Laboratory Handbook: Building a Local RAG System with Flask and MLflow

RYAN HAMMANG

Creating a local RAG system with Flask and MLflow.

ACM Reference Format:

Project Overview

This laboratory course guides you through building a complete Retrieval-Augmented Generation (RAG) system that operates entirely on local hardware. By the end of this intensive workshop, you will have created a production-ready application that processes PDF documents, generates embeddings, performs semantic search, and answers questions using local large language modelsall accessible through a Flask web interface and MLflow serving endpoint.

Hardware Requirements

- Apple MacMini with M2 Pro chip (or equivalent)
- 16GB RAM minimum
- 50GB available storage
- macOS Ventura 15.3.1 or later

 $\frac{31}{32}$

Software Prerequisites

- Python 3.10+
- Docker Desktop for Mac
- Git
- Homebrew (recommended for macOS)

Lab 1: Foundation and Infrastructure

 Lab 1.1: Environment Setup and Project Structure.

Author's address: Ryan Hammang.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

 $\ensuremath{@}$ 2025 Copyright held by the owner/author(s). Publication rights licensed to ACM.

ACM XXXX-XXXX/2025/3-ART

https://doi.org/10.1145/nnnnnnn.nnnnnnn

2 • Ryan Hammang

Learning Objectives:

48

49

50

51

52 53

54

55

56

57

58

59

60

61 62

63 64

65

66 67

68 69

70 71

- Configure a proper development environment for ML applications
- Understand containerization principles with Docker
- Establish a maintainable project structure

Setup Instructions:

1. Open Terminal and create your project directory structure:

```
mkdir -p {app,data,models,docker,mlflow,flask-app}
mkdir -p data/{pdfs,vectors,documents}
mkdir -p models/{embedding,reranker,llm}
mkdir -p app/{utils,api,evaluation,tests}
mkdir -p flask-app/{static,templates,utils,tests}
mkdir -p flask-app/static/{css,js,images}
```

2. Create and activate a virtual environment:

```
python -m venv venv
source venv/bin/activate
pip install --upgrade pip wheel setuptools
```

3. Create a requirements file:

```
touch requirements.txt
```

4. Add the following dependencies to requirements.txt:

```
72
     # Core Libraries
73
     pandas==2.2.1
74
     numpy==1.26.4
75
     scikit-learn==1.6.1 # Updated to latest available for Python 3.12 on macOS ARM64
76
77
     # PDF Processing
78
     pymupdf==1.24.5
79
     pdfminer.six==20231228
80
     tqdm==4.66.4
81
     # Text Processing
82
     langchain==0.2.7
83
     langchain-community==0.2.7
84
     llama-index==0.10.32
85
86
     # Embedding Models - updated for Python 3.12
87
     sentence-transformers==2.6.0
88
     transformers==4.39.3
89
     torch==2.6.0
90
91
     # Vector Database
92
     faiss-cpu==1.8.0
93
     qdrant-client==1.8.0
94
```

```
95
      chromadb==0.4.22
96
      # LLM Inference - optimized for Apple Silicon
97
     llama-cpp-python==0.2.49
98
99
      # MLflow
100
     mlflow==2.12.2
101
     protobuf==4.25.3
102
      alembic == 1.13.1
103
      sqlalchemy==2.0.28
104
105
      # Flask Web UI
106
     flask==2.3.3
     flask-wtf==1.2.1
107
     werkzeug==2.3.7
108
      jinja2==3.1.3
109
      itsdangerous==2.1.2
110
111
      # API and Testing
112
     requests==2.31.0
113
     pytest==7.4.4
114
     locust==2.20.1
115
116
      # Utilities
117
     python-dotenv==1.0.1
     click==8.1.7
118
119
```

5. Install the dependencies:

120

126

127

128

129 130

131

132 133

134

```
121
     pip install --upgrade pip wheel setuptools
122
     pip uninstall -y llama-cpp-python
123
     CMAKE_ARGS="-DLLAMA_METAL=on" pip install llama-cpp-python==0.2.49
124
     pip install --prefer-binary -r requirements.txt
```

Professor's Hint: When working with ML libraries on Apple Silicon, use native ARM packages where possible. The torch package specified here is compiled for M-series chips. For libraries without native ARM support, Rosetta 2 will handle the translation, but with a performance penalty.

6. Create a Docker Compose file in the root directory:

```
touch docker-compose.yml
```

7. Add the following configuration to docker-compose.yml:

```
135
     # docker-compose.yml - Updated to avoid port 5000 conflict
136
137
     services:
138
        vector-db:
139
          image: qdrant/qdrant:latest
140
          ports:
141
```

4 • Ryan Hammang

```
142
            - "6333:6333"
            - "6334:6334"
143
          volumes:
144
            - ./data/vectors:/qdrant/storage
145
          environment:
146
            - QDRANT_ALLOW_CORS=true
147
         restart: unless-stopped
148
         healthcheck:
149
           test: ["CMD", "curl", "-f", "http://localhost:6333/health"]
150
            interval: 30s
151
           timeout: 10s
152
            retries: 3
153
            start_period: 10s
154
       mlflow:
155
         image: ghcr.io/mlflow/mlflow:latest
156
157
            - "5001:5000" # External port 5001 mapped to container's 5000
158
159
            - ./mlflow/artifacts:/mlflow/artifacts
160
            - ./mlflow/backend:/mlflow/backend
161
          environment:
162
           - MLFLOW_TRACKING_URI=sqlite:///mlflow/backend/mlflow.db
163
            - MLFLOW_DEFAULT_ARTIFACT_ROOT=/mlflow/artifacts
164
          command: mlflow server \
           --backend-store-uri sqlite:///mlflow/backend/mlflow.db \
165
           --default-artifact-root /mlflow/artifacts \
166
           --host 0.0.0.0 \
167
           --port 5000
168
         restart: unless-stopped
169
         healthcheck:
170
           test: ["CMD", "curl", "-f", "http://localhost:5000/ping"]
171
           interval: 30s
172
           timeout: 10s
173
           retries: 3
174
            start_period: 10s
175
       flask-app:
176
         build:
177
            context: ./flask-app
178
            dockerfile: Dockerfile
179
         ports:
180
            - "8000:8000"
181
182
            - ./flask-app:/app
183
            - ./data:/data
184
            - ./app:/rag_app
185
          environment:
           - FLASK_APP=app.py
186
            - FLASK_DEBUG=1
187
188
```

```
189
            - PYTHONPATH=/app:/rag_app
            - MLFLOW_HOST=mlflow # Use service name for Docker networking
190
            - MLFLOW_PORT=5001
                                    # External port for client connections
191
          depends_on:
192
            vector-db:
193
              condition: service_healthy
194
            mlflow:
195
              condition: service_healthy
196
          restart: unless-stopped
197
198
     volumes:
199
       mlflow-data:
200
          driver: local
       vector-data:
201
          driver: local
202
203
     networks:
204
        default:
205
          driver: bridge
206
         name: rag-network
207
```

8. Start the containers to verify the setup:

```
docker-compose up -d
```

Checkpoint Questions:

208

 $\frac{209}{210}$

 $\frac{211}{212}$

213

214

215

216

217

218

220

 $\frac{221}{222}$

 $\frac{224}{225}$

226

227

 $\frac{228}{229}$

230

231232

233

 $\frac{234}{235}$

- 1. Why are we using Docker for certain components instead of running everything natively?
- 2. What is the purpose of volume mapping in Docker Compose?
- 3. What does the restart: unless-stopped directive do in the Docker Compose file?
- 4. How does containerization affect the portability versus performance tradeoff for ML systems?
- 5. What security implications arise from running AI models locally versus in cloud environments?
- 6. How would different embedding model sizes affect the system's memory footprint and performance?
- 7. Additional Challenge: Add a PostgreSQL container to the Docker Compose file as an alternative backend for MLflow instead of SQLite.

Lab 1.2: Model Preparation and Configuration.

Learning Objectives:

- Download and prepare ML models for offline use
- Create configuration files for application settings
- Understand model size and performance tradeoffs

Exercises:

1. Create a configuration file for the application:

```
mkdir -p app/config
touch app/config/settings.py
```

267

268

269 270

2. Add the following to app/config/settings.py:

```
236
237
     import os
238
     from pathlib import Path
239
240
     # Base directory
241
     BASE_DIR = Path(__file__).resolve().parent.parent.parent
242
     # Vector database settings
243
     VECTOR_DB_HOST = "localhost"
244
     VECTOR_DB_PORT = 6333
245
     VECTOR_DIMENSION = 384 # For all-MiniLM-L6-v2
^{246}
     COLLECTION_NAME = "pdf_chunks"
247
248
     # Document processing
249
     CHUNK_SIZE = 500
250
     CHUNK_OVERLAP = 50
251
     MAX_CHUNKS_PER_DOC = 1000
252
253
     # Model paths
     EMBEDDING_MODEL_PATH = os.path.join(BASE_DIR, "models", "embedding", "all-MiniLM-L6-v2")
254
     RERANKER_MODEL_PATH = os.path.join(BASE_DIR, "models", "reranker", "ms-marco-MiniLM-L-6-v2")
255
     LLM_MODEL_PATH = os.path.join(BASE_DIR, "models", "llm", "llama-2-7b-chat-q4_0.gguf")
256
257
     # MLflow settings
258
     MLFLOW_TRACKING_URI = "http://localhost:5001"
259
     MLFLOW_MODEL_NAME = "rag_model"
260
261
     # Flask settings
262
     FLASK_SECRET_KEY = "change-this-in-production"
     PDF_UPLOAD_FOLDER = os.path.join(BASE_DIR, "data", "pdfs")
263
     ALLOWED_EXTENSIONS = {'pdf'}
264
265
```

3. Create a script to download the embedding model:

```
mkdir -p app/scripts
touch app/scripts/download_models.py
```

4. Add the following to app/scripts/download_models.py:

```
271
     import os
272
     import sys
273
     from pathlib import Path
274
275
     # Add the project root to the Python path
276
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
277
278
     from app.config.settings import EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH
279
     import torch
280
281
     def download_embedding_model():
282
```

```
283
          """Download the embedding model for offline use."""
284
         try:
              from sentence_transformers import SentenceTransformer
285
286
             print(f"Downloading embedding model to {EMBEDDING_MODEL_PATH}...")
287
             model = SentenceTransformer('all-MiniLM-L6-v2')
288
              os.makedirs(os.path.dirname(EMBEDDING_MODEL_PATH), exist_ok=True)
289
             model.save(EMBEDDING_MODEL_PATH)
290
              print("Embedding model downloaded successfully.")
291
292
              # Test the model
293
              test_embedding = model.encode(["Hello world"])
294
              print(f"Test embedding shape: {test_embedding.shape}")
295
         except Exception as e:
296
             print(f"Error downloading embedding model: {str(e)}")
297
              sys.exit(1)
298
299
     def download_reranker_model():
300
          """Download the reranker model for offline use."""
301
         try:
302
              from sentence_transformers import CrossEncoder
303
304
              print(f"Downloading reranker model to {RERANKER_MODEL_PATH}...")
305
              model = CrossEncoder('cross-encoder/ms-marco-MiniLM-L-6-v2')
              os.makedirs(os.path.dirname(RERANKER_MODEL_PATH), exist_ok=True)
306
              model.save(RERANKER_MODEL_PATH)
307
              print("Reranker model downloaded successfully.")
308
309
         except Exception as e:
310
             print(f"Error downloading reranker model: {str(e)}")
311
              sys.exit(1)
312
313
     if __name__ == "__main__":
314
         download_embedding_model()
315
         download_reranker_model()
316
         print("Please manually download the LLM model using the instructions in the README.")
317
```

5. Run the script to download the models:

318

319

320 321

322 323

324

325 326

327

328 329

```
python app/scripts/download_models.py
```

6. Create a script to download the LLM model (manual step due to size):

```
touch app/scripts/download_llm.sh
chmod +x app/scripts/download_llm.sh
```

7. Add the following to app/scripts/download_llm.sh:

```
#!/bin/bash
```

Ryan Hammang

359

```
330
     # Directory for LLM model
331
     LLM_DIR="models/llm"
332
     mkdir -p $LLM_DIR
333
334
     # URL for Llama-2-7B-Chat quantized model
335
     #!/bin/bash
336
337
     # Directory for LLM model
338
     LLM_DIR="models/llm"
339
     mkdir -p $LLM_DIR
340
     # URL for Llama-3-8B-Instruct quantized model (latest as of now)
341
     MODEL_URL="https://huggingface.co/TheBloke/Llama-3-8B-Instruct-GGUF/resolve/main/llama-3-8b-instruct.Q4_K_M.gguf"
342
     OUTPUT_PATH="$LLM_DIR/llama-3-8b-instruct-q4.gguf"
343
344
     echo "Downloading Llama 3 model to $OUTPUT_PATH..."
345
     echo "This may take some time depending on your internet connection."
346
347
     # Download with curl, showing progress
348
     curl -L -o "$OUTPUT_PATH" "$MODEL_URL" --progress-bar
349
350
     echo "Download complete. Verifying file..."
351
352
     # Check if file exists and has content
     if [ -f "$OUTPUT_PATH" ] && [ -s "$OUTPUT_PATH" ]; then
353
          echo "Llama 3 model downloaded successfully."
354
     else
355
          echo "Error: Llama 3 model download failed or file is empty."
356
          exit 1
357
     fi
358
```

7.5 Alternative using Meta's Llama-3.2-3B-Instruct model:

```
360
361
      ./app/scripts/download_llm.sh
362
     #!/bin/bash
363
     # Directory for LLM model
364
     LLM_DIR="models/llm"
365
     mkdir -p $LLM_DIR
366
367
     # Prompt for Hugging Face token
368
     if [ -z "$HF_TOKEN" ]; then
369
        echo "Please enter your Hugging Face token (from https://huggingface.co/settings/tokens):"
370
       read -s HF_TOKEN
371
        echo
372
     fi
373
374
     # Download using huggingface-cli
     echo "Installing huggingface_hub if needed..."
375
376
```

```
377
     pip install -q huggingface_hub
378
     echo "Downloading Llama-3.2-3B-Instruct model..."
379
     echo "This will take some time depending on your connection."
380
381
     python -c "
382
     from huggingface_hub import snapshot_download
383
      import os
384
385
     # Set token
386
     os.environ['HF_TOKEN'] = '$HF_TOKEN'
387
388
     # Download model files
     model_path = snapshot_download(
389
         repo_id='meta-llama/Llama-3.2-3B-Instruct',
390
          local_dir='$LLM_DIR/Llama-3.2-3B-Instruct',
391
          local_dir_use_symlinks=False
392
393
394
     print(f'Model downloaded to {model_path}')
395
396
397
     # Update settings.py to use this model
398
399
     SETTINGS_PATH="app/config/settings.py"
     if [ -f "$SETTINGS_PATH" ]; then
400
          if grep -q "LLM_MODEL_PATH" "$SETTINGS_PATH"; then
401
              sed -i '' 's|LLM_MODEL_PATH = .*|LLM_MODEL_PATH = os.path.join(BASE_DIR, "models",
402
          "llm", "Llama-3.2-3B-Instruct")|' "$SETTINGS_PATH"
403
              echo "Updated settings.py to use the Llama-3.2-3B-Instruct model."
404
          fi
405
     fi
406
407
     echo "Now installing transformers to use with Meta's model format..."
408
     pip install -q transformers accelerate
409
410
     # Create a model loader adapter
     mkdir -p app/utils/adapters
411
     cat > app/utils/adapters/meta_llama_adapter.py << 'EOF'</pre>
412
     import os
413
     import logging
414
     from typing import Dict, Any, List
415
     from transformers import AutoTokenizer, AutoModelForCausalLM, pipeline
416
417
     logger = logging.getLogger(__name__)
418
419
     class MetaLlamaAdapter:
420
          def __init__(self, model_path: str, max_new_tokens: int = 512):
421
              """Initialize the Meta Llama adapter."""
              logger.info(f"Loading Meta Llama model from {model_path}")
422
423
```

```
424
              self.model_path = model_path
              self.max_new_tokens = max_new_tokens
425
426
              # Load model and tokenizer
              self.tokenizer = AutoTokenizer.from_pretrained(model_path)
428
              self.model = AutoModelForCausalLM.from_pretrained(
429
                  model_path,
430
                  device_map="auto",
431
                  torch_dtype="auto";
432
                  low_cpu_mem_usage=True
433
              )
434
              # Create pipeline
435
              self.pipe = pipeline(
436
                  "text-generation",
437
                  model=self.model,
438
                  tokenizer=self.tokenizer
439
              )
440
441
              logger.info("Meta Llama model loaded successfully")
442
443
          def __call__(self, prompt: str, **kwargs):
444
              """Generate text using the Meta Llama model."""
445
              generation_kwargs = {
446
                  "max_new_tokens": kwargs.get("max_tokens", self.max_new_tokens),
                  "temperature": kwargs.get("temperature", 0.2),
447
                  "top_p": kwargs.get("top_p", 0.9),
448
                  "do_sample": kwargs.get("temperature", 0.2) > 0,
449
              }
450
451
              # Generate text
452
              outputs = self.pipe(
453
                  prompt,
454
                  **generation_kwargs
455
              )
456
457
              # Format to match llama-cpp-python output format
              generated_text = outputs[0]["generated_text"][len(prompt):]
458
459
              # Count tokens
460
              input_tokens = len(self.tokenizer.encode(prompt))
461
              output_tokens = len(self.tokenizer.encode(generated_text))
462
463
              return {
464
                  "choices": [
465
                      {
466
                           "text": generated_text,
467
                           "finish_reason": "length" if output_tokens >=
          generation_kwargs["max_new_tokens"] else "stop",
468
469
470
```

, Vol. 1, No. 1, Article . Publication date: March 2025.

```
471
                  ],
                  "usage": {
472
                      "prompt_tokens": input_tokens,
473
                      "completion_tokens": output_tokens,
474
                      "total_tokens": input_tokens + output_tokens,
475
                  },
476
             }
477
     F.OF
478
479
     # Update llm.py to use the Meta Llama adapter
480
     sed -i '' 's/from llama_cpp import Llama/from llama_cpp import Llama\nfrom
481
          app.utils.adapters.meta_llama_adapter import MetaLlamaAdapter/' app/utils/llm.py
482
     # Update the LLMProcessor.__init__ method
483
     sed -i '' 's/self.model = Llama(/# Check if using Meta Llama model\n
                                                                                     if "Llama-3" in
484
          model_path and os.path.isdir(model_path):\n
                                                                   self.model = MetaLlamaAdapter(\n
485
                      model_path=model_path,\n
                                                                max_new_tokens=max_tokens\n
486
                     else:\n
                                         # Use llama-cpp for GGUF models\n
                                                                                         self.model =
487
          Llama(/' app/utils/llm.py
488
489
     echo "Setup complete for using meta-llama/Llama-3.2-3B-Instruct"
490
```

8. Run the script to download the LLM model:

```
./app/scripts/download_llm.sh
```

Professor's Hint: The LLM download is about 4GB, so it may take some time. We're using a 4-bit quantized model $(Q4_0)$ to optimize for memory usage on the MacMini. The quality-performance tradeoff is reasonable for most use cases. For higher quality, consider the Q5 K M variant if your system has $sufficient\ RAM.$

Checkpoint Questions:

491 492

493

494 495 496

497

498

499

500 501

502 503

504

505

506 507

508 509

510

511 512

513

514

515

516 517

- 1. Why do we use a configuration file instead of hardcoding values in our application?
- 2. What is model quantization and why is it important for local LLM deployment?
- 3. How does the dimension of the embedding vector (384) impact our system?
- 4. Additional Challenge: Create a script to benchmark the performance of the embedding model and LLM on your local hardware. Measure throughput (tokens/second) and memory usage.

Lab 2: PDF Processing and Embedding Pipeline

Lab 2.1: PDF Ingestion and Text Extraction.

Learning Objectives:

- Implement robust PDF text extraction
- Handle various PDF formats and structures
- Build a scalable document processing pipeline

Exercises:

518

519

520

521 522 1. Create a PDF ingestion utility:

```
touch app/utils/pdf_ingestion.py
```

2. Add the following to app/utils/pdf_ingestion.py:

```
523
524
     import os
525
      import pandas as pd
526
     from pathlib import Path
527
     from typing import List, Dict, Any
528
     import fitz # PyMuPDF
529
     from tqdm import tqdm
530
     import logging
531
     # Configure logging
532
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
533
          %(message)s')
534
     logger = logging.getLogger(__name__)
535
536
     def scan_directory(directory_path: str) -> List[Dict[str, Any]]:
537
538
         Scan a directory for PDF files.
539
540
          Args:
              directory_path: Path to the directory containing PDF files
541
542
          Returns:
543
              List of dictionaries with PDF file information
544
545
         logger.info(f"Scanning directory: {directory_path}")
546
         pdf_files = []
547
548
         for path in tqdm(list(Path(directory_path).rglob('*.pdf'))):
549
              try:
550
                  # Get basic file information
551
                  file_info = {
                       'path': str(path),
552
                      'filename': path.name,
553
                      'parent_dir': str(path.parent),
554
                       'size_bytes': path.stat().st_size,
                       'last_modified': path.stat().st_mtime
556
                  }
557
558
                  # Get PDF-specific metadata if possible
559
                  try:
560
                      with fitz.open(str(path)) as doc:
561
                           file_info['page_count'] = len(doc)
562
                           file_info['metadata'] = doc.metadata
563
                  except Exception as e:
564
```

```
565
                      logger.warning(f"Could not read PDF metadata for {path}: {str(e)}")
                      file_info['page_count'] = 0
566
                      file_info['metadata'] = {}
567
568
                  pdf_files.append(file_info)
569
              except Exception as e:
570
                  logger.error(f"Error processing {path}: {str(e)}")
571
572
          logger.info(f"Found {len(pdf_files)} PDF files")
573
         return pdf_files
574
575
     def create_pdf_dataframe(pdf_files: List[Dict[str, Any]]) -> pd.DataFrame:
576
         Create a DataFrame from PDF file information.
577
578
          Args:
579
              pdf_files: List of dictionaries with PDF file information
580
581
         Returns:
582
              DataFrame with PDF file information
583
584
         return pd.DataFrame(pdf_files)
585
586
     def extract_text_from_pdf(pdf_path: str) -> str:
587
         Extract text from a PDF file.
588
589
          Args:
590
              pdf_path: Path to the PDF file
591
592
          Returns:
593
              Extracted text
594
595
         logger.info(f"Extracting text from: {pdf_path}")
596
597
          try:
598
              with fitz.open(pdf_path) as doc:
                  text = ""
599
                  for page_num, page in enumerate(doc):
600
                      # Get text with blocks (preserves some structure)
601
                      text += page.get_text("blocks")
602
                      text += "\n" # Add separation between pages
603
604
                  return text
605
          except Exception as e:
606
              logger.error(f"Error extracting text from {pdf_path}: {str(e)}")
607
              return ""
608
609
     def process_pdfs(directory_path: str) -> pd.DataFrame:
610
```

