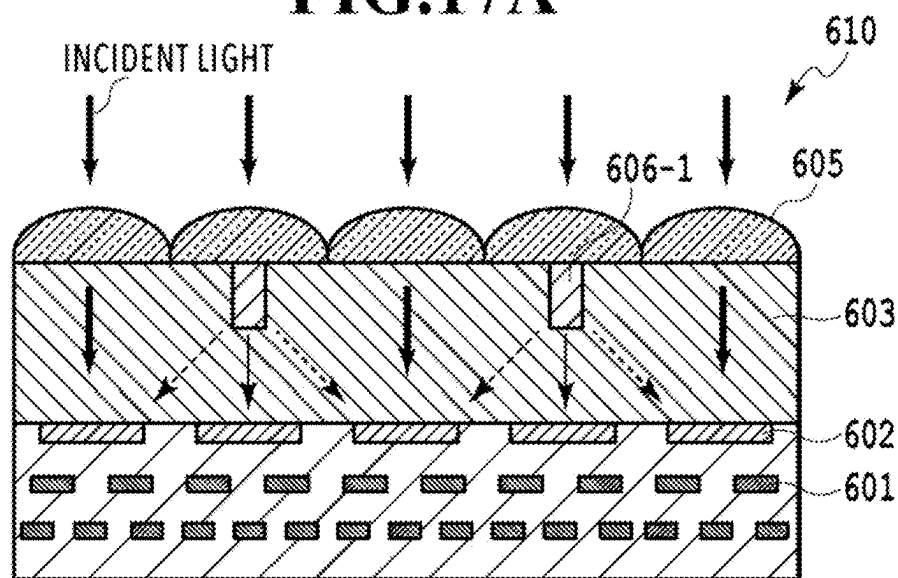


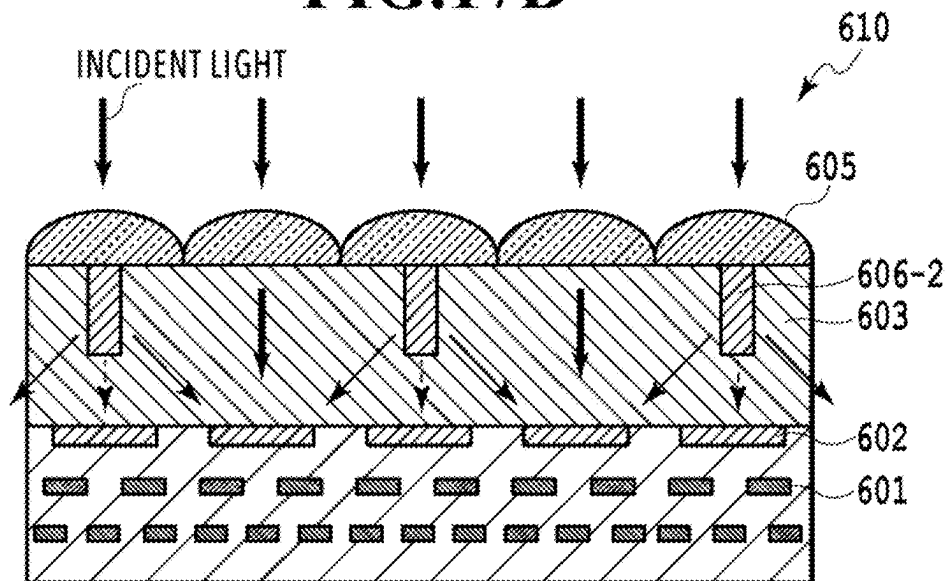
FIG.16



**FIG. 17A**



**FIG. 17B**



**FIG. 17C**

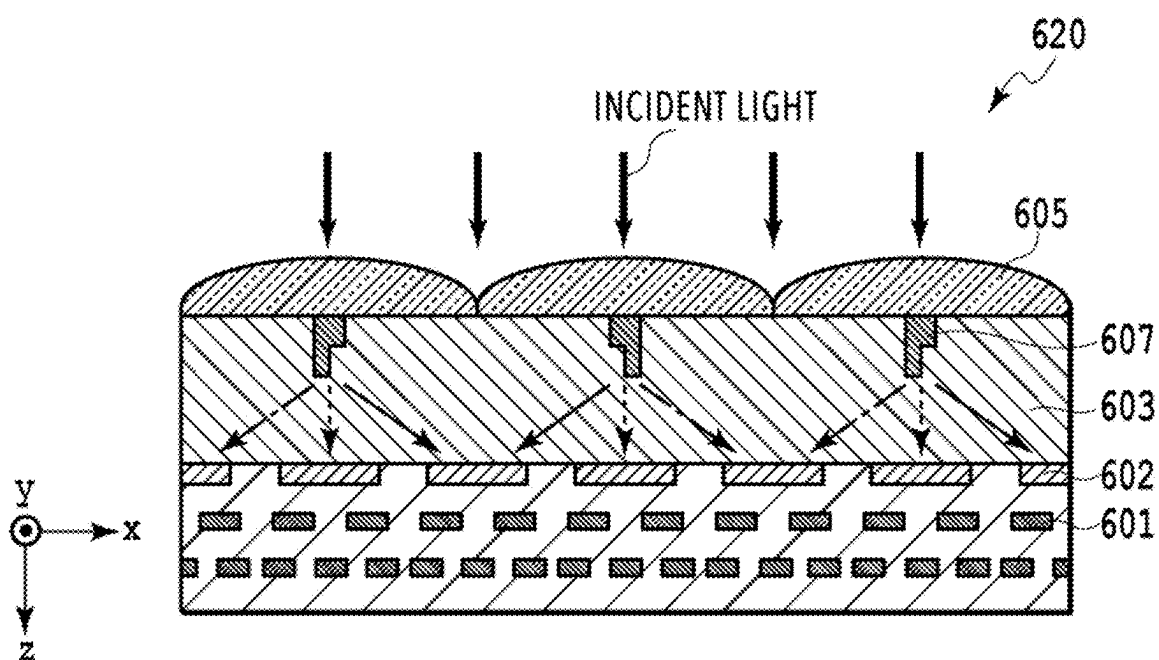


FIG.18

## IMAGE-CAPTURE ELEMENT AND IMAGE CAPTURE DEVICE

### TECHNICAL FIELD

[0001] The present invention relates to a image-capture element and an image capture device including the image-capture element.

### BACKGROUND ART

[0002] In general, in an image capture element including a photoelectric conversion element such as a CCD (Charge Coupled Device) sensor or a COMS (Complementary Metal Oxide Semiconductor) sensor, it is required to perform color separation of incident light in order to obtain color information on an imaging subject.

[0003] FIG. 16 shows a sectional view of a conventional color image-capture element. In a conventional color image-capture element 600, a photoelectric conversion element 602 is disposed on an electric wiring 601, and a color subtractive-type color filter 604 made of an organic material or an inorganic multilayer film material is disposed to face each pixel including the photoelectric conversion element 602. A micro-lens 605 is disposed on the color filter 604.

[0004] When light enters from the micro-lens 605, the color filter 604 is used to transmit light only in a desired wavelength band but to absorb or reflect light in an unnecessary wavelength band. From three photoelectric conversion elements 602 corresponding to red (R), green (G), and blue (B), each signal is thereby acquired for each pixel, and a color two-dimensional image can be generated.

[0005] However, in the general color image-capture element 600 as described above, there is a problem in that if the ratio of RGB of incident light is 1:1:1, the total quantity of light after passing through the color filters 604 inevitably becomes about  $\frac{1}{3}$ . The remaining lost light constitutes loss due to absorption or reflection, and cannot reach the photoelectric conversion element 602. Consequently, the light utilization efficiency of incident light is about 30% at the maximum and the sensitivity of the image capture element is greatly limited. In recent years when pixel miniaturization has been progressing, the quantity of light received by one pixel has decreased, and solving the above-described problem is desired.

[0006] For this reason, it has been proposed to form a color image-capture element by using a spectroscopic element such as a micro-prism or a dichroic mirror capable of branching incident light according to a wavelength band instead of the color filter 604. By such an approach, in principle, the loss of incident light is greatly reduced, and the light utilization efficiency can be greatly improved. However, in recent years when pixel miniaturization has been progressing, it is difficult to integrate elements as described above on the photoelectric conversion element.

