

hello

IP = PSPACE CONFERENCE, DATE

# $\mathsf{PSPACE} \subseteq \mathsf{IP}$

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- $\exists x \exists y \neg (x \land y)$  is not in NNF but  $\exists x \exists y (\neg x \lor \neg y)$
- QBF  $\leq_m^P$  QBF-Truth<sub>NNF</sub> can be easily done by the verifier
- it suffices to show QBF'  $\in$  IP because we can reduce any problem in PSPACE to QBF in polynomial time

How do we find an algorithm for QBF' s.t it satisfies the IP conditions?



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- $\neg x$  becomes 1-x

ſ	Х	у	$x \wedge y = x * y$	$x \wedge y = x + y$	$\neg(x \land y) = 1 - (x * y)$
ſ	0	0	0	0	1
	0	1	0	1	1
	1	0	0	1	1
١	1	1	1	2	0

$$\phi(x_1, x_2, ..., x_n) = 1 \Leftrightarrow \phi_{arith}(x_1, x_2, ..., x_n) > 0$$



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Arithmetize :  $\neg(x \land y) \xrightarrow{arith.} 1 - (x * y) \xrightarrow{\exists arith.} \sum_{y \in \{0,1\}} 1 - (x * y) \xrightarrow{\forall arith.}$ 



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•  $\phi_{arith} = 2$ 



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- $\phi_{arith} = 2$
- If  $\phi$  is true then  $\phi_{arith} > 0$
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- This can be shown by structural induction



- $\phi = x$ . If  $\phi$  is true then  $\phi_{\textit{arith}} = 1$  and if  $\phi$  is true then  $\phi_{\textit{arith}} = 0$
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- $\phi_1 \wedge \phi_2$ ,  $\phi_1 \vee \phi_2$  also holds
- For  $\forall \phi_1$ , we have by induction that  $\phi_1[x:=0], \phi_1[x:=1]$  is true, so the multiplication of two positive value is positive (same for  $\exists \phi_1$ )



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- It holds that for formula  $\phi$  with string length n :  $\phi_{arith} \leq 2^{2^n}$
- We solve this problem by using modulo with a suitable value



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- the calculation mod k must preserve ">0" for valid and "=0" for invalid formulas
- Number Theory Theorem : for any  $a \le 2^{2^n}$ , a > 0, there exist a prime number  $k \in [2^n, 2^{3n}]$  s.t  $a \ne 0$  (mod k)



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- If  $\phi = \phi_1 \wedge \phi_2$ , then ask prover to send  $a_1$  and  $a_2$  and check  $c = a_1 * a_2$ . If it's true then ask the prover to prove that the of  $\phi_1$  is  $a_1$  and  $\phi_2$  is  $a_2$
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- In case  $\phi = \forall x \phi_1$  we asked for a polynomial p(x) that represents the arithmetic presentation of  $\phi_1$  where x is free and we check c = p(0) \* p(1)
- If it is true, the verifier sends randomly a number d between  $\{0,...,k-1\}=GF(K)$  and caluclate p(d). Now the verifier expects the prover to prove the value of  $\phi_1[x:=d]$  is p(d)
- The same process happens when we have  $\phi = \exists \phi_1$ , but we check c = p(0) + p(1)



• When every variable got a number in GF(K), say  $y_1, ..., y_n$  the verifier calculates  $\phi_{arith}(y_1, ..., y_n)$  and accept if its equal to  $q(y_1, ..., y_n)$  (last polynomial sent by prover) else reject