14 Ryan Hammang

```
612
         Process all PDFs in a directory.
613
          Args:
614
              directory_path: Path to the directory containing PDF files
615
616
          Returns:
617
              DataFrame with PDF information and extracted text
618
619
          # Scan directory for PDFs
620
         pdf_files = scan_directory(directory_path)
621
622
          # Create DataFrame
623
         df = create_pdf_dataframe(pdf_files)
624
          # Extract text from each PDF
625
          tqdm.pandas(desc="Extracting text")
626
          df['text'] = df['path'].progress_apply(extract_text_from_pdf)
627
628
          # Filter out PDFs with no text
629
          text_lengths = df['text'].str.len()
630
         logger.info(f"Text extraction statistics: min={text_lengths.min()},
631
          max={text_lengths.max()}, "
632
                      f"mean={text_lengths.mean():.2f}, median={text_lengths.median()}")
633
634
          empty_pdfs = df[df['text'].str.len() == 0]
          if not empty_pdfs.empty:
635
              logger.warning(f"Found {len(empty_pdfs)} PDFs with no extractable text")
636
637
         return df
638
639
      if __name__ == "__main__":
640
          # Example usage
641
          import sys
642
         from pathlib import Path
643
644
          # Add the project root to the Python path
645
          sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
646
         from app.config.settings import PDF_UPLOAD_FOLDER
647
648
          df = process_pdfs(PDF_UPLOAD_FOLDER)
649
          print(f"Processed {len(df)} PDFs")
650
         print(df.head())
651
```

3. Create a text chunking utility:

652

654

655 656

657 658

```
touch app/utils/text_chunking.py
```

4. Add the following to app/utils/text_chunking.py:

```
659
     import re
660
     import uuid
661
     from typing import List, Dict, Any
662
     import pandas as pd
663
     from langchain.text_splitter import RecursiveCharacterTextSplitter
664
     from tqdm import tqdm
665
     import logging
666
667
     # Configure logging
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
668
          %(message)s')
669
     logger = logging.getLogger(__name__)
670
671
     def clean_text(text: str) -> str:
672
673
          Clean text by removing excessive whitespace and normalizing line breaks.
674
675
          Args:
676
              text: Raw text to clean
677
678
         Returns:
              Cleaned text
679
680
          # Replace multiple line breaks with a single one
681
         text = re.sub(r'\n{3,}', '\n\n', text)
682
683
          # Replace multiple spaces with a single one
684
          text = re.sub(r' {2,}', '', text)
685
686
          # Strip whitespace from beginning and end
687
          text = text.strip()
688
689
         return text
690
     def chunk_text(text: str, chunk_size: int = 500, chunk_overlap: int = 50) -> List[str]:
691
692
          Split text into chunks using LangChain's RecursiveCharacterTextSplitter.
693
694
          Args:
695
              text: Text to split into chunks
696
              chunk_size: Target size of each chunk
697
              chunk_overlap: Overlap between chunks
698
699
          Returns:
700
             List of text chunks
701
          # Clean the text first
702
         text = clean_text(text)
703
704
```

```
706
         # Create text splitter
         text_splitter = RecursiveCharacterTextSplitter(
707
              chunk_size=chunk_size,
708
              chunk_overlap=chunk_overlap,
709
              length_function=len,
710
              separators=["\n\n", "\n", ". ", " ", ""]
711
712
713
         # Split text into chunks
714
         chunks = text_splitter.split_text(text)
715
716
         return chunks
717
     def process_chunks(df: pd.DataFrame, chunk_size: int = 500, chunk_overlap: int = 50,
718
                        max_chunks_per_doc: int = 1000) -> pd.DataFrame:
719
720
         Process a DataFrame of PDFs and split text into chunks.
721
722
         Args:
723
              df: DataFrame with PDF information and extracted text
724
              chunk_size: Target size of each chunk
725
              chunk_overlap: Overlap between chunks
726
             max_chunks_per_doc: Maximum number of chunks per document
727
728
         Returns:
             DataFrame with text chunks
729
730
         logger.info(f"Processing chunks with size={chunk_size}, overlap={chunk_overlap}")
731
732
         chunks_data = []
733
734
         for _, row in tqdm(df.iterrows(), total=len(df), desc="Chunking documents"):
735
              # Skip if no text
736
             if not row['text'] or len(row['text']) == 0:
737
                  continue
738
739
              # Get chunks
              chunks = chunk_text(row['text'], chunk_size, chunk_overlap)
740
741
              # Limit chunks if necessary
742
              if len(chunks) > max_chunks_per_doc:
743
                  logger.warning(f"Document {row['filename']} has {len(chunks)} chunks, "
744
                                 f"limiting to {max_chunks_per_doc}")
745
                  chunks = chunks[:max_chunks_per_doc]
746
747
              # Add chunks to list
748
              for i, chunk_text in enumerate(chunks):
749
                  chunk_data = {
                      'chunk_id': str(uuid.uuid4()),
750
                      'pdf_path': row['path'],
751
752
```

```
753
                      'filename': row['filename'],
                       'chunk_index': i,
754
                       'chunk_text': chunk_text,
755
                       'token_count': len(chunk_text.split())
756
                  }
757
                  chunks_data.append(chunk_data)
758
759
          # Create DataFrame from chunks
760
          chunks_df = pd.DataFrame(chunks_data)
761
762
          logger.info(f"Created {len(chunks_df)} chunks from {len(df)} documents")
763
764
         return chunks_df
765
     if __name__ == "__main__":
766
          # Example usage
767
          import sys
768
         from pathlib import Path
769
770
          # Add the project root to the Python path
771
          sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
772
773
          from app.config.settings import PDF_UPLOAD_FOLDER, CHUNK_SIZE, CHUNK_OVERLAP,
774
          MAX_CHUNKS_PER_DOC
775
          from app.utils.pdf_ingestion import process_pdfs
776
          # Process PDFs
777
         pdf_df = process_pdfs(PDF_UPLOAD_FOLDER)
778
779
          # Process chunks
780
          chunks_df = process_chunks(pdf_df, CHUNK_SIZE, CHUNK_OVERLAP, MAX_CHUNKS_PER_DOC)
781
782
         print(f"Created {len(chunks_df)} chunks")
783
         print(chunks_df.head())
784
```

Professor's Hint: When extracting text from PDFs, remember that they're essentially containers of independent objects rather than structured documents. Many PDFs, especially scientific papers with multiple columns, can be challenging to extract in reading order. Consider extracting "blocks" (as shown in the code) for a balance between structure preservation and accuracy.

5. Create a test script for PDF processing:

785

786

787

788

789 790

791

792793

794 795

796

797

799

```
touch app/tests/test_pdf_processing.py
```

6. Add the following to app/tests/test_pdf_processing.py:

```
import os
import sys
import pytest
from pathlib import Path
```

```
800
     # Add the project root to the Python path
801
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
802
803
     from app.utils.pdf_ingestion import scan_directory, extract_text_from_pdf, process_pdfs
804
     from app.utils.text_chunking import chunk_text, process_chunks
805
     from app.config.settings import PDF_UPLOAD_FOLDER, CHUNK_SIZE, CHUNK_OVERLAP
806
807
     def test_scan_directory():
808
          """Test scanning directory for PDFs."""
809
          # Create a test PDF if none exists
810
          if not list(Path(PDF_UPLOAD_FOLDER).rglob('*.pdf')):
              pytest.skip("No PDF files found for testing")
811
812
         pdfs = scan_directory(PDF_UPLOAD_FOLDER)
813
          assert len(pdfs) > 0, "No PDFs found in directory"
814
          assert 'path' in pdfs[0], "PDF info missing 'path'"
815
          assert 'filename' in pdfs[0], "PDF info missing 'filename'"
816
817
     def test_extract_text():
818
          """Test extracting text from a PDF."""
819
          # Create a test PDF if none exists
820
          if not list(Path(PDF_UPLOAD_FOLDER).rglob('*.pdf')):
821
              pytest.skip("No PDF files found for testing")
822
         pdf_path = next(Path(PDF_UPLOAD_FOLDER).rglob('*.pdf'))
823
          text = extract_text_from_pdf(str(pdf_path))
824
          assert text, "No text extracted from PDF"
825
826
     def test_chunk_text():
827
          """Test chunking text."""
828
          sample_text = """
829
          This is a sample document that will be split into chunks.
830
          It has multiple sentences and paragraphs.
831
832
          This is the second paragraph with some more text.
833
         We want to make sure the chunking works correctly.
834
         Let's add a third paragraph to ensure we have enough text to create multiple chunks.
835
         This should be enough for the test.
836
837
838
          chunks = chunk_text(sample_text, chunk_size=100, chunk_overlap=20)
839
          assert len(chunks) > 1, "Text not split into multiple chunks"
840
          assert len(chunks[0]) <= 100 + 20, "Chunk size exceeds expected maximum"
841
842
     if __name__ == "__main__":
843
          # Run tests
         pytest.main(["-xvs", __file__])
844
845
```

7. Run the test script:

```
python app/tests/test_pdf_processing.py
```

Checkpoint Questions:

847

848

849 850

 $851 \\ 852$

853

855

856

857

858

859 860

861 862

863 864

865

866

867

868 869

870

871 872

873 874

- 1. What are some challenges with extracting text from PDFs?
- 2. Why do we use chunking with overlap instead of simply splitting the text at fixed intervals?
- 3. How would the choice of chunk size and chunk overlap impact the RAG system?
- 4. How do different PDF extraction techniques handle multi-column layouts, tables, and graphics?
- 5. What architectural changes would be needed to handle non-PDF documents like Word, PowerPoint, or HTML?
- 6. How might language-specific considerations affect the text extraction and chunking process for multilingual documents?
- 7. Additional Challenge: Enhance the PDF extraction to handle tables and preserve their structure using PyMuPDF's table extraction capabilities.

Lab 2.2: Vector Database Setup and Embedding Generation.

Learning Objectives:

- Implement vector embedding generation
- Set up a vector database for semantic search
- Design an efficient document storage system

Exercises:

1. Create an embedding utility:

```
touch app/utils/embedding_generation.py
```

2. Add the following to app/utils/embedding_generation.py:

```
875
     import os
876
     import numpy as np
     import pandas as pd
878
     from typing import List, Dict, Any
879
     from sentence_transformers import SentenceTransformer
880
     from tqdm import tqdm
     import logging
881
882
     # Configure logging
883
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
884
          %(message)s')
885
     logger = logging.getLogger(__name__)
886
887
     class EmbeddingGenerator:
888
          def __init__(self, model_path: str, batch_size: int = 32):
889
890
              Initialize the embedding generator.
891
892
              Args:
893
```

```
894
                  model_path: Path to the embedding model
                  batch_size: Batch size for embedding generation
895
896
              logger.info(f"Loading embedding model from {model_path}")
              self.model = SentenceTransformer(model_path)
898
              self.batch_size = batch_size
899
              self.embedding_dim = self.model.get_sentence_embedding_dimension()
900
              logger.info(f"Model loaded with embedding dimension: {self.embedding_dim}")
901
902
          def generate_embeddings(self, texts: List[str]) -> np.ndarray:
903
904
              Generate embeddings for a list of texts.
905
              Args:
906
                  texts: List of texts to embed
907
908
              Returns:
909
                  Array of embeddings
910
911
              logger.info(f"Generating embeddings for {len(texts)} texts with batch size
912
          {self.batch_size}")
913
914
              embeddings = self.model.encode(
915
916
                  batch_size=self.batch_size,
                  show_progress_bar=True,
917
                  convert_to_numpy=True,
918
                  normalize_embeddings=True # L2 normalization for cosine similarity
919
              )
920
921
              logger.info(f"Generated embeddings with shape: {embeddings.shape}")
922
              return embeddings
923
924
          def process_dataframe(self, df: pd.DataFrame, text_column: str = 'chunk_text',
925
                                embedding_column: str = 'embedding') -> pd.DataFrame:
              ....
926
927
              Process a DataFrame and add embeddings.
928
              Args:
929
                  df: DataFrame with text chunks
930
                  text_column: Name of the column containing text
931
                  embedding_column: Name of the column to store embeddings
932
933
              Returns:
934
                  DataFrame with embeddings
935
936
              logger.info(f"Processing DataFrame with {len(df)} rows")
937
              # Get texts
938
              texts = df[text_column].tolist()
939
940
```

```
941
942
              # Generate embeddings
              embeddings = self.generate_embeddings(texts)
943
944
              # Add embeddings to DataFrame
945
              df[embedding_column] = list(embeddings)
946
947
              return df
948
949
      def embed_chunks(chunks_df: pd.DataFrame, model_path: str, batch_size: int = 32) ->
950
          pd.DataFrame:
951
952
          Generate embeddings for text chunks.
953
          Args:
954
              chunks_df: DataFrame with text chunks
955
              model_path: Path to the embedding model
956
              batch_size: Batch size for embedding generation
957
958
          Returns:
959
              DataFrame with embeddings
960
961
          # Create embedder
962
          embedder = EmbeddingGenerator(model_path, batch_size)
963
          # Process DataFrame
964
          chunks_df = embedder.process_dataframe(chunks_df)
965
966
          return chunks_df
967
968
      if __name__ == "__main__":
969
          # Example usage
970
          import sys
971
          from pathlib import Path
972
973
          # Add the project root to the Python path
974
          sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
975
          from app.config.settings import PDF_UPLOAD_FOLDER, EMBEDDING_MODEL_PATH, CHUNK_SIZE,
976
          CHUNK_OVERLAP
977
          from app.utils.pdf_ingestion import process_pdfs
978
          from app.utils.text_chunking import process_chunks
979
980
          # Process PDFs
981
          pdf_df = process_pdfs(PDF_UPLOAD_FOLDER)
982
983
          # Process chunks
984
          chunks_df = process_chunks(pdf_df, CHUNK_SIZE, CHUNK_OVERLAP)
985
986
          # Generate embeddings
```

994

995 996

```
chunks_with_embeddings = embed_chunks(chunks_df, EMBEDDING_MODEL_PATH)
988
989
         print(f"Generated embeddings for {len(chunks_with_embeddings)} chunks")
990
         print(f"Embedding dimension: {len(chunks_with_embeddings['embedding'].iloc[0])}")
991
992
```

3. Create a vector database client:

```
touch app/utils/vector_db.py
```

4. Add the following to app/utils/vector_db.py:

```
997
998
     import os
999
     import pandas as pd
1000
     import numpy as np
     from typing import List, Dict, Any, Optional, Tuple
1001
     from qdrant_client import QdrantClient
1002
     from qdrant_client.http import models
1003
     from tqdm import tqdm
1004
     import logging
1005
1006
     # Configure logging
1007
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
1008
          %(message)s')
1009
     logger = logging.getLogger(__name__)
1010
     class VectorDBClient:
1011
         def __init__(self, host: str, port: int, collection_name: str, vector_size: int):
1012
1013
              Initialize the vector database client.
1014
1015
              Args:
1016
                  host: Host of the Qdrant server
1017
                  port: Port of the Qdrant server
1018
                  collection_name: Name of the collection to use
1019
                  vector_size: Dimension of the embedding vectors
1020
1021
              logger.info(f"Connecting to Qdrant at {host}:{port}")
              self.client = QdrantClient(host=host, port=port)
1022
              self.collection_name = collection_name
1023
             self.vector_size = vector_size
1024
1025
         def create_collection(self) -> None:
1026
              """Create a collection in the vector database."""
1027
              logger.info(f"Creating collection: {self.collection_name}")
1028
1029
              # Check if collection already exists
1030
              collections = self.client.get_collections().collections
1031
              collection_names = [collection.name for collection in collections]
1032
1033
              if self.collection_name in collection_names:
1034
```

```
1035
                  logger.info(f"Collection {self.collection_name} already exists")
1036
                  return
1037
              # Create collection
1038
              self.client.create_collection(
1039
                  collection_name=self.collection_name,
1040
                  vectors_config=models.VectorParams(
1041
                      size=self.vector_size,
1042
                      distance=models.Distance.COSINE
1043
                  ),
1044
                  # Add optimizers config for better performance
1045
                  optimizers_config=models.OptimizersConfigDiff(
1046
                      memmap_threshold=20000 # Use memmapped storage for collections > 20k vectors
                  )
1047
              )
1048
1049
              logger.info(f"Collection {self.collection_name} created")
1050
1051
         def delete_collection(self) -> None:
1052
              """Delete the collection."""
1053
              logger.info(f"Deleting collection: {self.collection_name}")
1054
              try:
1055
                  self.client.delete_collection(collection_name=self.collection_name)
1056
                  logger.info(f"Collection {self.collection_name} deleted")
1057
              except Exception as e:
                  logger.error(f"Error deleting collection: {str(e)}")
1058
1059
         def upload_vectors(self, df: pd.DataFrame,
1060
                            vector_column: str = 'embedding',
1061
                            batch_size: int = 100) -> None:
1062
1063
              Upload vectors to the collection.
1064
1065
              Args:
1066
                  df: DataFrame with embeddings
1067
                  vector_column: Name of the column containing embeddings
1068
                  batch_size: Batch size for uploading
1069
              logger.info(f"Uploading {len(df)} vectors to collection {self.collection_name}")
1070
1071
              # Ensure collection exists
1072
              self.create_collection()
1073
1074
              # Prepare points for upload
1075
             points = []
1076
1077
              for i, row in tqdm(df.iterrows(), total=len(df), desc="Preparing vectors"):
1078
                  # Convert embedding to list if it's a numpy array
1079
                  embedding = row[vector_column]
1080
                  if isinstance(embedding, np.ndarray):
```

24 • Ryan Hammang

```
1082
                      embedding = embedding.tolist()
1083
                  # Create point
1084
                  point = models.PointStruct(
1085
                      id=i, # Use DataFrame index as ID
1086
                      vector=embedding,
1087
                      payload={
1088
                           'chunk_id': row['chunk_id'],
1089
                           'pdf_path': row['pdf_path'],
1090
                           'filename': row['filename'],
1091
                           'chunk_index': row['chunk_index'],
1092
                           'chunk_text': row['chunk_text'],
1093
                           'token_count': row['token_count']
                      }
1094
                  )
1095
1096
                  points.append(point)
1097
1098
              # Upload in batches
1099
              total_batches = (len(points) + batch_size - 1) // batch_size
1100
              for i in tqdm(range(0, len(points), batch_size), total=total_batches, desc="Uploading
1101
          batches"):
1102
                  batch = points[i:i+batch_size]
1103
                  self.client.upsert(
1104
                      collection_name=self.collection_name,
                      points=batch
1105
1106
1107
              logger.info(f"Uploaded {len(df)} vectors to collection {self.collection_name}")
1108
1109
          def search(self, query_vector: List[float], limit: int = 5) -> List[Dict]:
1110
1111
              Search for similar vectors.
1112
1113
              Args:
1114
                  query_vector: Query vector
1115
                  limit: Maximum number of results
1116
              Returns:
1117
                  List of search results
1118
1119
              logger.info(f"Searching collection {self.collection_name} for similar vectors")
1120
1121
              # Convert query vector to list if it's a numpy array
1122
              if isinstance(query_vector, np.ndarray):
1123
                  query_vector = query_vector.tolist()
1124
1125
              # Search
              results = self.client.search(
1126
                  collection_name=self.collection_name,
1127
1128
```

```
1129
                  query_vector=query_vector,
                  limit=limit
1130
              )
1131
1132
              # Convert to list of dictionaries
1133
              search_results = []
1134
              for result in results:
1135
                  item = result.payload
1136
                  item['score'] = result.score
1137
                  search_results.append(item)
1138
1139
              logger.info(f"Found {len(search_results)} results")
1140
              return search_results
1141
          def count_vectors(self) -> int:
1142
1143
              Count the number of vectors in the collection.
1144
1145
              Returns:
1146
                  Number of vectors
1147
              ....
1148
              try:
1149
                  count = self.client.count(collection_name=self.collection_name).count
1150
                  return count
1151
              except Exception as e:
                  logger.error(f"Error counting vectors: {str(e)}")
1152
                  return 0
1153
1154
     def setup_vector_db(host: str, port: int, collection_name: str, vector_size: int) ->
1155
          VectorDBClient:
1156
1157
          Set up the vector database.
1158
1159
          Args:
1160
              host: Host of the Qdrant server
1161
              port: Port of the Qdrant server
1162
              collection_name: Name of the collection to use
1163
              vector_size: Dimension of the embedding vectors
1164
         Returns:
1165
              Vector database client
1166
1167
          # Create client
1168
          client = VectorDBClient(host, port, collection_name, vector_size)
1169
1170
          # Create collection
1171
          client.create_collection()
1172
1173
         return client
1174
```

```
1176
     if __name__ == "__main__":
         # Example usage
1177
         import sys
1178
         from pathlib import Path
1179
1180
         # Add the project root to the Python path
1181
         sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
1182
1183
         from app.config.settings import (
1184
              VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
1185
              PDF_UPLOAD_FOLDER, EMBEDDING MODEL_PATH, CHUNK_SIZE, CHUNK_OVERLAP
1186
         )
         from app.utils.pdf_ingestion import process_pdfs
1187
         from app.utils.text_chunking import process_chunks
1188
         from app.utils.embedding_generation import embed_chunks
1189
1190
         # Process PDFs
1191
         pdf_df = process_pdfs(PDF_UPLOAD_FOLDER)
1192
1193
         # Process chunks
1194
         chunks_df = process_chunks(pdf_df, CHUNK_SIZE, CHUNK_OVERLAP)
1195
1196
         # Generate embeddings
1197
         chunks_with_embeddings = embed_chunks(chunks_df, EMBEDDING_MODEL_PATH)
1198
         # Set up vector database
1199
         vector_db = setup_vector_db(VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME,
1200
          VECTOR_DIMENSION)
1201
1202
         # Upload vectors
1203
         vector_db.upload_vectors(chunks_with_embeddings)
1204
1205
         # Count vectors
1206
         count = vector_db.count_vectors()
1207
         print(f"Vector database contains {count} vectors")
1208
```

Professor's Hint: Vector database performance is critical for RAG applications. Qdrant offers excellent performance with minimal resource usage, making it suitable for our MacMini deployment. The cosine distance metric is used because our embeddings are normalized, making it equivalent to dot product but slightly more intuitivea score of 1.0 means perfect similarity.