[0007] Therefore, in recent years, it has been proposed to form a color image-capture element by using a spectroscopic element composed of a microstructure that is relatively easy to integrate on the photoelectric conversion element. Non Patent Literature 1 proposes a method of using two types of microstructures capable of separating incident light into two wavelength ranges, thereby eliminating light loss in color separation in principle, and improving light utilization efficiency.

[0008] FIG. 17A shows a top view of a color image-capture element using a conventional spectroscopic element, FIG. 17B shows a sectional view along XVIIb-XVIIb thereof, and FIG. 17C shows sectional view along XVIIc-XVIIc thereof. As shown in the FIGS. 17A-C, a color image-capture element 610 separates incident light into light traveling straight and deflecting light according to wavelength ranges by micro-beam structures 606-1 and 606-2 disposed correspondingly to a pixel 602 instead of the color filter 604. This is because inside the micro-beam structures and around them, phase delay effects perceived by the incident light are greatly different in one wavelength range and almost equal in the other wavelength range.

[0009] Therefore, by alternately arranging two types of micro-beam structures 606-1 and 606-2 having different structure thickness for each row on a two-dimensional pixel array, four photoelectric conversion elements 602 adjacent to each other are enabled to receive light having wavelength components different from each other. As a result, color information can be generated by matrix calculation using a photoelectric conversion signal output from each photoelectric conversion element 602.

[0010] Furthermore, at the same time, Non Patent Literature 1 also proposes a color image-capture element 620 that improves optical utilization efficiency by arranging a step-shaped microstructure 607 capable of separating incident light into three wavelength ranges above the pixels 602 as shown in FIG. 18. Since this method can cause the separated lights in the three wavelength ranges to respectively enter the three adjacent photoelectric conversion elements 602 in addition to color information generation by matrix calculation as described above, it is considered that color information can be directly generated using the photoelectric conversion signal output from each photoelectric conversion element 602.

### CITATION LIST

#### Non Patent Literature

[0011] [Non Patent Literature 1] Seiji Nishiwaki, Tatsuya Nakamura, Masao Hiramoto, Toshiya Fujii and Masa-aki Suzuki, "Efficient colour splitters for high-pixel-density image sensors," Nature Photonics, Vol. 7, March 2013, pp. 240-246

### SUMMARY OF INVENTION

#### Technical Problem

[0012] However, the techniques disclosed in Non Patent Literature 1 have practical problems.

[0013] First, in the method of using the microstructures 606-1 and 606-2 that separate incident light into two wavelength ranges, since structure heights of the two types of microstructures 606-1 and 606-2 are different from each other, costs in a manufacturing process increase. In addition, since the shapes of the microstructures 606-1 and 606-2 are beam structures having a long axis, there is a problem in that a phase delay effect perceived by the light differs depending on the polarization direction of the incident light and a color separation function has polarization dependency. Furthermore, since signal processing is performed from light intensity data separated into two sets of two wavelength ranges and RGB information is restored, there is a concern about color reproducibility.

[0014] On the other hand, according to the method of using the step-shaped microstructure 607 that separates incident light into three wavelength ranges, a color image with a high light utilization ratio and good color reproducibility can be certainly obtained theoretically, but it is difficult to manufacture a microstructure 607 having ideal spectral characteristics. The step-shaped microstructure 607 disclosed requires highly accurate positioning techniques in lithography processes in addition to requiring multiple times of lithography and etching processes, causing a problem of an increase in manufacturing costs. Since the shape of the microstructure 607 is a beam structure having a long axis in the same manner as the microstructures 606-1 and 606-2 that separate incident light into two wavelength ranges, there is a problem in that a color separation function has polarization dependency.

[0015] The present invention has been made in view of the above problems, and an object of the present invention is to provide a highly-sensitive color image-capture element and an image capture device that can be simply manufactured, have little polarization dependency, and have micro-spectroscopic elements capable of separating incident light into three wavelength ranges integrated facing a two-dimensional pixel array.

#### Solution to Problem

[0016] In order to solve the above problems, one aspect of the present invention is a color image-capture element that includes a two-dimensional pixel array in which a plurality of pixels each including a photoelectric conversion element are disposed on a substrate in a two-dimensional array, a transparent layer formed on the two-dimensional pixel array, and a two-dimensional spectroscopic element array in which a plurality of spectroscopic elements are disposed inside or on the transparent layer in a two-dimensional array, wherein each of the spectroscopic elements includes a set of microstructures composed of a plurality of microstructures formed of a material having a higher refractive index than a refractive index of the transparent layer, wherein the set of microstructures is composed of the plurality of microstructures that have equal length in a direction perpendicular to the two-dimensional pixel array but have a different shape in a horizontal direction with respect to the two-dimensional pixel array, and are disposed at intervals equal to or shorter than a wavelength of incident light, wherein at least part of light incident on the spectroscopic elements is separated into first to third deflected lights that have different propagation directions according to their respective wavelengths, and the lights are emitted from the spectroscopic elements, and enter the three respective pixels disposed consecutively in one direction of the two-dimensional pixel array.

[0017] In another aspect of the present invention, each of the microstructures is a columnar structure, bottom and top surfaces of which structure have a shape of a four-fold rotational symmetry with a center as an axis of symmetry.

[0018] In another aspect of the present invention, the first to third deflected lights are incident on first to third photoelectric conversion elements of adjacent three consecutive pixels, respectively.

[0019] In another aspect of the present invention, when incident light is white light, light incident on the first photoelectric conversion element has a light intensity peak in a blue wavelength region of 500 nm or less, light incident on the second photoelectric conversion element has a light

intensity peak in a green wavelength region from 500 nm to 600 nm, and light incident on the third photoelectric conversion element has a light intensity peak in a red wavelength region of 600 nm or more.

[0020] In another aspect of the present invention, a shape of the set of microstructures is identical in all the spectroscopic elements included in the two-dimensional spectroscopic element array.

[0021] In another aspect of the present invention, a direction of the set of microstructures of the adjacent spectroscopic elements disposed along a first direction of the two-dimensional spectroscopic element array is alternately reversed, the adjacent three consecutive pixels are disposed along the first direction, and on the two pixels on outer sides among the three consecutive pixels adjacent along the first direction, any of the first to third deflected lights from the two spectroscopic elements adjacent along the first direction is incident.

[0022] In another aspect of the present invention, between the two-dimensional pixel array and the two-dimensional spectroscopic element array, a color filter array is further included in which color filters of at least one of the following types are disposed in an array: a first color filter having a transmittance peak in a blue wavelength region of 500 nm or less; a second color filter having a transmittance peak in a green wavelength region from 500 nm to 600 nm; and a third color filter having a transmittance peak in a red wavelength region of 600 nm or more.

[0023] In another aspect of the present invention, an image capture device includes the color image-capture element of one aspect of the present invention, an image capture optical system for forming an optical image on an image capture surface of the color image-capture element, and a signal processing unit that processes an electric signal output by the color image-capture element.

#### Advantageous Effects of Invention

[0024] According to the present invention, it is possible to manufacture a color image-capture element and an image capture device having a high light utilization ratio more simply than before by using micro-spectroscopic elements that can be simply manufactured, have little polarization dependency, and can separate incident light into three wavelength ranges.

#### BRIEF DESCRIPTION OF DRAWINGS

[0025] FIG. 1 is a side view showing a schematic configuration of an image capture device of the present invention.

[0026] FIG. 2 is a diagram schematically showing a part of a cross section of a pixel array and a spectroscopic element array in an image capture element according to Embodiment 1 of the present invention.

[0027] FIG. 3A shows a top view of a schematic configuration of a part of the image capture element according to Embodiment 1 of the present invention, and

[0028] FIG. 3B shows a sectional view thereof.

[0029] FIG. 4A shows a top view of a columnar structure included in a micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention, and

[0030] FIG. 4B shows a sectional view thereof.

[0031] FIG. 5A shows a sectional view of an example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention, and

[0032] FIG. 5B shows a diagram showing phase delay distribution of three wavelengths separated by the micro-spectroscopic element.

[0033] FIG. 6A shows a top view of an example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention, and

[0034] FIG. 6B shows a sectional view thereof.

