

# ***Operating systems***

By  
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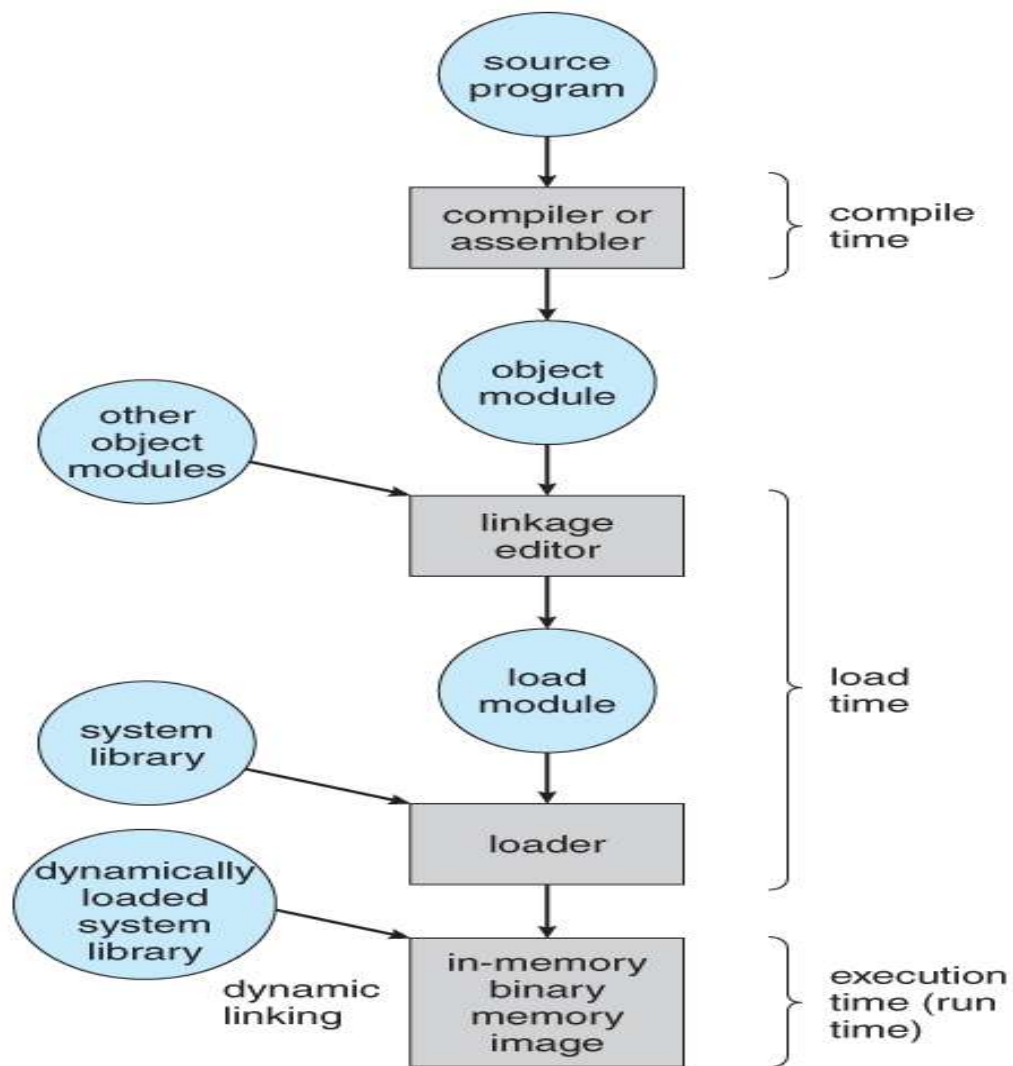
## Memory Management

## Memory Management :

- Memory consists of a **large array of words or bytes**, each with its own address.
- The **CPU fetches** instructions from memory **according** to the value of the **program counter**.
- A typical **instruction-execution cycle**,
- The **memory unit sees** only a **stream** of memory **addresses**; it does not know how they are generated
- we can ignore how a memory address is generated by a program.
  - We are **interested in only the sequence of memory addresses** generated by the running program.

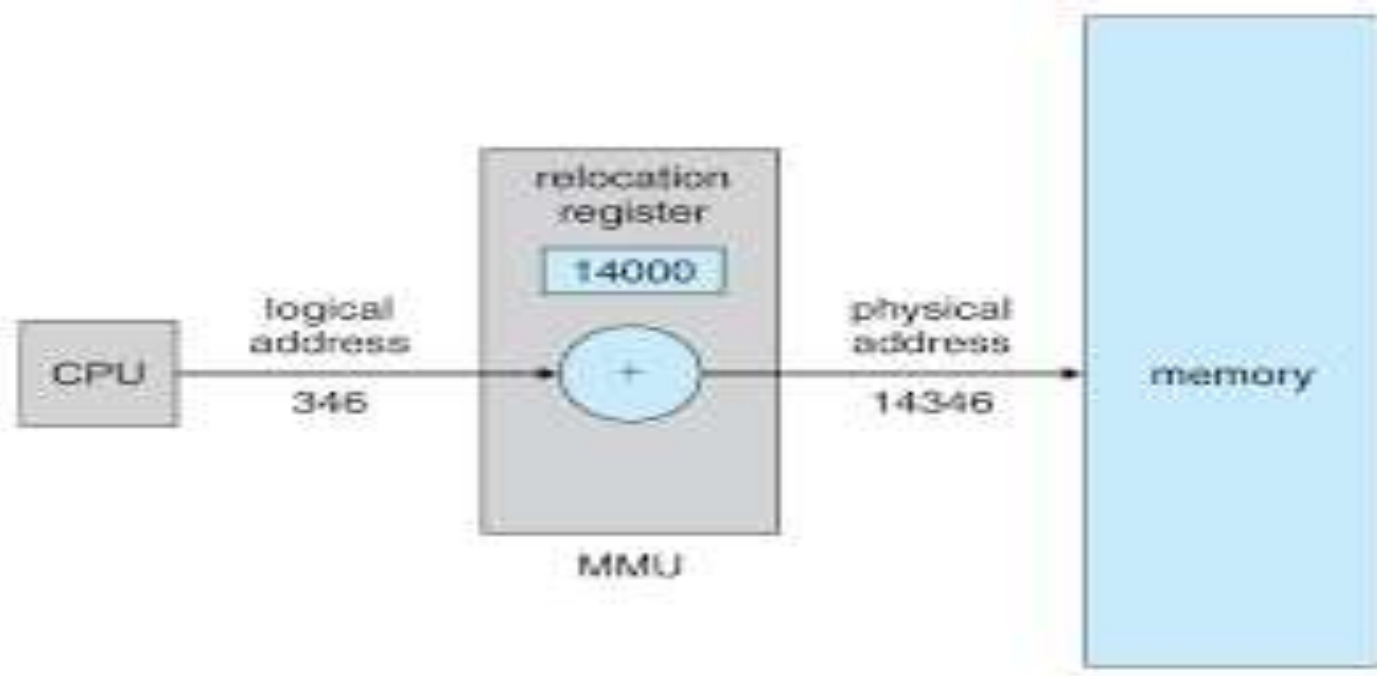
## Address Binding:

- a program resides on a disk as a binary executable file.
- The program must be brought into memory and placed within a process for it to be executed.
- The **collection of processes** on the disk that is **waiting** to be brought into **memory** for execution forms the **input queue**.
- select one of the processes in the input queue and to load that process into memory.



## Logical- Versus Physical-Address Space:

- Address generated by the CPU is commonly referred to as a logical address,
- an address seen by the memory unit-that is, the one loaded into the memory-address register of the memory-is commonly referred to as a physical address.
- usually refer to the logical address as a virtual address.
- set of all logical addresses generated by a program is a logical-address space;
- the set of all physical addresses corresponding to these logical addresses is a physical-address space.
- run-time mapping from virtual to physical addresses is done by a hardware device called the memory-management unit (MMU).
- The final location of a referenced memory address is not determined until the reference is made.



## Dynamic Loading:

- The size of a process is **limited to the size** of physical memory.
- dynamic loading, a routine is **not loaded until it is called**.
- All routines are kept on disk in a relocatable load format.
- The main program is loaded into memory and is executed.

## Dynamic Linking and Shared Libraries:

- **Static linking**, which system language **libraries** are treated like any other **object module** and are combined by the loader into the binary program image.
- **Dynamic linking**, a **stub** is included in the image for each **library-routine reference**.
- stub is a small piece of code that indicates
  - stub is executed, it **checks** to see whether the **needed routine** is already **in memory**.
  - **If not**, the program **loads** the routine into memory.
  - Either way, the **stub replaces itself** with the address of the routine, and executes the routine.
- More than one version of a library may be loaded into memory,
  - each program uses **its version information** to decide which copy of the library to use.
  - Thus, only programs that are compiled with the new library version are affected by the incompatible changes incorporated in it.
- Other programs linked before the new library was installed will continue using the older library. This system is also known as **shared libraries**.

