

# **INFS7901**

## **Database Principles**

### **The Entity-Relationship Model**

Hassan Khosravi & Ash Rahimi

# Learning Outcomes

Description	Tag
Explain the purpose of an ER diagram.	ER-model
Describe the concept and notation of entities in ERs.	
Describe the concept and notation of relationships in ERs.	
Describe the concept and notation of weak entities in ERs.	
Describe the concept and notation of specialization and generalization In EERs.	
Define Cardinality Constraint and use it in ERs.	
Define Participation Constrain and use it in ERs.	
Given a problem description, create an ER diagram, justifying the decisions you make.	ER-compare-models
Given a problem description, identify alternative representations of the problem concepts and evaluate the choices.	
Compare alternative ER models for the same domain and identify their strengths and weaknesses.	

# Databases

The Entity-Relationship Model

Entity and Relationship

Relationship Constraints

Specialization and Generalization

Design Choices

# What is a database?

- A **database** is an organized collection of related data, usually stored on disk. It is typically:
  - Important data
  - Shared
  - Secured
  - Well-designed (minimal redundancy)
  - Variable size

Student

sid	name	address	phone	major
99111120	G. Jones	...	...	CPSC
...	...	....	...	...

Grade

sid	dept	course#	mark
99111120	CPSC	122	80
...	...	....	...

# What is a database?

- A database is an organized collection of related data, usually stored on disk. It is typically:
  - Important data
  - Shared
  - Secured
  - Well-designed (minimal redundancy)
  - Variable size
- A DB typically models some real-world enterprise
  - Entities (e.g., students, courses)
  - Relationships (e.g., Ting got 95% in CPSC 221 )

# Who watches the watchers?

- A ***Database Management System (DBMS)*** is a software system designed to store and manage databases. It is used to:
  - Define, modify, and query a database
  - Control access
  - Permit concurrent access
  - Maintain integrity
  - Provide loading, backup, and recovery
  - Provide support for decision making

Databases

**The Entity-Relationship Model**

Entity and Relationship

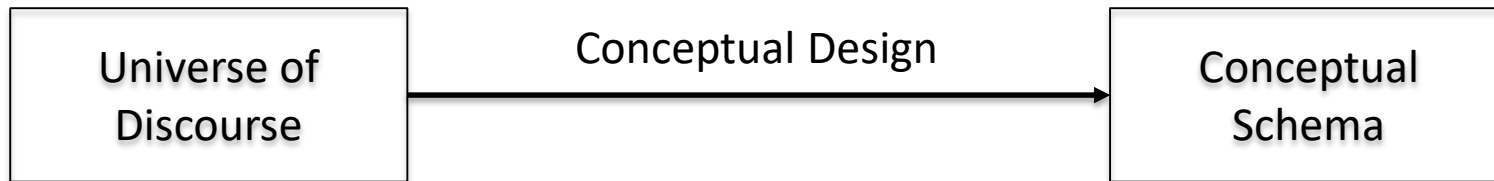
Relationship Constraints

Specialization and Generalization

Design Choices

# Conceptual Database Design

- Conceptual database design is a very important phase in designing a successful database application.
- Step 1: identify the “Universe of Discourse” (UoD)
  - The database to be built will not model everything in the world, but rather some “mini-world” or “Universe of Discourse”.
- Step 2: Convert the UoD to a conceptual schema, which can be captured by a database.

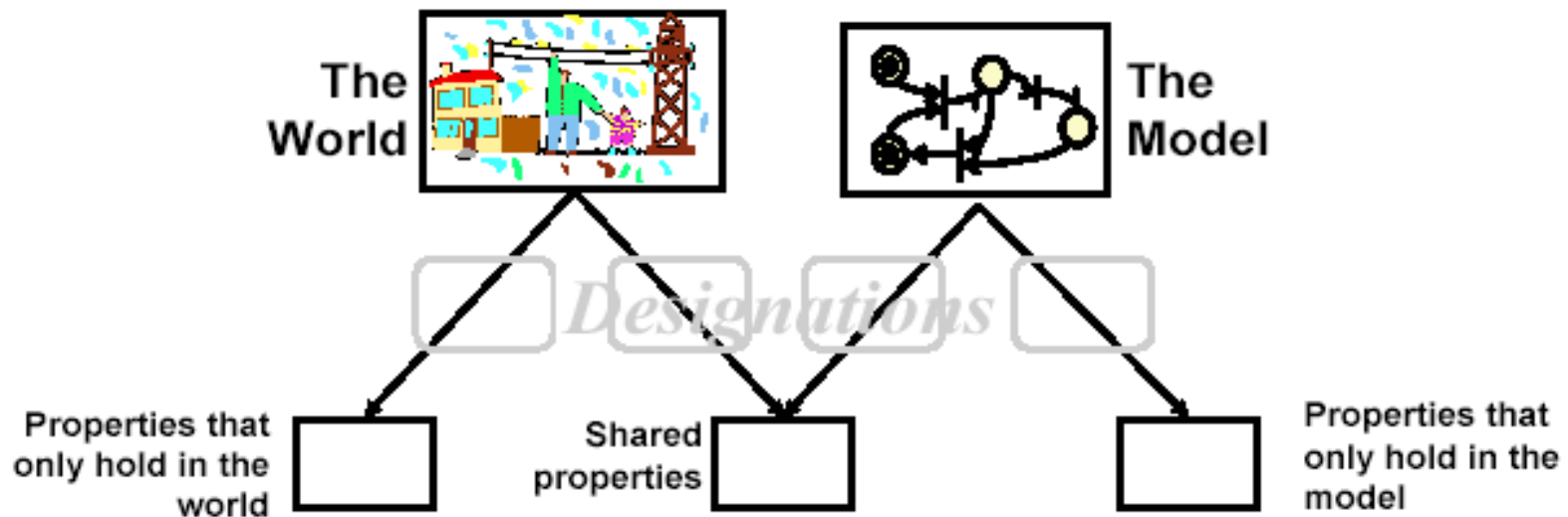


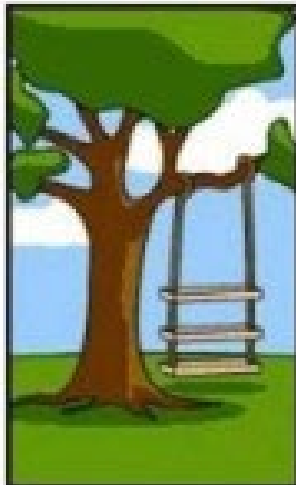
- In relational databases, the conceptual schema is generally encoded in an **Entity-Relationship (ER) Diagram**



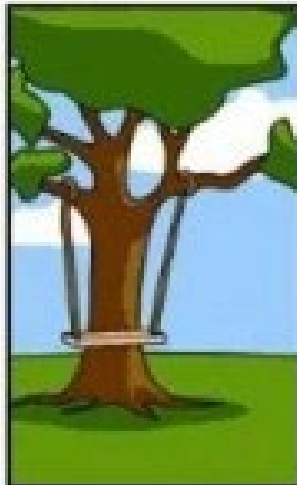
# Dangers of Modelling

- A Model is never perfect
  - Phenomena in the model that are not present in the application domain
  - Phenomena in the application domain that are not in the model





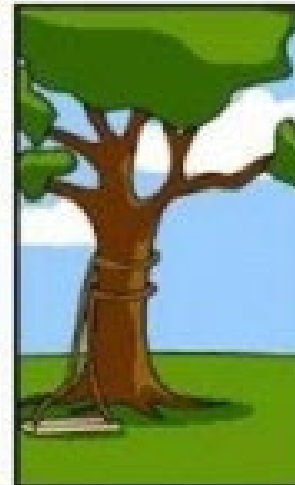
How the customer explained it



How the Project Leader understood it



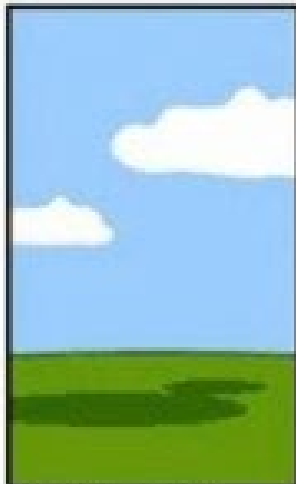
How the Analyst designed it



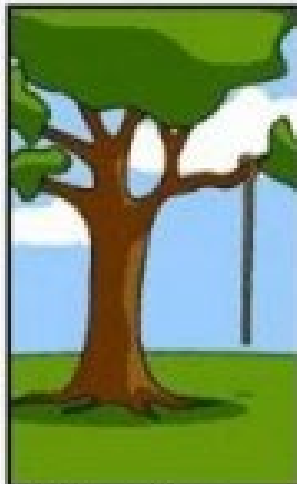
How the Programmer wrote it



How the Business Consultant described it



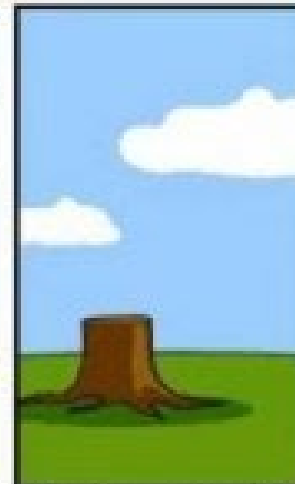
How the project was documented



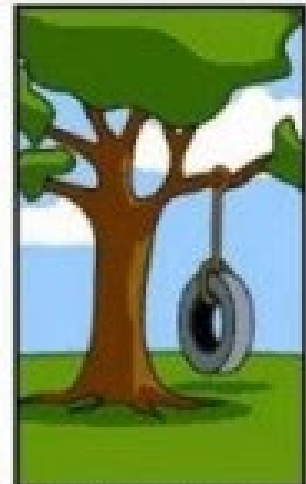
What operations installed



How the customer was billed



How it was supported



What the customer really needed

# Entity-Relationship (ER) Diagram

- An Entity-Relationship (ER) diagram is a graphical data modeling technique that represents the main entities and their corresponding relationships within a system or an enterprise.
- ER diagrams can help define business processes and are used as the foundation for developing conceptual database designs.

Databases

The Entity-Relationship Model

**Entity and Relationship**

Relationship Constraints

Specialization and Generalization

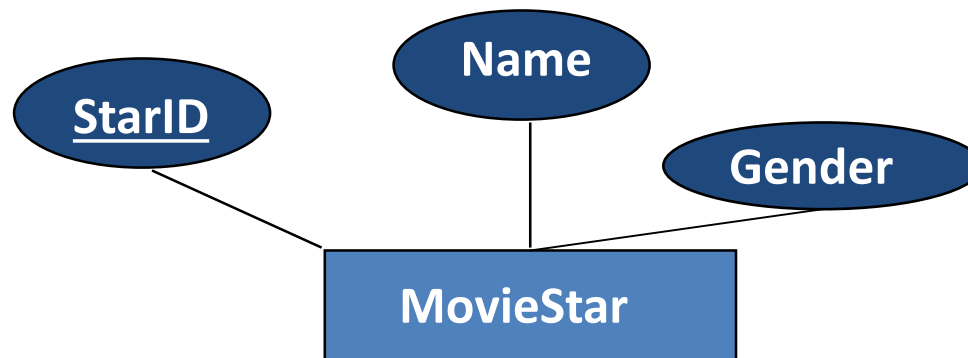
Design Choices

# Entity

- **Entity**: Real-world object distinguishable from other objects (e.g., a movie star). An entity is described using a set of attributes.
- The same entity may have different prominence in different UoDs
  - The salary of a movie star may play an important role in one database application and may not be of importance in another application.

