

**Proposition.**  $\mu$  is a conformal invariant.

$$\begin{aligned}
& \int_M \nabla^i \varphi h \nabla_i \varphi h dV = \int_M (\varphi \nabla^i h + h \nabla^i \varphi) (\varphi \nabla_i h + h \nabla_i \varphi) dv \\
&= \int_M \varphi^2 \nabla^i h \nabla_i h + 2 \int_M \varphi h \nabla^i h \nabla_i \varphi + \int_M h^2 \nabla^i \varphi \nabla_i \varphi \\
& \int_M h^2 \nabla^i \varphi \nabla_i \varphi = - \int_M \varphi \nabla^2 (h^2 \nabla_i \varphi) \\
&= \int_M \varphi h^2 \Delta \varphi - 2 \int_M \varphi h \nabla^i h \nabla_i \varphi \\
J(\varphi h) &= \frac{\frac{4(n-1)}{n-2} (\int_M \varphi^2 \nabla^i h \nabla_i h + \varphi h^2 \Delta \varphi) + \int_M R \varphi^2 h^2}{(\int_M \varphi^N h^N)^{2/N}} d\tilde{V} \\
&= \varphi^{\frac{2n}{n-2}} dv \int_M \tilde{\nabla}^i \varphi \tilde{\nabla}_i h d\tilde{v}^2 = \int_M \tilde{g}^{ij} \partial_i \varphi \partial_j h \varphi^{\frac{2n}{n-2}} d\tilde{v} \\
&= \int_M \varphi^2 g^{ij} \partial_i \varphi \partial_j h dV
\end{aligned}$$

And we have

$$\frac{4(n-1)}{n-2} \Delta \varphi + R \varphi = R' \varphi \frac{n+2}{n-2}$$

So we have

$$\begin{aligned}
J(\varphi h) &= \frac{\int_M R' \varphi^{\frac{n+2}{n-2}} \varphi h^2 + \frac{4(n-1)}{n-2} \int_M \varphi^2 \nabla^i h \nabla_i h}{(\int_M \varphi^N h^N)^{2/N}} \\
&= \frac{\frac{4(n-1)}{n-2} \int_M \nabla^2 h \nabla_i h d\tilde{v} + \int_M h^2 d\tilde{v}}{(\int_M h^N d\tilde{v})^{2/N}}
\end{aligned}$$

Then  $J(\varphi h) = \tilde{J}(h)$  and consequently  $\mu = \tilde{\mu}$ .  $\tilde{J}$  is the functional related to  $\tilde{g}$ , and  $\tilde{\mu}$  the inf of  $\tilde{J}$ .

To solve Yamabe Problem, Yamabe used the variational method. He considered the functional, for  $2 \leq q \leq N = 2n/(n-2)$

$$J_q(\varphi) = \left[ 4 \frac{n-1}{n-2} \int_M \nabla^i \varphi \nabla_i \varphi dV + \int_M R(x) \varphi^2 dV \right] \|\varphi\|_q^{-2}$$

and defined  $\mu_q = \inf J_q(\varphi)$  for all  $\varphi \geq 0, \varphi \not\equiv 0$ , belonging to  $H_1$ . Set  $\mu = \mu_N$  and  $J(\varphi) = J_N(\varphi)$ .

**Theorem** Let  $M_n$  be a  $C^\infty$  compact Riemannian manifold; there exists a conformal metric whose scalar curvature is either a nonpositive constant or is everywhere positive.

Proof.  $\alpha$ ) the positive case ( $\mu > 0$ ). If  $\mu_{q_0} > 0$ ,  $\mu_q$  is positive for  $q \in ]2, N[$ . Indeed

$$\mu_q = J_q(\varphi_q) = J_{q_0}(\varphi_q) \|\varphi_q\|_{q_0}^2 \|\varphi_q\|_q^{-2} \geq \mu_{q_0} \|\varphi_q\|_{q_0}^2$$