5. Create a pipeline script to orchestrate the entire process:

```
touch app/pipeline.py
```

6. Add the following to app/pipeline.py:

1209

1210

1211

1212

1213

1214 1215

1217

1218

```
1219 import os
1220 import sys
1221 import argparse
1222
```

```
1223
     import logging
     from pathlib import Path
1224
1225
     # Configure logging
1226
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
1227
         %(message)s')
1228
     logger = logging.getLogger(__name__)
1229
1230
     # Add the project root to the Python path
1231
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent))
1232
1233
     from app.config.settings import (
1234
         PDF_UPLOAD_FOLDER, EMBEDDING_MODEL_PATH, CHUNK_SIZE, CHUNK_OVERLAP, MAX_CHUNKS_PER_DOC,
         VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION
1235
1236
     from app.utils.pdf_ingestion import process_pdfs
1237
     from app.utils.text_chunking import process_chunks
1238
     from app.utils.embedding_generation import embed_chunks
1239
     from app.utils.vector_db import setup_vector_db
1240
1241
     def run_pipeline(pdf_dir: str, rebuild_index: bool = False):
1242
1243
         Run the full pipeline from PDF ingestion to vector database upload.
1244
1245
         Args:
              pdf_dir: Directory containing PDF files
1246
             rebuild_index: Whether to rebuild the vector index (delete and recreate)
1247
1248
         logger.info(f"Starting pipeline with PDF directory: {pdf_dir}")
1249
1250
         # Step 1: Process PDFs
1251
         logger.info("Step 1: Processing PDFs")
1252
         pdf_df = process_pdfs(pdf_dir)
1253
         logger.info(f"Processed {len(pdf_df)} PDFs")
1254
1255
         # Step 2: Process chunks
1256
         logger.info("Step 2: Processing chunks")
         chunks_df = process_chunks(pdf_df, CHUNK_SIZE, CHUNK_OVERLAP, MAX_CHUNKS_PER_DOC)
1257
         logger.info(f"Created {len(chunks_df)} chunks")
1258
1259
         # Step 3: Generate embeddings
1260
         logger.info("Step 3: Generating embeddings")
1261
         chunks_with_embeddings = embed_chunks(chunks_df, EMBEDDING_MODEL_PATH)
1262
         logger.info(f"Generated embeddings for {len(chunks_with_embeddings)} chunks")
1263
1264
         # Step 4: Set up vector database
1265
         logger.info("Step 4: Setting up vector database")
1266
         vector_db = setup_vector_db(VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME,
1267
          VECTOR_DIMENSION)
1268
```

28 • Ryan Hammang

1294

1295

1296 1297

1298

```
1270
         # Delete collection if rebuilding index
         if rebuild_index:
1271
              logger.info("Rebuilding vector index: deleting existing collection")
1272
              vector_db.delete_collection()
1273
              vector_db.create_collection()
1274
1275
         # Step 5: Upload vectors
1276
         logger.info("Step 5: Uploading vectors")
1277
         vector_db.upload_vectors(chunks_with_embeddings)
1278
1279
         # Verify upload
1280
         count = vector_db.count_vectors()
         logger.info(f"Pipeline complete. Vector database contains {count} vectors")
1281
1282
     if __name__ == "__main__":
1283
         # Parse arguments
1284
         parser = argparse.ArgumentParser(description='Run the PDF processing pipeline')
1285
         parser.add_argument('--pdf-dir', type=str, default=PDF_UPLOAD_FOLDER,
1286
                              help='Directory containing PDF files')
1287
         parser.add_argument('--rebuild', action='store_true',
1288
                              help='Rebuild the vector index (delete and recreate)')
1289
         args = parser.parse_args()
1290
1291
         # Run pipeline
         run_pipeline(args.pdf_dir, args.rebuild)
1292
1293
```

7. Create a test script for the vector database:

```
touch app/tests/test_vector_db.py
```

8. Add the following to app/tests/test_vector_db.py:

```
1299
     import os
1300
     import sys
1301
     import pytest
1302
     import numpy as np
1303
     from pathlib import Path
1304
     # Add the project root to the Python path
1305
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
1306
1307
     from app.utils.vector_db import VectorDBClient
1308
     from app.config.settings import VECTOR_DB_HOST, VECTOR_DB_PORT, VECTOR_DIMENSION
1309
1310
     def test_vector_db_connection():
1311
          """Test connecting to the vector database."""
1312
         # Create client
1313
         client = VectorDBClient(VECTOR_DB_HOST, VECTOR_DB_PORT, "test_collection",
1314
          VECTOR_DIMENSION)
1315
1316
```

```
1317
         # Check connection
1318
         try:
              collections = client.client.get_collections()
1319
              assert collections is not None, "Failed to get collections"
1320
         except Exception as e:
1321
             pytest.fail(f"Failed to connect to vector database: {str(e)}")
1322
1323
     def test_collection_operations():
1324
         """Test collection operations."""
1325
         # Create client
1326
         client = VectorDBClient(VECTOR_DB_HOST, VECTOR_DB_PORT, "test_collection",
1327
          VECTOR_DIMENSION)
1328
         # Create collection
1329
         client.create_collection()
1330
1331
         # Check if collection exists
1332
         collections = client.client.get_collections().collections
1333
         collection_names = [collection.name for collection in collections]
1334
         assert "test_collection" in collection_names, "Collection not created"
1335
1336
         # Delete collection
1337
         client.delete_collection()
1338
1339
         # Check if collection is deleted
         collections = client.client.get_collections().collections
1340
         collection_names = [collection.name for collection in collections]
1341
         assert "test_collection" not in collection_names, "Collection not deleted"
1342
1343
     def test_vector_operations():
1344
         """Test vector operations."""
1345
         # Create client
1346
         client = VectorDBClient(VECTOR_DB_HOST, VECTOR_DB_PORT, "test_vectors", VECTOR_DIMENSION)
1347
1348
         # Create collection and clear any existing data
1349
         client.delete_collection()
1350
         client.create_collection()
1351
         # Create test vectors
1352
         import pandas as pd
1353
1354
         # Create 10 random test vectors
1355
         np.random.seed(42) # For reproducibility
1356
         test_vectors = []
1357
         for i in range(10):
1358
             vec = np.random.rand(VECTOR_DIMENSION)
1359
              # Normalize for cosine similarity
1360
              vec = vec / np.linalg.norm(vec)
1361
              test_vectors.append(vec)
1362
```

```
1364
         # Create test dataframe
         df = pd.DataFrame({
1365
              'chunk_id': [f"chunk_{i}" for i in range(10)],
1366
              'pdf_path': [f"/path/to/pdf_{i}.pdf" for i in range(10)],
1367
              'filename': [f"pdf_{i}.pdf" for i in range(10)],
1368
              'chunk_index': list(range(10)),
1369
              'chunk_text': [f"This is test chunk {i}" for i in range(10)],
1370
              'token_count': [len(f"This is test chunk {i}".split()) for i in range(10)],
1371
              'embedding': test_vectors
1372
         })
1373
1374
         # Upload vectors
         client.upload_vectors(df)
1375
1376
         # Check if vectors are uploaded
1377
         count = client.count_vectors()
1378
         assert count == 10, f"Expected 10 vectors, got {count}"
1379
1380
         # Search for similar vector
1381
         results = client.search(test_vectors[0])
1382
         assert len(results) > 0, "No search results returned"
1383
         assert results[0]['chunk_id'] == "chunk_0", "First result should be the query vector
1384
          itself"
1385
1386
         # Clean up
         client.delete_collection()
1387
1388
     if __name__ == "__main__":
1389
         # Run tests
1390
         pytest.main(["-xvs", __file__])
1391
```

Checkpoint Questions:

1392 1393

1394

1395

1396

1397

1398

1399

1400

1401

1402

1403

1404

1405

1406 1407

1408

1410

- 1. Why do we normalize embeddings before storing them in the vector database?
- 2. What are the tradeoffs between different distance metrics (cosine, Euclidean, dot product)?
- 3. How does batch processing improve performance when generating embeddings or uploading to the vector database?
- 4. How would you modify the embedding strategy if you needed to handle documents in multiple languages?
- 5. How does the choice of vector dimension affect the semantic richness versus computational efficiency tradeoff?
- 6. What information might be lost during the chunking process, and how could this affect retrieval quality?
- 7. Additional Challenge: Implement a method to incrementally update the vector database when new PDFs are added, without reprocessing the entire corpus.

Lab 3: Query Processing and RAG Implementation

1409 Lab 3.1: Vector Search and Re-ranking.

Learning Objectives:

- Implement effective query processing
- Build a re-ranking system for search results
- Optimize search relevance using modern techniques

Exercises:

1411

1412

1413

1414

1415 1416

1417

1418 1419

1420 1421

1. Create a query processing utility:

```
touch app/utils/query_processing.py
```

2. Add the following to app/utils/query_processing.py:

```
1422
1423
     import os
     import numpy as np
1424
     from typing import List, Dict, Any
1425
     from sentence_transformers import SentenceTransformer
1426
     import logging
1427
1428
     # Configure logging
1429
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
1430
          %(message)s')
1431
     logger = logging.getLogger(__name__)
1432
1433
     class QueryProcessor:
1434
         def __init__(self, model_path: str):
1435
              Initialize the query processor.
1436
1437
              Args:
1438
                  model_path: Path to the embedding model
1439
1440
              logger.info(f"Loading embedding model from {model_path}")
1441
              self.model = SentenceTransformer(model_path)
1442
              self.embedding_dim = self.model.get_sentence_embedding_dimension()
1443
              logger.info(f"Model loaded with embedding dimension: {self.embedding_dim}")
1444
1445
          def process_query(self, query: str) -> np.ndarray:
1446
              Process a query and generate an embedding.
1447
1448
              Args:
1449
                  query: Query text
1450
1451
              Returns:
1452
                  Query embedding
1453
1454
              logger.info(f"Processing query: {query}")
1455
              # Generate embedding
1456
1457
```

32 • Ryan Hammang

```
1458
              embedding = self.model.encode(
1459
                  query,
                  convert_to_numpy=True,
1460
                  normalize_embeddings=True # L2 normalization for cosine similarity
1461
              )
1462
1463
              logger.info(f"Generated query embedding with shape: {embedding.shape}")
1464
              return embedding
1465
1466
     def process_query(query: str, model_path: str) -> np.ndarray:
1467
1468
         Process a query and generate an embedding.
1469
         Args:
1470
              query: Query text
1471
              model_path: Path to the embedding model
1472
1473
         Returns:
1474
              Query embedding
1475
1476
         processor = QueryProcessor(model_path)
1477
         return processor.process_query(query)
1478
1479
     if __name__ == "__main__":
1480
         # Example usage
         import sys
1481
         from pathlib import Path
1482
1483
         # Add the project root to the Python path
1484
         sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
1485
1486
         from app.config.settings import EMBEDDING_MODEL_PATH
1487
1488
         # Process a query
1489
         query = "What is retrieval-augmented generation?"
1490
         embedding = process_query(query, EMBEDDING_MODEL_PATH)
1491
         print(f"Query embedding shape: {embedding.shape}")
1492
```

3. Create a re-ranking utility:

1493

1494

1495 1496

1497

```
touch app/utils/reranking.py
```

4. Add the following to app/utils/reranking.py:

```
import os
import numpy as np
from typing import List, Dict, Any
from sentence_transformers import CrossEncoder
import logging

found import os
import os
import numpy as np
from typing import List, Dict, Any
from sentence_transformers import CrossEncoder
import logging
```

```
1505
     # Configure logging
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
1506
          %(message)s')
1507
     logger = logging.getLogger(__name__)
1508
1509
     class Reranker:
1510
          def __init__(self, model_path: str):
1511
1512
              Initialize the reranker.
1513
1514
              Args:
1515
                  model_path: Path to the reranker model
1516
              logger.info(f"Loading reranker model from {model_path}")
1517
              self.model = CrossEncoder(model_path, max_length=512)
1518
              logger.info("Reranker model loaded")
1519
1520
          def rerank(self, query: str, results: List[Dict[str, Any]]) -> List[Dict[str, Any]]:
1521
1522
              Rerank search results.
1523
1524
              Args:
1525
                  query: Query text
1526
                  results: List of search results
1527
              Returns:
1528
                  Reranked results
1529
1530
              logger.info(f"Reranking {len(results)} results for query: {query}")
1531
1532
              if not results:
1533
                  return []
1534
1535
              # Create pairs for the cross-encoder
1536
              pairs = [(query, result['chunk_text']) for result in results]
1537
1538
              # Get scores
              scores = self.model.predict(pairs)
1539
1540
              # Add scores to results
1541
              for i, score in enumerate(scores):
1542
                  results[i]['rerank_score'] = float(score)
1543
1544
              # Sort by rerank score
1545
              reranked_results = sorted(results, key=lambda x: x['rerank_score'], reverse=True)
1546
1547
              logger.info("Reranking complete")
1548
              return reranked_results
1549
1550
```

```
1552
     def rerank_results(query: str, results: List[Dict[str, Any]], model_path: str) ->
          List[Dict[str, Any]]:
1553
1554
         Rerank search results.
1555
1556
         Args:
1557
              query: Query text
1558
             results: List of search results
1559
             model_path: Path to the reranker model
1560
1561
         Returns:
1562
             Reranked results
1563
         reranker = Reranker(model_path)
1564
         return reranker.rerank(query, results)
1565
1566
     if __name__ == "__main__":
1567
         # Example usage
1568
         import sys
1569
         from pathlib import Path
1570
1571
         # Add the project root to the Python path
1572
         sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
1573
1574
         from app.config.settings import (
              RERANKER_MODEL_PATH, EMBEDDING_MODEL_PATH,
1575
              VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION
1576
         )
1577
         from app.utils.query_processing import process_query
1578
         from app.utils.vector_db import VectorDBClient
1579
1580
         # Process a query
1581
         query = "What is retrieval-augmented generation?"
1582
         embedding = process_query(query, EMBEDDING_MODEL_PATH)
1583
1584
         # Search the vector database
         vector_db = VectorDBClient(VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME,
1585
          VECTOR_DIMENSION)
1586
         results = vector_db.search(embedding, limit=10)
1587
1588
         # Rerank results
1589
         reranked_results = rerank_results(query, results, RERANKER_MODEL_PATH)
1590
1591
         # Print results
1592
         print("Reranked results:")
1593
         for i, result in enumerate(reranked_results[:5]):
1594
             print(f"{i+1}. Score: {result['rerank_score']:.4f}, Original Score:
1595
          {result['score']:.4f}")
             print(f"
                        Text: {result['chunk_text'][:100]}...")
1596
1597
```

Professor's Hint: Re-ranking is one of the most effective yet underutilized techniques in RAG systems. While vector similarity gives us a "ballpark" match, cross-encoders consider the query and document together to produce much more accurate relevance scores. The computational cost is higher, but since we only re-rank a small number of results, the overall impact is minimal.

5. Create a search pipeline that combines vector search and re-ranking:

```
touch app/utils/search.py
```

6. Add the following to app/utils/search.py:

1599

1600 1601

1602

1603

1604 1605

1606 1607

```
1609
     import os
1610
     from typing import List, Dict, Any
1611
     import logging
1612
     # Configure logging
1613
     logging.basicConfig(level=logging.INFO, format='\(\(\alpha\) asctime)s - \(\(\alpha\) (name)s - \(\alpha\) (levelname)s -
1614
          %(message)s')
1615
     logger = logging.getLogger(__name__)
1616
1617
      class SearchPipeline:
1618
          def __init__(self, vector_db_client, query_processor, reranker,
1619
                       max_results: int = 10, rerank_results: int = 10):
1620
              ....
1621
              Initialize the search pipeline.
1622
1623
              Args:
                   vector_db_client: Vector database client
1624
                  query_processor: Query processor
1625
                  reranker: Reranker
1626
                  max_results: Maximum number of results to return
1627
                   rerank_results: Number of results to rerank
1628
1629
              self.vector_db_client = vector_db_client
1630
              self.query_processor = query_processor
1631
              self.reranker = reranker
1632
              self.max_results = max_results
1633
              self.rerank_results = rerank_results
1634
          def search(self, query: str) -> List[Dict[str, Any]]:
1635
1636
              Search for documents relevant to a query.
1637
1638
              Args:
1639
                   query: Query text
1640
1641
              Returns:
1642
                   Search results
1643
              logger.info(f"Searching for: {query}")
1644
1645
```

```
1646
              # Process query
1647
              query_embedding = self.query_processor.process_query(query)
1648
1649
              # Search vector database
1650
              vector_results = self.vector_db_client.search(query_embedding,
1651
          limit=self.rerank_results)
1652
              logger.info(f"Found {len(vector_results)} results from vector search")
1653
1654
              if not vector_results:
1655
                  logger.warning("No results found in vector search")
1656
                  return []
1657
              # Rerank results
1658
              reranked_results = self.reranker.rerank(query, vector_results)
1659
              logger.info("Results reranked")
1660
1661
              # Return top results
1662
             return reranked_results[:self.max_results]
1663
1664
     def create_search_pipeline(vector_db_host: str, vector_db_port: int, collection_name: str,
1665
                                vector_dimension: int, embedding_model_path: str,
1666
          reranker_model_path: str,
1667
                                max_results: int = 5, rerank_top_k: int = 10):
1668
         Create a search pipeline.
1669
1670
         Args:
1671
              vector_db_host: Host of the vector database
1672
             vector_db_port: Port of the vector database
1673
              collection_name: Name of the collection
1674
              vector_dimension: Dimension of the embedding vectors
1675
              embedding_model_path: Path to the embedding model
1676
              reranker_model_path: Path to the reranker model
1677
              max_results: Maximum number of results to return
1678
             rerank_top_k: Number of results to rerank
1679
         Returns:
1680
             Search pipeline
1681
1682
         # Import here to avoid circular imports
1683
         from app.utils.vector_db import VectorDBClient
1684
         from app.utils.query_processing import QueryProcessor
1685
         from app.utils.reranking import Reranker
1686
1687
         # Create components
1688
         vector_db_client = VectorDBClient(vector_db_host, vector_db_port, collection_name,
1689
          vector_dimension)
         query_processor = QueryProcessor(embedding_model_path)
1690
         reranker = Reranker(reranker_model_path)
1691
1692
```

```
1693
          # Create pipeline
1694
         pipeline = SearchPipeline(
1695
              vector_db_client, query_processor, reranker,
1696
              max_results, rerank_top_k
1697
1698
1699
         return pipeline
1700
1701
      if __name__ == "__main__":
1702
          # Example usage
1703
          import sys
1704
          from pathlib import Path
1705
          # Add the project root to the Python path
1706
          sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
1707
1708
          from app.config.settings import (
1709
              VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
1710
              EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH
1711
         )
1712
1713
          # Create search pipeline
1714
         pipeline = create_search_pipeline(
              VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
1715
              EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH
1716
          )
1717
1718
          # Search
1719
          query = "What is retrieval-augmented generation?"
1720
         results = pipeline.search(query)
1721
1722
          # Print results
1723
         print(f"Top {len(results)} results for query: {query}")
1724
          for i, result in enumerate(results):
1725
              print(f"{i+1}. Score: {result['rerank_score']:.4f}, Vector Score:
1726
          {result['score']:.4f}")
                         File: {result['filename']}")
1727
              print(f"
              print(f"
                         Text: {result['chunk_text'][:200]}...")
1728
              print()
1729
1730
```

Checkpoint Questions:

1731

1732

1733

1734

1735 1736

1737

- 1. How does the two-stage retrieval process (vector search re-ranking) improve result quality?
- 2. What are the performance implications of increasing the number of results to re-rank?
- 3. Why is it important to normalize query embeddings in the same way as document embeddings?
- 4. How might different similarity thresholds affect recall versus precision in retrieval results?
- 5. What architectural changes would be needed if you wanted to incorporate hybrid search (combining vector similarity with keyword matching)?

Ryan Hammang

- 1740 6. How would the search pipeline need to be modified to support multi-modal queries (such as image 1741
 - 7. Additional Challenge: Implement a hybrid search that combines vector similarity with BM25 keyword matching for improved retrieval of rare terms and phrases.

Lab 3.2: LLM Integration and Response Generation.

Learning Objectives:

- Integrate a local LLM for answer generation
- Design effective prompts for RAG systems
- Implement context augmentation techniques

Exercises:

1742

1743 1744

1745

1746 1747

1748

1749

1750

1751 1752

1753

17541755

1. Create an LLM utility:

```
touch app/utils/llm.py
```

2. Add the following to app/utils/llm.py:

```
1756
1757
     import os
1758
     from typing import Dict, Any, List, Optional
1759
     from llama_cpp import Llama
1760
     import logging
1761
1762
     # Configure logging
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
1763
          %(message)s')
1764
     logger = logging.getLogger(__name__)
1765
1766
     class LLMProcessor:
1767
         def __init__(self, model_path: str, context_size: int = 2048, max_tokens: int = 512):
1768
1769
              Initialize the LLM processor.
1770
1771
              Args:
1772
                  model_path: Path to the LLM model
1773
                  context_size: Context size for the model
                  max_tokens: Maximum number of tokens to generate
1774
1775
              logger.info(f"Loading LLM from {model_path}")
1776
              self.model = Llama(
1777
                  model_path=model_path,
1778
                  n_ctx=context_size,
1779
                  n_batch=512, # Adjust based on available RAM
1780
              )
1781
              self.max_tokens = max_tokens
1782
              logger.info("LLM loaded")
1783
1784
     def create_prompt(self, query: str, context: List[Dict[str, Any]]) -> str:
1785
1786
```

```
1787
          Create a prompt for the LLM.
1788
          Args:
1789
              query: User query
1790
              context: List of context documents
1791
1792
          Returns:
1793
              Formatted prompt
1794
1795
          # Format context
1796
          context_text = ""
1797
          for i, doc in enumerate(context):
1798
              context_text += f"Document {i+1}:\n{doc['chunk_text']}\n\n"
1799
          # Create prompt for Llama 3
1800
          prompt = f"""<|system|>
1801
     You are a helpful AI assistant that provides accurate and concise answers based on the
1802
          provided context documents.
1803
     If the answer is not contained in the documents, say "I don't have enough information to
1804
          answer this question."
1805
     Do not make up or hallucinate any information that is not supported by the documents.
1806
     </|system|>
1807
1808
     <|user|>
1809
     I need information about the following topic:
1810
     {query}
1811
1812
     Here are relevant documents to help answer this question:
1813
1814
     {context_text}
1815
      </|user|>
1816
1817
     <|assistant|>
1818
1819
          return prompt
1820
1821
          def generate_response(self, prompt: str) -> Dict[str, Any]:
1822
              Generate a response from the LLM.
1823
1824
              Args:
1825
                  prompt: Formatted prompt
1826
1827
              Returns:
1828
                  Response with text and metadata
1829
1830
              logger.info("Generating LLM response")
1831
1832
              # Generate response
1833
```

40 • Ryan Hammang

```
1834
              response = self.model(
1835
                  prompt,
                  max_tokens=self.max_tokens,
1836
                  stop=["User query:", "\n\n"],
1837
                  temperature=0.2, # Lower temperature for more factual responses
1838
                  top_p=0.9,
1839
                  top_k=40,
1840
                  repeat_penalty=1.1
1841
              )
1842
1843
              # Extract text
1844
              response_text = response['choices'][0]['text'].strip()
1845
              # Get metadata
1846
              metadata = {
1847
                  'tokens_used': len(response['usage']['prompt_tokens']) +
1848
          len(response['usage']['completion_tokens']),
1849
                   'prompt_tokens': len(response['usage']['prompt_tokens']),
1850
                   'completion_tokens': len(response['usage']['completion_tokens']),
1851
              }
1852
1853
              logger.info(f"Generated response with {metadata['completion_tokens']} tokens")
1854
1855
              return {
1856
                  'text': response_text,
                   'metadata': metadata
1857
              }
1858
1859
      class RAGProcessor:
1860
          def __init__(self, search_pipeline, llm_processor):
1861
1862
              Initialize the RAG processor.
1863
1864
              Args:
1865
                  search_pipeline: Search pipeline
1866
                  llm_processor: LLM processor
1867
              self.search_pipeline = search_pipeline
1868
              self.llm_processor = llm_processor
1869
1870
          def process_query(self, query: str) -> Dict[str, Any]:
1871
1872
              Process a query using RAG.
1873
1874
              Args:
1875
                  query: User query
1876
1877
              Returns:
                  Response with text, sources, and metadata
1878
1879
1880
```

, Vol. 1, No. 1, Article . Publication date: March 2025.

```
1881
              logger.info(f"Processing RAG query: {query}")
1882
              # Search for relevant documents
1883
              search_results = self.search_pipeline.search(query)
1884
1885
              if not search_results:
1886
                  return {
1887
                       'text': "I couldn't find any relevant information to answer your question.",
1888
                       'sources': [],
1889
                       'metadata': {'search_results': 0}
1890
                  }
1891
1892
              # Create prompt
              prompt = self.llm_processor.create_prompt(query, search_results)
1893
1894
              # Generate response
1895
              response = self.llm_processor.generate_response(prompt)
1896
1897
              # Format sources
1898
              sources = []
1899
              for result in search_results:
1900
                  sources.append({
1901
                       'filename': result['filename'],
1902
                       'chunk_text': result['chunk_text'],
1903
                       'rerank_score': result['rerank_score'],
                       'vector_score': result['score']
1904
                  })
1905
1906
              # Combine results
1907
              return {
1908
                  'text': response['text'],
1909
                  'sources': sources,
1910
                  'metadata': {
1911
                       'llm': response['metadata'],
1912
                       'search_results': len(search_results)
1913
                  }
1914
              }
1915
     def create_rag_processor(search_pipeline, llm_model_path: str,
1916
                              context_size: int = 2048, max_tokens: int = 512) -> RAGProcessor:
1917
1918
          Create a RAG processor.
1919
1920
          Args:
1921
              search_pipeline: Search pipeline
1922
              llm_model_path: Path to the LLM model
1923
              context_size: Context size for the model
1924
              max_tokens: Maximum number of tokens to generate
1925
1926
         Returns:
1927
```

```
1928
             RAG processor
1929
         # Create LLM processor
1930
         llm_processor = LLMProcessor(llm_model_path, context_size, max_tokens)
1931
1932
         # Create RAG processor
1933
         rag_processor = RAGProcessor(search_pipeline, llm_processor)
1934
1935
         return rag_processor
1936
1937
     if __name__ == "__main__":
1938
         # Example usage
1939
         import sys
         from pathlib import Path
1940
1941
         # Add the project root to the Python path
1942
         sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
1943
1944
         from app.config.settings import (
1945
              VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
1946
             EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH, LLM_MODEL_PATH
1947
         )
1948
         from app.utils.search import create_search_pipeline
1949
1950
         # Create search pipeline
         search_pipeline = create_search_pipeline(
1951
              VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
1952
              EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH
1953
         )
1954
1955
         # Create RAG processor
1956
         rag_processor = create_rag_processor(search_pipeline, LLM_MODEL_PATH)
1957
1958
         # Process query
1959
         query = "What is retrieval-augmented generation?"
1960
         response = rag_processor.process_query(query)
1961
         # Print response
1962
         print(f"Query: {query}")
1963
         print(f"Response: {response['text']}")
1964
         print(f"Sources: {len(response['sources'])}")
1965
         for i, source in enumerate(response['sources']):
1966
             print(f"Source {i+1}: {source['filename']} (Score: {source['rerank_score']:.4f})")
1967
```

Professor's Hint: Prompt engineering is critical for effective RAG systems. The prompt should guide the LLM to focus on the provided context and avoid hallucination. Setting a lower temperature (e.g., 0.2) helps produce more factual, deterministic responses based on the context.