# Entity type

- **Entity Type**: Defines set of entities that have the same attributes (e.g. moviestar). Each Entity Type is described by its **NAME** and **attributes**.



- Each attribute has a **domain**. (e.g., *float, date, int*)
- Each entity set has a **key**.

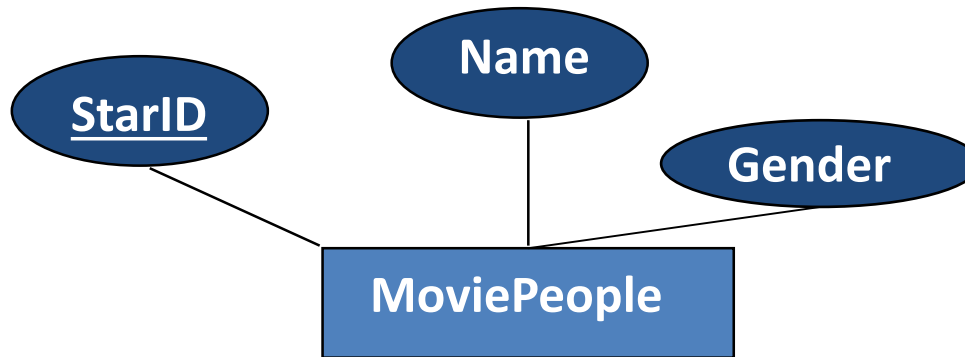
# Entity Set

- **Entity Set**: The collection of all entities of a particular entity type in the database at any point in time
  - E.g., a set of movie stars
  - An entity set can easily be mapped to a table.

StarID	Name	Gender
1	Harrison Ford	Male
2	Vivian Leigh	Female
3	Judy Garland	Female

# Key Attributes

- A *key* is the minimal set of one or more attributes which, taken collectively, uniquely identify an entity in an entity set.
- A *primary key* is the key chosen as the principal means to identify entities in an entity set

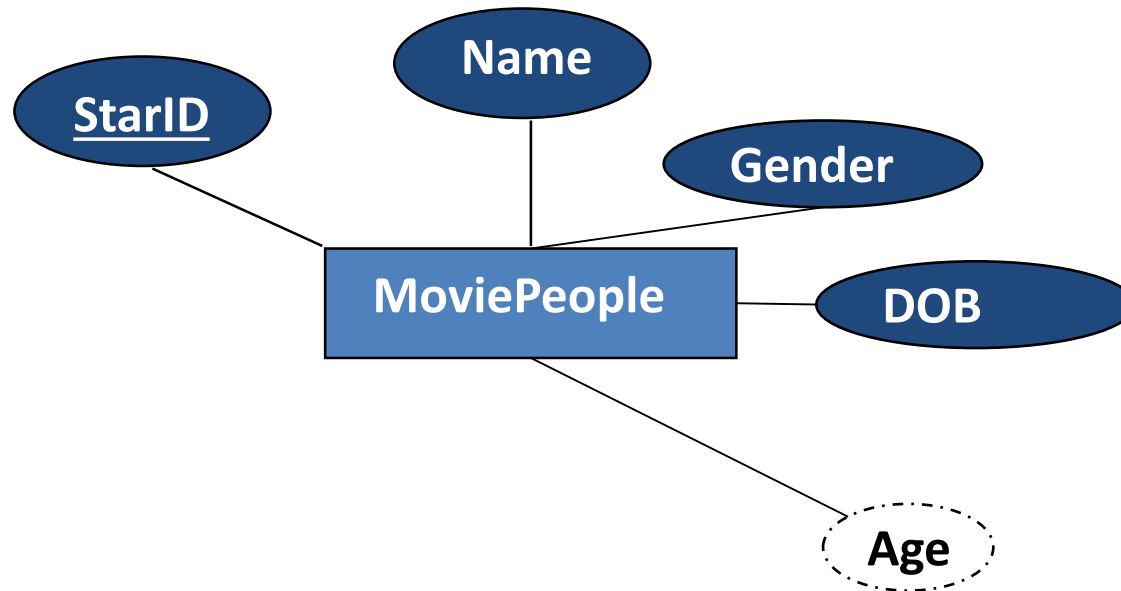


- We'll discuss superkeys when we consider normal forms (for now, don't worry about them)



# Derived Vs. Stored Attributes

- Some attribute values can be derived from attributed values of *related entities*

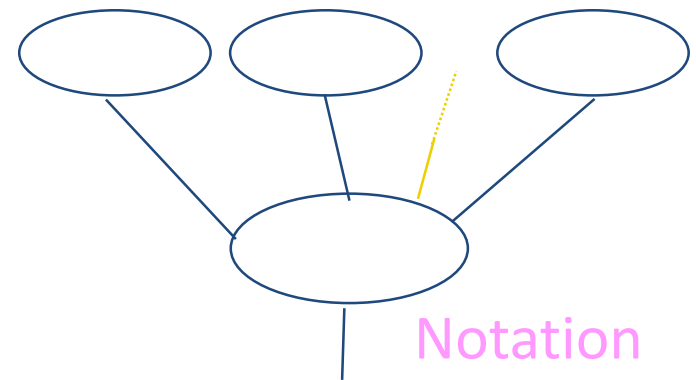


# Null Valued Attributes

- A particular entity may not have an applicable value for an attribute
  - **Tertiary-Degree**: Not applicable for a person with no university education
  - **Home-Phone**: Not known if it exists
  - **Height**: Not known at present time
- Type of Null Values
  - Not Applicable
  - Unknown
  - Missing

# Composite Vs. Simple Attributes

- Composite attributes can be divided into smaller parts which represent simple attributes with independent meaning
- Simple Attribute: Aircraft-Type
- Complex Attribute: Aircraft-Location which is comprised of
  - Aircraft-Latitude
  - Aircraft-Longitude
  - Aircraft-Altitude

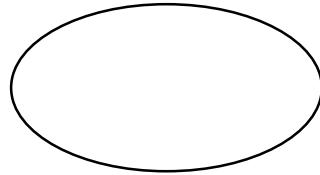


# Single Vs. Multivalued Attributes

Simple attributes can either be single-valued or multi-valued

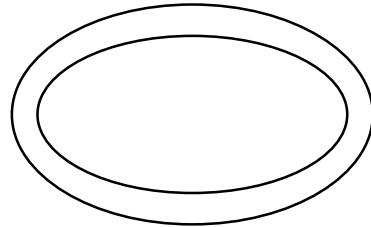
- Single-valued: Gender = F

Notation



- Multivalued: Degree = {BSc, MInfTech}

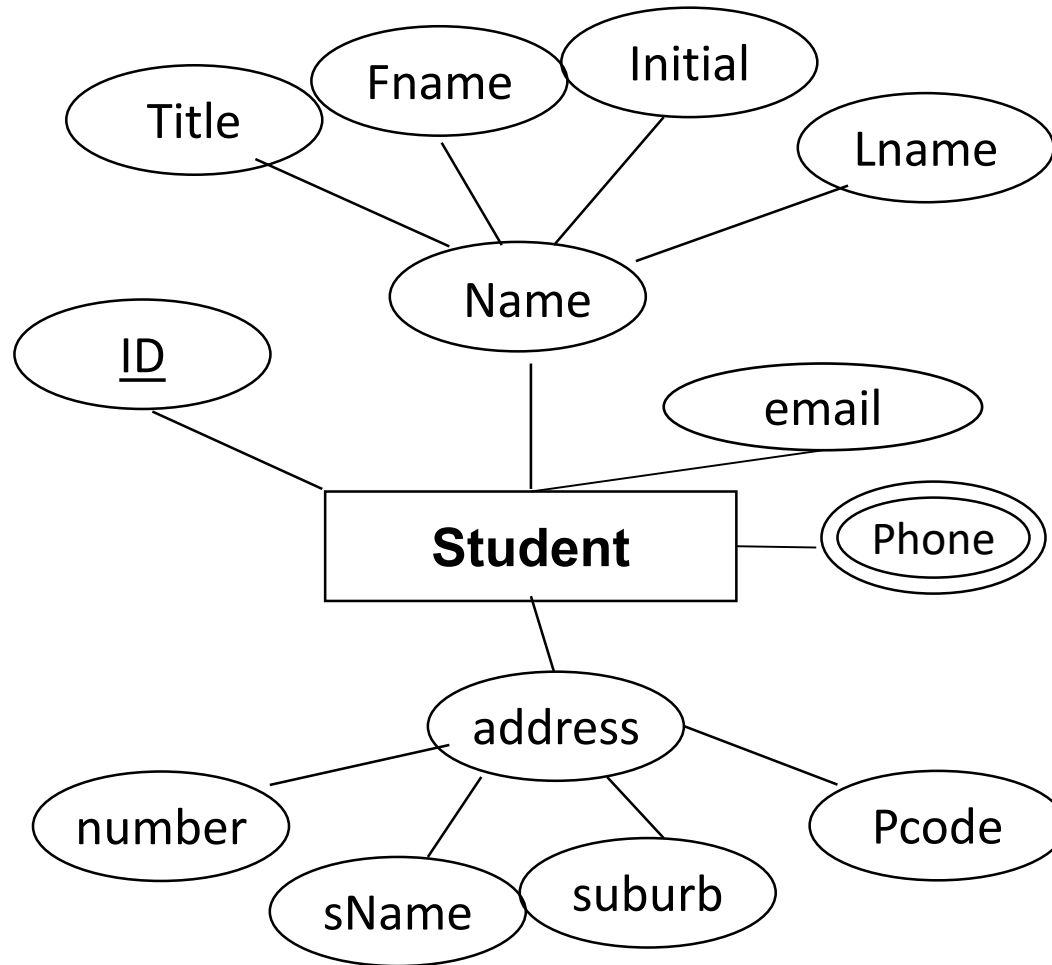
Notation



# In-class Exercise

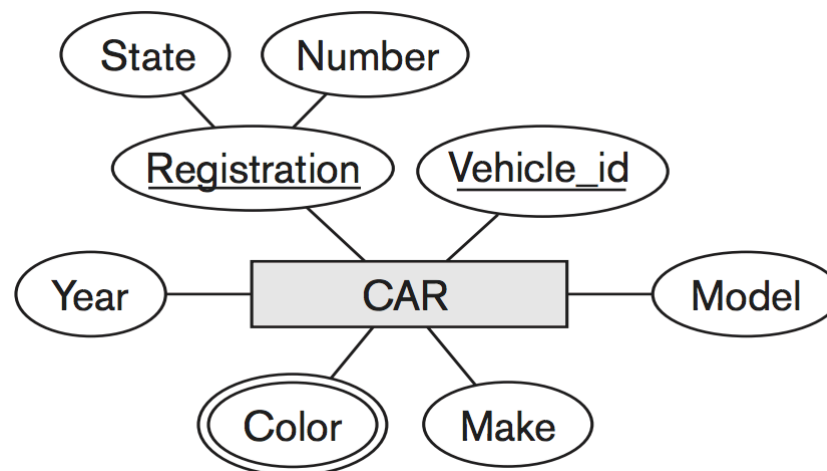
Every student has a unique id, name (composed of title, first name, middle initial and last name), email, address (composed of number, streetname, suburb and postcode), and one or more phone numbers. Draw an ER diagram for the above description.

# In-class Exercise - Solution



# Several Attribute Keys

- Sometimes several attributes together form a key, meaning that the combination of the attribute values must be distinct for each entity.
- If a set of attributes possesses this property, the proper way to represent this in the ER model that we describe here is to define a composite attribute.