## Example

$$\phi = \forall x \exists y (\neg x \lor y) \land \exists z \exists w (z \lor w)$$

$$\phi_{arith} = (\prod_{x} \prod_{y} (1-x) + y) * (\sum_{z} \sum_{w} z + w) \quad x, y, z, w \in \{0, 1\}$$

$$\phi_{1arith}$$



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Verifier

check c>0, 8, b

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$$\phi_{1arith}$$

Prover

$$\frac{c=8, k=11, b}{c=8, k=11, b} \qquad Verifier \\
check c>0, 8, b$$

$$\frac{asks for a_1 = \phi_1 \text{ and } a_2 = \phi_2}{sends a_1 = 2, a_2 = 4} \qquad check c = a_1 * a_2$$

$$prove a_1 = \phi_1, a_2 = \phi_2$$

prove 
$$a_1 = \phi_1, a_2 = \phi_2$$

send me  $p_1(x)$  for  $\phi_1$  and  $p_2(x)$  for  $\phi_2$ 



Prover Verifier calculate  $\phi_{1, \text{cut}}(x) = \phi_{1, \text{arith}}(x), \phi_{2, \text{arith}}(z)$  check  $\phi_{2, \text{cut}}(x) = \phi_{1, \text{cut}}(x)$ 

$$\phi_{1arith}(x), \qquad \phi_{2arith}(z) \qquad \text{check } a_1 = p_1(0) * p_1(1)$$

$$\phi_{2arith}(z) \qquad p_1(x) = \prod_y (1-x) + y = x^2 - 3x + 2 \qquad \text{check } a_2 = p_2(0) + p_2(1)$$

calculate

 $\phi_{1arith}(x)$ ,  $\phi_{2arith}(z)$ 

Prover

Verifier

$$\frac{\text{sends } p_1(x) = \phi_{1\text{arith}}(x), \ \phi_{2\text{arith}}(z)}{p_1(x) = \prod_y (1-x) + y = x^2 - 3x + 2} \xrightarrow{\text{check } a_1 = p_1(0) * p_1(1) \\ \text{check } a_2 = p_2(0) + p_2(1)}$$

sends 
$$p_1(d) = 0, p_2(g) = 7$$
 Choose randomly  $d, g \in GF(k)$ , say  $d=2,g=3$ 

calculate

Prover

calculate 
$$\phi_{1arith}(x)$$
,

sends  $p_1(x) = \phi_{1arith}(x)$ ,  $\phi_{2arith}(z)$ 

$$\psi_{1}$$
arith $(z)$ , $\phi_{2}$ arith $(z)$ 

 $p_1(x) = \prod_{y} (1-x) + y = x^2 - 3x + 2$ 

sends  $p_1(d) = 0, p_2(g) = 7$ 

ask for 
$$p'_1(d, y), p'_2(g, w)$$

sends 
$$p_1'(d,y) = \phi_{1arith}(d,y)$$

sends 
$$p_1(u,y) = \phi_{1arith}(u,y)$$
  
sends  $p_2'(g,w) = \phi_{2arith}(g,w)$ 

$$p_{\mathbf{1}}'(d,0)*p_{\mathbf{1}}'(d,1)=p_{\mathbf{1}}(d)$$
  $p_{\mathbf{2}}'(g,0)*p_{\mathbf{2}}'(g,1)=p_{\mathbf{2}}(g)$  Choose c,k  $\in$  GF(k)

Verifier

check  $a_1 = p_1(0) * p_1(1)$ 

check  $a_2 = p_2(0) + p_2(1)$ 

Choose randomly  $d, g \in$ GF(k),say d=2,g=3

Prover

calculate

$$\phi_{1arith}(x)$$
,  $\phi_{2arith}(z)$ 

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$$p_1'(d,y) = \phi_{1arith}(d,y)$$

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$$p'_{1}(d, 0) * p'_{1}(d, 1) = p_{1}(d)$$
  
 $p'_{2}(g, 0) * p'_{2}(g, 1) = p_{2}(g)$   
Choose c.k  $\in$  GF(k)



Prover

 $\phi_{1arith}(x)$ ,  $\phi_{2arith}(z)$ 

sends 
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,  $\phi_{2arith}(z)$ 

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ask for  $p'_1(d, y), p'_2(g, w)$ 