3. Create a complete RAG application:

1968

1969

1970

1971 1972

```
touch app/rag_app.py
```

4. Add the following to app/rag_app.py:

1975

```
1978
     import os
1979
     import sys
1980
      import argparse
1981
      import logging
1982
      from pathlib import Path
1983
1984
     # Configure logging
1985
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
1986
          %(message)s')
1987
     logger = logging.getLogger(__name__)
1988
     # Add the project root to the Python path
1989
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent))
1990
1991
     from app.config.settings import (
1992
         VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
1993
         EMBEDDING MODEL PATH, RERANKER MODEL PATH, LLM MODEL PATH
1994
1995
     from app.utils.search import create_search_pipeline
1996
     from app.utils.llm import create_rag_processor
1997
1998
     class RAGApplication:
          def __init__(self):
1999
              """Initialize the RAG application."""
2000
              logger.info("Initializing RAG application")
2001
2002
              # Create search pipeline
2003
              self.search_pipeline = create_search_pipeline(
2004
                  VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
2005
                  EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH
2006
              )
2007
2008
              # Create RAG processor
2009
              self.rag_processor = create_rag_processor(self.search_pipeline, LLM_MODEL_PATH)
2010
              logger.info("RAG application initialized")
2011
2012
          def process_query(self, query: str):
2013
2014
              Process a query.
2015
2016
              Args:
2017
                  query: User query
2018
2019
              Returns:
2020
                  RAG response
2021
```

44 Ryan Hammang

```
....
2022
              return self.rag_processor.process_query(query)
2023
2024
     def interactive_mode(app):
2025
          """Run the application in interactive mode."""
2026
         print("RAG Application - Interactive Mode")
2027
         print("Type 'exit' or 'quit' to end the session")
2028
         print("-----
2029
2030
          while True:
2031
              query = input("\nEnter your question: ")
2032
              if query.lower() in ['exit', 'quit']:
2033
                  print("Exiting...")
2034
                  break
2035
2036
              try:
2037
                  response = app.process_query(query)
2038
2039
                  print("\nAnswer:")
2040
                  print(response['text'])
2041
2042
                  print("\nSources:")
2043
                  for i, source in enumerate(response['sources']):
2044
                      print(f"{i+1}. {source['filename']} (Score: {source['rerank_score']:.4f})")
                                 Excerpt: {source['chunk_text'][:100]}...")
2045
2046
                  print("\nMetadata:")
2047
                  print(f"Total tokens: {response['metadata']['llm']['tokens_used']}")
2048
                  print(f"Search results: {response['metadata']['search_results']}")
2049
2050
              except Exception as e:
2051
                  logger.error(f"Error processing query: {str(e)}")
2052
                  print(f"Error: {str(e)}")
2053
2054
     if __name__ == "__main__":
2055
          # Parse arguments
         parser = argparse.ArgumentParser(description='Run the RAG application')
2056
         parser.add_argument('--interactive', action='store_true',
2057
                               help='Run in interactive mode')
2058
         parser.add_argument('--query', type=str,
2059
                               help='Query to process')
2060
          args = parser.parse_args()
2061
2062
          # Initialize application
2063
          app = RAGApplication()
2064
2065
          if args.interactive:
2066
              interactive_mode(app)
          elif args.query:
2067
2068
```

Checkpoint Questions:

2074 2075

2076

2077

2078

2079

2080 2081

2082

2083

2084

2085

2086

2087

2088 2089

2090

2091

2092 2093

2094

2095

2096 2097

2098

2099

2100 2101

2102

- 1. How does the prompt structure influence the quality of LLM responses in a RAG system?
- 2. What LLM parameters (temperature, top_p, etc.) are most important for RAG applications and why?
- 3. How do we handle the case where no relevant documents are found in the search phase?
- 4. What are the ethical considerations when an LLM generates answers that contradict the retrieved context?
- 5. How would you modify the system to support providing citations or references in the generated responses?
- 6. What techniques could you implement to reduce hallucinations in the generated answers?
- 7. How does the choice of context window size affect the tradeoff between comprehensive context and response quality?
- 8. Additional Challenge: Implement a document citation mechanism that links specific parts of the response to the source documents, allowing users to verify information.

Lab 5.2: HTML Templates and Static Files.

Learning Objectives:

- Create responsive HTML templates using Bootstrap
- Implement client-side functionality with JavaScript
- Design an intuitive user interface for RAG interactions

Exercises:

1. Create the base template:

```
mkdir -p flask-app/templates
touch flask-app/templates/base.html
```

2. Add the following to flask-app/templates/base.html:

```
2103
     <!DOCTYPE html>
     <html lang="en">
2104
      <head>
2105
          <meta charset="UTF-8">
2106
          <meta name="viewport" content="width=device-width, initial-scale=1.0">
2107
          <title>{% block title %}Local RAG System{% endblock %}</title>
2108
2109
          <!-- Bootstrap CSS -->
2110
          link
2111
          href="https://cdn.jsdelivr.net/npm/bootstrap@5.3.0-alpha1/dist/css/bootstrap.min.css"
2112
          rel="stylesheet">
2113
2114
          <!-- Font Awesome -->
2115
```

```
2116
         <link rel="stylesheet"</pre>
         href="https://cdnjs.cloudflare.com/ajax/libs/font-awesome/6.0.0/css/all.min.css">
2117
2118
         <!-- Custom CSS -->
2119
         <link rel="stylesheet" href="{{ url_for('static', filename='css/style.css') }}">
2120
2121
         {% block extra_css %}{% endblock %}
2122
     </head>
2123
     <body>
2124
         <!-- Navigation -->
2125
         <nav class="navbar navbar-expand-lg navbar-dark bg-primary">
2126
             <div class="container">
2127
                 <a class="navbar-brand" href="{{ url_for('index') }}">Local RAG System</a>
                 <button class="navbar-toggler" type="button" data-bs-toggle="collapse"</pre>
2128
         data-bs-target="#navbarNav">
2129
                     <span class="navbar-toggler-icon"></span>
2130
                 </button>
2131
                 <div class="collapse navbar-collapse" id="navbarNav">
2132
                     2133
                         class="nav-item">
2134
                             <a class="nav-link {% if request.path == url_for('index') %}active{%</pre>
2135
         endif %}" href="{{ url_for('index') }}">Home</a>
2136
                         </1i>
2137
                         <a class="nav-link {% if request.path == url_for('upload') %}active{%</pre>
2138
         endif %}" href="{{ url_for('upload') }}">Upload</a>
2139
                         2140
                         2141
                             <a class="nav-link {% if request.path == url_for('documents')</pre>
2142
         %}active{% endif %}" href="{{ url_for('documents') }}">Documents</a>
2143
                         2144
                         2145
                             <a class="nav-link {% if request.path == url_for('ask') %}active{%</pre>
2146
         endif %}" href="{{ url_for('ask') }}">Ask Questions</a>
2147
                         2148
                     2149
                     <!-- System Status -->
2150
                     <div class="ms-auto">
2151
                         <span class="navbar-text" id="system-status">
2152
                             <i class="fas fa-circle-notch fa-spin"></i> Checking system status...
2153
                         </span>
2154
                     </div>
2155
                 </div>
2156
             </div>
2157
         </nav>
2158
2159
         <!-- Main Content -->
2160
         <div class="container my-4">
             <!-- Flash Messages -->
2161
2162
```

```
2163
              {% with messages = get_flashed_messages(with_categories=true) %}
                  {% if messages %}
2164
                      {% for category, message in messages %}
2165
                          <div class="alert alert-{{ category if category != 'message' else 'info'</pre>
2166
          }} alert-dismissible fade show">
2167
                               {{ message }}
2168
                               <button type="button" class="btn-close"</pre>
2169
          data-bs-dismiss="alert"></button>
2170
                           </div>
2171
                      {% endfor %}
2172
                  {% endif %}
2173
              {% endwith %}
2174
              <!-- Page Content -->
2175
              {% block content %}{% endblock %}
2176
          </div>
2177
2178
          <!-- Footer -->
2179
          <footer class="bg-light py-3 mt-5">
2180
              <div class="container text-center">
2181
                  Local RAG System © 2025
2182
              </div>
2183
          </footer>
2184
2185
          <!-- Bootstrap JS Bundle -->
          <script
2186
          src="https://cdn.jsdelivr.net/npm/bootstrap@5.3.0-alpha1/dist/js/bootstrap.bundle.min.js"></script>
2187
2188
          <!-- System Status Check -->
2189
          <script>
2190
              document.addEventListener('DOMContentLoaded', function() {
2191
                  // Check system status
2192
                  fetch('/api/health')
2193
                       .then(response => response.json())
2194
                       .then(data => {
2195
                           const statusEl = document.getElementById('system-status');
2196
                           if (data.mlflow) {
                               statusEl.innerHTML = '<i class="fas fa-check-circle text-success"></i>
2197
          System Ready';
2198
                               statusEl.classList.add('text-success');
2199
                          } else {
2200
                               statusEl.innerHTML = '<i class="fas fa-exclamation-circle</pre>
2201
          text-warning"></i> MLflow Offline';
2202
                               statusEl.classList.add('text-warning');
2203
                          }
2204
                      })
2205
                       .catch(error => {
2206
                           console.error('Error checking status:', error);
2207
                          const statusEl = document.getElementById('system-status');
2208
```

 $\frac{2225}{2226}$

```
2210
                           statusEl.innerHTML = '<i class="fas fa-times-circle text-danger"></i>
          System Error';
2211
                           statusEl.classList.add('text-danger');
2212
                       });
2213
              });
2214
          </script>
2215
2216
          <!-- Extra JavaScript -->
2217
          {% block extra_js %}{% endblock %}
2218
      </body>
2219
      </html>
2220
```

3. Create the main CSS file:

```
22222 mkdir -p flask-app/static/css
touch flask-app/static/css/style.css
```

4. Add the following to flask-app/static/css/style.css:

```
2227
      /* Custom styles for the RAG system */
2228
2229
      /* Main container min-height for short pages */
2230
     body {
2231
          display: flex;
2232
          flex-direction: column;
          min-height: 100vh;
2233
     }
2234
2235
      .container {
2236
          flex: 1;
2237
2238
2239
     footer {
2240
          margin-top: auto;
2241
2242
2243
      /* Card hover effect */
      .card-hover:hover {
2244
          box-shadow: 0 4px 8px rgba(0, 0, 0, 0.1);
2245
          transform: translateY(-2px);
2246
          transition: all 0.3s ease;
2247
2248
2249
      /* Document list */
2250
      .document-list .document-item {
2251
          border-left: 4px solid #007bff;
2252
          margin-bottom: 10px;
2253
2254
      /* Source highlighting */
2255
2256
```

```
2257
      .source-highlight {
          background-color: rgba(255, 243, 205, 0.5);
2258
2259
          border-radius: 3px;
          padding: 2px 4px;
2260
2261
2262
      /* Answer section */
2263
      .answer-section {
2264
          background-color: #f8f9fa;
2265
          border-radius: 5px;
2266
          padding: 20px;
2267
          margin-top: 20px;
2268
     }
2269
      .answer-text {
2270
          font-size: 1.1rem;
2271
          line-height: 1.6;
2272
2273
2274
      .source-section {
2275
          margin-top: 20px;
2276
          border-top: 1px solid #dee2e6;
2277
          padding-top: 15px;
2278
2279
      .source-item {
2280
          padding: 10px;
2281
          margin-bottom: 10px;
2282
          border-radius: 4px;
2283
          background-color: #fff;
2284
          border: 1px solid #e9ecef;
2285
2286
2287
      .source-text {
2288
          max-height: 150px;
2289
          overflow-y: auto;
2290
2291
      /* Loading spinner */
2292
      .spinner-container {
2293
          display: flex;
2294
          justify-content: center;
2295
          align-items: center;
2296
          min-height: 200px;
2297
2298
2299
      /* Citation tooltips */
2300
      .citation {
2301
          cursor: pointer;
          color: #007bff;
2302
```

2310

2311 2312

```
2304
          font-weight: bold;
2305
          font-size: 0.8rem;
          vertical-align: super;
2306
2307
2308
```

5. Create the index page:

```
touch flask-app/templates/index.html
```

6. Add the following to flask-app/templates/index.html:

```
2313
2314
     {% extends 'base.html' %}
2315
     {% block title %}Home - Local RAG System{% endblock %}
2316
2317
     {% block content %}
2318
     <div class="row">
2319
         <div class="col-md-8 offset-md-2 text-center">
2320
             <h1 class="display-4 mb-4">Local RAG System</h1>
2321
             2322
                 A complete Retrieval-Augmented Generation system running locally on your MacMini.
2323
                 Upload PDF documents, ask questions, and get AI-generated answers based on your
2324
         documents.
2325
             2326
             <div class="row mb-5">
2327
                 <div class="col-md-4">
2328
                     <div class="card card-hover h-100">
2329
                         <div class="card-body text-center">
2330
                             <i class="fas fa-file-upload fa-3x text-primary mb-3"></i></i>
2331
                             <h5 class="card-title">Upload Documents</h5>
2332
                             Add your PDF files to the knowledge base.
2333
                             <a href="{{ url_for('upload') }}" class="btn
2334
         btn-outline-primary">Upload Files</a>
2335
                         </div>
2336
                     </div>
2337
                 </div>
2338
                 <div class="col-md-4">
2339
                     <div class="card card-hover h-100">
2340
                         <div class="card-body text-center">
2341
                             <i class="fas fa-list fa-3x text-primary mb-3"></i></i>
2342
                             <h5 class="card-title">Manage Documents</h5>
2343
                             View and manage your uploaded documents.
2344
                             <a href="{{ url_for('documents') }}" class="btn
2345
         btn-outline-primary">View Documents</a>
2346
                          </div>
2347
                     </div>
2348
                 </div>
2349
2350
```

```
2351
                 <div class="col-md-4">
                     <div class="card card-hover h-100">
2352
                         <div class="card-body text-center">
2353
                             <i class="fas fa-question-circle fa-3x text-primary mb-3"></i></i></or>
2354
                             <h5 class="card-title">Ask Questions</h5>
2355
                             Get answers based on your documents.
2356
                             <a href="{{ url_for('ask') }}" class="btn btn-outline-primary">Ask
2357
         Now</a>
2358
                         </div>
2359
                     </div>
2360
                 </div>
2361
             </div>
2362
             <div class="card bg-light">
2363
                 <div class="card-body">
2364
                     <h4>How It Works</h4>
2365
                     <hr>>
2366
                     <div class="row">
2367
                         <div class="col-md-3 text-center">
2368
                             <i class="fas fa-file-pdf fa-2x text-danger"></i></i>
2369
                             <h6 class="mt-2">1. Upload</h6>
2370
                             Add PDF documents to build your knowledge base
2371
                         </div>
2372
                         <div class="col-md-3 text-center">
2373
                             <i class="fas fa-project-diagram fa-2x text-primary"></i>
                             <h6 class="mt-2">2. Process</h6>
2374
                             Documents are chunked and indexed
2375
                         </div>
2376
                         <div class="col-md-3 text-center">
2377
                             <i class="fas fa-search fa-2x text-success"></i></i>
2378
                             <h6 class="mt-2">3. Search</h6>
2379
                             Your question finds relevant content
2380
                         </div>
                         <div class="col-md-3 text-center">
2382
                             <i class="fas fa-robot fa-2x text-info"></i></i>
2383
                             <h6 class="mt-2">4. Answer</h6>
2384
                             AI generates an answer from the sources
                         </div>
2385
                     </div>
2386
                 </div>
2387
             </div>
2388
         </div>
2389
     </div>
2390
     {% endblock %}
2391
```

7. Create the upload page:

2392

2393 2394

2395 2396

2397

```
touch flask-app/templates/upload.html
```

8. Add the following to flask-app/templates/upload.html:

```
2398
     {% extends 'base.html' %}
2399
2400
     {% block title %}Upload Documents - Local RAG System{% endblock %}
2401
2402
     {% block content %}
2403
     <div class="row">
2404
          <div class="col-md-8 offset-md-2">
             <h1 class="mb-4">Upload Documents</h1>
2405
2406
             <div class="card mb-4">
2407
                  <div class="card-body">
2408
                      <h5 class="card-title">Add PDF Files</h5>
2409
                      Upload PDF documents to be processed and added to the
2410
         knowledge base.
2411
2412
                      <form method="POST" enctype="multipart/form-data" class="mt-4">
2413
                          <div class="mb-3">
2414
                              <label for="file" class="form-label">Select PDF File</label>
2415
                              <input type="file" class="form-control" id="file" name="file"</pre>
2416
          accept=".pdf" required>
                              <div class="form-text">Maximum file size: 16MB</div>
2417
                          </div>
2418
2419
                          <div class="mb-3 form-check">
2420
                              <input type="checkbox" class="form-check-input" id="rebuild"</pre>
2421
          name="rebuild">
2422
                              <label class="form-check-label" for="rebuild">
2423
                                  Rebuild index after upload (slower but ensures immediate
2424
          availability)
2425
                              </label>
2426
                          </div>
2427
                          <div class="d-grid">
2428
                              <button type="submit" class="btn btn-primary">
2429
                                  <i class="fas fa-upload me-2"></i> Upload Document
2430
                              </button>
2431
                          </div>
2432
                      </form>
2433
                  </div>
2434
              </div>
2435
2436
             <div class="card">
2437
                  <div class="card-body">
2438
                      <h5 class="card-title">Batch Upload</h5>
                      2439
                          For uploading multiple files or large documents, you can directly copy
2440
         files to the documents directory:
2441
                      2442
                      <div class="bg-light p-3 rounded">
2443
2444
```

```
<code id="pdf-folder-path">{{ config['UPLOAD_FOLDER'] }}</code>
2445
                          <button class="btn btn-sm btn-outline-secondary ms-2"</pre>
2446
          onclick="copyToClipboard('pdf-folder-path')">
2447
                              <i class="fas fa-copy"></i></i>
2448
                          </button>
2449
                      </div>
2450
                      2451
                          After copying files, you'll need to run the processing pipeline to index
2452
          the documents.
2453
2454
                  </div>
2455
              </div>
2456
         </div>
     </div>
2457
2458
     {% block extra_js %}
2459
      <script>
2460
         function copyToClipboard(elementId) {
2461
              const element = document.getElementById(elementId);
2462
              const text = element.textContent;
2463
2464
              navigator.clipboard.writeText(text).then(function() {
2465
                  // Show a temporary success message
2466
                  const button = element.nextElementSibling;
2467
                  const originalIcon = button.innerHTML;
                  button.innerHTML = '<i class="fas fa-check"></i>';
2468
                  button.classList.add('btn-success');
2469
                  button.classList.remove('btn-outline-secondary');
2470
2471
                  // Restore original after 2 seconds
2472
                  setTimeout(function() {
2473
                      button.innerHTML = originalIcon;
2474
                      button.classList.remove('btn-success');
2475
                      button.classList.add('btn-outline-secondary');
2476
                  }, 2000);
2477
             });
         }
2478
     </script>
2479
     {% endblock %}
2480
     {% endblock %}
2481
2482
```