Then consider the conformal metric  $g' = \varphi_{q_0}^{4/(n-2)} g$ ;

$$\frac{4(n-1)}{n-2} \Delta \varphi + R \varphi = R' \varphi^{\frac{n+2}{n-2}}$$

$$\frac{4(n-1)}{n-2} \Delta \varphi_q + R \varphi_q = \mu_q \varphi_q^{q-1}, \|\varphi_q\|_q = 1$$

$$R'(x) = \mu_{q_0} \varphi_{q_0}^{q_0-N}(x),$$

the scalar curvature  $R'$  is everywhere strictly positive. Moreover we can prove that  $\mu > 0$ . Indeed the functional  $J'$  corresponding to  $g'$  satisfies

$$\begin{aligned} J'(\psi) &\geq \inf_{x \in M} \left[ 4 \frac{n-1}{n-2}, R'(x) \right] \left[ \int_M g' i_j \nabla_i \psi \nabla_j \psi dV' + \int_M \psi^2 dV' \right] \\ &\quad \times \left[ \int \psi^N dV' \right]^{-2/N}. \end{aligned}$$

Since we have the Sobolev imbedding theorem which holds for  $M_n$  a complete manifold with bounded curvature and injectivity radius  $\delta > 0$ . Moreover, for any  $\varepsilon > 0$ , there exists a constant  $A_q(\varepsilon)$  such that every  $\varphi \in H_1^q(M_n)$  satisfies:  $\|\varphi\|_p \leq [K(n, q) + \varepsilon] \|\nabla \varphi\|_q + A_q(\varepsilon) \|\varphi\|_q$ , with  $1/p = 1/q - 1/n > 0$ , where  $K(n, q)$  is the smallest constant having this property.

And we have  $\frac{1}{N} = \frac{1}{2} - \frac{1}{n} = \frac{n-2}{2n} > 0$ , so according to the Sobolev imbedding theorem,  $J'(\psi) \geq \text{Const} > 0$  for all  $\psi \in H_1$ . Thus  $\mu' > 0$  and we have  $\mu = \mu'$ .

$\beta$ ) The null case ( $\mu = 0$ ). If  $\mu_{q_0} = 0$ , by (11) the scalar curvature  $R'$  vanishes, and  $\mu_q = 0$  for all  $q \in ]2, N[$ , because for all  $\psi$  and  $q$ ,  $J_q(\psi) \geq 0$ .

$\gamma$ ) The negative case ( $\mu < 0$ ). If  $\mu_{q_0} < 0$  there exists a  $\psi \in C^\infty$  such that  $J_{q_0}(\psi) < 0$ . Hence  $J_q(\psi) < 0$  for all  $q \in [2, N]$  and  $\mu_q < 0$ . In particular,  $\mu < 0$ . Moreover, because the volume is 1 we use holder inequality to get that  $\mu_q \leq J_q(\psi) = J(\psi) \|\psi\|_N^2 \|\psi\|_q^{-2} \leq J(\psi)$ . Thus  $\mu_q (q \in [2, N])$  is bounded away from zero.

Now we are able to prove very simply that the functions  $\varphi_q (q \in ]q_0, N[)$  are uniformly bounded with  $q_0 \in ]2, N[$ . At a point  $P$  where  $\varphi_q$  is maximum  $\Delta \varphi_q \geq 0$ , hence  $\mu_q \varphi_q^{q-1}(P) \geq R(P) \varphi_q(P)$ . We find at once that  $\varphi_q^{q-2} \leq |\inf R| |J(\psi)|^{-1}$  and  $\varphi_q \leq 1 + [|\inf R| |J(\psi)|^{-1}]^{1/(q_0-2)}$ . By Green function,  $\varphi_q$  satisfies

$$(1) \quad \varphi_q(P) = \int_M \varphi_q(Q) dV(Q) + \int_M G(P, Q) \frac{n-2}{4(n-1)} [\mu_q \varphi_q^{q-1}(Q) - R(Q) \varphi_q(Q)] dV(Q)$$

Differentiating (1) yields  $\varphi_q \in C^1$  uniformly, and according to Ascoli's theorem, it is possible to exhibit a sequence  $\varphi_{q_i}$  with  $q_i \rightarrow N$ , such that  $\varphi_{q_i}$  converges uniformly to a nonnegative function  $\varphi_N$ . But  $0 > \mu_q \geq \inf R(x) \|\varphi_q\|_2^2 \geq \inf R(x)$ . Therefore a subsequence  $\mu_{q_i}$  converges to a real

number  $\nu$  (in fact  $\mu_q$  is a continuous function of  $q$  for  $q \in ]2, N]$  by Proposition 5.10, so  $\mu = \nu$ ). Letting  $q_i \rightarrow N$  in (1), shows that  $\varphi_N$  is a weak solution of

$$(2) \quad 4 \frac{n-1}{n-2} \Delta \varphi_N + R \varphi_N = \nu \varphi_N^{N-1}$$