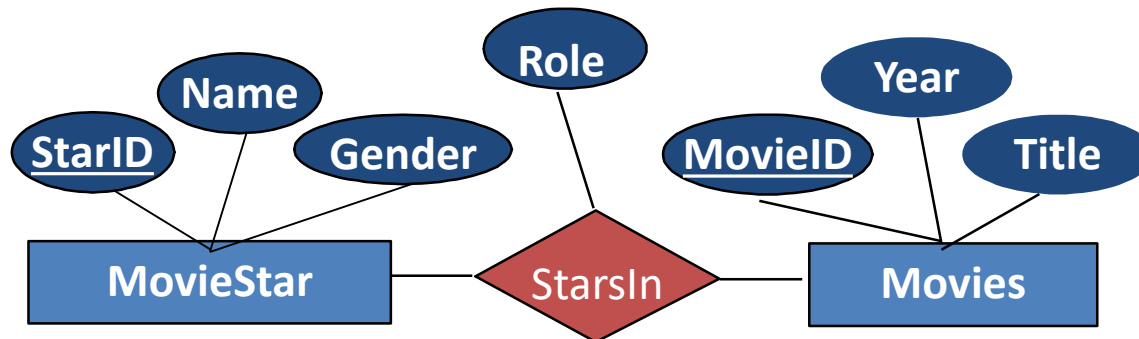


# Relationship Types and Sets

- **Relationship**: Association among two or more entities.
  - E.g., George Clooney starred in Gravity.



- **Relationship Type** defines the relationship

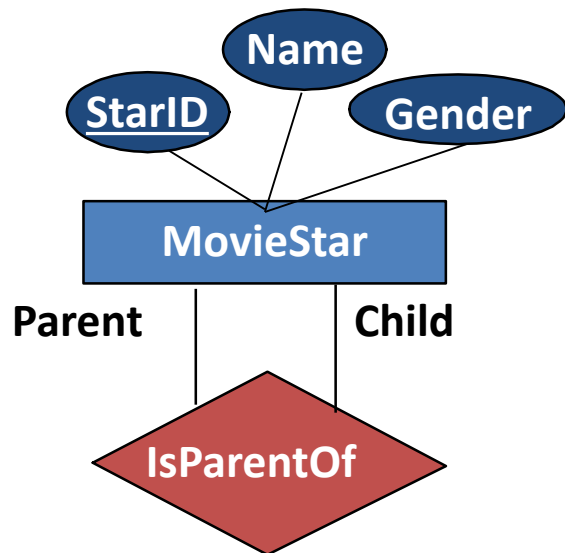


- **Relationship Set** represents the set of relationships of a particular type at any point in time.



# Recursive Relationships

- Same entity type can participate more than once in the same relationship type under different “roles” Such relationships are called “**Recursive Relationships**”
  - e.g., Kirk Douglas isParentOf Michael Douglas)



- A relationship set may have *descriptive attributes* (like since).

# Relationship Degree

- The degree of a relationship type is the number of participating entity types
- 2 entities: Binary Relationship  
3 entities: Ternary Relationship  
n entities: N-ary Relationship
- Same entity type could participate in multiple relationship types

# In-class Exercise: Registrar's database

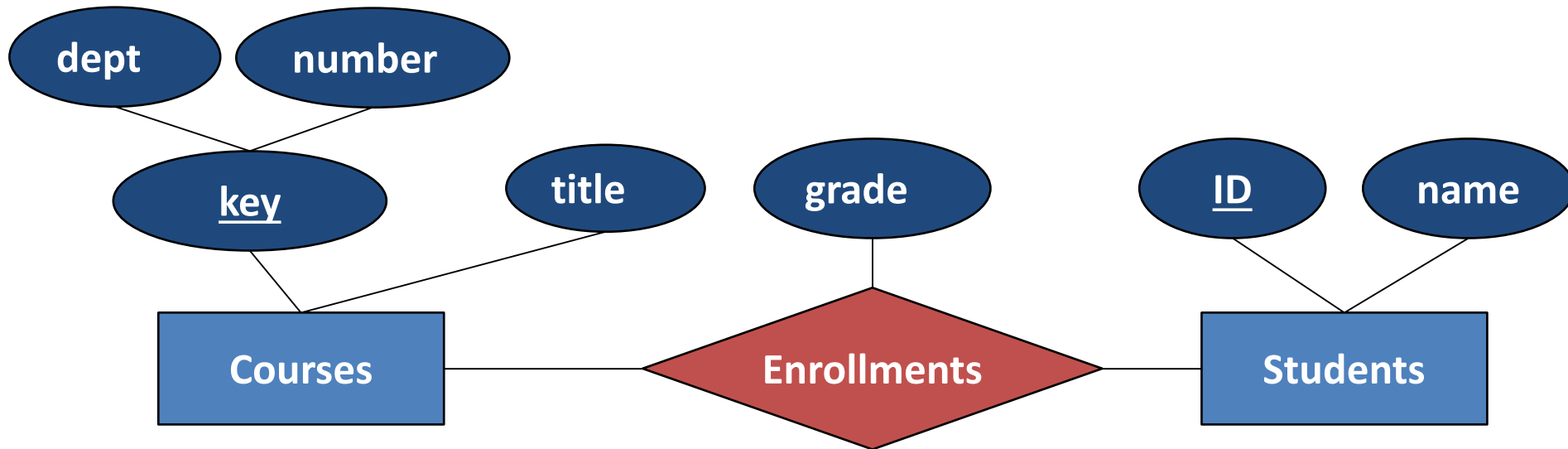
- Design a registrar's database to store information about students, courses, the courses students have taken, and the grades students have gotten in these courses. Some relevant details are: Courses have a number, a department, and a title. for example, "CPSC111: Introduction to Computing" has department = CPSC, number = 111, and title = "Introduction to Computing."
- Numbers are assigned by departments, and different departments may use the same number.
- Students are represented by their (unique) student ID and their name.
- "Enrollments" each consist of a course, a student who took that course, and the grade the student got in the course.
- You should draw one or more E/R diagrams that represent this database structure correctly.

# Clicker Question

- Which of the following might you find in a correct E/R diagram?
  - A. Entity set Students with attribute ID not underlined and name underlined.
  - B. Entity set Students with attributes ID and name underlined.
  - C. Entity set Courses with a composite attribute representing its key.
  - D. Entity set Courses with attribute department underlined and attributes number and title not underlined.

# Clicker Question

- A. Entity set Students with attribute ID not underlined and name underlined.
- B. Entity set Students with attributes ID and name underlined.
- C. Entity set Courses with a composite attribute for its key. Correct Answer
- D. Entity set Courses with attribute department underlined and attributes number and title not underlined.



Can a student take a course twice?

Databases

The Entity-Relationship Model

Entity and Relationship

**Relationship Constraints**

Specialization and Generalization

Design Choices

# Relationship Constraints

- Constraints on the relationship type limit the possible combination of entities that may participate in the corresponding relationship set
  - For example, what if we wanted to add instructors to courses and restrict that each could only have one instructor?
- Two kinds of constraints can be defined in the ER Model
  - Cardinality Constraint
  - Participation Constraints

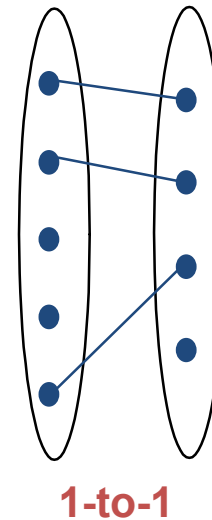
# Cardinalities

- A **cardinality ratio** for a relationship set specifies the number of relationships in the set that an entity can participate in.

Let  $R$  be a relationship set between sets  $A$  and  $B$ .  $R$  can have 1 of 4 cardinalities:

**1. one-to-one** from  $A$  to  $B$ :

- an entity in  $A$  is associated with at most one entity in  $B$  and vice versa
- e.g.  $A$ : driver,  $B$ : driver's license

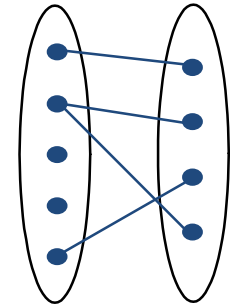




# Cardinalities

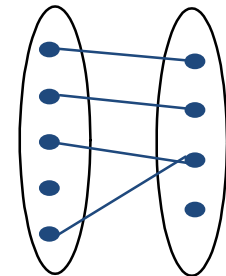
## 2. *one-to-many* from A to B:

- an entity in A is associated with any number of entities in B
- an entity in B is associated with at most one entity in A
- e.g. A: biological-mother, B: children



**1-to Many**

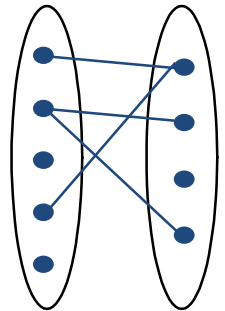
## 3. *many-to-one* from A to B: *switch A and B above*



**Many-to-1**

# Cardinalities

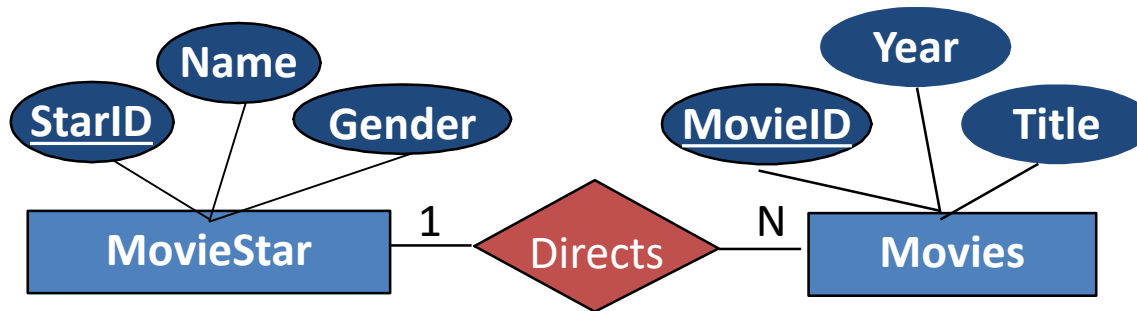
- **many-to-many** from *A* to *B*:
  - an entity in *A* is associated with any number of entities in *B* and vice versa
  - e.g. *A*: students, *B*: courses



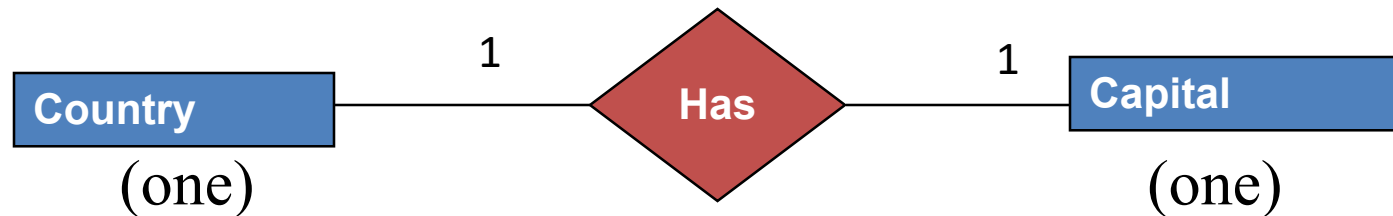
**Many-to-Many**

# Key Constraints

- The restriction imposed by a 1-to-1 and 1-to-many ratios are examples of key constraints.
- Important on insertions

