

Handwritten Signature Verifier

A Project Synopsis

Submitted by

Tejas Jadhav

Under The Guidance Of

Prof. Abhay Kolhe

*in partial fulfillment for the award
of the degree of*

**M. TECH.
in
COMPUTER ENGINEERING
at**



**SVKM's NMIMS,
Mukesh Patel School of Technology Management & Engineering,
Mumbai**

2018 - 19

CERTIFICATE

This is to certify that the project synopsis entitled “Handwritten Signature Verifier” is the proposed work by Tejas Jadhav of M. Tech. (Computer Engineering), MPSTME (NMIMS), Mumbai, during the III/IV semester of the academic year 2018 - 2019 is verified by me.

The presentation for the same is also verified by me.

Prof. Abhay Kolhe

Internal Mentor

Tejas Jadhav

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1. Introduction

Biometrics is currently perceived as a vital technology for setting up secure access control. It utilizes physiological qualities of humans for recognizing individual, and signature is one of the characteristics usable for biometrics. Singular recognizable proof technology utilizing human countenances, more often than not called confront acknowledgment technology, has been concentrated primarily in western nations since 1990s. It has been the most widespread tool for paper documents verification for many decades. In real life the human-expert can verify handwritten signatures easily, but it is a complicated task for doing by machines today.

1.1 Real life applications

This application of Image processing has number of uses in the real world and are seen to be very critical in many industries. Some of them are as follows:

1. Finance: IT-Processing firms of German savings banks are offering their customers solutions to embed dynamic signatures securely into electronic documents in an Adobe Live Cycle environment
2. Insurance: Signing an insurance contract and documenting the consulting process that is required by EU legislation have caused several insurance companies to go paperless with either signature capturing tablets connected to a notebook or a tablet PC.
3. Real Estate: Increasingly popular among real estate agents in USA are options of paperless contracting through signing on Tablet PCs.
4. Health: The hospital of Ingolstadt is capturing and verifying the signatures of their doctors that fill electronic patient records on tablet PCs. The “National Health Service” organization in the UK has started such an implementation.
5. Telecom: Signing phone and DSL contracts in the telecom shops is another emerging market.

1.2 Types & methods of Signature Verification

There are two types of signature verification methods:

1. Offline Signature Verification Methods:

In this method, system makes use of a simple scanner or a camera that can take in image having signature & process the image further with whatever features it gets. This method can be seen useful in many applications such as banking cheques, medical certificates & prescriptions etc.

2. Online Signature Verification Methods:

Here the system makes use of special acquisition devices like stylus pens and tablets that can take in signature features in real time and may give better accuracy

1.3 Performance evaluation parameters

1. FAR – False Acceptance Rate :

The false acceptance rate in simple words is rate of falsely accepted signatures. This is given by the following formula:

$$FAR = \frac{\text{Total Number Imposter Signatures Accepted as Genuine}}{\text{Total Number of Forgery Tests Performed}}$$

2. FRR – False Recognition Rate : Rate of falsely rejected signatures

The false acceptance rate in simple words is rate of falsely rejected signatures. This is given by the following formula:

$$FRR = \frac{\text{Total Number Genuine Signatures Rejected as Imposter}}{\text{Total Number of Genuine Matching Tests Performed}}$$

3. EER – Equal Error Rate

The Equal Error Rate (EER) corresponds to the error value for which false acceptance rate is equal to false rejection rate. These rates determine the quality of an authentication system, but the acceptable values depend on the level of security desired for a specific application.

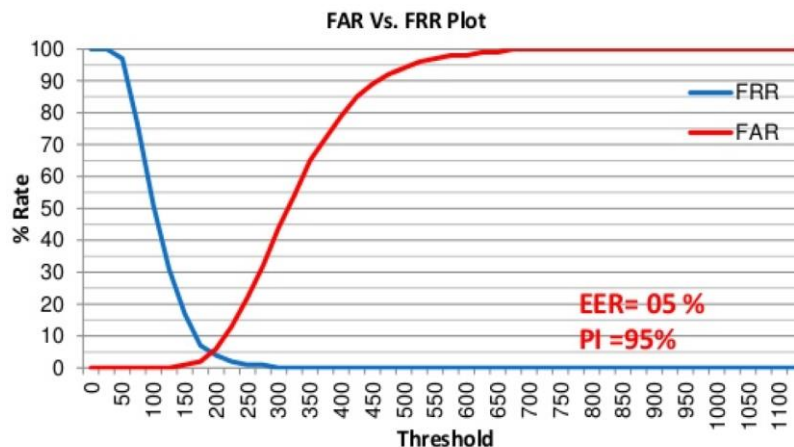


Figure 1: Performance evaluation graph

4. PI – Performance Index

Performance index is nothing but the opposite of the Equal error rate. This is calculated easily by the following formula:

$$PI = 100 - EER$$

2. Motivation

Today the human signature of a person is used as an identification of person because we are all know that the each person has distinct signature and every signature has its own physiology or behavioral characteristics. So the human signature used as an identification of person in various work like bank checks etc. The fraud person can easily generated the signature instead of unique signer in fraud way so we need a signature identification system

Nowadays, person identification is very important in security and resource access control. A reliable signature recognition system can be seen as an important part of law enforcement, finance & banking and many business processes. In such applications, an accurate recognition of person through his handwritten signature is critical.

Thus the motivation behind this project is the growing need for a full proof signature verification scheme which can guarantee maximum possible security from fake signatures. The idea behind the project is also to ensure that the proposed scheme can provide comparable and if possible better performance than already established offline signature verification schemes. The prospect of minimizing the memory space for storing signature image by the preprocessing extracted feature and the training is completed in acquiring less time and provide better accuracy. The need to make sure that only the right people are authorized to access high-security systems has paved the way for the development of systems for automatic personal authentication

3. Problem definition

Signature Recognition is the procedure of determining to whom a particular signature belongs to, as said earlier. This problem is a huge research topic under the Image Processing domain. But this problem is also sometimes crossed with Machine Learning domain along with the Image Processing domain. This is not as simple as said it seems. It is just easier said than done.

Thus the system to be designed must have an algorithm that will extract features and recognize and verify the signer's authentication. System would take as input signature images and tell us following things:

1. To whom the signature belongs to (Author Identification)
2. If the signature is forged or genuine (Signature Verification)

4. Literature review

This section lists down the various algorithms that we are to study. Most of them work in 3 stages, which are pre-processing, main processing i.e. feature extraction and then post-processing stage which includes decision making or classification. There are a high number of algorithms that can be implemented for recognition of handwritten signatures these days, but following are the algorithms which give other newly formulated algorithms a backbone.

In paper [1] the researchers are considering some simple parameters like speed, acceleration, pen down time, distance, etc. and based on the literature studies they discuss how these features can be used in the Image processing environment to improve the performance of handwritten signature. The approach provides a PI of 97.5 %.

Features extracted and used for comparison include total Euclidian distance D of the pen travelled, Speed V_x and V_y express the functions of time, Acceleration A_x and A_y , Total time taken T_k , Length-to-width ratio, Amount of zero speed in direction x and y directions N_{vx} and N_{vy} , Amount of zero acceleration in direction x and y directions N_{ax} and N_{ay}

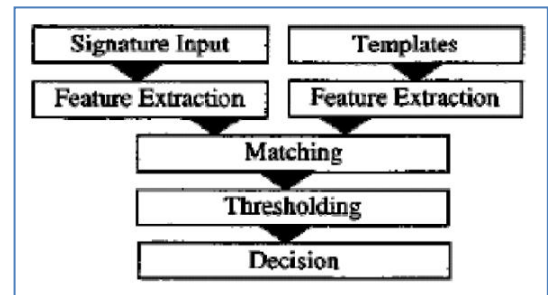


Figure 2: Flowchart of paper [1]

The paper [2] presents a crowdsourcing experiment to establish the human baseline performance for signature recognition tasks and a novel attribute-based semi-automatic signature verification system inspired in FDE analysis. It combines the DTW algorithm with Attribute based algorithm to obtain better accuracy and increase the recognition rate. With EER of 5%, we can say that recognition rate is 95%. Attribute based recognition features include Shape, Proportionality, Text-loops, Order, Punctuation, Flourish-characteristics, Hesitation, Alignment to the baseline, Slant of the strokes, Strokes-length, Character spacing

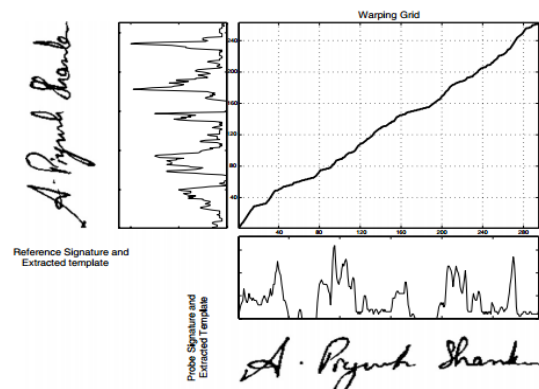


Figure 3: Dynamic Time warping

The dynamic time warping algorithm finds an optimal match between two sequences of feature vectors which allows for stretched and compressed sections of the sequence.