Since  $\|\varphi_q\|_q = 1, \|\varphi_N\|_N = 1$ . Multiplying (2) by  $\varphi_N$  and integrating yield  $J(\varphi_N) = \nu$ .

The second term in (2) is continuous; thus, by (1),  $\varphi_N \in C^1$ . Now apply the regularity theorem 3.54: the second member of (13) is  $C^1$ ; thus  $\varphi_N \in C^2$ . Now according to Proposition 3.75  $\varphi_N$  is strictly positive everywhere, since  $\|\varphi_N\|_N = 1$  implies  $\varphi_N \not\equiv 0$ . We can use the regularity theorem again to prove by induction that  $\varphi_N \in C^\infty$ . Thus the  $C^\infty$  function  $\varphi_N > 0$  satisfies

$$4((n-1)/(n-2))\Delta\varphi + R\varphi = R'\varphi^{(n+2)/(n-2)}$$

with  $R' = \text{Const}$  (in fact,  $R' = \mu$ ). In the negative case it is therefore possible to make the scalar curvature constant and negative.

**Positive case Definition** Recall  $\mu = \inf J(\varphi)$  for all  $\varphi \in H_1, \varphi \neq 0, J(\varphi)$  being the Yamabe functional.

We have the basic theorem

**Theorem 5.11**  $\mu \leq n(n-1)\omega_n^{2/n}$ . If  $\mu < n(n-1)\omega_n^{2/n}$ , there exists a strictly positive solution  $\tilde{\varphi} \in C^\infty$  of (1) with  $\tilde{R} = \mu$  and  $\|\tilde{\varphi}\|_N = 1$ . Here  $\tilde{R}$  is the scalar curvature of  $(M_n, \tilde{g})$  with  $\tilde{g} = \tilde{\varphi}^{4/(n-2)}g$  and  $\omega_n$  is the volume of the unit sphere of radius 1 and dimension  $n$ .

*Proof.* (α) First we need sobolev imbedding theorem **Theorem (Aubin [13] or [17], see also Talenti (257))** If  $1 \leq q < n$ , all  $\varphi \in H_1^q(\mathbb{R}^n)$  satisfy: (6)

$$\|\varphi\|_p \leq K(n, q) \|\nabla \varphi\|_q,$$

with  $1/p = 1/q - 1/n$  and

$$K(n, q) = \frac{q-1}{n-q} \left[ \frac{n-q}{n(q-1)} \right]^{1,q} \left[ \frac{\Gamma(n+1)}{\Gamma(n/q)\Gamma(n+1-n/q)\omega_{n-1}} \right]^{1/n}$$

for  $1 < q < n$ , and

$$K(n, 1) = \frac{1}{n} \left[ \frac{n}{\omega_{n-1}} \right]^{1/n}.$$

$K(n, q)$  is the norm of the imbedding  $H_1^q \subset L_p$ , and it is attained by the functions

$$\varphi(x) = \left( \lambda + \|x\|^{q/(q-1)} \right)^{1-n/q},$$

where  $\lambda$  is any positive real number.

And we need

**Theorem 2.21** The Sobolev imbedding theorem holds for  $M_n$  a complete manifold with bounded curvature and injectivity radius  $\delta > 0$ . Moreover,

for any  $\varepsilon > 0$ , there exists a constant  $A_q(\varepsilon)$  such that every  $\varphi \in H_1^q(M_n)$  satisfies:

$$\|\varphi\|_p \leq [K(n, q) + \varepsilon] \|\nabla \varphi\|_q + A_q(\varepsilon) \|\varphi\|_q$$

, with  $1/p = 1/q - 1/n > 0$ , where  $K(n, q)$  is the smallest constant having this property.

