

# ***Operating systems***

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## File System

# File Concept:

- Computers **can store information** on several different **storage media**
  - magnetic disks, magnetic tapes, and optical disks
- Computer system will be **convenient to use**,  
The operating system provides **a uniform logical view** of information storage.
- The operating system **abstracts from the physical properties of its storage devices** to define a **logical storage unit (the file)**.
- Files are **mapped, by the operating system**, onto physical devices
- A file is a **named collection of related information** that is recorded on secondary storage

- **File Attributes**

A file has certain other attributes, which **vary from one operating system** to another,

Typically consist of these:

- **Name:**
- **Identifier:**
- **Type:**
- **Location:.**
- **Size:.**
- **Protection:**
- **Time, date, and user identification:**

- **File Operations:** A file is an **abstract data type**.
  - Creating a file:
  - Writing a file:
  - Reading a file:current-file-position pointer
  - Repositioning within a file:
  - Deleting a file:
  - Truncating a file:
- The operating system keeps a **small table** containing information **about all open files (the open-file table)**.
- several pieces of **information** are associated with an open file.
  - File pointer:
  - File open count:
  - Disk location of the file:
  - Access rights

# File Types

file type	usual extension	function
executable	exe, com, bin or none	read to run machine-language program
object	obj, o	compiled, machine language, not linked
source code	c, cc, java, pas, asm, a	source code in various languages
batch	bat, sh	commands to the command interpreter
text	txt, doc	textual data, documents
word processor	wp, tex, rrf, doc	various word-processor formats
library	lib, a, so, dll, mpeg, mov, rm	libraries of routines for programmers
print or view	arc, zip, tar	ASCII or binary file in a format for printing or viewing
archive	arc, zip, tar	related files grouped into one file, sometimes compressed, for archiving or storage
multimedia	mpeg, mov, rm	binary file containing audio or A/V information

# File Structure

- The operating system may
  - require that an executable file have a **specific structure**
  - it can determine **where in memory** to load the file
  - What is the location of the first instruction
- one of the disadvantages
  - multiple **file structures**:
- The resulting size of the operating system
  - **cumbersome**.
- If the operating system defines five different file structures,
  - it needs to contain the code to support these file structures.
- Severe problems may result from new applications that require information structured in ways not supported by the operating system.
- The Macintosh operating system also supports a minimal number of file structures.
- It expects files to contain two parts: **a resource fork and a data fork**.
- The resource fork contains information of interest to the user.