[0035] FIG. 7A shows a diagram showing wavelength dependency of efficiency of propagation in three directions separated at an emission end of the micro-spectroscopic element when parallel light having vertical polarization is entered from a top surface of the columnar structure in the image capture element according to Embodiment 1 of the present invention, and

[0036] FIG. 7B shows a diagram showing wavelength dependency of efficiency of propagation in three directions separated at the emission end of the micro-spectroscopic element when parallel light having horizontal polarization is entered from the top surface of the columnar structure.

[0037] FIG. 8A shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0038] FIG. 8B shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0039] FIG. 8C shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0040] FIG. 8D shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0041] FIG. 8E shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0042] FIG. 8F shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0043] FIG. 8G shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention, and

[0044] FIG. 8H shows a structure pattern example of the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention,

[0045] FIG. 9A shows a diagram schematically representing an arrangement of pixels corresponding to color components of the image capture element according to Embodiment 1 of the present invention,

[0046] FIG. 9B shows a diagram schematically representing an arrangement of pixels corresponding to color components of the image capture element according to Embodiment 1 of the present invention, and

[0047] FIG. 9C shows a diagram schematically representing an arrangement of pixels corresponding to color components of the image capture element according to Embodiment 1 of the present invention.,

[0048] FIG. 10A shows a diagram schematically representing an arrangement of pixels corresponding to color components of the image capture element according to Embodiment 1 of the present invention,

[0049] FIG. 10B shows a diagram schematically representing an arrangement of pixels corresponding to color components of the image capture element according to Embodiment 1 of the present invention, and

[0050] FIG. 10C shows a diagram schematically representing an arrangement of pixels corresponding to color components of the image capture element according to Embodiment 1 of the present invention.

[0051] FIG. 11 shows a sectional view showing a schematic configuration of an image capture element which is a modified example of Embodiment 1 of the present invention.

[0052] FIG. 12A shows a top view of a schematic configuration of a part of an image capture element according to Embodiment 2 of the present invention, and

[0053] FIG. 12B shows a sectional view thereof.

[0054] FIG. 13 is a diagram schematically representing pixel arrangement of the image capture element according to Embodiment 2 of the present invention.

[0055] FIG. 14A shows a top view of a schematic configuration of a part of an image capture element according to Embodiment 3 of the present invention, and

[0056] FIG. 14B shows a sectional view thereof.

[0057] FIG. 15A shows a top view of a schematic configuration of a part of an image capture element according to Embodiment 4 of the present invention, and

[0058] FIG. 15B shows a sectional view thereof.

[0059] FIG. 16 is a sectional view of a conventional color image-capture element.

[0060] FIG. 17A shows a top view of a color image-capture element using a conventional spectroscopic element,

[0061] FIG. 17B shows a sectional view along XVIIb-XVIIb thereof, and

[0062] FIG. 17C shows a sectional view along XVIIc-XVIIc thereof.

[0063] FIG. 18 is a sectional view of another color image-capture element using the conventional spectroscopic element.

## DESCRIPTION OF EMBODIMENTS

[0064] Hereinafter, embodiments of the present invention will be described in detail with reference to the drawings. However, the following embodiments are merely examples, and it goes without saying that the present invention is not limited to these embodiments.

[0065] FIG. 1 is a side view showing a schematic configuration of an image capture device of the present invention. An image capture device 10 includes a lens optical system 11, an image capture element 12 including a photoelectric conversion element such as a CCD or a CMOS, and a signal processing unit 13 that processes a photoelectric conversion signal output from the image capture element 12 to generate an image signal.

[0066] Light such as natural light or illumination light enters an object 1, and light that has passed through/is reflected by/is scattered by the object, or light generated by the object 1 forms an optical image on the image capture element 12 by the lens optical system 11. Although generally, the lens optical system 11 includes a lens group composed of a plurality of lenses disposed along an optical axis in order to correct various optical aberrations, FIG. 1 shows it as a single lens by simplifying the drawing. In

addition, the signal processing unit **13** includes an image signal output that outputs the generated image signal to the outside.

[0067] The image capture device **10** of the present invention can include known components such as an optical filter for infrared cut, an electronic shutter, a view finder, a power supply (battery), and a flash light, but descriptions of those are not particularly necessary for understanding the present invention and will be omitted. In addition, the above configuration is merely an example, and in the present invention, known elements can be appropriately combined and used for components excluding the lens optical system **11**, image capture element **12**, and signal processing unit **13**.

[0068] An outline of the image capture element **12** in the embodiments of the present invention will be described before describing the specific embodiments of the present invention.

[0069] The image capture element **12** by the embodiments of the present invention includes a pixel array in which a plurality of cells (pixels) **102** each including a photoelectric conversion element are two-dimensionally disposed and a spectroscopic element array in which a plurality of micro-spectroscopic elements **101** are two-dimensionally disposed. FIG. **2** is a diagram schematically showing a part of a cross section of a pixel array and a spectroscopic element array in an image capture element according to Embodiment 1 of the present invention. The spectroscopic element array faces the pixel array and is disposed on a side where light from the lens optical system enters. Each micro-spectroscopic element **101** includes a plurality of columnar structures having constant thickness. Note that although the micro-spectroscopic element **101** is represented by four columnar structures for the sake of convenience, the number, interval, arrangement pattern are not limited, and various arrangement forms can be taken.

[0070] A visible light component included in light incident on the image capture element **12** is classified into a first color component, a second color component, and a third color component for each wavelength range. Note that combination of the first to third color components is generally a combination of the three primary colors of red (R), green (G), and blue (B), but is not limited to this as long as the light is divided into three wavelength regions.

[0071] The micro-spectroscopic element **101** according to the embodiments of the present invention has a function that changes a propagation direction of incident light according to the above-described first to third color components by using a phase delay effect and its structure and dimension dependency and wavelength dependency described later, and thereby spatially separates the light above the pixel array. That is, in the embodiments of the present invention, at least part of light incident on the image capture element changes the propagation directions according to the color components with the micro-spectroscopic element **101** and enters the plurality of pixels **102**. Therefore, by appropriately setting the distance between the micro-spectroscopic element **101** and pixels **102**, the lights separated into the three wavelength regions can be received by the pixels **102** different from each other.

[0072] When light enters the pixel **102**, an electric signal (photoelectric conversion signal) corresponding to the intensity of the incident light is output by the photoelectric conversion element, so that a signal (color information) corresponding to a color component can be obtained directly

or by using signal calculation. Since the micro-spectroscopic elements **101** described above and the plurality of pixels **102** corresponding to the micro-spectroscopic elements **101** are two-dimensionally disposed, color information on an optical image of the object formed by the lens optical system **11** can be obtained.

[0073] Regarding Embodiment 1 and Embodiment 2 described later, since almost all of incident light passes through any of the micro-spectroscopic elements **101** constituting the spectroscopic element array by using a micro-lens array, almost all of the incident light enters the pixel array in the state of being separated into three wavelength regions. Therefore, the color information can be obtained directly or by using simple calculation from the photoelectric conversion signal.

[0074] Regarding Embodiment 3 and Embodiment 4 described later, since a part of incident light passes through the micro-spectroscopic elements **101** constituting the spectroscopic element array, a part of the incident light enters the pixel array in the state of being separated into three wavelength regions. Therefore, a part of the pixels **102** outputs a photoelectric conversion signal corresponding to the combined light intensity of light in the state of being separated into three wavelength regions and light in the state of being not separated. The color information can be obtained by using appropriate matrix calculation described later for the output photoelectric conversion signal.

[0075] According to the image capture element **12** according to the embodiments of the present invention, it is possible to obtain the color information by low loss light separation into three colors by using the micro-spectroscopic elements **101** without using color subtractive-type color filters. Therefore, as compared with an image capture element using color filters, it is possible to increase the total quantity of light reaching the pixel array and increase image capturing sensitivity. Furthermore, since the micro-spectroscopic element **101** includes structures having constant thickness that is simple to manufacture and polarization dependency does not occur due to symmetry of the structures' top and bottom surfaces, it is possible to solve the problem in that the color separation function of the conventional techniques disclosed in Non Patent Literature 1 has polarization dependency.

[0076] Hereinafter, the more specific embodiments of the present invention will be described with reference to the drawings.