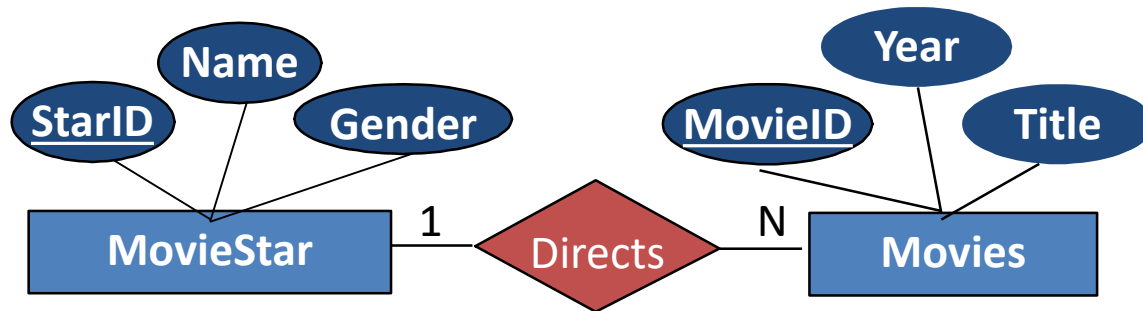


or

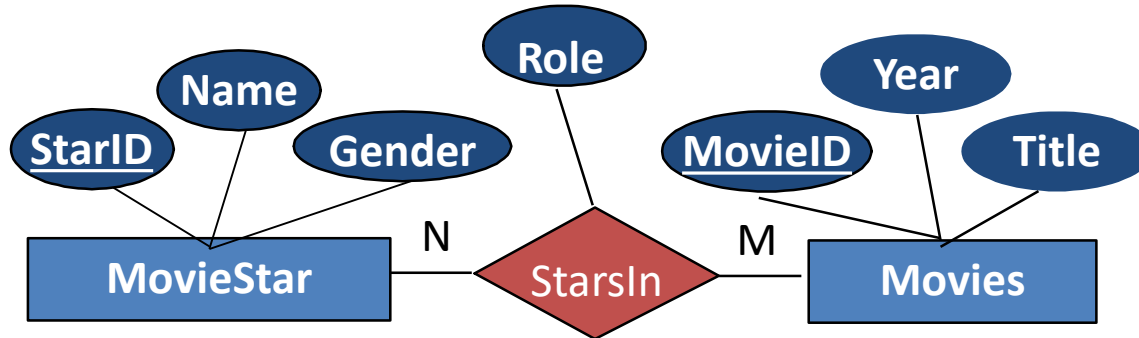


# Example

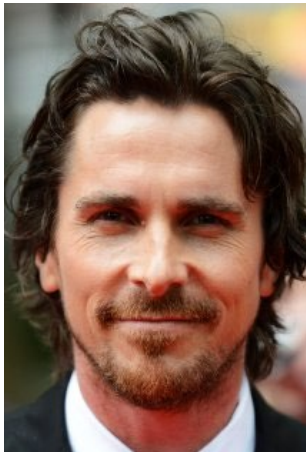
- In this example, if you know the movie, you would be able to determine the director



# Key in Many-to-Many Relationships



- How can we identify the role of a specific MovieStar in a specific movie



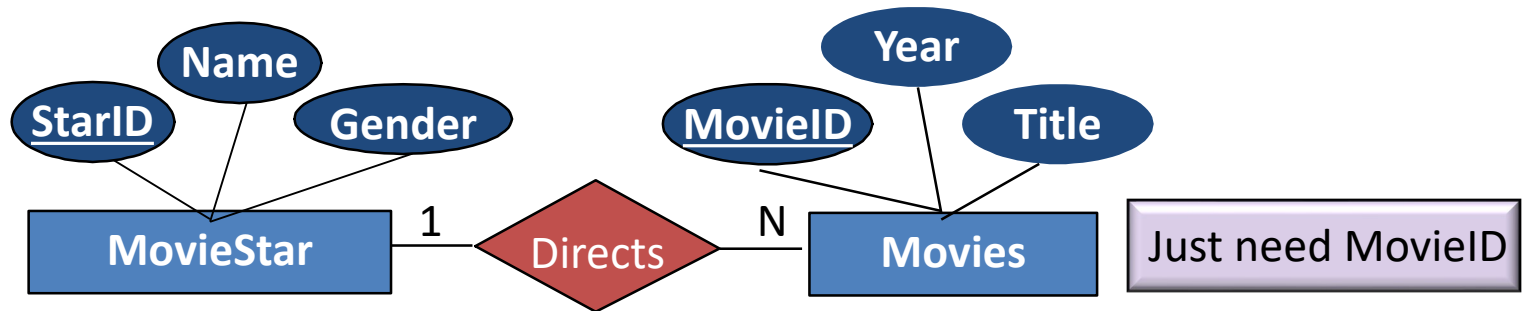
Christian Bale  
as  
Bruce Wayne

Need StarID and  
MovieID

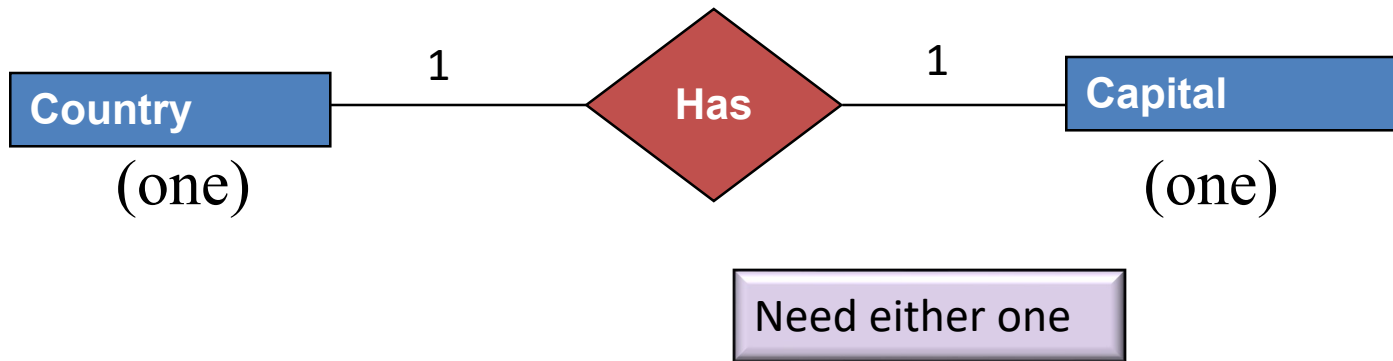


Can the same person have multiple roles?

# Key in One-to-Many Relationships



# Key in One-to-One Relationships



**Ottawa**

# Primary Keys of Relationship Sets

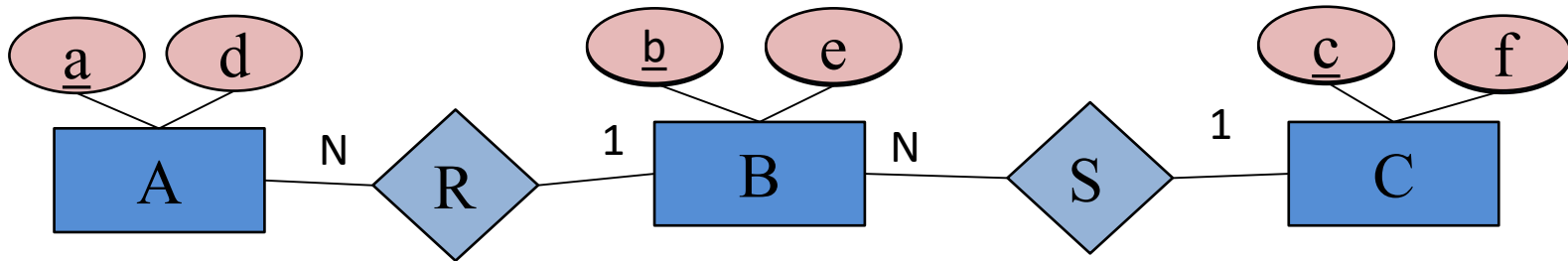
- Let R be a relationship set between sets A and B. R's primary key is:

TYPE OF R	PRIMARY KEY OF R
one-to-one	primary key of A or primary key of B
one-to-many from A to B	primary key of B
many-to-many	primary key of A + primary key of B

- R may have its own key, in addition to the key it inherits from the entities.



# Clicker Exercise



Suppose that A contains a1 and a2, B contains b1 and b2, and C contains c1 and c2.

Which of the following relationships for R and S are possible according to the diagram?

A.

R		S	
		b2	c1
		b2	c2

B.

R		S	
		b1	c2
		b2	c2

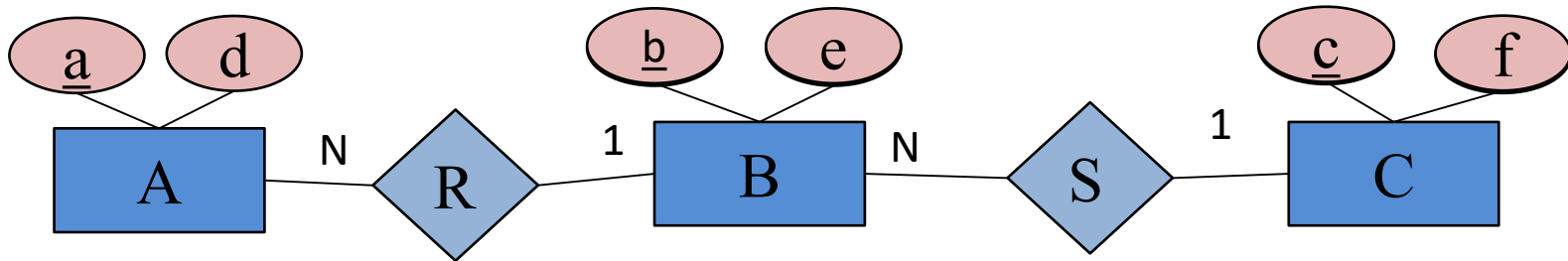
C.

R		S	
a2	b2	b2	c1
		b2	c2

D.

R		S	
a1	b2		
a2	b1		
a2	b2		

# Clicker Exercise



Suppose that A contains a1 and a2, B contains b1 and b2, and C contains c1 and c2.

Which of the following relationships for R and S are possible according to the diagram?

A.

R		S	
		b2	c1
		b2	c2

B determines C

B.

R		S	
		b1	c2
		b2	c2

Correct

C.