So

$$K(n, 2) = 2(\omega_n)^{-1/n} [n(n-2)]^{-1/2}$$

is the best constant in the Sobolev inequality. By theorem (2.21), the best constant is the same for all compact manifolds. Thus by taking  $\psi_i$  as the type of  $\varphi(x) = (\lambda + \|x\|^{q/(q-1)})^{1-n/q}$ , there exists a sequence of  $C^\infty$  functions  $\psi_i$  such that

$$\|\psi_i\|_N = 1, \|\psi_i\|_2 \rightarrow 0 \text{ and } \|\nabla \psi_i\|_2 \rightarrow K^{-1}(n, 2)$$

when  $i \rightarrow +\infty$ . Therefore  $J(\psi_i) \rightarrow n(n-1)\omega_n^{2/n}$  and  $\mu \leq n(n-1)\omega_n^{2/n}$

( $\beta$ ) Let us again consider the set of functions  $\varphi_q (q \in ]2, N[)$  which are solutions of

$$4 \frac{n-1}{n-2} \Delta \varphi_q + R \varphi_q = \mu_q \varphi_q^{q-1}$$

and  $\|\varphi_q\|_q = 1$ . This set is bounded in  $H_1$  since we have  $\|\varphi_q\|_2 \leq 1$  and

$$4 \frac{n-1}{n-2} \|\nabla \varphi_q\|_2^2 \leq \mu_q + \sup |R| \leq \int R dV + \sup |R|.$$

Therefore there exists  $\varphi_0 \in H_1$  and a sequence  $q_i \rightarrow N$  such that  $\varphi_{q_i} \rightarrow \varphi_0$  weakly in  $H_1$  (the unit ball in  $H_1$  is weakly compact), strongly in  $L_2$  (Kondrakov's theorem) and almost everywhere (Proposition 3.43). The weak limit in  $H_1$  is the same as that in  $L_2$  because  $H_1$  is continuously imbedded in  $L_2$ , and strong convergence implies weak convergence.

( $\gamma$ ) First we need **Theorem 3.45** Let  $1 < p < \infty$  and  $\{f_k\}$  be a bounded sequence in  $L_p(\mathcal{H})$ , converging pointwise almost everywhere to  $f$ . Then  $f$  belongs to  $L_p$  and  $f_k$  converges to  $f$  weakly in  $L_p$ . The result does not hold for  $p = 1$ , of course (see below).

$\gamma$ ) Since  $\varphi_{q_i}$  satisfies for all  $\psi \in H_1$  :

$$4 \frac{n-1}{n-2} \int \nabla^\nu \psi \nabla_\nu \varphi_{q_i} dV + \int R \psi \varphi_{q_i} dV = \mu_{q_i} \int \psi \varphi_{q_i}^{q_i-1} dV$$

Letting  $q_i \rightarrow N$  gives us

$$(3) \quad 4 \frac{n-1}{n-2} \int \nabla^\nu \psi \nabla_\nu \varphi_0 dV + \int R \psi \varphi_0 dV = \mu \int \psi \varphi_0^{N-1} dV$$

Indeed, according to Theorem 3.45,  $\varphi_{q_i}^{q_i-1}$  converges weakly to  $\varphi_0^{N-1}$  in  $L_{N/(N-1)}$  since  $\varphi_{q_i}^{q_i-1} \rightarrow \varphi_0^{N-1}$  almost everywhere and

$$\begin{aligned} \|\varphi_{q_i}^{q_i-1}\|_{N/(N-1)} &= \|\varphi_{q_i}\|_{(q_i-1)N/(N-1)}^{q_i-1} \leq \|\varphi_{q_i}\|_N^{q_i-1} \leq \text{Const} \\ &\times \|\varphi_{q_i}\|_{H_1}^{q_i-1} \leq \text{Const} \end{aligned}$$

Therefore  $\varphi_0$  satisfies (3) for all  $\psi \in H_1 \subset L_N$ . Then we need Trudinger's theorem ([262] p.271)  $\varphi_0 \in C^\infty$  and satisfies (1) with  $R' = \mu$ . **Trudinger's theorem** Let  $u$  be a  $W_2^1(M)$  solution of  $\frac{4(n-1)}{n-2}\Delta u - Ru = -\bar{R}u^{\frac{n+2}{n-2}}$ . Then  $u \in C^\infty(M)$ .