The proposed system in paper [3] functions in three stages. Pre-processing stage; this consists of grey scale conversion, binarisation and fitting boundary box. Feature extraction stage where total 16 radon transform based projection features are extracted which are used to distinguish the different signatures. Finally in the classification stage; an efficient BPNN, Back Propagation Neural Network is prepared and trained with 16 extracted features. The average recognition accuracy of this system ranges from 87% - 97% with the training set of 10-40 persons. Global features include_Height of the signature, Width of the signature, and Centroid along both X axis, Centroid along both Y axis

The radon transform derives projections of the image matrix along predefined directions. A projection of a two dimensional function $f(x,y)$ is a group of line integrals. The radon transform calculates the line integrals from multiple sources along parallel paths, or beams, in a predefined direction. The beams are spaced one pixel unit apart. To represent an image, the radon transform takes multiple, parallel-beam projections of the image from different angles by rotating the source around the centre of the image. Radon transform of a signature image I for the angles specified in the vector 'theta' is computed using the Matlab function `radon()`.

It returns a vector 'r' for each angle in theta containing the Radon Transform. Mean and standard deviation of all the vectors 'r' are calculated and taken as a local features.

The number of neurons in the first layer is n ($n=16$ in this work) which is equal to the dimensionality of the input pattern vectors (Number of input nodes equals number of input features used). The number of neurons in the output layer is 5 which are equal to the number of pattern classes.

Table 1: Local radon transform features

1	Height	9	Mean90
2	Width	10	Std90
3	Centroid of X axis	11	Mean120
4	Centroid of Y axis	12	Std120
5	Mean30	13	Mean150
6	Std30	14	Std150
7	Mean60	15	Mean180
8	Std60	16	Std180

The developed BPNN is trained with signature from different persons. Large numbers of images are required to ensure proper training of the NN.

In paper [4], researchers propose a new on-line writer authentication system using the pen altitude, pen azimuth, shape of signature, and writing pressure in real time. It is well expected that altitude and the azimuth of gripped pen under signing depends on the shape of writer's hand and the habit of writing. After individuality in the altitude and the azimuth of gripped pen under signing is explained, the experimental result with writing information by 24 writers is shown. It is found that the authentication rate of 98.2% is obtained.

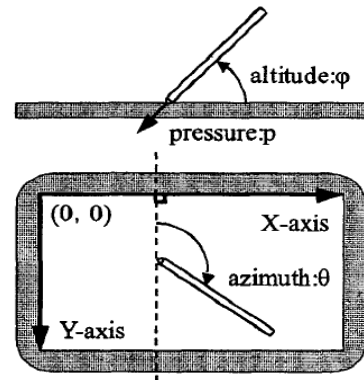


Figure 4: Data from tablet and pen.

The data set prepared is based on the following

Features to be calculated dynamically at every instance of time: X-coordinate: $x(t)$, Y-coordinate: $y(t)$, Pressure: $p(t)$, Azimuth: $\theta(t)$, Altitude: $\phi(t)$

In paper [5], researchers propose a new on-line writer authentication system that uses the pen azimuth, point positions of signature, and writing pressure in real time for creating 7 fuzzy characteristics. The stability of the altitude and the azimuth under signing is also compared with the bit-mapped data and the pen pressure along time for one writer.

Researchers used collection of signatures for testing this system. Signature verification experiment has been conducted with 100 users across 25 original and 25 fake signatures for each user. The recognition rate shown was 99.8%. A 28-dimensional feature vector *for* the signature is formed.

In this paper [6] researchers present an off-line signature verification and recognition system using the global, directional and grid features of signatures. Support Vector Machine (SVM) was used to classify & verify the signatures and a classification ratio of 0.95 was obtained. Thus 95% accuracy is obtained as the recognition of signatures represents a multiclass problem SVM's one-against-all method was used.

The system researchers introduced is divided into two major sections: (i) Training signatures, (ii) Verification or recognition of given signature.

Features used were Signature area, Signature height-to-width ratio, Maximum horizontal histogram and maximum horizontal histogram, Maximum vertical histogram and maximum vertical histogram, Horizontal and vertical center of the signature are calculated using the formulas

In this system, a multi class system is constructed by combining two class SVMs, radial basis function is used which gave the best results. In the training phase, for each person 8 positive (genuine) and 82 ($39 \times 2 + 4$) negative (forgery) examples are taken.

The proposed system of paper [7] functions in three stages. Pre-processing stage consists of four steps namely gray scale conversion, binarization, thinning and fitting boundary box process in order to make signatures ready for the important feature extraction. Feature extraction stage consists total 59 global and local wavelet based energy features to be extracted which are used to classify the different signatures. Finally in classification, a simple Euclidean distance classifier is used as decision tool. The average recognition accuracy obtained using this model ranges from 90% to 100% with the training set of images of 10 to 30 persons.

The proposed method in paper [8] is based on the hypothesis; reducing the variability of signatures leads to boost up the recognition rate. Therefore, the variance reduction technique is applied to normalize offline handwritten signatures by means of an adaptive dilation operator. Then the variability of signatures is analyzed in terms of coefficient of variation (CV). The optimal CV is obtained and used as a threshold limit value to be acceptable variance reduction. The average recognition rate after experimental analysis is found to be 94.87%.

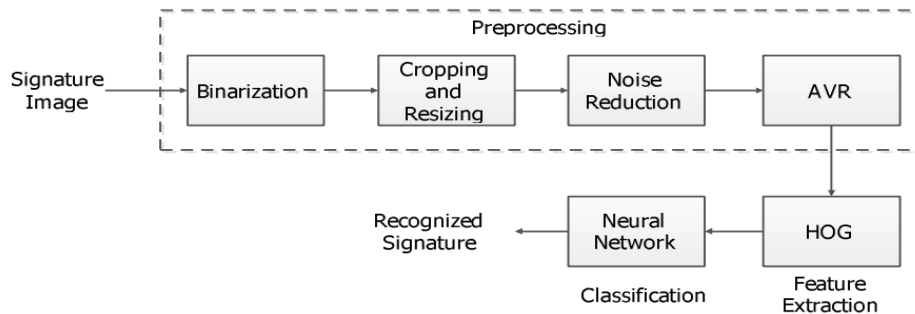


Figure 5: Flowchart for paper [8]

The paper [9] proposed a SIFT and a SURF algorithm which is used for enhanced offline signature recognition. This process, Bag-of-word features, was operated by making vector quantization technique, which outlined the key points for each training image inside a unified dimensional histogram. They put features of bag-of-word inside multiclass Support Vector Machine (SVM) classifier established upon the radial basis function (RBF) for a training and testing. They used Open CV C++ as an image processing tool and tool for feature extraction. In this paper, we compare the performance of SIFT on SVM based RBF kernel with SURF on SVM based RBF kernel. It was found out that the use of SIFT with SVM-RBF kernel system, it has an accuracy of 98.75% compared that of SURF with SVM-RBF kernel it has an accuracy of 96.25%. The SURF algorithm (speeded up robust transform) is composed mainly two parts. In the first part, locate the interest point in the image. The surf features are calculated and points are matched between the input and out signatures. The surf features are computed and points are matched across the input and out signatures. SURF detectors are looked in the significant points in the image, and descriptors are used to get the feature from vectors at each interest point just as in the SIFT. Hessian-matrix approximation consists of SURF to put through and locate the key points rather than variant aspects of the Gaussians (DOG) filter prepared in SIFT. This algorithm is very much similar to SIFT algorithm. But, it is actually three times faster than SIFT. About 64 dimensions can be used in SURF to save the time consumption for the two traits which are the matching and the computation. Scale Invariant Feature Transform is one of several computer vision algorithms which is clearly aimed at extracting distinctive and invariant features from images. Features are extracted by making the SIFT algorithm invariant to image scale, rotation, and partially robust to modifying viewpoints and changes in illumination.