R		S	
a2	b2	b2	c1
		b2	c2

B determines C

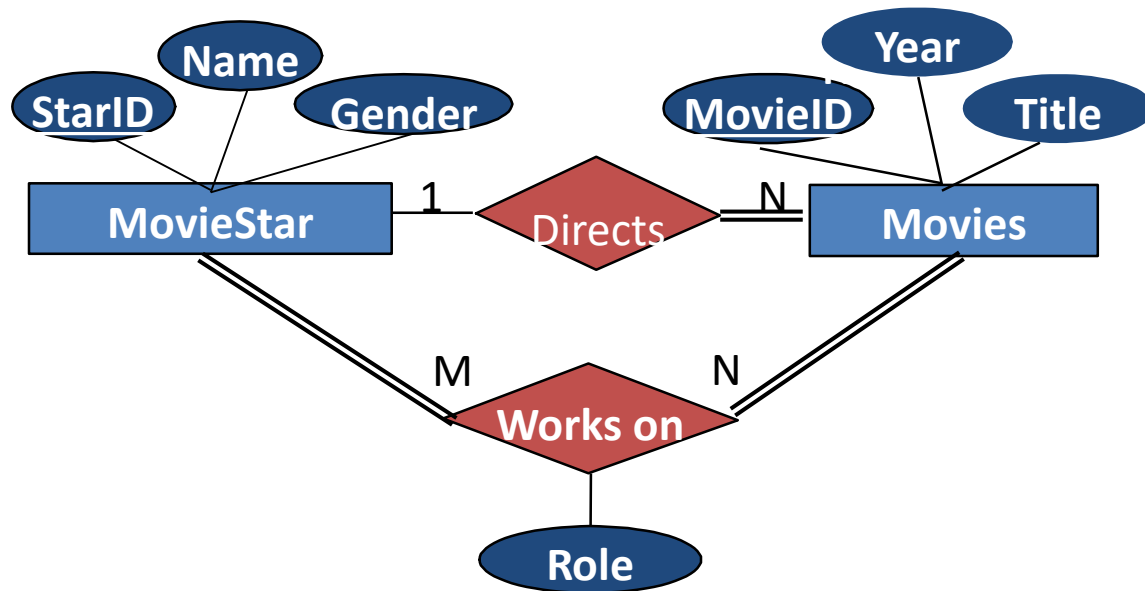
D.

R		S	
a1	b2		
a2	b1		
a2	b2		

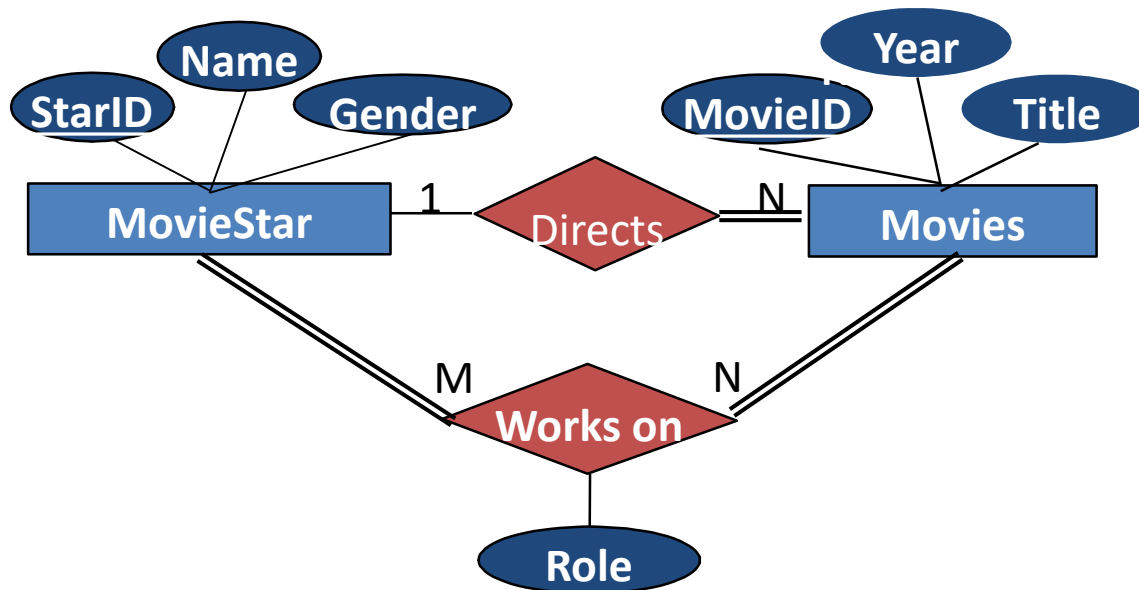
A determines B

# Participation Constraints

- Participation: Indicates if all entities participate in the relationship.
- An entity's participation can be total or partial.
- Requiring total participation is a participation constraint and it is shown with a double line
  - Important on deletions
  - i.e., participation of Movie in Directs is total (double line)
    - Every movie must have a director



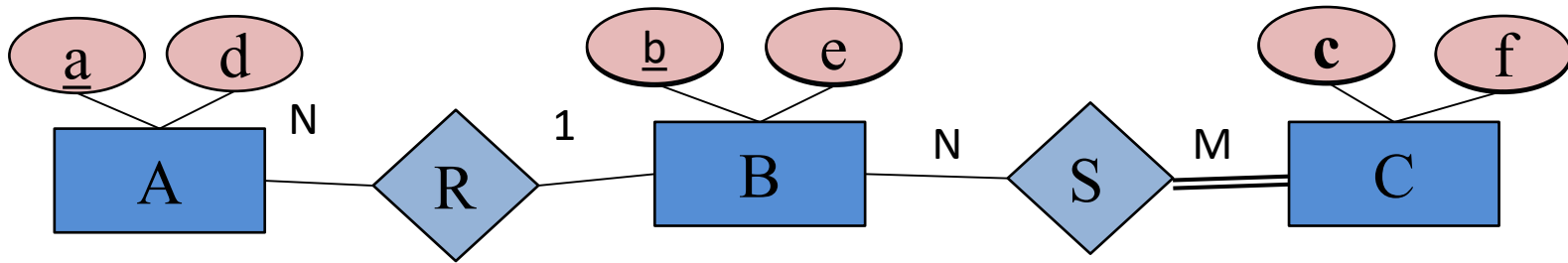
# Why is Participation Constraint Important



Would we be able to delete James Cameron without deleting Avatar?  
Would we be able to delete Avatar without deleting James Cameron?



# Clicker Exercise



Suppose that A contains a1 and a2, B contains b1 and b2, and C contains c1 and c2.

Which of the following relationships for R and S are possible according to the diagram?

A.

R		S	

B.

R		S	
a1	b1	b2	c2

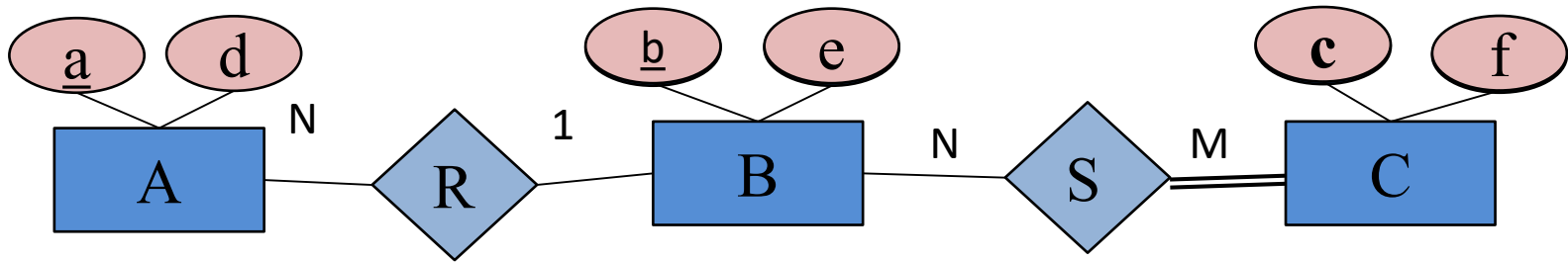
C.

R		S	
a1	b1	b1	c1
a1	b2	b2	c2

D.

R		S	
a1	b2	b1	c2
		b2	c1
		b1	c1

# Clicker Exercise



Suppose that A contains a1 and a2, B contains b1 and b2, and C contains c1 and c2.

Which of the following relationships for R and S are possible according to the diagram?

A.

R		S	

C must participate

B.

R		S	
a1	b1	b2	c2

C must participate

C.

R		S	
a1	b1	b1	c1
a1	b2	b2	c2

A determines B

D.

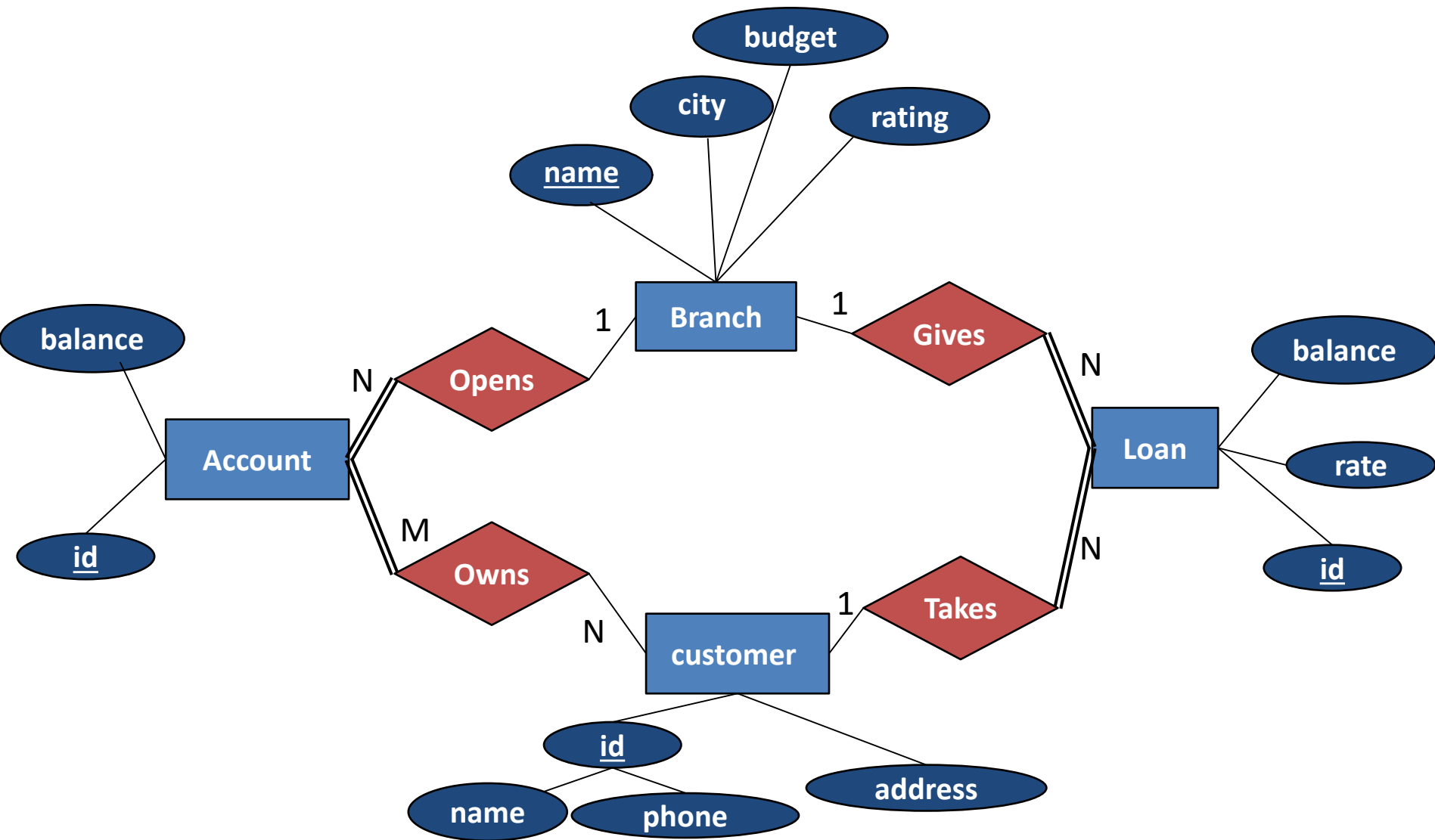
R		S	
a1	b2	b1	c2
		b2	c1
		b1	c1

Correct

# Exercise: ABC Banks

- The ABC bank is organized into branches. Each branch is located in a particular city and is identified by a unique name. Each branch has an overall budget and rating (which is a number from 1 to 10).
- A bank customer is identified by their customer name and phone number. The bank also keeps track of each customer's current address.
- The bank offers accounts and loans to its customers. Each account and loan has a unique number and is created and maintained by a single branch.
- Each account is assigned to one or more customers and its balance can never be negative.
- A loan is always assigned to a single customer, has a fixed interest rate and its balance cannot be negative either.