9. Create the documents page:

2483

2484

2485 2486

2487

```
touch flask-app/templates/documents.html
```

10. Add the following to flask-app/templates/documents.html:

```
2488
     {% extends 'base.html' %}
2489
     {% block title %}Document Management - Local RAG System{% endblock %}
2490
2491
```

```
2492
     {% block content %}
2493
     <div class="row">
2494
          <div class="col-md-10 offset-md-1">
2495
              <div class="d-flex justify-content-between align-items-center mb-4">
2496
                  <h1>Document Management</h1>
2497
                  <div>
2498
                      <a href="{{ url_for('upload') }}" class="btn btn-primary">
2499
                           <i class="fas fa-upload me-2"></i> Upload New
2500
2501
                      <button id="reindex-btn" class="btn btn-outline-secondary ms-2">
2502
                          <i class="fas fa-sync me-2"></i> Reindex All
2503
                      </button>
                  </div>
2504
              </div>
2505
2506
              {% if documents %}
2507
                  <div class="card">
2508
                      <div class="card-header bg-primary text-white">
2509
                          <div class="row">
2510
                               <div class="col-md-6">Filename</div>
2511
                               <div class="col-md-2">Size</div>
2512
                               <div class="col-md-3">Last Modified</div>
2513
                               <div class="col-md-1">Actions</div>
2514
                      </div>
2515
                      <div class="card-body p-0 document-list">
2516
                          {% for doc in documents %}
2517
                               <div class="document-item p-3 {% if loop.index % 2 == 0 %}bg-light{%</pre>
2518
          endif %}">
2519
                                   <div class="row align-items-center">
2520
                                       <div class="col-md-6">
2521
                                           <i class="fas fa-file-pdf text-danger me-2"></i></i>
2522
                                           {{ doc.filename }}
2523
                                       </div>
                                       <div class="col-md-2">
2524
2525
                                           {{ (doc.size / 1024)|round(1) }} KB
2526
                                       <div class="col-md-3">
2527
                                           {{ doc.modified|timestamp_to_date }}
2528
                                       </div>
2529
                                       <div class="col-md-1 text-end">
2530
                                           <div class="dropdown">
2531
                                               <button class="btn btn-sm btn-outline-secondary</pre>
2532
          dropdown-toggle" type="button" data-bs-toggle="dropdown">
2533
                                                   <i class="fas fa-ellipsis-v"></i></i>
2534
                                               </button>
2535
                                               2536
                                                   <1i>>
2537
```

```
2539
                                                        <a class="dropdown-item" href="#"</pre>
          onclick="event.preventDefault(); deleteDocument('{{ doc.filename }}')">
2540
                                                            <i class="fas fa-trash text-danger</pre>
2541
          me-2"></i> Delete
2542
                                                        </a>
2543
                                                   2544
                                                   <1i>>
2545
                                                        <a class="dropdown-item" href="#"</pre>
2546
          onclick="event.preventDefault(); reindexDocument('{{ doc.filename }}')">
2547
                                                            <i class="fas fa-sync text-primary</pre>
2548
          me-2"></i> Reindex
2549
                                                        </a>
2550
                                                   2551
                                           </div>
2552
                                       </div>
2553
                                   </div>
2554
                               </div>
2555
                          {% endfor %}
2556
                      </div>
2557
                      <div class="card-footer">
2558
                          <small class="text-muted">{{ documents|length }} document(s)</small>
2559
                      </div>
2560
                  </div>
2561
              {% else %}
                  <div class="alert alert-info">
2562
                      <i class="fas fa-info-circle me-2"></i> No documents uploaded yet.
2563
                      <a href="{{ url_for('upload') }}" class="alert-link">Upload your first
2564
          document</a>.
2565
                  </div>
2566
              {% endif %}
2567
          </div>
2568
      </div>
2569
2570
     <!-- Delete Confirmation Modal -->
2571
     <div class="modal fade" id="deleteModal" tabindex="-1">
2572
          <div class="modal-dialog">
              <div class="modal-content">
2573
                  <div class="modal-header">
2574
                      <h5 class="modal-title">Confirm Deletion</h5>
2575
                      <button type="button" class="btn-close" data-bs-dismiss="modal"></button>
2576
                  </div>
2577
                  <div class="modal-body">
2578
                      Are you sure you want to delete <strong id="deleteFileName"></strong>?
2579
                      This action cannot be undone.
2580
2581
                  <div class="modal-footer">
2582
                      <button type="button" class="btn btn-secondary"</pre>
2583
          data-bs-dismiss="modal">Cancel</button>
                      <button type="button" class="btn btn-danger" id="confirmDelete">Delete</button>
2584
2585
```

```
2586
                  </div>
              </div>
2587
          </div>
2588
     </div>
2589
2590
      <!-- Reindex Modal -->
2591
      <div class="modal fade" id="reindexModal" tabindex="-1">
2592
          <div class="modal-dialog">
2593
              <div class="modal-content">
2594
                  <div class="modal-header">
2595
                       <h5 class="modal-title">Reindex Documents</h5>
2596
                       <button type="button" class="btn-close" data-bs-dismiss="modal"></button>
                  </div>
2597
                  <div class="modal-body">
2598
                      This will reprocess all documents and update the search index.
2599
                       >Depending on the number of documents, this may take some time.
2600
                  </div>
2601
                  <div class="modal-footer">
2602
                      <button type="button" class="btn btn-secondary"</pre>
2603
          data-bs-dismiss="modal">Cancel</button>
2604
                       <button type="button" class="btn btn-primary"</pre>
2605
          id="confirmReindex">Reindex</button>
2606
                  </div>
2607
              </div>
2608
          </div>
     </div>
2609
2610
     {% block extra_js %}
2611
     <script>
2612
          // Delete document
2613
          function deleteDocument(filename) {
2614
              document.getElementById('deleteFileName').textContent = filename;
2615
              const deleteModal = new bootstrap.Modal(document.getElementById('deleteModal'));
2616
2617
              document.getElementById('confirmDelete').onclick = function() {
2618
                  // Send delete request
2619
                  fetch('/api/documents/delete', {
                      method: 'POST',
2620
                      headers: {
2621
                           'Content-Type': 'application/json',
2622
                      },
2623
                      body: JSON.stringify({
2624
                           filename: filename
2625
2626
2627
                  .then(response => response.json())
2628
                  .then(data => {
2629
                      if (data.success) {
                           // Reload the page
2630
                           window.location.reload();
2631
2632
```

, Vol. 1, No. 1, Article . Publication date: March 2025.

```
2633
                       } else {
                           alert('Error: ' + data.error);
2634
2635
                  })
2636
                  .catch(error => {
2637
                       console.error('Error:', error);
2638
                       alert('An error occurred while deleting the document.');
2639
                  });
2640
2641
                  deleteModal.hide();
2642
              };
2643
2644
              deleteModal.show();
         }
2645
2646
          // Reindex single document
2647
          function reindexDocument(filename) {
2648
              // Send reindex request
2649
              fetch('/api/documents/reindex', {
2650
                  method: 'POST',
2651
                  headers: {
2652
                       'Content-Type': 'application/json',
2653
                  },
2654
                  body: JSON.stringify({
2655
                       filename: filename
                  }),
2656
              })
2657
              .then(response => response.json())
2658
              .then(data => {
2659
                  if (data.success) {
2660
                       alert('Reindexing started. This may take a few minutes.');
2661
2662
                       alert('Error: ' + data.error);
2663
                  }
2664
              })
2665
              .catch(error => {
2666
                  console.error('Error:', error);
                  alert('An error occurred while reindexing the document.');
2667
              });
2668
          }
2669
2670
          // Reindex all documents
2671
          document.getElementById('reindex-btn').addEventListener('click', function() {
2672
              const reindexModal = new bootstrap.Modal(document.getElementById('reindexModal'));
2673
2674
              document.getElementById('confirmReindex').onclick = function() {
2675
                  // Send reindex all request
2676
                  fetch('/api/documents/reindex-all', {
2677
                       method: 'POST',
2678
                       headers: {
2679
```

```
2680
                            'Content-Type': 'application/json',
                       },
2681
                   })
2682
                   .then(response => response.json())
2683
                   .then(data => {
2684
                       if (data.success) {
2685
                           alert('Reindexing started. This may take a few minutes.');
2686
                       } else {
2687
                           alert('Error: ' + data.error);
2688
2689
                   })
2690
                   .catch(error => {
2691
                       console.error('Error:', error);
                       alert('An error occurred while reindexing documents.');
2692
                   });
2693
2694
                   reindexModal.hide();
2695
              };
2696
2697
              reindexModal.show();
2698
          });
2699
      </script>
2700
      {% endblock %}
2701
      {% endblock %}
2702
```

11. Create the ask page:

2703

2704

2705 2706

2707

```
touch flask-app/templates/ask.html
```

12. Add the following to flask-app/templates/ask.html:

```
2708
      {% extends 'base.html' %}
2709
2710
      {% block title %}Ask Questions - Local RAG System{% endblock %}
2711
2712
     {% block content %}
2713
      <div class="row">
          <div class="col-md-10 offset-md-1">
2714
              <h1 class="mb-4">Ask Questions</h1>
2715
2716
              <div class="card mb-4">
2717
                  <div class="card-body">
2718
                       <form id="question-form">
2719
                           <div class="mb-3">
2720
                               <label for="question" class="form-label">Your Question</label>
2721
                               <textarea class="form-control" id="question" rows="3"</pre>
2722
          placeholder="Enter your question here..." required></textarea>
2723
                           </div>
2724
                           <div class="d-grid">
                               <button type="submit" class="btn btn-primary" id="submit-btn">
2725
2726
```

```
2727
                                   <i class="fas fa-search me-2"></i> Ask Question
                               </button>
2728
                           </div>
2729
                      </form>
2730
                  </div>
2731
              </div>
2732
2733
              <!-- Answer section (initially hidden) -->
2734
              <div id="answer-container" class="mb-4" style="display: none;">
2735
                  <div class="card">
2736
                      <div class="card-header bg-primary text-white">
2737
                           <h5 class="mb-0">Answer</h5>
2738
                      </div>
                      <div class="card-body">
2739
                          <div class="answer-text" id="answer-text">
2740
                               <!-- Answer will be inserted here -->
2741
                           </div>
                      </div>
2743
                  </div>
2744
              </div>
2745
              <!-- Sources section (initially hidden) -->
2747
              <div id="sources-container" style="display: none;">
2748
                  <h4>Sources</h4>
2749
                  <div id="sources-list">
                      <!-- Sources will be inserted here -->
2750
                  </div>
2751
              </div>
2752
2753
              <!-- Loading spinner (initially hidden) -->
2754
              <div id="loading-spinner" class="spinner-container" style="display: none;">
2755
                  <div class="text-center">
2756
                      <div class="spinner-border text-primary" role="status">
2757
                           <span class="visually-hidden">Loading...</span>
2758
                      </div>
2759
                      Processing your question...
2760
                  </div>
              </div>
2761
2762
              <!-- Error message (initially hidden) -->
2763
              <div id="error-message" class="alert alert-danger" style="display: none;">
2764
                  <!-- Error will be inserted here -->
2765
              </div>
2766
          </div>
2767
      </div>
2768
2769
     {% block extra_js %}
2770
     <script>
2771
          document.addEventListener('DOMContentLoaded', function() {
2772
              const questionForm = document.getElementById('question-form');
2773
```

```
2774
              const submitBtn = document.getElementById('submit-btn');
              const loadingSpinner = document.getElementById('loading-spinner');
2775
              const answerContainer = document.getElementById('answer-container');
2776
              const answerText = document.getElementById('answer-text');
2777
              const sourcesContainer = document.getElementById('sources-container');
2778
              const sourcesList = document.getElementById('sources-list');
2779
              const errorMessage = document.getElementById('error-message');
2780
              questionForm.addEventListener('submit', function(e) {
2782
                  e.preventDefault();
2783
2784
                  // Get the question
2785
                  const question = document.getElementById('question').value.trim();
                  if (!question) return;
2786
2787
                  // Show loading spinner
2788
                  loadingSpinner.style.display = 'flex';
2789
                  answerContainer.style.display = 'none';
2790
                  sourcesContainer.style.display = 'none';
2791
                  errorMessage.style.display = 'none';
2792
                  submitBtn.disabled = true;
2793
2794
                  // Send the question to the API
2795
                  fetch('/api/ask', {
2796
                      method: 'POST',
                      headers: {
2797
                           'Content-Type': 'application/json',
2798
                      },
2799
                      body: JSON.stringify({
2800
                          question: question
2801
                      }),
2802
                  })
2803
                  .then(response => response.json())
2804
                  .then(data => {
2805
                      // Hide loading spinner
2806
                      loadingSpinner.style.display = 'none';
2807
                      submitBtn.disabled = false;
2808
                      if (data.error) {
2809
                          // Show error message
2810
                          errorMessage.textContent = data.error;
2811
                          errorMessage.style.display = 'block';
2812
                          return;
2813
                      }
2814
2815
                      // Show answer
2816
                      answerText.innerHTML = data.text;
2817
                      answerContainer.style.display = 'block';
2818
                      // Show sources if available
2819
2820
```

```
2821
                      if (data.sources && data.sources.length > 0) {
                           // Clear previous sources
2822
                           sourcesList.innerHTML = '';
2823
2824
                           // Add each source
2825
                           data.sources.forEach((source, index) => {
2826
                               const sourceElement = document.createElement('div');
2827
                               sourceElement.className = 'source-item';
2828
                               sourceElement.innerHTML = `
2829
                                   <div class="d-flex justify-content-between align-items-center</pre>
2830
          mb-2">
2831
                                       <h6 class="mb-0">
2832
                                            <i class="fas fa-file-pdf text-danger me-2"></i></i>
                                            ${source.filename}
2833
                                       </h6>
2834
                                       <span class="badge bg-primary">Score:
2835
          ${source.rerank_score.toFixed(2)}</span>
2836
                                   </div>
2837
                                   <div class="source-text">
2838
                                       ${source.chunk_text}
2839
                                   </div>
2840
2841
                               sourcesList.appendChild(sourceElement);
2842
                          });
2843
                           sourcesContainer.style.display = 'block';
2844
                      }
2845
                  })
2846
                  .catch(error => {
2847
                      console.error('Error:', error);
2848
                      loadingSpinner.style.display = 'none';
2849
                      submitBtn.disabled = false;
2850
                      errorMessage.textContent = 'An error occurred while processing your question.
2851
          Please try again.';
2852
                      errorMessage.style.display = 'block';
2853
                  });
2854
              });
         });
2855
     </script>
2856
     {% endblock %}
2857
      {% endblock %}
2858
2859
```

Professor's Hint: Always implement robust error handling in your web interfaces, especially for operations that involve AI processing which can sometimes be unpredictable. The spinner provides important feedback to users during potentially lengthy operations, while the clear display of source documents helps users understand and trust the generated answers.

Lab 5.3: Complete the Flask Application.

Learning Objectives:

2860

2861

2862

2863 2864

2865

- Implement the remaining API endpoints for the Flask application
 - Add custom filters and utility functions
 - Test the complete web interface

Exercises:

2868

2869

2870 2871

2872 2873

1. Add the following additional API endpoints to app.py:

```
2874
      # Add these imports at the top
2875
      import datetime
2876
     import shutil
2877
2878
     # Register custom Jinja2 filters
2879
     @app.template_filter('timestamp_to_date')
     def timestamp_to_date(timestamp):
2880
          """Convert a timestamp to a formatted date string."""
2881
         dt = datetime.datetime.fromtimestamp(timestamp)
2882
         return dt.strftime('%Y-%m-%d %H:%M')
2883
2884
     @app.route('/api/documents/delete', methods=['POST'])
2885
     def api_delete_document():
2886
          """API endpoint for deleting a document."""
2887
          data = request.get_json()
2888
2889
          if not data or 'filename' not in data:
2890
              return jsonify({'success': False, 'error': 'Missing filename parameter'}), 400
2891
         filename = secure_filename(data['filename'])
2892
         file_path = os.path.join(app.config['UPLOAD_FOLDER'], filename)
2893
2894
          if not os.path.exists(file_path):
2895
              return jsonify({'success': False, 'error': 'File not found'}), 404
2896
2897
          try:
2898
              # Delete the file
2899
              os.remove(file_path)
2900
2901
              # Note: In a production system, we would also want to remove the document from the
          vector database
2902
2903
              return jsonify({'success': True})
2904
          except Exception as e:
2905
              logger.error(f"Error deleting document: {str(e)}")
2906
              return jsonify({'success': False, 'error': str(e)}), 500
2907
2908
     @app.route('/api/documents/reindex', methods=['POST'])
2909
     def api_reindex_document():
2910
          """API endpoint for reindexing a document."""
2911
          data = request.get_json()
2912
2913
          if not data or 'filename' not in data:
2914
```

```
2915
              return jsonify({'success': False, 'error': 'Missing filename parameter'}), 400
2916
         filename = secure_filename(data['filename'])
2917
         file_path = os.path.join(app.config['UPLOAD_FOLDER'], filename)
2918
2919
         if not os.path.exists(file_path):
2920
              return jsonify({'success': False, 'error': 'File not found'}), 404
2921
2922
         try:
2923
              # Import pipeline trigger module
2924
              from flask_app.utils.pipeline_trigger import run_pipeline_async
2925
2926
              # Create a temporary directory with just this file
              temp_dir = os.path.join(app.config['UPLOAD_FOLDER'], '_temp_reindex')
2927
              os.makedirs(temp_dir, exist_ok=True)
2928
2929
              # Copy the file to the temp directory
2930
              shutil.copy(file_path, os.path.join(temp_dir, filename))
2931
2932
              # Run the pipeline on the temp directory
2933
              result = run_pipeline_async(temp_dir, rebuild=True)
2934
2935
              return jsonify({'success': True})
2936
          except Exception as e:
2937
              logger.error(f"Error reindexing document: {str(e)}")
              return jsonify({'success': False, 'error': str(e)}), 500
2938
2939
     @app.route('/api/documents/reindex-all', methods=['POST'])
2940
     def api_reindex_all():
2941
         """API endpoint for reindexing all documents."""
2942
         try:
2943
              # Import pipeline trigger module
2944
              from flask_app.utils.pipeline_trigger import run_pipeline_async
2946
              # Run the pipeline on the upload folder
2947
              result = run_pipeline_async(app.config['UPLOAD_FOLDER'], rebuild=True)
2948
              return jsonify({'success': True})
2949
         except Exception as e:
2950
              logger.error(f"Error reindexing all documents: {str(e)}")
2951
              return jsonify({'success': False, 'error': str(e)}), 500
2952
```

2. Create a modified version of the upload route to handle processing:

2953

```
2955
     @app.route('/upload', methods=['GET', 'POST'])
2956
     def upload():
2957
          """Handle file uploads."""
2958
          if request.method == 'POST':
2959
              # Check if the post request has the file part
              if 'file' not in request.files:
2960
2961
```

```
2962
                  flash('No file part', 'error')
                  return redirect(request.url)
2963
2964
              file = request.files['file']
2965
2966
              # If user does not select file, browser also
2967
              # submit an empty part without filename
2968
              if file.filename == '':
2969
                  flash('No selected file', 'error')
2970
                  return redirect(request.url)
2971
2972
              if file and allowed_file(file.filename):
2973
                  filename = secure_filename(file.filename)
                  file_path = os.path.join(app.config['UPLOAD_FOLDER'], filename)
2974
                  file.save(file_path)
2975
2976
                  # Check if we should rebuild the index
2977
                  rebuild = request.form.get('rebuild', 'off') == 'on'
2978
2979
                  if rebuild:
2980
                      try:
2981
                           # Import pipeline trigger module
2982
                          from flask_app.utils.pipeline_trigger import run_pipeline_async
2983
2984
                           # Run the pipeline
                          run_pipeline_async(app.config['UPLOAD_FOLDER'], rebuild=rebuild)
2985
2986
                          flash(f'File {filename} uploaded successfully and indexing started!',
2987
          'success')
2988
                      except Exception as e:
2989
                           logger.error(f"Error running pipeline: {str(e)}")
2990
                           flash(f'File uploaded but indexing failed: {str(e)}', 'warning')
2991
                  else:
2992
                      flash(f'File {filename} uploaded successfully!', 'success')
2993
2994
                  # Redirect to document list
2995
                  return redirect(url_for('documents'))
              else:
2996
                  flash('File type not allowed', 'error')
2997
                  return redirect(request.url)
2998
2999
          return render_template('upload.html')
3000
```

3. Create a startup script to launch the entire system:

```
touch startup.sh chmod +x startup.sh
```

4. Add the following to startup.sh:

3001

3002

3006

```
, Vol. 1, No. 1, Article . Publication date: March 2025.
```

```
3009
     #!/bin/bash
3010
3011
     # Exit on error
3012
     set -e
3013
3014
     # Configuration
3015
     PROJECT_ROOT=$(pwd)
3016
     PDF_DIR="${PROJECT_ROOT}/data/pdfs"
3017
     MODELS_DIR="${PROJECT_ROOT}/models"
3018
     VENV_DIR="${PROJECT_ROOT}/venv"
3019
     # Colors for output
3020
     GREEN='\033[0;32m'
3021
     YELLOW='\033[1;33m'
3022
     RED='\033[0;31m'
3023
     NC='\033[0m' # No Color
3024
3025
     echo -e "${GREEN}Starting Local RAG System...${NC}"
3026
3027
     # Check for virtual environment
3028
     if [ ! -d "$VENV_DIR" ]; then
         echo -e "${YELLOW}Virtual environment not found. Creating...${NC}"
3029
         python3 -m venv venv
3030
     fi
3031
3032
     # Activate virtual environment
3033
     echo "Activating virtual environment..."
3034
     source venv/bin/activate
3035
3036
     # Check for requirements
3037
     if [ ! -f "requirements.txt" ]; then
3038
          echo -e "${RED}Error: requirements.txt not found.${NC}"
3039
          exit 1
     fi
3040
3041
     # Install requirements if needed
3042
     pip -q install -r requirements.txt
3043
3044
     # Check for models
3045
     if [ ! -d "$MODELS_DIR/embedding" ] || [ ! -d "$MODELS_DIR/reranker" ] || [ ! -d
3046
          "$MODELS_DIR/11m" ]; then
3047
          echo -e "${YELLOW}Some models are missing. Please download them first.${NC}"
3048
          echo "You can use the following commands:"
3049
          echo " python app/scripts/download_models.py"
                  ./app/scripts/download_llm.sh"
3050
3051
     fi
3052
     # Create data directories if they don't exist
3053
     mkdir -p "$PDF_DIR"
3054
```

```
3056
     # Start Docker services
3057
     echo "Starting Docker services..."
3058
     docker-compose up -d
3059
3060
     # Wait for services to be ready
3061
     echo "Waiting for services to be ready..."
3062
     sleep 5
3063
3064
     # Check if MLflow is running
3065
     echo "Checking MLflow service..."
3066
     if curl -s http://localhost:5001/ping > /dev/null; then
3067
          echo -e "${GREEN}MLflow service is running.${NC}"
     else
3068
          echo -e "${RED}MLflow service is not running. Check Docker logs.${NC}"
3069
          echo "You can run: docker-compose logs mlflow"
3070
     fi
3071
3072
     # Check if vector database is running
3073
     echo "Checking vector database service..."
3074
     if curl -s http://localhost:6333/health > /dev/null; then
3075
          echo -e "${GREEN}Vector database service is running.${NC}"
3076
3077
          echo -e "${RED}Vector database service is not running. Check Docker logs.${NC}"
3078
          echo "You can run: docker-compose logs vector-db"
     fi
3079
3080
     # Check if there are PDFs to process
3081
     PDF_COUNT=$(find "$PDF_DIR" -name "*.pdf" | wc -1)
3082
     if [ "$PDF_COUNT" -gt 0 ]; then
3083
          echo -e "${GREEN}Found $PDF_COUNT PDF files.${NC}"
3084
3085
          # Ask if user wants to process them
3086
         read -p "Do you want to process them now? (y/n) " -n 1 -r
3087
          echo
3088
          if [[ $REPLY =~ ^[Yy]$ ]]; then
3089
              echo "Running processing pipeline..."
              python app/pipeline.py
3090
          else
3091
              echo "Skipping processing."
3092
         fi
3093
      else
3094
          echo "No PDF files found. You can upload them through the web interface."
3095
     fi
3096
3097
     # Deploy the model to MLflow
3098
     echo "Deploying model to MLflow..."
3099
     # First, check if model exists
     if mlflow models search -f "name = 'rag_model'" | grep -q "rag_model"; then
3100
          echo "Model already exists, deploying latest version..."
3101
3102
```

```
3103
         python app/scripts/deploy_model.py &
     else
3104
          echo "Model not found, logging new model..."
3105
         python app/scripts/log_model.py
3106
         python app/scripts/deploy_model.py &
3107
     fi
3108
3109
      # Start Flask application
3110
      echo -e "${GREEN}Starting Flask application...${NC}"
3111
      cd flask-app
3112
     FLASK_APP=app.py FLASK_ENV=development python app.py &
3113
3114
     echo -e "${GREEN}All services started!${NC}"
     echo "You can access the web interface at: http://localhost:8000"
3115
     echo -e "${YELLOW}Press Ctrl+C to stop all services${NC}"
3116
3117
      # Wait for user interrupt
3118
     trap "echo 'Stopping services...'; kill %1; docker-compose down; exit 0" INT
3119
     wait
3120
3121
```

5. Create a Dockerfile for the Flask app:

3122

3124 3125

3138 3139

```
3123
     touch flask-app/Dockerfile
```

6. Add the following to flask-app/Dockerfile:

```
3126
      FROM python:3.10-slim
3127
3128
      WORKDIR /app
3129
3130
      COPY requirements.txt .
3131
      RUN pip install --no-cache-dir -r requirements.txt
3132
3133
      COPY . .
3134
3135
      EXPOSE 8000
3136
      CMD ["python", "app.py"]
3137
```

7. Update the Docker Compose file to include the Flask app:

```
3140
      version: '3.8'
3141
3142
      services:
3143
        vector-db:
3144
          image: qdrant/qdrant:latest
3145
          ports:
3146
             - "6333:6333"
3147
             - "6334:6334"
3148
          volumes:
3149
```

```
3150
            - ./data/vectors:/qdrant/storage
3151
          environment:
            - QDRANT_ALLOW_CORS=true
3152
          restart: unless-stopped
3153
3154
        mlflow:
3155
          image: ghcr.io/mlflow/mlflow:latest
3156
          ports:
3157
            - "5000:5000"
3158
          volumes:
3159

    ./mlflow/artifacts:/mlflow/artifacts

3160
              ./mlflow/backend:/mlflow/backend
          environment:
3161
            - MLFLOW_TRACKING_URI=sqlite:///mlflow/backend/mlflow.db
3162
            - MLFLOW_DEFAULT_ARTIFACT_ROOT=/mlflow/artifacts
3163
          command: mlflow server --backend-store-uri sqlite:///mlflow/backend/mlflow.db
3164
          --default-artifact-root /mlflow/artifacts --host 0.0.0.0 --port 5000
3165
          restart: unless-stopped
3166
3167
        flask-app:
3168
          build: ./flask-app
3169
          ports:
3170
            - "8000:8000"
3171
          volumes:
3172
            - ./flask-app:/app
              ./data:/data
3173
            - ./app:/rag_app
3174
          environment:
3175
            - FLASK_APP=app.py
3176
            - FLASK_ENV=development
3177
            - PYTHONPATH=/app:/rag_app
3178
          depends_on:
3179
            - vector-db
3180
            - mlflow
3181
          restart: unless-stopped
3182
```

Professor's Hint: The startup script is crucial for ensuring all components start in the correct order and with proper configuration. By handling dependency checks and proper error reporting, it makes the system much more robust and user-friendly.