## Overlays:

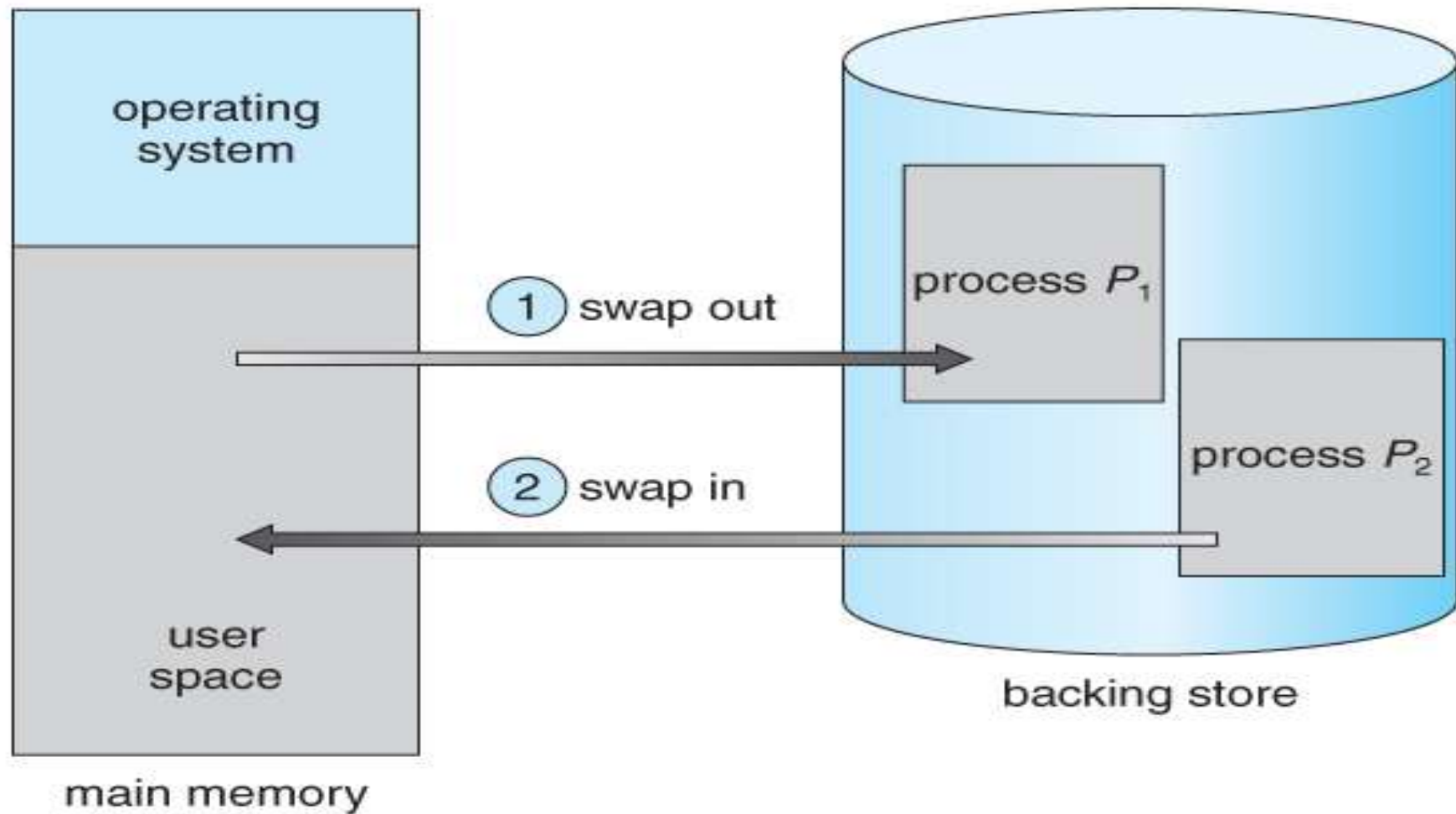
- To enable a process to be **larger than** the amount of memory **allocated** to it
- Idea-
  - to keep in **memory only** those **instructions and data** that are **needed** at any given time.
  - When other instructions are needed, they are **loaded into** space occupied previously by **instructions** that are **no longer needed**.

## Swapping:

- A process needs to be in memory to be executed.
- A process, however, can be swapped temporarily out of memory to a backing store, and then brought back into memory for continued execution.
- A variant of this swapping policy is used for **priority-based scheduling algorithms**
  - When the higher-priority process finishes, the lower-priority process can be swapped back in and continued.
  - This variant of swapping is sometimes called **roll out, roll in**.

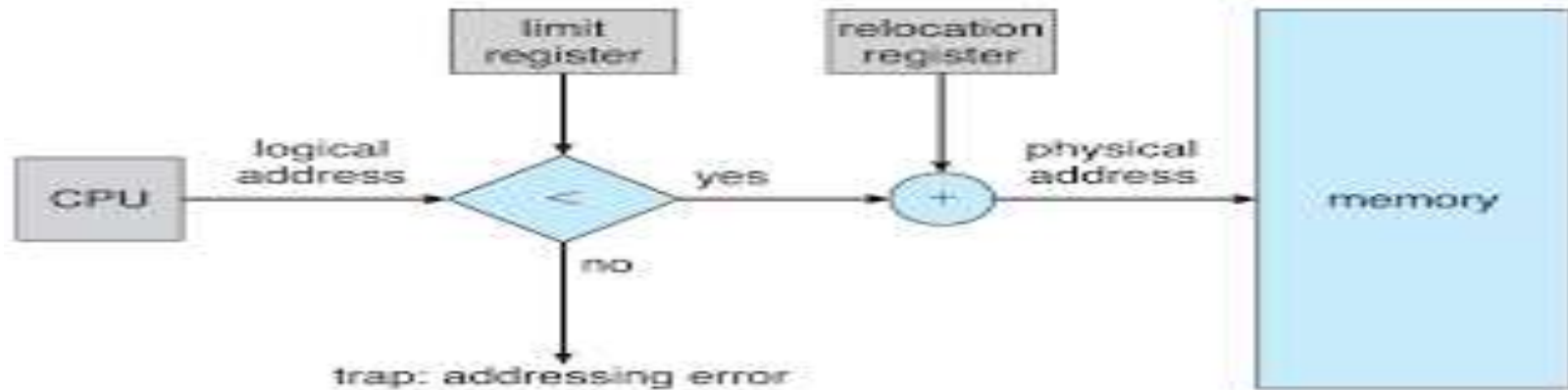
- The **system maintains a ready queue** consisting of all processes whose memory images are on the **backing store** or in memory and **are ready to run**.
- Whenever the CPU scheduler **decides to execute** a process, it calls the **dispatcher**.
- The dispatcher **checks to** see whether the next **process in the queue** is in memory.
- **If not**, and there is no free memory region, the **dispatcher swaps out a process currently in memory and swaps in the desired process**.
- It then reloads registers as normal and transfers control to the selected process.
- The **context-switch** time in such a **swapping system** is fairly **high**.
- To get an idea of the **context-switch time**,
  - let us assume that the **user process** is of size **1MB**
  - the backing store is a standard hard disk with **a transfer rate of 5 MB** per second.
  - The **actual transfer** of the **1 MB process to or from memory** takes
$$1000 \text{ KB} / 5000 \text{ KB per second} = 1 / 5 \text{ second} = 200 \text{ milliseconds.}$$





# Contiguous Memory Allocation:

- The memory is usually divided into **two partitions**:
  - one for the resident **operating system**, and one for the **user processes**.
  - We may place the operating system in either low memory or high memory.
- **contiguous** memory allocation, each **process** is contained in a **single contiguous section** of memory.
- Issue-**protecting** the **operating system** from **user processes**, and protecting **user processes** from one another.
- provide this protection by using a **relocation register**, with a **limit register**,



# Memory Allocation:

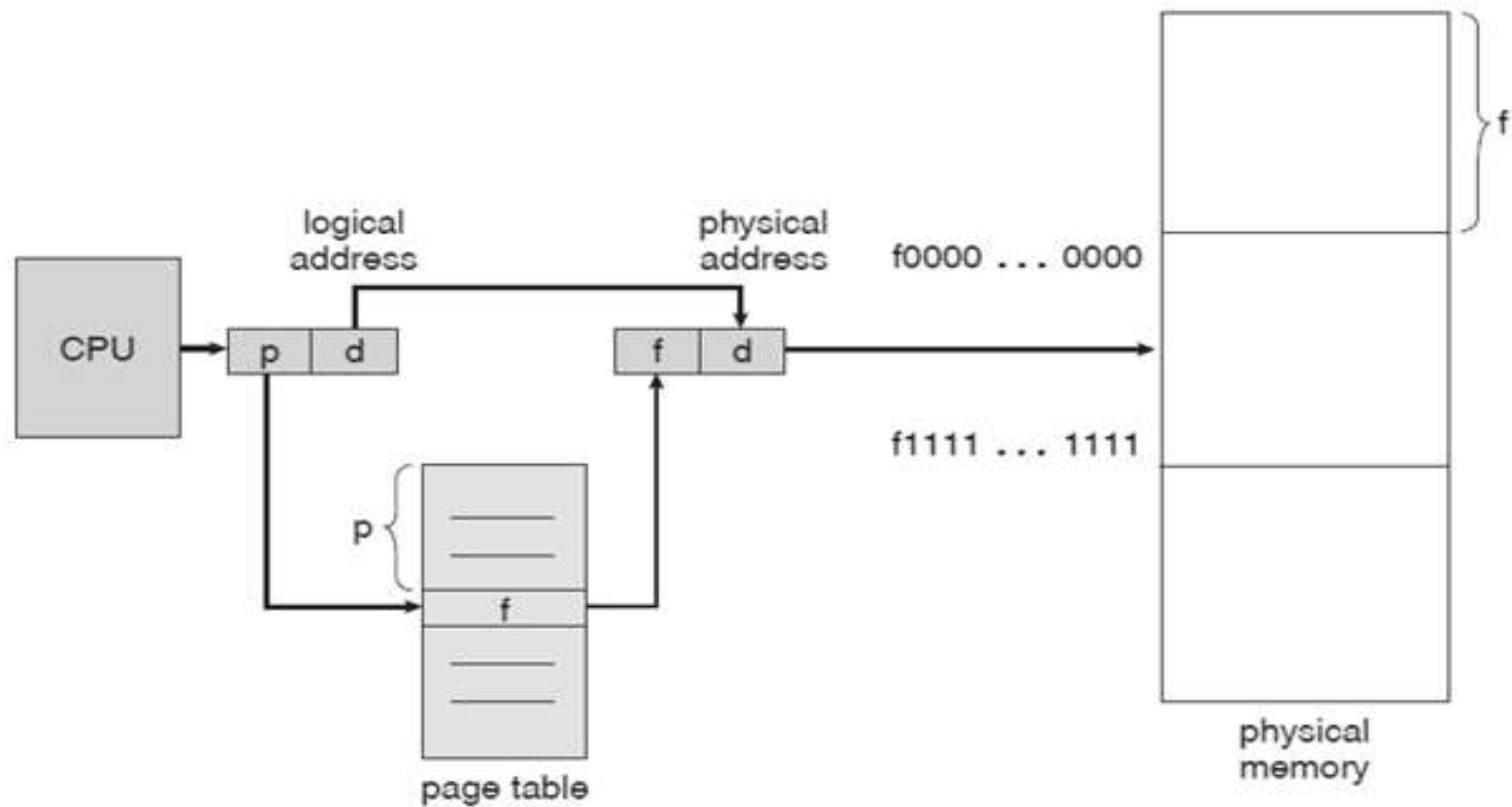
- simplest method for memory allocation (called **MFT**) is
  - to divide memory into **several fixed-sized partitions**.
  - Each partition may contain exactly one process
  - the degree of multiprogramming is bound by the number of partitions.
  - **it is no longer in use.**
- a **generalization** of the fixed-partition scheme (called **MVT**);
  - it is used primarily in a batch environment.
  - The operating system keeps a table indicating which parts of memory are available and which are occupied.
  - initially, all memory is available for user processes, and is considered as one **large block** of available memory, a **hole**.
  - When a **process** arrives and **needs memory**, we search for a hole large enough for this process.
  - **If we find** one, we **allocate** only as much memory as is needed,
  - **keeping** the **rest** available to satisfy **future** requests.
- how to satisfy **a request of size n** from a list of **free holes**.
  - **Firstfit**: Allocate the **first hole** that is **big enough**.
  - **Bestfit**: Allocate the **smallest hole** that is **big enough**.
  - **Worst fit**: Allocate the **largest hole**.that is **big enough**.