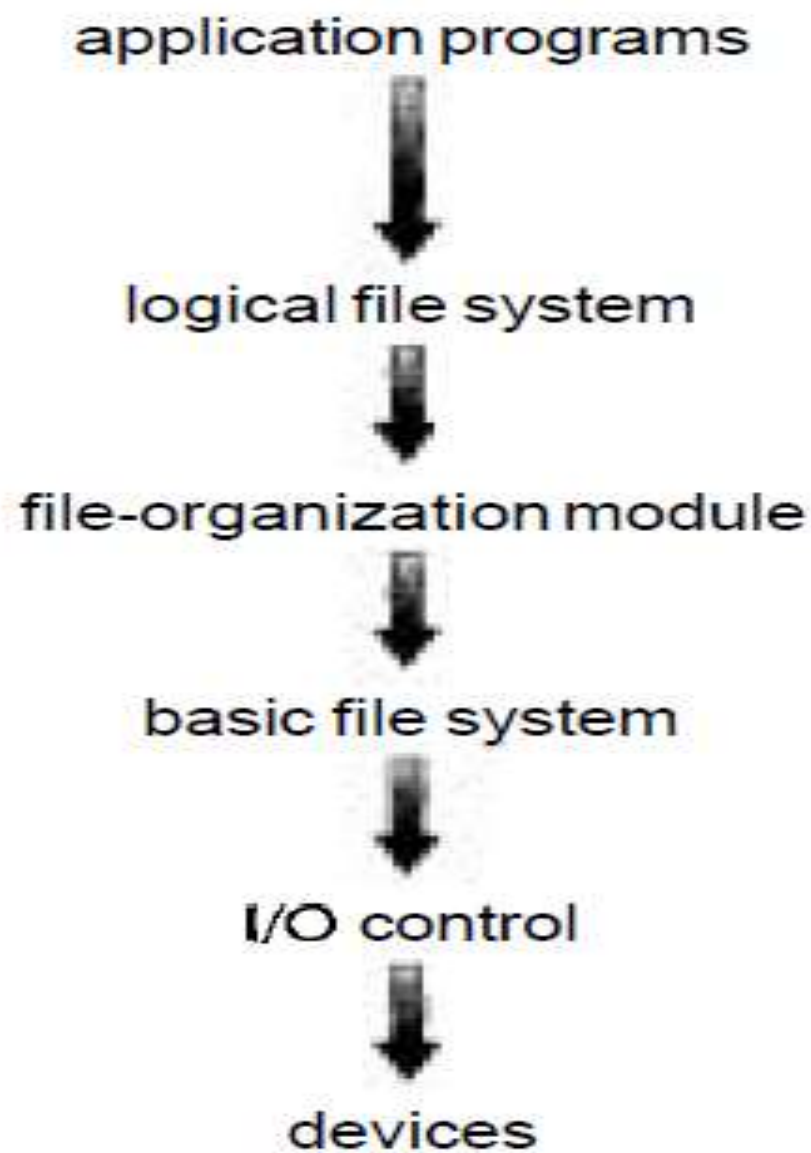
# Internal File Structure

- Locating an offset within a file can be complicated
- All disk I/O is performed in units of one block (physical record), and all blocks are the same size.
  - Logical records may even vary in length.
- Packing a number of logical records into physical blocks is a common solution to this problem.
- For example, the UNIX operating system defines all files to be simply a stream of bytes.
  - Each byte is individually addressable by its offset from the beginning (or end) of the file. In this case, the logical record is 1 byte.
- The file system automatically packs and unpacks bytes into physical disk
- The logical record size, physical block size, and packing technique determine how many logical records are in each physical block.
- The packing can be done either
  - the user's application program or
  - by the operating system.
- The wasted bytes allocated to keep everything in units of blocks (instead of bytes) is *internal fragmentation*.
  - the larger the block size, the greater the internal fragmentation.

# File-System Structure

- **Two characteristics** that make them a convenient medium for storing multiple files:
  - They can be **rewritten in place**;
  - They can **access directly any given block** of information on the disk.
- **A file system poses two quite different design problems.**
  - **how the file system should look to the user**
  - **Creating algorithms and data structures** to map the logical file system onto the physical secondary-storage devices.
- **A file control block (FCB) contains**
  - **information about the file,**
  - **ownership,**
  - **permissions**
  - **location of the file contents**
- **UNIX uses the UNIX file system (UFS) as a base.**
- **Windows NT supports disk file-system formats of**
  - **FAT, FAT32 and NTFS (or Windows NT File System),**
  - **as well as CD-ROM, DVD, and floppy-disk file-system formats.**





**Figure 12.1** Layered file system.

- **Directory Implementation:**

selection of directory-allocation and directory-management algorithms has a large effect on the

- efficiency, performance, and reliability of the file system

- **Linear List**

- To use a linear list of file names with pointers to the data blocks.
- Requires a linear search to find a particular entry.
- Simple to program but time-consuming to execute