In paper [10], we proposed and implemented an innovative approach based on upper and lower envelope and Eigen values techniques. Envelope represents the shape of the signature. The feature set consists of features such as large and small Eigen values computed from upper envelope and lower envelope and its union values. Both the envelopes are combined by performing union operation and their covariance is calculated. The ratios and the differences of high and low points of both these envelopes are calculated. Lastly average values of both the envelopes are obtained. These features set are coupled with support vector machine classifier that lead to 98.5% of accuracy.

In the paper [11] researchers, use this daily based biometric characteristic for identification and classification of students' papers and various exam documents used at University of Mostar. Paper uses a number of Global features, Grid Features, SIFT features. For classification, Support Vector Machine is used and the accuracy obtained is of 88.97%. Global features includes Aspect ratio, number of pixels that belong to the signature, Baseline shift, Global Slant Angle, Number of Edge Points, Number of Cross-Points and Spatial Symbols, Horizontal and vertical Center of gravity, Length name, Length surname, Maximal horizontal and vertical histogram, the length and ratio of Adjacency Columns, Heaviness of signature, Ratio of first vertical pixel to height, Number of Closed Loops.

In paper [12], a bank cheque is taken and the signature is collected from the bank cheque by taking it out by cropping the area of interest. The image is then trained and stored into the trained database using an efficient Feed forward artificial neural network. Then signatures to be tested are compared with the signatures that are stored into the test database. The accuracy of the system is tested out to be 85.00%. In pre-processing, Otsu's thresholding

or binarization algorithm is used which is one of the finest one, allows image object to be separated from its background and later Gaussian low pass filter is applied to remove unwanted noise.

Fuzzy Logic and Artificial Neural Network Based Off-line Signature Verification and Forgery Detection System is presented in paper [13]. As there are distinct and necessary variations in the feature set of each signature, so in order to match a particular signature image with the database image, the structural features of the signatures and also the local feature variations in the signature characteristics are used. The paper suggests that, variation in personality of signatures, because of age, sickness, geographic location and emotional state of the person actuates the problem

A new approach to document image retrieval based on signature is described in paper [14]. The database consists of document images with English text combined with headlines, logo, ruling lines, trade mark and signature. In searching a particular repository of business documents, the actual work to be done is using a query signature image to retrieve from a database. DT-RCWF and DT-CWT are used for extraction features and recognition rate of images is of 79.32%.

This paper [15] focuses on the pre-processing phase, which is an alternative way to improve the accuracy and to make such factors stable. This research is basically based on the hypothesis that, a table signature size is able to boost up the efficiency which means the recognition rate. Polar Scale Normalization, Adaptive Variance Reduction, Histogram of Oriented Gradients are the techniques used in the system and 98.39% of accuracy is shown.

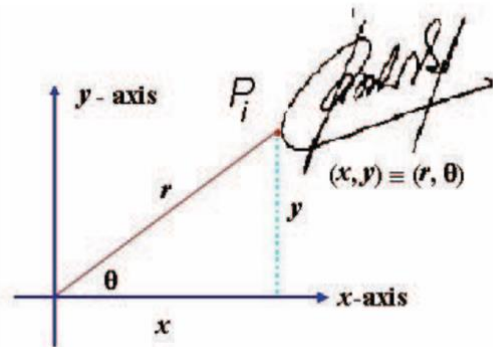


Figure 6: Polar scale normalization

The pre-processing techniques include Binarization, Complementation, Cropping, and Resizing. The classification of the test data is done using an efficient artificial neural network.

A signature verification system based on Dynamic Time Warping (DTW) is proposed in paper [16]. Pre-processing techniques have Maximum Length Vertical Projection (MLVP) method, Minimum Length Horizontal Projection (MLHP) method. The technique basically works by extracting the vertical projection based features from signature images and by comparing probe and reference feature templates using elastic matching classification. A 98% accuracy is gained and show promising results.

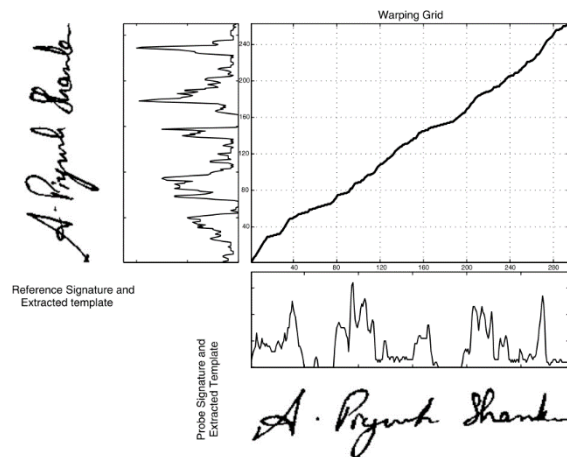


Figure 7: DTW algorithm of paper [16]

Present paper [17] focuses on different steps including browsing a bank cheque, pre-processing, feature extraction, recognition. The paper extracts and uses features such as Contrast, Homogeneity, Energy and Entropy for comparing two different signature images.

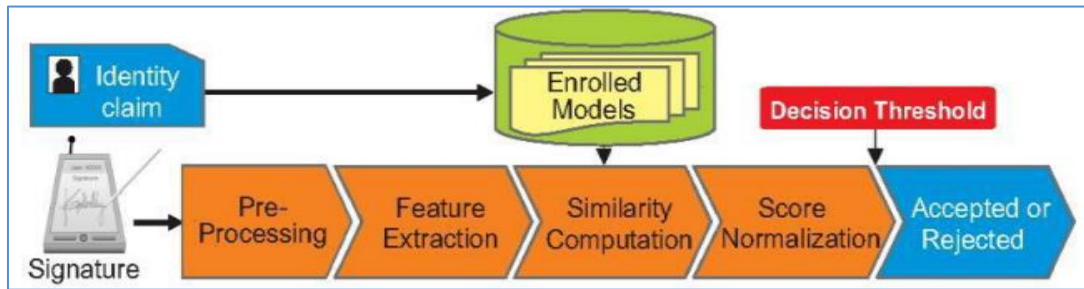


Figure 8: Flowchart of paper [17]

SIFT and a SURF algorithm which is used for enhanced offline signature recognition is used in paper [18]. This process, Bag-of-word features, was operated by making vector quantization technique, which outlined the key points for each training image inside a unified dimensional histogram. Accuracy obtained is 98.75%. SVM classification has limitations in speed and size while both phase of try and test of the algorithm and the chosen of the kernel function parameters.

A writer independent offline handwritten signature verification model, also known as global model, for signature verification is proposed in the paper [19]. Otsu's thresholding is used for pre-processing the image. System uses Local Binary Pattern based feature vector extraction along with its variants and classifies the images using SVM. An accuracy of 95.75% is received and the results shown are promising.

An offline signature verification using neural network is projected in paper number [20], where the signature is written on a paper are obtained using a scanner or a camera captured and presented in an image format. In pre-processing, color to grayscale, and finally to black and white, Resizing the image, thinning. Features extracted include Eccentricity, Skewness, Kurtois, Orientation Entropy, Euler number, Solidity, Mean, Standard deviation. The classification is done using a Cascaded feed-forward back-propagation networks and recognition rate of 92.5% is obtained.