## Fragmentation:

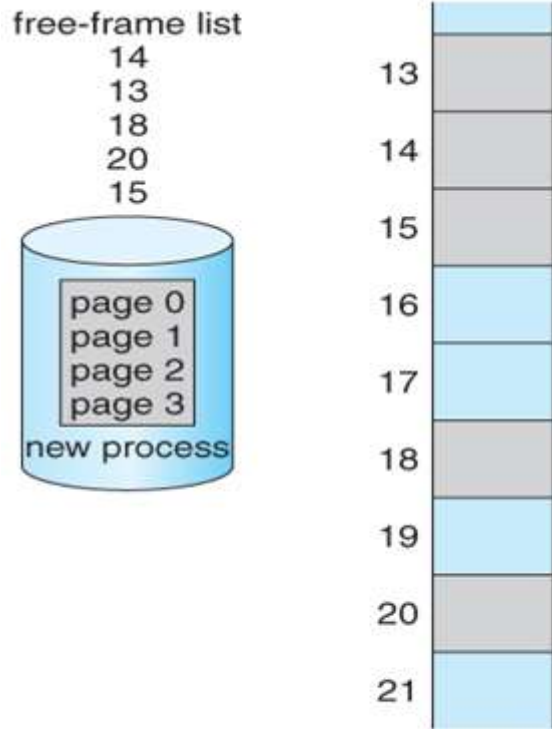
- The memory **allocated** to a process may be slightly **larger than** the **requested** memory.
- The **difference** between these two numbers is **internal fragmentation**-memory that is internal to a partition but is **not being used**.
- **External fragmentation** exists when enough **total memory space** exists to satisfy a request, but it is **not contiguous**;
  - storage is fragmented into a large number of small holes.
- One **solution** to the problem of external fragmentation is **compaction**.
- Compaction algorithm is simply to **move all processes** toward **one end of memory**; all holes move in the other direction, producing one large hole of available memory.
  - This scheme can be **expensive**.
- Another possible **solution** to the external-fragmentation problem is to permit the **logical address space** of a process to be **noncontiguous**,
- solution: **paging and segmentation** .These techniques can also be **combined**

## Paging:

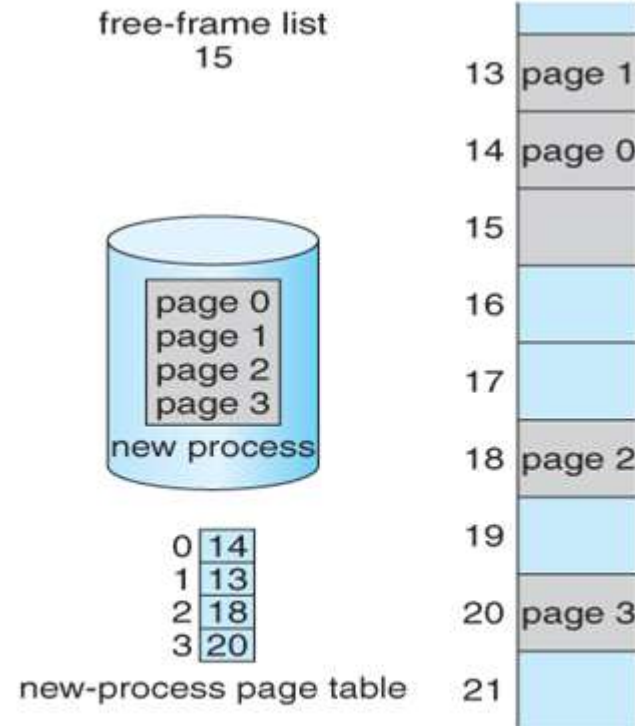
- **Physical** memory is broken into fixed-sized blocks called **frames**.
- **Logical memory** is also broken into blocks of the same size called **pages**.
- When a process is to be executed, its **pages are loaded** into any available memory frames **from the backing store**.
- The **backing store** is divided into **fixed-sized blocks** that are of **the same size** as the memory **frames**.
- Every **address generated by the CPU** is divided into **two parts**:  
**a page number (p) and a page offset (d)**.
- The **page number** is used as an **index into a page table**.
- The **page size (like the frame size)** is defined by the hardware.
  - The size of a page is **typically a power of 2**, varying between **512 bytes and 16 MB** per page, depending on the computer architecture.
- we have **no external fragmentation**: **Any free frame can be allocated to a process** that needs it. However, we may have **some internal fragmentation**.



- An important aspect of paging is the **clear separation between the user's view of memory and the actual physical memory**



(a)

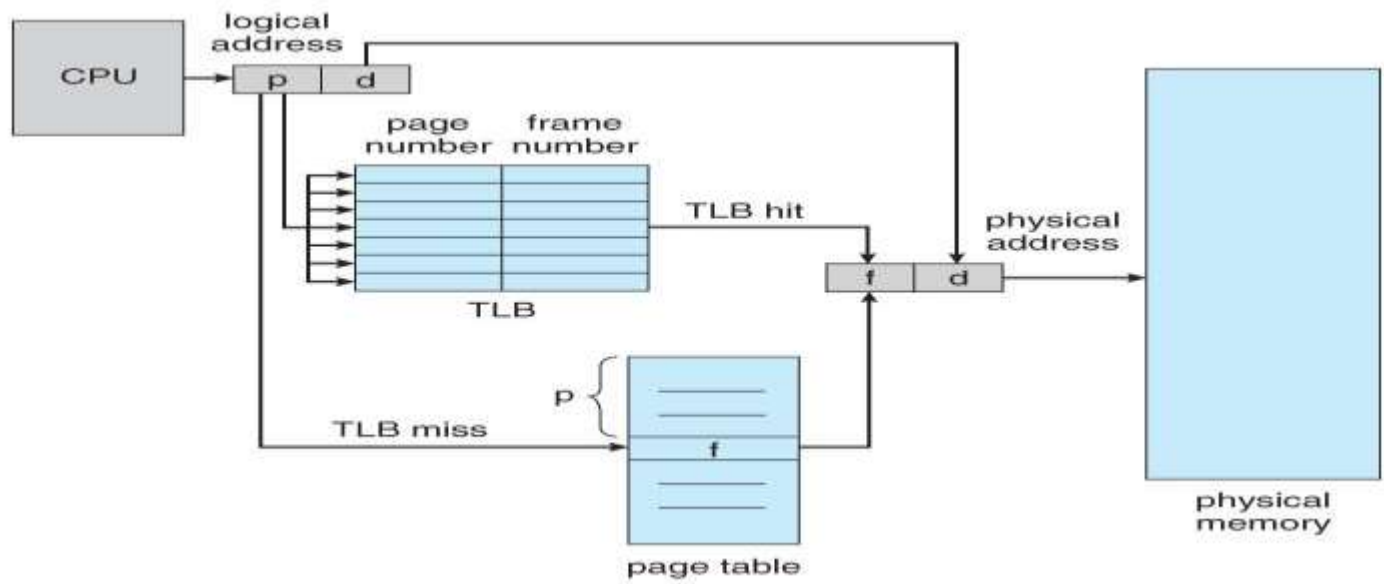


(b)

# Hardware Support

to provide hardware support for this operation, in order to make it as fast as possible and to make process switches as fast as possible also

- to use a set of registers for the page table.
- to store the page table in main memory, and to use a single register ( called the *page-table base register, PTBR* ) to record where in memory the page table is locate
- to use a very special high-speed memory device called the *translation look-aside buffer, TLB*





The percentage of times that a particular page number is found in the TLB is called the **hit ratio**.