Choose randomly  $d, g \in GF(k)$ , say d=2,g=3

sends 
$$p_1'(d, y) = \phi_{1arith}(d, y)$$
  
sends  $p_2'(g, w) = \phi_{2arith}(g, w)$ 

$$\rho'_{1}(d,0) * \rho'_{1}(d,1) = \rho_{1}(d)$$
$$\rho'_{2}(g,0) * \rho'_{2}(g,1) = \rho_{2}(g)$$

Choose  $c.k \in GF(k)$ 

The verifier check  $\phi_{arith}(d,c,g,k) = p'_1(d,c) * p'_2(g,k)$ . It accepts.



$$\phi = \forall x \exists y \, x \wedge y \xrightarrow{\textit{arith.}} \phi_{\textit{arith}} = \prod_x \sum_y x * y$$



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Prover can not tell the truth because the verifier would reject instantly.

Prover Verifier

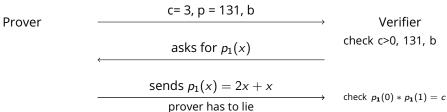
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Prover 
$$c=3, p=131, b$$
 Verifier  $asks for  $p_1(x)$  check c>0, 131, b$ 

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$$\phi = \forall x \exists y \: x \land y \xrightarrow{\textit{arith.}} \phi_{\textit{arith}} = \prod_x \sum_y x * y$$

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Prover 
$$\begin{array}{c} \text{C= 3, p = 131, b} \\ & \text{Asks for } p_1(x) \\ & \\ & \\ \hline \\ & \text{Sends } p_1(x) = 2x + x \\ \hline \\ & \text{prover has to lie} \\ & \text{sends } p_1(d) \\ & \\ & \text{If } \phi_{arith}(d) = p_1(d = 3) = 7 \text{ then we lost. Chances should be low} \end{array}$$

The verifier check  $\phi_{arith}(d,g)=p_1'(d,g)$ .  $12\neq 28$ . Verfier rejects.

$$\phi = \forall x \exists y \, x \wedge y \xrightarrow{\textit{arith.}} \phi_{\textit{arith}} = \prod_x \sum_y x * y$$

Prover can not tell the truth because the verifier would reject instantly. c=3, p=131, b=131

Prover 
$$\begin{array}{c} & & & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & &$$

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# Simple QBF

• Problem: Polynomial could be exponential. The verifier can not verfying it in polynomial time.



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• Example : 
$$\phi = \forall x_1, ..., \forall x_m(x_1 \lor ... \lor x_m) \xrightarrow{arith\phi(x_1)} \phi_{arith}(x) = \prod_{x_2} ... \prod x_m(x_1 + x_2 + ... + x_m) \rightarrow deg(\phi_{arith}(x) \le 2^{m-1})$$



• If  $\phi$  is true, a truthful Prover can ensure that V accepts



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- If  $\phi$  is false, the chance that V accepts is very small



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$$Pr[p(a_1,...,a_m)=0] \leq \frac{d}{|S|}$$

• Prover sends wrong polynomial  $p \neq h = \phi_{arith}$ . Verifier chooses randomly  $c \in GF(p)$  where  $p \geq 2^n$ . Furthermore we have  $deg(g - h) \leq 2n$ .



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- If  $\phi$  is false, the chance that V accepts is very small
- We use "Schwartz-Zippel" lemma. Let p be a non-zero multivariate polynomial  $p(x_1,...,x_m)$  with degree  $\leq d$  and S a finite set of integers. If  $a_1,...,a_m$  a chosen randomly independently and uniformly from S, then

$$Pr[p(a_1,...,a_m)=0] \leq \frac{d}{|S|}$$

• Prover sends wrong polynomial  $p \neq h = \phi_{arith}$ . Verifier chooses randomly  $c \in GF(p)$  where  $p \geq 2^n$ . Furthermore we have  $deg(g-h) \leq 2n$ . Then  $Pr[p(c) = h(c)] = Pr[p(c) - h(c) = 0] \leq \frac{2n}{2^n}$ .