# Hash Table

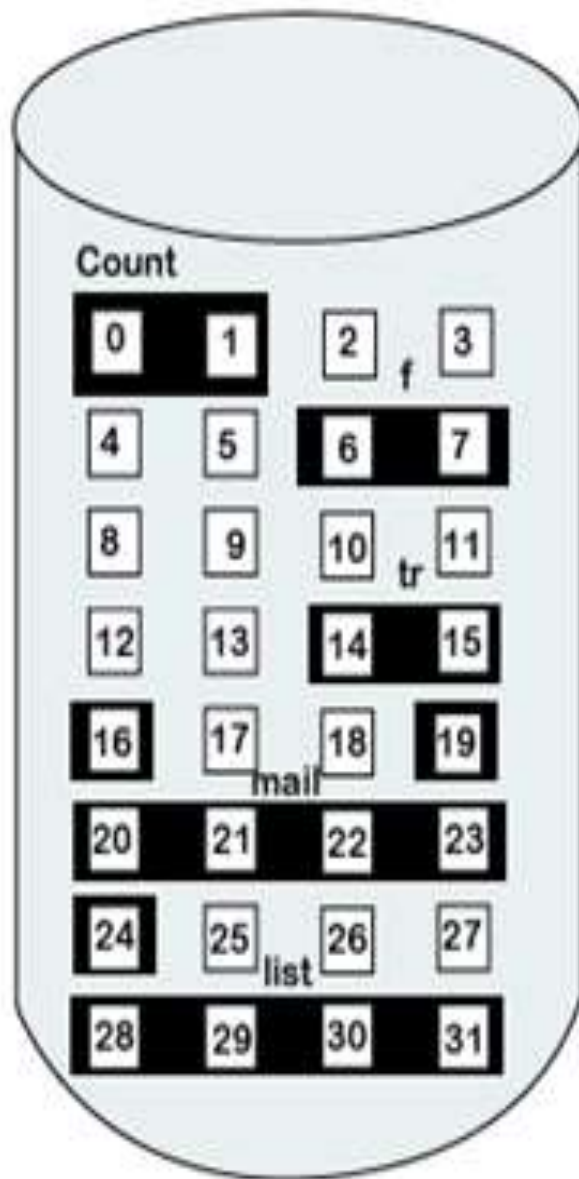
- a **linear list stores the directory entries**, but a hash data structure is also used.
- The hash table takes **a value computed from the file name and returns a pointer to the file name** in the linear list
- **Insertion and deletion** are also fairly straightforward,
- For example, assume that we make a linear-probing **hash table that holds 64 entries**.
- The hash function **converts file names into integers from 0 to 63**
- *For* instance, by using the remainder of a division by 64.
- If we later try to **create a 65th file**, we must enlarge the directory hash table-say, **to 128 entries**

# Allocation Methods:

- how to **allocate space to these files** so that disk space is **utilized effectively** and files can be **accessed quickly**.

- **Contiguous Allocation:**

- requires **each file to occupy a set of contiguous blocks** on the disk
- Contiguous allocation of a file is **defined by the disk address and length (in block units)** of the first block.
- If the file *is  $n$  blocks long and starts at location  $b$ ,* then it occupies blocks  **$b, b + 1, b + 2, \dots, b + n - 1$** .
- The **directory entry** for each file indicates the address of the **starting block and the length** of the area allocated for this file



## Directory

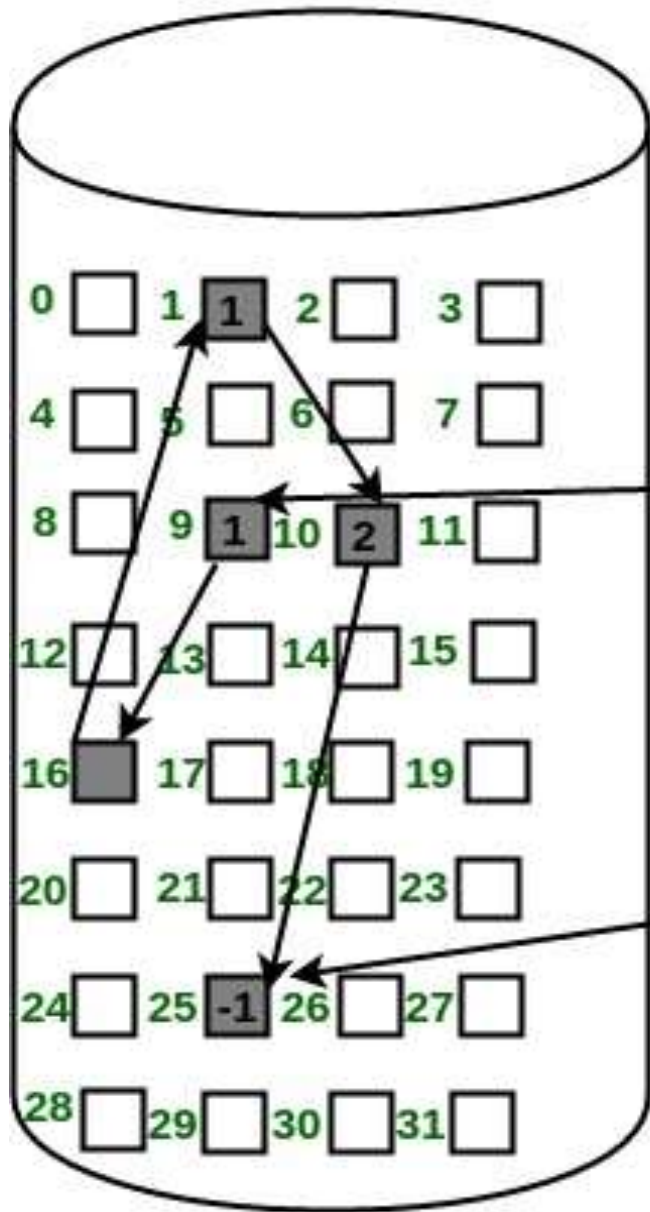
file	start	length
count	0	2
tr	14	3
mail	19	6
list	28	4
f	6	2