- An 80-percent hit ratio means that we find the desired page number in the TLB 80 percent of the time.
- If it takes 20 nanoseconds to search the TLB, and 100 nanoseconds to access memory,
- then a mapped memory access takes 120 nanoseconds when the page number is in the TLB

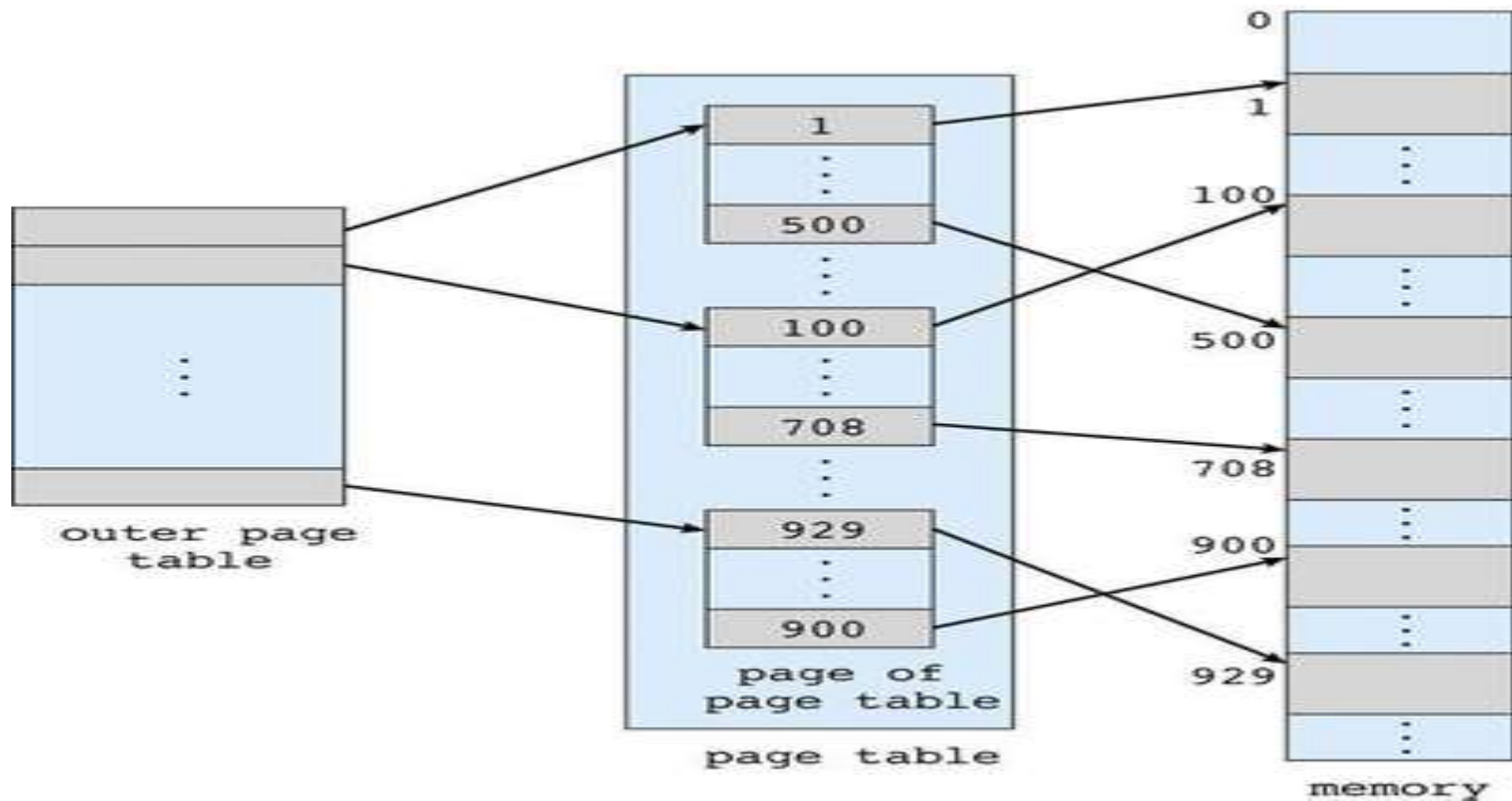
$$\begin{aligned}\text{Effective access Time} &= \text{Hit time} + \text{miss time} \\ &= (0.80 \times 120) + (0.20 \times 220) \\ &= 140 \text{ nano seconds}\end{aligned}$$

### Protection:

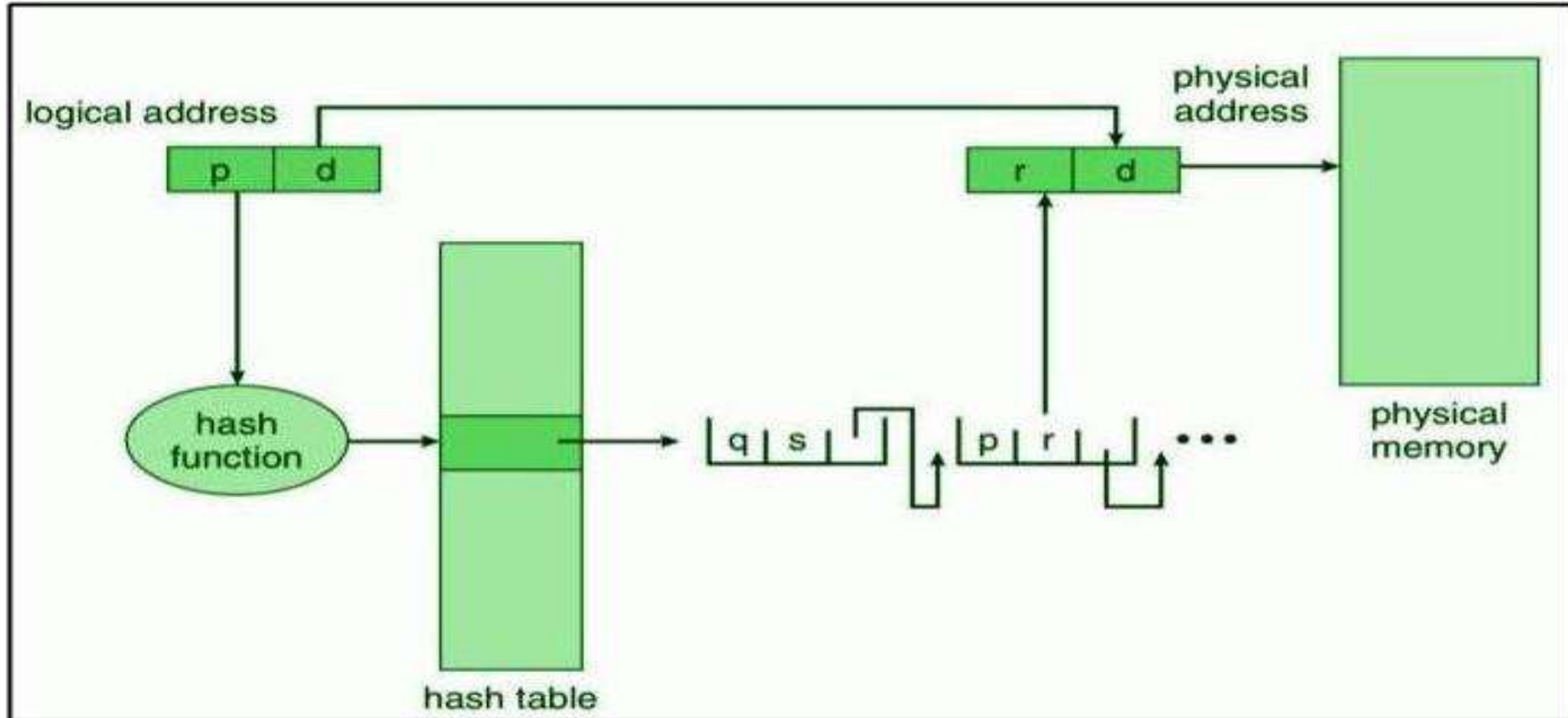
Memory protection in a paged environment is accomplished by protection bits that are associated with each frame. Normally, these bits are kept in the page table.

Structure of the Page Table:

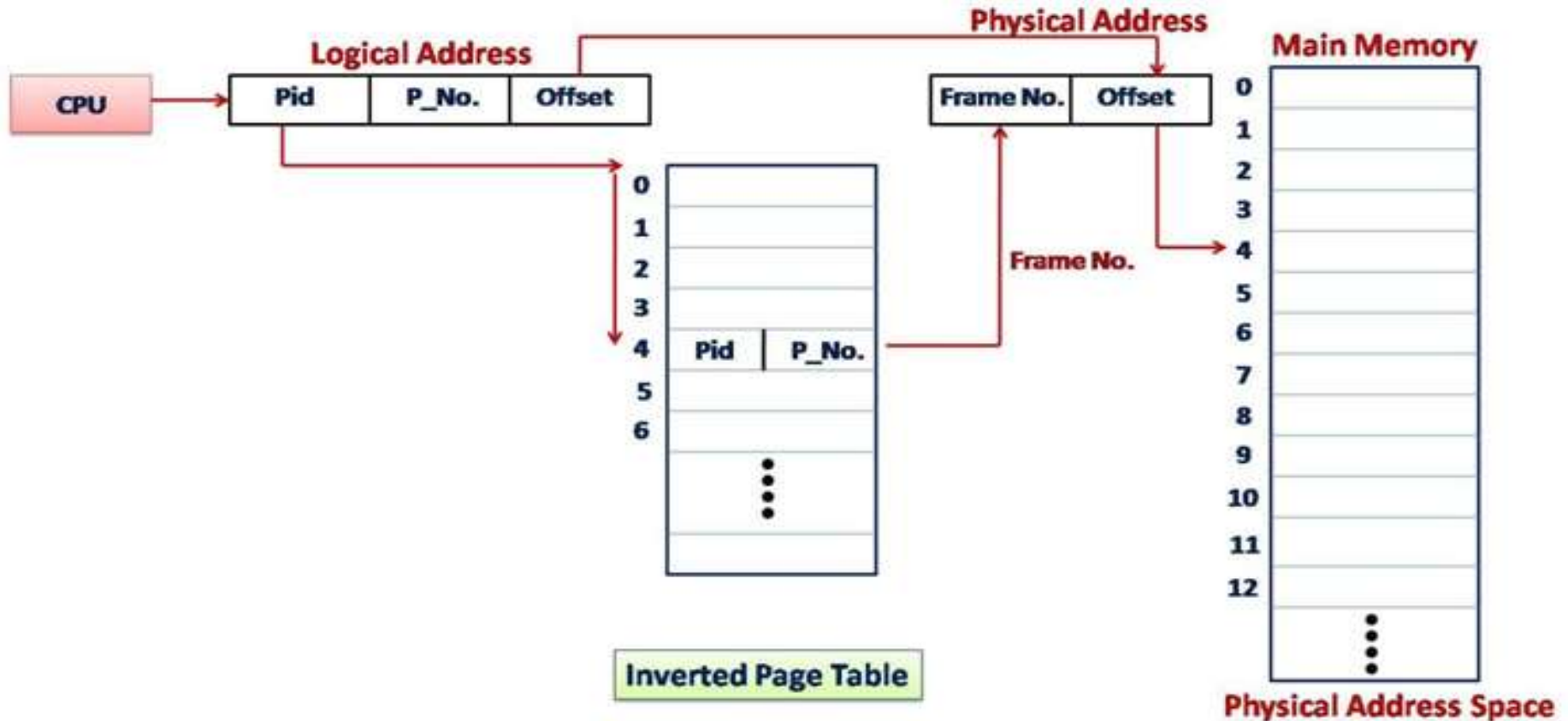
Hierarchical Paging:



# Hashed Page Tables:

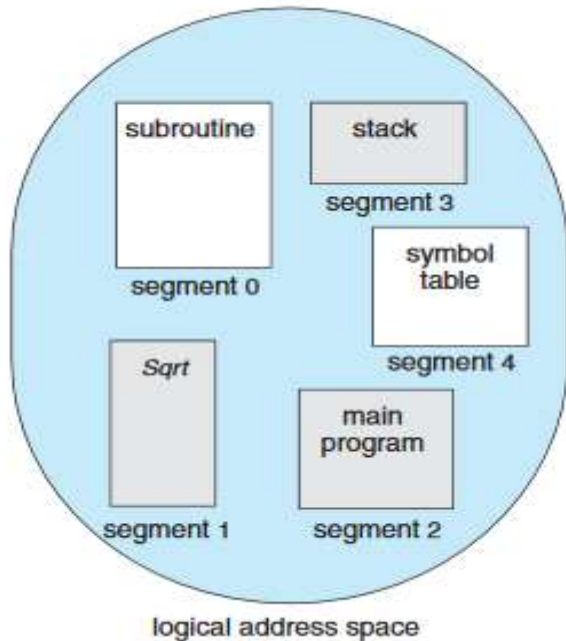


# Inverted Page Table:



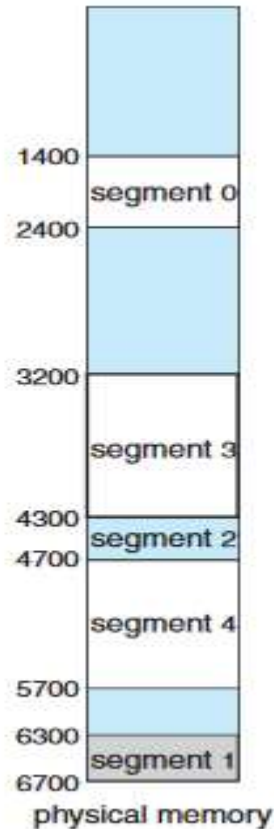
# Segmentation

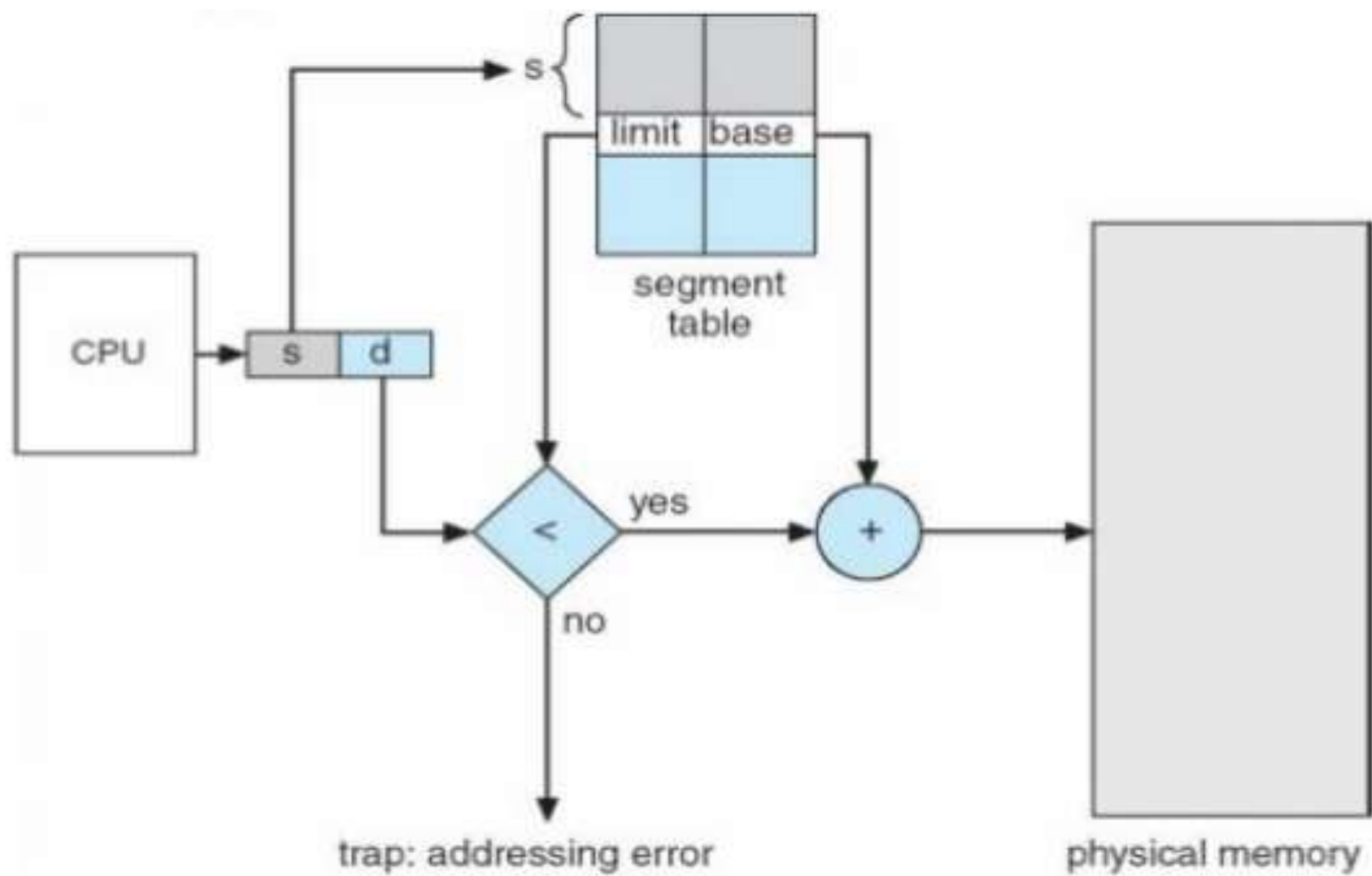
- paging is the separation of the user's view of memory and the actual physical memory.
- The user's view of memory is not the same as the actual physical memory.