#### Embodiment 1

[0077] Hereinafter, an outline of a configuration of an image capture element according to Embodiment 1 will be described

[0078] FIG. **3A** is a top view of a schematic configuration of a part of the image capture element according to Embodiment 1 of the present invention, and FIG. **3B** is a sectional view thereof. An image capture element **100** according to Embodiment 1 has a transparent layer **111** having a low refractive index made of SiO<sub>2</sub> or the like and a plurality of micro-lenses **103** laminated on a two-dimensional pixel array in which pixels **102** each including a photoelectric conversion element are disposed in an array. Inside the transparent layer **111** having the low refractive index, micro-spectroscopic elements **101** each including a plurality of microstructures having constant thickness (length in a direction perpendicular to the two-dimensional pixel array)

formed of a material such as SiN having a higher refractive index than that of the transparent layer **111** are embedded. For the sake of convenience, in the following description, an xyz orthogonal coordinate system is set in which the normal direction of the two-dimensional pixel array is the z axis, a direction which is parallel to the two-dimensional pixel array and in which three pixels **102** constituting a pixel unit **110** are aligned is the x axis, and a direction parallel to the two-dimensional pixel array and orthogonal to the x axis is the y axis.

[0079] As shown in the FIGS. 3A and 3B, the micro-lenses **103**, micro-spectroscopic elements **101**, and the pixels **102** are disposed in a lattice shape on the xy plane, and one micro-spectroscopic element **101** is disposed on the central axis of each micro-lens **103**. Assuming that three pixels adjacent in the x-axis direction are defined as one pixel unit **110**, each micro-lens **103** adjacent in the x-axis direction has a one-to-one correspondence to the pixel unit **110**, and the central axis of each micro-lens **103** passes through substantially the center of the central pixel **102** of the corresponding pixel unit. That is, one micro-lens **103** and one micro-spectroscopic element **101** correspond to the three pixels **102** adjacent in the x-axis direction, and assuming that the micro-lens **103**, micro-spectroscopic element **101**, and pixel unit **110** are defined as one image capture element unit, the image capture element units are disposed in a lattice shape on the xy plane.

[0080] In the above description, the case of the two-dimensional pixel array disposed in an orthogonal lattice shape is described as an example, the arrangement, shape, size, and the like of the pixels **102** are not limited to the example of FIGS. 3A and 3B, and they may be any known arrangement, shape, and size. Although omitted in FIGS. 3A and 3B, between the two-dimensional pixel array and micro-structures, a concavo-convex structure having a high refractive index made of SiN or the like can be included that operates as an inner micro-lens and works to lead light from the micro-spectroscopic element **101** to the photoelectric conversion element in the pixel **102**. The structure shown in FIGS. 3A and 3B can be manufactured by known semiconductor manufacturing techniques.

[0081] The image capture element **100** shown in FIGS. 3A and 3B has a back-illuminated structure that receives light from the opposite side of a wiring layer **112**, but in the embodiment, it is not limited to such a structure, and may have a front-illuminated structure that receives light from the side of the wiring layer **112**.

[0082] Hereinafter, functions of each component of the image capture element **100** according to the embodiment will be described.

[0083] White light incident on the image capture element **100** is first condensed by the micro-lens array, and almost all the light passes through the micro-spectroscopic elements **101** corresponding to the respective micro-lenses **103**. The light is spatially separated into three wavelength regions in the xz plane by the micro-spectroscopic elements **101**, and is received by the three pixels **102** immediately under each micro-spectroscopic element **101**. In an example shown in FIG. 3B, since light (R) of the first color component propagates to a first direction (right), light (G) of the second color component propagates to a second direction (straight forward), and light (B) of the third color component propagates to a third direction (left) by each micro-spectroscopic element **101**, the three pixels **102**  $D_R$  (right),  $D_G$  (center), and

$D_B$  (left) immediately under each micro-spectroscopic element **101** correspond to detection of color information on R, G, and B, respectively.

[0084] The above is an example, and depending on the configuration of each micro-spectroscopic element **101**, a combination of color components and propagation directions can be freely changed, and accordingly the pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  corresponding to the respective RGB are also changed.

[0085] When such lights spatially separated into three wavelength regions are received by the respective three pixels **102**, photoelectric conversion is performed by the photoelectric conversion element inside each pixel **102**, and an image signal including color information is output.

[0086] Note that widths  $w_{d1}$ ,  $w_{d2}$ , and  $w_{d3}$  in the x-axis direction of the three pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  immediately under each micro-spectroscopic element **101** may be the same or different. In addition, accordingly width  $w_{lx}$  in the x-axis direction and width  $w_{ly}$  in the y-axis direction of the micro-lens **103** may be the same or different. In the example of FIGS. 3A and 3B, the widths  $w_{d1}$ ,  $w_{d2}$ , and  $w_{d3}$  in the x-axis direction of the three pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  are the same, but the widths  $w_{lx}$  and  $w_{ly}$  of the micro-lens **103** are different.

[0087] Although a concavo-convex structure that has a high refractive index made of SiN or the like and operates as an inner micro-lens can be included between the pixel unit **110** and micro-spectroscopic element **101**, since a micro-spectroscopic element **101** described later can have a lens function depending on phase delay distribution to be formed, it is also possible to omit the inner micro-lens.

[0088] Hereinafter, the micro-spectroscopic element according to the embodiment will be described.

[0089] The micro-spectroscopic element **101** according to Embodiment 1 includes a plurality of minute columnar structures **121**. FIG. 4A is a top view of a columnar structure included in the micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention, and FIG. 4B is a sectional view thereof. The columnar structure **121** is formed of a material such as SiN having a refractive index  $n_1$  higher than a refractive index  $n_0$  of the transparent layer **111**, and thickness  $h$  of the structure is constant.

[0090] The bottom and top surfaces of the columnar structure **121** are square. The columnar structure **121** functions as an optical waveguide that confines light in the structure and propagates it because of refractive index difference from the transparent layer **111**. Consequently, when light enters from the top surface side, the light propagates while being strongly confined within the columnar structure **121**, receives a phase delay effect determined by an effective refractive index  $n_{eff}$  of the optical waveguide, and is output from the bottom surface side. Specifically, when a phase of light that has propagated through the transparent layer **111** by the length corresponding to the thickness of the columnar structure **121** is used as a reference, a phase delay amount  $\phi$  by the columnar structure **121** is represented by:

$$\phi = (n_{eff} - n_0) \times 2\pi h / \lambda \quad (1)$$



where  $\lambda$  denotes a wavelength of light in vacuum. Because this phase delay amount differs by the wavelength  $\lambda$  of light, it is possible to give a different phase delay amount to the light incident on the same columnar structure **121** according to a wavelength region (color component). In addition, since the bottom and top surfaces of the columnar structure **121** are square, optical characteristics including the phase delay effect do not change even if a polarization direction is changed. Furthermore, it is known that  $n_{\text{eff}}$  is a function of structure dimensions, and takes a value of  $n_0 < n_{\text{eff}} < n_1$ . Therefore, in the example shown in FIGS. 4A and 4B, by changing the width  $w$  of the columnar structure **121**, any phase delay amount can be set.

[0091] The sectional view in FIG. 5A is an example of the micro-spectroscopic element **101** according to Embodiment 1 formed by arranging the above-described two columnar structures **121-1** and **121-2** in the x-axis direction. Note that in the y-axis direction, a plurality of sets of the above-described columnar structures **121-1** and **121-2** are disposed at intervals equal to or shorter than the wavelength.

[0092] As shown in FIG. 5A, the widths  $w$  of the columnar structures **121-1** and **121-2** adjacent in the x-axis direction are different. Due to the difference in the widths  $w$ , it becomes possible to give different phase delay distribution to the light that has passed through the micro-spectroscopic element **101** for each wavelength range, and a light wavefront can be changed. Because the propagation direction (deflection direction) of light is determined by the light wavefront, it becomes possible to spatially separate light that has passed through the micro-spectroscopic element **101** according to the wavelength region (color component). That is, the micro-spectroscopic element **101** according to Embodiment 1 gives a different light wavefront according to the wavelength range of the incident light by arranging the plurality of columnar structures **121** and changing the dimension  $w$  of the surfaces orthogonal to the propagation direction of light of the adjacent columnar structures **121-1** and **121-2**, and thereby spatially separates the color component.