- **Linked Allocation:**

- With linked allocation, **each file is a linked list of disk blocks;**
- the disk blocks may be **scattered anywhere** on the disk.
- The directory **contains a pointer to the first and last blocks** of the file.
- An important variation on the linked allocation method is the use of a **file allocation table (FAT).**
  - **This simple but efficient method of disk-space allocation**
  - It is used by the MS-DOS and OS/2 operating systems.
- A **section of disk** at the beginning of each partition is **set aside to contain the table.**

## Directory

file	start	end
jeep	9	25



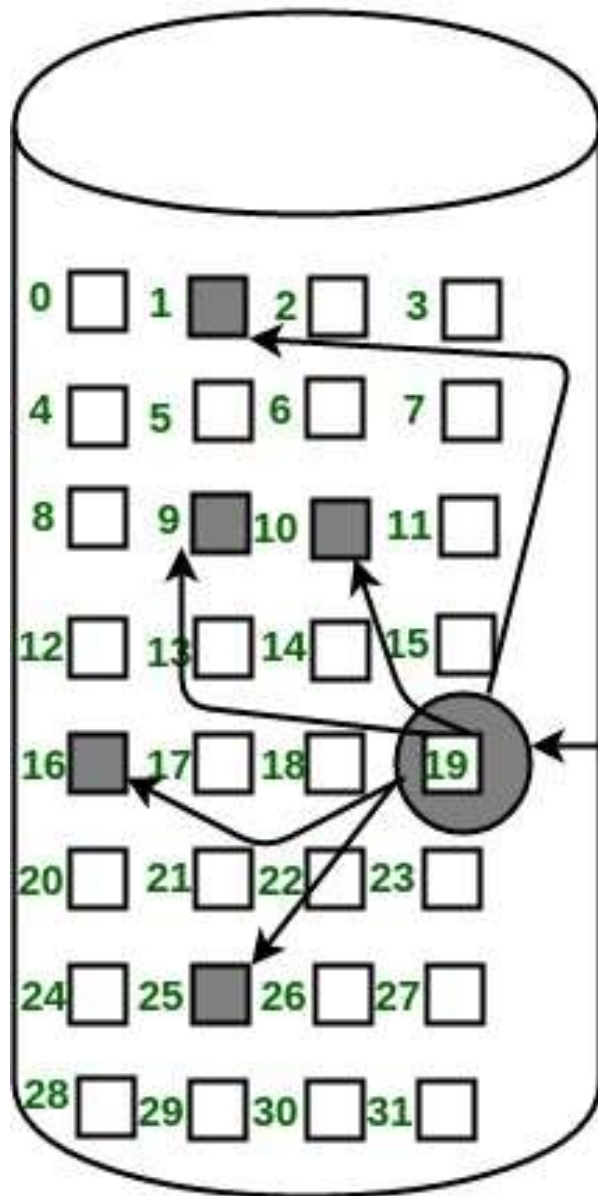
- **Indexed Allocation**

- the **pointers to the blocks are scattered** with the blocks themselves all over the disk and need to be retrieved
- in order, **Indexed allocation solves this problem by bringing all the pointers together** into one location: the **index block**.
- Each file has its **own index block**, which is an array of disk-block addresses.
- The **ith entry** in the index block points to the **ith block** of the file.
- The directory contains the **address of the index block**



## Directory

file	index	block
jeep	19	



If the **index block is too small**, however, it will **not be able to hold enough pointers** for a **large file**, and a mechanism will have to be available to deal with this issue:

- **Linked scheme:**
- **Multilevel index:**
- **Combined scheme:**
  - say, **15 pointers** of the **index block** in the file's inode.
  - The **first 12** of these pointers point to **direct blocks**;
  - The next **3 pointers point to indirect blocks**.
    - The **first indirect block pointer** is the address of a **single indirect block**.
    - Then there is a **double indirect block pointer**,
    - The last pointer would contain the address of a **triple indirect block**.