	limit	base
0	1000	1400
1	400	6300
2	400	4300
3	1100	3200
4	1000	4700

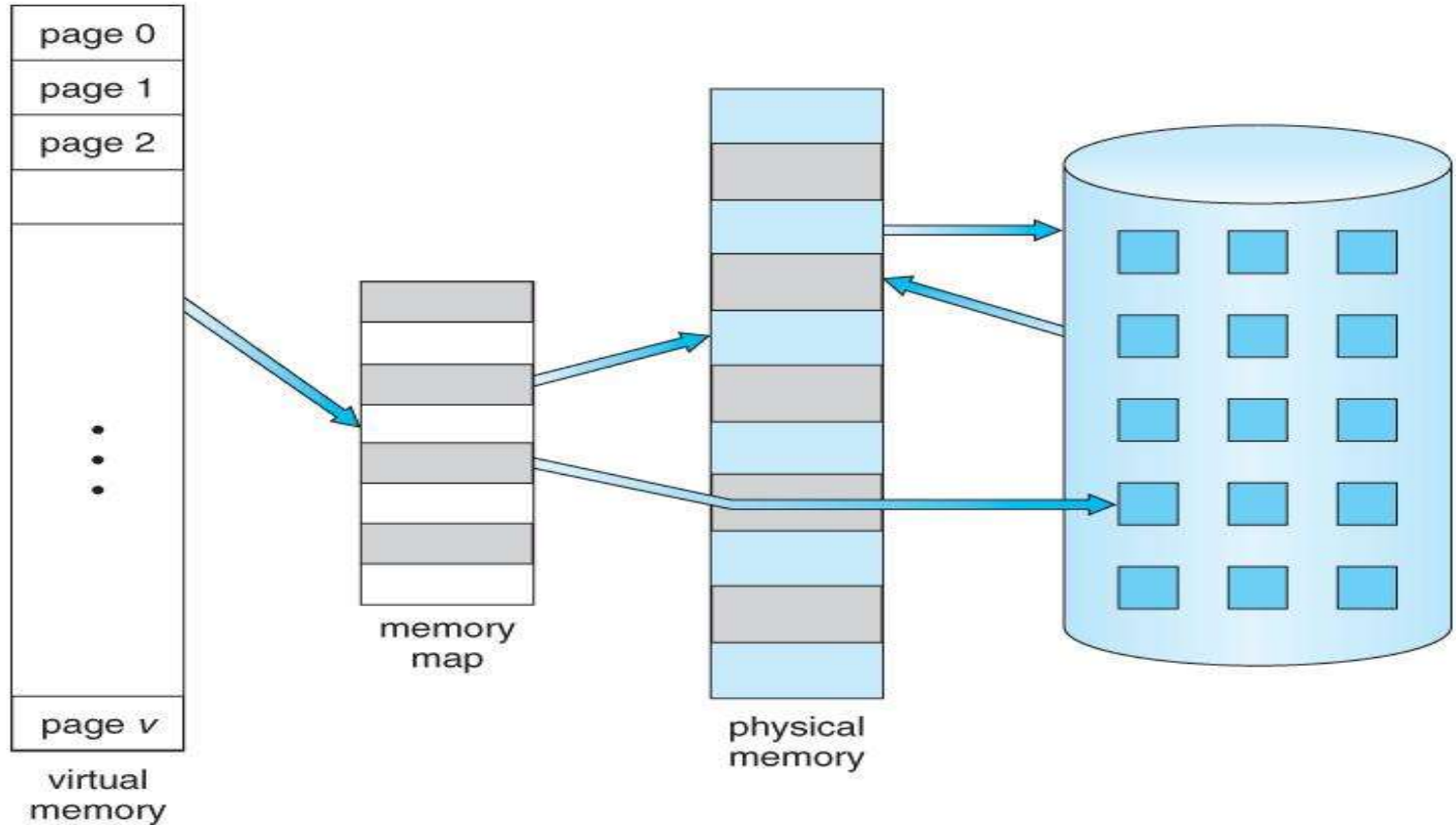
segment table





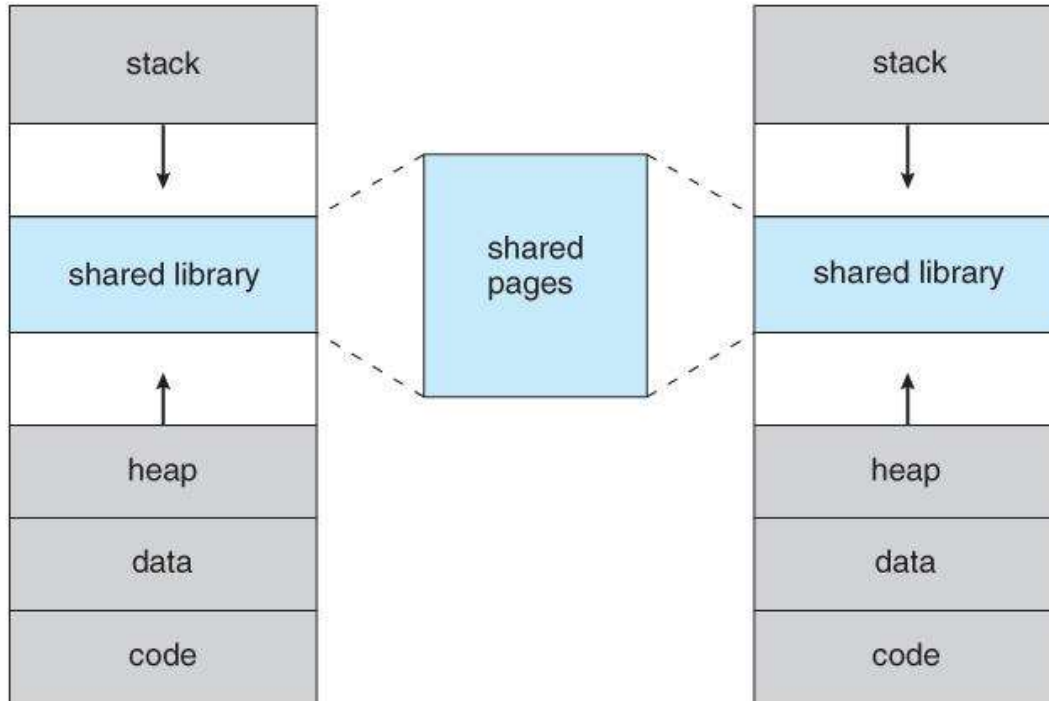
# Virtual memory

- is a technique that allows the execution of processes that may not be completely in memory



Virtual memory also allows the sharing of files and memory by multiple processes, with several benefits:

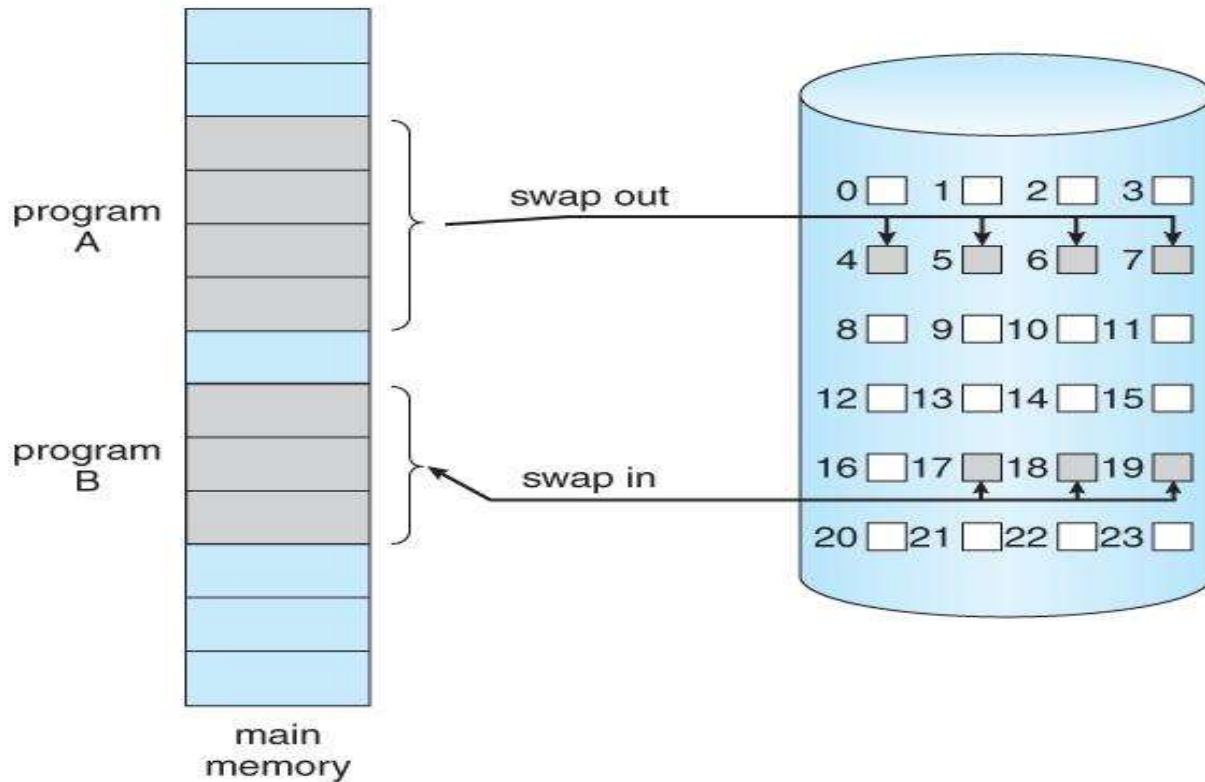
- System libraries
- Processes can also share virtual memory by mapping the same block of memory to more than one process.
- Process pages can be shared during a `fork( )` system call,

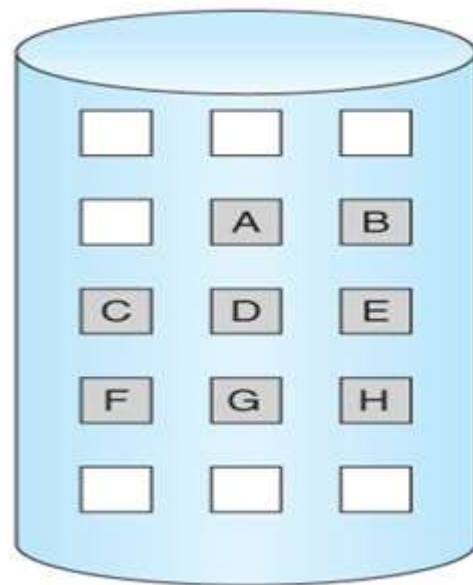
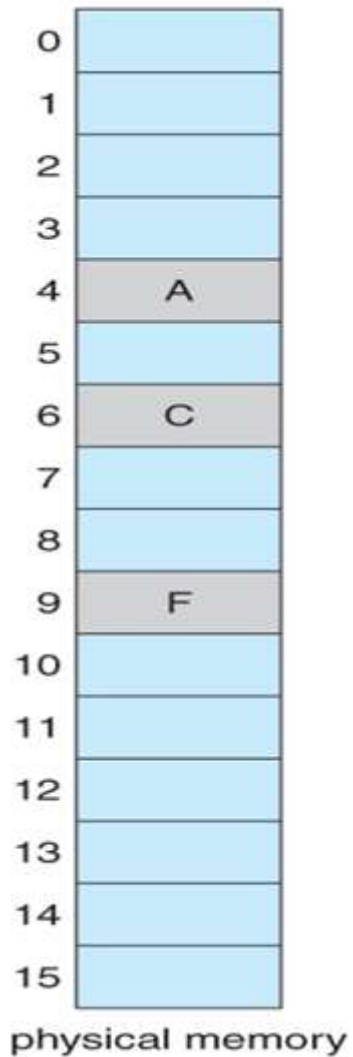
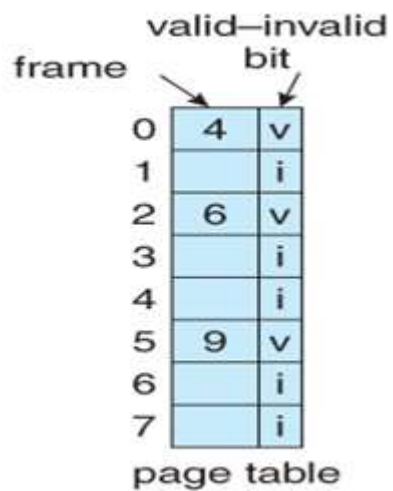