System converts a scanned signature to a shape form and Eigen-signature construction is proposed for extracting the feature vector from a shape formed signature. The test feature vector is said to belong to i^{th} class, if it possess minimum distance with i^{th} class sample when compared to other class samples Thus paper [21] shows accuracy of 91.40%. The pre-processing techniques include binarizing or thresholding. Noise is eliminated using a simple morphological filter, thinned. The test feature vector is said to belong to i^{th} class, if it possess minimum distance with i^{th} class sample when compared to other class samples.

The purpose in paper number [22] is to select relevant features from those features set. For doing that, researchers compute the importance score of each features using two methods: Information Gain Ratio and Correlation. Over 440 Histogram features, 550 Fresh features, 220 DCT features were extracted an accuracy obtained was 95.5%. Limitations seen are rotation normalization and time normalization. Once all the global features are extracted well, the next trick is ranking these features. Ranking score is obtained from Information Gain Ratio and Correlation. So the result obtained are two list of 1210 features set ranked in order of their Gain. From each list, the features set are then divided into sub features set having 10 first ranked features, 20 first ranked features, 30 first ranked features, and up to 1210 features. So each ranked list will produce sub features set of 121 features.

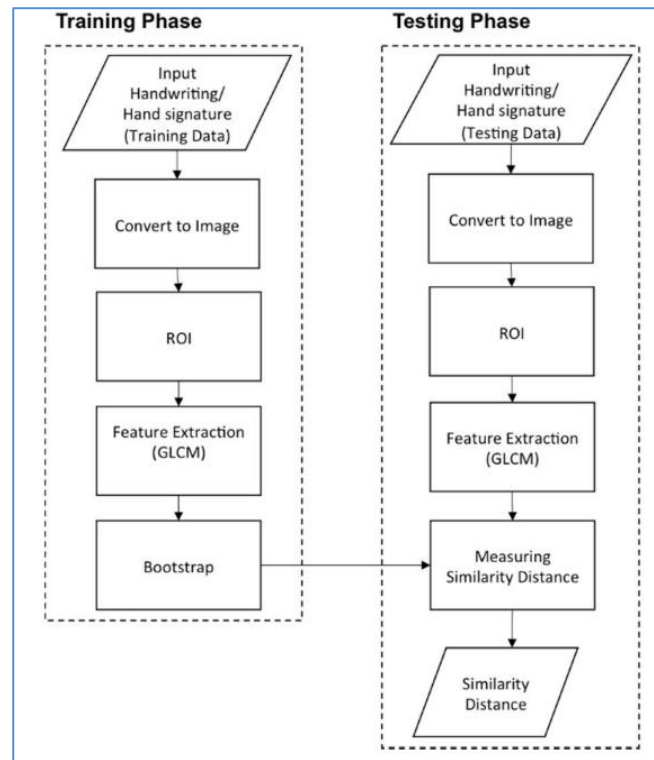


Figure 9: Flow Diagram for Paper [23]

Signature and handwriting recognition on a mobile device using the Gray Level Co-occurrence Matrix (GLCM) for texture-based feature extraction and the bootstrap for performing single classifier model is proposed in the paper [23]. The accuracy obtained is 88.46%.

The paper [24] examines authentication systems based on handwritten signature and the main informative parameters of signature such as size, shape, velocity, pressure, etc. along with DCT, DFT. System using K-Nearest neighbors' algorithm and Random forest algorithm for classification and the accuracy of 95% is shown.

An offline signature recognition system which uses histogram of oriented gradients is presented. Pre-processing techniques used are color normalization, median filtering, angle normalization and exact bounding box, resized into 256×512 pixels. Feature extraction is done with Gradient Computation, Gradient Vote, and Normalization Computation. A three layered feedforward backpropagation neural network is used for classification and the recognition rate of paper [25] is 96.87%

In this paper [26], the trace transform based affine invariant features are applied for signature verification. The diametric and trace functions are appropriately chosen to compute a set of circus functions from each signature image. Recognition rate of 76% is obtained. Low accuracy, more invariant functional will be designed for usefulness in signature verification.

This paper [27], deals with the analysis of discriminative powers of the features that can be extracted from an on-line signature, how it's possible to increase those discriminative powers by Dynamic Time Warping as a step in the pre-processing of the signal coming from the tablet. The accuracy obtained is 99.7%. Pre-processing is done using Filtering, equi-

spacing by Linear Interpolation, Normalization, DTW Alignment, Derived Signals: Speed and Acceleration The main processing includes init minus min End minus min Average Root Square Average Time over 0 Crossing 0 Mean over 0 Standard deviation Number of traces, Time of signature, Writing Time of signature / Time of Signature, Height / Width, Area, Length.

Paper [28] uses a texture base approach called the Local binary Pattern algorithm along with SVM, The signature models are trained with genuine signatures on white background and tested with other genuine and forgeries mixed with different backgrounds. Results depict that a basic version of local binary patterns (LBP) or local directional and derivative patterns are more robust than others like GLCM features to the grey level distortion with SVM with histogram oriented kernels as a classifier or rotation invariant uniform LBP. The proposed configurations are checked and evaluated under different situations and conditions: changing the number of training signature images, database with different inks, multiple signing sessions, increasing the number of signers and combining different features Results have also been provided when looking for the signature in the check and segmenting it automatically. In all these cases, the best results were obtained with the LDerivP feature set, which improve the results obtained in the predefined baseline, showing quite significant improvements with fictitious signature images.

Paper [29] uses Conic section function neural network (CSFNN) circuitry was designed for offline signature recognition. CSFNN is a unified framework for multilayer perceptron (MLP) and radial basis function (RBF) networks and the size of feature vectors was not suitable for designed CSFNN chip structure owing to the input limitations of the circuit. Pre-processing is done using noise reduction algorithm, skeletonization. The few main disadvantages of analog systems include its sensitivity to ambient noise and to temperature. Accuracy shown is 95.5%.

This paper [30] explores the usefulness of local binary pattern (LBP) and local directional pattern (LDP) texture measures to discriminate off-line signatures. Comparison between these several texture normalizations is generated in order to look for reducing pen dependence. The recognition rate is 91.92%. The pre-processing techniques include binarized, cropped, or-exclusive operation, Texture histogram normalization. Least Squares Support Vector Machine (LS-SVM) is applied for classification.

The goal of this study [31] is to investigate the effect of combining transform features to authenticate signatures. Due to genuine human error and lack of consistency, comparing signatures requires pre-processing to assist with standardization. An EER of

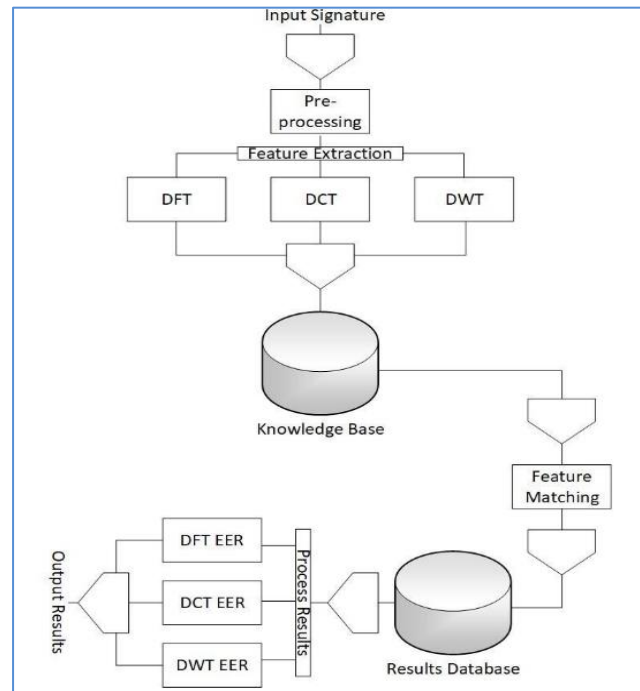


Figure 10: Flowchart of system in paper [31]

2.46% which means a recognition rate of 99.40% is shown by the system. The features extracted include x coordinate, y coordinate, pen pressure, azimuth, altitude, DFT, DCT and DWT. Classification is done with Fast Dynamic Time Warping (FastDTW) algorithm

In this paper [32], gender discrimination has been proposed by feature extraction method. The proposed framework considers handwritten Hindi signature of each individuals as an input for gender detection. Recognition rate obtained is 92.90%.