The function  $u$  satisfies

$$(4) \quad \int_M \left( \frac{4(n-1)}{n-2} g^{ij} u_i \xi_j + Ru \xi \right) dV = \bar{R} \int_M |u|^{N-1} \xi dV$$

for all  $\xi \in W_2^1(M)$ , then construct a test function.

Define  $\bar{u} = \sup(u, 0)$  and for a fixed  $\beta > 1$  define the functions

$$G(\bar{u}) = \begin{cases} \bar{u}^\beta & \text{if } \bar{u} \leq l \\ l^{q-1} (ql^{q-1}\bar{u} - (q-1)l^q) & \text{if } \bar{u} > l \end{cases}$$

$$F(\bar{u}) = \begin{cases} \bar{u}^q & \text{if } \bar{u} \leq l \\ ql^{q-1}\bar{u} - (q-1)l^q & \text{if } \bar{u} > l \end{cases}$$

where  $2q = \beta + 1$ .

The function  $G(\bar{u})$  is a uniformly Lipschitz continuous function of  $u$  and hence belongs to  $W_2^1(M)$ . Likewise  $F(\bar{u})$ . Observe also that  $G$  and  $F$  vanish for negative  $u$  and that

$$(F'(\bar{u}))^2 \leq qG'(\bar{u}), \quad (F(\bar{u}))^2 \geq \bar{u}G(\bar{u}).$$

Let us now substitute in (??) test functions

$$\xi = \eta^2 G(\bar{u})$$

Since we have

$$(5) \quad \frac{4(n-1)\mu}{n-2} \int_M \eta^2 G'(\bar{u}) u_i^2 dV = \int_M \bar{R} |u|^{N-1} \eta^2 G(\bar{u}) - \frac{4(n-1)}{n-2} g^{ij} u_i (2\eta \eta_j G(\bar{u})) - Ru \eta^2 G(\bar{u}) dV$$

And since  $M$  is compact we have

$$- \int_M \eta u_i G = \int_M u (\eta_i G + \eta G_i) = \int_M u \eta_i G + \int_M u \eta G_i$$

then I compute the last term

$$\int_M u \eta G_i = \int_M u \eta G'(\bar{u}) u_i \leq \int_M \left( \frac{u^2 + u_i^2}{2} \right) \eta G'(\bar{u})$$

then put the  $\int_M \frac{u_i^2}{2} \eta G'(\bar{u})$  to the LHS of (5).

And notice that

$$G'(\bar{u}) = \begin{cases} \beta \bar{u}^{\beta-1} & \text{if } \bar{u} \leq l \\ l^{q-1} (ql^{q-1}) & \text{if } \bar{u} > l \end{cases}$$

So we have

$$\begin{cases} \frac{\bar{u}G'(\bar{u})}{\beta} = G(\bar{u}) & \bar{u} \leq l \\ \bar{u}G'(\bar{u}) - C_0 = G(\bar{u}) & \bar{u} > l \end{cases}$$

so we can transform  $u^2\eta G'(\bar{u})$ , and hence

$$\int_M \eta^2 G'(\bar{u}) u_i^2 dv \leq C \int_M \left\{ \left( |\eta_i|^2 + \eta^2 \right) (\bar{u}) G(\bar{u}) + \eta^2 \bar{u}^{N-2} \bar{u} G(\bar{u}) \right\} dv$$

where  $C = C(v, n, \sup |g^{ij}|, R, \bar{R})$ .

We then obtain

$$(6) \quad \int_M \eta^2 F_i^2 dv \leq Cq \int_M \left\{ \left( |\eta_i|^2 + \eta^2 \right) F^2 + \bar{u}^{N-2} \eta^2 F^2 \right\} dV$$