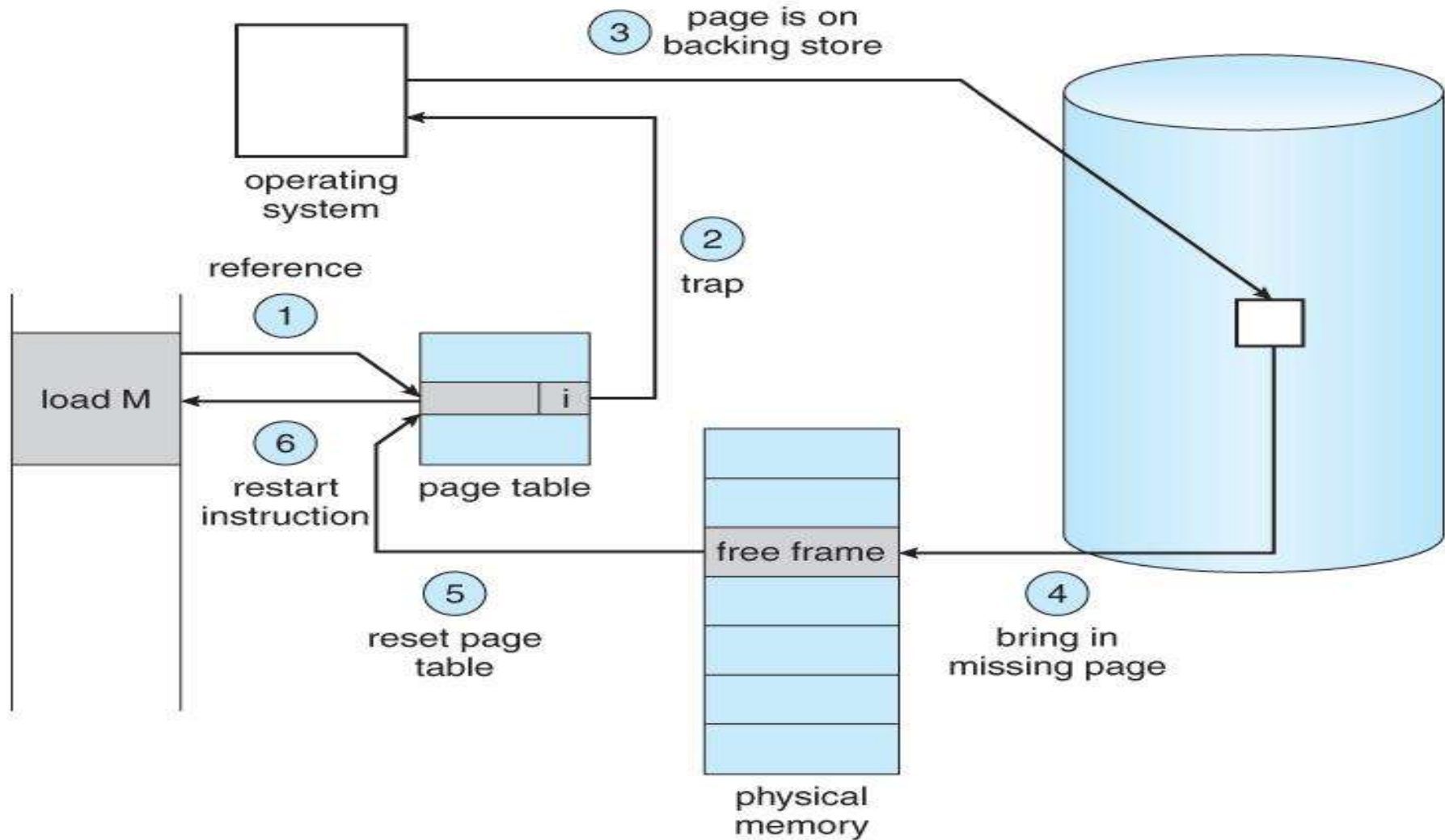


# Demand Paging

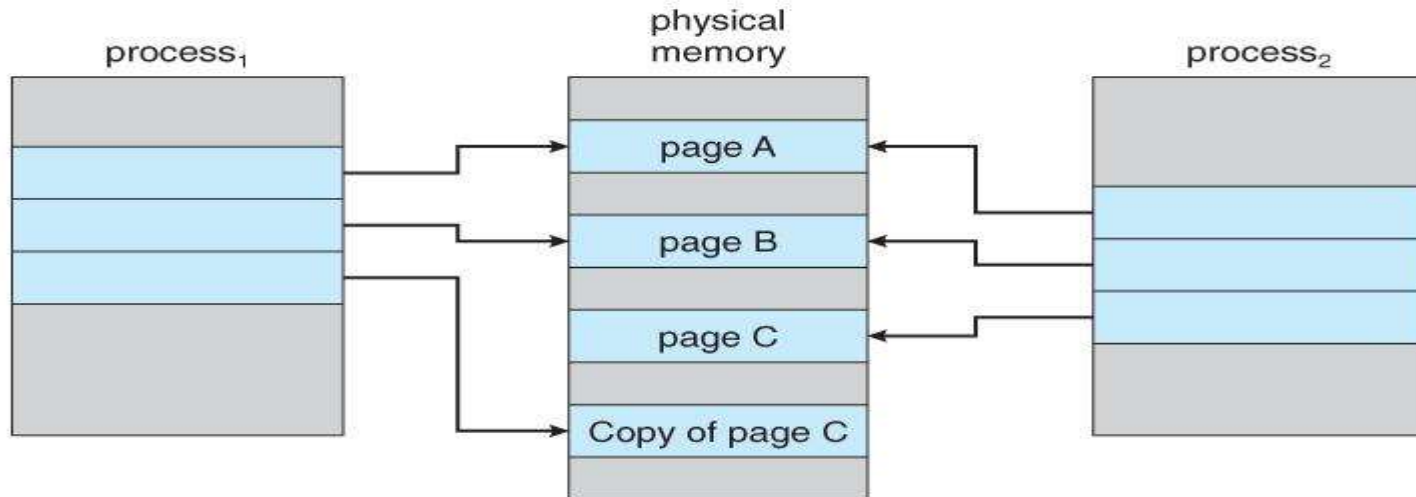
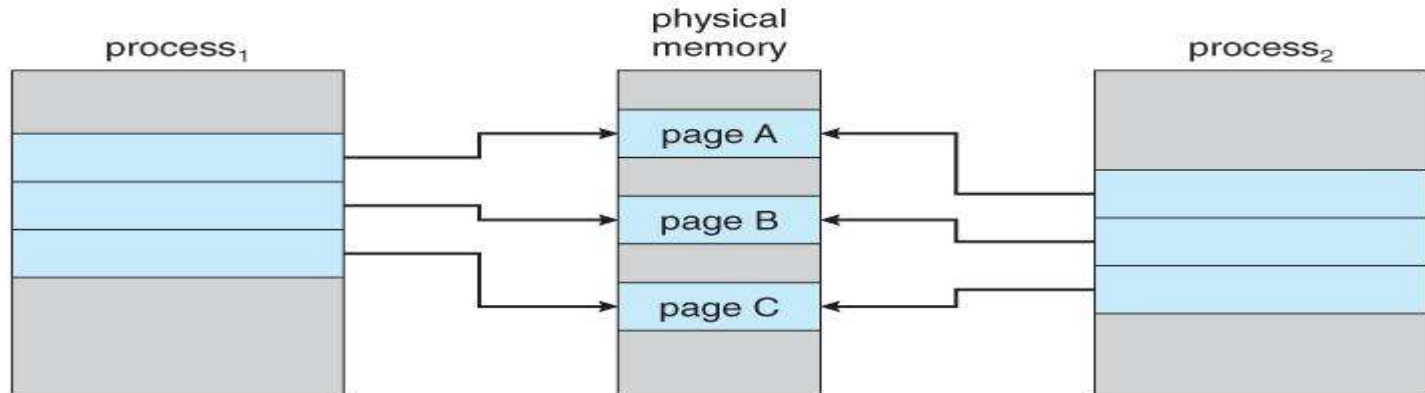
- when a process is swapped in, its pages are not swapped in all at once.
- Rather they are swapped in only when the process needs them. ( on demand. )
- This is termed a **lazy swapper**, although a **pager** is a more accurate term.



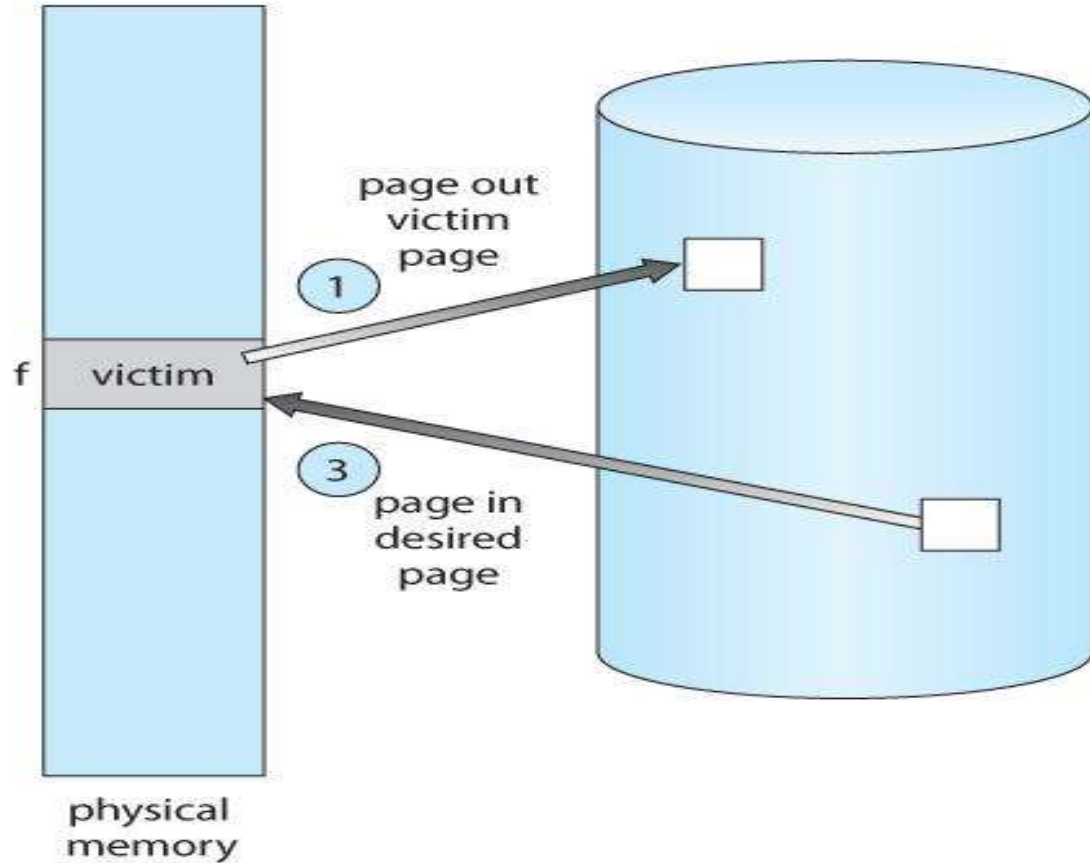
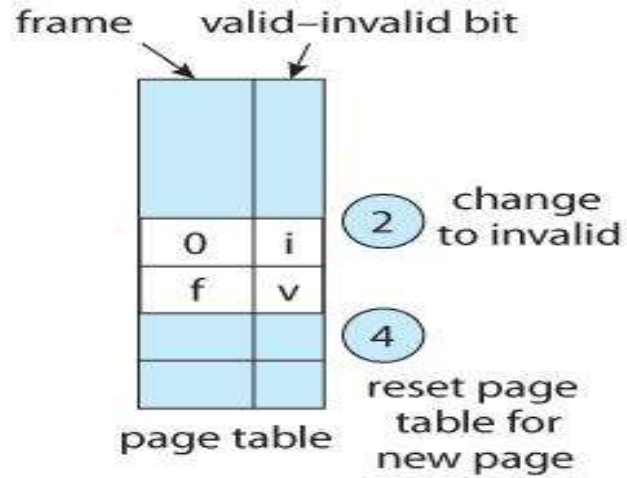