- **Free-Space Management:**

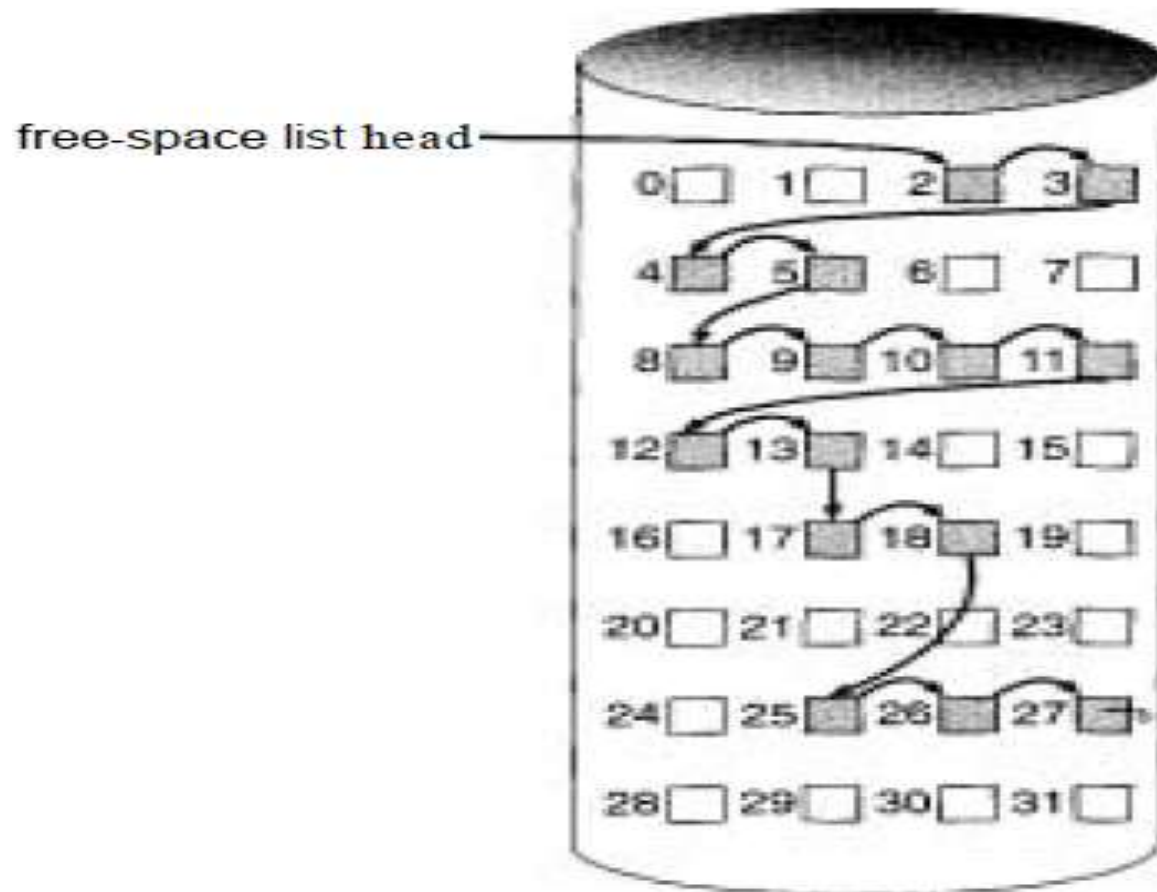
- keep track of free disk space, the system maintains a **free-space list**.
- **The free-space list records all** free disk blocks-those not allocated to some file or directory.

- **Bit Vector:**

- free-space list is implemented as a bit **map or bit vector**
- Each block is represented by 1 bit. If the **block is free**, the **bit is 1**; if the block is **allocated**, the bit is 0.
- its relatively **simplicity and efficiency** in finding the **first free block, or *n consecutive free blocks on the disk***.
- The first **non-0 word** is scanned for the **first 1 bit**, which is the **location of the first free block**.
- The calculation of the block number is  
**(number of bits per word) x (number of 0-value words) + offset of first 1 bit.**

- **Linked List:**

- keeping a pointer to the **first free block in a special location** on the disk and caching it in memory



- **Grouping:**

- to store the addresses of  $n$  free blocks in the first free block.
- The first  $n-1$  of these blocks are actually free.
- The last block contains the addresses of another  $n$  free blocks