In order to solve the shortcomings of manual identification in technical accuracy and subjectivity, this paper [33] proposed an off-line signature identification method based on Support Vector Machine (SVM). Accuracy shown here is 85.00%. Dataset are stained by useless border. Other pre-processing techniques used in the system include Image binarization, denoising, removing blank margins. Features include global feature like height, width, area and slant angle and sum of black pixels. Identification rates within and between writing systems is prone to swing in some degree under different partitioning strategy.

This paper [34] titled presents a set of geometric signature features for offline automatic Signature verification based on the description of the signature envelope and the interior stroke distribution in polar and Cartesian coordinates. Feature extraction method includes Outline Detection and Representation, Feature Vector Based on Polar Coordinates, Feature Vector Based on Cartesian Coordinates. Classification is performed using The HMM Signature Model, Support Vector Machine Signature Model and Euclidean Distance-Based Signature Model.

The proposed system [35] segments each signature curve based on pen's velocity value. The signature curve, would be decomposed in low or high partition according to velocity's value. For each partition, hand movement direction between two consequent point Extracted. 95.10%. Pre-processing technique here are removing noise, translation invariance, rotation 7 scale invariance. Signature features extracted and used shape of signature would be partitioned based on dynamic feature such as velocity or pressure. Classification is done using Hidden Markov Model.

Optical flow is used to define a stability model of the genuine signatures for each signer in paper [36]. Stability between the unknown signature and reference signatures is estimated and consistency with the stability model of the signer is evaluated. Accuracy obtained is 96.00%. In pre-processing, signature image size was adjusted to a fixed area. Optical flow vectors were used for the analysis of the local stability of a signer to detect the stable regions in the signature. Optical flow vectors are for the analysis of the local stability of a signer to detect the stable regions in the signature.

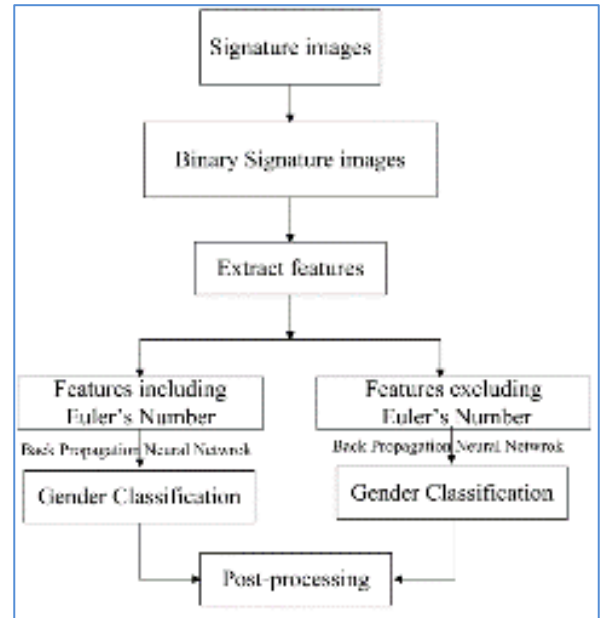


Figure 11: Flow chart of paper [32]

In this paper [37] an efficient off-line signature verification method based on an interval symbolic representation and a fuzzy similarity measure is proposed. 88.26% Local Binary Pattern, interval-valued symbolic model. A histogram-based threshold technique, mean filter for noise removal, minimum bounding boxes. Classification of the signature image is done based on the similarity value, an adaptive writer-dependent acceptance threshold

A new approach of showing online signatures by interval-valued symbolic features. The online signature also gives global features that are to be extracted and used to form an interval-valued feature vectors. Methods for signature verification and recognition based on the symbolic representation are also proposed in paper [38] Recognition rate is 95.40%.

This paper [39] explores the utility of information derived from the dynamic time warping (DTW) cost matrix for the problem of online signature verification. The prior research and experimentations in literature primarily utilize only the DTW scores to authenticate a test signature. Accuracy measured is 97.24%. As the paper itself suggests local features & histogram representation shall be an interesting extension.

This paper [40] presents a new approach for online signature verification that exploits the potential of local stability information in handwritten signatures. Different from previous models, this approach classifies a signature using a multi domain strategy. Accuracy obtained is 97.90%. Both variable and stable regions of a signature image object could be considered for supporting the advanced personalized techniques in signature verification and recognition, since probably, from a behavioural point of view, a variability model of a signer/author could also be very complementary and informative to a stability model.

5. Proposed work (algorithm/ techniques/ experimental setup)

5.1 Algorithm/technique to be used

The proposed work intends to stretch and extend one of the papers discussed in the above section of literature review which includes the features extraction of Local Binary Pattern from the signature images and to generate an efficient offline signature recognition and verification system. This algorithm is also to be combined with some simple features of the signature image that can have local features that define features of a part or parts of the signature as well as the global features which requires signature as a whole.

At architectural level the basic structure and flow of the system is very much similar to how most of the research finds out. The flowchart block diagram would start with image acquisition where the image would be taken in as input. Then the images would go through series of pre-processing stages such as Grayscale, Binarization, BoundaryBox and noise removal filters. In feature extraction stage the main focus would be to use LBP variant algorithm along with some simple image features. The generated feature set of all the images along with their respective classes would be given to a classification algorithm. This may include Support vector machine, Artificial Neural network, K-nearest neighbors or Euclidian distance based approach or the combination of them. Finally this stage would give out the class to which the input test image belongs to as output decision.

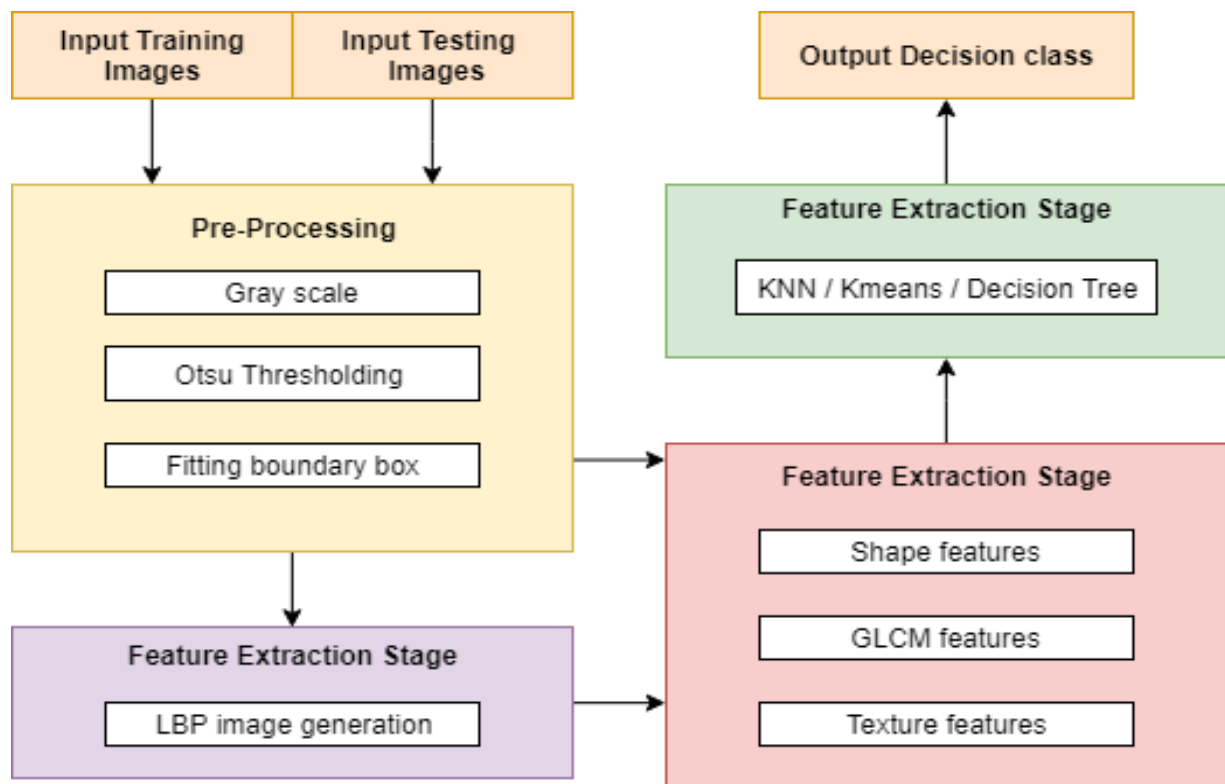


Figure 12: Block diagram of the proposed approach

5.2 Implementation tools end setup

There are many implementation programming tools that can be used for image Processing and Machine learning projects like MATLAB. This project will also require a database and an interface between the programming tool and the database.