# Copy-on-Write



# Page Replacement



# Page replacement Algorithms

- **FIFO Page Replacement**

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

7	7	7	2																
	0	0	0																
		1	1																

2	2	4	4	4	0
3	3	3	2	2	2
1	0	0	0	3	3

0	0
1	1
3	2

7	7	7
1	0	0
2	2	1

page frames

- ***Belady's anomaly***

1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

- **Optimal Page Replacement**

Replace the page that will not be used for the longest time in the future.

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

**Reference string:**

**1 2 3 4 5 3 4 1 6 7 8 7 8 9 7 8 9 5 4 5 4 2**

- **LRU Page Replacement**

The page that has not been used in the longest time

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

7	7	7	2		2		4	4	4	0			1		1		1		
	0	0	0		0		0	0	3	3			3		0		0		
		1	1		3		3	2	2	2			2		2		7		

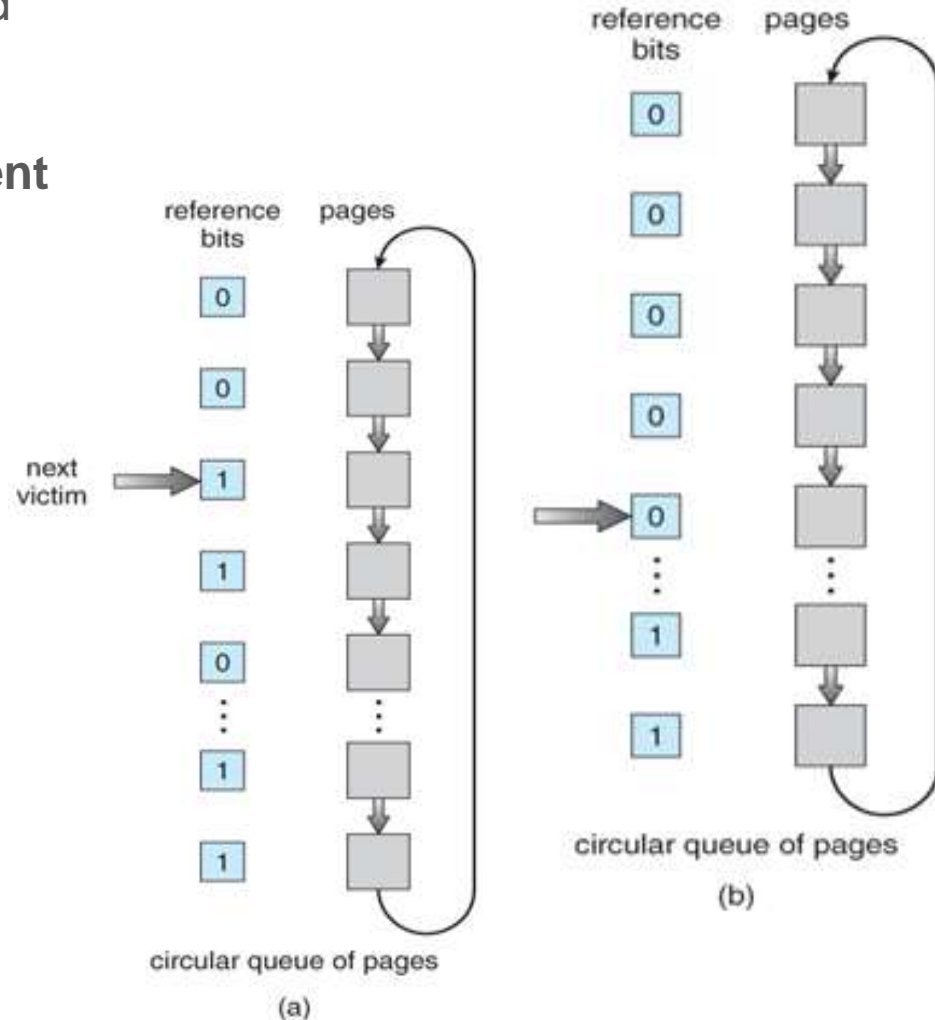
page frames

reference string

4 7 0 7 1 0 1 2 1 2 7 1 2



- Two simple approaches commonly used
  - **Counters**
  - **Stack**
- **LRU-Approximation Page Replacement**  
provide a ***reference bit*** for every entry in a page table
- **Additional-Reference-Bits Algorithm**  
most recent 8 reference bits for each page
- **Second-Chance Algorithm**



- **Enhanced Second-Chance Algorithm**

the reference bit and the modify bit ( dirty bit )

- ( 0, 0 ) - Neither recently used nor modified.
- ( 0, 1 ) - Not recently used, but modified.
- ( 1, 0 ) - Recently used, but clean.
- ( 1, 1 ) - Recently used and modified

- **Counting-Based Page Replacement :**

- *Least Frequently Used, LFU:*
- *Most Frequently Used, MFU:*

- **Page-Buffering Algorithms**

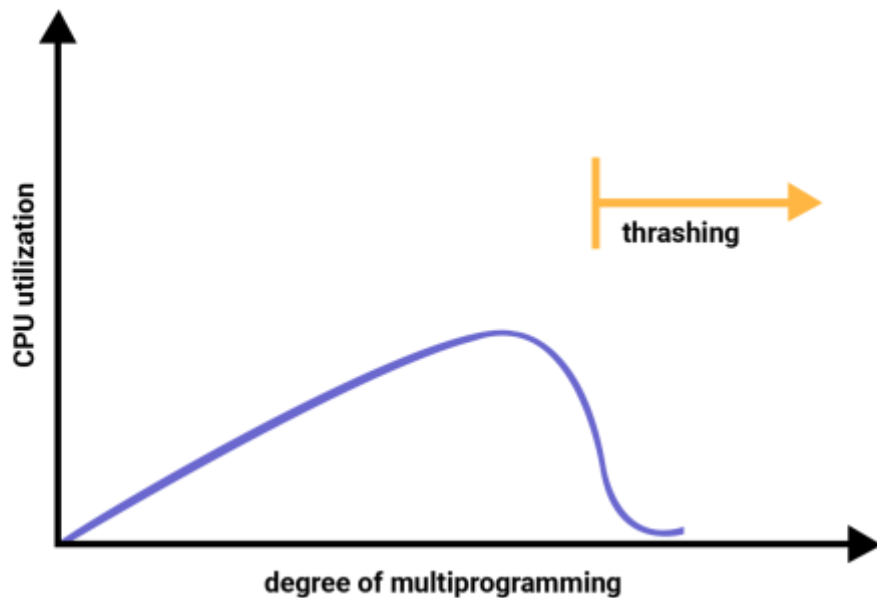
- **Frames Allocation:**

- minimum number of free frames at all times
- Equal Allocation
- Proportional Allocation

$$a_i = m * S_i / S$$

# Thrashing

- A process is thrashing if it is **spending more time paging than executing**.
- **limit the effects** of thrashing by using a **local replacement algorithm** (or **priority replacement algorithm**).
- the **locality model of process execution**.

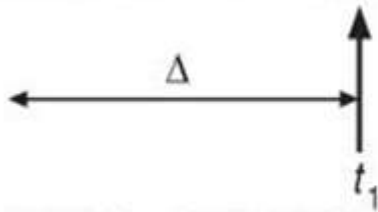


## ● Working-Set Model:

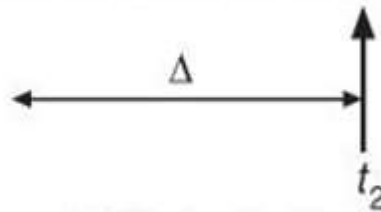
- uses a parameter,  $\Delta$ , to define the **working-set window**.
- **The idea** is to **examine the most recent  $\Delta$  page references**.
- The **set of pages** in the most recent  $\Delta$  page references is the **working set**

page reference table

... 2 6 1 5 7 7 7 7 5 1 6 2 3 4 1 2 3 4 4 4 3 4 3 4 4 4 4 1 3 2 3 4 4 4 3 4 4 4 ...



$$WS(t_1) = \{1, 2, 5, 6, 7\}$$



$$WS(t_2) = \{3, 4\}$$

## ● Page-Fault Frequency:

- upper and lower bounds on the desired page-fault rate
- If the actual page-fault rate exceeds the upper limit, we allocate that process another frame;
- if the page-fault rate falls below the lower limit, we remove a frame from that process.