[0093] For example, in the case of the structure shown in FIG. 5A, it is possible to give different phase delay distributions according to three wavelengths (for example, wavelengths corresponding to RGB) as shown in FIG. 5B. In this example, the phase delay distribution of the wavelength corresponding to the light (R) of the first color component is along a straight line on which the phase amount linearly increases from 0 to  $+2\pi$ , the phase delay distribution of the wavelength corresponding to the light (G) of the second color component does not spatially change, and the phase delay distribution of the wavelength corresponding to the light (B) of the third color component is along a straight line on which the phase amount linearly decreases from 0 to  $-2\pi$ . In this case, the light that has passed through the micro-spectroscopic element **101** can efficiently propagate such that the light (R) of the first color component, the light (G) of the second color component, and the light (B) of the third color component propagate to the first direction (right), the second direction (straight forward), and the third direction (left), respectively, as shown in FIG. 5A.

[0094] Note that the above description is an example, depending on the dimensions of each columnar structure **121**, a combination of color components and deflection directions can be freely changed. For example, the light (R) of the first color component, the light (G) of the second color

component, and the light (B) of the third color component can efficiently propagate to the second direction (straight forward), the first direction (right), and the third direction (left), respectively.

[0095] A more detailed example of the micro-spectroscopic element **101** according to the embodiment will be described.

[0096] FIG. 6A is a top view of an example of a micro-spectroscopic element in the image capture element according to Embodiment 1 of the present invention, and FIG. 6B is a sectional view thereof. The two columnar structures **121-1** and **121-2** having widths  $w_1$  and  $w_2$  different from each other but constant thickness (length in the direction perpendicular to the two-dimensional pixel array) are disposed in the x-axis direction, three sets of the same columnar structures **121-1** and **121-2** are disposed in the y-axis direction, and those are regarded as one micro-spectroscopic element **101**. Note that a material used to make the columnar structures **121-1** and **121-2** is assumed to be SiN ( $n_1=2.03$ ), a material used to make the transparent layer is assumed to be SiO<sub>2</sub> ( $n_0=1.45$ ), and the case where the bottom and top surfaces are square is shown. The thickness  $h$  of all the columnar structures **121-1** and **121-2** is 1200 nm, the width  $w_1$  of the columnar structure **121-1** on the left side of the pattern is 145 nm, the width  $w_2$  of the columnar structure **121-2** on the right side of the pattern is 340 nm, and intervals  $p$  between the columnar structures **121-1** and **121-2** in the x-axis direction and y-axis direction are 450 nm.

[0097] FIGS. 7A and 7B show wavelength dependency (calculation results based on exact coupled wave theory) of efficiency of propagation (ratio of light intensity in each propagation direction to incident light intensity) in three directions (directions of R, G, and B in FIG. 6B) separated at an emission end of the micro-spectroscopic element **101** when parallel light has entered from the top surfaces of the columnar structures **121-1** and **121-2** in the above-described structure. FIGS. 7A and 7B are results when the light having vertical polarization in FIG. 6A and the light having horizontal polarization in FIG. 6A have entered, respectively. Note that at the time of calculation, although it is assumed that the above-described micro-spectroscopic elements **101** are disposed at intervals of  $P$  ( $P=3p$ ) in the x axis and y-axis directions, it has been confirmed that there is almost no difference from an optical function of a single micro-spectroscopic element **101**. Deflection angles  $\theta_R$ ,  $\theta_G$ , and  $\theta_B$  in the three directions are based on light diffraction, and it is assumed that R:  $\sin \theta_R = \lambda/P$ , G:  $\theta_G = 0$  (straight forward), and B:  $\sin \theta_B = 2/P$ .

[0098] Characteristics shown in FIGS. 7A and 7B correspond to spectral sensitivity characteristics of color filters in a conventional image capture device. Results shown in FIGS. 7A and 7B indicate that efficiency in the first direction (R) has a peak in a red wavelength region of 600 nm or more, efficiency in the second direction (G) has a peak in a green wavelength region of 500-600 nm, and efficiency in the third direction (B) has a peak in a blue wavelength region of 500 nm or less. In addition, a good spectral performance of 40-60% is indicated, and there is no significant polarization dependency in the characteristics. Note that the total of curves R, G, and B, that is, the total transmittance is 95% or more, and light loss due to scattering or reflection hardly occurs.

[0099] The above results indicate that the use of the micro-spectroscopic element **101** according to Embodiment

1 enables highly efficient spatial separation of color components. Furthermore, in the above-described example, the size of the single micro-spectroscopic element **101** is 1.35  $\mu\text{m}$  square and is equivalent to the minimum pixel size of general CCD and CMOS sensors. Therefore, it is possible to form the micro-spectroscopic element **101** corresponding to the pixel unit **110** having the minimum pixel size. Note that the micro-spectroscopic elements **101** having different sizes can be formed depending on the size, number, and arrangement pattern of the columnar structures **121**.

[0100] Desired spectral characteristics can be given by appropriately designing the material, number, shape, size, arrangement pattern, and the like of the columnar structures **121** included in the micro-spectroscopic element **101**. As a result, as described above, only light in a desired wavelength region can be separated and incident on an individual photoelectric conversion element, and a signal corresponding to a color component can be obtained from a photoelectric conversion signal output from each photoelectric conversion element.

[0101] As described above, since light loss by the micro-spectroscopic element **101** hardly occurs, as compared with an image capture element using color filters of the prior art, the total quantity of light reaching the pixel array can be significantly increased and image capturing sensitivity can be increased. Note that even if the spectral performance of each micro-spectroscopic element **101** is slightly different from the ideal performance described above, good color information can be obtained by correcting and calculating an obtained signal according to the degree of the difference in performance.

[0102] In the above-described arrangement of each columnar structure **121**, it is desirable to arrange it at intervals equal to or less than the wavelength of light in order to prevent unnecessary diffracted light from being generated due to the periodic structure.

[0103] In the above-described example, the case where the bottom and top surfaces of the columnar structure are square has been described, but the present invention is not limited to this shape. That is, as long as the shape surface has a four-fold rotational symmetry with the axis passing through the centers of the bottom and top surfaces as the symmetry axis, the dependence of the spectroscopic function on polarization does not occur, and the operation as an optical waveguide that provides a phase delay effect is not lost. Therefore, it is desirable to adopt a columnar structure having a surface with four-fold rotational symmetry such as a square, a hollow square, a circle, a hollow circle, and a cross shape as shown in FIGS. **8A**, **8B**, **8C**, **8D**, **8E**, **8F**, **8G** and **8H**.

[0104] In order for each light space distribution above the pixel unit **110** to be sufficiently separated after separation of color components by the micro-spectroscopic element **101**, an interval between an output end of the micro-spectroscopic element **101** and the photoelectric conversion element of the pixel **102** is preferably 1  $\mu\text{m}$  or more. On the other hand, in order to reduce the thickness of the image capture element **100** and to save material costs and process time, the above-described interval between the output end of the micro-spectroscopic element **101** and the photoelectric conversion element of the pixel **102** is preferably as short as possible.

[0105] In this case, since the light spatial distributions above the pixel unit **110** need to be clearly separated from

each other according to color components in a short propagation distance, it is preferable to increase a deflecting (bending) angle by largely tilting the light wavefront by the micro-spectroscopic element **101**. In order to increase the deflecting angle, since a shape in which the phase delay distribution in each wavelength region formed by the micro-spectroscopic element **101** varies from 0 to  $2\pi$  is suitable, it is preferable that a variable range of the phase delay amount by the columnar structure **121** is  $2\pi$  or more in each wavelength region. Therefore, from Formula (1), assuming that a desired central wavelength in a wavelength region on the longest wavelength side of the wavelength region to be separated is  $\lambda_r$ , it is desirable that the thickness  $h$  of the columnar structure **121** is set in the vicinity of  $h = \lambda_r / (n_1 - n_0)$ .

[0106] The micro-spectroscopic element **101** having the spectroscopic function as above can be manufactured by performing thin film deposition and patterning by known semiconductor manufacturing techniques. Since the micro-spectroscopic element **101** of Embodiment 1 includes a plurality of columnar structures **121** having constant thickness, the micro-spectroscopic element **101** can be easily manufactured at low cost compared with the stepped structure disclosed in Non Patent Literature 1 or the like.