5.2.1 Python using PyCharm

Implementation programming tool to be used here is Python. Python is powerful... and fast; plays well with others, runs everywhere, is friendly & easy to learn and is Open. It is a popular programming language used in web development (server-side), software development, mathematics, system scripting.

- Python can be easy to pick up whether you're a first time programmer or you're experienced with other languages. The following pages are a useful first step to get on your way writing programs with Python!
- The Python Package Index (PyPI) hosts thousands of third-party modules for Python. Both Python's standard library and the community-contributed modules allow for endless possibilities.

Contains number of libraries, which are easy to install & import...

- OpenCV : Computer vision and machine learning software library.
- NumPy : Scientific computing & array-processing
- Imutils : Functions to make basic image processing functions easier
- Math : Provides access to the mathematical functions
- Matplotlib : Python 2D plotting library
- Pymysql : A simple database interface for Python
- OS : allows easy file handling
- Scipy : provides many user-friendly and efficient numerical routines

PyCharm is a python editor and compiler. Allow to be more productive by saving time while PyCharm takes care of the routine. Allows to focus on the bigger things and embrace the keyboard-centric approach to get the most of PyCharm's many productivity features.

Get Smart Assistance with PyCharm that knows everything about our code. We can always rely on it for intelligent code completion, on-the-fly error checking and quick-fixes, easy project navigation, and much more.



Figure 13: Python logo



Figure 14: PyCharm logo

5.2.2 Database using MySQL

A **database** is a collection of information that is organized so that it can be easily accessed, managed and updated. Data is organized into rows, columns and tables, and it is indexed to make it easier to find relevant information. A database is a separate application that stores a collection of data. Each database has one or more distinct APIs for creating, accessing, managing, searching and replicating the data it holds. Other kinds of data stores can also be used, such as files on the file system or large hash tables in memory but data fetching and writing would not be so fast and easy with those type of systems.

So in this project we are going to deal with a lot of data such as training features, testing features and also classes etc. So to store and reuse the data from somewhere, we are going to need a database.

SQL is a standard language for storing, manipulating and retrieving data in databases. It is a database computer language designed for the retrieval and management of data in a relational database. SQL stands for Structured Query Language. SQL is the standard language for Relational Database System. All the Relational Database Management Systems (RDMS) like MySQL, MS Access, Oracle, Sybase, Informix, Postgres and SQL Server use SQL as their standard database language.



Figure 15: SQL logo

MySQL is the most popular Open Source Relational SQL Database Management System. MySQL is one of the best RDBMS being used for developing various web-based software applications. MySQL is developed, marketed and supported by MySQL AB, which is a Swedish company.

MySQL is a fast, easy-to-use RDBMS being used for many small and big businesses. MySQL is developed, marketed and supported by MySQL AB, which is a Swedish company.



Figure 16: MySQL logo

6 Work done

6.1 Research

The work done includes further research of the related research papers to explore more and more about in depth knowledge of the existing algorithms that can be used for signature recognition and verification. The research allowed me to read more research papers on one of the algorithm that I have finalized to use, Local Binary Pattern based approach. Along with finalization of the algorithm, I have read and also studied more number of research papers based on Signature Recognition and Verification and an excel sheet of all the Literature Review has been prepared The literature review contains total of 40 research papers based on the topic Signature Recognition. Most of the papers make use of 3 stages: Preprocessing stage, Feature extraction stage, Classification stage

6.2 Dataset preparation

The work also includes dataset preparation include downloading more and more of the online available datasets that people around the world generate and upload for others to use. I have thus downloaded the dataset from the Web.

The data set I have taken from one of the websites with the uploaded by researchers online on the website mentioned in References [42]. Since the dataset downloaded is vast and contains a lot of images I have prepared a subset of the vast dataset and kept aside directory of folders of images:

Total 25 Authors, all having genuine as well as forged signatures and thus 50 classes. The dataset is divided in an approximate ratio of 4:1 for preparing training and testing data respectively. Therefore 637 training images (77.12%) and 189 testing images (22.88%) now combine to a total of 566 images

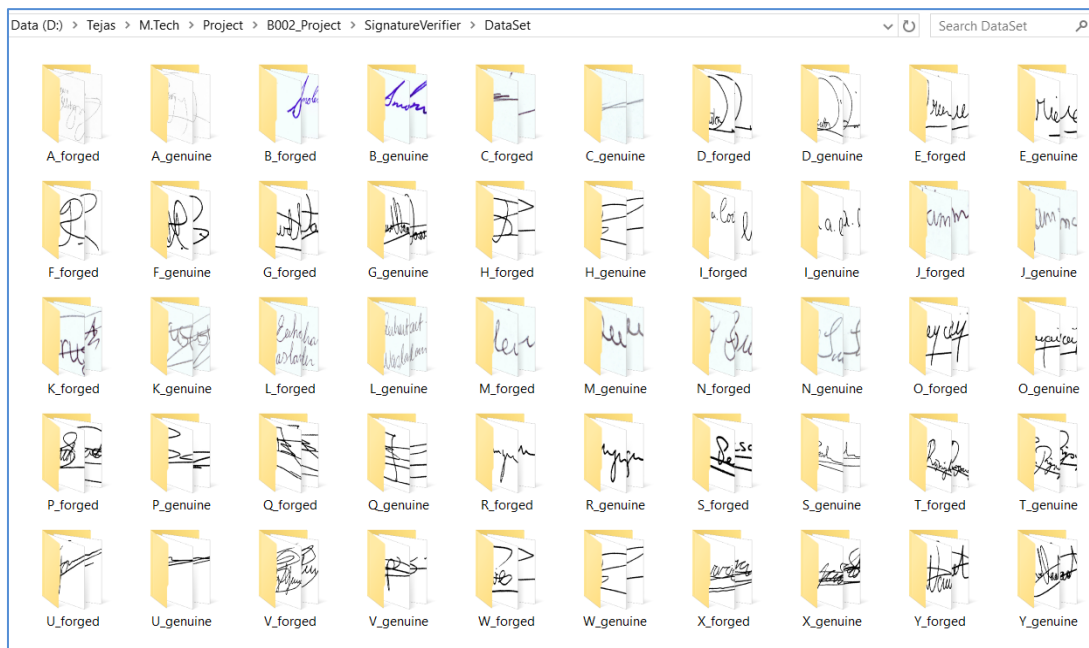


Figure 17: Dataset prepared

6.3 Implementation

Implementing with python includes total of 8 python.py files and an SQL file created in PyCharm that can be viewed as below Preprocessing stage that have been implemented include reading the image resizing, grayscale, denoising, threshold based segmentation, boundary box generation displaying the image