Checkpoint Questions:

3183

3184

3185

 $\frac{3186}{3187}$

3188

3189

3190

3191

3192 3193

3194

- 1. How do Flask templates and static files work together to create a responsive user interface?
- 2. What are the advantages of using AJAX for form submissions in a web application?
- 3. How can we ensure the system continues running even when disconnected from the internet?
- 4. What UX considerations are specific to RAG interfaces compared to traditional search interfaces?
- 5. How would you implement progressive loading to improve perceived performance for long-running queries?
- 6. What accessibility considerations should be taken into account for a RAG interface?

- 7. How might you design the interface to help users formulate better queries and get more accurate results?
- 8. Additional Challenge: Enhance the web interface with a history of past questions and answers, allowing users to revisit their previous queries.

Lab 6: Final Integration and Testing

Lab 6.1: End-to-End System Integration.

3204 3205 Learning Objectives:

- Integrate all components into a cohesive system
- Test the complete RAG pipeline
- Troubleshoot common integration issues

3210 Exercises:

3197

3198

3199

3200

3201

 $\frac{3202}{3203}$

3206

3207

3208

3209

3211

3214

3215

1. Create an end-to-end integration test:

```
3212
3213 touch app/tests/test_end_to_end.py
```

2. Add the following to app/tests/test_end_to_end.py:

```
3216
     import os
3217
     import sys
3218
     import time
3219
     import pytest
3220
     import requests
     from pathlib import Path
3221
3222
     # Add the project root to the Python path
3223
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
3224
3225
     from app.config.settings import PDF_UPLOAD_FOLDER, VECTOR_DB_HOST, VECTOR_DB_PORT,
3226
          COLLECTION_NAME
3227
     from app.utils.vector_db import VectorDBClient
3228
     from app.clients.mlflow_client import create_mlflow_client
3229
3230
     # Test configuration
3231
     FLASK_BASE_URL = "http://localhost:8000"
     TEST_PDF_PATH = os.path.join(Path(__file__).resolve().parent, "data", "sample.pdf")
3232
     TEST_QUESTION = "What is machine learning?"
3233
3234
     def check_service_availability():
3235
          """Check if all services are available."""
3236
         services = {
3237
              "Flask Web App": f"{FLASK_BASE_URL}/api/health",
3238
              "MLflow": f"http://localhost:5001/ping",
3239
              "Vector DB": f"http://localhost:6333/health",
3240
         }
3241
          available = {}
3242
3243
```

```
3244
         for name, url in services.items():
3245
                  response = requests.get(url, timeout=2)
3246
                  available[name] = response.status_code == 200
3247
3248
                  available[name] = False
3249
3250
         return available
3251
3252
     @pytest.fixture(scope="module")
3253
     def check_system():
3254
          """Check if the entire system is ready for testing."""
3255
          available = check_service_availability()
3256
          if not all(available.values()):
3257
              unavailable = [name for name, status in available.items() if not status]
3258
              pytest.skip(f"Some services are not available: {', '.join(unavailable)}")
3259
3260
     def test_health_endpoints(check_system):
3261
          """Test health endpoints of all services."""
3262
          # Flask health
3263
         response = requests.get(f"{FLASK_BASE_URL}/api/health")
3264
          assert response.status_code == 200
3265
          data = response.json()
          assert data["status"] == "ok"
3266
3267
          # Check MLflow through Flask health endpoint
3268
          assert data["mlflow"] == True
3269
3270
     def test_vector_db_connection(check_system):
3271
          """Test connection to vector database."""
3272
          vector_db = VectorDBClient(VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, 384)
3273
          assert vector_db.client is not None
3274
3275
          # Check if collection exists
3276
          collections = vector_db.client.get_collections().collections
3277
          collection_names = [collection.name for collection in collections]
3278
          # If collection doesn't exist, this test is inconclusive
3279
          if COLLECTION_NAME not in collection_names:
3280
              pytest.skip(f"Collection {COLLECTION_NAME} does not exist yet")
3281
3282
          # Check collection count
3283
          count = vector_db.count_vectors()
3284
         print(f"Vector count in collection: {count}")
3285
3286
     def test_mlflow_client(check_system):
3287
          """Test MLflow client."""
3288
         mlflow_client = create_mlflow_client()
          assert mlflow_client.is_alive() == True
3289
3290
```

```
3291
     def test_document_list(check_system):
3292
          """Test document list API."""
3293
         response = requests.get(f"\{FLASK_BASE_URL\}/documents")
3294
          assert response.status_code == 200
3295
3296
     def test_ask_question(check_system):
3297
          """Test asking a question."""
3298
         response = requests.post(
3299
              f"{FLASK_BASE_URL}/api/ask",
3300
              json={"question": TEST_QUESTION}
3301
3302
          assert response.status_code == 200
          data = response.json()
3303
3304
          # Check response structure
3305
          assert "text" in data
3306
          assert len(data["text"]) > 0
3307
3308
          # Sources might be empty if no relevant documents are found
3309
          assert "sources" in data
3310
3311
     def test_end_to_end_flow(check_system):
3312
          """Test the complete end-to-end flow."""
3313
          # This test is more of a recipe for manual testing
         print("\nEnd-to-end test steps:")
3314
         print("1. Upload a PDF document through the web interface")
3315
          print("2. Trigger indexing process")
3316
         print("3. Wait for indexing to complete")
3317
         print("4. Ask a question related to the document content")
3318
         print("5. Verify that the answer references information from the document")
3319
3320
          # Skip the actual test for automation
3321
         pytest.skip("This test is a manual procedure")
3322
3323
     if __name__ == "__main__":
3324
          # Run tests
         pytest.main(["-xvs", __file__])
3325
3326
```

3. Create a sample PDF for testing:

3327

3328

3329 3330

3331 3332

3333

```
mkdir -p app/tests/data
```

- 4. Copy a small PDF to this directory for testing purposes.
- 5. Create a system status check script:

```
3334
     touch system_status.py
3335
     chmod +x system_status.py
3336
```

6. Add the following to system_status.py:

```
3339
      #!/usr/bin/env python3
3340
      import os
3341
      import sys
3342
      import subprocess
3343
      import requests
3344
      import time
3345
      import argparse
3346
3347
     # ANSI colors
3348
      class Colors:
3349
          HEADER = '\033[95m']
          BLUE = ' \033[94m']
3350
          GREEN = ' \setminus 033[92m']
3351
          YELLOW = '\033[93m'
3352
          RED = ' \033[91m']
3353
          ENDC = ' \setminus 033[Om']
3354
          BOLD = ' \setminus 033[1m']
3355
          UNDERLINE = '\033[4m'
3356
3357
     def print_status(name, status, message=""):
3358
          color = Colors.GREEN if status else Colors.RED
3359
          status_text = "RUNNING" if status else "STOPPED"
3360
          print(f"{name:20}: {color}{status_text}{Colors.ENDC} {message}")
3361
      def check_docker_service(service_name):
3362
          try:
3363
              output = subprocess.check_output(
3364
                   ["docker", "ps", "--filter", f"name={service_name}", "--format", "{{.Status}}"],
3365
                   stderr=subprocess.STDOUT,
3366
                   universal_newlines=True
3367
              )
3368
              return len(output.strip()) > 0, output.strip()
3369
          except subprocess.CalledProcessError:
3370
              return False, "Docker not running"
3371
      def check_http_endpoint(url, timeout=2):
3372
          try:
3373
              response = requests.get(url, timeout=timeout)
3374
              return response.status_code == 200, f"Status code: {response.status_code}"
3375
          except requests.exceptions.RequestException as e:
3376
              return False, str(e)
3377
3378
      def check_system_status():
3379
          print(f"\n{Colors.BOLD}Local RAG System Status{Colors.ENDC}\n")
3380
3381
          # Docker services
          print(f"{Colors.BOLD}Docker Services:{Colors.ENDC}")
3382
          docker_services = [
3383
3384
```

```
3385
              ("vector-db", "Qdrant Vector DB"),
              ("mlflow", "MLflow Server"),
3386
              ("flask-app", "Flask Web App")
3387
         1
3388
3389
         for service_id, service_name in docker_services:
3390
              status, message = check_docker_service(service_id)
3391
              print_status(service_name, status, message)
3392
3393
          # HTTP endpoints
3394
          print(f"\n{Colors.BOLD}Service Endpoints:{Colors.ENDC}")
3395
          endpoints = [
3396
              ("http://localhost:6333/health", "Vector DB API"),
              ("http://localhost:5001/ping", "MLflow API"),
3397
              ("http://localhost:8000/api/health", "Flask API"),
3398
         1
3399
3400
         for url, name in endpoints:
3401
              status, message = check_http_endpoint(url)
3402
              print_status(name, status, message)
3403
3404
          # MLflow model
3405
          print(f"\n{Colors.BOLD}MLflow Model:{Colors.ENDC}")
3406
          try:
3407
              # Check if the flask API can communicate with MLflow
              response = requests.get("http://localhost:8000/api/health", timeout=2)
3408
              if response.status_code == 200:
3409
                  data = response.json()
3410
                  mlflow_status = data.get("mlflow", False)
3411
                  print_status("MLflow Model", mlflow_status,
3412
                               "Model is deployed and ready" if mlflow_status else "Model not
3413
          deployed or not responding")
3414
              else:
3415
                  print_status("MLflow Model", False, "Could not check via Flask API")
3416
          except:
3417
              print_status("MLflow Model", False, "Could not check via Flask API")
3418
          # Data and Model directories
3419
          print(f"\n{Colors.BOLD}Data Directories:{Colors.ENDC}")
3420
          directories = [
3421
              ("./data/pdfs", "PDF Storage"),
3422
              ("./data/vectors", "Vector Storage"),
3423
              ("./models/embedding", "Embedding Model"),
3424
              ("./models/reranker", "Reranker Model"),
3425
              ("./models/llm", "LLM Model")
3426
         ]
3427
3428
         for path, name in directories:
3429
              exists = os.path.exists(path)
3430
              if exists and os.path.isdir(path):
3431
```

```
3432
                  items = len(os.listdir(path))
                  print_status(name, True, f"{items} items")
3433
              else:
3434
                  print_status(name, False, "Directory not found")
3435
3436
     def start_service(service_name):
3437
         print(f"Starting {service_name}...")
3438
          try:
3439
              if service_name == "all":
3440
                  subprocess.run(["docker-compose", "up", "-d"], check=True)
3441
                  print("All Docker services started")
3442
                  # Start the MLflow model server
3443
                  print("Starting MLflow model server...")
3444
                  subprocess.Popen(["python", "app/scripts/deploy_model.py"],
3445
                                  stdout=subprocess.PIPE, stderr=subprocess.PIPE)
3446
3447
              elif service_name in ["vector-db", "mlflow", "flask-app"]:
3448
                  subprocess.run(["docker-compose", "up", "-d", service_name], check=True)
3449
                  print(f"{service_name} started")
3450
3451
              elif service_name == "mlflow-model":
3452
                  subprocess.run(["python", "app/scripts/deploy_model.py"], check=True)
3453
                  print("MLflow model deployed")
3454
              else:
3455
                  print(f"Unknown service: {service_name}")
3456
                  return
3457
3458
              print("Waiting for service to be ready...")
3459
              time.sleep(5)
3460
              check_system_status()
3461
3462
          except subprocess.CalledProcessError as e:
3463
              print(f"Error starting service: {e}")
3464
3465
     def stop_service(service_name):
         print(f"Stopping {service_name}...")
3466
          try:
3467
              if service_name == "all":
3468
                  subprocess.run(["docker-compose", "down"], check=True)
3469
                  print("All Docker services stopped")
3470
3471
              elif service_name in ["vector-db", "mlflow", "flask-app"]:
3472
                  subprocess.run(["docker-compose", "stop", service_name], check=True)
3473
                  print(f"{service_name} stopped")
3474
3475
              elif service_name == "mlflow-model":
                  print("MLflow model server cannot be stopped directly")
3476
                  print("To stop it, restart the MLflow Docker container:")
3477
3478
```

```
3479
                  print(" docker-compose restart mlflow")
3480
              else:
3481
                  print(f"Unknown service: {service_name}")
3482
                  return
3483
3484
              check_system_status()
3485
3486
          except subprocess.CalledProcessError as e:
3487
              print(f"Error stopping service: {e}")
3488
3489
      if __name__ == "__main__":
3490
          parser = argparse.ArgumentParser(description="Check status of Local RAG System")
          parser.add_argument("--start", help="Start a service (vector-db, mlflow, flask-app,
3491
          mlflow-model, all)")
3492
          parser.add_argument("--stop", help="Stop a service (vector-db, mlflow, flask-app, all)")
3493
3494
          args = parser.parse_args()
3495
3496
          if args.start:
3497
              start_service(args.start)
3498
          elif args.stop:
3499
              stop_service(args.stop)
3500
3501
              check_system_status()
3502
```

Professor's Hint: Integration testing is critical for complex systems with multiple components. The system status check script helps users quickly diagnose problems and restart specific components if needed. Make this script easily accessible and user-friendly to encourage its use.

Consistency Testing. To ensure the system components work together consistently, let's add a few integration tests that specifically check for compatibility between components:

1. Create a component integration test:

3503

3504

3505

3506

3507

3508 3509

3510

3511 3512

3513

```
touch app/tests/test_component_integration.py
```

2. Add the following to app/tests/test_component_integration.py:

```
import os
3514
     import sys
3515
     import pytest
3516
     import numpy as np
3517
     from pathlib import Path
3518
3519
     # Add the project root to the Python path
3520
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
3521
3522
     from app.config.settings import (
3523
         EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH, LLM_MODEL_PATH,
         VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION
3524
3525
```

```
3526
     )
     from app.utils.query_processing import QueryProcessor
3527
     from app.utils.reranking import Reranker
3528
     from app.utils.vector_db import VectorDBClient
3529
     from app.utils.llm import LLMProcessor
3530
3531
      class TestComponentIntegration:
3532
          """Test the integration between different components."""
3533
3534
          def test_embedding_dimensions(self):
3535
              """Test that embedding dimensions are consistent across components."""
3536
              # Load query processor (which uses the embedding model)
3537
              query_processor = QueryProcessor(EMBEDDING_MODEL_PATH)
3538
              # Generate a test embedding
3539
              test_query = "This is a test query"
3540
              query_embedding = query_processor.process_query(test_query)
3541
3542
              # Check dimension
3543
              assert query_embedding.shape[0] == VECTOR_DIMENSION, \
3544
                  f"Embedding dimension ({query_embedding.shape[0]}) doesn't match configured
3545
          dimension ({VECTOR_DIMENSION})"
3546
3547
              # Check if vector DB is configured with same dimension
              vector_db = VectorDBClient(VECTOR_DB_HOST, VECTOR_DB_PORT, "test_consistency",
3548
          VECTOR_DIMENSION)
3549
3550
              # Try creating a collection and check if it accepts the embedding
3551
              vector_db.create_collection()
3552
3553
              # Clean up
3554
              vector_db.delete_collection()
3555
3556
          def test_reranker_compatibility(self):
3557
              """Test that reranker can process outputs from vector search."""
3558
              # Create test data
3559
              test_query = "This is a test query"
              test_results = [
3560
                  {
3561
                       'chunk_id': 'test_chunk_1',
3562
                       'chunk_text': 'This is the first test chunk',
3563
                       'score': 0.95
3564
                  },
3565
3566
                       'chunk_id': 'test_chunk_2',
3567
                       'chunk_text': 'This is the second test chunk',
3568
                       'score': 0.85
3569
                  }
              ]
3570
3571
3572
```

```
3573
              # Load reranker
              reranker = Reranker(RERANKER_MODEL_PATH)
3574
3575
              # Try reranking results
3576
              reranked_results = reranker.rerank(test_query, test_results)
3577
3578
              # Check if reranking worked
3579
              assert len(reranked_results) == len(test_results), "Reranker changed the number of
3580
          results"
3581
              assert 'rerank_score' in reranked_results[0], "Reranker did not add scores"
3582
3583
          def test_llm_prompt_compatibility(self):
3584
              """Test that LLM can process prompts created from reranked results."""
              # Skip if LLM model doesn't exist
3585
              if not os.path.exists(LLM_MODEL_PATH):
3586
                  pytest.skip(f"LLM model not found at {LLM_MODEL_PATH}")
3587
3588
              # Create test data
3589
              test_query = "This is a test query"
3590
              test_results = [
3591
3592
                      'chunk_id': 'test_chunk_1',
3593
                      'chunk_text': 'This is the first test chunk with important information.',
3594
                      'rerank_score': 0.95,
3595
                      'score': 0.90
                  },
3596
3597
                      'chunk_id': 'test_chunk_2',
3598
                      'chunk_text': 'This is the second test chunk with different information.',
3599
                      'rerank_score': 0.85,
3600
                      'score': 0.80
3601
                  }
3602
              ]
3603
3604
              # Load LLM processor
3605
              llm_processor = LLMProcessor(LLM_MODEL_PATH, context_size=1024, max_tokens=100)
3606
3607
              # Create prompt
              prompt = llm_processor.create_prompt(test_query, test_results)
3608
3609
              # Check prompt structure
3610
              assert test_query in prompt, "Query not found in prompt"
3611
              assert test_results[0]['chunk_text'] in prompt, "Context not found in prompt"
3612
3613
              # Optional: Test actual generation if environment allows
3614
3615
                  response = llm_processor.generate_response(prompt)
3616
                  assert 'text' in response, "Response missing text field"
3617
                  assert 'metadata' in response, "Response missing metadata field"
3618
              except Exception as e:
```

```
3620 pytest.skip(f"LLM generation test skipped: {str(e)}")
3621
3622 if __name__ == "__main__":
# Run tests
pytest.main(["-xvs", __file__])
```

This test specifically focuses on ensuring that the dimensions, data formats, and interfaces between components are consistent, which is critical for system integration.

Lab 6.3: System Consistency Verification.

Lab 6.2: Offline Mode Testing.