Let us take  $\eta$  now to have compact support in a coordinate patch of  $M$ . The integrals in (6) may then be replaced by integrals over a sphere  $S_R$  in  $E^n$  of radius  $R$  where  $\eta = \eta(x) \in C_0^1(R)$ . We choose  $R$  so that

$$\int_{S_R} |u|^N dv \leq (2Cq)^{-1}$$

Then applying the Holder and Sobolev inequalities to (6) we obtain

$$\|\eta F\|_{L_N(S)} \leq Cq \|(\eta + |\eta_i|) F\|_{L_2(S)} + \frac{1}{2} \|\eta F\|_{L_N(S)}$$

and hence

$$(7) \quad \|\eta F\|_{L_N(S)} \leq 2Cq \|(\eta + |\eta_i|) F\|_{L_2(S)}$$

We choose  $\beta$  as  $1 < \beta < (n+2)/(n-2)$  so that  $2q < N$ . Hence we may let  $l \rightarrow \infty$  in to obtain the estimate

$$\|\eta \bar{u}^q\|_{L_N(S)} \leq C \|(\eta + |\eta_i|) \bar{u}^q\|_{L_2(S)}.$$

Let  $S_{R/2}$  denote the sphere concentric to  $S$  of radius  $R/2$  and choose  $\eta = 1$  on  $S_{R/2}$ ,  $|\eta_i| \leq 2/R$  on  $S_R$ . Then we obtain

$$\|\bar{u}^q\|_{L_N(S_{R/2})} \leq C (1 + R^{-1})$$

Replacing  $u$  by  $-u$ , we obtain (24) also for the function  $u$  and employing a partition of unity clearly provides a global estimate

$$\|u\|_{L^r(M)} \leq C$$

for some  $r > N$  where  $C$  will also depend on the local  $L_N$  norms of  $u$ . The boundedness of  $u$  and subsequently its smoothness are now consequences of the Lemma which said that let  $u$  be a weak  $(W_2^1(M))$ , non negative, solution in  $M$  of the linear equation

$$\Delta u + fu = 0$$

where  $f \in L_r(M)$ ,  $r > n/2$ . Then  $u$  is positive and bounded and we have the estimates

$$\sup_M |u|, |u|^{-1} \leq C(n, \|f\|_{L_r(M)}, \mu, \|u\|_{L_2(M)})$$

( $\delta$ )The problem is not solved yet because the maximum principle implies that either  $\varphi_0 > 0$  everywhere or  $\varphi_0 \equiv 0$ , and for the moment we cannot exclude the latter case. In order to prove that  $\varphi_0$  is not identically zero, we must use Theorem 2.21. We write, using (10),

(8)

$$1 = \|\varphi_q\|_q^2 \leq \|\varphi_q\|_N^2 \leq [K^2(n, 2) + \varepsilon] \frac{n-2}{4(n-1)} \left[ \mu_q - \int R\varphi_q^2 dV \right] + A(\varepsilon) \|\varphi_q\|_2^2$$

where  $\varepsilon > 0$  is arbitrary and  $A(\varepsilon)$  is a constant which depends on  $\varepsilon$ . When  $\mu < n(n-1)\omega_n^{2/n}$ , if we choose  $\varepsilon$  small enough, there exist  $\varepsilon_0 > 0$  and  $\eta > 0$  such that for  $N - q < \eta$ ,

$$(9) \quad 0 < \varepsilon_0 \leq 1 - \left[ \frac{\omega_n^{-2/n}}{n(n-1)} + \varepsilon \frac{n-2}{4(n-1)} \right] \mu_q$$

since  $\mu_q \rightarrow \mu$  when  $q \rightarrow N$ .

In this case, (8) and (9) imply

$$\liminf_{q \rightarrow N} \|\varphi_q\|_2 \geq \text{Const} > 0$$

Because  $\varphi_{q_i}$  converges strongly to  $\varphi_0$  in  $L_2$ ,  $\|\varphi_0\|_2 \neq 0$ . Thus  $\varphi_0 \not\equiv 0$  and  $\varphi_0 > 0$ . Picking  $\psi = \varphi_0$  in (14) gives  $J(\varphi_0) = \mu \|\varphi_0\|_N^{N-2}$ ; thus  $\|\varphi_0\|_N \geq 1$  since  $J(\varphi_0) \geq \mu$ . But since the sequence  $\varphi_{q_i}^{q_i/N}$  of ( $\beta$ ) converges weakly to  $\varphi_0$  in  $L_N$  by Theorem 3.45(3.45 Theorem). Let  $1 < p < \infty$  and  $\{f_k\}$  be a bounded sequence in  $L_p(\mathcal{H})$ , converging pointwise almost everywhere to  $f$ . Then  $f$  belongs to  $L_p$  and  $f_k$  converges to  $f$  weakly in  $L_p$ . The result does not hold for  $p = 1$ , of course.)