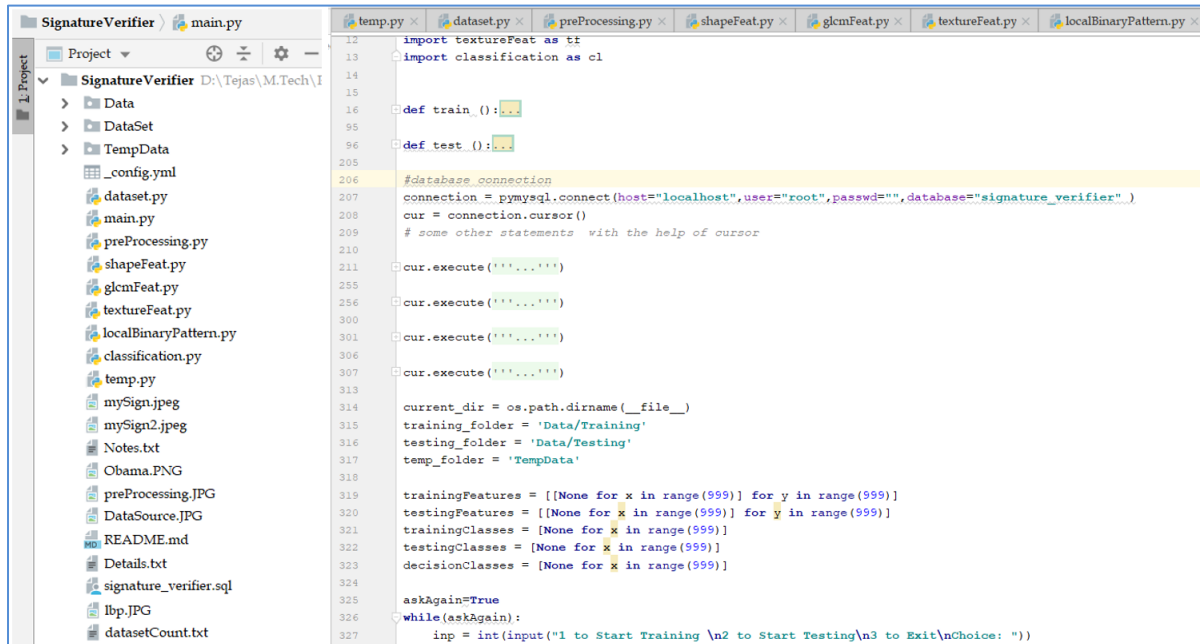


Figure 18: Python program files prepared in PyCharm

6.3.1 Dataset.py

Our dataset is read, renamed, copied and organized in the correct naming convention to a different folder, from where our system will use. For eg : xyz.png → A_genuine_7.png. The function also writes a dataset.txt where it gives an analysis of all the data and the count of all the dataset

X_forged	12 images
X_genuine	12 images
Y_forged	16 images
Y_genuine	12 images
25 Authors, 50 Classes, 826 Images	
637 Training images (77.12%)	
189 Testing images (22.88%)	

Figure 19: dataAnalysis.txt

```
imagesr=1
exists = os.path.isfile("DataSet/"+folder+"/"+folder+"_"+str(j)+".png")
if not exists:
    os.rename("DataSet/" + folder + "/" + file, "DataSet/" + folder + "/" + folder + "_" + str(j) + ".png")

dataFolder = training_folder if (j < (4*total/5)) else testing_folder
dataexists = os.path.isfile(dataFolder + "/" + folder + "_" + str(j) + ".png")
if not dataexists:
    shutil.copy("DataSet/" + folder + "/" + folder + "_" + str(j) + ".png", dataFolder)
```

Figure 20: Dataset.py

6.3.2 Main.py

The entire system is controlled from the main function called the main.py. This is the main python file where the system working starts, calls the other functions, gives the appropriate results and ends.

```
for filename in os.listdir(testing_folder):
    img = cv.imread(os.path.join(testing_folder, filename), 0)
    if img is not None:
        isLBP = False
        f = open("Data/" + datafile, "a")
        print("\n~~~~~")
        f.write("\n~~~~~\n")
        print("Image file : ", filename)
        f.write("\nImage file : " + str(filename) + "\n")
        f.close()
        orgImg = img
        # cv.imshow(filename, img)
        proImg = pr.preprocess(orgImg, datafile)
        # cv.imshow(filename, myImg)

        sf.shapeFeat(proImg, testingFeatures, isLBP, datafile, filename)
        gf.glcm(proImg, testingFeatures, datafile)
        tf.textFeat(proImg, testingFeatures, datafile)

        isLBP = True
        lbpImg = lbp.lbp(orgImg, datafile)
        sf.shapeFeat(lbpImg, testingFeatures, isLBP, datafile, filename)
        gf.glcm(lbpImg, testingFeatures, datafile)
        tf.textFeat(lbpImg, testingFeatures, datafile)
        cl.actualClass(filename, testingClasses, datafile)

        cl.knn(trainingFeatures, testingFeatures, trainingClasses, decisionClasses, datafile)
```

Figure 21: Main.py

6.3.3 Pre-processing.py

This function takes the image in the raw format and converts it into a pre-processed format. This will make the image ready for processing.

Preprocessing stage that have been implemented include reading the image resizing, grayscale, de-noising, threshold based segmentation, boundary box generation displaying the image The boundary box cropping is one of the preprocessing stages that wasn't a predefined function so I got the liberty to create it myself. Its general idea is to remove the white spaces from all four sides of the image and make the problem a little smaller. The idea here was to simply keep cropping out all the rows until we find the first black pixel from top and bottom and do the same for columns from both the sides.

After few of the preprocessing stages the signature image would become a lot cleaner without noise and ready to be used for further processing. Following image shows the same.

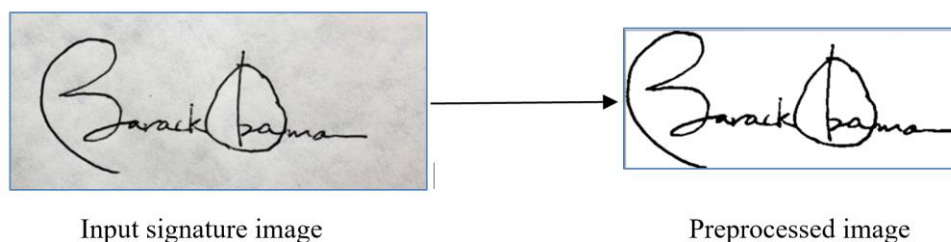


Figure 22: Preprocessing signature image

6.3.4 ShapeFeat.py

This python files includes function that extracts shape based features of the signature from the images which includes following:

- Aspect Ratio: The ratio of the width to the height of an image or screen.
- Center of Gravity: The Center of Gravity or Center of Mass statistic calculates where the COG of the image lies. The COG is calculated by:
 - $COG_X = COG_X + (I * x)$
 - $COG_Y = COG_Y + (I * y)$
- Normalized Area: The amount of darker pixels that constitute the object in the image divided by the total size(no of pixels) in the image
- Baseline Shift: This depicts which side (left or right) is the signature waited more and by how much. Calculated difference of left and right YCoG.
- Eccentricity: The eccentricity is the ratio of the distance between the foci of the ellipse and its major axis length. The value is between 0 and 1.
- HuMoments: can be used to describe, characterize, and quantify the shape or outline of an object in an image.
- No of Corners: No of edgy pointed areas

```
~~~~~  
Image file : Y_genuine_12.png  
  
    ~~~ Shape features ~~~  
Aspect Ratio : 1.8882175226586102  
X_COG: 314.35614528971786  
Y_COG: 160.09018323098957  
Normalized area: 0.8808652567975831  
Baseline shift: 5.49301214401541  
Eccentricity: 0.844747849543066  
HuMoments: 13.06358045823279  
Corners: 317
```

Figure 23: Shape features

6.3.5 GlcmFeat.py

This python files includes function that extracts Gray Level Coherence Matrix based features of the signature from the images which includes following:

- Contrast: measure of the intensity contrast between a pixel and its neighbor over the whole image.
- Dissimilarity: the weights with which GLCM probabilities are multiplied increase linearly away from the diagonal
- Homogeneity: value that calculates the tightness of distribution of the elements in the GLCM to the GLCM diagonal.
- Energy: the sum of square elements in GLCM
- Correlation: dependency of a pixel and its neighbor over the whole image
- ASM: sum of squares of entries in the GLCM Angular Second Moment measures the image homogeneity

```
~~~~~  
Image file : Y_genuine_12.png  
  
    ~~~ GLCM features ~~~  
Contrast: 1619.1396264234256  
Dissimilarity: 6.3495671624448065  
Homogeneity: 0.9751001195456674  
Energy: 0.8751210985740953  
Correlation: 0.8813611181808606  
ASM: 0.7658369371695313
```

Figure 24: GLCM features

6.3.6 TextureFeat.py

This python files includes function that extracts textures based features of the signature from the images which includes following:

- Mean: Total intensity value of pixels by the number of pixels
- Variance: measures how far a data set is spread out from the mean.
- Skewness: asymmetry in a statistical distribution, in which the curve appears distorted or skewed either to the left or to the right
- Kurtosis: **Kurtosis** is a measure of the combined weight of a distribution's tails relative to the center of the distribution.
- Entropy: log-base-2 of the number of possible outcomes for a message.
- Haralick: describe the overall texture of the image using measures

```
~~~~~  
Image file : Y_genuine_12.png  
  
    ~~~ Texture features ~~~  
Mean:  224.62064048338368  
Variance:  132.49999999976717  
Skewness:  -2.67989282143756  
Kurtosis:  6.181647412206975  
Entropy:  5.672146449324859  
Haralick:  2663.808568037615
```

Figure 25: Texture features

6.3.7 LocalBinaryPattern.py

This python file contains function that converts the image to an LBP image which stands for Local Binary Pattern. The code in the image convert the image into LBP image which is darker and shows off the textures of the image to help us extract them.

The center pixel in a 3x3 mask is compared with all the 8-neighbour pixels. The higher pixels are given 1 and others are given zero. Then the 8-neighbours are then read in clockwise manner to form a binary number which is then converted to a decimal number. The decimal number is placed in the center of that mask for the LBP image and then mask moves forward.

All the features listed above are again extracted for the LBP image and a feature set of 40 features is made.

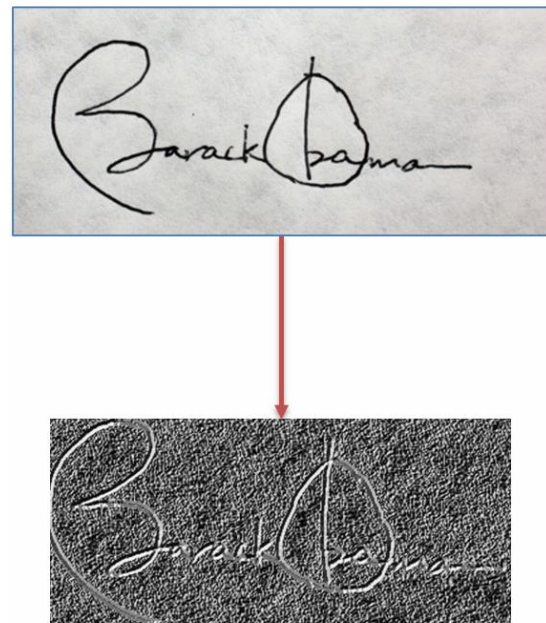


Figure 26: LBP image conversion

6.3.8 Classification.py

The learning of the system would be dependent heavily on a supervised base learning, which means that the training set images must have the class information in some form. Here I have renamed the training set images in such a way that the name will define the class it belongs to. The python code will first read all the images in the given directory and get the class of each based on its name. Since we have 25 authors each having forged and genuine signatures, we currently have a total of 50 classes.

For example, an image file has a name A_forged_21.png. Its actual class would be 'A_forged'. This means that the signature image belongs to author A and is a forged one.

Our designed KNN classification algorithm code also situated in this file. KNN stands for K-nearest neighbors. This classification gives us the decision class that our projects intended output would be.

```
~~~~~  
Image file :  A_forged_21.png  
      ■  
      ■  
      ■  
  
Actual Class:  A_forged  
Decision:  A_forged  
~~~~~
```

Figure 27: Classification.py

Later during evaluation of our project it will be useful to compare the actual classes and the decision classes of the images to find out the accuracy of our system.

6.3.9 Signature_verifier.sql

The features extracted are to be stored in 2 dimensional variable here in the system named as 'trainingFeatures' and 'testingFeatures' where each row has features for each image. Now all the features are then collected in this 2 dimensional array to be later used during classification of the test images. The Feature Vector output is generated for some images and is shown in the figure 25.

```
4.475, 360.11835331181805, 79.94261948670662, 0.9087988826815643, 0.9360953304115469, 1100.423076923077,  
4.315384615384615, 0.9830771833278523, 0.9041345437612739, 0.8979947873264885, 0.817459273222407,  
1.1265560165975104, 272.689094785744, 236.7716856191929, 0.8816548604265529, 6.252597772662824, 951.0669  
144554516, 3.7296741743351043, 0.9853740516953918, 0.8812566819699875, 0.930009741005473, 0.776613339516  
7517,
```

Figure 28: Feature vectors in python console

This data doesn't really help us understand which is what and also cannot be reused after the execution of code. They might want to be recalculated when the system is run again. To avoid that and to take the system directly to the testing stage, we make use of database. Here we use MySQL database to be run using Apache through the XAMPP control panel. Following image shows how the database

Table	Action	Rows	Type	Collation	Size
testing_classes	Browse Structure Search Insert Empty Drop	189	InnoDB	latin1_swedish_ci	16 KiB
testing_features	Browse Structure Search Insert Empty Drop	189	InnoDB	latin1_swedish_ci	64 KiB
training_classes	Browse Structure Search Insert Empty Drop	637	InnoDB	latin1_swedish_ci	64 KiB
training_features	Browse Structure Search Insert Empty Drop	637	InnoDB	latin1_swedish_ci	144 KiB
4 tables	Sum	1,652	InnoDB	latin1_swedish_ci	288 KiB

Figure 29: Tables in MySQL database

7 Matching of implementation with proposed plan

Following Gantt chart shows the action plan for the project to be done in a monthly basis for different modules. For the amount of work done till the end of November, research work, planning and analysis for the project has been completed. Designing of the project's development architecture and flow is under way and can be said that it is in a way done as well as mentioned in the Gantt chart. As shown in the above section a part of implementation is also been done and we can say that the project work is around 80% done.

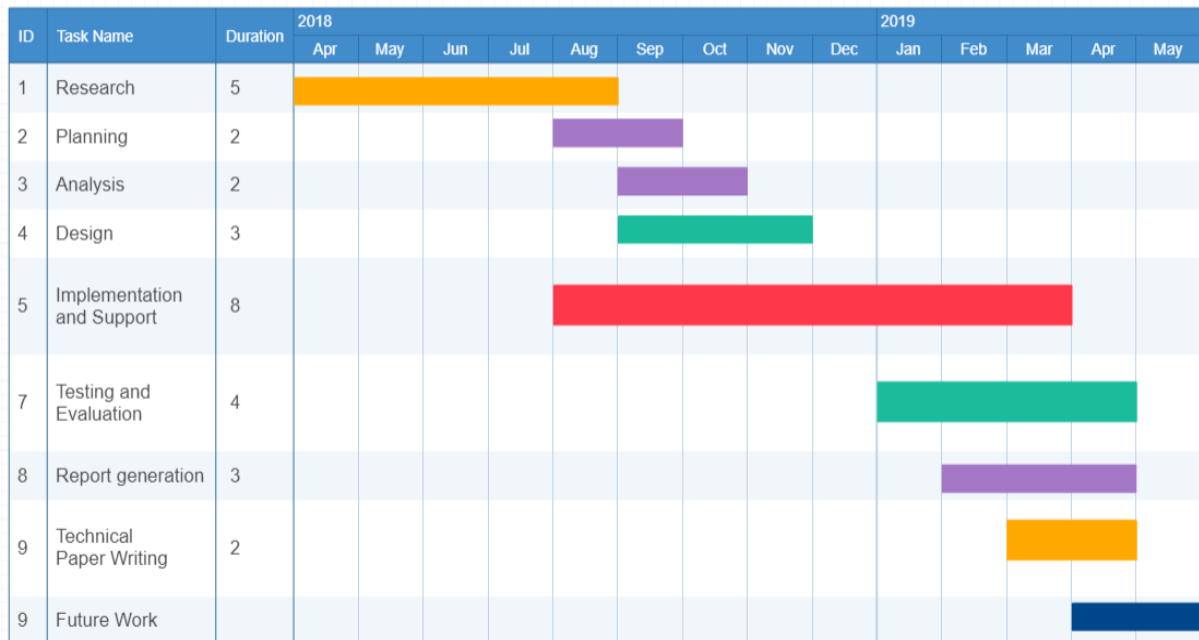


Figure 30: Gantt Chart

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