Learning Objectives:

- Test the system with internet connectivity disabled
- Identify and fix dependencies on external services
- Ensure data persistence across system restarts

Exercises:

3625

3626

3627 3628

3629

3630 3631

3632

3633

3634

3635 3636

3637

3638 3639

3640

3641 3642 1. Create an offline mode test script:

```
touch offline_test.sh
chmod +x offline_test.sh
```

2. Add the following to offline_test.sh:

```
3643
     #!/bin/bash
3644
3645
     # Colors for output
3646
     GREEN='\033[0;32m'
3647
     YELLOW='\033[1;33m'
3648
     RED='\033[0;31m'
3649
     NC='\033[Om' # No Color
3650
3651
     echo -e "${YELLOW}Offline Mode Test${NC}"
3652
     echo "This script will test if the system works properly without internet connectivity."
3653
     echo "It will temporarily disable network access for Docker containers."
3654
     # Check if script is run as root
3655
     if [ "$EUID" -ne 0 ]; then
3656
       echo -e "${RED}Please run as root to modify network settings${NC}"
3657
       exit 1
3658
3659
3660
     # Check if system is running
3661
     echo "Checking if system is running..."
3662
     if ! docker ps | grep -q "vector-db"; then
3663
          echo -e "${RED}Vector database container not running. Please start the system first.${NC}"
3664
          exit 1
3665
     fi
3666
```

```
3667
     if ! docker ps | grep -q "mlflow"; then
3668
         echo -e "${RED}MLflow container not running. Please start the system first.${NC}"
3669
         exit 1
3670
3671
3672
     if ! docker ps | grep -q "flask-app"; then
3673
         echo -e "${RED}Flask app container not running. Please start the system first.${NC}"
3674
         exit 1
3675
3676
3677
     echo -e "${GREEN}All containers are running.${NC}"
3678
     # Create a Docker network with no internet access
3679
     echo "Creating isolated Docker network..."
3680
     docker network create --internal isolated-network
3681
3682
     # Move containers to isolated network
3683
     echo "Moving containers to isolated network..."
3684
     docker network connect isolated-network $(docker ps -q --filter name=vector-db)
3685
     docker network connect isolated-network $(docker ps -q --filter name=mlflow)
3686
     docker network connect isolated-network $(docker ps -q --filter name=flask-app)
3687
3688
     # Disconnect from default network
3689
     echo "Disconnecting from default network..."
     docker network disconnect bridge $(docker ps -q --filter name=vector-db)
3690
     docker network disconnect bridge $(docker ps -q --filter name=mlflow)
3691
     docker network disconnect bridge $(docker ps -q --filter name=flask-app)
3692
3693
     echo -e "${YELLOW}Containers are now in offline mode.${NC}"
3694
     echo "Testing system functionality..."
3695
3696
     # Test if services are still responding
3697
     echo "Testing vector database..."
3698
     if curl -s http://localhost:6333/health > /dev/null; then
3699
         echo -e "${GREEN}Vector database is responding in offline mode.${NC}"
3700
         echo -e "${RED}Vector database is not responding!${NC}"
3701
     fi
3702
3703
     echo "Testing MLflow..."
3704
     if curl -s http://localhost:5001/ping > /dev/null; then
3705
         echo -e "${GREEN}MLflow is responding in offline mode.${NC}"
3706
3707
         echo -e "${RED}MLflow is not responding!${NC}"
3708
     fi
3709
3710
     echo "Testing Flask app..."
3711
     if curl -s http://localhost:8000/api/health > /dev/null; then
         echo -e "${GREEN}Flask app is responding in offline mode.${NC}"
3712
3713
```

```
3714
     else
         echo -e "${RED}Flask app is not responding!${NC}"
3715
     fi
3716
3717
     # Test a query to verify end-to-end functionality
3718
     echo "Testing query functionality..."
3719
     QUERY_RESULT=$(curl -s -X POST -H "Content-Type: application/json" -d '{"question": "What is
3720
          machine learning?"}' http://localhost:8000/api/ask)
3721
3722
     if [[ $QUERY_RESULT == *"text"* ]]; then
3723
         echo -e "${GREEN}Query functionality is working in offline mode.${NC}"
3724
     else
3725
         echo -e "${RED}Query functionality is not working in offline mode!${NC}"
         echo "Response: $QUERY_RESULT"
3726
     fi
3727
3728
     # Wait for user to check the system
3729
     echo -e "${YELLOW}The system is now in offline mode. You can test it manually.${NC}"
3730
     echo "Press Enter to restore network connectivity..."
3731
     read
3732
3733
     # Restore network connectivity
3734
     echo "Restoring network connectivity..."
3735
     docker network connect bridge $(docker ps -q --filter name=vector-db)
3736
     docker network connect bridge $(docker ps -q --filter name=mlflow)
     docker network connect bridge $(docker ps -q --filter name=flask-app)
3737
3738
     docker network disconnect isolated-network $(docker ps -q --filter name=vector-db)
3739
     docker network disconnect isolated-network $(docker ps -q --filter name=mlflow)
3740
     docker network disconnect isolated-network $(docker ps -q --filter name=flask-app)
3741
3742
     # Remove isolated network
3743
     docker network rm isolated-network
3744
3745
     echo -e "${GREEN}Network connectivity restored.${NC}"
3746
     echo "Offline mode test completed."
3747
```

3. Create a backup and restore script:

3748

3752

3753

```
3749
3750
touch backup_restore.sh
chmod +x backup_restore.sh
```

4. Add the following to backup_restore.sh:

```
3754
3755

#!/bin/bash
3756

# Colors for output
3757

GREEN='\033[0;32m'
3758

YELLOW='\033[1;33m'
RED='\033[0;31m'
3760
```

```
3761
     NC='\033[0m' # No Color
3762
      # Default backup directory
3763
     BACKUP_DIR="./backups"
3764
     mkdir -p "$BACKUP_DIR"
3765
3766
      function backup() {
3767
          local timestamp=$(date +%Y%m%d_%H%M%S)
3768
          local backup_file="${BACKUP_DIR}/rag_backup_${timestamp}.tar.gz"
3769
3770
          echo -e "${YELLOW}Creating backup...${NC}"
3771
3772
          # Stop services to ensure data consistency
3773
          echo "Stopping services..."
          docker-compose stop
3774
3775
          # Create backup
3776
          echo "Creating backup archive..."
3777
          tar -czf "$backup_file" \
3778
              --exclude="venv" \
3779
              --exclude="__pycache__" \
3780
              --exclude=".git" \
3781
              --exclude="*.log" \
3782
              --exclude="*.tar.gz" \
3783
              --exclude="mlflow/artifacts/*/tmp" \
              ./data ./models ./mlflow ./app ./flask-app
3784
3785
          # Restart services
3786
          echo "Restarting services..."
3787
          docker-compose up -d
3788
3789
          echo -e "${GREEN}Backup created: ${backup_file}${NC}"
3790
          echo "You can restore this backup using:"
3791
          echo "./backup_restore.sh restore ${backup_file}"
3792
3793
3794
     function restore() {
         local backup_file=$1
3795
3796
          if [ ! -f "$backup_file" ]; then
3797
              echo -e "${RED}Backup file not found: ${backup_file}${NC}"
3798
              exit 1
3799
          fi
3800
3801
          echo -e "${YELLOW}Restoring from backup: ${backup_file}${NC}"
3802
3803
          # Stop services
3804
          echo "Stopping services..."
3805
          docker-compose stop
3806
```

```
3808
          # Create temporary directory
          local temp_dir=$(mktemp -d)
3809
3810
          # Extract backup
3811
          echo "Extracting backup..."
3812
          tar -xzf "$backup_file" -C "$temp_dir"
3813
3814
          # Restore data
3815
          echo "Restoring data..."
3816
          rsync -a --delete "$temp_dir/data/" ./data/
3817
          rsync -a --delete "$temp_dir/models/" ./models/
3818
          rsync -a --delete "$temp_dir/mlflow/" ./mlflow/
3819
          # Clean up
3820
          rm -rf "$temp_dir"
3821
3822
          # Restart services
3823
          echo "Restarting services..."
3824
          docker-compose up -d
3825
3826
          echo -e "${GREEN}Restore completed.${NC}"
3827
     }
3828
3829
     function list_backups() {
3830
          echo -e "${YELLOW}Available backups:${NC}"
3831
         local count=0
3832
          for file in "$BACKUP_DIR"/rag_backup_*.tar.gz; do
3833
              if [ -f "$file" ]; then
3834
                  local size=$(du -h "$file" | cut -f1)
3835
                  local date=$(stat -c %y "$file" | cut -d. -f1)
3836
                  echo "$(basename "$file") (${size}, ${date})"
3837
                  count=$((count + 1))
3838
              fi
3839
          done
3840
3841
          if [ $count -eq 0 ]; then
              echo "No backups found."
3842
          fi
3843
3844
3845
     # Main script
3846
      case "$1" in
3847
          backup)
3848
              backup
3849
              ;;
3850
          restore)
3851
              if [ -z "$2" ]; then
                  echo -e "${RED}Error: No backup file specified.${NC}"
3852
                  echo "Usage: $0 restore <backup_file>"
3853
3854
```

, Vol. 1, No. 1, Article . Publication date: March 2025.

```
3855
                   exit 1
               fi
3856
              restore "$2"
3857
               ;;
3858
          list)
3859
               list_backups
3860
3861
3862
               echo "Usage: $0 {backup|restore|list}"
3863
               echo " backup
                                        Create a new backup"
3864
               echo
                       restore <file> Restore from backup file"
3865
                                        List available backups"
               echo
3866
               exit 1
3867
               ;;
      esac
3868
3869
```

Professor's Hint: Regular backups are essential for any system that stores important data. The backup script not only creates archives of your data and models but also ensures data consistency by stopping services before backing up and restarting them afterward.

Checkpoint Questions:

3870

3871

3872

3873

3874 3875

3876

3877

3878

3879

3880

3881

3882

3883

3884

3885

3886

3887

3888

3889 3890

3891

3892

3893

3894

3895

3896

3897

3898

3899

3900 3901

- 1. What happens if one of the Docker containers fails during system operation?
- 2. How can we make the system resilient to temporary failures?
- 3. Why is testing in offline mode important for a locally deployed RAG system?
- 4. What failure modes are unique to RAG systems compared to traditional search or pure LLM applications?
- 5. How would you implement graceful degradation if one component of the system fails?
- 6. What would be the most critical components to monitor in a production RAG system?
- 7. How could you design the system architecture to allow for horizontal scaling if processing demands increase?
- 8. What security considerations should be addressed for a system that processes potentially sensitive documents?
- 9. Additional Challenge: Implement a cron job to automatically create daily backups and retain only the 7 most recent backups.

Final Project Submission Guidelines

Requirements.

1. Complete System

- All components integrated and working together
- Fully functional in offline mode
- Clear documentation for usage

2. Technical Report (3-5 pages)

- System architecture overview
- Component descriptions
- Performance analysis
- Limitations and future improvements

3902	3. Code Repository
3903	• Well-organized codebase
3904	• Proper comments and docstrings
3905	• README with setup and usage instructions
3906	• Requirements file with all dependencies
3907	4. Demonstration Video (5-7 minutes)
3908	• System walkthrough
3909	• Example usage scenarios
3910	• Performance demonstration
3911 3912	Self-Assessment Questions. Before submitting your project, ask yourself:
3913	1. Can the system function completely offline after initial setup?
3914	2. Does the RAG system correctly extract text from various PDF formats?
3915	3. Is the vector search accurate and relevant to user queries?
3916	4. Does the LLM generate coherent and factual responses based on the retrieved context?
3917	5. Is the web interface intuitive and responsive?
3918	6. Does the system handle errors gracefully?
3919 3920	7. Is there proper documentation for all components?
3921	8. Can another person set up and use your system based on your documentation?
3922	Conclusion
3923 3924 3925 3926	Building a local RAG system requires integration of multiple complex components, from PDF processing and vector embeddings to large language models and web interfaces. This project has given you hands-on experience with the entire pipeline, providing a foundation for developing more advanced AI applications.
3927 3928 3929	The skills you've developed can be applied to many other domains, including: - Custom knowledge bases for specific domains - Intelligent document search systems - Automated document analysis - Personalized AI assistants
3930 3931 3932 3933	Remember that local AI systems have unique advantages in terms of privacy, data control, and offline functionality. As the field continues to evolve, the ability to deploy AI systems locally will become increasingly valuable for many applications.
3934 3935	Good luck with your final project!
3936 3937 3938 3939	Professor's Final Hint: The true test of any system is how it performs with real-world data and users. Take time to test your system with diverse PDFs and questions, and iterate based on what you learn. The most valuable systems are those that solve real problems elegantly and reliably.
3940	Lab 4: MLflow Integration and Model Serving
3941 3942	Lab 4.1: MLflow Model Wrapper and Logging.
3943 3944	Learning Objectives:
3945	• Create an MLflow model wrapper for the RAG system

 $\bullet\,$ Log models and artifacts to ML flow

• Understand model versioning and management

3946

```
Exercises:
```

3950

3955

1. Create an MLflow model wrapper:

```
3951
3952
     mkdir -p app/models
     touch app/models/rag_model.py
3953
3954
```

2. Add the following to app/models/rag_model.py:

```
3956
      import os
3957
      import sys
3958
     import pandas as pd
3959
     import numpy as np
3960
     from typing import Dict, Any
3961
     import mlflow.pyfunc
     import logging
3962
3963
     # Configure logging
3964
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
3965
          %(message)s')
3966
     logger = logging.getLogger(__name__)
3967
3968
      class RAGModel(mlflow.pyfunc.PythonModel):
3969
          def __init__(self):
3970
              """Initialize the RAG model wrapper."""
3971
              self.rag_processor = None
3972
3973
          def load_context(self, context):
3974
              Load model artifacts.
3975
3976
              Args:
3977
                  context: MLflow model context
3978
3979
              logger.info("Loading RAG model context")
3980
3981
              # Add paths
3982
              sys.path.append(os.path.dirname(context.artifacts['app_dir']))
3983
              # Import here to avoid circular imports
3984
              from app.config.settings import (
3985
                  VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
3986
                  EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH, LLM_MODEL_PATH
3987
3988
              from app.utils.search import create_search_pipeline
3989
              from app.utils.llm import create_rag_processor
3990
3991
              # Create search pipeline
3992
              search_pipeline = create_search_pipeline(
3993
                  VECTOR_DB_HOST, VECTOR_DB_PORT, COLLECTION_NAME, VECTOR_DIMENSION,
3994
                  EMBEDDING_MODEL_PATH, RERANKER_MODEL_PATH
3995
```

```
3996
              )
3997
              # Create RAG processor
3998
              self.rag_processor = create_rag_processor(search_pipeline, LLM_MODEL_PATH)
3999
4000
              logger.info("RAG model context loaded")
4001
4002
          def predict(self, context, model_input):
4003
4004
              Generate predictions.
4005
4006
              Args:
4007
                  context: MLflow model context
                  model_input: Input data
4008
4009
              Returns:
4010
                  Model predictions
4011
4012
              # Check if input is a pandas DataFrame
4013
              if isinstance(model_input, pd.DataFrame):
4014
                  # Extract query
4015
                  if 'query' in model_input.columns:
4016
                      query = model_input['query'].iloc[0]
4017
                  else:
4018
                      raise ValueError("Input DataFrame must have a 'query' column")
              elif isinstance(model_input, dict):
4019
                  # Extract query from dictionary
4020
                  if 'query' in model_input:
4021
                      query = model_input['query']
4022
                  else:
4023
                      raise ValueError("Input dictionary must have a 'query' key")
4024
              else:
4025
                  # Assume input is a string query
4026
                  query = str(model_input)
4027
4028
              logger.info(f"Processing query: {query}")
4029
              # Process query
4030
              response = self.rag_processor.process_query(query)
4031
4032
              return response
4033
4034
     def get_pip_requirements():
4035
          """Get pip requirements for the model."""
4036
          return [
4037
              "pandas",
4038
              "numpy",
4039
              "scikit-learn",
              "sentence-transformers",
4040
              "qdrant-client",
4041
4042
```

```
"llama-cpp-python",
              "mlflow"
4044
         ]
4045
4046
      if __name__ == "__main__":
4047
          # Test the model
4048
         model = RAGModel()
4049
4050
          # Create a dummy context
4051
          class DummyContext:
4052
              def __init__(self):
4053
                  self.artifacts = {'app_dir':
4054
          os.path.dirname(os.path.dirname(os.path.abspath(__file__)))}
4055
          # Load context
4056
         model.load_context(DummyContext())
4057
4058
          # Test prediction
4059
         result = model.predict(None, "What is retrieval-augmented generation?")
4060
         print(result['text'])
4061
```

3. Create a script to log the model to MLflow:

4062

4063 4064

4065 4066

```
touch app/scripts/log_model.py
```

4. Add the following to app/scripts/log_model.py:

```
4067
     import os
4068
     import sys
4069
     import mlflow
4070
     import logging
4071
     from pathlib import Path
4072
4073
     # Configure logging
4074
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
4075
         %(message)s')
4076
     logger = logging.getLogger(__name__)
4077
     # Add the project root to the Python path
4078
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
4079
4080
     from app.config.settings import MLFLOW_TRACKING_URI, MLFLOW_MODEL_NAME
4081
     from app.models.rag_model import RAGModel, get_pip_requirements
4082
4083
     def log_model():
4084
          """Log the RAG model to MLflow."""
4085
         logger.info(f"Logging model {MLFLOW_MODEL_NAME} to MLflow at {MLFLOW_TRACKING_URI}")
4086
4087
         # Set MLflow tracking URI
4088
         mlflow.set_tracking_uri(MLFLOW_TRACKING_URI)
4089
```

```
4090
          # Get project root
4091
          project_root = Path(__file__).resolve().parent.parent.parent
4092
          app_dir = os.path.join(project_root, "app")
4093
4094
          # Log model
4095
          with mlflow.start_run(run_name=f"{MLFLOW_MODEL_NAME}_deployment") as run:
4096
              # Log parameters
4097
              mlflow.log_param("embedding_model", "all-MiniLM-L6-v2")
4098
              mlflow.log_param("reranker_model", "ms-marco-MiniLM-L-6-v2")
4099
              mlflow.log_param("llm_model", "llama-2-7b-chat-q4_0.gguf")
4100
              # Log model
4101
              model_info = mlflow.pyfunc.log_model(
4102
                  artifact_path="model",
4103
                  python_model=RAGModel(),
4104
                  artifacts={
4105
                       "app_dir": app_dir
4106
                  },
4107
                  pip_requirements=get_pip_requirements(),
4108
                  registered_model_name=MLFLOW_MODEL_NAME
4109
              )
4110
4111
              logger.info(f"Model logged: {model_info.model_uri}")
4112
              return model_info
4113
4114
     if __name__ == "__main__":
4115
          # Log model
4116
          model_info = log_model()
4117
          print(f"Model logged: {model_info.model_uri}")
4118
          print(f"Run ID: {model_info.run_id}")
4119
```

Professor's Hint: MLflow's Python Function (PyFunc) model format is very flexible but doesn't handle complex dependencies well. By including the app_dir as an artifact, we ensure that all necessary code is available, but this approach requires the filesystem structure to be preserved. For production, consider packaging your RAG components as a Python package.

5. Create a script to deploy the model:

4120

4121

4122

4123

4124 4125

4128

4129

```
4126
4127 touch app/scripts/deploy_model.py
```

6. Add the following to app/scripts/deploy_model.py:

```
import os
import sys
import mlflow
import argparse
import logging
from pathlib import Path
```

```
4137
     # Configure logging
4138
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
4139
          %(message)s')
4140
     logger = logging.getLogger(__name__)
4141
4142
     # Add the project root to the Python path
4143
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
4144
4145
     from app.config.settings import MLFLOW_TRACKING_URI, MLFLOW_MODEL_NAME
4146
4147
     def deploy_model(run_id=None, port=5001):
4148
         Deploy the RAG model using MLflow.
4149
4150
          Args:
4151
              run_id: Run ID to deploy (if None, use latest version)
4152
              port: Port to deploy on
4153
4154
          # Set MLflow tracking URI
4155
         mlflow.set_tracking_uri(MLFLOW_TRACKING_URI)
4156
4157
          # Get model URI
4158
          if run_id:
4159
              model_uri = f"runs:/{run_id}/model"
              logger.info(f"Deploying model from run {run_id}")
4160
          else:
4161
              model_uri = f"models:/{MLFLOW_MODEL_NAME}/latest"
4162
              logger.info(f"Deploying latest version of model {MLFLOW_MODEL_NAME}")
4163
4164
          # Deploy model
4165
          logger.info(f"Starting MLflow serving on port {port}")
4166
          os.system(f"mlflow models serve -m {model_uri} -p {port} --no-conda")
4167
4168
     if __name__ == "__main__":
4169
          # Parse arguments
4170
         parser = argparse.ArgumentParser(description='Deploy the RAG model')
         parser.add_argument('--run-id', type=str, default=None,
4171
                              help='Run ID to deploy')
4172
         parser.add_argument('--port', type=int, default=5001,
4173
                              help='Port to deploy on')
4174
          args = parser.parse_args()
4175
4176
          # Deploy model
4177
          deploy_model(args.run_id, args.port)
4178
4179
```

Checkpoint Questions:

4180

4181

4182 4183 1. Why do we use MLflow for model versioning and deployment?

4186

4187

4188 4189

4190

4191

4192

4193

4194

4195 4196

4197 4198

4199

4200

4201

4202 4203

4204

4205

4209

- 2. What are the advantages of packaging a model with MLflow compared to directly running the application?
 - 3. How does the MLflow model server handle inference requests?
 - 4. How would you design an A/B testing framework to evaluate changes to different components of the RAG pipeline?
 - 5. What monitoring metrics would be most important for understanding RAG system performance in production?
 - 6. How does the choice of serialization format for model artifacts affect the tradeoff between load time and storage efficiency?
 - 7. What strategies could you implement to handle model updates without service interruption?
 - 8. Additional Challenge: Create a system for A/B testing different model configurations by deploying multiple versions of the model and comparing their performance.

Lab 4.2: MLflow Client and Integration Testing

Learning Objectives:

- Create a client to interact with the MLflow serving endpoint
- Implement integration testing for the end-to-end system
- Test system performance and reliability

Exercises:

1. Create an MLflow client utility:

```
4206 mkdir -p app/clients
4207 touch app/clients/mlflow_client.py
```

2. Add the following to app/clients/mlflow_client.py:

```
4210
     import os
4211
     import json
4212
     import requests
4213
     from typing import Dict, Any, Union
4214
     import logging
4215
4216
     # Configure logging
4217
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
          %(message)s')
4218
     logger = logging.getLogger(__name__)
4219
4220
      class MLflowClient:
4221
         def __init__(self, endpoint_url: str):
4222
4223
              Initialize the MLflow client.
4224
4225
              Args:
4226
                  endpoint_url: URL of the MLflow serving endpoint
4227
4228
              self.endpoint_url = endpoint_url
4229
              logger.info(f"Initialized MLflow client for endpoint: {endpoint_url}")
4230
```

```
4231
          def predict(self, query: str) -> Dict[str, Any]:
4232
4233
              Make a prediction using the MLflow serving endpoint.
4234
4235
              Args:
4236
                  query: Query text
4237
4238
              Returns:
4239
                  Prediction result
4240
4241
              logger.info(f"Sending query to MLflow endpoint: {query}")
4242
              # Create payload
4243
              payload = {
4244
                  "query": query
4245
4246
4247
              # Send request
4248
              response = requests.post(
4249
                  f"{self.endpoint_url}/invocations",
4250
                  json=payload,
4251
                  headers={"Content-Type": "application/json"}
4252
              )
4253
              # Check response
4254
              if response.status_code != 200:
4255
                  logger.error(f"Error from MLflow endpoint: {response.text}")
4256
                  raise Exception(f"Error from MLflow endpoint: {response.text}")
4257
4258
              # Parse response
4259
              result = response.json()
4260
4261
              logger.info(f"Received response from MLflow endpoint")
4262
              return result
4263
4264
          def is_alive(self) -> bool:
4265
              Check if the MLflow endpoint is alive.
4266
4267
              Returns:
4268
                  True if the endpoint is alive, False otherwise
4269
4270
              try:
4271
                  response = requests.get(f"{self.endpoint_url}/ping")
4272
                  return response.status_code == 200
4273
              except:
4274
                  return False
4275
     def create_mlflow_client(host: str = "localhost", port: int = 5001) -> MLflowClient:
4276
4277
```

```
4278
          Create an MLflow client.
4279
4280
4281
              host: Host of the MLflow server
4282
             port: Port of the MLflow server
4283
4284
         Returns:
4285
              MLflow client
4286
4287
          endpoint_url = f"http://{host}:{port}"
4288
          return MLflowClient(endpoint_url)
4289
     if __name__ == "__main__":
4290
          # Example usage
4291
         client = create_mlflow_client()
4292
4293
          # Check if endpoint is alive
4294
          if client.is_alive():
4295
              print("MLflow endpoint is alive")
4296
4297
              # Make a prediction
4298
              response = client.predict("What is retrieval-augmented generation?")
4299
              print(f"Response: {response['text']}")
4300
              print("MLflow endpoint is not available. Make sure the model is deployed.")
4301
4302
```

3. Create an integration test script:

4303

4304

4305 4306

4307

```
touch app/tests/test_integration.py
```

4. Add the following to app/tests/test_integration.py:

```
4308
     import os
4309
     import sys
4310
     import time
4311
     import pytest
     from pathlib import Path
4312
4313
     # Add the project root to the Python path
4314
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
4315
4316
     from app.clients.mlflow_client import create_mlflow_client
4317
4318
     @pytest.fixture
4319
     def mlflow_client():
4320
         """Create an MLflow client for testing."""
4321
         client = create_mlflow_client()
4322
         if not client.is_alive():
              pytest.skip("MLflow endpoint is not available. Make sure the model is deployed.")
4323
4324
```

```
4325
         return client
4326
     def test_mlflow_endpoint_alive(mlflow_client):
4327
          """Test that the MLflow endpoint is alive."""
4328
          assert mlflow_client.is_alive(), "MLflow endpoint is not alive"
4329
4330
      def test_simple_query(mlflow_client):
4331
          """Test a simple query."""
4332
         response = mlflow_client.predict("What is machine learning?")
4333
          assert 'text' in response, "Response missing 'text' field"
4334
          assert len(response['text']) > 0, "Response text is empty"
4335
          assert 'sources' in response, "Response missing 'sources' field"
4336
          assert 'metadata' in response, "Response missing 'metadata' field"
4337
     def test_query_with_no_results(mlflow_client):
4338
          """Test a query that should not have results in the corpus."""
4339
          response = mlflow_client.predict("What is the capital of Jupiter?")
4340
          assert 'text' in response, "Response missing 'text' field"
4341
          # The response should indicate that the information is not available
4342
          assert len(response['text']) > 0, "Response text is empty"
4343
4344
     def test_response_timing(mlflow_client):
4345
          """Test the response time of the endpoint."""
4346
          query = "What is retrieval-augmented generation?"
4347
          # Measure response time
4348
          start_time = time.time()
4349
          response = mlflow_client.predict(query)
4350
          end_time = time.time()
4351
4352
          response_time = end_time - start_time
4353
         print(f"Response time: {response_time:.2f} seconds")
4354
4355
          # We expect a response in under 10 seconds for a simple query
4356
          assert response_time < 10, f"Response_time too slow: {response_time:.2f} seconds"
4357
4358
     def test_multiple_queries(mlflow_client):
          """Test multiple consecutive queries."""
4359
          queries = [
4360
              "What is vector search?",
4361
              "How does re-ranking work?",
4362
              "What are embeddings?",
4363
              "How does Llama 2 compare to other language models?"
4364
4365
4366
          for query in queries:
4367
              response = mlflow_client.predict(query)
4368
              assert 'text' in response, f"Response missing 'text' field for query: {query}"
4369
              assert len(response['text']) > 0, f"Response text is empty for query: {query}"
4370
4371
```

4377

4378

4379

4380

4383

```
4372 if __name__ == "__main__":

# Run tests

pytest.main(["-xvs", __file__])

4375
```

Professor's Hint: Integration tests are essential for ensuring the reliability of complex systems like this RAG application. The tests should cover both "happy path" scenarios and edge cases. Pay special attention to response timingif the system is too slow, users will find it frustrating regardless of accuracy.