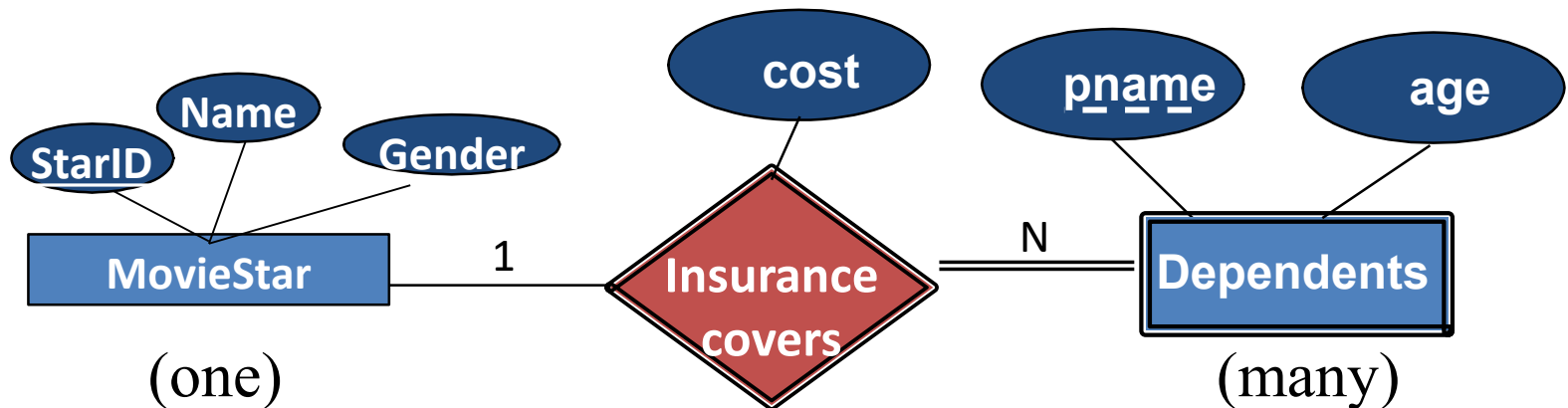
# Sample solution



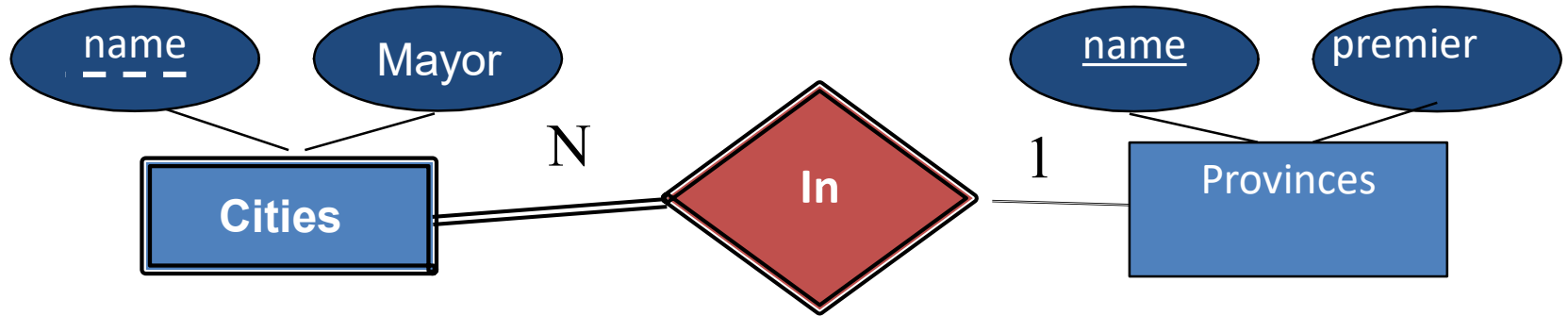


# Weak Entities

- A **weak entity** can be identified uniquely only by considering the primary key of another (*owner*) entity.
  - Weak entities have a **partial key** that is unique when combined with the owner's key.
  - Owner entities and weak entities must participate in a one-to-many relationship (one owner, many weak entities).
  - Weak entities must have total participation in this **identifying** relationship.
  - Think of this as a “belongs to” relationship.
- Weak entity and their identifying relationship sets are shown with double lines.



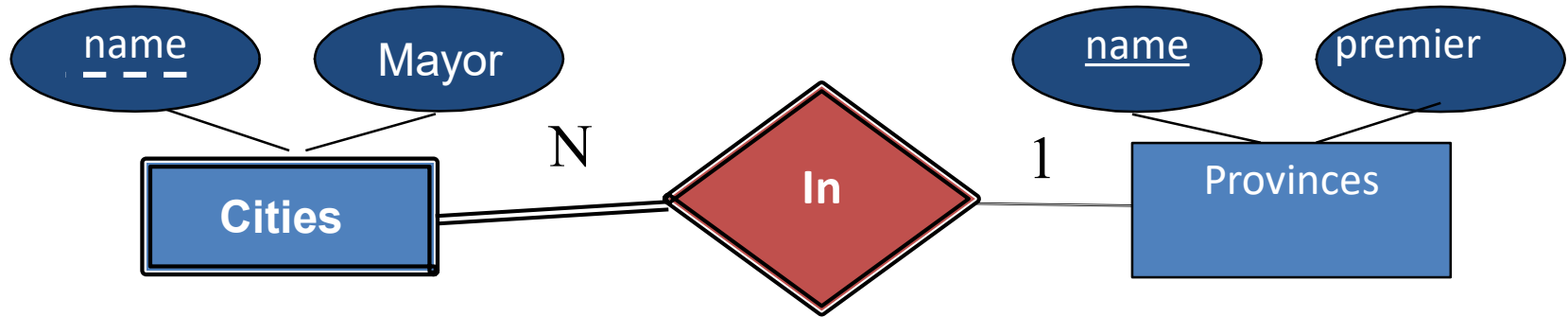
# Clicker Exercise



Which of the following is necessarily true:

- A. No two provinces can have premiers with the same name.
- B. No two cities can have mayors with the same name.
- C. No two cities can have the same name.
- D. None of the above

# Clicker Exercise



Which of the following is necessarily true:

- A. No two provinces can have premiers with the same name.
  - B. No two cities can have mayors with the same name.
  - C. No two cities can have the same name.
  - D. None of the above
- D is correct**

Databases

The Entity-Relationship Model

Entity and Relationship

Relationship Constraints

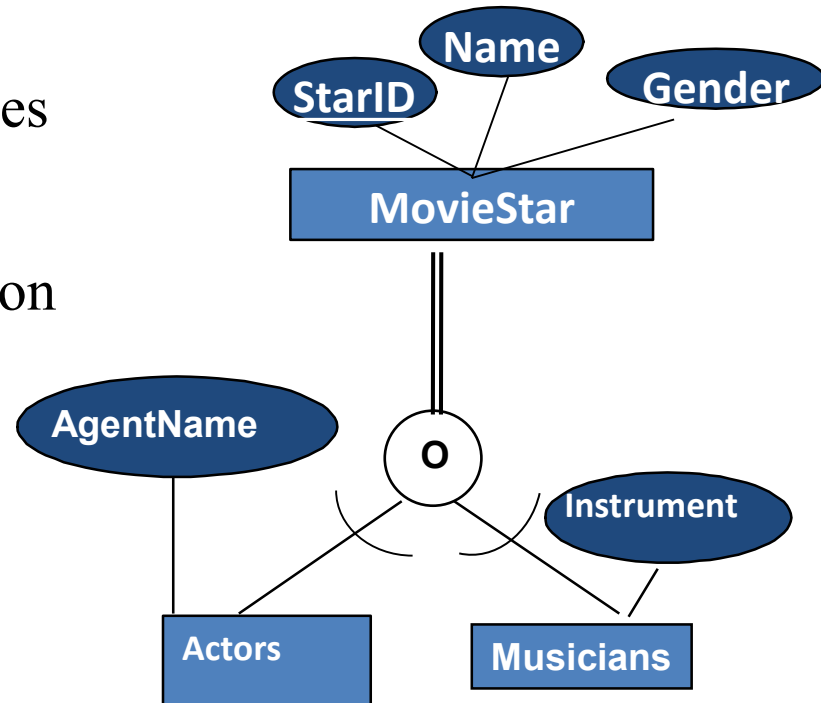
**Specialization and Generalization**

Design Choices

# Specialization and Generalization

- **Specialisation**

- Define a number of subclasses of an entity type.
- Each subclass contains a subset entities of the superclass.
- A subclass is defined based on more specific distinguishing characteristic on entities of the super class.

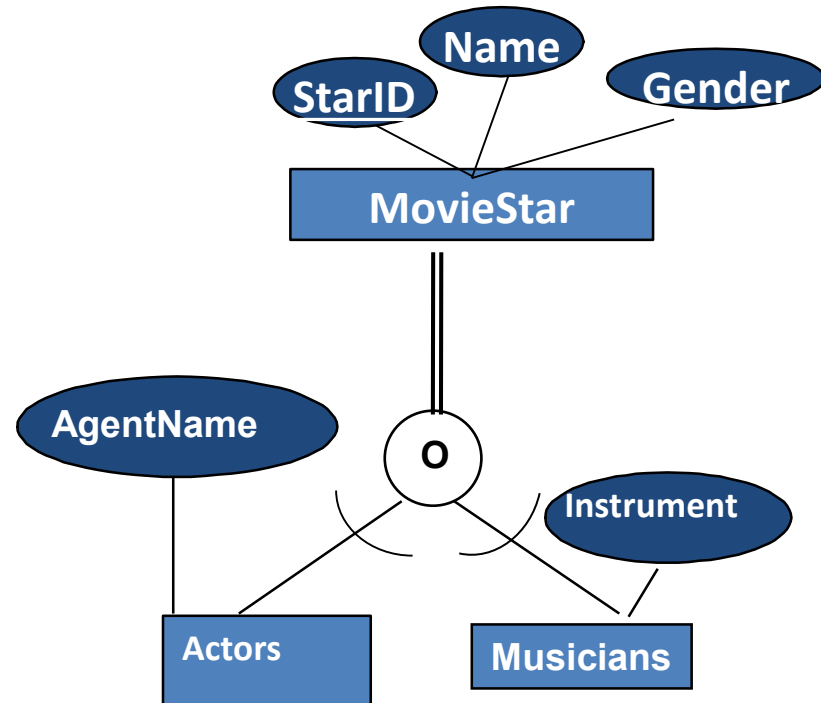


- **Generalisation**

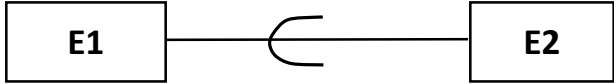
- Opposite process to specialisation.
- Abstraction process of ignoring differences amongst some entity types (subclasses) and generalise them into a superclass.