- **Counting:**

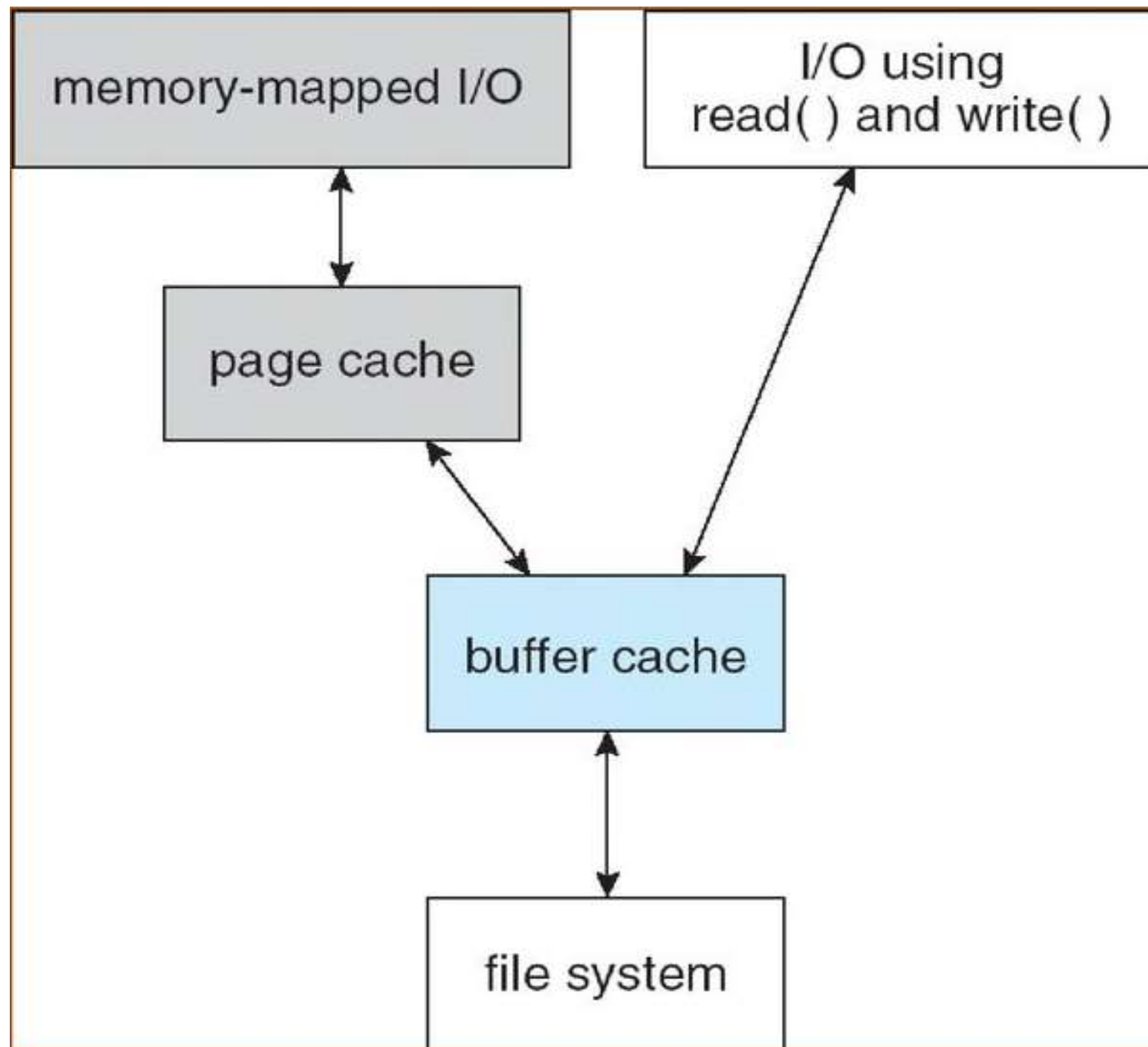
- Keep the address of the first free block and the number  $n$  of free contiguous blocks that follow the first block.
- Each entry in the free-space list then consists of a disk address and a count.