[0107] Hereinafter, arrangement of the micro-optical element and pixel in the image capture element of the embodiment will be described.

[0108] In the example shown in FIGS. **3A** and **3B**, a row of the micro-spectroscopic elements **101** disposed along the x-axis direction is repeatedly disposed along the y-axis direction without shifting in the x-axis direction, and as a result, a pattern of the micro-spectroscopic elements **101** is consecutively disposed along the y-axis direction. In this case, in the x-axis direction, the three pixels **102**  $D_B$ ,  $D_G$ , and  $D_R$  corresponding to the color components immediately under each micro-spectroscopic element **101** are arranged in this order from the left, and this arrangement is repeatedly disposed.

[0109] Furthermore, similarly for the pixel **102**, a row of the pixels **102** disposed along the x-axis direction is repeatedly disposed along the y-axis direction without shifting in the x-axis direction, and as a result, the three pixels **102**  $D_B$ ,  $D_G$ , and  $D_R$  are consecutively disposed along the y-axis direction.

[0110] FIGS. **9A**, **9B** and **9C** schematically show pixel arrangement corresponding to color components of the image capture element according to Embodiment 1 of the present invention. Defining the three pixels **102**  $D_B$ ,  $D_G$ , and  $D_R$  adjacent in the x-axis direction as one color pixel unit  $U$ , a color pixel unit  $U_1$  and a color pixel unit  $U_2$  shifted in the x-axis direction by a single pixel with respect to the color pixel unit  $U_1$  shown in FIG. **9A** each always include one pixel each corresponding to R, G, and B. That is, if the color information is obtained while shifting the color pixel unit  $U$  by a single pixel on the xy plane, it is possible to obtain information on the RGB three colors as many as approximately the number of pixels. This means that the resolution of the image capture element can be increased to the number of pixels (equivalent to so-called Bayer arrangement). Therefore, the image capture element of Embodiment 1 can generate color information with high resolution of the single pixel size in addition to high sensitivity.

[0111] Arrangement of the micro-optical element and pixel that achieve the resolution of the single pixel size as described above is not limited to FIG. **9A**, and can be

variously changed. FIGS. 9B and 9C show other examples, in which the rows of the micro-spectroscopic elements **101** and color pixel units U formed along the x-axis direction are sequentially disposed along the y-axis direction while shifting in the x-axis direction by a one-pixel size in FIG. 9B and by a two-pixel size in FIG. 9C. Also for the arrangement like this, color information can be generated with the resolution of the single pixel size in the same manner as FIG. 9A.

[0112] FIGS. 10A, 10B and 10C show arrangement examples different from those shown in FIGS. 9A, 9B, and 9C, and in the configuration, the order of the three pixels **102**  $D_B$ ,  $D_G$ , and  $D_R$  is reversed for each row, and the shift in the x-axis direction is the same as that in FIGS. 9A, 9B and 9C. Also in this case, color information can be generated with the resolution of the single pixel size as in FIG. 9A. In order to reverse the order of the three pixels **102**  $D_B$ ,  $D_G$ , and  $D_R$ , a pattern in which the columnar structures **121-1** and **121-2** of the micro-spectroscopic element **101** described above are horizontally reversed on the x axis may be used.

[0113] The above-described pixel arrangement shown in FIGS. 9A, 9B and 9C and FIGS. 10A, 10B and 10C is an arrangement when it is assumed that, by the function of the micro-spectroscopic element **101** for incident light, the light (R) of the first color component, the light (G) of the second color component, and the light (B) of the third color component propagate to the first direction (right), the second direction (straight forward), and the third direction (left), respectively, and the three pixels **102**  $D_R$  (right),  $D_G$  (center), and  $D_B$  (left) immediately under each micro-spectroscopic element **101** correspond to detection of color information on R, G, and B, respectively. As described above, depending on the configuration of the micro-spectroscopic element **101**, the pixel **102** immediately under the micro-spectroscopic element **101** is changed to a pixel corresponding to any of RGB three colors, but basically the order within the color pixel unit U is only changed. Also in such a case, as long as the arrangement is set according to the arrangement rules of the color pixel unit U shown in FIGS. 9A, 9B, 9C, 10A, 10B and 10C, color information can be generated with the resolution of the single pixel size in the same manner.

[0114] In the above description, the image capture element **100** using only the micro-spectroscopic element **101** has been described. Next, a modified example in which a color subtractive-type color filter is used together will be described.

[0115] FIG. 11 shows a sectional view of a schematic configuration of an image capture element which is a modified example of Embodiment 1 of the present invention. Difference from FIGS. 3A and 3B is that color filters **104** corresponding to respective colors of the pixels **102** are disposed upward of the pixels **102** corresponding to the respective color components, and others are the same. This configuration improves light utilization efficiency and also improves color reproducibility compared with a configuration of only color filters in the prior art.

[0116] For example, from FIGS. 7A and 7B, the spectral efficiency to RGB of the micro-spectroscopic element **101** is assumed to be 40-60%. The transmittance (spectral efficiency) of color filters **104** of RGB in the respective wavelength regions is assumed to be 90%. The incident light is assumed to have intensity of a ratio of RGB 1:1:1. In this case, in a configuration using both micro-spectroscopic element **101** and color filters **104**, since light passes through both and enters the pixel, the total amount of light intensity

reaching the three pixels **102** of RGB becomes 36-54%. Furthermore, the spectral performances of the micro-spectroscopic element **101** and color filters **104** are multiplied and light is incident on each pixel **102** in a state where unnecessary color components are eliminated, so the color reproducibility is greatly improved. On the other hand, in the case of the configuration of only color filters **104**, the total quantity of light intensity reaching the three pixels **102** becomes 30% and the color reproducibility is also worse than that of the configuration using both. Consequently, by using the configuration using both micro-spectroscopic element **101** and color filters **104**, it is expected to improve the sensitivity by 1.2-1.8 times compared to the conventional configuration using only color filters in a state where the color reproducibility is improved. Note that as compared with the configuration using only the micro-spectroscopic elements **101**, the light utilization efficiency reduces, but because the color reproducibility significantly increases, the modified example of Embodiment 1 can be said as a configuration that has a good balance between the light utilization ratio, that is, sensitivity and the color reproducibility.

#### Embodiment 2

[0117] Next, an image capture element according to Embodiment 2 of the present invention will be described.

[0118] FIG. 12A is a top view of a schematic configuration of a part of the image capture element according to Embodiment 2 of the present invention, and FIG. 12B is a sectional view thereof. As shown in FIGS. 12A and 12B, an image capture element **300** of Embodiment 2 and an image capture device using it is different from Embodiment 1 in that the direction of a structure pattern of a plurality of micro-spectroscopic element **101** disposed along the x-axis direction is alternately reversed.

[0119] The rows of the micro-spectroscopic elements **101** and color pixel units U formed along the x-axis direction are sequentially disposed in the y-axis direction while shifting in the x-axis direction by a two-pixel size, and as a result, the direction of the structure pattern of the micro-spectroscopic element **101** is alternately reversed in the y-axis direction also. Furthermore, there is also difference in that two outer pixels **102** among three pixels **102** that are adjacent to each other along the x-axis direction and that receive light separated by one micro-spectroscopic element **101** also receive light separated by adjacent other two micro-spectroscopic elements **101**. Note that other components of Embodiment 2 are the same as those of Embodiment 1. The description below will focus on differences from Embodiment 1 and description of overlapping points will be omitted.

[0120] As shown in FIG. 12B, since the direction of the structure pattern of the micro-spectroscopic elements **101** is alternately reversed along the x-axis direction, the combination of the color components and deflection directions is alternately reversed, and accordingly, the pixels **102** corresponding to the color components immediately under each micro-spectroscopic element **101** are in the order of  $D_R$ ,  $D_G$ ,  $D_B$ ,  $D_G$ ,  $D_R$ ,  $D_G$ ,  $D_B$ , . . . , from the left. The pixel **102**  $D_G$  is disposed immediately under each micro-spectroscopic element **101**, and the pixel **102**  $D_R$  or  $D_B$  on each side of it also receives light separated by two adjacent micro-spectroscopic elements **101**.