5. Create a load testing script (optional):

```
touch app/tests/load_test.py
4381
```

6. Add the following to app/tests/load_test.py:

```
4384
     import os
4385
     import sys
4386
     import time
4387
     import random
4388
     import threading
4389
     import concurrent.futures
4390
     from pathlib import Path
4391
4392
     # Add the project root to the Python path
4393
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent.parent))
4394
     from app.clients.mlflow_client import create_mlflow_client
4395
4396
     # Test queries
4397
     TEST_QUERIES = [
4398
          "What is retrieval-augmented generation?",
4399
          "How do vector databases work?",
4400
          "What is semantic search?",
4401
          "How do transformers work?",
4402
          "What is the difference between bi-encoders and cross-encoders?",
4403
          "How does Llama 2 compare to other language models?",
4404
          "What is prompt engineering?",
4405
          "How do you evaluate RAG systems?",
          "What is the role of re-ranking in search?",
4406
          "How do embeddings capture semantic meaning?",
4407
4408
4409
      def run_query(client, query):
4410
          """Run a query and return the response time."""
4411
          start_time = time.time()
4412
          try:
4413
              response = client.predict(query)
4414
              success = True
4415
          except Exception as e:
4416
              print(f"Error: {str(e)}")
              success = False
4417
4418
```

```
4419
          end_time = time.time()
4420
         return {
4421
              'query': query,
4422
              'response_time': end_time - start_time,
4423
              'success': success,
4424
              'timestamp': time.time()
4425
         }
4426
4427
     def worker(client, num_queries, results):
4428
          """Worker function for concurrent queries."""
4429
          for _ in range(num_queries):
4430
              query = random.choice(TEST_QUERIES)
              result = run_query(client, query)
4431
              results.append(result)
4432
              time.sleep(random.uniform(0.5, 2.0)) # Random delay between queries
4433
4434
     def run_load_test(num_workers=3, queries_per_worker=5):
4435
4436
         Run a load test with multiple concurrent workers.
4437
4438
          Args:
4439
              num_workers: Number of concurrent workers
4440
              queries_per_worker: Number of queries per worker
4441
          print(f"Running load test with {num_workers} workers, {queries_per_worker} queries per
4442
          worker")
4443
4444
          # Create client
4445
          client = create_mlflow_client()
4446
4447
          # Check if endpoint is alive
4448
          if not client.is_alive():
4449
              print("MLflow endpoint is not available. Make sure the model is deployed.")
4450
              return
4451
4452
          # Shared results list
          results = []
4453
4454
          # Run workers in parallel
4455
          start_time = time.time()
4456
         with concurrent.futures.ThreadPoolExecutor(max_workers=num_workers) as executor:
4457
              futures = [
4458
                  executor.submit(worker, client, queries_per_worker, results)
4459
                  for _ in range(num_workers)
4460
4461
              concurrent.futures.wait(futures)
4462
          end_time = time.time()
4463
4464
          # Analyze results
4465
```

```
4466
         total_queries = len(results)
         successful_queries = sum(1 for r in results if r['success'])
4467
         failed_queries = total_queries - successful_queries
4468
4469
         if total_queries > 0:
4470
              avg response time = sum(r['response time'] for r in results) / total_queries
4471
             max_response_time = max(r['response_time'] for r in results)
4472
             min_response_time = min(r['response_time'] for r in results)
4473
         else:
4474
              avg_response_time = max_response_time = min_response_time = 0
4475
4476
         total_time = end_time - start_time
         queries_per_second = total_queries / total_time if total_time > 0 else 0
4477
4478
         # Print results
4479
         print("\nLoad Test Results")
4480
         print("----")
4481
         print(f"Total queries: {total_queries}")
4482
         print(f"Successful queries: {successful_queries}")
4483
         print(f"Failed queries: {failed_queries}")
4484
         print(f"Success rate: {successful_queries / total_queries * 100:.2f}%")
4485
         print(f"Average response time: {avg_response_time:.2f} seconds")
4486
         print(f"Min response time: {min_response_time:.2f} seconds")
4487
         print(f"Max response time: {max_response_time:.2f} seconds")
         print(f"Total test time: {total_time:.2f} seconds")
4488
         print(f"Queries per second: {queries_per_second:.2f}")
4489
4490
     if __name__ == "__main__":
4491
         import argparse
4492
4493
         # Parse arguments
4494
         parser = argparse.ArgumentParser(description='Run a load test on the RAG system')
4495
         parser.add_argument('--workers', type=int, default=3,
4496
                              help='Number of concurrent workers')
4497
         parser.add_argument('--queries', type=int, default=5,
4498
                              help='Number of queries per worker')
4499
         args = parser.parse_args()
4500
         # Run load test
4501
         run_load_test(args.workers, args.queries)
4502
4503
```

Checkpoint Questions:

4504

4505

4506

4507

4508

4509

4510

- 1. What are the key metrics to monitor in a RAG system under load?
- 2. How does concurrent access impact the performance of different components (vector DB, embedding model, LLM)?
- 3. What options exist for scaling the system if it becomes performance-limited?
- 4. **Additional Challenge:** Create a benchmark suite that evaluates the system's accuracy using a set of predefined questions with known answers.

Lab 4.3: Creating an MLflow Client for the Flask App.

Learning Objectives:

- Create a client to interact with the MLflow serving endpoint from Flask
- Implement error handling and logging for MLflow interactions
- Design a clean API abstraction for your web application

Exercises: 4520

4513

4514

4515 4516

4517

4518

4519

4521

1. Create the MLflow client for the Flask app:

```
4522
4523
     # flask-app/utils/mlflow_client.py
4524
     import requests
4525
     import json
     import logging
4526
     from typing import Dict, Any, Optional
4527
4528
     # Configure logging
4529
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
4530
          %(message)s')
4531
     logger = logging.getLogger(__name__)
4532
4533
      class MLflowClient:
4534
          def __init__(self, endpoint_url: str):
4535
              Initialize the MLflow client.
4536
4537
              Args:
4538
                  endpoint_url: URL of the MLflow serving endpoint
4539
4540
              self.endpoint_url = endpoint_url
4541
              logger.info(f"Initialized MLflow client for endpoint: {endpoint_url}")
4542
4543
          def predict(self, query: str) -> Dict[str, Any]:
4544
4545
              Make a prediction using the MLflow serving endpoint.
4546
4547
              Args:
                  query: Query text
4548
4549
              Returns:
4550
                  Prediction result
4551
4552
              logger.info(f"Sending query to MLflow endpoint: {query}")
4553
4554
              # Create payload
4555
              payload = {
4556
                   "query": query
4557
4558
4559
```

```
4560
              # Send request
              response = requests.post(
4561
                  f"{self.endpoint_url}/invocations",
4562
                  json=payload,
4563
                  headers={"Content-Type": "application/json"}
4564
4565
4566
              # Check response
4567
              if response.status_code != 200:
4568
                  logger.error(f"Error from MLflow endpoint: {response.text}")
4569
                  raise Exception(f"Error from MLflow endpoint: {response.text}")
4570
4571
              # Parse response
              result = response.json()
4572
4573
              logger.info(f"Received response from MLflow endpoint")
4574
              return result
4575
4576
          def is_alive(self) -> bool:
4577
              0.00
4578
              Check if the MLflow endpoint is alive.
4579
4580
              Returns:
4581
                  True if the endpoint is alive, False otherwise
4582
              try:
4583
                  response = requests.get(f"{self.endpoint_url}/ping")
4584
                  return response.status_code == 200
4585
              except:
4586
                  return False
4587
4588
     def create_mlflow_client(host: str = "localhost", port: int = 5001) -> MLflowClient:
4589
4590
          Create an MLflow client.
4591
4592
          Args:
4593
              host: Host of the MLflow server
              port: Port of the MLflow server
4594
4595
         Returns:
4596
              MLflow client
4597
4598
          endpoint_url = f"http://{host}:{port}"
4599
          return MLflowClient(endpoint_url)
4600
```

2. Create a utility for pipeline triggering:

4601

4602 4603

4604

 $\frac{4605}{4606}$

```
touch flask-app/utils/pipeline_trigger.py
```

3. Add the following code to flask-app/utils/pipeline_trigger.py:

```
, Vol. 1, No. 1, Article . Publication date: March 2025.
```

```
4607
     import subprocess
4608
     import logging
4609
     import threading
4610
     from pathlib import Path
4611
     import sys
4612
4613
     # Configure logging
4614
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
4615
          %(message)s')
4616
     logger = logging.getLogger(__name__)
4617
     def run_pipeline_async(pdf_dir, rebuild=False):
4618
4619
         Run the pipeline asynchronously in a separate thread.
4620
4621
          Args:
4622
              pdf_dir: Directory containing PDF files
4623
              rebuild: Whether to rebuild the vector index
4624
4625
          # Get project root
4626
         project_root = Path(__file__).resolve().parent.parent.parent
4627
          def _run_pipeline():
4628
              try:
4629
                  logger.info(f"Starting pipeline with PDF directory: {pdf_dir}")
4630
4631
                  # Build command
4632
                  cmd = \Gamma
4633
                       sys.executable,
4634
                       str(project_root / "app" / "pipeline.py"),
4635
                       "--pdf-dir", pdf_dir
4636
4637
                  if rebuild:
4638
                       cmd.append("--rebuild")
4639
4640
                  # Run pipeline
4641
                  process = subprocess.Popen(
4642
4643
                       stdout=subprocess.PIPE,
4644
                       stderr=subprocess.PIPE,
4645
                       universal_newlines=True
4646
                  )
4647
4648
                  # Get output
                  stdout, stderr = process.communicate()
4649
4650
                  if process.returncode != 0:
4651
                       logger.error(f"Pipeline failed with return code {process.returncode}")
4652
4653
```

```
4654
                       logger.error(f"Error output: {stderr}")
                  else:
4655
                       logger.info("Pipeline completed successfully")
4656
4657
              except Exception as e:
4658
                  logger.error(f"Error running pipeline: {str(e)}")
4659
4660
          # Start thread
4661
          thread = threading.Thread(target=_run_pipeline)
4662
          thread.daemon = True
4663
          thread.start()
4664
4665
         return {
              'status': 'started',
4666
              'message': 'Pipeline started in the background'
4667
         }
4668
4669
```

Professor's Hint: When triggering long-running processes from a web application, it's best to run them asynchronously to avoid blocking the web server. This keeps the user interface responsive while the processing happens in the background.

Lab 5: Flask Web Interface

Lab 5.1: Basic Flask Application Setup.

Learning Objectives:

- Set up a Flask web application
- Create a user interface for the RAG system
- Implement file upload and document management

Exercises:

4670

4671

4672 4673

4674

 $\frac{4675}{4676}$

4677

4678

4679

 $4680 \\ 4681$

4682 4683

4684

4685

4686

4687 4688

1. Create a basic Flask application structure:

```
cd flask-app
touch app.py
touch config.py
```

2. Add the following to config.py:

```
4689
     import os
4690
     from pathlib import Path
4691
4692
     # Base directory
4693
     BASE_DIR = Path(__file__).resolve().parent
4694
4695
     # Flask settings
4696
     SECRET_KEY = "change-this-in-production"
4697
     DEBUG = True
4698
4699
     # Upload settings
4700
```

```
4701
     UPLOAD_FOLDER = os.path.join(Path(__file__).resolve().parent.parent, "data", "pdfs")
     MAX_CONTENT_LENGTH = 16 * 1024 * 1024 # 16 MB max upload size
4702
     ALLOWED_EXTENSIONS = {'pdf'}
4703
4704
     # MLflow settings
4705
     MLFLOW_HOST = "localhost"
4706
     MLFLOW_PORT = 5001
4707
```

3. Add the following to app.py:

4708

```
4710
     import os
4711
     from flask import Flask, request, render_template, flash, redirect, url_for, jsonify
4712
     from werkzeug.utils import secure_filename
4713
     import logging
     import sys
4714
     from pathlib import Path
4715
4716
     # Configure logging
4717
     logging.basicConfig(level=logging.INFO, format='%(asctime)s - %(name)s - %(levelname)s -
4718
          %(message)s')
4719
     logger = logging.getLogger(__name__)
4720
4721
     # Add project root to Python path
4722
     sys.path.insert(0, str(Path(__file__).resolve().parent.parent))
4723
4724
     # Import configuration
     from flask_app.config import SECRET_KEY, DEBUG, UPLOAD_FOLDER, MAX_CONTENT_LENGTH,
4725
          ALLOWED_EXTENSIONS
4726
     from flask_app.utils.mlflow_client import create_mlflow_client
4727
4728
     # Create Flask app
4729
     app = Flask(__name__)
4730
     app.config['SECRET_KEY'] = SECRET_KEY
4731
     app.config['UPLOAD_FOLDER'] = UPLOAD_FOLDER
4732
     app.config['MAX_CONTENT_LENGTH'] = MAX_CONTENT_LENGTH
4733
4734
     # Ensure upload folder exists
     os.makedirs(UPLOAD_FOLDER, exist_ok=True)
4735
4736
     # Create MLflow client
4737
     try:
4738
         from flask_app.config import MLFLOW_HOST, MLFLOW_PORT
4739
         mlflow_client = create_mlflow_client(MLFLOW_HOST, MLFLOW_PORT)
4740
         logger.info(f"MLflow client created for endpoint: http://{MLFLOW_HOST}:{MLFLOW_PORT}")
4741
     except Exception as e:
4742
         logger.error(f"Failed to create MLflow client: {str(e)}")
4743
         mlflow_client = None
4744
4745
     def allowed_file(filename):
          """Check if a file has an allowed extension."""
4746
4747
```

```
4748
         return '.' in filename and filename.rsplit('.', 1)[1].lower() in ALLOWED_EXTENSIONS
4749
     @app.route('/')
4750
     def index():
4751
         """Render the home page."""
4752
         return render_template('index.html')
4753
4754
     @app.route('/upload', methods=['GET', 'POST'])
4755
     def upload():
4756
          """Handle file uploads."""
4757
         if request.method == 'POST':
4758
              # Check if the post request has the file part
4759
              if 'file' not in request.files:
                  flash('No file part', 'error')
4760
                  return redirect(request.url)
4761
4762
              file = request.files['file']
4763
4764
              # If user does not select file, browser also
4765
              # submit an empty part without filename
4766
              if file.filename == '':
4767
                  flash('No selected file', 'error')
4768
                  return redirect(request.url)
4769
4770
              if file and allowed_file(file.filename):
                  filename = secure_filename(file.filename)
4771
                  file_path = os.path.join(app.config['UPLOAD_FOLDER'], filename)
4772
                  file.save(file_path)
4773
                  flash(f'File {filename} uploaded successfully!', 'success')
4774
4775
                  # Redirect to document list
4776
                  return redirect(url_for('documents'))
4777
              else:
4778
                  flash('File type not allowed', 'error')
4779
                  return redirect(request.url)
4780
4781
         return render_template('upload.html')
4782
     @app.route('/documents')
4783
     def documents():
4784
          """List uploaded documents."""
4785
         # Get list of PDFs in upload folder
4786
         pdfs = []
4787
         for filename in os.listdir(app.config['UPLOAD_FOLDER']):
4788
              if allowed_file(filename):
4789
                  file_path = os.path.join(app.config['UPLOAD_FOLDER'], filename)
4790
                  file_stat = os.stat(file_path)
4791
                  pdfs.append({
                       'filename': filename,
4792
                       'size': file_stat.st_size,
4793
4794
```

, Vol. 1, No. 1, Article . Publication date: March 2025.

```
4795
                       'modified': file_stat.st_mtime
                  })
4796
4797
          # Sort by modified time (newest first)
4798
         pdfs = sorted(pdfs, key=lambda x: x['modified'], reverse=True)
4799
4800
         return render_template('documents.html', documents=pdfs)
4801
4802
     @app.route('/ask', methods=['GET', 'POST'])
4803
     def ask():
4804
          """Ask a question."""
4805
          if request.method == 'POST':
4806
              return redirect(url_for('ask'))
4807
         return render_template('ask.html')
4808
4809
     @app.route('/api/ask', methods=['POST'])
4810
     def api_ask():
4811
          """API endpoint for asking questions."""
4812
          data = request.get_json()
4813
4814
          if not data or 'question' not in data:
4815
              return jsonify({'error': 'Missing question parameter'}), 400
4816
4817
          question = data['question']
4818
          if not mlflow_client or not mlflow_client.is_alive():
4819
              error_msg = "MLflow endpoint is not available. Please make sure the model is deployed."
4820
              logger.error(error_msg)
4821
              return jsonify({'error': error_msg}), 503
4822
4823
          try:
4824
              # Process question
4825
              logger.info(f"Processing question: {question}")
4826
              response = mlflow_client.predict(question)
4827
4828
              return jsonify(response)
          except Exception as e:
4829
              logger.error(f"Error processing question: {str(e)}")
4830
              return jsonify({'error': str(e)}), 500
4831
4832
     @app.route('/api/health')
4833
      def health():
4834
          """Health check endpoint."""
4835
         mlflow_status = mlflow_client.is_alive() if mlflow_client else False
4836
4837
         return jsonify({
4838
              'status': 'ok',
4839
              'mlflow': mlflow_status
         })
4840
4841
```

```
4842
        __name__ == '__main__':
4843
         app.run(debug=DEBUG, host='0.0.0.0', port=8000)
4844
```

Appendix: Diagrams

Class Diagram. The class diagram is shown below:

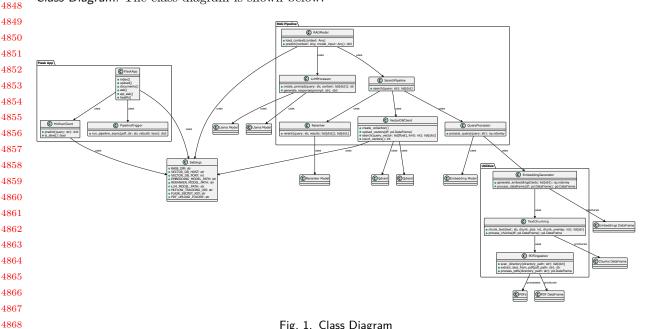


Fig. 1. Class Diagram

Use Case Diagram. The use case diagram is shown below:

Component Diagram. The component diagram is shown below:

Deployment Diagram. The deployment diagram is shown below:

The is it for now:).

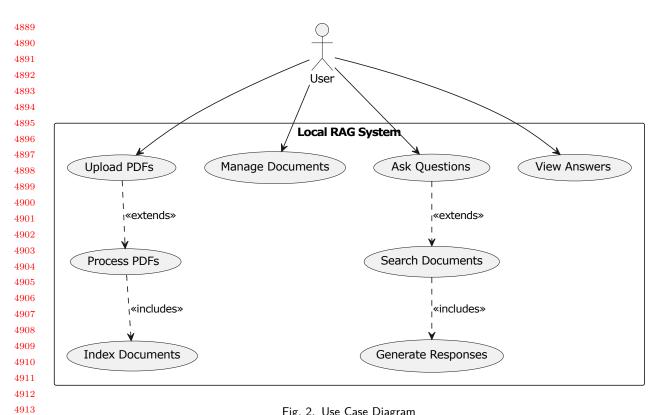


Fig. 2. Use Case Diagram

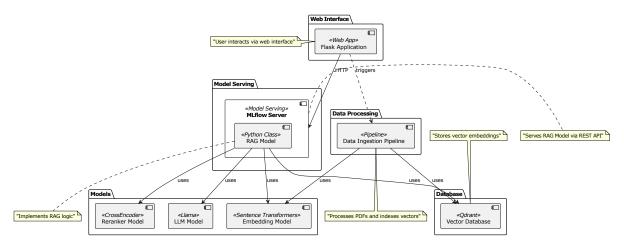


Fig. 3. Component Diagram

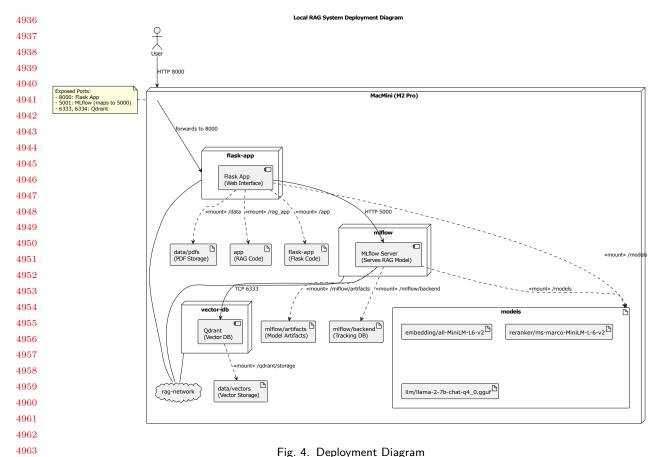


Fig. 4. Deployment Diagram

Temporary page!

LATEX was unable to guess the total number of pages correctly. As there was some unprocessed data that should have been added to the final page this extra page has been added to receive it.

If you rerun the document (without altering it) this surplus page will go away, because \LaTeX now knows how many pages to expect for this document.