# Extended ER (EER)

- Entity Type is called class in EER
- Class can be Superclass, Subclass
- Entities in the same class have the same attributes
- Attributes of a superclass are inherited by the subclasses.
- Subclass can have its own specific attributes
- Subclass can have its own specific relationships
- Every entity in a subclass is a member of its super class(es)

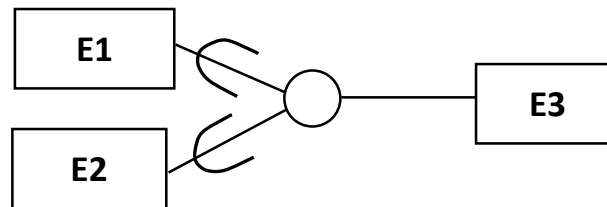


# Sub/Super Classes

- Entity types refined into sub-classes and super-classes
- Notation: 
- Subclass entity types inherit
  - attributes
  - relationshipsfrom superclass entity type

# Specialization/Generalization

- Top Down vs Bottom Up
  - Sub classes are specializations of superclass
  - Superclass is generalization of subclasses
- Allows us to model:
  - attributes only applicable to entity subclasses
  - relationships only played by entity subclasses





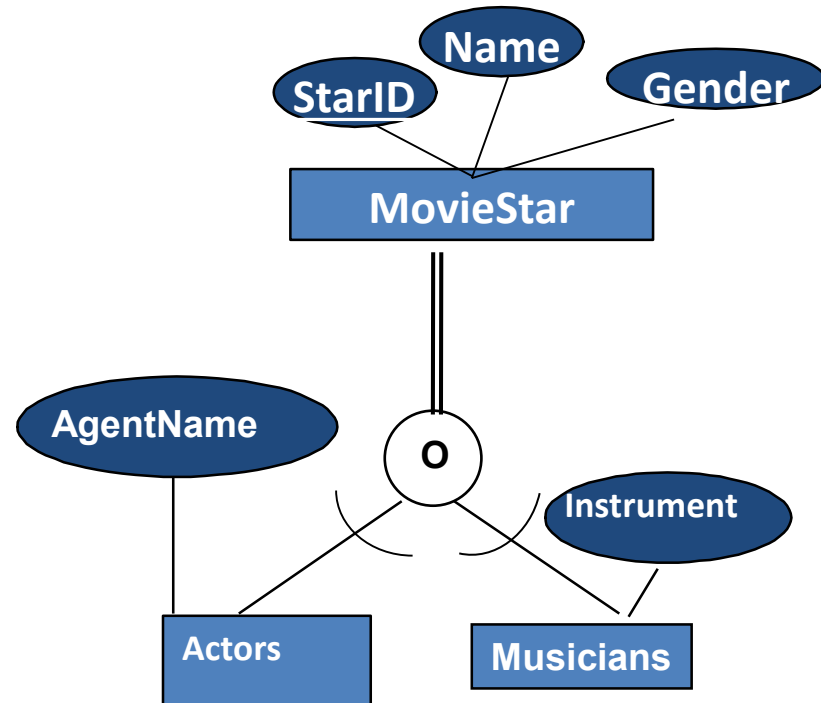
# Constraints

- Specialization may be

- total
- partial

- Subclass sets may be

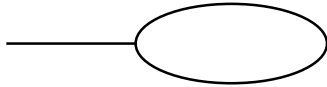
- overlapping (o)
- disjoint (d)



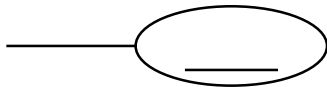
# Notation Guide



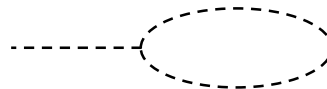
ENTITY TYPE



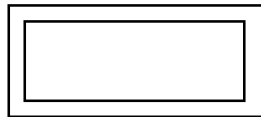
ATTRIBUTE



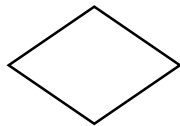
KEY ATTRIBUTE



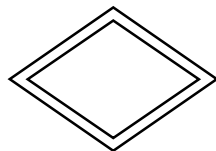
DERIVED ATTRIBUTE



WEAK ENTITY TYPE

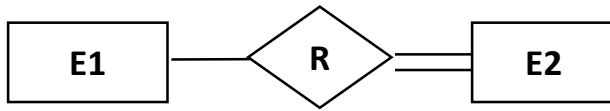


RELATIONSHIP TYPE

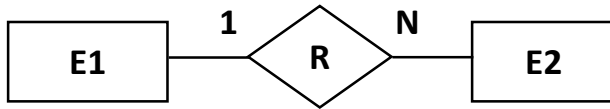


IDENTIFYING RELATIONSHIP TYPE

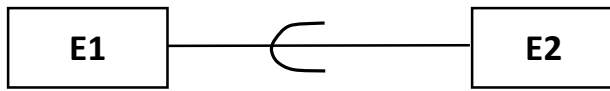
# Notation Guide



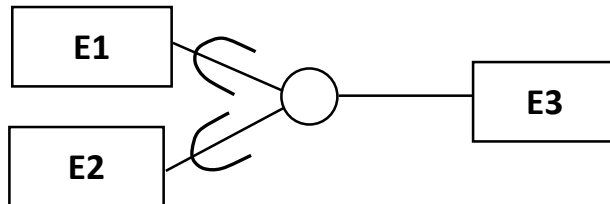
TOTAL PARTICIPATION OF E2 IN R



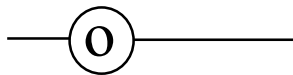
CARDINALITY RATIO 1:N FOR E1:E2 IN R



E1 IS A SUBCLASS OF E2



E1 and E2 ARE SUBCLASSES OF E3



Overlapping specialization

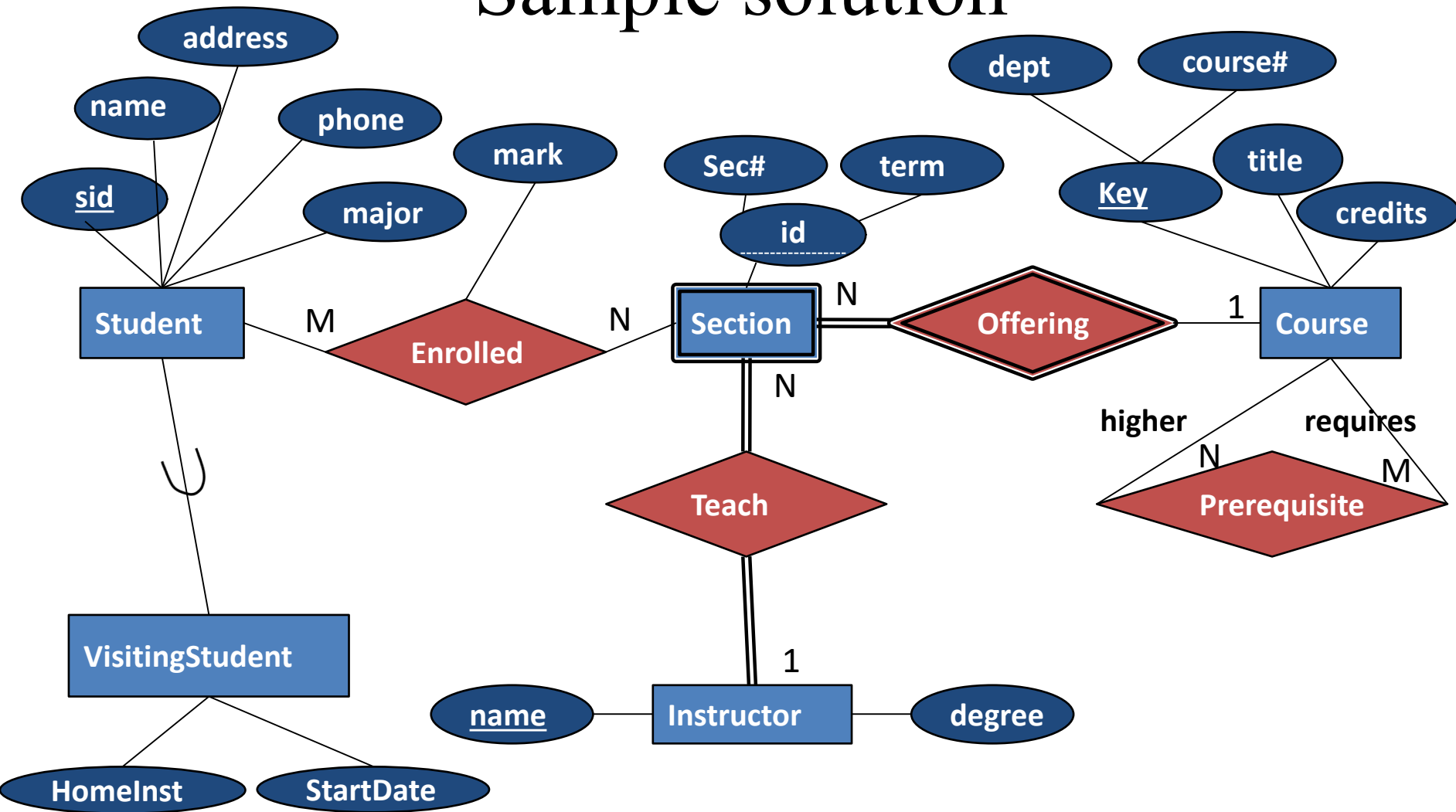


Disjoint specialization

# Draw an ER diagram for the following:

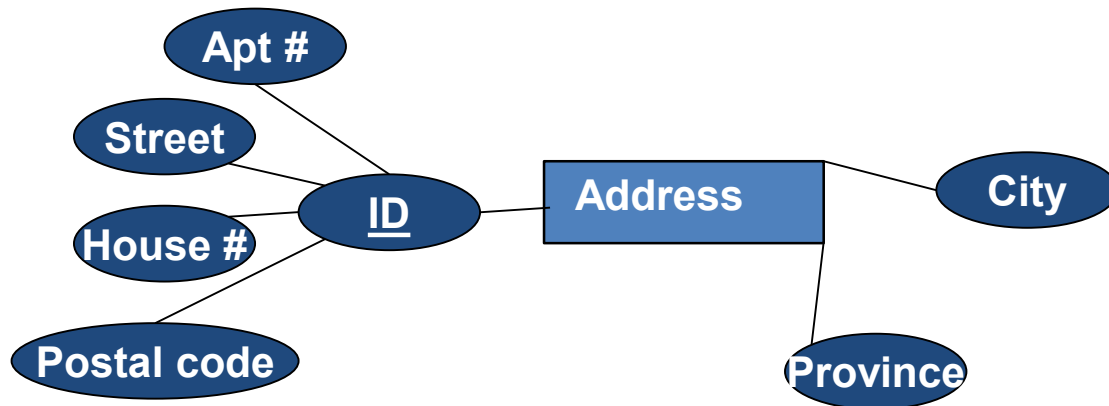
- The primary function of UofU is to offers courses to students.
- A student is identified by a unique student #, and has an address and a phone #.  
Each student is registered in a program at UofU
- Visiting students stay at UofU for a year.
- A course offered by UofU is identified by the department that offers the course and a course# which is unique within the department. We list our courses with their titles and the credits in our calendar.
- A course may be offered many times, even within the same term. Each offering is assigned a section # which is unique for a given course and year, and is taught by a single instructor.
- Each instructor is responsible for some section; there are no idle instructors.  
Instructors have unique names, and may teach a # of sections of different courses.  
For each instructor we like to keep info about their higher degree.
- A student registers in a course section and gets a mark for it.
- A course may have any number of other courses as prerequisites.