$\|\varphi_0\|_N \leq \liminf_{q_i \rightarrow N} \|\varphi_{q_i}\|_{q_i}^{q_i/N}$  by Theorem 3.17(3.17 Theorem). A weakly convergent sequence  $\{x_i\}$  in a normed space  $\mathbb{F}$  has a unique limit  $x$ , is bounded, and

$$\|x\| \leq \liminf_{i \rightarrow \infty} \|x_i\|$$

Hence  $\|\varphi_0\|_N = 1$  and  $J(\varphi_0) = \mu$ . □

## 1. APPENDIX

**THEOREM 2.** There exists a positive constant  $\varepsilon$  (depending on  $g^{ij}, R$ ) such that if  $\lambda < \varepsilon$ , there exists a positive,  $C^\infty$  solution of equation

$$\frac{4(n-1)}{n-2} \Delta u - Ru = -\bar{R}u^{\frac{n+2}{n-2}}$$

with  $\bar{R} = \lambda$ . Thus Yamabe's theorem is true under this assumption on the metric  $g^{ij}$ .

*Proof.* In

$$\int_M \left( \frac{4(n-1)}{n-2} g^{ij} u_{q_i} \xi_j + Ru_q \xi \right) dV = \lambda_q \int_M u_q^{q-1} \xi dV$$

consider the test function

$$\xi(x) = (u_q)^\beta \quad \beta > 1$$

The result is

$$\int_M \left( \frac{4\beta(n-1)}{n-2} g^{ij} u_{qx_i} u_{qx_j} (u_q)^{\beta-1} + R u_q \xi \right) dV = \lambda_q \int_M (u_q)^{\beta-1+q} dV$$

then using elliptic condition

$$\int_M u_q^{\beta-1} |\nabla u_q|^2 dV \leq \frac{n-2}{4(n-1)\mu\beta} \int_M \left( \lambda_q u_q^{\beta-1+q} - R u_q^{\beta+1} \right) dV$$

Writing  $w = (u_q)^{(\beta+1)/2}$  the above inequality becomes

$$\int_M |\nabla w|^2 dv \leq C(\mu, n, \beta) \int_M \left( \lambda_q w^2 (u_q)^{q-2} - R w^2 \right) dV$$

Let us suppose  $\lambda > 0$  and apply the Sobolev and Holder inequalities. We obtain, thus

$$\begin{aligned} \|w\|_{L_N(M)}^2 &\leq C_1(\mu, n, \beta) \lambda_q \|w\|_{L_N(M)}^2 \|u_q\|_{L_N(M)}^{q-2} + C_2(\mu, n, \beta, \sup |R|) \int_M w^2 dV \\ &\leq C_1 \lambda_q \|w\|_{L_N(M)}^2 + C_2 \int_M w^2 dV \end{aligned}$$

since  $\|u_q\|_{L_N(M)}$ , is bounded independently of  $q$ . Hence if  $\lambda < C_1^{-1}$ ,  $\lambda_q < C_1^{-1}$  for large enough  $q$  and we obtain

$$\|w\|_{L_N(M)}^2 \leq C \int_M w^2 dV$$

Now choose  $\beta < N - 1$ . Then we obtain

$$\|w\|_{L_N(M)} \leq C(\mu, n, R)$$

using the **lemma** that let  $u$  be a weak  $(W_2^1(M))$ , non negative, solution in  $M$  of the linear equation

$$\Delta u + f u = 0$$

where  $f \in L_r(M)$ ,  $r > n/2$ . Then  $u$  is positive and bounded and we have the estimates

$$\sup_M |u|, |u|^{-1} \leq C(n, \|f\|_{L_r(M)}, \mu, \|u\|_{L_2(M)})$$

and the  $u_q$  are subsequently equibounded by the Lemma. A subsequence therefore converges, with its derivatives, uniformly to a smooth solution, which is also positive by the Lemma.  $\square$