## Efficiency:

- For instance, **UNIX inodes** are **preallocated on a partition**.
- Even an "empty" disk has a **percentage of its space lost to inodes**.
- by **preallocating the inodes and spreading them across** the partition, we **improve the file system's performance**
- BSD UNIX varies the cluster size as a file grows.
- **Large clusters** are used where they can be filled, and **small clusters** are used for small files and the last cluster of a file.
- The **types of data** normally kept in a file's directory (**or inode**) entry also require consideration.
- Commonly, a "**last write date**" is recorded to supply information to the user and to determine whether the file needs to be backed up.
- Some systems also keep a "**last access date,**" so that a user can determine when the file was last read.
- The result of **keeping this information is that**, whenever the **file is read, a field** in the directory structure must be **written to**.
- This **change requires the block to be read into memory**, a section changed, and the block written back out to disk, because operations on disks **occur only in block (or cluster) chunks**.

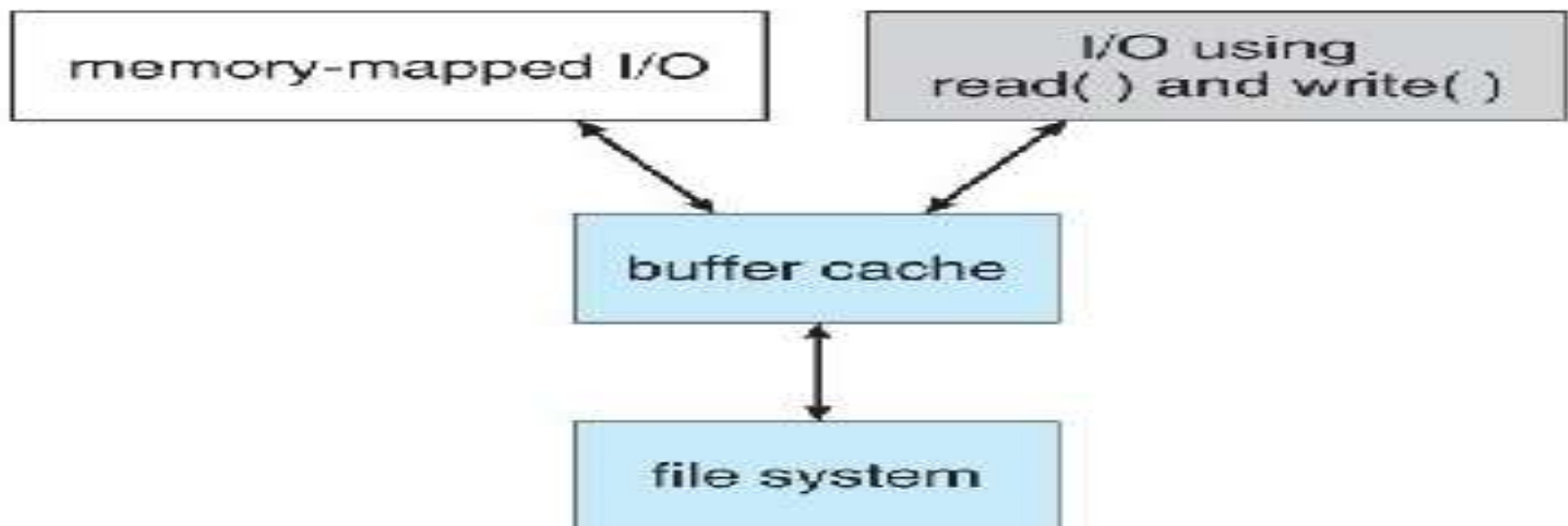
# Performance:

- disk controllers include local memory to form an on-board **cache** that is sufficiently large to store **entire** tracks at a time
- maintain a separate section of main memory for a **disk cache, where blocks are kept under the assumption that they will be used again** shortly
- Other systems cache file data using a **page cache**





- Some versions of UNIX provide a **unified buffer cache**
- Consider the two alternatives of opening and accessing a file.
  - One approach is **to use memory mapping**
  - the second is to use the **standard system calls read and write**



- **Synchronous writes**
  - **occur in the order in which the disk subsystem** receives them, and the writes are not buffered.
  - Thus the **calling routine must wait** for the data to reach the disk drive before it can proceed.
- **Asynchronous writes**
  - **are done the majority** of the time
- **Free-behind**
  - **removes a page from the buffer** as soon as the next page is requested.
  - The **previous pages are not likely to be used again** and waste buffer space.
- **read-ahead,**
  - **a requested page and several subsequent pages** are read and cached