[0121] White light incident on the image capture element **300** is first condensed by the micro-lens array and almost all

the light passes through the micro-spectroscopic element **101** corresponding to each micro-lens **103**. The light is spatially separated into three wavelength regions in the xz plane by each micro-spectroscopic element **101**, and is received by the respective three pixels **102** corresponding to each micro-spectroscopic element **101**. At this time, the pixels **102** ( $D_R$  and  $D_B$ ) on both sides of the pixel **102** ( $D_G$ ) immediately under the micro-spectroscopic element **101** also receive light that has propagated from adjacent two micro-spectroscopic elements **101**, and receive the same wavelength region by the reversal of the structure pattern.

[0122] The above is an example, and depending on the configuration of each micro-spectroscopic element **101**, a combination of the color components and propagation directions can be freely changed, and accordingly, the pixel **102** corresponding to each RGB is also changed. When lights spatially separated into the three wavelength regions like this are received by the respective three pixels **102**, photoelectric conversion is performed by the photoelectric conversion element in each pixel **102**, and an image signal including color information is output.

[0123] FIG. 13 is a diagram schematically representing pixel arrangement of the image capture element according to Embodiment 2 of the present invention. Four pixels **102** including one  $D_R$ , two  $D_G$ , and one  $D_B$  are set as one color pixel unit U. In this case, even if a color pixel unit  $U_1$  shown in the FIG. 13 is shifted in the x-axis direction or y-axis direction by a single pixel, a color pixel unit  $U_2$  including one  $D_R$ , two  $D_G$ , and one  $D_B$  can be formed. That is, if color information is obtained while shifting the color pixel unit U by a single pixel on the xy plane, information on RGB three colors can be obtained as many as approximately the number of pixels. This means that the resolution of the image capture element can be increased to about the number of pixels. Therefore, the image capture element **300** of Embodiment 2 can generate color information with high resolution of a single pixel size in addition to high sensitivity.

[0124] From the above, also in the configuration of Embodiment 2, functions similar to those of Embodiment 1 can be implemented. Embodiment 2 is the same as Embodiment 1 other than the above-described differences from Embodiment 1, and the common components have the same effects as those described in Embodiment 1, and can be modified in the same manner.

### Embodiment 3

[0125] Next, an image capture element according to Embodiment 3 of the present invention will be described.

[0126] FIG. 14A is a top view of a schematic configuration of a part of the image capture element according to Embodiment 3 of the present invention, and FIG. 14B is a sectional view thereof. As shown in FIGS. 14A and 14B, an image capture element **400** of Embodiment 3 and an image capture device are different from Embodiment 1 in that micro-lenses are disposed so as to correspond to the respective pixels on a one-on-one basis. In addition, they are also different in that matrix calculation using the photoelectric conversion signal from each pixel **102** is used for obtaining color information. Other components are the same as those in Embodiment 1. The description below will focus on differences from Embodiment 1 and description of overlapping points will be omitted.

[0127] As shown in FIG. 14B, micro-lenses **103** are disposed so as to correspond to the respective pixels **102** on a

one-on-one basis. Accordingly, of white light incident on the image capture element **400**, light which is incident on each micro-spectroscopic element **101** and color separated is only light condensed by the micro-lens **103** situated immediately above a micro-spectroscopic element **101**, and the other light is directly incident on the pixels immediately under the respective micro-lenses via the respective micro-lenses **103**.

[0128] Here, assuming that the intensity of white light incident on the single micro-lens **103** is denoted by W and the intensity of RGB three colors included in the white light is R, G, and B, respectively, light incident on pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  via three micro-lenses **103** is light having intensity represented by W+R, G, and W+B, respectively. Note that the above is one example, and depending on a configuration of each micro-spectroscopic element **101**, the combination of the color components and propagation directions can be freely changed, and accordingly, the configuration of color components incident on the respective pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  is also changed. In the following description, color information acquisition by matrix calculation when light having the intensity of W+R, G, and W+B is incident on the pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$ , respectively, is described, but it goes without saying that numerical values of matrix operators can be variously changed depending on the configuration and spectral performance of the micro-spectroscopic element **101**.

[0129] The light having the intensity of W+R, G, and W+B incident on the respective pixels **102** goes through photoelectric conversion by the photoelectric conversion elements and is output as photoelectric conversion signals. Here, photoelectric conversion signals corresponding to light intensity of the RGB three colors and white light W are denoted by  $S_R$ ,  $S_G$ ,  $S_B$ , and  $S_W$ , respectively, and photoelectric conversion signals output by the respective pixels **102** on which the light having the intensity of W+R, G, and W+B is incident are denoted by  $S_{W+R}$ ,  $S_G$ , and  $S_{W+B}$ , respectively. Note that  $S_W$  is represented by  $S_W = S_R + S_G + S_B$ , and  $S_{W+R}$  and  $S_{W+B}$  can be represented by relational formulas of  $S_{W+R} = S_W + S_R$  and  $S_{W+B} = S_W + S_B$ , respectively. In addition, since the light incident on the pixel **102**  $D_G$  is the component of G separated by the micro-spectroscopic element **101**,  $S_G$  is output as it is.

[0130] From the above,  $S_R$ ,  $S_G$ , and  $S_B$  can be obtained by the following matrix calculation using  $S_{W+R}$ ,  $S_G$ , and  $S_{W+B}$ .

Expression 1

$$\begin{pmatrix} 3S_R \\ 3S_G \\ 3S_B \end{pmatrix} = \begin{pmatrix} 2 & -1 & -1 \\ 0 & 3 & 0 \\ -1 & -1 & 2 \end{pmatrix} \begin{pmatrix} S_{W+R} \\ S_G \\ S_{W+B} \end{pmatrix} \quad (2)$$

[0131] Therefore, by signal calculation using the three photoelectric conversion signals  $S_{W+R}$ ,  $S_G$ , and  $S_{W+B}$  output from the respective pixels  $D_R$ ,  $D_G$ , and  $D_B$ ,  $S_R$ ,  $S_G$ , and  $S_B$  which are intensity information on the three color components can be obtained.

[0132] From the above, also in the configuration of Embodiment 3, functions similar to those of Embodiment 1 can be implemented. Embodiment 3 is the same as Embodiment 1 other than the above-described differences from Embodiment 1, and the common components have the same effects as those described in Embodiment 1, and can be modified in the same manner. Note that in the modified

example of using a combination with color filters, it is desirable that a color filter of a corresponding color component is disposed only above the pixel **102** immediately under the micro-spectroscopic element **101**.

#### Embodiment 4

[0133] Next, an image capture element according to Embodiment 4 of the present invention will be described.

[0134] FIG. 15A is a top view of a schematic configuration of a part of the image capture element according to Embodiment 4 of the present invention, and FIG. 15B is a sectional view thereof. As shown in FIGS. 15A and 15B, an image capture element **500** of Embodiment 4 and an image capture device using it are different from Embodiment 2 in that micro-lenses **103** are disposed so as to correspond to respective pixels **102** on a one-to-one basis. In addition, they are also different in that matrix calculation using the photoelectric conversion signal from each pixel **102** is used for obtaining color information. Other components are the same as those in Embodiment 2. The description below will focus on differences from Embodiment 2 and description of overlapping points will be omitted.

[0135] As shown in FIG. 15B, the micro-lenses **103** are disposed so as to correspond to the respective pixels **102** on a one-to-one basis. Accordingly, of white light incident on the image capture element **500**, light which is incident on each micro-spectroscopic element **101** and color separated is only light condensed by the micro-lens **103** situated immediately above a micro-spectroscopic element **101**, and the other light is directly incident on the pixels immediately under the respective micro-lenses **103** via the respective micro-lenses **103**.

[0136] Here, in the same manner as description of Embodiment 3, assuming that the intensity of white light incident on the single micro-lens **103** is denoted by W and the intensity of RGB three colors included in the white light is R, G, and B, respectively, light incident on pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  via five micro-lenses **103** is light having intensity represented by  $W+2R$ , G, and  $W+2B$ . Note that the above is one example, and depending on a configuration of each micro-spectroscopic element **101**, the combination of the color components and propagation directions can be freely changed, and accordingly, the configuration of color components incident on respective pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$  is also changed. In the following description, color information acquisition by matrix calculation when light having the intensity of  $W+2R$ , G, and  $W+2B$  is incident on the pixels **102**  $D_R$ ,  $D_G$ , and  $D_B$ , respectively, is described, but it goes without saying that numerical values of matrix operators can be variously changed depending on the configuration and spectral performance of the micro-spectroscopic element **101**.