# Sample solution



# That's all there is to it

- Some ER models differ in expressiveness
- They model *most* concepts people want
- They don't model all of them, e.g.,
  - Functional dependencies – some attributes determine some other attributes



Postal code determines city & province

Databases

The Entity-Relationship Model

Entity and Relationship

Relationship Constraints

Specialization and Generalization

**Design Choices**

# Conceptual Design Using the ER Model

- Design choices:
  - Should a concept be modeled as an entity or an attribute?
  - Should a concept be modeled as an entity or a relationship?
  - Relationships: Binary or ternary?
- Constraints in the ER Model:
  - A lot of data semantics can (and should) be captured.
  - But some constraints cannot be captured in ER diagrams.
    - i.e. domain constraints
    - dependencies

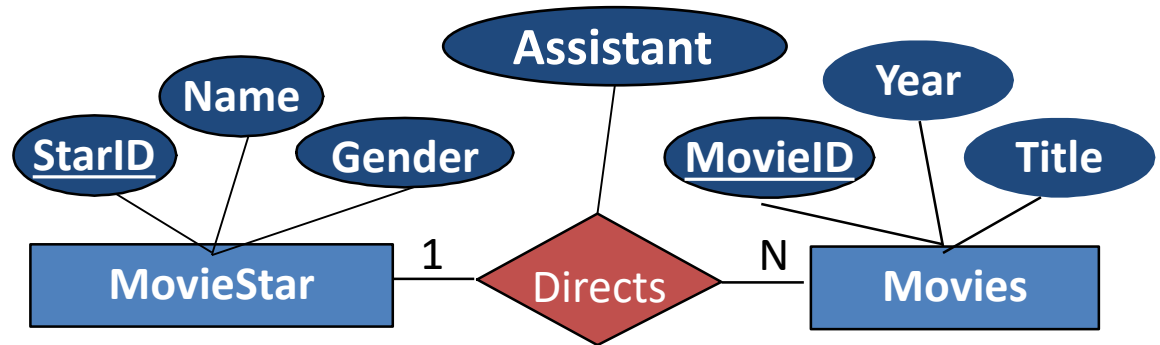


# Entity vs. Attribute

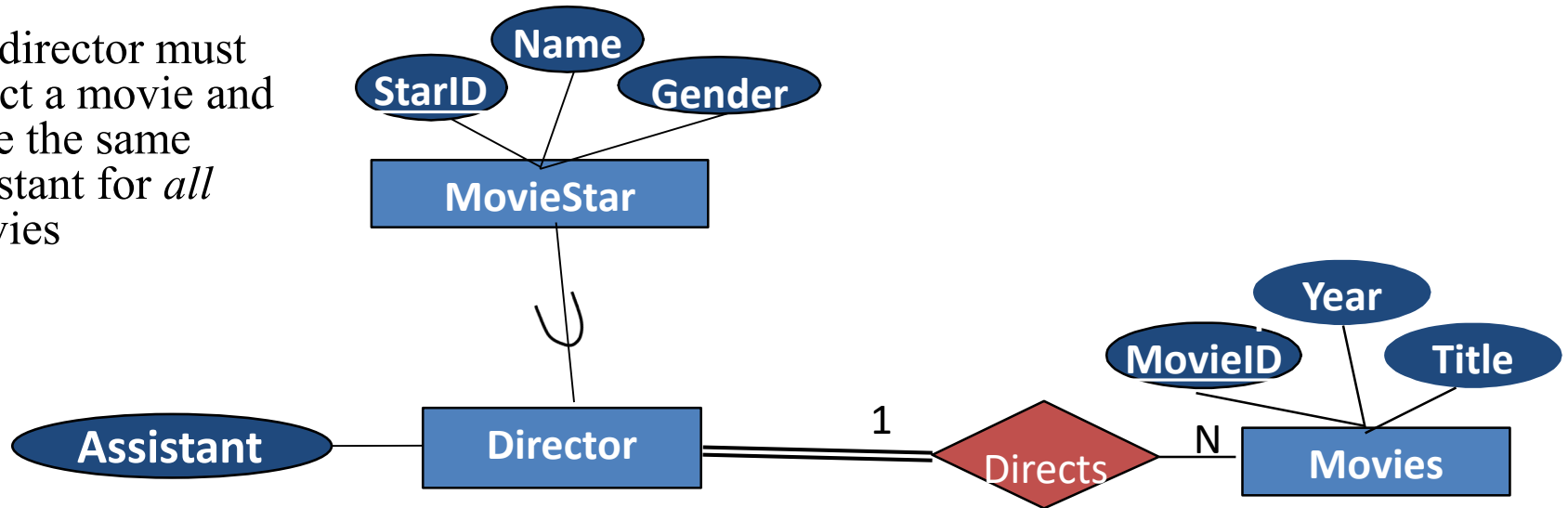
- Should an *address* be an attribute of MoviePeople or an entity (connected to MoviePeople by a relationship)?
- Depends upon
  - the use we want to make of address information
  - the semantics of the data

# Entity vs. Relationship

- How are the two ER models different?
- Director can get a separate assistant for each movie.

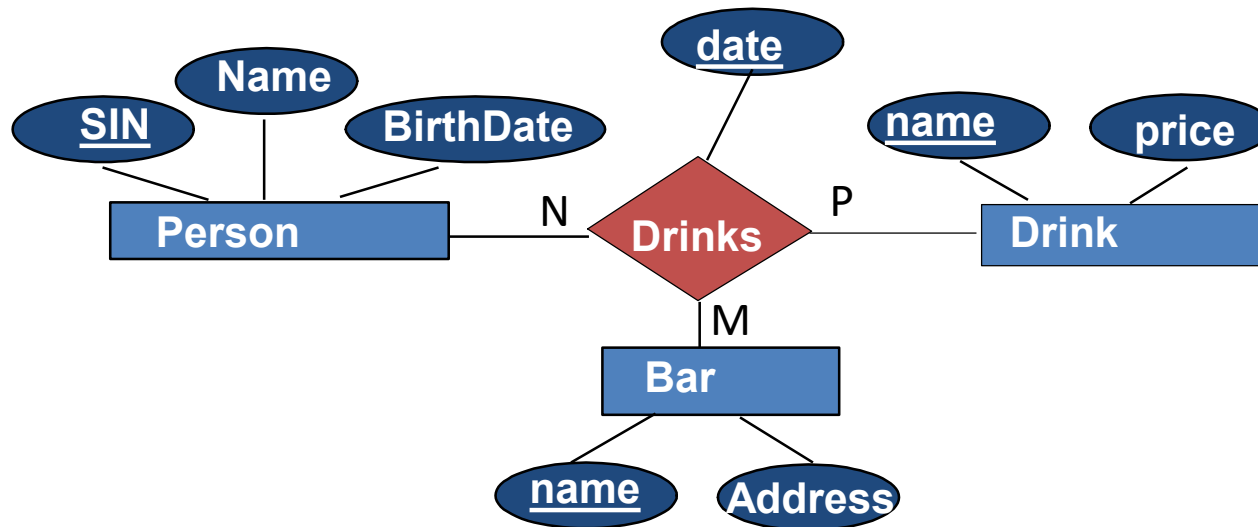


- All director must direct a movie and have the same assistant for *all* movies



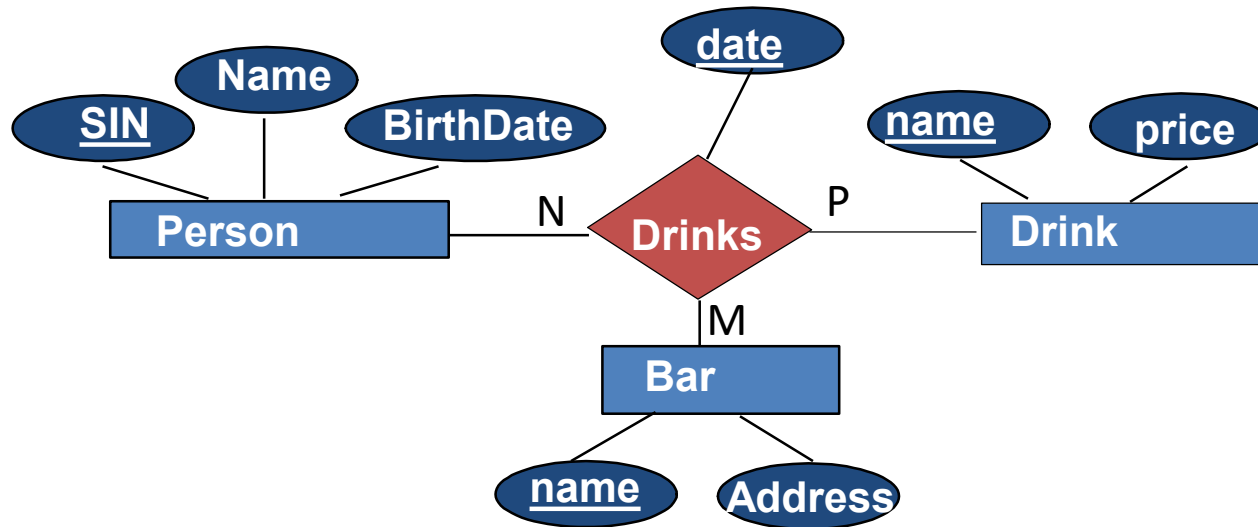
# Binary vs. Ternary Relationships

- An example in the other direction: a ternary relation **Drinks** relates entity sets **Person**, **Bar** and **Drink**, and has descriptive attribute *date*.



- Can we use binary relationships instead?

# Binary vs. Ternary Relationships



- No combination of binary relationships is an adequate substitute:
  - P “likes” D, P “visits” B, and B “provides” D does not imply that P drinks D in B.
  - Also, how would we record *date*?

# Summary of Conceptual Design

- *Conceptual design* follows *requirements analysis*,
  - Yields a high-level description of data to be stored
- ER model popular for conceptual design
  - Constructs are expressive, close to the way people think about their applications.
- Basic constructs: *entities*, *relationships*, and *attributes* (of entities and relationships).
- Some additional constructs: *weak entities*, *superclass & subclass relationships*.
- Note: There are many variations on ER model.

# Summary of ER (Cont.)

- Several kinds of integrity constraints can be expressed in the ER model: *key constraints*, *participation constraints*, and *overlap/covering constraints* for ISA relationships. Some *foreign key constraints* are also implicit in the definition of a relationship set.
  - Some constraints (notably, *functional dependencies*) cannot be expressed in the ER model.
  - Constraints play an important role in determining the best database design for an enterprise.

# Summary of ER (Cont.)

- ER design is *subjective*. There are often many ways to model a given scenario! Analyzing alternatives can be tricky, especially for a large enterprise. Common choices include:
  - entity vs. attribute
  - entity vs. relationship
  - binary or n-ary relationship
  - whether or not to use subclass hierarchies
- Ensuring good database design: resulting relational schema should be analyzed and refined further.

# Learning Outcomes Revisited

Description	Tag
Explain the purpose of an ER diagram.	ER-model
Describe the concept and notation of entities in ERs.	
Describe the concept and notation of relationships in ERs.	
Describe the concept and notation of weak entities in ERs.	
Describe the concept and notation of specialization and generalization In EERs.	
Define Cardinality Constraint and use it in ERs.	
Define Participation Constrain and use it in ERs.	
Given a problem description, create an ER diagram, justifying the decisions you make.	ER-compare-models
Given a problem description, identify alternative representations of the problem concepts and evaluate the choices.	
Compare alternative ER models for the same domain and identify their strengths and weaknesses.	