[0137] The light having the intensity of  $W+2R$ , G, and  $W+2B$  incident on the respective pixels **102** goes through photoelectric conversion by the photoelectric conversion elements and is output as photoelectric conversion signals. Here, in the same manner as the description of Embodiment 3, photoelectric conversion signals corresponding to light intensity of the RGB three colors and white light W are denoted by  $S_R$ ,  $S_G$ ,  $S_B$ , and  $S_W$ , and photoelectric conversion signals output by the respective pixel **102** on which the light having the intensity of  $W+2R$ , G, and  $W+2B$  is incident are denoted by  $S_{W+2R}$ ,  $S_G$ , and  $S_{W+2B}$ . Note that  $S_W$  is represented by  $S_W=S_R+S_G+S_B$ , and  $S_{W+2R}$  and  $S_{W+2B}$  can be

represented by relational formulas of  $S_{W+2R}=S_W+2S_R$  and  $S_{W+2B}=S_W+2S_B$ , respectively. In addition, since the light incident on the pixel **102**  $D_G$  is the component of G separated by the micro-spectroscopic element **101**,  $S_G$  is output as is.

[0138] From the above,  $S_R$ ,  $S_G$ , and  $S_B$  can be obtained by the following matrix calculation using  $S_{W+2R}$ ,  $S_G$ , and  $S_{W+2B}$ .

Expression 2

$$\begin{pmatrix} 8S_R \\ 8S_G \\ 8S_B \end{pmatrix} = \begin{pmatrix} 3 & -2 & -1 \\ 0 & 8 & 0 \\ -1 & -2 & 3 \end{pmatrix} \begin{pmatrix} S_{W+2R} \\ S_G \\ S_{W+2B} \end{pmatrix} \quad (3)$$

[0139] Therefore, by signal calculation using the three photoelectric conversion signals  $S_{W+2R}$ ,  $S_G$ , and  $S_{W+2B}$  output from the respective pixels  $D_R$ ,  $D_G$ , and  $D_B$ ,  $S_R$ ,  $S_G$ , and  $S_B$  which are intensity information on the three color components can be obtained.

[0140] From the above, also in the configuration of Embodiment 4, functions similar to those of Embodiment 2 can be implemented. Embodiment 4 is the same as Embodiment 2 other than the above-described differences from Embodiment 2, and the common components have the same effects as those described in Embodiment 2, and can be modified in the same manner. Note that in the modified example of using a combination with color filters, it is desirable that a color filter of a corresponding color component is disposed only above the pixel immediately under the micro-spectroscopic element **101**.

[0141] Embodiment 1 to Embodiment 4 described above are merely preferred specific examples of the present invention, and the present invention is not limited to these and various modifications can be made.

[0142] In Embodiment 1 to Embodiment 4 described above, although the example is given in which SiN is assumed as the material of the micro-spectroscopic element **101**, the present invention is not limited to this. For example, when the image capture element of the present invention is used in a visible light range where the wavelength of light is in a range of 380-800 nm, for materials for the micro-spectroscopic element, materials such as SiN, SiC, TiO<sub>2</sub>, and GaN are suitable because they have a high refractive index and low absorption loss. For near infrared light whose wavelength is within a range of 800-1000 nm, as materials of low loss for the light, materials such as Si, SiC, SiN, TiO<sub>2</sub>, GaAs, and GaN are suitable. For a near infrared region of a longer wavelength band (1.3  $\mu$ m, 1.55  $\mu$ m, or the like, which are communication wavelengths), InP or the like in addition to the above-described materials can be used. Furthermore, in the case of forming the micro-spectroscopic element by pasting or coating, polymers or the like such as polyimide such as fluorinated polyimide, PCB (bezocyclobutene), photo-curing resins, UV epoxy resins, acrylic resins such as PMMA, and resists in general are mentioned as materials.

[0143] In the same manner, in Embodiment 1 to Embodiment 4 described above, the example is given in which SiO<sub>2</sub> is assumed as the material of the transparent layer **111**, the present invention is not limited to this. Any material, such as a general glass material, SiO<sub>2</sub>, and an air layer may be used as long as its refractive index is lower than that of a

micro-spectroscopic element material and it is low loss for the wavelength of incident light.

[0144] In Embodiment 1 to Embodiment 4 described above, although the case is described in which light of the three wavelength regions handled by the micro-spectroscopic element **101** is light of the three primary colors of red, green, and blue, at least one of the three wavelength regions may be light having a wavelength other than the three primary colors (for example, infrared light or ultraviolet light).

[0145] As mentioned above, although the present invention has been described based on the specific embodiments, the invention is not limited to the above embodiments, and it goes without saying that various changes can be made in a range without departing from the gist of the invention.

#### REFERENCE SIGNS LIST

- [0146] **1** Object
- [0147] **10** Image capture device
- [0148] **11** Lens optical system
- [0149] **12** Image capture element
- [0150] **13** Signal processing unit
- [0151] **100, 200, 300, 400, 500, 600, 610, 620** Image capture element
- [0152] **101** Micro-spectroscopic element
- [0153] **102** Pixel
- [0154] **103** Micro-lens
- [0155] **104** Color filter
- [0156] **111** Transparent layer
- [0157] **112** Wiring layer
- [0158] **121** Columnar structure
- [0159] **601** Wiring layer
- [0160] **602** Pixel
- [0161] **603** Transparent layer
- [0162] **604** Color filter
- [0163] **605** Micro-lens
- [0164] **606, 607** Microstructure

What is claimed is:

1. An image-capture element, comprising:

a pixel array that includes a plurality of pixels on a substrate, wherein each of the plurality of pixels includes a photoelectric conversion element;

a transparent layer formed on the pixel array; and

a spectroscopic element array including a plurality of spectroscopic elements that are disposed inside or on the transparent layer,

wherein each of the spectroscopic elements includes a set of microstructures composed of a plurality of microstructures, at least one of dimensions of at least one of the plurality of microstructures is less than a wavelength of incident light,

wherein the pixel array is disposed at a position to receive lights that are spectralized by the plurality of spectroscopic elements to directions corresponding to their respective wavelengths.

2. The image-capture element according to claim 1, wherein the plurality of microstructures are not all a same shape.

3. The image-capture element according to claim 1, wherein the set of microstructures comprised in the spectroscopic element of the plurality of spectroscopic elements are not all a same shape between the plurality of spectroscopic elements.

4. The image-capture element according to claim 1, wherein at least some of the plurality of microstructures in each of the spectroscopic elements have different configurations.

5. The image-capture element according to claim 4, wherein the configuration includes one or more of a material, a number, a shape, a size, and an arrangement pattern.

6. An image capture device, comprising:

the image-capture element according to claim 1;

an image capture optical system for forming an optical image on an image capture surface of the image-capture element; and

a signal processing unit that processes an electric signal output by the image-capture element.

7. An image-capture element, comprising:

a pixel array that includes a plurality of pixels disposed on a substrate, wherein each of the plurality of pixels includes a photoelectric conversion element;

a transparent layer formed on the pixel array; and

a spectroscopic element array including a plurality of spectroscopic elements that are disposed inside or on the transparent layer,

wherein each of the spectroscopic elements includes a set of microstructures composed of a plurality of microstructures, at least one of dimensions of at least one of the plurality of microstructures is less than a wavelength of incident light, at least a part of the light incident on the spectroscopic element is separated into first, second and third deflected lights with different propagation directions according to their respective wavelength, wherein the first, second, and third deflected lights are emitted from the spectroscopic element, and incident respectively on three pixels arranged in one direction of the pixel array.

8. An image capture device, comprising:

the image-capture element according to claim 7;

an image capture optical system for forming an optical image on an image capture surface of the image-capture element; and

a signal processing unit that processes an electric signal output by the image-capture element.

9. The image capture element of claim 1, wherein the spectroscopic elements are configured to change a wavefront of the incident light based on wavelength.

10. The image capture element of claim 1, wherein the plurality of microstructures are separated from each other.

\* \* \* \* \*